

## Introduction

- Exposing meaningful and interpretable neural interactions is critical to understanding neural circuits.
- Classical GLM is only able to find a static functional connectivity graph.
- In a long experiment, subject animals may experience different stages.
- Our new one-hot HMM-GLM can model the dynamically changing functional connectivity confined by an underlying anatomical connectome, which is more biologically plausible over the naive HMM-GLM.

## One-hot HMM-GLM

firing rate of neuron  $n$  at time  $t$

$$f_{t,n} = \sigma \left( b_n + \sum_{n'=1}^N w_{z_t, n \leftarrow n'} \cdot \left( \sum_{k=1}^K x_{t-k, n'} \phi_k \right) \right)$$

nonlinear activation  $\sigma: \mathbb{R} \rightarrow \mathbb{R}_+$

background intensity of neuron  $n$

weight from neuron  $n'$  to neuron  $n$  in state  $z_t$

spiking history of neuron  $n'$  before time  $t$

Poisson spikes

$$x_{t,n} \sim \mathcal{P}(f_{t,n})$$

Hidden Markov process of  $S$  states

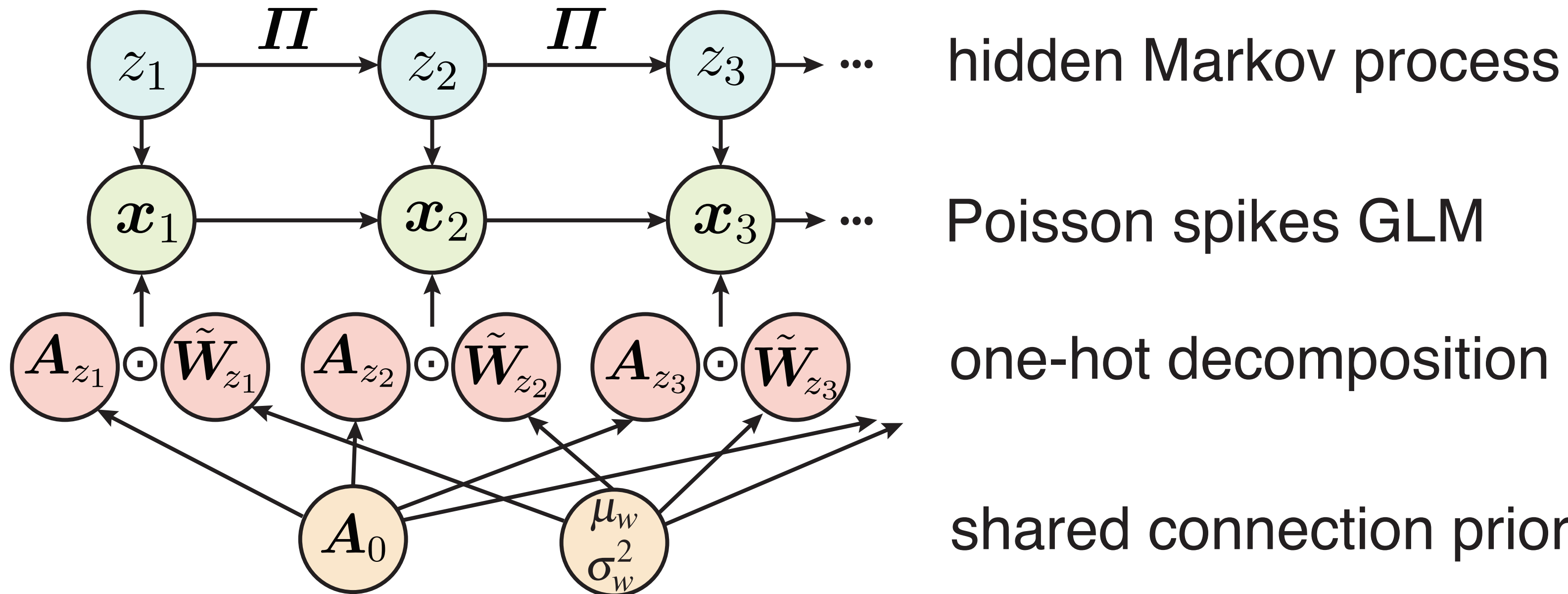
$$z_{t+1}|z_t \sim \text{Cat}(\pi_{z_t,1}, \pi_{z_t,2}, \dots, \pi_{z_t,S})$$

