

## Final

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### Exam Room:

#### *Rules and Guidelines*

- The exam will last 170 minutes.
- The exam has 183 points in total.
- Answer all questions. Read them carefully first. Not all parts of a problem are weighted equally.
- Write your student ID number in the indicated area on each page.
- Be precise and concise. **Write in the solution box provided.** You may use the blank page on the back for scratch work, but it will not be graded. Box numerical final answers.
- The problems may **not** necessarily follow the order of increasing difficulty. *Avoid getting stuck on a problem.*
- Any algorithm covered in lecture can be used as a blackbox. Algorithms from homework need to be accompanied by a proof or justification as specified in the problem.
- Throughout this exam (both in the questions and in your answers), we will use  $\omega_n$  to denote the first  $n^{\text{th}}$  root of unity, i.e.,  $\omega_n = e^{2\pi i/n}$ .
- You may assume that comparison of integers or real numbers, and addition, subtraction, multiplication and division of integers or real or complex numbers, require  $O(1)$  time.
- Good luck!

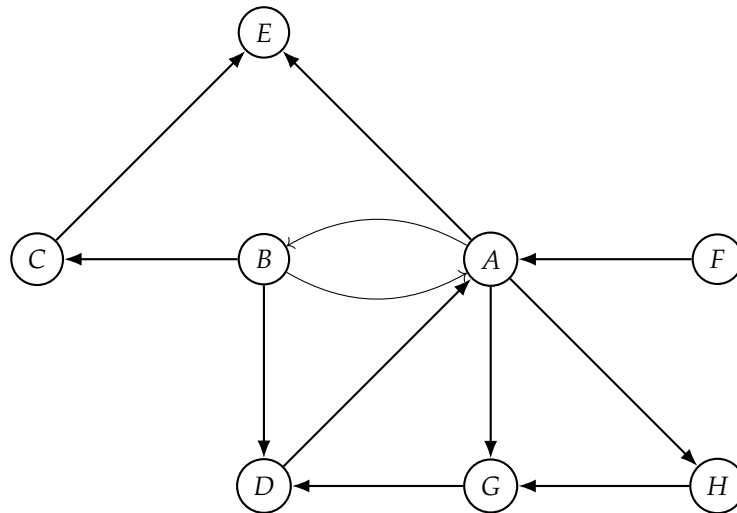
## Discussion Section

Which section(s) do you attend? Feel free to choose multiple, or to select the last option if you do not attend a section. **Please color the checkbox completely. Do not just tick or cross the boxes.**

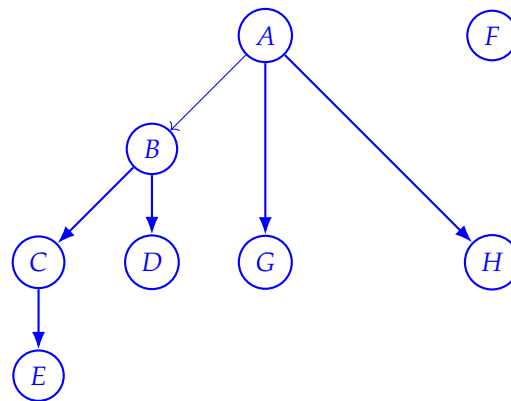
- ☐ Kevin Zhu, Wednesday 12 - 1 pm, Wheeler 200
- ☐ Andrew Che, Wednesday 1-2 pm, Dwinelle 79
- ☐ Kevin Li and Param (Exam Prep), Wednesday 1-2 pm, Wheeler 224
- ☐ Adnaan Sachidanandan, Wednesday 2-3 pm, Wheeler 108
- ☐ Wilson Wu, Wednesday 2-3 pm, Hearst Memorial Gym 242
- ☐ Cindy Zhang, Wednesday 3-4 pm, Cory 289
- ☐ Tyler Hou (Leetcode), Wednesday 4-5 pm, Etcheverry 3109
- ☐ Elicia Ye, Thursday 11-12 pm, Wheeler 130
- ☐ Cindy Zhang, Thursday 12-1pm, Remote
- ☐ Reena Yuan, Thursday 1-2pm, Etcheverry 3113
- ☐ Tynan Sigg, Thursday 4-5 pm, Soda 310
- ☐ Adnaan Sachidanandan (LOST), Thursday 5-7, Cory 258
- ☐ Video walkthroughs
- ☐ Don't attend Section

## 1 Search tree (8 points)

Suppose we run depth-first search on the following graph, breaking ties alphabetically.



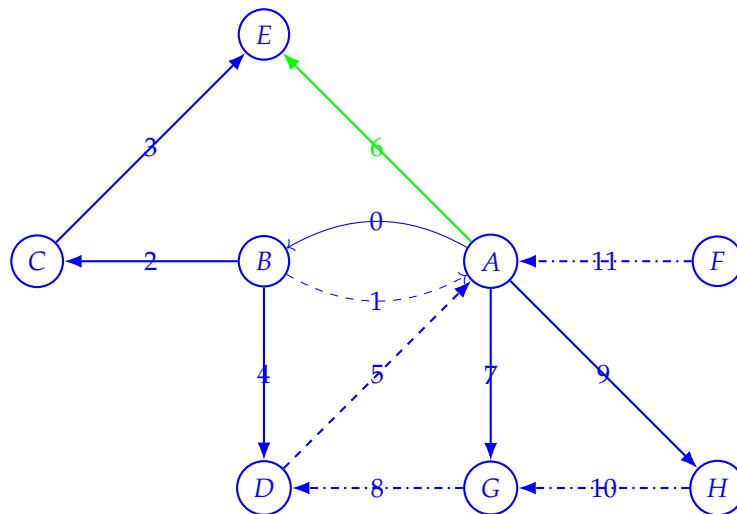
1. Draw the DFS search tree.



