



[SWE2015-41] Introduction to Data Structures (자료구조개론)

# Introduction to Data Structures & Algorithms

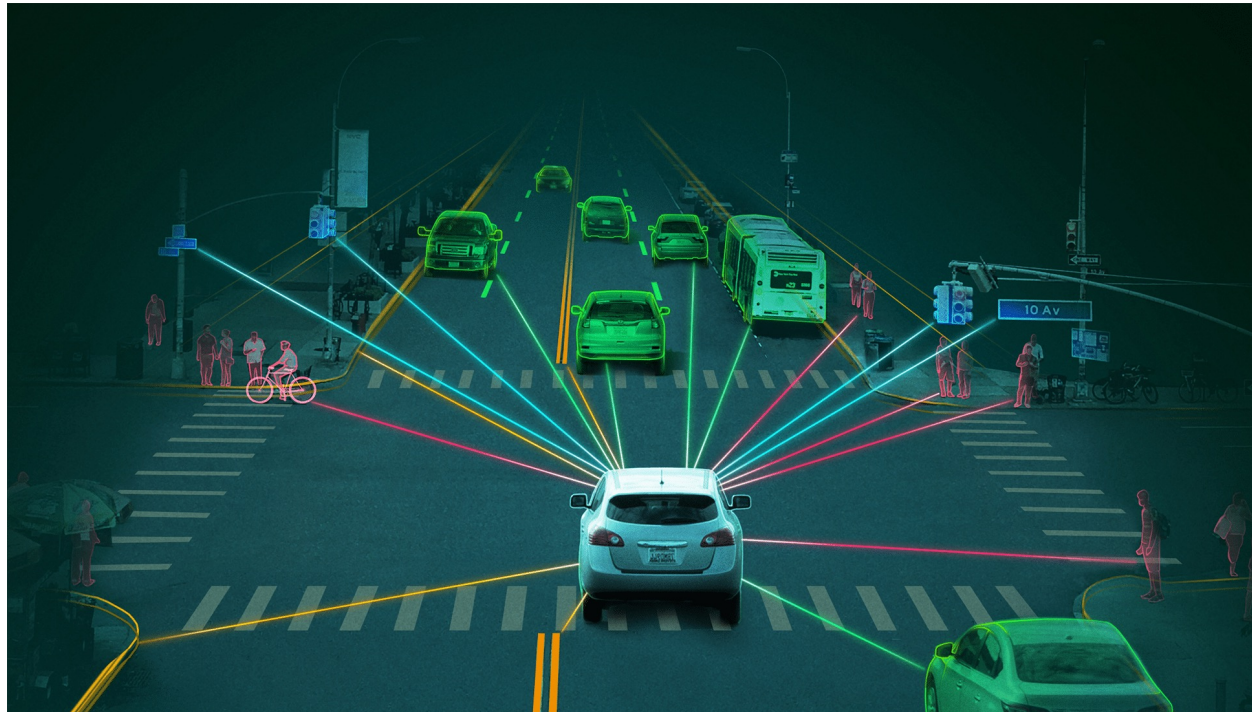
Department of Computer Science and Engineering

Instructor: Hankook Lee (이한국)

# What is a Good Program?



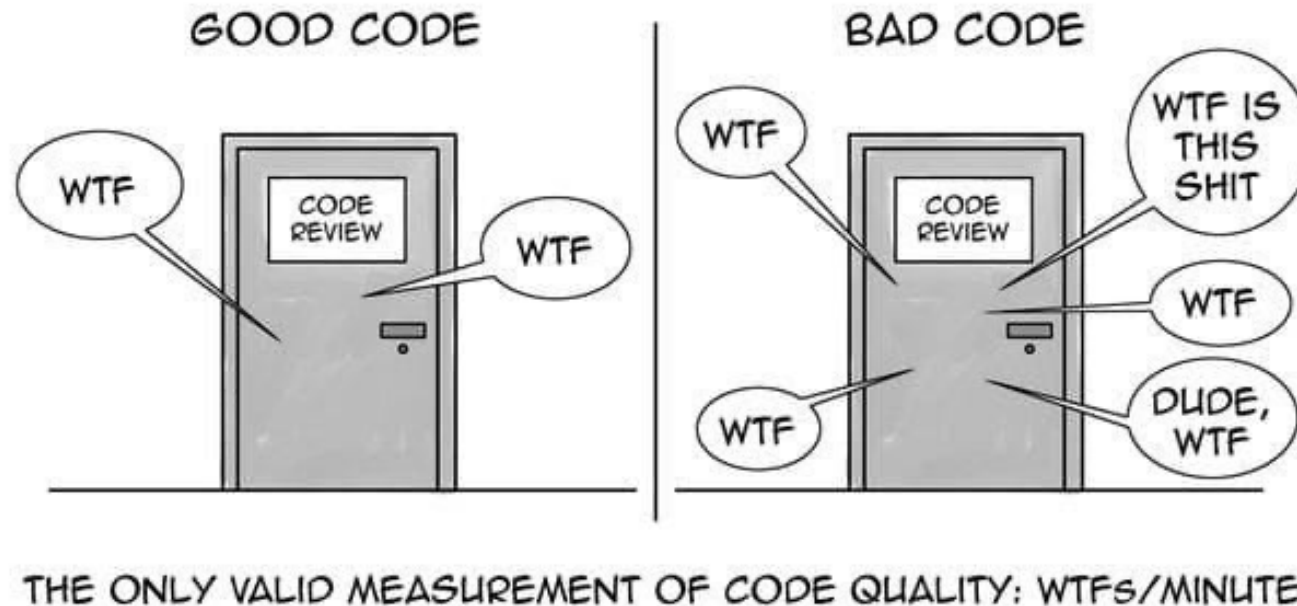
- A good program should run **correctly**, **reliably**, and **efficiently** as expected
- Example: **Autonomous Driving**
  - Detect traffic conditions and obstacles in real-time
  - Figure out the optimal driving route



