

Guest Lecture

# Size Effects on Thermal Conduction and Thermo-Electrics

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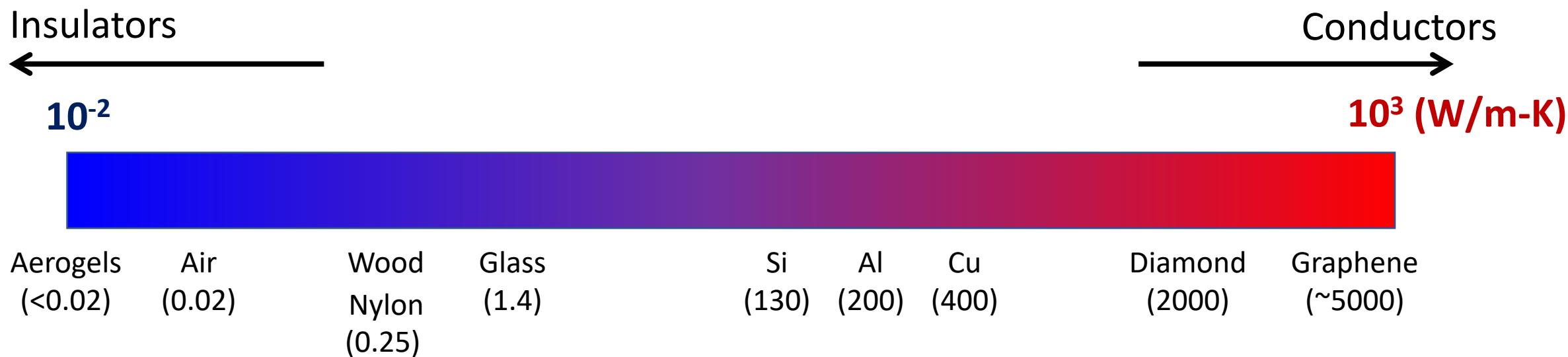
Department of Mechanical Engineering  
National University of Singapore

Lecture on Oct 7, 2021

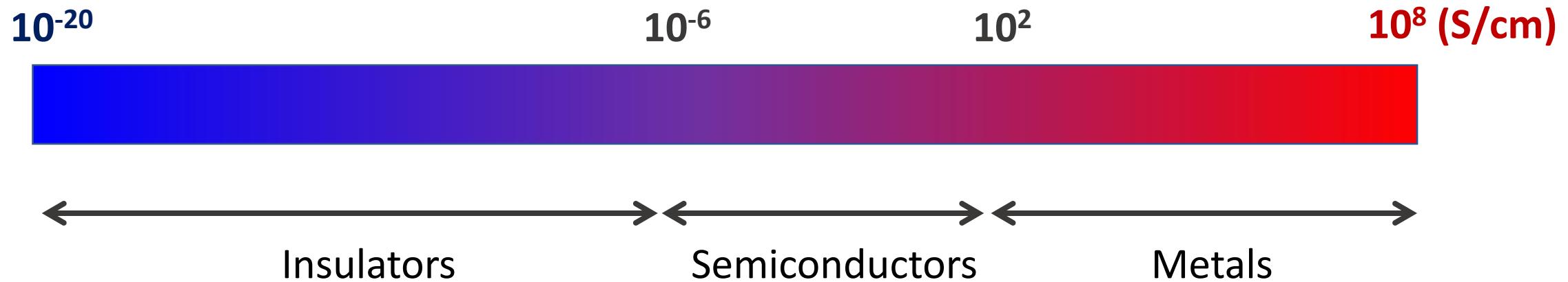
# Agenda

- Nanotechnology in Thermal Engineering
- State-of-the-art Technology
- Application for Thermo-Electric Energy Conversion

# Range of *THERMAL* Conductivity ( $\kappa$ )



# Range of *ELECTRICAL* Conductivity ( $\sigma$ )



# Clearly....

Hard to control heat!

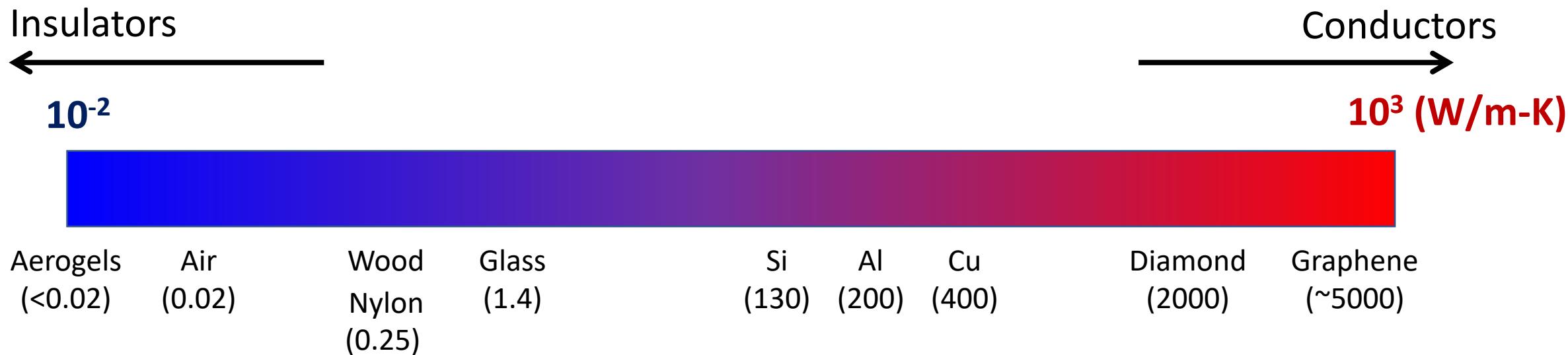
$$10^{-2} < \kappa < 10^3$$

$$10^{-20} < \sigma < 10^8$$

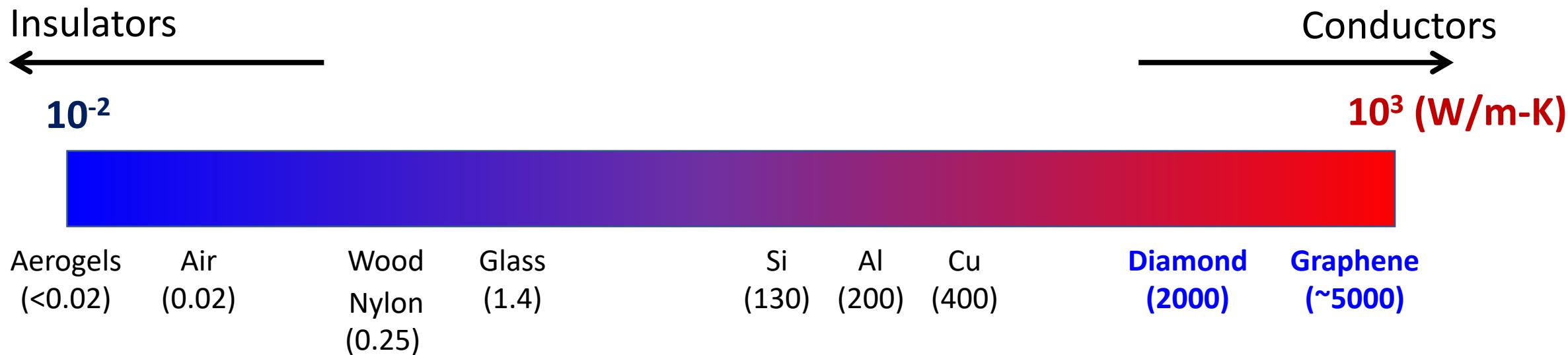


Stay hot up to 5 hours

# What are the parameters to determine $\kappa$ ?

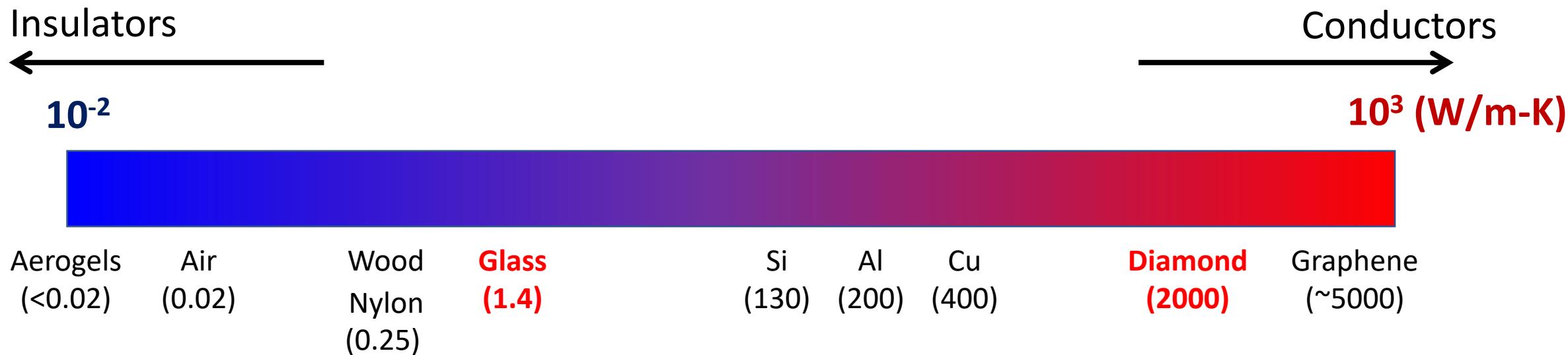


# What are the parameters to determine $\kappa$ ?



Related to Electrical Property?

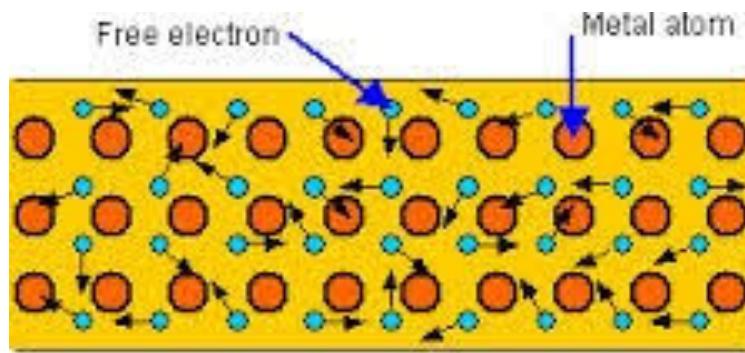
# What are the parameters to determine $\kappa$ ?



Related to Electrical Property?  
Mechanical Property?

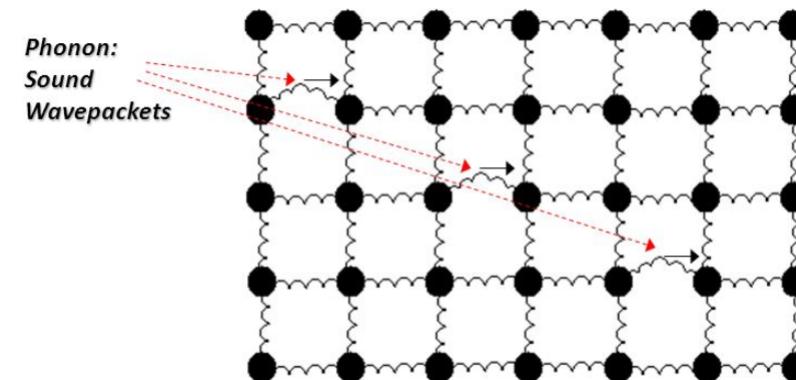
# Thermal Energy Carriers

Electrons



ex) Metals (Cu, Au...)

Phonons (Lattice)



ex) Dielectrics (Glass, diamond...)

