# **Project2**

#### 1.Team member

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# 2.Team Project II

Team Project II

- Write a computer program for the BP algorithm.
- Test your program using the 4-bit parity check problem.
- The number of inputs is 5 (4 original inputs plus one dummy input) and the number of output is 1 (a real number in [0,1] or [-1,1]).
- The desired output is 1 if the number of ones in the inputs is even; otherwise, the output is 0 or -1.
- Check the performance of the network by changing the number of hidden neurons from 4, 6, 8, and 10.
- Provide a summary of your results in your report (txt-file).

#### 3. Mathematical formulas

The problem is to input a 4-bit number (0 or 1) and output 1 if the number of 1 is even, and 0 otherwise.

For example:

- $(0, 0, 0, 0, -1) \rightarrow 1$
- $(0, 1, 1, 1, -1) \rightarrow 0$
- (1, 0, 0, 1, -1) -> 1
- $(1, 0, 1, 1, -1) \rightarrow 0$

To complete the code, we first need to derive the mathematical formulas for forward propagation and backward propagation.

Regarding the parity-check problem for 4-bit numbers, there are 5 input neurons, some neurons in the hidden layer, and 1 neuron in the output layer. The activation function for both the hidden and output layer neurons is the sigmoid function, whose original function and derivative are as follows:

$$\sigma(x) = \frac{1}{1 + e^{-x}} \qquad \frac{d\sigma(x)}{dx} = \sigma(x)(1 - \sigma(x)) \tag{2}$$

Firstly, let's consider the forward propagation. The input matrix X has a shape of  $(m,n_0)$ , where m is the number of samples, and  $n_0$  is the number of input features. In this problem,  $n_0=5$ . The target output matrix Y has a shape of  $(m,n_2)$ , where  $n_2$  is the number of neurons in the output layer. Here,  $n_2=1$ . The weight matrices  $W^{(1)}$  and  $W^{(2)}$  respectively represent the weights from the input layer to the hidden layer and from the hidden layer to the output layer. Their sizes are  $(n_0,n_1)$  and  $(n_1,n_2)$ , where  $n_1$  is the number of neurons in the hidden layer.

 $A^{(1)}$  represents the activated input matrix of the hidden layer, with a size of  $(m, n_1)$ . The input matrix of the hidden layer,  $Z^{(1)}$ , has a size of  $(m, n_1)$ .

$$Z^{(1)} = XW^{(1)} \qquad A^{(1)} = \sigma(Z^{(1)})$$
 (3)

Firstly, let's consider the forward propagation. The input matrix X has a shape of  $(m,n_0)$ , where m is the number of samples, and  $n_0$  is the number of input features. In this problem,  $n_0=5$ . The target output matrix Y has a size of  $(m,n_2)$ , where  $n_2$  is the number of neurons in the output layer. Here,  $n_2=1$ . The weight matrices  $W^{(1)}$  and  $W^{(2)}$  respectively represent the weights from the input layer to the hidden layer and from the hidden layer to the output layer. Their sizes are  $(n_0,n_1)$  and  $(n_1,n_2)$ , where  $n_1$  is the number of neurons in the hidden layer.

$$Z^{(2)} = A^{(1)}W^{(2)} \qquad A^{(2)} = \sigma(Z^{(2)})$$
 (4)

**Mean Squared Error Loss Function:** 

$$L = \frac{1}{2m} \sum_{i=1}^{m} (Y^{(i)} - A^{(2)(i)})^2$$
 (5)

Use Backpropagation to find the partial derivative of the loss function with respect to the weights  ${\cal W}.$ 

$$\frac{\partial L}{\partial W^{(2)}} = \frac{\partial L}{\partial A^{(2)}} \frac{\partial A^{(2)}}{\partial Z^{(2)}} \frac{\partial Z^{(2)}}{\partial W^{(2)}} \tag{6}$$

$$\frac{\partial L}{\partial W^{(1)}} = \frac{\partial L}{\partial A^{(2)}} \frac{\partial A^{(2)}}{\partial Z^{(2)}} \frac{\partial Z^{(2)}}{\partial A^{(1)}} \frac{\partial A^{(1)}}{\partial Z^{(1)}} \frac{\partial Z^{(1)}}{\partial W^{(1)}}$$
(7)

$$(4) \Rightarrow \frac{\partial L}{\partial A^{(2)}} = \frac{1}{m} (Y - A^{(2)}) = \frac{1}{m} E \tag{8}$$

The variables Y,  $A^{(2)}$ , and E mentioned above are all vectors.