# What is a Good Code?



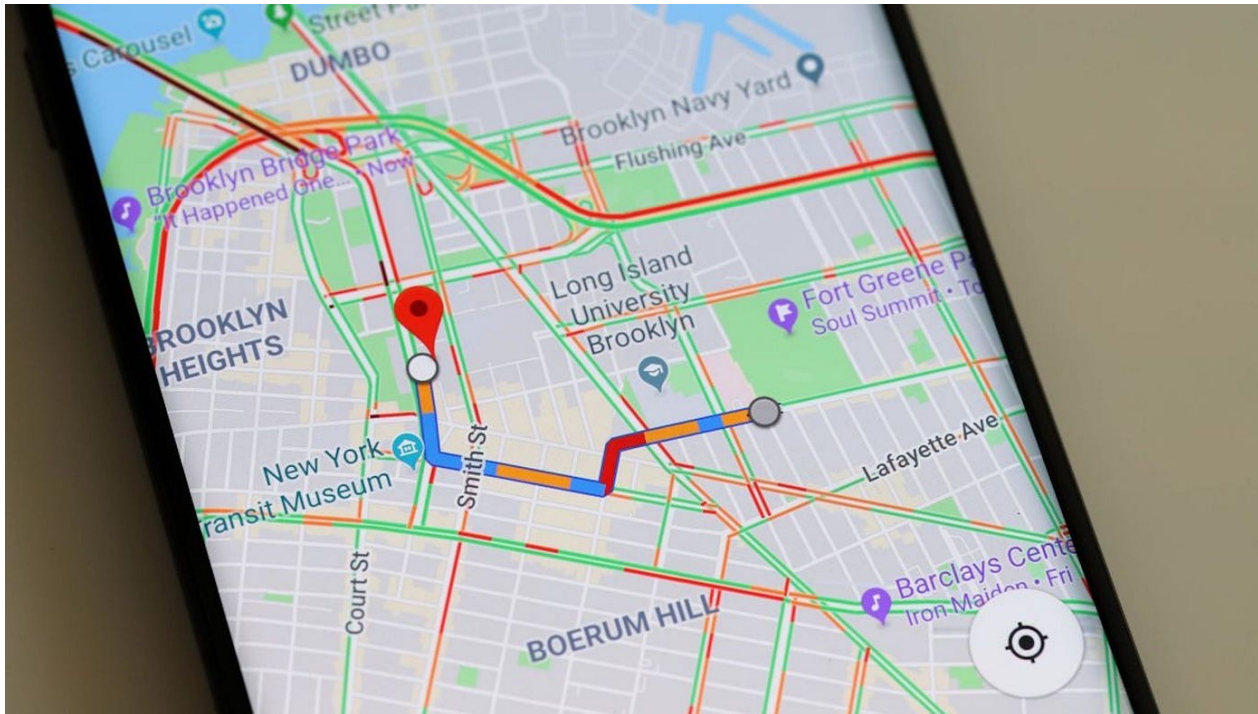
- A good code should be ...
  - **Simple** - Simple solution, Efficiency, ...
  - **Readable** - Clear naming, Clear formatting, Comments, Documentation, ...
  - **Maintainable** - Modularity, Reusability, Portability, ...
  - **Reliable** - Error handling, Testing, Security, ...



# Problem Solving



- A problem is formulated by a **goal** and **requirements**
  - **Goal:** produce the desired outcome (e.g., shortest path between two places)
  - **Requirements:** time/memory limits, data/information access, devices, ...



