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# **CS2102 Database Systems**

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# Previously in CS2102

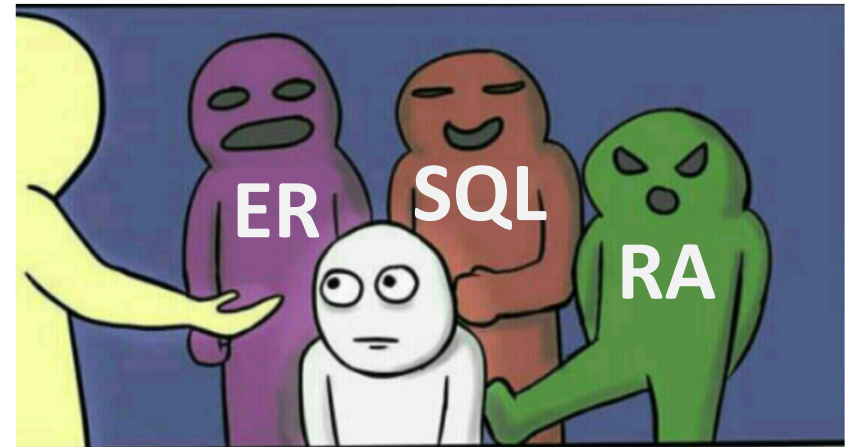
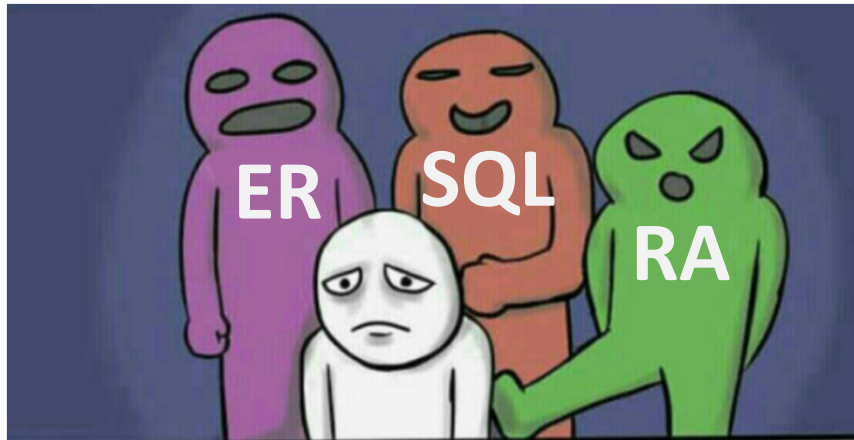
- ER model
- Relational algebra
- SQL
- PL/pgSQL
- Triggers

# What is next?

- Normal forms



# Normal Forms vs. ER, SQL, and RA



# Roadmap

- We will do it step by step:
  - Functional dependencies (FD)  
↓
  - Closures  
↓
  - Keys, superkeys, and prime attributes  
↓
  - Normal forms and schema refinement



# Motivation

- Suppose that we give an ER diagram to Alice and Bob
- Each of them translates the diagram into a relational schema
  - And claims that it is the best relational schema of all time
- How do we decide which one is better?



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# Motivation

- There could be many different ways to evaluate whether a relational schema is good
  - Different people may have different opinions
- But there are things that just should NOT be done
  - i.e., there are some minimum requirements to meet
- A **normal form** is a definition of minimum requirements to
  - reduce data redundancy, and
  - improve data integrity

# Redundancy: Example

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Primary key of the table:  
(NRIC, PhoneNumber)
- There is some **redundancy** in terms of Alice's address: it is unnecessarily stored twice
- In addition, the table is susceptible to several other **anomalies**



# Update Anomalies

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Primary key of the table:  
(NRIC, PhoneNumber)
- First, **update anomalies**:
  - We may accidentally update one of Alice's addresses, leaving the other unchanged

# Deletion Anomalies

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Primary key of the table:  
(NRIC, PhoneNumber)
- Second, **deletion anomalies**:
  - ❑ Bob no longer uses a phone
  - ❑ Can we remove Bob's phone number?
  - ❑ No. (Note: Primary key attributes cannot be NULL)

# Insertion Anomalies

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Primary key of the table:  
(NRIC, PhoneNumber)
- Third, **insertion anomalies**:
  - Name = Cathy, NRIC = 9394, HomeAddress = YiShun
  - Can we insert this information into the table?
  - No. (Note: Primary key attributes cannot be NULL)

# Normalization

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- How do we get rid of those anomalies?
- **Normalize** the table (i.e., decompose it)

Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

# Effects of Normalization

Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

- Redundancy?
  - No. (Alice's address is no longer duplicated.)
- Update anomalies?
  - No. (There is only one place where we can update the address of Alice)
- Deletion anomalies?
  - No. (We can freely delete Bob's phone number)
- Insertion anomalies?
  - No. (We can insert an individual with a phone)