$$rac{\partial A^{(2)}}{\partial Z^{(2)}} = rac{\partial \sigma(Z^{(2)})}{\partial Z^{(2)}} = \sigma^{'}(Z^{(2)}) \ rac{\partial Z^{(2)}}{\partial W^{(2)}} = A^{(1)}$$

Deriving  $\frac{\partial L}{\partial W^{(2)}}$ 

$$\Rightarrow \frac{\partial L}{\partial W^{(2)}} = \frac{1}{m} E * \sigma'(Z^{(2)}) * A^{(1)T}$$

$$\tag{9}$$

Corresponding code:

```
output_layer_error_term = error * sigmoid_derivative(output_layer_input)  
dL_dW2 = np.dot(hidden_layer_output.T, output_layer_error_term) / len(inputs)  
weights_hidden_output += learning_rate * dL_dW2  
\frac{\partial Z^{(2)}}{\partial A^{(1)}} = W^{(2)}   
\frac{\partial A^{(1)}}{\partial Z^{(1)}} = \sigma'(Z^{(1)})   
\frac{\partial Z^{(1)}}{\partial W^{(1)}} = X
```

Deriving  $\frac{\partial L}{\partial W^{(1)}}$ 

$$\Rightarrow \frac{\partial L}{\partial W^{(1)}} = \frac{1}{m} E * \sigma'(Z^{(2)}) * W^{(2)T} * \sigma'(Z^{(1)}) * X^{T}$$
(10)

Corresponding code:

```
hidden_layer_error_term = np.dot(output_layer_error_term,
weights_hidden_output.T) * sigmoid_derivative(hidden_layer_input)

dL_dW1 = np.dot(inputs.T, hidden_layer_error_term) / len(inputs)
weights_input_hidden += learning_rate * dL_dW1
```

# 4.Implement code

There are 3 files:

## utils.py

Implement necessary code:

```
1
    import numpy as np
 2
    from itertools import product
 3
 4
    def sigmoid(x):
        return 1 / (1 + np.exp(-x))
 5
 7
    def sigmoid derivative(x):
        s = sigmoid(x)
 9
        return s * (1 - s)
10
11
    def generate_dataset():
12
        inputs = []
13
        outputs = []
14
        for a in '01':
15
            for b in '01':
16
                 for c in '01':
17
                     for d in '01':
18
```

```
19
                         input vector = [int(a), int(b), int(c), int(d), -1]
20
                         inputs.append(input vector)
21
22
                         num of ones = sum(input vector[:-1])
23
                         output = 0 if num of ones % 2 else 1
                         outputs.append([output])
24
25
26
        inputs = np.array(inputs)
27
        outputs = np.array(outputs)
28
29
        return inputs, outputs
30
    def generate parity dataset(n parity):
31
32
        inputs = []
33
        outputs = []
34
35
        # Generate all possible n-bit binary combinations
        for binary combination in product('01', repeat=n parity): # Cartesian
36
    product
37
            input_vector = [int(bit) for bit in binary_combination]
            input_vector.append(-1) # Add bias term
38
39
            inputs.append(input_vector)
40
            num of ones = sum(input vector[:-1])
41
42
            output = 0 if num of ones % 2 == 0 else 1
            outputs.append([output])
43
44
45
        inputs = np.array(inputs)
        outputs = np.array(outputs)
46
47
        return inputs, outputs
48
49
50
51
52
    def train(inputs, outputs, weights_input_hidden, weights_hidden_output,
    learning rate, num epochs):
        1 1 1
53
54
        shape(inputs) = (2^n_parity, n_parity+1), shape(outputs) = (2^n_parity, 1)
55
        shape(weights_input_hidden) = (n_parity+1, hidden_neurons),
    shape(weights hidden output) = (hidden neurons, 1)
56
57
        loss list = []
        for epoch in range(num epochs):
58
59
60
            # Forward pass
            hidden layer input = np.dot(inputs, weights input hidden) #
61
    shape(hidden_layer_input) = (2^n_parity, hidden_neurons)
            hidden_layer_output = sigmoid(hidden_layer_input)
62
63
            output layer input = np.dot(hidden layer output,
    weights hidden output) # shape(output layer input) = (2^n parity, 1)
64
            output_layer_output = sigmoid(output_layer_input)
65
```

```
66
            # Calculate error and loss
            error = outputs - output layer output # shape(error) = (2^n parity,
67
    1), error is also the derivative of loss
            loss = 0.5 * np.mean(error ** 2)
68
69
            loss list.append(loss)
            # print(f"Epoch {epoch + 1}: Loss: {loss}")
70
71
72
            # Backpropagation
73
            output_layer_error_term = error *
    sigmoid_derivative(output_layer_input) # (2^n_parity, 1) = (2^n_parity, 1) *
    (2^n parity, 1)
74
            dL dW2 = np.dot(hidden layer output.T, output layer error term) /
    len(inputs) # (hidden_neurons, 1) = (hidden_neurons, 2^n_parity)(2^n_parity,
75
76
            # (2^n_parity, hidden_neurons) = (2^n_parity, 1)(1, hidden_neurons) *
    (2<sup>n</sup> parity, hidden neurons)
77
            hidden_layer_error_term = np.dot(output_layer_error_term,
    weights_hidden_output.T) * sigmoid_derivative(hidden_layer_input)
            dL dW1 = np.dot(inputs.T, hidden_layer_error_term) / len(inputs) #
78
    (n parity+1, hidden neurons) = (n parity+1, 2^n parity)(2^n parity,
    hidden_neurons)
79
            # Update weights
80
81
            weights hidden output += learning rate * dL dW2
            weights_input_hidden += learning_rate * dL_dW1
82
83
84
        return weights_input_hidden, weights_hidden_output, loss_list
85
    def test(inputs, weights input hidden, weights hidden output):
86
        hidden_layer_input = np.dot(inputs, weights_input_hidden)
87
        hidden_layer_output = sigmoid(hidden_layer_input)
88
        output layer input = np.dot(hidden layer output, weights hidden output)
89
90
        output_layer_output = sigmoid(output_layer_input)
91
92
        return output layer output
93
94
95
96
```

