

$$V_{\text{eff}}^{\text{RPA}} = \frac{V_{\text{eff}}(q, \nu_m)}{1 + \Pi_0(q, \nu_m) V_{\text{eff}}(q, \nu_m)} \xrightarrow{m=n \Rightarrow i k_m}$$

$$V_{\text{eff}}(q, \nu_m) = V_C(q) \frac{(i \nu_m)^2}{(i \nu_m)^2 - \sqrt{2} q_\lambda}$$

$$V_{\text{eff}}^{\text{RPA}} = V_C(q) \left( \frac{(i \nu_m)^2}{(i \nu_m)^2 - r_{\text{ex}}^2} \right) \frac{1 + V_C(q) \frac{(i \nu_m)^2}{(i \nu_m)^2 - \sqrt{2} q_\lambda}}{1 + V_C(q) \Pi_0(q, i \nu_m)}$$

$$= \frac{V_C(q)}{1 + V_C(q) \Pi_0(q, i \nu_m)} \cdot \frac{(i \nu_m)^2}{(i \nu_m)^2 - \sqrt{2} q_\lambda} \left( \frac{1 + V_C \Pi_0}{1 + V_C \Pi_0} \right)$$

This can be considered

as  $V_C^{\text{RPA}}$  for Coulomb

point charge? → physically?

↔ screens  
the Coulomb  
pot.

→ this is  
renormalized

due to  
 $\frac{1}{1 + V_C \Pi_0}$  factor

$$= V_C^{\text{RPA}} \frac{(i \nu_m)^2}{(i \nu_m)^2 - W_{q_\lambda}^2(i \nu_m)} w_{q_\lambda}^{(r)} = \frac{\sqrt{2} q_\lambda}{\sqrt{1 + V_C \Pi_0}(q, i \nu_m)}$$

For small  $q \rightarrow$

$\Pi_0 \simeq \ell(E_F)$ , DOS at Fermi energy

$$\omega_{qX} \simeq \frac{\sqrt{2\lambda}}{\sqrt{1 + \frac{4\pi e^2}{q^2} \ell(E_F)}}$$

Even if we do approx on  $\sqrt{2}$  without considering  $q$ , the  $q$  is introduced in denominator.

→ screened ⇒ value is  $\downarrow$ ed here since the denominator is in the quantity and " $q$ " dependence is introduced in the denominator despite making an assumption of  $\sqrt{2}$  being  $q$ -independent.

$$V_{eff}^{RPA} = V_C^{RPA} \times \frac{(i\gamma_m)^2}{(i\gamma_m)^2 - \omega_{qX}^2(i\gamma_m)}$$

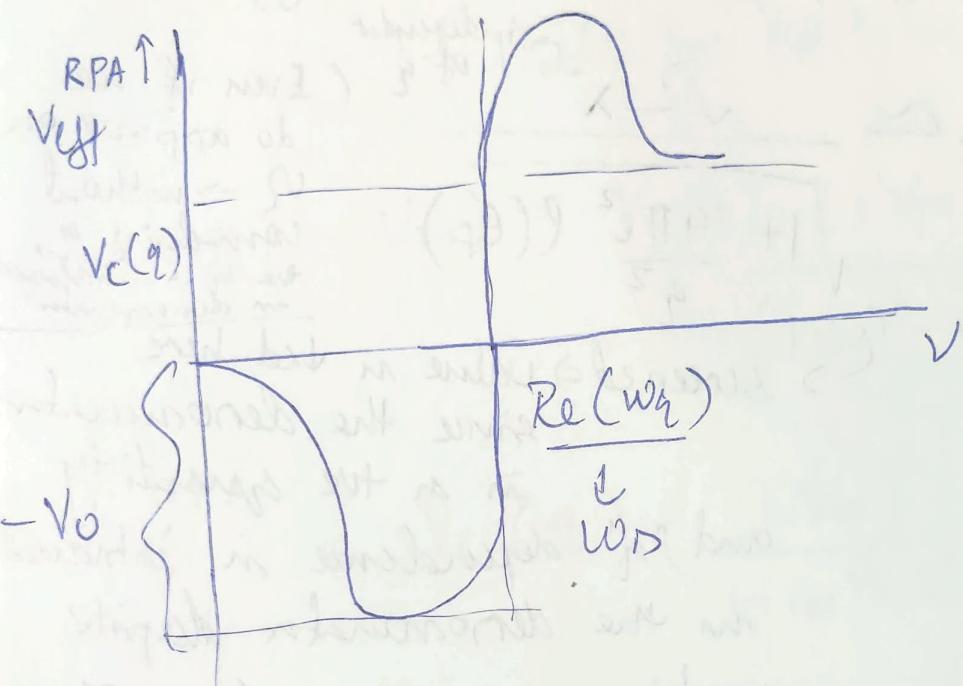
When we take the real part of  $V_{eff}^{RPA}$

its ~~real~~ imaginary part also contributes at slightly above the small  $q$  regime → This results in smearing ~~out~~ out the divergence.

a sizable amount of may is converted as real in the  $w_{qX}$  form.

Setup freq → is relatively higher (CDS)

In the slightly above the small  $q$  regime, we have attractive potential.



To understand the actual SC, you do not need to bother about freq.

In very crud approx  $\rightarrow$

$$V_{eff} = \begin{cases} -V_0 & \text{for } |\omega_n|, |\omega_n| < \omega_D \\ 0 & \text{otherwise} \end{cases}$$

~~(from  $\omega_D$ ) ??~~

$\omega_D \rightarrow$  higher than the ?? in SC

the high energy cut-off is from the  $\omega_D$

BCS-theory: weak coupling S.C

Shows that  $\rightarrow$  there's some sort of instability in C repulsion  
(tomorrow's discussion)

15th March 2024

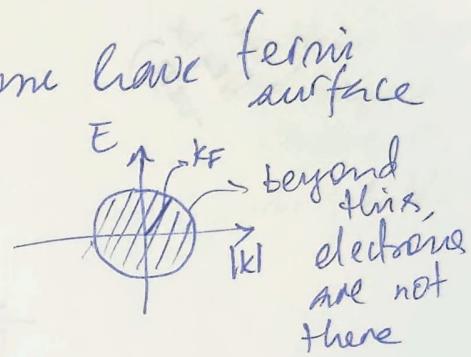
## cooper instability

$$V_{\text{eff}}^{\text{RPA}} \approx \begin{cases} -V_0 & \text{for } |k_n| < k_F \\ 0 & \text{otherwise} \end{cases}$$

for  $|k_n| < k_F$

otherwise

Without phonon interactions  $\rightarrow$  electrons have fermi surface



In this condition  
fermi surface gets destroyed  
due to attractive interaction  
in regime.