Path Finding

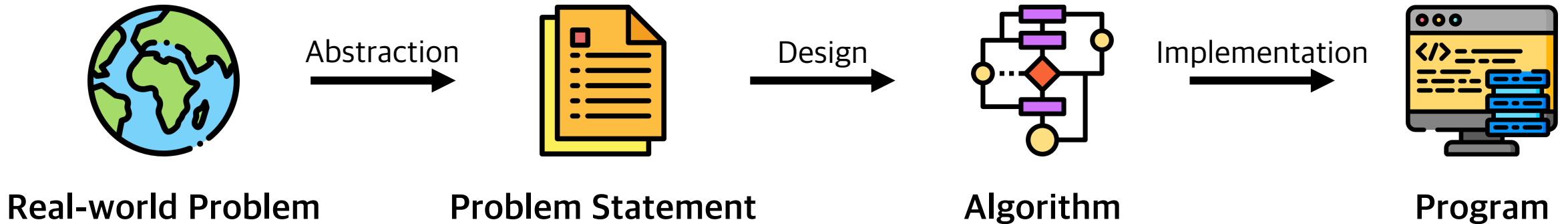


Face Recognition

# Problem Solving



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- Build an efficient program that can solve the problem:

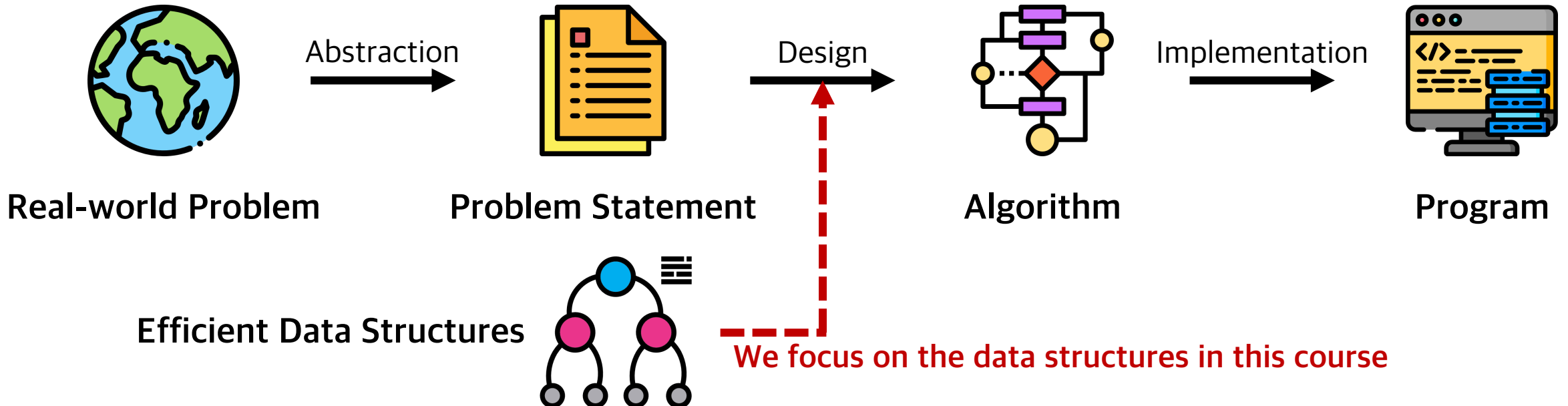




# Problem Solving



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# Problem Solving - Sub-sequential Sum



- **Problem:** calculate the sum between a-th and b-th integers (inclusive)

main.c

```
int main() {  
    int arr[5] = { 2, 1, 4, 3, 0 }, a, b;  
    scanf("%d %d\n", &a, &b);
```

]

**Data Structure (Array)**

```
    int sum = 0;  
    for (int i = a; i <= b; i++) {  
        sum += arr[i];  
    }  
    return 0;  
}
```

]

**Algorithm**

```
}
```

# Problem Solving - Sub-sequential Sum



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**Algorithm**

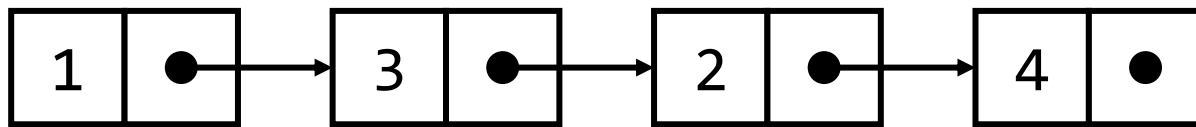
- **(Q)** Is this the best algorithm?
  - Scenario #1: Given multiple queries, how to efficiently calculate?
  - Scenario #2: If data modification is available, how to efficiently calculate?