2. For each of these edges, indicate whether they are tree edges, forward edges, back edges or cross edges.

$A \rightarrow B$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$B \rightarrow A$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$B \rightarrow C$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$B \rightarrow D$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$D \rightarrow A$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$C \rightarrow E$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$A \rightarrow E$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$F \rightarrow A$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$G \rightarrow D$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$H \rightarrow G$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$A \rightarrow G$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge
$A \rightarrow H$	<input type="radio"/> Tree/Forward Edge <input type="radio"/> Back Edge <input type="radio"/> Cross Edge

**Solution:** TBTBTTCCTT



## 2 Runtime Analysis (5 points)

Consider the following snippet of code.

Function `what( $n$ )` {

```
    if ( $n < 1$ ) return
```

```
    for  $i = 1$  to  $n$  {  
        for  $j = 1$  to  $n/2$  {  
            for  $k = 1$  to  $n/4$  {  
                print BLAH  
            }  
        }  
    }
```

```
    what( $n/2$ )  
    what( $n/2$ )  
    what( $n/2$ )  
    what( $n/2$ )
```

```
}
```

Let  $F(n)$  denote the number of "BLAH"s printed by a call to `what( $n$ )`. Write a recurrence relation for  $F(n)$ .

 $F(n) =$ 

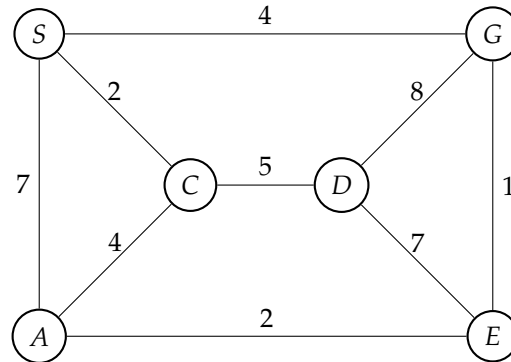
$4T(n/2) + O(n^3)$

Write the tightest upper bound for  $F(n)$  (a bound that is asymptotically tight, up to constant factors).

 $T(n) = O(n^3)$

### 3 Dijkstra's algorithm (5 points)

Recall that Dijkstra's algorithm for shortest paths maintains a priority queue. Let  $H$  denote the priority queue that is maintained by Dijkstra's algorithm starting at vertex  $S$  in the graph shown below.



Initially, every vertex other than  $S$  is inserted in the queue with a key value of  $\infty$ . Then, Dijkstra's algorithm performs a sequence of  $deleteMin(H)$  and  $decreaseKey(H, v)$  operations on the queue  $H$ , where  $v$  denotes some vertex.

List all the  $decreaseKey(H, \_)$  operations performed during the execution of Dijkstra on the following graph starting at node  $S$ . (Note that there may be more spaces provided below than the number of  $decreaseKey$  operations executed, leave the additional spaces blank)

$decreaseKey(H, \boxed{\phantom{0000}})$

$decreaseKey(H, \boxed{\phantom{0000}})$

$decreaseKey(H, \boxed{\phantom{0000}})$

$decreaseKey(H, \boxed{\phantom{0000}})$

$decreaseKey(H, \boxed{\phantom{0000}})$

$decreaseKey(H, \boxed{\phantom{0000}})$

$decreaseKey(H, \boxed{\phantom{0000}})$

$decreaseKey(H, \boxed{\phantom{0000}})$

**Solution:** ACGADE

## 4 Strongly Connected Components (8 points)

Suppose we execute DFS on a directed graph  $G$  and compute  $pre$  and  $post$  values for each node. Let

$u \leftarrow$  vertex with smallest  $pre$  value

$v \leftarrow$  vertex with largest  $post$  value

1. The vertex with the smallest  $pre$  value is

- (A) necessarily part of a source SCC.
- (B) necessarily part of a sink SCC.
- (C) none of the above.

☐ A ☐ B ☐ C

2. The vertex with the largest  $post$  value is

- (A) necessarily part of a source SCC.
- (B) necessarily part of a sink SCC.
- (C) none of the above.

☐ A ☐ B ☐ C

3. If the graph  $G$  is strongly connected then

- (A) there is necessarily an edge from  $u \rightarrow v$ .
- (B) there is necessarily an edge from  $v \rightarrow u$ .
- (C) vertex  $u$  is the same as vertex  $v$ .
- (D) none of the above.

☐ A ☐ B ☐ C ☐ D

4. The vertex with the largest  $pre$  value

- (A) is necessarily in a sink SCC.
- (B) is necessarily not in a source SCC.
- (C) none of the above.