### project2.py

Perform one round of training, output the weights and the loss function graph, and then test the trained model once. Since there are limited scenarios for the four-bit parity check, we will use all 16 data from the training set for testing.

```
import numpy as np
import matplotlib.pyplot as plt
```

```
4
    from utils import *
 5
 6
 7
    np.set printoptions(linewidth=np.inf)
 8
 9
10
    def main():
11
12
        n_{parity} = 4
13
        inputs, outputs = generate parity dataset(n parity) # shape(inputs) = (16,
    5), shape(outputs) = (16, 1)
        print( outputs )
14
15
16
        hidden neurons = 8
17
        learning rate = 2
18
        num epochs = 50000
19
20
        input_size = inputs.shape[1] # shape(inputs) = (16, 5)
21
        output_size = outputs.shape[1] # shape(outputs) = (16, 1)
22
23
        weights_input_hidden = np.random.uniform(-1, 1, size=(input_size,
    hidden_neurons)) # shape(weights_input_hidden) = (5, 8)
24
        weights hidden output = np.random.uniform(-1, 1, size=(hidden neurons,
    output_size)) # shape(weights_hidden_output) = (8, 1)
25
        weights_input_hidden, weights_hidden_output, loss_list = train(inputs,
26
    outputs, weights_input_hidden, weights_hidden_output, learning_rate,
    num epochs)
27
        print(f"There are {hidden neurons} hidden neurons.")
2.8
29
        print("Training complete.")
30
        print("Weights from input layer to hidden layer:")
31
        print(weights input hidden)
32
        print("Weights from hidden layer to output layer:")
33
        print(weights_hidden_output)
34
        # Test the model on training data
35
36
        predictions = test(inputs, weights_input_hidden, weights_hidden_output) #
    shape(predictions) = (16, 1)
37
38
        # Print actual and predicted outputs
39
        print("\nTest the accuracy:\n")
        for i in range(inputs.shape[0]): # inputs.shape[0] = 16
40
            print(f"Input: {inputs[i]} | Desired Output: {outputs[i]} | Predicted
41
    Output: {predictions[i]} => {np.round(predictions[i])}, {np.round(predictions[i])}
    == outputs[i]}")
42
43
        # Calculate accuracy
44
        accuracy = np.mean(np.round(predictions) == outputs) * 100
45
        print(f"Accuracy on training data: {accuracy}%")
46
47
        plt.plot(range(num_epochs), loss_list)
```

### draw\_plot.py

Test with different numbers of hidden neurons and learning rates. The functionality of this file is to train with different combinations of learning rates and numbers of hidden neurons in the hidden layer. Since the accuracy of each training and testing process may fluctuate, ten training and testing processes are conducted for each pair of learning rate and number of hidden neurons. The final accuracy is calculated by taking the average of the ten testing results.

