1、初始化

```
private static final int MAXIMUM_CAPACITY = 1 << 30; //数组最大的容量, 01000000
00000000 00000000 00000000
private static final int DEFAULT_CAPACITY = 16; //初始化容量
static final int MAX_ARRAY_SIZE = Integer.MAX_VALUE - 8; //
private static final int DEFAULT_CONCURRENCY_LEVEL = 16; //默认的并发级别,默认是16个
tsegment所以每个segment都可以被加上一把锁
private static final float LOAD_FACTOR = 0.75f; //默认的加载因子
static final int TREEIFY_THRESHOLD = 8; //树化的阈值
static final int UNTREEIFY_THRESHOLD = 6; //树退化的阈值
static final int MIN_TREEIFY_CAPACITY = 64;//树化的最小segment长度
private static final int MIN_TRANSFER_STRIDE = 16;//扩容线程每次最少要迁移16个hash桶
private static int RESIZE_STAMP_BITS = 16; //
private static final int MAX_RESIZERS = (1 << (32 - RESIZE_STAMP_BITS)) - 1; //最
多有多多少个线程可以同时对Map进行扩容
private static final int RESIZE_STAMP_SHIFT = 32 - RESIZE_STAMP_BITS; //
static final int MOVED = -1; // hash for forwarding nodes
static final int TREEBIN = -2; // hash for roots of trees
static final int RESERVED = -3; // hash for transient reservations
static final int HASH_BITS = 0x7fffffffff; // usable bits of normal node hash
transient volatile Node<K,V>[] table; //table数组
private transient volatile Node<K,V>[] nextTable; //resize的时候,记录下一个将使用的
private transient volatile long baseCount; //
private transient volatile int sizeCtl;//控制数组大小
private transient volatile int transferIndex; //扩容的时候新数组下标位置
private transient volatile int cellsBusy; //标识当前cell数组是否在初始化或扩容中的CAS标
private transient volatile CounterCell[] counterCells; //记录每一个segment的结点数量
counterCells数组,总数值的分值分别存在每个cell中
private transient KeySetView<K,V> keySet;
private transient ValuesView<K,V> values;
private transient EntrySetView<K,V> entrySet;
```

1.1 sizeCtl详解

- 未初始化: sizeCtl=0:表示没有指定初始容量。sizeCtl>0:表示初始容量。
- 初始化中: sizeCtl=-1,标记作用,告知其他线程,正在初始化
- 正常状态: sizeCtl=0.75n,扩容阈值
- 扩容中: sizeCtl < 0: 表示有其他线程正在执行扩容
- sizeCtl = (resizeStamp(n) << RESIZE_STAMP_SHIFT)+2 表示此时只有一个线程在执行扩容;

2、ConcurrentHashMap中的Node结点

• 这个和HashMap中的Node一致;

```
static class Node<K,V> implements Map.Entry<K,V> {
        final int hash;
        final K key;
        volatile V val;
        volatile Node<K,V> next;
        Node(int hash, K key, V val, Node<K,V> next) {
            this.hash = hash;
            this.key = key;
            this.val = val;
            this.next = next;
        }
        public final K getKey() { return key; }
public final V getValue() { return val; }
        public final int hashCode() { return key.hashCode() ^ val.hashCode();
}
        public final String toString(){ return key + "=" + val; }
        public final V setValue(V value) {
             throw new UnsupportedOperationException();
        }
        public final boolean equals(Object o) {
            Object k, v, u; Map.Entry<?,?> e;
             return ((o instanceof Map.Entry) &&
                     (k = (e = (Map.Entry<?,?>)o).getKey()) != null &&
                     (v = e.getValue()) != null &&
                     (k == key \mid\mid k.equals(key)) &&
                     (v == (u = val) \mid\mid v.equals(u));
        }
        /**
         * Virtualized support for map.get(); overridden in subclasses.
        Node<K,V> find(int h, Object k) {
            Node<K,V> e = this;
            if (k != null) {
                 do {
                     к ek;
                     if (e.hash == h &&
                         ((ek = e.key) == k \mid\mid (ek != null && k.equals(ek))))
                         return e;
                 } while ((e = e.next) != null);
             return null;
        }
    }
```

3、FowardingNode结点

```
//A node inserted at head of bins during transfer operations.
static final class ForwardingNode<K,V> extends Node<K,V> {
    final Node<K,V>[] nextTable;
    ForwardingNode(Node<K,V>[] tab) {
```

```
super(MOVED, null, null, null);
        this.nextTable = tab;
    }
    Node<K,V> find(int h, Object k) {
        // loop to avoid arbitrarily deep recursion on forwarding nodes
        outer: for (Node<K,V>[] tab = nextTable;;) {
            Node<K,V> e; int n;
            if (k == null \mid | tab == null \mid | (n = tab.length) == 0 \mid |
                 (e = tabAt(tab, (n - 1) \& h)) == null)
                return null;
            for (;;) {
                int eh; K ek;
                if ((eh = e.hash) == h &&
                     ((ek = e.key) == k \mid\mid (ek != null \&\& k.equals(ek))))
                     return e;
                if (eh < 0) {
                     if (e instanceof ForwardingNode) {
                         tab = ((ForwardingNode<K,V>)e).nextTable;
                         continue outer;
                     }
                     else
                         return e.find(h, k);
                }
                if ((e = e.next) == null)
                    return null;
            }
        }
   }
}
```

