# Parallel & Asynchronous Programming In Modern Java

#### About Me

- Dilip
- Building Software's since 2008
- Teaching in **UDEMY** Since 2016

#### What's Covered?

- Need for Parallel and Asynchronous Programming
- Covers the ParallelStreams and CompletableFuture API
- Techniques to write Fast Performing Code using Functional Style Concurrency
   APIs in Java
- · Covers Best Practices using ParallelStreams/CompletableFuture API in your code
- NonBlocking RestFul API Client using CompletableFuture API
- Testing ParallelStreams and CompletableFuture API Using JUnit5

#### Targeted Audience

Experienced Java Developers

Developers who has the need to write code that executes faster

Developers who has the need to write code that executes in Parallel

Developer who has the need to write asynchronous/non-blocking code

#### Source Code

#### Thank You!

#### Prerequisites

#### Course Prerequisites

- Java 11
- Prior Jav
- Experier
- Experier
- Intellij,



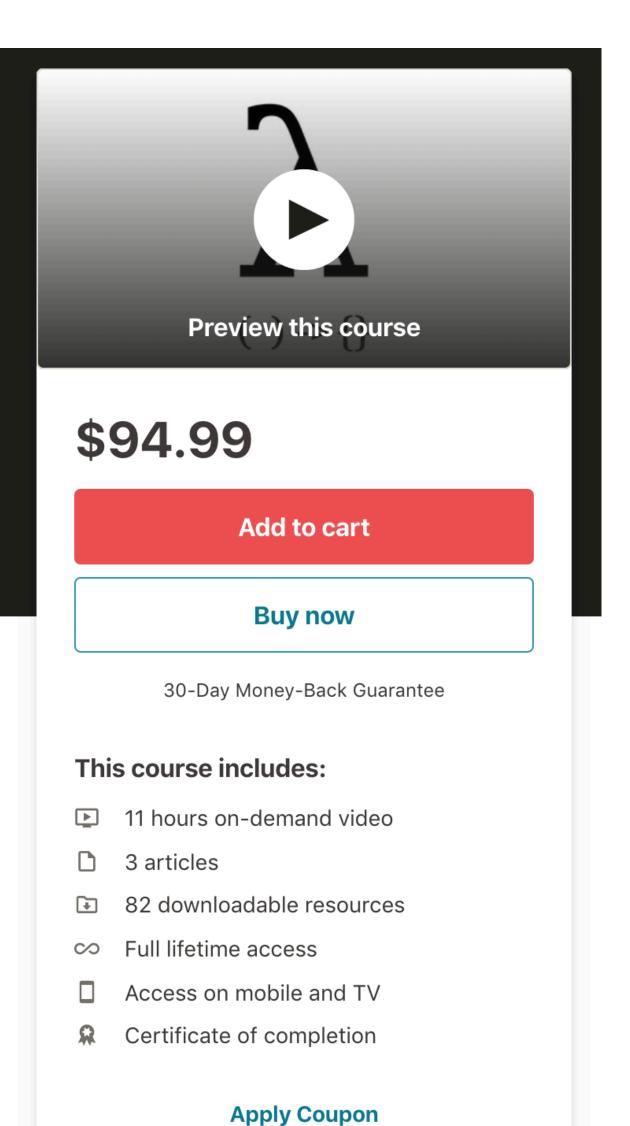
#### Learn Functional programming in Java

- Students will be able to implement the new Java 8 concepts in real time
- Learn the new Date/Time Libraries in Java
- Learn and understand Parallel Programming with the Streams.

What you'll learn

✓ This course will be continuously updated.

- Complete understanding of Lambdas, Streams, Optional via code.
- ✓ Learn to build complex Streams Pipeline.
- ✓ Learn to use Method Reference, Constructor reference syntax.
- Student will be able to upgrade their Java knowledge with the new Functional Features.



### Why Parallel and Asynchronous Programming?

#### Why Parallel and Asynchronous Programming?

- We are living in a fast paced environment
- In Software Programming:
  - Code that we write should execute faster
- Goal of Asynchronous and Parallel Programming
  - Provide Techniques to improve the performance of the code

#### Technology Advancements

#### Hardware

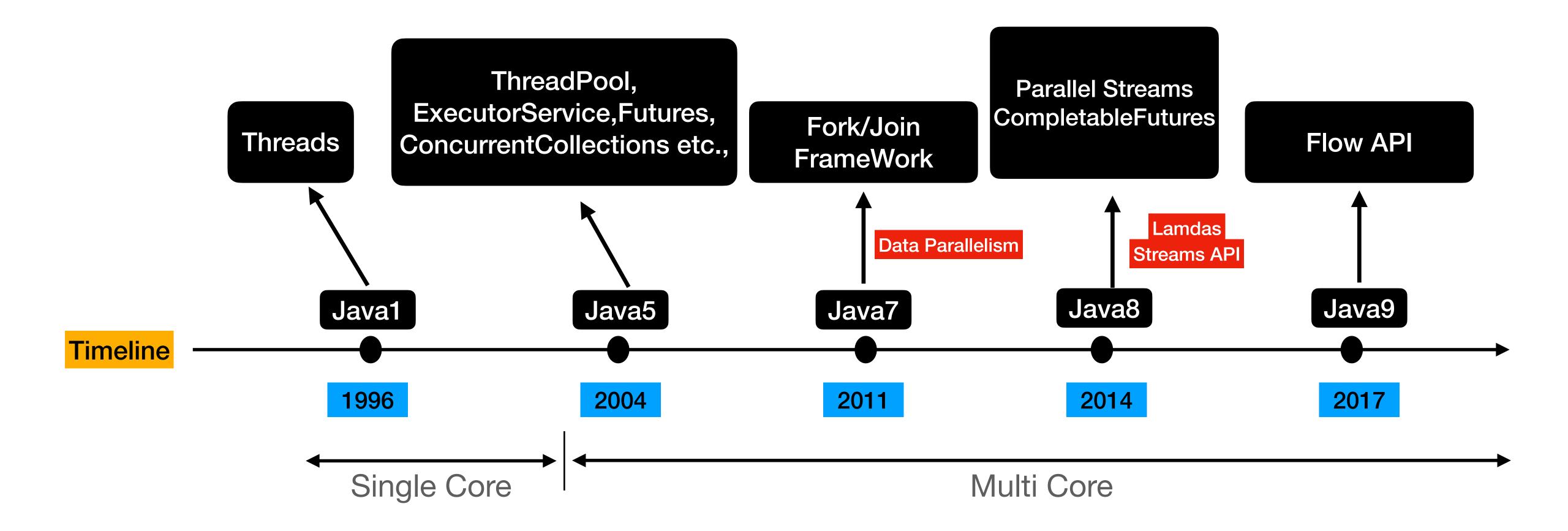
- Devices or computers comes up with Multiple cores
- Developer needs to learn programming patterns to maximize the use of multiple cores
- Apply the Parallel Programming concepts
- Parallel Streams

#### **Software**

- MicroServices Architecture style
- Blocking I/O calls are common in MicroServices Architecture. This also impacts the latency of the application
- Apply the Asynchronous Programming concepts
- CompletableFuture



## Evolution of Concurrency and Parallelism APIs in Java

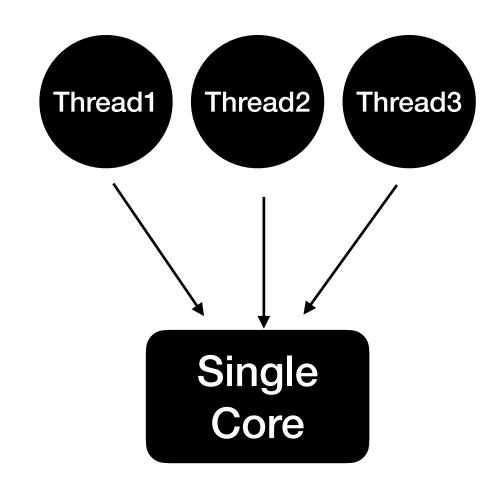


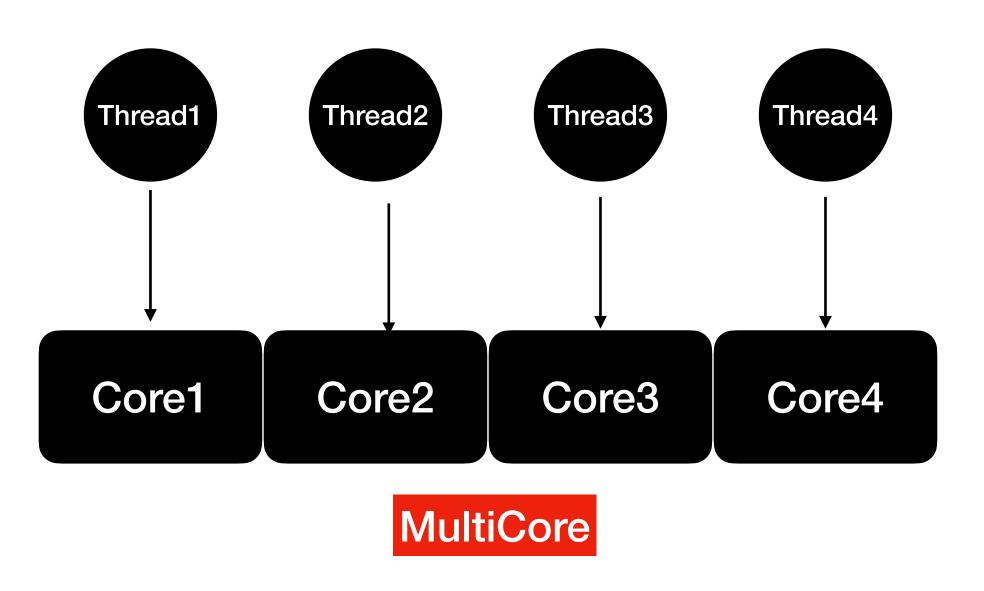
Current Version: Java14

### Concurrency VS Parallelism

#### Concurrency

- Concurrency is a concept where two or more task can run simultaneously
- In Java, Concurrency is achieved using Threads
  - Are the tasks running in interleaved fashion?
  - Are the tasks running simultaneously?





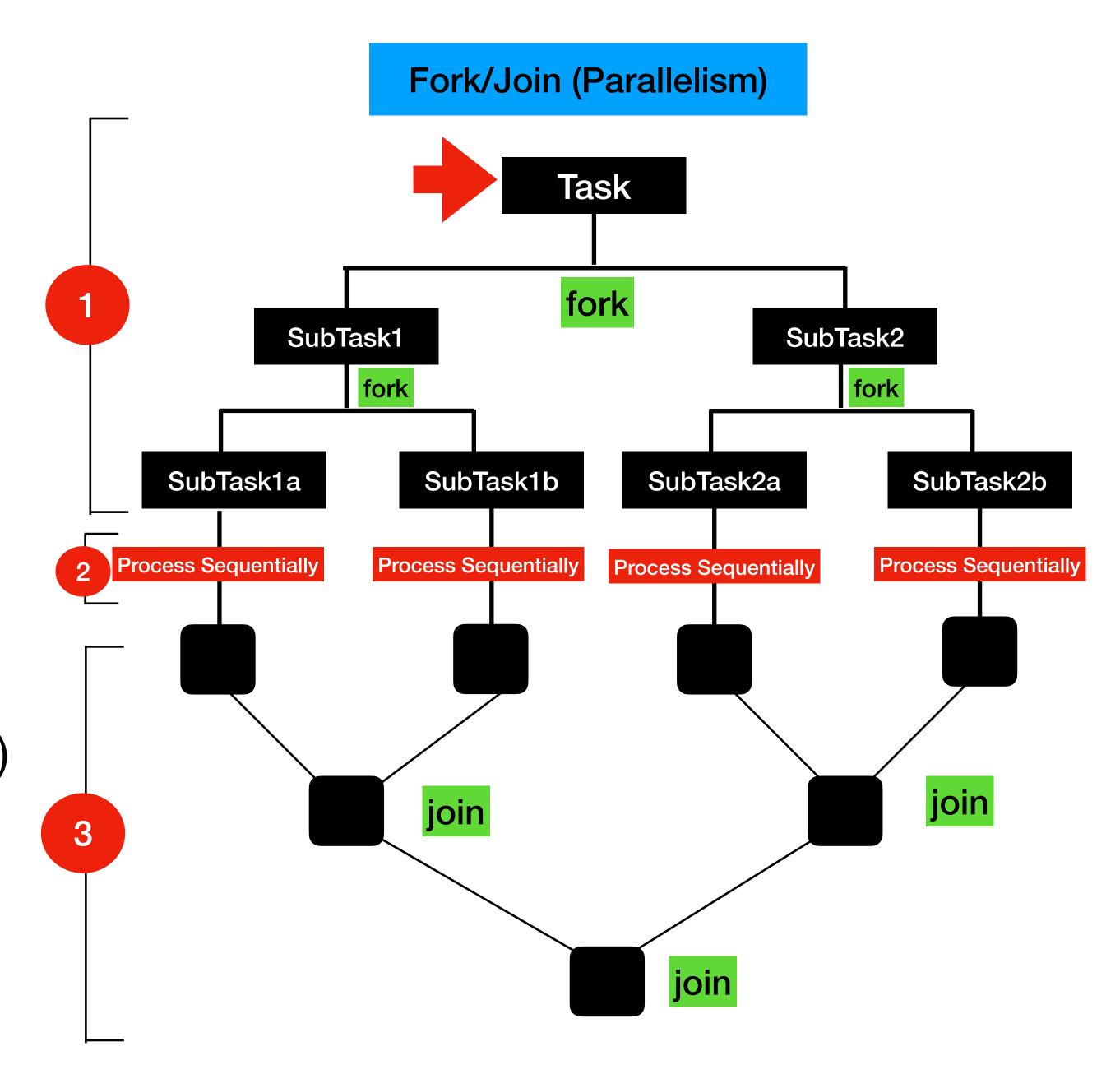
#### Concurrency Example

- In a real application, Threads normally need to interact with one another
  - Shared Objects or Messaging Queues
- Issues:
  - Race Condition
  - DeadLock and more
- Tools to handle these issues:
  - Synchronized Statements/Methods
  - Reentrant Locks, Semaphores
  - Concurrent Collections
  - Conditional Objects and More

```
public class HelloWorldThreadExample {
   private static String result="";
   private static void hello(){
        delay(500);
       result = result.concat("Hello");
   private static void world(){
        delay(600);
        result = result.concat(" World");
   public static void main(String[] args) throws InterruptedException {
        Thread helloThread = new Thread(()-> hello());
                                                           Threads
        Thread worldThread = new Thread(()-> world());
        //Starting the thread
       helloThread.start();
        worldThread.start();
        //Joining the thread (Waiting for the threads to finish)
       helloThread.join();
3
        worldThread.join();
        System.out.println("Result is : " + result);
                                       Hello World
```

