# Coursework 2 for INT305: MNIST Image classification

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Abstract. This report addresses three problems outlined in Coursework 2. The first question involves explaining concepts related to CNN. The second problem focuses on implementing a CNN-based model for image analysis. The third problem searched for approaches to improve model accuracy, where two strategies are explored: hyperparameter tuning and SOTA models examination. Finally, an ensemble model is built to further enhance accuracy and improve the robustness of the predictor. In the code section, key code snippets related to Problems 2 and 3 are provided, while the full code is also available on GitHub via the attached link.

## 1 Problem 1

Problem 1: Please describe the 2 key components in the CNN framework: the convolutional kernel and the loss functions used in the framework. (20%)

Answer: **Convolutional Kernel:** The convolutional kernel, or filter, is a small trainable matrix, such as 3x3 or 5x5, that extracts features from input data through the convolution operation, sliding over the input and computing dot products with overlapping regions. It captures low-level features like edges and textures in the early layers and high-level patterns in deeper layers. The formula for calculating the size of the output is as follows:

$$O = \frac{(W - K + 2P)}{S} + 1 \tag{1}$$

where:

- O: the output size.
- W: the input width.
- K: the kernel size.
- P: the padding size.
- S: the stride.

Loss Functions: The loss function quantifies the discrepancy between the predicted output and the ground truth, guiding the optimization process by calculating gradients during backpropagation. Common loss functions include cross-entropy loss for classification tasks, mean squared error for regression tasks.

Cross-entropy loss is a loss function used in classification tasks to measure the difference between the predicted probability distribution and the true distribution. It encourages the model to assign high probabilities to the correct classes while penalizing incorrect predictions. By minimizing cross-entropy loss, the model learns to make predictions that are more

confident and accurate, aligning the predicted probabilities with the true labels. The formula for cross-entropy loss is as follows:

$$\mathcal{L} = -\frac{1}{N} \sum_{i=1}^{N} \sum_{c=1}^{C} y_{i,c} \log(\hat{y}_{i,c})$$
 (2)

where:

- N is the number of samples in the dataset.
- C is the total number of classes.
- $y_{i,c}$  is the ground truth indicator, which is 1 if sample i belongs to class c, and 0 otherwise.
- $\hat{y}_{i,c}$  is the predicted probability for sample i belonging to class c, obtained from a softmax function.
- $\mathcal{L}$  is the cross-entropy loss, commonly used for multi-class classification tasks.

Mean Squared Error (MSE) loss is a commonly used metric in regression tasks that quantifies the average squared difference between predicted values and actual target values. It evaluates how well a model's predictions align with the true values by penalizing larger errors more heavily due to the squaring of differences. This makes MSE particularly sensitive to outliers, as large errors contribute disproportionately to the overall loss. By minimizing MSE, a model is trained to produce predictions that are as close as possible to the actual values, improving its accuracy and performance. The formula for mean squared error loss is as follows:

$$\mathcal{L}_{\text{MSE}} = \frac{1}{N} \sum_{i=1}^{N} (y_i - \hat{y}_i)^2$$
 (3)

where:

- $\mathcal{L}_{\mathrm{MSE}}$ : the mean squared error loss.
- N: the number of samples.
- $y_i$ : the true value for the *i*-th sample.
- $\hat{y}_i$ : the predicted value for the *i*-th sample.

### 2 Problem 2

Problem 2: Please train (or fine-tune) and test the framework on MNIST and report the final accuracy performance that you have achieved. Please also report some well classified and misclassified images by including the images and corresponding classification confidence value. (40%).

Answer: To address this problem, the provided CNN architecture is trained and tested on the

MNIST dataset. Model performance is evaluated using six metrics: accuracy (ACC), calculated as the ratio of correctly classified samples to the total number of samples; sensitivity (Sn); specificity (Sp); F1 score (F1); Matthews correlation coefficient (MCC); and area under the curve (AUC). These metrics are computed using the "macro" method, which averages the metrics across all classes equally, regardless of class size. The results, summarized in **Table 1**, indicate a final training accuracy of 98.97% and a testing accuracy of 98.69%.

Table 1: Model Performance for Provided CNN

	ACC(%)	$\operatorname{Sn}(\%)$	$\mathrm{Sp}(\%)$	$\mathbf{F1}$	MCC	AUC
Train	98.97	98.95	99.89	0.9896	0.9885	0.9998
Test	98.69	98.68	99.85	0.9868	0.9854	0.9997

To provide a case study, two correctly classified and two misclassified images from the MNIST test set are presented alongside their respective classification probabilities. For correctly classified examples, an image of "8" and an image of "3" are provided, with prediction probabilities of 0.9274 and 0.9747, respectively. For misclassified examples, an image of "6" is incorrectly classified as "0" with a prediction probability of 0.7432, and an image of "9" is misclassified as "4" with a prediction probability of 0.6084. Furthermore, CAM-based methods, including Grad-CAM[1], Grad-CAM++[2], Layer-CAM[3], and Score-CAM[4], are applied to enhance visual interpretability by generating heatmap overlays on the original images. The combined results of both predictions and CAM visualizations are shown in **Figure 1**.

