Best-of-Majority: Minimax-Optimal Strategy for Pass@k Inference Scaling

Qiwei Di** Kaixuan Ji*[‡] Xuheng Li*[§] Heyang Zhao[¶] Quanquan Gu[∥]

Abstract

LLM inference often generates a batch of candidates for a prompt and selects one via strategies like majority voting or Best-of-N (BoN). For difficult tasks, this single-shot selection often underperforms. Consequently, evaluations commonly report Pass@k: the agent may submit up to k responses, and only the best of them is used when computing regret. Motivated by this, we study inference scaling in the more general Pass@k inference setting, and prove that neither majority voting nor BoN exhibits the desirable scaling with k and the sampling budget N. Combining the advantages of majority voting and BoN, we propose a new inference strategy called Best-of-Majority (BoM), with a pivotal step that restricts the candidates to the responses with high frequency in the N samples before selecting the top-k rewards. We prove that when the sampling budget is $N = \widetilde{\Omega}(C^*)$, the regret of BoM is $O(\epsilon_{\rm opt} + \sqrt{\epsilon_{\rm RM}^2 C^*/k})$, where C^* is the coverage coefficient, $\epsilon_{\rm RM}$ is the estimation error of the reward model, and $\epsilon_{\rm opt}$ is the estimation error of reward at the optimal response. We further establish a matching lower bound, certifying that our algorithm is minimax optimal. Beyond optimality, BoM has a key advantage: unlike majority voting and BoN, its performance does not degrade when increasing N. Experimental results of inference on math problems show BoM outperforming both majority voting and BoN.

1 Introduction

Scaling law serves as a powerful tool for guiding the *training* of large language models (LLMs), providing insight into how increased training compute, data, and model size contribute to performance improvements. Originating in the early days of deep neural networks (Hestness et al., 2017; Rosenfeld et al., 2019), the concept has since demonstrated remarkable predictive power across a variety of domains, including strategic board games (Jones, 2021), image generation (Henighan et al., 2020; Yu et al., 2022; Peebles and Xie, 2023), video modeling (Brooks et al., 2024), language generation (Kaplan et al., 2020; Hoffmann et al., 2022; Achiam et al., 2023), retrieval systems (Fang et al., 2024;

^{*}Equal contribution

[†]Department of Computer Science, University of California, Los Angeles, CA 90095, USA; e-mail: qiwei2000@cs.ucla.edu

[‡]Department of Computer Science, University of California, Los Angeles, CA 90095, USA; email: kaixuanji@cs.ucla.edu

[§]Department of Computer Science, University of California, Los Angeles, CA 90095, USA; email: xuheng.li@cs.ucla.edu

[¶]Department of Computer Science, University of California, Los Angeles, CA 90095, USA; e-mail: hyzhao@cs.ucla.edu

Department of Computer Science, University of California, Los Angeles, CA 90095, USA; e-mail: qgu@cs.ucla.edu

Cai et al., 2025), and reward modeling (Gao et al., 2023; Rafailov et al., 2024). While training-time scaling has proven effective, it is also highly resource-intensive. As a result, increasing attention has been directed toward a complementary paradigm: *inference*, which examines how model performance can be improved after training. This relationship between additional compute at inference time and performance improvement is known as the inference scaling law (Brown et al., 2024; Snell et al., 2024; Wu et al., 2024b; Guo et al., 2025).

Compared to training-time scaling, inference scaling allows for increasing computational cost in several distinct ways, including expanding the generation input via chain-of-thought prompting (Wei et al., 2022; Li et al., 2024), incorporating iterative self-improvement, (Zheng et al., 2023; Wu et al., 2024a), and applying search-based algorithms (Yao et al., 2023; Feng et al., 2023; Gao et al., 2024; Zhang et al., 2024). It can also be realized through repeated sampling, using strategies such as majority voting (Wang et al., 2022; Lewkowycz et al., 2022; Li et al., 2023) or Best-of-N (BoN) (Lightman et al., 2023). In parallel, a growing line of works has sought to establish theoretical guarantees for inference strategies. Wu et al. (2024b) provided convergence bounds and rates for the scaling of majority voting algorithms. Huang et al. (2024) showed that BoN can achieve self-improvement via a special mechanism called sharpening. Huang et al. (2025) analyzed the sample complexity of BoN and proposed a pessimistic inference algorithm with provable benefits.

While most existing analyses focus on inference algorithms that output a single response, there are tasks that allow for multiple candidate outputs, where it is considered solved if any one of them is correct. This setting is captured by the Pass@k metric (Li et al., 2022). Building on this metric, we propose a novel Pass@k inference framework, in which the inference algorithm is allowed to generate N responses and return up to k of them. Since N > k, the performance depends not only on generating a diverse set of candidates but also on the algorithm's ability to effectively select the k outputs that are most likely to be correct. Brown et al. (2024) conducted empirical studies on this inference framework and observed the relationship between the coverage and the performance of the algorithm. However, this work is restricted to the majority voting and BoN inference strategies, and failed to theoretically justify the inference scaling law.

As there have been few works on understanding the scaling of the Pass@k inference problem, we are motivated to investigate the following fundamental question:

Q1: What is the optimal scaling of the Pass@k inference problem?

To answer this question, we derive a minimax lower bound as a function of k that characterizes the fundamental limits of any Pass@k inference strategy, establishing the theoretical scaling behavior for Pass@k inference problems.

Going one step further, we also aim to evaluate existing inference strategies for the Pass@k inference problem and find a strategy that achieves the optimal scaling. Beyond standard metrics like regret and sample complexity, we further introduce a formal definition of scaling-monotonicity (Huang et al., 2025), which captures whether an inference algorithm maintains (or improves) its performance as the number of samples N increases. This leads to our second question:

Q2: What inference strategies are scaling-monotonic and optimal in the Pass@k inference setting?

Unfortunately, our analysis reveals that majority voting and BoN are not scaling-monotonic. Furthermore, these methods face fundamental limitations that make it difficult, if not impossible, to attain the optimal regret scaling with respect to k. To address this issue, we propose a new inference strategy, Best-of-Majority (BoM), which integrates the core ideas of both majority voting and BoN. We establish a regret upper bound for BoM that matches the minimax lower bound,

thereby demonstrating that our algorithm is minimax optimal. Please refer to Table 1 for detailed results.

Table 1: Comparison of Pass@k inference strategies. Our algorithm BoM is the first minimax-optimal Pass@k inference strategy. Compared with majority voting and BoN, BoM is scaling-monotonic, indicating that the optimal performance can be achieved with large sampling budget N, making it preferable when scaling up N to achieve better performance. Additionally, the term $O(\sqrt{\epsilon_{\rm RM}^2 C^*/k})$ in the regret of BoM scales optimally with k, while majority voting suffers from constant regret. BoN lacks the regret upper bound in the Pass@k inference problem.

| Algorithm | Worst-case regret | Scaling-monotonic | Optimal k -scaling |
|-------------------------|---|-------------------|----------------------|
| Majority voting | $\Omega(1)$ | No | No |
| Best-of- N | $\Omega(\min\{1, \sqrt{\epsilon_{\mathrm{RM}}^2 N/k}\})$ | No | Unknown |
| Best-of-Majority (Ours) | $O(\epsilon_{ m opt} + \sqrt{\epsilon_{ m RM}^2 C^*/k})$ | Yes | Yes |
| Lower Bound | $\Omega(\epsilon_{ m opt} + \sqrt{\epsilon_{ m RM}^2 C^*/k})$ | - | - |

We summarize our main contributions as follows:

- Inference scaling laws for Pass@k. We show that the minimax lower bound of the regret is $\Omega(\epsilon_{\text{opt}} + \sqrt{\epsilon_{\text{RM}}^2 C^*/k})$ for any Pass@k inference strategy, where ϵ_{opt} is the error of the reward model at the optimal response, ϵ_{RM} is the expected error of the reward model, and C^* is the coverage of the reference LLM.
- Optimal algorithm for Pass@k. We propose a new Pass@k inference strategy called Best-of-Majority (BoM). At the core of BoM is a step similar to majority voting that restricts the candidates to the responses with high frequencies in the generated samples, before selecting responses with top-k rewards. We prove that the regret of BoM is $O(\epsilon_{\text{opt}} + \sqrt{\epsilon_{\text{RM}}^2 C^*/k})$ with sample complexity $N = \widetilde{\Theta}(C^*)$, thus matching the minimax lower bound without increasing the computation overhead. With a formal definition of scaling monotonicity, we show that BoM is scaling monotonic, while majority voting and BoN are not.
- Experiments. We compare our algorithm BoM against majority voting and BoN. Our results empirically demonstrate the superiority of BoM against majority voting and BoN and verify the scaling monotonic properties of three algorithms, which corroborates our theoretical results.

Notation. We use [M] to denote the set of integers $\{1, 2, ..., M\}$. We use $\mathbb{1}[\cdot]$ to denote the indicator function. We use δ_{ij} to denote the Kronecker delta, i.e., $\delta_{ij} = 1$ if i = j, and $\delta_{ij} = 0$ otherwise. We use y, y_i to denote the elements in the set of response \mathcal{Y} , \widehat{y} , \widehat{y}_i to denote the generated responses, and \widetilde{y} , \widetilde{y}_i to denote the final outputs. We use standard asymptotic notations $O(\cdot)$, $\Omega(\cdot)$, and $\Theta(\cdot)$, and use $\widetilde{O}(\cdot)$, $\widetilde{\Omega}(\cdot)$ and $\widetilde{\Theta}(\cdot)$ to further hide the logarithmic factors.