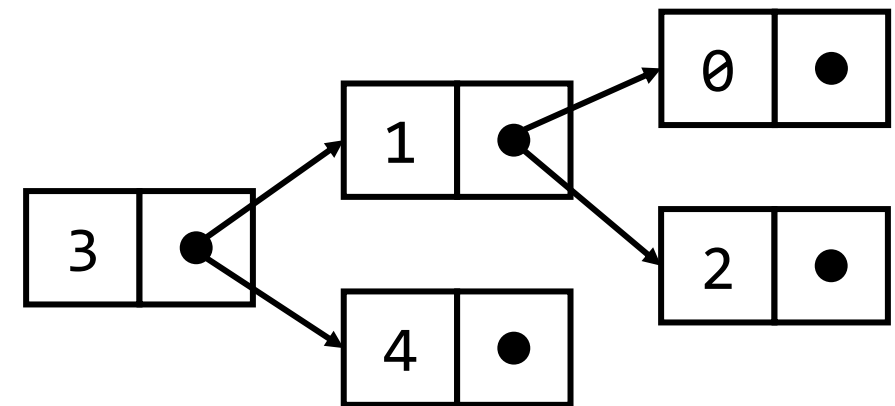
# Data Structures



- A data structure is designed for efficient operations on a specific data type
  - Operations: addition, deletion, search, sorting, ...
  - Example: find the maximum value among one million integers
- Types of data structures
  - Primitive types:** characters (`char`), integers (`int`), floating-point numbers (`float`)
  - Non-primitive types:**
    - **Linear:** arrays, linked lists, stacks, queues, ...
    - **Non-linear:** trees, graphs, ...



Linear Structure (Linked List)

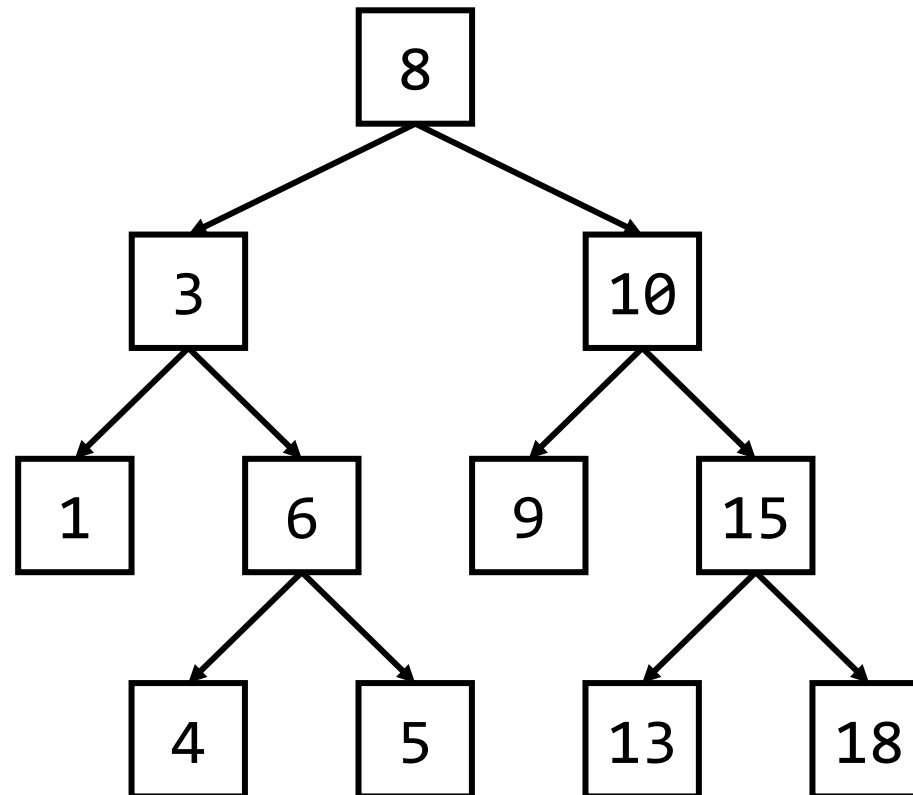


Non-linear Structure (Binary Tree)