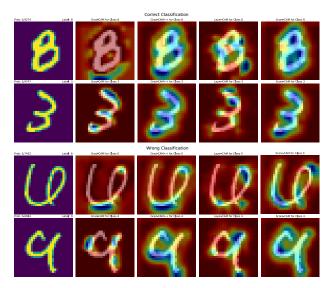


Figure 1: Examples of correctly classified and misclassified images with their prediction probabilities and CAM-based interpretations

Figure 1 shows that for correctly classified images, the CAM analysis heatmaps indicate that the

model effectively captures the peripheral contour information of the digits, which is valuable for classification. Conversely, for misclassified images, the heatmaps reveal the model's focus on non-peripheral contours. Since these contours are often shared among various digits, the model may be misled into making incorrect predictions by associating the image with digits that share these common patterns, rather than recognizing the target digit's unique peripheral features

### 3 Problem 3

Problem 3: Propose your own method to further improve the classification performance or reduce the model size. You need also compare different methods with the performance you obtained and explain why. The final classification accuracy is not the most important part, you may better refer to some latest published papers and code these state of the art methods to improve the performance. The explanation and analysis of your adopted method is highly related to your final score. (40%)

Answer: To enhance the accuracy of the provided CNN model, two strategies are explored: tuning the hyperparameters of the CNN and optimizing the training process, as well as conducting a comparative study with state-of-the-art algorithms. The top-performing models from this study are then ensembled to achieve greater accuracy and robustness.

### 3.1 Strategy 1: Hyperparameter Tuning

Here, three combinations of kernel size, stride, and padding, which are (3,1,1), (5,1,2), and (7,1,3), are explored in both the Conv1 and Conv2 blocks. Additionally, two optimizer choices are investigated: Adam with a learning rate of 0.001 and SGD with a learning rate of 0.001 and momentum of 0.9. These settings lead to numerous combinations for model training. A detailed and clear specification of models is provided in **Table 2**.

Table 2: Model Specification

Conv1 Block	Conv2 Block	Optimizer	Model
	(3,1,1)	Adam	CNN1
(3,1,1)	, , , ,	SGD	CNN2
	(5,1,2)	Adam	CNN3
		SGD	CNN4
	(7,1,3)	Adam	CNN5
	(1,2,0)	SGD	CNN6
	(3,1,1)	Adam	CNN7
(5,1,2)	(-, , ,	SGD	CNN8
	(5,1,2)	Adam	CNN9
	(-,-,-)	SGD	CNN10
	(7,1,3)	Adam	CNN11
	(-, ,-,	SGD	CNN12
	(3,1,1)	Adam	CNN13
(7,1,3)	(-,-,-)	SGD	CNN14
	(5,1,2)	Adam	CNN15
	(-,-,-)	SGD	CNN16
	(7,1,3)	Adam	CNN17
	(.,1,0)	SGD	CNN18

The six performance metrics for all 18 CNN models, evaluated on both the training and testing sets, are presented in **Table 3**.

Table 3: Model Performance for 18 CNN Models

Mod	lel	ACC(%)	Sn(%)	Sp(%)	F1	MCC	AUC
CNN1	Train	98.97	98.95	99.89	0.9896	0.9885	0.9998
	Test	98.69	98.68	99.85	0.9868	0.9854	0.9997
CNN2	Train	99.63	99.63	99.96	0.9963	0.9959	0.9999
	Test	99.59	99.15	99.91	0.9915	0.9907	0.9999
CNN3	Train	98.26	98.25	99.81	0.9824	0.9808	0.9997
	Test	97.98	97.95	99.78	0.9794	0.9776	0.9995
CNN4	Train	99.59	99.59	99.95	0.9959	0.9955	0.9999
	Test	99.19	99.18	99.91	0.9918	0.9910	0.9999
CNN5	Train	99.26	99.26	99.92	0.9926	0.9918	0.9999
	Test	98.82	98.81	99.87	0.9882	0.9869	0.9997
CNN6	Train	99.62	99.62	99.96	0.9962	0.9957	0.9999
C11110	Test	99.24	99.23	99.92	0.9923	0.9916	0.9999
CNN7	Train	98.32	98.33	99.81	0.9830	0.9814	0.9997
	Test	97.77	97.77	99.75	0.9774	0.9753	0.9993
CNN8	Train	99.67	99.67	99.96	0.9967	0.9963	0.9999
	Test	99.39	99.39	99.93	0.9939	0.9932	0.9999
CNN9	Train	99.22	99.22	99.91	0.9922	0.9913	0.9999
	Test	99.00	99.00	99.89	0.9900	0.9889	0.9998
CNN10	Train	99.66	99.66	99.96	0.9966	0.9962	0.9999
	Test	99.10	99.09	99.90	0.9908	0.9900	0.9999
CNN11	Train	99.35	99.35	99.93	0.9934	0.9927	0.9999
	Test	99.01	99.00	99.89	0.9900	0.9890	0.9998
CNN12	Train	99.69	99.69	0.9997	0.9969	0.9965	0.9999
	Test	99.40	99.40	99.93	0.9939	0.9933	0.9999
CNN13	Train	98.96	98.95	99.88	0.9895	0.9884	0.9998
	Test	98.63	98.62	99.85	0.9862	0.9848	0.9997
CNN14	Train	99.49	99.49	99.94	0.9947	0.9943	0.9999
	Test	99.17	99.17	99.91	0.9915	0.9908	0.9999
CNN15	Train	98.72	98.72	99.86	0.9871	0.9858	0.9998
	Test	98.45	98.44	99.83	0.9843	0.9828	0.9996
CNN16	Train	99.62	99.62	99.96	0.9962	0.9957	0.9999
	Test	99.17	99.17	99.91	0.9916	0.9908	0.9999
CNN17	Train	99.06	99.07	99.90	0.9905	0.9895	0.9999
	Test	98.69	98.69	99.85	0.9868	0.9855	0.9996
CNN18	Train	99.78	99.78	99.98	0.9978	0.9975	0.9999
	Test	99.29	99.29	99.92	0.9929	0.9921	0.9999

