# CS 170 Efficient Algorithms and Intractable Problems

### Lecture 7:

Strongly Connected Components (aka DFS is awesome!)

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### Announcements

We have released discussion solutions a bit earlier this week

→ You can refer to them to help with HW 3.

Please fill out HW party feedback form:

- → <a href="https://tinyurl.com/cs170-hwp">https://tinyurl.com/cs170-hwp</a>
- → We'd like to know what's working and what needs to be improved.

### Recap of last lecture

#### Exploring graphs

- explore(u) visited exactly the set of edges reachable from u.
- DFS: repeatedly calling explore. Runs in time O(n + m).
- → Found connected components of undirected graphs.
- → Edge types: tree edge, back edge, forward edge, cross edge (directed graphs only)

```
\begin{array}{ll} \textbf{explore}(G,u) & \textbf{dfs}(G) \\ \textbf{visited}[u] = true & \textbf{boolean array } \textbf{visited}(n) \\ \textbf{pre}[u] = \textbf{clock}; \textbf{clock++} \\ \textbf{For } v \text{ such that } \{u,v\} \in E \quad //\text{alphabetic order} \\ \textbf{If } \textbf{visited}[v] = false \text{ then } \textbf{explore}(G,v) \\ \textbf{post}[u] = \textbf{clock}; \textbf{clock++} \end{array} \quad \begin{array}{ll} \textbf{dfs}(G) \\ \textbf{boolean array } \textbf{visited}(n) \\ // \text{ initialize to all false.} \\ \textbf{clock} = 1 \\ \text{int array } \textbf{pre}(n), \textbf{post}(n) \\ \textbf{For } v \in V \\ \textbf{If } \textbf{visited}[v] = false \text{ then } \textbf{explore}(G,v) \end{array}
```

# DFS Tree/Forest for Directed Graphs

#### Edge (u, v):

• Tree edge Recursive explore calls pre[u] < pre[v] < post[v] < post[u]

#### • Forward edge:

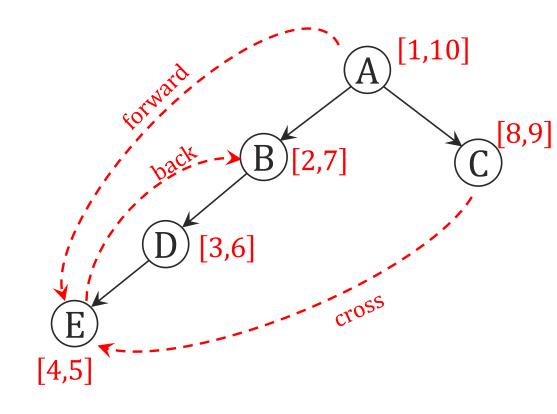
From ancestor to non-child descendent pre[u] < pre[v] < post[v] < post[u]

#### Back edge:

From descendent to ancestor pre[v] < pre[u] < post[u] < post[v]

#### • Cross edge:

Between neither descendent or ancestor.



# Cross Edge

Imagine  $(u, v) \in E$  is a <u>cross edge</u>.

What is the relationship between [pre[v], post[v]] and [pre[u], post[u]]?

### **Edges Types and Intervals Summary**

Edge $(u, v) \in E$	
Tree / Forward edge	pre[u] < pre[v] < post[v] < post[u]
Back edge:	pre[v] < pre[u] < post[u] < post[v]
Cross edge:	pre[v] < post[v] < pre[u] < post[u]

All other relationships between intervals are impossible!

### This lecture

Using DFS and pre/post times in other algorithm design problems.

- → Topological sort
- → Strongly Connected components

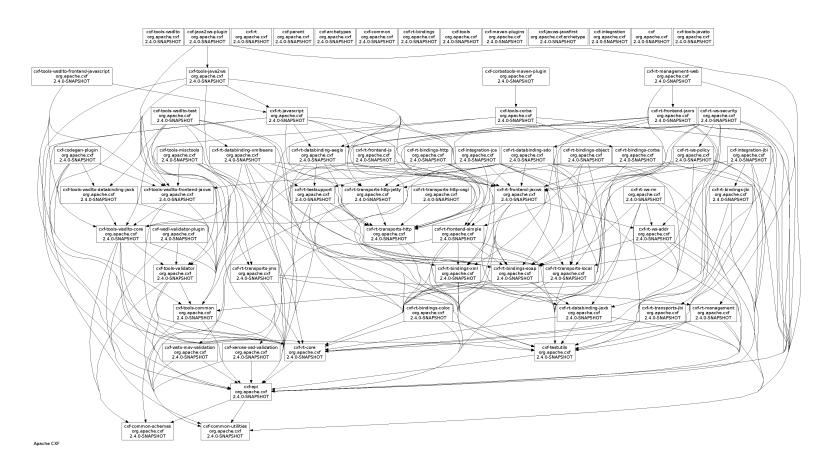
# Topological Sort

### **Topological Sort**

Find an ordering of vertices so that no edges go backward.

 $\rightarrow$  i.e., If *u* comes before *v* in the ordering, there is no edge (v, u).

