



[SWE2015-41] Introduction to Data Structures (자료구조개론)

# Trees

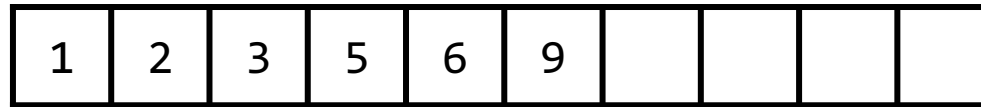
**Department of Computer Science and Engineering**

**Instructor:** Hankook Lee (이한국)

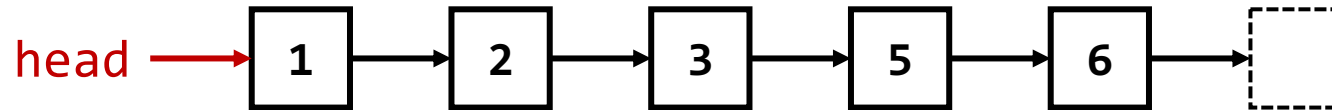
# (Recap) Linear Structures



- **An array** is a collection of elements of **the same data type** in a **contiguous block of memory**



- **A linked list** is a collection of **sequentially-connected** elements



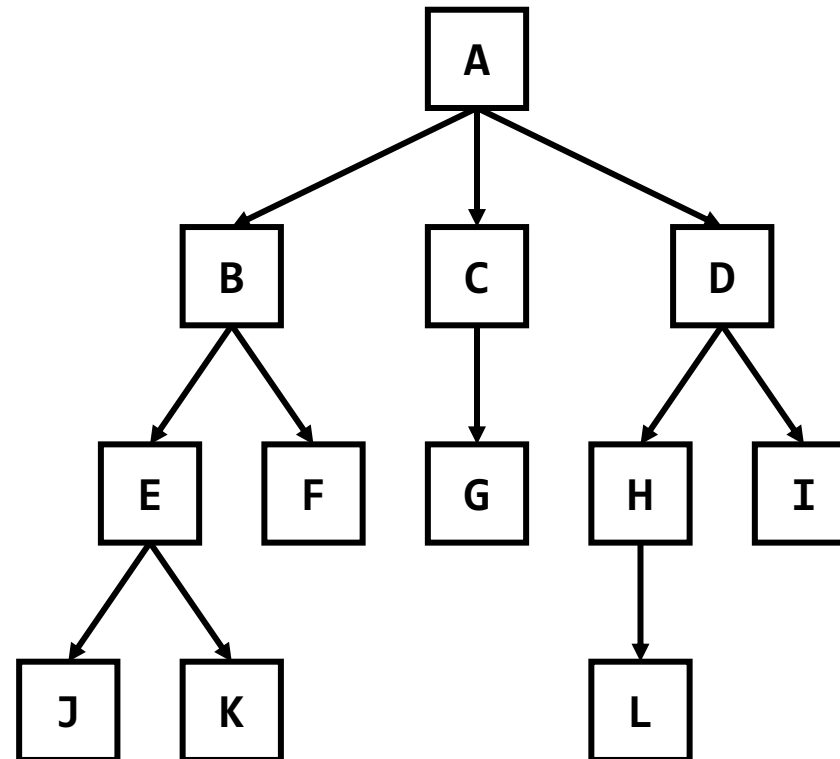
- **Stack & Queue** are linear structure based on LIFO & FIFO principles, resp.



# What is Tree?



- Tree is a **hierarchical** structure with a set of connected nodes
  - Each node is composed with a **parent-children relationship**
  - There is no cycle (or loop) in the tree

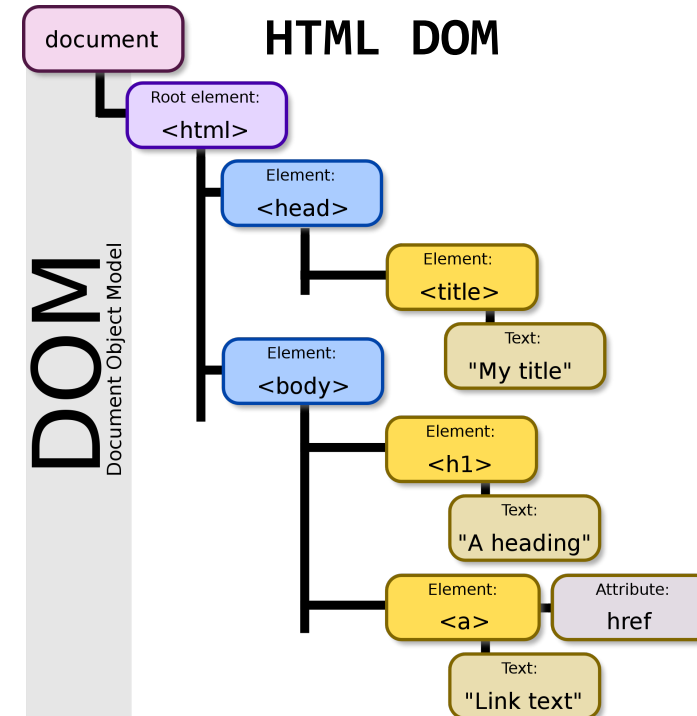
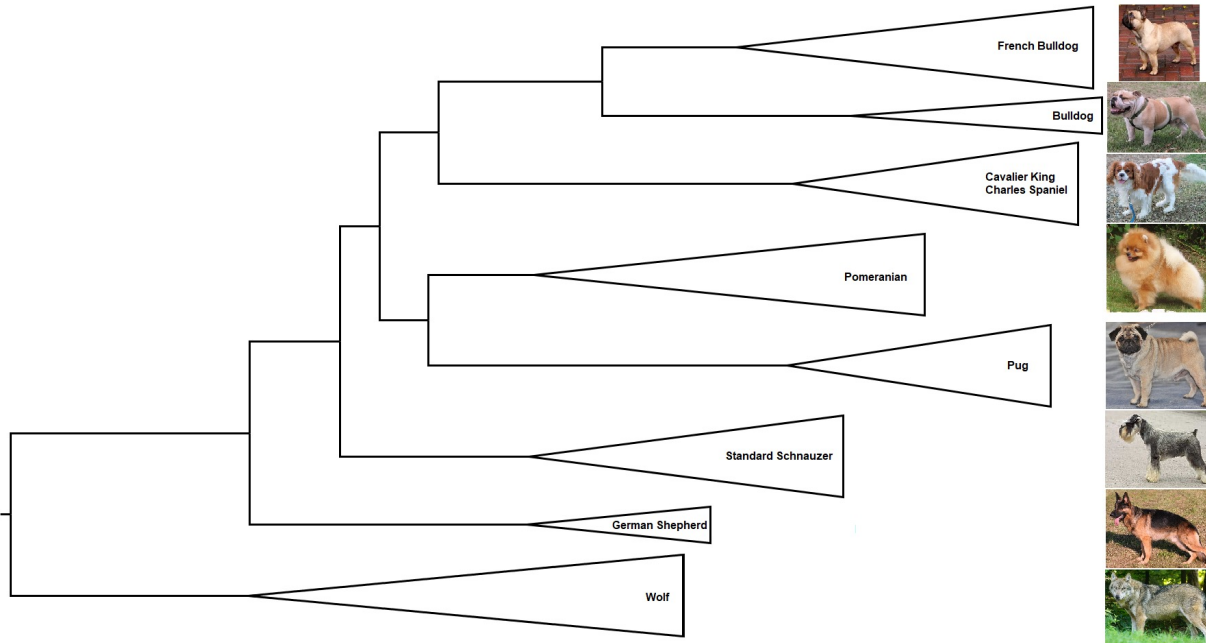


# What is Tree?

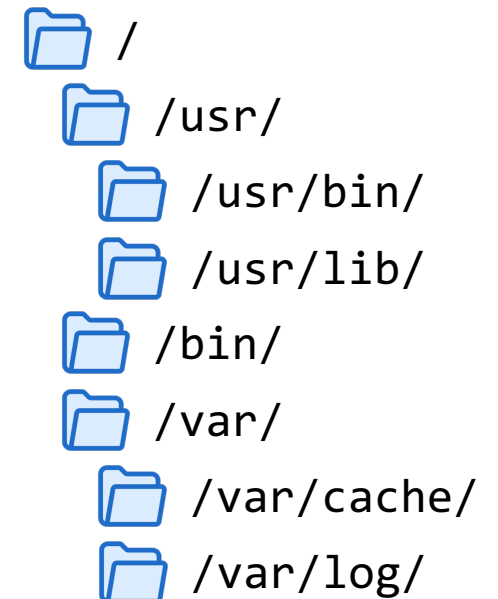


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Phylogenetic tree (계통수)



Linux Directory



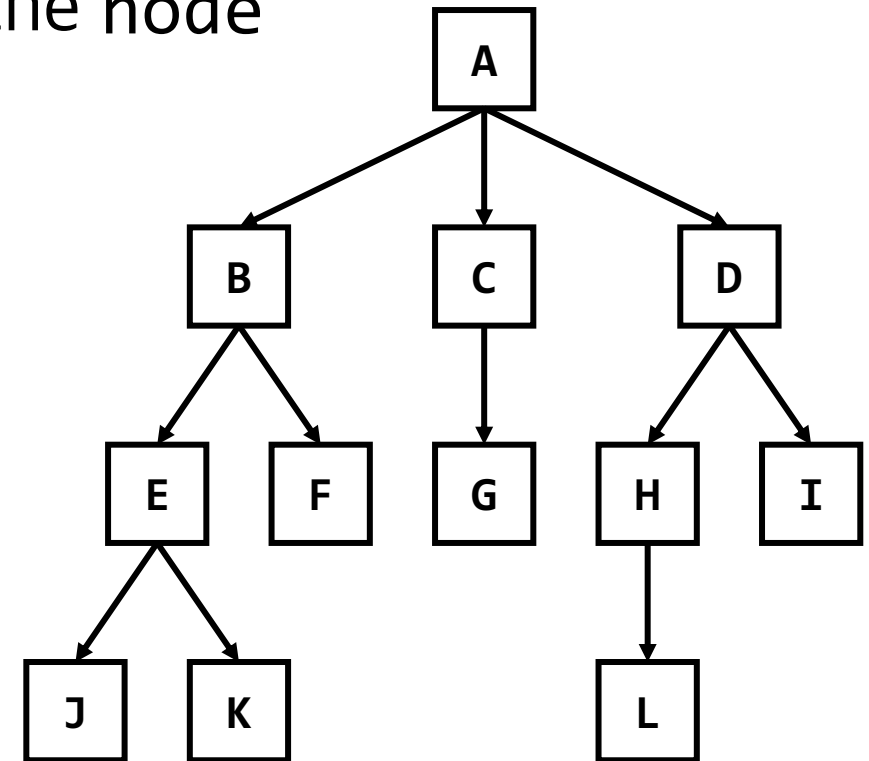
# Terminology (Basic)



- **Node** represents an object
- **Edge** represents a connection between two nodes
  - If  $X \rightarrow Y$ , say  $X$  is the **parent** of  $Y$  and  $Y$  is a **child** of  $X$
- **Degree** of a node is the number of children of the node
  - It is equal to the number of outgoing edges

- **Examples**

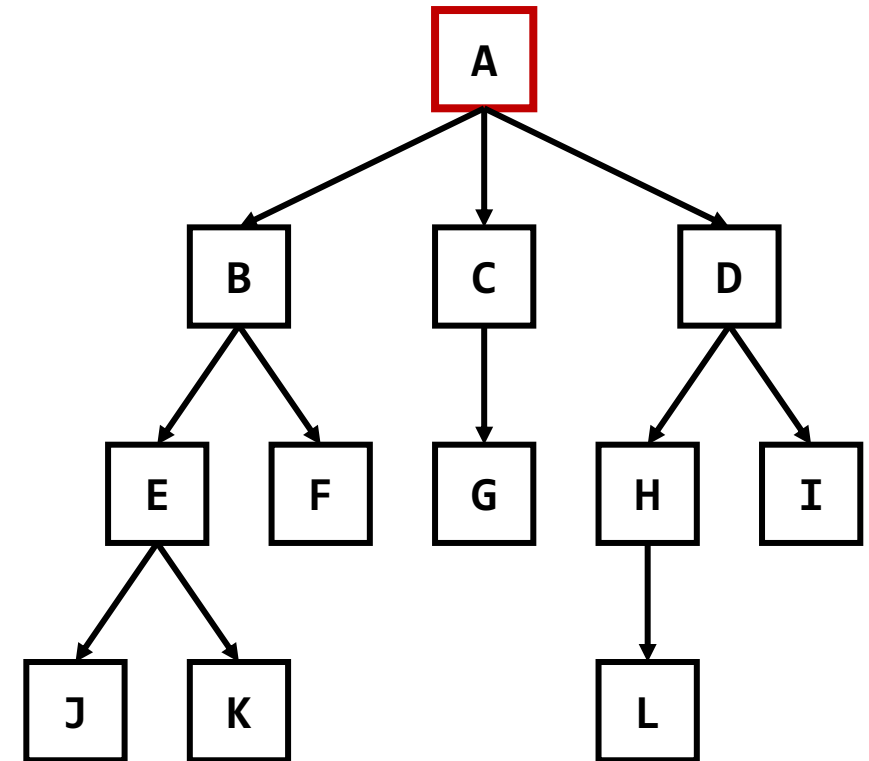
- B is the parent of E and F
- H is a child of D
- $\text{degree}(A) = 3$
- $\text{degree}(D) = 2$
- $\text{degree}(J) = 0$



# Terminology (Tree-Level)



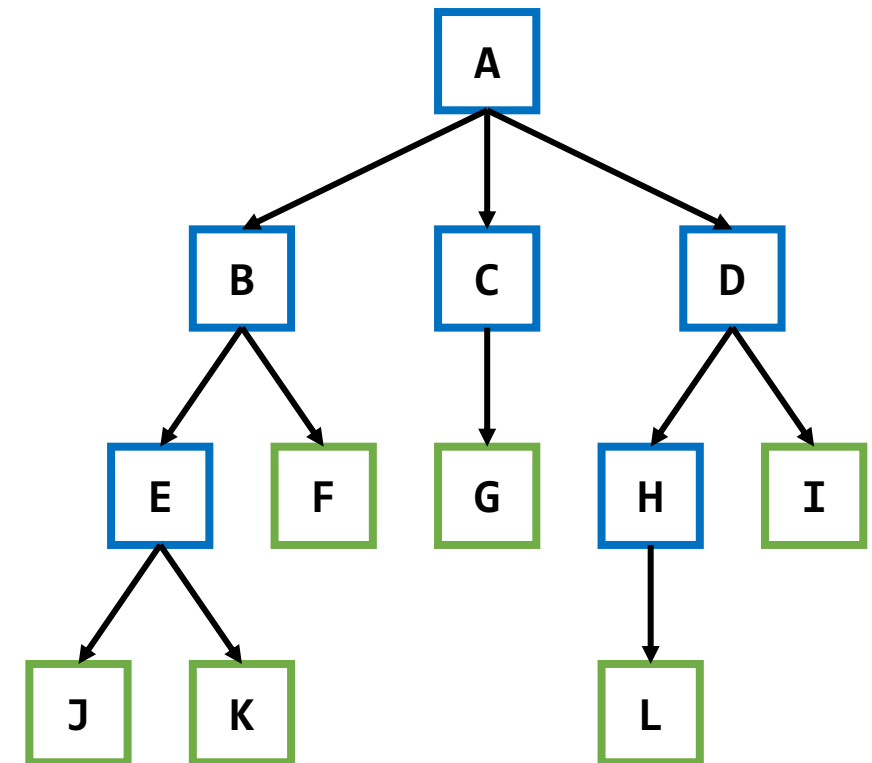
- **Root** is the top node in a tree



# Terminology (Tree-Level)



- **Root** is the top node in a tree
- **Internal** (or non-terminal) node: degree (# of children)  $\geq 1$
- **Leaf** (or terminal) node: degree (# of children) = 0

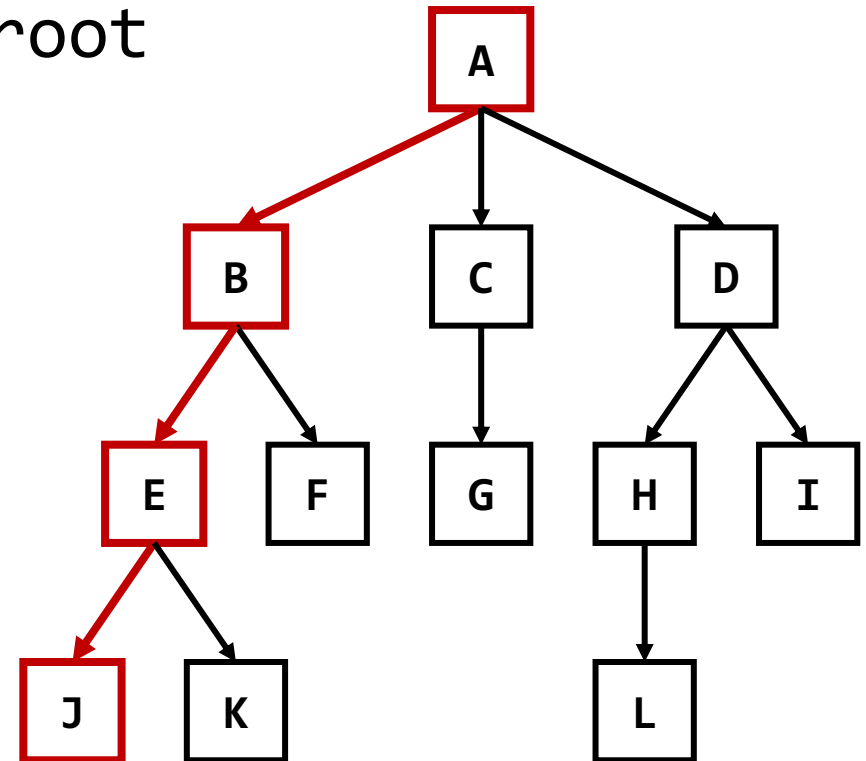


# Terminology (Tree-Level)



- **Root** is the top node in a tree
- **Internal** (or non-terminal) node: degree  $\geq 1$
- **Leaf** (or terminal) node: degree = 0
- **Height** is # of nodes on the longest path from root

Height = 4





# Terminology (Node-Level)



For a **node X**,

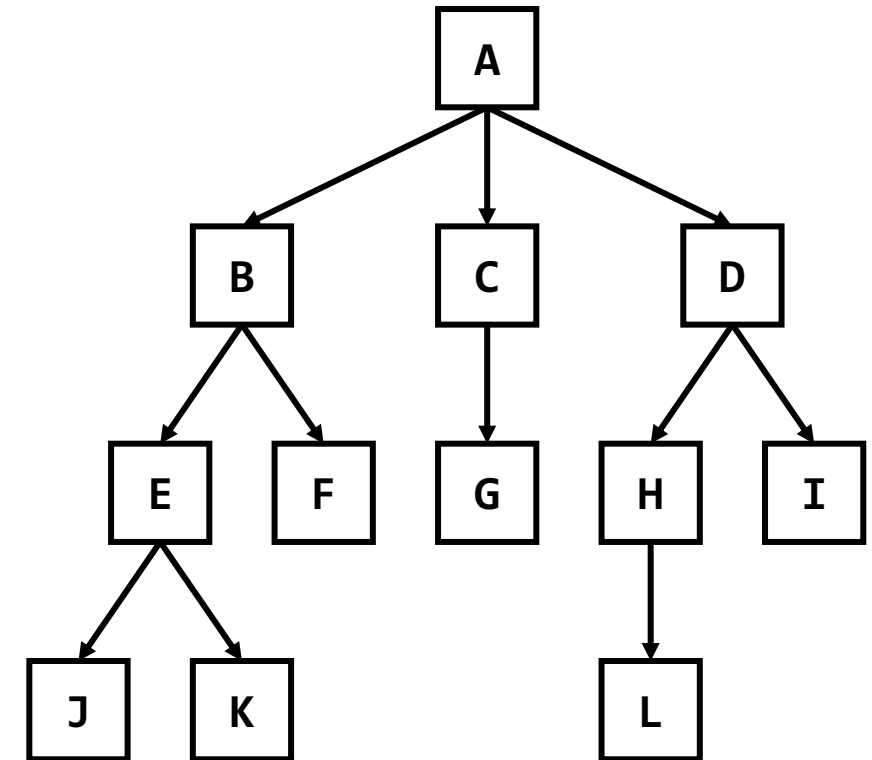
- **Level** or **depth** is the distance between **root** and **X**

**Level = 0**

**Level = 1**

**Level = 2**

**Level = 3**

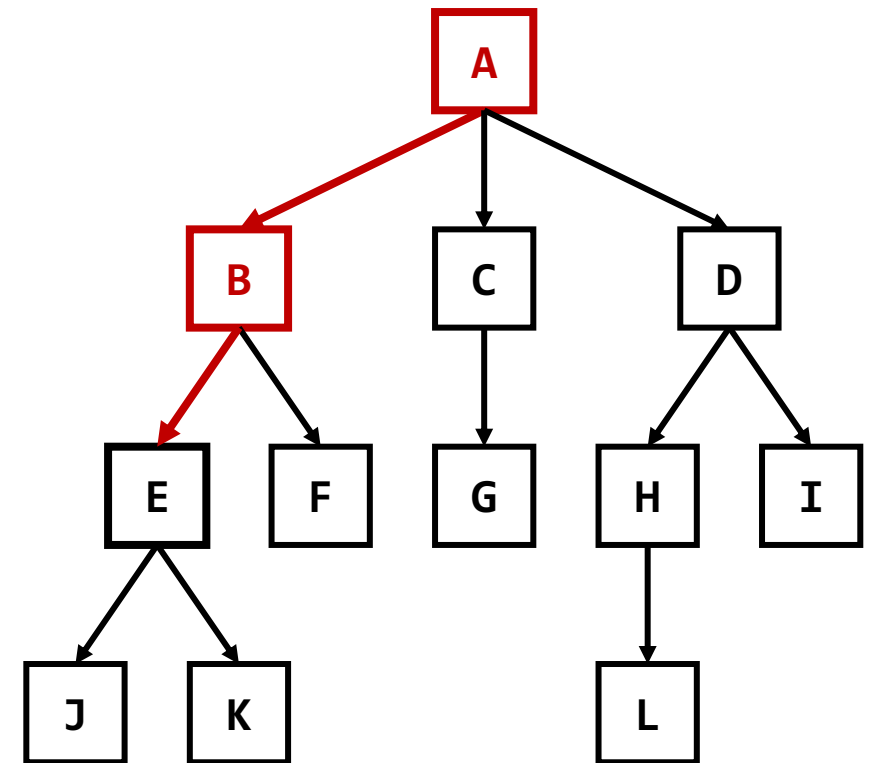


# Terminology (Node-Level)



For a **node X**,

- **Level** or **depth** is the distance between **root** and **X**
- **Ancestor** is a predecessor on the path from **root** to **X**
  - For example, **A** and **B** are **ancestors** of **E**

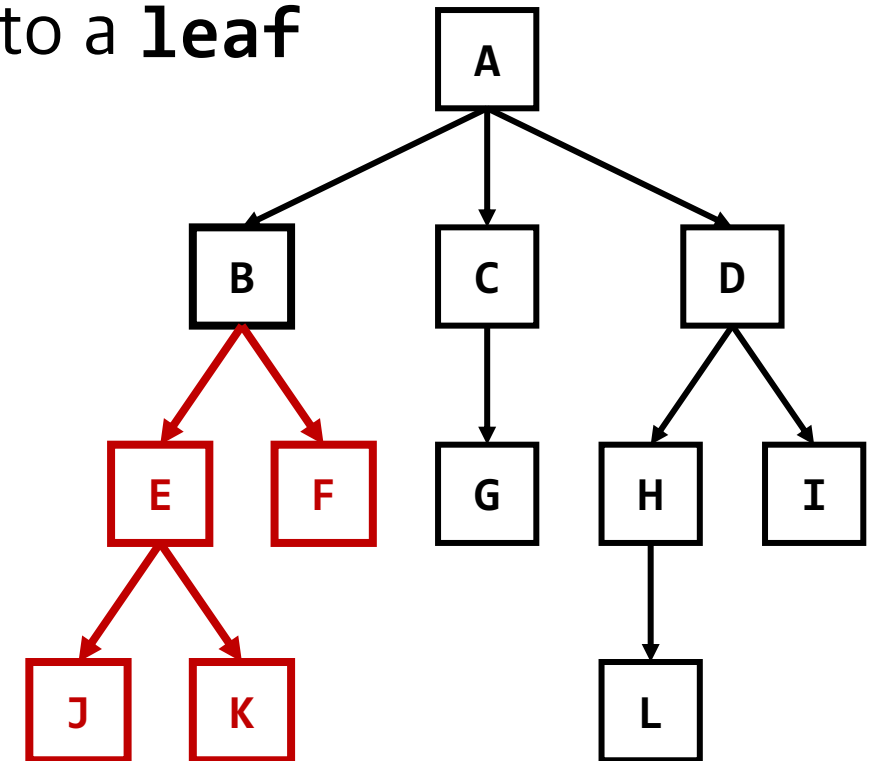


# Terminology (Node-Level)



For a **node X**,

- **Level** or **depth** is the distance between **root** and **X**
- **Ancestor** is a predecessor on the path from **root** to **X**
- **Descendant** is a successor on any path from **X** to a **leaf**
  - For example, **E**, **F**, **J**, and **K** are **descendants** of **B**