2 Related Work

Inference-time scaling. Compared to training-time scaling laws, the study of inference-time scaling laws has emerged much more recently. Sardana et al. (2024) extended the Chinchilla scaling law (Hoffmann et al., 2022) to incorporate inference costs. Wu et al. (2024b) conducted a systematic study of inference scaling laws, analyzing a range of inference strategies including greedy search, majority voting, best-of-N, weighted voting, and two variants of tree-based search algorithms. Concurrently, Snell et al. (2024) analyzed the inference scaling problem by searching against processbased verifier reward models. In contrast, Brown et al. (2024) explored repeated sampling as a simple scaling method to improve performance. Chen et al. (2024) studied the performance of majority voting and a variant that incorporates a filtering mechanism. They observed that as the number of generated samples N increases, performance initially improves but eventually declines. They also proposed a predictive scaling model to characterize the performance trend. Muennighoff et al. (2025) developed simple methods to construct a sample-efficient test-time scaling dataset. **Inference strategies.** One of the most straightforward inference strategies is best-of-N, which has been widely adopted in the inference of language models (Stiennon et al., 2020; Nakano et al., 2021; Touvron et al., 2023; Gao et al., 2023). For its theoretical guarantees, Yang et al. (2024b) established a connection between the asymptotic behavior of BoN and KL-constrained reinforcement learning methods, characterizing this relationship through information-theoretic quantities. Beirami et al. (2024) provided a tighter upper bound for the KL divergence between the BoN policy and the reference policy. Mrough (2024) proved guarantees for BoN algorithm from a information theoretic view. Huang et al. (2025) further provided guarantees on performance when the estimated reward model and true reward are mismatched. Aminian et al. (2025) extended the analysis to a smoothed variant of BoN. Another common inference strategy is majority voting (Lewkowycz et al., 2022; Wang et al., 2022; Li et al., 2023). Wu et al. (2024b) established convergence bounds and rates characterizing how the performance of majority voting algorithms scales with the number of samples. Other inference strategies include variants of BoN (Jinnai et al., 2024; Qiu et al., 2024), rejection

Pass@k alignment. To the best of our knowledge, the theoretical Pass@k inference framework is novel and remains unexplored in the existing literature. However, Pass@k has also been proved useful in the training of large language models. Tang et al. (2025) demonstrated that training language models using a Pass@k-based objective can lead to improved overall model performance. More recently, Chen et al. (2025) used Pass@k as the reward to train the language model and observe improvements on its exploration ability. Liang et al. (2025) proposed training methods to mitigate entropy collapse, which in turn lead to improved performance on the Pass@k metric.

sampling (Liu et al., 2023; Xu et al., 2024), and search-based algorithms (Yao et al., 2023; Feng

3 Pass@k Inference Scaling Problem

et al., 2023; Gao et al., 2024; Zhang et al., 2024).

Let \mathcal{X} be the set of prompts and \mathcal{Y} the set of responses. We represent an LLM as a conditional policy $\pi(\cdot \mid x)$ that maps each prompt $x \in \mathcal{X}$ to a distribution over \mathcal{Y} . We have access to a reference policy π_{ref} , which, for instance, can be trained using the supervised finetuning (SFT) method. For each pair $(x,y) \in \mathcal{X} \times \mathcal{Y}$, we assume the existence of a ground-truth reward model $r^* : \mathcal{X} \times \mathcal{Y} \to [0,1]$, which evaluates the quality of response y given prompt x.

During inference time, we can use the reference policy $\pi_{\rm ref}$ to generate multiple responses. To

evaluate the quality of these responses, we utilize an imperfect reward model $\hat{r}: \mathcal{X} \times \mathcal{Y} \to [0, 1]$, which provides approximate assessments of response quality. For a given prompt x, we make the following assumptions regarding the accuracy of the reward model.

Assumption 3.1 (Reward Estimation Error). The expected squared error between r^* and \hat{r} is upper bounded by $\epsilon_{\rm RM}^2(x)$, i.e,

$$\mathbb{E}_{y \sim \pi_{\text{ref}}(\cdot|x)} \left[\left(r^*(x, y) - \widehat{r}(x, y) \right)^2 \right] \le \epsilon_{\text{RM}}^2(x).$$

Assumption 3.2. There exists a unique $y^* = \operatorname{argmax}_{y \in \mathcal{Y}} r^*(x, y)$, with $r^*(x, y^*) = 1$. Moreover, the estimated reward at y^* is close to optimal, satisfying

$$|r^*(x, y^*) - \widehat{r}(x, y^*)| = \epsilon_{\text{opt}}(x).$$

Combining Assumption 3.1 with Assumption 3.2, we directly know $\pi_{\text{ref}}(y^*|x) \cdot \epsilon_{\text{opt}}^2(x) \leq \epsilon_{\text{RM}}^2(x)$.

In practice, an accurate reward model is crucial for the post-training and inference of large language models. A common approach is to align the model with human preference data through supervised learning or reinforcement learning from human feedback (RLHF) (Ouyang et al., 2022; Casper et al., 2023; Zhu et al., 2024; Yang et al., 2024c). Since the training of the reward model extensively studied and is not the focus of this work, we directly assume access to a pre-training reward model that satisfies Assumptions 3.1 and 3.2.

In this work, we study a novel setting called the $\mathbf{Pass}@k$ inference scaling problem. Different from the settings where the model is allowed to generate and submit k candidate responses, our goal is to maximize the highest ground-truth reward of the k samples. Specifically, for a given prompt x, the model is allowed to generate up to N candidate responses and select a subset y_1, y_2, \ldots, y_k for submission. Increasing N improves the likelihood of obtaining high-quality outputs, but also incurs greater computational cost, a trade-off between accuracy and efficiency. We consider the following regret metric:

Regret
$$(x) = \mathbb{E}_{\pi^*} [r^*(x, \cdot)] - \mathbb{E}_{y_1, y_2, \dots, y_k} \Big[\max_{1 \le i \le k} \{r^*(x, y_i)\} \Big],$$
 (3.1)

where $\pi^* = \pi^*(\cdot|x)$ is the maximizer of r^* .

In tasks with a unique correct answer, such as mathematical problem solving, the ground-truth reward model r^* functions as a binary verifier, returning values in $\{0,1\}$. In this case, the regret (3.1) naturally aligns with the Pass@k metric (Li et al., 2022), since minimizing (3.1) is equivalent to maximizing the probability that at least one of the k selected responses is correct.

Remark 3.3. Compared with the sample-and-evaluate framework (Huang et al., 2025), our framework goes one step further by explicitly characterizing the dependence on k. This dependence constitutes a novel focus of our analysis, as it has not been examined in prior works on inference-time algorithms (Huang et al., 2024, 2025; Verdun et al., 2025).

In addition, following Huang et al. (2025), we introduce the reference policy's L_1 -coverage coefficient as follows:

$$C^*(x) := \mathbb{E}_{y \sim \pi^*(\cdot|x)} \left[\pi^*(y|x) / \pi_{\text{ref}}(y|x) \right]. \tag{3.2}$$

Moreover, the uniform coverage coefficient is defined as

$$C_{\infty}^{*}(x) := \sup_{y} \left[\pi^{*}(y|x) / \pi_{\text{ref}}(y|x) \right].$$
 (3.3)

Since Assumption 3.2 ensures that the optimal policy π^* is deterministic and uniquely defined as $\pi^*(y|x) = \mathbb{1}(y=y^*)$, the L_1 and uniform coverage coefficients coincide. Consequently, we have $C^*(x) = C^*_{\infty}(x) = 1/\pi_{\text{ref}}(y^*|x)$.

Besides the regret, we are also concerned with the following important property of the algorithm, named as *scaling-monotonicity* (Huang et al., 2025). We provide the formal definition as follows:

Definition 3.4. Assume that k, prompt x and the coverage coefficient $C^*(x)$ are fixed. An algorithm is *scaling-monotonic* if for any $\delta > 0$, there exists $\epsilon_0 > 0$ and $N_0 \in \mathbb{N}_+$ such that for any $N \geq N_0$ and any instance that satisfies Assumption 3.1 with $\epsilon_{\text{RM}}(x) \leq \epsilon_0$, the regret satisfies

$$Regret(x) \leq \delta$$
.

Intuitively, a scaling-monotonic algorithm should achieve arbitrarily small regret if the reward model \hat{r} is accurate and sufficiently many samples are observed. Furthermore, scaling monotonicity also guarantees that the performance of the algorithm does not degrade when increasing N. Therefore, it is a crucial property in practice because the sampling budget N can be easily scaled up in hard instances instead of requiring accurate tuning.

4 Suboptimality of Existing Inference Strategies

In this section, we first introduce two commonly used strategies for LLM inference, namely (weighted) majority voting (Section 4.1) and Best-of-N (BoN, Section 4.2). We will show that neither strategy is scaling-monotonic by constructing hard instances where the inference strategies suffer from constant regret even when $N \to \infty$. Additionally, the Pass@k inference problem is less stringent than Pass@1, since it only requires success in any of the k sampled attempts rather than a single one. Consequently, the regret is expected to decrease as k increases, suggesting a negative association between regret and the sampling budget k.

4.1 (Weighted) Majority Voting

Majority voting is a simple ensemble method for LLM inference: Multiple responses to the same prompt are sampled using the reference policy $\pi_{\text{ref}}(\cdot|x)$ to make the responses diverse enough, and the answer occurring most often is selected as the final output.

Specifically, let $\widehat{y}_1, \ldots, \widehat{y}_N$ denote the N generated responses for a given query. After calculating the frequency of each response $\widehat{\pi}(y) = \frac{1}{N} \sum_{i=1}^{N} \mathbb{1}(\widehat{y}_i = y)$, the final prediction is then chosen as the answer that appears most frequently among these samples, i.e.,

$$\widetilde{y}_1, \dots, \widetilde{y}_k = \text{Top-k}\{y \in \widehat{\mathcal{Y}} : \widehat{\pi}(y)\}.$$

Majority voting has demonstrated strong empirical performance (Wang et al., 2022; Lewkowycz et al., 2022; Li et al., 2023). With a reliable reward model \hat{r} , it can be further enhanced by weighting

Algorithm 1 (Weighted) Majority Voting

Require: Reference policy π_{ref} , sampling budget N, number of candidates k, (estimated reward model \hat{r} , weight function $w(\cdot)$).

```
1: Observe context x.
 2: Independently generate N responses \widehat{\mathcal{Y}} = \{\widehat{y}_1, \widehat{y}_2, \dots, \widehat{y}_N\} from \pi_{\text{ref}}(\cdot|x).
 3: if |\mathcal{Y}| \leq k then
           return \mathcal{Y}.
      else
 5:
           Calculate frequency of each response y \in \widehat{\mathcal{Y}}: \widehat{\pi}(y) = \frac{1}{N} \sum_{i=1}^{N} \mathbb{1}[\widehat{y}_i = y].
 6:
 7:
                Query reward labels (\widehat{r}(x,\widehat{y}_1),\ldots,\widehat{r}(x,\widehat{y}_N)).
 8:
                Select \widetilde{y}_1, \dots, \widetilde{y}_k = \text{Top-k}\{y \in \widehat{\mathcal{Y}} : w(\widehat{r}(y)) \cdot \widehat{\pi}(y)\}.
 9:
10:
                Select \widetilde{y}_1, \dots, \widetilde{y}_k = \text{Top-k}\{y \in \widehat{\mathcal{Y}} : \widehat{\pi}(y)\}.
11:
12:
           return \{\widetilde{y}_1,\ldots,\widetilde{y}_k\}.
13:
14: end if
```

candidate frequencies with reward scores. Using an increasing weighting function $w(\cdot)$, the selection rule becomes:

$$\widetilde{y}_1, \dots, \widetilde{y}_k = \text{Top-k}\{y \in \widehat{\mathcal{Y}} : w(\widehat{r}(y)) \cdot \widehat{\pi}(y)\}.$$

While the reward weighting introduces extra computation for reward evaluation, weighted majority voting has been shown to achieve better performance than the unweighted version (Wu et al., 2024b). Despite its empirical success, we show that (weighted) majority voting is suboptimal in the worst case, even when the exact reward function is available, i.e., $\epsilon_{\rm RM}^2(x) = 0$.

