



[SWE2015-41] Introduction to Data Structures (자료구조개론)

Sorting Algorithms

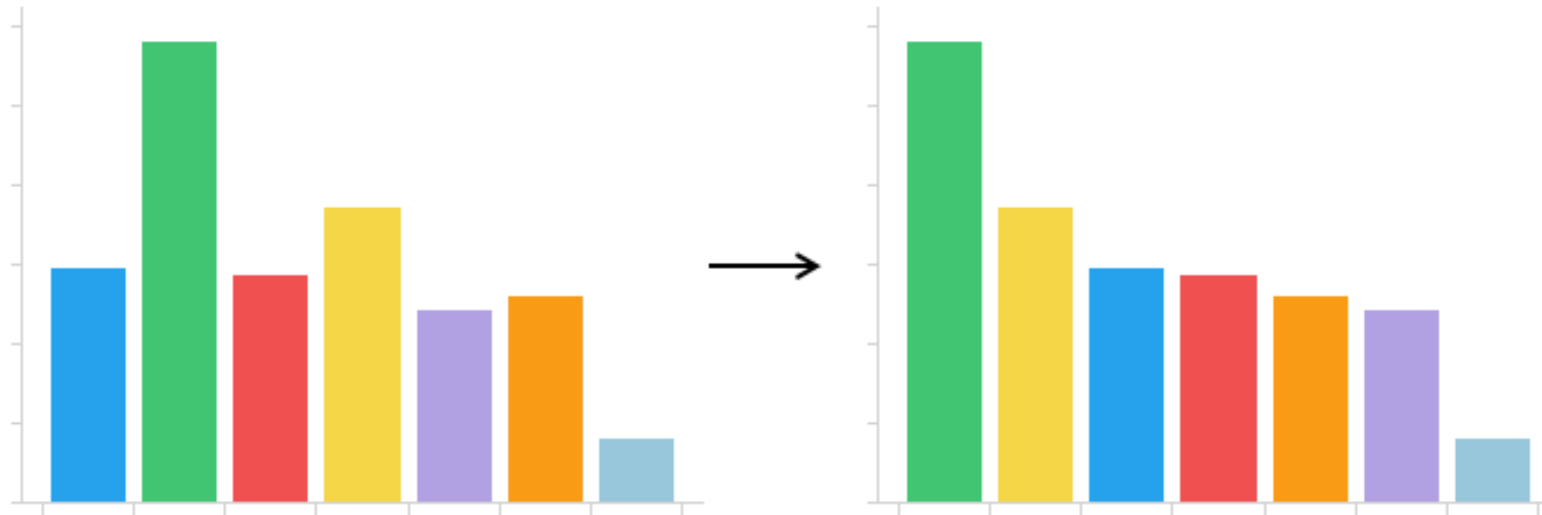
Department of Computer Science and Engineering

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What is the Sorting Algorithm?



- A **sorting algorithm** aims to **arrange a set of items in a specific order**
 - The most frequently used orders are numerical and lexicographical orders
 - Numerical order: 1, 2, 3, 4, ... / lexicographical order: a, aa, ab, b, c, ...
 - Ascending: 1, 2, 3, 4, ..., 9, 10 / descending: 10, 9, 8, 7, ..., 2, 1
- The output is a permutation of the input items



Why is Sorting Important?



- **Efficient sorting** is important for optimizing efficiency of other algorithms
 - E.g., the Kruskal's algorithm requires the sorted list of edges
 - Its complexity is $O(|E| \log |E|)$, which is the sorting complexity of the edge set E
 - Other examples: search, merge, matching, ...
 - Sorting is useful for canonicalizing data and for producing human-readable outputs
- No best algorithm for all situations
 - Efficiency depends on the initial ordering and/or the number of items
 - E.g., insertion sort is useful if the items are already mostly sorted
- The analysis of sorting algorithms is good for understanding algorithms
 - E.g., time complexity analysis, algorithm design, ...

Sorting Algorithms



- There exists a lot of sorting algorithms
 - Comparison sorting algorithms:
 - Examples: Selection sort, Bubble sort, Insertion sort, Quick sort, ...
 - Any comparison sort cannot perform better than $O(N \log N)$
 - Non-comparison sorting algorithms:
 - Examples: Radix sort, Bucket sort, Counting sort
 - They are not limited to $O(N \log N)$, but require some assumptions, e.g., digit/dictionary size, ...
- In this lecture, we will learn the following **comparison-based algorithms**:
 - Simple $O(N^2)$ algorithms: Selection Sort, Bubble Sort, Insertion Sort
 - Efficient $O(N \log N)$ algorithms: Heap Sort, Quick Sort, Merge Sort
 - **Note**. For simplicity, we focus on sorting integers in the increasing order

Selection Sort



- **Key Idea: Select** the smallest item from the unsorted part
- At the i -th iteration ($i = 0, 1, \dots, N-1$),
 1. Divide the list of items $A[]$ into two parts: $A[0, \dots, i-1]$ and $A[i, \dots, N-1]$
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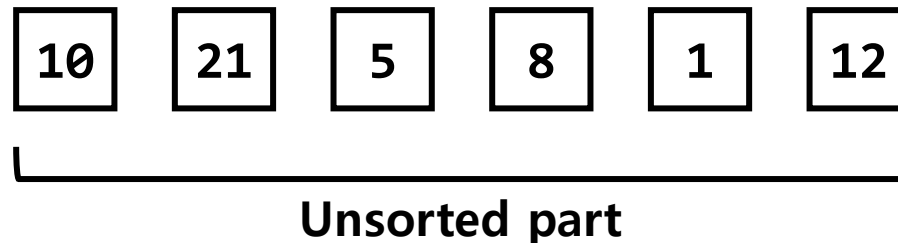


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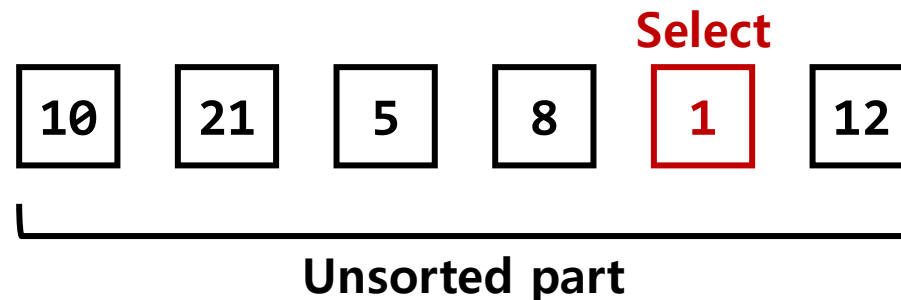


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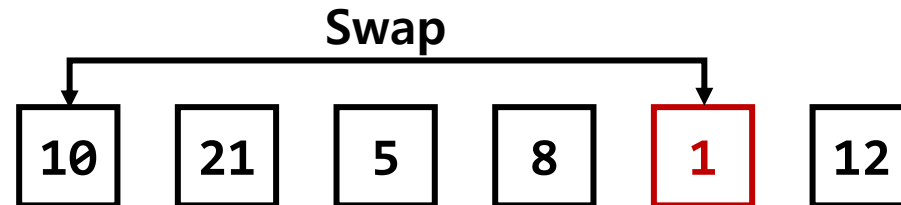


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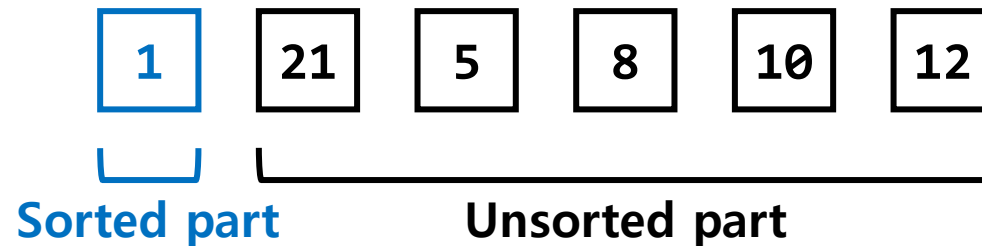


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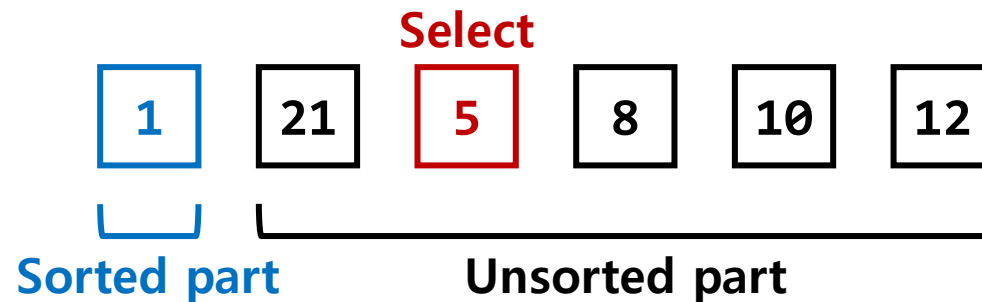


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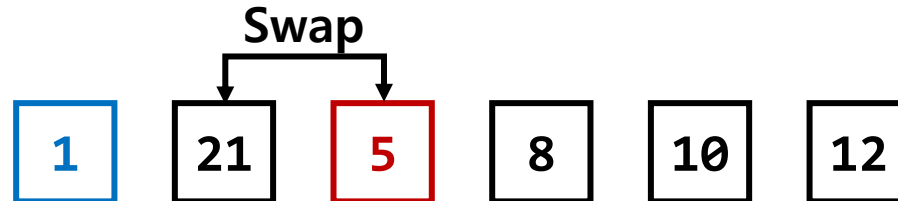


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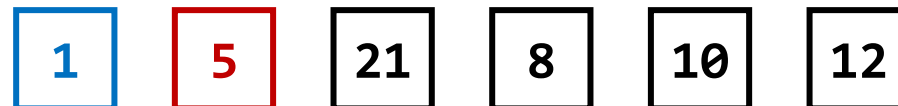


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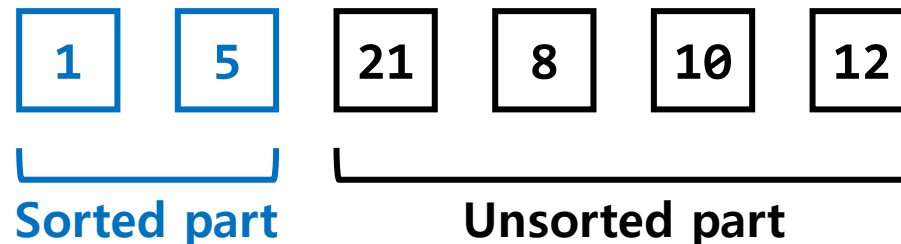


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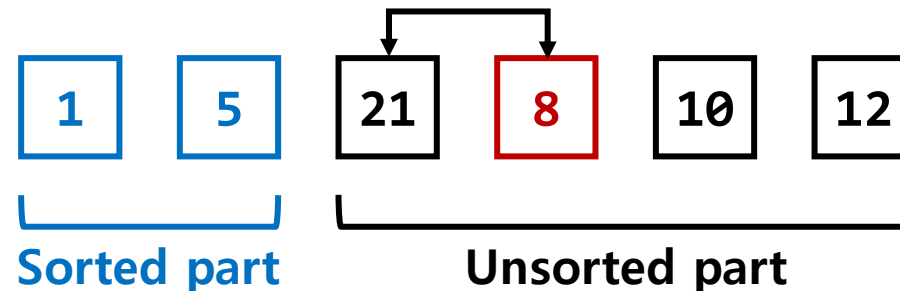


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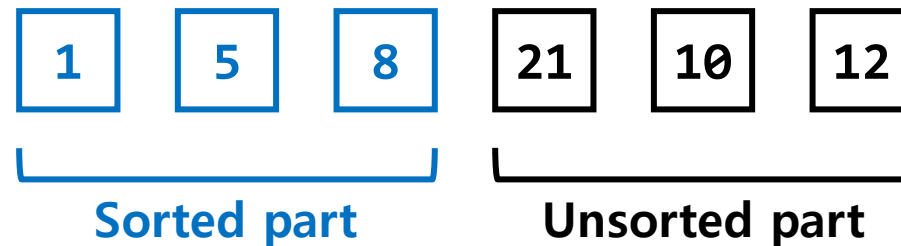


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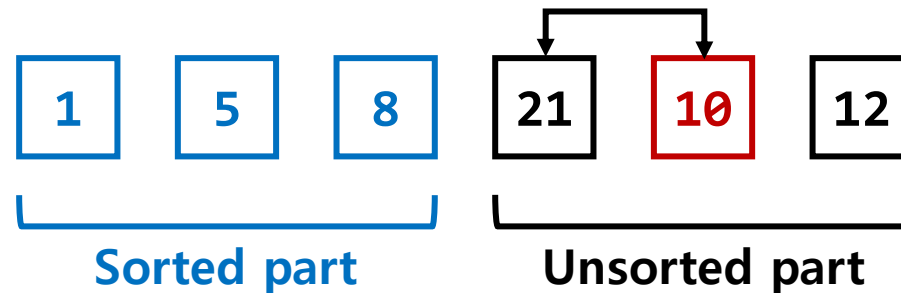