#### Parallelism

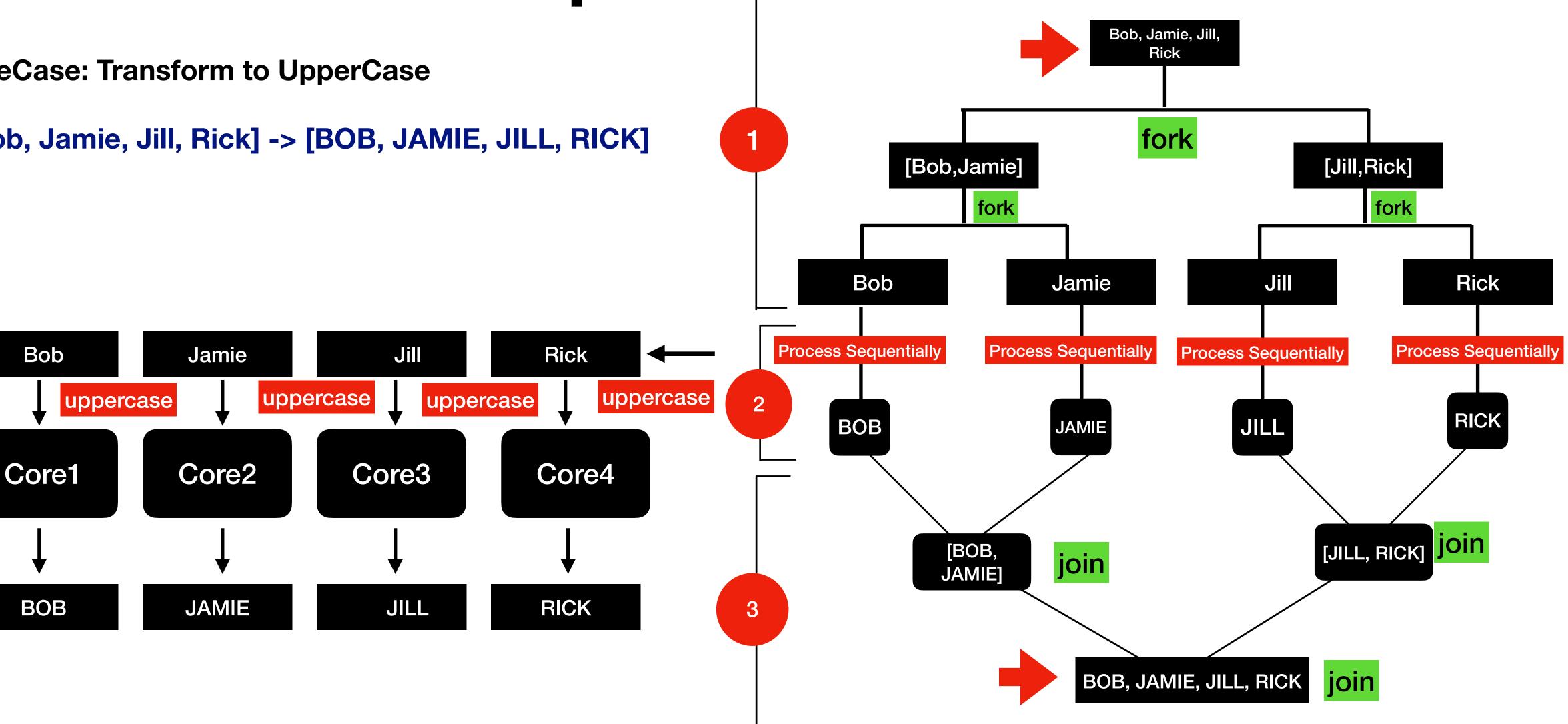
- Parallelism is a concept in which tasks are literally going to run in parallel
- Parallelism involves these steps:
  - Decomposing the tasks in to SubTasks(Forking)
  - Execute the subtasks in sequential
  - Joining the results of the tasks(Join)
- Whole process is also called Fork/ Join



#### Parallelism Example

**UseCase: Transform to UpperCase** 

[Bob, Jamie, Jill, Rick] -> [BOB, JAMIE, JILL, RICK]



Fork/Join (Parallelism)

#### Parallelism Example

#### Concurrency vs Parallelism

- Concurrency is a concept where two or more tasks can run in simultaneously
- Concurrency can be implemented in single or multiple cores
- Concurrency is about correctly and efficiently controlling access to shared resources

- Parallelism is a concept where two or more tasks are literally running in parallel
- Parallelism can only be implemented in a multi-core machine
- Parallelism is about using more resources to access the result faster

#### Course Project Setup

#### Section Overview

#### Section Overview

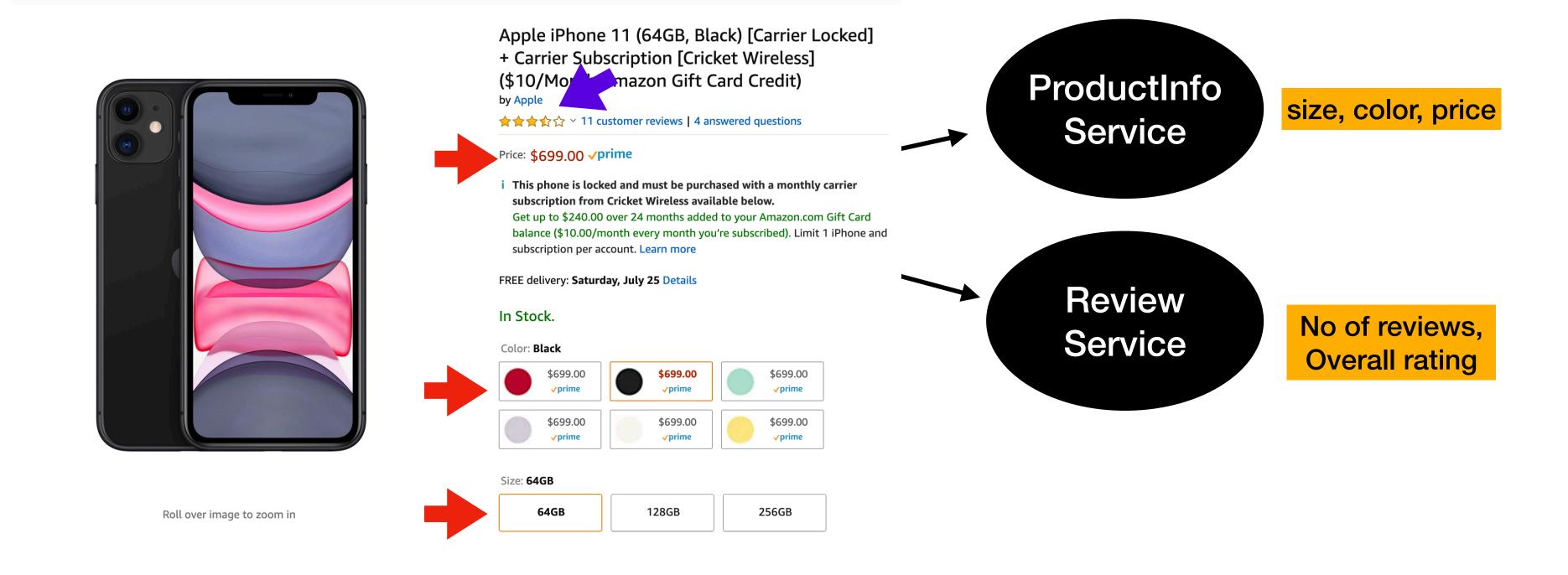
Covers Asynchronous and Parallel Programming prior Java 8

Threads, Futures and ForkJoin Framework and its limitations

Covers Theory and Hands On

### Overview of the Product Service

#### Product Service



#### Threads

#### Threads API

- Threads API got introduced in Java1
- Threads are basically used to offload the blocking tasks as background tasks
- Threads allowed the developers to write asynchronous style of code

#### **Thread API Limitations**

- Requires a lot of code to introduce asynchrony
  - Runnable, Thread
    - Require additional properties in Runnable
    - Start and Join the thread
- Low level
- Easy to introduce complexity in to our code

## ThreadPool, ExecutorService & Future

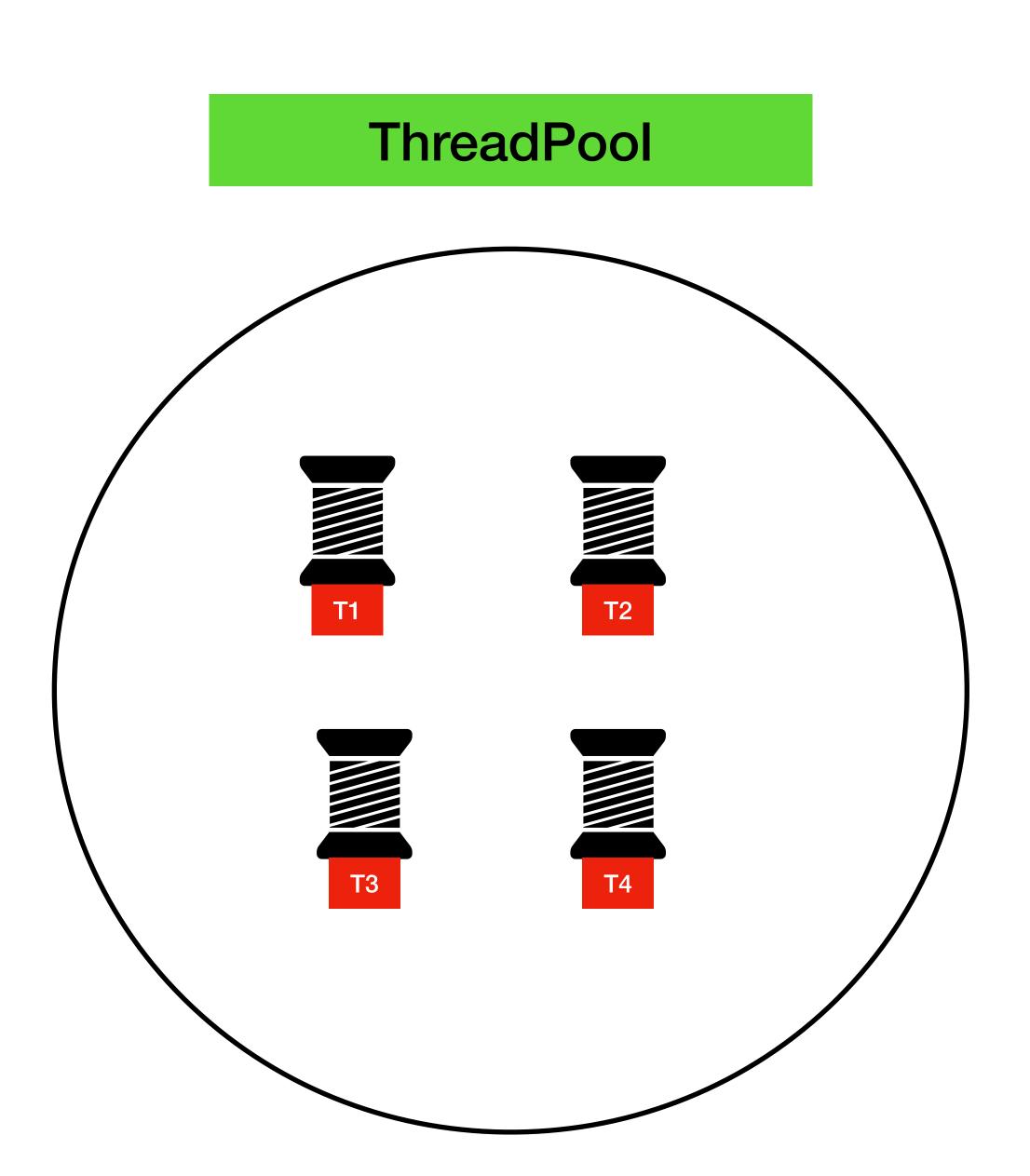
#### Limitations Of Thread

- Limitations of Thread:
  - Create the thread
  - Start the thread
  - Join the thread
- Threads are expensive
  - Threads have their own runtime-stack, memory, registers and more

Thread Pool was created specifically to solve this problem

#### Thread Pool

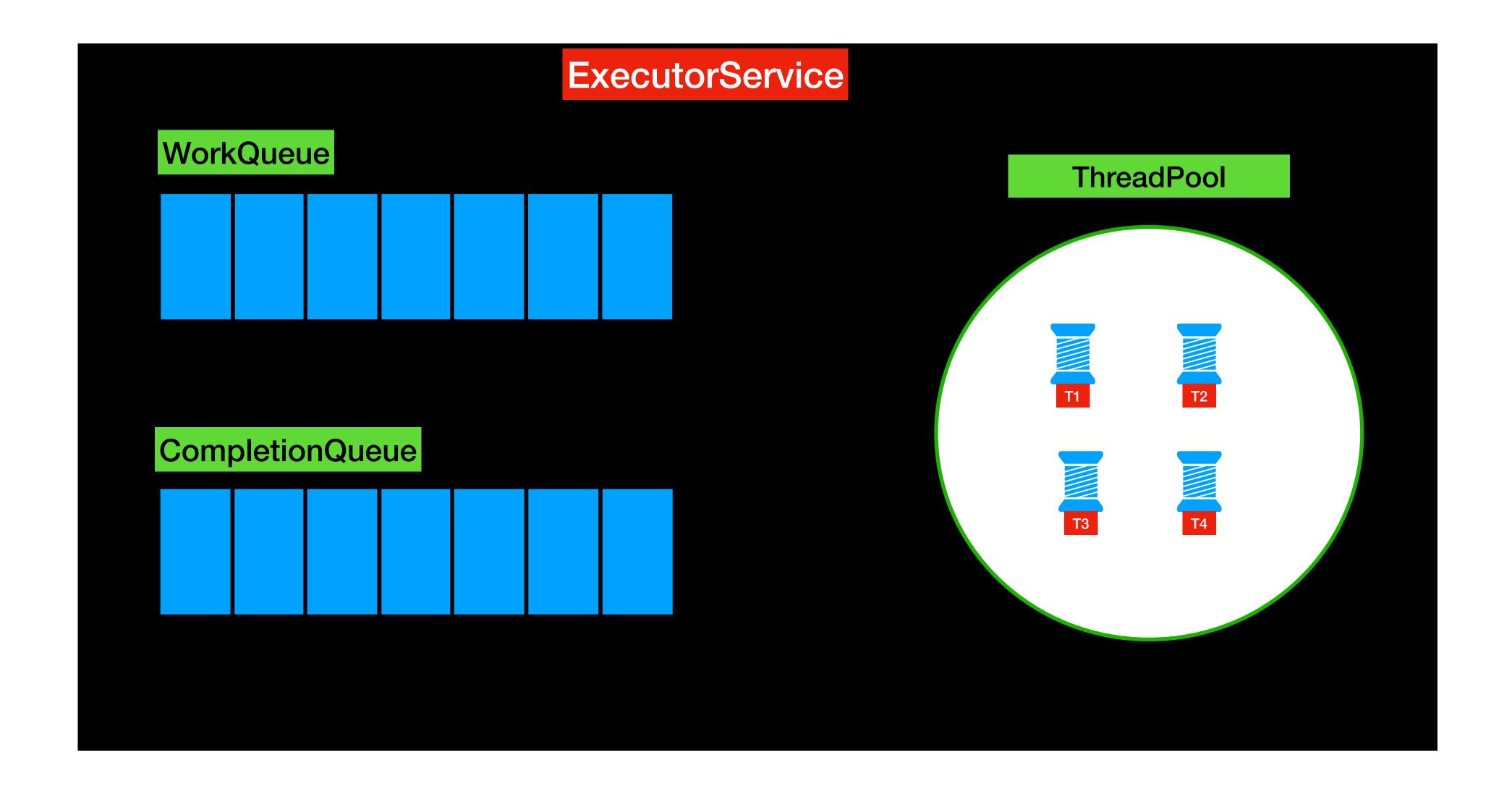
- Thread Pool is a group of threads created and readily available
- CPU Intensive Tasks
  - ThreadPool Size = No of Cores
- I/O task
  - ThreadPool Size > No of Cores
- What are the benefits of thread pool?
  - No need to manually create, start and join the threads
  - Achieving Concurrency in your application