Theorem 4.1. For the (weighted) majority voting Algorithm 1 with weight function $w(\cdot)$, assume that $C^*(x) \geq 1 + 2kw(1)/w(1/2)$. Then, there exists an instance $\mathcal{I} = (\mathcal{X}, \mathcal{Y}, \pi^*, r^*, \pi_{\text{ref}}, \widehat{r})$ such that the coverage coefficient is $C^*(x)$, and $\widehat{r} = r^*$ satisfies Assumptions 3.1 and 3.2 with $\epsilon_{\text{RM}}(x) = \epsilon_{\text{opt}}(x) = 0$. If $N \geq 9C^*(x) \log(2k+2)$, the algorithm suffers from a constant regret:

$$Regret(x) = \Omega(1).$$

Majority voting relies on exploiting the reference model's distribution. Consequently, the hard case can be constructed by designing multiple distinct "bad" answers, each receiving higher probability under π_{ref} . Theorem 4.1 demonstrates that increasing the sampling budget N or the number of submitted responses k does not guarantee consistent improvement for (weighted) majority voting. In fact, when N is sufficiently large, (weighted) majority voting incurs constant regret even if the reward model is accurate.

4.2 Best-of-N

Best-of-N is another effective LLM inference strategy. Instead of aggregating answers by frequency, the model generates multiple candidate responses for the same query and then selects the

Algorithm 2 Best-of-N (BoN)

Require: Estimated reward model \hat{r} , reference policy π_{ref} , sampling budget N, number of candidates k.

```
2: Independently generate N responses \widehat{\mathcal{Y}} = \{\widehat{y}_1, \widehat{y}_2, \dots, \widehat{y}_N\} from \pi_{\text{ref}}(\cdot|x).

3: Query reward labels (\widehat{r}(x, y_1), \dots, \widehat{r}(x, y_N)).

4: if |\widehat{\mathcal{Y}}| \leq k then
```

5: return $\widehat{\mathcal{Y}}$.

6: **else**

7: Select $\widetilde{y}_1, \dots, \widetilde{y}_k = \text{Top-k}\{y \in \widehat{\mathcal{Y}} : \widehat{r}(x, y)\}.$

8: **return** $\{\widetilde{y}_1, \dots, \widetilde{y}_k\}$.

9: end if

single best response according to a reward model \hat{r} . Formally, given N sampled responses $\hat{y}_1, \ldots, \hat{y}_N$, the Best-of-N strategy selects the outputs that maximize the reward signal \hat{r} , i.e.,

$$\widetilde{y}_1, \dots, \widetilde{y}_k = \text{Top-k}\{y \in \widehat{\mathcal{Y}} : \widehat{r}(y)\}.$$

For the BoN algorithm, we have the following theorem on the lower bound of the regret.

Theorem 4.2. For BoN (Algorithm 2), assume that $C^*(x) \geq 2k$. Then, there exists an instance $\mathcal{I} = (\mathcal{X}, \mathcal{Y}, \pi^*, r^*, \pi_{\text{ref}}, \widehat{r})$ such that the coverage coefficient is $C^*(x)$, and (\widehat{r}, r^*) satisfies Assumptions 3.1 and 3.2 with $\epsilon_{\text{RM}}(x)$ and $\epsilon_{\text{opt}}(x)$. If $N \leq C^*(x)$, Algorithm 2 suffer from a constant regret, i.e.,

$$Regret(x) = \Omega(1).$$

Otherwise, the regret satisfies

$$\operatorname{Regret}(x) = \Omega\Big(\min\Big\{1, \sqrt{N\epsilon_{\mathrm{RM}}^2(x)/k}\Big\}\Big).$$

BoN leverages the reward model's signal, but this makes it vulnerable to reward overoptimization (Gao et al., 2023; Stroebl et al., 2024) when the reward model is inaccurate. Thus, we construct the hard case by introducing multiple distinct "bad" answers that are assigned higher estimated rewards. With a carefully chosen, problem-dependent sampling budget $N = \widetilde{\Theta}(C^*(x))$, the lower bound will become $\widetilde{\Omega}(\sqrt{C^*(x)\epsilon_{\rm RM}^2(x)/k})$, which aligns with the general lower bound for inference algorithms (as will be discussed in Section 6). However, this lower bound implies that BoN is not scaling-monotonic, as for fixed k and $\epsilon_{\rm RM}(x)$, the regret converges to a non-zero constant when N becomes sufficiently large. Thus, increasing N for BoN not only causes higher computational overhead, but can also degrade performance when the reward model is inaccurate.

Remark 4.3. When k = 1, Theorem 3.4 in Huang et al. (2025) shows that the regret of BoN can be upper bounded by $\widetilde{O}(\sqrt{C^*(x)\epsilon_{\rm RM}^2(x)})$ with $N = \widetilde{\Theta}(C^*(x))$. Compared with the lower bound in Theorem 4.2, the regret bound for BoN still exhibits a gap of $1/\sqrt{k}$ under the Pass@k setting. However, the proof techniques for BoN in Pass@1 inference problems cannot be directly extended to the Pass@k setting. Specifically, their analysis introduces an auxiliary distribution induced by rejection sampling, which becomes difficult to generalize when the algorithm is allowed to select

Algorithm 3 Best-of-Majority (BoM)

Require: Estimated reward model \widehat{r} , reference policy π_{ref} , frequency threshold α , sampling budget N, number of candidates k.

1: Observe context x.

2: Independently generate N responses $\widehat{\mathcal{Y}} = \{\widehat{y}_1, \widehat{y}_2, \dots, \widehat{y}_N\}$ from $\pi_{\text{ref}}(\cdot|x)$.

3: Calculate frequency of each response $y \in \mathcal{Y}$: $\widehat{\pi}(y) = \frac{1}{N} \sum_{i=1}^{N} \mathbb{1}(\widehat{y}_i = y)$.

4: Eliminate responses with frequency less than α : $\widehat{\mathcal{Y}}_{\alpha} = \{y \in \widehat{\mathcal{Y}} : \widehat{\pi}(y) \geq \alpha\}$.

5: Query reward labels $(\widehat{r}(x, \widehat{y}_1), \dots, \widehat{r}(x, \widehat{y}_N))$.

6: if $|\widehat{\mathcal{Y}}_{\alpha}| \leq k$ then

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7: return \widehat{\mathcal{Y}}_{\alpha}.
8: else
9: Select \widetilde{y}_1, \dots, \widetilde{y}_k = \text{Top-k}\{y \in \widehat{\mathcal{Y}}_{\alpha} : \widehat{r}(y)\}.
10: return \{\widetilde{y}_1, \dots, \widetilde{y}_k\}.
11: end if
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k distinct responses as in our framework. More importantly, their proof relies on bounding the expected squared error of the reward model under the optimal policy π^* , i.e., $\mathbb{E}_{\pi^*}[|r^*(x,y)-\widehat{r}(x,y)|]$, which can be upper bounded by $\sqrt{C^*(x)\epsilon_{\mathrm{RM}}^2(x)}$ using the Cauchy-Schwarz inequality. While this quantity does not affect the regret bound in their original setting, it becomes the dominant term in our case, which prevents the derivation of the optimal $1/\sqrt{k}$ regret scaling. For these reasons, we conjecture that it may be inherently impossible to obtain a regret upper bound for BoN with the optimal $1/\sqrt{k}$ scaling under the Pass@k setting. We leave this to future work.

5 Optimal Algorithm for Pass@k Inference

In Section 4, we have proved that neither (weighted) majority voting nor BoN is scaling monotonic, and neither demonstrates the desirable scaling with k for the Pass@k inference scaling problem. Moreover, our earlier analysis reveals complementary strengths of these methods: majority voting performs well when the reference policy assigns a higher probability to the ground-truth answer than to incorrect ones, while Best-of-N can be highly effective when the reward model \hat{r} is accurate. However, each method also exhibits weaknesses, as they fail to fully exploit the available information from either the policy or the reward model. To address these limitations, we introduce a new algorithm, Best-of-Majority (BoM), which integrates the advantages of both approaches.

Our algorithm is built upon the principles of pessimism commonly used in reinforcement learning (Buckman et al., 2020; Jin et al., 2021). When the reference policy π_{ref} assigns low probability to a response, that response is rarely observed in the training data. Consequently, the reward model receives limited supervision in this region, leading to higher uncertainty and likelihood of error. The pessimism principle advocates making conservative predictions under such uncertainty, which motivates our design choice: we rely on the reward model only when π_{ref} assigns sufficiently high probability to the candidate. Since π_{ref} cannot be directly observed, we approximate it using empirical frequencies of generated responses. Specifically, let $\hat{y}_1, \ldots, \hat{y}_N$ denote the N generated

responses for a given query. We first calculate the empirical frequency of each emerging response:

$$\widehat{\pi}(y) = \frac{1}{N} \sum_{i=1}^{N} \mathbb{1}(\widehat{y}_i = y).$$

Guided by the pessimism principle, we discard responses whose frequency falls below a threshold α , retaining only the subset

$$\widehat{\mathcal{Y}}_{\alpha} = \{ y \in \widehat{\mathcal{Y}} : \widehat{\pi}(y) \ge \alpha \}.$$

Then we query the reward model on the surviving candidates and select the top k responses according to their predicted rewards, $\widetilde{y}_1, \ldots, \widetilde{y}_k = \text{Top-k}\{y \in \widehat{\mathcal{Y}}_\alpha : \widehat{r}(y)\}$. The following theorem demonstrates the upper bound of BoM.

Theorem 5.1. Assume that the threshold is $\alpha = 3/(4C^*(x))$, and the sampling budget is $N \ge 16C^*(x)\log\left(kC^*(x)/\epsilon_{\rm RM}^2(x)\right)$. Then the regret of BoM (Algorithm 3) satisfies

Regret
$$(x) \le \epsilon_{\rm opt}(x) + O\left(\sqrt{C^*(x)\epsilon_{\rm RM}^2(x)/k}\right).$$

When $\epsilon_{\rm opt}(x) \ll \sqrt{C^*(x)\epsilon_{\rm RM}^2(x)}$, the second term dominates, and consequently the overall regret scales as $1/\sqrt{k}$, consistent with the intuition that increasing k enlarges the candidate set and thereby makes the problem easier. Moreover, for fixed x, k, and $C^*(x)$, the regret bound converges to 0 as $N \to \infty$ and $\epsilon_{\rm RM}(x) \to 0$. This yields the following corollary.

Corollary 5.2. BoM (Algorithm 3) is scaling-monotonic.

Computational Complexity. According to Theorem 5.1, the BoM algorithm requires approximately $\widetilde{\Omega}(C^*(x))$ samples to achieve low regret. In comparison, Theorem 3.4 in Huang et al. (2025) shows that when k=1, the Best-of-N (BoN) algorithm also requires $\widetilde{\Theta}(C^*(x))$ samples. This means for Pass@k inference, BoM achieves a better regret bound with a $1/\sqrt{k}$ improvement without incurring additional generation cost. Moreover, BoM only queries the reward model for a filtered subset of candidates (see Algorithm 3, Line 5), which can reduce the number of reward evaluations.