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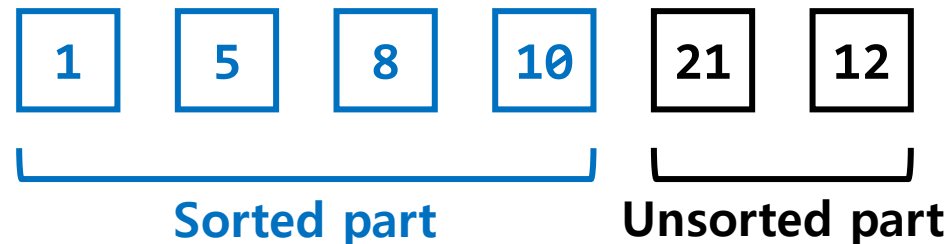


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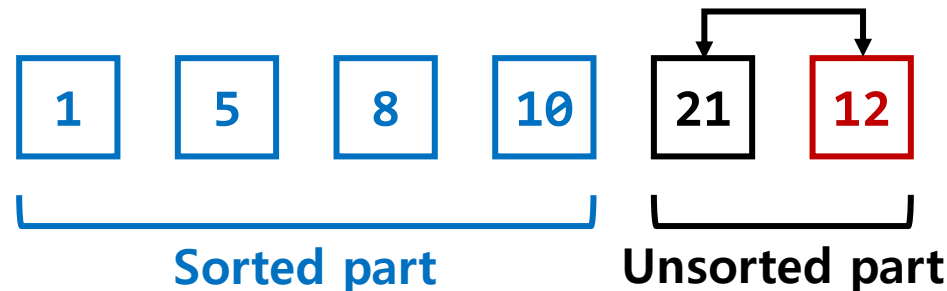


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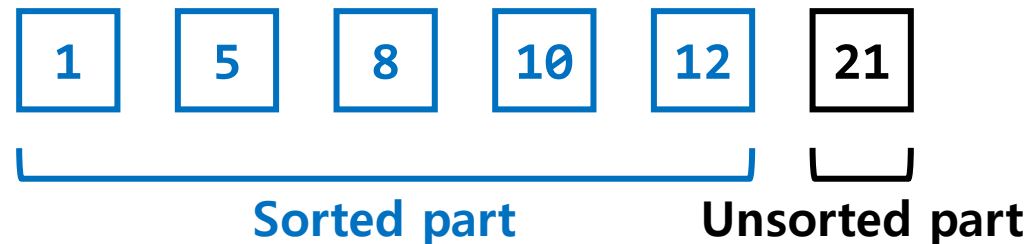


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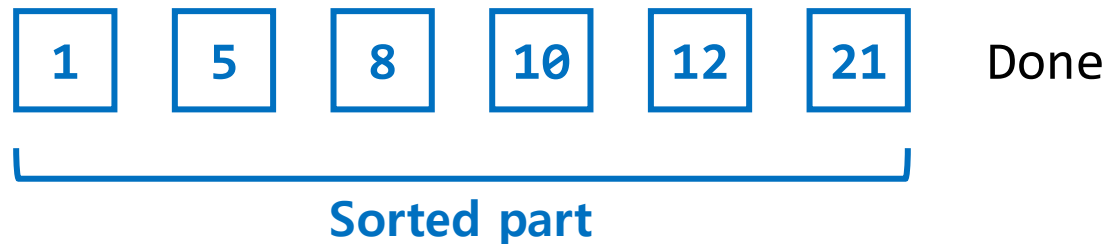
$i=5$ iteration



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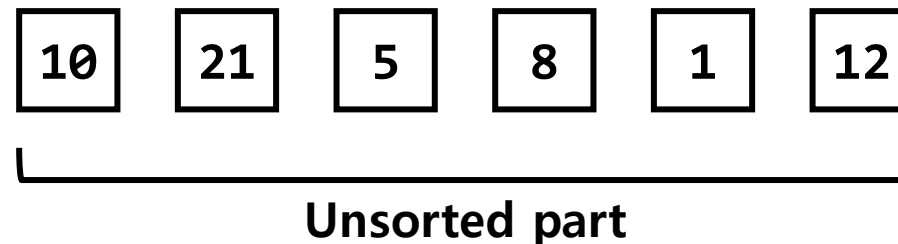
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- **Algorithm analysis**
 - Time complexity = the number of comparisons = $(N-1) + (N-2) + \dots = O(N^2)$
 - $O(N^2)$ even if the list is already sorted
 - Space complexity = the number of additionally required variables = $O(1)$

Bubble Sort



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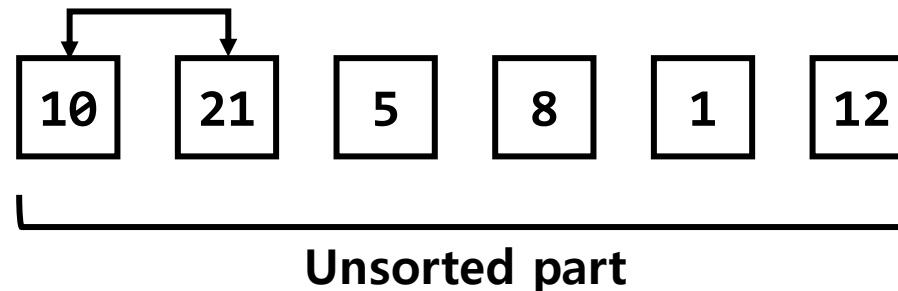


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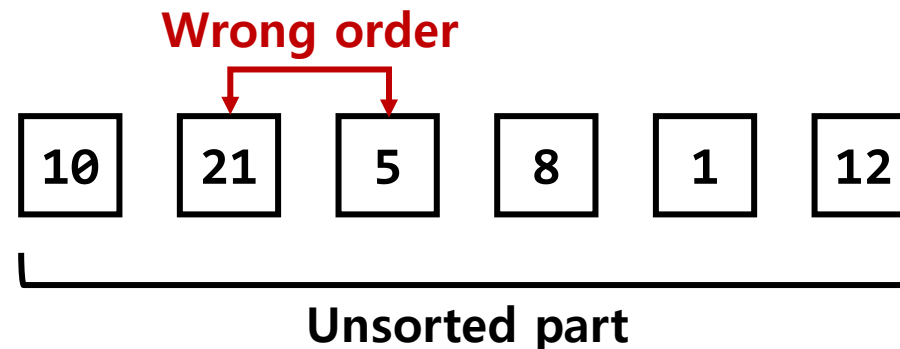


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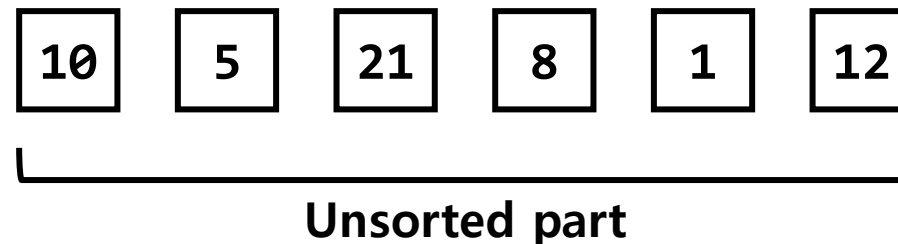


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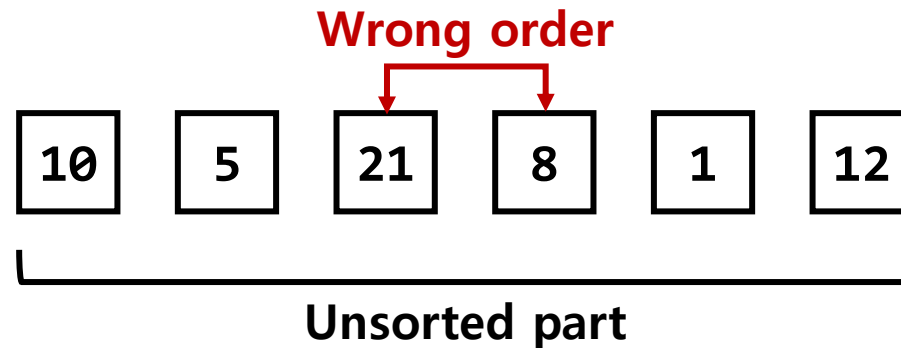


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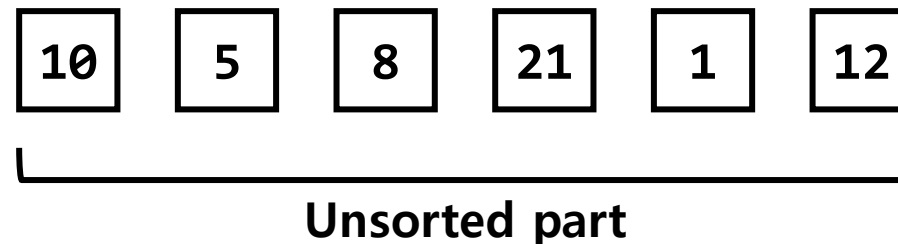


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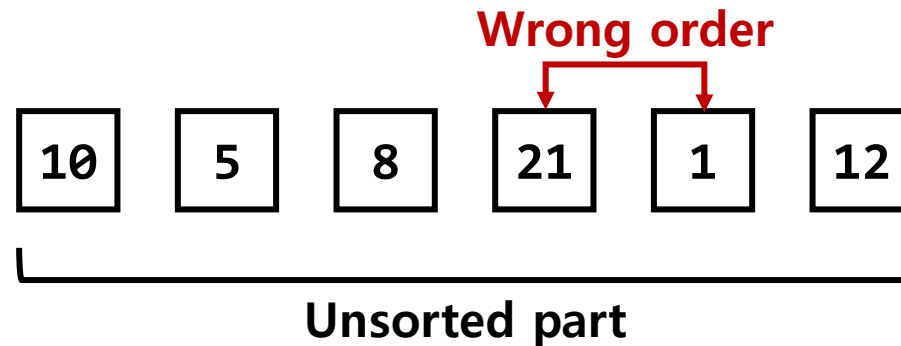


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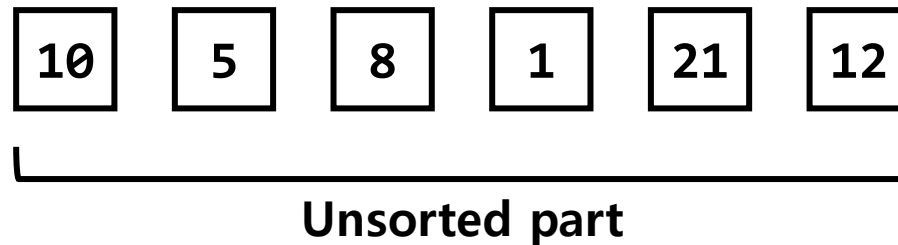


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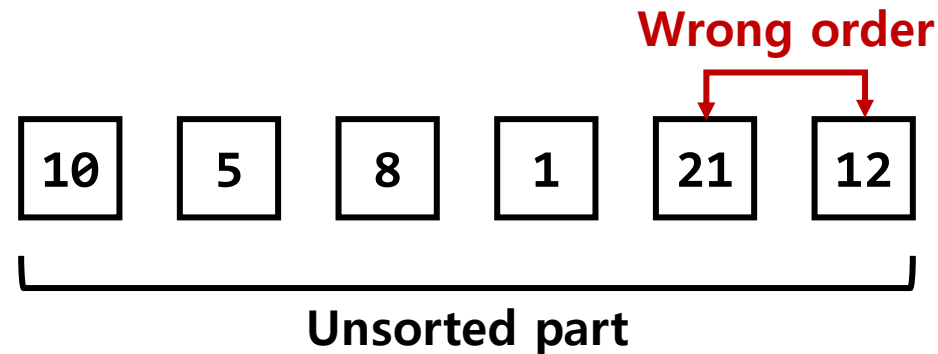


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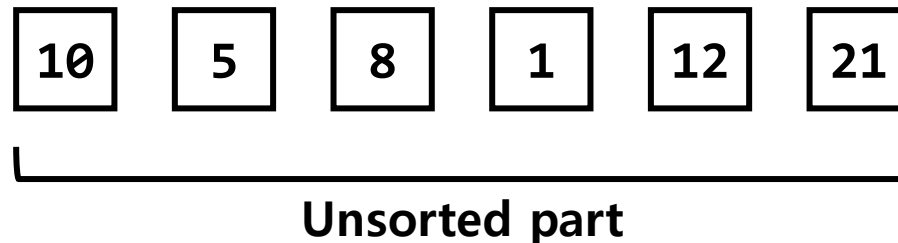


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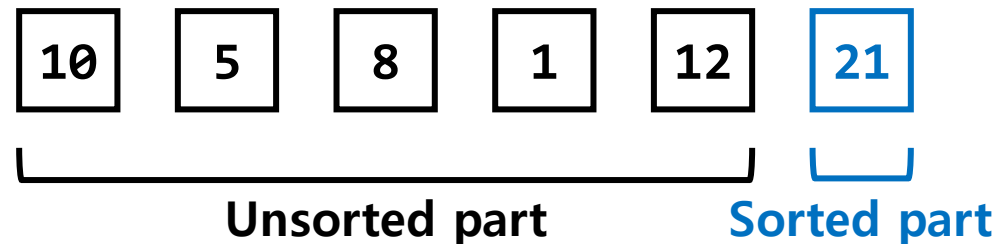