From **Table 3**, it can be observed that the top three models, which exceed the accuracy of CNN1 (98.68%), are CNN2, CNN8, and CNN12, with accuracies of 99.59%, 99.39%, and 99.40%, respectively. It is important to note that although none of these three CNN models use the (7,1,3) configuration in the Conv1 Block, the models with the (7,1,3) configuration in Conv1 Block all achieve an average accuracy above 98% and demonstrate greater stability. This suggests that a larger receptive field improves the overall accuracy and stability of the model.

To further provide a visual interpretation of the model performance improvement, CAM-based ap-

proaches are applied to CNN1, CNN2, CNN8, and CNN12. The results of the four types of CAM interpretation are summarized in **Figure 2**.

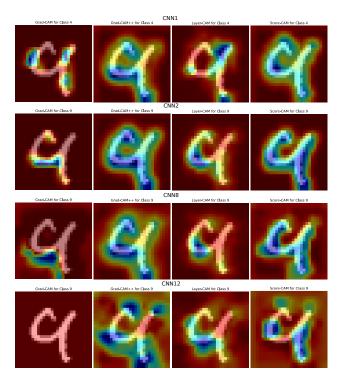


Figure 2: CAM-based interpretation of CNN2, CNN8, and CNN12 on a CNN1misclassified image

From the CAM results, particularly in the Layer-CAM, we can observe that CNN2, CNN8, and CNN12 consistently focus on the upper right corner of the digit "9," while they largely ignore the lower left corner. In contrast, CNN1 emphasizes the lower left corner pattern of the digit "9" and downplays the upper right corner. The stark difference in focus between the CNNs that make correct judgments and those that misclassify provides further evidence of trustworthiness behind the improved accuracy of CNNs, as well as an explanation for the misclassification of certain models.

## 3.2 Strategy 2: SOTA Model Examination

In addition to the aforementioned models, a comprehensive evaluation is conducted on nine additional state-of-the-art algorithms, including Residual Network (ResNet)[5], known for its innovative skip connections that facilitate the training of deeper networks; Long Short-Term Memory (LSTM)[6], which excels at capturing long-range dependencies in sequential data; and Vision Transformer (ViT)[7], leveraging self-attention mechanisms for novel image processing. EfficientNet[8] balances model size and accuracy by optimizing depth and width, while MobileNet[9] is recognized for its lightweight architecture, making it suitable for mobile and resource-constrained environments. Furthermore, AlexNet[10] revolutionized image classification with deep learning techniques, GoogLeNet[11] introduced inception modules for multi-scale feature extraction, and ShuffleNet[12] is noted for its efficient computation and performance on mobile devices through unique channel shuffle operations. Together, these models represent a diverse array of methodologies aimed at enhancing classification accuracy. In the following part, the examination of state-of-the-art (SOTA) models is divided into two parts: a comparative study of individual models and the construction of ensemble models. This workflow is also illustrated in **Figure 3**.

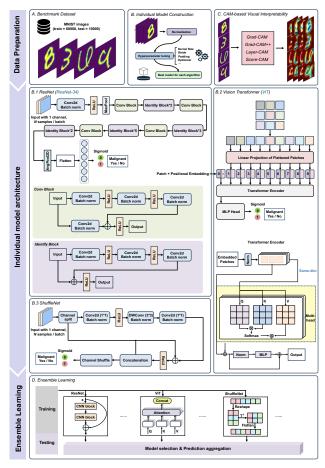


Figure 3: Overall Workflow for SOTA Models Examination

### 3.2.1 Comparsion Study

The six performance metrics for these models in both the training and testing stages are presented in Table 4. From the table, ResNet, Vision Transformer, and ShuffleNet are the top three models, achieving accuracies of 98.82%, 98.86%, and 98.83%, respectively. For ResNet, the impressive accuracy can be attributed to its innovative skip connections, which allow for the training of much deeper networks without encountering issues like vanishing gradients. In the case of ViT, its superior performance is likely due to the self-attention mechanisms it employs, enabling the model to focus on relevant parts of an image while disregarding less important features, thus enhancing its context understanding. Meanwhile, ShuffleNet's notable accuracy may be a result of its unique channel shuffle operation, which improves computational efficiency and allows the model to maintain high performance even with limited resources.

