

# CS 106X, Lecture 19

## Trees

reading:

*Programming Abstractions in C++*, Chapters 16.1-16.4

# Plan For Today

- Trees
- Announcements
- Binary Search Trees
  - Traversing
  - Adding
  - Removing

## 小结:

1. tree = ptr + recursion综合
2. 所有tree的问题: 都与traverse order有关 (思考的角度方向)
3. 不同节点的分类cases: nullptr (base case)、leaf (2边都没 children)、“single” internal vertex (只有1边有child, 出现最大最小值)、internal vertex (2边都有child)
4. tree branch arrow: 可看作是一个指针
5. remove节点操作: 分类: single vertex (调整arrow指向的终点); internal vertex: replace it with right\_min / left\_max  
第2点的补充: 可以参考browser2的inrange函数如何从树种取出从小到大的元素, inorder traverse
6. 在heap中创建数据结构初始化的时候, 即使是empty了记得也要加上nullptr防止dangling ptr; 删除清空的时候也是如此, 删完的指针设置成nullptr
7. Trie: 每条指针代表一个字母, 节点的位置决定一个单词 (参考leetcode一道模版题)
8. 平衡树的构建: hw6的displaying text:  
<https://www.baeldung.com/cs/balanced-bst-from-sorted-list>

# CS 106X, Lecture 22

## Graphs; BFS; DFS

图的总结参考class\_code文件夹中的Graph Design.md文件

要点:

1. dfs, bfs: optimal, retrival(path record)
2. Dijkstra and A\*(heuristics)
3. topological sort: dependency, Kahan's algorithm
4. MST: Krukmal algorithm: assignment 2: maze generator
5. Modeling problems using graph and tree reading.

*Programming Abstractions in C++, Chapter 18*

# DFS that finds path

**dfs** from  $v_1$  to  $v_2$ :

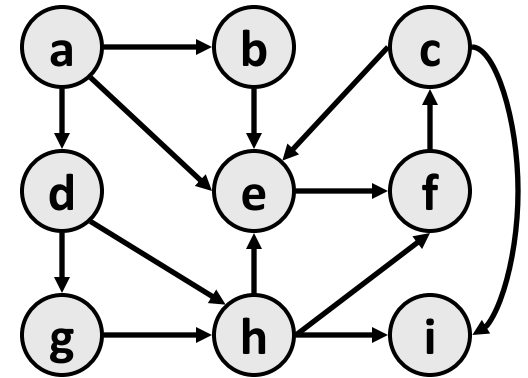
mark  $v_1$  as **visited**, and **add to path**. 选对象

perform a **dfs** from each of  $v_1$ 's  
unvisited neighbors  $n$  to  $v_2$ :

if **dfs**( $n, v_2$ ) succeeds: a path is found! yay!

if all neighbors fail: **remove  $v_1$  from path**.

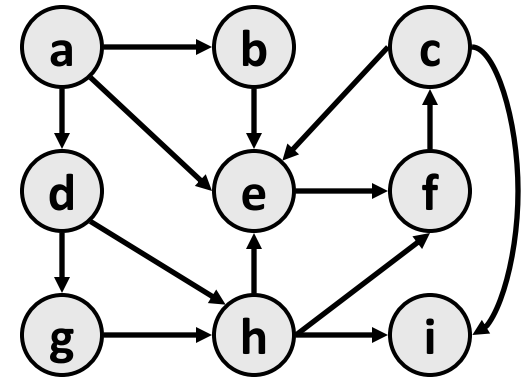
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- To retrieve the DFS path found, pass a collection parameter to each call and choose-explore-unchoose.

# DFS observations

- *discovery*: DFS is guaranteed to find a path if one exists.
- *retrieval*: It is easy to retrieve exactly what the path is (the sequence of edges taken) if we find it
  - choose - explore - unchoose
- *optimality*: not optimal. DFS is guaranteed to find a path, not necessarily the best/shortest path
  - Example:  $\text{dfs}(a, i)$  returns  $\{a, b, e, f, c, i\}$  rather than  $\{a, d, h, i\}$ .



