

## QCD and Monte Carlo event generators

Monte Carlo course seminar - Milan, February 2021



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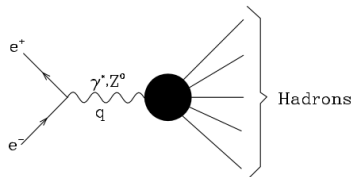


- ① Hadron collisions and strong interactions
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  - Renormalization group
  - IR divergences
- ② MC and Parton Showers
  - Factorization theorem
  - Final state radiation
  - Initial state radiation
- ③ Hadronization: some basics

# Strong interactions

QCD from  $e^+e^-$  annihilation

Quantum Chromodynamics (QCD)  $\rightarrow$  theory describing the interaction between quarks and gluons (strong interactions)



QCD arises already from  $e^+e^-$  annihilation  $\rightarrow R_0$  ratio

$$R_0 = \frac{\sigma(\gamma^* \rightarrow \text{hadrons})}{\sigma(\gamma^* \rightarrow \mu^+ \mu^-)} = 3 \sum_f c_f^2$$

- ❶ Color factor (3 color for each quark)
- ❷ Sum over charges of different flavors
- ❸ Threshold and higher order corrections

# Strong interactions

## Renormalization group

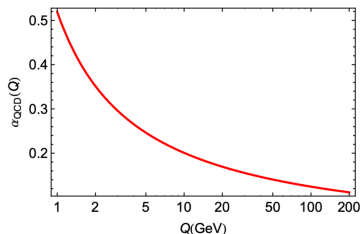
- Running coupling given by Renormalization Group Equation (RGE)

$$\mu \frac{d\alpha_s(\mu)}{d\mu} = \beta(\alpha_s(\mu)) = - \sum_{n=0}^{\infty} \beta_n \left( \frac{\alpha_s}{\pi} \right)^{n+1}$$

- Coupling  $\alpha_s$  evolves with scale  $\mu$  as given by RGE  $\rightarrow$  LO behavior driven by  $\beta_0$
- $\beta_0^{\text{QCD}} > 0 \implies$  weakly coupled at large energies, asymptotic freedom
- $\beta_0^{\text{QED}} < 0 \implies$  strongly coupled at large energies, UV divergent!

# Strong interactions

## Renormalization group



- Running coupling given by Renormalization Group Equation (RGE)

$$\alpha_s(\mu) = \frac{1}{\beta_0 \log\left(\frac{\mu^2}{\Lambda_s^2}\right)}$$

- $\beta_0$  LO of the  $\beta$  function, is  $> 0$
- $\Lambda_s$ , parameter that defines value of the coupling at large scales

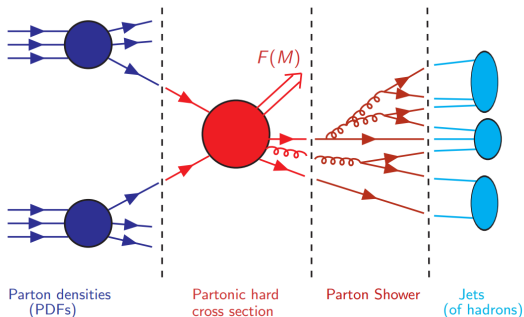
QCD is weakly coupled for  $\mu \gg \Lambda_s \rightarrow$  asymptotically free

Perturbative Quantum Chromodynamics (pQCD)

# Factorization theorem

## QCD factorization

LHC processes  $H_1 + H_2 \rightarrow F$



Separate process **PDFs** and **partonic (hard) interaction**

$$\sigma^F(p_1, p_2) = \sum_{\alpha, \beta} \int_0^1 dx_1 dx_2 f_{\alpha}(x_1, \mu_F^2) * f_{\beta}(x_2, \mu_F^2) * \hat{\sigma}_{\alpha\beta}^F(x_1 p_1, x_2 p_2, \alpha_s(\mu_R^2), \mu_F^2)$$

# Parton showers

## MC Parton showers

Partons in the initial and final state emit radiation. Initial state Radiation (ISR) and Final State Radiation (FSR) model by Monte Carlo (MC) shower algorithms.

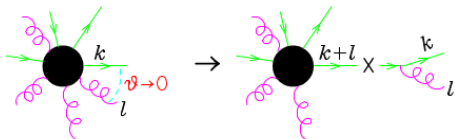
### Shower Monte Carlo programs (HERWIG, PYTHIA)

- Libraries for computing SM and BSM cross sections
- Shower algorithms produce the parton shower from final state or initial state partons (accurate only at LO?...)
- Hadronization models, underlying event, decays of unstable hadrons, etc

# Parton showers

## Collinear limit

- An emitted parton is collinear to an incoming or outgoing parton ( $\theta$  small)
- $\sigma$  dominated by collinear emission  $q \rightarrow qg, g \rightarrow gg, g \rightarrow q\bar{q}$   
(measurement not sensitive to such small scales)