E.g., software package dependency

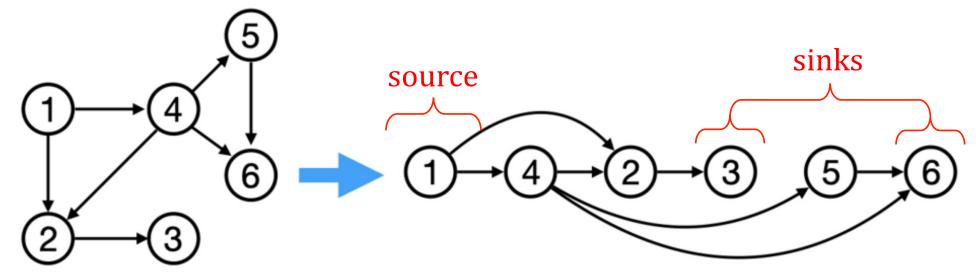


### Topological Sort

Find an ordering of vertices so that no edges go backward.

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**Source:** Any node that has no incoming edges and has only outgoing edges.

Sink: Any node that has no outgoing coming edges and has only incoming edges.

# Topological Sort, DAGS, and Back edges

**Definition:** a directed acyclic graph (DAG) is a graph with no directed cycles.

**Claim:** Suppose we run a DFS on on G. G is a DAG if and only if it has no back edges!

# Back Edges and Post-times are special!

Edge  $(u, v) \in E$ 

Tree / Forward edge

Back edge:

Cross edge:

pre[u] < pre[v] < post[v] < post[u]

pre[v] < pre[u] < post[u] < post[v]

pre[v] < post[v] < pre[u] < post[u]

An edge  $(u, v) \in E$  is a back edge if and only if post[u] < post[v].

**Corollary:** In a DAG, every edge  $(u, v) \in E$  has the property that post[v] < post[u].

### Topological Sort Algorithm

How should we use the DFS to find a topological sort for a DAG?

# 3 min break! Please close the doors to the auditorium!

# **Strongly Connected Components**

### Connected Components in directed Graphs

In undirected graphs, connected components can be found via DFS

Questions for directed graphs:

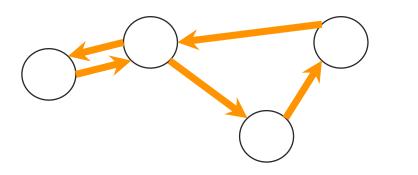
- How should we define connected components in a directed graph?
- How do we compute them, fast?

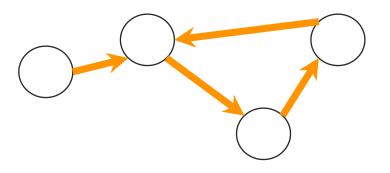
# Strongly Connected Graphs

Vertices *u*, *v* are **strongly connected** if

- there is a path from u to v, and
- there is a path from v to u.

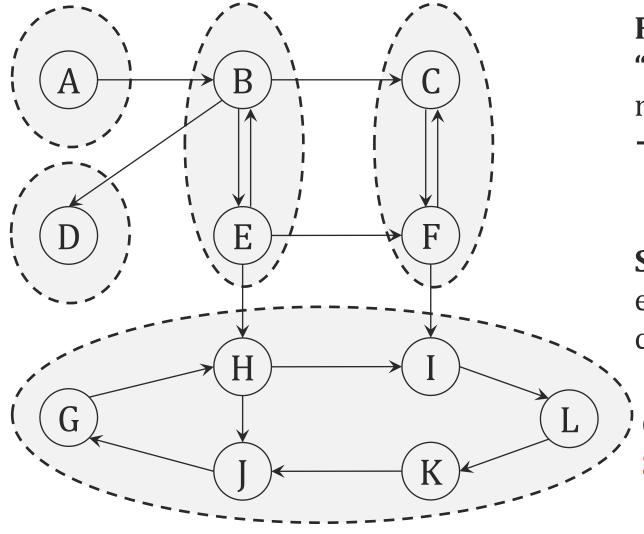
A directed graph G = (V,E) is **strongly connected** if all of its vertices are strongly connected.





# Strongly Connected Components

We can partition a graph into strongly connected components (SCCs).



#### Formal definition:

"strong connectivity" is an equivalence relationship between vertices.

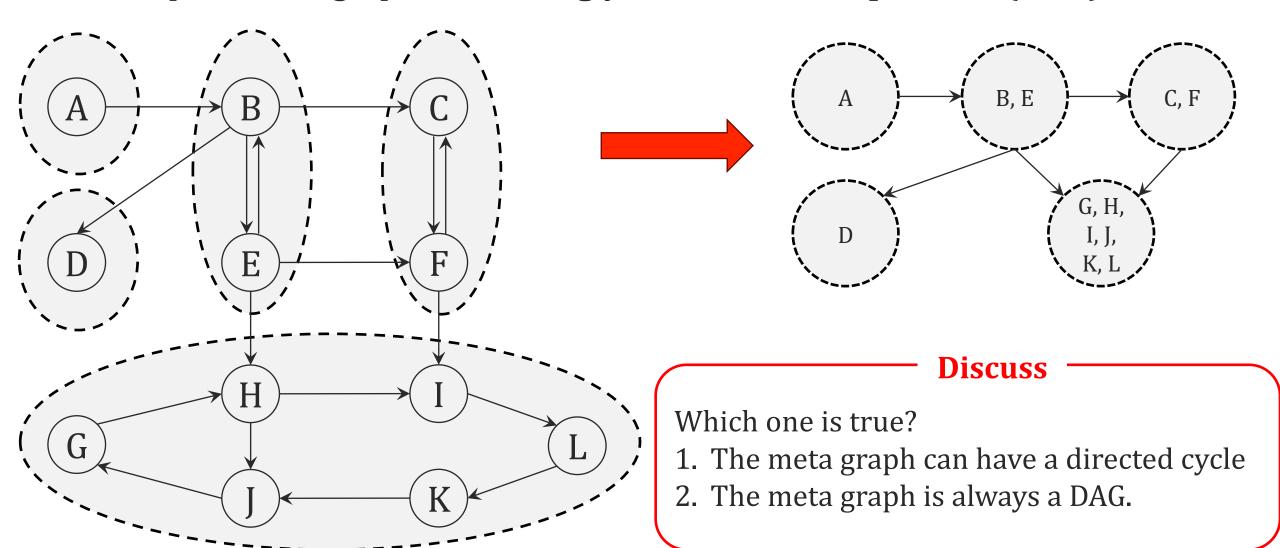
→ Reflexive, symmetric, and transitive!