# Breadth-First Search (BFS)

- Keep a Queue of nodes as our TODO list
- Idea: dequeue a node, enqueue all its neighbors
- Still will return the same nodes as reachable, just might have shorter paths

# BFS Details

- In an  $n$ -node,  $m$ -edge graph, takes  $O(m + n)$  time with an adjacency list
  - Visit each edge once, visit each node at most once

**bfs** from  $v_1$  to  $v_2$ :

create a *queue* of vertexes to visit,  
initially storing just  $v_1$ .

mark  $v_1$  as **visited**.

while *queue* is not empty and  $v_2$  is not seen:

dequeue a vertex  $v$  from it,

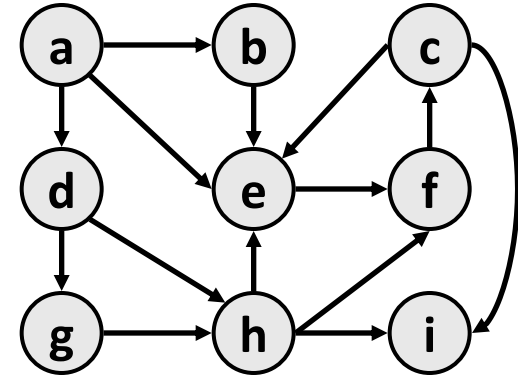
mark that vertex  $v$  as **visited**,

and add each unvisited neighbor  $n$  of  $v$  to the *queue*.

- How could we modify the pseudocode to look for a specific path?

# BFS observations

- *optimality*:
  - always finds the shortest path (fewest edges).
  - in unweighted graphs, finds optimal cost path.
  - In weighted graphs, *not* always optimal cost.
- *retrieval*: harder to reconstruct the actual sequence of vertices or edges in the path once you find it
  - conceptually, BFS is exploring many possible paths in parallel, so it's not easy to store a path array/list in progress
  - solution: We can keep track of the path by storing predecessors for each vertex (each vertex can store a reference to a *previous* vertex).
- DFS uses less memory than BFS, easier to reconstruct the path once found; but DFS does not always find shortest path. BFS does.





# BFS that finds path

**bfs** from  $v_1$  to  $v_2$ :

create a *queue* of vertexes to visit,  
initially storing just  $v_1$ .  
mark  $v_1$  as **visited**.

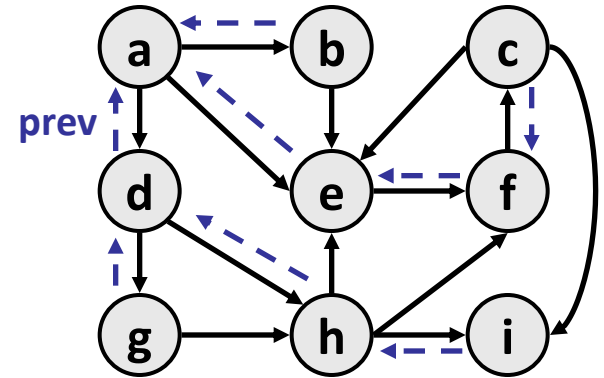
while *queue* is not empty and  $v_2$  is not seen:

dequeue a vertex  $v$  from it,

mark that vertex  $v$  as **visited**,

and add each unvisited neighbor  $n$  of  $v$  to the *queue*,

while setting  $n$ 's **previous** to  $v$ .



# Dijkstra's Algorithm (18.6)

- **Dijkstra's algorithm:** Finds the minimum-weight path between a pair of vertices in a weighted directed graph.
  - Solves the "one vertex, shortest path" problem in weighted graphs.
  - *basic algorithm concept:* Create a table of information about the currently known best way to reach each vertex (cost, previous vertex), and improve it until it reaches the best solution.
- *Example:* In a graph where vertices are cities and weighted edges are roads between cities, Dijkstra's algorithm can be used to find the shortest route from one city to any other.

# Dijkstra pseudocode

**dijkstra**( $v_1, v_2$ ):

consider every vertex to have a cost of infinity, except  $v_1$  which has a cost of 0.

create a *priority queue* of vertexes, ordered by cost, storing only  $v_1$ .

while the *pqueue* is not empty:

    dequeue a vertex  $v$  from the *pqueue*, and mark it as **visited**.

    for each of the unvisited neighbors  $n$  of  $v$ , we now know that we can reach this neighbor with a total **cost** of ( $v$ 's cost + the weight of the edge from  $v$  to  $n$ ).

        if the neighbor is not in the *pqueue*, or this is cheaper than  $n$ 's current cost,  
        we should **enqueue** the neighbor  $n$  to the *pqueue* with this new cost,  
        and with  $v$  as its previous vertex.

when we are done, we can **reconstruct the path** from  $v_2$  back to  $v_1$   
by following the previous pointers.