discrete latent state

Poisson observation

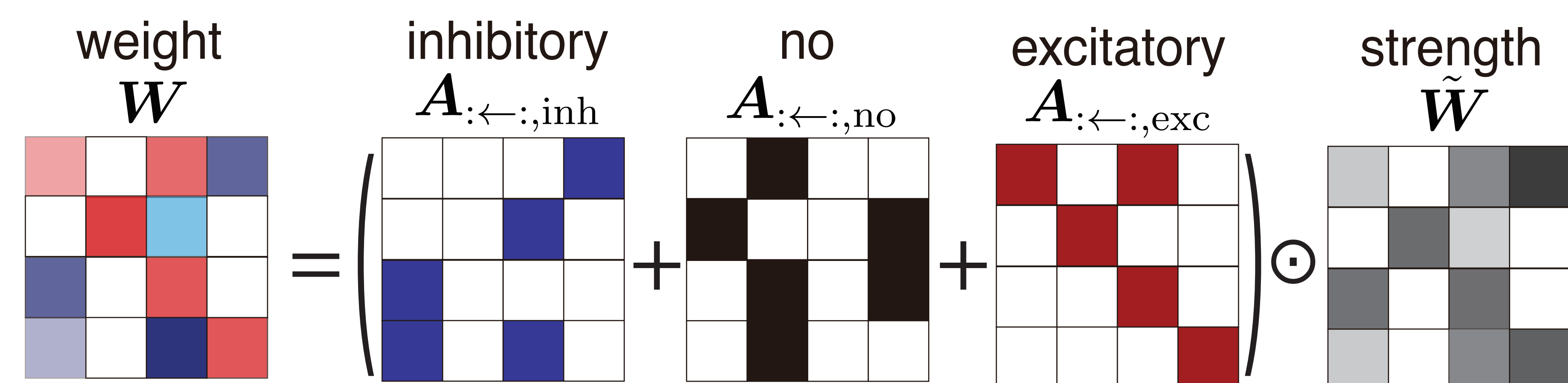
GLM latent

prior parameter



One-hot decomposition  $\mathbf{a}_{s,n \leftarrow n'} \sim \text{Gumbel-Softmax}(\mathbf{a}_{0,n \leftarrow n'}, \tau)$

$$w_{s,n \leftarrow n'} = [(-1)a_{s,n \leftarrow n', \text{inh}} + (+1)a_{s,n \leftarrow n', \text{exc}}] \cdot \tilde{w}_{s,n \leftarrow n'}$$



