

## QCD and Monte Carlo event generators

Monte Carlo course seminar - Milan, February 2021



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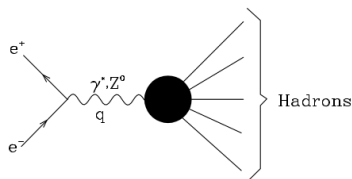


- ① Hadron collisions and strong interactions
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  - Renormalization group
  - IR divergences
- ② MC and Parton Showers
  - Factorization theorem
  - Final state radiation
  - Initial state radiation
- ③ Hadronization: some basics

# Strong interactions

## QCD from $e^+e^-$ annihilation

Quantum Chromodynamics (QCD)  $\rightarrow$  theory describing the interaction between quarks and gluons (strong interactions)



QCD arises already from  $e^+e^-$  annihilation  $\rightarrow R_0$  ratio

$$R_0 = \frac{\sigma(\gamma^* \rightarrow \text{hadrons})}{\sigma(\gamma^* \rightarrow \mu^+ \mu^-)} = 3 \sum_f c_f^2$$

- ❶ Color factor (3 color for each quark)
- ❷ Sum over charges of different flavors
- ❸ Threshold and higher order corrections

# Strong interactions

## Renormalization group

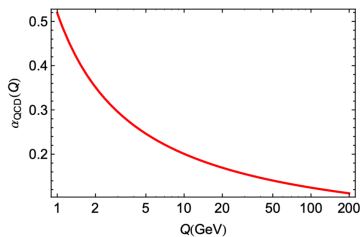
- Running coupling given by Renormalization Group Equation (RGE)

$$\mu \frac{d\alpha_s(\mu)}{d\mu} = \beta(\alpha_s(\mu)) = - \sum_{n=0}^{\infty} \beta_n \left( \frac{\alpha_s}{\pi} \right)^{n+1}$$

- Coupling  $\alpha_s$  evolves with scale  $\mu$  as given by RGE  $\rightarrow$  LO behavior driven by  $\beta_0$
- $\beta_0^{\text{QCD}} > 0 \implies$  weakly coupled at large energies, asymptotic freedom
- $\beta_0^{\text{QED}} < 0 \implies$  strongly coupled at large energies, UV divergent!

# Strong interactions

## Renormalization group



- Running coupling given by Renormalization Group Equation (RGE)

$$\alpha_s(\mu) = \frac{1}{b_0 \log\left(\frac{\mu^2}{\Lambda_s^2}\right)}$$

- $\beta_0 > 0$  LO coefficient of the  $\beta$  function
- $\Lambda_s$  parameter that defines value of the coupling at large scales

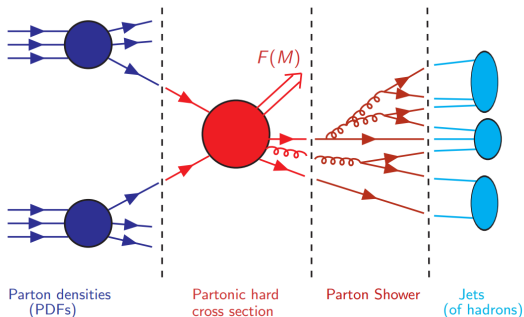
QCD is weakly coupled for  $\mu \gg \Lambda_s \rightarrow$  asymptotically free

Perturbative Quantum Chromodynamics (pQCD)

# Factorization theorem

## QCD factorization

LHC processes  $H_1 + H_2 \rightarrow F$



Separate process **PDFs** and **partonic (hard) interaction**

$$\sigma^F(p_1, p_2) = \sum_{\alpha, \beta} \int_0^1 dx_1 dx_2 f_{\alpha}(x_1, \mu_F^2) * f_{\beta}(x_2, \mu_F^2) * \hat{\sigma}_{\alpha\beta}^F(x_1 p_1, x_2 p_2, \alpha_s(\mu_R^2), \mu_F^2)$$

# Parton showers

## MC Parton showers

Partons in the initial and final state emit radiation. State Radiation (ISR) and Final State Radiation (FSR) model by Monte Carlo (MC) shower algorithms.