# Heuristics

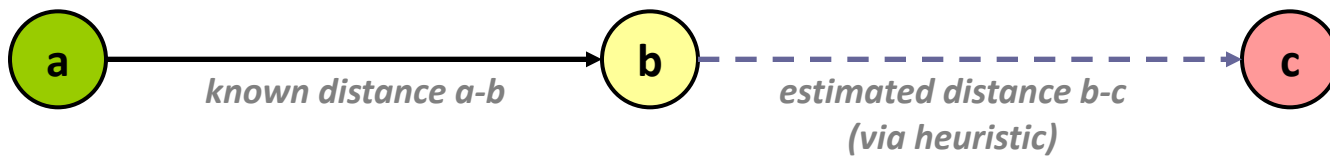
- **heuristic:** A speculation, estimation, or educated guess that guides the search for a solution to a problem.
  - *Example:* Spam filters flag a message as probable spam if it contains certain words, has certain attachments, is sent to many people, ...
  - In the context of graph searches: A function that approximates the distance from a known vertex to another destination vertex.
  - *Example:* Estimate the distance between two places on a Google Maps graph to be the direct straight-line distance between them.

very eager,  $AH \leq \text{real answer}$

- **admissible heuristic:** One that never overestimates the distance.
  - Okay if the heuristic underestimates sometimes (e.g. Google Maps).
  - Only ignore paths that in the *best case* are worse than your current path

# The A\* algorithm

- **A\*** ("A star"): A modified version of Dijkstra's algorithm that uses a heuristic function to guide its order of path exploration.



关键在于如何  
准确定义  
heuristic

- Suppose we are looking for paths from start vertex  $a$  to  $c$ .
  - Any intermediate vertex  $b$  has two costs:
  - The known (exact) cost from the start vertex  $a$  to  $b$ .
  - The heuristic (estimated) cost from  $b$  to the end vertex  $c$ .
- *Idea*: Run Dijkstra's algorithm, but use this priority in the pqueue:
  - $\text{priority}(b) = \text{cost}(a, b) + \mathbf{Heuristic}(b, c)$
  - Chooses to explore paths with lower estimated cost.

# A\* pseudocode

**astar**( $v_1, v_2$ ):

consider every vertex to have a cost of infinity, except  $v_1$  which has a cost of 0.

create a *priority queue* of vertexes, ordered by **(cost+heuristic)**, storing only  $v_1$  **with a priority of  $H(v_1, v_2)$** .

while the *pqueue* is not empty:

    dequeue a vertex  $v$  from the *pqueue*, and mark it as **visited**.

    for each of the unvisited neighbors  $n$  of  $v$ , we now know that we can reach this neighbor with a total **cost** of ( $v$ 's cost + the weight of the edge from  $v$  to  $n$ ).

        if the neighbor is not in the *pqueue*, or this is cheaper than  $n$ 's current cost, we should **enqueue** the neighbor  $n$  to the *pqueue* with this new cost **plus  $H(n, v_2)$** , and with  $v$  as its previous vertex.

when we are done, we can **reconstruct the path** from  $v_2$  back to  $v_1$  by following the previous pointers.

\* (basically, add  $H(\dots)$  to costs of elements in PQ to improve PQ processing order)

# Kruskal's algorithm

- **Kruskal's algorithm:** Finds a MST in a given graph.

function **kruskal**(graph):

Start with an empty structure for the MST

Place all edges into a **priority queue**  
based on their weight (cost).

While the priority queue is not empty:

Dequeue an edge  $e$  from the priority queue.

**If  $e$ 's endpoints aren't already connected,  
add that edge into the MST.**

Otherwise, skip the edge.

- **Runtime:**  $O(E \log E) = O(E \log V)$

# Kahn's Algorithm

*map* := {each vertex  $\rightarrow$  its in-degree}.

*queue* := {all vertices with in-degree = 0}.

*ordering* := { }.

Repeat until queue is empty:

    Dequeue the first vertex  $v$  from the queue.

*ordering* +=  $v$ .

    Decrease the in-degree of all  $v$ 's neighbors by 1 in the *map*.

*queue* += {any neighbors whose in-degree is now 0}.

- This algorithm doesn't modify the passed-in graph! 😊
- Have we handled all edge cases?
- What is the runtime of this algorithm?



# 3 Key Ideas for Improvement

- Keep a queue of nodes with in-degree 0 so we don't have to search for nodes multiple times.
- A node's in-degree only changes when one of its prerequisites is completed. Therefore, when completing a task, check if any of its neighbors now has in-degree 0.
- Keep a *map of nodes' in-degrees* so we don't need to modify the graph.