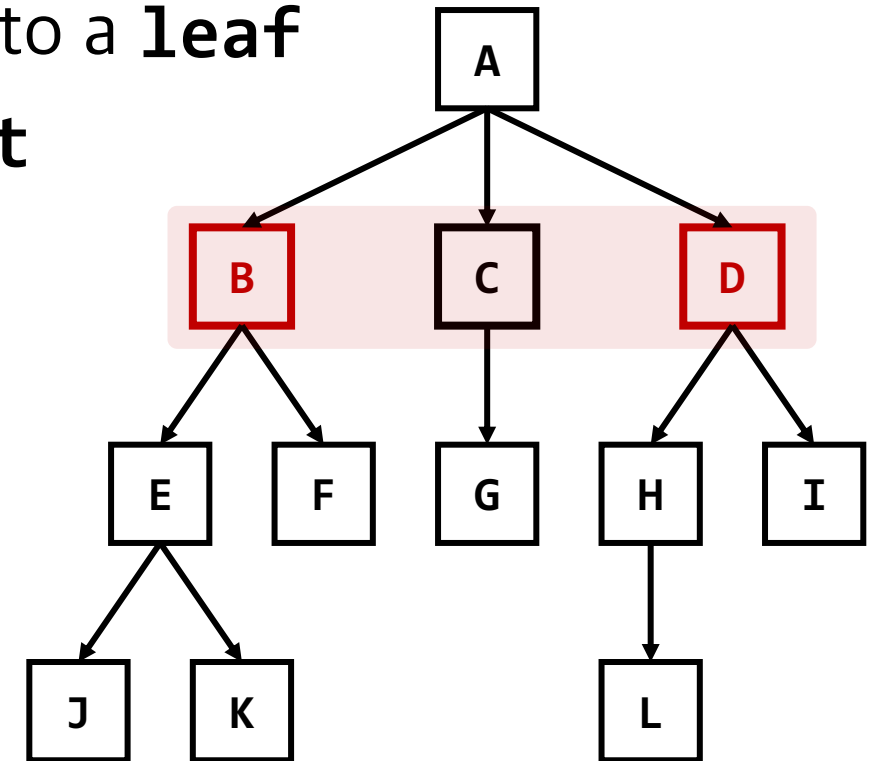


# Terminology (Node-Level)



For a **node X**,

- **Level** or **depth** is the distance between **root** and **X**
- **Ancestor** is a predecessor on the path from **root** to **X**
- **Descendant** is a successor on any path from **X** to a **leaf**
- **Sibling** is another **node** with the same **parent**
  - For example, **B** and **D** are **siblings** of **C**

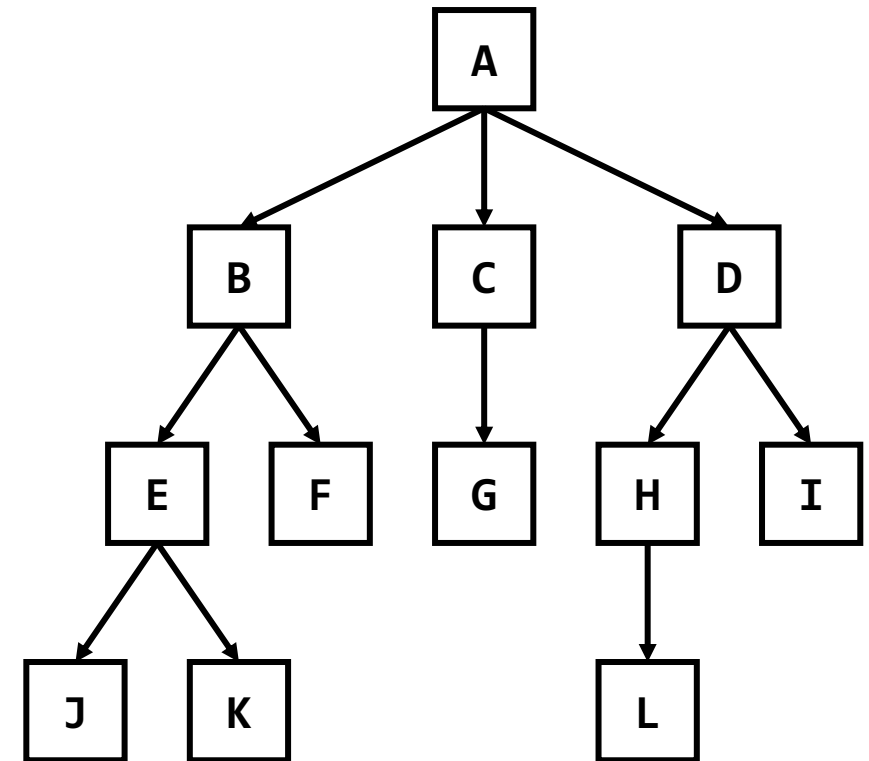


# Terminology (Node-Level)



**Subtree** rooted at a **node X**

- Any **node** can be treated as the **root node** of its own **subtree**
- The **subtree** includes **X** and all **descendants** of **X**



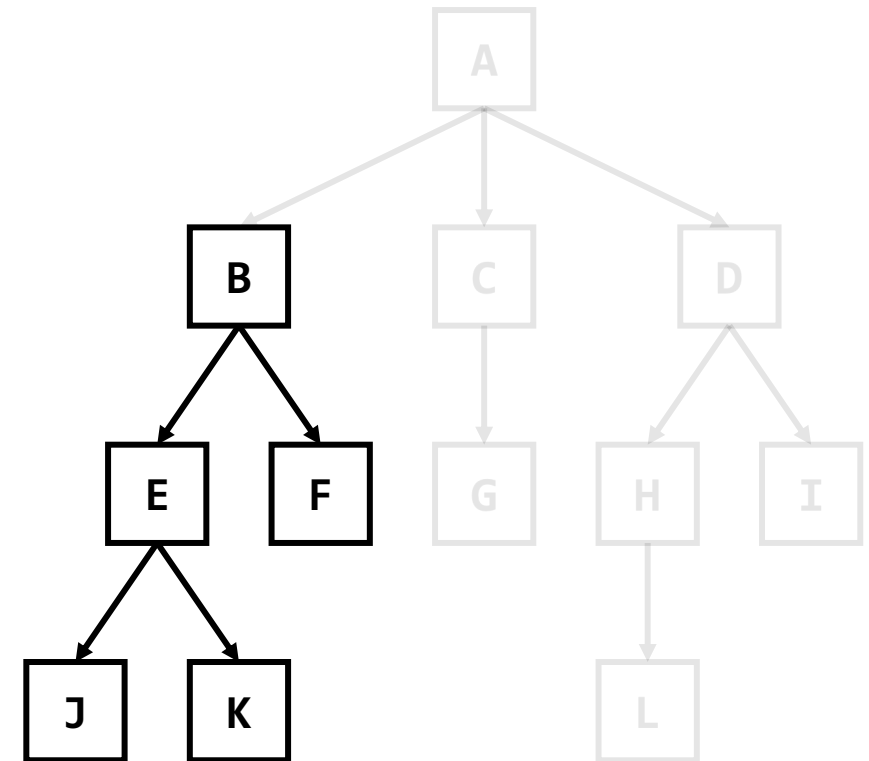
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**Subtree** rooted at a **node X**

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**Subtree** rooted at **node B**



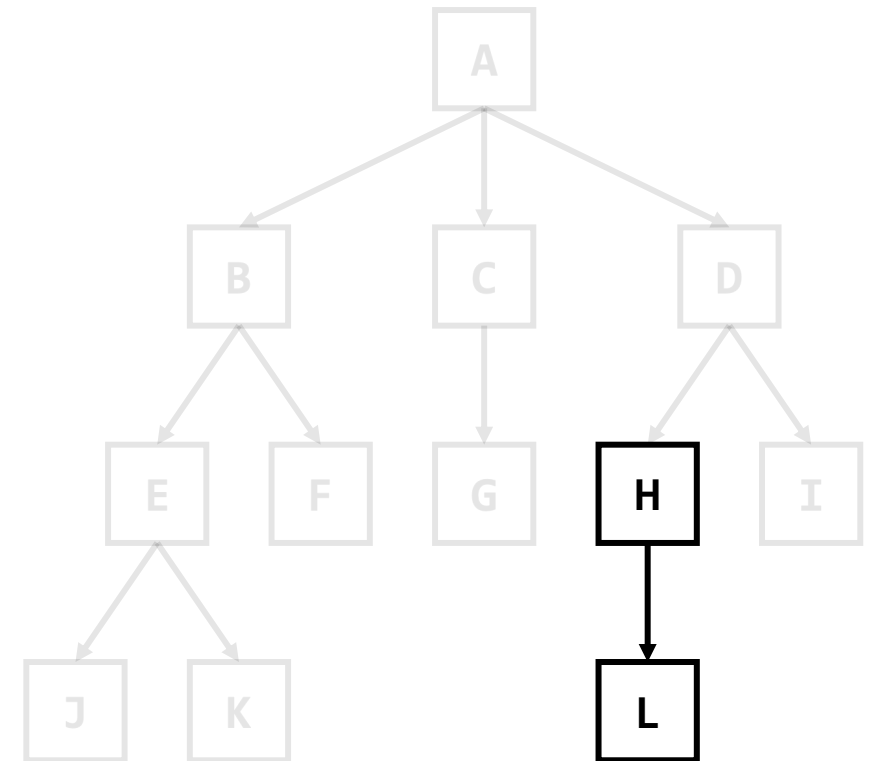
# Terminology (Node-Level)



**Subtree** rooted at a **node X**

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- The **subtree** includes **X** and all **descendants** of **X**

**Subtree** rooted at **node H**



# Implementation - Structure



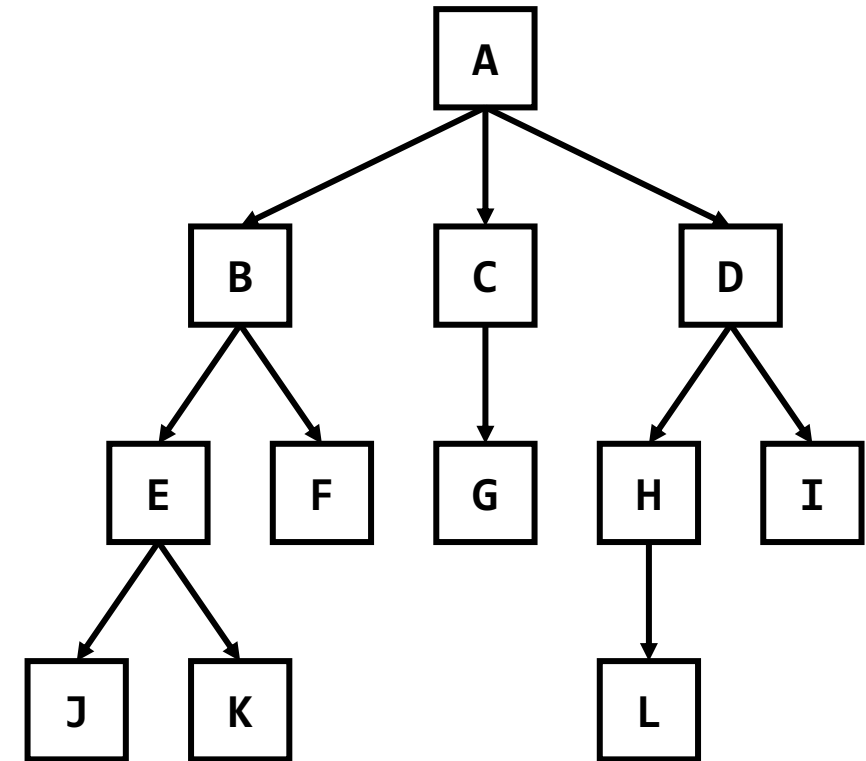
- How to implement the tree structure?
  - **root** - the top node of the tree
  - **node** - each node contains **its item value** and **pointers for child nodes**
  - Use **the array structure** to store the child pointers

```
#define MAX_DEGREE 10

typedef struct _Node {
    int item;
    struct _Node *children[MAX_DEGREE];
} Node;

Node *root;
```

- **Note.** This implementation limits the maximum degree





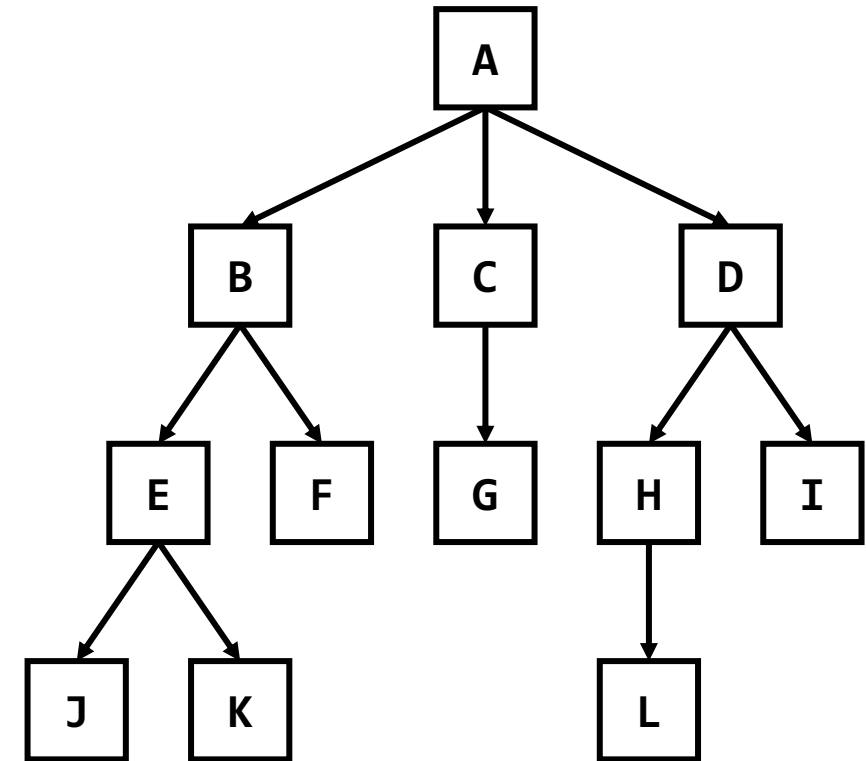
# Implementation - Structure



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  - Use **the array structure** to store the child pointers

```
Node *A, *B, ..., *L; // require malloc()
```

```
root = A;  
A->children[0] = B;  
A->children[1] = C;  
A->children[2] = D;  
B->children[0] = E;  
B->children[1] = F;  
...  
E->children[1] = K;  
H->children[0] = L;
```



# Implementation - Structure

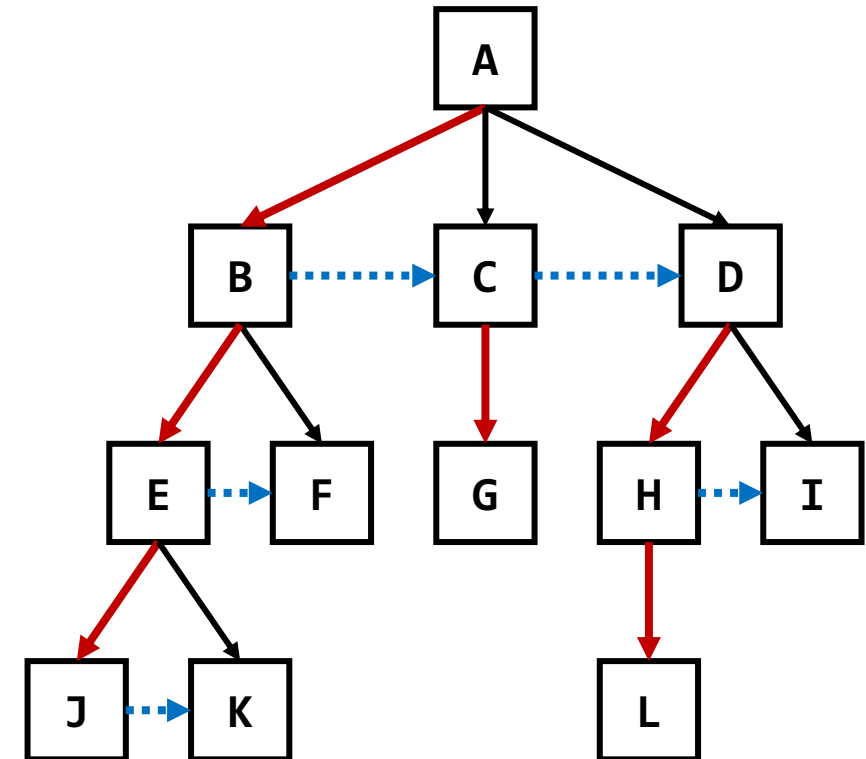


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  - **root** - the top node of the tree
  - **node** - each node contains **its item value** and **pointers for child nodes**
- Use **the list structure** to store the child pointers

```
typedef struct _Node {  
    int item;  
    struct _Node *left_child, *right_sibling;  
} Node;
```

Node \*root;

- **Note.** This is known as Left-Child Right-Sibling (LCRS) representation



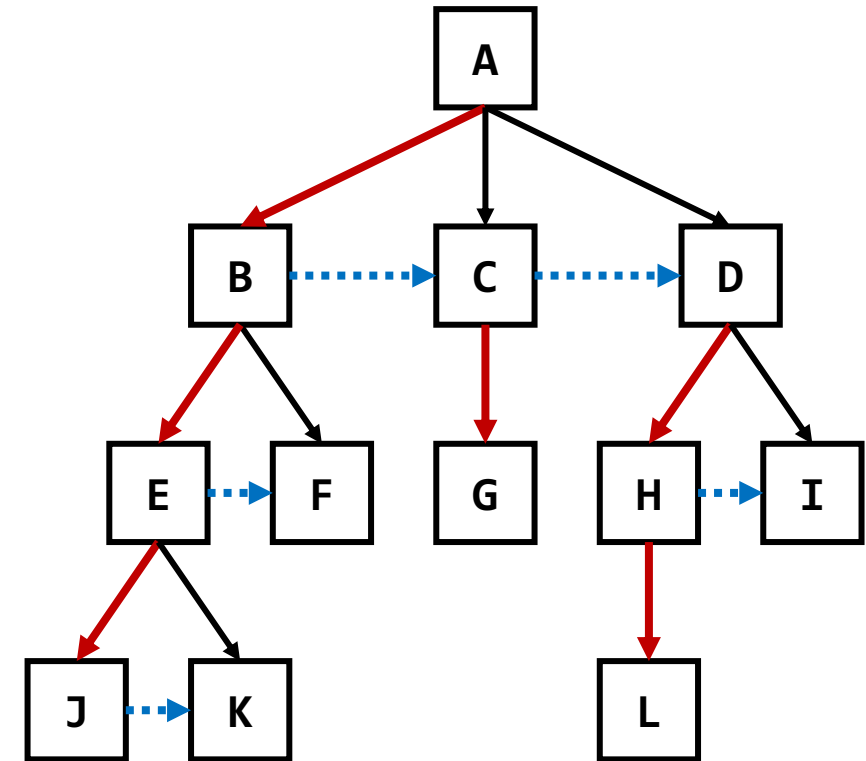
# Implementation - Structure



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```
Node *A, *B, ..., *L; // require malloc()
```

```
root = A;  
A->left_child = B;  
B->left_child = E;  
B->right_sibling = C;  
C->left_child = G;  
C->right_sibling = D;  
...  
H->right_sibling = I;  
J->right_sibling = K;
```



# Implementation - Traversal



- How to traverse **child nodes** of a node?
  - With the array structure

```
typedef struct _Node { int item; struct _Node *children[MAX_DEGREE]; } Node;
void printChildren(Node *node) {
    for (int i = 0; i < MAX_DEGREE; i++) {
        if (node->children[i] != NULL)
            printf("%d", node->children[i]->item);
    }
}
```

- With the list structure

```
typedef struct _Node { int item; struct _Node *left_child, *right_sibling; } Node;
void printChildren(Node *node) {

    ?

}
```

# Implementation - Traversal



- How to traverse **all nodes in the subtree** rooted at a node?
  - With the array structure

```
typedef struct _Node { int item; struct _Node *children[MAX_DEGREE]; } Node;
void printSubtree(Node *node) {
    printf("%d", node->item); // Print information of the current node
    for (int i = 0; i < MAX_DEGREE; i++)
        if (node->children[i] != NULL)
            printSubtree(node->children[i]); // Recursive function call
}
```

- With the list structure

```
typedef struct _Node { int item; struct _Node *left_child, *right_sibling; } Node;
void printSubtree(Node *node, bool is_root) {

    ?

}
```

# Implementation - Traversal

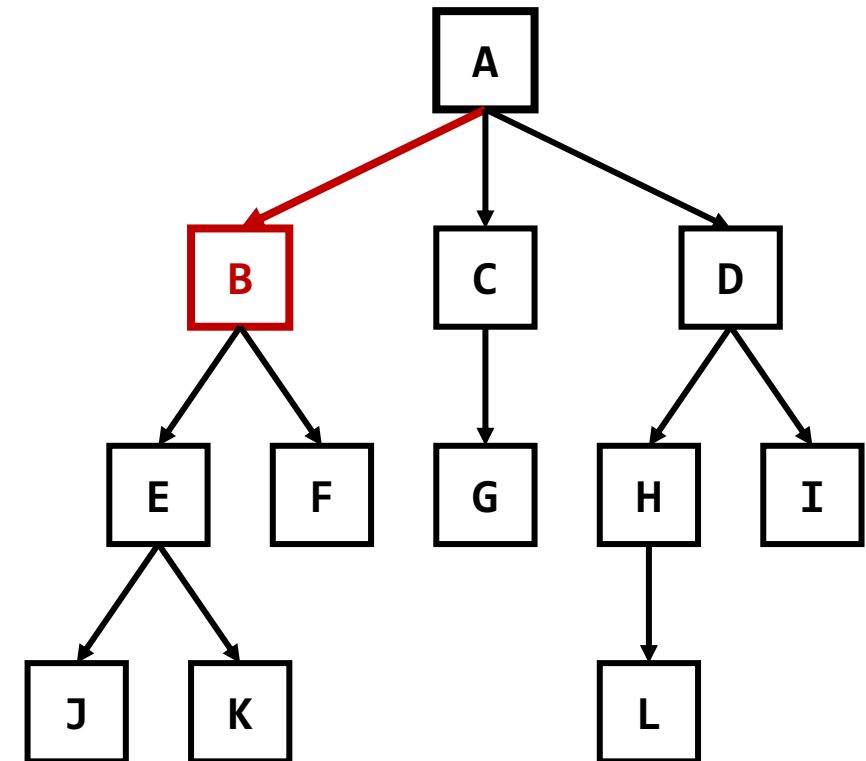


- What is the order of the traversal?

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void printSubtree(Node *node) {  
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        if (node->children[i] != NULL)  
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}
```

Recursive Function Calls:

- **A** → **B** (current)