**Strongly connected components** are the equivalence classes under "strong connectivity" relationship.

**Observation:** If we reverse all edges of *G*, the SCCs don't change!

### Meta Graph for SCC

We can partition a graph into **strongly connected components** (SCCs).



### Why would we care about SCC?

Useful broadly in science and engineering.

- Structure of graphs more generally: model checking, advertising, etc.
- SCCs tell you about communities of people, objects, similarities.
- Many graph algorithms only make sense on a SCC
- → Used a preprocessing step a lot.

### How to find SCCs?

We won't go into the details here, these are bad algorithms anyway!

#### Slow attempt 1:

Consider all possible decompositions and check.

#### **Slow attempt 2:** something like

- Run an *explore* for every node to get the set of reachable nodes.
- For every node u, and any  $v \in explore(u)$ , run explore(v) and add v to the same component as u if u is visited in explored list of v ....

Runs in time  $\Omega(n^2)$  at the very least.

### How to find SCCs?

There is an algorithm for finding all SCCs that runs in time O(n+m)!

 $\rightarrow$  Just as efficient as one (or O(1)) rounds of DFS!

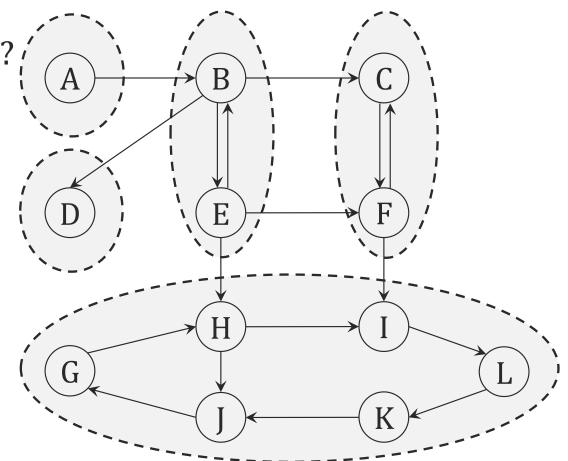
We will use just two calls to DFS!

### How to find SCCs?

• What do you get when you run DFS from A?

• What about from **G**?

• What about D?



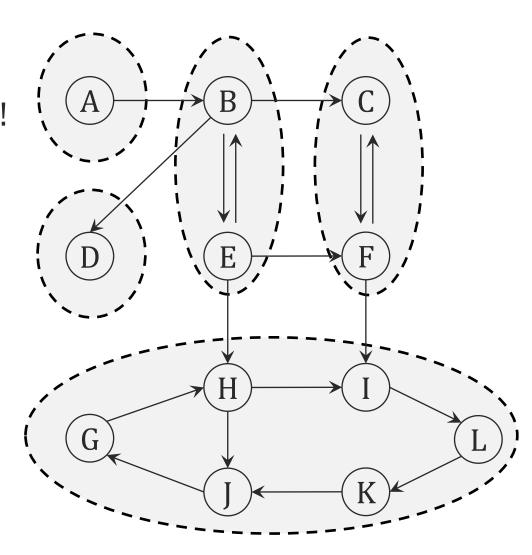
• Suggested algorithm: run DFS from the "right" place to identify SCCs.

Where is the "right" place to start DFS?

Start from any node in a sink of the meta graph!

Say, start from node G:

• *explore* (node G) visits the SCC of the sink.

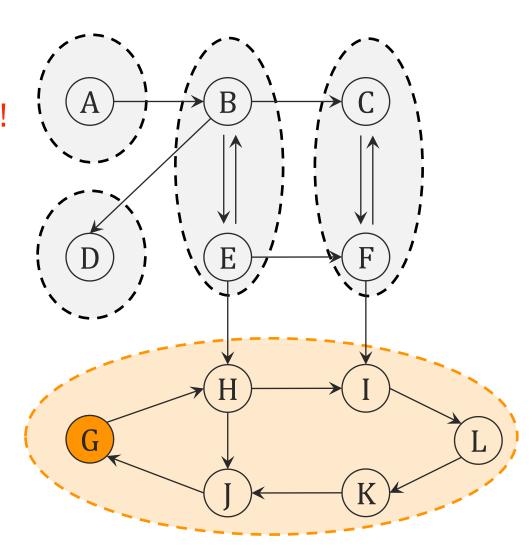


Where is the "right" place to start DFS?

Start from any node in a sink of the meta graph!

Say, start from node G:

- *explore*(node G) visits the SCC of the sink.
- No edge coming out of the sink component
- → It does not explore any node outside of SCC!