Each graph displays ten loss function curves, and the title of the graph shows the corresponding number of hidden neurons, learning rate, and model accuracy.

```
1
   import numpy as np
 2
    import matplotlib.pyplot as plt
 3
    from tqdm import tqdm
   from utils import *
 4
 5
 6
    n parity = 4
 7
    inputs, outputs = generate_parity_dataset(4)
 9
    learning rates = [0.5, 1.0, 1.5, 2, 2.5, 3.0]
    hidden neurons list = [4, 6, 8, 10, 12, 14]
10
11
    num epochs = 5000
12
13
    num repeats = 10
14
15
    fig, axes = plt.subplots(len(learning rates), len(hidden neurons list),
16
    figsize=(15, 10), sharex=True, sharey=True)
17
    fig.tight_layout(pad=4.0)
    total_combinations = len(learning_rates) * len(hidden_neurons_list) *
    num repeats
20
    progress_bar = tqdm(total=total_combinations, desc="Training progress")
2.1
22
    for i, lr in enumerate(learning rates):
        for j, hidden_neurons in enumerate(hidden_neurons_list):
2.3
24
            accuracies = []
25
            max loss = -np.inf
            min loss = np.inf
26
27
            for repeat in range(num repeats):
28
                input_size = inputs.shape[1]
29
                output_size = outputs.shape[1]
```

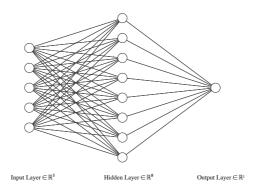
```
30
                weights input hidden = np.random.uniform(-1, 1, size=(input size,
    hidden neurons))
31
                weights hidden output = np.random.uniform(-1, 1, size=
    (hidden neurons, output_size))
32
                weights_input_hidden, weights_hidden_output, loss_list =
33
    train(inputs, outputs, weights_input_hidden, weights_hidden_output, lr,
    num_epochs)
34
35
                axes[i, j].plot(range(num_epochs), loss_list, alpha=0.3)
36
                max loss = max(max loss, np.max(loss list))
37
                min_loss = min(min_loss, np.min(loss list))
38
39
40
                predictions = test(inputs, weights input hidden,
    weights_hidden_output)
41
                accuracy = np.mean(np.round(predictions) == outputs)
42
                accuracies.append(accuracy)
43
                progress_bar.update(1)
44
45
            mean_accuracy = np.mean(accuracies)
46
            axes[i, j].set title(f"LR:{lr}, Hidden:{hidden neurons}, Acc:
47
    {mean accuracy:.2f}")
48
            axes[i, j].set ylim(min loss, max loss)
49
            axes[i, j].set_xlabel("Epoch")
            axes[i, j].set ylabel("Loss")
50
51
52
    progress_bar.close()
    plt.show()
53
54
55
```

### 5.Result discussion

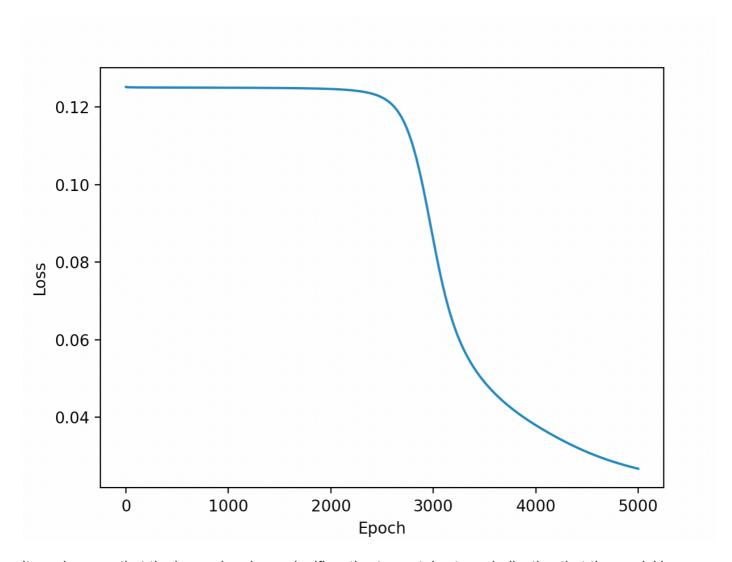
## 1.project2

```
hidden_neurons = 8
learning_rate = 2
num epochs = 5000
```

Using the above parameters for testing, the neural network structure is as follows:



Usingproject2.py, the output results are as follows. The first is loss function graph:



It can be seen that the loss value drops significantly at a certain stage, indicating that the model has learned the pattern between the data.