# Implementation - Traversal

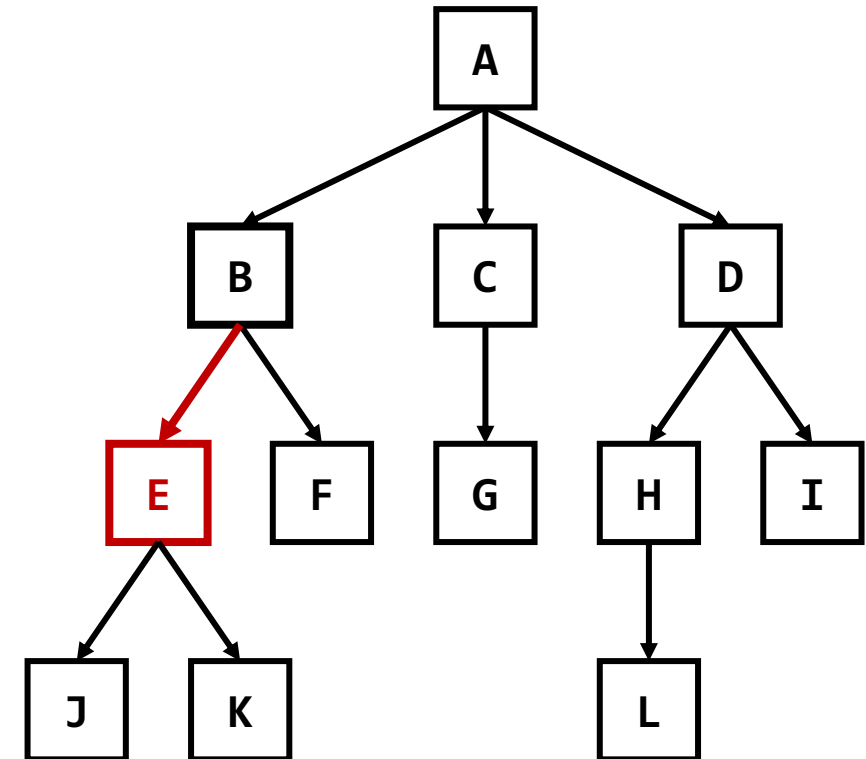


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}
```

Recursive Function Calls:

- A → B
- B → **E** (current)



# Implementation - Traversal

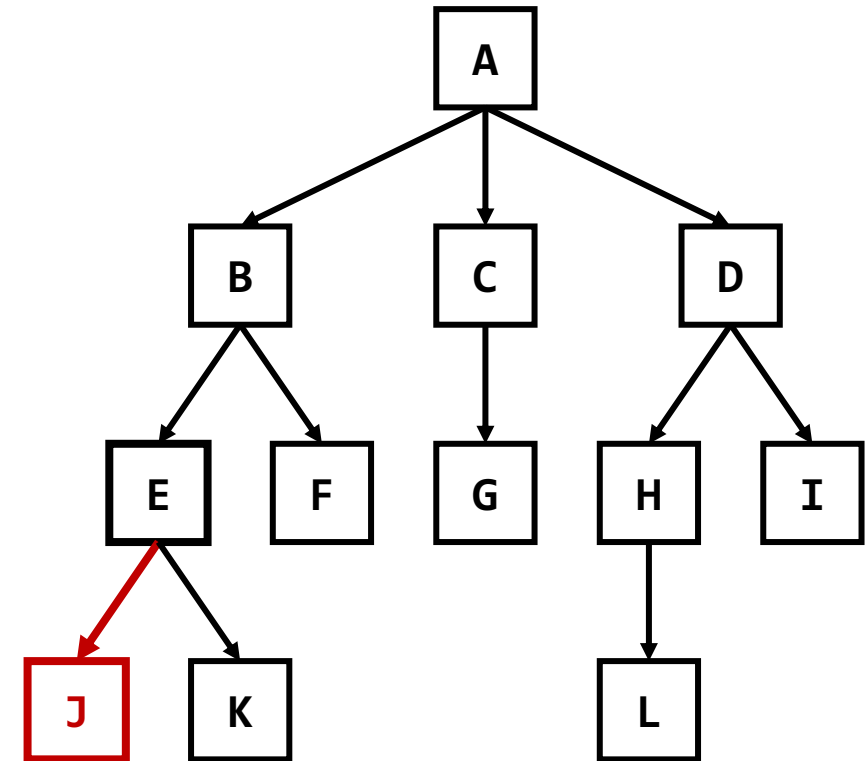


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```

Recursive Function Calls:

- A → B
- B → E
- E → **J** (current)





# Implementation - Traversal

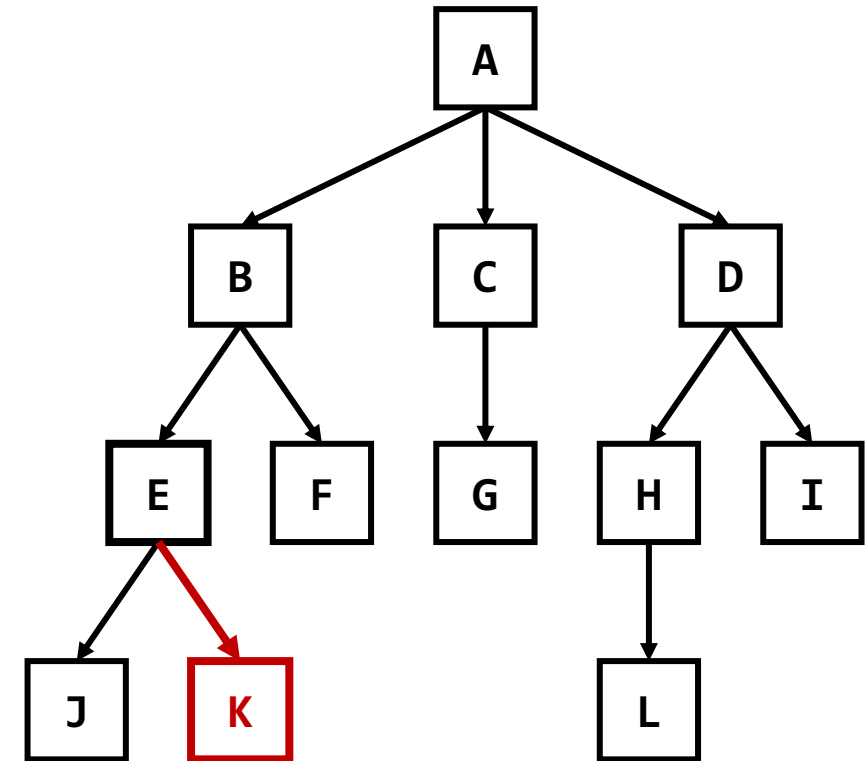


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Recursive Function Calls:

- A → B
- B → E
- E → J **K** (current)



# Implementation - Traversal

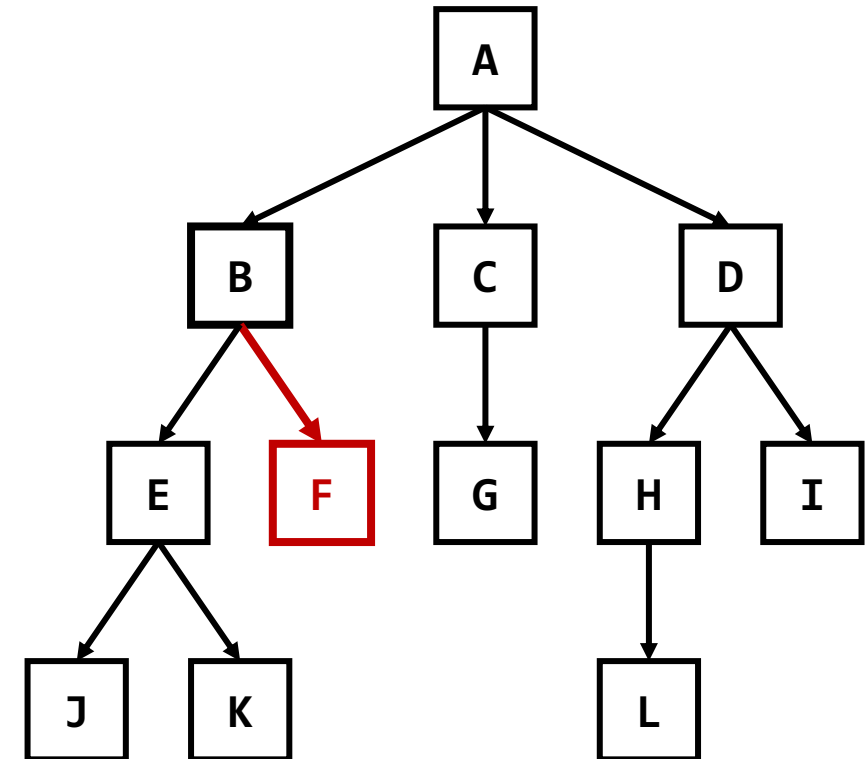


- What is the order of the traversal?

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    printf("%d", node->item);  
    for (int i = 0; i < MAX_DEGREE; i ++)  
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            printSubtree(node->children[i]);  
}
```

Recursive Function Calls:

- A → B
- B → ~~E~~ **F** (current)
- ~~E → J K~~



# Implementation - Traversal

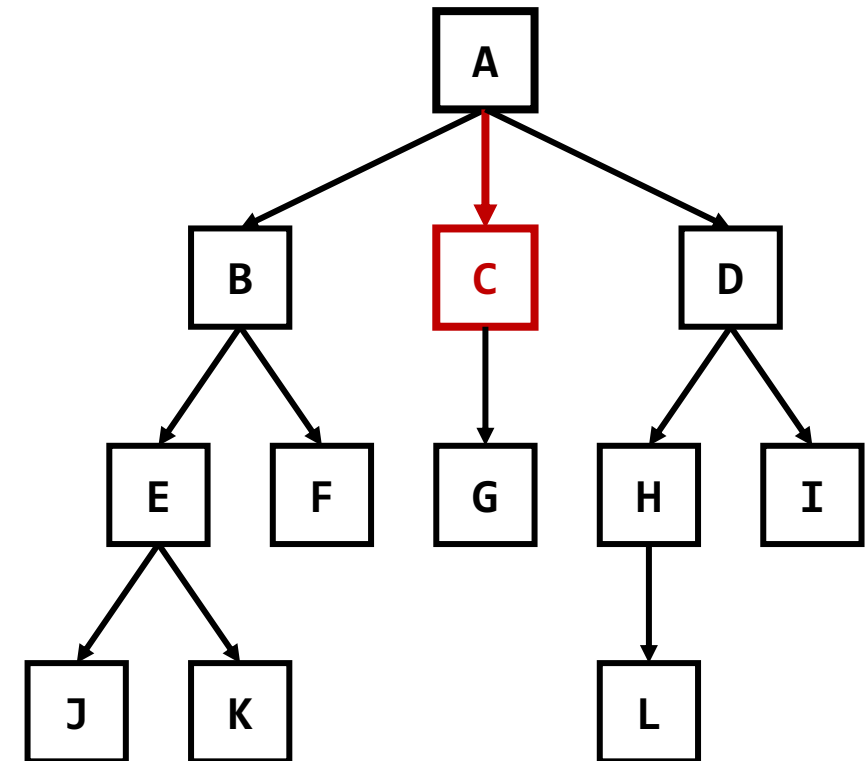


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Recursive Function Calls:

- **A** → ~~B~~ **C** (current)
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# Implementation - Traversal

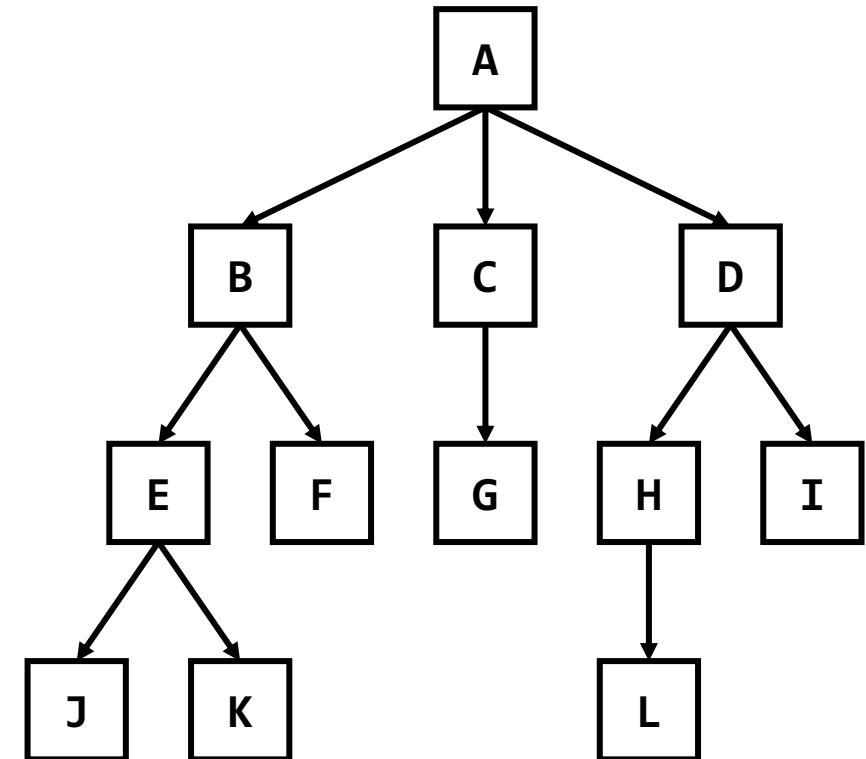


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The order will be ...

- A B E J K F C G D H L I
- This is known as **depth-first search (DFS)**

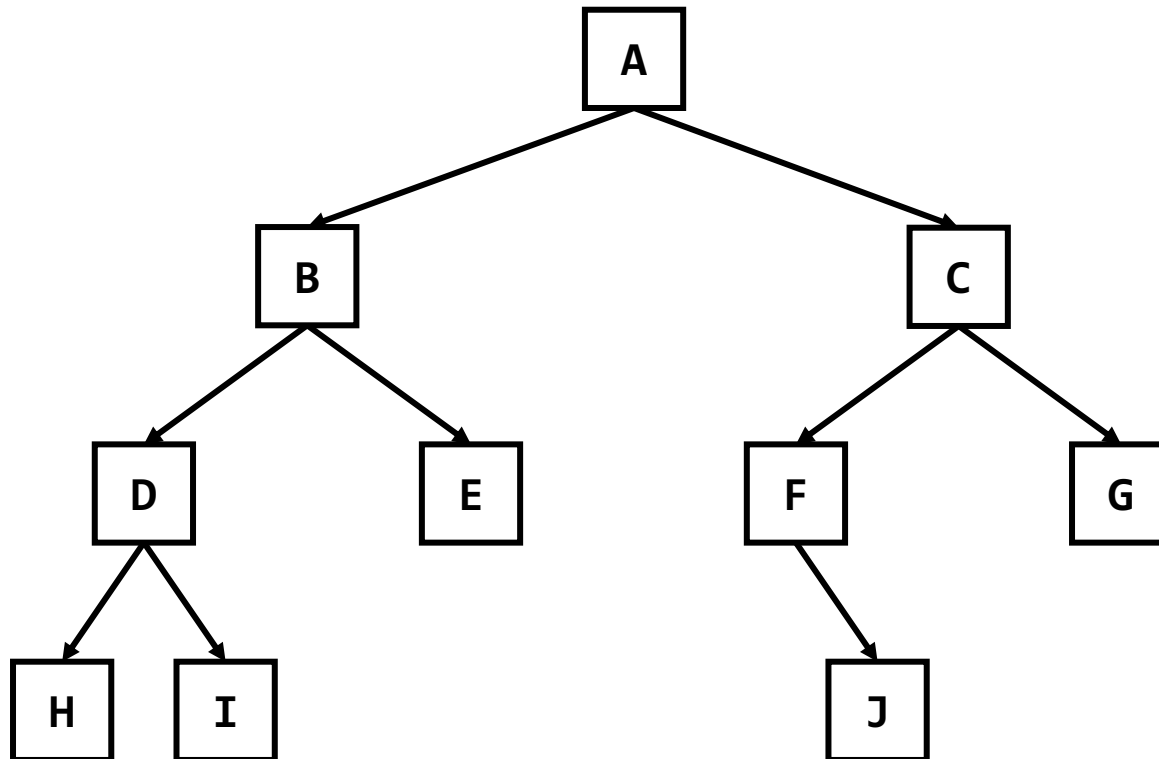


# Binary Trees

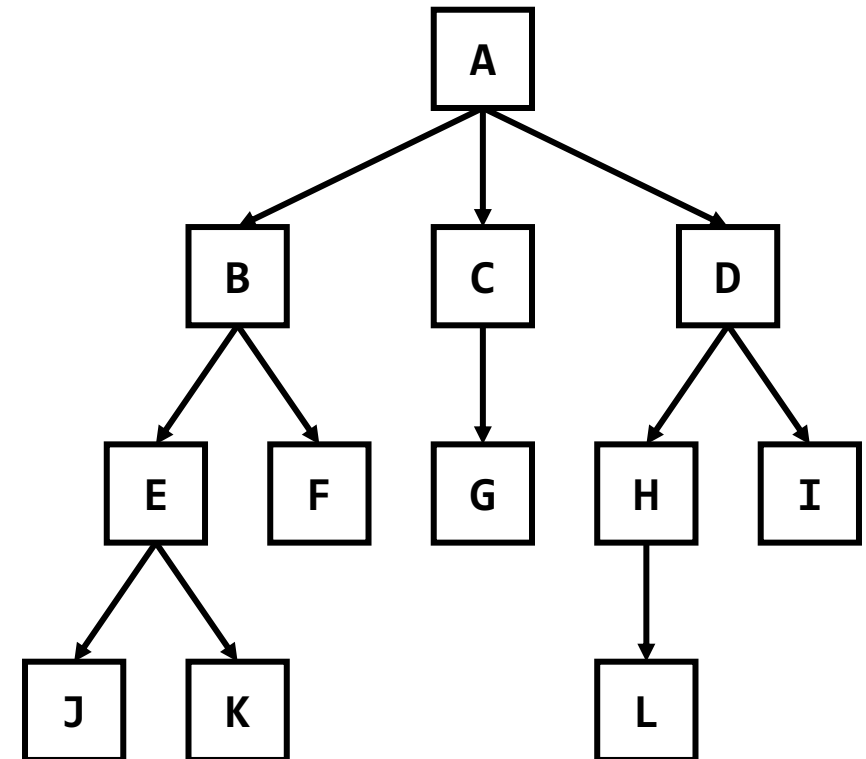


- **Binary Tree** is a tree in which each node has at most two children
  - $\text{degree}(X) \leq 2$  for any node **X** in a binary tree

Binary



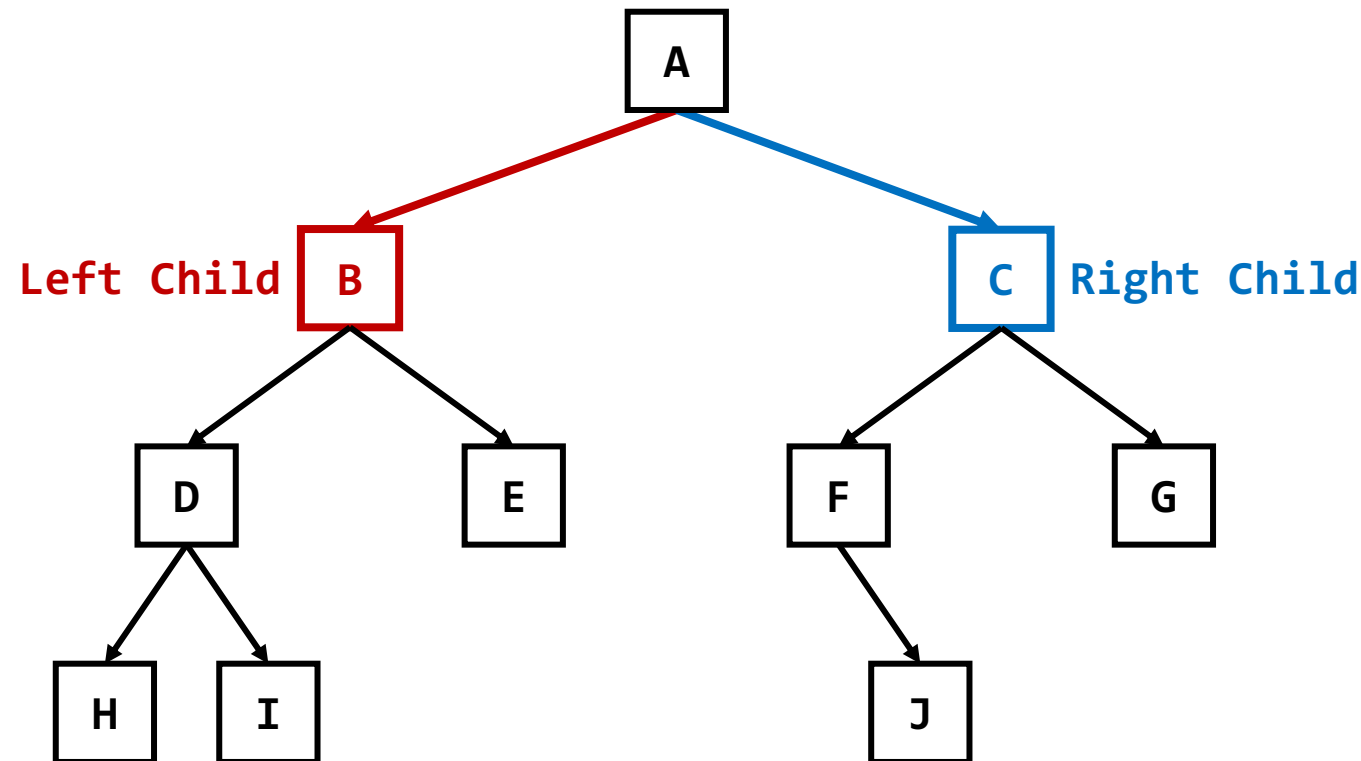
NOT Binary



# Binary Trees



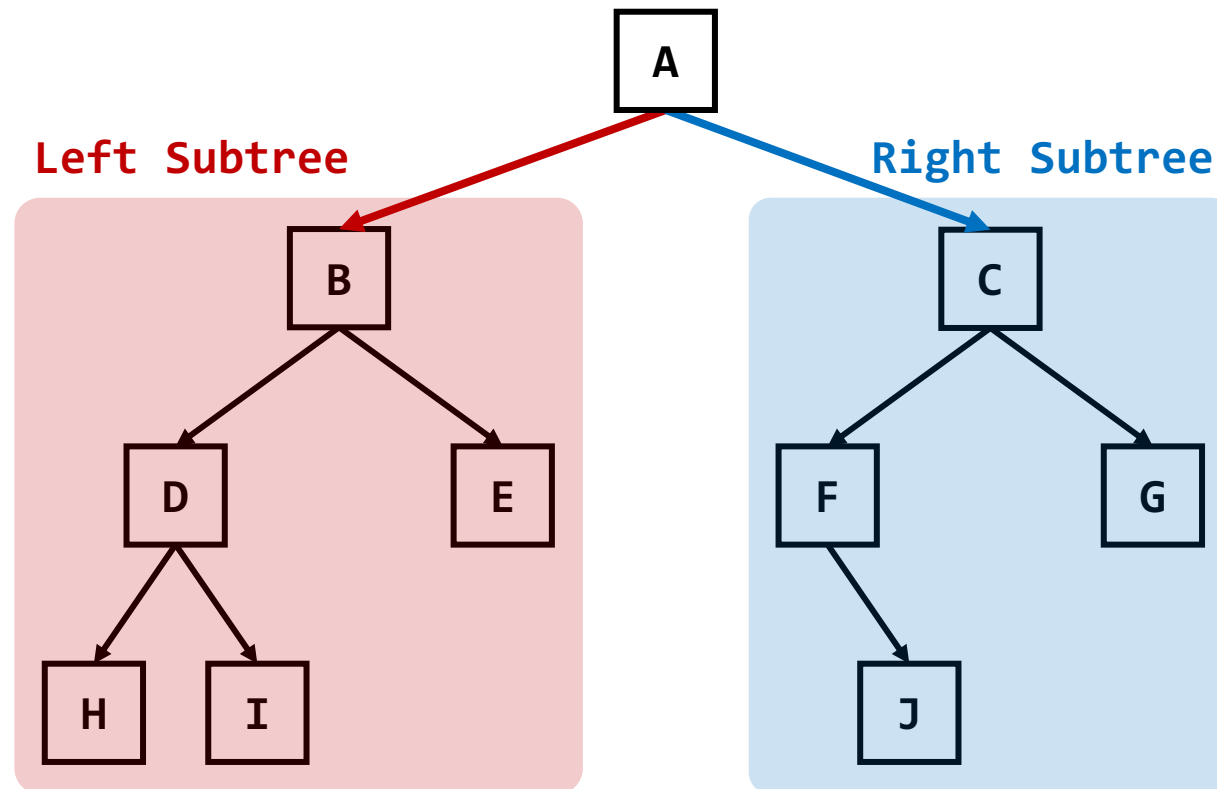
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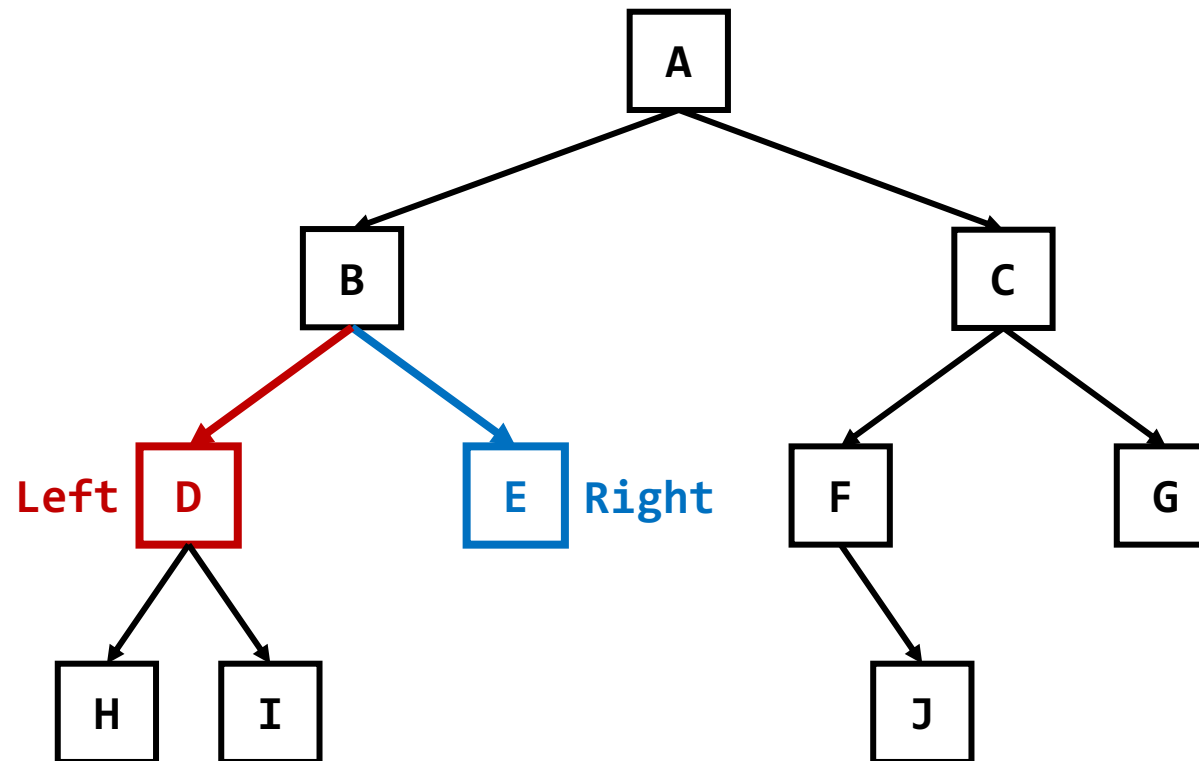
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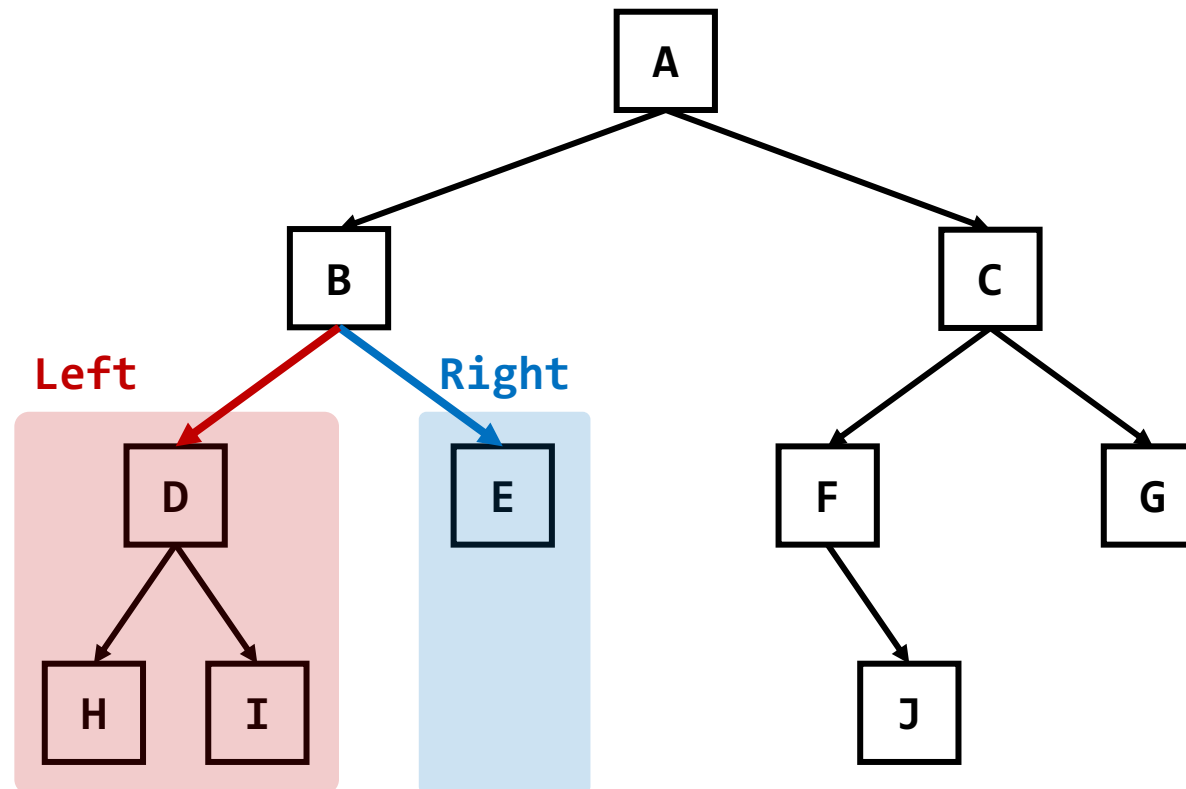