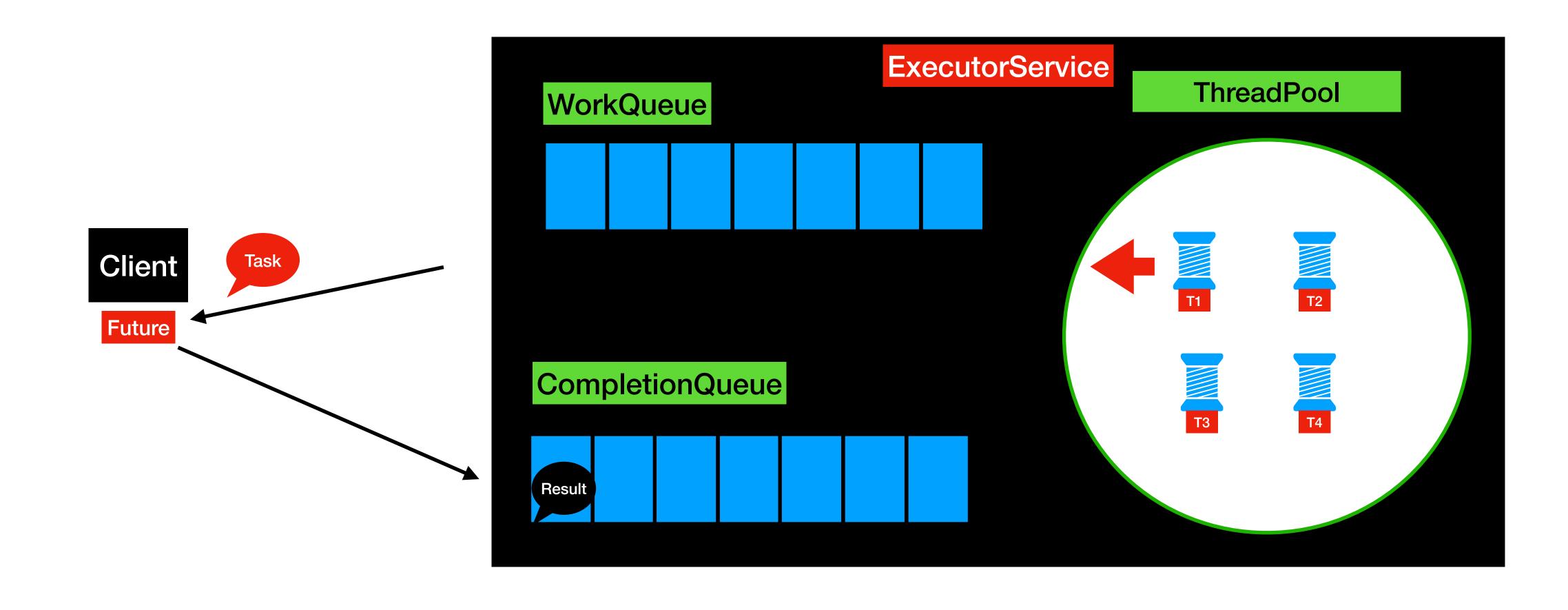
#### ExecutorService

- Released as part of Java5
- ExecutorService in Java is an Asynchronous Task Execution Engine
- It provides a way to asynchronously execute tasks and provides the results in a much simpler way compared to threads
- This enabled coarse-grained task based parallelism in Java

#### ExecutorService



#### Working Of ExecutorService



#### Limitations of ExecutorService

Designed to Block the Thread

```
ProductInfo productInfo = productInfoFuture.get();
Review review = reviewFuture.get();
```

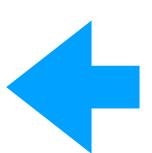
No better way to combine futures

```
ProductInfo productInfo = productInfoFuture.get();
Review review = reviewFuture.get();
return new Product(productId, productInfo, review);
```

#### Fork/Join Framework

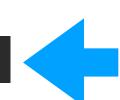
### Fork/Join Framework

- This got introduced as part of Java7
- This is an extension of ExecutorService
- Fork/Join framework is designed to achieve Data Parallelism



ExecutorService is designed to achieve Task Based Parallelism

 $\overline{\text{Future-ProductInfo-productInfo-Future} = \underline{\text{executorService}}. \text{submit(() -> productInfo-Service}. \text{retrieve-ProductInfo-productI$ 



Future<Review> reviewFuture = executorService.submit(() -> reviewService.retrieveReviews(productId)



### What is Data Parallelism?

- Data Parallelism is a concept where a given Task is recursively split in to SubTasks until it reaches it leaset possible size and execute those tasks in parallel
- Basically it uses the divide and conquer approach

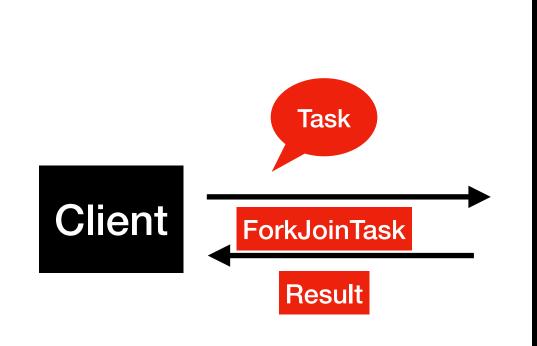
Fork/Join (Parallelism) Bob, Jamie, Jill, fork [Bob,Jamie] [Jill,Rick] fork fork Rick Bob **Jamie Process Sequentially Process Sequentially Process Sequentially Process Sequentially RICK** JILL BOB **JAMIE** [JILL, RICK] Join [BOB, join BOB, JAMIE, JILL, RICK

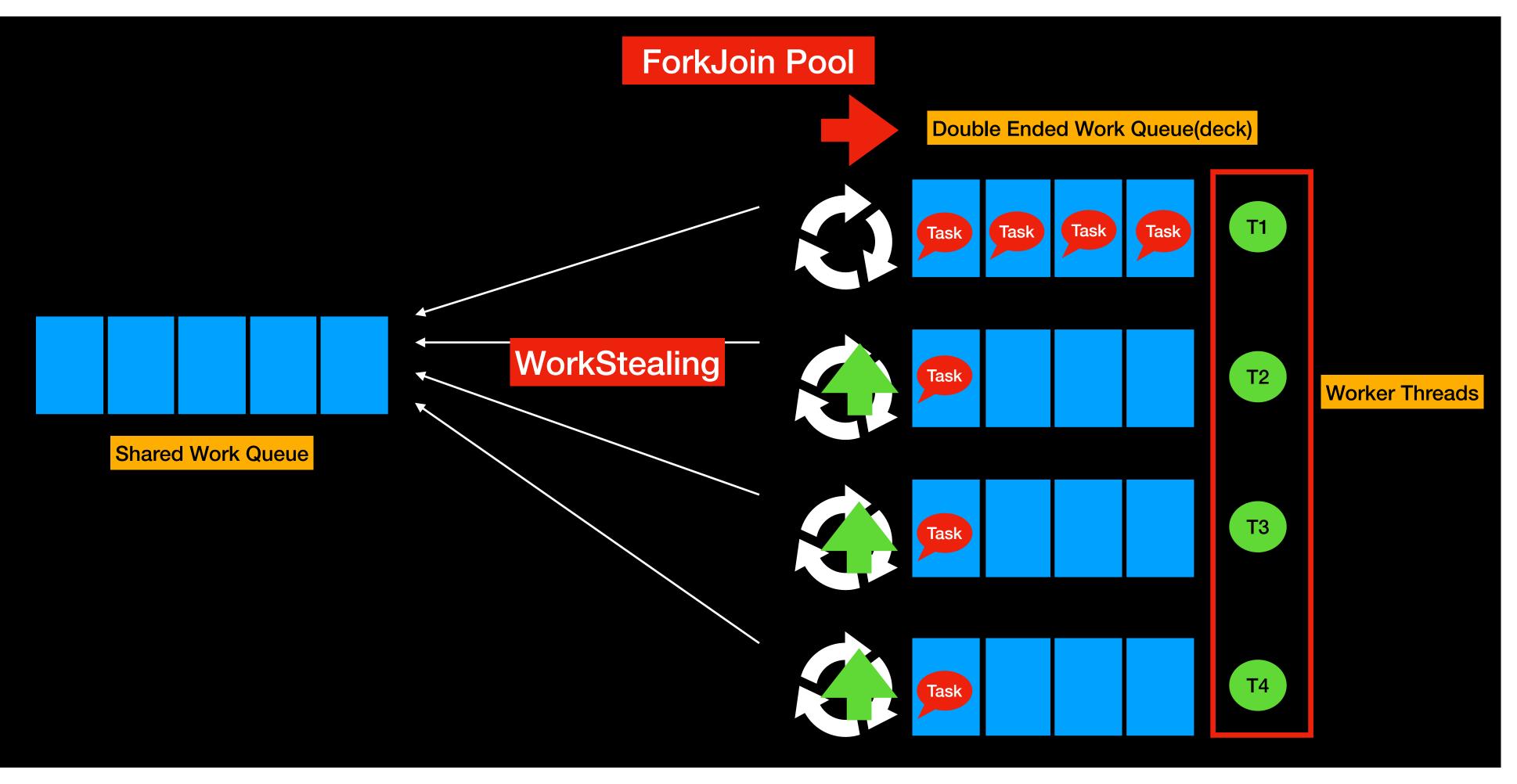
Watch "Concurrency vs Parallelism"

### How does Fork/Join Framework Works?

ForkJoin Pool to support Data Parallelism

### ForkJoin Pool

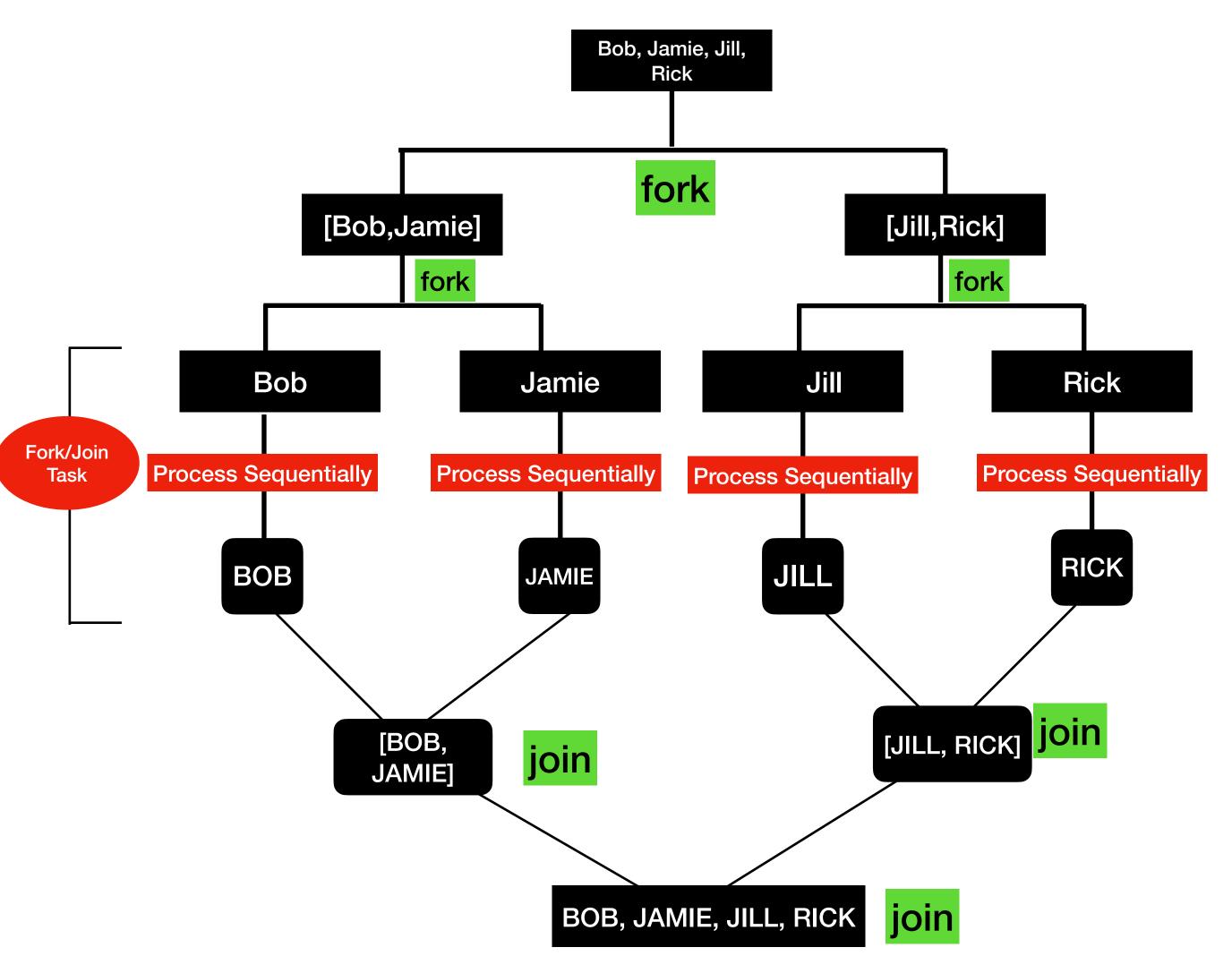




### ForkJoin Task

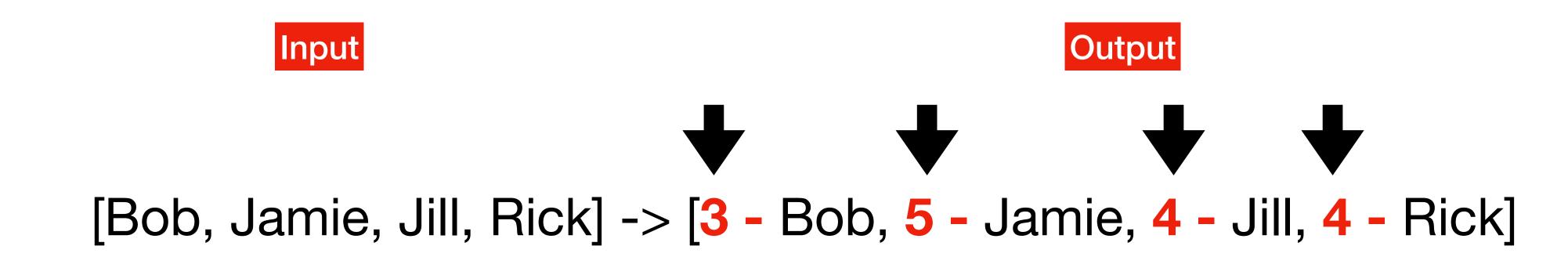
- ForkJoin Task represents part of the data and its computation
- Type of tasks to submit to ForkJoin Pool
  - ForkJoinTask
    - RecursiveTask -> Task that returns a value
    - RecursiveAction -> Task that does not return a value

### Fork/Join (Parallelism)



# Fork/Join Example

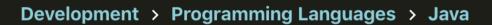
### ForkJoin - UseCase



# Streams API & Parallel Streams

### Streams API

- Streams API got introduced in Java 8
- Streams API is used to process a collection of Objects
- Streams in Java are created by using the stream() method





### Modern Java - Learn Java 8 features by coding it

Learn Lambdas, Streams, new Date APIs, Optionals and Parallel programming in Java 8 by coding it.