Then, the program will output the weight matrix of the model.

```
1
   Training complete.
   Weights from input layer to hidden layer:
   [[-2.31644425 -0.63039741 -3.57731934 -1.04625514 -1.25227723 -6.18501899]
             4.773499461
   [-2.15748164 -0.31308126 -3.57955329 -0.65912048 -0.5021715 -6.14898936]
   1.47622154 4.73967536]
   5
   -1.64498763 -4.69839852]
   [ \ 1.48133044 \ \ 0.05978481 \ -1.31046318 \ \ -0.45520148 \ \ -0.92089128 \ \ 5.43126401 
6
   3.67404465 -4.12666975]
7
   0.3013925 1.47702114]]
   Weights from hidden layer to output layer:
   [[ 2.74413683]
   [ 0.79679478]
10
11 [ 5.64768705]
12
   [-0.90614131]
13 [-1.00069039]
14
  [-8.97386301]
15
   [ 4.40344738]
16
    [-5.17288463]]
```

After that, the program will use the training set as the test data, output the model's predicted output and the desired output of the training set, and calculate the accuracy.

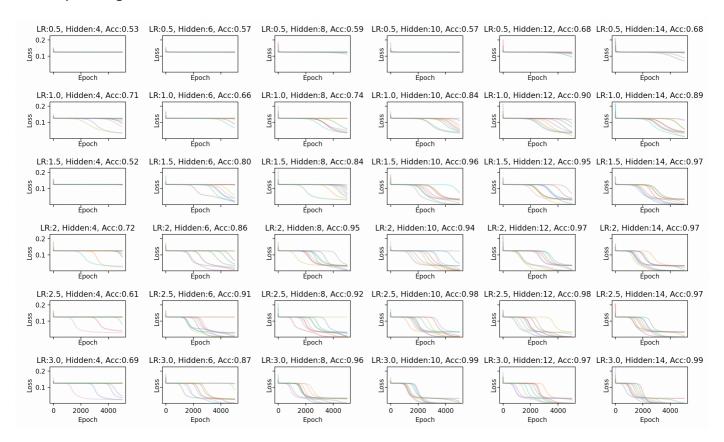
```
1
   Test the accuracy:
 2
   Input: [ 0  0  0  0 -1] | Desired Output: [1] | Predicted Output:
 3
   [0.9268844]=>[1.],[ True]
   Input: [ 0  0  0  1 -1] | Desired Output: [0] | Predicted Output:
    [0.19078016]=>[0.],[ True]
   Input: [ 0  0  1  0 -1] | Desired Output: [0] | Predicted Output:
    [0.08886483]=>[0.],[ True]
   Input: [ 0  0  1  1 -1] | Desired Output: [1] | Predicted Output:
    [0.94171521]=>[1.],[ True]
   Input: [ 0  1  0  0 -1] | Desired Output: [0] | Predicted Output:
    [0.04732206]=>[0.],[ True]
   Input: [ 0  1  0  1 -1] | Desired Output: [1] | Predicted Output:
    [0.91286132]=>[1.],[ True]
   Input: [ 0  1  1  0 -1] | Desired Output: [1] | Predicted Output:
    [0.89465911]=>[1.],[ True]
   Input: [ 0  1  1  1 -1] | Desired Output: [0] | Predicted Output:
10
    [0.04239285]=>[0.],[ True]
   Input: [ 1 0 0 0 -1] | Desired Output: [0] | Predicted Output:
11
    [0.16491239]=>[0.],[ True]
12 Input: [ 1 0 0 1 -1] | Desired Output: [1] | Predicted Output:
    [0.19063355]=>[0.],[False]
13 Input: [ 1 0 1 0 -1] | Desired Output: [1] | Predicted Output:
    [0.94086615]=>[1.],[ True]
   Input: [ 1 0 1 1 -1] | Desired Output: [0] | Predicted Output:
    [0.26320072]=>[0.],[ True]
```

### 2.draw plot

Train multiple models to discover patterns. Next, with <u>draw\_plot.py</u>, We are use the following combinations of hidden layer neuron numbers and learning rates:

```
learning_rates = [0.5, 1.0, 1.5, 2, 2.5, 3.0]
hidden_neurons_list = [4, 6, 8, 10, 12, 14]
num_epochs = 10000
```

The output image is as follows:



It can be seen that within a certain range, the more hidden layer neurons and the higher the learning rate we use, the higher the accuracy and the better the performance of the model is.

#### 3.Question

Question: When the loss function value is between 0.125 and 0.03, it remains almost stable without decreasing for a long period of epochs. Why?

Hypothesis: When the loss function is equal to these two values, the neural network enters a phase of local optimal solution, so the gradient is small, the weight update is slow, and the loss function will be almost constant. Continuing training, the neural network jumps out of the local optimal solution, the gradient increases, the weight starts to update, and the loss function continues to decrease.

## 4.try more hidden layers

The code is more hidden neurous.py and the result is not better than just 1 hidder layer