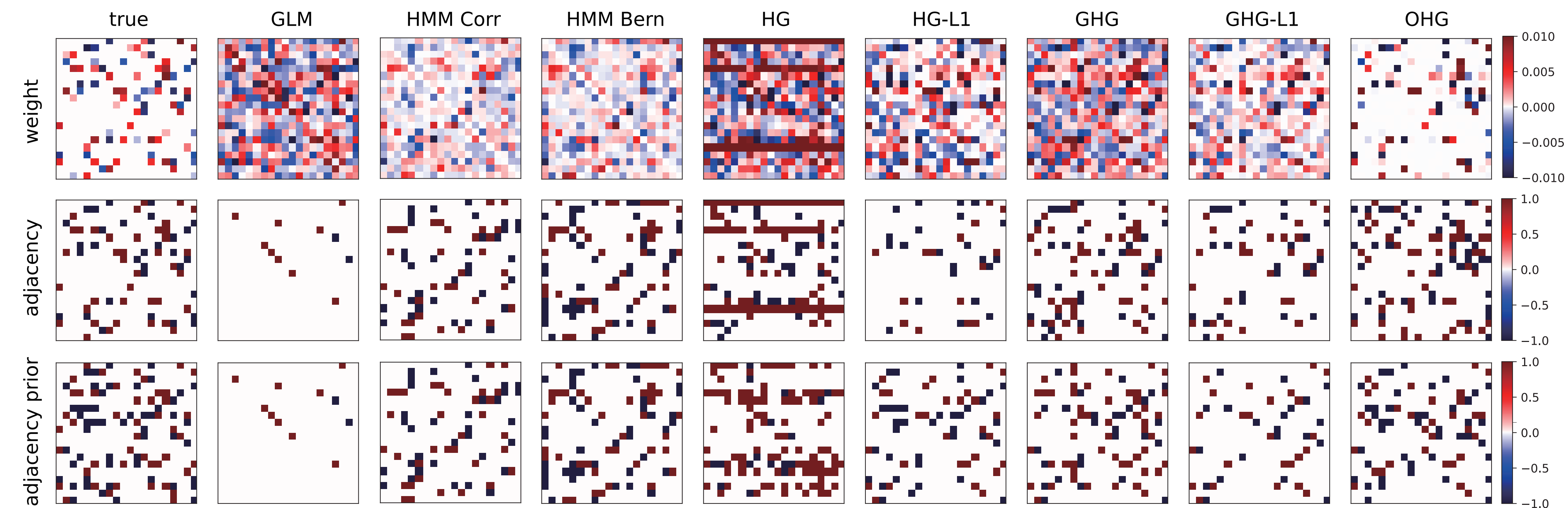
References: [1] Pillow et al., Nature, 2008. [2] Escola et al., Neural computation, 2011. [3] Jang et al., arXiv, 2016. [4] Peyrache et al, 2018. [5] Rodgers et al, Neuron, 2021.

## Inference

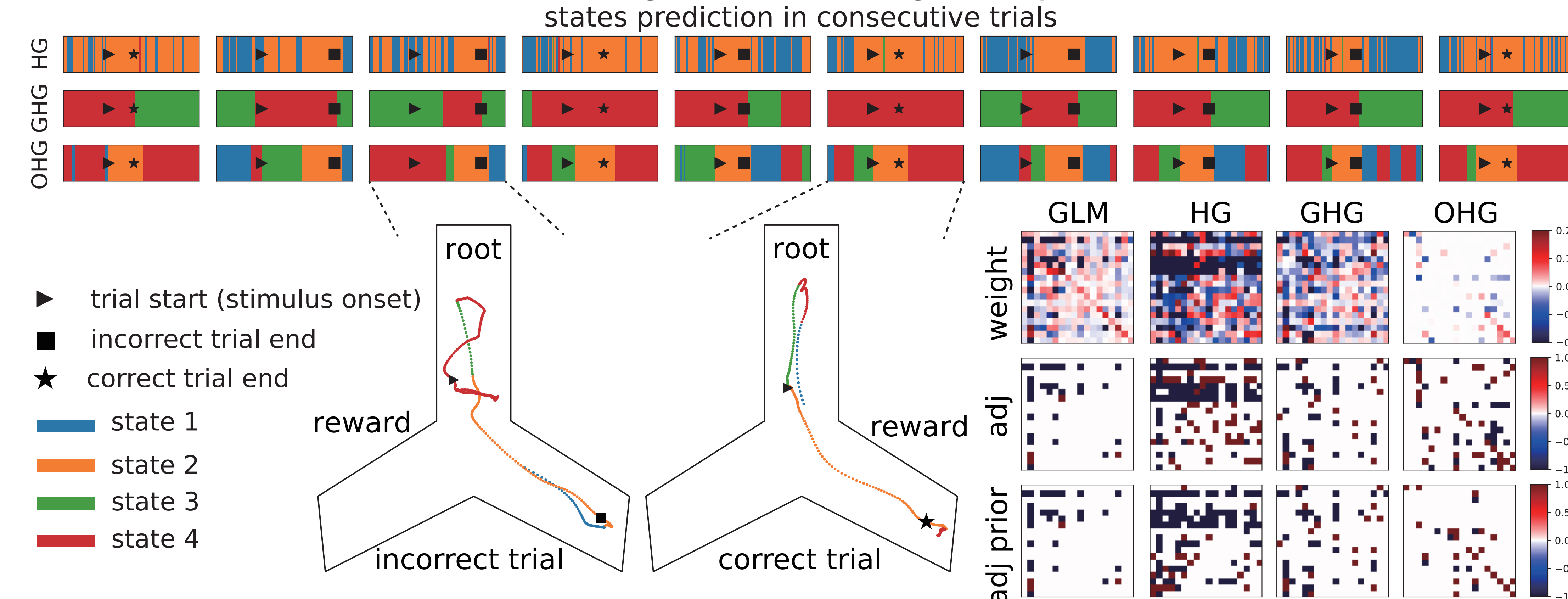
Baum-Welch (EM) algorithms. E-step: evaluate  $Q(\theta, \theta^{\text{old}}) = \mathbb{E}_{p(z|\mathbf{X}; \theta^{\text{old}})} \ln p(\mathbf{X}, \mathbf{z}; \theta)$  by the forward-backward algorithm. M-step: maximize it w.r.t  $\theta$ .

