

# Assignment 1

---

Jiadao Zou --- jxz172230

---

Q1:

---

1. Consider the statement:  $[f(n) = O(g(n)) \text{ and } f(n) \neq o(g(n))] \implies [f(n) = \Theta(g(n))]$ . State whether this statement is true or false. If your answer is "true", then prove it; if it is "false", then provide a counter example.

*The answer is True.*

*Since we know that  $f(n) = O(g(n))$  and  $f(n) \neq o(g(n))$ :*

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c < \infty \text{ also } \neq 0$$

*Since the algorithms' cost would never decrease with the working load goes up, we have :*

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \in (0, \infty)$$

*From above, we know that the limitation ratio must lay within 0 and  $\infty$ , which holding both tight upper bound and tight lower bound.*

Q2:

---

3.2-4 ★

Is the function  $\lceil \lg n \rceil!$  polynomially bounded? Is the function  $\lceil \lg \lg n \rceil!$  polynomially bounded?

- If a function  $f(n)$  is polynomially bounded, then there must be constant values  $c, k, n_0$ , such that for all  $n \geq n_0$ ,  $f(n) \leq cn^k$ . Hence,  $\lg(f(n)) \leq k \lg cn \leq kc \lg n$ , therefore, we know that  $\lg(f(n)) = O(\lg n)$ .*

- To the other side: if we know that  $\lg(f(n)) = O(\lg n)$ , we could conclude that  $f(n)$  is polynomially bounded.

From above, we know that proving a function  $f(n)$  is polynomially bounded is equivalent to prove that  $\lg(f(n)) = O(\lg n)$ .

- Function  $\lceil \lg n \rceil!$ 
  - First we concern about  $\lg(n!)$ 's complexity:
    - According to Stirling's approximation:

$$\begin{aligned}\lg(n!) &= \lg(\sqrt{2\pi n} \left(\frac{n}{e}\right)^n (e^{\alpha_n})) \\ &= \lg(\sqrt{2\pi n} \left(\frac{n}{e}\right)^n (1 + \Theta(\frac{1}{n}))) \\ &= \Theta(\sqrt{n}) + \Theta(n \lg n) + \Theta(\frac{1}{n}) \\ &= \Theta(n \lg n)\end{aligned}$$

- Second, we want to show  $\lceil \lg n \rceil = \Theta(\lg n)$  :
  - $\lceil \lg n \rceil \leq \lg n$
  - $\lceil \lg n \rceil < \lg n + 1 \leq 2 \lg n$  for  $\forall n \geq 2$
- Third, to  $\lg(\lceil \lg n \rceil!)$ , we could take  $\lceil \lg n \rceil$  as  $n$  in previous prove :

$$\begin{aligned}\lg(\lceil \lg n \rceil!) &= \Theta(\lceil \lg n \rceil \lg \lceil \lg n \rceil) \\ &= \Theta(\lg n (\lg \lg n)) \\ &= \omega(\lg n) \\ &\neq O(\lg n)\end{aligned}$$

**So,  $\lceil \lg n \rceil!$  is not polynomially bounded.**

- Function  $\lceil \lg \lg n \rceil!$ , the steps are all the same:
  - First, we have to know that any polylogarithmic function grows slowly than any positive polynomial function
    - Suppose for constants  $a, b > 0$ ,  $\lg^b n = o(n^a)$ .
    - Substitute  $n$  with  $\lg n$ ,  $b$  with 2 and  $a$  with 1:  $\lg^2(\lg n) = o(\lg n)$































$$\begin{aligned}
\lg(\lceil \lg \lg n \rceil!) &= \Theta(\lceil \lg \lg n \rceil \lg \lceil \lg \lg n \rceil) \\
&= \Theta((\lg \lg n)(\lg \lg \lg n)) \\
&= o((\lg \lg n)^2) \\
&= o(\lg^2(\lg n)) \\
&= o(\lg n)
\end{aligned}$$

So,  $\lceil \lg \lg n \rceil!$  is polynomially bounded.

### Q3

#### 3-2 Relative asymptotic growths

Indicate, for each pair of expressions  $(A, B)$  in the table below, whether  $A$  is  $O$ ,  $o$ ,  $\Omega$ ,  $\omega$ , or  $\Theta$  of  $B$ . Assume that  $k \geq 1$ ,  $\epsilon > 0$ , and  $c > 1$  are constants. Your answer should be in the form of the table with “yes” or “no” written in each box.