Proof Sketch of Theorem 5.1. The crucial step of BoM involves the construction of $\widehat{\mathcal{Y}}_{\alpha}$ to approximate the set of all responses y with $\pi_{\text{ref}}(y|x) \geq \alpha$, denoted by \mathcal{Y}_{α} . The following two properties of \mathcal{Y}_{α} makes it preferable as the set of candidates: Firstly, if $\widetilde{y}_i \in \mathcal{Y}_{\alpha}(x)$ for all $i \in [k]$, we have an upper bound of the minimum estimation error $\min_{i \in [k]} \Delta_i$, where $\Delta_i = |\widehat{r}(x, \widetilde{y}_i) - r^*(x, \widetilde{y}_i)|$:

$$\min_{i \in [k]} \Delta_i \le \sqrt{\sum_{i=1}^k \Delta_i^2 / k} \le \sqrt{\sum_{i=1}^k \pi_{\text{ref}}(\widetilde{y}_i | x) \Delta_i^2 / (\alpha k)} \le \sqrt{\epsilon_{\text{RM}}^2(x) / (\alpha k)}, \tag{5.1}$$

where we used the property $\pi_{\text{ref}}(\tilde{y}_i|x) \geq \alpha$ in the second inequality. Secondly, since $\pi_{\text{ref}}(y^*|x) \geq 1/C^*(x)$, we have $y^* \in \mathcal{Y}_{1/C^*(x)}$. Therefore, if $\widehat{\mathcal{Y}}_{\alpha}(x) = \mathcal{Y}_{1/C^*(x)}(x)$, the algorithm either outputs y^* among the k submitted responses, incurring zero regret, or outputs k responses with $\widehat{r}(x, \widetilde{y}_i) \geq \widehat{r}(x, y^*)$, where the regret can be decomposed as

$$r^*(x, y^*) - r^*(x, \widetilde{y}_i) \leq \underbrace{\left|r^*(x, y^*) - \widehat{r}(x, y^*)\right|}_{\epsilon_{\text{opt}}(x)} + \underbrace{\left[\widehat{r}(x, y^*) - \widehat{r}(x, \widetilde{y}_i)\right]}_{\leq 0} + \underbrace{\left|\widehat{r}(x, \widetilde{y}_i) - r^*(x, \widetilde{y}_i)\right|}_{\Delta_i}.$$

We take the minimum, plug in (5.1), and obtain

$$r^*(x, y^*) - \max_{i \in [k]} r^*(x, \widetilde{y}_i) \le \epsilon_{\text{opt}}(x) + \min_{i \in [k]} \Delta_{\widetilde{y}_i} \le \epsilon_{\text{opt}}(x) + \sqrt{4C^*(x)\epsilon_{\text{RM}}^2(x)/k}.$$

However, without direct access to π_{ref} , we use the empirical frequency $\widehat{\pi}$ instead of π_{ref} in the construction of $\widehat{\mathcal{Y}}_{\alpha}$, making $\widehat{\mathcal{Y}}_{\alpha}$ an approximation of \mathcal{Y}_{α} . To extend the two properties of \mathcal{Y}_{α} to $\widehat{\mathcal{Y}}_{\alpha}$, we require the following event that sandwiches $\widehat{\mathcal{Y}}_{3/(4C^*(x))}(x)$ with $\mathcal{Y}_{1/C^*(x)}(x)$ and $\mathcal{Y}_{1/(4C^*(x))}(x)$:

$$\mathcal{E}: \mathcal{Y}_{1/C^*(x)}(x) \subset \widehat{\mathcal{Y}}_{3/(4C^*(x))}(x) \subset \mathcal{Y}_{1/(4C^*(x))}(x).$$

Under event \mathcal{E} , α can be set as $1/(4C^*(x))$ in (5.1). The complete expectation formula gives

$$\operatorname{Regret}(x) = \mathbb{E}\left[r^*(x, y^*) - \max_{i \in [k]} r^*(x, \widetilde{y}_i) \middle| \mathcal{E}\right] \cdot \mathbb{P}(\mathcal{E}) + \mathbb{E}\left[r^*(x, y^*) - \max_{i \in [k]} r^*(x, \widetilde{y}_i) \middle| \neg \mathcal{E}\right] \cdot \mathbb{P}(\neg \mathcal{E})$$

$$\leq \epsilon_{\operatorname{opt}}(x) + \sqrt{4C^*(x)\epsilon_{\operatorname{RM}}^2(x)/k} + \mathbb{P}(\neg \mathcal{E}),$$

so it remains to characterize the probability of \mathcal{E} .

The probability of $\mathcal{Y}_{1/C^*(x)}(x) \not\subset \hat{\mathcal{Y}}_{3/(4C^*(x))}(x)$ can be characterized by first bounding $\mathbb{P}(y \not\in \hat{\mathcal{Y}}_{3/(4C^*(x))}(x))$ for any $y \in \mathcal{Y}_{1/C^*(x)}(x)$ using the Chernoff bound, and then applying the union bound with the crucial observation of $|\mathcal{Y}_{1/C^*(x)}(x)| \leq C^*(x)$. When characterizing $\mathbb{P}(\hat{\mathcal{Y}}_{3/(4C^*(x))}(x)) \not\subset \mathcal{Y}_{1/(4C^*(x))}(x)$, we can similarly use the Chernoff bound in $\mathbb{P}(y \in \hat{\mathcal{Y}}_{3/(4C^*(x))}(x))$ for any $y \in \mathcal{Y}_{1/(4C^*(x))}(x)$. However, the union bound does not hold because the cardinality of the set $\mathcal{Y}(x) \setminus \mathcal{Y}_{1/(4C^*(x))}(x)$ is unknown. To resolve this issue, we assign elements of $\mathcal{Y}(x) \setminus \mathcal{Y}_{1/(4C^*(x))}(x)$ into "bins" $\{G_j\}$, each with capacity $1/(2C^*(x))$, i.e., $\pi_{\mathrm{ref}}(G_j|x) \leq 1/(2C^*(x))$. The smallest number of bins is no more than $4C^*(x)$ because any two bins with $\pi_{\mathrm{ref}}(G_j|x) \leq 1/(4C^*(x))$ can be merged. With this assignment, we can bound $\mathbb{P}(G_j \cap \hat{\mathcal{Y}}_{3/(4C^*(x))}(x) \neq \emptyset)$ with the Chernoff bound, and then use the union bound with the bins, which resolves the problem because the number of bins is bounded.

6 General Lower Bound

In this section, we establish a lower bound that highlights the fundamental factors influencing the Pass@k inference problem. Specifically, the bound depends on the coverage coefficient $C^*(x)$, the reward model estimation error $\epsilon_{\rm RM}^2(x)$ and $\epsilon_{\rm opt}(x)$, and the number of candidates k. It matches the upper bound in Theorem 5.1, which indicates that the algorithm BoM is minimax optimal.

Theorem 6.1. For a given prompt x, assume that $C^*(x) \geq 2k$. Then for any algorithm \mathcal{A} for the Pass@k inference problem, there exists an instance $\mathcal{I} = (\mathcal{X}, \mathcal{Y}, \pi^*, r^*, \pi_{\text{ref}}, \hat{r})$ such that the coverage coefficient is $C^*(x)$, and (r^*, \hat{r}) satisfies Assumptions 3.1 and 3.2. Moreover, and regret can be lower bounded by

$$\operatorname{Regret}(x) = \Omega \Big(\epsilon_{\operatorname{opt}}(x) + \sqrt{C^*(x) \epsilon_{\operatorname{RM}}^2(x)/k} \Big).$$

Theorem 6.1 shows that the term $\epsilon_{\text{opt}}(x)$ is unavoidable in the Pass@k inference problem and does not diminish as the number of candidates k increases. In contrast, the component associated with the expected squared loss, $\epsilon_{\text{RM}}(x)$, decreases at a rate of $1/\sqrt{k}$. This bound matches the upper bound for BoM (Theorem 5.1), demonstrating that BoM is minimax optimal.

7 Experiments

In this section, we empirically verify the effectiveness of our proposed BoM algorithm on mathematical reasoning tasks.

7.1 Experiment Setup

Models and Datasets. We use Qwen3-4B-Instruct-2507 (Qwen3-4B, Team, 2025) and Qwen2.5-Math-1.5B-Instruct (Qwen2.5-1.5B, Yang et al., 2024a) as the reference policy π_{ref}^{-1} . We adopt AceMath-7B-RM (Liu et al., 2024) as the reward model \hat{r} , a mathematical reward model trained on a large corpus generated by different language models which is selected due to its strong performance and moderate size. We adopt the widely used GSM8K (Cobbe et al., 2021), MATH-500 (Hendrycks et al., 2021), and AIME24² dataset as our testing corpus. We first sample N trajectories and call the reward model to evaluate each trajectory. The answers are then extracted from the trajectories and clustered by mathematical equivalence. For each answer group, we use the average of the rewards of all the corresponding trajectories as the reward of this group. We also calculate the frequency of each answer group as an estimation of $\pi_{\text{ref}}(\cdot)$.

Method and Baselines. Given a specific k, we consider our method BoM, and two baselines, majority voting and BoN. In BoM, we set a threshold α and select the k answers (up to mathematical equivalence) with highest reward score and frequency greater than α . In BoN, we directly select the k answers (up to mathematical equivalence) with highest rewards. As for majority voting, we directly select k answers (up to mathematical equivalence) with highest frequency.

7.2 Results

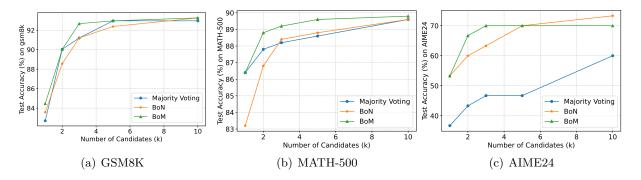


Figure 1: Results with different k on Qwen3-4B. BoM consistently outperforms the baselines on MATH-500 for all k and on AIME24, GSM8K when k is small, and matches the performance of baselines in other settings.

Results with varying k. We first plot the results for $k \in \{1, 2, 3, 5, 10\}$ in Figures 1(a) and 2(a) for GSM8K, Figures 1(b) and 2(b) for MATH-500, and Figures 1(c) and 2(c) for AIME24. We sample N = 2000 responses for the GSM8K dataset and the Qwen3-4B model, and set N = 500 for all other

 $^{^1\}mathrm{Please}$ see Appendix C for results on additional models.