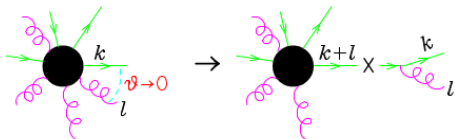
### Shower Monte Carlo programs (HERWIG, PYTHIA)

- Libraries for computing SM and BSM cross sections
- Shower algorithms produce the parton shower from final state or initial state partons (accurate only at LO?...)
- Hadronization models, underlying event, decays of unstable hadrons, etc

# Parton showers

## Collinear limit

- An emitted parton is collinear to an incoming or outgoing parton ( $\theta$  small)
- Measurement not sensitive to such small scales
- $\sigma$  dominated by collinear emission  $q \rightarrow qg, g \rightarrow gg, g \rightarrow q\bar{q}$



Collinear factorization  $\longrightarrow$  Factor out tree level amplitude and splitting

$$|M_{n+1}|^2 d\Phi_{n+1} \Rightarrow |M_n|^2 d\Phi_n \frac{\alpha_S}{2\pi} \frac{dt}{t} P_{q,qg}(z) dz \frac{d\phi}{2\pi},$$

$$d\Phi_n = (2\pi)^4 \delta^4\left(\sum_i k_i - q\right) \prod_i^n \frac{d^3 k_i}{(2\pi)^3 2k_i^0}$$

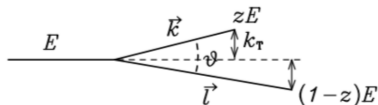


# Parton showers

## Kinematics of splitting

$$z = \frac{k^0}{k^0 + l^0} \quad \text{fraction of energy of the outgoing parton}$$

- Kinematics of splitting given by  $(t, z, \phi)$ 
  - $t$  has dimensions of energy
    - virtuality  $t = (k + l)^2$
    - transverse momentum  $t = k_{\perp}^2 = l_{\perp}^2 = z^2(1-z)^2 E^2 \theta^2$
    - hardness  $E^2 \theta^2$
  - $z$  represents the fraction of momentum of radiated parton
  - $\phi$  represents azimuth of the  $k, l$  plane
- Factorization holds for small angles. Applied recursively



# Parton showers

## AP splitting functions

### Altarelli-Parisi splitting functions

$$\begin{aligned}P_{q,qg}(z) &= C_F \frac{1+z^2}{1-z} \\P_{g,gg}(z) &= C_A \left( \frac{z}{1-z} + \frac{1-z}{z} + z(1-z) \right) \\P_{g,q\bar{q}}(z) &= T_f(z^2 + (1-z)^2)\end{aligned}$$

We can proceed in an iterative way

$$|M_{n+2}|^2 d\Phi_{n+2} = |M_n|^2 d\Phi_n \frac{\alpha_s(t')}{2\pi} P_{q,qg}(z') \frac{dt'}{t'} dz' \frac{d\phi'}{2\pi} \frac{\alpha_s(t)}{2\pi} P_{q,qg}(z) \frac{dt}{t} dz \frac{d\phi}{2\pi}$$

Exclusive final state: limit to the most singular terms, in ordered sequence of angles

Collinear approximation  $\longrightarrow$  Leading log approximation

# Final state radiation MC

## General structure

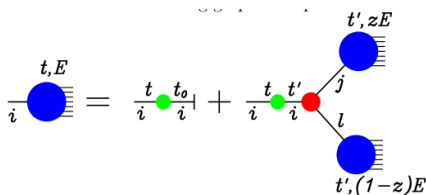
Approximated description of a hadronic final state. Model a given hard scattering with arbitrary number of enhanced radiations

- Choose hard interaction with specified Born kinematics.
- Consider all possible splittings for each coloured parton.
- Assign the variables  $t$ ,  $z$ ,  $\phi$  at each splitting vertex,  $t$  ordered in decreasing way.
- At each splitting vertex assign the weight (...)
- Each line has a weight known as Sudakov factor (...)