# Data Structures - Binary Search Tree



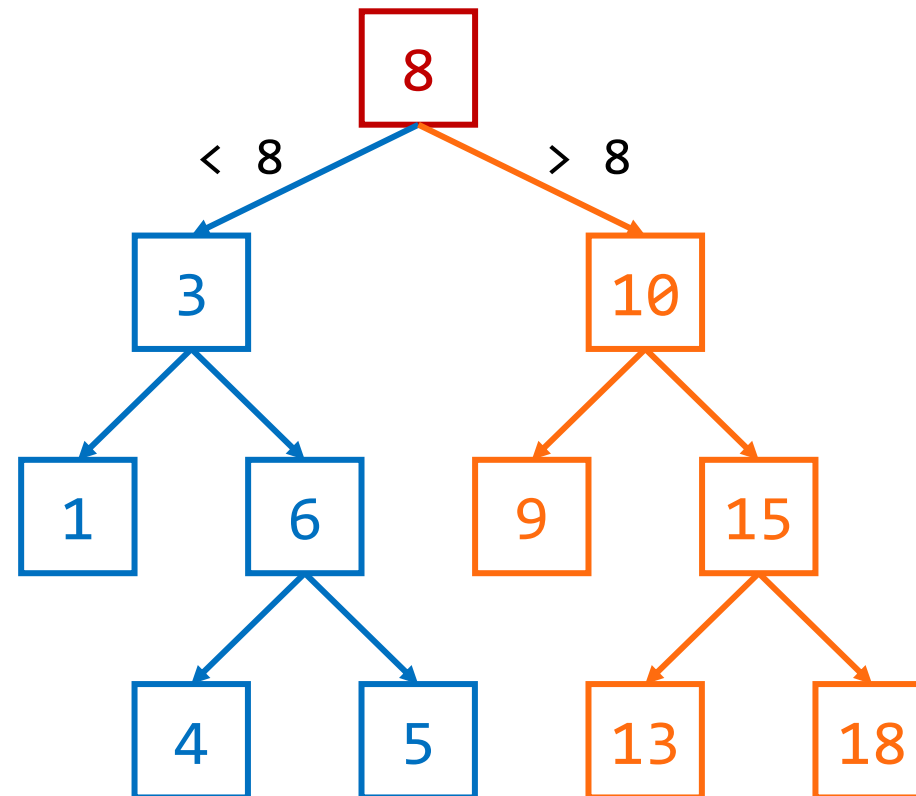
- Binary Search Tree (BST) is designed for efficient search
  - Property: left sub-tree < current node < right sub-tree



# Data Structures - Binary Search Tree



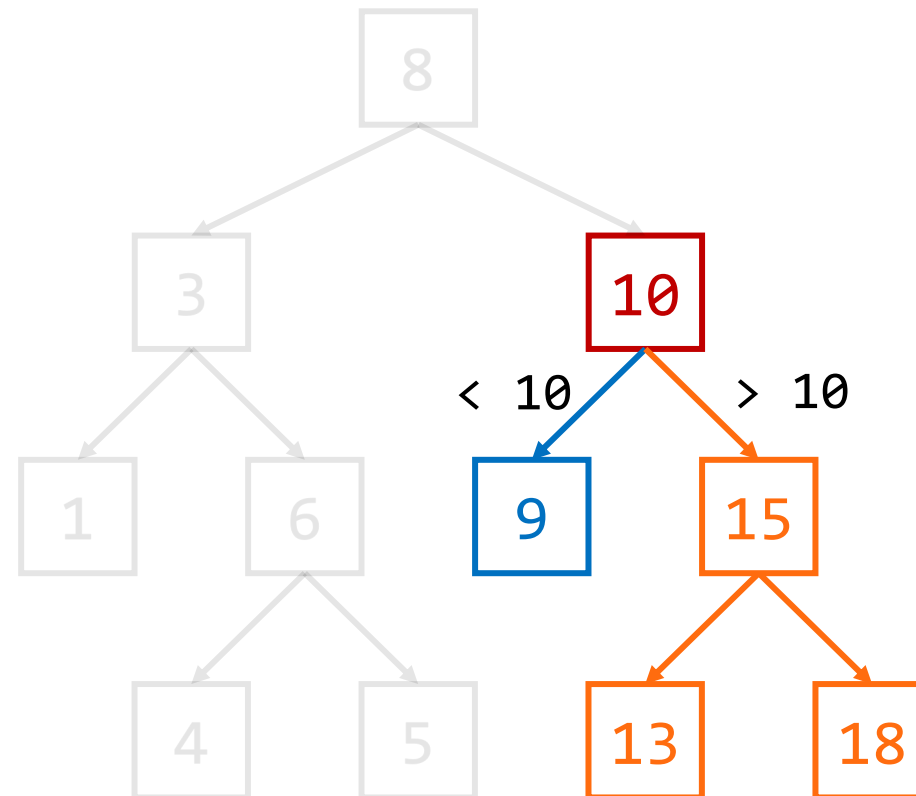
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# Data Structures - Binary Search Tree