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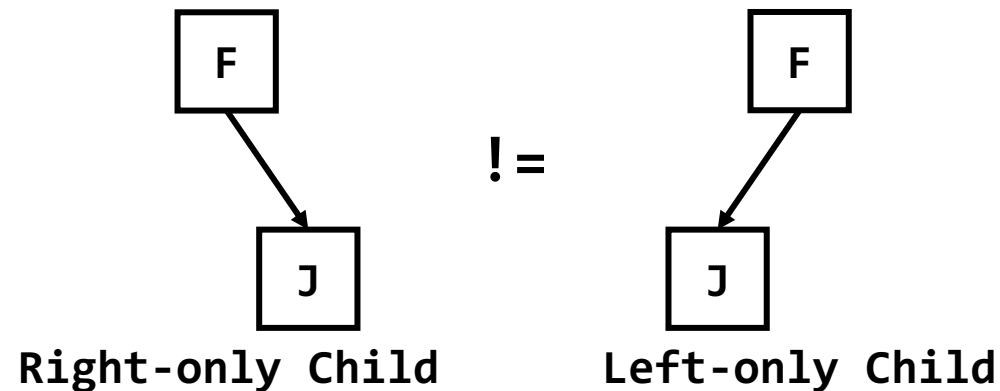
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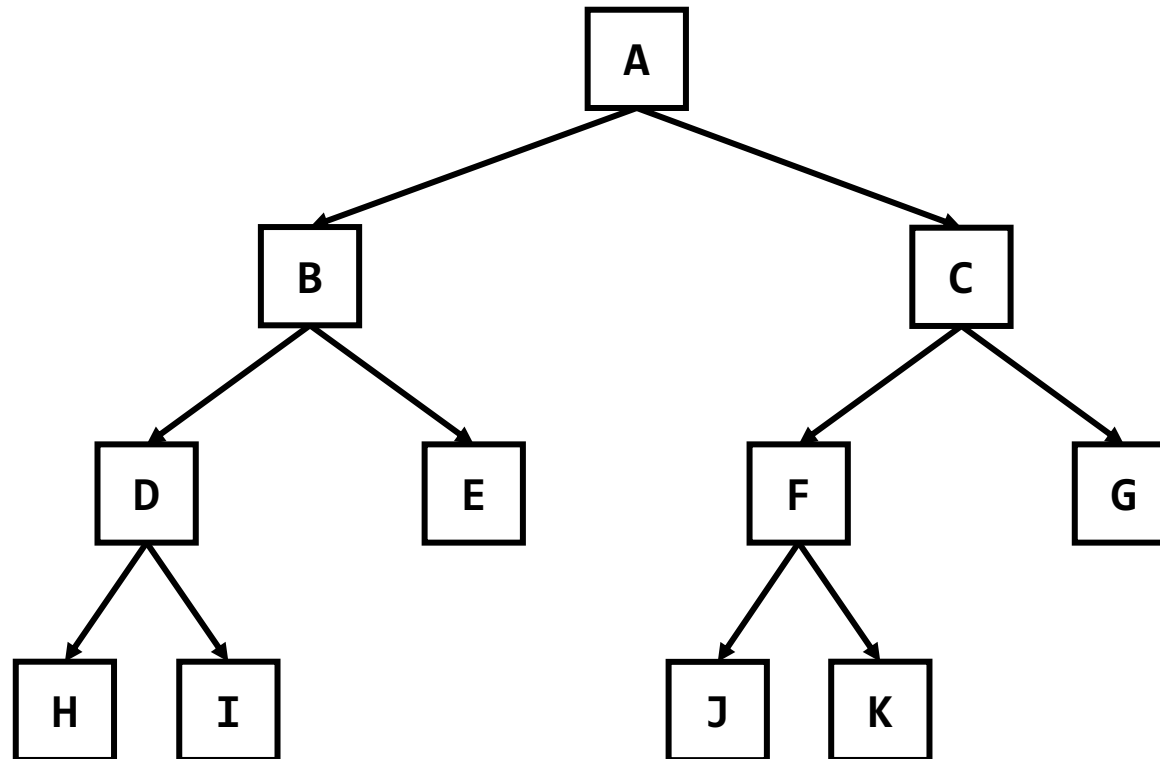
- **Binary Tree** is a tree in which each node has at most two children
  - $\text{degree}(\mathbf{X}) \leq 2$  for any node **X** in a binary tree
  - Each node has **left** & **right** children
- In the binary tree structure, left and right directions are often considered differently



# Binary Trees



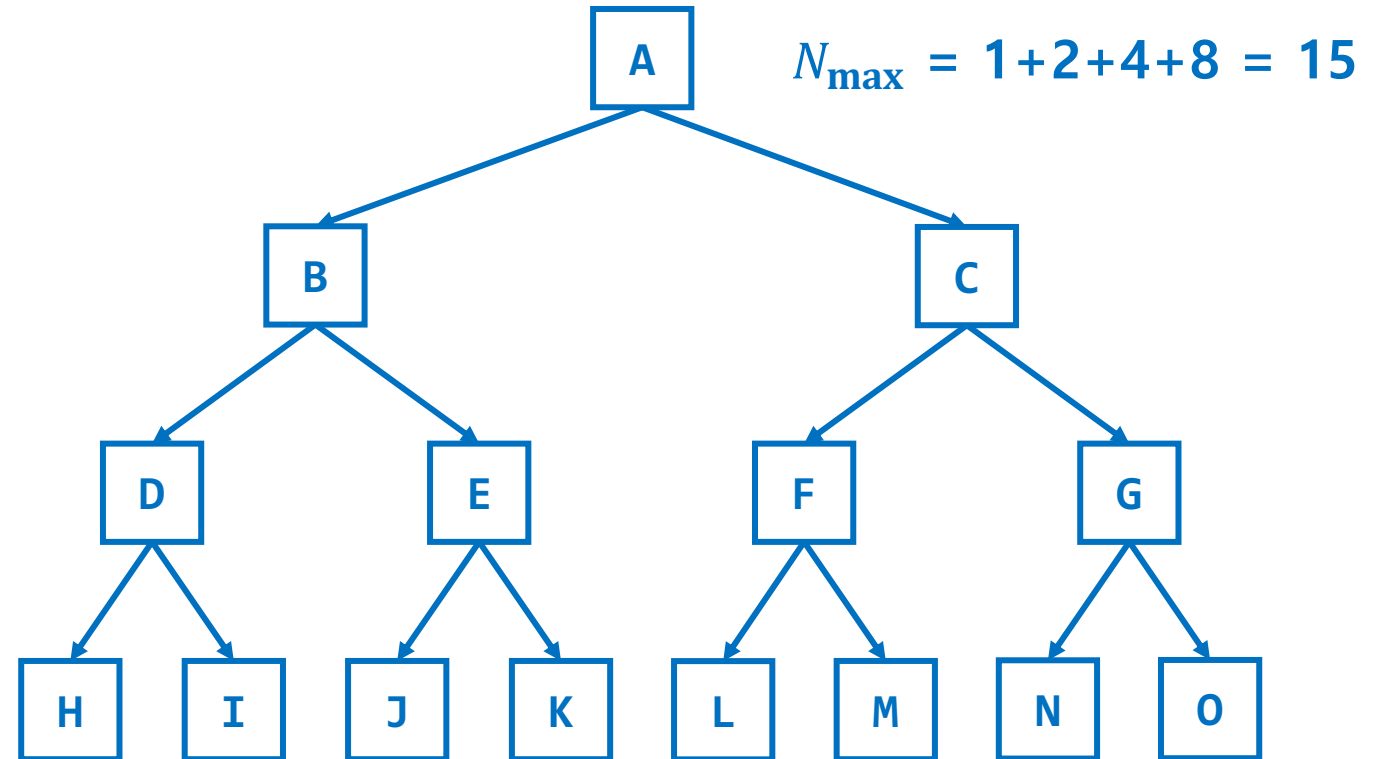
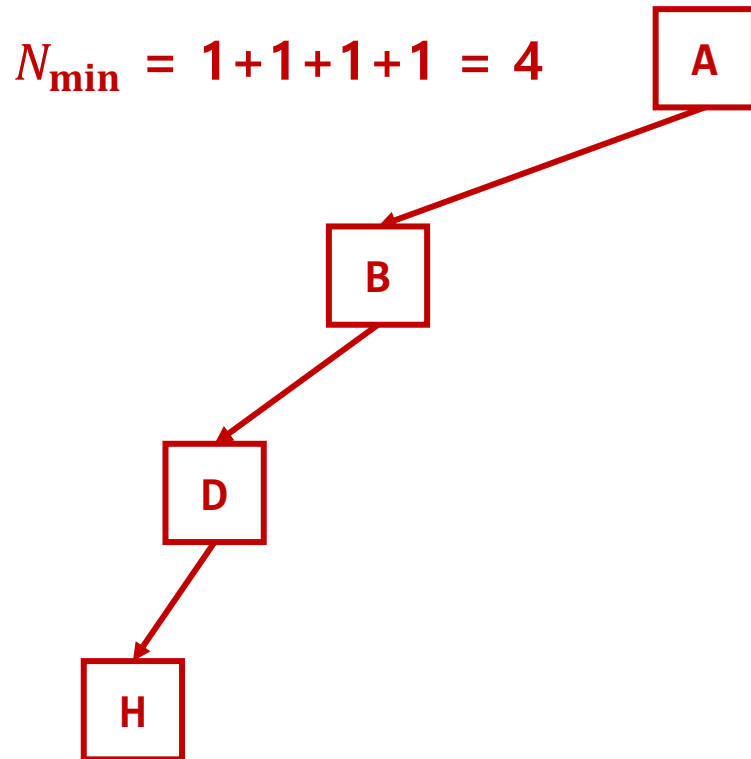
- **Extended Binary Tree** is a BT in which each node has **zero** or **two** children
  - $\text{degree}(X) = 0$  or  $2$  for any node **X** in an extended binary tree
  - Any binary tree can be easily extended by adding **leaf** nodes



# Properties of Binary Trees



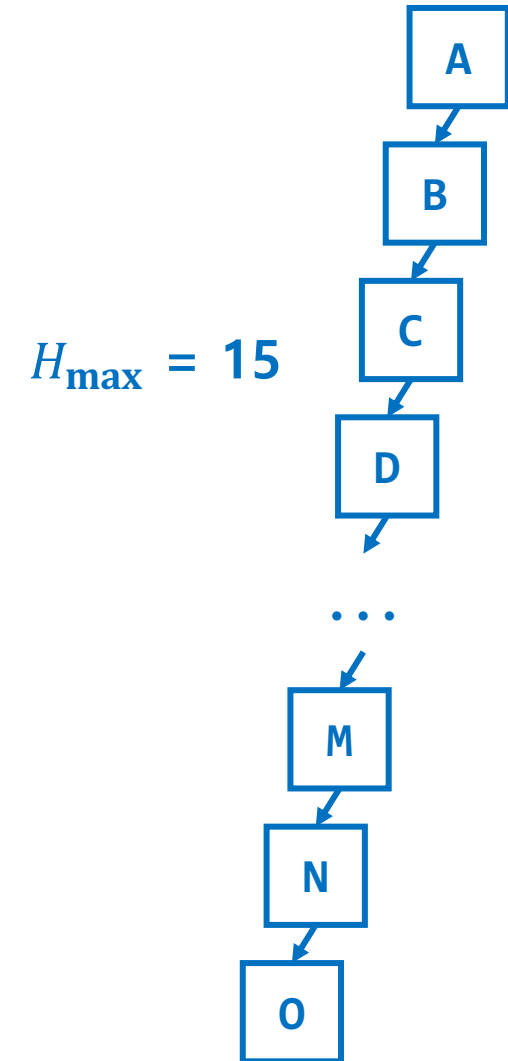
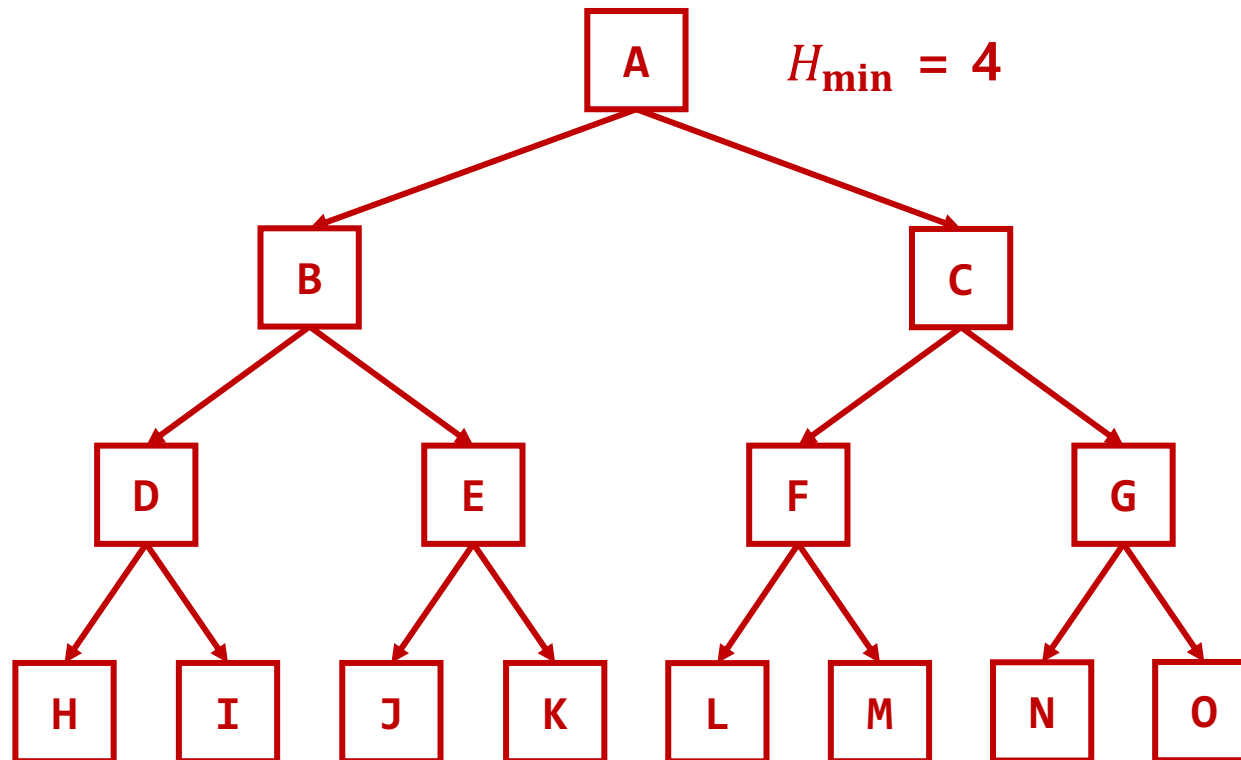
- Let  $H$  be the **height** of a binary tree  $T$ 
  - The **minimum** number of nodes in the binary tree is  $H$
  - The **maximum** number of nodes in the binary tree is  $2^H - 1$



# Properties of Binary Trees



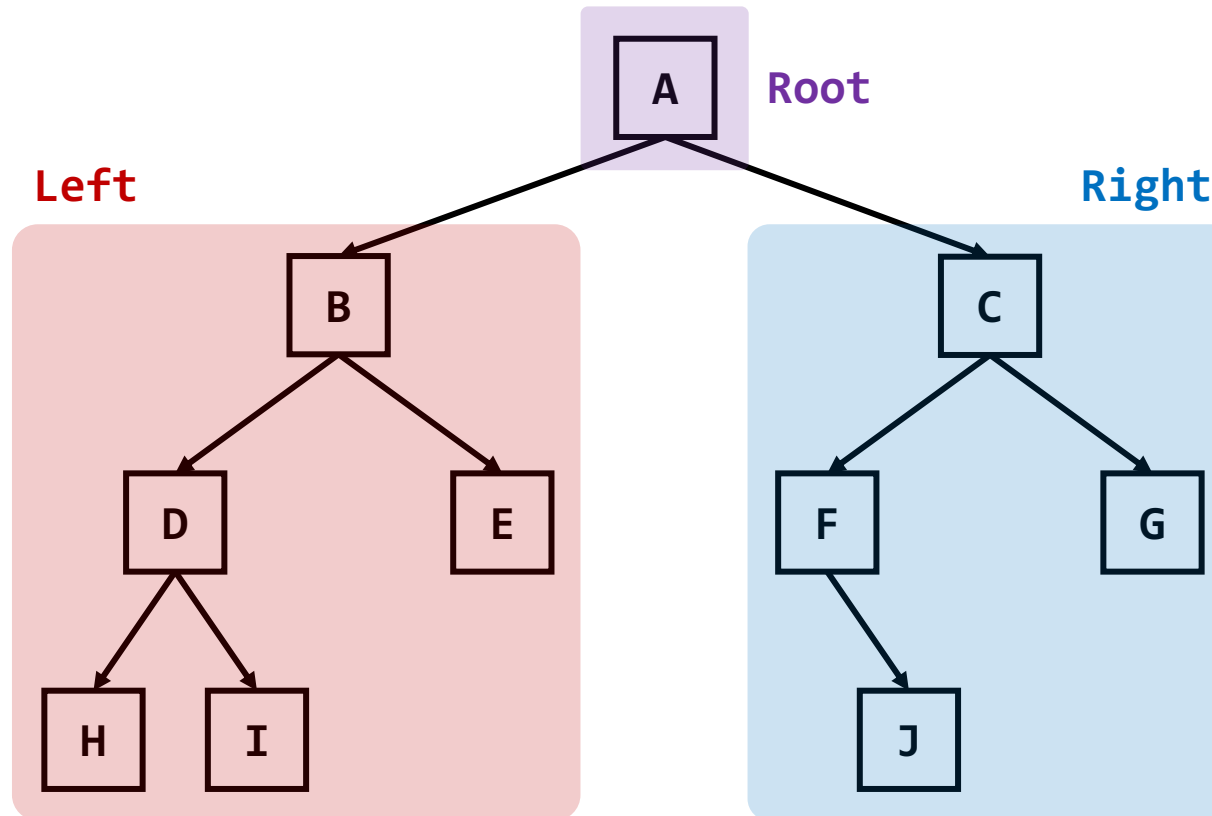
- Let  $N$  be the **number** of nodes in a binary tree  $T$ 
  - The **minimum** height of the binary tree is  $\lceil \log_2(N + 1) \rceil$
  - The **maximum** height of the binary tree is  $N$



# Binary Tree Traversal



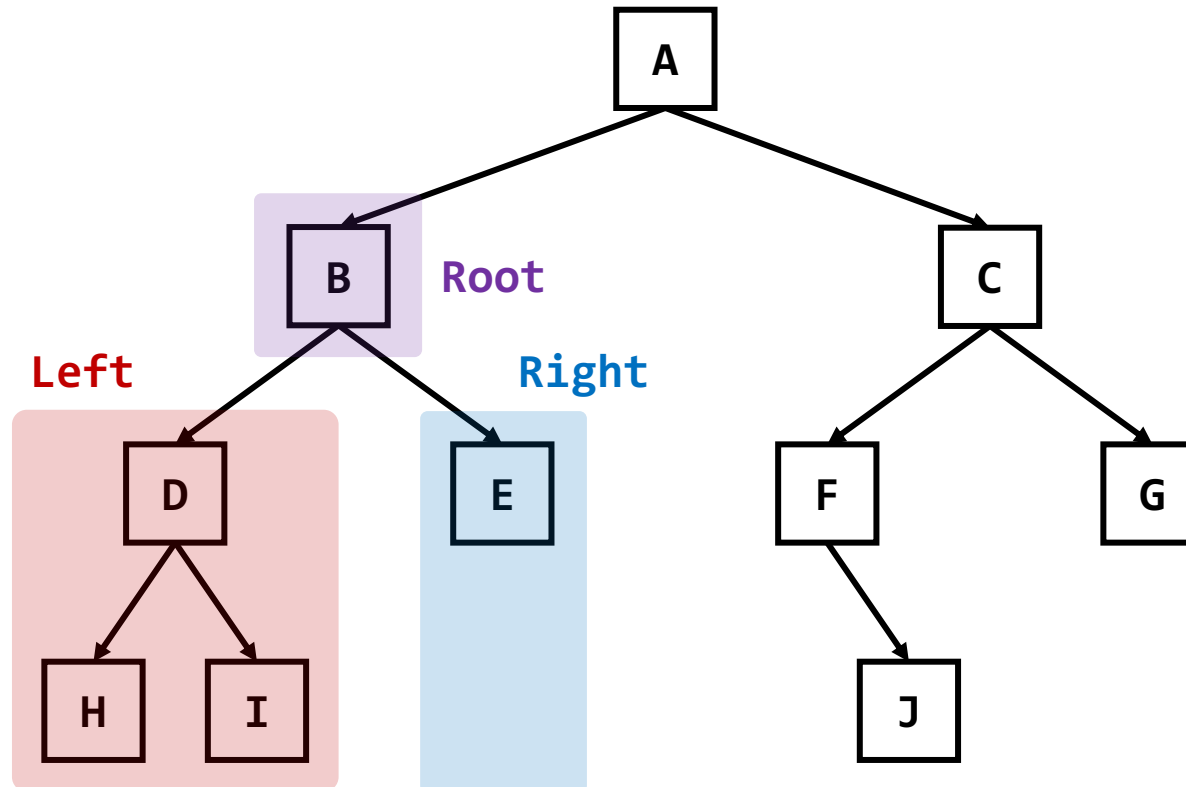
- How to **traverse** all nodes in a binary tree?
  - In-order traversal : **Left Subtree** → **Root** → **Right Subtree**



# Binary Tree Traversal



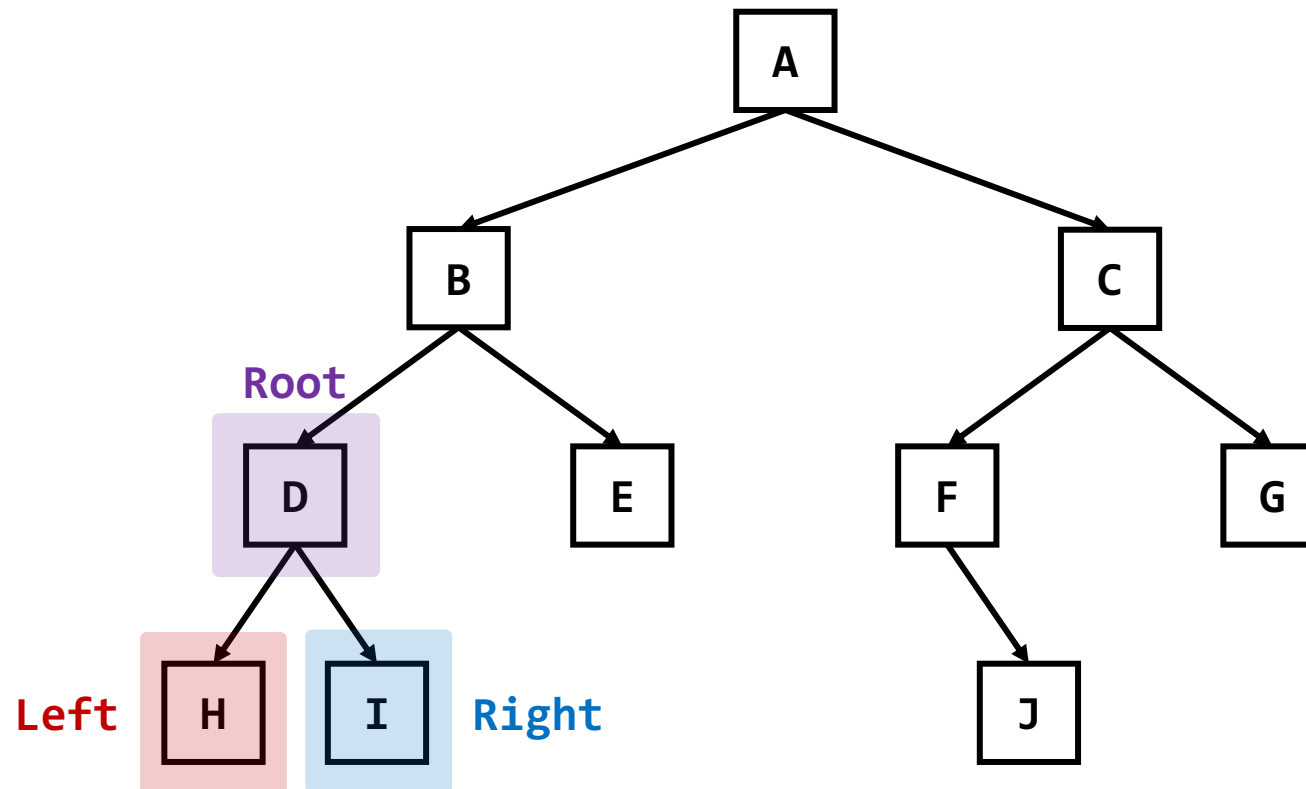
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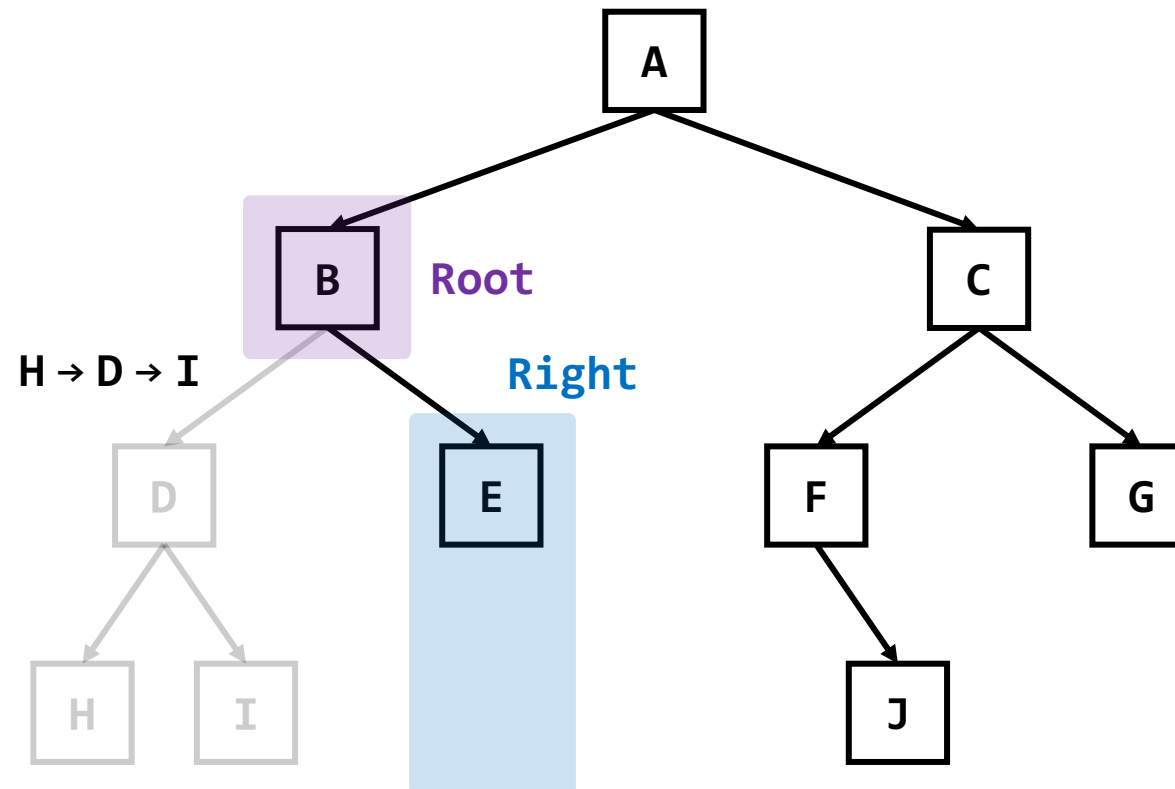