# Effects of Normalization

Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

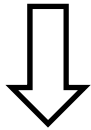
- How do we perform such normalizations?
- Following some procedures designed based on normal forms

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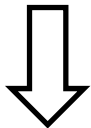
# Roadmap

- We will do it step by step:

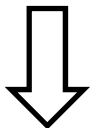
- Functional dependencies (FD)



- Closures



- Keys, superkeys, and prime attributes



- Normal forms and schema refinement

# Previous Example

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- We mentioned that this table is bad because of the redundancy in HomeAddress
- What causes this redundancy?
  - Some dependency between NRIC and HomeAddress
- In particular, NRIC uniquely decides HomeAddress
- This is called a **functional dependency** (FD)
  - Denoted as  $\text{NRIC} \rightarrow \text{HomeAddress}$



# Formal Definition of FD

- Let  $A_1, A_2, \dots, A_m, B_1, B_2, \dots, B_n$  be some attributes
- We say that  $A_1A_2\dots A_m \rightarrow B_1B_2\dots B_n$ , if:
  - Whenever two objects have the same values on  $A_1, A_2, \dots$ , and  $A_m$ ,
  - they always have the same values on  $B_1, B_2, \dots, B_n$
- Example:  $\text{NRIC} \rightarrow \text{Name}$ 
  - Read as "NIRC decides Name" or "NIRC determines Name"
- Meaning: If two tuples have the same NRIC value, then they have the same Name value

# Examples

- $\text{Matric\_Number} \rightarrow \text{Student\_Name}$
- $\text{Postal\_Code} \rightarrow \text{Building\_Name}$
- $\text{Postal\_Code} \nrightarrow \text{Unit\_Number}$
- $\text{Matric\_Number} \nrightarrow \text{Degree}$ 
  - We have double degrees

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# FDs on Tables

- An FD may hold on one table but does not hold on another
- Example:
  - Supervise( eid, pid )
    - pid denotes the id of the project
    - eid denotes the employee id of the supervisor for the project
    - If each project has only one supervisor, then we have  $\text{pid} \rightarrow \text{eid}$  on Supervise
  - Work( eid, pid )
    - pid denotes the id of the project
    - eid denotes the id of an employee who work on the project
    - We don't have  $\text{pid} \rightarrow \text{eid}$  on Work

Name	Category	Color	Department	Price
Gizmo	Gadget	Green	Toys	49
Tweaker	Gadget	Black	Toys	99
Gizmo	Stationary	Green	Office Supplies	59

- Find the functional dependencies that are **FALSE** on the above table
    - ❑ Category  $\rightarrow$  Department
    - ❑ Category, Color  $\rightarrow$  Price
    - ❑ Price  $\rightarrow$  Color
    - ❑ Name  $\rightarrow$  Color
    - ❑ Department, Category  $\rightarrow$  Name
    - ❑ Color, Department  $\rightarrow$  Name, Price, Category
-

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# Where Do FDs Come From?

- From common sense
  - From the application's requirements
  - Example
    - Purchase( CustomerID, ProductID, ShopID, Price, Date )
    - Requirement: Each shop can sell at most one product
    - FD implied: ShopID  $\rightarrow$  ProductID
-

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# Example

- Purchase( CustomerID, ProductID, ShopID, Price, Date )
  - Requirement: No two customers buy the same product
  - FD implied: ProductID  $\rightarrow$  CustomerID
-

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# Example

- Purchase( CustomerID, ProductID, ShopID, Price, Date )
  - Requirement: No two shops sell the same product
  - FD implied: ProductID  $\rightarrow$  ShopID
-

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# Example

- Purchase( CustomerID, ProductID, ShopID, Price, Date )
  - Requirement: No two shops sell the same product on the same date
  - FD implied: ProductID, Date  $\rightarrow$  ShopID
-



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# Example

- Purchase( CustomerID, ProductID, ShopID, Price, Date )
  - Requirement: No shop should sell the same product to the same customer on the same date at two different prices
  - FD implied:  
CustomerID, ProductID, ShopID, Date → Price
-

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# Roadmap

- Now we know what FDs are
- Next, we will discuss how to do reasoning with FDs

# FD Reasoning: Example

- We know that
  - $\text{NRIC} \rightarrow \text{Matric\_Number}$ , and
  - $\text{Matric\_Number} \rightarrow \text{Name}$
- We can derive
  - $\text{NRIC} \rightarrow \text{Name}$ , by transitivity
- FD reasoning: given a set of FDs, figure out what other FDs they can **imply**
- This is important for normal forms