Consider scattering  $\beta e^+ 2 e^- \rightarrow$

$$\text{(ladder diagrams)} \quad \begin{array}{c} \xrightarrow{k, \omega_n} \\ \parallel \end{array} \quad + \quad \begin{array}{c} \parallel \\ \parallel \end{array} \quad + \dots$$

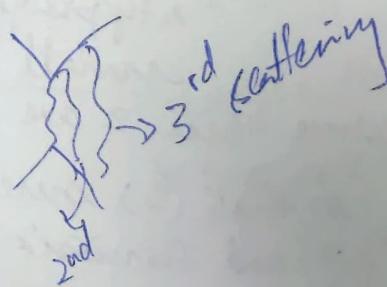
$$\begin{array}{c} \xrightarrow{k, \omega_n} \\ \parallel \end{array} \quad + \quad \begin{array}{c} \xrightarrow{k'_1, \omega'_1} \\ \parallel \end{array}$$

RPA approx  $\rightarrow$  involve several fermion loops

$$\begin{array}{c} \parallel \\ \parallel \end{array} = \begin{array}{c} \xrightarrow{k, \omega_n} \\ \parallel \end{array} + \begin{array}{c} \parallel \\ \parallel \end{array} + \dots$$

$$\begin{array}{c} \xrightarrow{k, \omega_n} \\ \parallel \end{array} + \begin{array}{c} \parallel \\ \parallel \end{array} + \dots$$

(ladder diagrams)

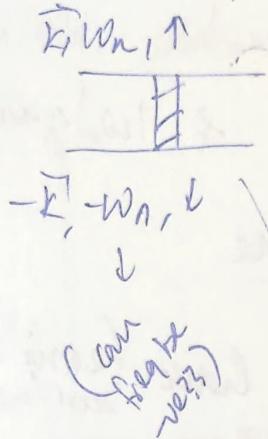


$$\boxed{\quad} = \{ [ 1 + \boxed{\quad} ] + \boxed{\quad} + \dots \}$$

(vertex correction  
in effective directions)

Given by  $\boxed{\quad}$

Now we're specifying things →



→ special case = for this case only, instability occurs

spins remain same.

$k$  &  $w$  → change and become opp for up down

→ whatever gained by one will be lost by another.

for the  $2e^-$  we're considering have opp momentum & spin

$$\begin{array}{c} \xrightarrow{k, w_n, \uparrow} \\ \xrightarrow{-k, w_n, \downarrow} \end{array} \begin{array}{l} = k \\ = -k \end{array}$$

$$\left\{ \begin{array}{l} k, w_n \\ -k, w_n \end{array} \right\} = 0$$

In random interaction

↳ freq doesn't change

~~random~~

But in phononic it does change due to which energy also changes.

$$\boxed{\quad} = \frac{-V_0}{1 - \frac{\int_{-\infty}^{\infty} k' w_n(k') \, dk'}{(2\pi)^2}}$$

$V_0 \rightarrow$  is atypically small no.  
since these 2 are fermions  
so this (-) comes  
and fermion's A.S

$$A = \frac{-V_0}{1 - \frac{(-1)}{P} \sum_{w_n} \int \frac{dk'}{(2\pi)^2} g_{k'}^{\uparrow}(w_n) g_{-k'}^{\downarrow}(-w_n) (-V_0)}$$

Instability occurs ( $\lambda \rightarrow \infty$ )

$$\frac{1}{\beta} \sum_{\omega_n'} \int \frac{d\vec{k}}{(2\pi)^3} G_{K'}^{\uparrow}(\omega_n') G_{K'}^{\downarrow}(-\omega_n') = \frac{1}{V_0}$$

$\Rightarrow$  Fermi surface is unstable

( $\hookrightarrow$  Proving this)

$V_0 \rightarrow -ve$   
then there's  
a regime  
where FS  
is stable?

$$\frac{1}{\beta} \sum_{\omega_n'} \int \frac{d\vec{k}}{(2\pi)^3} \frac{1}{i\omega_n' - \epsilon_{K'}} \cdot \frac{1}{i\omega_n' - \epsilon_{-K'}}$$

$$\omega_{K'} = \frac{\hbar^2 k'^2}{2m}, \quad -\epsilon_{WF} = \omega_{-K'} \\ \hookrightarrow \text{Why opp sign} \rightarrow k^2 ??$$

$$= -\frac{1}{\beta} \sum_{\omega_n'} \int \frac{d\vec{k}}{(2\pi)^3} \frac{1}{(i\omega_n' - \epsilon_{K'}) (i\omega_n' + \epsilon_{K'})}$$

( $\hookrightarrow$  The momentum integral

$k' \rightarrow 0 \text{ to } \infty$

depends on  $\epsilon_{K'}$

why?  $\epsilon_{WF}$  is very large  
 $\rightarrow$  larger than  
below energy

$\Rightarrow$  so we can convert  
it to  $\epsilon_{K'}$

DOS  $\rightarrow$  DOF  $\rightarrow$  DOS  
per unit energy  
per unit vol.

$$\textcircled{1} \quad \rightarrow = -\frac{1}{\beta} \sum_{\omega_n'} \int_{-\infty}^{\infty} d\epsilon_{K'} D(\epsilon_{WF}) \frac{1}{\epsilon_{K'}^2 + \omega_n'^2}$$

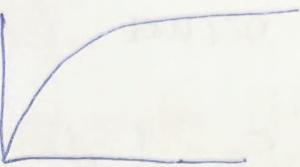
$\hookrightarrow$  DOS at  $\epsilon_{WF}$  since there's no  
angular dependency