3、扰动函数

• 在hashMap中spread函数,最后会与HASH_BITS做与运算,这是因为MAXIMUM_CAPACITY = 01000000 00000000 00000000 000000000,保证HASH值

```
HASH_BITS = OX7FFFFFFF
01111111 11111111 11111111 11111111
```

```
//ConcurrentHashMap扰动函数,
static final int spread(int h) {
    return (h ^ (h >>> 16)) & HASH_BITS; //因为MAXIMUM_CAPACITY最高位必须为0, 所以计算完后还需要与HASH_BITS做与操作,将hash最高位置0,而最高位是为了帮助扩容的,因为sizeCtl < O时最高位为1,此时正在扩容;
    }

//hashMap中的扰动函数,
static final int hash(Object key) {
    int h;
    return (key == null) ? 0 : (h = key.hashCode()) ^ (h >>> 16);
}
```

4、构造函数

```
public ConcurrentHashMap(int initialCapacity) {
   if (initialCapacity < 0)</pre>
        throw new IllegalArgumentException();
   int cap = ((initialCapacity >= (MAXIMUM_CAPACITY >>> 1)) ?
               MAXIMUM_CAPACITY:
               tableSizeFor(initialCapacity + (initialCapacity >>> 1) + 1)); //#
算大于1.5*initialCapacity的2的幂次方
   this.sizeCtl = cap;//
}
public ConcurrentHashMap(int initialCapacity,
                         float loadFactor, int concurrencyLevel) {
    if (!(loadFactor > 0.0f) || initialCapacity < 0 || concurrencyLevel <= 0)</pre>
        throw new IllegalArgumentException();
   if (initialCapacity < concurrencyLevel) // Use at least as many bins</pre>
        initialCapacity = concurrencyLevel; // as estimated threads
    long size = (long)(1.0 + (long)initialCapacity / loadFactor); //
    int cap = (size >= (long)MAXIMUM_CAPACITY) ?
        MAXIMUM_CAPACITY : tableSizeFor((int)size);
   this.sizeCtl = cap; //
}
```

5、get方法

6、putval函数(向map中添加元素)

向concurrenthashmap中添加元素时,需要保证添加的key和value均不为null;

```
final V putVal(K key, V value, boolean onlyIfAbsent) {
       if (key == null || value == null) throw new NullPointerException();
//key、value均不能为null
       int hash = spread(key.hashCode()); //首先计算key的hash值
       int binCount = 0;
       for (Node<K,V>[] tab = table;;) { //遍历table
           Node<K,V> f; int n, i, fh; //fh记录结点f的hash
          if (tab == null || (n = tab.length) == 0) //如果table为空,说明map还没有
申请内存空间
              tab = initTable(); //初始化table;
           else if ((f = tabAt(tab, i = (n - 1) & hash)) == null) { //如果下标位
置为空
              //如果此位置没有元素,利用cas往下标位置加,如果为空才加,否则加不了;
              if (casTabAt(tab, i, null,
                          new Node<K,V>(hash, key, value, null))) //cas操作尝试
将插入结点到此位置
                  break;
                                        // no lock when adding to empty bin
           else if ((fh = f.hash) == MOVED) //如果当前map正在扩容,那么先协助扩容再更
新值;
              tab = helpTransfer(tab, f);
           else {
              V oldVal = null;
              synchronized (f) {//对桶的头节点加锁,某个线程在插入过程中,不允许其他线程
对桶中元素进行修改
                  if (tabAt(tab, i) == f) { //这里判断是因为为了防止添加元素后变成了
一颗树
                     if (fh >= 0) { //是普通的链表结构
                         binCount = 1;
                         for (Node<K,V> e = f;; ++binCount) { //循环遍历链表
                             //如果key相等则直接替换value,然后break;
                             if (e.hash == hash &&
                                 ((ek = e.key) == key | |
                                 (ek != null && key.equals(ek)))) {
                                oldval = e.val;
                                if (!onlyIfAbsent)
                                    e.val = value;
                                break:
                             }
```

```
//不相等则继续查找下一个元素
                             Node<K,V> pred = e;
                             //查找到末尾结点发现还是不相等,则插入新结点到末尾结点之后
                             if ((e = e.next) == null) {
                                 pred.next = new Node<K,V>(hash, key,
                                                         value, null);
                                 break;
                             }
                         }
                      else if (f instanceof TreeBin) { //如果fh < 0则不是链表结
构, 为树结构,
                          Node<K,V> p;
                         binCount = 2;
                          if ((p = ((TreeBin<K,V>)f).putTreeVal(hash, key,
                                                      value)) != null) {
                             oldval = p.val;
                             if (!onlyIfAbsent)
                                 p.val = value;
                         }
                      }
                  }
              }
              if (binCount != 0) {
                  if (binCount >= TREEIFY_THRESHOLD) //如果是链表,判断链表长度是否达
到了树化的阈值,如果达到了就需要树化
                      treeifyBin(tab, i);
                  if (oldVal != null)
                     return oldVal;
                  break;
              }
           }
       addCount(1L, binCount); //
       return null;
   }
```

