# Automatic frame-semantic role labeling

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#### Outline

#### 1. Task of frame-SRL

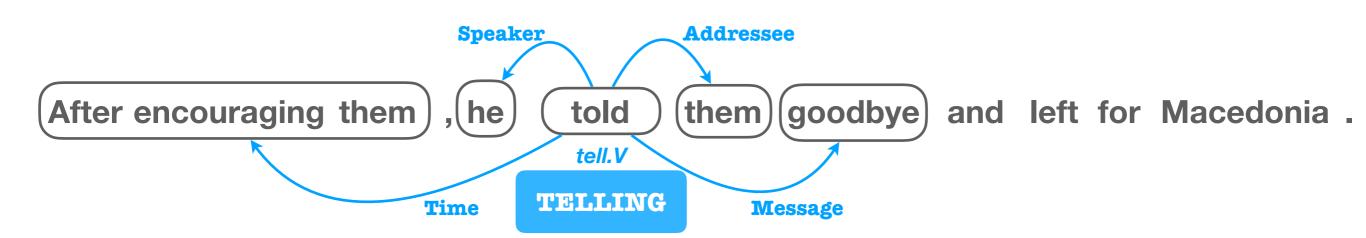
- 2. Primary Subtasks
  - a. Target Identification
  - b. Frame Identification
  - c. Frame-Element Identification
- 3. Advanced Modeling
- 4. Looking forward: Multilingual Extensions

# Frame-Semantic Role Labeling (frame-SRL)

After encouraging them , he told them goodbye and left for Macedonia .

# Frame-Semantic Role Labeling (frame-SRL)

Sentence  $\longrightarrow$  Graph



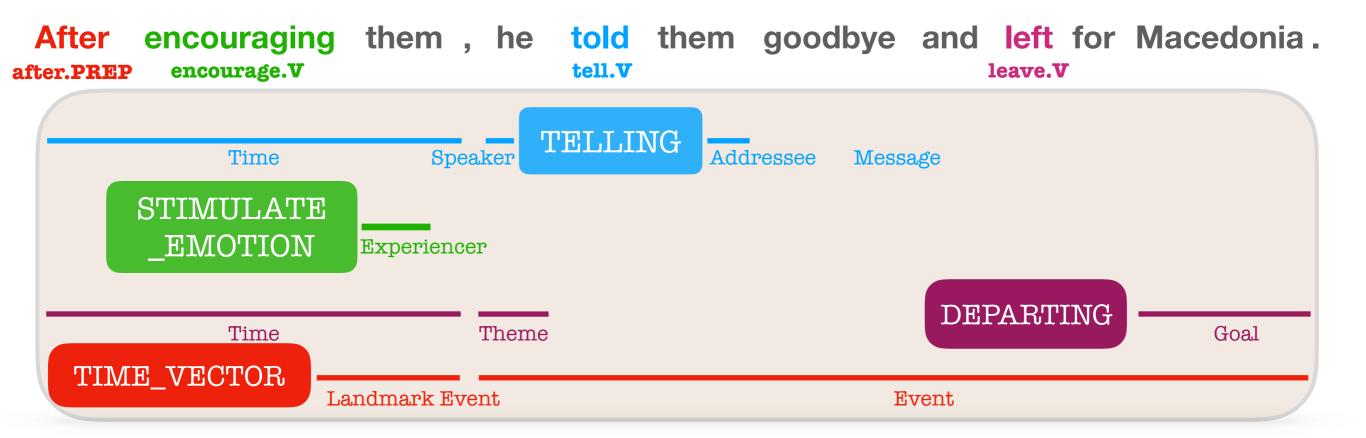
- **Nodes**: tokens / spans in the sentence. Could represent both targets and arguments.
- **Node Labels**: lexical units (LUs) and frames.
- **Edges**: Between target nodes and argument nodes
- Edge Labels: roles of arguments / frame-elements

# Frame-Semantic Graphs: Overlapping Nodes

After encouraging them, he told them goodbye and left for Macedonia.

Time Speaker TELLING Addressee Message

# Frame-Semantic Graphs: Overlapping Nodes



1. Target
Identification

After encouraging them, he told them goodbye and left for Macedonia.

after.PREP encourage.V tell.V leave.V

1. Target
Identification

2. Frame Identification

After encouraging them, he told them goodbye and left for Macedonia. after.PREP encourage.V tell.V leave.V

TELLING

STIMULATE \_EMOTION

DEPARTING

TIME\_VECTOR

1. Target
Identification

- 2. Frame Identification
- 3. Frame-Elements
  Identification

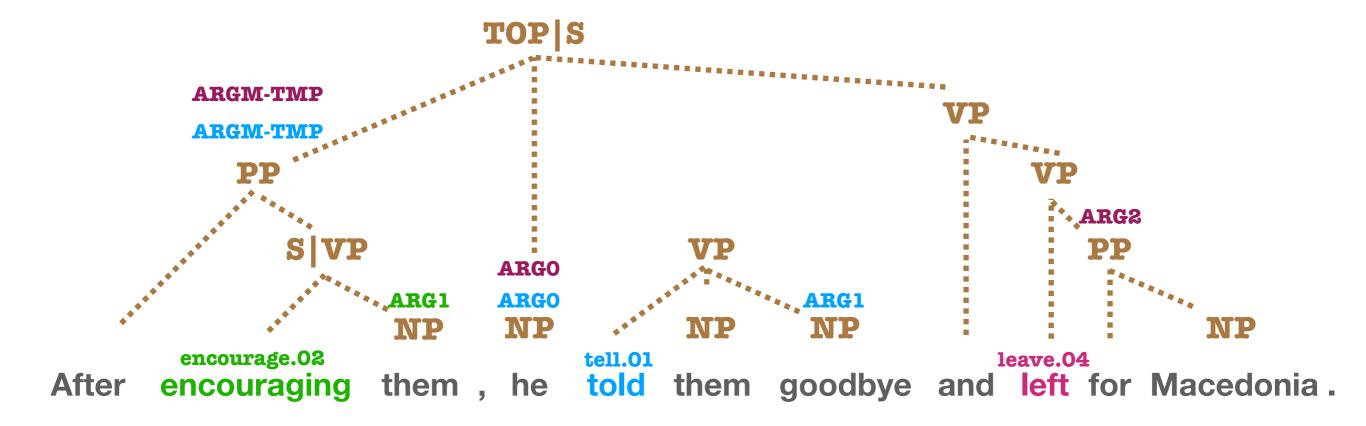
Event

encouraging them , he told them goodbye and left for Macedonia. After encourage.V after.PREP tell.V leave.V TELLING Addressee Speaker Time Message STIMULATE Experiencer EMOTION DEPARTING Theme Goal Time TIME\_VECTOR

