NATIONAL UNIVERSITY OF SINGAPORE

SCHOOL OF COMPUTING

FINAL ASSESSMENT FOR
Semester 2 AY2018/19

CS3243: INTRODUCTION TO ARTIFICIAL INTELLIGENCE

May 6, 2019 Time Allowed: 2 Hours

INSTRUCTIONS TO CANDIDATES

1. This assessment paper contains **FIVE (5)** parts and comprises **20** printed pages, including this page.
2. Please fill in your **Student Number** below; **DO NOT WRITE YOUR NAME**.
3. Answer **ALL** questions as indicated. Unless explicitly said otherwise, you **must explain your answer**. Unexplained answers will be given a mark of 0.
4. Use the space provided to write down your solutions. If you need additional space to write your answers, we will provide you with draft paper. Clearly write down your **student number** (but not your name) and **question number** on the draft paper, and attach them to your assessment paper. Make sure you indicate on the **body of your paper as well** if you used draft paper to answer any question.
5. This is a **CLOSED BOOK** assessment.
6. You are allowed to use **NUS APPROVED CALCULATORS**.
7. For your convenience, we include an overview section of important definitions and algorithms from class at the end of this paper.

STUDENT NUMBER: _____

EXAMINER'S USE ONLY		
Part	Mark	Score
I	10	
II	10	
III	10	
IV	10	
V	10	
TOTAL	50	

In Parts I, II, III, IV and V you will find a series of short essay questions. For each short essay question, give your answer in the reserved space in the script.

Part I
Uninformed and Informed Search

(10 points) Short essay questions. Answer in the space provided on the script.

Consider the following setting. We are given a finite set of points $V = \{\vec{x}_1, \ldots, \vec{x}_m\}$ in $\mathbb{R}^n$, two of which are goal nodes $G = \{\vec{q}, \vec{r}\}$ (we assume that $\vec{q} \neq \vec{r}$). The start node is some point $\vec{s} \in V$. We measure distance between the nodes using the Euclidean norm over $\mathbb{R}^n$: $\|\vec{x}\| = \sqrt{\sum_{i=1}^{n} x_i^2}$. Recall that $\|\cdot\|$ satisfies the triangle inequality: for all $\vec{x}, \vec{y} \in \mathbb{R}^n$, $\|\vec{x} + \vec{y}\| \leq \|\vec{x}\| + \|\vec{y}\|$.
There is a set of directed edges between points in V, denoted $E \subseteq V \times V$, and we set

$$c(\vec{x}_i, \vec{x}_j) = \begin{cases} \|\vec{x}_i - \vec{x}_j\| & \text{if } (\vec{x}_i, \vec{x}_j) \in E \\ \infty & \text{otherwise.} \end{cases}$$

1. (3 points) Given a point $\vec{x}_i \in V$, is the following heuristic admissible?

   $$h_1(\vec{x}) = \max\{\|\vec{x} - \vec{q}\|, \|\vec{x} - \vec{r}\|\}$$

   Prove this or provide a counterexample.

   Solution:

2. (3 points) Prove that the following heuristic is consistent.

   $$h_2(\vec{x}) = \min\{\|\vec{x} - \vec{q}\|, \|\vec{x} - \vec{r}\|\}$$

   You must provide a complete proof (not using any theorems shown in class/tutorials), using only the definition of consistency and properties of the Euclidean norm.

   Solution:

3. (4 points) Consider the following heuristic:

$$h_3(\vec{x}) = \frac{1}{B}\left|\sum_{i=1}^{n}(x_i - q_i) \times (x_i - r_i)\right|$$

Where $B = \max_{\vec{x},\vec{y}\in V}\|\vec{x}-\vec{y}\|$. Prove that h_3 is admissible. You must provide a complete proof (not using any theorems shown in class/tutorials), using only the definition of admissibility and properties of the Euclidean norm.

Hint: You may consider using the **Cauchy-Schwartz inequality**: for any two vectors $\vec{a}, \vec{b} \in \mathbb{R}^n$

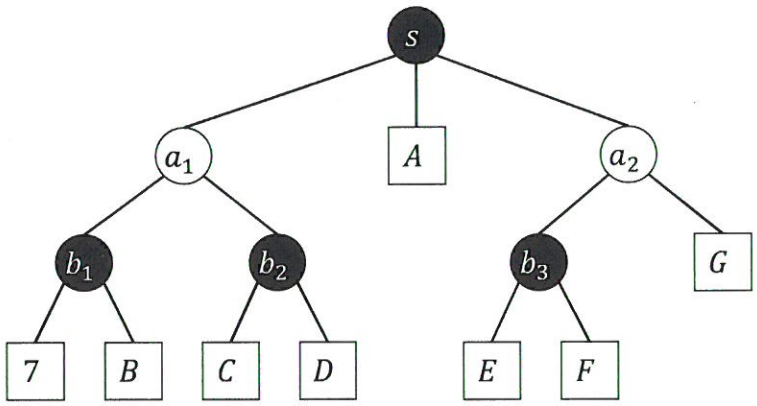
$$\left(\sum_{i=1}^{n} a_i b_i\right)^2 \leq \left(\sum_{i=1}^{n} a_i^2\right) \times \left(\sum_{i=1}^{n} b_i^2\right)$$

Solution:

Part II
Adversarial Search

(10 points) Short essay questions. Answer in the space provided on the script.