## Synthetic

method	LL $\uparrow$	state acc $\uparrow$	weight error $\downarrow$	con acc $\uparrow$	con prior acc $\uparrow$
GLM	-8.43( $\pm 0.18$ )	nan( $\pm$ nan)	24.71( $\pm 0.19$ )	43.12( $\pm 0.46$ )	44.81( $\pm 0.61$ )
HMM Corr	-22.53( $\pm 0.64$ )	42.84( $\pm 1.47$ )	nan( $\pm$ nan)	34.04( $\pm 0.12$ )	15.45( $\pm 2.49$ )
HMM Bern	-5.68( $\pm 0.23$ )	87.95( $\pm 0.93$ )	nan( $\pm$ nan)	36.25( $\pm 0.25$ )	40.70( $\pm 1.53$ )
HG	-5.49( $\pm 0.58$ )	37.73( $\pm 2.80$ )	109.67( $\pm 2.63$ )	34.17( $\pm 0.08$ )	40.91( $\pm 0.48$ )
HG-L1	9.14( $\pm 0.18$ )	91.60( $\pm 0.96$ )	23.14( $\pm 0.08$ )	37.47( $\pm 0.18$ )	48.44( $\pm 0.57$ )
GHG	8.58( $\pm 0.19$ )	91.80( $\pm 0.92$ )	21.54( $\pm 0.15$ )	42.53( $\pm 0.22$ )	48.93( $\pm 0.54$ )
GHG-L1	9.77( $\pm 0.20$ )	92.08( $\pm 0.89$ )	14.16( $\pm 0.07$ )	41.08( $\pm 0.22$ )	46.98( $\pm 0.60$ )
OHG	<b>14.64</b> ( $\pm 0.23$ )	<b>92.75</b> ( $\pm 0.87$ )	<b>10.99</b> ( $\pm 0.21$ )	<b>73.90</b> ( $\pm 0.52$ )	<b>80.60</b> ( $\pm 0.59$ )