Landmark Event

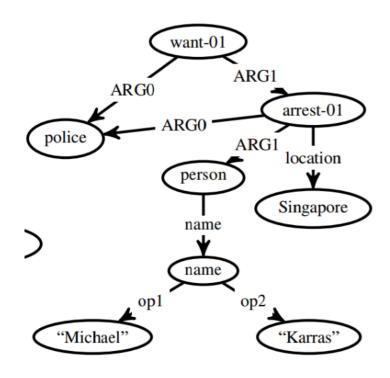
# A close relative: PropBank SRL

- 1. Target
  Predication
  Identification
- 2. Frame
  Sense
  Identification
- 3. Frame-Elements
  Argument
  Identification



### Related tasks

#### Abstract Meaning Representation



Banarescu et. al. (2013)

#### QA -SRL

A much larger super eruption in Colorado produced over 5,000 cubic kilometers of material.

Produced	What produced something?	A much larger super eruption
	Where did something produce something?	in Colorado
	What did something produce?	over 5,000 cubic kilometers of material

He et. al. (2015) Fitzgerald et. al. (2018)

#### Semantic proto-roles

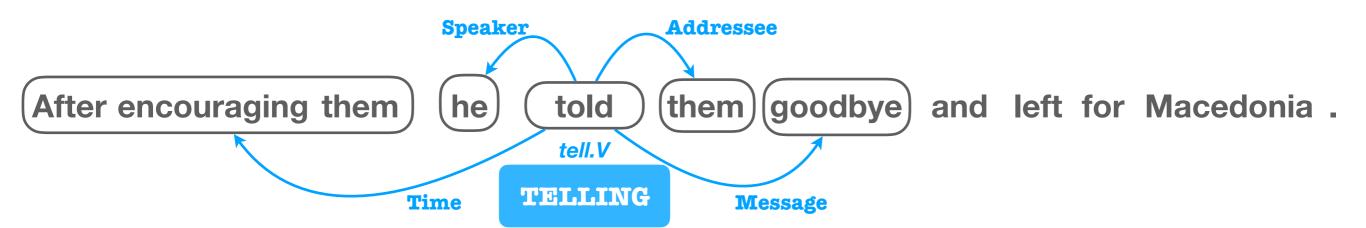
Sentences	Property	(A)	(B)	(C)	
(A) <b>She</b> was untrained and, in one botched job <i>killed</i> a client.	instigated	5	5	5	1
(B) <b>The antibody</b> then <i>kills</i> the cell.	volitional	2	1	5	
(C) An assassin in Colombia killed a federal judge on a Medellin street.	awareness	3	1	5	
PropBank KILL.01, ARG <sub>0</sub> -PAG: killer	sentient	5	1	5	
VerbNet MURDER-42.1-1, AGENT: ACTOR in an event who	moved	3	3	3	
1	phys_existed	5	5	5	
initiates and carries out the event intentionally or consciously, and who exists independently of the event	created	1	1	1	
and who exists independently of the event	destroyed	1	3	1	
FrameNet KILLING, KILLER/CAUSE: (The person or sentient	changed_poss	1	1	1	
entity) / (An inanimate entity or process) that causes the death of the	changed_state	3	3	3	
VICTIM.	stationary	3	3	3	

Reisinger et. al. (2015)

## A little bit of history

- Pioneered by Gildea & Jurafsky (CL 2002) on FrameNet version 1.0.
- Development of PropBank (Kingsbury & Palmer, 2002; Palmer et. al., 2005)
- CoNLL shared tasks expedited the development on PropBank-style SRL.
  - Shared tasks in 2005, 2008, 2009, 2012
- SemEval 2007 Shared Task 19 (Baker, Ellsworth & Erk, 2007) sparked interest in automatic frame-SRL.

### Frame-SRL data



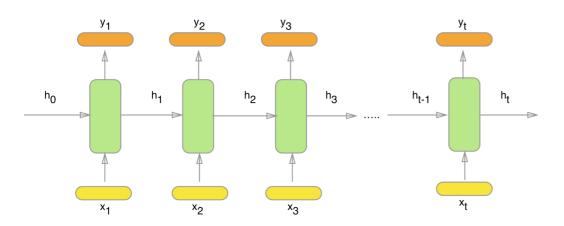
- Full-text annotations (78 documents in FN 1.5)
  - Train (47) / Dev (8) / Test (23)
- Exemplars
- Mapping between LUs and frames
- Mapping between frames and frame-elements
- Relations like (Multi) Inheritance between frames
- Phrase Types / Grammatical Functions of frame elements

• Most common approach: Supervised learning

- Most common approach: Supervised learning
- Linear models most models prior to 2015
  - May use distributional representations

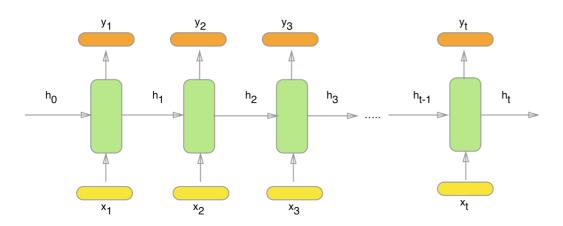
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- Non-linear / neural models

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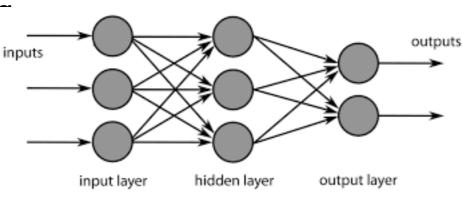