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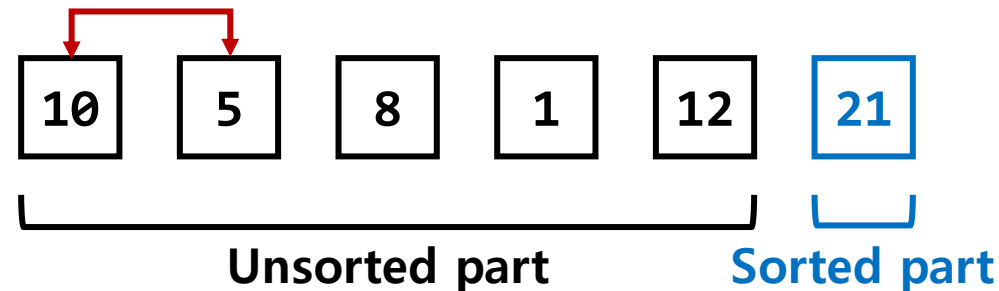


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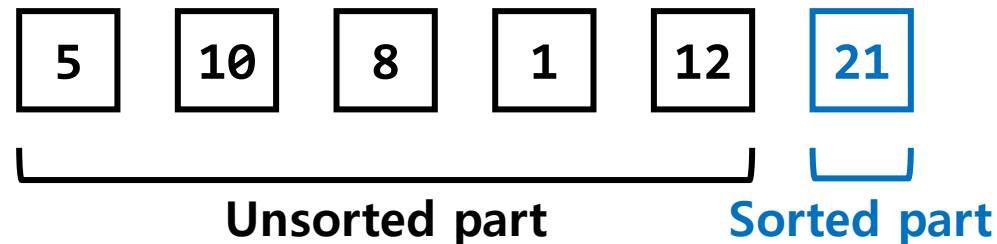


Bubble Sort



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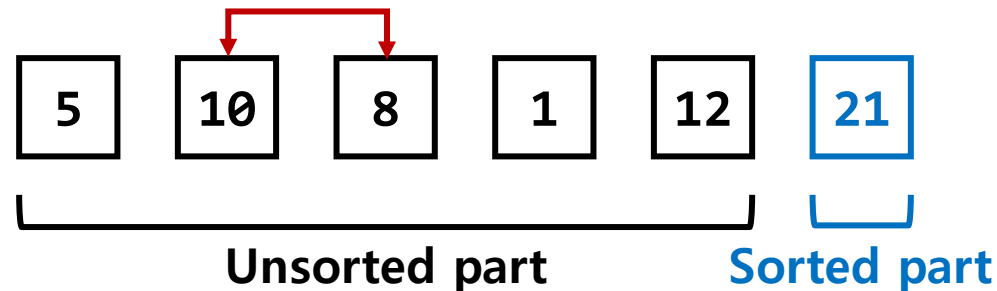


Bubble Sort



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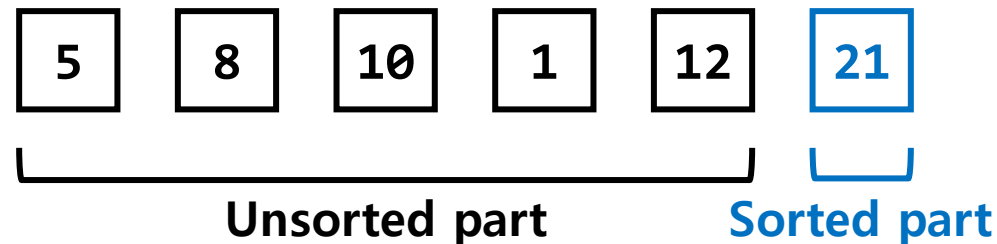


Bubble Sort



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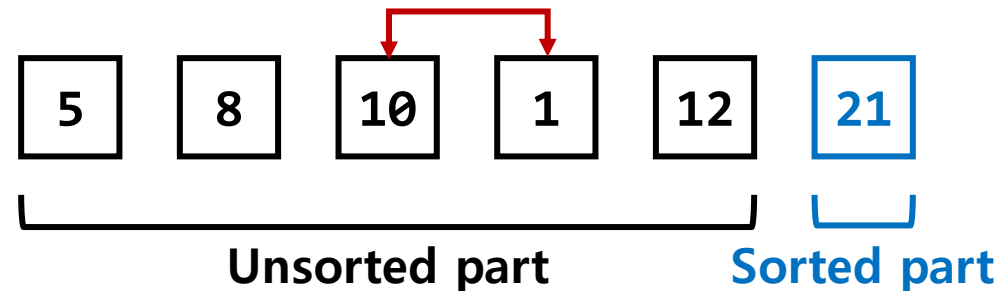


Bubble Sort



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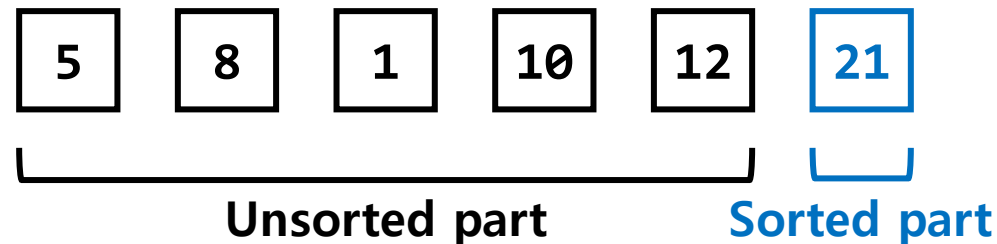


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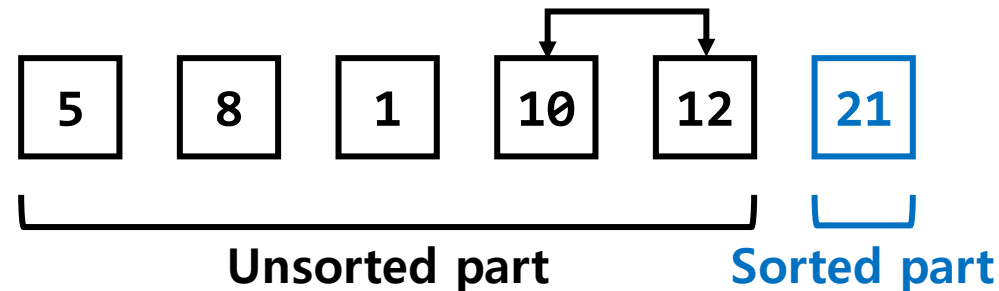


Bubble Sort



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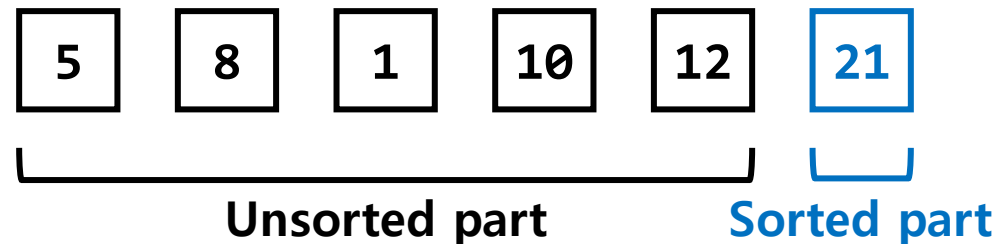


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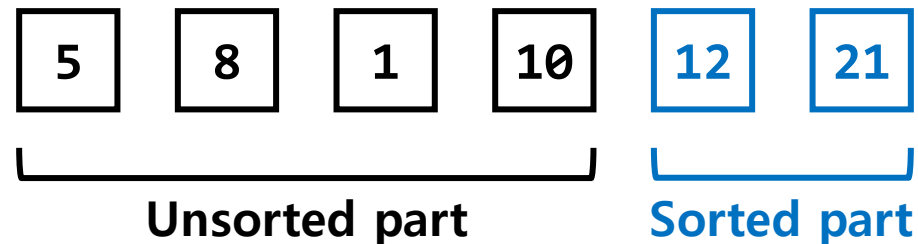


Bubble Sort



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$i=2$ iteration

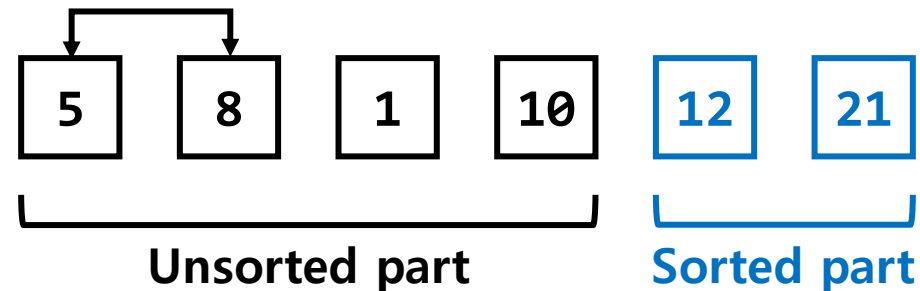


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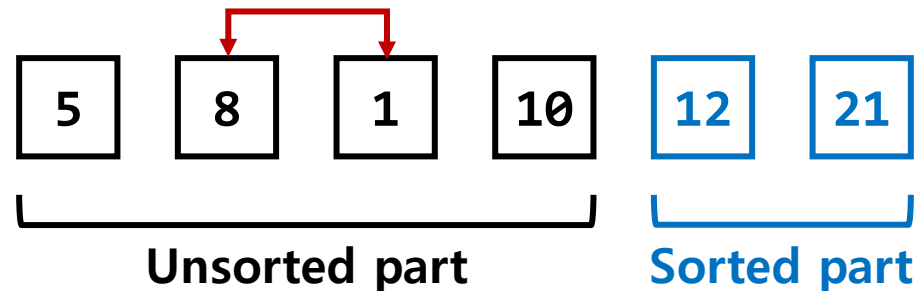


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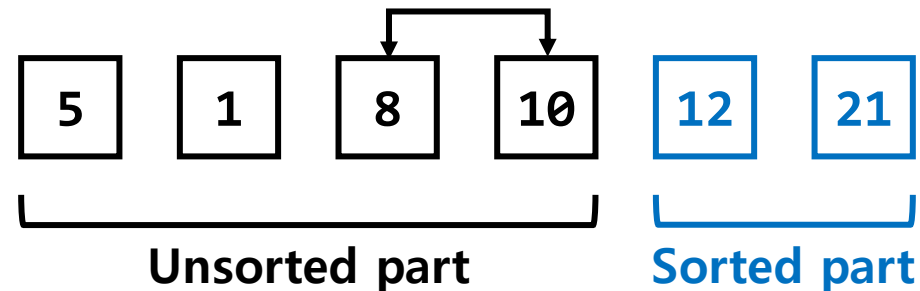


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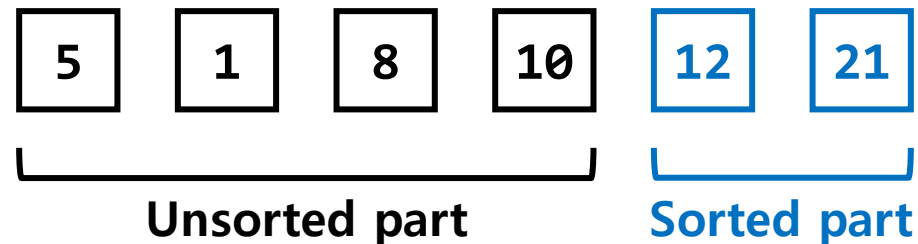