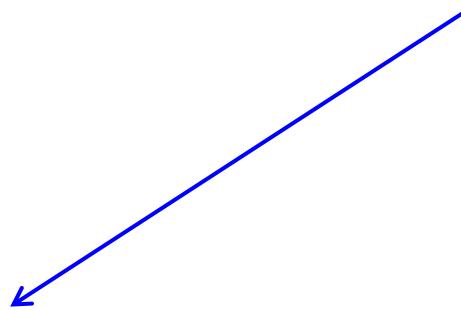
$$\text{Total thermal conductivity, } K_t = K_e + K_L$$

# Reducing the Thermal Conductivity

Total thermal conductivity,  $K_t = K_e + K_L$

Wiedemann–Franz law:

$$K_e = \sigma L T$$



$\sigma$ : Electrical conductivity

L: Lorentz number

T: Temperature

# Reducing the Lattice Thermal Conductivity

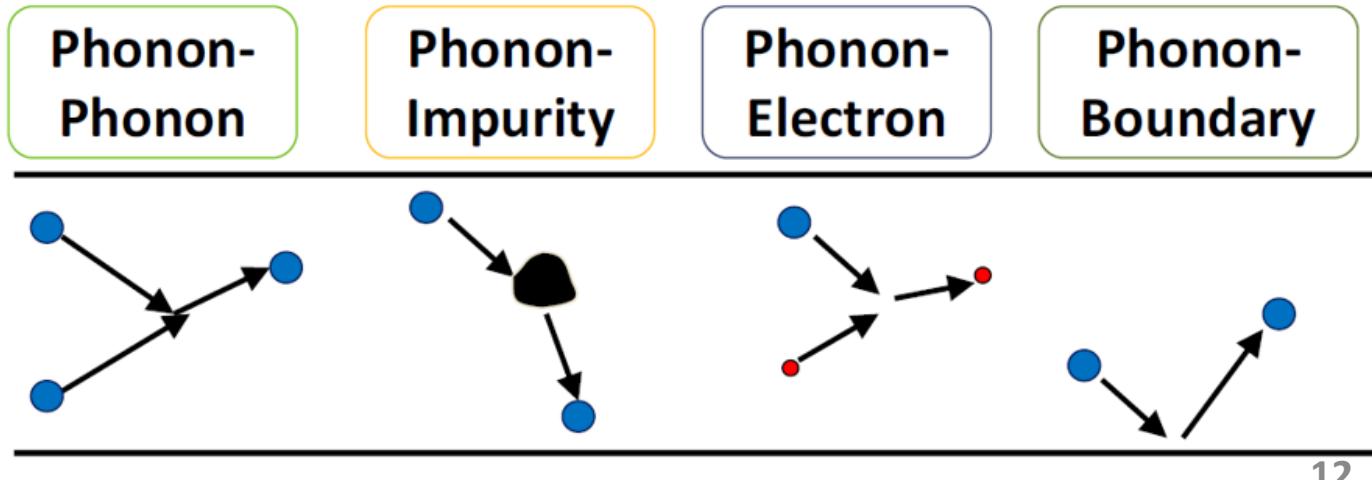
# Lattice Thermal Conductivity

Lattice thermal conductivity

$$\kappa_L = \frac{1}{3} C v l$$

C: Specific heat  
v: phonon velocity  
l: phonon mean free path

**Phonon mean free path:** Average distance between two successive particle scattering events.  
Interactions to consider include:



# Lattice Thermal Conductivity

Lattice thermal conductivity

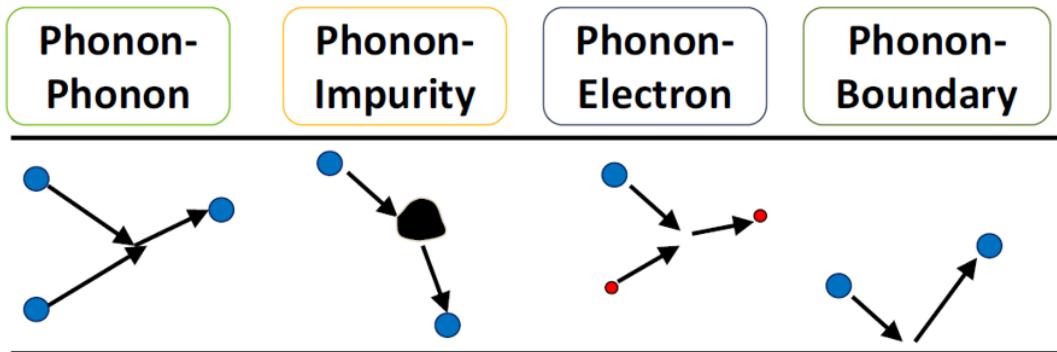
$$\kappa_L = \frac{1}{3} C v l$$

C: Specific heat  
v: phonon velocity  
*l*: phonon mean free path

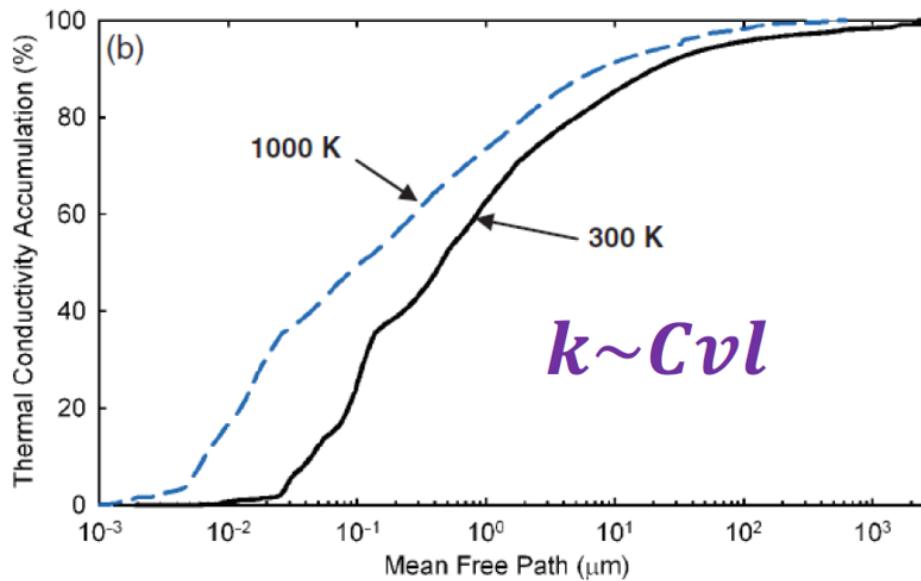
Matthiessen Rule:

$$\frac{1}{l} = \frac{1}{l_U} + \frac{1}{l_I} + \frac{1}{l_B} + \frac{1}{l_{ph-e}}$$

$l_U$ : (phonon-phonon) Umklapp scattering  
 $l_I$ : Impurity scattering  
 $l_B$ : Boundary scattering  
 $l_{ph-e}$  : phonon-electron scattering



# Phonon MFP Contribution to Thermal Conductivity

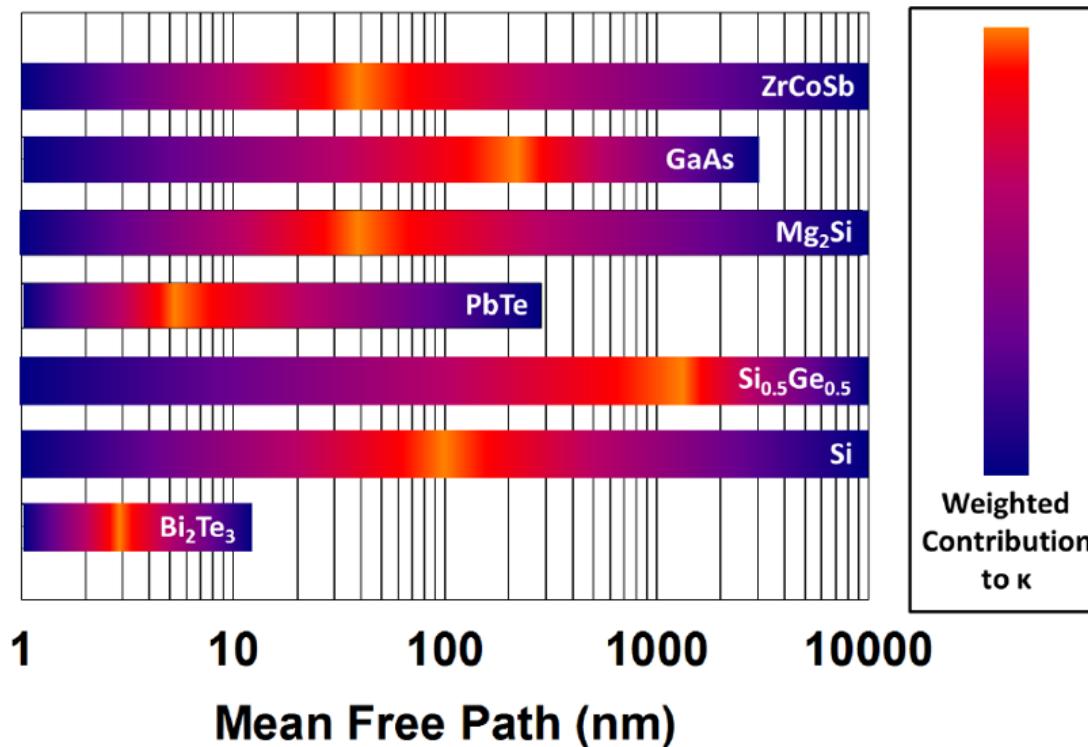


**Majority (~80%) of thermal conductivity contribution in Si from phonons with MFP < 10 μm.**

Theory: A. Henry and G. Chen, J. Comput Theor. Nanosci., 5, 1-12 (2008)

Exp: J.A. Malen *et al.*, Nature Communications 4, 1640 (2013)

# Phonon MFP Spectra of Selected TE Materials



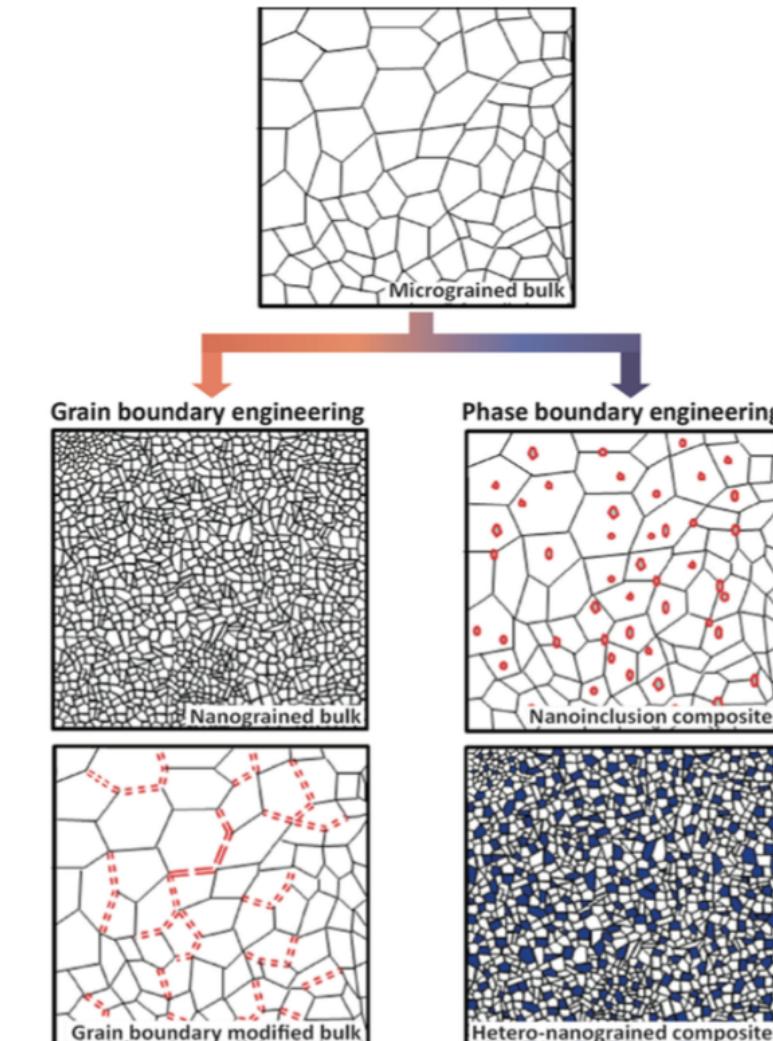
Dechaumphai et al., "Phononic and Electronic Engineering in Nanowires for Enhanced Thermoelectric Performance", book chapter in "Semiconductor Nanowires"