**Recurrent Neural Nets** 

- Most common approach: Supervised learning
- Linear models most models prior to 2015
  - May use distributional representations
- Non-linear / neural models

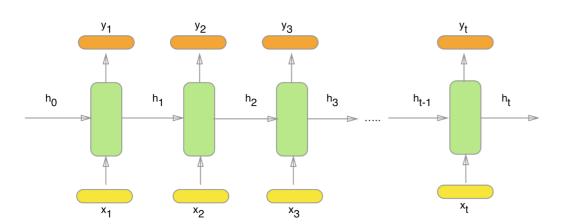


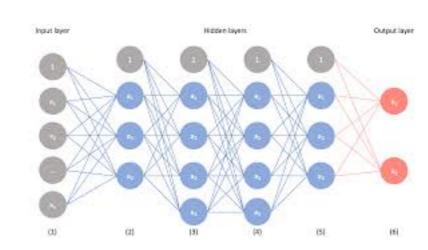
Recurrent Neural Net



Feed-forward Nets

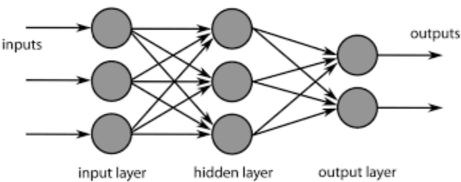
- Most common approach: Supervised learning
- Linear models most models prior to 2015
  - May use distributional representations
- Non-linear / neural models





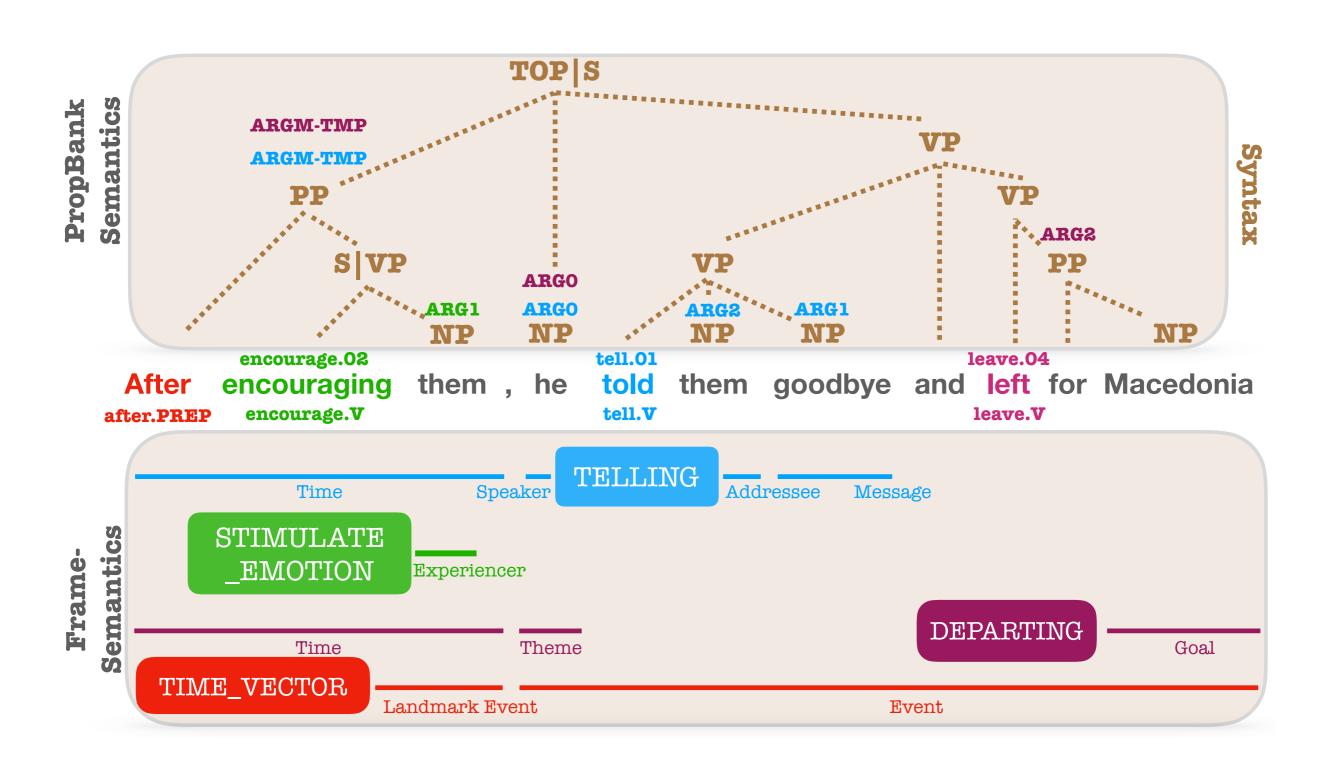
**Convolutional Neural Nets** 

Recurrent Neural Net



Feed-forward Nets

# Role of syntax



#### Why automatic frame-SRL?

- Information extraction (Surdeanu, et al., 2003)
- Textual entailment (Tatu & Moldovan, 2005; Burchardt & Frank, 2006)
- Text categorization (Moschitti, 2008)
- Question answering (Narayanan & Harabagiu, 2004; Frank, et. al., 2007; Moschitti, et. al., 2007; Shen & Lapata, 2007)
- Machine Translation (Wu & Fung, 2009a, 2009b, Marchegianni et. al., 2017) and its evaluation (Giménez & Màrquez, 2007)
- Text-to-scene generation (Coyne et. al., 2012)
- Dialog systems (Chen et. al., 2013)
- Social network extraction (Agrawal et. al., 2014)
- Knowledge Extraction from Twitter (Søgaard et. al., 2015)

# Summary of Part 1

- Frame-SRL as a graph induction task
  - Subtasks
- Related tasks Propbank SRL, QA-SRL etc.
- What's in the dataset?
- Supervised Learning: Shift from linear to non-linear models
- Syntax is key!