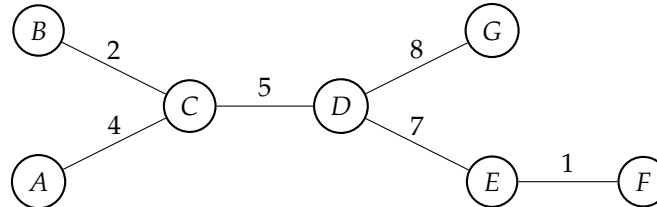
☐ A ☐ B ☐ C

### Solution:

1. C. Start the DFS anywhere that anywhere has smallest  $pre$ .
2. A. DPV p. 103 Property 2.
3. C. DFS can and will visit every other vertices before getting back to  $u$ .
4. C. See Q1.1 of this exam for counterexample,  $F$  has largest  $pre$  but it's in a source and not sink SCC.

## 5 Minimum Spanning Tree (8 points)

The tree shown below is a minimum spanning tree in a graph **H**. The remaining edges of the graph **H** are NOT shown in the picture.



1. There is an edge  $AG$  in the graph (not shown in the picture). The smallest possible value for the weight of edge  $AG$  is
2. The cost of the MST shown above is  $2 + 5 + 8 + 4 + 7 + 1 = 27$ . A new edge  $AE$  with weight 5 was added to the graph. The cost of the minimum spanning tree in the new graph is
3. In this class, we studied two algorithms for MST: Kruskal's and Prim's algorithm.  
We executed one of these two algorithms (Kruskal's/Prim's) on the graph **H** and it produced the tree shown above. In first three iterations, the algorithm added edges  $CD, BC, AC$  to the tree. The next edge added by the algorithm is
4. In this class, we studied two algorithms for MST: Kruskal's and Prim's algorithm.  
We executed one of these two algorithms (Kruskal's/Prim's) on the graph **H** and it produced the tree shown above. In first three iterations, the algorithm added edges  $EF, BC, AC$  to the tree. The next edge added by the algorithm is

### Solution:

1. 8. Note cycle  $ACDG$ . Note also edge weight are not distinct and no assumption made on tiebreaking.
2. 25. In cycle  $ACDE$ , kick  $DE$  (weight 7, heaviest) and add  $AE$ .
3. This is Prim's from  $D$ . We have  $DG$  and  $DE$  remaining connecting  $\{ABCD\}$  and  $\{EFG\}$ ,  $DE$  smallest.
4. This is Kruskal's. Next to add would be  $CD$ , smallest weight after  $EF, BC, AC$ .

## 6 TSP tour (4 points)

Suppose there are  $n$  vertices with distances  $d_{ij}$  between vertices  $i$  and  $j$ . Assume that the distances  $d_{ij}$  satisfy the triangle inequality.



1. If the cost of the minimum travelling salesman tour is  $C$ , then the cost of the minimum spanning tree is at most
2. If there is a spanning tree  $T$  (not necessarily the minimum spanning tree) of cost  $W$ , then the cost of the minimum travelling salesman tour is at most

**Solution:**

1.  $C$ . DPV p. 294
2.  $2W$ . Idem. ("[T]his tour has a length at most twice the MST cost")

**7 NP-completeness true/false (15 points)**

For each of the following questions, there are four options:

(1) True (T); (2) False (F); (3) True if and only if  $P = NP$ ; (4) True if and only if  $P \neq NP$ .

Circle one for each question.

**Note:** By "reduction" in this exam it is always meant "polynomial-time reduction with one call to the problem being reduced to."

1. There is a polynomial-time reduction from Integer Programming to Circuit-SAT.

☐ T    ☐ F    ☐  $P = NP$     ☐  $P \neq NP$

2. There is a polynomial-time reduction from Circuit-SAT to Integer Programming.

☐ T    ☐ F    ☐  $P = NP$     ☐  $P \neq NP$

3. There is a polynomial-time reduction from Minimum-Spanning Tree to Integer Programming.

☐ T    ☐ F    ☐  $P = NP$     ☐  $P \neq NP$

4. If there is a polynomial time algorithm for one problem in NP, there is one for all of them.

☐ T    ☐ F    ☐  $P = NP$     ☐  $P \neq NP$

5. The Longest Increasing Subsequence problem is NP-complete.

☐ T    ☐ F    ☐  $P = NP$     ☐  $P \neq NP$

**Solution:**

1. T. DPV pp. 274-275
2. T. DPV p. 262 Fig. 8.7
3. T. MST is  $\mathcal{P}$  so just solve inside the cook reduction
4.  $\mathcal{P} = \mathcal{NP}$ . Note here  $\mathcal{P} \subseteq \mathcal{NP}$ , so this only happens when  $\mathcal{P} = \mathcal{NP} = \mathcal{NP}$ -Complete.
5.  $\mathcal{P} = \mathcal{NP}$ . LIS is  $\mathcal{P}$ , for it to be  $\mathcal{NP}$ -Complete it's gonna be  $\mathcal{P} = \mathcal{NP} = \mathcal{NP}$ -Complete.

## 8 Edit Distance (10 points)

Given two strings  $x[1, \dots, n]$  and  $y[1, \dots, m]$ , recall that the edit distance is the smallest number of keystrokes needed to edit the string  $x$  into string  $y$ . Here each insertion and deletion of a character takes one key stroke.

We devised a dynamic programming algorithm for the problem wherein the subproblems are

$ED[i, j]$  = minimum number of keystrokes needed to edit the prefix  $x[1, \dots, i]$  into the prefix  $y[1, \dots, j]$ .