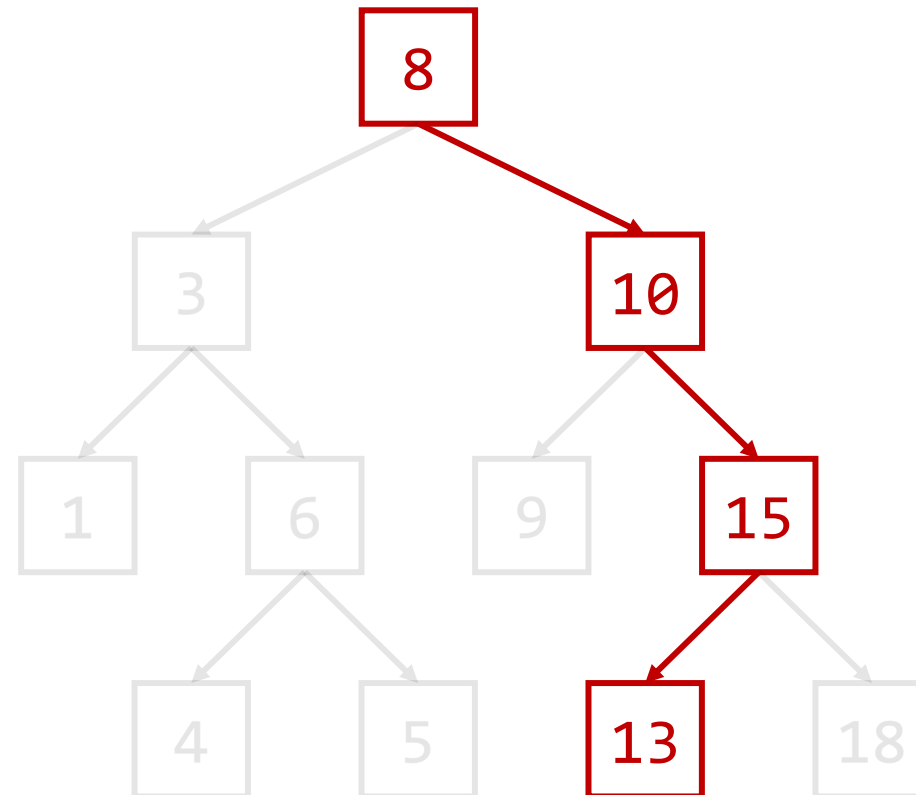
- Binary Search Tree (BST) is designed for efficient search
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# Data Structures - Binary Search Tree



- Binary Search Tree (BST) is designed for efficient search
  - Property: left sub-tree < current node < right sub-tree
  - You can search a specific value (e.g., 13) efficiently using the property



- **Algorithm:** a formally defined procedure for performing some calculation
  - It can be implemented using a programming language (e.g., C, Python, ...)
  - It provides a blueprint to write a program to solve a particular problem
  - A well-defined algorithm always provides an answer and is guaranteed to terminate

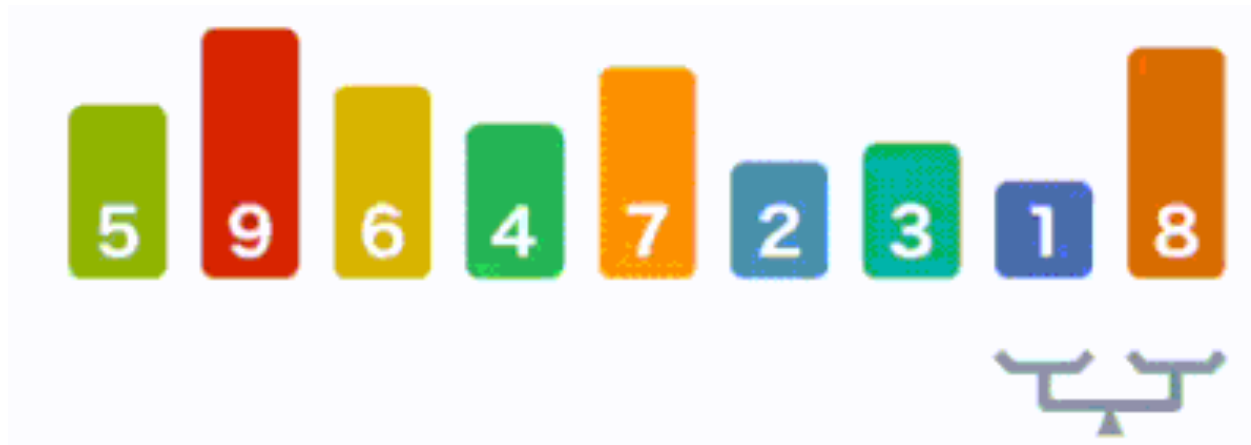
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- Well-known algorithms
  - **Sort:** Bubble Sort, Insertion Sort, Quick Sort, ...
  - **Search:** Binary Search, Depth-First Search (DFS), Breadth-First Search (BFS)
  - **Graph:** Matching, Shortest Path, Minimum Spanning Tree
  - **Dynamic Programming**



# Algorithms



- How to describe an algorithm?
  - Inputs → A set of formally-defined instructions → Outputs
  - Instructions can be written by a specific **programming language** or **pseudo code**
- An example: Bubble Sort
  - This first (i) compares adjacent elements and then (ii) swap them if reversed