#### Outline

1. Task of frame-SRL

#### 2. Primary Subtasks

#### a. Target Identification

- b. Frame Identification
- c. Frame-Element Identification
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## Target Identification

After encouraging them, he told them goodbye and left for Macedonia.

after.PREP encourage.V tell.V leave.V

- Predict "semantically salient" tokens as targets in the sentence.
- Also, identify the <u>lexical units (LUs)</u> = lemma + POS tag of targets
  - There might be ambiguity here! Example "encourage.V" vs "encouraging.A"
- Average in FN 1.5: 6 targets per sentence.

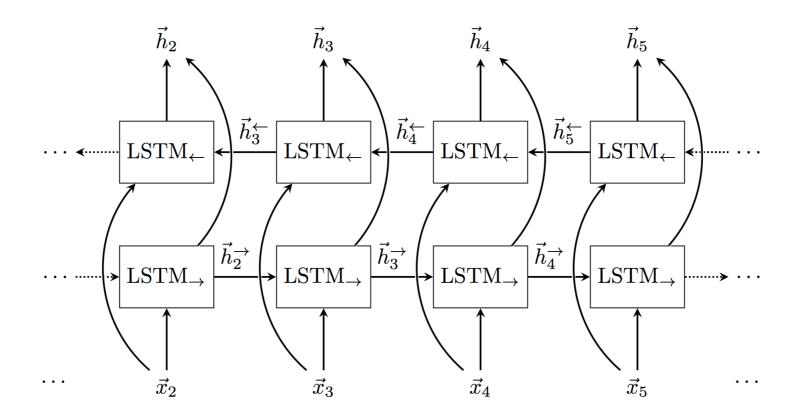
## Target ID: challenges

- Data sparsity, cannot use exemplar data.
- No simple POS tag based bijection, unlike in PropBank, where targets are almost always verbs.
- FrameNet: Verbs, nouns, adjectives and prepositions can be targets, BUT not always!
- Multi-word expressions also considered valid targets. About 4% of all targets in FN 1.5.
  - ▶ Span "tell apart" gets labeled with LU "tell\_apart.V"
- Targets can be discontinuous
  - > Span "there would have been" gets labeled as LU "there\_be.V".

# Target ID: model based on heuristics

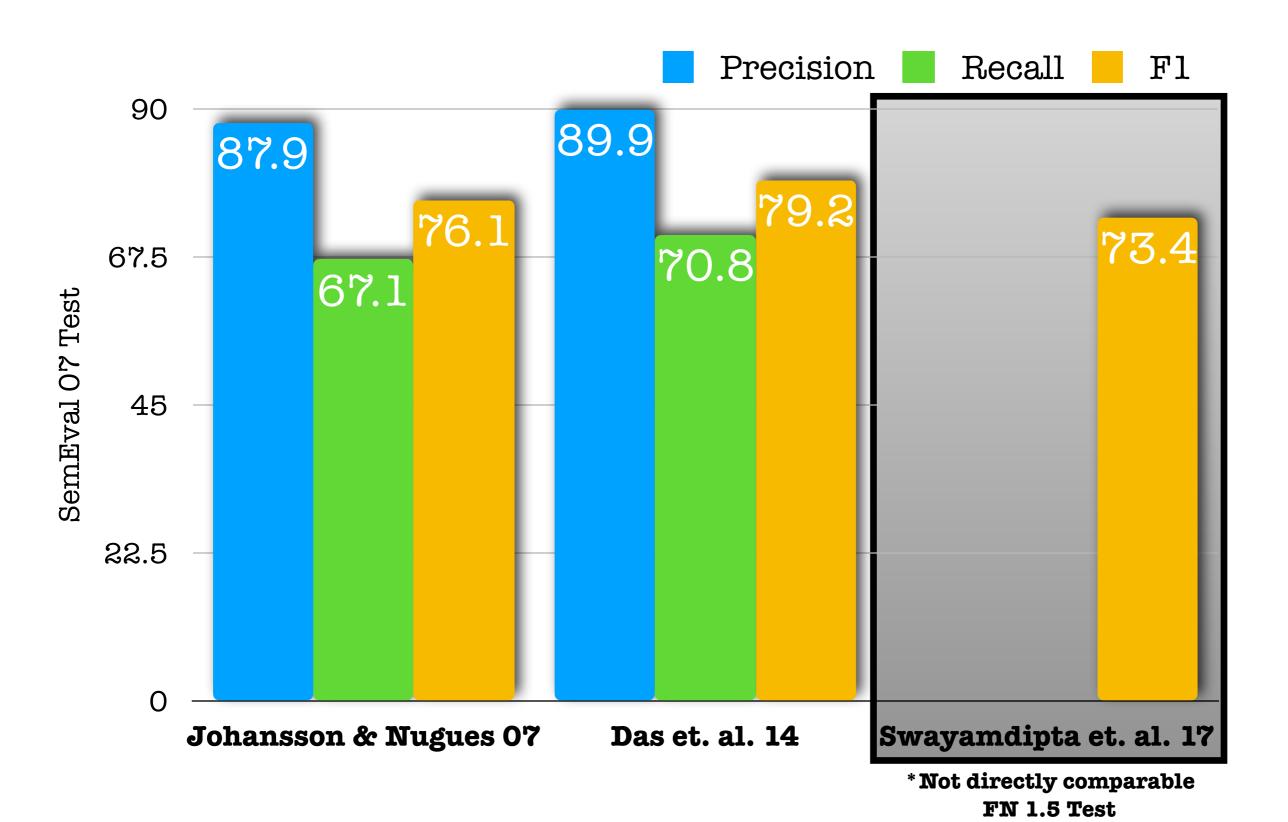
- have was retained only if had an object,
- be was retained only if it was preceded by there,
- will was removed in its modal sense,
- of course and in particular were removed,
- the prepositions above, against, at, below, beside, by, in, on, over, and under were removed unless their head was marked as locative,
- after and before were removed unless their head was marked as temporal,
- into, to, and through were removed unless their head was marked as direction,
- as, for, so, and with were always removed,
- because the only sense of the word of was the frame PARTITIVE, it was removed unless it was preceded by only, member, one, most, many, some, few, part, majority, minority, proportion, half, third, quarter, all, or none, or it was followed by all, group, them, or us,
- all targets marked as support verbs for some other target were removed.