²https://huggingface.co/datasets/di-zhang-fdu/AIME_1983_2024

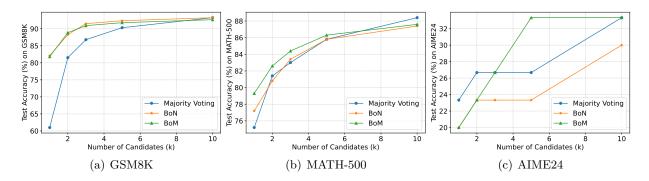


Figure 2: The results of different k with N = 500 on Qwen2.5-1.5B.

experiment settings. For the Qwen3-4B model, on MATH-500, the performance of BoM consistently outperforms the baselines. On GSK8K and AIME24, BoM also shows a large improvement over majority voting and outperforms BoN for small k. These results empirically verify the effectiveness of the BoM algorithm. For the Qwen2.5-1.5B model, BoM matches the performance of BoN on GSM8k and outperforms BoN on MATH-500 and AIME24. The performance of BoM also surpasses majority voting on GSM8k and MATH-500 with $k \leq 5$. These results show that BoM demonstrates a better overall performance over baselines when k is small.

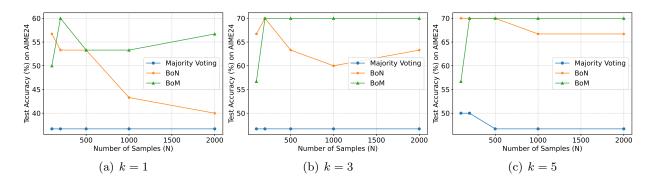


Figure 3: The results with fixed k and different N. When N increases, the performance of BoN is likely to decrease over all the k. The performance of Majority voting remains at a low level. Among them, BoM has a more consistent performance and outperforms baselines with larger N.

Results with varying N. We also study the performance of the three methods under different sample sizes. We conduct the experiments on the AIME24 dataset and the Qwen3-4B model. We vary N between 100 and 2000, and show the results with k=1,3,5. Except for the case of N=100 where the threshold of BoM is set to $\alpha=0.015$, we use $\alpha=0.005$ in all other settings. We compile the results in Figure 3. The performance of majority voting remains consistently low, which aligns with Theorem 4.1, demonstrating that majority voting incurs constant regret and does not benefit from increased sample size. The performance of BoN tends to degrade as N increases. In contrast, when $N \geq 200$, BoM consistently outperforms both baselines and does not decrease significantly with the increase of N. This observation is consistent with our theoretical results, as BoM is scaling-monotonic.

8 Conclusion and Future Work

In this work, we demonstrate the scaling laws of the Pass@k inference problem by displaying the minimax lower bound of the regret and proposing the algorithm BoM with regret matching the lower bound. We also show that BoM has the advantage of scaling monotonicity compared with majority voting and BoN, which makes BoM preferable when scaling up the generation budget. For future work, we plan to extend the study of inference strategies from the optimization of inference-time performance to the impact of combining the trajectory sampling process during the post-training of LLMs with Pass@k inference strategies.

A Proof of Theorem 5.1

In this section, we will prove Theorem 5.1, which provides the theoretical upper bound of Algorithm 3. To start with, for any $\alpha > 0$, we denote

$$\mathcal{Y}_{\alpha}(x) = \{ y \in \mathcal{A}(x) : \pi_{\text{ref}}(y|x) \ge \alpha \},$$

indicating the set of responses with relatively high probability for π_{ref} . Using the definition of the coverage coefficient (3.2), we have $y^* \in \mathcal{Y}_{\alpha}(x)$ as long as $\alpha \geq 1/C^*(x)$. Next, we will build the relationship between the empirical set $\widehat{\mathcal{Y}}_{\alpha}(x)$ and $\mathcal{Y}_{\alpha}(x)$. Denote \mathcal{E} as the event such that

$$\mathcal{Y}_{1/C^*(x)}(x) \subset \widehat{\mathcal{Y}}_{3/(4C^*(x))} \subset \mathcal{Y}_{1/(4C^*(x))}(x).$$

Our proof consists of two parts:

Step 1: We first show that $\mathcal E$ holds with high probability.

Step 2: Provided that \mathcal{E} holds, since $y^* \in \mathcal{Y}_{1/C^*(x)}(x)$, we have $y^* \in \widehat{\mathcal{Y}}_{3/(4C^*(x))}$; furthermore, since $\widetilde{y}_i \in \widehat{\mathcal{Y}}_{3/(4C^*(x))}$, we have $\widetilde{y}_i \in \mathcal{Y}_{1/(4C^*(x))}(x)$, so $\pi_{\text{ref}}(\widetilde{y}_i|x) \geq 1/(4C)$ for every submitted response \widetilde{y}_i . We can then characterize $\Delta_i = |r^*(x, \widetilde{y}_i) - \widehat{r}(x, \widetilde{y}_i)|$ using the definition of the estimation error ϵ_{RM}^2 . If $y^* \in \{\widetilde{y}_1, \dots, \widetilde{y}_k\}$, then the regret is zero; if $y^* \notin \{\widetilde{y}_1, \dots, \widetilde{y}_k\}$, then using Assumption 3.2, we have

$$r^*(x,y^*) - r^*(x,\widetilde{y}_i) \leq \underbrace{|r^*(x,y^*) - \widehat{r}(x,y^*)|}_{\epsilon_{\mathrm{opt}}(x)} + \underbrace{|\widehat{r}(x,y^*)) - \widehat{r}(x,\widetilde{y}_i)|}_{\leq 0} + \underbrace{|\widehat{r}(x,\widetilde{y}_i) - r^*(x,\widetilde{y}_i)|}_{\Delta_i}.$$

Combining these parts together, we complete the proof of Theorem 5.1.

We now get into the details of the proof. The following lemma states that the event of \mathcal{E} will occur with high probability:

Lemma A.1. \mathcal{E} holds with probability at least $1 - 5C^*(x)e^{-N/(32C^*(x))}$.

Proof. The proof consists of two parts that characterize the probabilities of $\mathcal{Y}_{1/C(x)}(x) \not\subset \widehat{\mathcal{Y}}_{3/(4C^*(x))}$ and $\widehat{\mathcal{Y}}_{3/(4C^*(x))} \not\subset \mathcal{A}_{1/(4C^*(x))}(x)$, respectively:

Part I: Probability of $\mathcal{Y}_{1/C^*(x)}(x) \not\subset \widehat{\mathcal{Y}}_{3/(4C^*(x))}$. We first fix any $y \in \mathcal{Y}_{1/C^*(x)}(x)$. By Chernoff bound, we have

$$\mathbb{P}(\widehat{\pi}(y) < 3/(4C^*(x))) \le \exp\left(-\frac{N\pi_{\text{ref}}(y|x)}{2}\left(1 - \frac{3}{4C^*(x)\pi_{\text{ref}}(a|x)}\right)^2\right) \le e^{-N/(32C^*(x))}, \quad (A.1)$$

where the first inequality holds due to the Chernoff bound, and the second inequality holds because $\pi_{\text{ref}}(y|x) \ge 1/C^*(x)$. Applying the union bound to all $y \in \mathcal{Y}_{1/C^*(x)}(x)$, we have

$$\mathbb{P}(\mathcal{Y}_{1/C^{*}(x)}(x) \not\subset \widehat{\mathcal{Y}}_{3/(4C^{*}(x))}) = \mathbb{P}\left(\bigvee_{y \in \mathcal{Y}_{1/C^{*}(x)}(x)} \mathbb{1}[\widehat{\pi}(y) \leq 3/(4C^{*}(x))]\right) \\
\leq \sum_{y \in \mathcal{Y}_{1/C^{*}(x)}(x)} \mathbb{P}(\widehat{\pi}(y) < 3/(4C^{*}(x))) \\
\leq 1 - |\mathcal{Y}_{1/C^{*}(x)}(x)| \cdot e^{-N/(32C^{*}(x))} \\
\leq 1 - C^{*}(x)e^{-N/(32C^{*}(x))}, \tag{A.2}$$

where the first inequality holds due to the union bound, the second inequality holds due to (A.1), and the last inequality holds because $|\mathcal{Y}_{1/C^*(x)}(x)| \leq C^*(x)$.

Part II: Probability of $\widehat{\mathcal{Y}}_{3/(4C^*(x))} \not\subset \mathcal{A}_{1/(4C^*(x))}(x)$. We cannot use the same union bound as (A.2) because the cardinality of the set to take union bound $\mathcal{Y}\setminus\mathcal{Y}_{1/(4C^*(x))}(x)$ is unknown. To resolve this issue, we first partition $\mathcal{Y}\setminus\mathcal{Y}_{1/(4C^*(x))}(x)$ into groups, then apply Chernoff bound to each group, and finally apply the union bound to the groups. This technique resolves the problem because the number of groups is in the order of $\mathcal{O}(C^*(x))$, and the union bound goes through without incurring the cardinality of $\mathcal{Y}\setminus\mathcal{Y}_{1/(4C^*(x))}(x)$.

In detail, suppose that $\mathcal{Y} \setminus \mathcal{Y}_{1/(4C^*(x))}(x) = \{y_i\}_{i \geq 1}$. We start with a single group $G_1 = \emptyset$, and add y_i to one of the groups sequentially. For each response $y_i \in \mathcal{Y} \setminus \mathcal{Y}_{1/(4C^*(x))}(x)$, if there exists group G_i such that

$$\pi_{\text{ref}}(y_i|x) + \sum_{y \in G_i} \pi_{\text{ref}}(y|x) \le \frac{1}{2C^*(x)},$$
(A.3)

then we update G_j with $G_j \cup \{a_i\}$ where j is the smallest index that satisfies (A.3). Otherwise, we create a new group $\{a_i\}$. From the construction of the groups, we can easily see that the probability of any group G_j under the reference model satisfies

$$\pi_{\text{ref}}(G_i|x) = \sum_{a \in G_j} \pi_{\text{ref}}(a|x) \le \frac{1}{2C^*(x)}.$$
(A.4)

Furthermore, the total number of groups M should be no larger than $4C^*(x)$ because otherwise, suppose that (A.3) does not holds for y_i and any existing group $G_j(j \in [M])$ where $M > 4C^*(x) - 1$, i.e.,

$$\sum_{y \in G_j} \pi_{\text{ref}}(y|x) > \frac{1}{2C^*(x)} - \pi_{\text{ref}}(y_i|x) > \frac{1}{4C^*(x)},\tag{A.5}$$

where the last inequality holds because $\pi_{\text{ref}}(a) < 1/(4C^*(x))$. We then have

$$1 = \sum_{y \in \mathcal{Y}} \pi_{\text{ref}}(y|x)$$

$$\geq \left[\pi_{\text{ref}}(y_i|x) + \sum_{y \in G_1} \pi_{\text{ref}}(y|x) \right] + \sum_{j=2}^{M} \left[\sum_{y \in G_j} \pi_{\text{ref}}(y|x) \right]$$

$$\geq \frac{1}{2C^*(x)} + (M-1) \cdot \frac{1}{4C^*(x)}$$
$$> \frac{1}{2C^*(x)} + (4C^*(x) - 1 - 1) \cdot \frac{1}{4C^*(x)} = 1,$$

where the first inequality holds because the union of a_i and all existing groups is a subset of $\mathcal{A}(x)$, the second inequality holds due to (A.5), and the last inequality holds due to the assumption of $M > 4C^*(x) - 1$. We have thus arrived at a contradiction, and we conclude that $M \leq 4C^*(x)$.