	$A$	$B$	$O$	$o$	$\Omega$	$\omega$	$\Theta$
a.	$\lg^k n$	$n^\epsilon$					
b.	$n^k$	$c^n$					
c.	$\sqrt{n}$	$n^{\sin n}$					
d.	$2^n$	$2^{n/2}$					
e.	$n^{\lg c}$	$c^{\lg n}$					
f.	$\lg(n!)$	$\lg(n^n)$					

a.  $\lg^k = o(n^\epsilon) \Rightarrow \omega(\lg^k n) = n^\epsilon, \omega \rightarrow \Omega, \omega \rightarrow \bar{o} \wedge \bar{O} \Rightarrow \bar{\Theta}$ .

b.  $n^k = o(c^n) \Rightarrow \omega(n^k) = c^n, \omega \rightarrow \Omega, \omega \rightarrow \bar{o} \wedge \bar{O} \Rightarrow \bar{\Theta}$ .

c. *sin* function changes periodically, so the relationship between those two function is undefined.

d.  $\lim_{n \rightarrow \infty} \frac{2^n}{2^{n/2}} = \lim_{n \rightarrow \infty} (\sqrt{2})^n = \infty$ , which indicates

$o(2^n) = 2^{n/2}, o \rightarrow O, o \rightarrow \bar{\omega} \wedge \bar{\Omega} \Rightarrow \bar{\Theta}$

e. when  $c = 1$ ,  $n^{\lg c} = n^0 = 1 = c^{\lg n} = 1^{\lg n}$ , so  $O, \Omega \Rightarrow \Theta = \bar{o} \wedge \bar{\omega}$

f.  $\lg(n!) = \Theta(n \lg n) \Rightarrow \Theta(\lg n!) = n \lg n = \lg n^n$ , so  $O, \Omega \Rightarrow \Theta = \bar{o} \wedge \bar{\omega}$

### Q4

### 3-3 Ordering by asymptotic growth rates

a. Rank the following functions by order of growth; that is, find an arrangement  $g_1, g_2, \dots, g_{30}$  of the functions satisfying  $g_1 = \Omega(g_2)$ ,  $g_2 = \Omega(g_3)$ ,  $\dots$ ,  $g_{29} = \Omega(g_{30})$ . Partition your list into equivalence classes such that functions  $f(n)$  and  $g(n)$  are in the same class if and only if  $f(n) = \Theta(g(n))$ .

$$\begin{aligned}
 2^{2^{n+1}} &> 2^{2^n} > (n+1)! > n! \Leftarrow (n \neq \Theta(n^{n+1}e^{-n})) > e^n \Leftarrow (e^n = 2^n(e/2)^n = \omega(n2^n)) > \\
 n2^n &> 2^n > \left(\frac{3}{2}\right)^n > (\lg n)^{\lg n} = n^{\lg \lg n} \Leftarrow (a^{\log_b c} = a^{\log_b a \cdot \log_a c} = c^{\log_b a}) > (\lg n)! > n^3 > \\
 n^2 &= 4^{\lg n} > n \lg n = \lg(n!) > 2^{\lg n} = n > \sqrt{n} = (\sqrt{2})^{\lg n} \Leftarrow \text{take log} > \\
 2^{\sqrt{2 \lg n}} &\Leftarrow \text{take log} > \lg^2 n > \ln n > \sqrt{\lg n} > \\
 \ln \ln n &\Leftarrow (\lg 2^{\lg^* n} = \lg^* n \cdot \lg \ln \ln n = \omega(\lg^* n)) > \\
 2^{\lg^* n} &> \lg^* n = \lg^*(\lg n) \Leftarrow (\lg^*(\lg n) = (\lg^* n) - 1) > \lg(\lg^* n) > n^{1/\lg n} = 2 = 1
 \end{aligned}$$

- Most of the functions follow that:
  - Exponential functions grow faster than polynomial functions, and the later grow faster than polylogarithmic functions
  - The base of a logarithmic doesn't matter asymptotically, but the base of an exponential and the degree of a polynomial do matter.

## Q5

5. Show that (i)  $\sum_{i=1}^n i^2 = \Theta(n^3)$

Prove:

suppose we have:  $H(n) = \frac{\sum_{i=1}^n i^2}{n^3}$ , also we know that  $H(1) = 1, H(2) = \frac{5}{8}, H(3) = \frac{12}{27}$ , we could make a guess that for  $\forall n, H(n) \in (0, \infty)$ :  
Compare the following two expression, for  $\exists$  integer  $n \in (0, \infty)$ :

$$\begin{aligned}
 \bullet \quad H(n) &= \frac{\sum_{i=1}^n i^2}{n^3} \\
 \bullet \quad H(n+1) &= \frac{\sum_{i=1}^{n+1} i^2 + (n^2 + 2n + 1)}{n^3 + (3n^2 + 3n + 1)}
 \end{aligned}$$

*Obviously, the increase in numerator is less than the increase in denominator.  
So the  $H(n)$  is monotonically decreasing.*

- *$H(n)$  won't be 0 because the numerator is always larger than 0.*
- *As well,  $H(n)$  won't be  $\infty$  because the denominator is always larger than 0.*