Table 4: Model Performance for Comparison Study

Model		ACC(%)	Sn(%)	$\mathbf{Sp}(\%)$	F1	MCC	AUC
CNN	Train	98.97	98.95	99.89	0.9896	0.9885	0.9998
	Test	98.69	98.68	99.85	0.9868	0.9854	0.9997
ResNet	Train	99.80	99.79	99.98	0.9979	0.9977	0.9993
	Test	98.82	98.81	99.87	0.9881	0.9869	0.9991
LSTM	Train	94.73	94.70	99.42	0.9468	0.9415	0.9978
	Test	94.49	94.47	99.39	0.9444	0.9389	0.9980
ViT	Train	98.91	98.92	99.82	0.9892	0.9818	0.9997
	$\mathbf{Test}$	98.86	98.87	99.46	0.9513	0.9887	0.9971
EfficientNet	Train	98.74	98.73	99.86	0.9873	0.9860	0.9983
	$\mathbf{Test}$	97.66	97.66	99.74	0.9765	0.9740	0.9978
MobileNet	Train	99.29	99.29	99.92	0.9929	0.9921	0.9973
	Test	97.08	97.06	99.68	0.9706	0.9675	0.9963
AlexNet	Train	99.22	99.21	99.91	0.9922	0.9914	0.9999
	$\mathbf{Test}$	98.81	98.79	99.87	0.9880	0.9868	0.9999
GoogLeNet	Train	99.57	99.57	99.95	0.9957	0.9952	0.9999
	Test	98.80	98.80	99.84	0.9880	0.9879	0.9999
ShuffleNet	Train	98.97	98.92	99.89	0.9896	0.9886	0.9999
	Test	98.83	98.85	99.71	0.9779	0.9707	0.9994

#### 3.2.2 Ensemble Model

The top three models based on accuracy from the comparison study were selected to construct an ensemble model, aiming to further enhance both accuracy and robustness. The final prediction is determined by averaging the predictions from each model in the designed combination. The performance metrics on the testing set are displayed in **Table 5**.

Table 5: Model Performance for Ensemble Models

Model	ACC(%)	Sn(%)	Sp(%)	F1	MCC	AUC
ResNet+ViT+ShuffleNet ResNet+ViT	98.89 98.82	98.96 98.61	99.84 99.85		0.9885 0.9853	
ResNet+ShuffleNet	98.81	98.68	99.85	0.9868	0.9854	0.9997
ViT+ShuffleNet	98.85	98.94	99.86	0.9868	0.9894	0.9998

According to the table above, the ensemble model combining ResNet, ViT, and ShuffleNet achieves the highest accuracy of 98.89%. This indicates a strong predictive capability for this model combination.

# 4 Code Availability

The complete code used in this coursework is available at *GitHub Repository*. Below are the key code snippets for Problems 2 and 3, as requested.