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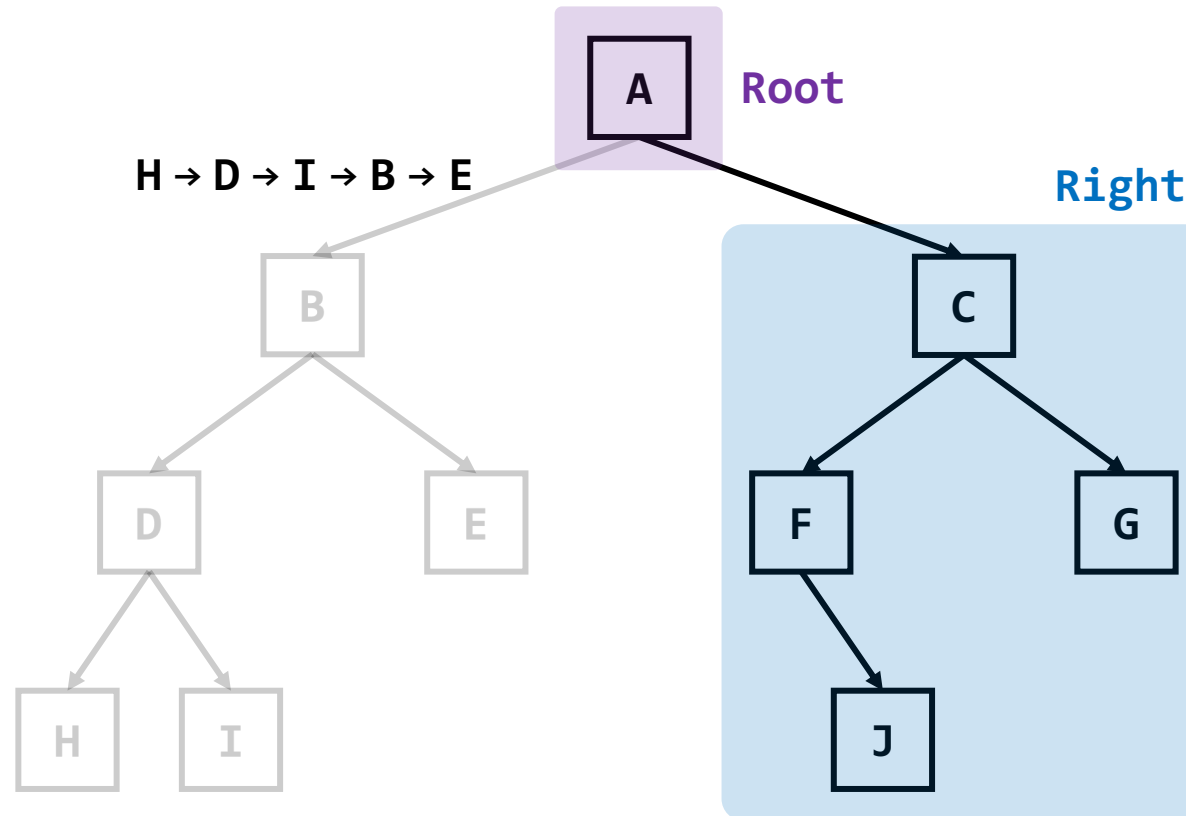
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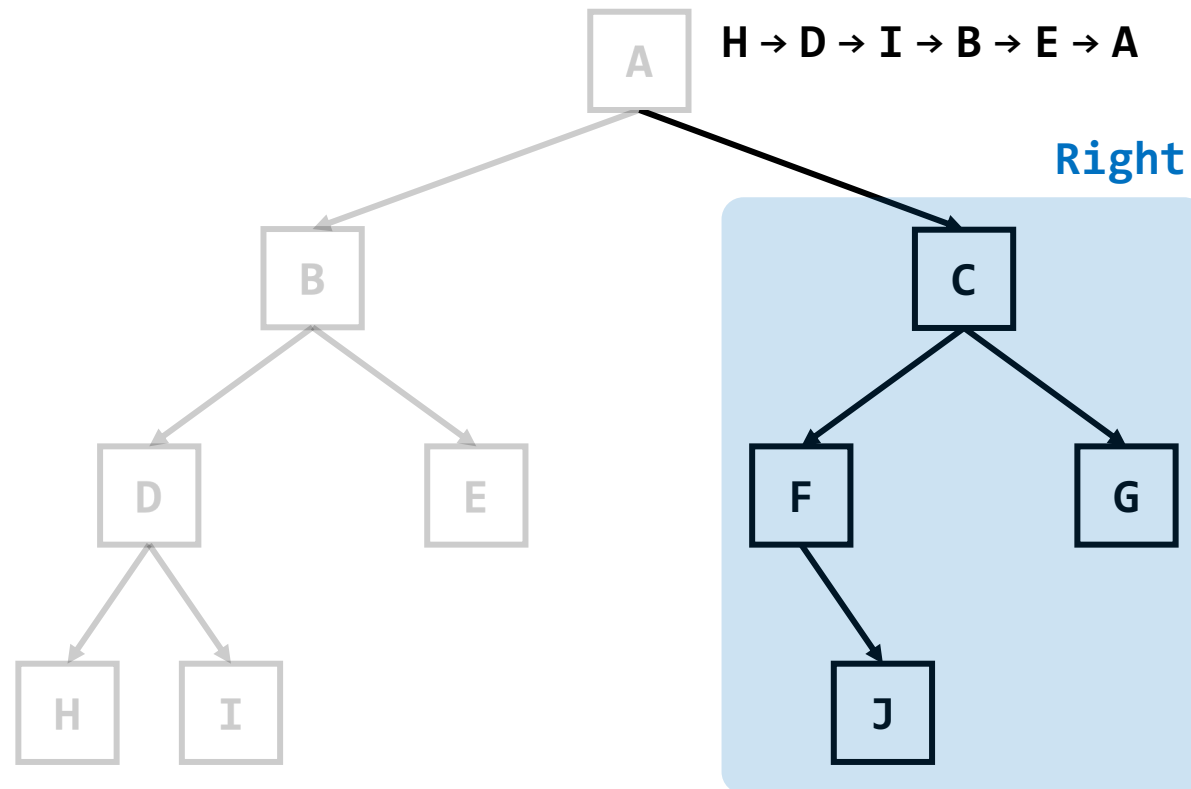
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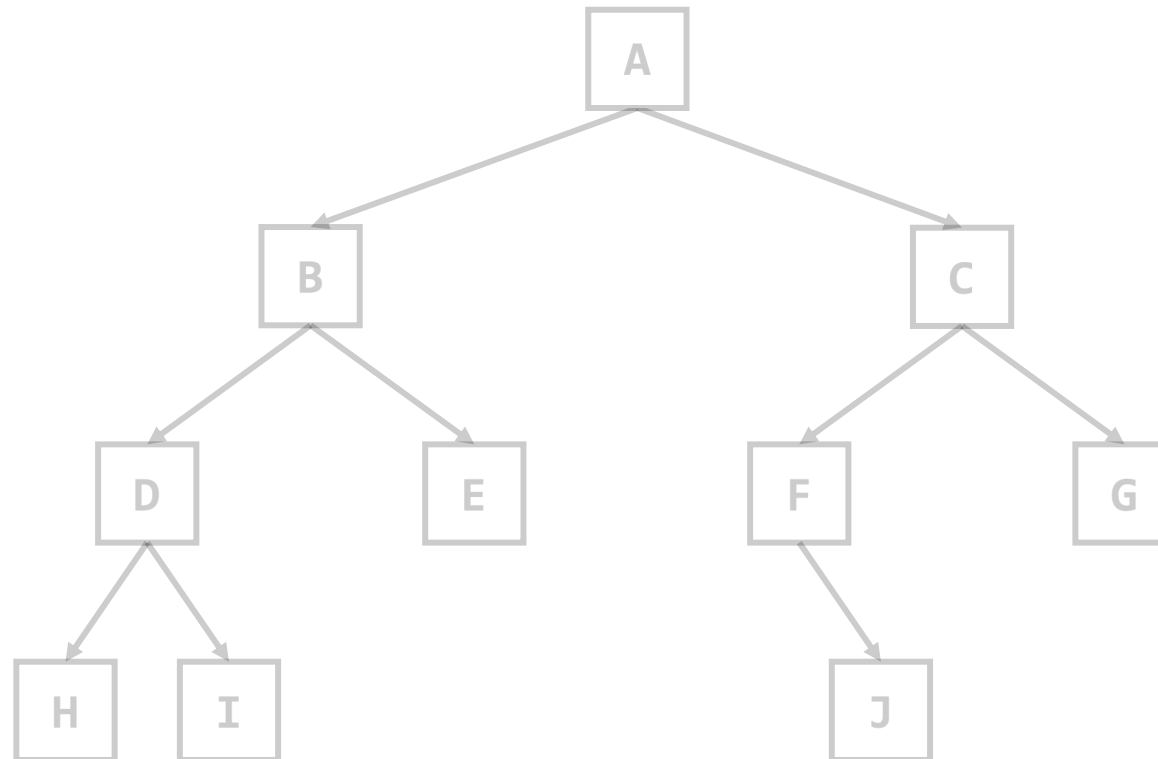


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- How to **traverse** all nodes in a binary tree?
  - In-order traversal : **Left Subtree** → **Root** → **Right Subtree**

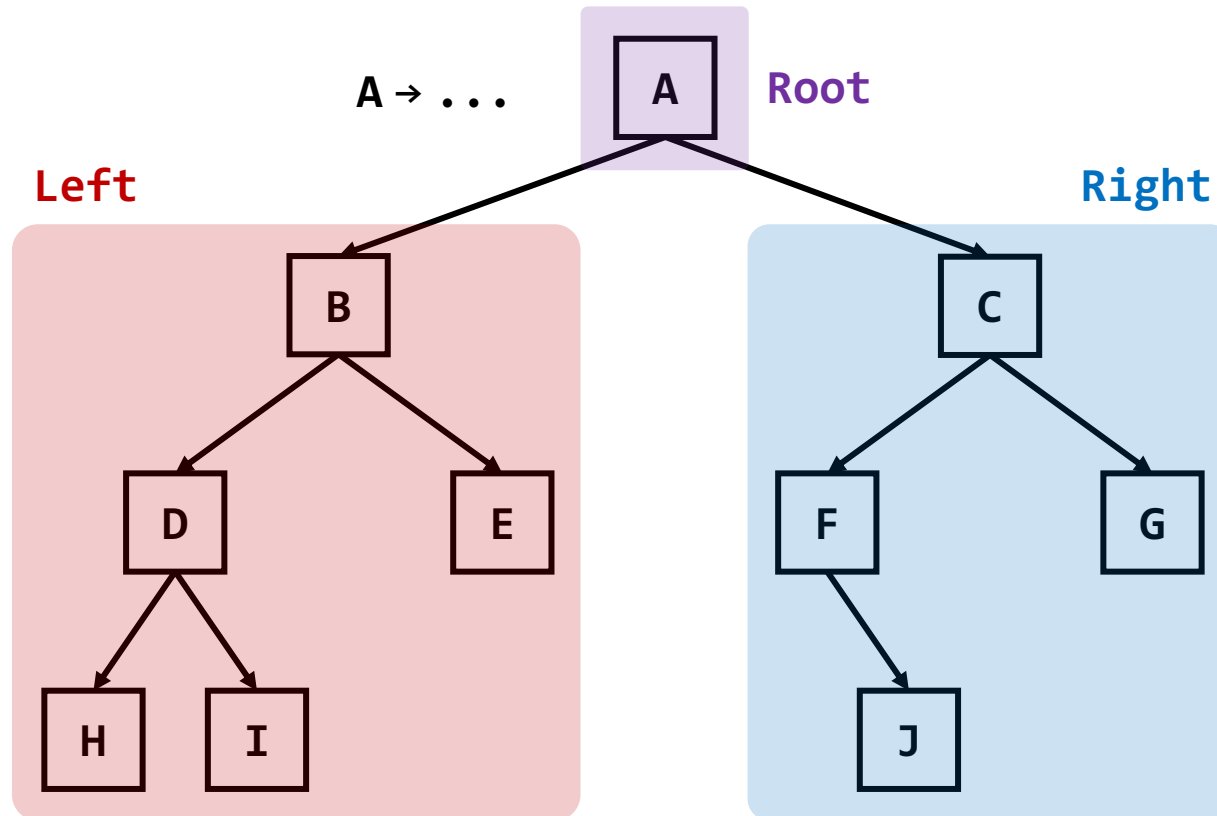
H → D → I → B → E → A → F → J → C → G



# Binary Tree Traversal



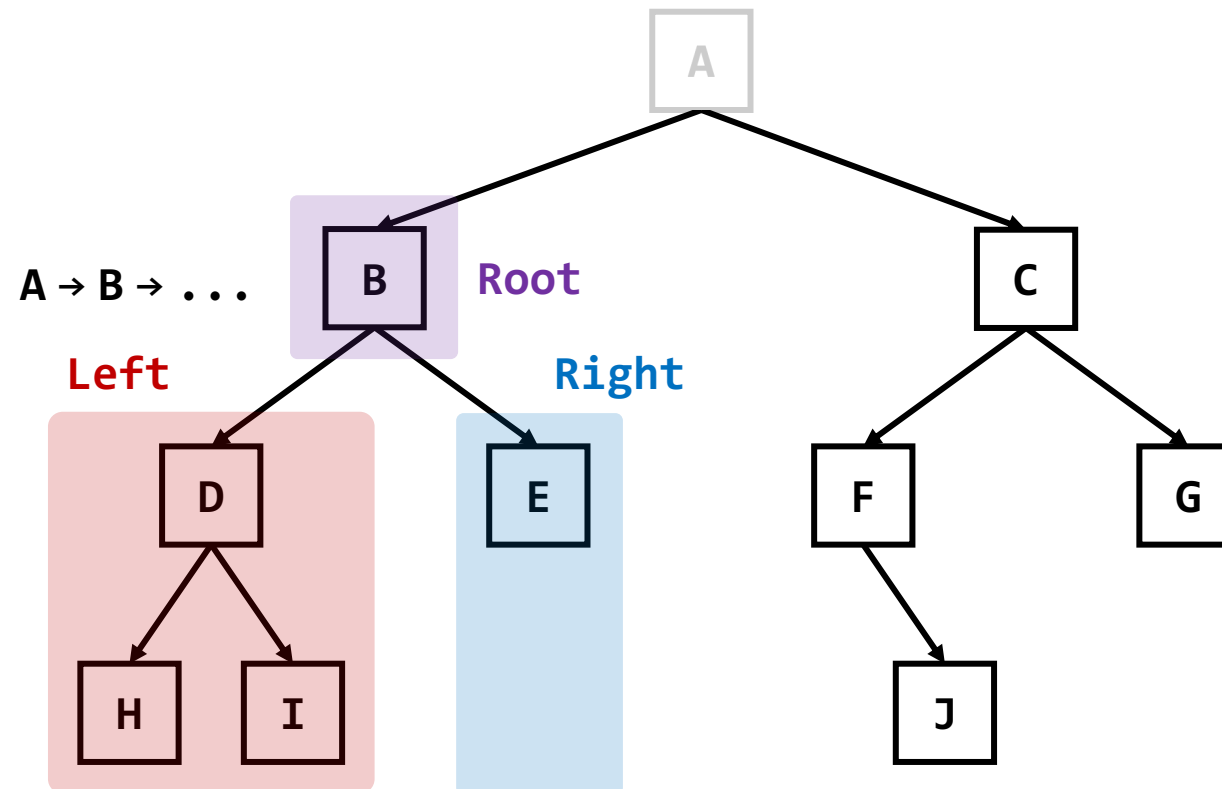
- How to **traverse** all nodes in a binary tree?
  - Pre-order traversal : **Root** → **Left Subtree** → **Right Subtree**



# Binary Tree Traversal



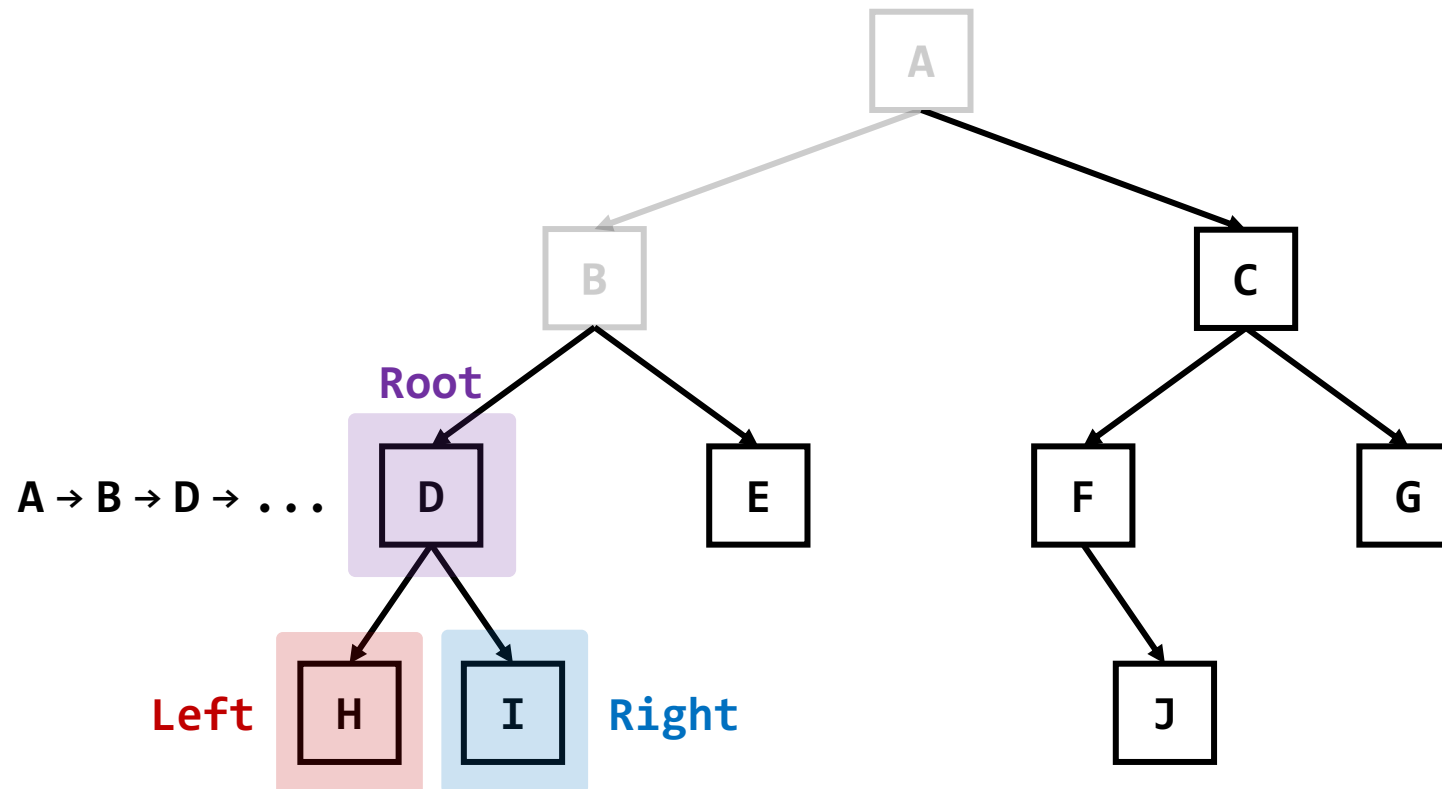
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# Binary Tree Traversal



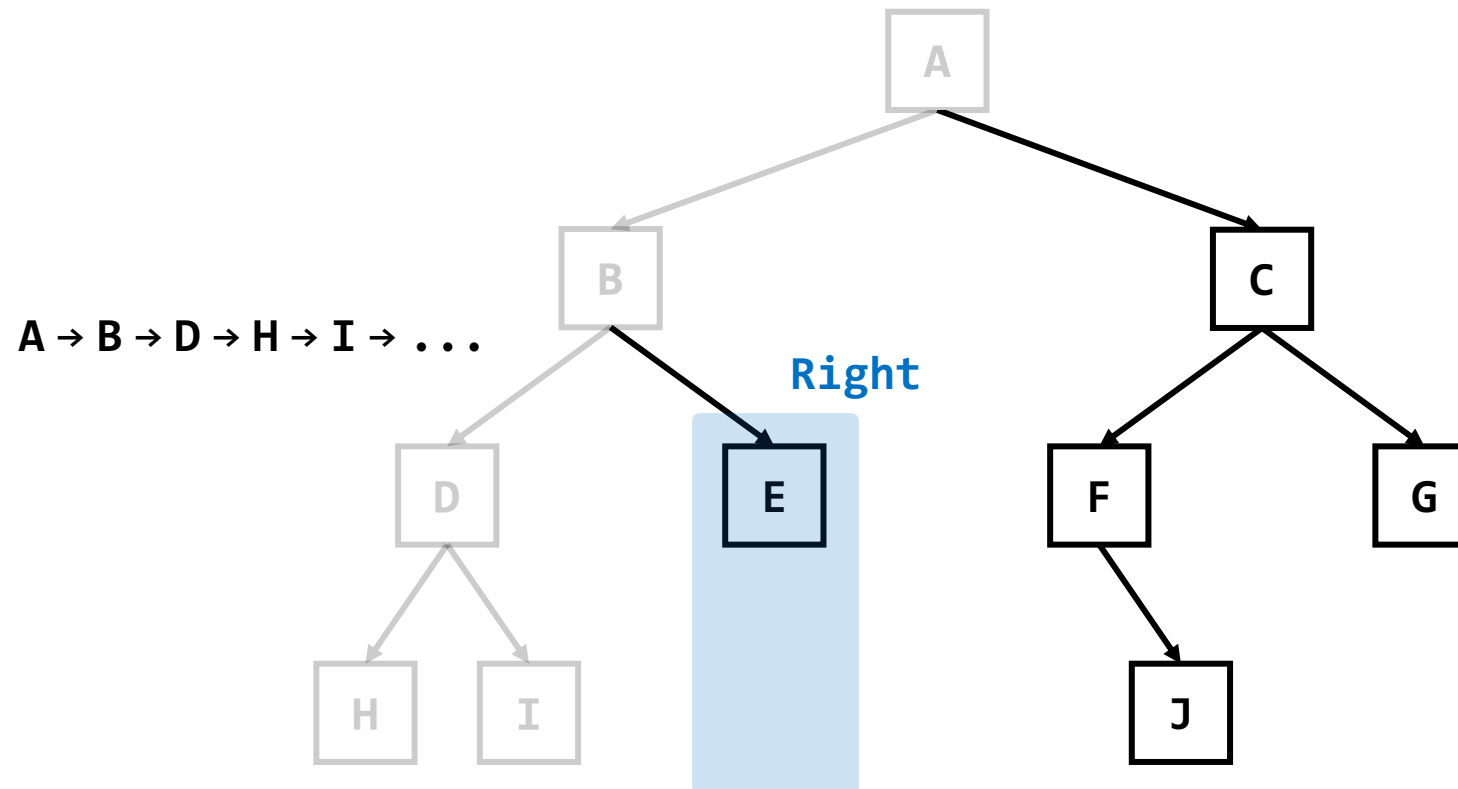
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# Binary Tree Traversal