Suppose we had a special key-board in which

- each insertion takes 2 keystrokes,
- each deletion takes 3 keystrokes, and
- each substitution takes 4 keystrokes.

1. Write down the modified recurrence relation for  $ED[i, j]$ .

**Solution:**

$$ED[i, j] = \min \begin{cases} ED[i-1, j] + 3 \\ ED[i, j-1] + 2 \\ ED[i-1, j-1] + 4 \cdot 1[x[i] \neq y[j]] \end{cases}$$

2. Write down the modified base cases for  $ED[i, j]$ .

**Solution:**  $ED[i, 0] = 3i \quad \forall i$   
 $ED[0, j] = 2j \quad \forall j$

## 9 Fill in the Blanks (24 points)

1. A directed acyclic graph (DAG) on  $n$  vertices can have at most  number of edges

**Solution:**  $C_n^2$ , maybe in some CS70 note.

2. A strongly connected directed graph on  $n$  vertices must have at least  number of edges. **Solution:**  $n$ , just a cycle.

3. Let  $G$  be a graph on  $n$  nodes. The dynamic programming based algorithm for All-Pairs-Shortest-Paths (also known as the *Floyd-Warshall algorithm*) on  $G$  has  many subproblems.

Moreover, the recurrence relation expresses the value of each subproblem in terms of  many other subproblems. **Solution:**  $n^3$ , 3. DPV pp. 187-188.  $d[i][j][k] = \min(d[i][k][k-1] + [k][j][k-1], d[i][j][k-1])$ .

4. Suppose a hash function  $h : \{1, \dots, n\} \rightarrow \{1, \dots, 2n\}$  is drawn from a universal hash family. Then

$$\Pr[h(2) = h(1)] = \text{}.$$

and

$$\Pr[h(2) > h(1)] = \text{}.$$

**Solution:**  $\frac{1}{2n}$  from DPV,  $\frac{2n-1}{4n} = \frac{1}{2} \times (1 - \frac{1}{2n})$

5. If  $\omega$  is a primitive  $16^{\text{th}}$  root of unity then  $\omega^8$  is a  $k^{\text{th}}$  root of unity for  $k = \text{}$ . (Write the smallest possible value of  $k$ .) **Solution:** 2.

6. Suppose we execute the reservoir sampling algorithm on a stream  $s_1, \dots, s_m$ . What is the probability that the reservoir contains  $s_2$  at the end of 10th iteration (i.e., after seeing  $s_1, \dots, s_{10}$ )?

**Solution:**  $\frac{1}{10}$  What is the probability that every element of the stream was in the reservoir at some point during the execution of the algorithm?

**Solution:**  $\frac{1}{m!}$

7. For each of the following quantum states, write down the probabilities that we observe 0 and 1 when we perform a measurement on them.

State	Pr[ outcome is 0]	Pr[outcome is 1]
$ 0\rangle$		
$\sqrt{\frac{1}{3}} \cdot  0\rangle - \sqrt{\frac{2}{3}} \cdot  1\rangle$		

**Solution:**  $1^2 = 1$  and  $0^2 = 0$ .

$\sqrt{\frac{1}{3}}^2 = \frac{1}{3}$  and  $(-\sqrt{\frac{2}{3}})^2 = \frac{2}{3}$ . See <https://inst.eecs.berkeley.edu/cs191/fa10/notes/chap1&2.pdf>.

8. Recall the quantum operation  $\text{Rotate}(\cdot, \cdot)$  that we saw in class. Let  $|-\rangle = \frac{1}{\sqrt{2}} \cdot |0\rangle - \frac{1}{\sqrt{2}} \cdot |1\rangle$ .

Supposing that we perform the operation  $\text{Rotate}(\pi/4, |-\rangle)$  and then measure the resulting state, the probability of outcome 0 is  **Solution: 1. OG Style:**

$$\text{Rotate}\left(\frac{\pi}{4}, \cdot\right) = \begin{bmatrix} \cos \frac{\pi}{4} & -\sin \frac{\pi}{4} \\ \sin \frac{\pi}{4} & \cos \frac{\pi}{4} \end{bmatrix} = \begin{bmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

and  $|-\rangle = \begin{bmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{bmatrix}$

$$\begin{bmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{bmatrix} |-\rangle = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

And the rest is more than obvious which I will omit.

## 10 True/False (6 points)

1. Let  $G = (V, E)$  be a graph with distinct positive edge weights  $\{w_e \mid e \in E\}$ . Construct a set of edges  $E_{light}$  as follows: for each vertex  $v \in V$ , include the lightest edge incident to  $v$  in  $E_{light}$ . Then the edges in  $E_{light}$  form a Minimum Spanning Tree of  $G$ .

☐ True ☐ False

**Solution:** False. Result might not even be a tree.

2. A linear program cannot have exactly 2 distinct optimal solutions.

☐ True ☐ False

**Solution:** True. A convex optimisation problem may have 0, 1, or  $\infty$  optimal solutions.

3. There are connected, undirected graphs  $G$  such that decreasing the capacity of any single edge in  $G$  does not change the value of the maximum flow.

☐ True ☐ False

**Solution:** False. Decrease those on the min-cut.

4. There are connected, undirected graphs  $G$  such that increasing the capacity of any single edge in  $G$  does not change the value of the maximum flow.