## 4.1 Problem 2

```
1 # CNN architexture
2 class CNN(nn.Module):
3    def __init__(self):
4        super(CNN, self).__init__()
5        self.conv_block1 = nn.Sequential(
```

```
6
               nn.Conv2d(1, 32, kernel_size
                                                12
                                                           self.groups = groups
      =3, stride=1, padding=1),# make the
                                                13
                                                           self.width_per_group =
      revision here
                                                      width_per_group
7
               nn.BatchNorm2d(32),
                                                14
                                                           self.conv1 = nn.Conv2d(1, self.
8
               nn.ReLU(inplace=True),
                                                      in_channel, kernel_size=7, stride=2,
               nn.Conv2d(32, 32, kernel_size
9
                                               15
                                                                                   padding=3,
      =3, stride=1, padding=1),# make the
                                                       bias=False)
                                                           self.bn1 = nn.BatchNorm2d(self.
      revision here
                                                16
               nn.BatchNorm2d(32),
10
                                                      in_channel)
               nn.ReLU(inplace=True),
                                                17
                                                           self.relu = nn.ReLU(inplace=True)
11
               nn.MaxPool2d(3, stride=2),
12
                                                18
                                                           self.maxpool = nn.MaxPool2d(
                                                      kernel_size=3, stride=2, padding=1)
13
                                                           self.layer1 = self._make_layer(
14
           self.conv_block2 = nn.Sequential(
               nn.Conv2d(32, 64, kernel_size
                                                      block, 64, blocks_num[0])
15
                                                20
                                                           self.layer2 = self._make_layer(
      =3, stride=1, padding=1),
               nn.BatchNorm2d(64),
16
                                                      block, 128, blocks_num[1], stride=2)
                                                           self.layer3 = self._make_layer(
17
               nn.ReLU(inplace=True),
                                                21
               nn.Conv2d(64, 64, kernel_size
18
                                                      block, 256, blocks_num[2], stride=2)
                                                22
      =3, stride=1, padding=1),
                                                           self.layer4 = self._make_layer(
19
               nn.BatchNorm2d(64),
                                                      block, 512, blocks_num[3], stride=2)
20
               nn.ReLU(inplace=True),
                                                23
21
               nn.MaxPool2d(3, stride=2),
                                                24
                                                           if self.include_top:
22
           )
                                                25
                                                               self.avgpool = nn.
                                                      AdaptiveAvgPool2d((1, 1)) # output
23
           self.fcs = nn.Sequential(
                                                      size = (1, 1)
24
               nn.Linear (2304, 1152),
25
               nn.ReLU(inplace=True),
                                                26
                                                               self.fc = nn.Linear(512 *
26
               nn.Dropout(0.5),
                                                      block.expansion, num_classes)
               nn.Linear(1152, 576),
27
                                                27
28
               nn.ReLU(inplace=True),
                                                28
                                                           for m in self.modules():
29
               nn.Dropout(0.5),
                                                29
                                                               if isinstance(m, nn.Conv2d):
30
               nn.Linear(576, 10)
                                                30
                                                                   nn.init.kaiming_normal_(m
31
                                                      .weight, mode='fan_out', nonlinearity
32
       def forward(self, x):
                                                      ='relu')
                                                31
33
           x = self.conv_block1(x)
                                                           self.sigmoid = nn.Sigmoid()
34
           x = self.conv_block2(x)
                                                32
35
           x = x.reshape(x.shape[0], -1)
                                                33
                                                       def _make_layer(self, block, channel,
36
           x = self.fcs(x)
                                                       block_num, stride=1):
37
                                                34
                                                           downsample = None
           return x
                                                           if stride != 1 or self.in_channel
38
                                                35
39 # training settings
                                                       != channel * block.expansion:
40 device = "cuda"
                                                36
                                                               downsample = nn.Sequential(
41 model = CNN().to(device)
                                                37
                                                                   nn.Conv2d(self.in_channel
42 criterion = nn.CrossEntropyLoss().to(
                                                       , channel * block.expansion,
      device)
                                                      kernel_size=1, stride=stride, bias=
43 optimizer = optim.SGD(params=model.
                                                      False),
                                                                   nn.BatchNorm2d(channel *
      parameters(), lr=0.001, momentum=0.9)
                                                38
       # make the revision here, can change
                                                      block.expansion))
       into Adam
                                                39
44 \text{ num\_epochs} = 10
                                                40
                                                           layers = []
                                                41
                                                           layers.append(block(self.
                                                      in_channel, channel, downsample=
  4.2 Problem 3
                                                      downsample, stride=stride, groups=
                                                      self.groups, width_per_group=self.
  4.2.1 ResNet
                                                      width_per_group))
                                                42
1
  class ResNet(nn.Module):
                                                43
                                                           self.in_channel = channel * block
2
       def __init__(self,
                                                      .expansion
3
                    block,
                                                44
4
                    blocks_num,
                                                45
                                                           for _ in range(1, block_num):
5
                    num_classes=1000,
                                                46
                                                               layers.append(block(self.
6
                    include_top=True,
                                                      in_channel, channel, groups=self.
7
                    groups=1,
                                                      groups, width_per_group=self.
8
                    width_per_group=64):
                                                      width_per_group))
9
           super(ResNet, self).__init__()
                                                47
10
           self.include_top = include_top
```

48

11

 $self.in\_channel = 64$ 

return nn.Sequential(\*layers)

```
49
50
       def forward(self, x):
51
           x = self.conv1(x)
           x = self.bn1(x)
52
53
           x = self.relu(x)
54
           x = self.maxpool(x)
55
56
           x = self.layer1(x)
57
           x = self.layer2(x)
58
           x = self.layer3(x)
59
           x = self.layer4(x)
60
61
           if self.include_top:
62
                x = self.avgpool(x)
                x = torch.flatten(x, 1)
63
64
                x = self.fc(x)
65
                x = self.sigmoid(x)
66
67
           return x
```

#### 4.2.2 LSTM

```
1 class Rnn(nn.Module):
       def __init__(self, in_dim, hidden_dim
      , n_layer, n_classes):
           super(Rnn, self).__init__()
4
           self.n_layer = n_layer
5
           self.hidden_dim = hidden_dim
           self.lstm = nn.LSTM(in_dim,
6
      hidden_dim, n_layer, batch_first=True
7
           self.classifier = nn.Linear(
      hidden_dim, n_classes)
8
9
       def forward(self, x):
           out, (h_n, c_n) = self.lstm(x)
# x = out[:, -1, :]
10
11
12
           x = h_n[-1, :, :]
13
           x = self.classifier(x)
14
           return x
```