**4.4** ★★★★ (1,782 ratings) 9,107 students

Created by Dilip S

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### What you'll learn

- Learn Functional programming in Java
- Students will be able to implement the new Java 8 concepts in real time
- Learn the new Date/Time Libraries in Java
- Learn and understand Parallel
   Programming with the Streams.
- This course will be continuously updated.

- Complete understanding of Lambdas,
   Streams , Optional via code.
- ✓ Learn to build complex Streams Pipeline.
- Learn to use Method Reference ,
   Constructor reference syntax.
- Student will be able to upgrade their Java knowledge with the new Functional Features.



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### ParallelStreams

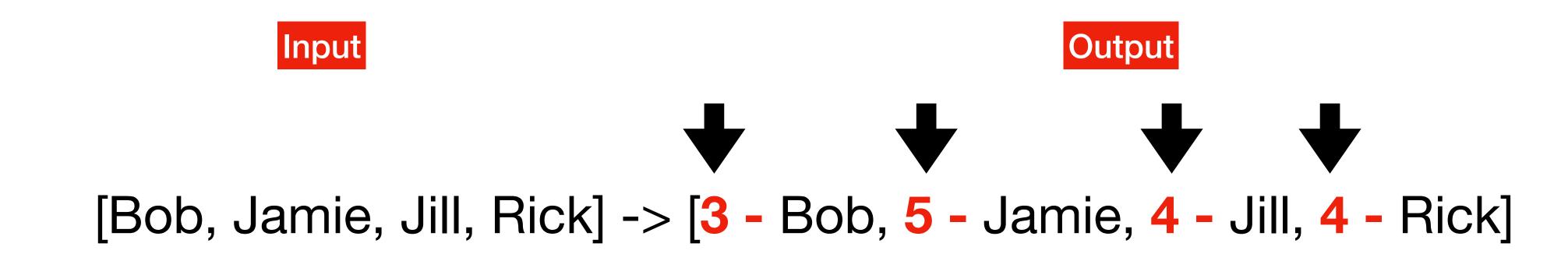
- This allows your code to run in parallel
- ParallelStreams are designed to solve Data Parallelism

### Stream/Parallel Stream

### Stream

### Parallel Stream

### Parallel Streams - UseCase



# Unit Testing Parallel Streams Using JUnit5

### Why Unit Tests?

Unit Testing allows you to programmatically test your code

Manual Testing slows down the development and delivery

 Unit Testing allows the developer or the app team to make enhancements to the existing code easily and faster

# Sequential/Parallel Functions in Streams API

## sequential() and parallel()

Streams API are sequential by default

sequential() -> Executes the stream in sequential

parallel() -> Executes the stream in parallel

Both the functions() changes the behavior of the whole pipeline

### sequential()

Changing the parallelStream() behavior to sequential

### parallel()

Changing the stream() behavior to parallel

### When to use sequential() and parallel()?

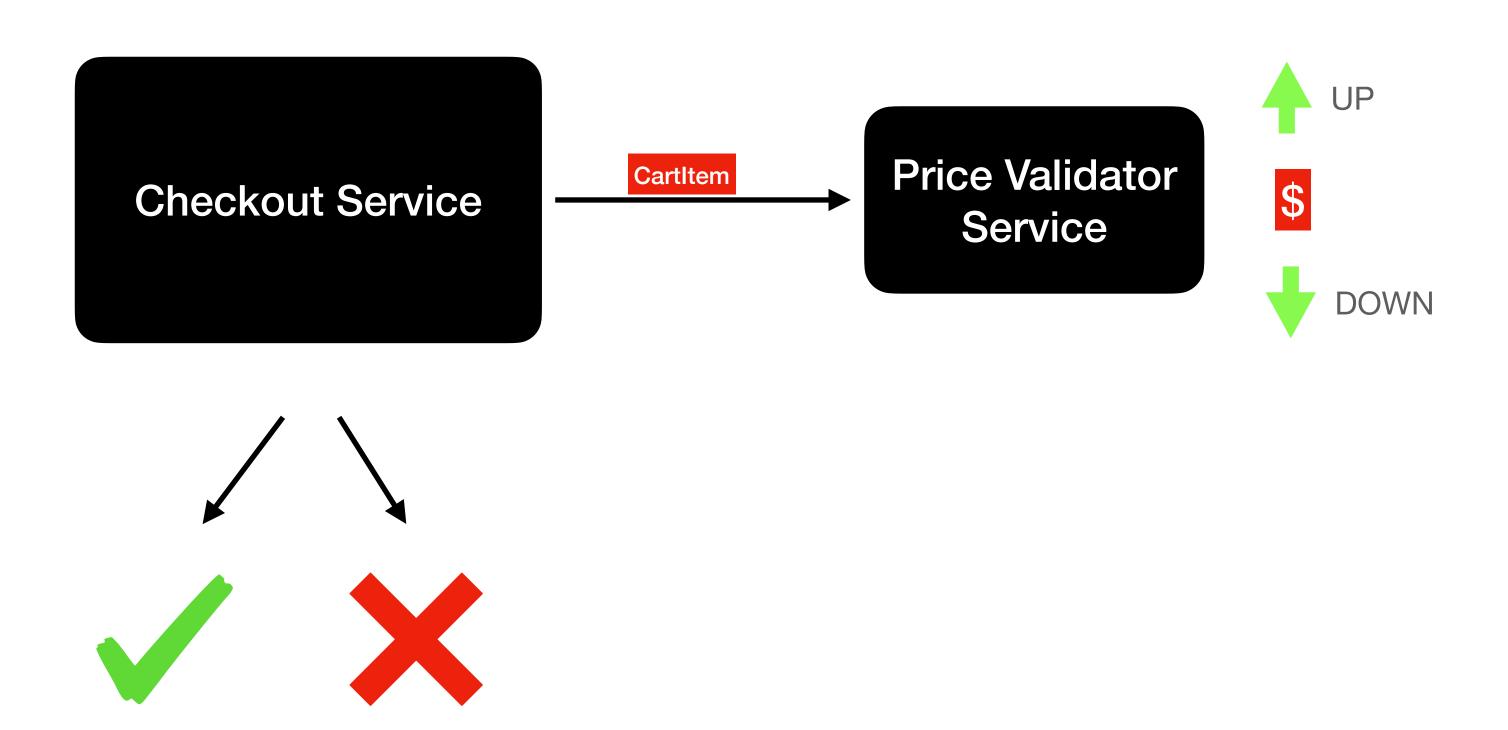
Used these functions when I would like to evaluate between sequential() and

parallel()

# Overview of the Retail Checkout Service

### Checkout Service(BackEnd)





## ParallelStreams How it works?

### ParallelStreams - How it works?

- parallelStream()
  - Split the data in to chunks
  - Execute the data chunks
  - Combine the result

### parallelStream() - How it works?



- Data Source is split in to small data chunks
  - Example List Collection split into chunks of elements to size 1
- This is done using Spliterators
  - For ArrayList, the Spliterator is ArrayListSpliterator

### Execute

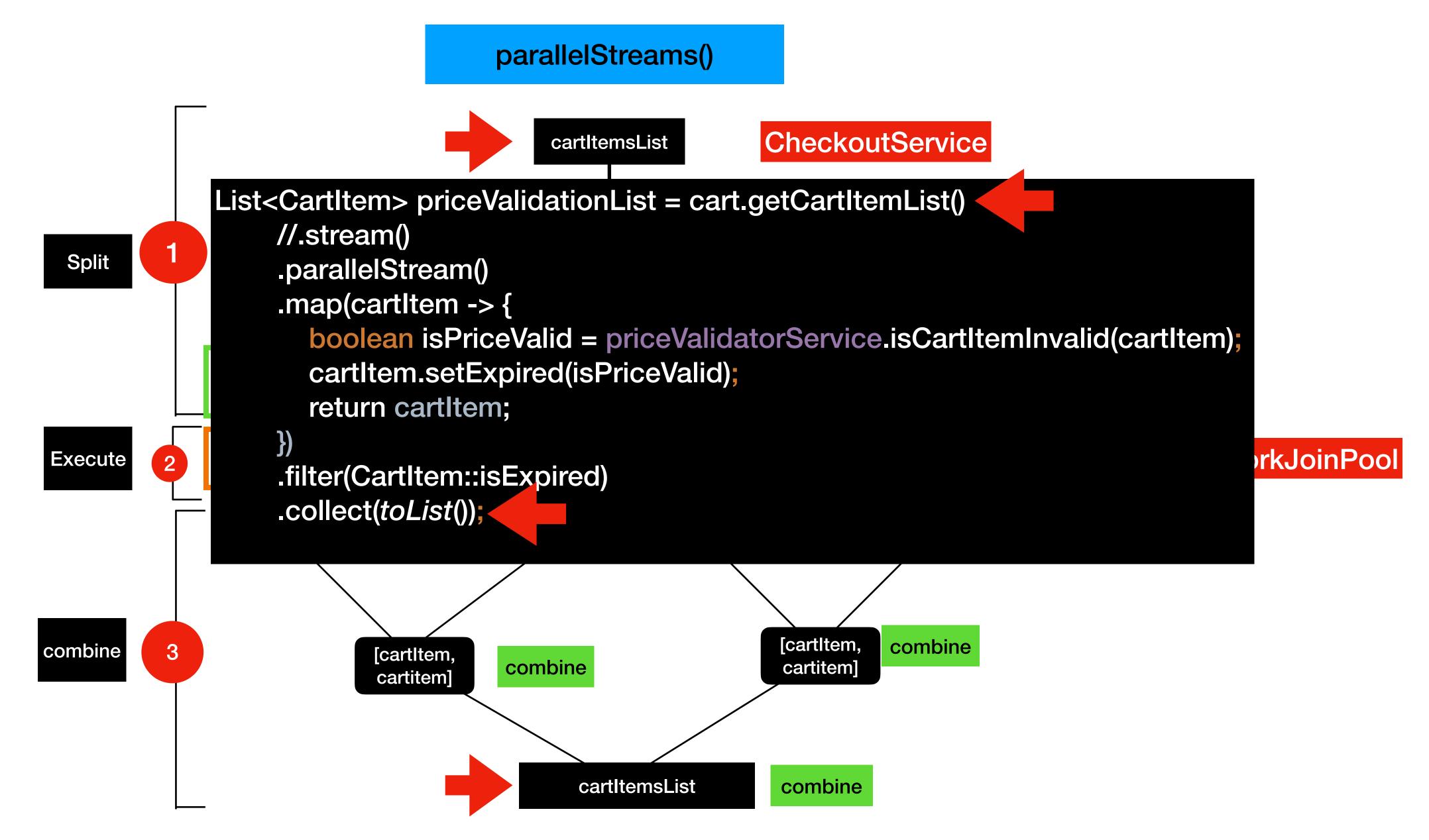


- Data chunks are applied to the Stream Pipeline and the Intermediate operations executed in a Common ForkJoin Pool
- Watch the Fork/Join FrameWork Lectures

### Combine

- Combine the executed results into a final result
- Combine phase in Streams API maps to terminal operations
- Uses collect() and reduce() functions
  - collect(toList())

## parallelStream() - How it works ?



# Comparing ArrayList vs LinkedList ParallelStreams Performance

### Spliterator in ParallelStreams

Data source is split in to multiple chunks by the Spliterator

Each and every collection has a different Spliterator Implementation

Performance differ based on the implementation

# Multiply each value in the collection by a user passed value



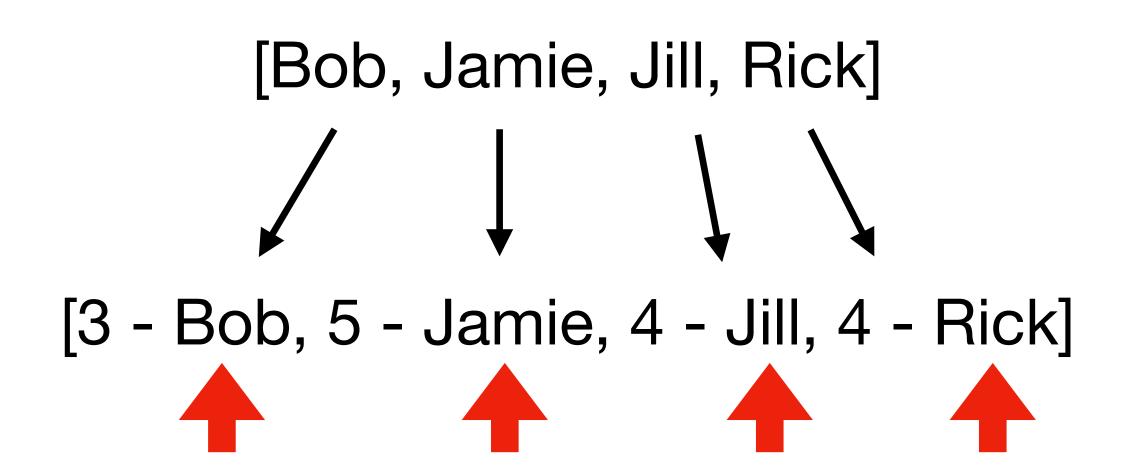
### Summary - Spliterator in ParallelStreams

- Invoking parallelStream() does not guarantee faster performance of your code
  - Need to perform additional steps compared to sequential
  - Splitting, Executing and Combining

Recommendation - Always compare the performance before you use parallelStream()

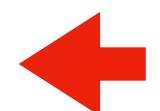
# Parallel Streams Final Computation Result Order

### Parallel Streams - Final Computation Result Order



### Parallel Streams - Final Computation Result Order

- The order of the collection depends on:
  - Type of Collection
  - Spliterator Implementation of the collection
- Example : ArrayList
  - Type of Collection Ordered



- Spliterator Implementation Ordered Spliterator Implementation
- Example : Set
  - Type of Collection UnOrdered
  - Spliterator Implementation UnOrdered Spliterator Implementation