Bubble Sort



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$i=2$ iteration

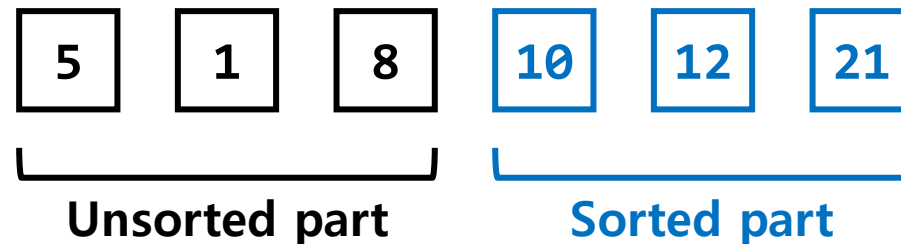


Bubble Sort



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$i=3$ iteration

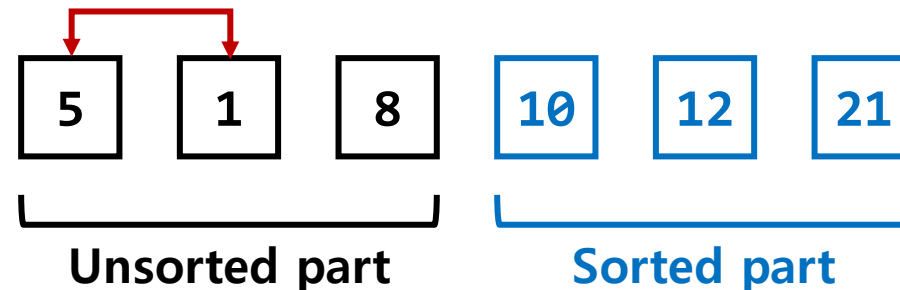


Bubble Sort



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$i=3$ iteration

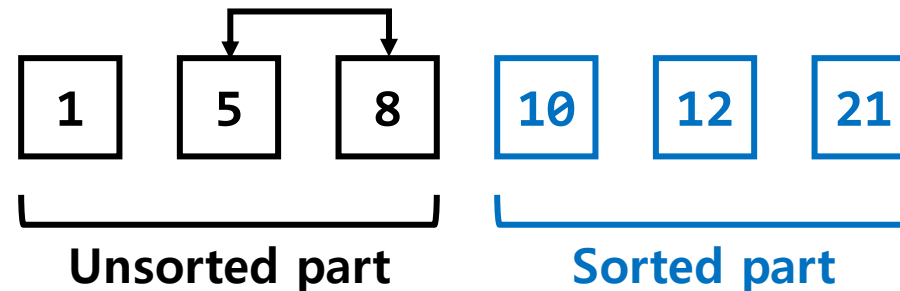


Bubble Sort



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$i=3$ iteration

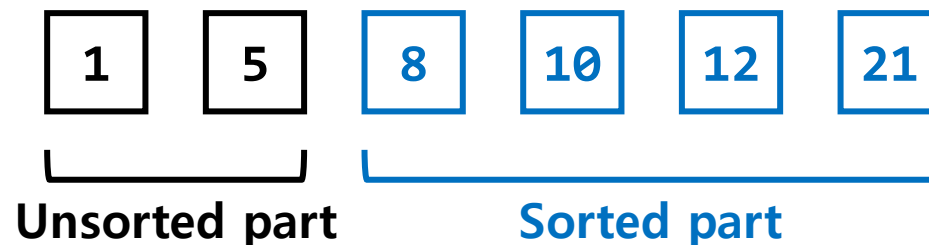


Bubble Sort



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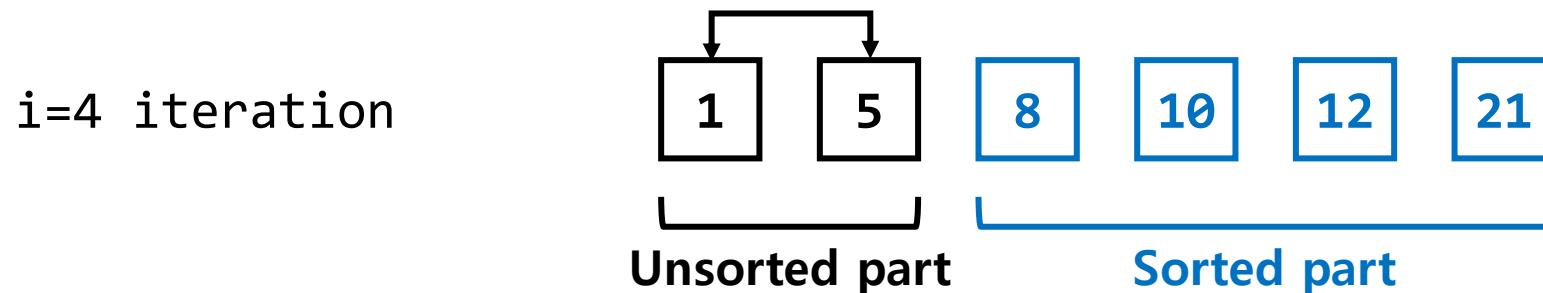
$i=4$ iteration



Bubble Sort



- **Key Idea: Swap adjacent items** if they are in the wrong order
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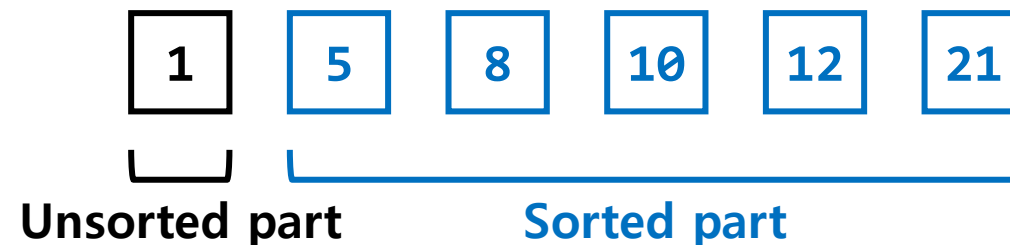


Bubble Sort



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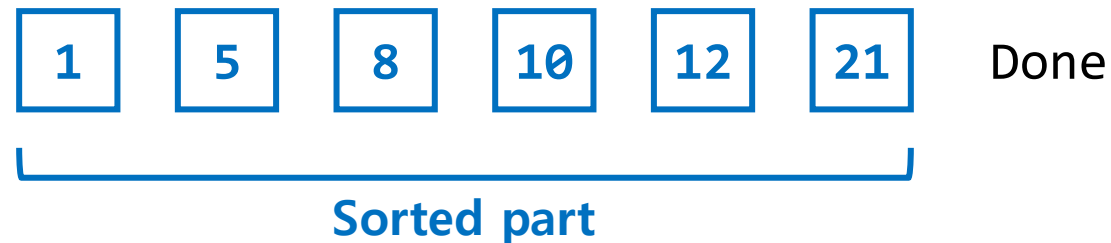
$i=5$ iteration



Bubble Sort



- **Key Idea: Swap adjacent items** if they are in the wrong order
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Bubble Sort



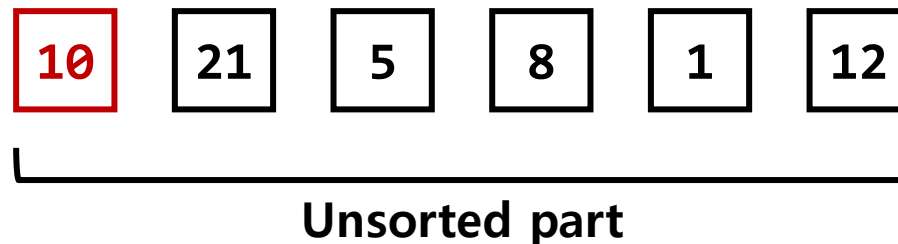
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- **Algorithm analysis**
 - Time complexity = the number of comparisons = $(N-1) + (N-2) + \dots = O(N^2)$
 - $O(N^2)$ even if the list is already sorted
 - Space complexity = the number of additionally required variables = $O(1)$

Insertion Sort



- **Key Idea:** **Insert** i -th item at the correct position
- At the i -th iteration ($i = 0, 1, \dots, N-1$),
 1. Divide the list of items $A[]$ into two parts: $A[0, \dots, i-1]$ and $A[i, \dots, N-1]$
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$i=0$ iteration

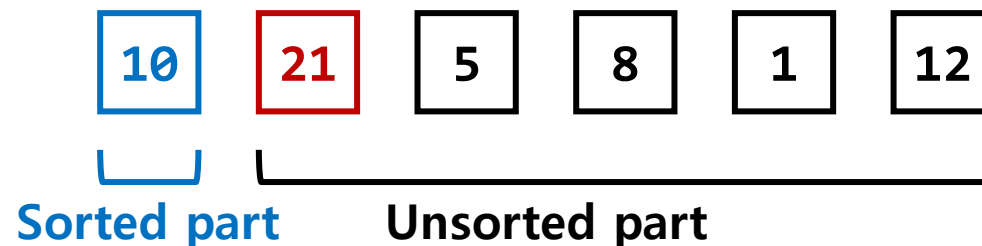


Insertion Sort



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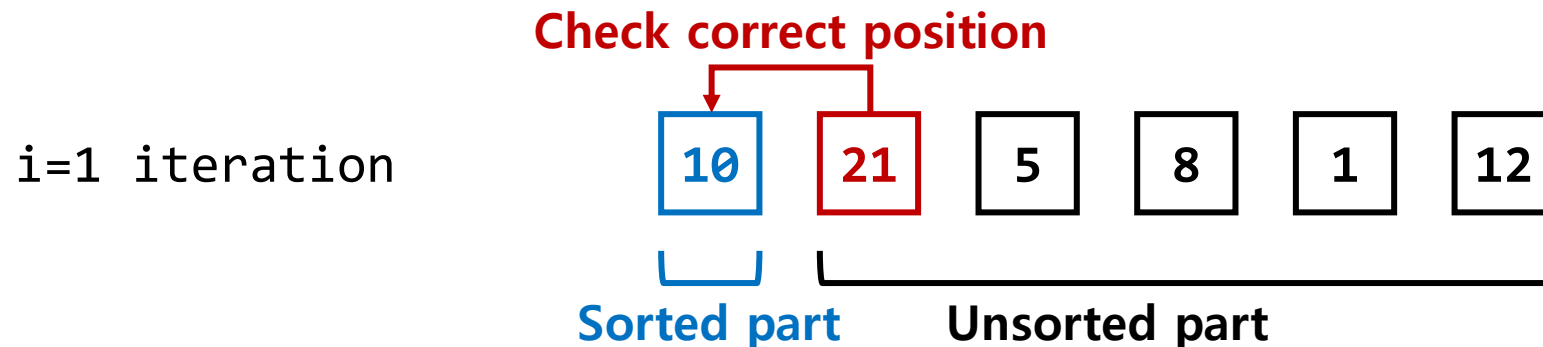
$i=1$ iteration



Insertion Sort



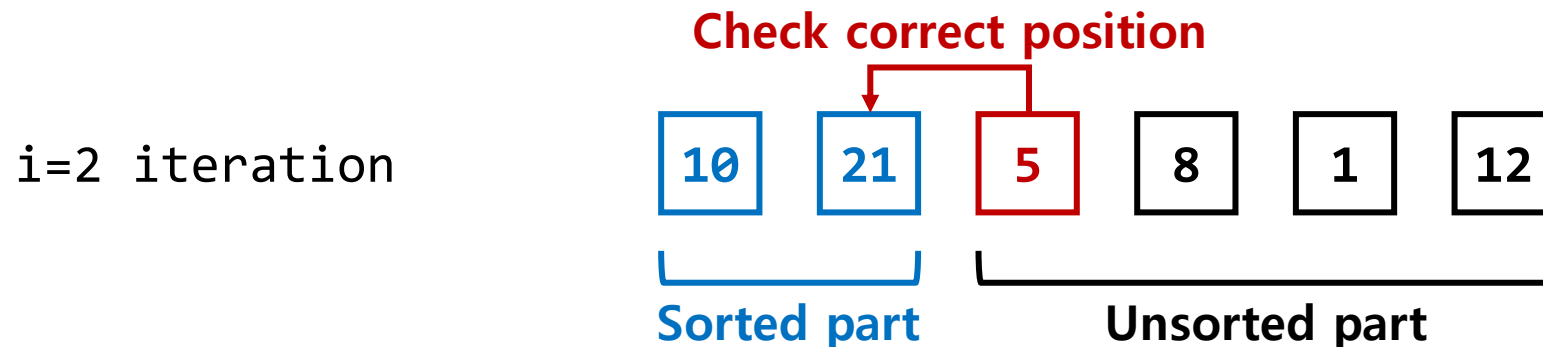
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Insertion Sort



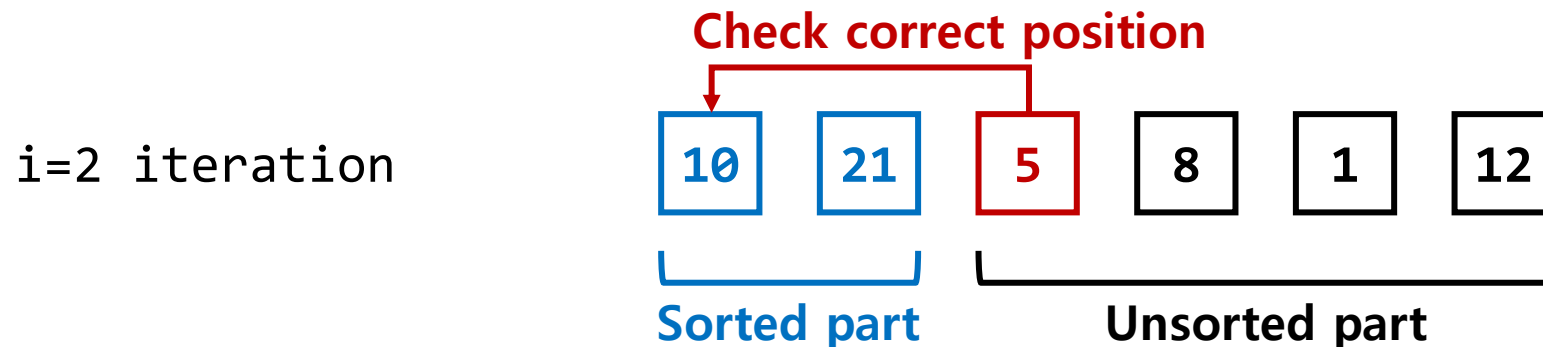
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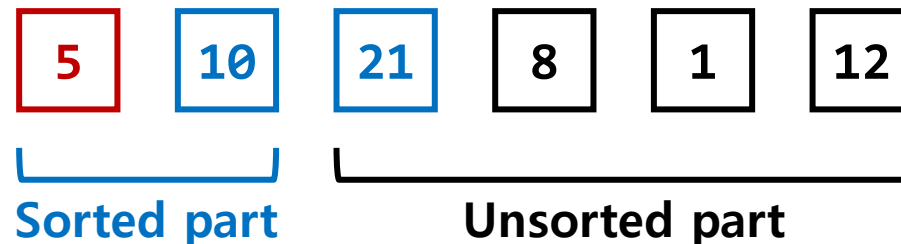