# Armstrong's Axioms

- Three fundamental axioms for FD reasoning
- Axiom of Reflexivity
  - A set of attributes  $\rightarrow$  A subset of the attributes
- Example
  - NRIC, Name  $\rightarrow$  NRIC
  - StudentID, Name, Age  $\rightarrow$  Name, Age
  - ABCD  $\rightarrow$  ABC
  - ABCD  $\rightarrow$  BCD
  - ABCD  $\rightarrow$  AD

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# Armstrong's Axioms

- Three fundamental axioms for FD reasoning
- Axiom of Augmentation
  - If  $A \rightarrow B$
  - then  $AC \rightarrow BC$  for any  $C$
- Example
  - If  $\text{NRIC} \rightarrow \text{Name}$
  - Then  $\text{NRIC, Age} \rightarrow \text{Name, Age}$
  - and  $\text{NRIC, Salary, Weight} \rightarrow \text{Name, Salary, Weight}$
  - and  $\text{NRIC, Addr, Postal} \rightarrow \text{Name, Addr, Postal}$

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# Armstrong's Axioms

- Three fundamental axioms for FD reasoning
- Axiom of Transitivity
  - If  $A \rightarrow B$  and  $B \rightarrow C$
  - then  $A \rightarrow C$
- Example
  - If  $\text{NRIC} \rightarrow \text{Addr}$ , and  $\text{Addr} \rightarrow \text{Postal}$
  - Then  $\text{NRIC} \rightarrow \text{Postal}$

# Additional Rules

- Reflexivity:  $AB \rightarrow A$
- Augmentation: If  $A \rightarrow B$  then  $AC \rightarrow BC$
- Transitivity: If  $A \rightarrow B$  and  $B \rightarrow C$  then  $A \rightarrow C$

## ■ Rule of Decomposition

- If  $A \rightarrow BC$ , then  $A \rightarrow B$  and  $A \rightarrow C$

## ■ Proof:

- By reflexivity, we have  $BC \rightarrow B$  and  $BC \rightarrow C$
- By transitivity, we have
  - $A \rightarrow BC$  and  $BC \rightarrow B \implies A \rightarrow B$
  - $A \rightarrow BC$  and  $BC \rightarrow C \implies A \rightarrow C$

# Additional Rules

- Reflexivity:  $AB \rightarrow A$
- Augmentation: If  $A \rightarrow B$  then  $AC \rightarrow BC$
- Transitivity: If  $A \rightarrow B$  and  $B \rightarrow C$  then  $A \rightarrow C$
- Decomposition: If  $A \rightarrow BC$  then  $A \rightarrow B$  and  $A \rightarrow C$

## ■ Rule of Union

- If  $A \rightarrow B$  and  $A \rightarrow C$ , then  $A \rightarrow BC$

## ■ Proof:

- By augmentation,  $A \rightarrow B \implies A \rightarrow AB$
- By augmentation,  $A \rightarrow C \implies AB \rightarrow BC$
- By transitivity,  $A \rightarrow AB$  and  $AB \rightarrow BC \implies A \rightarrow BC$



# Exercise

■ Given  $A \rightarrow B$ ,  $BC \rightarrow D$

■ Prove that  $AC \rightarrow D$

■ Proof

□ Given  $A \rightarrow B$ , we have  $AC \rightarrow BC$  (Augmentation)

□ Given  $AC \rightarrow BC$  and  $BC \rightarrow D$ , we have  $AC \rightarrow D$   
(Transitivity)

- Reflexivity:  $AB \rightarrow A$
- Augmentation: If  $A \rightarrow B$  then  $AC \rightarrow BC$
- Transitivity: If  $A \rightarrow B$  and  $B \rightarrow C$  then  $A \rightarrow C$
- Decomposition: If  $A \rightarrow BC$  then  $A \rightarrow B$  and  $A \rightarrow C$
- Union: If  $A \rightarrow B$  and  $A \rightarrow C$  then  $A \rightarrow BC$

# Reasoning with FD

- Given  $A \rightarrow B, D \rightarrow C$
- Prove that  $AD \rightarrow BC$
- Proof

- Reflexivity:  $AB \rightarrow A$
- Augmentation: If  $A \rightarrow B$  then  $AC \rightarrow BC$
- Transitivity: If  $A \rightarrow B$  and  $B \rightarrow C$  then  $A \rightarrow C$
- Decomposition: If  $A \rightarrow BC$  then  $A \rightarrow B$  and  $A \rightarrow C$
- Union: If  $A \rightarrow B$  and  $A \rightarrow C$  then  $A \rightarrow BC$

- Given  $A \rightarrow B$ , we have  $AD \rightarrow BD$  (Augmentation)
- Given  $AD \rightarrow BD$ , we have  $AD \rightarrow B$  (Reflexivity)
- Given  $D \rightarrow C$ , we have  $AD \rightarrow AC$  (Augmentation)
- Given  $AD \rightarrow AC$ , we have  $AD \rightarrow C$  (Reflexivity)
- Given  $AD \rightarrow B$  and  $AD \rightarrow C$ , we have  $AD \rightarrow BC$  (Union)