(why?) then any  
interval in

If there had  
been ang. depen

$\rightarrow$  no angular  
integral

In principle  $\rightarrow$  ① DOS  $\rightarrow$



where we consider all DOS but here we're doing approx.

$$= \frac{1}{\beta} \int \frac{d\epsilon_{K'}}{\omega_{K'}^2 + \omega_n'^2} = \cancel{\text{mod}} \cdot \frac{\pi}{|\omega_n'|} \quad \begin{array}{l} \text{mod since} \\ \omega_n' \text{ can} \\ \text{be true or} \\ -\text{ve so} \\ \text{we're} \\ \text{putting mod} \end{array}$$

$$\Rightarrow = \frac{1}{\beta} \sum_{n'} \int_{-\infty}^{\infty} d\epsilon_{K'} D(\text{WF}) \frac{1}{\omega_{K'}^2 + \omega_n'^2}$$

$\uparrow$   
DOS at WF

$$= D(\text{WF}) \frac{\pi}{\beta} \sum_{n'} \frac{1}{|\omega_n'|}$$

$\Rightarrow$  Invertibility to occur

$$\pi V_0 D(\text{WF}) \frac{1}{\beta} \sum_{n'} \frac{1}{|\omega_n'|} = 1$$

$\omega_n \rightarrow$  freq of fermions =  $\frac{\pi}{\beta} (2n+1)$   
 $=$  fermionic discrete freq

$$\Rightarrow \pi V_0 D(\text{WF}) \frac{1}{\beta} \sum_{n'} \frac{1}{\frac{2\pi}{\beta} |n'| + \frac{1}{2}} = 1$$

$|n + \frac{1}{2}| \rightarrow$  symmetric &  $\omega_n \rightarrow$  can be from  $\alpha$  to  $-\alpha$   
 but due to cut-off freq, i.e.,  $|\omega_n| < \omega_D$   
 $\rightarrow$  we get cut-off freq.

$$V_0 D(\omega_F) \sum_{n \geq 0}^{\text{BW } \delta/2\pi} \frac{1}{n + \frac{1}{2}} = 1$$

antisymmetry  
small no.

$$V_0 D(\omega_F) \sum_{n \geq 0}^{\text{BW } \delta/2\pi} \frac{1}{n + \frac{1}{2}} = 1$$

$$\omega_D = \frac{\pi}{B} (2n_{\max} + 1)$$

neglected  
since  
 $2n_{\max} \gg 1$

$$\omega_D = \frac{\pi}{B} 2n_{\max}$$

$\hookrightarrow$  find result:

$$V_0 D(\omega_F) \left[ \gamma + \ln \left( 4 \frac{\omega_D}{2\pi} \right) \right] = 1$$

$\hookrightarrow$  Euler constant

$\hookrightarrow$  correction term

since we're  
not going  
upto  $\infty$ .

$$V_0 D(\omega_F) \ln \left( 4 \frac{e^\gamma \omega_D}{2\pi} \right) = 1$$

$$V_0 D(\omega_F) \ln \left( 2e^\gamma \frac{\omega_D}{\pi} \right) = 1 \quad \left| \begin{array}{l} \beta = \frac{1}{k_B T} \end{array} \right.$$

$$\hookrightarrow \text{put } \beta_c = \frac{1}{k_B T_c}$$

in order to see  
up to which  
temp this  
holds.

$$\ln \left( \frac{2e^\gamma \omega_D}{\pi k_B T_c} \right) = \frac{1}{V_0 D(\omega_F)}$$

$$k_B T_C = \frac{2\pi e^2}{T} W_D e^{-1/V_0 D(W_F)}$$

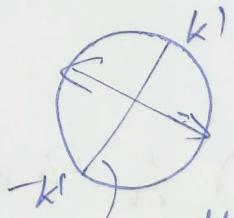
No show  
This  
is  
to  
make  
the  
change  
with  
V\_0

$\hookrightarrow$  is what we have found in a temp which is consistent with the value of  $V_0$  (and however small it can be)

With  $V_0$  becoming smaller & smaller, the temp  $T_C$  also becomes smaller ( $T_C$ )  
so, then we find a Temp where the FS becomes unstable for the case where e-e attraction inter. occurs/ regime.

Up to a few Kelvin, the FS is unstable.

Let's say proper choice FS is unstable, so now what happens  $\rightarrow$

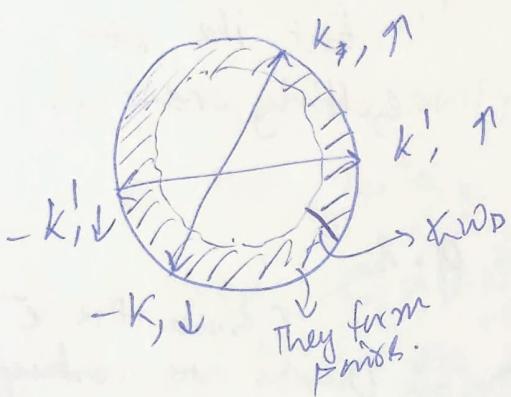


exactly opp.  
momenta  
 $\Rightarrow$  so this is  
done very  
coherently.  
(Art??)

Next class: PI formulation of this theory

This class includes discussion of BCS theory  $\rightarrow$  physical intuition.

FS is unstable below certain temp if the effective interaction is attractive (at least up to a certain energy of  $e^-$ )



Since there's opp. mom. this implies there's a pair formation since this mom. pair is intact.

And also, all  $e^-$  can form their respective pairs but only with particular  $e^-$  which have opp. mom.  $e^-$  on FS cannot form pairs.

Only  $e^-$  in the same of  $w_F$  can form pairs. Deep inside FS cannot form pairs  $e^-$  that lie near the FS conduct  $e^-$  since they use the  $e^-$  that can be excited quickly.