# Collect & Reduce

## Collect() vs Reduce()

### Collect

- Part of Streams API
- Used as a terminal operation in Streams
   API
- Produces a single result
- Result is produced in a mutable fashion
- Feature rich and used for many different use cases
- Example

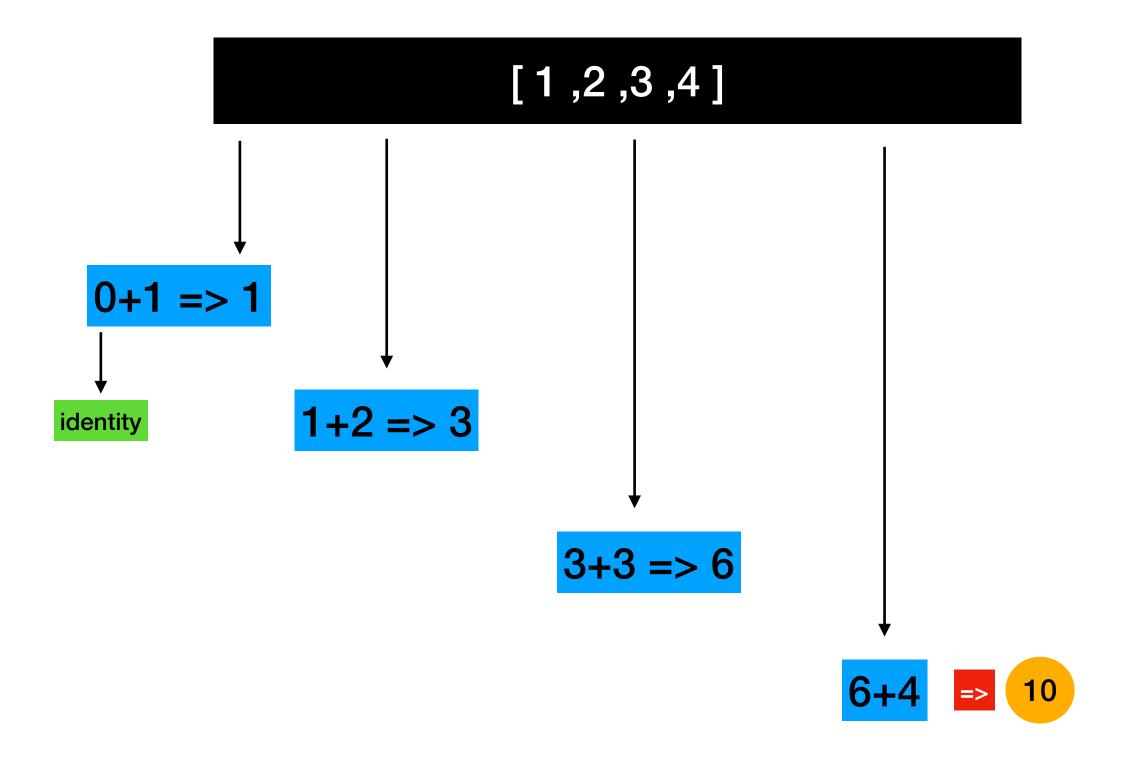


- collect(toList()), collect(toSet())
- collect(summingDouble(Double::doubleV alue));

### Reduce

- Part of Streams API
- Used as a terminal operation in Streams
   API
- Produces a single result
- Result is produced in a immutable fashion
- Reduce the computation into a single value
  - Sum, Multiplication
  - Example
    - Sum -> reduce(0.0, (x, y)->x+y)
    - Multiply -> reduce(1.0, (x, y)->x \* y)

### How reduce() works?



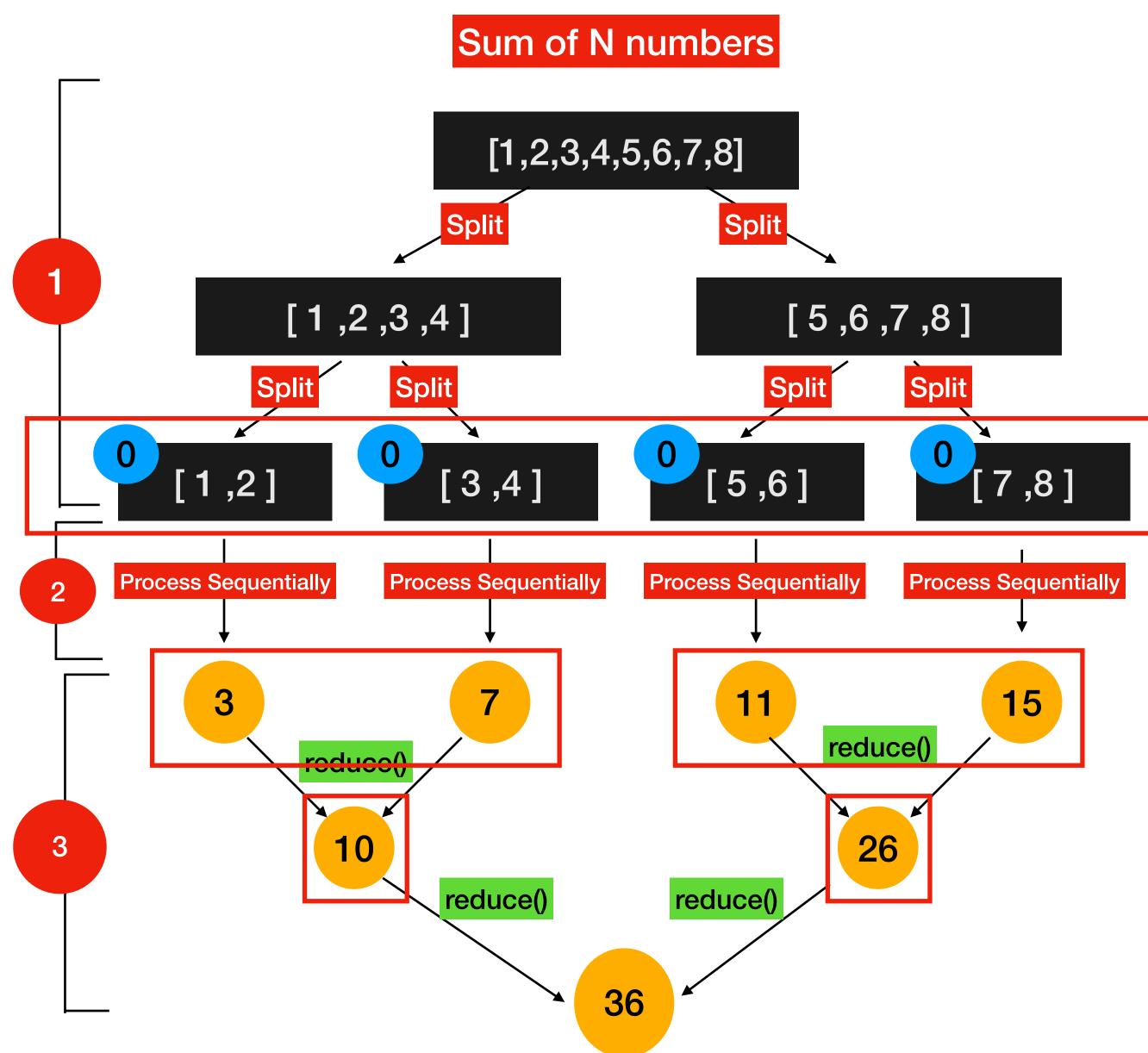
The reduce() function performs an immutable computation throughout in each and every step.

## How reduce() with ParallelStream works?

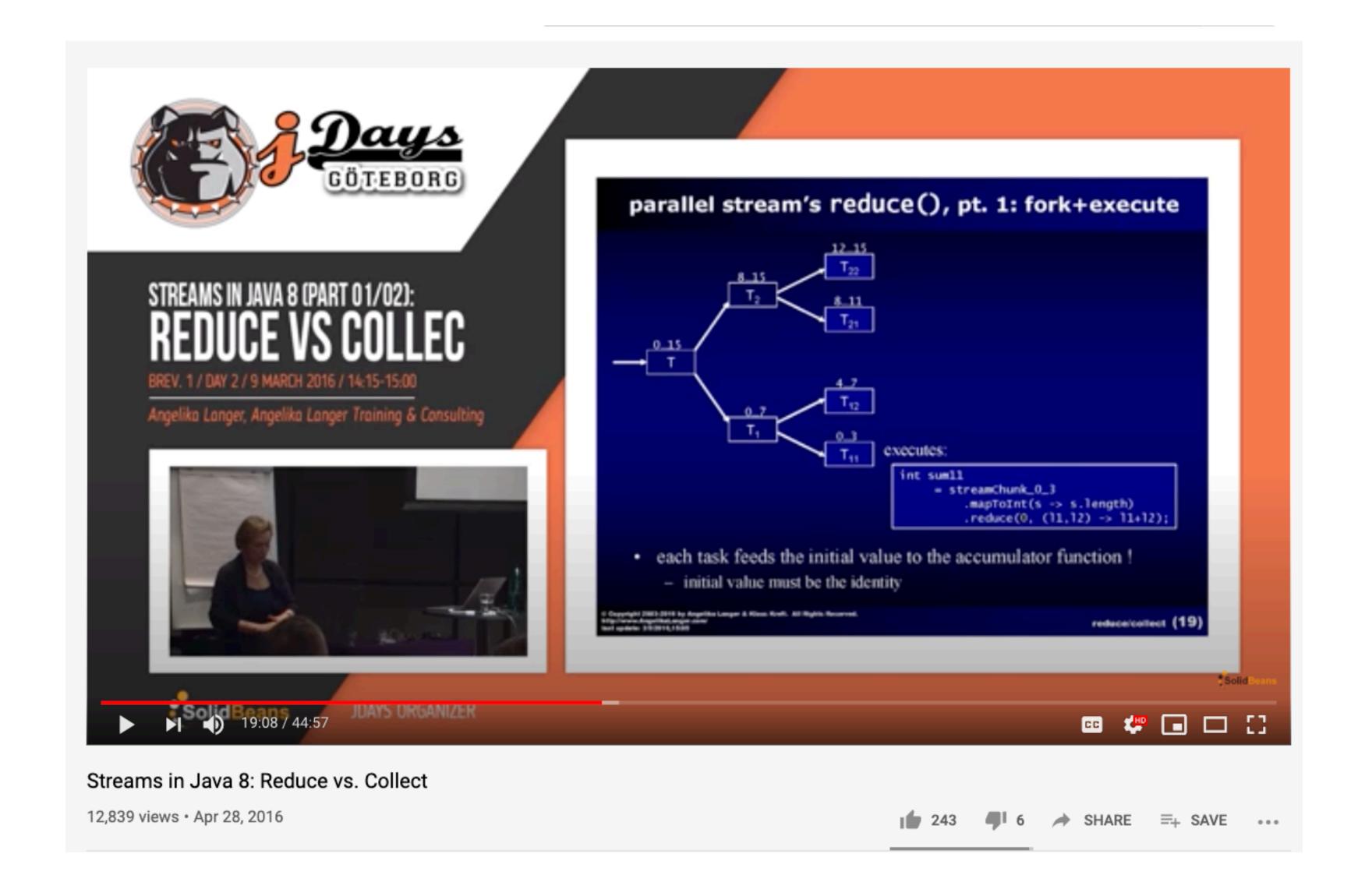
Sum of N numbers - reduce() and parallelStream()

```
public static int reduce_ParallelStream(){
    int sum= List.of(1,2,3,4,5,6,7, 8)
        .parallelStream()
        .reduce(identity: 0, (x,y)->x+y);
    return sum; 36
}
```

- 1 Spliterator
- 2 ForkJoinPool
- 3 Reduce



## Want to learn more?



## Collect() & Reduce() Hands-On

## Identity in reduce()

## Identity in reduce()

- Identity gives you the same value when its used in the computation
  - Addition: Identity = 0

• 
$$0 + 1 = > 1$$

• 
$$0 + 20 => 20$$

- Multiplication : Identity = 1
  - 1 \* 1 => 1
  - 1 \* 20 => **20**

Sum of N numbers - reduce() and parallelStream()

```
public static int reduce_ParallelStream(){
    int sum= List.of(1,2,3,4,5,6,7, 8)
        .parallelStream()
        .reduce(identity: 0, (x,y)->x+y);
    return sum;
}
```

reduce() is recommended for computations that are associative

## Parallel Stream Operations & Poor Performance

## Parallel Stream Operations & Poor Performance

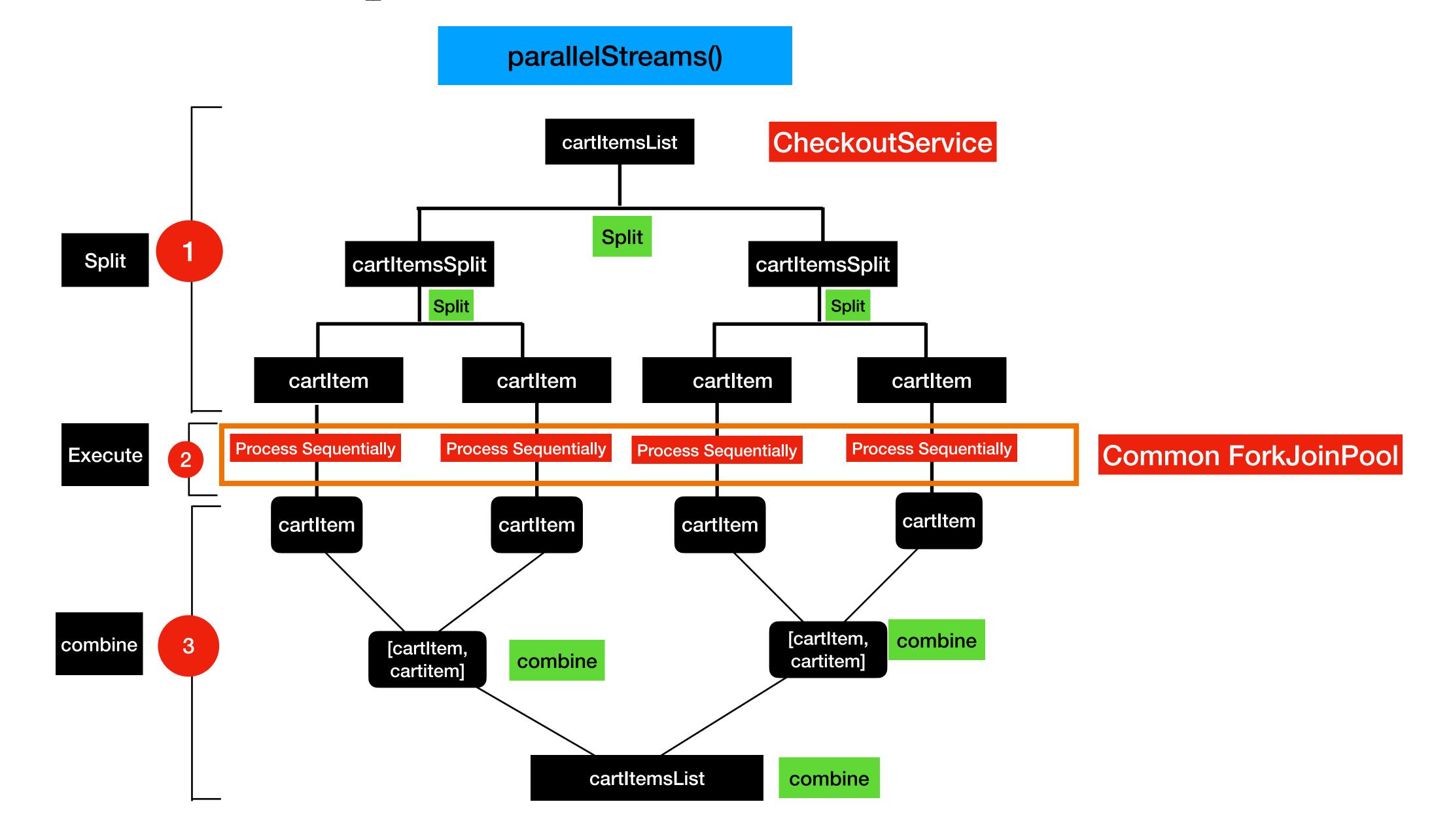
- Stream Operations that perform poor
- Impact of Boxing and UnBoxing when it comes to parallel Streams
  - Boxing -> Converting a Primitive Type to Wrapper class equivalent
    - 1 -> new Integer(1)
  - UnBoxing -> Converting a Wrapper class to Primitive equivalent
    - new Integer(1) -> 1