### Target ID: Neural Model



- Bidirectional RNNs (Open-SESAME; Swayamdipta et. al., 2017)
- Does significantly worse than heuristics-based model.

## Target ID: Evaluation



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#### Frame Identification

After encouraging them, he told them goodbye and left for Macedonia.

TIME\_
VECTOR

TIME\_
EMOTION

he told them goodbye and left for Macedonia.

TELLING

DEPARTING

- Given a target (lexical unit) token in the sentence, identify the frame evoked by it.
- On an average, about 2 frames per lexical unit.
- Lexical units play a critical role here, because of the mapping between lexical units and frames.
  - ▶ Errors in identifying lexical units / targets directly impact frame identification.

#### Frame ID models

• Simple Classification

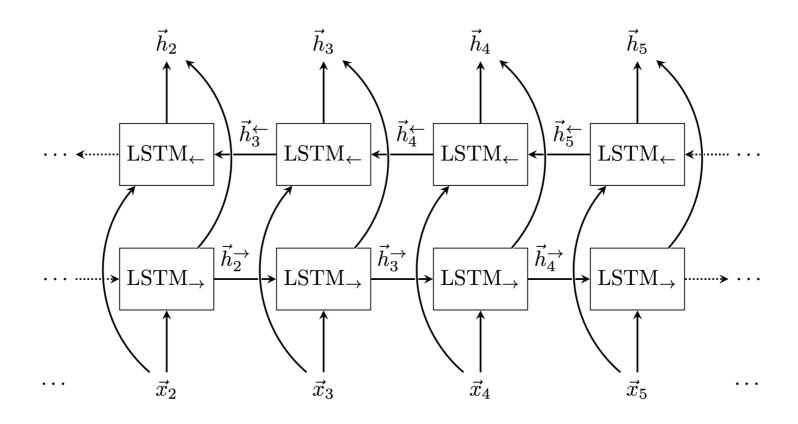
```
\mathbf{frame} = \arg\max_{\mathbf{frame} \in \mathbf{LU}} p(\mathbf{frame} \,|\, \mathbf{target}, \mathbf{LU}, \mathbf{sentence})
```

- When LU is ambiguous:
  - treat it as another unknown
  - Learn a distribution for it

#### Linear Frame ID Models

- With features from syntax (Das et. al., CL 2014)
  - the POS of the parent of the head word of  $t_i$
  - •\* the set of syntactic dependencies of the head word<sup>21</sup> of  $t_i$
  - •\* if the head word of  $t_i$  is a verb, then the set of dependency labels of its children
  - the dependency label on the edge connecting the head of  $t_i$  and its parent
  - the sequence of words in the prototype,  $w_{\ell}$
  - the lemmatized sequence of words in the prototype
  - the lemmatized sequence of words in the prototype and their part-of-speech tags  $\pi_\ell$
  - WordNet relation<sup>22</sup>  $\rho$  holds between  $\ell$  and  $t_i$
  - WordNet relation<sup>22</sup>  $\rho$  holds between  $\ell$  and  $t_i$ , and the prototype is  $\ell$
  - WordNet relation<sup>22</sup>  $\rho$  holds between  $\ell$  and  $t_i$ , the POS tag sequence of  $\ell$  is  $\pi_{\ell}$ , and the POS tag sequence of  $t_i$  is  $\pi_{\ell}$
- With distributional semantics (Hermann et. al., ACL 2014)

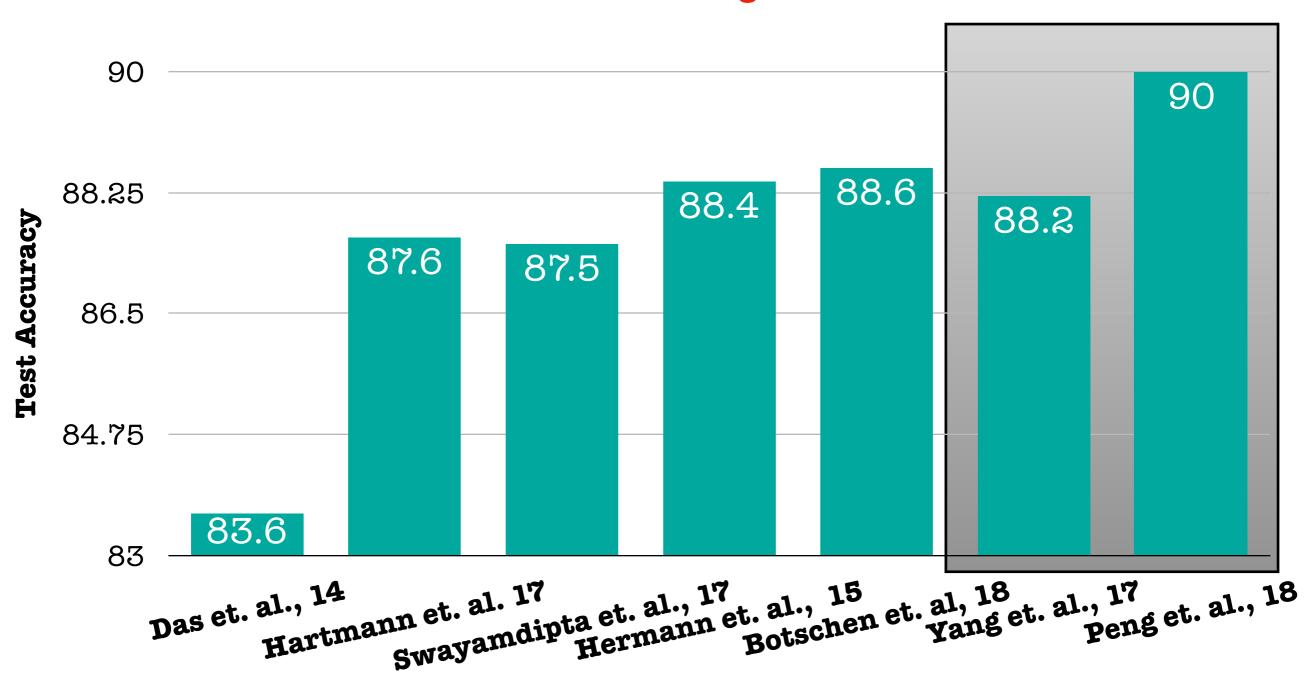
# Neural Models for Frame ID



- Bidirectional LSTM (Swayamdipta et. al., 2017)
- Feed-forward neural nets (Hermann et. al., 2015)