1. (7 points) Consider the minimax search tree shown below:



The MAX player controls the black nodes (s, b_1, b_2 and b_3) and the MIN player controls the white nodes a_1 and a_2. Square nodes are terminal nodes with utilities specified with respect to the MAX player; the values A, B, C, D, E, F, G are all non-negative integers. Suppose that we use the α-β pruning algorithm (reproduced in Figure VI.7), in the direction from **right to left** to prune the search tree. For each of the following cases, mark the box in the "True" column if the condition on the left is true, and the "False" column otherwise. You do not need to explain your answers.

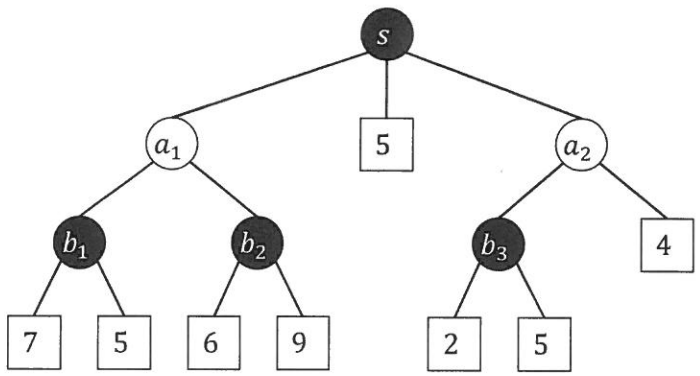
Solution:

	True	False
If $B \geq \max\{C, D\}$ then some arc must be pruned	☐	☐
If $G \geq C$ then some arc must be pruned	☐	☐
If $A \geq \max\{C, D\}$ then some arc must be pruned	☐	☐
If $F \geq G$ then some arc must be pruned	☐	☐
If $7 \geq \min\{C, D\}$ then some arc must be pruned	☐	☐
If $B \leq 7$ then some arc must be pruned	☐	☐
If $G \geq \max\{C, D\}$ then some arc must be pruned	☐	☐

2. (3 points) Consider the minimax search tree in the solution box below. The MAX player controls the black nodes (s, b_1, b_2 and b_3) and the MIN player controls the white nodes a_1 and a_2. Square nodes are terminal nodes with utilities specified with respect to the MAX player.

   Mark down each arc used in a subgame-perfect Nash equilibirum (by writing NE next to it), and write down the utility of the MAX player under this equilibrium. You do not need to explain your answer to this question.

Solution:



Payoff to the MAX player = _____

Part III
Constraint Satisfaction Problems

(10 points) Short essay questions. Answer in the space provided on the script.
Figure III.1 represents a map of a country with six geographical regions (A, B, C, D, E, F). We must color each state red (R), green (G) or blue (B). Adjacent regions **cannot be of the same color**. To solve this problem, we use the backtracking search algorithm (Figure VI.8).

- Whenever a value is assigned, we only use the forward-checking operation (in the INFERENCE stage). No other constraint-propagation operation (such as AC3) is performed.
- When selecting an unassigned variable (the SELECT-UNASSIGNED-VARIABLE stage) the algorithm uses the most constrained-variable, and then the most-constraining-variable heuristic to break ties. If there are still ties, the algorithm breaks ties in alphabetical order.
- When selecting values (the ORDER-DOMAIN-VALUES stage), it uses the least-constraining-value heuristic. Whenever several values are tying for selection, the algorithm selects them in the following order: red, green, blue.

1. (2 points) Which variable will be selected first by the algorithm? What value will be assigned to it? Why?

Solution:

2. (2 points) Which values of which variable domains does the forward-checking operation then remove?

Solution:

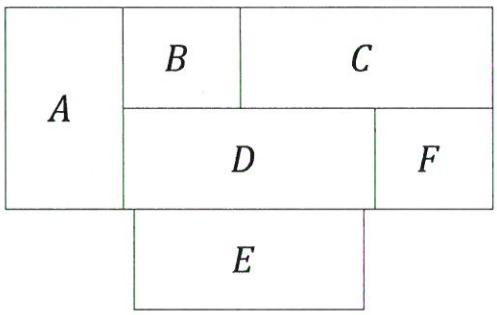


Figure III.1: Map diagram for graph coloring problem.

3. (2 points) Which variable will be selected next? Why? Which value will be assigned to this variable? Why?

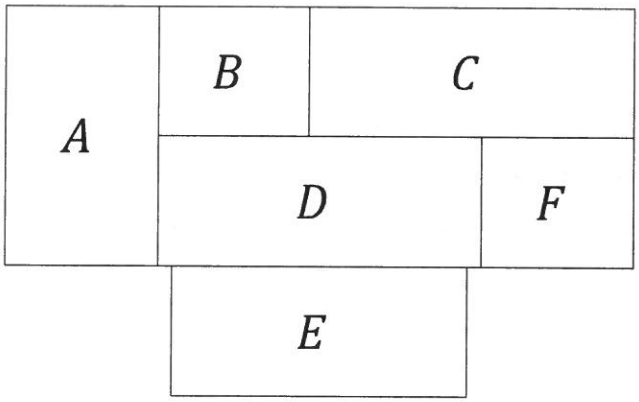
Solution:

4. (2 points) Which values of which variable domains does the forward-checking operation then remove?

Solution:

5. (2 points) Show the coloring outputted by the algorithm in the figure below (write 'red', 'green' or 'blue' in each box to represent the coloring).

Solution:



Part IV
Logical Agents

(10 points) Short essay questions. Answer in the space provided on the script.
NUS offers financial aid to students based on the following criteria. In order to be eligible for financial aid from NUS you must

(a) be enrolled to a bachelor's degree programme at NUS;

(b) earn no more than S$2,700/mth if you are a Singaporean/PR, and no more than S$1,200 otherwise;

(c) be making satisfactory progress in your studies.