$e^-$  in the scale of  $w_F \ll w_F$

\* Conclusion:  $e^-$  with opp. spin & momenta form pairs when ~~they are close~~ their energies are close to  $w_F$  within the window of  $k_F$

Ground state in case of FS  $\rightarrow \langle c_k^+ c_k \rangle \neq 0$

○  $\rightarrow$  FS goes  $\uparrow$  (since for every mom. there's an electron)

$$\langle c_k c_{-k} \rangle = 0$$

$$\begin{matrix} n_{k\uparrow} \\ = \text{no. op} \end{matrix} \xleftarrow{\text{for } k\uparrow} \langle c_{k\uparrow}^+ c_{k\uparrow} \rangle \neq 0$$

$$\langle c_{k\uparrow}^+ c_{-k\downarrow} \rangle_{FS} = 0 \rightarrow \text{Why?}$$

$c_{-k\downarrow} \rightarrow$  destroys

but it's not  
getting created.

$\hookrightarrow$  this is opp

for Cooper incompatibility

$$B.C.S \text{ in } C.I.S \equiv B.C.S$$

This state  
is called  
S.C state

$$\leftarrow \langle c_{k\uparrow}^+ c_{-k\downarrow} \rangle \neq 0$$

(since the  $c$   
are making  
pairs  
with opp.  
mom &  
opp spin)

$$\langle c_{k\uparrow}^+ c_{k\downarrow} \rangle = 0$$

B.C.S

$\hookrightarrow$  (no. op is not  
conserved)  $\rightarrow$  v. N. Trivial  
result.

With this understanding,

\* WFs were written.

WF<sup>n</sup> for fermi gas of  $e^-$  (with FS)

$$|FS\rangle = \prod_{k\in G} c_{k\uparrow}^+ c_{k\downarrow} |0\rangle$$

(in momentum  
space)

With this analogy  $\rightarrow$  BCS came up with the  
WF<sup>n</sup> for BCS state  
(thin in  
not very  
simple)

$$|BCS\rangle = \prod_k (u_k + v_k c_{k\uparrow}^+ c_{-k\downarrow}) |0\rangle$$

This is a variational WF<sup>n</sup> since there are 2 params  $u_k$  &  $v_k$  → these are prob amp  
 $u_k^2 + v_k^2 = 1$   
 $v_k^2 \rightarrow$  prob of creating a pair for  $k$ -state  
 $u_k^2 \rightarrow$  prob of not creating a pair for  $k$ -state.  
is, what is unknown in the  $\theta_{nk}$  param  
and then what they did is  
→ you have the complete hamiltonian & then the exp val of BCS state and minimize this exp val to find  $u_k$

$$\langle BCS | H | BCS \rangle = E_{BCS} \rightarrow \text{minimize it to find } u_k$$

but what is this Hamiltonian? for S.C

↳ the basic form

→ do the minimal Hamiltonian for SC is  $H$

~~(in momentum space)~~

$$H = \int d\vec{r} \psi^+(r) \left[ \frac{(-\hbar^2 \nabla^2 - e\vec{A})^2}{2m} \right] \psi(r)$$

whatever  $V_0$  was have  $g$  for that (but there's diff since  $g$  is in  $c$ -space &  $V_0$  in  $k$ -space for temp=0 → its WF=M)

$$H = \sum \int d\vec{r} \psi_0^+(r) \left[ \frac{(\vec{p} - e\vec{A})^2}{2m} - M \right] \psi_0(r) + \int d\vec{r} (-g) \psi_1^+(r) \psi_1^+(r) \psi_1(r) \psi_1(r)$$

attractive pot.

chemical pot  
since we're doing at finite temp

$$H = \sum_{\sigma} \int d\vec{r} \psi_{\sigma}^+(r) \left[ \frac{(\vec{p} - e\vec{A})^2}{2m} - \mu \right] \psi_{\sigma}$$

$$+ \int d\vec{r} (-g) \psi_{\uparrow}^+(r) \psi_{\downarrow}^+(r) \psi_{\downarrow}(r) \psi_{\uparrow}(r)$$

⇒ The  $\vec{e}$  are at the  
same pt in physical  
space but in  $k$ -space,  
they are far apart.  
Since the  $\vec{p}$  are constant  
as that's why  
they're not  
far apart  
thus their relative diff is zero  
⇒ no same pt.

5<sup>th</sup>, 21<sup>st</sup> → Revision class      11<sup>th</sup> holiday, 2<sup>nd</sup>  
 22, 28 → class<sup>5</sup>  
 & 4<sup>th</sup> class      10<sup>th</sup> → project submission  
 12<sup>th</sup> → presentation.

End-sem → derivations

21<sup>st</sup> March 2024 (Doubt clearing session)

$$H = \sum_k \epsilon_k c_k^+ c_k + \frac{e}{2m} \sum_q \vec{A}_q (\vec{R} + \frac{\vec{q}}{2}) c_{k+q}^+ c_k$$

$$G_K^0 = \frac{1}{i\omega - \epsilon_k} \Rightarrow$$

$$+ \frac{e^2}{2m} \sum_q \vec{A}_q \vec{A}_{-q}$$

Conductivity

$$\vec{J} = \sigma \vec{E} = -\sigma \frac{\partial \vec{A}}{\partial t} \quad \left| \vec{J}_q = i\omega \vec{A}_q \right. \quad \left| \vec{J}_q = \frac{\partial H}{\partial A_q} \right.$$

$$\gamma_{\alpha} \left( \vec{k} + \frac{\vec{q}}{2} \right)$$

(Ask for these)  
diagrams from Fierzant

$H = \sum_k \omega_k c_k^+ c_k + \sum_q \varphi_q \hat{c}_q$ ,  $\hat{c}_q = \sum_k c_{k+q} c_k^+$

Hamiltonian is linear  
std density op.

scalar potential  $\varphi_q$

we have to calculate density-density  $\langle F(\Pi_0(q)) \rangle$

$$H_{\text{eff}} = \frac{1}{2} \sum_q \varphi_q \Pi_0(q) \varphi_{-q}$$

$\Pi_0 = \int d\vec{k} g_{k+q} g_k^*$

$$\Pi_0(q) = \langle \hat{c}_q \hat{c}_{-q} \rangle = \sum_{k_1, k_2} \langle c_{k_1}^+ c_{k_1} | c_{k_2+q}^+ c_{k_2} \rangle$$

linear response theory - up to quadratic terms

↳ this is for small perturbation.