# Reasoning with FD

- Reflexivity:  $AB \rightarrow A$
- Augmentation: If  $A \rightarrow B$  then  $AC \rightarrow BC$
- Transitivity: If  $A \rightarrow B$  and  $B \rightarrow C$  then  $A \rightarrow C$
- Decomposition: If  $A \rightarrow BC$  then  $A \rightarrow B$  and  $A \rightarrow C$
- Union: If  $A \rightarrow B$  and  $A \rightarrow C$  then  $A \rightarrow BC$

## ■ Given

$A \rightarrow C, AC \rightarrow D, AD \rightarrow B$

## ■ Prove that $A \rightarrow B$

## ■ Proof

- Given  $A \rightarrow C$ , we have  $A \rightarrow AC$  (Augmentation)
  - Given  $A \rightarrow AC$  and  $AC \rightarrow D$ , we have  $A \rightarrow D$  (Transitivity)
  - Given  $A \rightarrow D$ , we have  $A \rightarrow AD$  (Augmentation)
  - Given  $A \rightarrow AD$  and  $AD \rightarrow B$ , we have  $A \rightarrow B$  (Transitivity)
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# Reasoning with FD

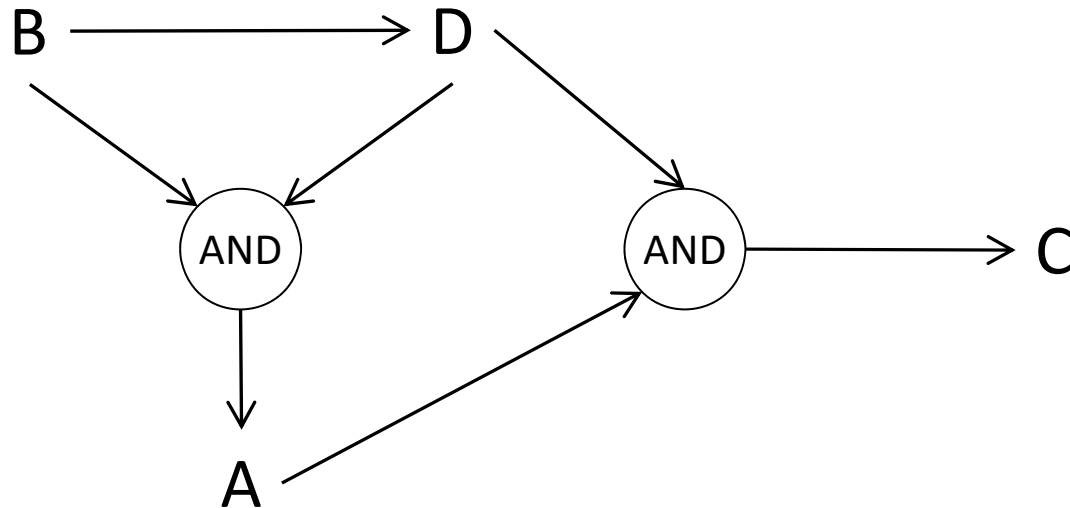
- Use Armstrong's axioms to do FD reasoning is a bit cumbersome
  - As shown in the previous slides
- We will discuss a more convenient approach: closure

# Closure: Motivating Example

- Question:
  - Given  $B \rightarrow D$ ,  $DB \rightarrow A$ ,  $AD \rightarrow C$ , check if  $B \rightarrow C$  holds
- Observation: intuitively, FDs are kind of like components on a circuit board

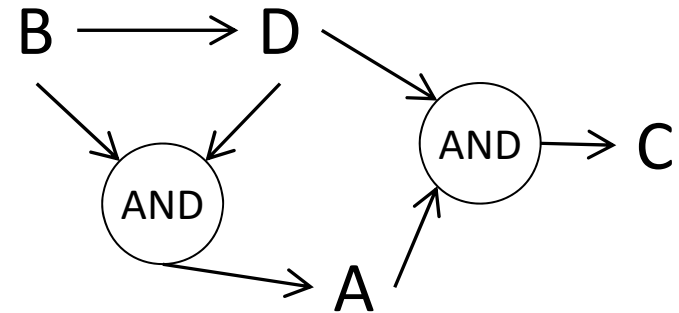
# Closure: Motivating Example

- Four attributes: A, B, C, D
  - Given:  $B \rightarrow D$ ,  $DB \rightarrow A$ ,  $AD \rightarrow C$
  - Check if  $B \rightarrow C$  holds
- 