Students whose GPA is at least 3.0, or who have a reference letter from a professor are considered to be making satisfactory progress. Students who work as part-time programmers make less than S$1,200/mth, and less than S$2,700/mth.
Alice is currently enrolled to a bachelor's degree programme; she is not a Singaporean/PR; she works as a part-time programmer, and has a reference letter from her professor.

1. (4 points) Represent the above statements (those describing the financial aid eligibility rules, and those describing Alice's properties) as a first-order logic knowledge base.

Solution: Write down your rules in the numbered list below (you may not need to write down 12 different rules, we offer additional slots for your convenience).

1. _____
2. _____
3. _____
4. _____
5. _____
6. _____
7. _____
8. _____
9. _____
10. _____
11. _____
12. _____

2. (3 points) Convert the above knowledge base to a Skolemized CNF form.

Solution: Write down your rules in the numbered list below (you may not need to write down 12 different rules, we offer additional slots for your convenience).

1. _____
2. _____
3. _____
4. _____
5. _____
6. _____
7. _____
8. _____
9. _____
10. _____
11. _____
12. _____

3. (3 points) Use FOL Resolution to infer that Alice is eligible for financial aid. In each line in the table below, write the two FOL sentences you wish to resolve as (S_1) and (S_2); **you do not need to write the complete sentence, but rather refer to the sentence number used in the previous question**. The resolvent should appear in the marked column; **you can enumerate the resolvents and refer to them in later resolutions for your convenience**. The required substitution should be written in the last column. Note that you might not need to fill all table rows.

Solution: Query we need to infer (phrased in FOL logic) $\alpha =$_____

S_1	S_2	Resolvent	Substitution

Part V
Uncertainty and Bayesian Networks

(10 points) Short essay questions. Answer in the space provided on the script.
An IT technician receives a student's malfunctioning laptop for inspection; the technician suspects three types of malware M_1, M_2 and M_3 to be the cause of the malfunction. It is assumed that the probabilities of getting the three malwares are completely independent of one another. There are four system files F_1, F_2, F_3, F_4 that may be affected by the malware infection. F_1 may only be altered by M_1 and M_3; F_2 can only be altered by M_1; F_3 may only be altered by M_2 and M_3; F_4 may only be altered by M_3. Assume all random variables are Boolean: they are either 'true' or 'false'. System files are not guaranteed to be changed by the malware; this depends on the (unknown, presumed to be random) system configuration.

1. (3 points) Draw a Bayesian network with a minimal number of arcs for the problem described above.

Solution:

2. (3 points) Suppose that the technician is certain that the computer is not infected by M_3 (i.e. $M_3 = 0$). Which of the statements below is true? Check the appropriate boxes below.

Solution:

Given that $M_3 = 0$:

	True	False
F_1 and F_4 are independent	☐	☐
F_1 and F_2 are independent	☐	☐
F_1 and F_3 are independent	☐	☐

3. (4 points) Suppose that:

$$\Pr[M_1 = 1] = 0.2; \Pr[M_2 = 1] = 0.3, \Pr[M_3 = 1] = 0.4$$

and the following conditional probabilities are known:

$\Pr[F_1 \mid \neg M_1 \wedge \neg M_3] = 0.01$ $\Pr[F_1 \mid M_1 \wedge \neg M_3] = 0.2$ $\Pr[F_1 \mid \neg M_1 \wedge M_3] = 0.3$ $\Pr[F_1 \mid M_1 \wedge M_3] = 0.6$	$\Pr[F_2 \mid \neg M_1] = 0.02$ $\Pr[F_2 \mid M_1] = 0.9$	$\Pr[F_3 \mid \neg M_2 \wedge \neg M_3] = 0.1$ $\Pr[F_3 \mid M_2 \wedge \neg M_3] = 0.5$ $\Pr[F_3 \mid \neg M_2 \wedge M_3] = 0.1$ $\Pr[F_3 \mid M_2 \wedge M_3] = 0.5$	$\Pr[F_4 \mid \neg M_3] = 0.2$ $\Pr[F_4 \mid M_3] = 0.3$

Suppose that we know that F_1 and F_4 were corrupted, but F_2 and F_3 were not; furthermore, we know that M_2 is not infecting the laptop. Which is likelier, that $M_1 = 1$ or that $M_3 = 1$? You must show your work.

Solution:

Part VI
Summary of Course Material

The following summary contains key parts from the course lecture notes. It **does not** offer a complete coverage of course materials. You may use any of the claims shown here without proving them, unless specified explicitly in the question.

1 Search Problems

1.1 Uninformed Search

We are interested in finding a solution to a fully observable, deterministic, discrete problem. A search problem is given by a set of *states*, where a *transition function* $T(s, a, s')$ states that taking action a in state s will result in transitioning to state s'. There is a cost to this defined as $c(s, a, s')$, assumed to be non-negative. We are also given an initial state (assumed to be in our frontier upon initialization). The *frontier* is the set of nodes in a queue that have not yet been explored, but will be explored given the order of the queue. We also have a *goal test*, which for a given state s outputs "yes" if s is a goal state (there can be more than one). We have discussed two search

```
function TREE-SEARCH(problem) returns a solution, or failure
  initialize the frontier using the initial state of problem
  loop do
    if the frontier is empty then return failure
    choose a leaf node and remove it from the frontier
    if the node contains a goal state then return the corresponding solution
    expand the chosen node, adding the resulting nodes to the frontier
```