$$\sum_q \varphi_{-q} \hat{c}_q \rightarrow \sum_k \frac{\hat{c}_k}{\varphi_q} \quad (\text{interaction vertex})$$

$$(c_{k_1+q}^+ c_{k_1}) (c_{k_2+q}^+ c_{k_2})$$

$\langle c_q \rangle \langle c_{-q} \rangle$  ↳ this is trivial

↳ no subtlety from  $\langle \hat{c}_q \hat{c}_q \rangle$

$$\langle \hat{c}_q \hat{c}_q \rangle - \langle c_q \rangle \langle c_{-q} \rangle = \sum_{k_1, k_2} \langle c_{k_1+q}^+ c_{k_1} | c_{k_2+q}^+ c_{k_2} \rangle$$

$$= \sum_{K_1, K_2} G_{K_2} \delta_{K_2, K_1 + Q} G_{K_1} \delta_{K_1, K_2 - Q} = \sum_{K_1} G_{K_1} G_{K_1 + Q}$$

$$G_K = \langle (T_{KK} G_K) \rangle \rightarrow \text{G.F's defn}$$

$\propto \sum_{K_1, K_2} \langle G_{K_1 + Q} G_{K_1} G_{K_2 - Q} G_{K_2} \rangle$

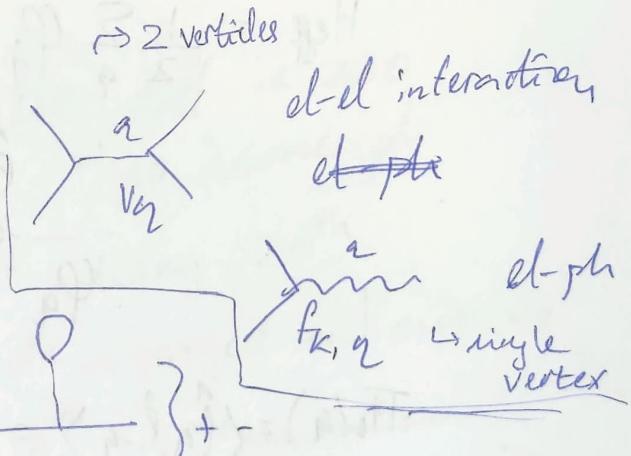
(because we have a -ve sign)

we also have a -ve in this  $\Rightarrow \int d^D k \delta_{K_2} G_K$

interaction  
How the phonon changes  
the el-el interaction

use it for  
fermions.

$$G_R^0 = \rightarrow D_{ph}^0 m$$



$$G_e \equiv \rightarrow + \left\{ \begin{array}{c} \text{loop} \\ + \\ \text{no loop} \end{array} \right\}_{+-}$$

$\downarrow$   
We can't have this for  $G_e$  since it has single vertex.

$\rightarrow$  phonon's LSF

$$D_{ph} = m + m \text{ loop}$$

$\downarrow$   
effects of el interactions on phonon interaction

$$+ m \text{ loop}$$

$$+ m \text{ loop}$$

22nd March 2024

The minimal Hamiltonian ( $k$ -space) for a S.C

$$H = \sum_{k_1, k_2} \underbrace{g_k c_{k_1}^+ c_{k_2}}_{c_k = \frac{\hbar^2 k^2}{2m} - M} - g \sum_k c_{k\uparrow}^+ c_{-k\downarrow}^+ c_{-k\downarrow} c_{k\uparrow}$$

coupling strength → Minimal Hamiltonian to describe a S.C

Formation of Cooper Pairs  $\Rightarrow$  New G.S (of S.C state)

$$\Leftrightarrow \langle c_{k\uparrow} c_{-k\downarrow} \rangle \neq 0$$

$$\langle c_{k\uparrow}^+ c_{-k\downarrow}^+ \rangle \neq 0$$

$$- g \langle c_{k\uparrow}^+ c_{-k\downarrow}^+ \rangle = \Delta_k \xrightarrow{\text{its physical interpretation} \rightarrow \text{we will come later}}$$

$$- g \langle c_{k\downarrow} c_{k\uparrow} \rangle = \Delta_k$$

problems arise since it has 4 operators

→ its diagonalized

$$(c_1^+ c_2^+) \begin{pmatrix} \omega_{k_1} & 0 \\ 0 & \omega_{k_2} \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$$

Note: If we have the form of  $c_{k\downarrow} c_{k\uparrow}$  then it means its diagonalized

$$AB = \{ \langle A \rangle + \Delta A \} \{ \langle B \rangle + \Delta B \}$$

$$\simeq \langle A \rangle \langle B \rangle + \langle A \rangle \Delta B$$

$$AB \simeq \langle A \rangle B + A \langle B \rangle - \langle A \rangle \langle B \rangle \quad (\text{what does it give?})$$

$$\langle AB \rangle = \langle A \rangle \langle B \rangle + \text{fluctuations}$$

$$\Rightarrow \langle \Delta B \rangle = 0$$

$$\langle AB \rangle = \langle (A + \Delta A)(B + \Delta B) \rangle = \overline{AB} + \overline{A} \times 0 + \overline{B} \times 0 + \langle \Delta A \Delta B \rangle$$

In  $A \sim B$  → we're ignoring fluctuations.

$$-g (c_{k\downarrow}^+ c_{k\downarrow}^+ c_{k\uparrow} c_{k\uparrow}) \approx -g (c_{k\uparrow}^+ c_{-k\downarrow}^+ c_{-k\downarrow} c_{k\uparrow} + c_{k\uparrow}^+ c_{-k\downarrow}^+ c_{-k\downarrow} c_{k\uparrow} - c_{k\uparrow}^+ c_{-k\downarrow}^+ c_{-k\downarrow} c_{k\uparrow})$$

$$= \Delta_K^+ c_{-k\downarrow} c_{k\uparrow} + \Delta_K c_{k\uparrow} c_{-k\downarrow} + 1 \Delta_K^2$$

$$H_{\text{eff}} = \sum_K \left[ \epsilon_K (c_{k\uparrow}^+ c_{k\uparrow} + c_{k\downarrow}^+ c_{k\downarrow}) \right]$$

$$+ \Delta_K^+ c_{-k\downarrow} c_{k\uparrow} + \Delta_K c_{k\uparrow}^+ c_{-k\downarrow}$$

↙ (↑ Note a fermion operator you can't multiply)  
↙ What happened to  $\Delta_K^2$ ,  $\Delta_K$ ?