Collinear factorization  $\longrightarrow$  Factor out tree level amplitude and splitting

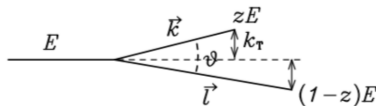
$$|M_{n+1}|^2 d\Phi_{n+1} \Rightarrow |M_n|^2 d\Phi_n \frac{\alpha_S}{2\pi} \frac{dt}{t} P_{q,qg}(z) dz \frac{d\phi}{2\pi}.$$

$$d\Phi_n = (2\pi)^4 \delta^4\left(\sum_i k_i - q\right) \prod_i^n \frac{d^3 k_i}{(2\pi)^3 2k_i^0}$$



# Parton showers

## Kinematics of splitting



Kinematics of splitting given by  $(t, z, \phi)$

- $\phi$  represents azimuth of the  $k, l$  plane
- $z$  is the fraction of energy of radiated parton

$$z = \frac{k^0}{k^0 + l^0}$$

- $t$  has dimensions of energy  
- virtuality

$$t = (k + l)^2 = k^0 l^0 4 \sin^2 \left( \frac{\theta}{2} \right) \approx k^0 l^0 \theta^2 \approx z(1-z)E^2 \theta^2$$

- transverse momentum  $t = k_{\perp}^2 = l_{\perp}^2 = z^2(1-z)^2 E^2 \theta^2$
- hardness  $E^2 \theta^2$

# Parton showers

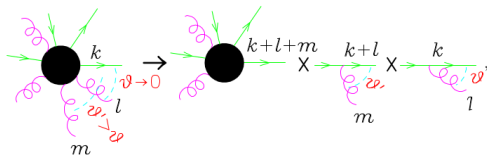
## AP splitting functions

### Altarelli-Parisi splitting functions

$$P_{q,qg}(z) = C_F \frac{1+z^2}{1-z}$$

$$P_{g,gg}(z) = C_A \left( \frac{z}{1-z} + \frac{1-z}{z} + z(1-z) \right)$$

$$P_{g,q\bar{q}}(z) = T_F(z^2 + (1-z)^2)$$



We can proceed in an iterative way

$$|M_{n+2}|^2 d\Phi_{n+2} = |M_n|^2 d\Phi_n \frac{\alpha_s(t')}{2\pi} P_{q,qg}(z') \frac{dt'}{t'} dz' \frac{d\phi'}{2\pi} \frac{\alpha_s(t)}{2\pi} P_{q,qg}(z) \frac{dt}{t} dz \frac{d\phi}{2\pi}$$

Exclusive final state: limit to the most singular terms, in ordered sequence of angles

Collinear approximation  $\longrightarrow$  Leading log approximation

# Parton showers

## Exclusive final state

Exclusive final state: sum the perturbative expansions to all orders in  $\alpha_s$   
Limit to the most singular terms in ordered sequence of angles  $\alpha_s$

$$\sigma_0 \alpha_s^n \int \frac{dt_1}{t_1} \dots \frac{dt_n}{t_n} \theta(Q^2 > t_1^2 > \dots > t_n^2 > \Lambda_S^2) = \sigma_0 \frac{\alpha_s^n}{n!} \log^n \left( \frac{Q^2}{\Lambda_S^2} \right)$$

Exclusive final state: limit to the most singular terms, in ordered sequence of angles  
Collinear approximation  $\rightarrow$  Leading log approximation

# Final state radiation MC

## General structure

Approximated description of a hadronic final state

Model a given hard scattering with arbitrary number of enhanced radiations

- Choose hard interaction with specified Born kinematics.
- Consider all possible splittings for each coloured parton.
- Assign the variables  $t$ ,  $z$ ,  $\phi$  at each splitting vertex,  $t$  ordered in decreasing way.
- At each splitting vertex assign the weight

$$\frac{\alpha_S(t)}{2\pi} P_{i,jl}(z) \frac{dt}{t} dz \frac{d\phi}{2\pi}$$

- Each line has a weight known as Sudakov factor

$$\Delta_i(t', t'') = \exp \left( - \sum_{ij} \int_{t''}^{t'} \frac{dt}{t} \frac{\alpha(t)_S}{2\pi} \int_0^1 dz P_{i,jl}(z) \right)$$

# Final state radiation MC

## Formal representation of a shower

Approximated description of a hadronic final state

Model a given hard scattering with arbitrary number of enhanced radiations

$$S_i(t, E) = \frac{t, E}{i} \text{ (diagram: a blue circle with horizontal lines to its right, labeled } t, E \text{ above and } i \text{ below)}$$

Ensemble of all possible branchings at scale  $t$  (...)

$$\frac{t, E}{i} = \frac{t}{i} \frac{t_0}{i} + \frac{t}{i} \frac{t'}{i} \left( \frac{t', zE}{j} + \frac{t', (1-z)E}{l} \right)$$

(diagram: a blue circle with horizontal lines to its right, labeled  $t, E$  above and  $i$  below, followed by an equals sign, then a sum of two terms. The first term is  $\frac{t}{i} \frac{t_0}{i}$  with a green dot between  $t$  and  $t_0$ . The second term is  $\frac{t}{i} \frac{t'}{i}$  with a green dot between  $t$  and  $t'$ , followed by a red dot, then a bracket containing two blue circles with horizontal lines to their right. The top blue circle is labeled  $t', zE$  above and  $j$  below. The bottom blue circle is labeled  $t', (1-z)E$  above and  $l$  below.)