☐ True ☐ False

**Solution:** True, A-3-B-3.

5. There are directed graphs  $G$  such that decreasing the capacity of any single edge in  $G$  does not change the value of the maximum flow.

☐ True ☐ False

**Solution:** True, A-3->B-3->C, consider flow C->A.

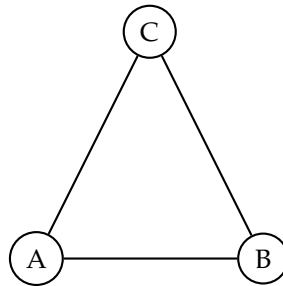
6. Quantum computers are believed to be able to efficiently solve **NP**-complete problems.

☐ True ☐ False

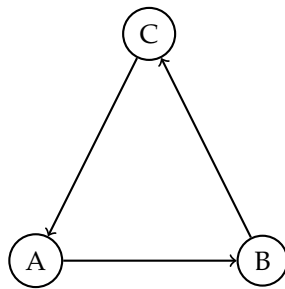
**Solution:** False, there are some problems in BQP but not in NP; e.g. Grover's algorithm covered in lecture. Special topic of this semester.

## 11 One-Way Streets (15 points)

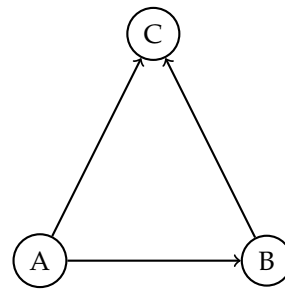
Suppose we have an undirected connected graph, and we would like to find a strongly connected orientation of its edges: that is, an assignment of directions to its edges such that the entire graph becomes strongly connected (i.e. every node is reachable from every other node via a directed path). For the undirected connected graph below, the next figure is a strongly connected orientation, and the last is an orientation that is not strongly connected.



(a) An undirected graph



(b) A strongly connected orientation



(c) An orientation which is not strongly connected

1. Give an example of a connected undirected graph where this cannot be done.

**Solution:** The easiest graph has two vertices 1 and 2 and an edge (1,2) between them.





**\*\*Note, due to the bug in the above part, all students were given full credit for this part.**

If  $G$  has a strongly connected orientation, then by part (2) above it has zero lonely edges. We will show that this algorithm produces a strongly connected orientation whenever there are zero lonely edges.

Consider any tree edge  $(u, v)$  in the DFS tree with  $u$  the parent of  $v$ . We will show that  $v$  is reachable from  $u$  in the strongly connected orientation and vice versa. This will imply that  $G$  is strongly connected given this orientation, because each vertex can reach the root of the DFS tree by moving up the tree edges, and likewise the root can reach each vertex by moving down the tree edges.

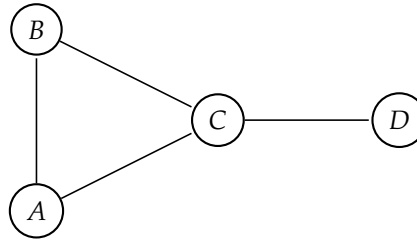
Now,  $v$  is clearly reachable from  $u$  in the strongly connected orientation because  $(u, v)$  is a tree edge and is therefore directed as  $u \rightarrow v$ . To show that  $u$  is reachable from  $v$ , consider a cycle of distinct vertices containing the edge  $(u, v)$ :

$$v_0 = u, v_1 = v, v_2, v_3, \dots, v_k, v_0,$$

which must exist because  $(u, v)$  is not lonely. Let  $(v_i, v_{i+1})$  be the first edge in this path in which  $v_{i+1}$  is not a descendent of  $u$ . Then  $v_i$  is either equal to  $v$  or a descendent of  $v$  and is therefore reachable from  $v$  in the orientation. In addition,  $v_{i+1}$  must be a non-parent ancestor of  $v_i$ . (That it is an ancestor follows from no cross edges in an undirected graph.) Hence  $(v_i, v_{i+1})$  is a back edge, and is oriented  $v_i \rightarrow v_{i+1}$  and is therefore also reachable from  $v$ . Finally,  $v_{i+1}$  must either be equal to  $u$  or an ancestor of  $u$ , and so there must be a path from  $v_{i+1}$  to  $u$  following the tree edges.

## 12 Power Stations (15 points)

The Frugal Inc. company runs four electric car charging stations  $A, B, C, D$  connected by the network of roads as shown below.



Frugal Inc. would like to compute the optimal schedule for keeping these charging stations open. Here are the details.

1. No charging station can be open for more than 18 hours in a day.
2. Each charging station costs a certain amount per hour to keep open. The costs are given in the following table.

Charging Station	Cost per hour
A	1
B	2
C	3
D	4

3. At any time in the day, for every road, at least one of the charging stations at its end points must be open.

The charging stations are allowed to be open for fractional (non-integer) number of hours.

### 12.1 A failed attempt

Frugal Inc. tried to write a linear program to determine the minimum total cost per day of running these charging stations while satisfying all the constraints.

Frugal Inc. started with the following choice of variables:

$x_A$  = number of hours station A is open

$x_B$  = number of hours station B is open

$x_C$  = number of hours station C is open

$x_D$  = number of hours station D is open

The constraints were:

- "No charging station can be open for more than 18 hours a day"

$$x_A, x_B, x_C, x_D \leq 18$$

- At any time in the day, for every road, at least one of the charging stations at its end points must be open.