## Frame ID: Evaluation

#### Given GOLD targets!



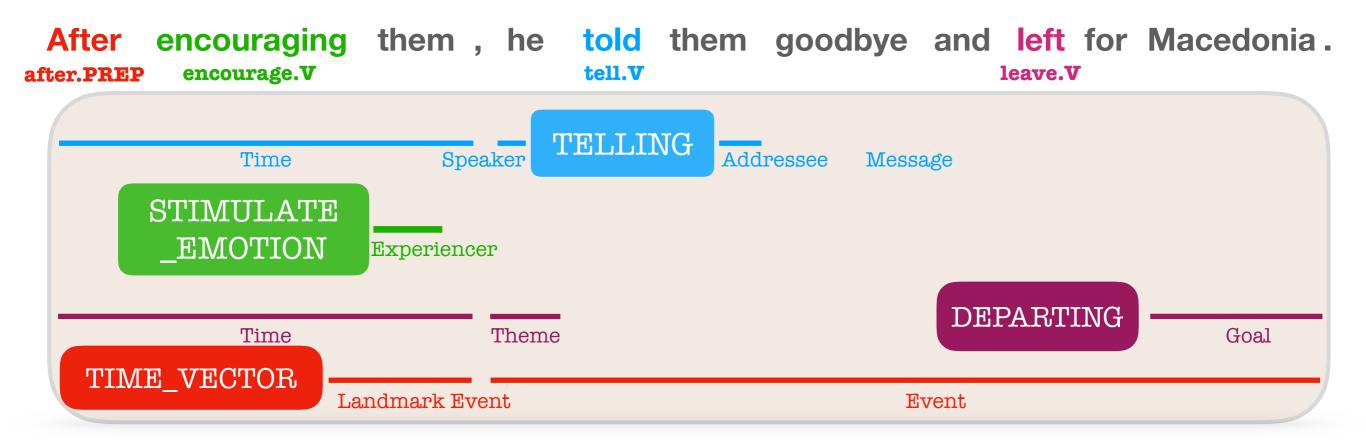
### Outline

1. Task of frame-SRL

### 2. Primary Subtasks

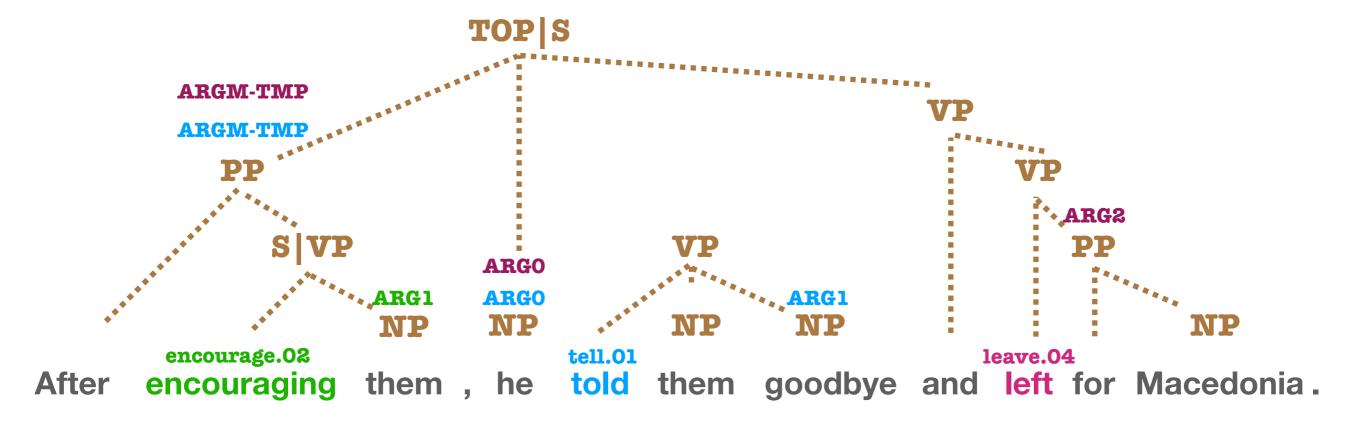
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### Argument Identification



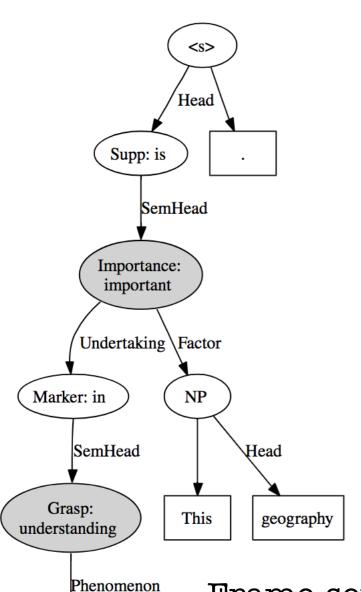
- Given a target and the frame it evokes, identify
  - ▶ all the spans in the sentence which are arguments to the frame,
  - ▶ and their respective labels (frame-elements)

### PropBank vs FrameNet arguments



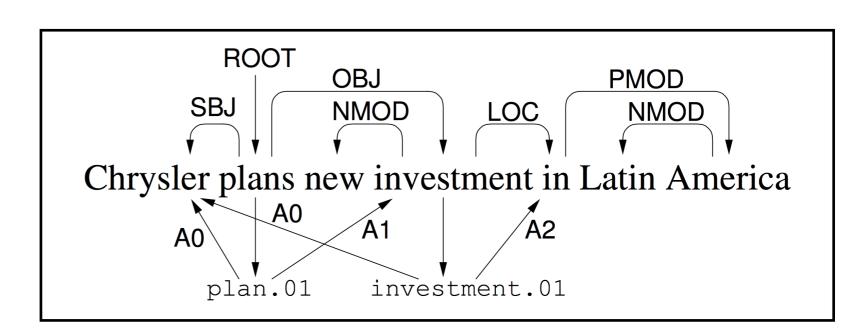
- Primary difference between PropBank SRL and Frame SRL arg ID:
  - ▶ PropBank role labels (ARG<sub>0</sub> ARG<sub>n</sub>) are uniform across predicates.
  - ▶ ARG<sub>0</sub> and ARG<sub>1</sub> correspond to Dowty's (1991) proto-agent and proto-patient, respectively.
  - ▶ Higher ARG<sub>n</sub> have verb-specific definitions.