Insertion Sort



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$i=2$ iteration

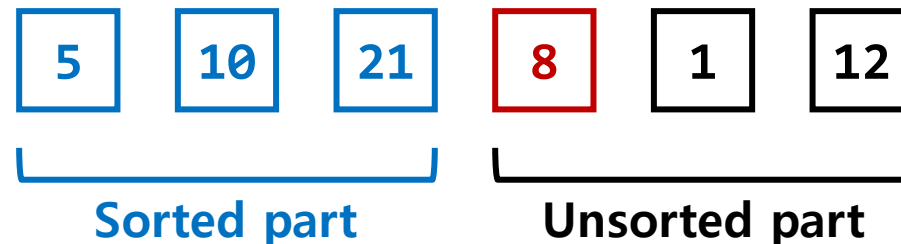


Insertion Sort



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$i=3$ iteration

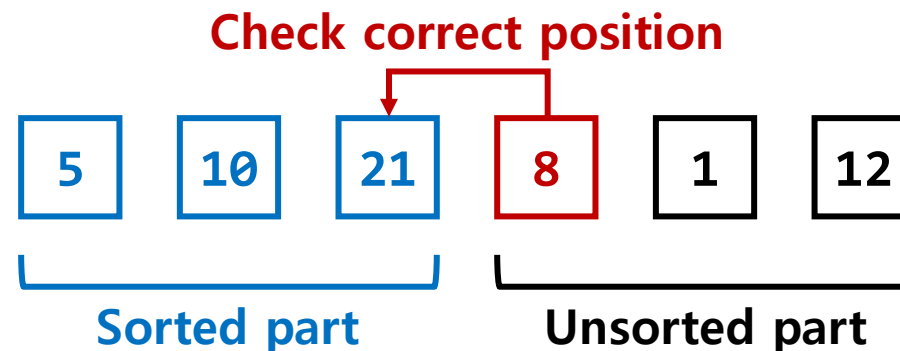


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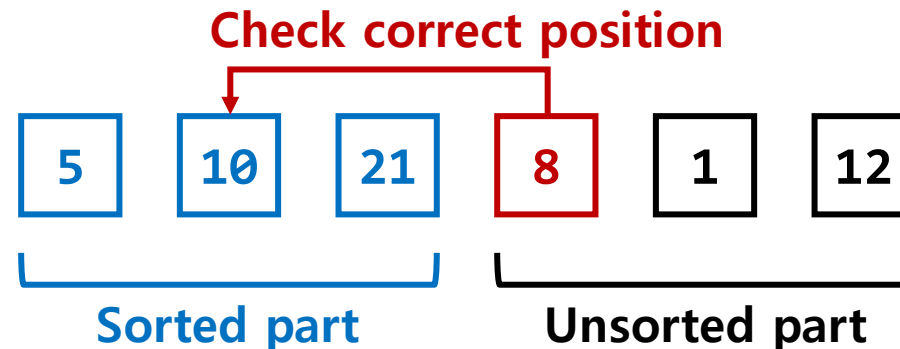


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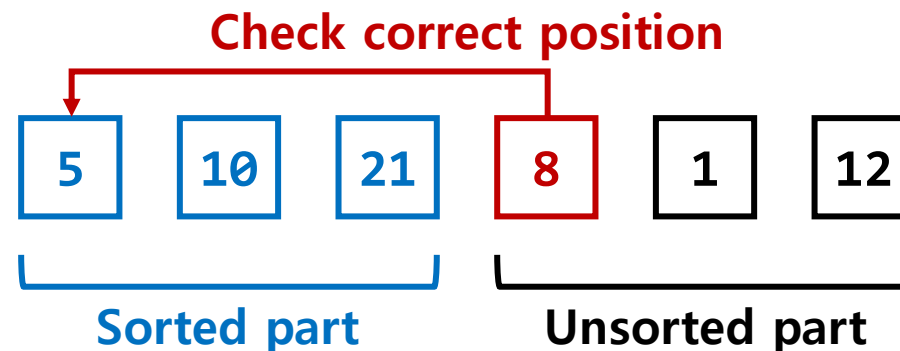


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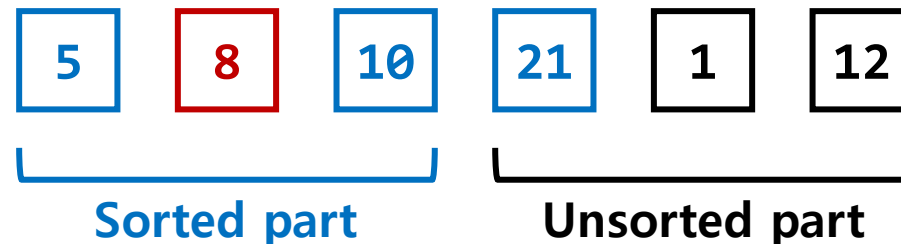


Insertion Sort



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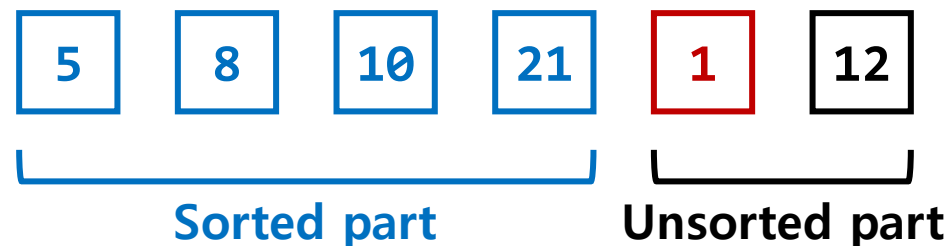


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$i=4$ iteration

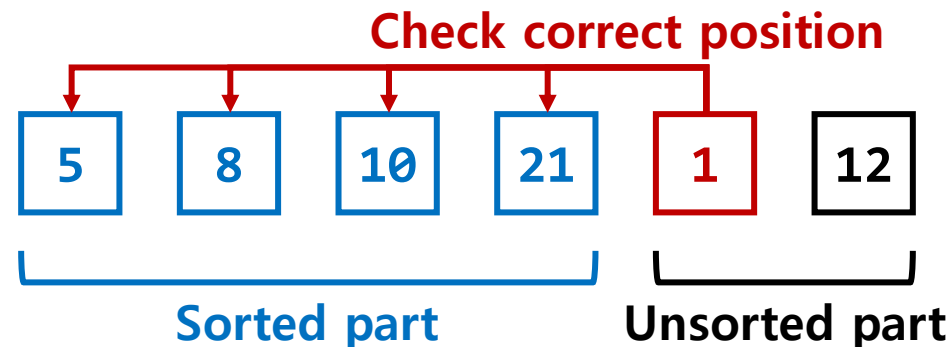


Insertion Sort



- **Key Idea:** **Insert** i -th item at the correct position
- At the i -th iteration ($i = 0, 1, \dots, N-1$),
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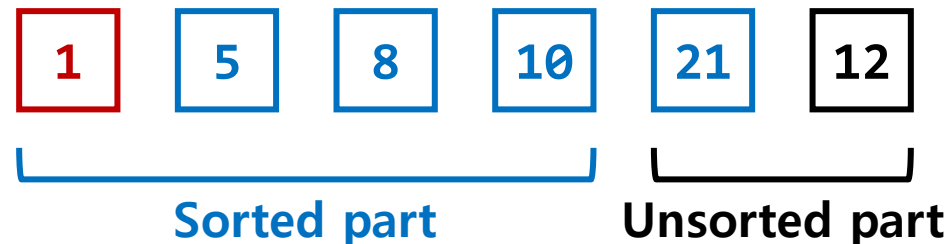


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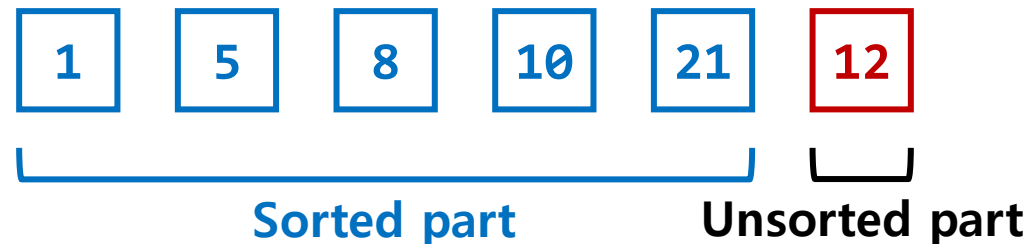


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$i=5$ iteration

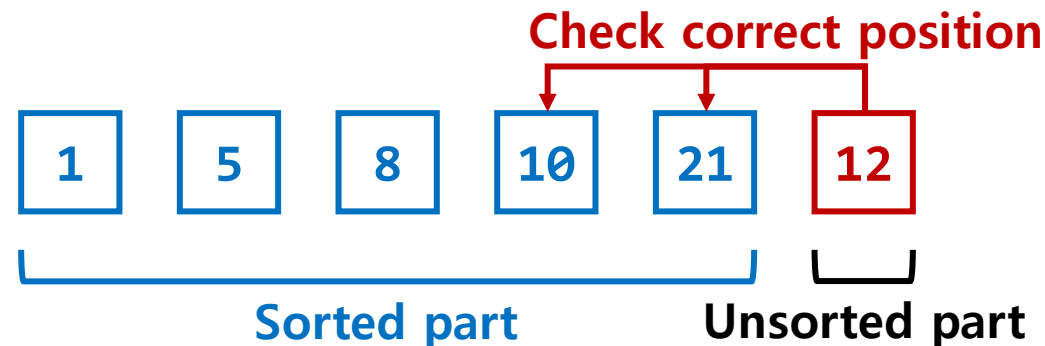


Insertion Sort



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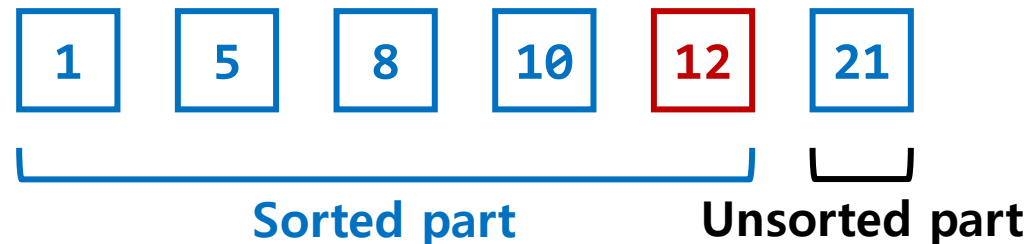


Insertion Sort



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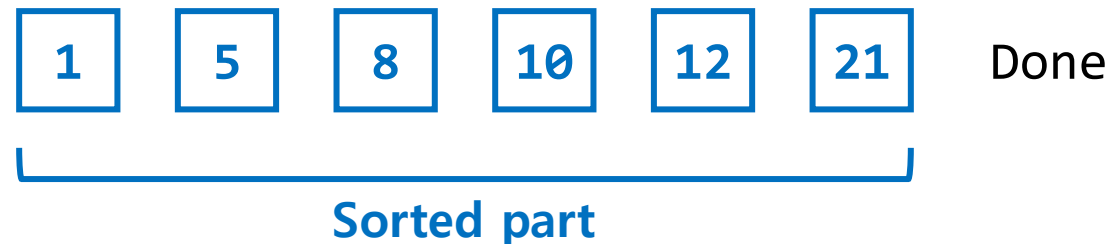
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Insertion Sort



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Insertion Sort

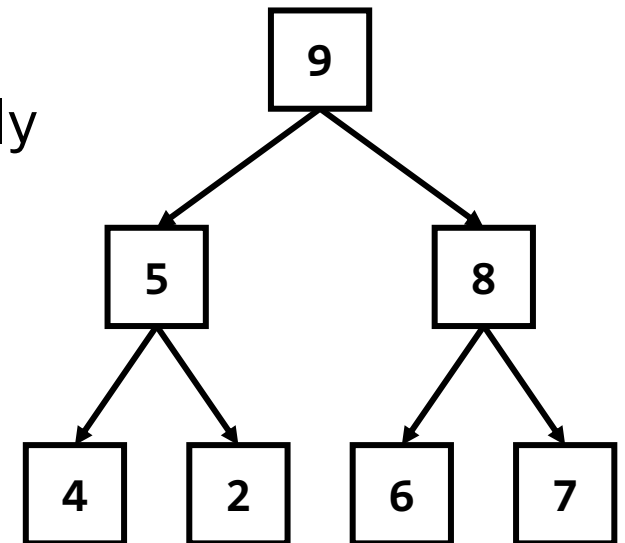


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 2. **Insert i -th item $A[i]$** at the correct position in $A[0, \dots, i]$
- **Algorithm analysis**
 - Time complexity = the number of comparisons = $(N-1) + (N-2) + \dots = O(N^2)$
 - $O(N)$ if the list is already sorted
 - It is efficient when the items are already mostly sorted
 - Space complexity = the number of additionally required variables = $O(1)$