## Common ForkJoin Pool

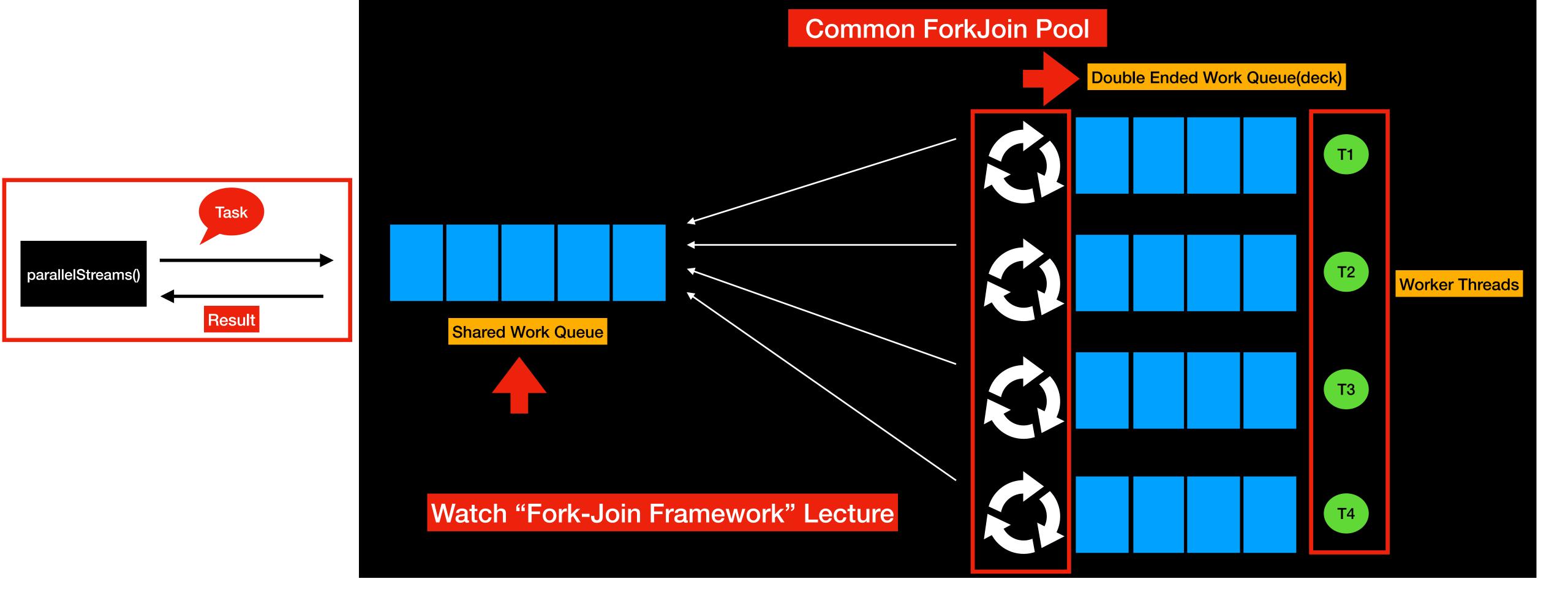
### Common ForkJoin Pool

## Execution Engine for Parallel Streams

## parallelStream() - How it works ?



## Common ForkJoin Pool



## Common ForkJoin Pool

- Common ForkJoin Pool is used by:
  - ParallelStreams
  - CompletableFuture
    - Completable Future have options to use a User-defined ThreadPools
  - Common ForkJoin Pool is shared by the whole process

# Parallelism & Threads in Common ForkJoinPool

### Parallelism & Threads in Common ForkJoinPool

- parallelStreams()
  - Runs your code in parallel
  - Improves the performance of the code
- Is there a way to look in to parallelism and threads involved?
  - Yes

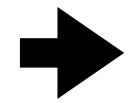
## Modifying Default parallelism in Parallel Streams

## Modifying Default parallelism



System.setProperty("java.util.concurrent.ForkJoinPool.common.parallelism", "100");





-Djava.util.concurrent.ForkJoinPool.common.parallelism=100

## Parallel Streams - Summary

- Parallel Streams do a lot compared to sequential(default) Streams
- Parallel Streams
  - Split
  - Execute
  - Combine

Computation takes a longer time to complete

Lots of data

More cores in your machine

Always compare the performance between sequential and parallel streams

- Parallel Streams
  - Split
  - Execute
  - Combine
- Data set is small
- Auto Boxing and Unboxing doesn't perform better
- Stream API operators -> iterate(), limit()

## CompletableFuture

## CompletableFuture

Introduced in Java 8

CompletableFuture is an Asynchronous Reactive Functional Programming API

Asynchronous Computations in a functional Style

CompletableFutures API is created to solve the limitations of Future API

## CompletableFuture and Reactive Programming

#### Responsive:

- Fundamentally Asynchronous
- Call returns immediately and the response will be sent when its available

#### Resilient:

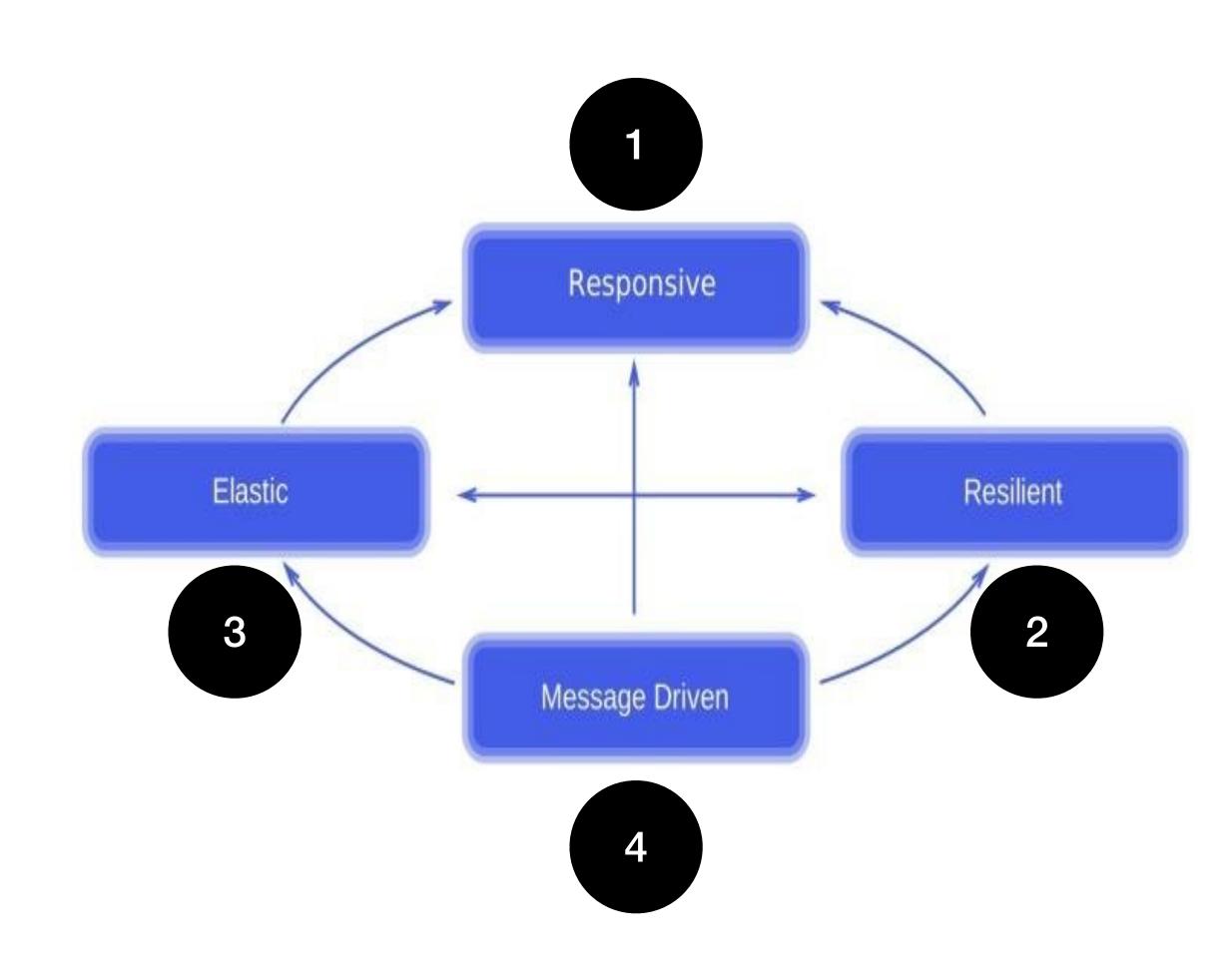
Exception or error won't crash the app or code

#### • Elastic:

- Asynchronous Computations normally run in a pool of threads
- No of threads can go up or down based on the need

#### Message Driven:

 Asynchronous computations interact with each through messages in a event-driven style



## CompletableFuture API

- Factory Methods
  - Initiate asynchronous computation
- Completion Stage Methods
  - Chain asynchronous computation



- Exception Methods
  - Handle Exceptions in an Asynchronous Computation

## Lets Write our First CompletableFuture

## CompletableFuture

#### supplyAsync()

- FactoryMethod
- Initiate Asynchronous computation
- Input is Supplier Functional Interface
- Returns CompletableFuture<T>()

#### thenAccept()

- CompletionStage Method
- Chain Asynchronous Computation
- Input is Consumer Functional Interface
  - Consumes the result of the previous
- Returns
   CompletableFuture<Void>
- Use it at the end of the Asynchronous computation

## thenApply()

## thenApply()

Completion Stage method

Transform the data from one form to another

• Input is **Function** Functional Interface

Returns CompletableFuture<T>

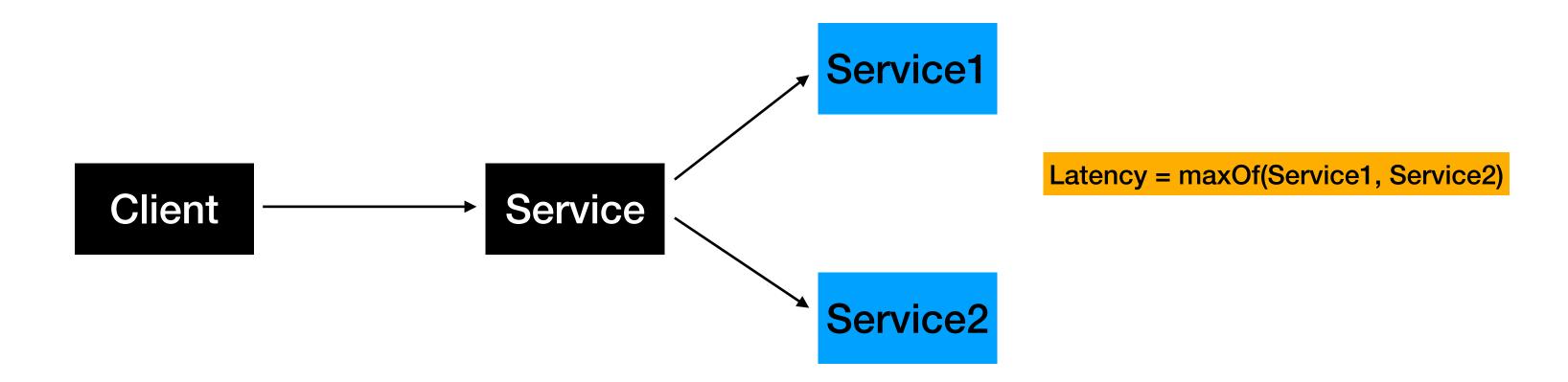
## CompletableFuture

## Unit Testing CompletableFuture

# Combing independent Async Tasks using "thenCombine"

## thenCombine()

- This is a Completion Stage Method
- Used to Combine Independent Completable Futures



- Takes two arguments
  - CompletionStage, BiFunction
- Returns a CompletableFuture

## thenCompose

## thenCompose()

Completion Stage method

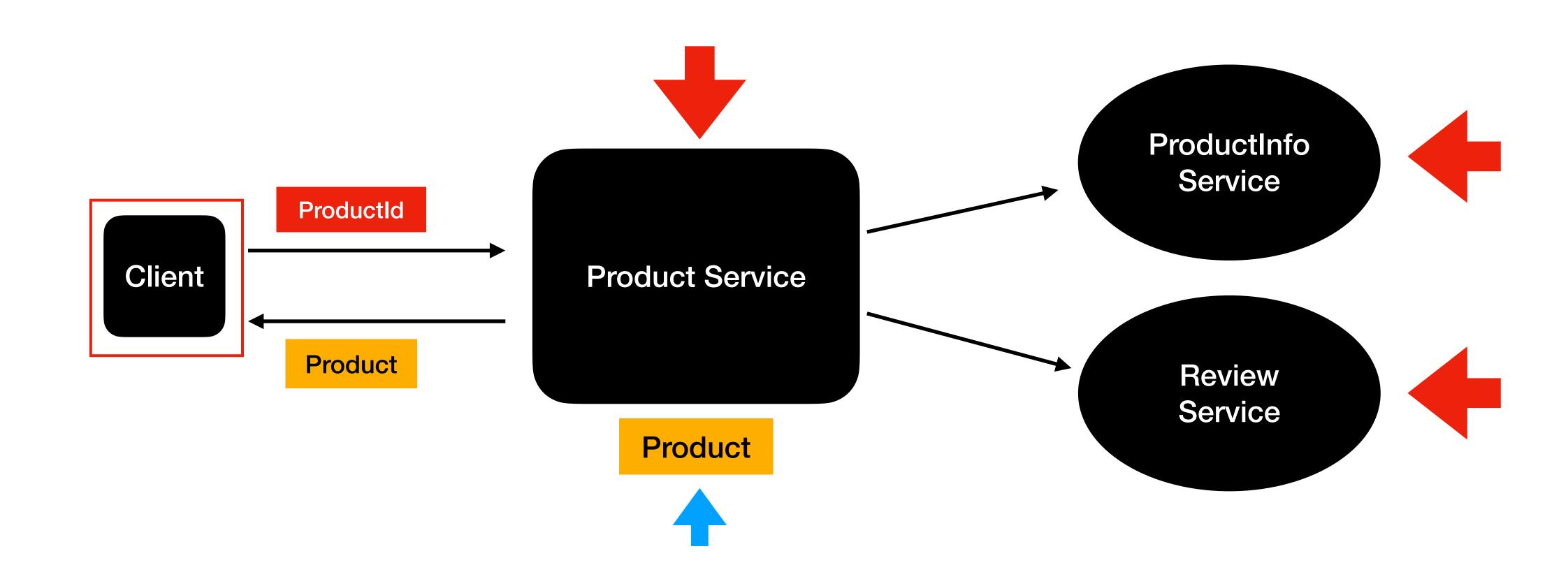
Transform the data from one form to another