- How to **traverse** all nodes in a binary tree?
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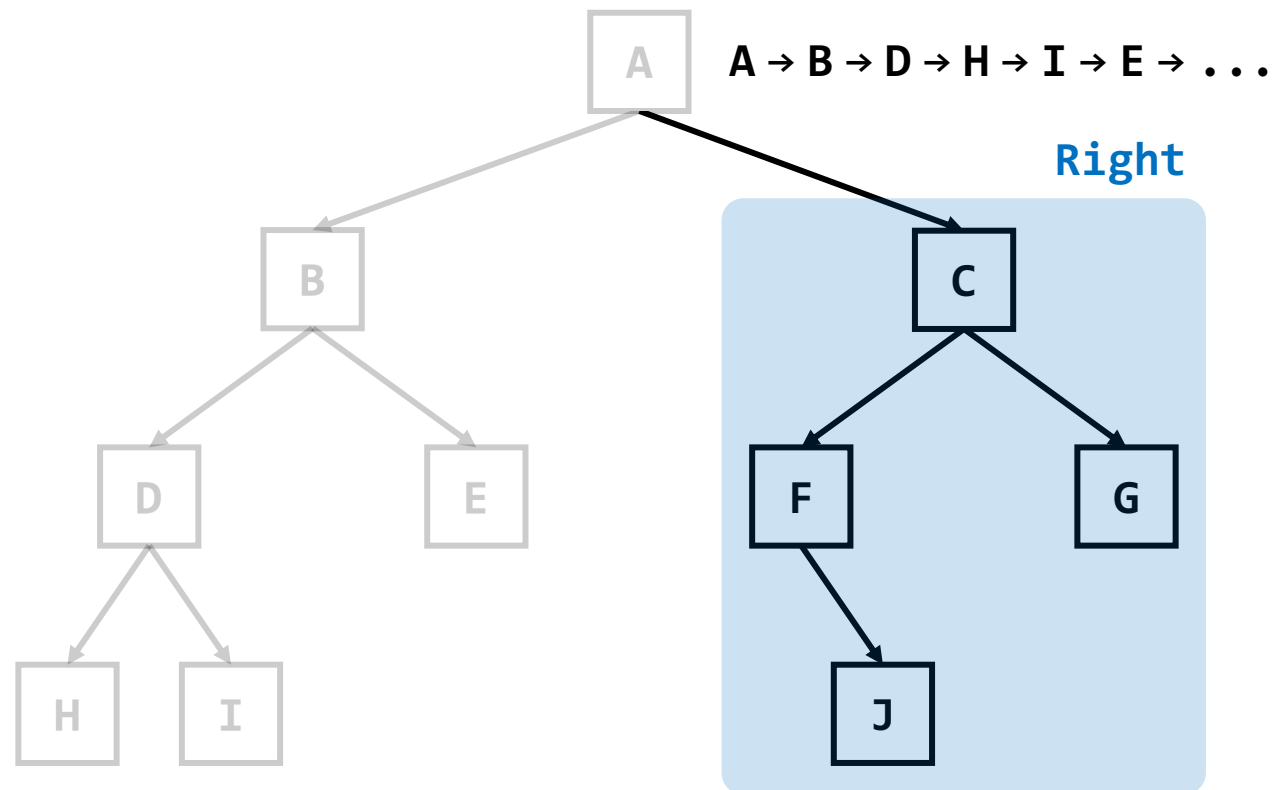




# Binary Tree Traversal



- How to **traverse** all nodes in a binary tree?
  - Pre-order traversal : **Root** → **Left Subtree** → **Right Subtree**

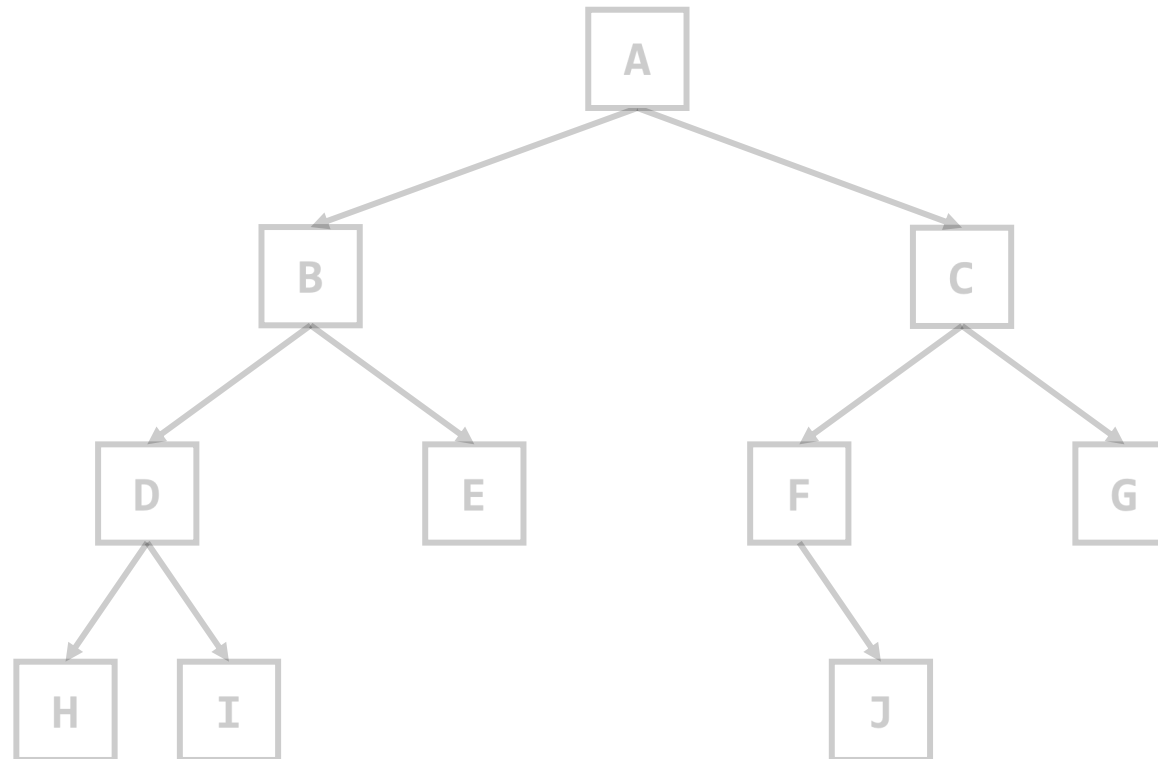


# Binary Tree Traversal



- How to **traverse** all nodes in a binary tree?
  - Pre-order traversal : **Root** → **Left Subtree** → **Right Subtree**

**A → B → D → H → I → E → C → F → J → G**

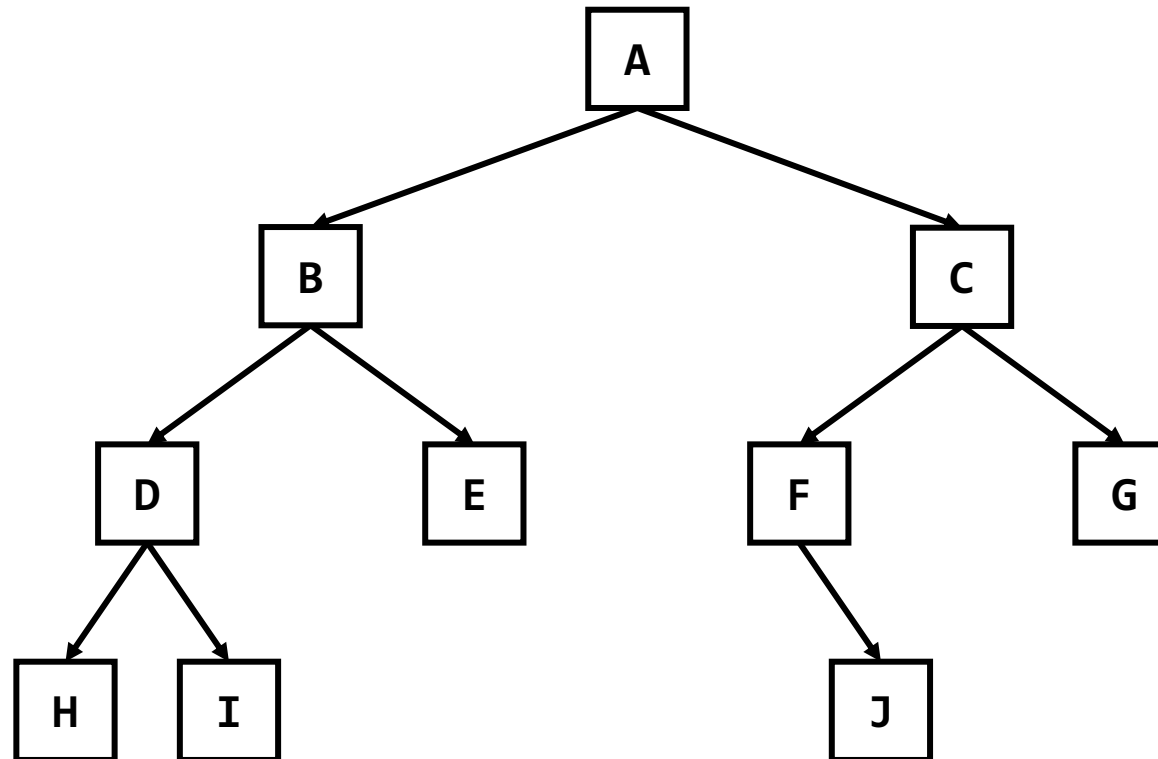


# Binary Tree Traversal



- How to **traverse** all nodes in a binary tree?
  - Post-order traversal : **Left Subtree** → **Right Subtree** → **Root**

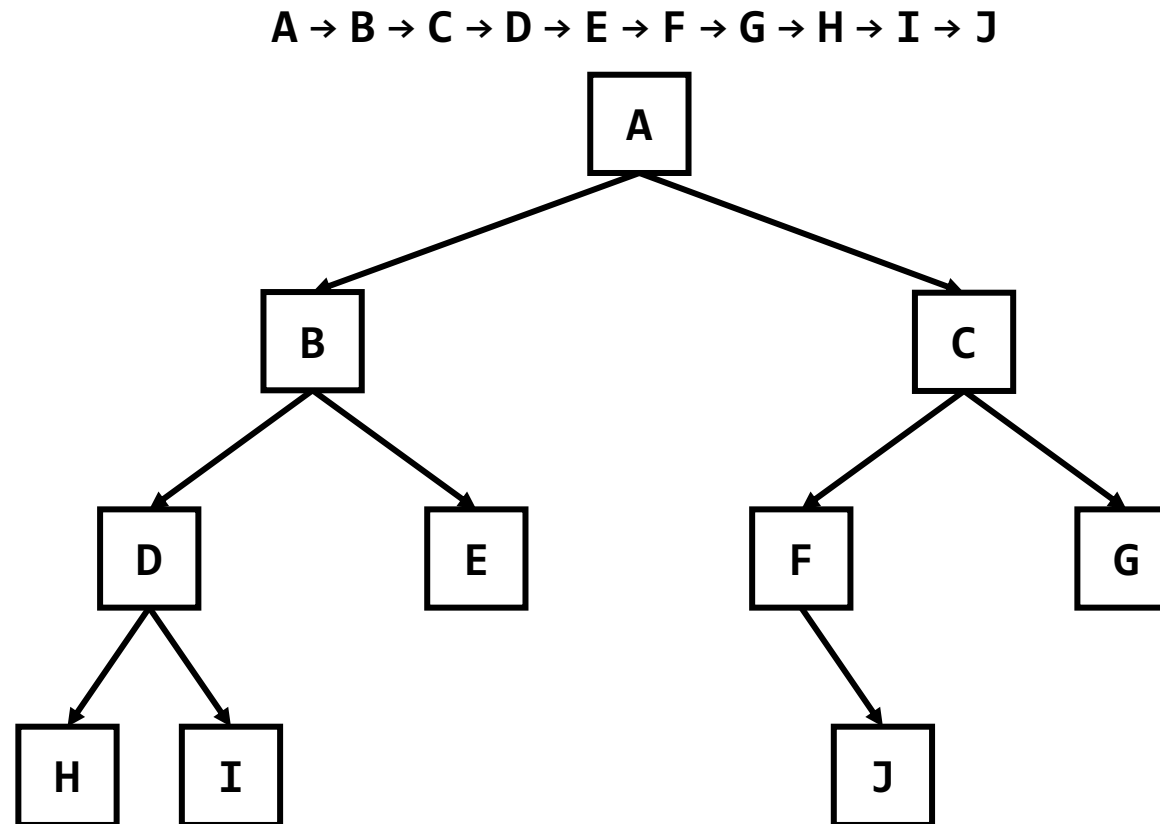
H → I → D → E → B → J → F → G → C → A



# Binary Tree Traversal



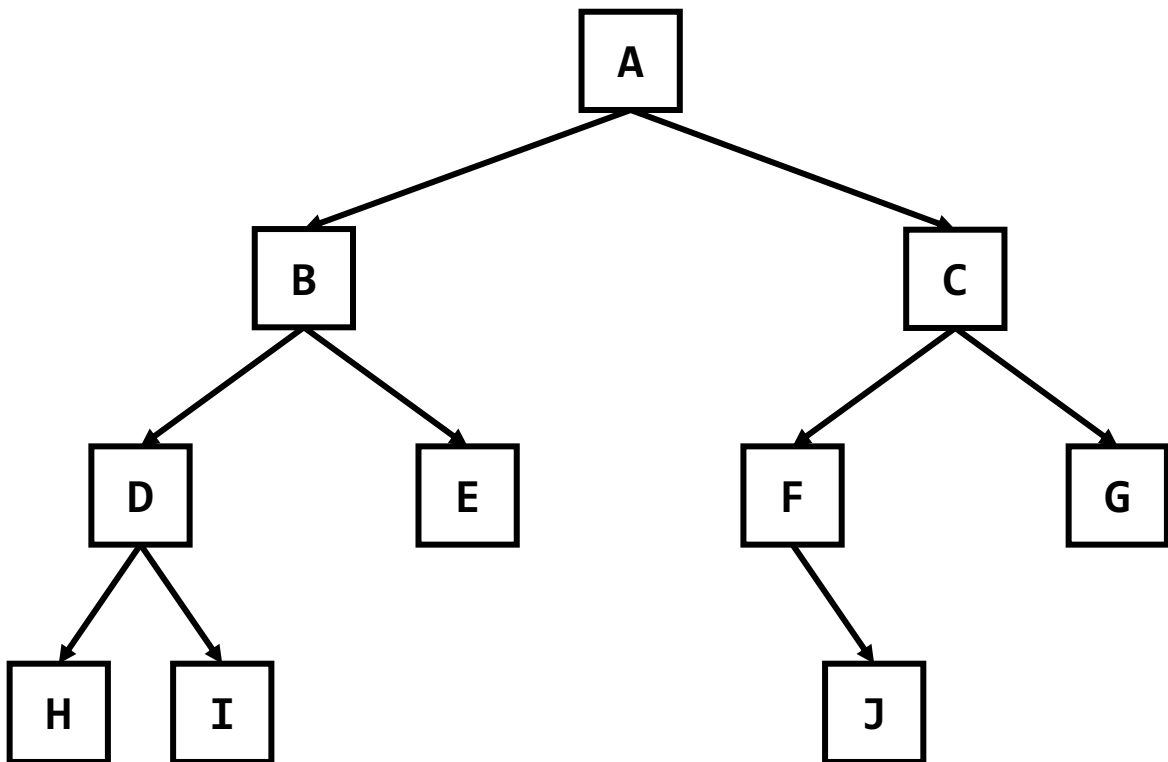
- How to **traverse** all nodes in a binary tree?
  - Level-order traversal : from top ( $level=0$ ) to bottom ( $level=height-1$ )



# Binary Tree Traversal

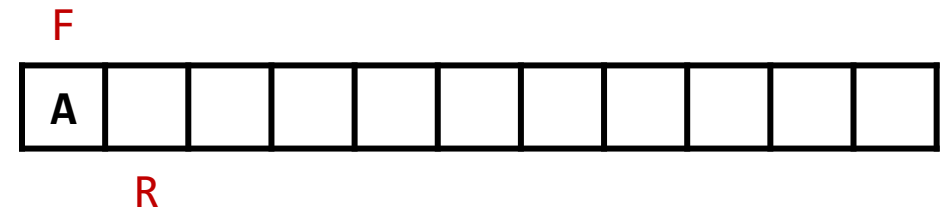


- How to **traverse** all nodes in a binary tree?
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## Queue-based level-order traversal

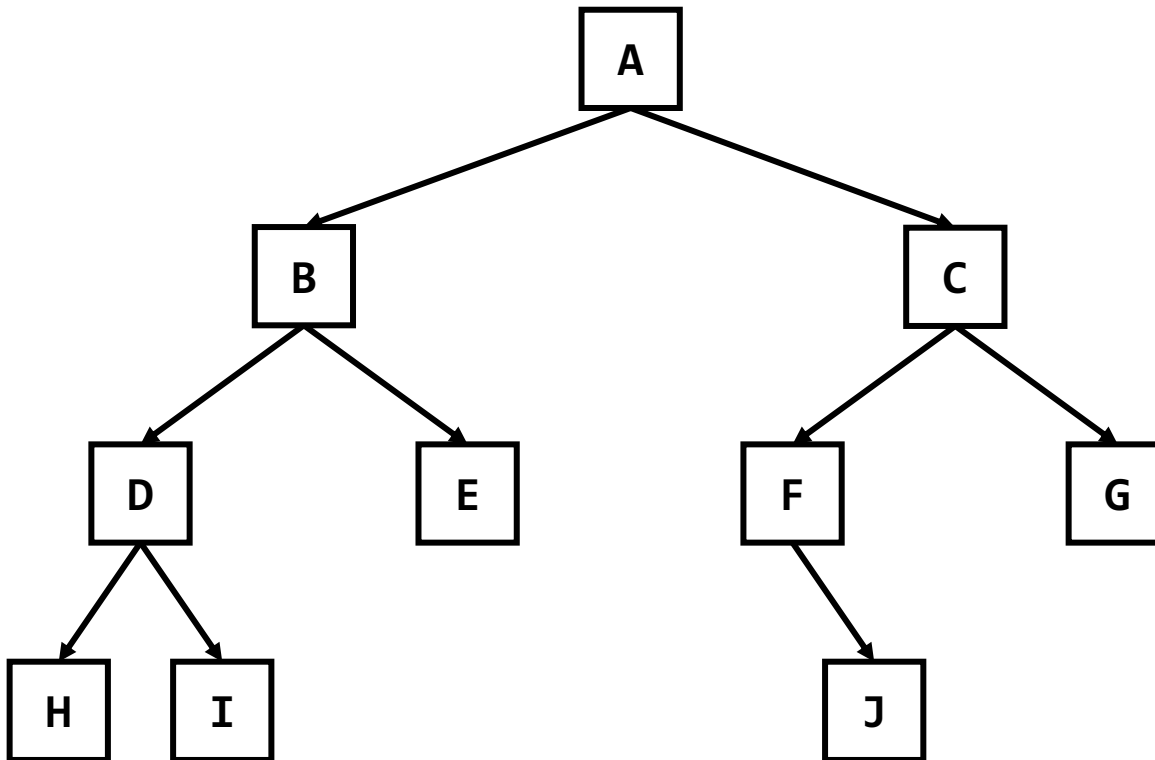
1. Print the value of the front
2. Enqueue two children of the front
3. Dequeue the front



# Binary Tree Traversal

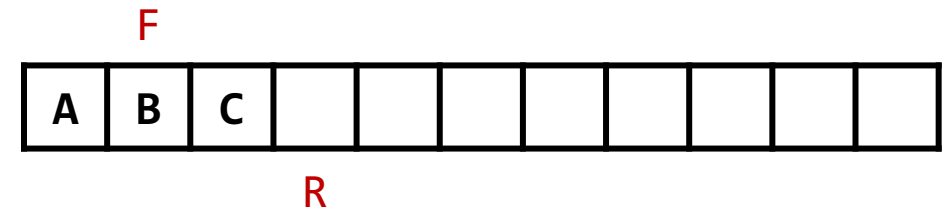


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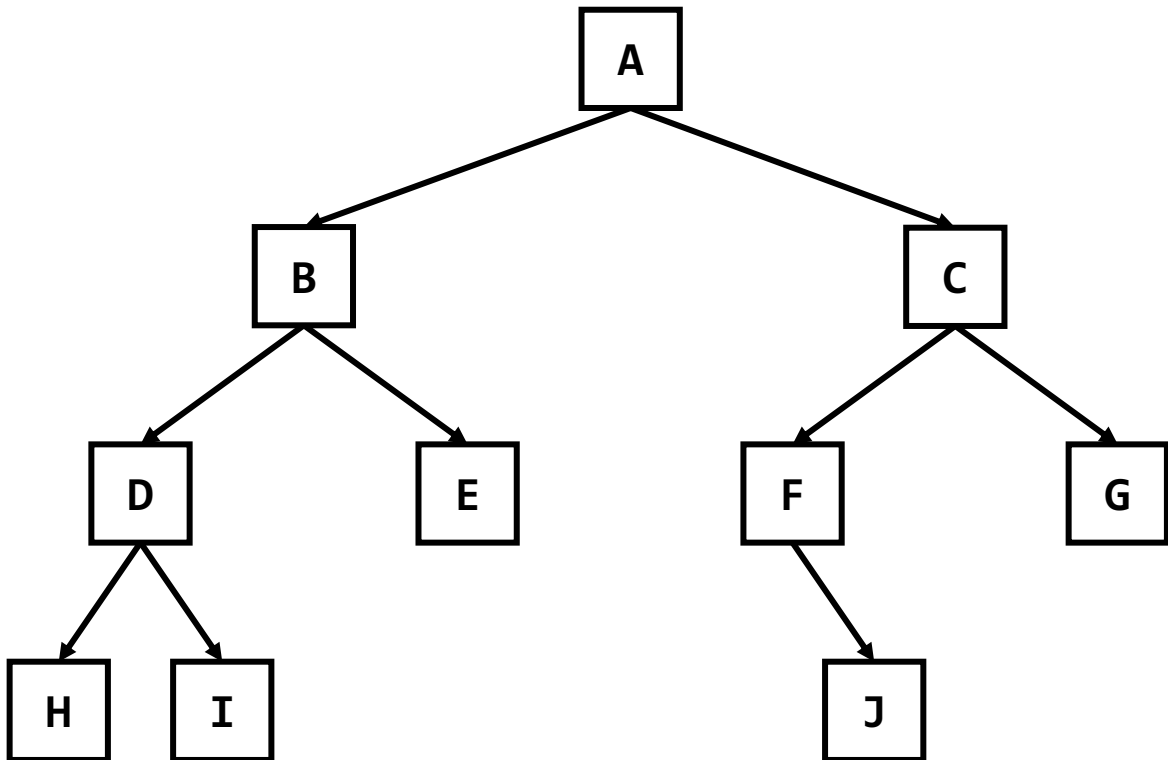
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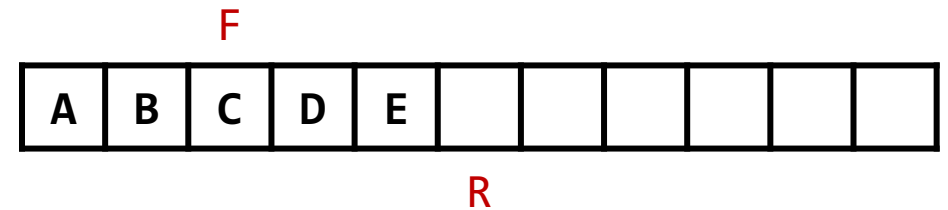


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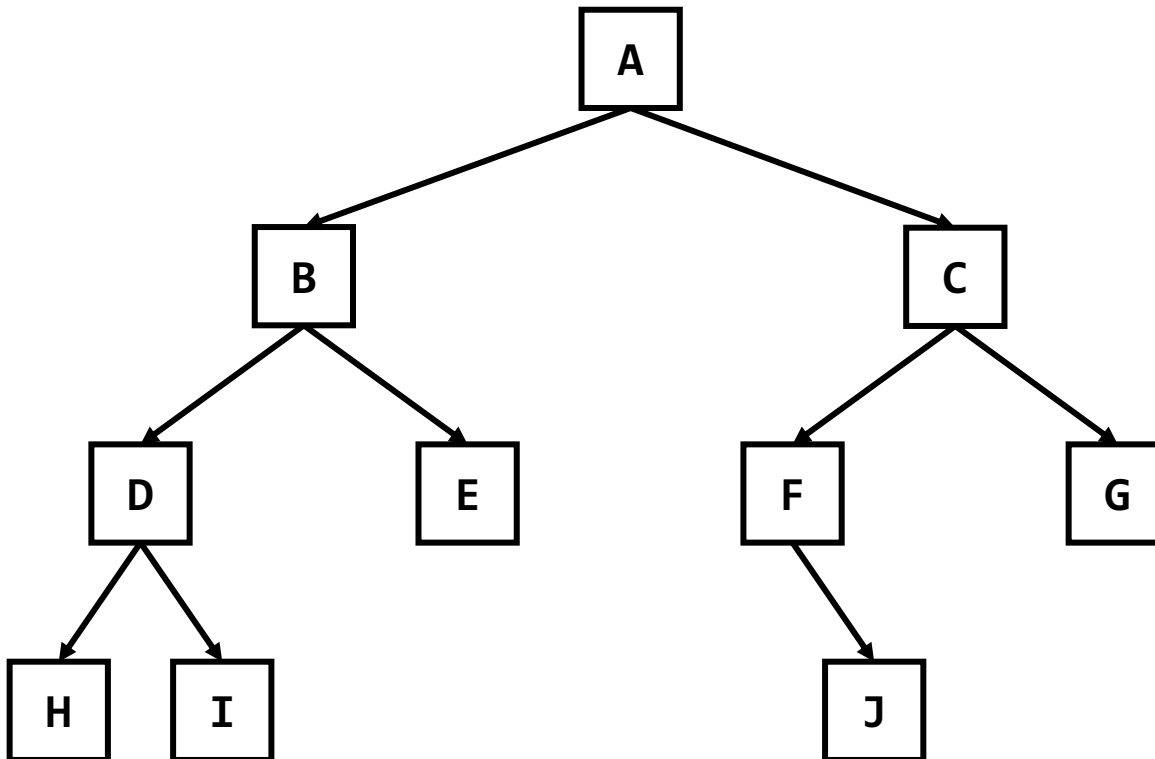
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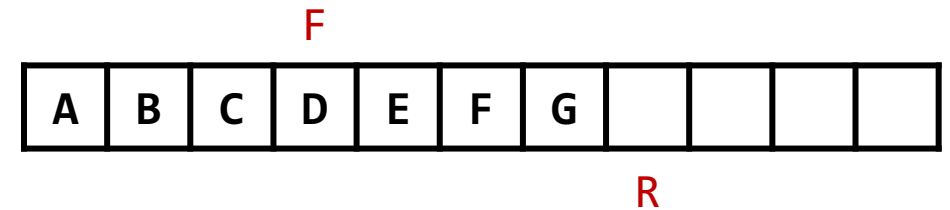