Figure VI.1: The tree search algorithm

```
function GRAPH-SEARCH(problem) returns a solution, or failure
  initialize the frontier using the initial state of problem
  initialize the explored set to be empty
  loop do
    if the frontier is empty then return failure
    choose a leaf node and remove it from the frontier
    if the node contains a goal state then return the corresponding solution
    add the node to the explored set
    expand the chosen node, adding the resulting nodes to the frontier
      only if not in the frontier or explored set
```

Figure VI.2: The graph search algorithm

variants in class, tree search (Figure VI.1) and graph search (Figure VI.2). They differ in the fact that under graph search we do not explore nodes that we have seen before.
The main thing that differentiates search algorithms is the order in which we explore the frontier. In breadth-first search we explore the shallowest nodes first; in depth-first search we explore the deepest nodes first; in uniform-cost search (Figure VI.3) we explore nodes in order of cost.

```
function UNIFORM-COST-SEARCH(problem) returns a solution, or failure
  node ← a node with STATE = problem.INITIAL-STATE, PATH-COST = 0
  frontier ← a priority queue ordered by PATH-COST, with node as the only element
  explored ← an empty set
  loop do
    if EMPTY?(frontier) then return failure
    node ← POP(frontier) /* chooses the lowest-cost node in frontier */
    if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
    add node.STATE to explored
    for each action in problem.ACTIONS(node.STATE) do
      child ← CHILD-NODE(problem, node, action)
      if child.STATE is not in explored or frontier then
        frontier ← INSERT(child, frontier)
      else if child.STATE is in frontier with higher PATH-COST then
        replace that frontier node with child
```

Figure VI.3: The uniform cost search algorithm

Property	BFS	UCS	DFS	DLS	IDS
Complete	Yes	Yes	No	No	Yes
Optimal	No	Yes	No	No	No
Time	$\mathcal{O}(b^d)$	$\mathcal{O}(b^{1+\lfloor \frac{C^*}{\epsilon} \rfloor})$	$\mathcal{O}(b^m)$	$\mathcal{O}(b^\ell)$	$\mathcal{O}(b^d)$
Space	$\mathcal{O}(b^d)$	$\mathcal{O}(b^{1+\lfloor \frac{C^*}{\epsilon} \rfloor})$	$\mathcal{O}(bm)$	$\mathcal{O}(b\ell)$	$\mathcal{O}(bd)$

Table 1: Summary of search algorithms' properties

We have also studied variants where we only run DFS to a certain depth (Figure VI.4) and where we iteratively deepen our search depth (Figure VI.5). Table 1 summarizes the various search algorithms' properties.

```
function DEPTH-LIMITED-SEARCH(problem, limit) returns a solution, or failure/cutoff
  return RECURSIVE-DLS(MAKE-NODE(problem.INITIAL-STATE), problem, limit)

function RECURSIVE-DLS(node, problem, limit) returns a solution, or failure/cutoff
  if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
  else if limit = 0 then return cutoff
  else
      cutoff_occurred? ← false
      for each action in problem.ACTIONS(node.STATE) do
          child ← CHILD-NODE(problem, node, action)
          result ← RECURSIVE-DLS(child, problem, limit − 1)
          if result = cutoff then cutoff_occurred? ← true
          else if result ≠ failure then return result
      if cutoff_occurred? then return cutoff else return failure
```

Figure VI.4: The depth-limited search algorithm

```
function ITERATIVE-DEEPENING-SEARCH(problem) returns a solution, or failure
  for depth = 0 to ∞ do
      result ← DEPTH-LIMITED-SEARCH(problem, depth)
      if result ≠ cutoff then return result
```

Figure VI.5: The iterative deepening search algorithm

We also noted that no deterministic search algorithm can do well in general; in the worst case, they will all search through the entire search space.

1.2 Informed Search

Informed search uses additional information about the underlying search problem in order to narrow down the search scope. We have mostly discussed the A^* algorithm, where the priority queue holding the frontier is ordered by $g(v) + h(v)$, where $g(v)$ is the distance of a node from the source, and $h(v)$ is a *heuristic estimate* of the distance of the node from a goal node. There are two key types of heuristic functions

Definition 1.1. A heuristic h is *admissible* if it never overestimates the distance of a node from the nearest goal; i.e.

$$\forall v : h(v) \leq h^*(v),$$

where $h^*(v)$ is the *optimal heuristic*, i.e. the true distance of a node from the nearest goal.

Definition 1.2. A heuristic h is *consistent* if it satisfies the triangle inequality; i.e.

$$\forall v, v' : h(v) \leq h(v') + c(v, v')$$

where $c(v, v')$ is the cost of transitioning from v to v'.

We have shown that A^* with tree search is optimal when the heuristic is admissible, and is optimal with graph search when the heuristic is consistent. We also showed that consistency implies admissibility but not the other way around, and that running A^* with an admissible inconsistent heuristic with graph search may lead to a sub-optimal goal.

2 Adversarial Search

An extensive form game is defined by V a set of nodes and E a set of directed edges, defining a tree. The root of the tree is the node r. Let $V_{\max}$ be the set of nodes controlled by the MAX player and $V_{\min}$ be the set of nodes controlled by the MIN player. We often refer to the MAX player as player 1, and to the MIN player as player 2. A *strategy* for the MAX player is a mapping $s_1 : V_{\max} \to V$; similarly, a strategy for the MIN player is a mapping $s_2 : V_{\min} \to V$. In both cases, $s_i(v) \in chld(v)$ is the choice of child node that will be taken at node v. We let $\mathcal{S}_1, \mathcal{S}_2$ be the set of strategies for the MAX and MIN player, respectively.
The leaves of the minimax tree are *payoff nodes*. There is a payoff $a(v) \in \mathbb{R}$ associated with each payoff node v. More formally, the utility of the MAX player from v is $u_{\max}(v) = a(v)$ and the utility of the MIN player is $u_{\min}(v) = -a(v)$. The utility of a player from a pair of strategies $s_1 \in \mathcal{S}_1, s_2 \in \mathcal{S}_2$ is simply the utility they receive by the leaf node reached when the strategy pair (s_1, s_2) is played.