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```
void bubble_sort(int *arr, int n) {  
    int i, j;  
    for (i = 0; i < n; i++) {  
        for (j = n-1; j > i; j--) {  
            if (arr[j-1] > arr[j])  
                swap(&arr[j-1], &arr[j]);  
        }  
    }  
}
```

C

- How to describe an algorithm?
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  - Instructions can be written by a specific **programming language** or **pseudo code**
- An example: Bubble Sort
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Pseudo Code

```
BubbleSort(arr[], n):  
1:  FOR i ← 0 to n-1  
2:    FOR j ← n-1 to i+1  
3:      IF arr[j-1] > arr[j]  
4:        swap arr[j-1] and arr[j]
```

- Pseudo code is **more readable and simpler**
- There is no ground rule for pseudo code syntax/style, so recommend to refer someone's one

# Algorithm Performance Analysis

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- How to evaluate performance of an algorithm?
  - **Correctness** - Whether the algorithm is accurate
  - **Efficiency** - How efficient the algorithm is

# Algorithm Performance Analysis

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- How to evaluate performance of an algorithm?
  - **Correctness** - Whether the algorithm is accurate
  - **Efficiency** - How efficient the algorithm is
- **Efficiency** is the important metric for comparison between algorithms
  - Time efficiency - How long does the algorithm take?
  - Memory efficiency - How much memory does the algorithm occupy?

# Algorithm Performance Analysis

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- How to evaluate performance of an algorithm?
  - **Correctness** - Whether the algorithm is accurate
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- **Efficiency** is the important metric for comparison between algorithms
  - Time efficiency - How long does the algorithm take?
  - Memory efficiency - How much memory does the algorithm occupy?
- **Complexity** is a **machine-independent** metric for efficiency comparison
  - Time complexity - **the number of machine instructions** used in the algorithm
  - Space complexity - **the number of primitive variables** used in the algorithm
  - They are expressed by input sizes (e.g., the number of integers,  $n$ )

# Asymptotic Notation



- Consider  $f(n) = n^2 + 10n + \log_{10} n$
- If  $n$  is large enough, which term is more important?

$n$	$n^2$	$10n$	$\log_{10} n$	$f(n)$
1	1	10	0	11
10	100	100	1	201
100	10000	1000	2	11002
1000	1000000	10000	3	1010003
10000	100000000	100000	4	100100004

- When  $n \rightarrow \infty$ , one can roughly say ...
  - $f(n) \approx n^2$
  - $g(n) = 100n$  is increasing slower than  $f(n)$
  - $g(n) = 2^n$  is increasing faster than  $f(n)$



# Asymptotic Notation

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- **Big-O notation**  $O(\cdot)$  - Asymptotic Upper Bound

$f(n) = O(g(n))$  if  $\exists c > 0, \exists n_0 \in \mathbb{N}$  such that  $f(n) \leq cg(n)$  for all  $n \geq n_0$   
 $f(n)$  is not increasing faster than  $g(n)$

- Examples

- $n^2 + 10n = O(n^2)$
- $n^2 + 10n = O(n^3)$
- $n^3 + n + 5 \neq O(n^2)$

- Exercises

- Prove  $n = O(2^n)$  and  $\log n = O(n)$
- Prove  $f(n) = O(h(n))$  when  $f(n) = O(g(n))$  and  $g(n) = O(h(n))$ .

# Asymptotic Notation

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- **Omega notation  $\Omega(\cdot)$  - Asymptotic Lower Bound**

$f(n) = \Omega(g(n))$  if  $\exists c > 0, \exists n_0 \in \mathbb{N}$  such that  $f(n) \geq cg(n)$  for all  $n \geq n_0$   
 $f(n)$  is not increasing slower than  $g(n)$

- **Examples**

- $n^2 + 10n = \Omega(n^2)$
- $n^2 + 10n = \Omega(n)$
- $n^3 + n + 5 \neq \Omega(n^4)$

- **Exercises**

- Prove  $n! = n \times (n-1) \times \dots \times 1 = \Omega(2^n)$
- Prove  $f(n) = \Omega(h(n))$  when  $f(n) = \Omega(g(n))$  and  $g(n) = \Omega(h(n))$ .

# Asymptotic Notation

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- **Theta notation  $\Theta(\cdot)$  - Asymptotic Tight Bound**

$$f(n) = \Theta(g(n)) \text{ if } f(n) = O(g(n)) \text{ and } f(n) = \Omega(g(n))$$

$f(n)$  is equally increasing to  $g(n)$

- **Examples**

- $n^2 + 10n = \Theta(n^2)$
- $n^3 + n + 5 = \Theta(n^3)$
- $n^3 + 2^n = \Theta(2^n)$

- **Exercises**

- Prove  $\log_2 n = \Theta(\log_3 n)$
- Prove  $2^n \neq \Theta(3^n)$

# Asymptotic Notation

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- Asymptotic notations
  - **Big-O notation**  $O(\cdot)$  - Asymptotic Upper Bound
  - **Omega notation**  $\Omega(\cdot)$  - Asymptotic Lower Bound
  - **Theta notation**  $\Theta(\cdot)$  - Asymptotic Tight Bound
- They allow us to calculate and compare time/space complexities easier
- **Big-O notation** (as tight as possible) is commonly used
  - This is because it is hard to compute the tight bound
  - Lower bound is often not informative for algorithm performance analysis
  - E.g., say  $n^2 + 10n = O(n^2)$  rather than  $n^2 + 10n = O(n^3)$

# Time Complexity



- Constant-time operations  $\rightarrow O(1)$ 
  - Arithmetic operations: addition, subtraction, multiplication, division, ...
  - Comparison: equality, inequality, ...
  - Variable declaration and assignments