# Closure: Motivating Example

- Four attributes: A, B, C, D
- Given:  $B \rightarrow D$ ,  $DB \rightarrow A$ ,  $AD \rightarrow C$
- Check if  $B \rightarrow C$  holds



- 
- First, activate B
    - Activated set = { B }
  - Second, activate whatever B can activate
    - Activated set = { B, D }, since  $B \rightarrow D$
  - Third, use all activated elements to activate more
    - Activated set = { B, D, A }, since  $DB \rightarrow A$
  - Repeat the third step, until no more activation is possible
    - Activated set = { B, D, A, C }, since  $AD \rightarrow C$ ; done
  - { B, D, A, C } is referred to as the **closure** of {B}
-

# Closure

- Let  $S = \{A_1, A_2, \dots, A_n\}$  be a set of attributes
- The closure of  $S$  is the set of attributes that can be decided by  $A_1, A_2, \dots, A_n$  (directly or indirectly)
- Notation:  $\{A_1, A_2, \dots, A_n\}^+$
- Example
  - Given  $A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow E$
  - $\{A\}^+ = \{A, B, C, D, E\}$
  - $\{B\}^+ = \{B, C, D, E\}$
  - $\{D\}^+ = \{D, E\}$
  - $\{E\}^+ = \{E\}$



# Computing Closures

- Given  $A_1, A_2, \dots, A_n$ , the closure  $\{A_1, A_2, \dots, A_n\}^+$  can be computed as follows:
  1. Initialize the closure to  $\{A_1, A_2, \dots, A_n\}$
  2. If there is an FD:  $A_i, A_j, \dots, A_m \rightarrow B$ , such that  $A_i, A_j, \dots, A_m$  are all in the closure, then put  $B$  into the closure
  3. Repeat step 2, until we cannot find any new attribute to put into the closure
- Example
  - A Table with five attributes  $A, B, C, D, E$
  - $A \rightarrow B, C \rightarrow D, BC \rightarrow E$
  - $\{A\}^+ =$
  - $\{A, C\}^+ =$
  - $\{B\}^+ =$

# Computing Closures

- Given  $A_1, A_2, \dots, A_n$ , the closure  $\{A_1, A_2, \dots, A_n\}^+$  can be computed as follows:
  1. Initialize the closure to  $\{A_1, A_2, \dots, A_n\}$
  2. If there is an FD:  $A_i, A_j, \dots, A_m \rightarrow B$ , such that  $A_i, A_j, \dots, A_m$  are all in the closure, then put  $B$  into the closure
  3. Repeat step 2, until we cannot find any new attribute to put into the closure
- Example
  - A Table with five attributes  $A, B, C, D, E$
  - $A \rightarrow B, C \rightarrow D, BC \rightarrow E$
  - $\{A\}^+ = \{A, B\}$
  - $\{A, C\}^+ = \{A, B, C, D, E\}$
  - $\{B\}^+ = \{B\}$

# Closure & FD

- To prove that  $X \rightarrow Y$  holds, we only need to show that  $\{X\}^+$  contains  $Y$
- $AB \rightarrow C, AD \rightarrow E, B \rightarrow D, AF \rightarrow B$
- Prove that  $AF \rightarrow D$
- $\{AF\}^+ = \{AFBCDE\}$ , which contains  $D$
- Therefore,  $AF \rightarrow D$  holds

# Closure & FD

- To prove that  $X \rightarrow Y$  **does not** hold, we only need to show that  $\{X\}^+$  **does not** contain  $Y$
- $AB \rightarrow C, AD \rightarrow E, B \rightarrow D, AF \rightarrow B$
- Prove that  $AD \rightarrow F$  does not hold
- $\{AD\}^+ = \{ADE\}$ , which does not contain  $F$
- Therefore,  $AD \rightarrow F$  does not hold

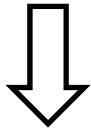
# Exercise

- Given:  $C \rightarrow D$ ,  $AD \rightarrow E$ ,  $BC \rightarrow E$ ,  $E \rightarrow A$ ,  $D \rightarrow B$
- Check if  $C \rightarrow A$  holds
- We start with  $\{C\}$
- Since  $C \rightarrow D$ , we have  $\{C, D\}$
- Since  $D \rightarrow B$ , we have  $\{C, D, B\}$
- Since  $BC \rightarrow E$ , we have  $\{C, D, B, E\}$
- Since  $E \rightarrow A$ , we have  $\{C, D, B, E, A\}$
- So  $A$  must be in  $\{C\}^+$ , hence,  $C \rightarrow A$  holds

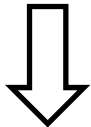
# Roadmap

- We will do it step by step:

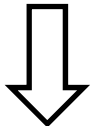
- Functional dependencies (FD)