6.1 initTable申请内存空间

- initTable是在多线程情况下, 假设同时有多个线程尝试initTable;
- 首先每个线程都会判断table是否为null,如果为空就会尝试初始化table;
- 如果当前sizeCtl < 0,那么说明table正在被其他线程创建,此线程就通过Thread.yield()函数挂起,让出cpu的执行权;
- 如果说sizeCtl>=0,那么当前table还没有被初始化,当前线程尝试CAS修改sizeCtl的内存值,如果 修改失败就重新进入循环;
- 如果当前线程修改sizeCtl内存值成功,说明当前线程获取到了初始化table的权限,尝试为table申请内存空间;
- 在申请前还要再次判断tab是否为空,
- 判断sizeCtl是否大于0,不大于0就使用默认的初始化容量;
- 申请内存空间;
- 将sizeCtl赋值为0.75初始化容量大小;

```
//初始化表的过程
private final Node<K,V>[] initTable() {
  Node<K,V>[] tab; int sc;
```

```
while ((tab = table) == null || tab.length == 0) { //如果表为空
           if ((sc = sizeCtl) < 0) //判断sizeCtl大小,我们根据sizeCtl来申请具体大小的
内存空间
               Thread.yield(); // lost initialization race; just spin
           else if (U.compareAndSwapInt(this, SIZECTL, sc, -1)) { //SIZECTL表示
sizeCtl的偏移量//通过CAS
               try {
                  if ((tab = table) == null || tab.length == 0) { //第二次还需要
判断是为了避免重复初始化;
                      int n = (sc > 0) ? sc : DEFAULT_CAPACITY;
                      @SuppressWarnings("unchecked")
                      Node<K,V>[] nt = (Node<K,V>[]) new Node<?,?>[n];
                      table = tab = nt;
                      sc = n - (n >>> 2); //0.75n
               } finally {
                  sizeCtl = sc; //记录扩容阈值
               break;
           }
       }
       return tab;
   }
```

6.2 CAS过程

```
o: 传入的对象;
offset: 偏移量
expected: 期待值;
x: 如果o对象offset处值为expected,那么将其修改为x;
```

```
compareAndSwapInt(Object o, long offset, int expected, int x);
```

6.3 treeifyBin函数树化过程

```
private final void treeifyBin(Node<K,V>[] tab, int index) {
       Node<K,V> b; int n, sc;
       if (tab != null) {
          if ((n = tab.length) < MIN_TREEIFY_CAPACITY) //如果table最大长度小于64,
则不会树化, 而是选择扩容
              tryPresize(n << 1); //扩容到原来的两倍
          //这里才会进行树化操作,因为是多线程情况下,所以一旦某个线程在树化,就会对Index位
置的桶加锁,其他线程无法树化
          else if ((b = tabAt(tab, index)) != null && b.hash >= 0) {
              synchronized (b) {
                 if (tabAt(tab, index) == b) {
                     TreeNode<K,V> hd = null, tl = null;
                     //循环将链表结构转换为树结构,这里不是真正的树化过程,只是通过
TreeNode的next指针将树连接起来
                     for (Node < K, V > e = b; e != null; e = e.next) {
                        TreeNode<K,V> p =
```

```
new TreeNode<K,V>(e.hash, e.key, e.val,
                                             null, null);
                         if ((p.prev = t1) == null)
                             hd = p;
                         else
                             tl.next = p;
                         t1 = p;
                      //调用TreeBin实现真正的树化过程,并且将树的根结点存放到index下标
的桶中
                      setTabAt(tab, index, new TreeBin<K,V>(hd));
                  }
              }
          }
       }
   }
//扩容过程,这里传入的size是原数组大小扩容为2倍的值
private final void tryPresize(int size) {
       //首先判断扩容后数组大小是否超过了MAXIMUM_CAPACITY,大于的化调整为
MAXIMUM_CAPACITY
       //否则,调用tableSizeFor调整为2的幂次方
       int c = (size >= (MAXIMUM_CAPACITY >>> 1)) ? MAXIMUM_CAPACITY :
           tableSizeFor(size + (size >>> 1) + 1);
       int sc; //记录sizeCtl
       while ((sc = sizeCtl) >= 0) {//自旋尝试扩容
           Node<K,V>[] tab = table; int n;
           //如果数组为nu11,或者数组长度为0,说明数组还没有初始化呢,那么进行初始化操作
           if (tab == null \mid (n = tab.length) == 0) {
              n = (sc > c) ? sc : c;//n为sizeCtl和c较大值
              //尝试CAS将sizeCtl的值替换为-1,也就是一个标记为,如果为-1说明有线程正在扩
容, CAS成功, 说明当前线程获得了扩容权限
              if (U.compareAndSwapInt(this, SIZECTL, sc, -1)) {
                  try {
                      //双重判断,当前线程进入后再判断一次table是否为空,为空才会申请内存
                      if (table == tab) {
                         @SuppressWarnings("unchecked")
                         Node<K,V>[] nt = (Node<K,V>[]) new Node<?,?>[n];
                         table = nt;
                         sc = n - (n >>> 2);
                      }
                  } finally {
                      //sizeCtl = 0.75*capacity;
                      sizeCt1 = sc;
                  }
              }
           //数组不为空,扩容值小于sizeCtl或者大于最大容量,直接Break;
           else if (c \leq sc \mid \mid n >= MAXIMUM_CAPACITY)
              break:
           //table不为空的扩容过程
           else if (tab == table) {
              int rs = resizeStamp(n);
              if (sc < 0) {
                  Node<K,V>[] nt;
                  //sc右移动16位后和rs判读是否值相等
                  if ((sc >>> RESIZE_STAMP_SHIFT) != rs || sc == rs + 1 ||
```