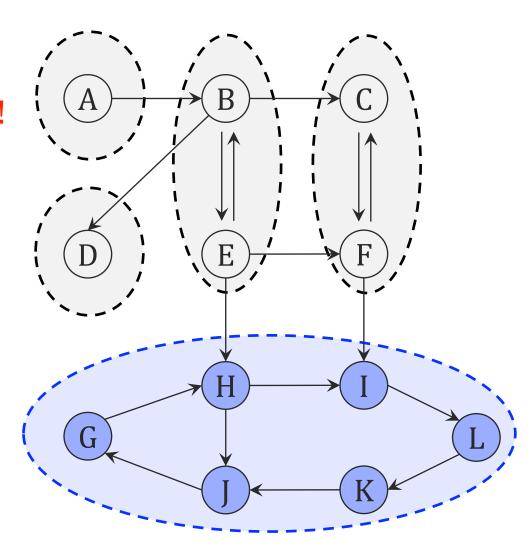


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Where is the "right" place to start DFS?

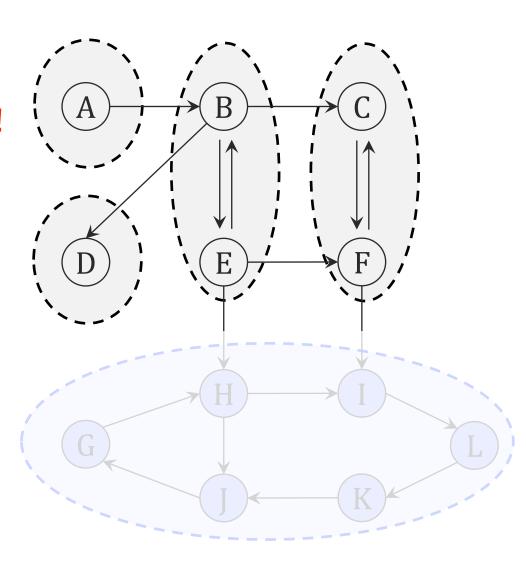
Start from any node in a sink of the meta graph!

Say, start from node G:

- *explore*(node G) visits the SCC of the sink.
- No edge coming out of the sink component
- → It does not explore any node outside of SCC!

Remove this component, and continue

• *explore* any node in the sink of the remaining meta graph.



# Sinks, Sources, and DFS post numbers

**Claim:** Suppose we run DFS on graph *G*. Let C and C' be two SCCs such



highest post[v] for  $v \in C >$  highest post[u] for  $u \in C'$ 

### Sinks, Sources, and DFS post numbers

**Corollary:** Suppose we run DFS on graph G. The highest post[v] belongs to a node v that is in the source SCC of the meta graph!

Reverse all the edges of G, first. Then, run the DFS!

# The Reverse Graph Properties

Claim [we saw at the beginning of class]: G and  $G^R$  (reverse of G) have the same connected components! Also, edges in the meta graph of  $G^R$  are the reverse of edges in the meta graph of G.

**Corollary:** Suppose we run DFS on graph  $G^R$ . The highest post[v] belongs to a node v that is in the sink SCC of the meta graph!

# Algorithm for finding the SCCs

```
Compute the reverse of G, call it G^R.

Run DFS (using alphabetic order or any arbitrary order) on G^R.

Store the post numbers of this DFS, say in an array called post-r.

Run DFS on G. This time, explore any unvisited node in the decreasing order post-r.

Each new call from DFS to explore, finds a new SCC.
```

```
explore(G, u)
visited[u] = true
sccnum[u] = count
For v such that <math>(u, v) \in E
If visited[v] = false then explore(G, v)
```

```
Find SCCs(G)
boolean array visited(n) // init all false.

[pre-r, post-r] \leftarrow dfs(G^R)

count = 1

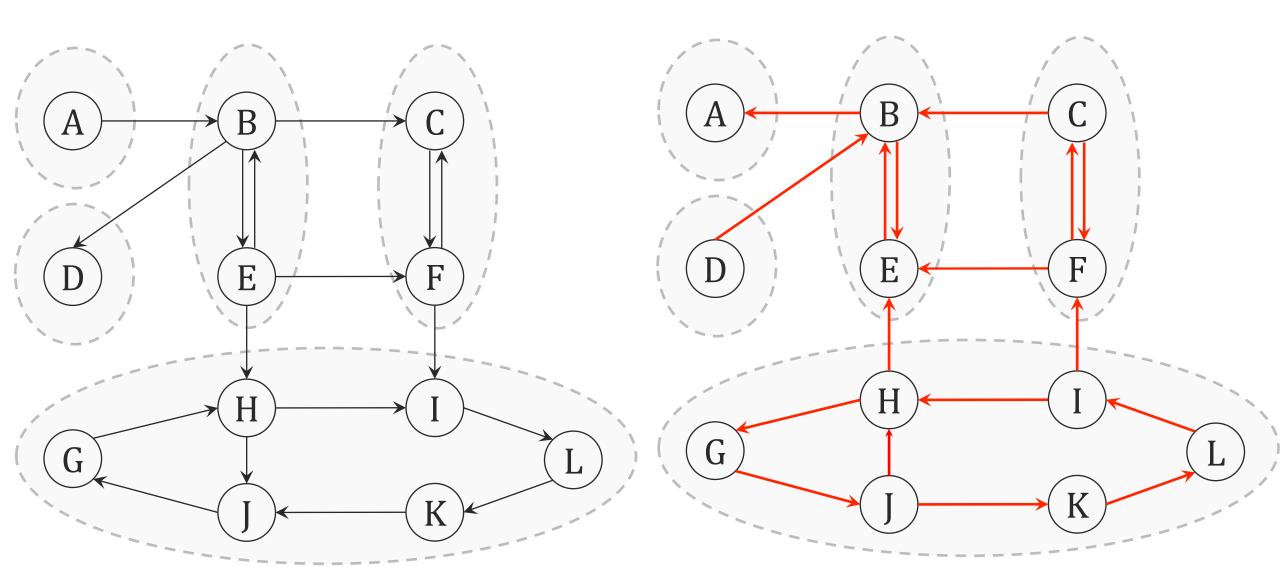
For v \in V from highest to lowest post-r[v]

If visited[v] = false then

explore(G, v)

count ++
```