This image shows a blank sheet of white paper with ten horizontal dashed lines, typical of primary-ruled notebook paper. The lines are evenly spaced and extend across the width of the page. There is no handwriting or other markings on the paper.

**Solution:** There are 7 permitted open/close states for the stations: ABCD on, ABC on, ABD on, BCD on, ACD on, AC on, and BC on. The linear program will have 7 variables, one for each permitted state:  $x_{ABCD}$ ,  $x_{ABC}$ ,  $x_{ABD}$ ,  $x_{BCD}$ ,  $x_{ACD}$ ,  $x_{AC}$ , and  $x_{BC}$ . We will interpret  $x_{ABCD}$  as follows:

$x_{ABCD}$  = number of hours the state is in configuration with ABCD on,

and likewise for the other 6 permitted states.

Fun fact (no extra credit): To be more efficient, one could notice that AC is always better than ABC, ACD, and ABCD. In addition, BC is better than BCD. So, we can just have AC, BC and ABD variables.

(There was also a question about having a binary variable per station per hour. I think this is just as wrong as the initial failed attempt, since for each hour  $i$  you could still have the variables set  $x_{A,i} = x_{B,i} = x_{C,i} = x_{D,i} = 1/2$ , which gives the failed linear program output from Section 12.1 above. So I would think this would be a 0 point answer, though I'm happy if someone disagrees here :).)

2. What are the constraints of the LP?


3. What is the objective function being minimized?

--

**Solution:** There are 7 permitted open/close states for the stations: ABCD on, ABC on, ABD on, BCD on, ACD on, AC on, and BC on. The linear program will have 7 variables, one for each permitted state:  $x_{ABCD}$ ,  $x_{ABC}$ ,  $x_{ABD}$ ,  $x_{BCD}$ ,  $x_{ACD}$ ,  $x_{AC}$ , and  $x_{BC}$ . We will interpret  $x_{ABCD}$  as follows:

$x_{ABCD}$  = number of hours the state is in configuration with ABCD on,

and likewise for the other 6 permitted states.

The constraints are:

- "No charging station can be open for more than 18 hours a day"

$$\begin{aligned}
 x_{ABCD} + x_{ABC} + x_{ABD} + x_{ACD} + x_{AC} &\leq 18, \\
 x_{ABCD} + x_{ABC} + x_{ABD} + x_{BCD} + x_{BC} &\leq 18, \\
 x_{ABCD} + x_{ABC} + x_{BCD} + x_{ACD} + x_{AC} + x_{BC} &\leq 18, \\
 x_{ABCD} + x_{ABD} + x_{ACD} &\leq 18.
 \end{aligned}$$

The first equation is for charging station A, the next is for charging station B, and so on. You could also much more easily write the first equation as

$$\sum_{\text{states } S \text{ with } A \text{ on}} x_S \leq 18,$$

and likewise for the other 3 charging stations.

- *All states occur for a nonnegative amount of time.*

$$x_{ABCD}, x_{ABC}, x_{ABD}, x_{ACD}, x_{BCD}, x_{AC}, x_{BC} \geq 0.$$

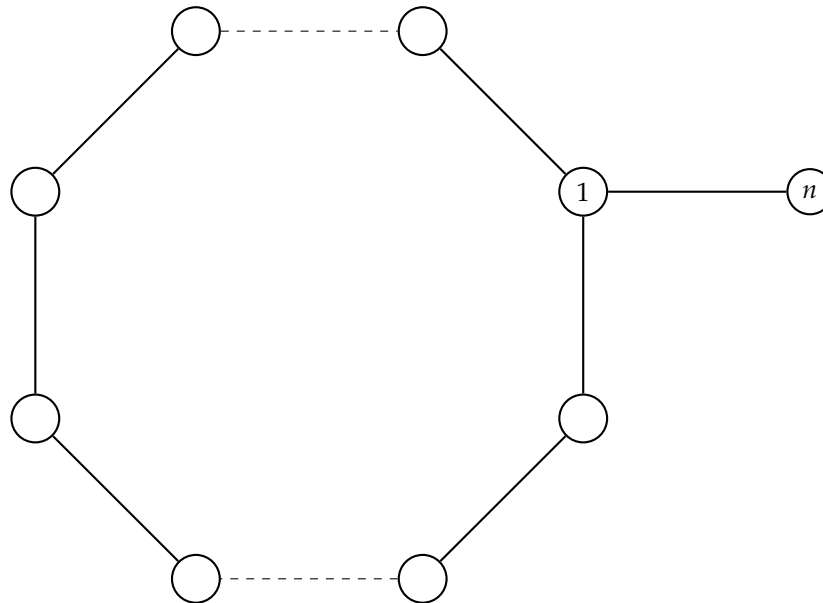
No constraints need to be added to ensure that at any time in the day, for every road, at least one of the charging stations at its end points must be open. This is because the variables correspond only to configurations which already satisfy this.