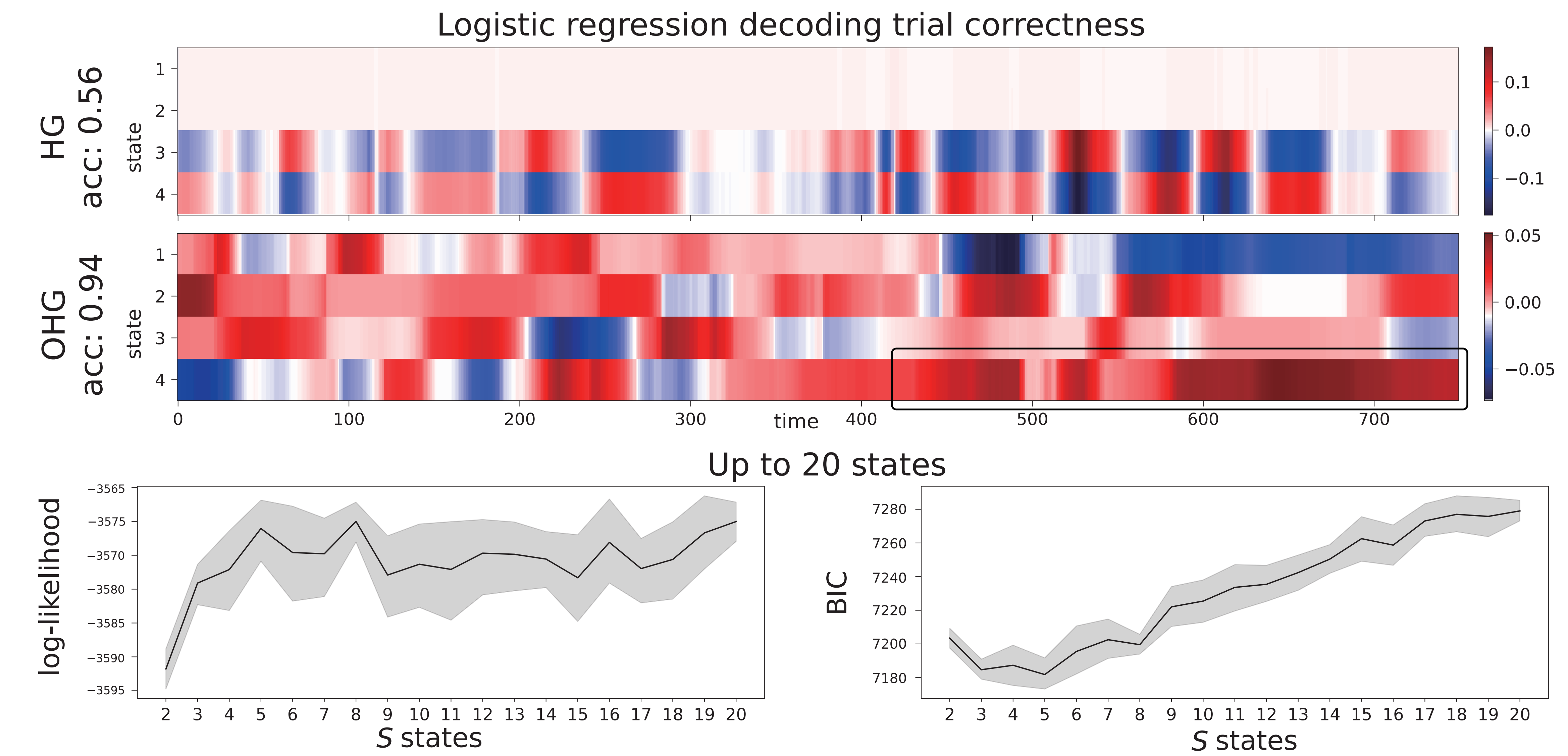


## Prefrontal cortex during a contingency task



- HG: fast switches, limited interpretability.
- GHG:  $S = 4$  states are assumed, but it only infers 2 effective states.
- OHG: 4 stable explainable states. **Red**: back to the root. **Green**: go to the turning point. **Orange**: reach a target. **Incorrect trial**: **blue** state. **Correct trial**: reward, **red** state.

method	2 states	3 states	4 states	5 states
HG	-37.30( $\pm 0.05$ )	-37.61( $\pm 0.17$ )	-37.22( $\pm 0.14$ )	-36.98( $\pm 0.19$ )
GHG	-37.17( $\pm 0.00$ )	-37.11( $\pm 0.01$ )	-37.12( $\pm 0.00$ )	-37.11( $\pm 0.00$ )
OHG	<b>-35.92</b> ( $\pm 0.02$ )	<b>-35.79</b> ( $\pm 0.02$ )	<b>-35.77</b> ( $\pm 0.03$ )	<b>-35.71</b> ( $\pm 0.03$ )



## Barrel cortex during whisking