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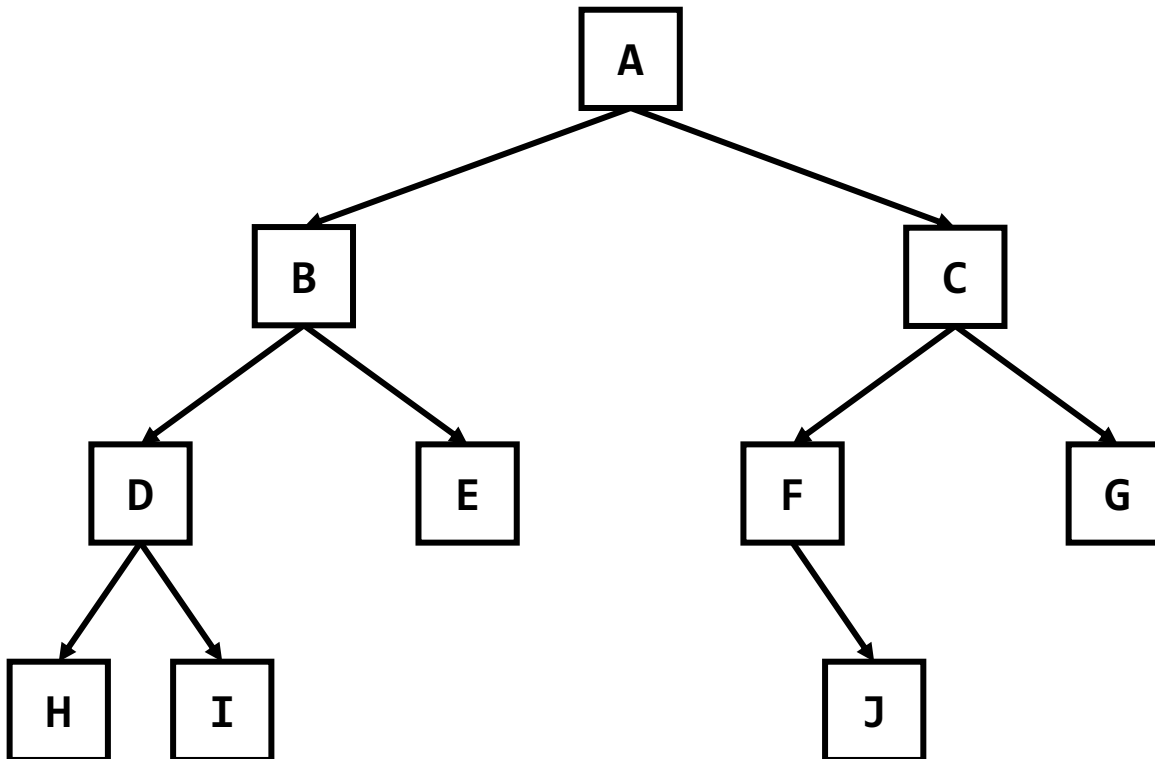




# Binary Tree Traversal

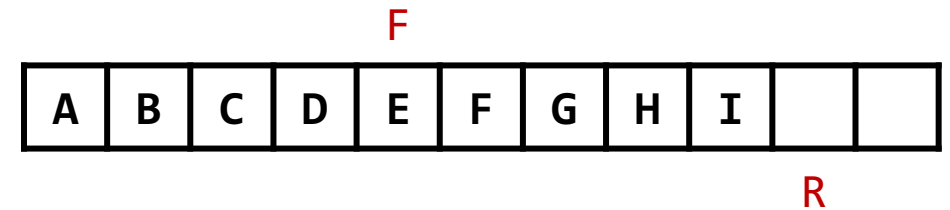


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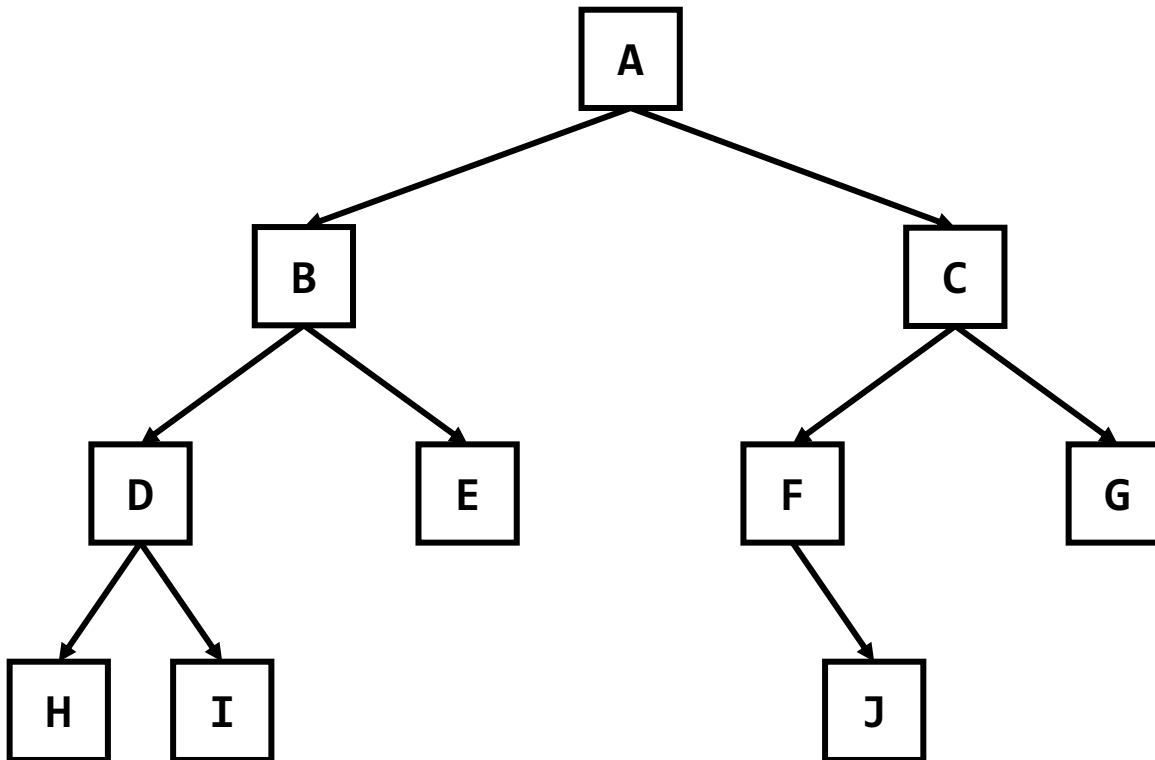
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# Binary Tree Traversal

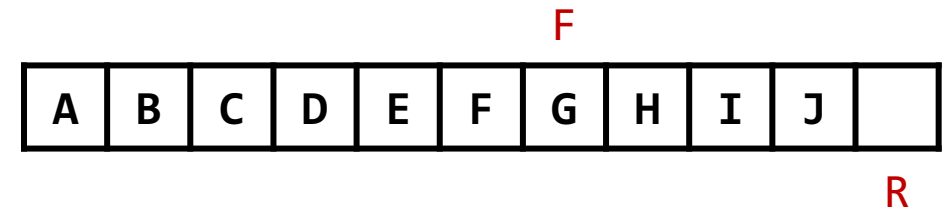


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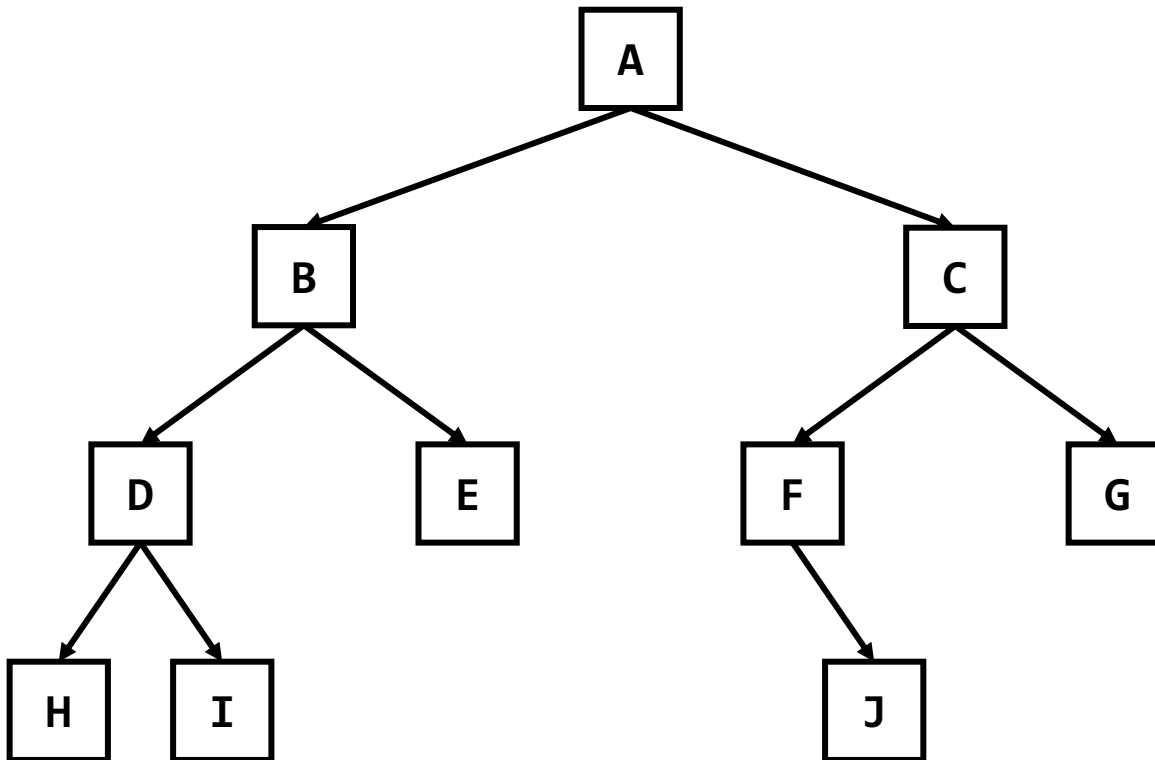
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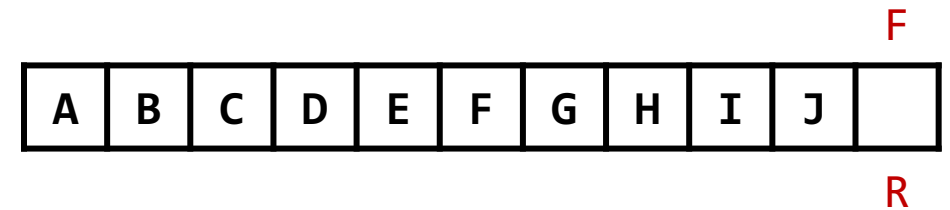


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## Queue-based level-order traversal

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3. Dequeue the front



# Binary Tree Traversal

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- How to **traverse** all nodes in a binary tree?

## **Depth-First Search (DFS)**

- In-order traversal : Left Subtree  $\rightarrow$  Root  $\rightarrow$  Right Subtree
- Pre-order traversal : Root  $\rightarrow$  Left Subtree  $\rightarrow$  Right Subtree
- Post-order traversal : Left Subtree  $\rightarrow$  Right Subtree  $\rightarrow$  Root

## **Breadth-First Search (BFS)**

- Level-order traversal : from top ( $level=0$ ) to bottom ( $level=height-1$ )

# Binary Tree Implementation



```
typedef struct _Node {  
    int item;  
    struct _Node *left, *right;  
} Node;
```

- In general, **the (linked-)list structure** is suitable for BT implementation
  - The tree is **non-linear** structure, which is not fit with the array structure
  - Since degree  $\leq 2$ , the node structure can be easily implemented
  - Insertion and deletion are easier to implement

# Binary Tree Implementation



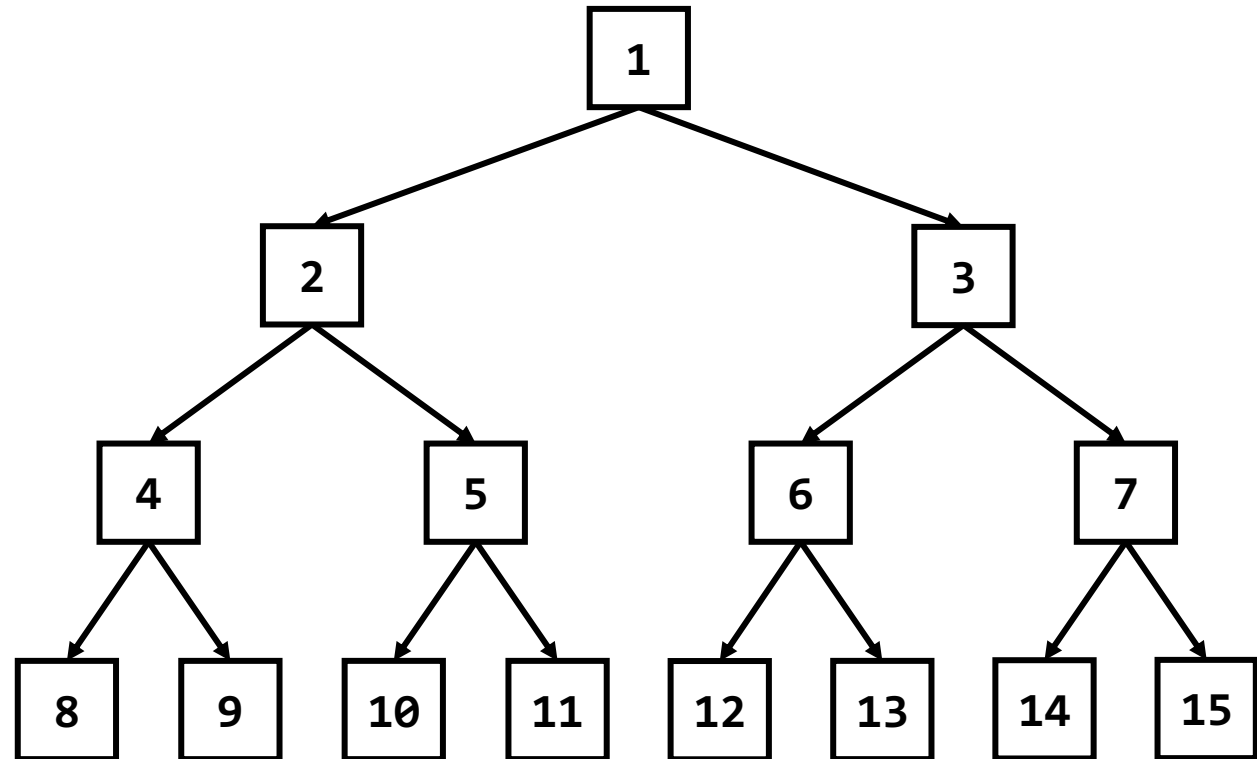
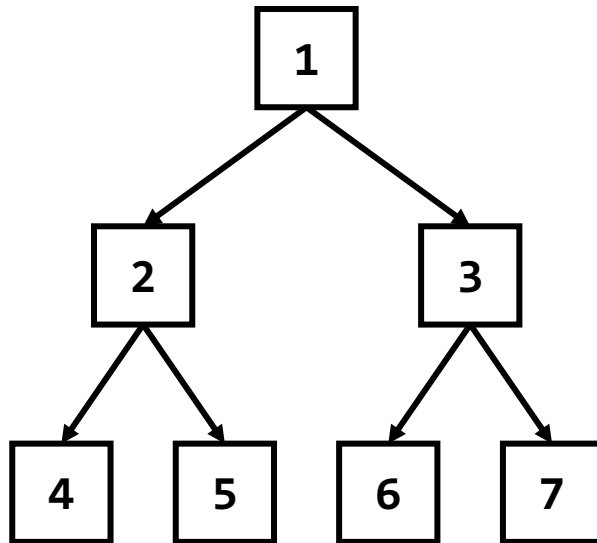
```
typedef struct _Node {  
    int item;  
    struct _Node *left, *right;  
} Node;
```

```
Node* createNode(int item, Node *left, Node *right); // Create a node with subtrees  
void removeNode(Node *node); // Delete the node and its all descendants  
int computeHeight(Node *node); // Compute height of the subtree rooted at the node  
  
void traverseInOrder(Node *node);  
void traversePreOrder(Node *node);  
void traversePostOrder(Node *node);  
void traverseLevelOrder(Node *node);
```

# Full & Complete Binary Trees



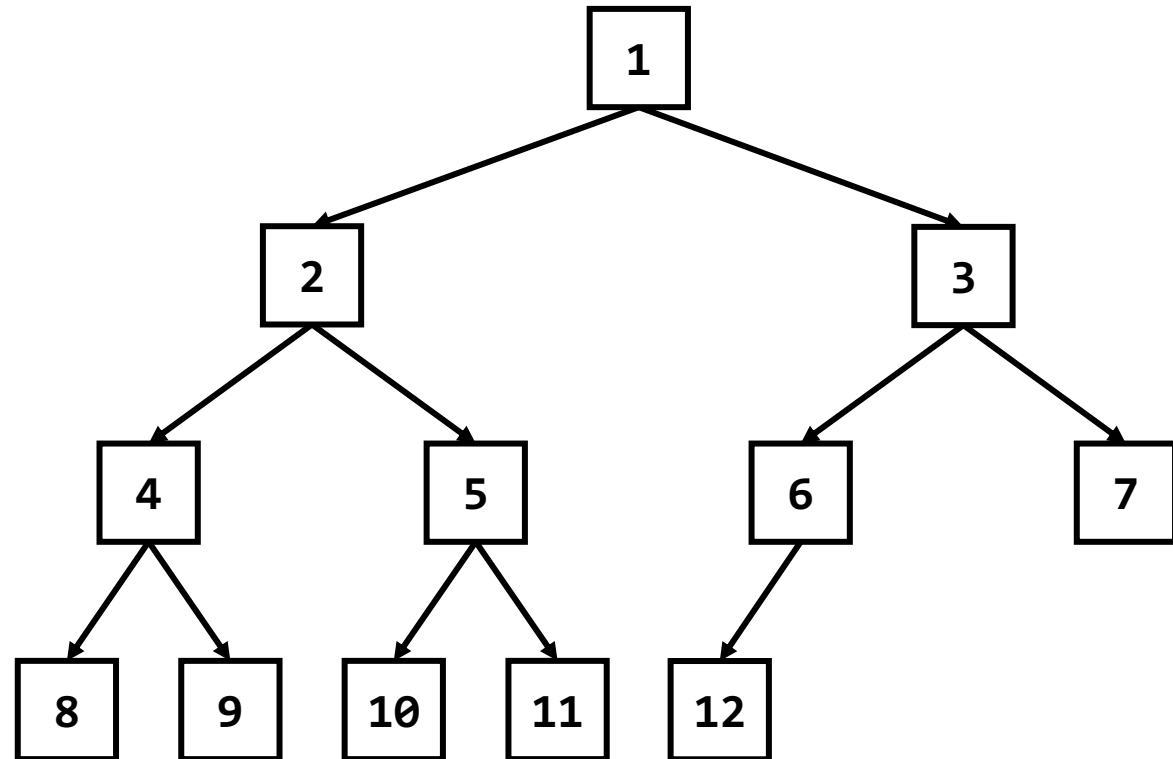
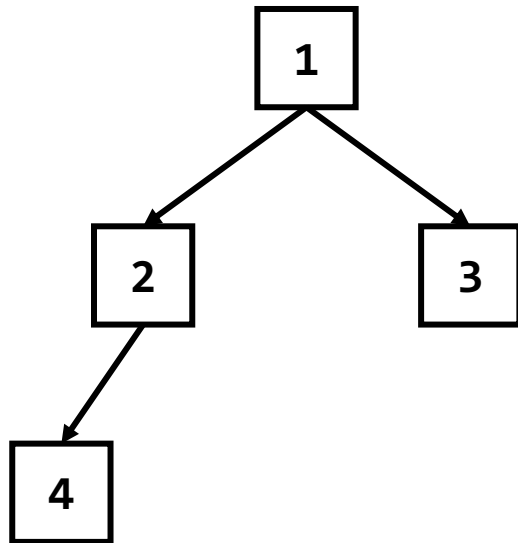
- **Full Binary Tree** is a BT of height  $H$  has  $2^H - 1$  nodes
  - Node numbering from lower to higher levels, from left to right



# Full & Complete Binary Trees



- **Complete Binary Tree** is a BT satisfying ...
  - All nodes are sequentially filled from lower to higher levels, from left to right
  - The same node numbering to the full binary tree

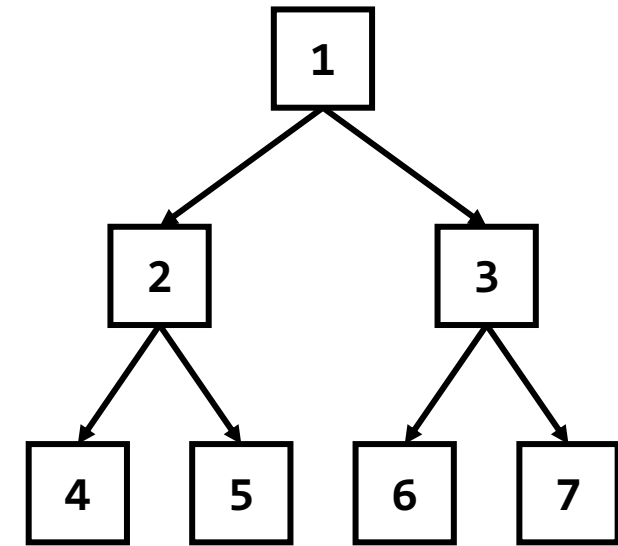
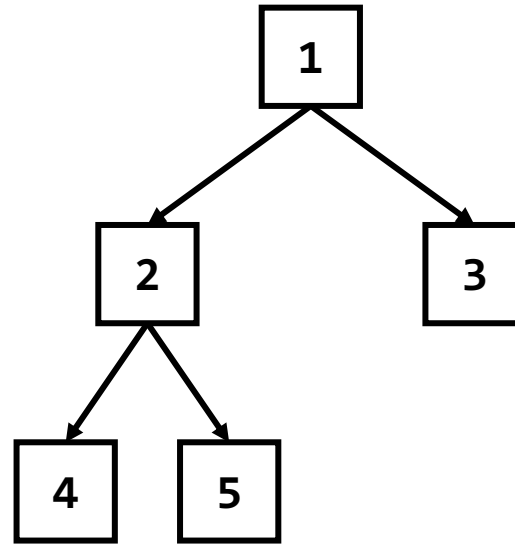
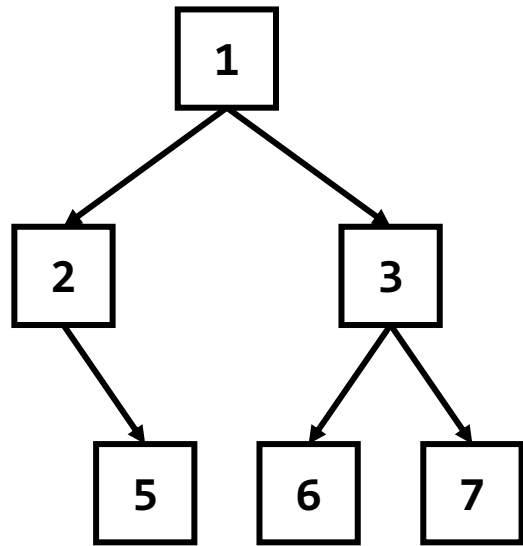




# Full & Complete Binary Trees



(Q1) Is it a full or complete binary tree? Or none of both?



(Q2) Is any full binary tree a complete binary tree?

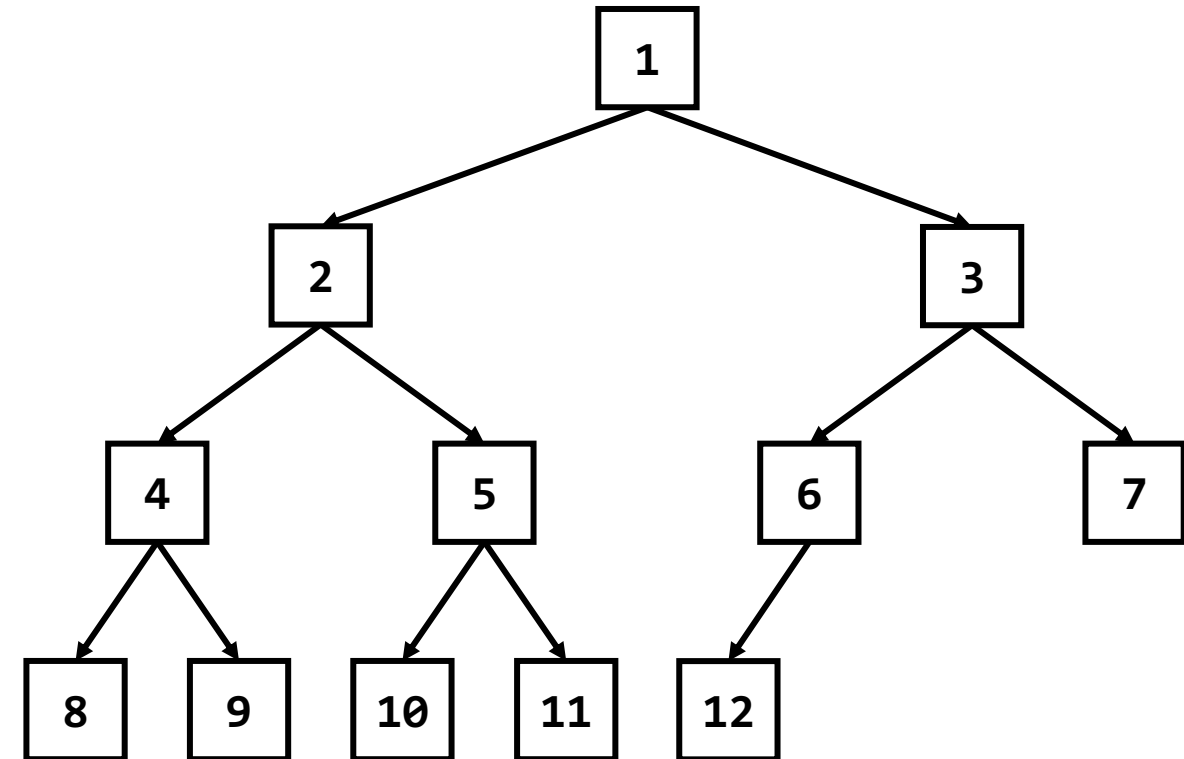
(Q3) How many nodes are required to make a complete BT to a full one?