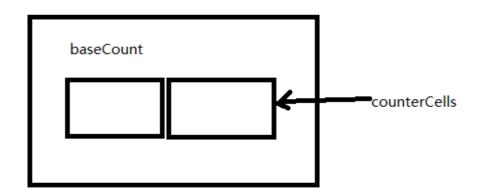
```
sc == rs + MAX_RESIZERS || (nt = nextTable) == null ||
                       transferIndex <= 0)</pre>
                   if (U.compareAndSwapInt(this, SIZECTL, sc, sc + 1))
                       transfer(tab, nt);
               }
               else if (U.compareAndSwapInt(this, SIZECTL, sc,
                                           (rs << RESIZE_STAMP_SHIFT) + 2))</pre>
                   transfer(tab, null);
           }
       }
   }
  TreeBin(TreeNode<K,V> b) {
           super(TREEBIN, null, null, null);
           this.first = b; //first取b的头节点
           TreeNode<K,V> r = null; //r记录根结点
           //循环遍历树结点,
           for (TreeNode<K,V> x = b, next; x != null; x = next) {
               next = (TreeNode<K,V>)x.next;
               x.left = x.right = null;
               if (r == null) {//如果开始r为空,那么r = x;
                   x.parent = null;
                   x.red = false;
                   r = x;
               }
               //
               else {
                   K k = x.key;
                   int h = x.hash;
                   class<?> kc = null;
                   for (TreeNode<K,V> p = r;;) {//p指向已经树化的那部分结点
                       int dir, ph;
                       K pk = p.key;
                       if ((ph = p.hash) > h)//判断ph的hash值和h的hash值,大于dir =
-1:
                           dir = -1;
                       else if (ph < h) //小于 , dir = 1;
                       //如果ph == h也就是hash值相等,那么调用tieBreakOrder判断需要插
入左子树还是右子树
                       else if ((kc == null &&
                                 (kc = comparableClassFor(k)) == null) ||
                                (dir = compareComparables(kc, k, pk)) == 0)
                           dir = tieBreakOrder(k, pk);
                           TreeNode<K,V> xp = p;
                       //如果dir <= 0, 并且p.left为空,那么就将结点插入到左子树。
                       // 如果dir > 0, 并且p.right为空,那么就将结点插入到右子树。
                       if ((p = (dir <= 0) ? p.left : p.right) == null) {</pre>
                          x.parent = xp;
                          if (dir <= 0)
                              xp.left = x;
                           else
                               xp.right = x;
                           //插值完成后,可能会破坏红黑树的特性,这里需要平衡一次
                           r = balanceInsertion(r, x);
```