- Closures



- Keys, superkeys, and prime attributes



- Normal forms and schema refinement

# Superkeys of a Table

Name	NRIC	Postal	Address
Alice	1234	939450	Jurong East
Bob	5678	234122	Pasir Ris
Cathy	3576	420923	Yishun

- Definition: A set of attributes in a table that decides all other attributes
- Example:
  - {NRIC} is a superkey
  - Since  $\text{NRIC} \rightarrow \text{Name, Postal, Address}$
  - {NRIC, Name} is a superkey
  - Since  $\{\text{NRIC, Name}\} \rightarrow \text{Postal, Address}$

# Keys of a Table

Name	NRIC	Postal	Address
Alice	1234	939450	Jurong East
Bob	5678	234122	Pasir Ris
Cathy	3576	420923	Yishun

- Definition: A superkey that is **minimal**
- i.e., if we remove any attribute from the superkey, it will not be a superkey anymore
- Example:
  - {NRIC} is a superkey
  - Since  $\text{NRIC} \rightarrow \text{Name, Postal, Address}$
  - {NRIC, Name} is a superkey
  - Since  $\{\text{NRIC, Name}\} \rightarrow \text{Postal, Address}$
  - NRIC is a key, but {NRIC, Name} is not a key



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# Keys of a Table

Name	NRIC	Postal	Address
Alice	1234	939450	Jurong East
Bob	5678	234122	Pasir Ris
Cathy	3576	420923	Yishun

- Note: Not to be confused with the keys of entity sets
-

# Keys of a Table

Name	NRIC	StudentID	Postal	Address
Alice	1234	1	939450	Jurong East
Bob	5678	2	234122	Pasir Ris
Cathy	3576	3	420923	Yishun

- A table may have multiple keys
- Example:
  - {NRIC} is a key
  - Since NRIC  $\rightarrow$  Name, StudentID, Postal, Address
  - {StudentID} is a key
  - Since StudentID  $\rightarrow$  Name, NRIC, Postal, Address
  - Both {NRIC} and {StudentID} are keys

# Keys of a Table: Exercise

- We have
  - A table  $T(A, B, C)$  with three attributes  $A, B, C$
  - Two FDs:  $A \rightarrow BC$  and  $BC \rightarrow A$
- Find the key(s) of  $T$
- Answer: there are two keys
  - $A$
  - $BC$
- Note:  $BC$  is a key even though it contains more attribute than  $A$ 
  - Because  $BC$  is a **minimal** superkey

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# Why are we talking about keys?

- Because they are needed in our discussion of normal forms
  - Whether or not a table T has **redundancy** and **anomalies** would partially depend on what the keys of T are
- Question: how do we know the keys of T?
- Answer:
  - Check the FDs on the T, and use closures to derive the keys

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# Algorithm for finding keys

- Definition: a key is a minimal set of attributes that decides all other attributes
  - Given: a table  $T(A, B, C, \dots)$  and a set of FDs on  $T$
  - Algorithm for finding keys:
    - Consider every subset of attributes in  $T$ :
      - $A, B, C, \dots, AB, BC, CA, \dots, ABC, \dots$
    - Derive the closure of each subset:
      - $\{A\}^+, \{B\}^+, \{C\}^+, \dots, \{AB\}^+, \{BC\}^+, \{AC\}^+, \dots, \{ABC\}^+, \dots$
    - Identify all superkeys based on the closures
    - Identify all keys from the superkeys
-

# Algorithm for finding keys: Example

- A table  $R(A, B, C)$ , with  $A \rightarrow B$ ,  $B \rightarrow C$
- Steps for finding keys:
  - Consider every subset of attributes in T:
    - A, B, C, AB, BC, CA, ABC
  - Derive the closure of each subset:
    - $\{A\}^+ =$                        $\{B\}^+ =$                        $\{C\}^+ =$
    - $\{AB\}^+ =$                        $\{BC\}^+ =$                        $\{AC\}^+ =$                        $\{ABC\}^+ =$
  - Identify all superkeys based on the closures
  - Identify all keys from the superkeys

# Algorithm for finding keys: Example

- A table  $R(A, B, C)$ , with  $A \rightarrow B$ ,  $B \rightarrow C$
- Steps for finding keys:
  - Consider every subset of attributes in T:
    - A, B, C, AB, BC, CA, ABC
  - Derive the closure of each subset:
    - $\{A\}^+ = \{ABC\}$ ,  $\{B\}^+ = \{BC\}$ ,  $\{C\}^+ = \{C\}$
    - $\{AB\}^+ = \{ABC\}$ ,  $\{BC\}^+ = \{BC\}$ ,  $\{AC\}^+ = \{ABC\}$ ,  $\{ABC\}^+ = \{ABC\}$
  - Identify all superkeys based on the closures
    - A, AB, AC, ABC
  - Identify all keys from the superkeys
    - A