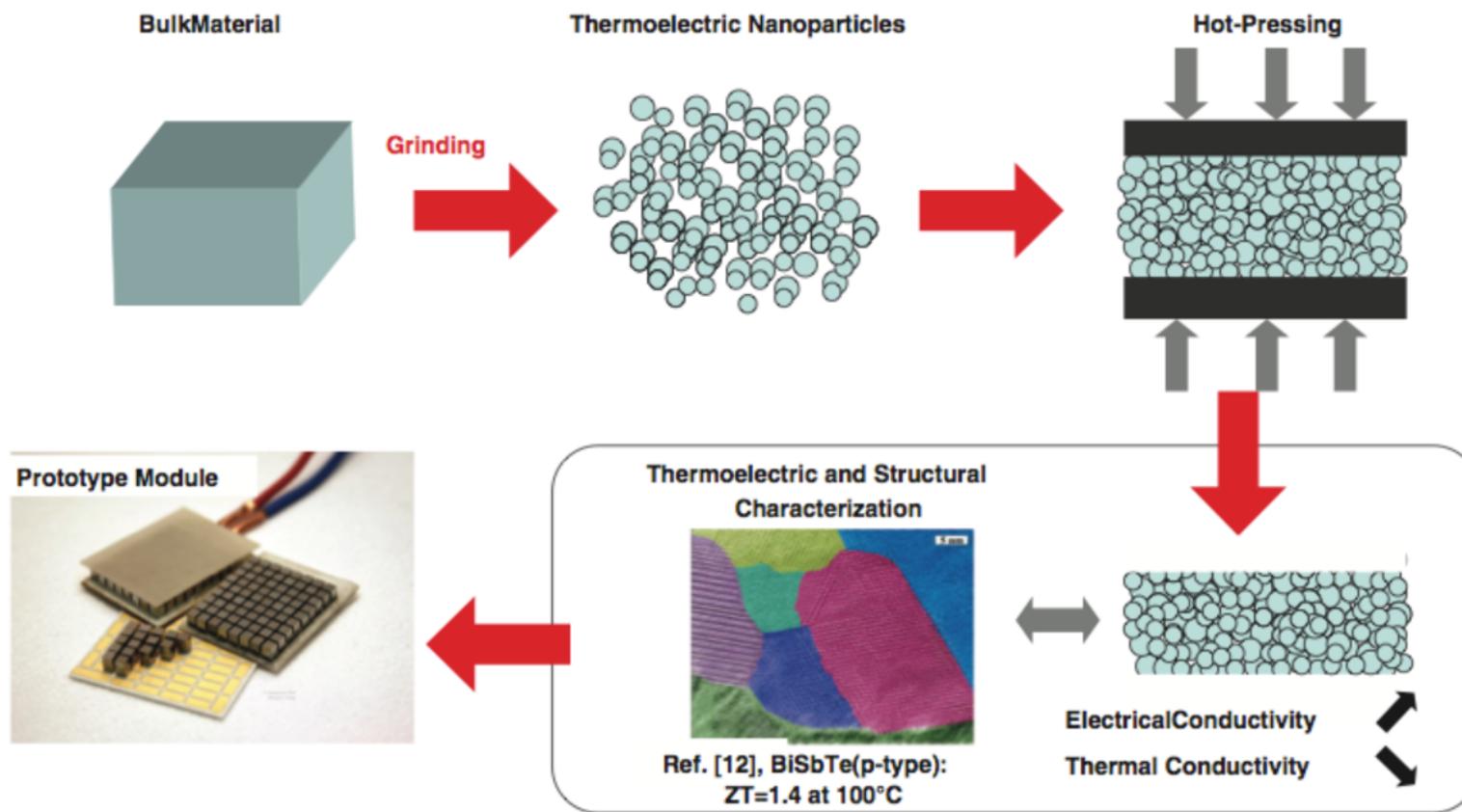
# Boundary Engineering for the Thermoelectric Performance of Bulk Alloys Based on Bismuth Telluride

Hyeona Mun,<sup>[a, b]</sup> Soon-Mok Choi,<sup>[c]</sup> Kyu Hyoung Lee,<sup>\*[d]</sup> and Sung Wng Kim<sup>\*[a, b]</sup>

DOI: 10.1002/cssc.201403485

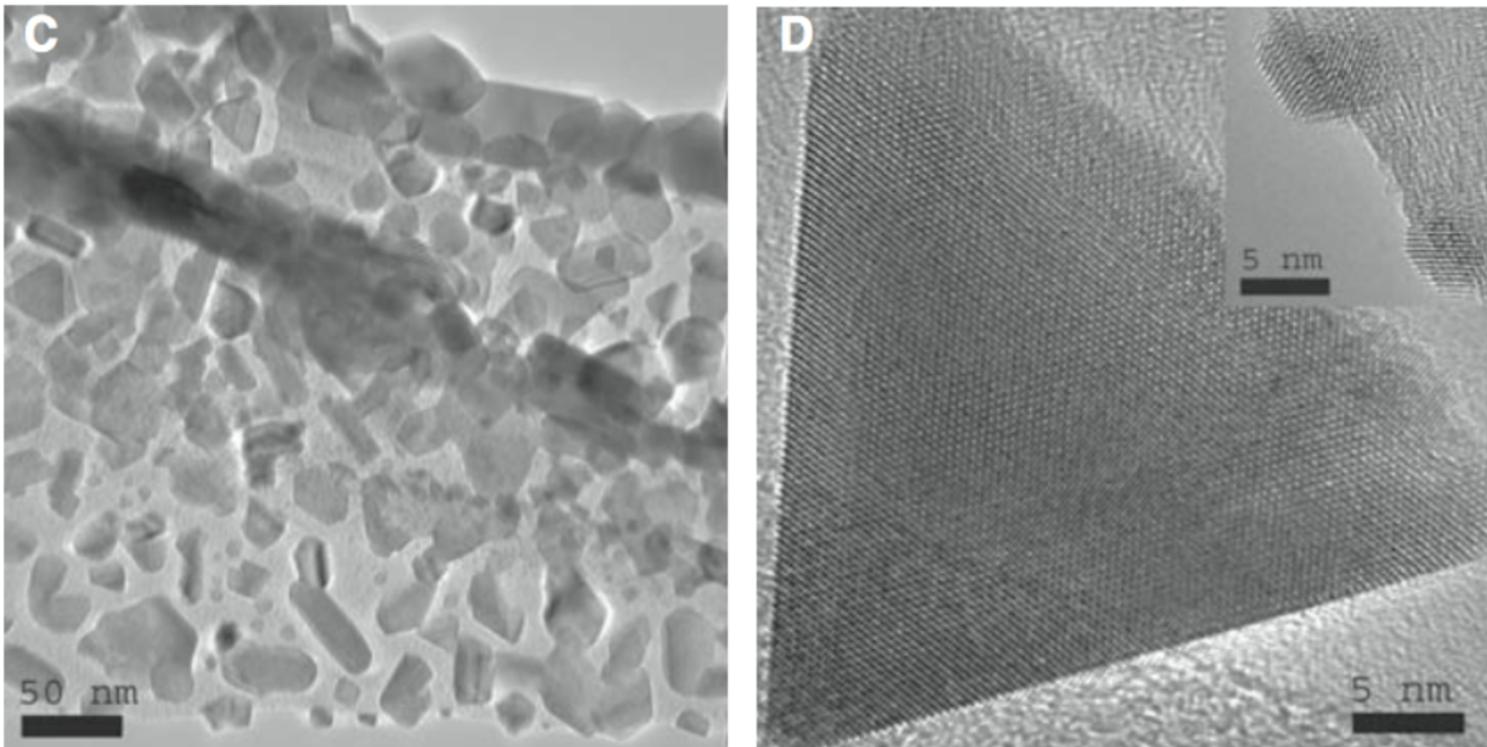


# Nanocomposite



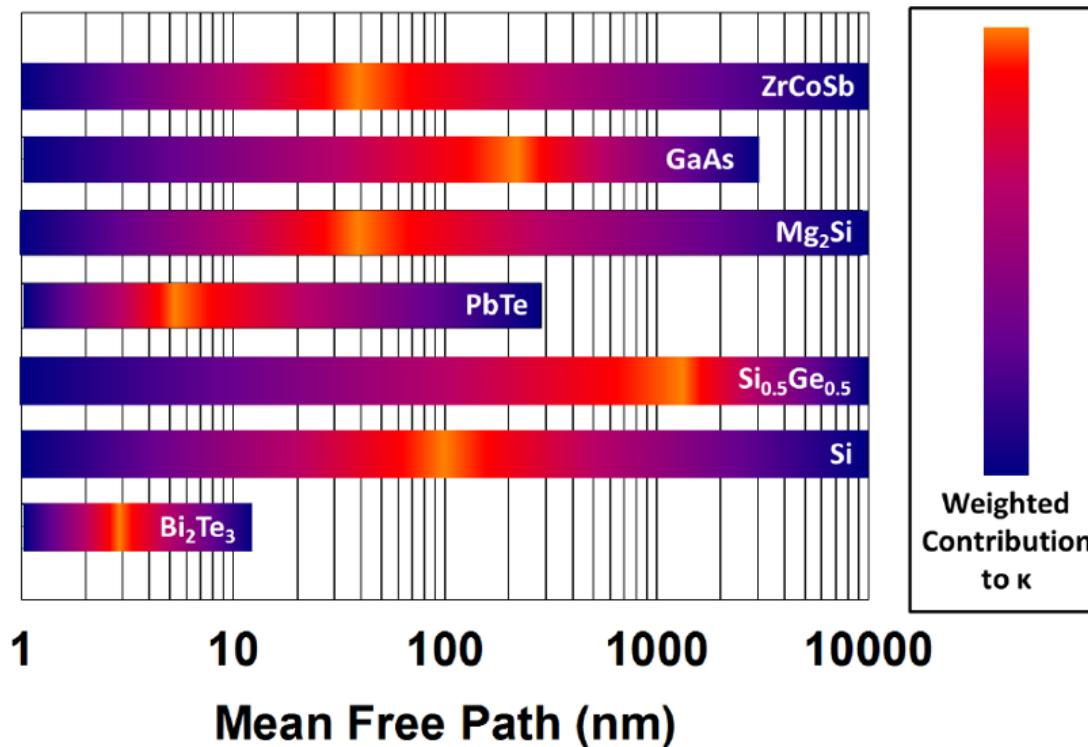
Nielsch et al., Adv. Energy. Mater., 2011

# Grain Boundary Scattering



G. Chen et al., "High-Thermoelectric Performance of Nanostructured Bismuth Antimony Telluride Bulk Alloys". Science, 2008

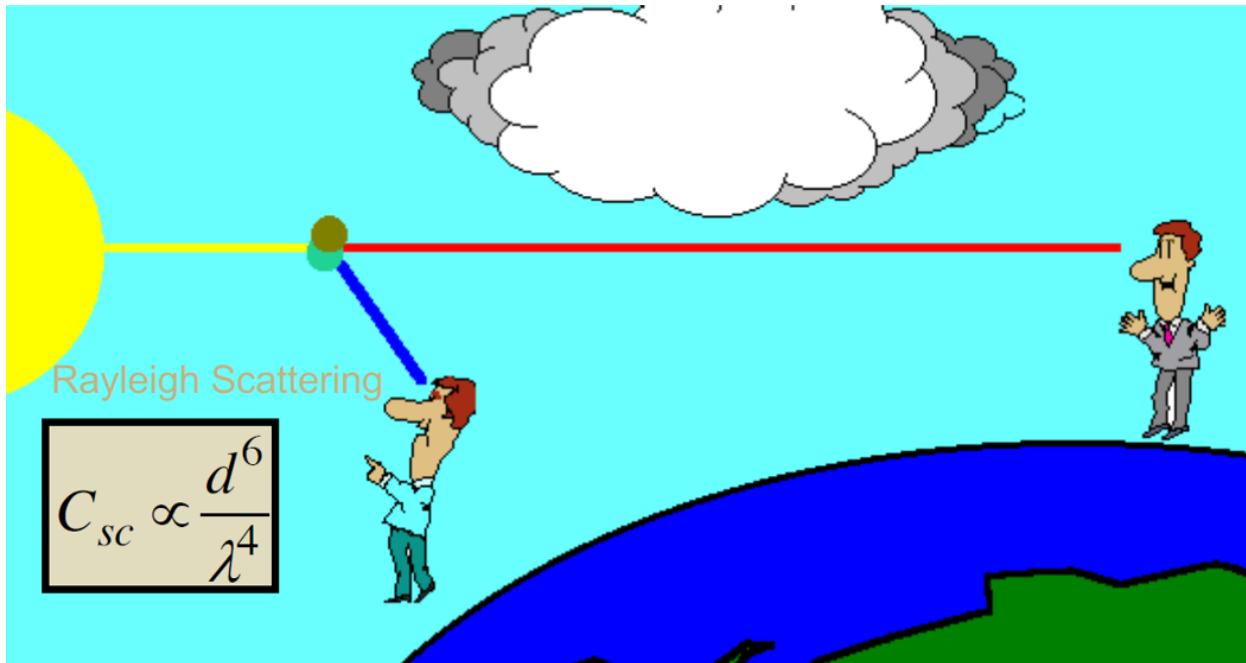
# Phonon MFP Spectra of Selected TE Materials