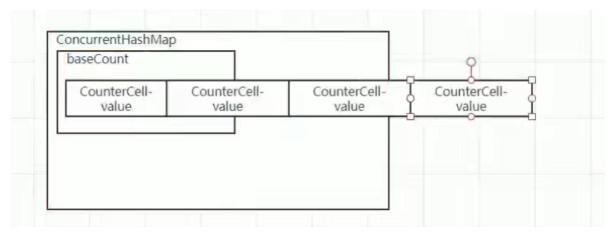
```
break;
                     }
                  }
              }
           }
           this.root = r;
           assert checkInvariants(root);
       }
 * 用这个方法来比较两个对象,返回值要么大于0,要么小于0,不会为0
 * 也就是说这一步一定能确定要插入的节点要么是树的左节点,要么是右节点,不然就无继续满足二叉树结
 * 先比较两个对象的类名,类名是字符串对象,就按字符串的比较规则
 * 如果两个对象是同一个类型,那么调用本地方法为两个对象生成hashCode值,再进行比较,hashCode
相等的话返回-1
 */
static int tieBreakOrder(Object a, Object b) {
   if (a == null || b == null ||
       (d = a.getClass().getName().
        compareTo(b.getClass().getName())) == 0)
       d = (System.identityHashCode(a) <= System.identityHashCode(b) ?</pre>
           -1:1);
   return d;
}
//平衡过程和HashMap的一样的,因为能够进入这个函数的时候,当前线程已经对桶加了锁,所以这里面不需
要加锁考虑并发问题
static <K,V> TreeNode<K,V> balanceInsertion(TreeNode<K,V> root,
                                               TreeNode<K,V> x) {
           x.red = true;
           for (TreeNode<K,V> xp, xpp, xpp1, xppr;;) {
              if ((xp = x.parent) == null) {
                  x.red = false;
                  return x;
              else if (!xp.red || (xpp = xp.parent) == null)
                  return root;
              if (xp == (xppl = xpp.left)) {
                  if ((xppr = xpp.right) != null && xppr.red) {
                      xppr.red = false;
                     xp.red = false;
                     xpp.red = true;
                     x = xpp;
                  }
                  else {
                      if (x == xp.right) {
                         root = rotateLeft(root, x = xp);
                         xpp = (xp = x.parent) == null ? null : xp.parent;
                      if (xp != null) {
                         xp.red = false;
                         if (xpp != null) {
                             xpp.red = true;
                             root = rotateRight(root, xpp);
                         }
                      }
```

```
}
        else {
            if (xppl != null && xppl.red) {
                xppl.red = false;
                xp.red = false;
                xpp.red = true;
                x = xpp;
            }
            else {
                if (x == xp.left) {
                    root = rotateRight(root, x = xp);
                    xpp = (xp = x.parent) == null ? null : xp.parent;
                }
                if (xp != null) {
                    xp.red = false;
                    if (xpp != null) {
                        xpp.red = true;
                        root = rotateLeft(root, xpp);
               }
           }
       }
   }
}
```

7、扩容过程

7.1 addCount判断是否需要扩容



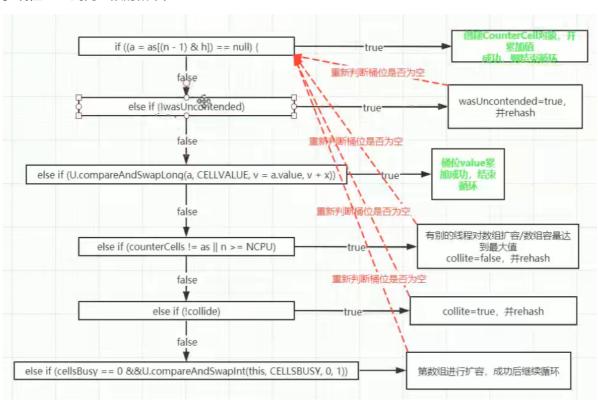


- 首先尝试对baseCount进行加操作,如果没有加成功则找对应的桶下标的CounterCell做加一操作;
- 这里之之所以需要使用counterCells是因为多个线程尝试对baseCount增加压力过大,使用 counterCells数组分担压力,最后计算具体的count值,只需要将baseCount的值和counterCells里面的值求和就行;

```
private final void addCount(long x, int check) {
       CounterCell[] as; long b, s; //as中记录的是每个桶的结点数量
       //尝试把数量加到baseCount上,如果失败了再加到分段的CounterCell上
       if ((as = counterCells) != null ||
           !U.compareAndSwapLong(this, BASECOUNT, b = baseCount, s = b + x)) {
           CounterCell a; long v; int m;
           boolean uncontended = true;
           //如果当前as为空,或者长度为0,或者当前线程所在的段为null,或者当前线程的段上加数
量失败
           if (as == null || (m = as.length - 1) < 0 ||
               (a = as[ThreadLocalRandom.getProbe() & m]) == null ||
               !(uncontended =
                U.compareAndSwapLong(a, CELLVALUE, v = a.value, v + x))) {
              //强制增加数量,不同线程对应的不同段都更新失败说明冲突已经发生,对
counterCells进行扩容,减少多线程hash到同一段的概率;
              fullAddCount(x, uncontended);
               return:
           }
           //check大于1,为添加元素,小于等于1则不是
           if (check <= 1)
               return;
           //计算数组元素个数
           s = sumCount();
       if (check >= 0) {
           Node<K,V>[] tab, nt; int n, sc;
           while (s >= (long)(sc = sizeCtl) && (tab = table) != null &&
                 (n = tab.length) < MAXIMUM_CAPACITY) {</pre>
              //resizeStamp会将n向移动15为得到值给rs
              int rs = resizeStamp(n);
              if (sc < 0) {//小于0说明有其他线程正在执行扩容操作,线程会协助扩容
                  if ((sc >>> RESIZE_STAMP_SHIFT) != rs || sc == rs + 1 ||
                      sc == rs + MAX_RESIZERS || (nt = nextTable) == null ||
                      transferIndex <= 0)</pre>
                      break;
                  if (U.compareAndSwapInt(this, SIZECTL, sc, sc + 1))
```