For each group, we apply the Chernoff bound:

$$\mathbb{P}\left(\bigvee_{y \in G_{j}} \mathbb{1}[\widehat{\pi}(y) \geq 3/(4C^{*}(x))]\right) \\
\leq \mathbb{P}(\widehat{\pi}(G_{j}) \geq 3/(4C^{*}(x))) \\
\leq \exp\left(-N\frac{(3/(4C^{*}(x)) - \pi_{\text{ref}}(G_{i}|x))^{2}}{3/(4C^{*}(x)) + \pi_{\text{ref}}(G_{i}|x)}\right) \\
\leq e^{-N/(20C^{*}(x))}, \tag{A.6}$$

where the first inequality holds because if the frequency of one response in G_j is larger than $3/(4C^*(x))$, then the total frequency of group G_j should be larger than $3/(4C^*(x))$; the second inequality holds due to the Chernoff bound; the last inequality holds due to (A.4). Applying the union bound to all groups,

$$\mathbb{P}(\widehat{\mathcal{Y}}_{3/(4C^{*}(x))} \not\subset \mathcal{A}_{1/(4C^{*}(x))}(x)) = \mathbb{P}\left(\bigvee_{y \in \mathcal{Y} \setminus \mathcal{Y}_{1/(4C^{*}(x))}} \mathbb{1}[\widehat{\pi}(y) \geq 3/(4C^{*}(x))]\right) \\
\leq \sum_{j=1}^{M} \mathbb{P}\left(\bigvee_{y \in G_{j}} \mathbb{1}[\widehat{\pi}(y) \geq 3/(4C^{*}(x))]\right) \\
\leq Me^{-N/(20C^{*}(x))} \\
\leq 4C^{*}(x)e^{-N/(32C^{*}(x))}, \tag{A.7}$$

where the first inequality holds due to the union bound, the second inequality holds due to (A.6), and the last inequality holds because $M \leq 4C^*(x)$ and $e^{-N/(20C^*(x))} \leq e^{-N/(32C^*(x))}$. Combining (A.2) and (A.7), using the union bound, we have

$$\mathbb{P}(\mathcal{E}) \ge 1 - 5Ce^{-N/(32C^*(x))}.$$

Thus, we have completed the proof of Lemma A.1.

Using this lemma, we then proceed with the proof of Theorem 5.1:

Proof of Theorem 5.1. Suppose that \mathcal{E} holds. If y^* is included in the submitted responses, then the regret is 0. We now consider the case where y^* is not submitted. According to the definition of the coverage coefficient, we have

$$\pi_{\text{ref}}(y^*|x) \ge \pi^*(y^*|x)/C^*(x) \ge 1/C^*(x),$$

so $y^* \in \mathcal{Y}_{1/C^*(x)}(x)$. Furthermore, since $\mathcal{Y}_{1/C^*(x)}(x) \subset \widehat{\mathcal{Y}}_{3/(4C^*(x))}$ when \mathcal{E} holds, we have $y^* \in \widehat{\mathcal{Y}}_{3/(4C^*(x))}$. Since y^* is not selected as the output, we know that (i) at least k responses are submitted because otherwise all responses in $\widehat{\mathcal{Y}}_{3/(4C^*(x))}$ would be submitted, and (ii) $\widehat{r}(x, y^*) \leq \widehat{r}(x, y^*)$ for any $i \in [k]$. We thus have

$$\widehat{r}(x, \widetilde{y}_i) \ge \widehat{r}(x, y^*) \ge r^*(x, y^*) - \epsilon_{\text{opt}}(x), \tag{A.8}$$

where the second inequality holds due to Assumption 3.2. Therefore, the regret conditioned on event \mathcal{E} is

$$\min_{i \in [k]} \{r^*(x, y^*) - r^*(x, \widetilde{y}_i)\} \leq \epsilon_{\text{opt}}(x) + \min_{i \in [k]} \{\widehat{r}(x, \widetilde{y}_i) - r_*(x, \widetilde{y}_i)\}$$

$$\leq \epsilon_{\text{opt}}(x) + \sqrt{\frac{1}{k} \sum_{i=1}^k |\widehat{r}(x, \widetilde{y}_i) - r_*(x, \widetilde{y}_i)|^2}$$

$$\leq \epsilon_{\text{opt}}(x) + \sqrt{\frac{4C^*(x)}{k} \sum_{i=1}^k \pi_{\text{ref}}(\widetilde{y}_i|x)|\widehat{r}(x, \widetilde{y}_i) - r^*(x, \widetilde{y}_i)|^2}$$

$$\leq \epsilon_{\text{opt}}(x) + \sqrt{\frac{4C^*(x)}{k} \sum_{y \in \mathcal{Y}} \pi_{\text{ref}}(y|x)|\widehat{r}(x, y) - r^*(x, y)|^2}$$

$$= \epsilon_{\text{opt}}(x) + \sqrt{\frac{4C^*(x)\epsilon_{\text{RM}}^2(x)}{k}}, \tag{A.9}$$

where the first inequality holds due to (A.8), the second inequality holds because the minimum is no larger than the average, the third inequality holds because $\pi_{\text{ref}}(y|x) \geq 1/(4C^*(x))$ for any $y \in \widehat{\mathcal{Y}}_{3/(4C^*(x))}$ when $\widehat{\mathcal{Y}}_{3/(4C^*(x))} \subset \mathcal{Y}_{1/(4C^*(x))}(x)$, the fourth inequality holds because $\{\widetilde{y}_1, \ldots, \widetilde{y}_k\}$ is a subset of \mathcal{Y} , and the last equality holds due to the definition of the estimation error $\epsilon_{\text{RM}}^2(x)$. Combining (A.9) with the case where $y^* \in \{\widetilde{y}_1, \ldots, \widetilde{y}_k\}$ and the regret is 0, we conclude that under condition \mathcal{E} ,

$$r^*(x, y^*) - \max_{i \in [k]} r^*(x, \widetilde{y}_i) \le \epsilon_{\text{opt}}(x) + \sqrt{\frac{4C^*(x)\epsilon_{\text{RM}}^2(x)}{k}}.$$
 (A.10)

Finally, we take the complete expectation of the regret:

$$\begin{aligned} \operatorname{Regret}(x) &= \mathbb{E}\left[r^*(x, y^*) - \max_{i \in [k]} r^*(x, \widetilde{y}_i) \middle| \mathcal{E}\right] \cdot \mathbb{P}(\mathcal{E}) + \mathbb{E}\left[r^*(x, y^*) - \max_{i \in [k]} r^*(x, \widetilde{y}_i) \middle| \neg \mathcal{E}\right] \cdot \mathbb{P}(\neg \mathcal{E}) \\ &\leq \left(\epsilon_{\operatorname{opt}}(x) + \sqrt{\frac{4C^*(x)\epsilon_{\operatorname{RM}}^2(x)}{k}}\right) \cdot \mathbb{P}(\mathcal{E}) + 1 \cdot \mathbb{P}(\neg \mathcal{E}) \\ &\leq \epsilon_{\operatorname{opt}}(x) + \sqrt{\frac{4C^*(x)\epsilon_{\operatorname{RM}}^2(x)}{k}} + 5C^*(x)e^{-N/(32C^*(x))}, \end{aligned}$$

where the first inequality holds due to (A.10) and Regret(x) ≤ 1 , and the second inequality holds because $\mathbb{P}(\mathcal{E}) \leq 1$ and due to Lemma A.1. Finally, when $N \geq 16C^*(x) \log (kC^*(x)/\epsilon_{\rm RM}^2(x))$, we have

Regret
$$(x) \le \epsilon_{\text{opt}}(x) + O\left(\sqrt{C^*(x)\epsilon_{\text{RM}}^2(x)/k}\right).$$

We complete the proof of Theorem 5.1.

B Proof of Lower Bounds

In this section, we will prove the lower bounds used in the main text of this paper. Specifically, we establish the results for majority voting (Theorem 4.1), Best-of-N (Theorem 4.2), and the general case of Pass@k inference algorithms (Theorem 6.1). Before proceeding, we first establish an independent lower bound regarding $\epsilon_{\text{opt}}(x)$. This result is general and can be applied to any subsequent lower bound, introducing an additional $\epsilon_{\text{opt}}(x)$ term.

B.1 Lower Bound of $\epsilon_{opt}(x)$

We first study the following hard case where any algorithm for the Pass@k inference problem suffers from the regret of $\Omega(\epsilon_{\text{opt}}(x))$. Combining this lower bound with any algorithm-dependent lower bound b (obtained from the analysis of a hard instance), we can show that the lower bound of the algorithm is

$$\Omega(\max\{\epsilon_{\text{opt}}(x), b\}) = \Omega(\epsilon_{\text{opt}}(x) + b).$$

Lemma B.1. Assume that $\epsilon_{\mathrm{opt}}(x) \leq \sqrt{C^*(x)\epsilon_{\mathrm{RM}}^2(x)}$ and $C^*(x) \geq 2k$. Then there exists an instance $\mathcal{I} = (\mathcal{X}, \mathcal{Y}, \pi^*, r^*, \pi_{\mathrm{ref}}, \hat{r})$ such that the coverage coefficient is $C^*(x)$, and (r^*, \hat{r}) satisfy Assumptions 3.1 and 3.2. Furthermore, for any prompt $x \in \mathcal{X}$, the regret of any algorithm for the Pass@k inference problem satisfies

$$Regret(x) = \Omega(\epsilon_{opt}(x)).$$

Proof. For simplicity, we omit the prompt x in our proof. We apply the idea of averaging hammer, and consider a total of M hard instances such that no algorithm can perform well on all instances. The responses set is $\{y_0, y_1, \ldots, y_M\}$ for all M hard instances. The reference policy and the approximate reward model are also shared by all instances:

$$\pi_{\text{ref}}(y_0) = 1 - M/C^*, \quad \pi_{\text{ref}}(y_1) = \cdots = \pi_{\text{ref}}(y_M) = 1/C^*;$$

 $\widehat{r}(y_0) = 0, \quad \widehat{r}(y_1) = \cdots = \widehat{r}(y_M) = 1 - \epsilon_{\text{opt}}.$

The hard instances are different only in the ground-truth reward model and π^* . For instance $\mathcal{I}_j = (\mathcal{X}, \mathcal{Y}, \pi_j^*, r_j^*, \widehat{r}, \pi_{\text{ref}})$ where $j \in [M]$, we set

$$\pi_j^*(y_i) = \delta_{ij}, \quad r_j^*(y_i) = \begin{cases} 0 & i = 0; \\ 1 & i = j; \\ 1 - \epsilon_{\text{opt}} & \text{otherwise.} \end{cases}$$

For all hard cases, the total estimation error is $\epsilon_{\rm opt}^2/C* \leq \epsilon_{\rm RM}^2$. Among these M hard instances, any algorithm that outputs up to k responses will fail to output the optimal response in at least M-k instances, inducing the regret of $\epsilon_{\rm opt}$. Therefore, the average regret of these M instances is at least

Regret
$$\geq \frac{M-k}{M} \epsilon_{\text{opt}}$$
.