This is not still diagonalizable  
~~since we don't have the form~~  
 ~~$c_k^\dagger c_k$~~

Since we do not have the form of  $c_{k\downarrow}^+ c_{k\downarrow}$

What about  $c_{k\downarrow}^+ c_{k\downarrow} c_{k\uparrow}^2$ ?  
→ this gives additionally  
→  $c_{k\uparrow}^+ \Delta_K c_{-k\downarrow}^+$   
→  $c_{k\downarrow}^+ c_{k\downarrow} c_{-k\downarrow}^+$

$$\rightarrow = \sum_K (c_{k\uparrow}^+, c_{-k\downarrow}^+) \begin{pmatrix} \epsilon_K & \Delta_K \\ \Delta_K^+ & -\epsilon_K \end{pmatrix} \begin{pmatrix} c_{k\uparrow} \\ c_{-k\downarrow} \end{pmatrix}$$

Now we diagonalized it.

$$\begin{pmatrix} \epsilon_K & \Delta_K \\ \Delta_K^+ & -\epsilon_K \end{pmatrix} \begin{pmatrix} c_{k\uparrow} \\ c_{-k\downarrow} \end{pmatrix} = 0 \quad ; \quad \lambda = \pm \sqrt{\epsilon_K^2 + \Delta_K^2} \\ = \pm E_K$$

$$a^2 + b^2 = 1 \rightarrow \begin{pmatrix} \cos\theta_K & \sin\theta_K \\ \sin\theta_K & -\cos\theta_K \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = E_K \begin{pmatrix} a \\ b \end{pmatrix}$$

$$(\cos\theta_K a + \sin\theta_K b = E_K a) \times b$$

$$(\sin\theta_K a - \cos\theta_K b = E_K b) \times a$$

$$\cos\theta_K ab + \sin\theta_K b^2 = E_K ab$$

$$\Delta_K^* a^2 - \cos\theta_K b a = E_K ab$$

$$(E_K - \cos\theta_K) \cos\theta_K = \Delta_K \sin\theta_K$$

$$(E_K + \cos\theta_K) \sin\theta_K = \Delta_K^* \cos\theta_K$$

$$\Delta_K^* a^2 + \Delta_K b^2 = 2E_K ab$$

$$\Delta_K^* (a^2) + \Delta_K (1-a^2) = 2E_K ab$$

$$\Delta_K + a^2 (\Delta_K^* - \Delta_K) = 2E_K ab$$

$$\Delta_K + a^2 (\Delta_K^* - \Delta_K) = 2E_K a \sqrt{1-a^2}$$

$$\Delta_K + a^2 (\Delta_K^* - \Delta_K) = 2a \sqrt{1-a^2} E_K$$

$$a = \cos\theta_K, b = \sin\theta_K$$

$$\begin{aligned} \Delta_K + a^2 \cos\theta_K (\Delta_K^* - \Delta_K) \\ = 2 \cos\theta_K (\cos\theta_K)^2 \\ = 2 \cos^2\theta_K \sin\theta_K E_K \end{aligned}$$

lets do this for real

Instead of complex  $\rightarrow \tan\theta_K = \frac{E_K - \cos\theta_K}{\sin\theta_K}$

$$\tan\theta_K = \frac{\cancel{\cos\theta_K}}{E_K + \cos\theta_K}$$

~~kg~~

$$\omega^2 \theta_K = 1 + \frac{\Delta_k^2}{(E_k + \zeta_k)^2} = \frac{(E_k + \zeta_k)^2 + \Delta_k^2}{(E_k + \zeta_k)^2} = \frac{2E_k^2 + 2E_k \zeta_k + \Delta_k^2}{(E_k + \zeta_k)^2}$$

$$\omega^2 \theta_K = \frac{(E_k + \zeta_k)^2}{2E_k(E_k + \zeta_k)} = \frac{E_k + \zeta_k}{2E_k}$$

$\zeta_k$

$$\left\{ \begin{array}{l} \omega \theta_K = \pm \frac{1}{\sqrt{2}} \sqrt{1 + \frac{\zeta_k}{E_k}} \\ \sin \theta_K = \pm \frac{1}{\sqrt{2}} \sqrt{1 - \frac{\zeta_k}{E_k}} \end{array} \right.$$

for  $\lambda = -E_k$ ,

$$= \pm \frac{1}{\sqrt{2}} \sqrt{1 - \frac{\zeta_k}{E_k}}$$

$\downarrow$   
for  $\lambda = E_k$

$= V_k$

$$= \pm \frac{1}{\sqrt{2}} \sqrt{1 + \frac{\zeta_k}{E_k}}$$

We have taken  $\tan \theta_K = \frac{\Delta_k}{E_k + \zeta_k}$

$$\tan \theta_K = \pm \sqrt{\frac{1 - \zeta_k/E_k}{1 + \zeta_k/E_k}}$$

$$= \pm \frac{\sqrt{(1 - \zeta_k/E_k)^2}}{1 - \zeta_k^2/E_k^2}$$

$$= \pm \frac{1 - \zeta_k/E_k}{\Delta_k} \times E_k = \pm \frac{E_k - \zeta_k}{\Delta_k}$$

for this, we take  $\cos \theta_K$   
~~to be +ve~~, then what should  
 be the sign of  $\sin \theta_K$ ?