Definition 2.1 (Nash Equilibrium)**.** A pair of strategies $s_1^* \in \mathcal{S}_1, s_2^* \in \mathcal{S}_2$ for the MAX player and the MIN player, respectively, is a *Nash equilibrium* if no player can get a strictly higher utility by switching their strategy. In other words:

$$\forall s \in \mathcal{S}_1 : u_1(s_1^*, s_2^*) \geq u_1(s, s_2^*);$$
$$\forall s' \in \mathcal{S}_2 : u_2(s_1^*, s_2^*) \geq u_2(s_1^*, s')$$

Definition 2.2 (Subgame)**.** Given an extensive form game $\langle V, E, r, V_{\max}, V_{\min}, \vec{a} \rangle$, a subgame is a subtree of the original game, defined by some arbitrary node v set to be the root node r, and all of its descendants (i.e. its children, its children's children etc.), denoted by $desc(v)$. Terminal node payoffs the same as in the original extensive form game, and players still control the same nodes as in the original game.

Definition 2.3 (Subgame-Perfect Nash Equilibrium (SPNE))**.** A pair of strategies $s_1^* \in \mathcal{S}_1, s_2^* \in \mathcal{S}_2$ is a subgame-perfect Nash equilibrium if it is a Nash equilibrium for any subtree of the original game tree.

```
function MINIMAX-DECISION(state) returns an action
  return arg max_{a ∈ ACTIONS(s)} MIN-VALUE(RESULT(state, a))

function MAX-VALUE(state) returns a utility value
  if TERMINAL-TEST(state) then return UTILITY(state)
  v ← −∞
  for each a in ACTIONS(state) do
    v ← MAX(v, MIN-VALUE(RESULT(s, a)))
  return v

function MIN-VALUE(state) returns a utility value
  if TERMINAL-TEST(state) then return UTILITY(state)
  v ← ∞
  for each a in ACTIONS(state) do
    v ← MIN(v, MAX-VALUE(RESULT(s, a)))
  return v
```

Figure VI.6: The minimax algorithm (note a typo from the AIMA book - s should be $state$).

Figure VI.6 describes the minimax algorithm, which computes SPNE strategies for the MIN and MAX players, as we have shown in class. We discussed the α-β pruning algorithm as a method of removing subtrees that need not be explored (Figure VI.7).

3 Constraint Satisfaction Problems

A constraint satisfaction problem (CSP) is given by a set of variables $X_1, \ldots, X_n$, each with a corresponding domain $D_1, \ldots, D_n$ (it is often assumed that domain sizes are constrained, i.e. $|D_i| \leq d$ for all $i \in [n]$). Constraints specify relations between sets of variables; we are given $C_1, \ldots, C_m$ constraints. The constraint C_j depends on a subset of variables and takes on a value of "true" if and only if the values assigned to these variables satisfy C_j. Our objective is to find an assignment $(y_1, \ldots, y_n) \in D_1 \times \cdots \times D_n$ of values to the variables such that $C_1, \ldots, C_m$ are all satisfied. A binary CSP is one where all constraints involve at most two variables. In this case, we can write the relations between variables as a *constraint graph*, where there is an (undirected) edge between X_i and X_j if there is some constraint of the form $C(X_i, X_j)$.

```
function ALPHA-BETA-SEARCH(state) returns an action
  v ← MAX-VALUE(state, −∞, +∞)
  return the action in ACTIONS(state) with value v

function MAX-VALUE(state, α, β) returns a utility value
  if TERMINAL-TEST(state) then return UTILITY(state)
  v ← −∞
  for each a in ACTIONS(state) do
    v ← MAX(v, MIN-VALUE(RESULT(s,a), α, β))
    if v ≥ β then return v
    α ← MAX(α, v)
  return v

function MIN-VALUE(state, α, β) returns a utility value
  if TERMINAL-TEST(state) then return UTILITY(state)
  v ← +∞
  for each a in ACTIONS(state) do
    v ← MIN(v, MAX-VALUE(RESULT(s,a) , α, β))
    if v ≤ α then return v
    β ← MIN(β, v)
  return v
```

Figure VI.7: The α-β pruning algorithm.

In class we discussed *backtracking search* (Figure VI.8), which is essentially a depth-first search assigning variable values in some order. Within backtracking search, we can employ several heuristics to speed up our search.

```
function BACKTRACKING-SEARCH(csp) returns a solution, or failure
  return BACKTRACK({ }, csp)

function BACKTRACK(assignment, csp) returns a solution, or failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment then
      add {var = value} to assignment
      inferences ← INFERENCE(csp, var, value)
      if inferences ≠ failure then
        add inferences to assignment
        result ← BACKTRACK(assignment, csp)
        if result ≠ failure then
          return result
    remove {var = value} and inferences from assignment
  return failure
```

Figure VI.8: Backtracking search

1. When selecting the next variable to check, it makes sense to choose:
   (a) the most constrained variable (the one with the least number of legal assignable values).
   (b) the most constraining variable (the one that shares constraints with the most unassigned variables)
2. When selecting what value to assign, it makes sense to choose a value that is least constraining for other variables.