Setting M = 2k, we have Regret = $\Omega(\epsilon_{\text{opt}})$.

B.2 Proof of Theorem 4.1 (Lower Bound of Majority Voting)

Proof of Theorem 4.1. For simplicity, we omit the prompt x in our proof. Consider the following hard instance. The size of the response set is 2 + k, with $\mathcal{Y} = \{y_0, y^*, y_1, y_2, \dots, y_k\}$. The ground truth reward satisfies:

$$r^*(y_0) = 0;$$
 $r^*(y^*) = 1;$ $r^*(y_i) = 1/2,$ $\forall 1 \le i \le k.$

Therefore, the optimal policy π^* satisfies:

$$\pi^*(y_0) = 0;$$
 $\pi^*(y^*) = 1;$ $\pi^*(y_i) = 0,$

In this instance, we assume that the estimated reward function \hat{r} is accurate. Let $\eta = 2w(1)/w(1/2)$. We further define the reference policy as:

$$\pi_{\text{ref}}(y_0) = 1 - (1 + \eta k)/C^*; \qquad \pi_{\text{ref}}(y^*) = 1/C^*; \qquad \pi_{\text{ref}}(y_i) = \eta/C^*, \quad \forall 1 \le i \le k.$$

The reference polity is well defined as long as $C^* \geq 1 + 2kw(1)/w(1/2)$. Now we consider the sampled responses $\hat{y}_1, \hat{y}_2, \dots, \hat{y}_N$. Define

$$N^* = \sum_{j=1}^{N} \mathbb{1}(\widehat{y}_j = y^*); \qquad N_i = \sum_{j=1}^{N} \mathbb{1}(\widehat{y}_j = y_i), \quad \forall i \in [k].$$

Then the expectations of N^* and N_i are

$$\mathbb{E}[N^*] = \frac{N}{C^*}; \qquad \mathbb{E}[N_i] = \frac{\eta N}{C^*}, \quad \forall 1 \le i \le k.$$

Using the Chernoff bounds, we have

$$\mathbb{P}\left[\frac{N^*}{N} \ge \frac{3}{2C^*}\right] \le \exp\left(\frac{-N}{9C^*}\right), \quad \mathbb{P}\left[\frac{N_i}{N} \le \frac{3\eta}{4C^*}\right] \le \exp\left(\frac{-N\eta}{4C^*}\right). \tag{B.1}$$

Denote \mathcal{E} as the event such that

$$\frac{N^*}{N} \leq \frac{3}{2C^*}; \qquad \frac{N_i}{N} \geq \frac{3\eta}{4C^*}, \quad \forall i \in [k].$$

Taking the union bound with (B.1), we have

$$\mathbb{P}(\mathcal{E}) \ge 1 - \exp\left(\frac{-N}{9C^*}\right) - k \exp\left(\frac{-N\eta}{4C^*}\right) \ge 1 - (k+1) \exp\left(\frac{-N}{9C^*}\right),$$

where the last inequality holds because $\eta > 1$. Under event \mathcal{E} , we have

$$\frac{w(1/2)N_i}{w(1)N^*} = \frac{N_i/N}{N^*/N} \cdot \frac{w(1/2)}{w(1)} \ge \frac{3\eta/(4C^*)}{3/(2C^*)} \cdot \frac{2}{\eta} = 1,$$

where the inequality holds due to the definition of the event \mathcal{E} and the definition of η . Therefore, conditioned on event \mathcal{E} , the (weighted) majority voting (Algorithm 1) will output $\{y_1, \ldots, y_k\}$ and suffer from a 1/2 regret. To summarize, the regret satisfies

Regret
$$\geq \mathbb{P}(\mathcal{E}) \cdot \mathbb{E}[\text{Regret}|\mathcal{E}] \geq \frac{1}{2} \left(1 - (k+1) \exp\left(\frac{-N}{9C^*}\right)\right).$$

When $N \geq 9C^*(x) \log(2k + 2)$,

$$1 - (k+1) \exp\left[\frac{-N}{9C^*}\right] \ge 1/2.$$

B.3 Proof of Theorem 4.2 (Lower Bound of BoN)

To prove Theorem 4.2, we construct two hard instances to accommodate two cases: (i) When N is small, then it is very likely that y^* does not even appear in $\{\hat{y}_1, \ldots, \hat{y}_N\}$; (ii) When N is large, then it is very likely that a number of responses that are suboptimal in r^* but better than y^* in \hat{r} are sampled. The two hard instances share the same structure but are different in parameters.

Proof of Theorem 4.2. For simplicity, we omit the prompt x. We consider two hard instances, one for $N \leq C^*$ and the other for $N \geq C^*$.

Case 1: $N \leq C^*$. We consider a hard instance with $\mathcal{Y} = \{y_0, y^*\}$, and

$$\pi^*(y_0) = 0, \quad \pi^*(y^*) = 1; \qquad r^*(y_0) = 0, \quad r^*(y^*) = 1;$$

$$\pi_{\text{ref}}(y_0) = 1 - 1/C^*, \quad \pi_{\text{ref}}(y^*) = 1/C^*; \qquad \widehat{r}(y_0) = 0, \quad \widehat{r}(y^*) = 1.$$

For this instance, the estimation errors are $\epsilon_{\text{opt}} = \epsilon_{\text{RM}} = 0$. If no sample in $\widehat{y}_1, \dots, \widehat{y}_N$ is y^* , then the regret is 1. The probability that $y^* \notin \{\widehat{y}_1, \dots, \widehat{y}_N\}$ is $(1 - 1/C^*)^N$. Therefore, we have

Regret
$$\geq (1 - 1/C^*)^N \geq (1 - 1/C^*)^{C^*} \geq 1/4$$
,

where the second inequality holds because $N \leq C^*$, and the second inequality holds because $C^* \geq 2$. Therefore, the BoN algorithm incurs constant regret in this hard instance when $N \leq C^*$.

Case 2: $N \ge C^*$. We consider the following hard instance: The response set is $\mathcal{Y} = \{y^*, y_0, y_1, \dots, y_M\}$. Let p > 0 be a parameter to be determined. The reward models are

$$r^*(y^*) = 1, \quad r^*(y_0) = 0, \quad r^*(y_i) = 1 - \frac{\epsilon_{\text{RM}}}{2\sqrt{p}};$$

 $\widehat{r}(y^*) = 1 - \delta, \quad \widehat{r}(y_0) = 0, \quad \widehat{r}(y_i) = 1.$

where $\delta < \epsilon_{\text{opt}}$ is a sufficiently small positive number to ensure that the reward of y_1, \ldots, y_M is slightly better than y^* in \hat{r} , but y^* is still the optimal response in r^* . In this way, $\pi^*(y^*) = 1$ and $\pi^*(y_i) = 0$ for $i = 0, 1, \ldots, M$. The reference model satisfies

$$\pi_{\text{ref}}(y^*) = 1/C^*, \quad \pi_{\text{ref}}(y_0) = 1 - 1/C^* - p, \quad \pi_{\text{ref}}(y_i) = p/M.$$

For this instance, the coverage is C^* , and the estimation error is less than $\epsilon_{\rm RM}^2$ when δ is sufficiently small.

Simple analysis. We first consider a simple setting where M = k. When $\hat{y}_1, \ldots, \hat{y}_N$ covers every response in $\{y_1, \ldots, y_k\}$, then $\{y_1, \ldots, y_k\}$ will be the output of BoN, causing the regret of $\epsilon_{\text{RM}}/2\sqrt{p}$. The probability of any y_i not being covered is

$$(1 - p/k)^N.$$

Using the union bound, the probability that there exists y_i not being coverer is upper bounded by

$$\mathbb{P}[\exists i, y_i \notin \{\widehat{y}_1, \dots, \widehat{y}_N\}] \le k(1 - p/k)^N.$$

Thus, the regret of making the wrong decisions in y_1, \ldots, y_k is lower bounded by

$$1 - k(1 - p/k)^N.$$

Then the regret satisfies

Regret
$$\geq (1 - k(1 - p/k)^N) \cdot \frac{\epsilon_{\text{RM}}}{2\sqrt{p}}$$
.

In this instance, when $\sqrt{N\epsilon_{\rm RM}^2/[k\log(2k)]}/2 < 1$, we select $p = (k/N) \cdot \log(2k)$. Then we have

$$1 - k(1 - p/k)^N \ge 1/2,$$

and thus the regret can be lower bounded by $\Omega(\sqrt{N\epsilon_{\rm RM}^2/(k\log k)})$. Otherwise, let $p=\epsilon_{\rm RM}^2/4$. And the regret can be lower bounded by $\Omega(1)$. Therefore, we have

Regret
$$\geq \Omega \Big(\min \Big\{ 1, \sqrt{N \epsilon_{\text{RM}}^2 / (k \log k)} \Big\} \Big).$$

This analysis will lead to an additional logarithmic term on k, which is unnecessary. To avoid this term, we consider the following improved analysis.