Dechaumphai et al., "Phononic and Electronic Engineering in Nanowires for Enhanced Thermoelectric Performance", book chapter in "Semiconductor Nanowires"

# Impurity Scattering

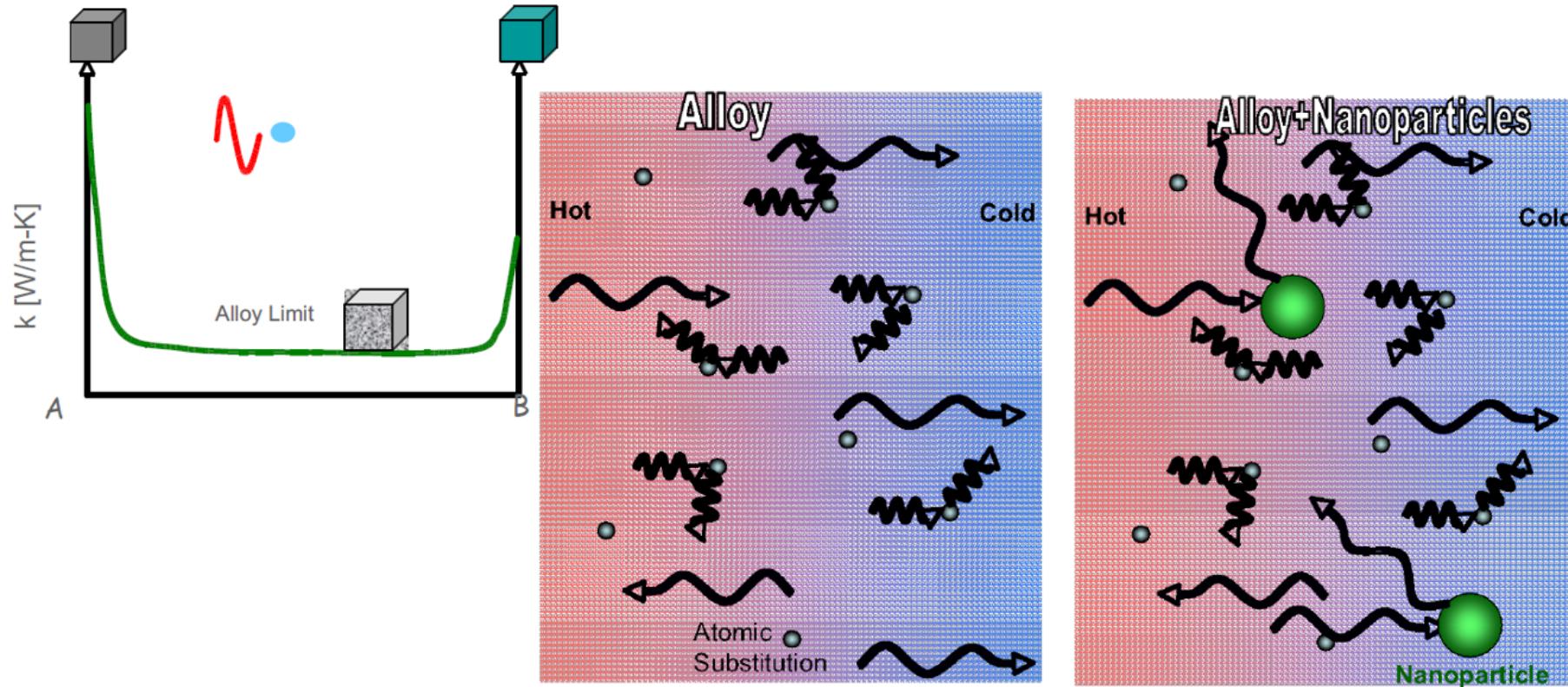
Why the sky is blue?



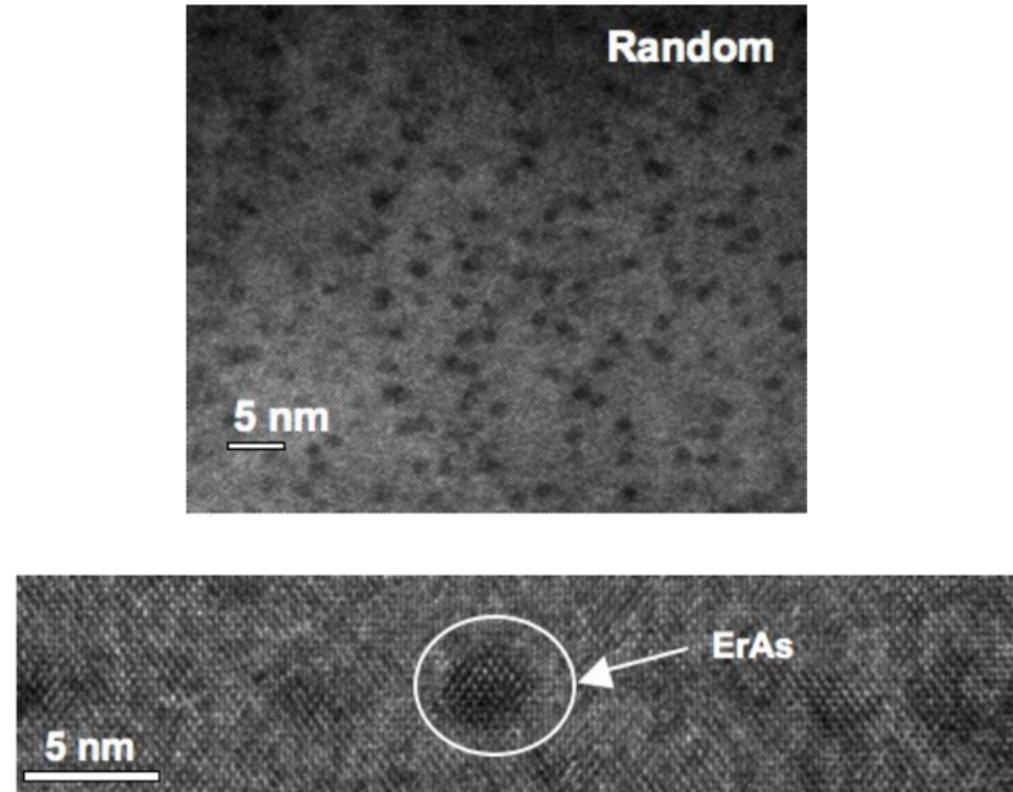
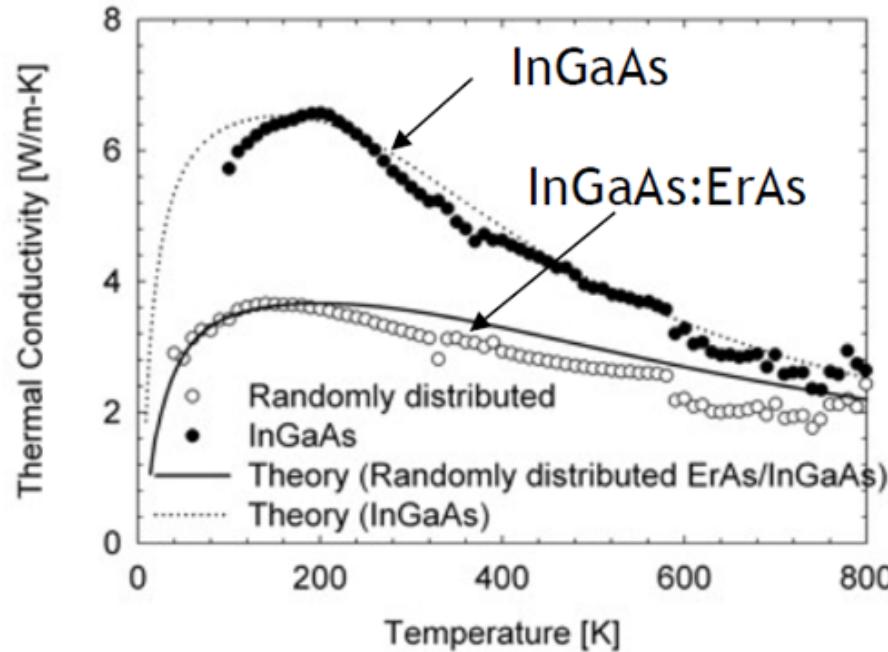
Particles scatter (shorter wavelength) blue light more strongly than they scatter red light.

[http://math.ucr.edu/home/baez/physics/General/BlueSky/blu\\_sky.html](http://math.ucr.edu/home/baez/physics/General/BlueSky/blu_sky.html)