# Algorithm step 1: Reverse G



- Not been there yet
- Been there, still exploring.
- Finished exploring

- ( ) Not been there yet
- Been there, still exploring.
- Finished exploring

[1, G

- Not been there yet
- Been there, still exploring.
- Finished exploring

[1, 2]G

- ( ) Not been there yet
- Been there, still exploring.
- Finished exploring

[3, [1, 2]G

- ( ) Not been there yet
- Been there, still exploring.
- Finished exploring

[3, [1, 2][4, G

- ( ) Not been there yet
- Been there, still exploring.
- Finished exploring

[3, [1, 2][4,5]G

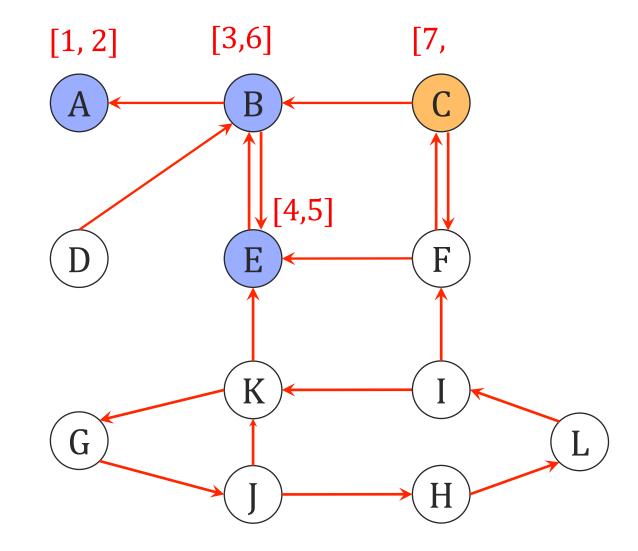
- ( ) Not been there yet
- Been there, still exploring.
- Finished exploring

[3,6] [1, 2][4,5]G

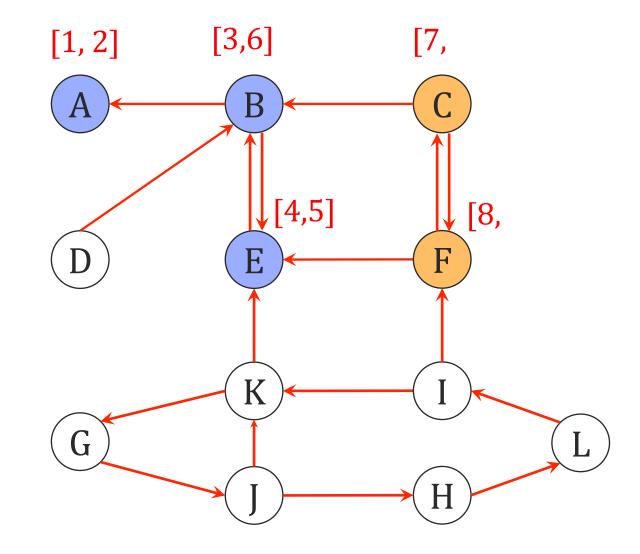
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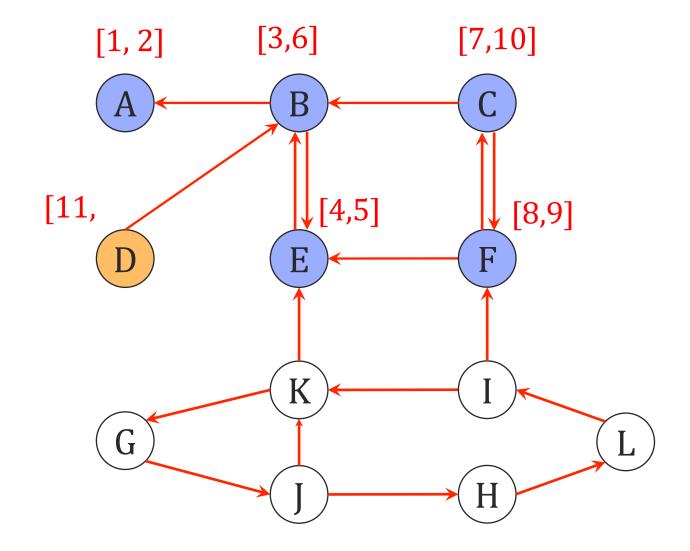
- ( ) Not been there yet
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- Finished exploring

[3,6] [1, 2][4,5] [8,9] G

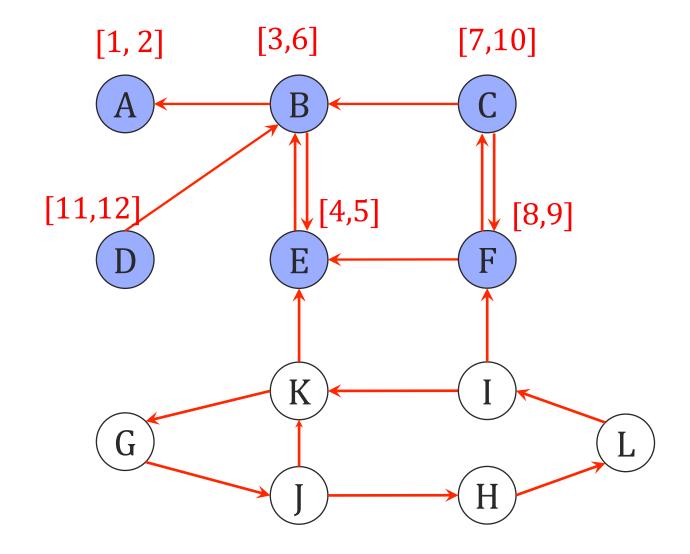
- ( ) Not been there yet
- Been there, still exploring.
- Finished exploring