### Arg ID: Dependency Graph Variant



NE:location: Dublin

DenotedFE: location



PropBank-style dependency graph for sentence, along with syntactic dependencies.

Johannson & Nugues (ACL, 2008)

Frame-semantic dependency graph for sentence "This geography is important for understanding Dublin.".

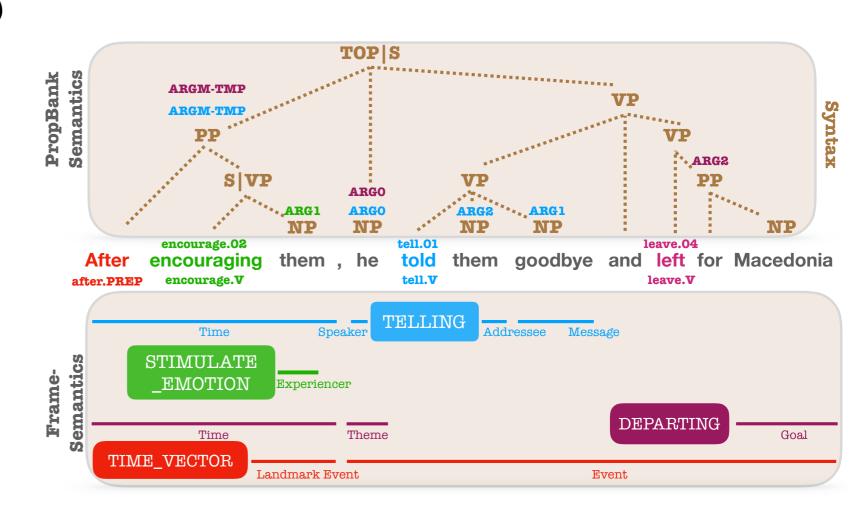
Baker et. al. (SemEval, 2007)

## Arg ID: Basics

- Arguably, the most challenging task!
- Each predicate/ target (and its frame) considered independently
- Arguments as spans
- Arguments as sequences

### Role of syntax (revisited)

- Traditional feature design (Das et. al. 2014)
- Heuristics for potential argument identification (Das et. al., 2014)
- Continuous valued features (Roth & Lapata, 2016; Yang et. al., 2017)
- Constraints during decoding (He et. al., 2017)



## Linear Arg ID models

role = arg max p(role | frame, LU, target, span)

- Span classification task
- Candidate spans pruned by syntactic rules
- Features rely heavily on syntax

**Features with both null and non-null variants:** These features come in two flavors: if the argument is null, then one version fires; if it is overt (non-null), then another version fires.

- some word in t has lemma  $\lambda$
- lacktriangle some word in t has lemma  $\lambda$ , and the sentence uses PASSIVE voice
- the head of t has subcategorization sequence  $\tau = \langle \tau_1, \tau_2, \dots \rangle$
- the head of *t* has *c* syntactic dependents
- some word in t has POS  $\pi$
- lacktriangle some word in t has lemma  $\lambda$ , and the sentence uses ACTIVE voice
- lacktriangle some syntactic dependent of the head of t has dependency type au
- bias feature (always fires)

#### **Span content features:** apply to overt argument candidates.

- $\bigcirc$  POS tag  $\pi$  occurs for some word in *s*
- $\bigcirc$  the first word of *s* has POS  $\pi$
- $\bigcirc$  the last word of s has POS  $\pi$
- $\bigcirc$  the head word of *s* has syntactic dependency type  $\tau$
- $w_{s_2}$  and its closed-class POS tag  $\pi_{s_2}$ , provided that  $|s| \geq 2$
- $\bigcirc$  the head word of s has lemma  $\lambda$
- $\bigcirc$  the last word of s:  $w_{s_{|s|}}$  has lemma  $\lambda$
- $ullet w_{s_{|s|}}$ , and its closed-class POS tag  $\pi_{s_{|s|}}$ , provided that  $|s| \geq 3$
- lemma λ is realized in some word in s, the voice denoted in the span (ACTIVE or PASSIVE)

- $\bigcirc$  the head word of *s* has POS  $\pi$
- $\bullet$  |s|, the number of words in the span
- $\bigcirc$  the first word of *s* has lemma  $\lambda$
- the first word of s:  $w_{s_1}$ , and its POS tag  $\pi_{s_1}$ , if  $\pi_{s_1}$  is a closed-class POS
- the syntactic dependency type  $\tau_{s_1}$  of the first word with respect to its head
- $\bullet$   $\tau_{s_2}$ , provided that  $|s| \ge 2$
- $\bullet$   $\tau_{s|s|}$ , provided that  $|s| \ge 3$
- $\bigcirc$  lemma  $\lambda$  is realized in some word in s
- lemma  $\lambda$  is realized in some word in s, the voice denoted in the span, s's position with respect to t (BEFORE, AFTER, or OVERLAPPING)

#### Syntactic features: apply to overt argument candidates.

- dependency path: sequence of labeled, directed edges from the head word of s to the head word of t
- length of the dependency path

**Span context POS features:** for overt candidates, up to 6 of these features will be active.

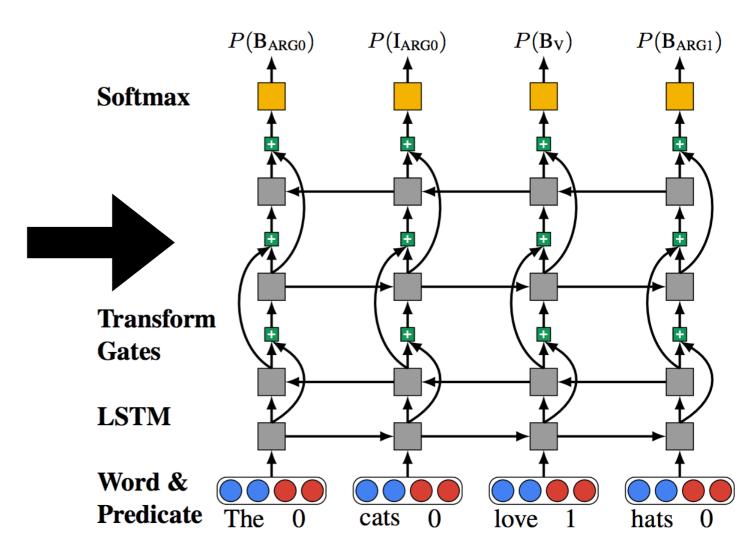
#### Ordering features: apply to overt argument candidates.