### 4.2.3 ViT

```
1 class ViT(nn.Module):
      def __init__(self, *, image_size,
      patch_size, num_classes, dim, depth,
      heads, mlp_dim, channels=3):
3
          super().__init__()
          assert image_size % patch_size ==
4
       O, 'image dimensions must be
      divisible by the patch size'
          num_patches = (image_size //
5
      patch_size) ** 2
6
          patch_dim = channels * patch_size
7
          self.patch_size = patch_size
8
          self.pos_embedding = nn.Parameter
      (torch.randn(1, num_patches + 1, dim)
          self.patch_to_embedding = nn.
9
      Linear(patch_dim, dim)
10
          self.cls_token = nn.Parameter(
      torch.randn(1, 1, dim))
          self.transformer = Transformer(
11
      dim, depth, heads, mlp_dim)
```

```
self.to_cls_token = nn.Identity()
12
13
           self.mlp_head = nn.Sequential(
14
               nn.Linear(dim, mlp_dim),
15
               nn.GELU(),
16
               nn.Linear(mlp_dim,
      num_classes)
17
18
19
       def forward(self, img, mask=None):
           p = self.patch_size
20
21
           x = rearrange(img, 'b c (h p1) (w
       p2) \rightarrow b (h w) (p1 p2 c)', p1 = p,
      p2 = p)
22
           x = self.patch_to_embedding(x)
23
           cls_tokens = self.cls_token.
      expand(img.shape[0], -1, -1)
24
           x = torch.cat((cls_tokens, x),
      dim=1)
25
           x += self.pos_embedding
26
           x = self.transformer(x, mask)
27
           x = self.to_cls_token(x[:, 0])
28
           return self.mlp_head(x)
```

#### 4.2.4 EfficientNet

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```
class EfficientNetV2(nn.Module):
    def __init__(self,
                 model_cnf: list,
                 num_classes: int = 1000,
                 num_features: int =
    1280,
                 dropout_rate: float =
    0.2,
                 drop_connect_rate: float
    = 0.2):
        super(EfficientNetV2, self).
    __init__()
        for cnf in model_cnf:
            assert len(cnf) == 8
        norm_layer = partial(nn.
    BatchNorm2d, eps=1e-3, momentum=0.1)
        stem_filter_num = model_cnf[0][4]
        self.stem = ConvBNAct(1,
    stem_filter_num,
                               kernel_size
    =3,
                               stride=2,
                               norm_layer=
    norm_layer) # default activation
    function is SiLU
        total_blocks = sum([i[0] for i in
    model_cnf])
        block_id = 0
        blocks = []
        for cnf in model_cnf:
            repeats = cnf[0]
            op = FusedMBConv if cnf[-2]
    == 0 else MBConv
            for i in range(repeats):
                blocks.append(op(
    kernel_size=cnf[1],
```