- Assume  $N$  is the number of the complete BT

# Complete Binary Tree Implementation



- The nodes in a complete binary tree are **sequentially filled**
  - There exists the **unique node numbering**
  - You can efficiently implement a complete BT using the array structure



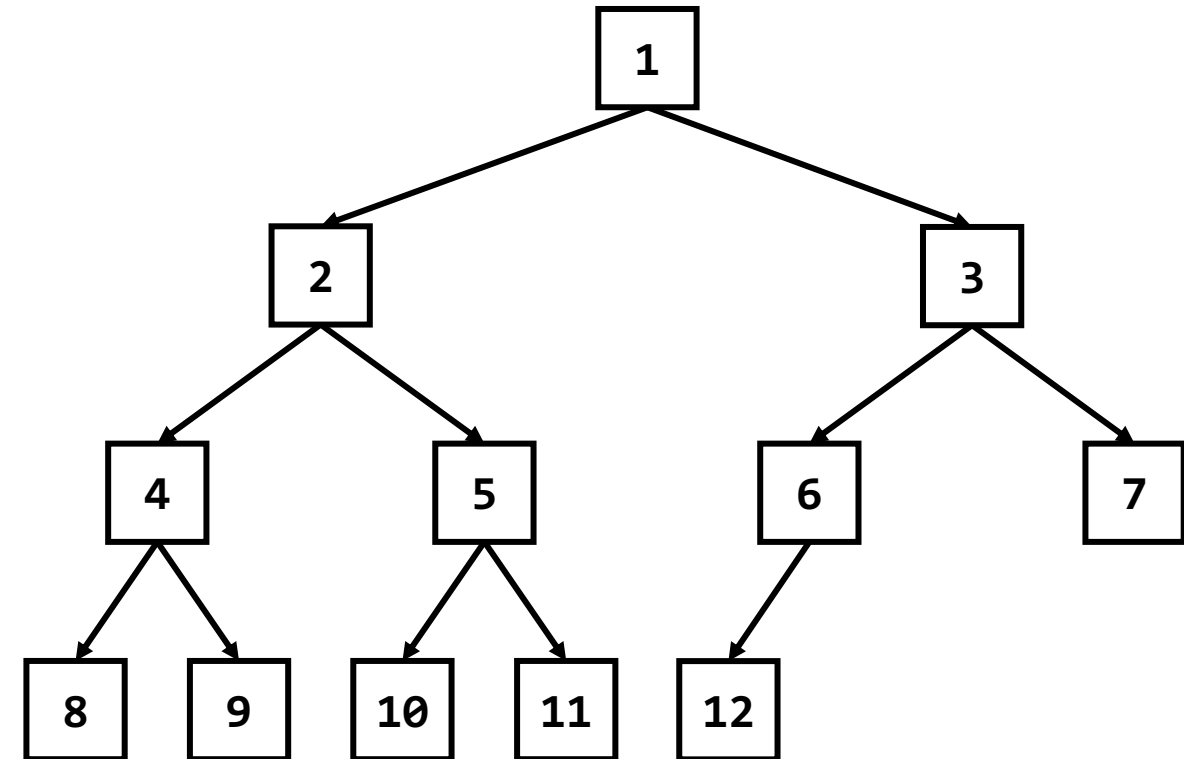
# Complete Binary Tree Implementation



- The nodes in a complete binary tree are **sequentially filled**
  - There exists the **unique node numbering**
  - You can efficiently implement a complete BT using the array structure

**Interesting property** of the numbering:

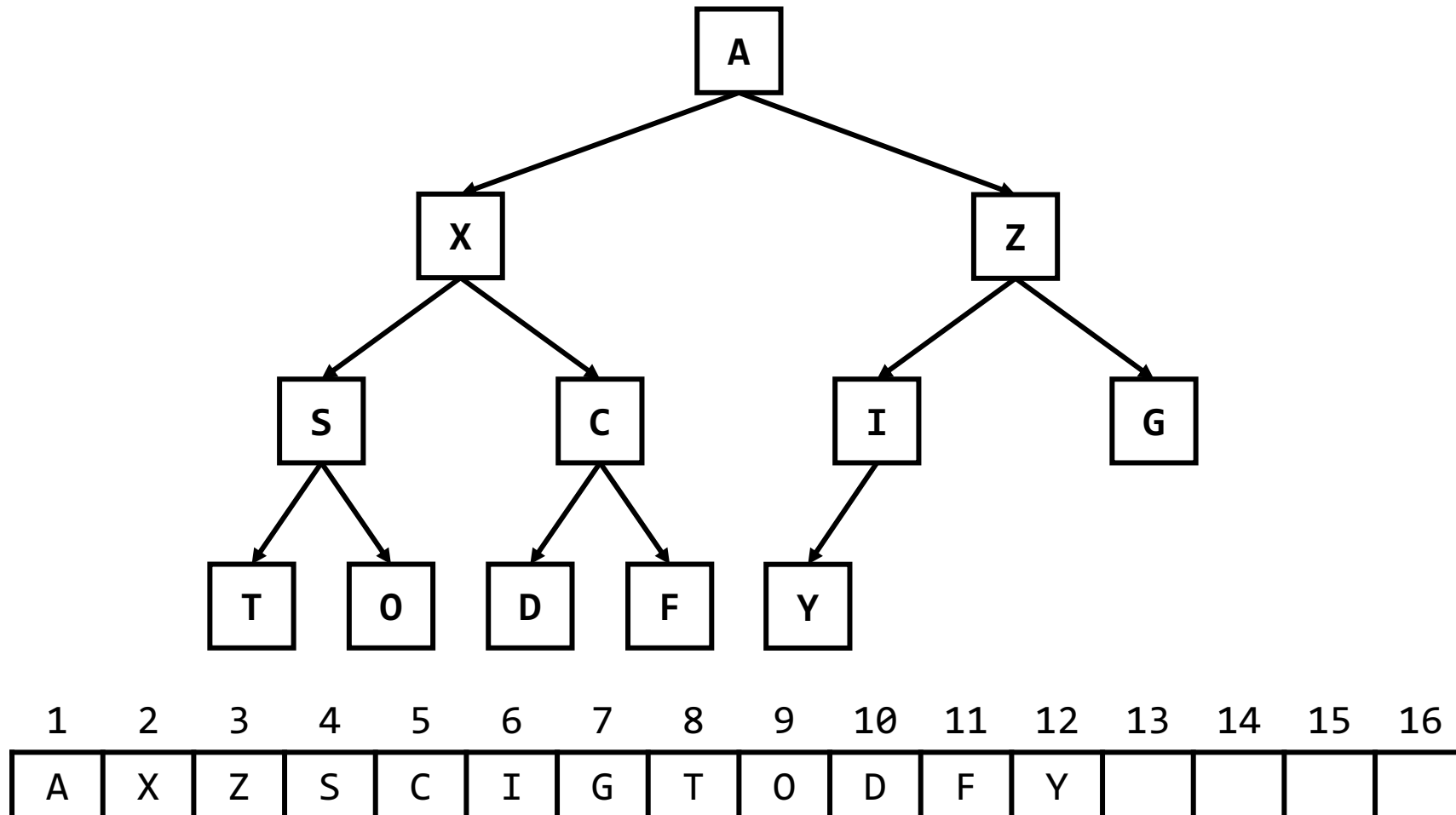
- Let  $i$  be a node number
- The parent number of  $i$  is  $i/2$  (floor)
- The left child number of  $i$  is  $i*2$
- The right child number of  $i$  is  $i*2+1$
- Check the node is left or right by  $i\%2==0$
- Move to its sibling by  $i+1$  or  $i-1$



# Complete Binary Tree Implementation



- Implement the following functions for traversal



# Complete Binary Tree Implementation



- Implement the following functions for traversal

```
int items[MAX_SIZE]; // Array for nodes in a complete binary tree
int N; // The number of nodes in the tree

void printParent(char tree[], int n);
void printChildren(char tree[], int n);
void printSibling(char tree[], int n);
void printAncestors(char tree[], int n);
void printDescendants(char tree[], int n);
```

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
A	X	Z	S	C	I	G	T	O	D	F	Y				

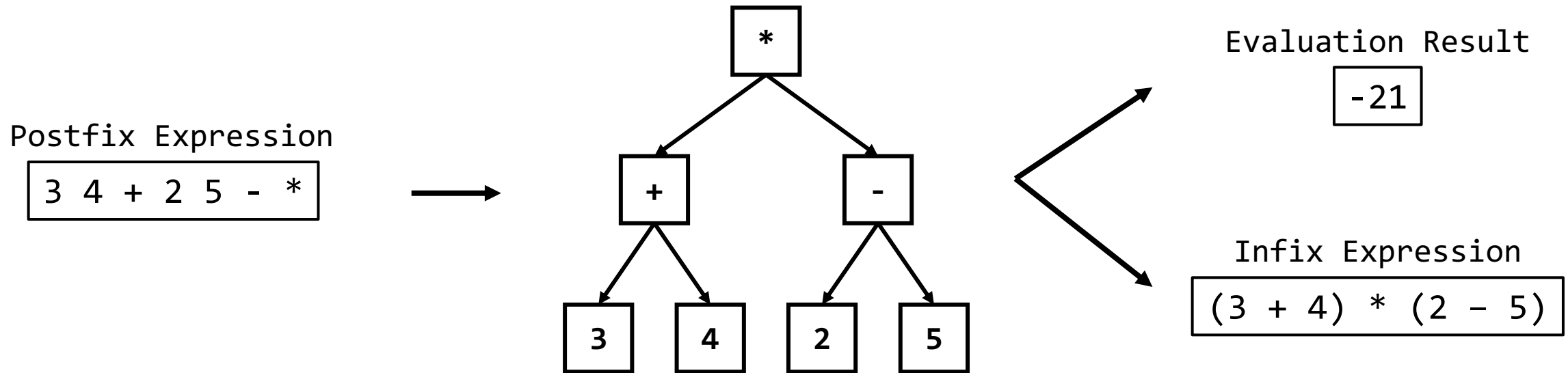
**Array-based Complete BT Representation**

# Binary Trees - Problem Solving Practice



- Problem: **Expression Tree**

- (Q1) Given a postfix expression, construct a binary tree to represent the expression
- (Q2) Implement a function that evaluate the expression and print its infix expression



# Binary Trees - Problem Solving Practice



- Problem: **Expression Tree**

**(Q1)** Given a postfix expression, construct a binary tree to represent the expression

**(A1)** Read operands and construct subtrees from left to right

Postfix Expression

3 4 + 2 5 - \*

Stack



# Binary Trees - Problem Solving Practice



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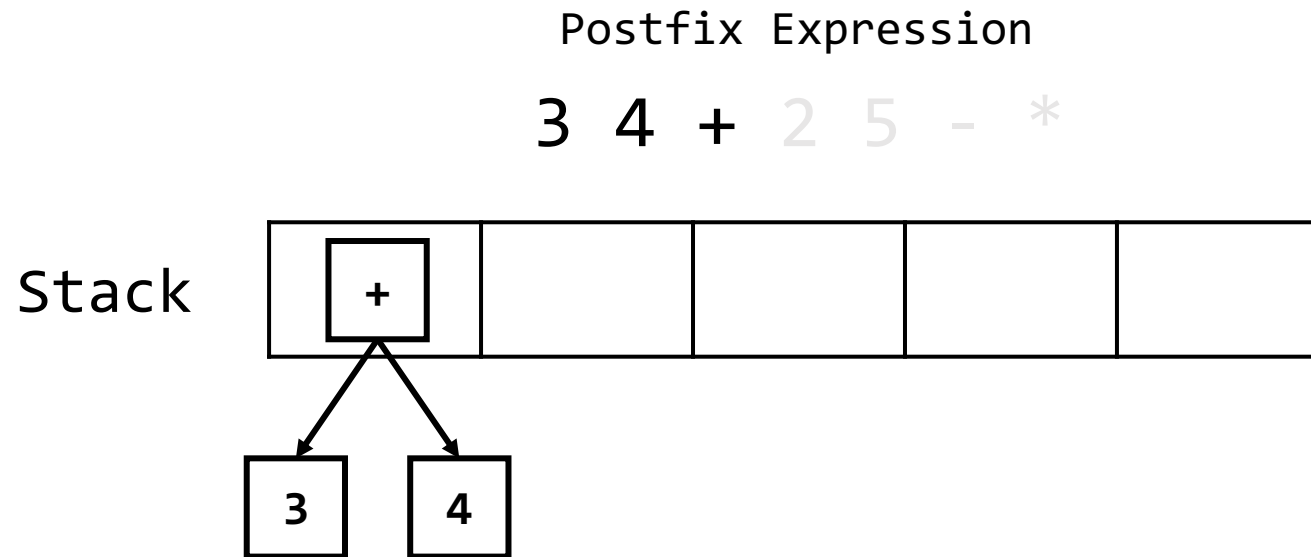
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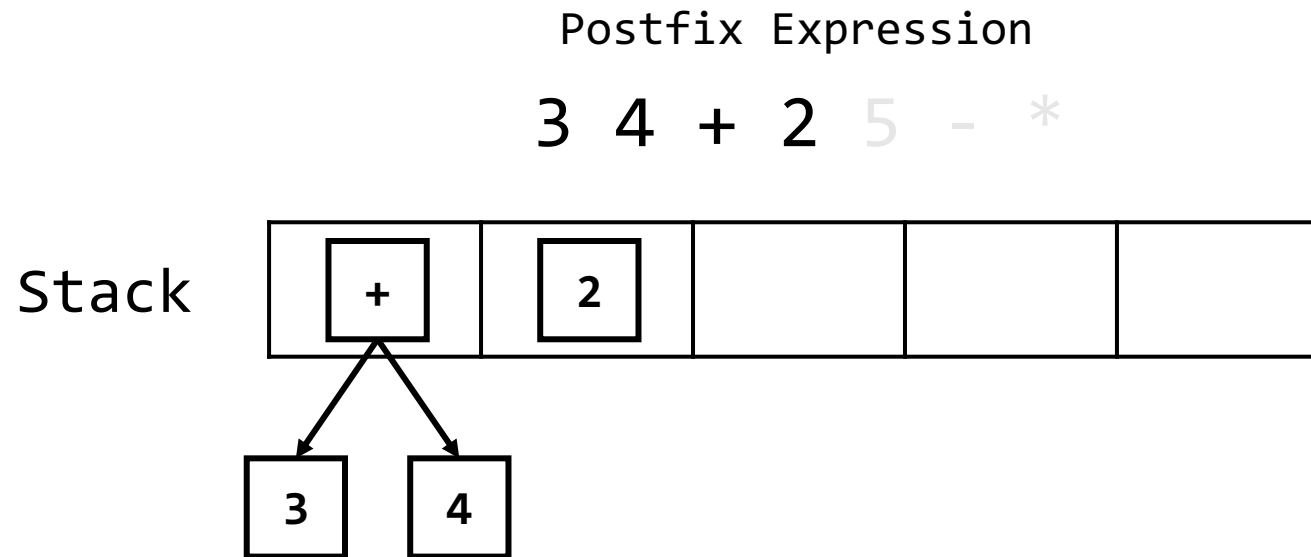
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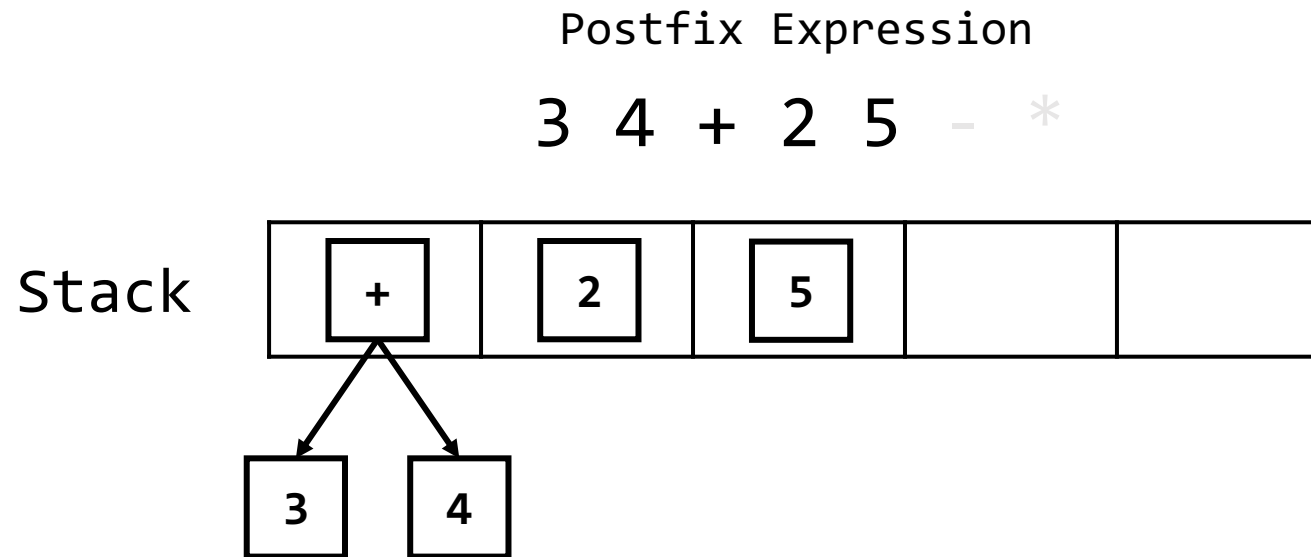
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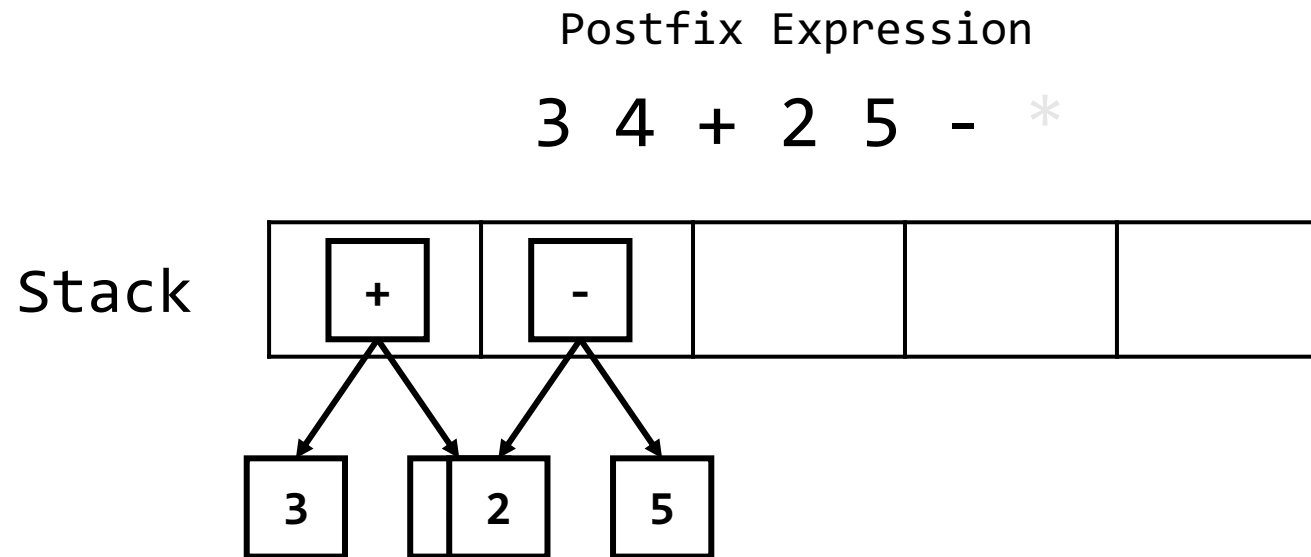
# Binary Trees - Problem Solving Practice



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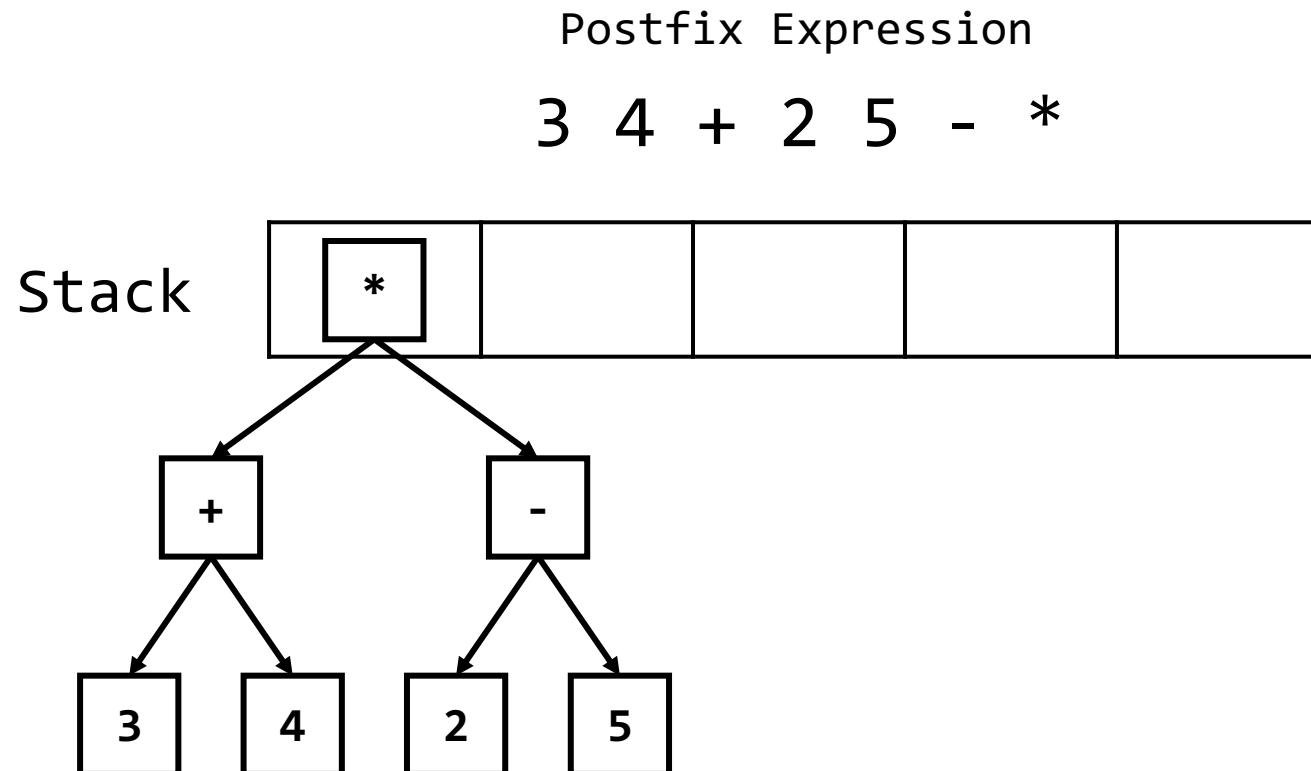
# Binary Trees - Problem Solving Practice



- Problem: **Expression Tree**

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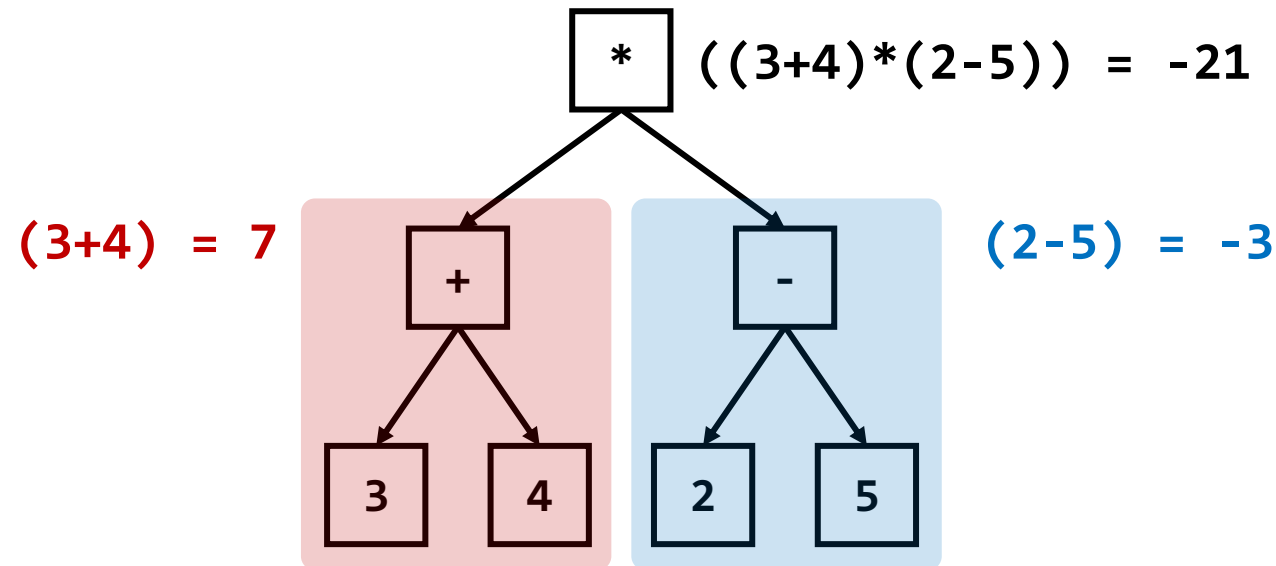
# Binary Trees - Problem Solving Practice



- Problem: **Expression Tree**

**(Q2)** Implement a function that evaluate the expression and print its infix expression

**(A2)** It can be easily implemented using recursion



# Any Questions?

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