Input is Function Functional Interface

```
public CompletableFuture<String> worldFuture(String input)
{
    return CompletableFuture.supplyAsync(()->{
        delay(1000);
        return input+" world!";
    });
}
```

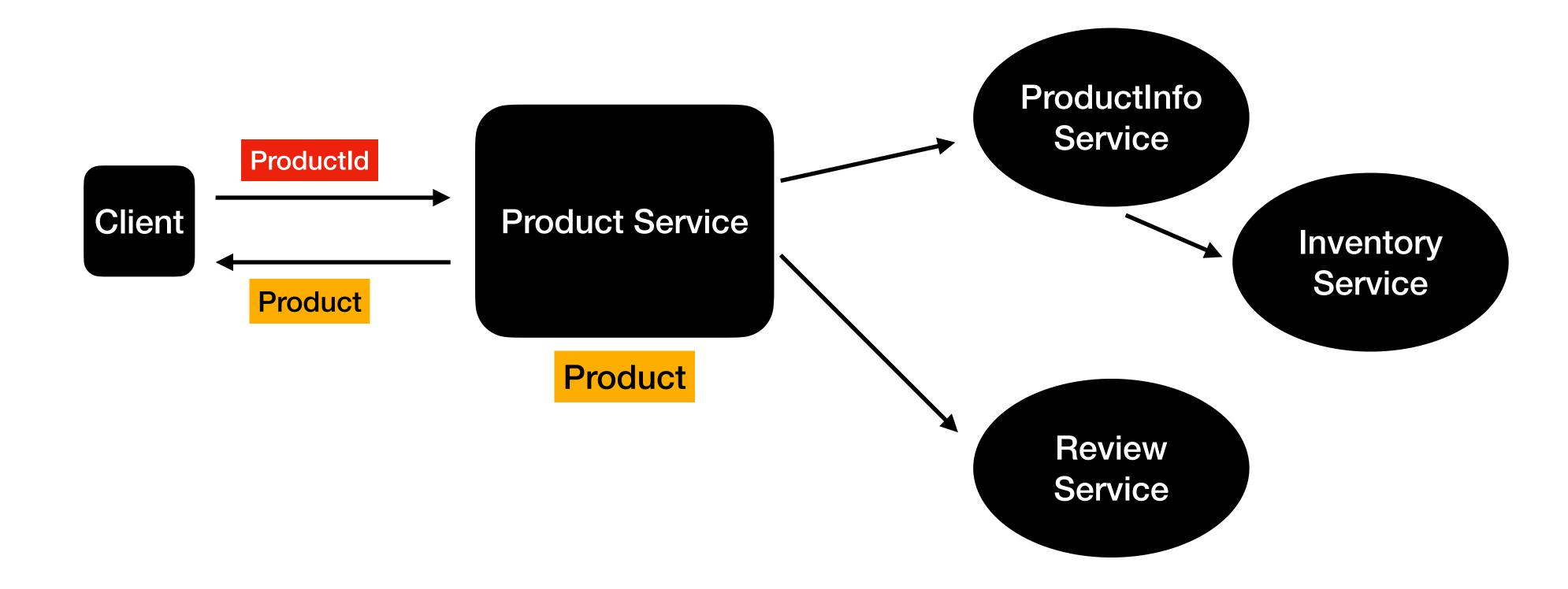
- Deals with functions that return CompletableFuture
  - thenApply deals with Function that returns a value
- Returns CompletableFuture<T>

#### Product Service



# Combining Streams & CompletableFuture

## Product Service with Inventory



# Exception Handling In CompletableFuture

## Exception Handling in Java

Exception Handling in Java is available since the inception of Java

```
public void exceptionHandling() {
    try {
        // Code Statements
        // Code Statements
        // Code Statements
        // Handle the Exception
    }
}
```

## **Exception Handling in CompletableFuture**

CompletableFuture is a functional style API

```
public String helloWorld_3_async_calls() {
    CompletableFuture<String> hello = CompletableFuture.supplyAsync(() -> this.hws.hello());
   CompletableFuture<String> world = CompletableFuture.supplyAsync(() -> this.hws.world());
   CompletableFuture<String> hiCompletableFuture = CompletableFuture.supplyAsync(() -> {
       delay( delayMilliSeconds: 1000);
       return " HI CompletableFuture!";
   });
   String hw = hello
            .thenCombine(world, (h, w) -> h + w) // (first, second)
            .thenCombine(hiCompletableFuture, (previous, current) -> previous + current)
            .thenApply(String::toUpperCase)
            .join();
   return hw;
```

### **Exception Handling in Completable Future**

#### try/catch

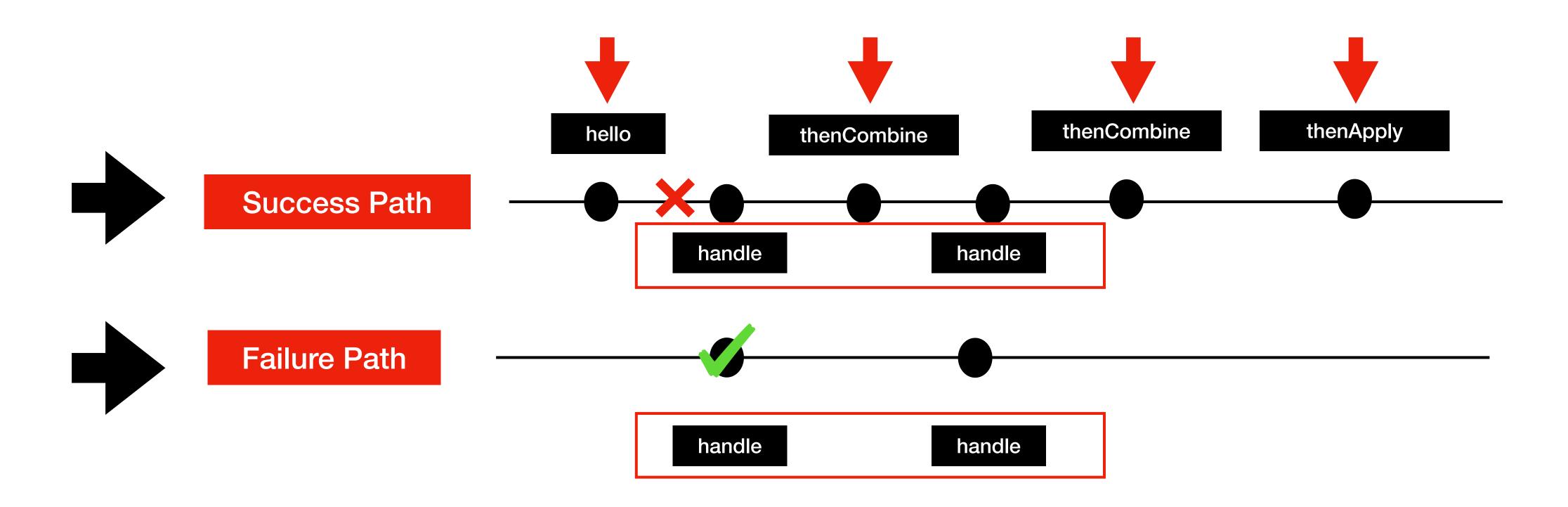
```
public String helloWorld_3_async_calls1() {
   try{
      CompletableFuture<String> hello = CompletableFuture.supplyAsync(() -> this.hws.hello());
      CompletableFuture<String> world = CompletableFuture.supplyAsync(() -> this.hws.world());
      CompletableFuture<String> hiCompletableFuture = CompletableFuture.supplyAsync(() -> {
         delay( delayMilliSeconds: 1000);
         return " HI CompletableFuture!";
      });
      String hw = hello
             .thenApply(Sting:.toUpperCase)
             .join();
      return hw;
   }catch (Exception e){
      log("Exception is " + e);
```

## **Exception Handling in CompletableFuture**

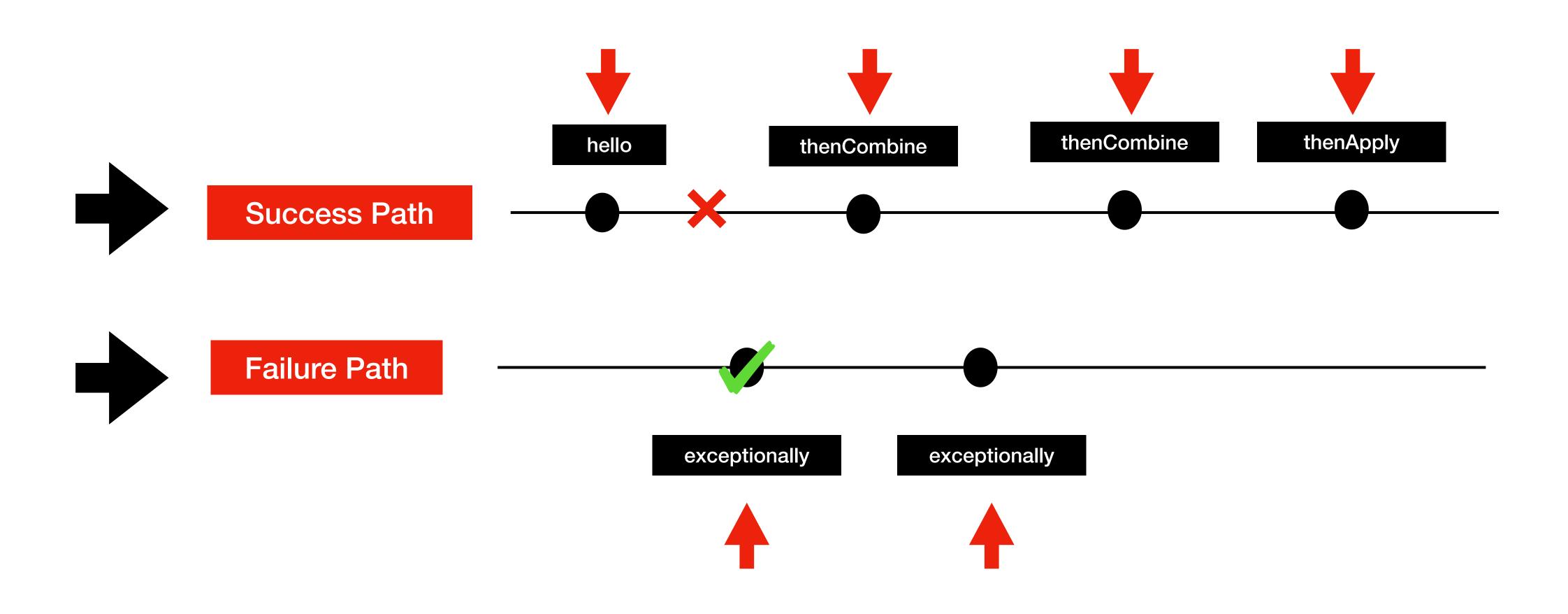
- CompletableFuture API has functional style of handling exceptions
- Three options available:
  - handle()
     exceptionally()

    Catch Exception and Recover
  - whenComplete() ———— Catch Exception and Does not Recover

## Exception Handling using handle()



## Exception Handling using exceptionally()



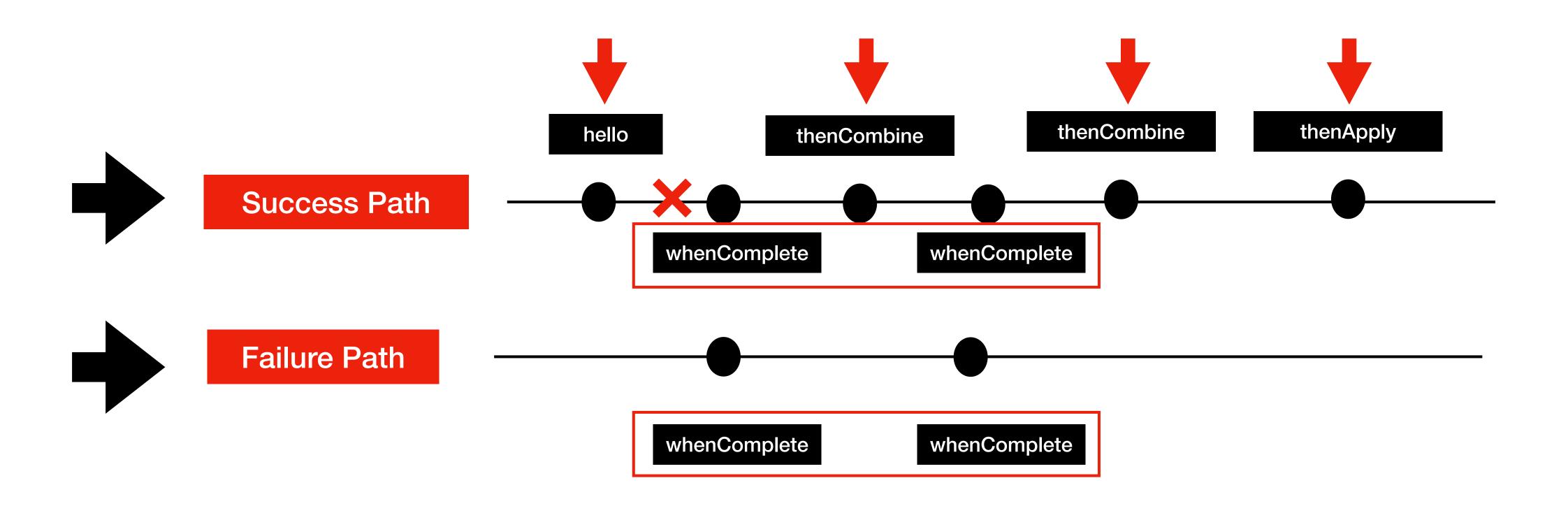
## when Handle()