The objective function being minimized is:

$$10 \cdot x_{ABCD} + 6 \cdot x_{ABC} + 7 \cdot x_{ABD} + 8 \cdot x_{ACD} + 9 \cdot x_{BCD} + 4 \cdot x_{AC} + 5 \cdot x_{BC}.$$

### 13 Karger's algorithm (5 points)

Consider the following undirected graph  $G = (V, E)$  on  $n$  vertices.



This graph consists of an  $(n-1)$ -cycle involving the vertices 1 through  $n-1$ , which contains the edges  $(1,2), (2,3), \dots, (n-2, n-1)$ , and  $(n-1, 1)$ . (The dashed lines in the picture represent vertices and edges from this cycle which are not drawn.) In addition, the graph has another vertex, vertex  $n$ , and an edge between vertices 1 and  $n$ .

1. What is minimum cut in this graph? What is the size of the minimum cut?

**Solution:** The minimum cut in this graph consists of the sets  $S = \{1, \dots, n-1\}$  and  $\bar{S} = \{n\}$ . It cuts only the edge  $(1, n)$  and therefore has size 1.

2. Suppose we run Karger's algorithm on this graph. What is the probability that it outputs the minimum cut? (Note: this probability may or may not be equal to the lower bound on the success probability of Karger's algorithm that we proved in class.) Explain your reasoning.



**Solution:** The probability that Karger's algorithm outputs the minimum cut is  $1/n$ . On this graph, at every step of Karger's algorithm, each remaining edge is equally likely to be contracted and removed from the graph, so the probability that any particular edge remains uncontracted at the end is  $1/n$ , since this graph has  $n$  edges. Karger's algorithm outputs the minimum cut only if  $(1, n)$  is uncontracted at the end, and this therefore happens with probability  $1/n$ .



## 14 Longest $k$ -modal subsequence (15 points)

A sequence of integers  $a_1, \dots, a_\ell$  is  $k$ -modal if it starts off increasing and switches between increasing and decreasing at most  $k$  times. For example, a 0-modal sequence is just an increasing sequence; a 1-modal sequence is one that increases until it reaches a maximum value, and then it decreases.

Formally, a sequence is  $k$ -modal if there exists "switch points"  $i_1, \dots, i_k$  such that  $a_1 < a_2 < \dots < a_{i_1}$  and  $a_{i_1} > a_{i_1+1} > \dots > a_{i_2}$ , and so on. For example, 1, 2, 3, 5, 8, 13, 11, 7, 5, 3, 2 is 1-modal.

In class, we saw an  $O(n^2)$ -time dynamic programming algorithm for computing the longest increasing subsequence of a sequence of numbers  $a = (a_1, \dots, a_n)$ . In this problem, devise a dynamic programming based algorithm for computing the length of the longest  $k$ -modal subsequence given  $k$  and an input sequence  $a_1, \dots, a_n$ .

1. What are the subproblems? (precise and succinct definition needed)

**Solution:** I think the best way to do this is to define the following subproblems, one for each  $1 \leq i \leq n$  and  $0 \leq m \leq k$ :

$L[i, m]$  = length of the longest  $m$ -modal subsequence ending at letter  $a_i$ .

2. What are the recurrence relations?

**Solution:** For each  $1 \leq i \leq n$  and  $0 \leq m \leq k$ , we have the following recurrence relation. Suppose that  $m$  is even. Then

$$L[i, m] = 1 + \max\{L[j, m-1] \mid j < i, a_j > a_i\} \cup \{L[j, m] \mid j < i, a_j < a_i\}.$$

On the other hand, if  $m$  is odd,

$$L[i, m] = 1 + \max\{L[j, m-1] \mid j < i, a_j < a_i\} \cup \{L[j, m] \mid j < i, a_j > a_i\}.$$

## 15 Reductions (10 points)

Consider the following two problems:

### 1. Matching

**Input:** Graph  $G = (V, E)$  and a positive integer  $k$

**Solution:** A set of  $k$  edges  $e_1, \dots, e_k$ , no pair of which share a vertex.

### 2. Independent Set

**Input:** Graph  $G' = (V', E')$  and a positive integer  $k$

**Solution:** A set of  $k$  vertices  $S \subset V'$ , no pair of which are connected by an edge.

Among the above two problems, one of them reduces to the other but not vice-versa. Fill in the blanks below (with answers in the context of above two problems).

1. The problem  is believed to not reduce in polynomial time to  because

**Solution:** The problem Independent Set is believed to not reduce in polynomial time to Bipartite Matching because Independent Set is a NP-Complete problem while Bipartite Matching is a problem in P. Since Independent Set is a harder problem than Bipartite Matching, proving that Independent Set reduces to Bipartite Matching would show that  $P = NP$ , which is currently not believed.

2. On the other hand,  reduces in polynomial time to

Here is the reduction.

Reduction: Given an instance  $\Phi$  of the problem  we will construct an instance  $\Psi$  of the problem  as follows.