# Beating the Alloy Limit

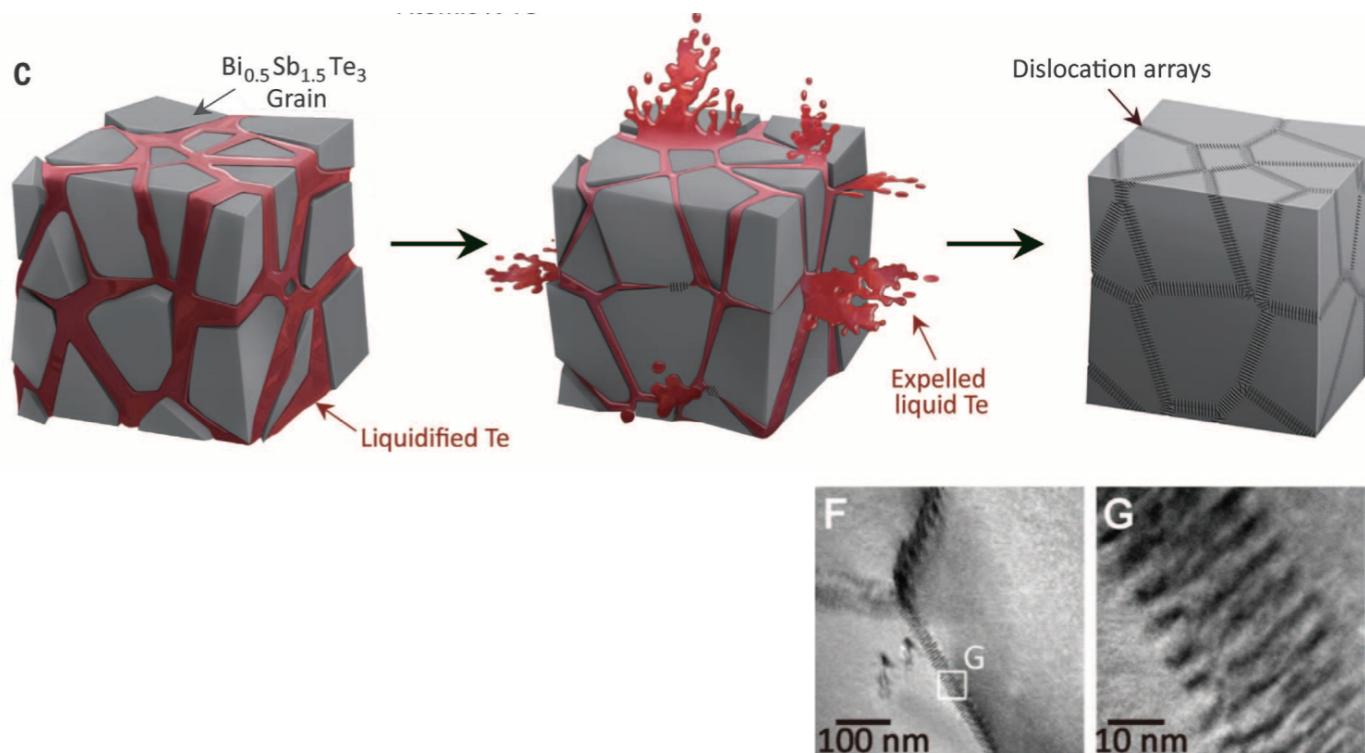


# Inserting Impurity

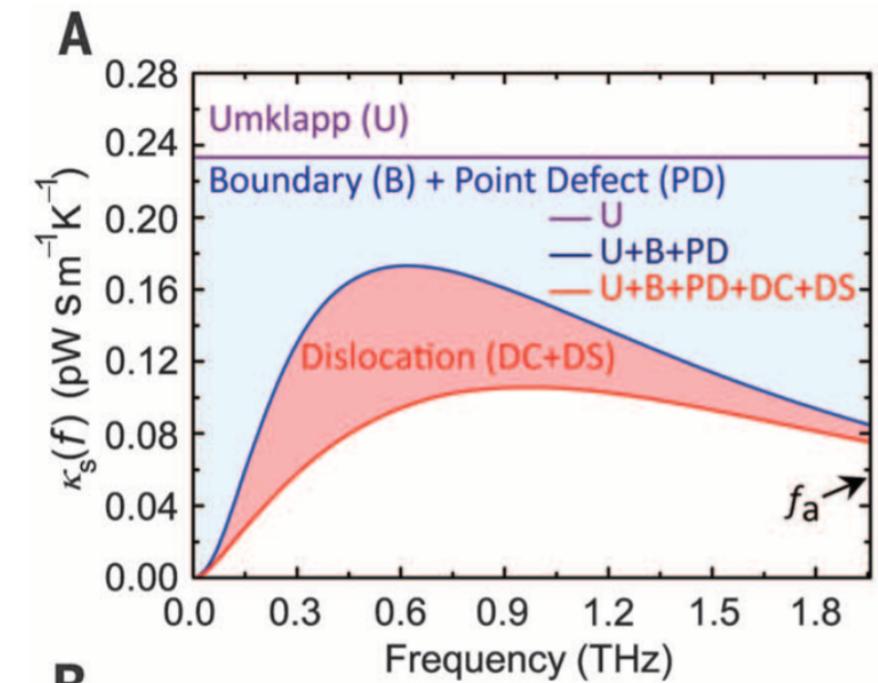


Kim, Zide, Gossard, Klenov, Stemmer, Shakouri, Majumdar, Phys. Rev. Lett. (2006)

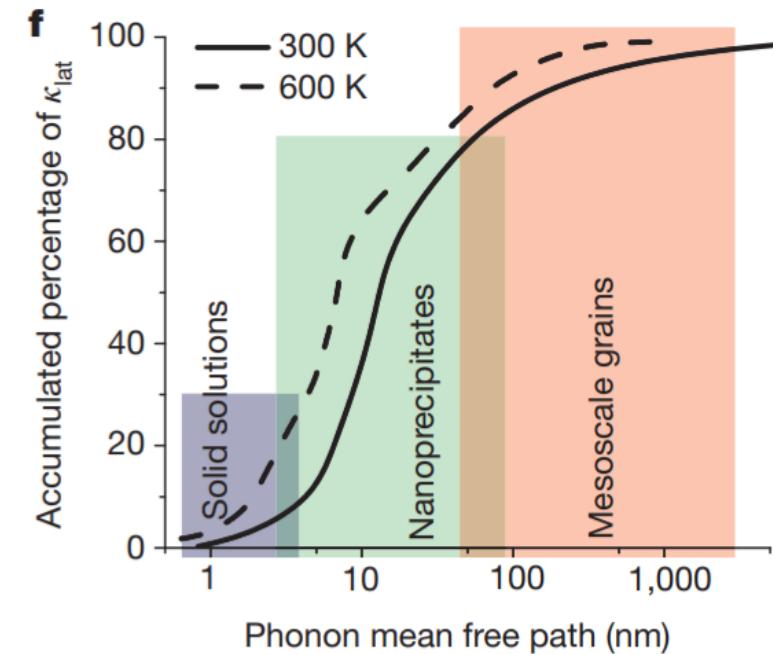
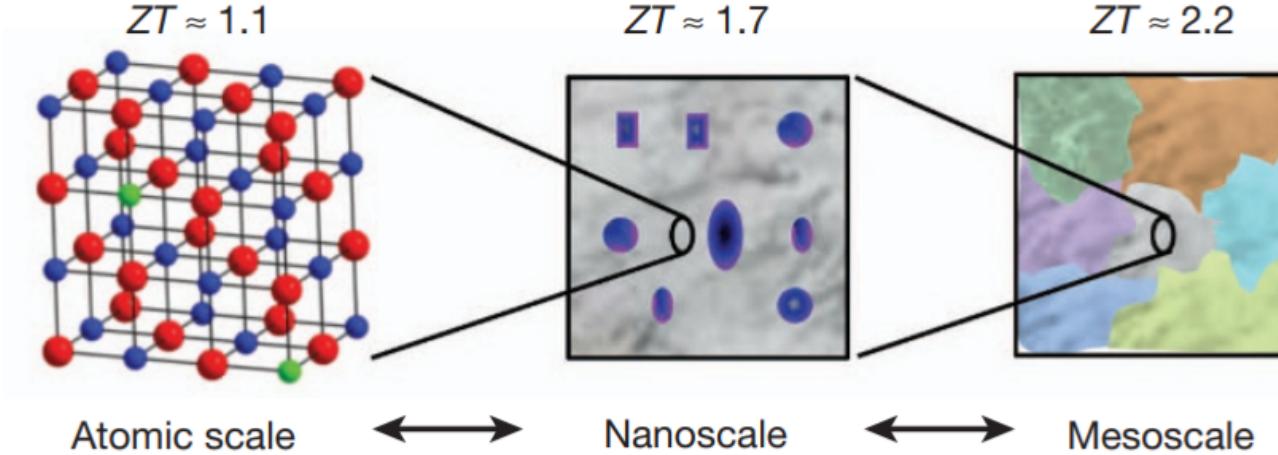
# Dense dislocation arrays embedded in grain boundaries for high-performance bulk thermoelectrics



S. I. Kim et al., Science, 348, 6230 (2015)



## High-performance bulk thermoelectrics with all-scale hierarchical architectures



K. Biswas *et al.*, Nature, 489, p414 (2012)

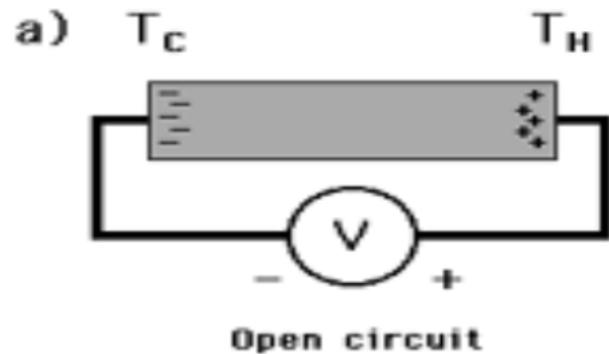
# Thermoelectric Effect

The **thermoelectric effect** is the direct conversion of temperature differences to electric **voltage** and vice-versa in solid state semiconductors.

1. Seebeck Effect
2. Peltier Effect

# Seebeck Effect

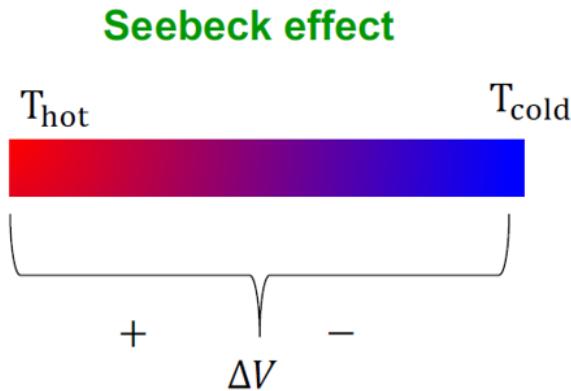
In 1821, [Thomas Seebeck](#) found that an electric current would flow continuously in a closed circuit made up of two dissimilar metals, if the junctions of the metals were maintained at two different temperatures.



$$S = - \frac{dV}{dT}$$

S: Seebeck Coefficient [V/K]

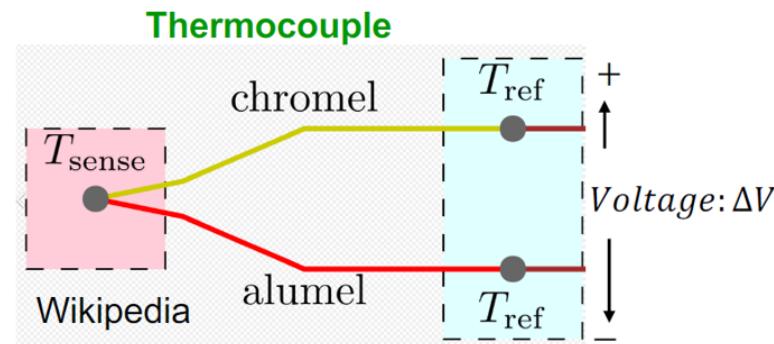
# Seebeck Effect and Thermocouple



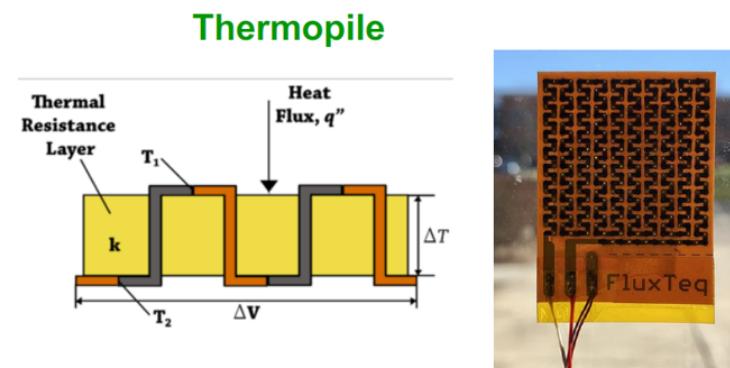
$$\Delta V = -S(T_{hot} - T_{cold})$$

$S$  is positive for p-type conductor  
(hole as the majority carrier);

$S$  is negative for n-type conductor  
(electron as the majority carrier).

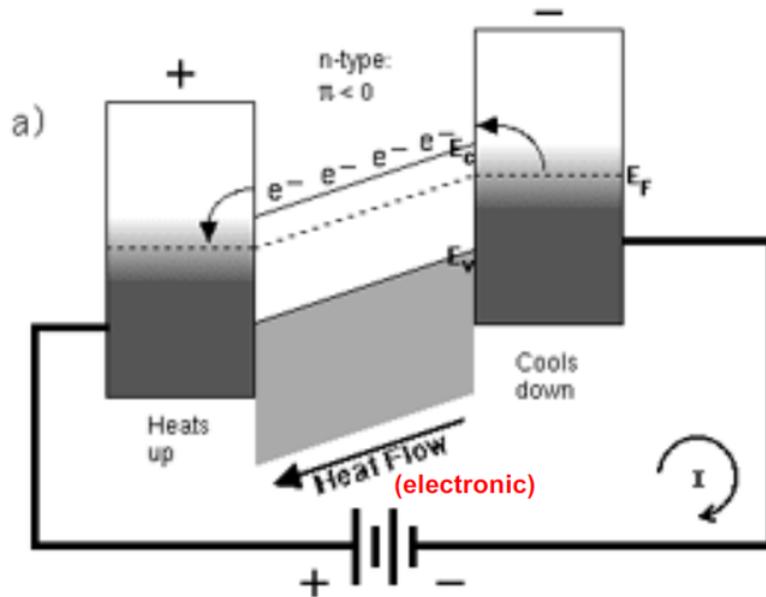


$$\begin{aligned}\Delta V &= \Delta V_{chromel} + \Delta V_{alumel} \\ &= -S_A(T_{ref} - T_{sense}) + [-S_B(T_{sense} - T_{ref})] \\ &= (S_A - S_B)(T_{sense} - T_{ref})\end{aligned}$$



# Peltier Effect

In 1834, Jean Peltier found that an electrical current would produce a temperature gradient at the junction of two dissimilar metals.