[3,6] [7,10][1, 2][4,5] [8,9] E G

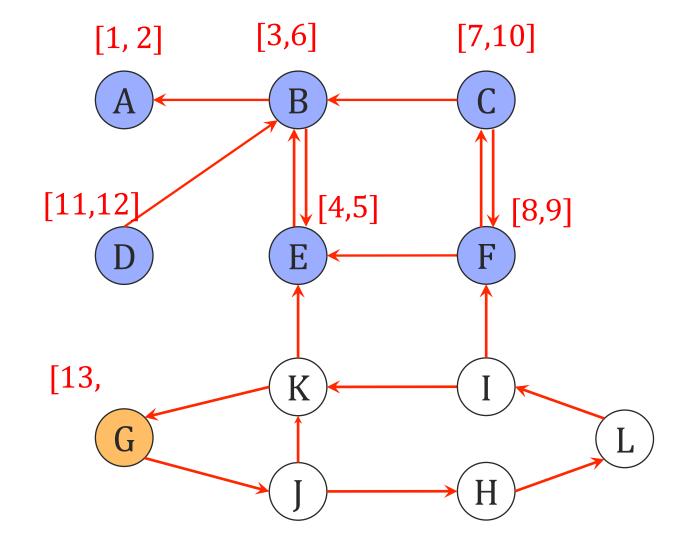
- ( ) Not been there yet
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- Finished exploring



- ( ) Not been there yet
- Been there, still exploring.
- Finished exploring

[3,6] [7,10][1, 2][11,12][4,5] [8,9] E [13, G

- ( ) Not been there yet
- Been there, still exploring.
- Finished exploring

[3,6] [7,10][1, 2][11,12][4,5] [8,9] E [13, G

- ( ) Not been there yet
- Been there, still exploring.
- Finished exploring

[3,6] [7,10][1, 2][11,12]**[4,5]** [8,9] E [13, G [16,

- ( ) Not been there yet
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[3,6] [7,10][1, 2][11,12]**[4,5]** [8,9] E [17, [13, G [16,

- Not been there yet
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[3,6] [7,10][1, 2][11,12]**[4,5]** [8,9] E [18, [17, [13, G [16,

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[3,6] [7,10][1, 2]B [11,12]**[4,5]** [8,9] E [18,19] [17, [13, G [16,

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[3,6] [7,10][1, 2]B [11,12]**[4,5]** [8,9] E [18,19] [17,20] [13, G [16,

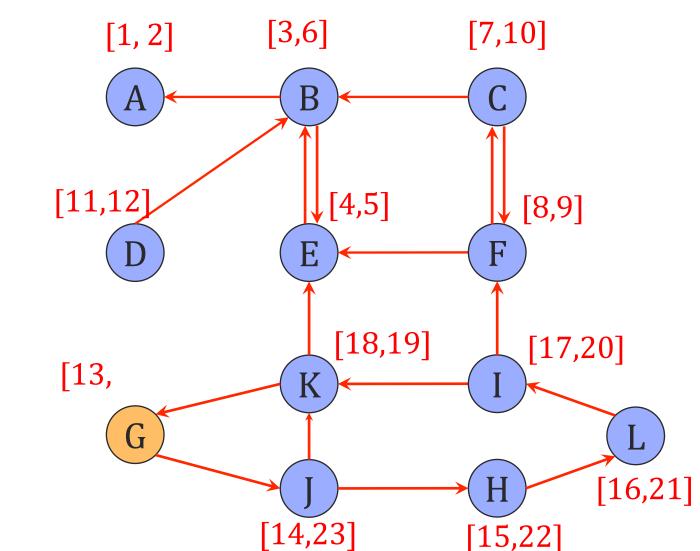
- Not been there yet
- Been there, still exploring.
- Finished exploring

[3,6] [7,10][1, 2]B [11,12]**[4,5]** [8,9] E [18,19] [17,20] [13, G [16,21]

- Not been there yet
- Been there, still exploring.
- Finished exploring

[3,6] [7,10][1, 2]B [11,12] **[4,5]** [8,9] E [18,19] [17,20] [13, G [16,21]

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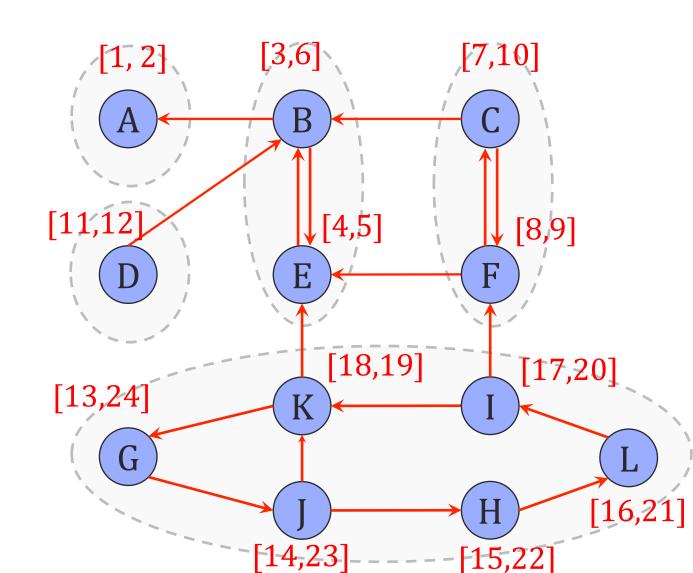