It also makes sense to keep track of what values are still legal for other variables, as we run backtracking search.

Forward Checking: as we assign values, keep track of what variable values are allowed for the unassigned variables. If some variable has no more legal values left, we can terminate this branch of our search. In more detail: whenever a variable X is assigned a value, the forward-checking process establishes arc consistency for it: for each unassigned variable Y that is connected to X by a constraint, delete from Y's domain any value that is inconsistent with the value chosen for X.

Arc Consistency: Uses a more general form of arc consistency; can be used when we assign a variable value (like forward checking) or as a preprocessing step.

Definition 3.1. Given two variables X_i, X_j, X_i is consistent with respect to X_j (equivalently, the arc (X_i, X_j) is consistent) if for any value $x \in D_i$ there exists some value $y \in D_j$ such that the binary constraint on X_i and X_j is satisfied with x, y assigned, i.e. $C_{i,j}(x, y)$ is satisfied (here, $C_{i,j}$ is simply a constraint involving X_i and X_j).

The AC3 algorithm (Figure VI.9) offers a nice way of iteratively reducing the domains of variables in order to ensure arc consistency at every step of our backtracking search. Whenever we remove a value from the domain of X_i with respect to X_j (the REVISE operation in the AC3 algorithm), we need to recheck all of the neighbors of X_i, i.e. add all of the edges of the form (X_k, X_i) where $k \neq j$ to the checking queue of the AC3 algorithm. We have seen in class that the AC3 algorithm runs in $\mathcal{O}(n^2 d^3)$ time. In general, finding

```
function AC-3(csp) returns false if an inconsistency is found and true otherwise
  inputs: csp, a binary CSP with components (X, D, C)
  local variables: queue, a queue of arcs, initially all the arcs in csp

  while queue is not empty do
    (X_i, X_j) ← REMOVE-FIRST(queue)
    if REVISE(csp, X_i, X_j) then
      if size of D_i = 0 then return false
      for each X_k in X_i.NEIGHBORS - {X_j} do
        add (X_k, X_i) to queue
  return true
```

```
function REVISE(csp, X_i, X_j) returns true iff we revise the domain of X_i
  revised ← false
  for each x in D_i do
    if no value y in D_j allows (x,y) to satisfy the constraint between X_i and X_j then
      delete x from D_i
      revised ← true
  return revised
```

Figure VI.9: The AC3 Algorithm

a satisfying assignment (or deciding that one does not exist) for a CSP with n variables and domain size bounded by d takes $\mathcal{O}(d^n)$ via backtracking search; however, we have seen in class that if the constraint graph is a tree (or a forest more generally), we can find one in $\mathcal{O}(nd^2)$ time.

4 Logical Agents and Inference

A knowledge base KB is a set of logical rules that model what the agent knows. These rules are written using a certain language (or *syntax*) and use a certain truth model (or *semantics* which say when a certain statement is true or false). In propositional logic sentences are defined as follows

1. Atomic Boolean variables are sentences.
2. If S is a sentence, then so is $\neg S$.
3. If S_1 and S_2 are sentences, then so is:
   (a) $S_1 \wedge S_2$ "S_1 and S_2"
   (b) $S_1 \vee S_2$ "S_1 or S_2"
   (c) $S_1 \Rightarrow S_2$ "S_1 implies S_2"
   (d) $S_1 \Leftrightarrow S_2$ "S_1 holds if and only if S_2 holds"

We say that a logical statement a models b ($a \models b$) if b holds whenever a holds. In other words, if $M(q)$ is the set of all value assignments to variables in a for which a holds true, then $M(a) \subseteq M(b)$.
An inference algorithm $\mathcal{A}$ is one that takes as input a knowledge base KB and a query α and decides whether α is derived from KB, written as $KB \vdash_{\mathcal{A}} \alpha$. $\mathcal{A}$ is sound if $KB \vdash_{\mathcal{A}} \alpha$ implies that $KB \models \alpha$; $\mathcal{A}$ is complete if $KB \models \alpha$ implies that $KB \vdash_{\mathcal{A}} \alpha$.

```
function DPLL-SATISFIABLE?(s) returns true or false
  inputs: s, a sentence in propositional logic

  clauses ← the set of clauses in the CNF representation of s
  symbols ← a list of the proposition symbols in s
  return DPLL(clauses, symbols, { })

function DPLL(clauses, symbols, model) returns true or false

  if every clause in clauses is true in model then return true
  if some clause in clauses is false in model then return false
  P, value ← FIND-PURE-SYMBOL(symbols, clauses, model)
  if P is non-null then return DPLL(clauses, symbols – P, model ∪ {P=value})
  P, value ← FIND-UNIT-CLAUSE(clauses, model)
  if P is non-null then return DPLL(clauses, symbols – P, model ∪ {P=value})
  P ← FIRST(symbols); rest ← REST(symbols)
  return DPLL(clauses, rest, model ∪ {P=true}) or
        DPLL(clauses, rest, model ∪ {P=false}))
```

Figure VI.10: The DPLL algorithm

```
function PL-RESOLUTION(KB, α) returns true or false
  inputs: KB, the knowledge base, a sentence in propositional logic
          α, the query, a sentence in propositional logic

  clauses ← the set of clauses in the CNF representation of KB ∧ ¬α
  new ← { }
  loop do
    for each pair of clauses C_i, C_j in clauses do
      resolvents ← PL-RESOLVE(C_i, C_j)
      if resolvents contains the empty clause then return true
      new ← new ∪ resolvents
    if new ⊆ clauses then return false
    clauses ← clauses ∪ new
```

Figure VI.11: Resolution algorithm for propositional logic

4.1 Inference Algorithms

We saw algorithms that simply check all possible truth assignments, and then ensure that $KB \Rightarrow \alpha$, i.e. that for any truth assignment for which KB is true, the query α is true as well. Truth-table enumeration does so by brute force, whereas DPLL employs heuristics similar to those we've seen in CSPs (see Figure VI.10). Inference algorithms try to obtain new knowledge, i.e. new logical formulas, from the knowledge base. We have seen a few inference techniques:

Modus Ponens: $\alpha \wedge (\alpha \Rightarrow \beta)$ implies β.