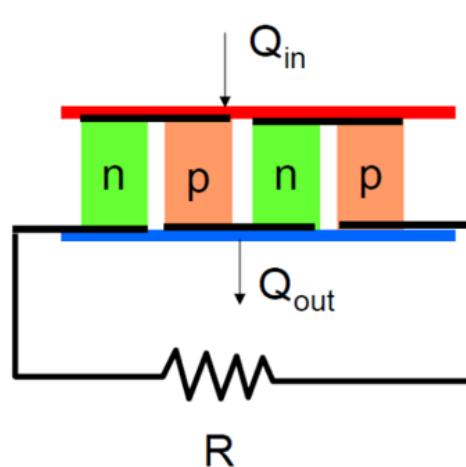
Jean-Charles-Athanase Peltier  
(1785-1845)

$Q = \Pi \cdot I$

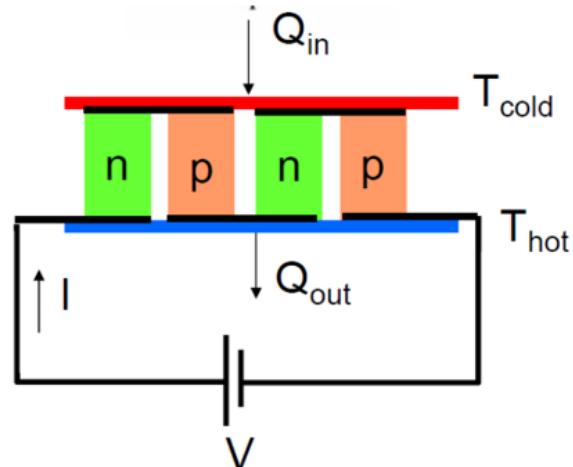
$Q$ : Thermal current (Heat Flux)  
 $I$ : Electrical current  
 $\Pi$ : Peltier coefficient [V]

$$\Pi = S \cdot T$$

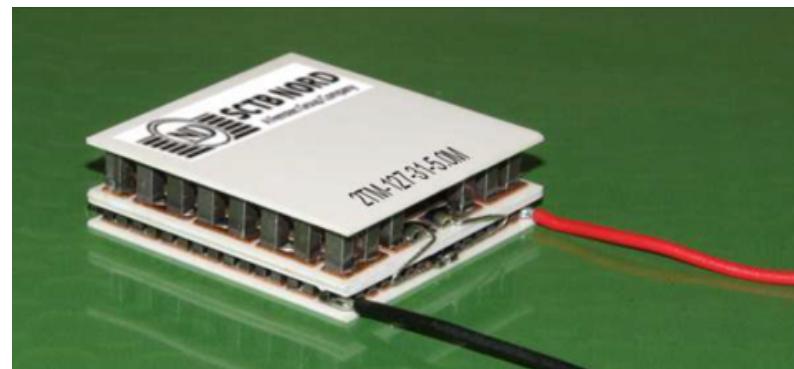
# Thermoelectric Energy Conversion



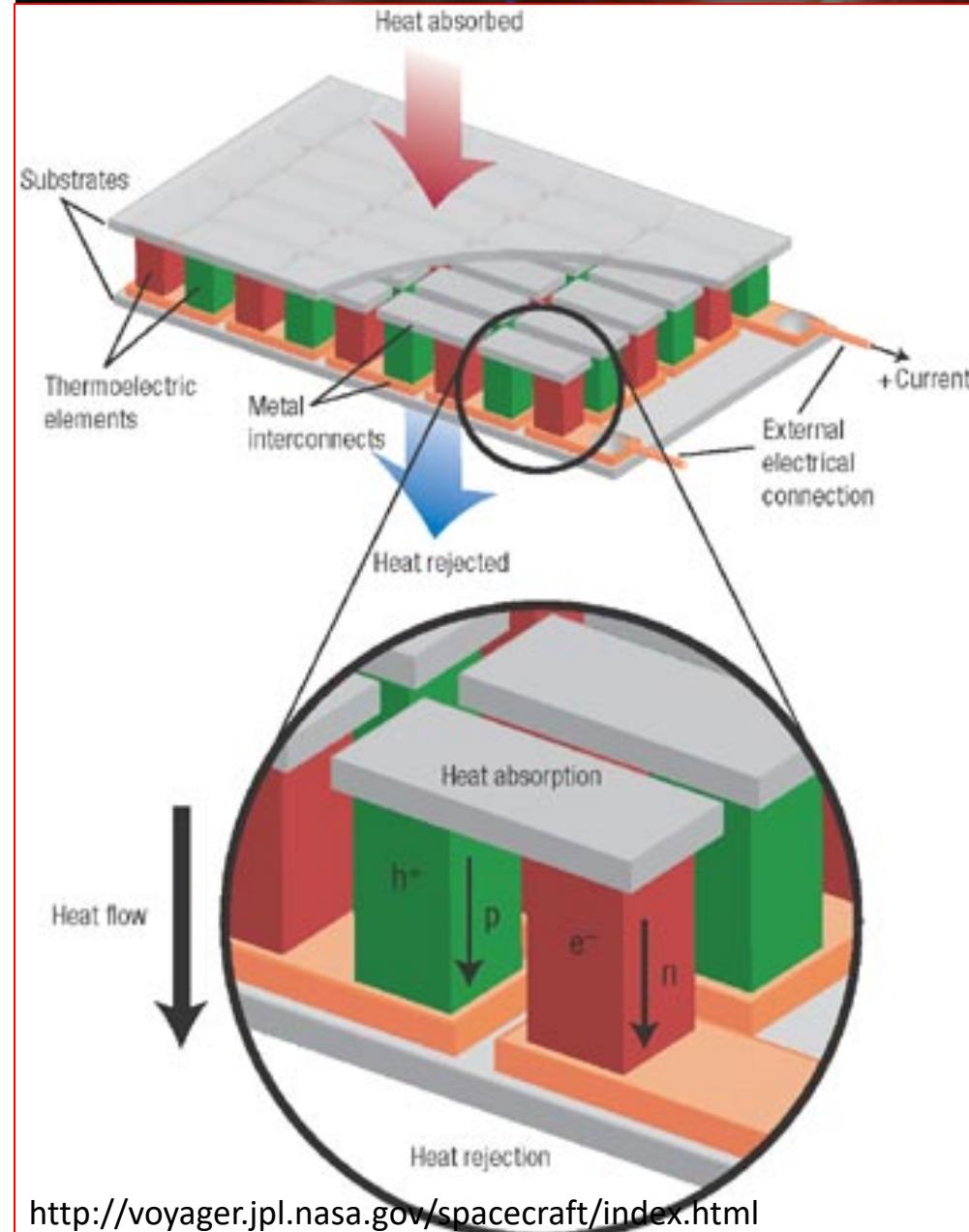
Power Generation



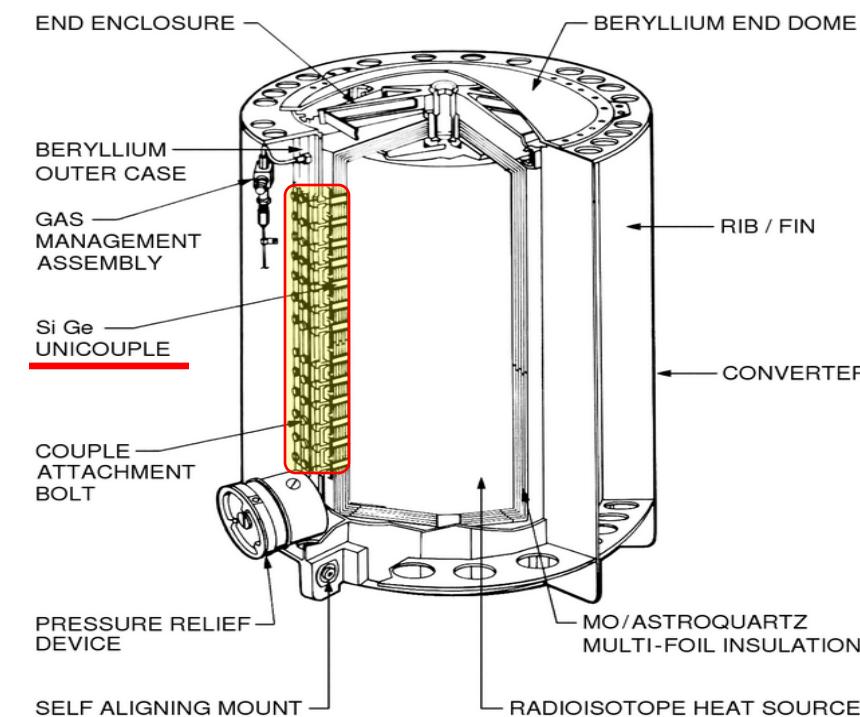
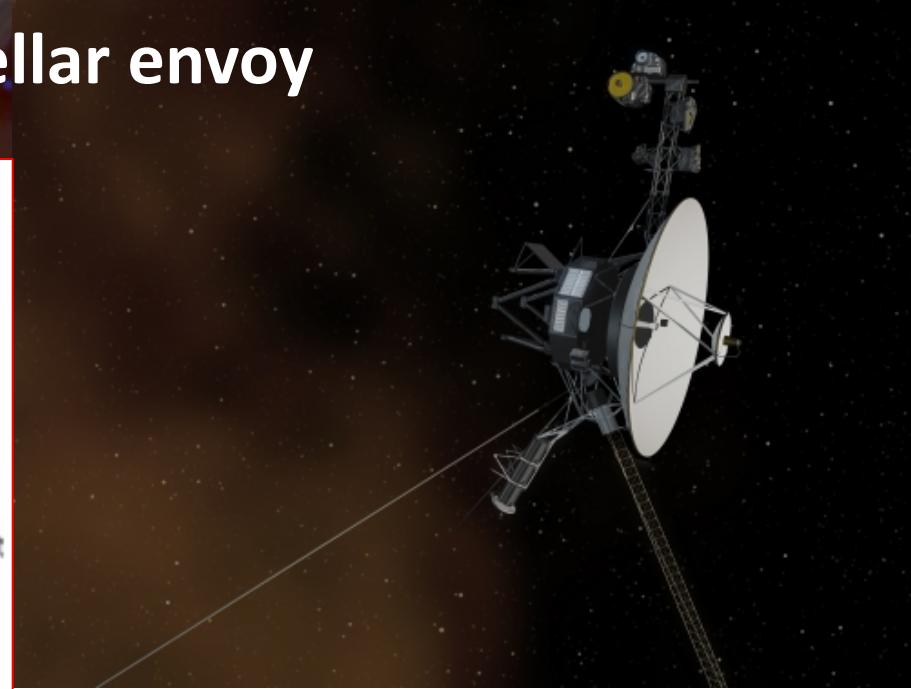
Refrigeration



# Voyager, human's first interstellar envoy



<http://voyager.jpl.nasa.gov/spacecraft/index.html>



# Applications



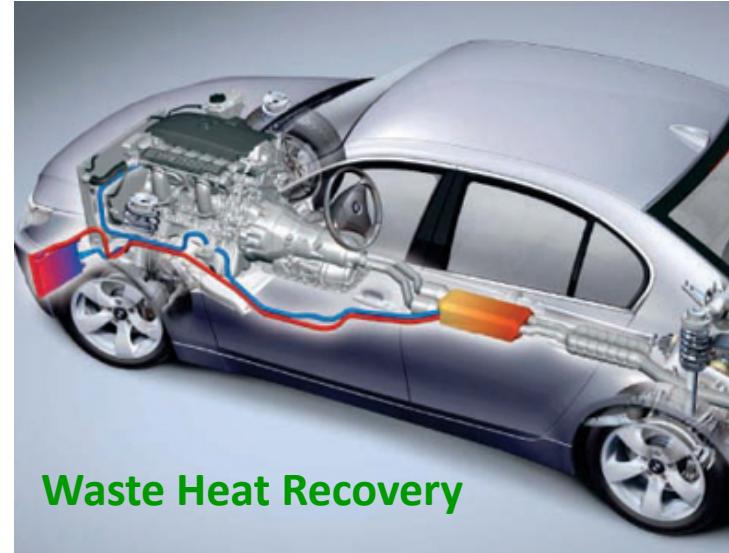
Power Generation  
RTG in Voyager (NASA)