Heap Sort



- **Heap** is a complete binary tree satisfying ...
 - Each node has its own **priority** (like key in BSTs)
 - Any node has a higher priority than its children:
$$\text{priority}(\text{parent}) \geq \text{priority}(\text{child})$$
- Why **heap** structure is useful for sorting?
 - Its root node has the highest priority
 - → You can select the minimum (or maximum) item efficiently
 - It is implemented by an array structure
 - → You can directly use the array of input items

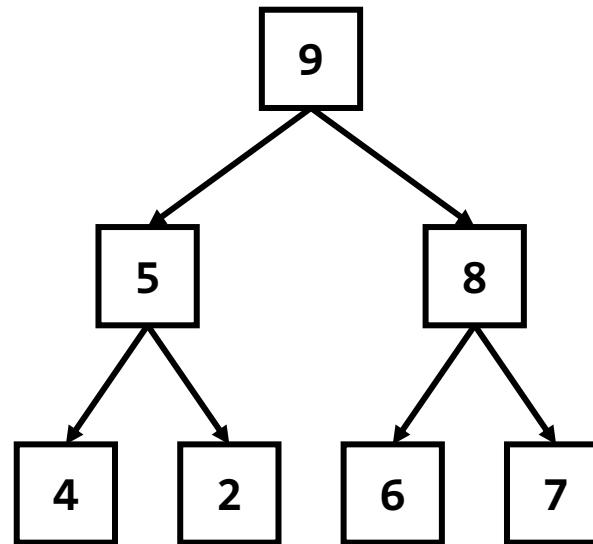


Heap Sort



- How to use **heap** structure for sorting?
 - Construct **MaxHeap** using all items
 - For each iteration, delete the root item and put it to the last position

Heap Structure



Array Representation

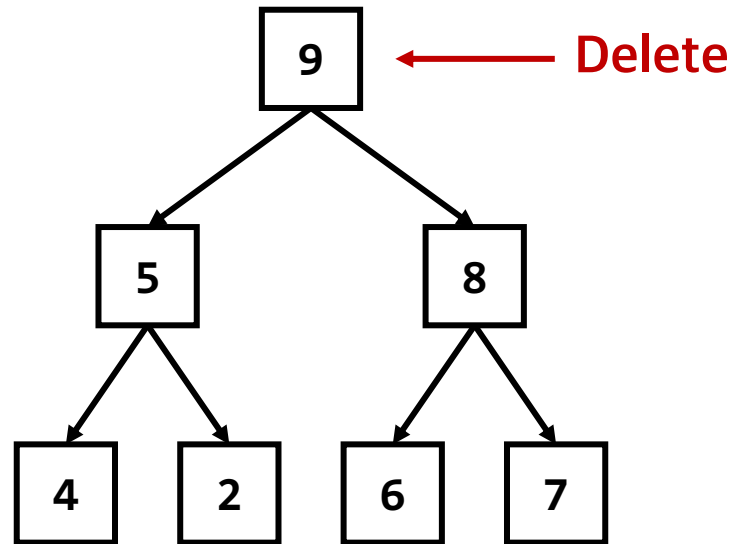


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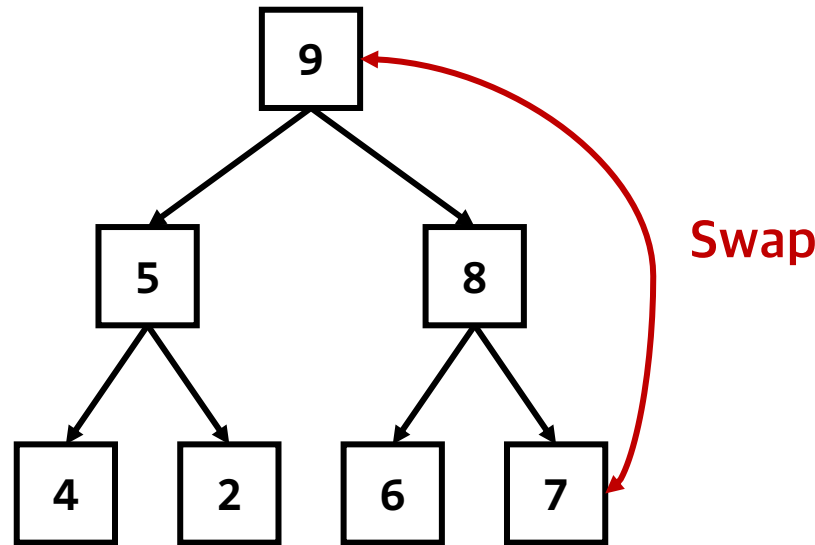


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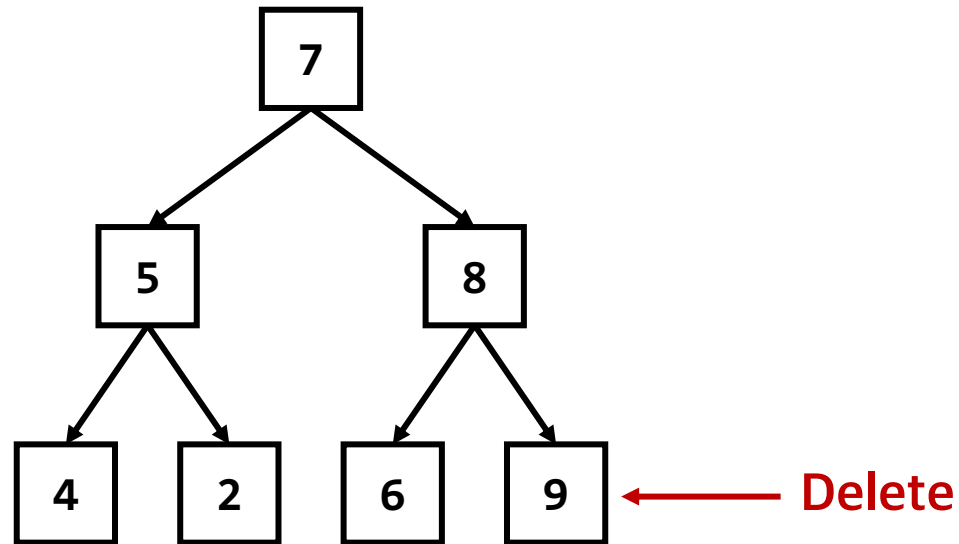


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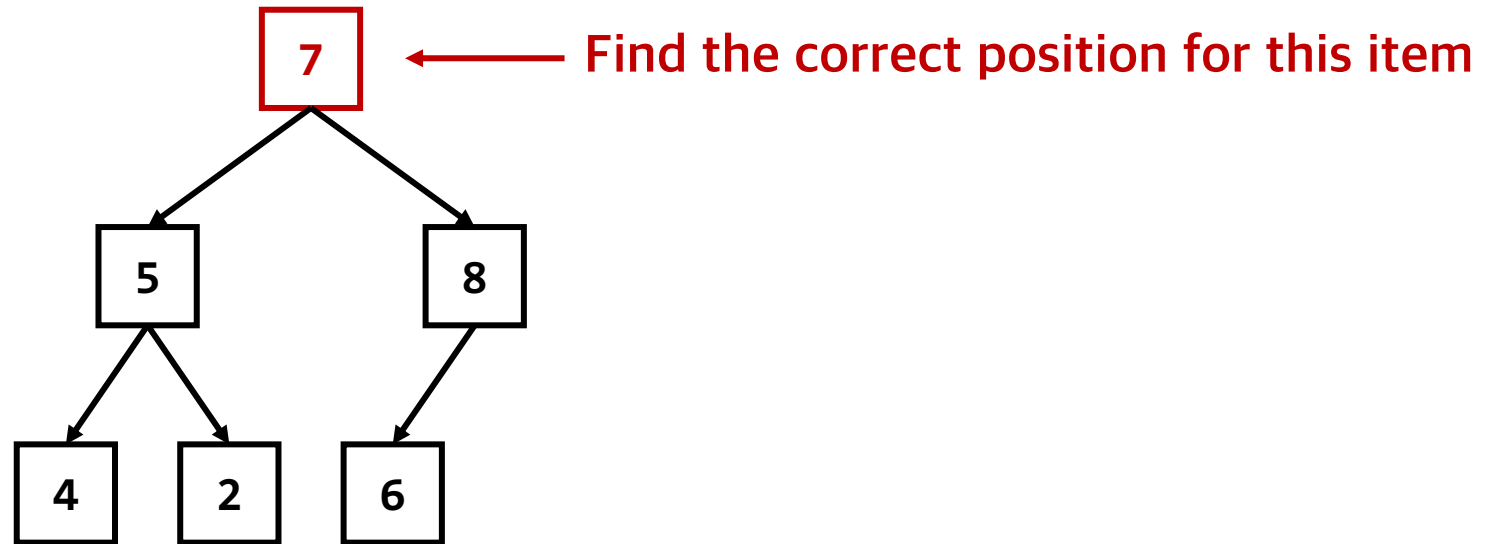


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Array Representation

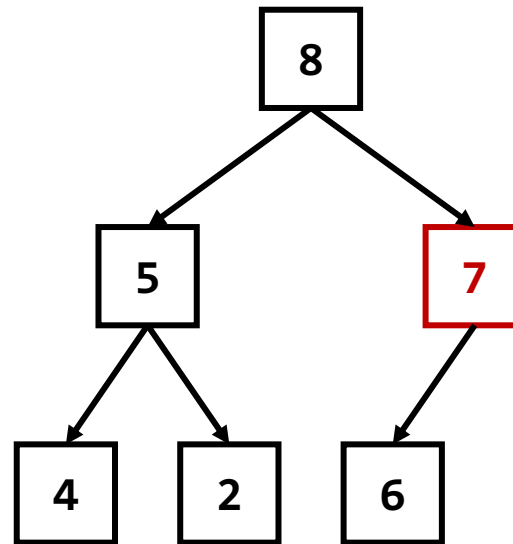


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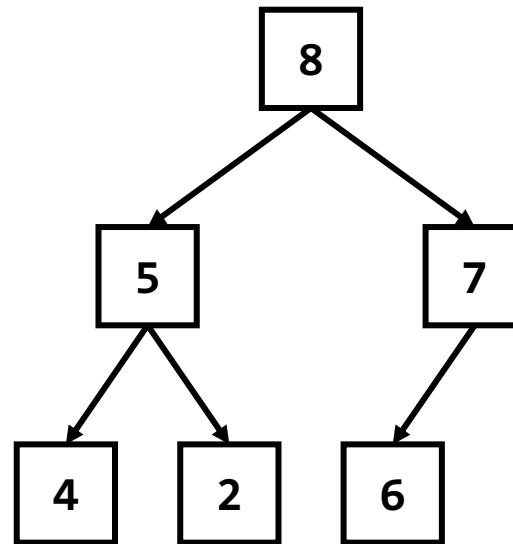


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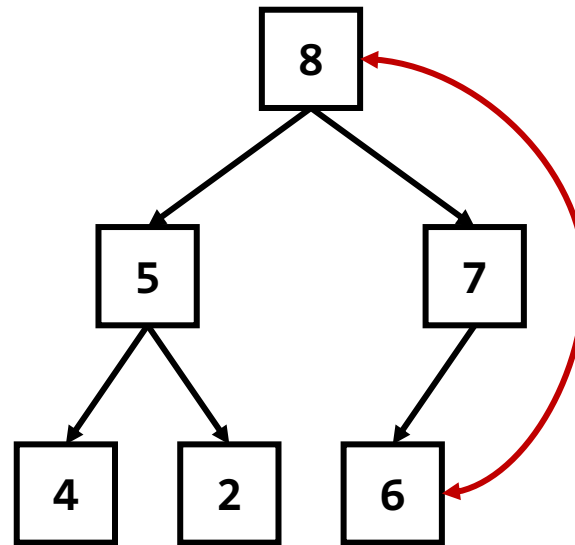
 Sorted part