*The proof that this is a valid reduction is omitted here*

**Solution:** On the other hand, Matching reduces in polynomial time to Independent Set.

*Reduction: Given an instance  $\Phi$  of the problem Matching we will construct an instance  $\Psi$  of the problem Independent Set as follows.*

*For each edge  $e$  in  $G$  of  $\Phi$ , create a vertex  $v'$  in  $G'$  of  $\Psi$ . For each pair of edges  $(e_1, e_2)$  that share vertices, add an edge  $e'_{1,2}$  between the corresponding vertices  $v'_1, v'_2$  in  $G'$  of  $\Psi$ .*

*Note: Some students attempted to run the max flow algorithm to solve independent set. Unfortunately, this is not a reduction, since we do not need a solution to the problem we are reducing to.*

*Some students did not clarify that we create edges in the new graph based on which vertices are shared. These answers lost a point.*

## 16 NP-Completeness Reductions (15 points)

Show that the following problems are NP-complete by providing a polynomial-time reduction. You may assume that the following problems are NP-complete: Rudrata (Hamiltonian) Path, Rudrata (Hamiltonian) Cycle, Vertex Cover, Independent Set, 3-SAT and Integer Programming.

### 1. Hitting Set

**Input:** Positive integers  $n, m, k$  and a family of subsets  $S_1, \dots, S_n$  of  $\{1, \dots, m\}$ .

**Solution:** A subset  $H \subset \{1, \dots, m\}$  of size  $k$  that overlaps every one of the sets  $S_1, \dots, S_n$ , i.e., for each  $S_i$ , we have  $S_i \cap H \neq \emptyset$ .

*Proof. It is clear that the Hitting Set problem is in NP. Now we will use a polynomial time reduction to show that the problem is indeed NP-complete.*

Given an instance  $\Phi$  of the problem  we will construct an instance  $\Psi$  of the problem  as follows.

The proof that this is a valid reduction is as follows:

**Solution:**

First blank: Vertex Cover. Second blank: Hitting Set. We want to reduce from VC to HS so we construct an instance of HS to solve a given instance of VC.

Let  $G = (V, E)$  be the input graph to Vertex Cover. For each edge  $(u, v) \in E$ , add a subset  $S_i = \{u, v\}$  to the Hitting Set instance. Finding a vertex cover of size  $k$  is the same as finding a hitting set  $H \subset V$  of size  $k$  on inputs  $S_1, \dots, S_{|E|}$  (Represent vertices as integers in the Hitting Set instance).

Proof: A vertex cover  $S \subset V$  has the property that for all  $(u, v) \in E$ , at least one of  $u, v$  is in  $S$ . Therefore  $S$  intersects with each of  $S_i$  and is a hitting set. Now suppose we have a hitting set  $H$ . For each  $(u, v) \in E$ ,  $\{u, v\} \cap H \neq \emptyset$ . Therefore  $H$  covers all edges and is a vertex cover.

Alternative solution (Reduction from 3SAT): see

<https://cs.stackexchange.com/questions/80774/prove-that-hitting-set-is-np-complete>

## 17 Good Pivot (10 points)

Given an array of numbers  $a_1, \dots, a_n$  (NOT sorted), a *good pivot* is a number  $b$  such that between 25% to 75% of the numbers in the array are less than  $b$ .

Formally if we define  $S_b = \{i | a_i < b\}$  then a good pivot satisfies  $\frac{n}{4} \leq |S_b| \leq \frac{3n}{4}$ .

A good pivot  $b$  does not necessarily have to be an element of the array.

1. Devise a randomized algorithm that will output a number  $b$  such that  $b$  is a good pivot with probability at least  $1 - 10^{-6}$ . With probability  $10^{-6}$ , the algorithm may output a number that is not a good pivot.

Give a succinct and precise description of your algorithm. (For full credit, the runtime of your algorithm must be smaller than  $\log^2 n$ )

2. What is the asymptotic run-time of your algorithm?

**Solution: Main idea:** Pick a constant number  $t$  of samples from the set and take their median. In order for this to fail, at least half of the samples must be in top quartile, or half must be in the bottom quartile. We can bound the probability of this with a Chernoff bound. There are correct variations on this solution which sample  $O(\log n)$  values and have easier to prove bounds.

This is a constant time algorithm.

**Analysis:** A probabilistic analysis wasn't required for full points on this problem, but we provide one here for the sake of clarity.

The probability of failure is the probability that either half of the sampled elements are from the lower quartile or half are from the upper quartile. These situations are symmetric, so we will consider the lower quartile and double our error bound later. Let  $B$  be the number of sampled elements in the lower quartile of the data. It is distributed as  $\text{Bin}(t, \frac{1}{4})$ . Then we have

$$\begin{aligned}
 \Pr[\text{lower-quartile failure}] &\leq \Pr[B \geq \frac{1}{2}t] \\
 &\leq \Pr\left[\left|\frac{1}{t}B - \frac{1}{4}\right| \geq \frac{1}{4}\right] \\
 &\leq 2 \exp\left(-2 \left(\frac{1}{4}\right)^2 t\right) \quad \text{Chernoff bound} \\
 &= 2 \exp(-t/8)
 \end{aligned}$$

Doubling this to account for the fact that failures could also happen in the upper quartile, we get that the total probability of failure is at most  $4 \exp(-t/8)$ . We can make this less than  $10^{-6}$  by setting  $t > 8 \ln(4 \cdot 10^{-6}) \approx 121.6$ .

**Note:** Mean-based solutions generally don't work because we know nothing about the distribution of array elements, so there can be lots of "bad" elements arbitrarily close to the outer limits of what a good pivot can be.





1. Run Kruskal's or Prim's.
2. The cut property still holds. If the lightest edge in a cut is not in a MMT, replace it with that and you get a better MMT. Therefore any MST is a MMT.

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