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[3,6] [7,10][1, 2]B [11,12] **[4,5]** [8,9] E [18,19] [17,20] [13,24]G [16,21]

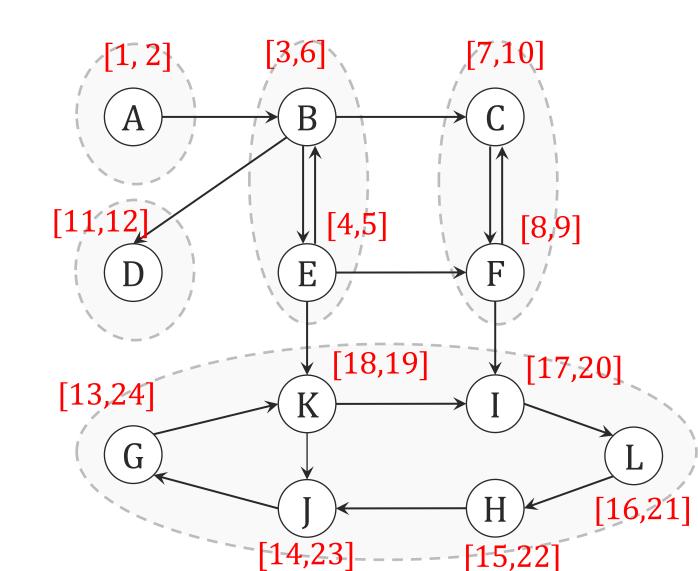
# Sink node and the highest post number

Consider the graph G, not reverse of G.



# Sink node and the highest post number

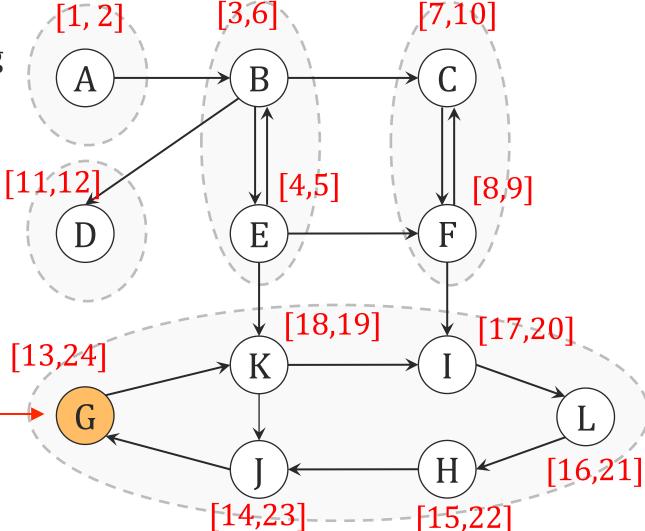
Consider the graph G, not reverse of G.



Consider the graph G, not reverse of G.

Highest post-r

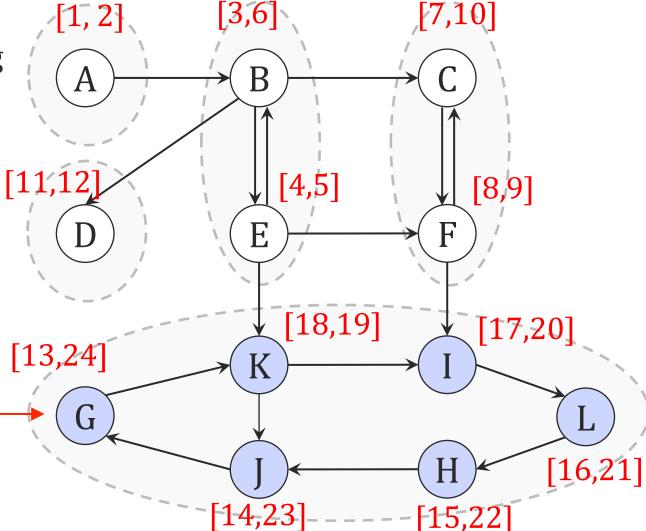
Run DFS and call explore in the decreasing order of post-r numbers.



Consider the graph G, not reverse of G.

Highest post-r

Run DFS and call explore in the decreasing order of post-r numbers.



Consider the graph *G*, not reverse of *G*.