Wood Stove



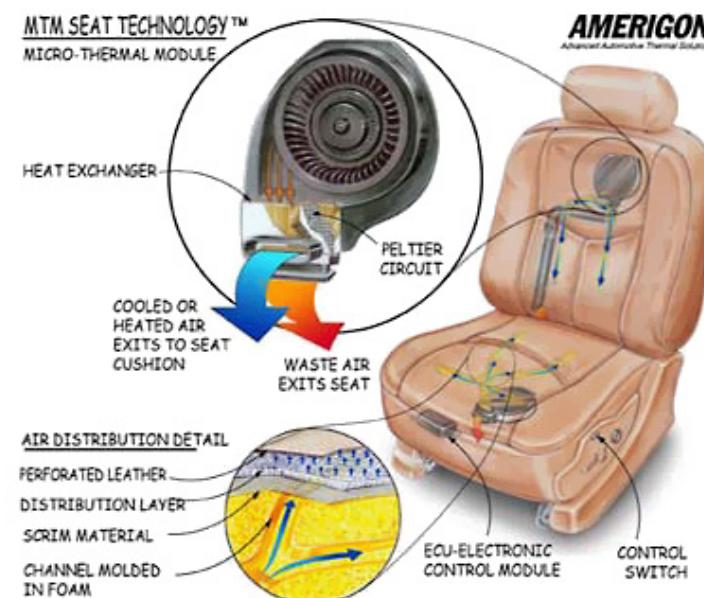
ILLUSTRATION: BRYAN ERICKSON

Wine Cooler

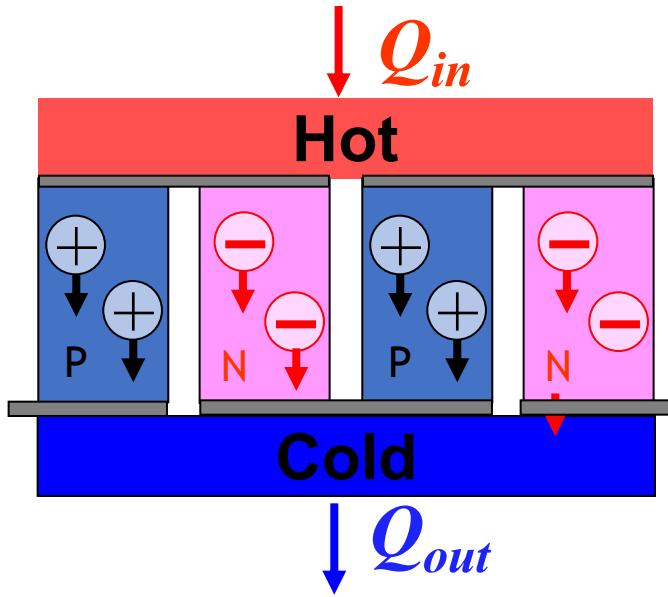


Waste Heat Recovery

Climate-controlled seat made by Amerigon for several auto makers



# Efficiency and Figure of Merit (ZT)



$$ZT = \frac{S^2 \sigma T}{k}$$

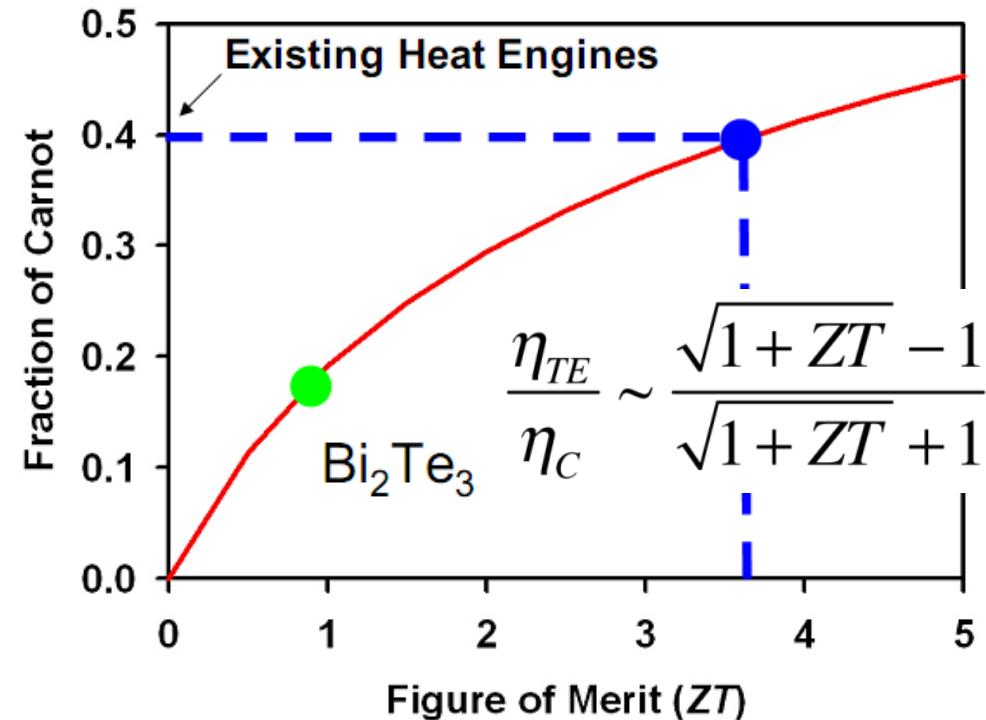
$S$ : Seebeck (V/K)

$\sigma$ : Electrical conductivity (S/m)

$k$ : thermal conductivity (W/m-K)

$$k = k_e + k_L$$

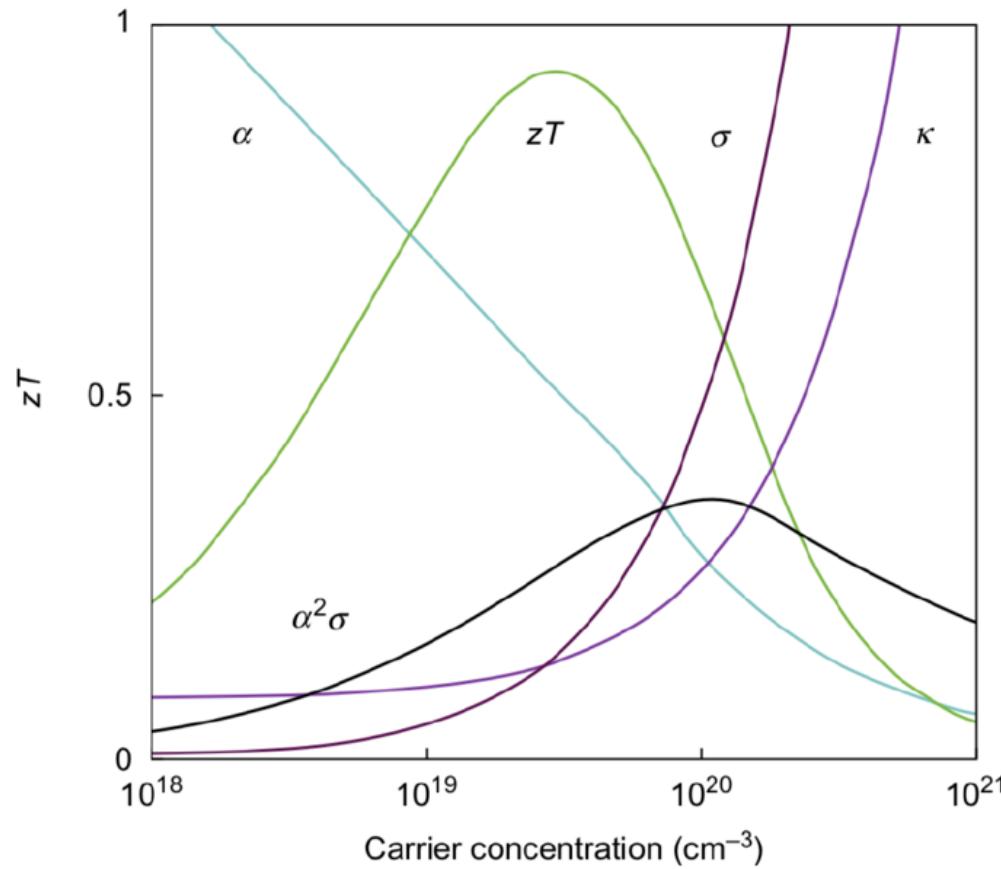
$T$ : Absolute Temperature (K)



Commercial TE Materials:

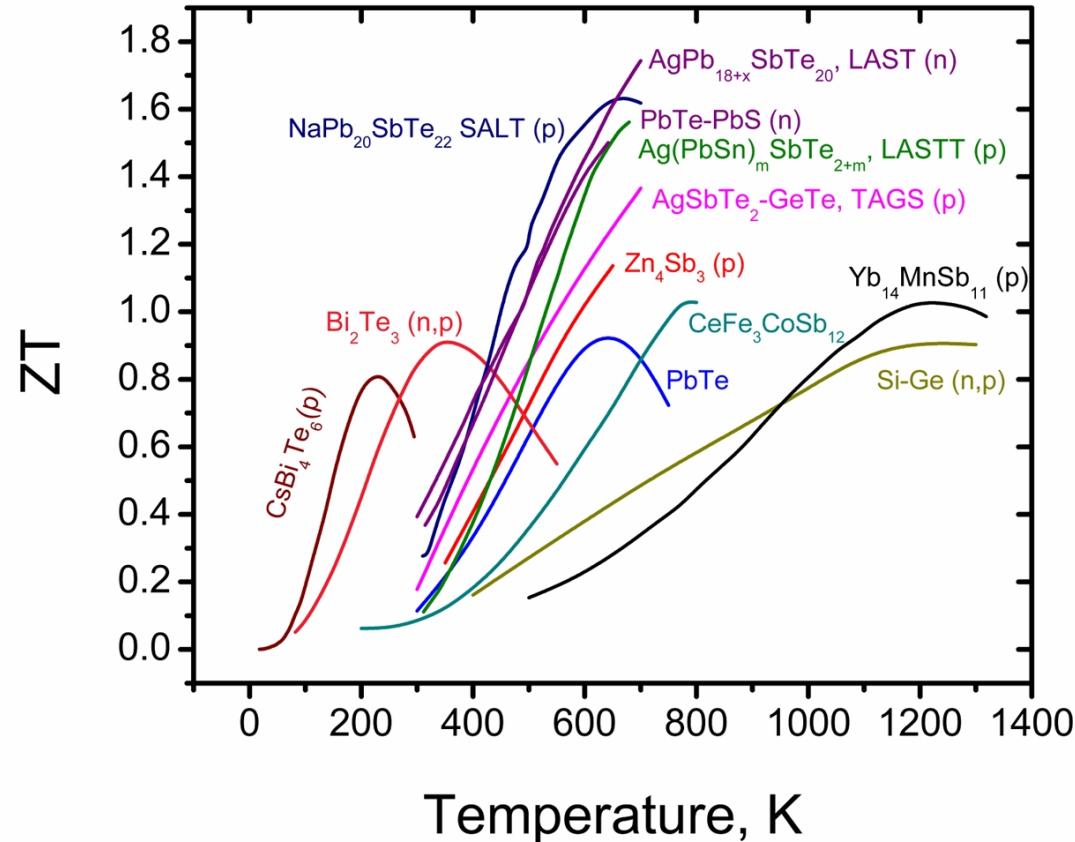
$\text{Bi}_2\text{Te}_3$ ,  $\text{PbTe}$ ,  $\text{SiGe}$ , with  $ZT \sim 1$