## whenHandle()

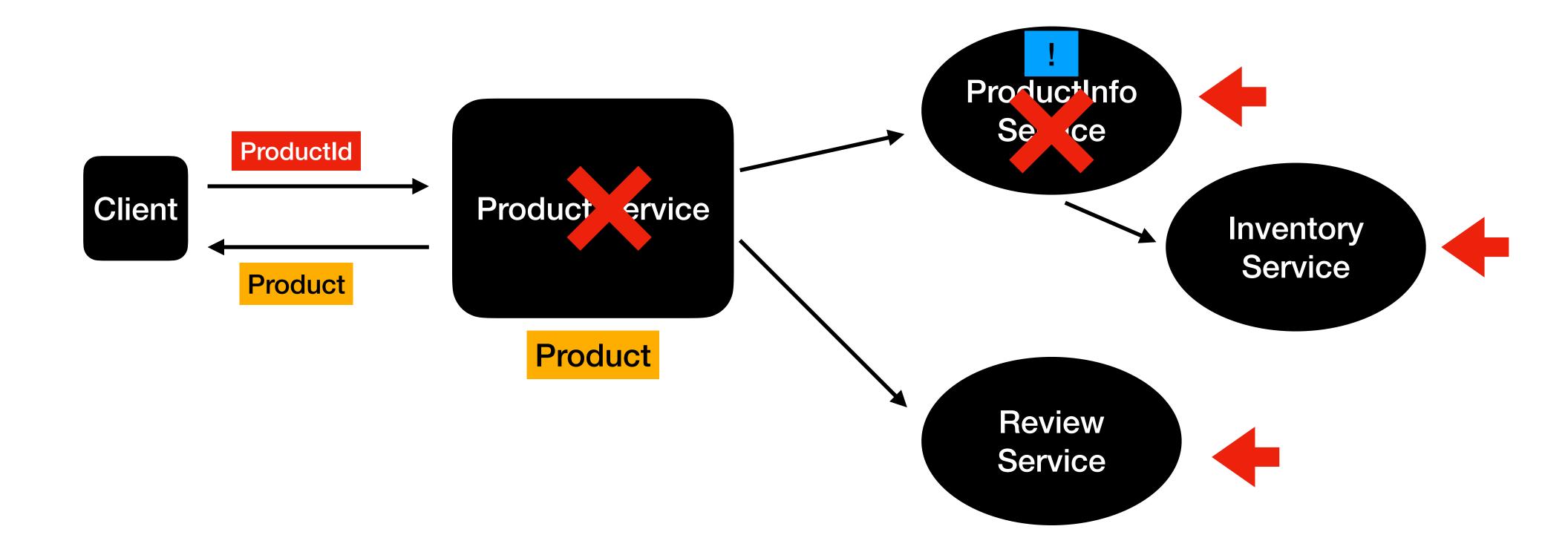
Exception handler in CompletableFuture API

Catches the Exception but does not recover from the exception

## Exception Handling using when Complete()



### Product Service with Inventory



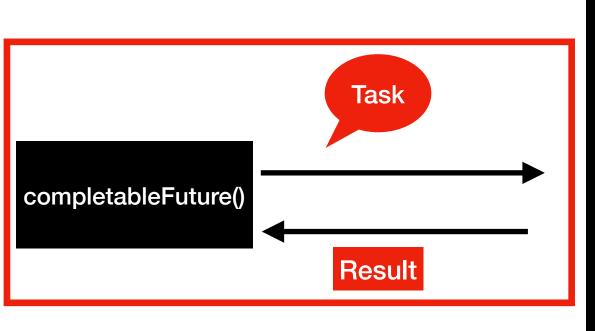
## CompletableFuture Default ThreadPool

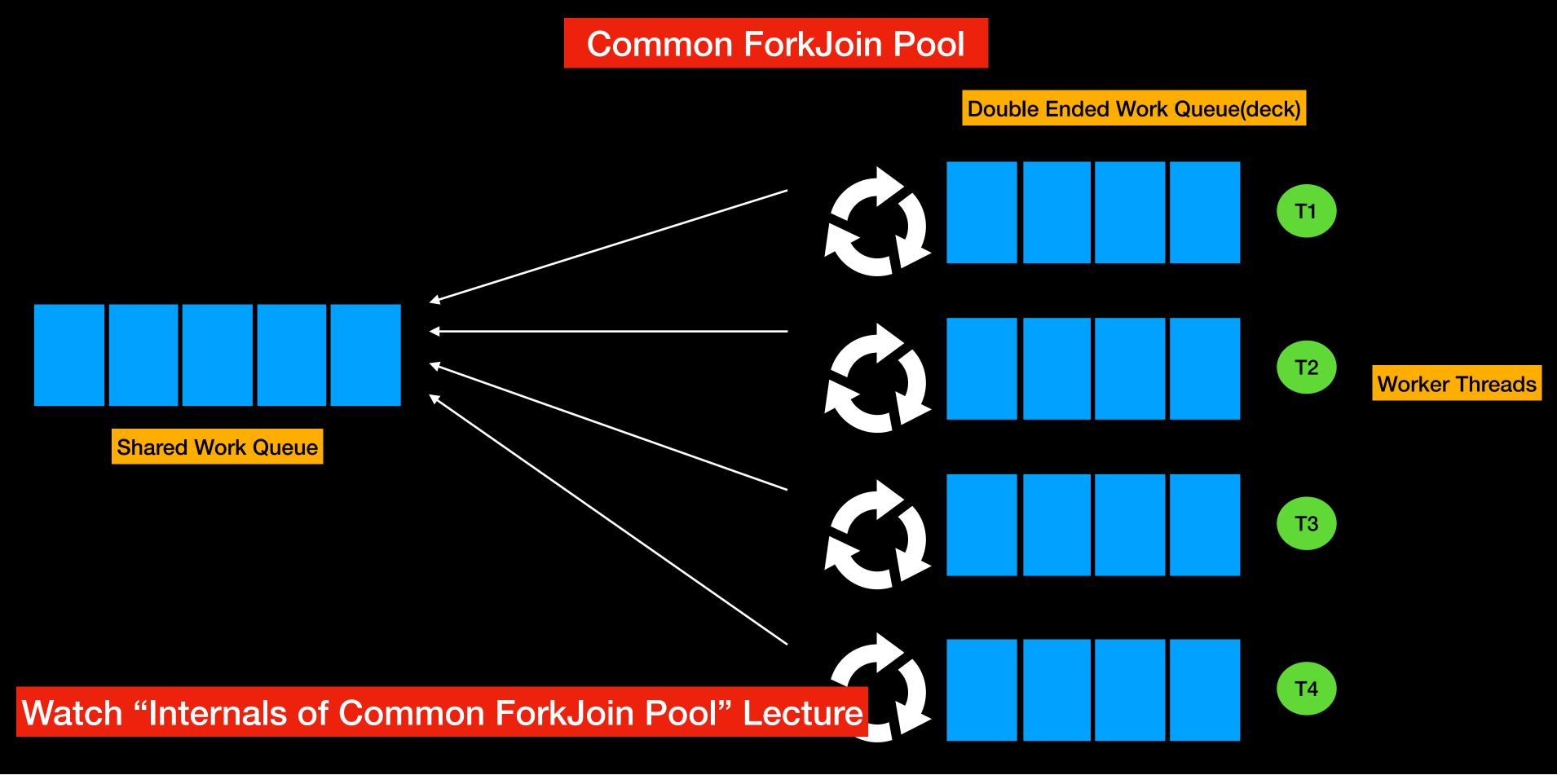
## CompletableFuture - ThreadPool

By default, CompletableFuture uses the Common ForkJoinPool

The no of threads in the pool == number of cores

#### Common ForkJoin Pool





## CompletableFuture User Defined ThreadPool using ExecutorService

## Why use a different ThreadPool?

- Common ForkJoinPool is shared by
  - ParallelStreams
  - CompletableFuture
- Its common for applications to use ParallelStreams and CompletableFuture together
  - The following issues may occur:
    - Thread being blocked by a time consuming task
    - Thread not available

### Creating a User-Defined ThreadPool

Executors.newFixedThreadPool(Runtime.getRuntime().availableProcessors());

## Threads In CompletableFuture

## Async() Overloaded Functions In CompletableFuture

#### **Async Overloaded Functions**

thenAccept()

## Async() Overloaded Functions

#### Regular Functions

- thenCombine()
- thenApply()
- thenCompose()
- thenAccept()

#### Async() overloaded Functions

thenCombineAsync()



- thenApplyAsync()
- thenComposeAsync()
- thenAcceptAsync()

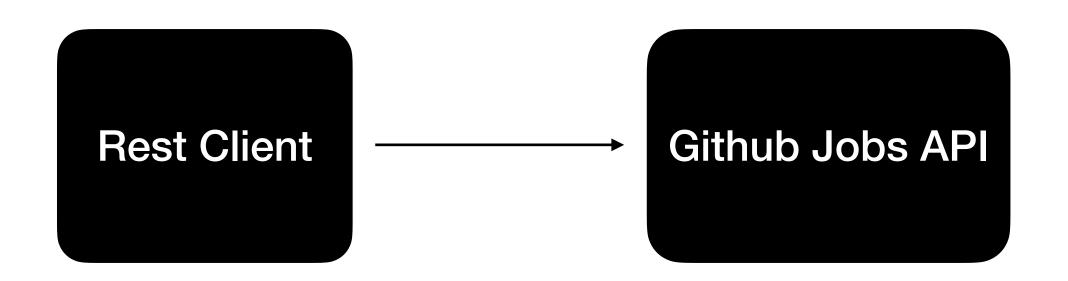
## Async() Overloaded Functions

Using async() functions allows you to change the thread of execution

Use this when you have blocking operations in your Completablefuture pipeline

## Introduction to Spring WebClient and Overview of the GitHub Jobs API

#### About this section



## Build Restful API Using Spring WebClient

## Why Spring WebClient?

Spring is one of the popular framework in the Java Community

Spring WebClient is a rest client library that's got released as part of Spring 5

Spring WebClient is a functional style RestClient

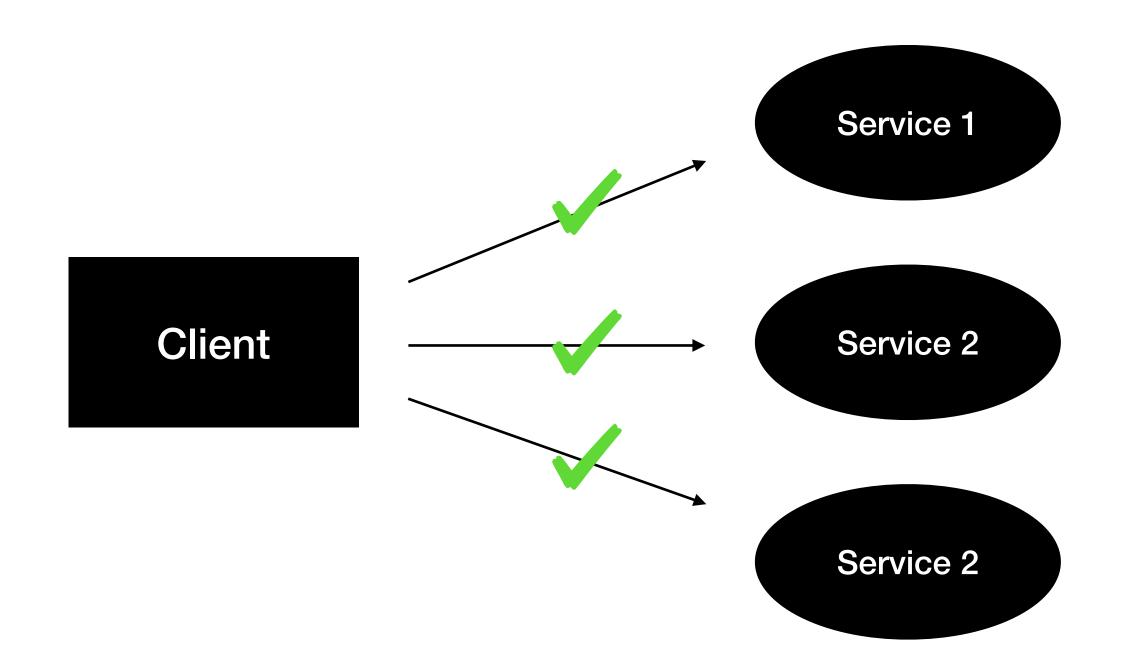
Spring WebClient can be used as a blocking or non blocking Rest Client

## Github Jobs API

## allOf()

## allOf() - Dealing with Multiple CompletableFutures

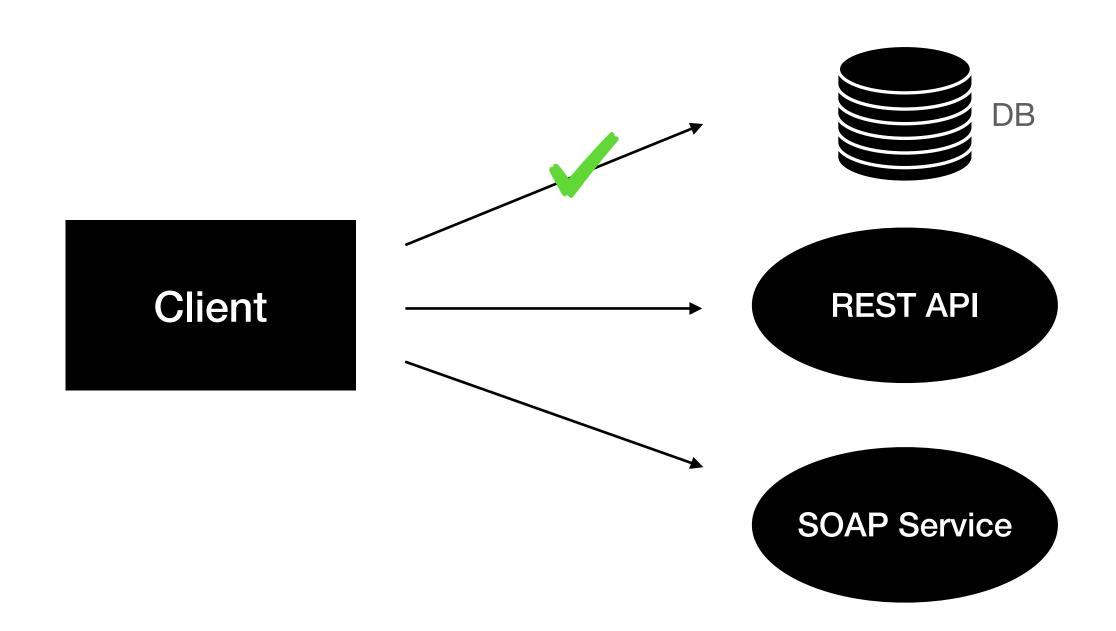
- static method that's part of CompletableFuture API
- Use allOf() when you are dealing with Multiple CompletableFuture



## anyOf()

### anyOf() - Dealing with Multiple CompletableFutures

- static method that's part of CompletableFuture API
- Use anyOf() when you are dealing with retrieving data from multiple Data Sources



## TimeOuts In CompletableFuture

## Timeouts in CompletableFuture

Asynchronous tasks may run indefinitely

Used to timeout a task in CompletableFuture

orTimeout() in CompletableFutureAPI