7.2 fullAddCount函数 (countercells为空,或者当线程无法对countercells加值时)

强制增加数量,不同线程对应的不同段都更新失败说明冲突已经发生,对counterCells进行扩容,减少 多线程hash到同一段的概率;



```
//如果counterCells不为空,并且as的长度大于0
           if ((as = counterCells) != null && (n = as.length) > 0) {
              //如果当前线程对应的counterCell位置中槽位为空,在此位置添加一个
CounterCell元素;
              //再并发环境下,可能存在多线程同时尝试对counterCells槽中位置添加
counterCell所以cellBusy是一个标记位
              //如果cellsBusy为0,才可以添加counterCell;
              if ((a = as[(n - 1) \& h]) == null) {
                  //如果当前CounterCell没有再扩容,使用Double Check;
                  if (cellsBusy == 0) {
                                                // Try to attach new Cell
                      CounterCell r = new CounterCell(x); // Optimistic create
                      //
                      if (cellsBusy == 0 &&
                         U.compareAndSwapInt(this, CELLSBUSY, 0, 1)) {
                         boolean created = false;
                         //尝试在j位置添加counterCell;
                         try {
                                           // Recheck under lock
                             CounterCell[] rs; int m, j;
                             if ((rs = counterCells) != null &&
                                 (m = rs.length) > 0 \&\&
                                 rs[j = (m - 1) \& h] == null) {
                                 rs[j] = r;
                                 created = true;
                             }
                         } finally {
                             //添加成功后将cellsBusy置为0
                             cellsBusy = 0;
                         }
                         if (created)
                             break;
                         continue; // Slot is now non-empty
                      }
                  collide = false;
              //如果对应counterCells槽位置不为空
                                       // CAS already known to fail
              else if (!wasUncontended)
                                           // Continue after rehash
                  wasUncontended = true;
              //尝试CAS修改countercells对应槽中的value值;
              else if (U.compareAndSwapLong(a, CELLVALUE, v = a.value, v + x))
                  break:
              //如果数组的长度大于cpu个数,没必要进行扩容了
              else if (counterCells != as || n >= NCPU)
                                          // At max size or stale
                  collide = false;
              //为扩容做准备
              else if (!collide)
                  collide = true;
              //如果这些线程尝试向counterCells中添加元素失败了,说明这个countercells太
小了,需要进行扩容操作。CAS + 自旋尝试对counterCells扩容,扩容为原来的两倍,并且将原来的
counterCells数组元素拷贝到新数组中;
              else if (cellsBusy == 0 &&
                      U.compareAndSwapInt(this, CELLSBUSY, 0, 1)) {
                  try {
                      if (counterCells == as) {// Expand table unless stale
                         CounterCell[] rs = new CounterCell[n << 1];</pre>
                         for (int i = 0; i < n; ++i)
                             rs[i] = as[i];
```

```
counterCells = rs;
                                                                                          }
                                                                           } finally {
                                                                                          cellsBusy = 0;
                                                                           collide = false;
                                                                           continue;
                                                                                                                                                                                   // Retry with expanded table
                                                            h = ThreadLocalRandom.advanceProbe(h);
                                             //如果CounterCells没有被初始化,没有其他线程对其进行初始化,那么当前线程尝试CAS
修改cellBusy为1
                                             //默认初始化为2
                                             else if (cellsBusy == 0 && counterCells == as &&
                                                                               U.compareAndSwapInt(this, CELLSBUSY, 0, 1)) {
                                                            boolean init = false;
                                                            try {
                                                                                                                                                                                   // Initialize table
                                                                           if (counterCells == as) {
                                                                                          CounterCell[] rs = new CounterCell[2];
                                                                                          rs[h \& 1] = new CounterCell(x);
                                                                                          counterCells = rs;
                                                                                          init = true;
                                                            } finally {
                                                                           cellsBusy = 0;
                                                            }
                                                            if (init)
                                                                          break;
                                             }
                                             //如果数组正在被创建的过程中,那么当选线程优先往basecount里面加值;
                                             else if (U.compareAndSwapLong(this, BASECOUNT, v = baseCount, v + baseCount, v 
x))
                                                            break;
                                                                                                                                                                                   // Fall back on using base
                              }
               }
```