$\downarrow$  here to be +ve

$\frac{-}{+}$

$\zeta_k$  in eigenstate of  $H_{eff}$ )

$$H_{eff} = \begin{pmatrix} \alpha_k^+ & \beta_k^* \end{pmatrix} \begin{pmatrix} E_k & 0 \\ 0 & -E_k \end{pmatrix} \begin{pmatrix} \alpha_k^- & \\ \beta_k^+ & \end{pmatrix}$$

$$\Delta_k = \zeta_k C_k + V_k C_{-k}$$

clear from here.

$$\beta_K = ?$$

$$\begin{pmatrix} \omega_K & \Delta K \\ \Delta K & -\omega_K \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = -E_K \begin{pmatrix} a \\ b \end{pmatrix}$$

$$\omega_K a + \Delta K b = -E_K a \Rightarrow a(\omega_K + E_K) + \Delta K b = 0$$

$$\Delta K a - \omega_K b = -E_K b \Rightarrow b(E_K - \omega_K) + \Delta K a = 0$$

$$\text{let } a = \omega_K \theta_K, b = \sin \theta_K$$

$$(\omega_K + E_K) \omega_K \theta_K + \Delta K \sin \theta_K = 0 \rightarrow \tan \theta_K = -\frac{\omega_K + E_K}{\Delta K}$$

$$(E_K - \omega_K) \sin \theta_K + \Delta K \cos \theta_K = 0$$

$$\tan \theta_K = -\frac{\Delta K}{E_K - \omega_K}$$

$$\tan \theta_K = \frac{b}{a}$$

$$\sec^2 \theta_K = 1 + \frac{\Delta K^2}{(\omega_K - E_K)^2}$$

$$= \frac{2E_K(E_K - \omega_K)}{(\omega_K - E_K)^2}$$

$$= \frac{\Delta K}{\omega_K - E_K} = -\frac{(\omega_K + E_K)}{\Delta K}$$

- ①

$$= \frac{2E_K}{E_K - \omega_K} \Rightarrow \sec \theta_K = \pm \sqrt{\frac{2E_K}{E_K - \omega_K}}$$

$$\tan \theta_K = \mp \sqrt{\frac{1 + \omega_K/E_K}{1 - \omega_K/E_K}}$$

Which of these signs combination satisfy ①

$$\cos \theta_K = \pm \frac{1}{\sqrt{2}} \sqrt{1 - \omega_K/E_K}$$

$$\sin \theta = \pm \frac{1}{\sqrt{2}} \sqrt{1 + \omega_K/E_K}$$

$$= \mp \frac{E_K}{\Delta K} \left( 1 + \frac{\omega_K}{E_K} \right) = \mp \frac{(E_K + \omega_K)}{\Delta K}$$

$$\therefore \beta_K = -\omega_K (C_K + U_K C_{-K}) \quad (\text{where?})$$

$\cos \theta_K$  &  
sign

should be opp. sign

$$= \pm \frac{1}{\sqrt{2}} \sqrt{1 - \frac{1}{2} \left( 1 + \frac{\omega_K}{E_K} \right)}$$

$$H_{\text{eff}} = \sum_k E_k \left( \alpha_k^+ \alpha_k - \beta_k \beta_k^+ \right) = \sum_k E_k (\alpha_k^+ \alpha_k + \beta_k^+ \beta_k)$$

$\downarrow$   
( $\alpha_k^+$ )

$$\{c_k^\dagger, c_{k'}^\dagger\} = 1$$

since these are fermion op.

$$\{c_k^\dagger, c_{-k'}^\dagger\} = 0$$

↳ what these correspond to  $\alpha_k$  &  $\beta_k$ ?

here, we ignore 1.

$$\beta_k \beta_k^+ = 1 - \beta_k^2$$

since the result is independent of fermions only constant

fermionic op?  
since they're never net of op.

$$\{\alpha_k, \alpha_k^+\} = \{u_k c_k^\dagger + v_k (-k) c_{-k}^\dagger, u_k c_k^\dagger + v_k (-k) c_{-k}^\dagger\}$$

only these 2 terms  $\rightarrow$  non-zero

$$= u_k^2 + v_k^2 = 1$$

$$\begin{aligned} & \{u_k^2 c_k^\dagger c_k^\dagger + v_k^2 c_{-k}^\dagger c_{-k}^\dagger\} \\ &= u_k^2 - v_k^2 c_k^\dagger c_{-k}^\dagger + v_k^2 c_{-k}^\dagger c_{-k}^\dagger \end{aligned}$$

I want to expand from here

$$\{\beta_k, \beta_k^+\} = \{-v_k c_k^\dagger + u_k c_{-k}^\dagger, -v_k c_k^\dagger + u_k c_{-k}^\dagger\}$$

$$= u_k^2 + v_k^2 = 1$$

$\alpha_k, \beta_k \rightarrow$  Bogoliubov  
quasiparticles

Are  $\alpha_k$  &  $\beta_k$  independent? If yes,  $\{\alpha_k, \beta_k^+\} = 0$

$$\{\alpha_k, \beta_k^+\} = \{u_k c_k^\dagger + v_k c_{-k}^\dagger,$$

$$-v_k c_k^\dagger + u_k c_{-k}^\dagger\}$$

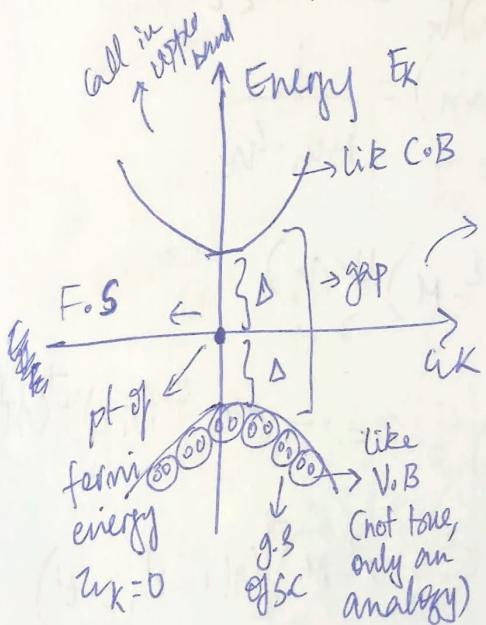
We still have  
2 diff. fermions  
with energy  $E_k$

$$\left\{ -v_k c_k^\dagger + u_k c_{-k}^\dagger \right\} = -u_k v_k + u_k v_k = 0 \quad (\text{they are not independent?})$$

In BCS  $\rightarrow$  the fermions are ~~not~~ not there but rather the particles are a combination of e & hole (both are of opp spins)

$$\rightarrow H = E_C + H_{\text{eff}}$$

$\hookrightarrow$  g.s energy for condensate but we're not interested in finding g.s, instead we want to know the energy is affected due to this new set of particles.



$$E_K = \pm \sqrt{U_K^2 + \Delta_K^2} \equiv \pm \sqrt{U_K^2 + \Delta^2}$$

six k-independent

What if the min energy of  $H_{\text{eff}}$ ?  $\rightarrow U_K$  can be zero (why?)  
↓ Fermi energy (like??)