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Array Representation



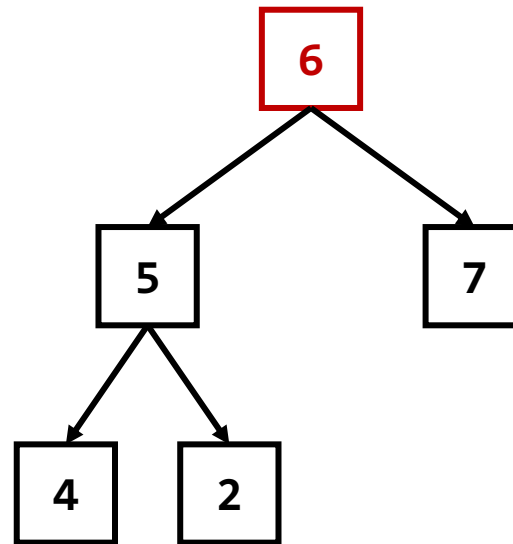
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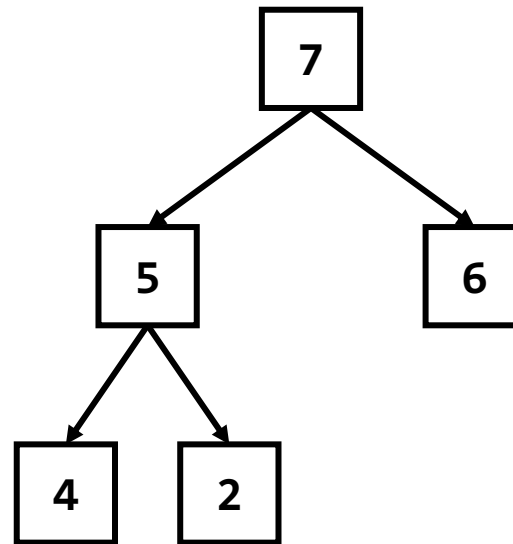
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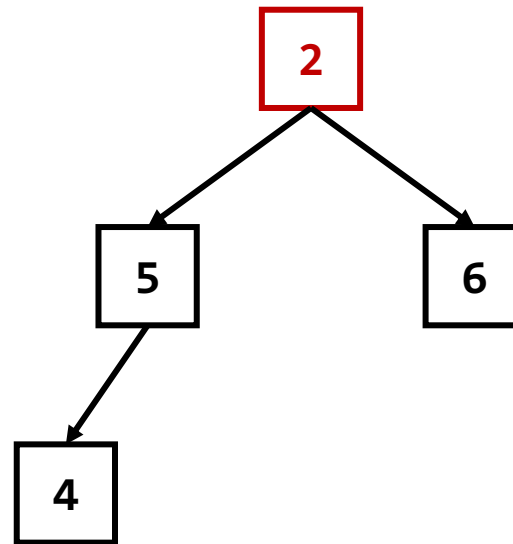
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Array Representation



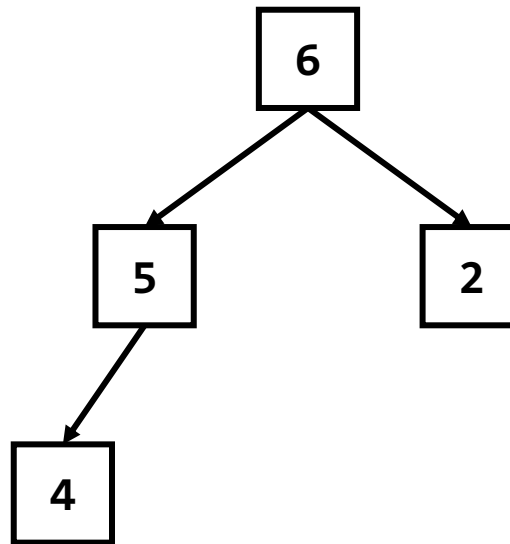
Sorted part

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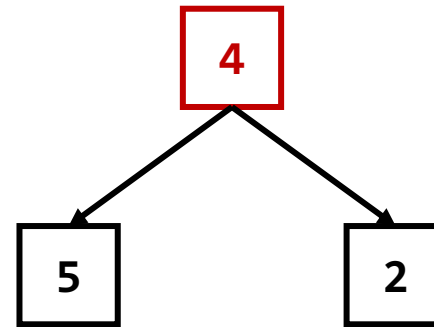
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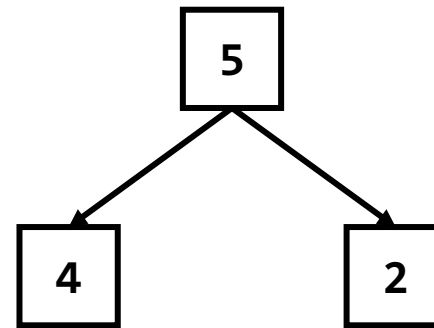
Sorted part

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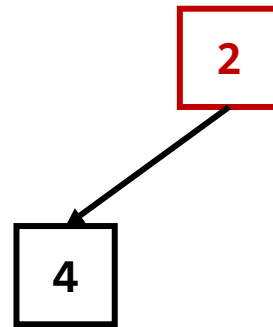
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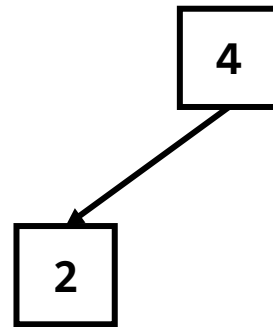
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Sorted part

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Heap Structure

Array Representation



Sorted part

Heap Sort



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Heap Structure

Array Representation



Sorted part

Heap Sort

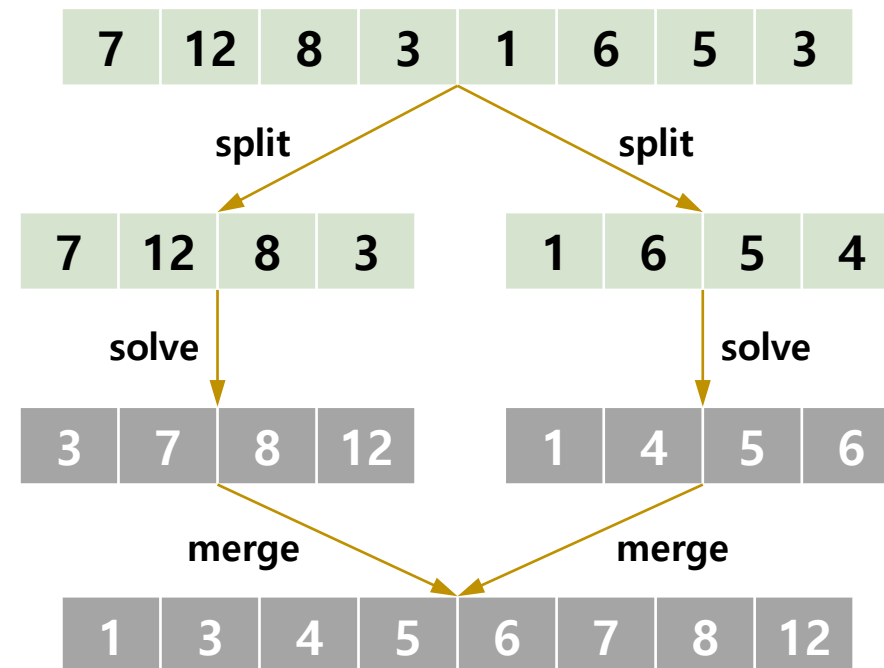
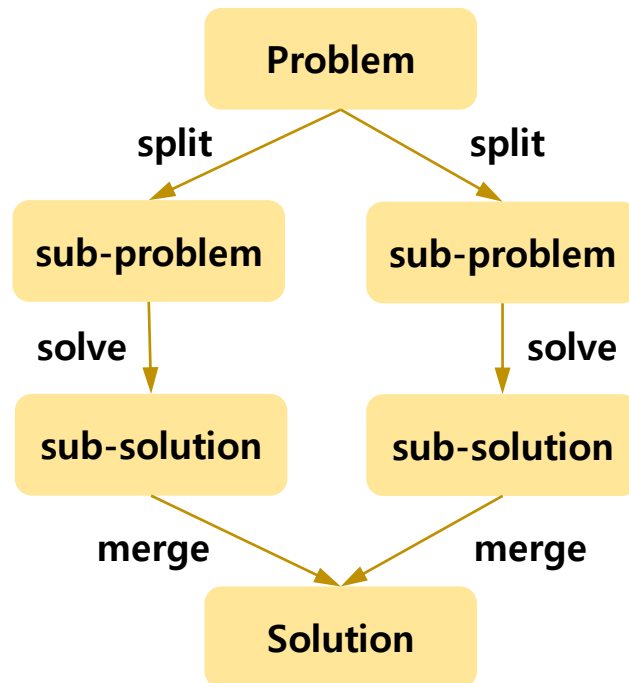


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 - Space complexity = the number of additionally required variables = $O(1)$

Quick & Merge Sorts



- Quick and Merge Sorts are based on Divide & Conquer (D&C) Paradigm
 - **Breaking down a problem into two or more sub-problems** of the same type
 - **Solutions to the sub-problems are combined to be a solution** to the original one



Quick Sort



- **Key Idea:** Select a pivot and split items into two partitions using the pivot
 - **Pivot selection:** Pick an element, called a pivot, from the list

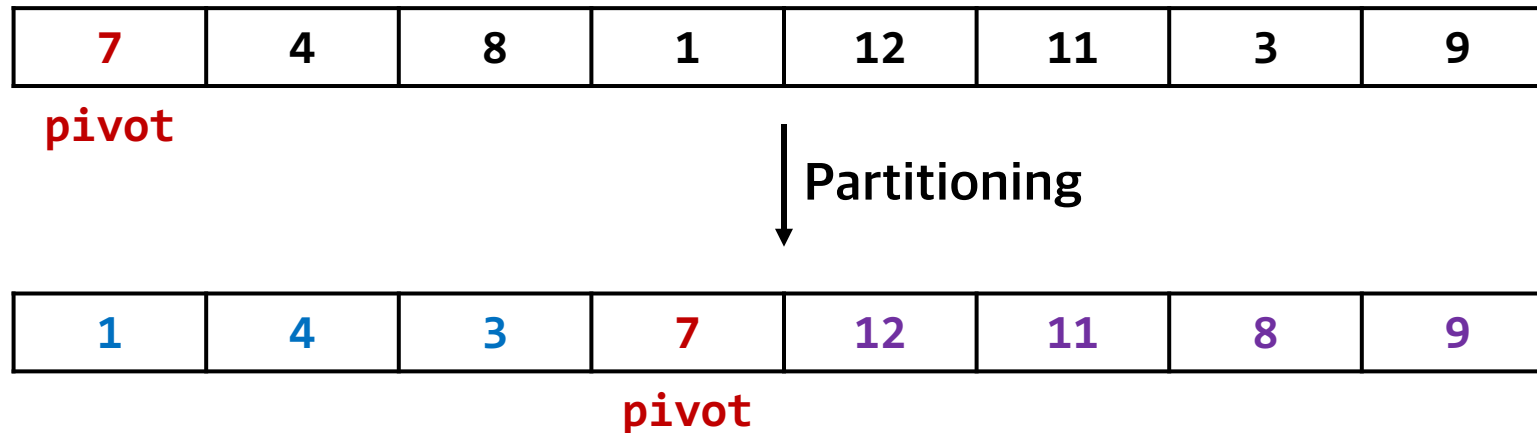
7	4	8	1	12	11	3	9
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pivot