7.3 helpTransfer协助扩容

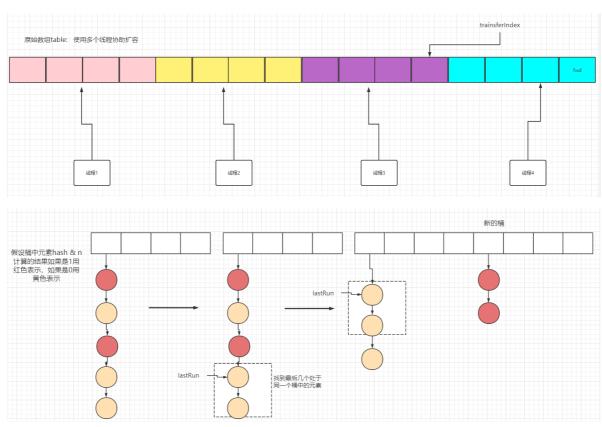
```
final Node<K,V>[] helpTransfer(Node<K,V>[] tab, Node<K,V> f) {
   Node<K,V>[] nextTab; int sc;
   if (tab != null && (f instanceof ForwardingNode) &&
       (nextTab = ((ForwardingNode<K,V>)f).nextTable) != null) {
       int rs = resizeStamp(tab.length); //n左移动15位
       //如果有线程正在执行扩容
       while (nextTab == nextTable && table == tab &&
              (sc = sizeCt1) < 0) {
           //判断是否已经有足够的的线程进行扩容,也就是slot不够
           if ((sc >>> RESIZE_STAMP_SHIFT) != rs || sc == rs + 1 ||
               sc == rs + MAX_RESIZERS || transferIndex <= 0)</pre>
               break:
           //Cas尝试协助扩容;
           if (U.compareAndSwapInt(this, SIZECTL, sc, sc + 1)) {
               transfer(tab, nextTab);
               break;
           }
```

```
}
return nextTab;
}
return table;
}
```

7.4 transfer迁移元素

每个线程负责一部分的元素进行迁移,最小的任务单元的16;