```
void constant_operations() {  
    int a = 16, b = 2, c;  
    c = a/4 + b*2 + 3;  
    if (c > 10) c -= 10;  
}
```

c

# Time Complexity



- Linear-time complexity  $\rightarrow O(n)$

```
int sum(int n) {  
    int sum = 0;  
    for (int i = 0; i < n; i ++)  
        sum += i;  
    return sum;  
}
```

C

1. `[int sum = 0;]`  $\rightarrow O(1) \rightarrow c_1$  time complexity
2. `[int i = 0; i < n; i ++]`  $\rightarrow O(1) \rightarrow c_2$  time complexity
3. `[sum += i;]`  $\rightarrow O(1) \rightarrow c_3$  time complexity
4. [2] and [3] are repeated  $n$  times
  - Therefore, the total time complexity is  $(c_2 + c_3) \times n + c_1 = O(n)$

# Time Complexity - Exercise



- Exercise - Print n-by-n identity matrix

```
void print_identity_matrix(int n) {  
    int i, j;  
    for (i = 0; i < n; i++) {  
        for (j = 0; j < n; j++) {  
            if (i == j) printf("%d ", 1);  
            else printf("%d ", 0);  
        }  
        printf("\n");  
    }  
}
```

C

n = 4

1	0	0	0
0	1	0	0
0	0	1	0
0	0	0	1



# Time Complexity - Exercise



- Exercise - Compute the bit length of an integer  $n$

```
int bit_length(int n) {  
    int length = 0;  
    while (n > 0) {  
        length += 1  
        n /= 2;  
    }  
    return length;  
}
```

n	bits	bit_length(n)
4	= 100	3
5	= 101	3
10	= 1010	4
127	= 1111111	7

- When compute all bit lengths from 1 to  $N$ , what is the time complexity?

```
for (int i = 1; i <= N; i ++)  
    printf("%d\n", bit_length(i));
```

# Space Complexity



- One primitive variable  $\rightarrow$  1~8 bytes (`char`, `int`, `double`, ...)  $\rightarrow O(1)$
- An array of  $n$  variables  $\rightarrow O(n)$

```
int fibonacci_sum(int n) {  
    int i, sum = 0, *arr;  
    arr = malloc(sizeof(int)*n);  
    arr[0] = 1; arr[1] = 1;  
    for (i = 2; i < n; i++) arr[i] = arr[i-1] + arr[i-2];  
    for (i = 0; i < n; i++) sum += arr[i];  
    free(arr);  
    return sum;  
}
```

C

```
n = 7  
1 1 2 3 5 8 13  
fibonacci_sum(n) = 33
```

- This uses  $12 + 4n = O(n)$  bytes
- **(Q)** Can we reduce the memory usage to  $O(1)$  bytes?

# Space Complexity



- One primitive variable  $\rightarrow O(1)$
- An array of  $n$  variables  $\rightarrow O(n)$

```
int fibonacci_sum(int n) {  
    int i, sum = 2, arr[3] = { 1, 1, 0 };  
    for (i = 2; i < n; i++) {  
        arr[i%3] = arr[(i+1)%3] + arr[(i+2)%3];  
        sum += arr[i%3];  
    }  
    return sum;  
}
```

C

```
n = 7  
1 1 2 3 5 8 13  
fibonacci_sum(n) = 33
```

- This uses only  $18 = O(1)$  bytes!

# Algorithm Comparison



- Which algorithm is better?
  - **Average-case** - The expected complexity when the input is randomly drawn
  - **Worst-case** - The complexity w.r.t. the worst-possible case of the input instance

Sort Algorithm	Time Complexity (Average)	Time Complexity (Worst)	Space Complexity (without input array)
Insertion Sort	$O(n^2)$	$O(n^2)$	$O(1)$
Bubble Sort	$O(n^2)$	$O(n^2)$	$O(1)$
Quick Sort	$O(n \log n)$	$O(n^2)$	$O(\log n)$
Merge Sort	$O(n \log n)$	$O(n \log n)$	$O(n)$

- **Note.** Running time requirements are more critical than memory requirements

# Any Questions?

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