In FS  $\rightarrow$  all states are occupied.

But here we have gap. (not FS not at 0??)

Binding energy

So what is the S.C. case? In lower band, we need  $2\Delta$  to break the pairs. In UB, we get a quasi-particle & in LB  $\rightarrow$  we get a quasi-hole

$$\Delta_K = -g \langle G_{K\downarrow} C_{K\uparrow} \rangle$$

$$(G_K = U_K C_{K\uparrow} + V_K C_{-K\downarrow}) V_K \rightarrow V_K \Delta_K = U_K V_K C_{K\uparrow} + V_K^2 C_{-K\downarrow}^+$$

$$(B_K = -V_K C_{K\uparrow} + U_K C_{-K\downarrow}) U_K \rightarrow U_K B_K = U_K V_K C_{K\uparrow} + U_K^2 C_{-K\downarrow}^+$$

$$\underline{V_K \Delta_K + U_K B_K = G_K^+}$$

$$C_{-K\downarrow} = V_K \Delta_K^+ + U_K B_K^+$$

$$C_{K\uparrow} = U_K \Delta_K^+ - V_K B_K^+$$

$$X_K = U_K C_{K\uparrow} + V_K^2 \Delta_K + U_K B_K V_K$$

$$\Delta_K (1 + V_K^2) - U_K V_K B_K = C_{K\uparrow}$$

$$\Delta_K = -g \langle (V_K \Delta_K^+ + U_K B_K^+) (U_K \Delta_K^+ - V_K B_K^+) \rangle$$

(we will do it in next class)

$$H_{\text{eff}} = \sum_k \left[ \epsilon_k (c_{k\uparrow}^\dagger c_{k\uparrow} + c_{k\downarrow}^\dagger c_{k\downarrow}) + \Delta_k (c_{k\uparrow}^\dagger c_{-k\downarrow} + c_{-k\downarrow}^\dagger c_{k\uparrow}) \right]$$

$$= \sum_k (c_{k\uparrow}^\dagger c_{-k\downarrow}) \begin{bmatrix} \omega_k & \Delta_k \\ \Delta_k & -\epsilon_k \end{bmatrix} \begin{pmatrix} c_{k\uparrow} \\ c_{-k\downarrow} \end{pmatrix}$$

$$H_0 = \sum_k \epsilon_k c_k^\dagger c_k \rightarrow G_K(w_n) = \frac{1}{i\omega_n - \epsilon_k}$$

$$\rightarrow \int d\vec{r} \Psi^*(\vec{r}) \left( -\frac{\hbar^2}{2m} \nabla^2 - M \right) \Psi(\vec{r})$$

$$\hookrightarrow g(r-r', t-t') = ? = -\langle T_C \Psi(r, t) \Psi^*(r', t') \rangle$$

$$[i\hbar - \left( -\frac{\hbar^2}{2m} \nabla^2 - M \right)] g(r-r', t-t')$$

$$= \delta(r-r') \delta(t-t')$$

FT in time

$$\hookrightarrow g_K(z-z') = \langle T_C (c(z) c^+(z')) \rangle$$

In imag time  $\rightarrow$

$$[i\omega_K z - \left( -\frac{\hbar^2}{2m} \nabla^2 - M \right)] g(r-r', z-z')$$

$$= \delta(r-r') \delta(z-z')$$

F.T

$$(i\omega_n - \epsilon_K) g_K(\omega) = 1$$

$$G_0 F \text{ for } H_{\text{eff}} \rightarrow [i\omega_n I_{2 \times 2} - \Delta_K] g_1(K, \omega_n) = I_{2 \times 2}$$

$$[i\omega_n \sigma_0 - \epsilon_K \sigma_3 - \Delta_K \sigma_1] g_1(K, \omega_n) = \sigma_0$$

$$g_1(K, \omega_n) = [i\omega_n \sigma_0 - \epsilon_K \sigma_3 - \Delta \sigma_1]^{-1}$$

$$G_{KK}(T-T') = -\langle T_C c_K(T) c_K(T') \rangle$$

$$G_{K\bar{K}}(T-T') = -\langle T_C \left[ \frac{c_{K\uparrow}(T)c_{K\downarrow}^\dagger(T)}{c_{K\downarrow}c_{K\uparrow}} \right] \frac{c_{K\uparrow}(T')c_{K\downarrow}^\dagger(T')}{c_{K\downarrow}c_{K\uparrow}} \rangle$$

$$\downarrow \quad \left( \begin{array}{cc} c_{K\uparrow} & c_{K\downarrow} \\ c_{K\downarrow} & c_{K\uparrow} \end{array} \right) \left( \begin{array}{cc} c_{K\uparrow} & (-K_L) \\ c_{K\downarrow} & c_{K\uparrow} \end{array} \right)$$

is a matrix  
→ write it  
in T-space

why not following  
T.O were???

→ Anomalous  
U.F  
since both  
C-C involved

$\uparrow$  both  $\uparrow$  &  $\downarrow$  same

$$= \left\{ \begin{array}{l} G_K(T-T') \\ F_K^+(T-T') \end{array} \right\}$$

$$F_K(T-T')$$

$$G_{K\bar{K}}(T-T')$$

↳ hole-like U.F

normal  
U.F =  $\delta$

U.F

$$\text{T.O.: } \langle T_C c_K(T_1) c_K^\dagger(T_2) \rangle$$

$$\downarrow = c_K \langle T_C c_K^+(T_2) \otimes (T_1 - T_2) \rangle$$

$$= c_K^+(T_2) c_K(T_1) \otimes (T_2 - T_1)$$

includes  
both  
retarded  
& advanced  
U.F contributions

non-zero  
⇒ which is  
why we're  
finding exotic  
properties  
of S.C

$$\rho = s < q \quad \text{not} \quad q < \rho$$

$$\left( \frac{\gamma - \beta}{\beta} \right) = \left( \frac{\gamma}{\beta} - \frac{\beta}{\beta} \right) = \frac{\gamma}{\beta}$$

$$\left( \frac{\beta - q}{q} \right) \frac{1}{\beta} =$$