如果table某个slot位置的元素已经被迁移完成,则将其位置设置为ForwardingNode结点;结点内部 hash值为MOVED



```
private final void transfer(Node<K,V>[] tab, Node<K,V>[] nextTab) {
       int n = tab.length, stride;
       //最小的任务单元是16个
       if ((stride = (NCPU > 1) ? (n >>> 3) / NCPU : n) < MIN_TRANSFER_STRIDE)</pre>
           stride = MIN_TRANSFER_STRIDE; // subdivide range
       //如果是正在扩容的线程而不是协助扩容的线程
       if (nextTab == null) {
                                       // initiating
           try {
               @SuppressWarnings("unchecked")
               //新建一个数组,容量为原来数组的两倍
               Node<K,V>[] nt = (Node<K,V>[]) new Node<?,?>[n << 1];
               nextTab = nt;
                                   // try to cope with OOME
           } catch (Throwable ex) {
               sizeCtl = Integer.MAX_VALUE;
               return;
           //nextTable记录新数组
           nextTable = nextTab;
           //记录旧数组的size
           transferIndex = n;
       }
```

```
int nextn = nextTab.length;
       ForwardingNode<K,V> fwd = new ForwardingNode<K,V>(nextTab);
       boolean advance = true;
       boolean finishing = false; // to ensure sweep before committing nextTab
       for (int i = 0, bound = 0;;) {
           Node<K,V> f; int fh;
           //计算当前线程需要负责哪些部分
           while (advance) {
               int nextIndex, nextBound;
               if (--i >= bound || finishing)
                  advance = false;
               else if ((nextIndex = transferIndex) <= 0) {</pre>
                  i = -1;
                  advance = false;
               }
               //判断集合长度是否大于步长,大于nextBound = nextIndex - stride否则等于
0,每次都会修改transferindex
              else if (U.compareAndSwapInt
                       (this, TRANSFERINDEX, nextIndex,
                        nextBound = (nextIndex > stride ?
                                    nextIndex - stride : 0))) {
                  bound = nextBound;
                  i = nextIndex - 1;
                  advance = false;
               }
           //判断是否扩容完成了
           if (i < 0 | | i >= n | | i + n >= nextn) {
               //如果扩容完成了,那么将新的Table赋值给原来的table;
               if (finishing) {
                  nextTable = null;
                  table = nextTab;
                  sizeCtl = (n << 1) - (n >>> 1); //sizeCtl = 0.75* n; n << 1 \frac{1}{57}
改变n的值,n>>>1不会改变n的值
                  return;
               }
               //如果扩容动作做完了,那么才会将finish标记为true
               if (U.compareAndSwapInt(this, SIZECTL, sc = sizeCtl, sc - 1)) {
                  if ((sc - 2) != resizeStamp(n) << RESIZE_STAMP_SHIFT)</pre>
                      return:
                   finishing = advance = true;
                   i = n; // recheck before commit
               }
           //这一部分内容是具体的迁移过程
           else if ((f = tabAt(tab, i)) == null)//如果i的位置元素为空,那么不需要进行
迁移
               advance = casTabAt(tab, i, null, fwd); //直接往当前位置设置fwd;
           else if ((fh = f.hash) == MOVED)
               advance = true; // already processed
               synchronized (f) { //对迁移的位置加锁,这是为了防止多线程环境下一个线程正
在迁移元素,而其他线程对此位置进行元素添加或者删除操作
                   //首先判断还是之前那个slot吗,是的话继续迁移
                  if (tabAt(tab, i) == f) {
```

```
Node<K,V> ln, hn;
                    // 第一个元素的hash值大于等于0
                    // 说明该桶中元素是以链表形式存储的
                    // 这里与HashMap迁移算法基本类似
                    // 唯一不同的是多了一步寻找lastRun
                    // 这里的lastRun是提取出链表后面不用处理再特殊处理的子链表
                    // 比如所有元素的hash值与桶大小n与操作后的值分别为 0 0 4 4 0 0 0
                    // 则最后后面三个0对应的元素肯定还是在同一个桶中
                    // 这时lastRun对应的就是倒数第三个节点
                    // 至于为啥要这样处理,我也没太搞明白
                    if (fh >= 0) {//如果hash值大于0
                        //等于0的放到低位链表(1ow)中,不等于0的放到高位链表(high)中
                        int runBit = fh & n; //记录在新的桶中迁移是迁移到元素下标位
置还是原始下标位置加原始数组大小
                        Node<K,V> lastRun = f; //记录桶中最近一个迁移元素
                        //找到最后几个必定在同一个桶的元素
                        for (Node<K,V> p = f.next; p != null; p = p.next) {
                           int b = p.hash \& n; //记录下一个元素的下标位置
                           if (b!= runBit) { //如果下一个下标和前一个下标位置不
一致
                               runBit = b; //当前迁移下标
                               lastRun = p; //记录当前迁移元素
                           }
                        if (runBit == 0) { //runBit == 0说明在新数组中迁移到位置
不变
                           ln = lastRun;
                           hn = null:
                        else {//runBit == 1说明在新数组中迁移到位置改变了
                           hn = lastRun;
                           ln = null;
                        }
                        //遍历链表,把hash&n == 0的放在Low链表中、等于1的放入高链表
中;
                        for (Node < K, V > p = f; p != lastRun; p = p.next) {
                           int ph = p.hash; K pk = p.key; V pv = p.val;
                           if ((ph \& n) == 0)
                               ln = new Node < K, V > (ph, pk, pv, ln);
                           else
                               hn = new Node < K, V > (ph, pk, pv, hn);
                        }
                        setTabAt(nextTab, i, ln);//将ln存放到低位
                        setTabAt(nextTab, i + n, hn); //将hn存放到高位
                        setTabAt(tab, i, fwd);//迁移完成的原来数组i位置设置为fwd;
                        advance = true;
                    }
                    //如果当前槽是树结构
                    // lo 记录低位头结点, loTail记录低位末尾节点, lc记录低位结点数
                    // hi 记录高位头结点, hiTail记录高位末尾节点,hc记录高位结点数
                    else if (f instanceof TreeBin) {
                        TreeBin<K,V> t = (TreeBin<K,V>)f;
                        TreeNode<K,V> lo = null, loTail = null;
                        TreeNode<K,V> hi = null, hiTail = null;
                        int 1c = 0, hc = 0;
                        for (Node<K,V> e = t.first; e != null; e = e.next) {
```

```
int h = e.hash;
                          TreeNode<K,V> p = new TreeNode<K,V>
                              (h, e.key, e.val, null, null);
                          if ((h \& n) == 0) {
                              if ((p.prev = loTail) == null)
                                  lo = p;
                              else
                                  loTail.next = p;
                              loTail = p;
                              ++1c;
                          }
                          else {
                              if ((p.prev = hiTail) == null)
                                 hi = p;
                              else
                                  hiTail.next = p;
                              hiTail = p;
                              ++hc;
                          }
                      }
                      //判断低位是否需要树退化,不需要退化就重新建立低位的红黑树结构
                      ln = (lc <= UNTREEIFY_THRESHOLD) ? untreeify(lo) :</pre>
                          (hc != 0) ? new TreeBin<K,V>(lo) : t;
                      //判断高位是否需要树退化,不需要退化就建立高位的红黑树结构
                      hn = (hc <= UNTREEIFY_THRESHOLD) ? untreeify(hi) :</pre>
                          (1c != 0) ? new TreeBin<K,V>(hi) : t;
                      setTabAt(nextTab, i, ln); //设置低位slot结点为root
                      setTabAt(nextTab, i + n, hn); //设置高位slot结点
                      setTabAt(tab, i, fwd); //设置原来数组位置为fwd;
                      advance = true;
                  }
               }
          }
      }
  }
}
```