# Final state radiation MC

## Formal representation of a shower

Approximated description of a hadronic final state. Model a given hard scattering with arbitrary number of enhanced radiations



Forward evolution equation

$$S_i(t, E) = \Delta_i(t, t_0)S_i(t_0, E) + \sum_{jl} \int_{t_0}^t \frac{dt'}{t'} \int_0^1 dz \int_0^{2\pi} \frac{d\phi}{2\pi} \frac{a_S(t')}{2\pi} \Delta_i(t', t_0) S_j(t', zE) S_l(t', (1-z)E)$$

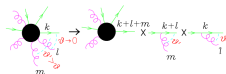
# Final state radiation MC

## Probabilistic interpretation

$$S_i(t, E) = \Delta_i(t, \epsilon_0) S_i(t_0, E) + \sum_j \int_{t_0}^t \frac{dt'}{t'} \int_{E'}^{E} \frac{d\epsilon}{\epsilon} \Delta_j(t', \epsilon_0) S_j(t', \epsilon E) S_i(t', (1-\epsilon)E)$$

$$S_i(t, E) = \frac{t, E}{i} \bullet \text{---},$$

$$\frac{t, E}{i} \bullet \text{---} = \frac{t}{i} \bullet \text{---} \frac{t, E}{i} + \frac{t}{i} \bullet \text{---} \frac{t', zE}{j} \bullet \text{---} \frac{t', (1-z)E}{l} \bullet \text{---}$$



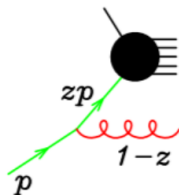
# Final state radiation MC

## Shower algorithm

Generate hard process with probability proportional to its parton level cross section. For each final state colored parton:

- ➊ Set scale  $t = Q$ , hard scale of the process
- ➋ Generate random number  $0 < r < 1$
- ➌ Solve  $r = \Delta_i(t, t')$  for  $t'$
- ➍ i) if  $t' < t_0$ , no further branching and stop shower
- ➍ ii) if  $t' \geq t_0$ , one branching into partons  $j, l$  with energies  $E_j = zE_i$  and  $E_l = (1 - z)E_i$ ,  $z$  following the  $P_{i,jl}(z)$  distribution and  $\phi$  uniform in the interval  $[0, 2\pi]$  (variables, ...)
- ➎ For each branched partons set  $t = t'$  and start from (2)

## General structure



- Lines between  $t_1$  and  $t_2$  (consecutive radiations) are spacelike (\*)
- Difference in Sudakov factors and Splitting functions start at NLO

$$S_{i, t_0, E}^{m, t, xE} = \frac{t_0}{i} \frac{t}{m} \frac{1}{\delta_{im} \delta(1-x)} + \sum_{j, l} \frac{t_0}{i} \frac{t'}{i} \left( S_{i, t', zE}^{m, t, xE} S_{i, t', (1-z)E} + S_{i, t', (1-z)E}^{m, t, xE} S_{i, t', zE} \right)$$

# Initial state radiation MC

## Formal representation

$$S_i(m, x, t, E) = \frac{t_0 E}{i} \text{S}^{m, t, xE}$$

- Lines between  $t_1$  and  $t_2$  (consecutive radiations) are spacelike (\*)
- Difference in Sudakov factors and Splitting functions start at NLO

Forward evolution equation. Great amount of computation time to generate configurations -  $\hat{\mathcal{L}}$  the scattering that we want

$$\frac{t_0 E}{i} \text{S}^{m, t, xE} = \frac{t_0}{i} \frac{t}{m} \delta_{im} \delta(1-x) + \frac{t_0}{i} \frac{t'}{i} \left[ \text{S}^{m, t, xE} \text{S}^{t', (1-z)E} + \text{S}^{t', zE} \text{S}^{m, t, xE} \right]$$



# Initial state radiation MC

## Shower algorithm

Generate hard process with probability proportional to its parton level cross section. For each final state colored parton:

- ① Set scale  $t$  to  $Q$ , hard scale of the process
- ② Generate random number  $0 < r < 1$
- ③ Solve (...) for  $t'$
- ④ i) if  $t' < t_0$ , no further branching and stop shower
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- ⑥ For parton  $j$  (...), for parton  $l$  generate a timelike parton shower according to the algorithm shown previously

# Hadronization

## Basics

# Hadronization

Lund string model

# Hadronization

## Clustering models