Quick Sort



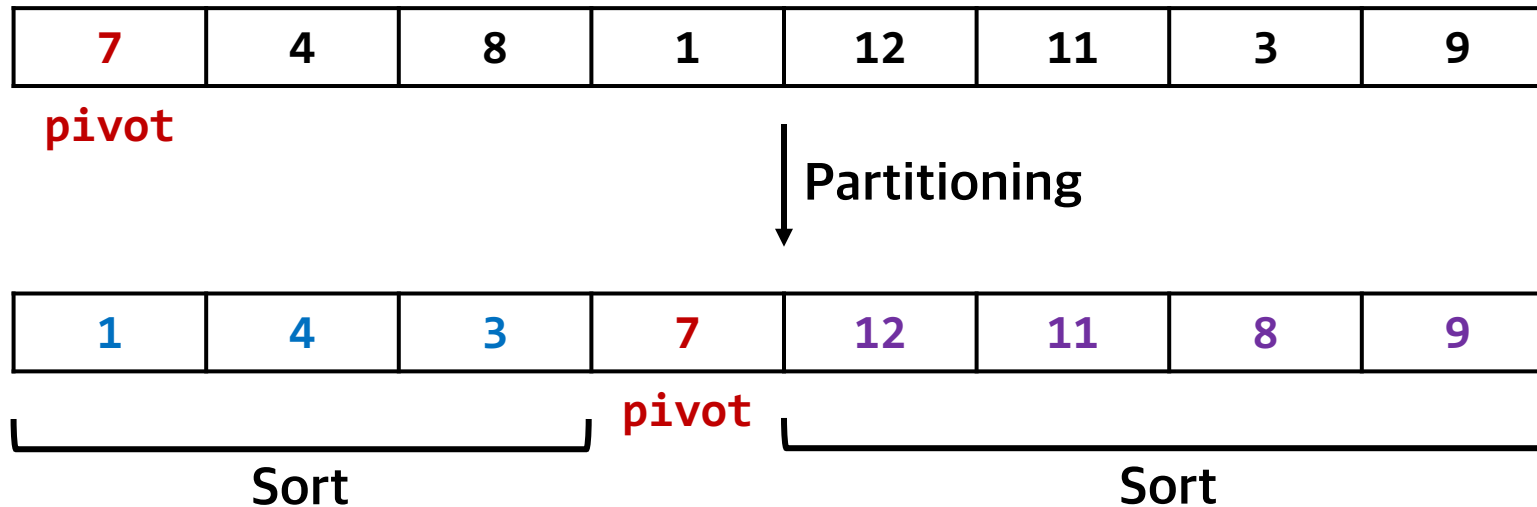
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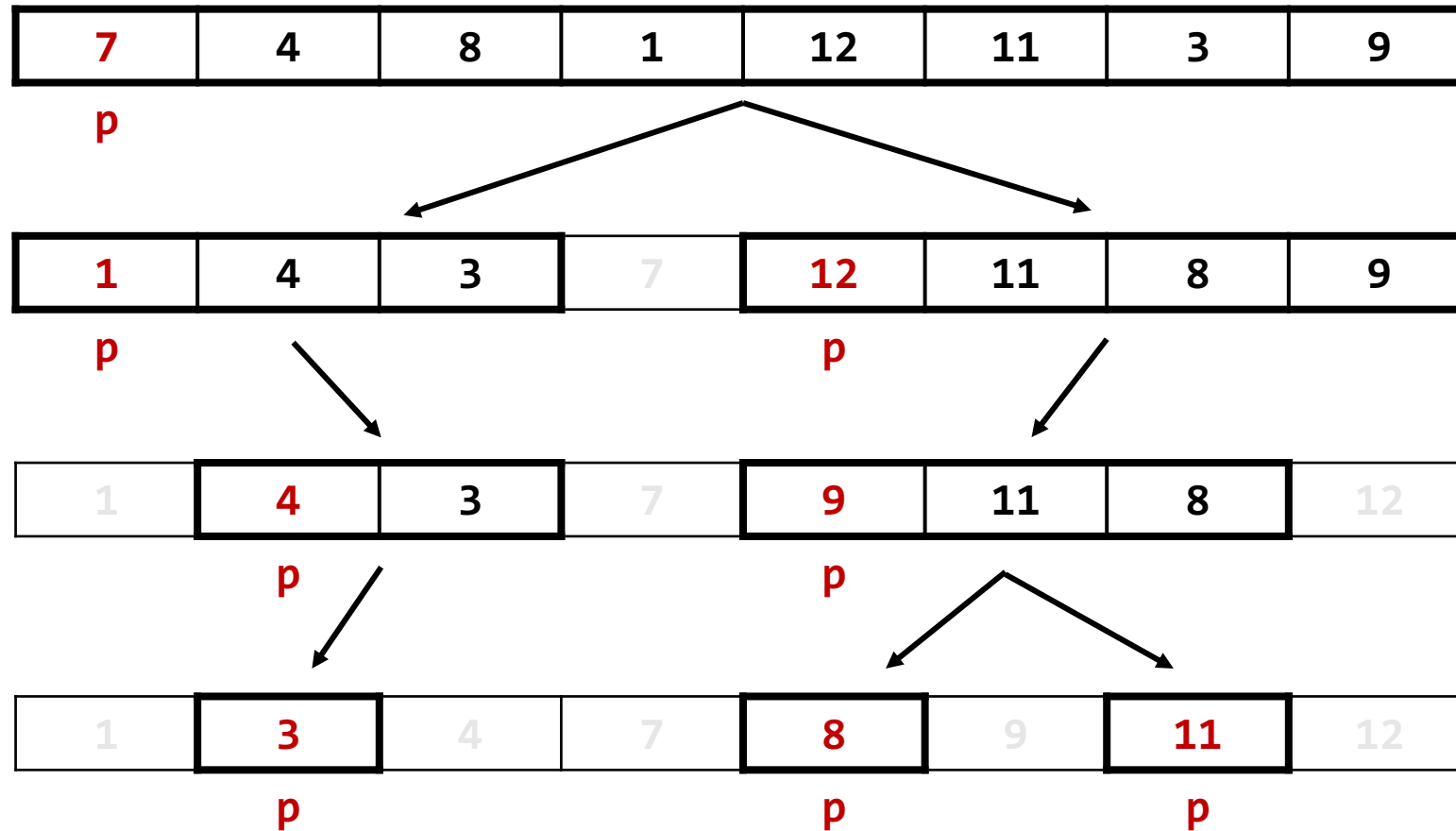


- **Recursively apply** the above steps to the sub-lists

Quick Sort



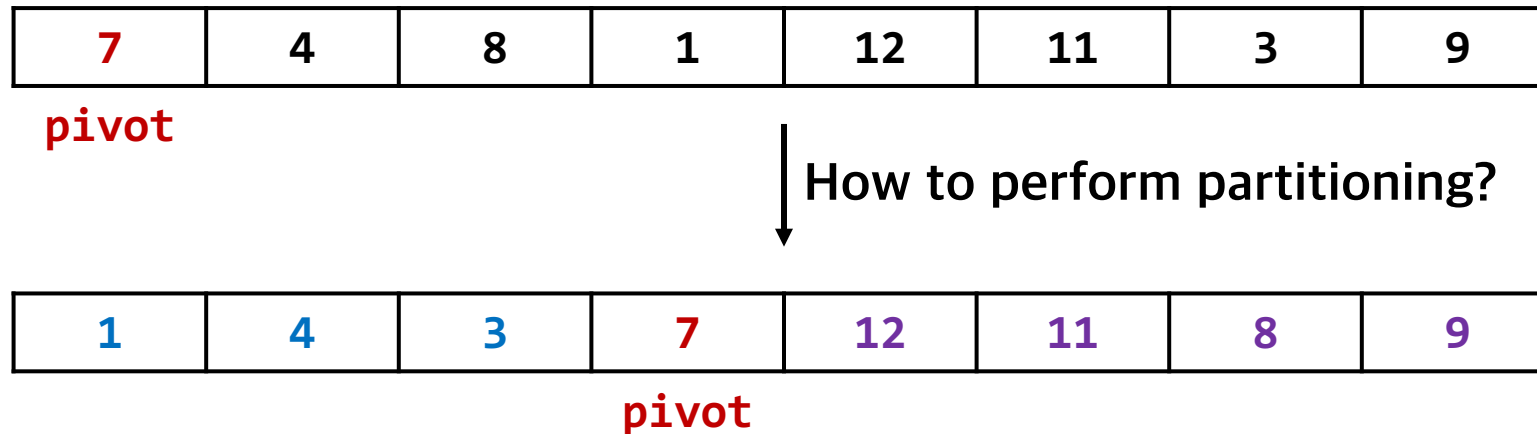
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Quick Sort



- **Key Idea:** Select a pivot and split items into two partitions using the pivot
 - Select the left-most element as the pivot
 - How to perform partitioning?



Quick Sort



- **Key Idea:** Select a pivot and split items into two partitions using the pivot
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7	4	8	1	12	11	3	9
pivot	L						

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pivot		L					R

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pivot		L				R	

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pivot		L				R	

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pivot			L			R	

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pivot				L	R		

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pivot				L			
				R			

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pivot			R	L			

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1	4	3	7	12	11	8	9
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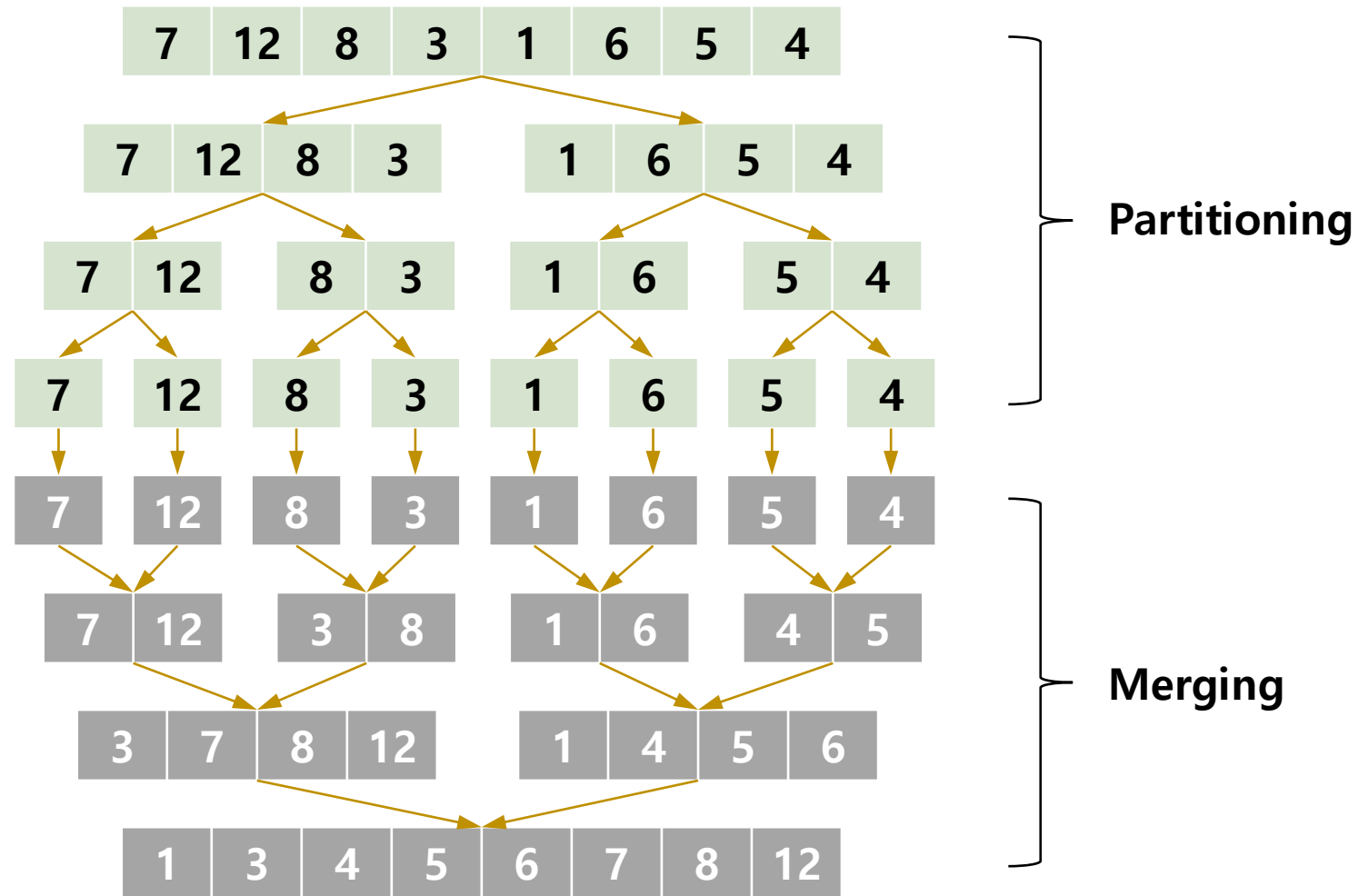
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 - **Recursively apply** the above steps to the sub-lists
- **Algorithm analysis**
 - Time complexity = $O(N \log N)$ on average & $O(N^2)$ in the worst case
 - In each step, pivot selection = $O(1)$ & partitioning = $O(N)$
 - Recursion depth = $O(\log N)$ on average & $O(N)$ in the worst case
 - The worst case is when partitioned sub-lists are extremely skewed
 - Space complexity = the number of additionally required variables = $O(1)$

Merge Sort



- **Key Idea:** Split items half and half first, and then merge them



Merge Sort



- **Key Idea:** Split items half and half first, and then merge them

1. **Divide:** Split the items into two half sub-lists
2. **Conquer:** Recursively sort the two sub-lists
3. **Combine:** Merge the two sorted sub-lists into one

(Q) How to combine two sorted sub-lists?

- The **first element** should be either **the first item of one sub-list** or **that of another one**

3	7	8	10
---	---	---	----



1	4	5	9
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1	4	5	9
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1	3						
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3	7	8	10
---	---	---	----



1	4	5	9
---	---	---	---



1	3	4					
---	---	---	--	--	--	--	--



Merge Sort



- **Key Idea:** Split items half and half first, and then merge them

1. **Divide:** Split the items into two half sub-lists
2. **Conquer:** Recursively sort the two sub-lists
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Merging a sorted list of N items and a sorted list of M items → **$O(N+M)$**

1	3	4	5	7	8	9	10
---	---	---	---	---	---	---	----

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- **Algorithm analysis**
 - Time complexity = $O(N \log N)$ in both the best and worst cases
 - Recursion depth = $O(\log N)$
 - Total complexity = $N + 2*(N/2) + 4*(N/4) + \dots = N * \log N$
 - Space complexity = the number of additionally required variables = $O(N)$
 - It requires an auxiliary array to store the merged list

Comparison of Sorting Algorithms



Algorithm	Best	Average	Worst
Selection sort	$O(n^2)$	$O(n^2)$	$O(n^2)$
Bubble sort	$O(n^2)$	$O(n^2)$	$O(n^2)$
Insertion sort	$O(n)$	$O(n^2)$	$O(n^2)$
Quick sort	$O(n \log n)$	$O(n \log n)$	$O(n^2)$
Merge sort	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$
Heap sort	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$

Any Questions?