And Elimination: $\alpha \wedge \beta$ implies β.

Resolution: $\alpha \vee \beta$ and $\neg\alpha \vee \gamma$ implies $\beta \vee \gamma$.

In order to use resolution, KB must be in conjunctive normal form: it must comprise of a list of rules (clauses) that are of the form $\alpha_1 \vee \cdots \vee \alpha_k$. See Figure VI.11 One can also run a forward checking procedure in order to infer, but then KB must be in horn form: rules of the format $\alpha_1 \wedge \cdots \wedge \alpha_k \Rightarrow \beta$ with some goal query. See Figure VI.12 for details. We have also explored a goal based approach: backwards chaining, which is effectively the backtracking search algorithm for CSPs (Figure VI.8).

5 Inference in First Order Logic

First order logic (FOL) is a more expressive logic language, which includes existential quantifiers ($\exists x : P(x)$) and universal quantifiers ($\forall x : P(x)$). A key notion in FOL is **substitution**: a universal quantifier entails any substition of a constant into the variable: $\forall x : P(x)$ entails $P(a)$ for all a. This is also true for existential quantifiers, provided that the symbol used does not appear anywhere in the KB: $\exists x : P(x)$ entails $P(a_0)$ for some new constant a_0. This is called **Skolemization**. Unification is simply a variable substitution that makes two FOL sentences identical. We have seen the unification algorithm (Figure VI.13). Unification plays a key role in inference. For example, **generalized modus ponens** states that given sentences $P_1, \ldots, P_k$ and $R_1 \wedge \cdots \wedge R_k \Rightarrow Q$, if there is some substition θ that unifies R_j with P_j, then Q holds with the substitution θ; it also plays a key role in the FOL forward chaining algorithm variant (Figure VI.14).

```
function PL-FC-ENTAILS?(KB, q) returns true or false
  inputs: KB, the knowledge base, a set of propositional definite clauses
          q, the query, a proposition symbol
  count ← a table, where count[c] is the number of symbols in c's premise
  inferred ← a table, where inferred[s] is initially false for all symbols
  agenda ← a queue of symbols, initially symbols known to be true in KB

  while agenda is not empty do
    p ← POP(agenda)
    if p = q then return true
    if inferred[p] = false then
      inferred[p] ← true
      for each clause c in KB where p is in c.PREMISE do
        decrement count[c]
        if count[c] = 0 then add c.CONCLUSION to agenda
  return false
```

Figure VI.12: Forward chaining in propositional logic

```
function UNIFY(x, y, θ) returns a substitution to make x and y identical
  inputs: x, a variable, constant, list, or compound expression
          y, a variable, constant, list, or compound expression
          θ, the substitution built up so far (optional, defaults to empty)

  if θ = failure then return failure
  else if x = y then return θ
  else if VARIABLE?(x) then return UNIFY-VAR(x, y, θ)
  else if VARIABLE?(y) then return UNIFY-VAR(y, x, θ)
  else if COMPOUND?(x) and COMPOUND?(y) then
    return UNIFY(x.ARGS, y.ARGS, UNIFY(x.OP, y.OP, θ))
  else if LIST?(x) and LIST?(y) then
    return UNIFY(x.REST, y.REST, UNIFY(x.FIRST, y.FIRST, θ))
  else return failure

function UNIFY-VAR(var, x, θ) returns a substitution

  if {var/val} ∈ θ then return UNIFY(val, x, θ)
  else if {x/val} ∈ θ then return UNIFY(var, val, θ)
  else if OCCUR-CHECK?(var, x) then return failure
  else return add {var/x} to θ
```

Figure VI.13: The unification algorithm

```
function FOL-FC-ASK(KB, α) returns a substitution or false
  inputs: KB, the knowledge base, a set of first-order definite clauses
          α, the query, an atomic sentence
  local variables: new, the new sentences inferred on each iteration

  repeat until new is empty
    new ← { }
    for each rule in KB do
      (p1 ∧ ... ∧ pn ⇒ q) ← STANDARDIZE-VARIABLES(rule)
      for each θ such that SUBST(θ, p1 ∧ ... ∧ pn) = SUBST(θ, p'1 ∧ ... ∧ p'n)
                  for some p'1, ..., p'n in KB
        q' ← SUBST(θ, q)
        if q' does not unify with some sentence already in KB or new then
          add q' to new
          φ ← UNIFY(q', α)
          if φ is not fail then return φ
    add new to KB
  return false
```

Figure VI.14: Forward chaining for FOL

```
function FOL-BC-ASK(KB, query) returns a generator of substitutions
  return FOL-BC-OR(KB, query, { })

generator FOL-BC-OR(KB, goal, θ) yields a substitution
  for each rule (lhs ⇒ rhs) in FETCH-RULES-FOR-GOAL(KB, goal) do
    (lhs, rhs) ← STANDARDIZE-VARIABLES((lhs, rhs))
    for each θ' in FOL-BC-AND(KB, lhs, UNIFY(rhs, goal, θ)) do
      yield θ'

generator FOL-BC-AND(KB, goals, θ) yields a substitution
  if θ = failure then return
  else if LENGTH(goals) = 0 then yield θ
  else do
    first, rest ← FIRST(goals), REST(goals)
    for each θ' in FOL-BC-OR(KB, SUBST(θ, first), θ) do
      for each θ'' in FOL-BC-AND(KB, rest, θ') do
        yield θ''
```

Figure VI.15: Backwards chaining algorithm for FOL

Similarly, there is a backwards chaining variant for FOL (Figure VI.15). To run FOL resolution, one must first convert the KB to CNF form.