```
def forward(self, x: Tensor) ->
30
                                      input_c=
                                                71
      cnf[4] if i == 0 else cnf[5],
                                                      Tensor:
31
                                                72
                                                           x = self.stem(x)
                                      out_c=
      cnf [5],
                                                73
                                                           x = self.blocks(x)
32
                                                74
                                                           x = self.head(x)
                                                75
                                                           x = self.sigmoid(x)
      expand_ratio=cnf[3],
33
                                      stride=
                                                76
      cnf[2] if i == 0 else 1,
                                                           return x
34
                                      se_ratio
      =cnf[-1],
                                                  4.2.5 MobileNet
35
                                                 1 class MobileNetV2(nn.Module):
      drop_rate=drop_connect_rate *
                                                       def __init__(self, num_classes=1000,
      block_id / total_blocks,
                                                      alpha=1.0, round_nearest=8):
36
                                                 3
                                                           super(MobileNetV2, self).__init__
      norm_layer=norm_layer))
                   block_id += 1
37
                                                 4
                                                           block = InvertedResidual
38
           self.blocks = nn.Sequential(*
                                                           input_channel = _make_divisible
                                                 5
      blocks)
                                                       (32 * alpha, round_nearest)
39
                                                 6
                                                           last_channel = _make_divisible
           head_input_c = model_cnf[-1][-3]
40
                                                       (1280 * alpha, round_nearest)
           head = OrderedDict()
41
42
                                                 8
                                                           inverted_residual_setting = [
43
           head.update({"project_conv":
                                                 9
                                                                # t, c, n, s
      ConvBNAct(head_input_c,
                                                                [1, 16, 1, 1],
                                                10
44
                                                                [6, 24, 2, 2],
                                                11
             num_features,
                                                12
                                                                [6, 32, 3, 2],
45
                                                13
                                                               [6, 64, 4, 2],
             kernel_size=1,
                                                14
                                                               [6, 96, 3, 1],
46
                                                15
                                                               [6, 160, 3, 2],
            norm_layer=norm_layer)}) #
                                                16
                                                                [6, 320, 1, 1],
      default activation function is SiLU
                                                17
47
                                                18
48
           head.update({"avgpool": nn.
                                                19
                                                           features = []
      AdaptiveAvgPool2d(1)})
                                                20
                                                           features.append(ConvBNReLU(1,
49
           head.update({"flatten": nn.
                                                      input_channel, stride=2))
      Flatten()})
                                                21
                                                           for t, c, n, s in
50
                                                      inverted_residual_setting:
51
           if dropout_rate > 0:
                                                22
                                                               output_channel =
               head.update({"dropout": nn.
52
                                                       _make_divisible(c * alpha,
      Dropout(p=dropout_rate, inplace=True)
                                                      round_nearest)
                                                23
                                                               for i in range(n):
           head.update({"classifier": nn.
53
                                                                    stride = s if i == 0 else
      Linear(num_features, num_classes)})
54
                                                25
                                                                   features.append(block(
55
           self.head = nn.Sequential(head)
                                                      input_channel, output_channel, stride
56
                                                       , expand_ratio=t))
57
           for m in self.modules():
                                                26
                                                                    input_channel =
               if isinstance(m, nn.Conv2d):
58
                                                      output_channel
                   nn.init.kaiming_normal_(m
59
                                                27
                                                           features.append(ConvBNReLU(
      .weight, mode="fan_out")
                                                      input_channel, last_channel, 1))
60
                   if m.bias is not None:
                                                28
                                                           self.features = nn.Sequential(*
61
                        nn.init.zeros_(m.bias
                                                      features)
                                                29
62
               elif isinstance(m, nn.
                                                30
                                                           self.avgpool = nn.
      BatchNorm2d):
                                                      AdaptiveAvgPool2d((1, 1))
63
                   nn.init.ones_(m.weight)
                                                31
                                                           self.classifier = nn.Sequential(
64
                   nn.init.zeros_(m.bias)
                                                32
                                                               nn.Dropout(0.2),
65
               elif isinstance(m, nn.Linear)
                                                33
                                                               nn.Linear(last_channel,
                                                      num_classes)
66
                   nn.init.normal_(m.weight,
                                                34
                                                           )
       0, 0.01)
                                                35
67
                   nn.init.zeros_(m.bias)
                                                36
                                                           for m in self.modules():
68
                                                                if isinstance(m, nn.Conv2d):
                                                37
69
           self.sigmoid = nn.Sigmoid()
                                                38
                                                                    nn.init.kaiming_normal_(m
70
                                                       .weight, mode='fan_out')
```

```
39
                    if m.bias is not None:
40
                        nn.init.zeros_(m.bias
      )
                elif isinstance(m, nn.
41
      BatchNorm2d):
42
                    nn.init.ones_(m.weight)
43
                    nn.init.zeros_(m.bias)
               elif isinstance(m, nn.Linear)
45
                    nn.init.normal_(m.weight,
       0, 0.01)
46
                    nn.init.zeros_(m.bias)
47
           self.sigmoid = nn.Sigmoid()
48
49
50
       def forward(self, x):
51
           x = self.features(x)
52
           x = self.avgpool(x)
53
           x = torch.flatten(x, 1)
           x = self.classifier(x)
54
55
           x = self.sigmoid(x)
56
57
           return x
```

### 4.2.6 AlexNet

```
1 class AlexNet(nn.Module):
2
       def __init__(self,width_mult=1):
3
           super(AlexNet, self).__init__()
4
           self.layer1 = nn.Sequential(
5
               nn.Conv2d(1,32,kernel_size=3,
      padding=1),
6
               nn.MaxPool2d(kernel_size=2,
      stride=2),
7
               nn.ReLU(inplace=True),
8
           )
9
10
           self.layer2 = nn.Sequential(
               nn.Conv2d(32,64,kernel_size
11
      =3, padding=1),
12
               nn.MaxPool2d(kernel_size=2,
      stride=2),
13
               nn.ReLU(inplace=True),
14
15
16
           self.layer3 = nn.Sequential(
17
               nn.Conv2d(64,128,kernel_size
      =3,padding=1),
18
           )
19
20
           self.layer4 = nn.Sequential(
               nn.Conv2d(128,256,kernel_size
21
      =3, padding=1),
22
           )
23
           self.layer5 = nn.Sequential(
24
               nn.Conv2d(256,256,kernel_size
      =3, padding=1),
25
               nn.MaxPool2d(kernel_size=3,
      stride=2),
26
               nn.ReLU(inplace=True),
27
           )
28
29
           self.fc1 = nn.Linear(256 * 3 *
      3,1024)
30
           self.fc2 = nn.Linear(1024,512)
```