Forward evolution equation

$$S_i(t, E) = \Delta_i(t, t_0) S_i(t_0, E) + \sum_{jl} \int_{t_0}^t \frac{dt'}{t'} \int_0^1 dz \int_0^{2\pi} \frac{d\phi}{2\pi} \frac{a_S(t')}{2\pi} \Delta_i(t', t_0) S_j(t', zE) S_l(t', (1-z)E)$$

# Final state radiation MC

## Probabilistic interpretation

$$S_i(t, E) = \frac{t, E}{i},$$

Probability of branching in the infinitesimal volume

$$S_i(t, E) = \frac{t, E}{i},$$

Probability of branching in the interval  $dt'$

$$S_i(t, E) = \frac{t, E}{i},$$

Probability of first branching in the infinitesimal volume  $dt'$

# Final state radiation MC

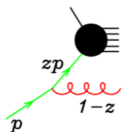
## Shower algorithm

Generate hard process with probability proportional to its parton level cross section. For each final state colored parton:

- ❶ Set scale  $t = Q$ , hard scale of the process
- ❷ Generate random number  $0 < r < 1$
- ❸ Solve  $r = \Delta_i(t, t')$  for  $t'$
- ❹ i) if  $t' < t_0$ , no further branching and stop shower
- ❺ ii) if  $t' \geq t_0$ , one branching into partons  $j, l$  with energies  $E_j = zE_i$  and  $E_l = (1 - z)E_i$ ,  $z$  following the  $P_{i,jl}(z)$  distribution and  $\phi$  uniform in the interval  $[0, 2\pi]$  (variables, ...)
- ❻ For each branched partons set  $t = t'$  and start from (2)

# Initial state radiation MC

## General structure



- Lines between  $t_1$  and  $t_2$  (consecutive radiations) are spacelike (\*)
- Difference in Sudakov factors and Splitting functions start at NLO

$$\begin{aligned}
 & \frac{t_0, E}{i} \text{S} \text{ } m, t, xE \\
 &= \frac{t_0}{i} \text{ } t \text{ } m + \frac{t_0}{i} \text{ } t' \text{ } i \text{ } j \text{ } l \text{ } t', zE \text{S} \text{ } m, t, xE \\
 & \quad \delta_{im} \delta(1-x) \\
 & \quad + \frac{t_0}{i} \text{ } t' \text{ } i \text{ } j \text{ } l \text{ } t', (1-z)E \text{S} \text{ } m, t, xE
 \end{aligned}$$



# Initial state radiation MC

## Formal representation

$$S_i(m, x, t, E) = \frac{t_0 E}{i} \text{S} \begin{array}{c} m, t, xE \\ \text{---} \\ \text{---} \\ \text{---} \end{array}$$

- Lines between  $t_1$  and  $t_2$  (consecutive radiations) are spacelike (\*)
- Difference in Sudakov factors and Splitting functions start at NLO

Forward evolution equation. Great amount of computation time to generate configurations -  $i$  the scattering that we want

$$\begin{array}{c} \sim \sim \sim \end{array} \quad \begin{array}{c} t', zE \\ \text{S} \\ m, t, xE \end{array} \quad \begin{array}{c} t', (1-z)E \end{array}$$

$$\frac{t_0 E}{i} \text{S} \begin{array}{c} m, t, xE \\ \text{---} \\ \text{---} \\ \text{---} \end{array} = \frac{t_0}{i} \frac{t}{m} \delta_{im} \delta(1-x) + \frac{t_0}{i} \frac{t'}{i} \begin{array}{c} j \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \begin{array}{c} l \\ \text{---} \\ \text{---} \\ \text{---} \end{array} + \frac{t_0}{i} \frac{t'}{i} \begin{array}{c} j \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \begin{array}{c} l \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \begin{array}{c} \text{S} \\ m, t, xE \\ t', (1-z)E \end{array}$$

# Initial state radiation MC

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Generate hard process with probability proportional to its parton level cross section. For each final state colored parton:

- ① Set scale  $t$  to  $Q$ , hard scale of the process
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- ⑥ For parton  $j$  (...), for parton  $l$  generate a timelike parton shower according to the algorithm shown previously

# Hadronization

## Basics

# Hadronization

Lund string model

# Hadronization

## Clustering models