Standardize variables apart: each universal quantifier should have its own variable.

Skolemize: each existential variable is replaced by a Skolem function of the enclosing universally quantified variables.

Drop universal quantifiers: implicitly assumed from now.

Convert to CNF form: in the same way as you would for propositional logic.

To resolve two FOL clauses, they must contain two complementary FOL literals: these are literals for which there is a unification (and corresponding substitution θ) such that one is the negation of the other. For example if we have $P \vee S$ and $Q \vee R$ such that $S(\theta) = \neg R(\theta)$ then we can entail $P(\theta) \vee Q(\theta)$. Resolution in FOL follows the same rule as propositional resolution, with the appropriate substituted resolvents.

6 Bayesian Inference

Probability theory is the study of *random events*. A *random variable* X takes values from a given *sample space* $\mathcal{S}_X$. A *probability measure* assigns a non-negative value $\Pr[X = x] \triangleq p_X(x)$ to every $x \in \mathcal{S}_X$. If $\mathcal{S}_X$ is finite, then we require that

$$\sum_{x \in \mathcal{S}_X} p_X(x) = 1$$

When it is clear from context, we omit the subtext X from $\mathcal{S}_X$ and $p_X(x)$ and simply write $\mathcal{S}$ and $p(x)$. Events are subsets of $\mathcal{S}$. An *event* A is a subset of $\mathcal{S}$; the probability of an event is written as

$$\Pr[A] \triangleq \sum_{x \in A} p(x).$$

In particular,

$$\Pr[A] + \Pr[B] = \Pr[A \cup B] + \Pr[A \cap B]$$

Given two random variables X, Y, their *joint probability space* is given by $\mathcal{S}_X \times \mathcal{S}_Y$. A *joint probability distribution* over $S_X \times S_Y$ is a function assigning a non-negative value

$$p_{X,Y}(x, y) \triangleq \Pr_{X,Y}[X = x \wedge Y = y]$$

to every pair $(x, y) \in \mathcal{S}_X \times \mathcal{S}_Y$. We require that $p_{X,Y}$ defines a probability over $\mathcal{S}_X \times \mathcal{S}_Y$:

$$\sum_{x \in \mathcal{S}_X} \sum_{y \in \mathcal{S}_Y} p_{X,Y}(x, y) = 1.$$

In particular note that

$$\Pr[X = x] = \sum_{y \in \mathcal{S}_Y} p_{X,Y}(x, y) = \sum_{y \in \mathcal{S}_Y} \Pr[X = x \wedge Y = y].$$

We say that two events A and B are independent if $\Pr[A \wedge B] = \Pr[A] \times \Pr[B]$.
Bayesian inference is based on conditional probability. Conditional probabilities ask "what is the probability that event A occurs, given that we know that event B occurs?". For example, suppose that we roll two dice, one can ask "what is the probability that the first die rolls a '2' given that the sum of the rolls is 8"? We denote this as $\Pr[x \in A \mid x \in B]$ or $\Pr[A \mid B]$ in shorthand. This is defined to be

$$\Pr[A \mid B] \triangleq \frac{\Pr[A \wedge B]}{\Pr[B]}$$

Bayes' rule is one of the most useful tools in probabilistic analysis.

$$\Pr[A \mid B] = \Pr[B \mid A] \times \frac{\Pr[A]}{\Pr[B]}$$

Another intuition about conditional probability is that of *information*: the expression $\Pr[A \mid B]$ expresses how knowledge of the event B changes our belief about the likelihood that the event A will occur. For example, if A is the event "I will be late for class" and B is the event "the internal shuttle bus broke down", then $\Pr[A \mid B] > \Pr[A]$; on the other hand, if B is the event "the shuttle bus arrived right on time" then $\Pr[A \mid B] > \Pr[A]$.
We can think of independent events in terms of conditional probability: A and B are independent if and only if $\Pr[A \mid B] = \Pr[A]$.
We say that X_1 and X_2 are *conditionally independent given* Y if

$$\Pr[X_1 \wedge X_2 \mid Y] = \Pr[X_1 \mid Y] \times \Pr[X_2 \mid Y].$$

Bayesian networks are simply a way to capture the full joint probability distribution of n random variables $X_1, \ldots, X_n$. Nodes of the network are the random variables, and there is an edge from X_i to X_j if X_i directly influences X_j. The variables that have an incoming arc into X_i are referred to as X_i's parents. The idea is to find a choice of parents for each X_i such that the joint probability distribution of $X_1, \ldots, X_n$ can be described via the dependencies on the parents.

$$\Pr[X_1 \wedge \cdots \wedge X_n] = \prod_{i=1}^{n} \Pr[X_i \mid \texttt{Parents}(X_i)]$$

When writing a Bayesian network, we must add for each node its conditional probability table (CPT): the probability distribution of X_i given the possible values of its parents.

- A node is conditionally independent of its non-descendants given its parents
- A node is conditionally independent of all other nodes given its Markov blanket (i.e., parents, children + children's other parents).

END OF PAPER