```
31
           self.fc3 = nn.Linear(512,10)
32
33
34
       def forward(self,x):
35
           x = self.layer1(x)
36
           x = self.layer2(x)
37
           x = self.layer3(x)
38
           x = self.layer4(x)
39
           x = self.layer5(x)
40
           x = x.view(-1,256*3*3)
41
           x = self.fc1(x)
42
           x = self.fc2(x)
43
           x = self.fc3(x)
44
45
           return x
```

## 4.2.7 GoogLeNet

```
1 class SimpleGoogLeNet(nn.Module):
2
       def __init__(self, num_classes=10):
3
           super(SimpleGoogLeNet, self).
      __init__()
           self.inception1 = nn.Sequential(
5
               nn.Conv2d(1, 32, kernel_size
      =3, padding=1),
6
               nn.ReLU(),
7
               nn.MaxPool2d(kernel_size=2)
8
9
           self.inception2 = nn.Sequential(
10
               nn.Conv2d(32, 64, kernel_size
      =3, padding=1),
11
               nn.ReLU(),
12
               nn.MaxPool2d(kernel_size=2)
13
14
           self.inception3 = nn.Sequential(
15
               nn.Conv2d(64, 128,
      kernel_size=3, padding=1),
               nn.ReLU(),
16
17
               nn.MaxPool2d(kernel_size=2)
18
19
           self.fc = nn.Sequential(
20
               nn.Linear(128 * 3 * 3, 1024),
               nn.ReLU(),
21
               nn.Dropout(0.5),
22
23
               nn.Linear(1024, num_classes)
24
           )
25
26
       def forward(self, x):
27
           x = self.inception1(x)
28
           x = self.inception2(x)
29
           x = self.inception3(x)
30
           x = x.view(x.size(0), -1)
31
           x = self.fc(x)
           return x
```

## 4.2.8 ShuffleNet

```
7
           self.stage_repeats = [3, 7, 3]
8
           self.in_channels = in_channels
9
           self.num_classes = num_classes
10
11
           if groups == 1:
12
               self.stage_out_channels =
      [-1, 24, 144, 288, 567]
13
           elif groups == 2:
14
               self.stage_out_channels =
      [-1, 24, 200, 400, 800]
           elif groups == 3:
15
16
               self.stage_out_channels =
      [-1, 24, 240, 480, 960]
           elif groups == 4:
17
               self.stage_out_channels =
18
      [-1, 24, 272, 544, 1088]
19
           elif groups == 8:
20
               self.stage_out_channels =
      [-1, 24, 384, 768, 1536]
21
           else:
22
               raise ValueError(
                    """{} groups is not
23
      supported for 1x1 Grouped
      Convolutions""".format(groups))
24
25
           self.conv1 = conv3x3(self.
      in_channels,
26
                                  self.
      stage_out_channels[1],
                                # stage 1
27
                                  stride=2)
28
           self.maxpool = nn.MaxPool2d(
      kernel_size=3, stride=2, padding=1)
29
30
           self.stage2 = self._make_stage(2)
           self.stage3 = self._make_stage(3)
31
           self.stage4 = self._make_stage(4)
32
33
34
           num_inputs = self.
      stage_out_channels[-1]
35
           self.fc = nn.Linear(num_inputs,
      self.num_classes)
36
           self.init_params()
37
38
       def init_params(self):
39
           for m in self.modules():
40
               if isinstance(m, nn.Conv2d):
41
                    init.kaiming_normal(m.
      weight, mode='fan_out')
42
                    if m.bias is not None:
43
                        init.constant(m.bias,
       0)
44
               elif isinstance(m, nn.
      BatchNorm2d):
45
                    init.constant(m.weight,
      1)
46
                    init.constant(m.bias, 0)
47
               elif isinstance(m, nn.Linear)
48
                    init.normal(m.weight, std
      =0.001)
                    if m.bias is not None:
49
50
                        init.constant(m.bias,
       0)
51
52
       def _make_stage(self, stage):
```

```
modules = OrderedDict()
    stage_name = "ShuffleUnit_Stage{}
".format(stage)
    grouped_conv = stage > 2
    first_module = ShuffleUnit(
         self.stage_out_channels[stage
 - 1],
         self.stage_out_channels[stage
],
         groups=self.groups,
         grouped_conv=grouped_conv,
         combine='concat'
    modules[stage_name + "_0"] =
first_module
    for i in range(self.stage_repeats
[stage - 2]):
        name = stage_name + "_{}".
format(i + 1)
        module = ShuffleUnit(
             self.stage_out_channels[
stage],
             self.stage_out_channels[
stage],
             groups=self.groups,
             grouped_conv=True,
             combine='add'
        modules[name] = module
    return nn.Sequential(modules)
def forward(self, x):
    x = self.conv1(x)
    x = self.maxpool(x)
    x = self.stage2(x)
    x = self.stage3(x)
    x = self.stage4(x)
    x = F.avg_pool2d(x, x.data.size()
[-2:])
    x = x.view(x.size(0), -1)
    x = self.fc(x)
    return x
```

# References

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