[3,6] Run DFS and call explore in the decreasing order of post-r numbers. [11,12][4,5] [8,9] Highest remaining post-r-[18,19] sccnum = 1

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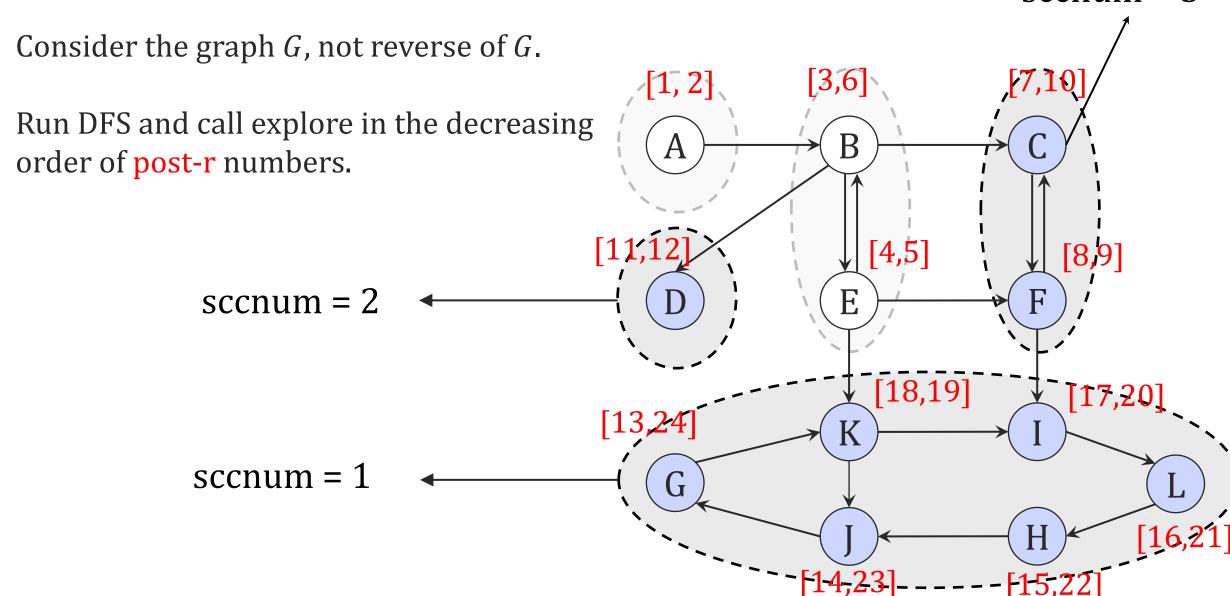
# Alg step 3: Run DFS on G in the decreasing post Highest remaining

post-r Consider the graph G, not reverse of G. [3,6] Run DFS and call explore in the decreasing A order of post-r numbers. [4,5] [8,9] sccnum = 2[18,19] sccnum = 1

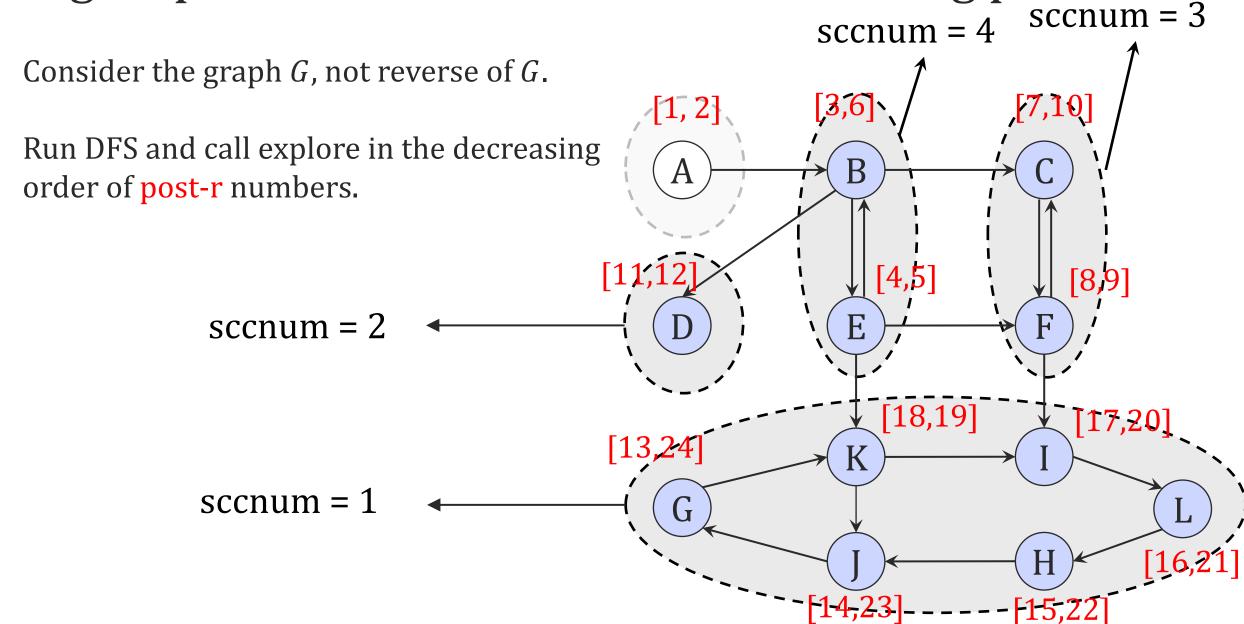
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sccnum = 3



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sccnum = 3Highest remaining scenum = 4post-r Consider the graph *G*, not reverse of *G*. [3,6] Run DFS and call explore in the decreasing A order of post-r numbers. [4,5] [8,9] sccnum = 2[18,19] sccnum = 1

sccnum = 3sccnum = 4sccnum = 5Consider the graph *G*, not reverse of *G*. [3,6]Run DFS and call explore in the decreasing order of post-r numbers. [4,5] [8,9] sccnum = 2[18,19] sccnum = 1

# Wrap up

DFS is awesome!

- → Edge types are important → Topological sort and DAGs
- → Simple book keeping tells us about edge types too.
- → Book keeping helps a lot with other algorithm design problems, like finding SCCs.

#### **Next time**

- More with graphs
- Paths