Improved analysis. We consider the instance where M=2k. Consider the event where at least k responses among y_1, \ldots, y_M are covered by $\widehat{y}_1, \ldots, \widehat{y}_N$. Since $\widehat{r}(y_i) > \widehat{r}(y^*)$ for $i=1,\ldots,M$, the optimal responses y^* is not included in $\widetilde{y}_1, \ldots, \widetilde{y}_k$, which also incurs the regret of $\epsilon_{\rm RM}/(2\sqrt{p})$. We now consider the probability of this event. Define the following random variables:

• Define S as the number of samples within y_1, \ldots, y_M , i.e.,

$$S = \sum_{i=1}^{N} \sum_{j=1}^{M} \mathbb{1}[\widehat{y}_i = y_j].$$

• Define O_j as the occupancy of y_j , i.e.,

$$O_j = \bigvee_{i=1}^N \mathbb{1}[\widehat{y}_i = y_j].$$

• Define D as the total occupancy of $\{y_1, \ldots, y_M\}$, i.e.,

$$D = \sum_{j=1}^{M} O_j.$$

Our goal is to lower bound $\mathbb{P}(D \geq k)$. Fix $s_0 > k$. Using the total expectation formula, we have

$$\mathbb{P}(D \ge k) = \sum_{s \ge k} \mathbb{P}(D \ge k | S = s) \mathbb{P}(S = s)$$

$$\ge \sum_{s \ge s_0} \mathbb{P}(D \ge k | S = s) \mathbb{P}(S = s)$$

$$\ge \mathbb{P}(D \ge k | S = s_0) \mathbb{P}(S \ge s_0), \tag{B.2}$$

where the first inequality holds because $s_0 \ge k$, and the second inequality holds because $\mathbb{P}(D \ge k|S=s) \ge \mathbb{P}(D \ge k|S=s_0)$ when $s \ge s_0$. We then calculate the two probabilities separately. We first use the Chernoff bound to characterize $\mathbb{P}(S \ge s_0)$. The expectation of S is

$$\mathbb{E}[S] = \sum_{i=1}^{N} \mathbb{P}(\widehat{y}_i \in \{y_1, \dots, y_M\}) = Np.$$

Then by the Chernoff bound, we have

$$\mathbb{P}(S \ge s_0) \ge 1 - \exp\left(-\frac{(Np - s_0)^2}{2Nn}\right). \tag{B.3}$$

We then calculate the conditional probability $\mathbb{P}(D \geq k|S = s_0)$, and we assume without loss of generality that $\hat{y}_1, \dots, \hat{y}_{s_0}$ fall within $\{y_1, \dots, y_M\}$. Conditioned on this event \mathcal{E} , we have $\mathbb{P}(\hat{y}_i = y_j) = 1/M$ for $1 \leq i \leq s_0$ and $1 \leq j \leq M$. Although we cannot use the vanilla Chernoff bound to bound $\mathbb{P}(D \geq k|S = s)$, we can use the Chernoff bound for **negatively-correlated** random variables to bound the probability. We first calculate the expectation of D, which is

$$\mathbb{E}[D|S = s_0] = M\mathbb{E}[O_i] = M(1 - \mathbb{P}[\hat{y}_i \neq y_i, \forall i \in [s_0]]) = M(1 - (1 - 1/M)^{s_0}).$$

We then verify that O_1, \ldots, O_M are negatively correlated, which is to show that for any subset $\mathcal{J} \subset [M]$, we have $\mathbb{E}[\prod_{j \in \mathcal{J}} O_j] \leq \prod_{j \in \mathcal{J}} \mathbb{E}[O_j]$, i.e., $\mathbb{P}(O_j = 1, \forall j \in \mathcal{J}) \leq \prod_{j \in \mathcal{J}} \mathbb{P}(O_j = 1)$. We prove by induction with respect to the cardinality of \mathcal{J} . The inequality is trivial When $|\mathcal{J}| = 1$. Suppose that the inequality holds for all \mathcal{J} such that $|\mathcal{J}| \leq n$. It then suffices to show the inequality holds for $\mathcal{J} = [n+1]$. Note that

$$\mathbb{P}(O_1 = 1, \dots, O_{n+1} = 1)
= \mathbb{P}(O_1 = 1, \dots, O_n = 1) - \mathbb{P}(O_1 = 1, \dots, O_n = 1 | O_{n+1} = 0) \cdot \mathbb{P}(O_{n+1} = 0)
= \mathbb{P}(O_1 = 1, \dots, O_n = 1) \cdot \mathbb{P}(O_{n+1} = 1)
+ \left[\mathbb{P}(O_n = 1, \dots, O_n = 1) - \mathbb{P}(O_1 = 1, \dots, O_n = 1 | O_{n+1} = 0) \right] \cdot \mathbb{P}(O_{n+1} = 0),$$

Using the induction hypothesis, we have

$$\mathbb{P}(O_1 = 1, \dots, O_n = 1) \cdot \mathbb{P}(O_{n+1} = 1) \le \prod_{j=1}^{n+1} \mathbb{P}(O_j = 1).$$

It then suffices to show that

$$\mathbb{P}(O_n = 1, \dots, O_n = 1) \le \mathbb{P}(O_1 = 1, \dots, O_n = 1 | O_{n+1} = 0),$$

which is trivial because the event $\hat{y}_i = y_j (j \in [n])$ becomes more likely conditioned of the event that $\hat{y}_i \neq y_{n+1}$. Therefore, the inequality holds for $|\mathcal{J}| = n+1$, and we complete the verification of O_j being negatively correlated. Therefore, using the Chernoff bound for negatively-correlated random variables, we have

$$\mathbb{P}(D \ge k|S = s_0) \ge 1 - \exp\left(-\frac{\{M[1 - (1 - 1/M)^{s_0}] - k\}^2}{2M[1 - (1 - 1/M)^{s_0}]}\right). \tag{B.4}$$

Substituting (B.3) and (B.4) into (B.2), we have

$$\begin{split} & \text{Regret} \geq \mathbb{P}(D \geq k) \cdot \frac{\epsilon_{\text{RM}}}{2\sqrt{p}} \\ & \geq \frac{\epsilon_{\text{RM}}}{2\sqrt{p}} \cdot \left[1 - \exp\left(-\frac{\{M[1 - (1 - 1/M)^{s_0}] - k\}^2}{2M[1 - (1 - 1/M)^{s_0}]}\right)\right] \cdot \left[1 - \exp\left(-\frac{(Np - s_0)^2}{2Np}\right)\right]. \end{split}$$

Let $M=2k, s_0=3k$. If $\sqrt{N\epsilon_{\rm RM}^2/k}/4 \le 1$, we set p=4k/N. In this case, we have

$$1 - (1 - 1/M)^{s_0} = 1 - \left(1 - \frac{1}{2k}\right)^{3k} \ge 1 - e^{-1.5} \ge \frac{3}{4}.$$

We thus have

$$1 - \exp\left(-\frac{\{M[1 - (1 - 1/M)^{s_0}] - k\}^2}{2M[1 - (1 - 1/M)^{s_0}]}\right)$$

$$\geq 1 - \exp\left(-\frac{(2k \cdot 3/4 - k)^2}{2 \cdot 2k \cdot 3/4}\right)$$

$$= 1 - e^{-k/12} > 1 - e^{-1/12}.$$

where the second inequality holds because $k \geq 1$. We also have Np = 4k, so

$$1 - \exp\left(-\frac{(Np - s_0)^2}{2Np}\right) = 1 - \exp\left(-\frac{(4k - 3k)^2}{2 \cdot 4k}\right) = 1 - e^{-k/8} \ge 1 - e^8,$$

where the last inequality holds because $k \geq 1$. Combining all the above, we have

Regret
$$\geq \frac{\epsilon_{\text{RM}}}{\sqrt{4k/N}} \cdot (1 - e^{-1/12}) \cdot (1 - e^{-1/8}) \geq 0.004 \sqrt{\frac{N\epsilon_{\text{RM}}^2}{k}}.$$

Otherwise, the regret is lower bounded by $\Omega(1)$. Therefore, we have

Regret
$$\geq \Omega \left(\min \left\{ 1, \sqrt{N \epsilon_{\text{RM}}^2 / k} \right\} \right)$$
.

B.4 Proof of Theorem 6.1 (General Lower bound

We first provide a more general version of Theorem 6.1:

Theorem B.2. Assume that $C^*(x) \ge \max\{k,2\}$. Then for any positive integer $M \in [k, C^*(x)]$ and any algorithm A that outputs k responses, there exists a hard instance $\mathcal{I} = (\mathcal{X}, \mathcal{Y}, \pi^*, r^*, \pi_{\text{ref}}, \hat{r})$ such that the coverage is C, the estimation error is ϵ_{RM}^2 , and the regret of algorithm A satisfies

Regret
$$(x) \ge \frac{M-k}{M} \sqrt{\frac{C^*(x)\epsilon_{\text{RM}}^2}{M-1}}.$$

When $C \geq 2k$, we can set M = 2k and obtain the regret lower bound of $\Omega(\sqrt{C\epsilon_{\rm RM}^2/k})$ in Theorem 6.1. We now present the proof of Theorem B.2.

Proof of Theorem B.2. We consider the case of $\mathcal{X} = \{x\}$, and omit the prompt x in A(x), $\pi_{ref}(\cdot|x)$, $\widehat{r}(x,\cdot)$, etc.

To prove Theorem 6.1, we apply the idea of averaging hammer, and consider a total of M hard instances such that no algorithm can perform well on all instances. All of these hard instances have a total of M+1 possible responses $\mathcal{Y} = \{y_0, \ldots, y_M\}$, and we aim to make y_1, \ldots, y_M hard to distinguish from each other. In detail, all hard instances also share the same reference model and the same \widehat{r} :

$$\pi_{\text{ref}}(y_0) = 1 - M/C, \quad \pi_{\text{ref}}(y_1) = \dots = \pi_{\text{ref}}(y_M) = 1/C;$$

 $\widehat{r}(y_0) = 0, \quad \widehat{r}(y_1) = \dots = \widehat{r}(y_M) = 1.$

For hard instance $\mathcal{I}_j(j \in [M])$, we make y_j the optimal response with ground truth reward being 1 and $\pi^*(y_j) = 1$, and make all other responses suboptimal with a gap of δ , i.e., $\mathcal{I}_j = (\mathcal{X}, \mathcal{Y}, \pi_j^*, r_j^*, \pi_{\text{ref}}, \widehat{r})$, where

$$\pi_j^*(y_l) = \delta_{jl}, \quad r_j^*(y_l) = \begin{cases} 0 & l = 0; \\ 1 & l = j; \\ 1 - \delta & \text{otherwise.} \end{cases}$$

In this hard instance, the coverage is C, and in order to make the estimation error equal to $\epsilon_{\rm RM}^2$, we require

$$(M-1) \cdot \delta^2 \cdot 1/C = \epsilon_{\rm RM}^2,$$

which indicates that $\delta = \sqrt{C\epsilon_{\rm RM}^2/(M-1)}$. Since any algorithm can only output a maximum of k different responses, it cannot output the optimal response in at least M-k out of the M hard instances, suffering from the regret of at least δ . Therefore, the averaged regret of the M instances is at least

$$\frac{1}{M} \sum_{i=1}^{M} \mathbb{E}_{\widetilde{y}_1, \dots, \widetilde{y}_k \sim A} \left[r_j^*(y_j) - \max \left\{ r_j^*(\widetilde{y}_1), \dots, r_j^*(\widetilde{y}_k) \right\} \right] \ge \frac{1}{M} \cdot (M - k) \cdot \delta = \frac{M - k}{M} \sqrt{\frac{C \epsilon_{\text{RM}}^2}{M - 1}}.$$

Therefore, there exists an instance \mathcal{I}_{j^*} within the M hard instances such that

$$\mathbb{E}_{\widetilde{y}_1,\dots,\widetilde{y}_k\sim A}\left[r_{j^*}^*(y_{j^*}) - \max\left\{r_{j^*}^*(\widetilde{y}_1),\dots,r_{j^*}^*(\widetilde{y}_k)\right\}\right] \geq \frac{M-k}{M}\sqrt{\frac{C\epsilon_{\mathrm{RM}}^2}{M-1}}.$$

C Additional Experiments

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