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# Exercise: Find the keys

- A table  $R(A, B, C, D)$
  - With  $AB \rightarrow C$ ,  $AD \rightarrow B$ ,  $B \rightarrow D$
  - First, enumerate all attribute subsets:
    - $\{A\}$ ,  $\{B\}$ ,  $\{C\}$ ,  $\{D\}$
    - $\{AB\}$ ,  $\{AC\}$ ,  $\{AD\}$ ,
    - $\{BC\}$ ,  $\{BD\}$ ,  $\{CD\}$ ,
    - $\{ABC\}$ ,  $\{ABD\}$ ,
    - $\{ACD\}$ ,  $\{BCD\}$ ,
    - $\{ABCD\}$
-



# Exercise: Find the keys

- A table  $R(A, B, C, D)$
- With  $AB \rightarrow C$ ,  $AD \rightarrow B$ ,  $B \rightarrow D$
- Second, compute the closures of the subsets:
  - $\{A\}$ ,  $\{B\}$ ,  $\{C\}$ ,  $\{D\}$
  - $\{AB\}$ ,  $\{AC\}$ ,  $\{AD\}$ ,
  - $\{BC\}$ ,  $\{BD\}$ ,  $\{CD\}$ ,
  - $\{ABC\}$ ,  $\{ABD\}$ ,
  - $\{ACD\}$ ,  $\{BCD\}$ ,
  - $\{ABCD\}$

# Exercise: Find the keys

- A table  $R(A, B, C, D)$
- With  $AB \rightarrow C$ ,  $AD \rightarrow B$ ,  $B \rightarrow D$
- Second, compute the closures of the subsets:
  - $\{A\}^+ = \{A\}$ ,  $\{B\}^+ = \{BD\}$ ,  $\{C\}^+ = \{C\}$ ,  $\{D\}^+ = \{D\}$
  - $\{AB\}^+ = \{ABCD\}$ ,  $\{AC\}^+ = \{AC\}$ ,  $\{AD\}^+ = \{ABCD\}$
  - $\{BC\}^+ = \{BCD\}$ ,  $\{BD\}^+ = \{BD\}$ ,  $\{CD\}^+ = \{CD\}$
  - $\{ABC\}^+ = \{ABCD\}$ ,  $\{ABD\}^+ = \{ABCD\}$
  - $\{ACD\}^+ = \{ABCD\}$ ,  $\{BCD\}^+ = \{BCD\}$
  - $\{ABCD\}^+ = \{ABCD\}$

# Exercise: Find the keys

- A table  $R(A, B, C, D)$
- With  $AB \rightarrow C, AD \rightarrow B, B \rightarrow D$
- Third, identify the superkeys:
  - $\{A\}^+ = \{A\}, \quad \{B\}^+ = \{BD\}, \quad \{C\}^+ = \{C\}, \quad \{D\}^+ = \{D\}$
  - $\{AB\}^+ = \{ABCD\}, \quad \{AC\}^+ = \{AC\}, \quad \{AD\}^+ = \{ABCD\}$
  - $\{BC\}^+ = \{BCD\}, \quad \{BD\}^+ = \{BD\}, \quad \{CD\}^+ = \{CD\}$
  - $\{ABC\}^+ = \{ABCD\}, \quad \{ABD\}^+ = \{ABCD\}$
  - $\{ACD\}^+ = \{ABCD\}, \quad \{BCD\}^+ = \{BCD\}$
  - $\{ABCD\}^+ = \{ABCD\}$

# Exercise: Find the keys

- A table  $R(A, B, C, D)$
- With  $AB \rightarrow C, AD \rightarrow B, B \rightarrow D$
- Third, identify the superkeys:
  - $\{A\}^+ = \{A\}, \quad \{B\}^+ = \{BD\}, \quad \{C\}^+ = \{C\}, \quad \{D\}^+ = \{D\}$
  - $\{AB\}^+ = \{ABCD\}, \quad \{AC\}^+ = \{AC\}, \quad \{AD\}^+ = \{ABCD\}$
  - $\{BC\}^+ = \{BCD\}, \quad \{BD\}^+ = \{BD\}, \quad \{CD\}^+ = \{CD\}$
  - $\{ABC\}^+ = \{ABCD\}, \quad \{ABD\}^+ = \{ABCD\}$
  - $\{ACD\}^+ = \{ABCD\}, \quad \{BCD\}^+ = \{BCD\}$
  - $\{ABCD\}^+ = \{ABCD\}$