# Optimal Carrier Concentration



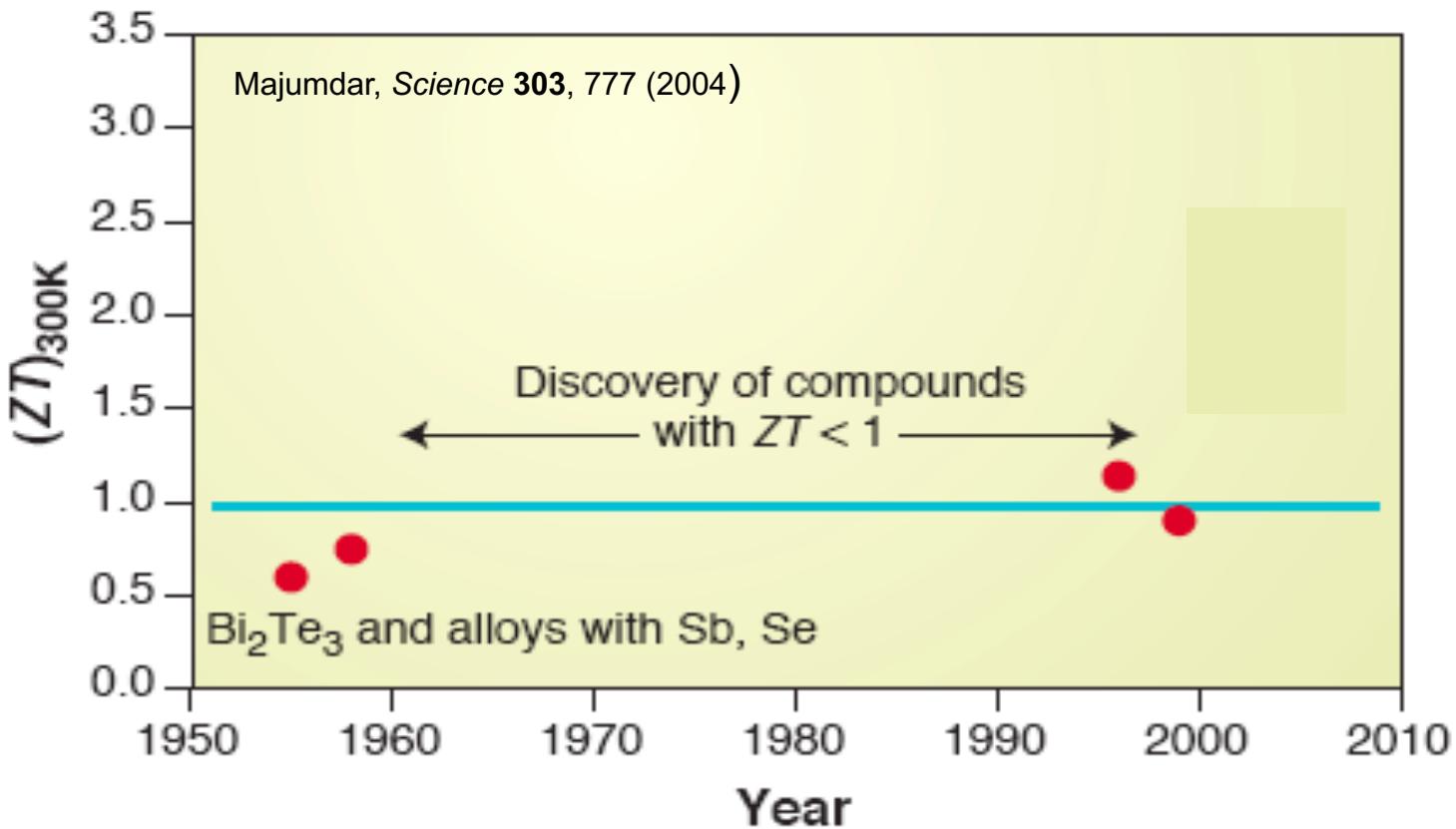
G.J. Snyder *et al.*, Complex thermoelectric materials, Nat. Mater. 7(2), 105-114 (2008)

# TE Materials at a Glance

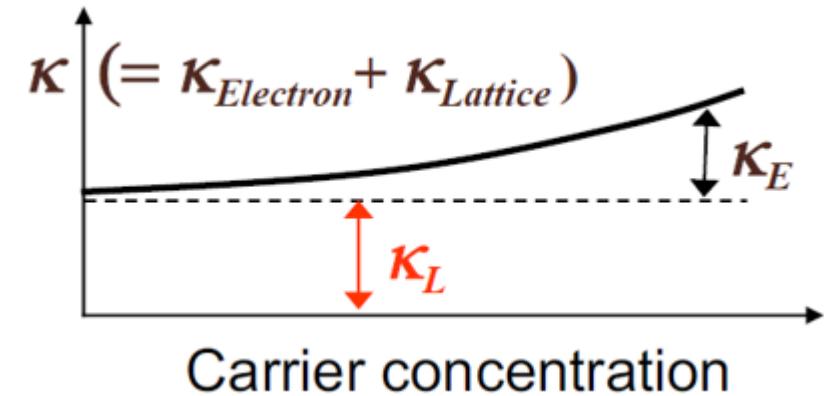
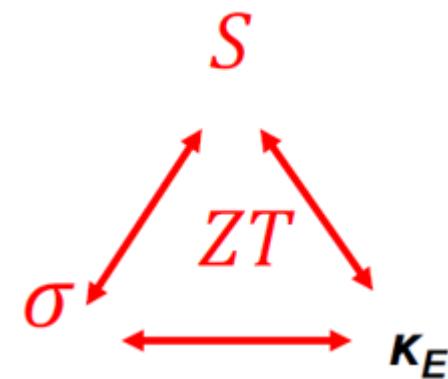
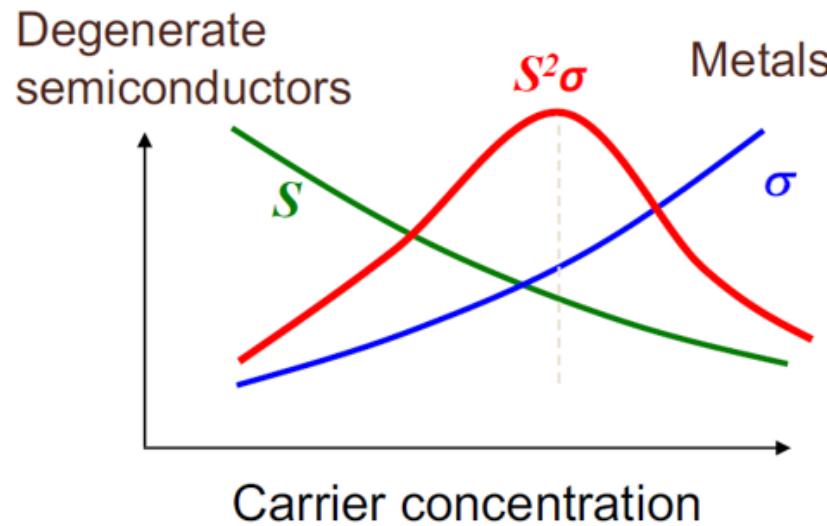


- $ZT < 2$
- **Mostly based on rare, expensive, or toxic elements.**  
e.g.: Te, Pb, Co...
- **Commercial TE module:**  
 $\sim 20\$/\text{Watt}$   
( $\text{Bi}_2\text{Te}_3$ :  $\sim 1000\ \$/\text{kg}$ )

# History



# Optimize Each Parameters for High ZT

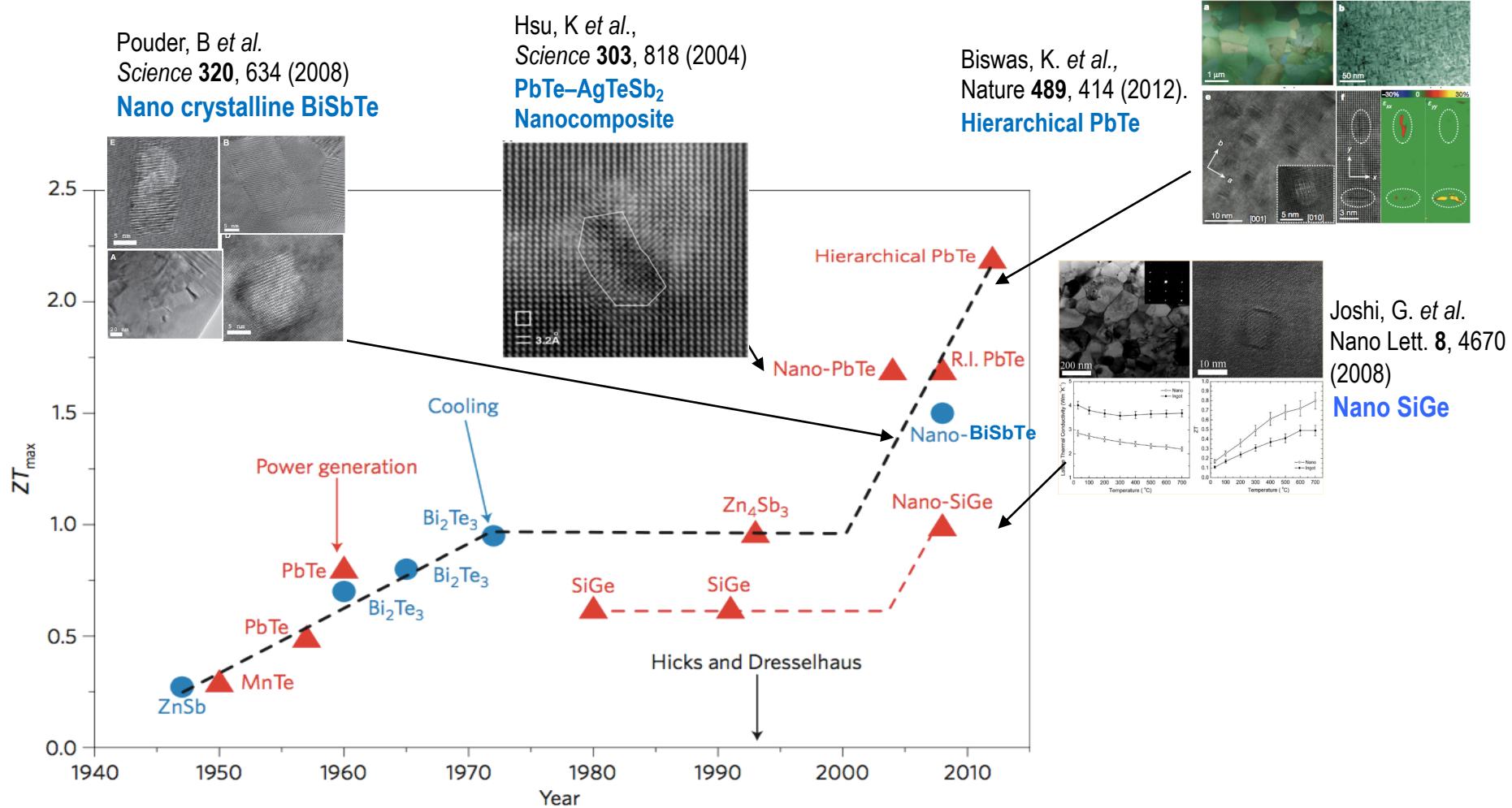


From Wiedermann-Franz Law,

$$\kappa_e = L\sigma T$$

Lattice conductivity ( $\kappa_L$ ) is the only “independent” parameter.

# Recent ZT Trends in TE Materials



- Significant improvement in ZT has been obtained in recent years.
- Most of high ZT reports were achieved by reducing the lattice thermal conductivity.

# Localized Thermal Control

HEALTH

## Why your office is so cold, and how to deal with it

The summer freeze is upon us.



The big chill is here. DepositPhotos

<https://www.popsci.com/office-summer-cold/>

# Wearable Cooling Devices

WEARABLES

Sony is crowdfunding a wearable air conditioner for personal climate control



<https://newatlas.com/sony-reon-pocket-air-conditioner/60797/>

# Summary

- Nanostructures have been shown to be effective in reducing lattice thermal conductivity, owing to the broad mean free path spectra of phonons in semiconductors.
- The synergistic effect of impurity scattering and boundary scattering lowers the thermal conductivity below the alloy limit.
- Significant improvement in the thermoelectric figure of merit ZT, most notably in nanostructured materials, during the past 15 years. The field is moving steadily forward in the foreseeable future, and the implementation of material development into the device applications seems to be a key factor.

# Questions?

Dr. Sunmi Shin  
(mpeshin@nus.edu.sg)

Shin *et al.*, “Chapter 14.3 Low dimensional thermoelectric materials”,  
Book chapter in Advanced Thermoelectrics: Materials, Contacts, Modules, and Systems.