# Exercise: Find the keys

- A table  $R(A, B, C, D)$
- With  $AB \rightarrow C, AD \rightarrow B, B \rightarrow D$
- Fourth, identify the keys from the superkeys
  - $\{A\}^+ = \{A\}, \quad \{B\}^+ = \{BD\}, \quad \{C\}^+ = \{C\}, \quad \{D\}^+ = \{D\}$
  - $\{AB\}^+ = \{ABCD\}, \quad \{AC\}^+ = \{AC\}, \quad \{AD\}^+ = \{ABCD\}$
  - $\{BC\}^+ = \{BCD\}, \quad \{BD\}^+ = \{BD\}, \quad \{CD\}^+ = \{CD\}$
  - $\{ABC\}^+ = \{ABCD\}, \quad \{ABD\}^+ = \{ABCD\}$
  - $\{ACD\}^+ = \{ABCD\}, \quad \{BCD\}^+ = \{BCD\}$
  - $\{ABCD\}^+ = \{ABCD\}$

# Exercise: Find the keys

- A table  $R(A, B, C, D)$
- With  $AB \rightarrow C, AD \rightarrow B, B \rightarrow D$
- Fourth, identify the keys from the superkeys
  - $\{A\}^+ = \{A\}, \quad \{B\}^+ = \{BD\}, \quad \{C\}^+ = \{C\}, \quad \{D\}^+ = \{D\}$
  - $\{AB\}^+ = \{ABCD\}, \quad \{AC\}^+ = \{AC\}, \quad \{AD\}^+ = \{ABCD\}$
  - $\{BC\}^+ = \{BCD\}, \quad \{BD\}^+ = \{BD\}, \quad \{CD\}^+ = \{CD\}$
  - $\{ABC\}^+ = \{ABCD\}, \quad \{ABD\}^+ = \{ABCD\}$
  - $\{ACD\}^+ = \{ABCD\}, \quad \{BCD\}^+ = \{BCD\}$
  - $\{ABCD\}^+ = \{ABCD\}$

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# A Small Trick

- Always check small attribute sets first
  - A table  $R(A, B, C, D)$
  - $A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A$
  - Compute the closures:
    - $\{A\}^+ = \{ABCD\}, \{B\}^+ = \{ABCD\}, \{C\}^+ = \{ABCD\}, \{D\}^+ = \{ABCD\}$
    - No need to check others
    - The others are all superkeys but not keys
  - Keys:  $\{A\}, \{B\}, \{C\}, \{D\}$
-

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# Another Small Trick

- A table  $R(A, B, C, D)$
  - $AB \rightarrow C, AD \rightarrow B, B \rightarrow D$
  - Notice that A does not appear in the right hand side of any functional dependencies
  - In that case, A must be in every key
  - Keys of R: AB, AD (see the previous exercises)
  - In general, if an attribute that does not appear in the right hand side of any FD, then it must be in every key
-



# Exercise (Find the Keys)

- A table  $R(A, B, C, D)$
- $A \rightarrow B, A \rightarrow C, C \rightarrow D$
- A must be in every key
- Compute the closures:
  - $\{A\}^+ = \{ABCD\}$
  - No need to check others
- Keys:  $\{A\}$

# Exercise (Find the Keys)

- A table  $R(A, B, C, D, E)$
- $AB \rightarrow C, C \rightarrow B, BC \rightarrow D, CD \rightarrow E$
- A must be in every key
- Compute the closures:
  - $\{A\}^+ = \{A\}$
  - $\{AB\}^+ = \{ABCDE\}$
  - $\{AC\}^+ = \{ACBDE\}$
  - $\{AD\}^+ = \{AD\}, \{AE\}^+ = \{AE\}$
  - $\{ADE\}^+ = \{ADE\}$
- Keys: AB, AC

# Exercise (Find the Keys)

- A table  $R(A, B, C, D, E, F)$
- $AB \rightarrow C, C \rightarrow B, CBE \rightarrow D, D \rightarrow EF$
- A must be in every key
- Compute the closures:
  - $\{A\}^+ = \{A\}$
  - $\{AB\}^+ = \{ABC\}$
  - $\{AC\}^+ = \{ACB\}$
  - $\{AD\}^+ = \{ADEF\}$
  - $\{AE\}^+ = \{AE\}, \{AF\}^+ = \{AF\}$
  - $\{ABC\}^+ = \{ABC\}$
  - $\{ABD\}^+ = \{ABE\}^+ = \{ACD\}^+ = \{ACE\}^+ = \{ABCDEF\}$
  - $\{ADE\}^+ = \{ADEF\}$
- Keys: ABD, ABE, ACD, ACE

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# Prime Attributes

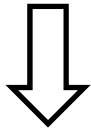
- If an attribute appears in a key, then it is a **prime attribute**
- Otherwise, it is a **non-prime** attribute
- This concept will be used when we talk about normal forms

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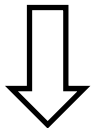
# Roadmap

- We will do it step by step:

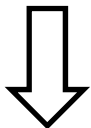
- Functional dependencies (FD)



- Closures



- Keys, superkeys, and prime attributes



- Normal forms and schema refinement