- ◆ the position of s with respect to the span of t: BEFORE, AFTER, or OVERLAPPING (i.e. there is at least one word shared by s and t)
- linear word distance between the nearest word of *s* and the nearest word of *t*, provided *s* and *t* do not overlap
- o target-argument crossing: there is at least one word shared by s and t, at least one word in s that is not in t, and at least one word in t that is not in s
- O linear word distance between the middle word of s and the middle word of t, provided s and t do not overlap

Das et. al. (CL 2014)

### Neural models for Arg ID

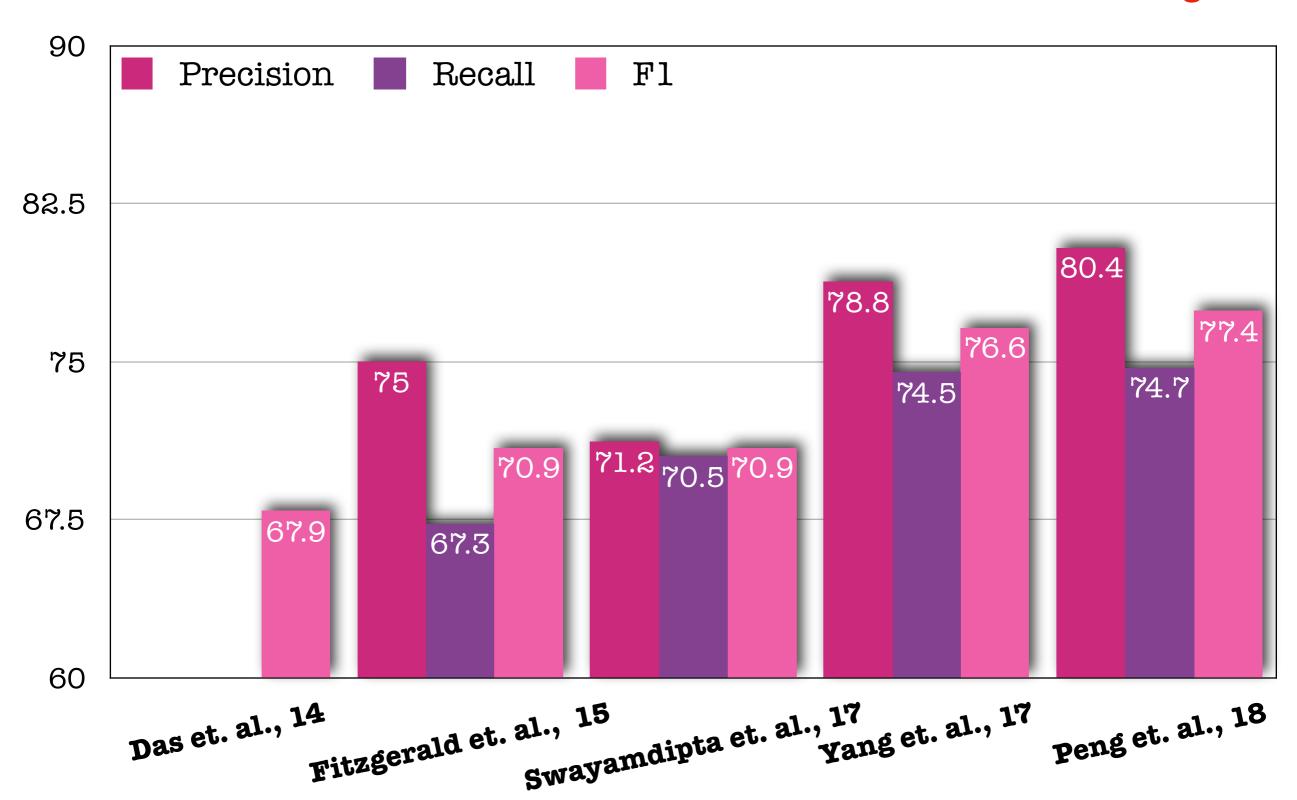
- BIO specification
- Deep bidirectional, highway
   (Zhou & Xu,2015; He et. al. ACL 2017)
- Transformers
  (Tan et. al., AAAI
  2018; Strubell et. al.,
  2018)



He et. al. (2017)

### Frame + Arg ID: Evaluation

Given GOLD targets!



### End-to-end Frame SRL evaluation



## Summary of Part 2

- Subtasks have their own intricacies.
- Automation is coming along fast, we have seen big gains.
- Non-uniformity of evaluation is an issue.
- Can we do better? [Part 3]

### Outline

- 1. Task of frame-SRL
- 2. Primary Subtasks
  - a. Linear
  - b. Neural models

### 3. Advanced Modeling

4. Looking forward: Multilingual Extensions

### Biggest Challenge

- Availability of data
- Part 3: Make the best of available supervision through advanced modeling
  - Joint prediction
  - Multitask learning
- Part 4: Enhance the amount of supervision

# Getting the most out of data

- Frame-semantic parsing with heterogenous annotations (Kshirsagar et. al., 2015)
  - Frame Hierarchy
  - Exemplar annotations
  - PropBank
- Relatively unused: Grammatical Functions and Phrase Types of frame-elements
  - Only for gold arguments

### Joint prediction

- Pipelining the subtasks is lossy
  - ▶ Targets, frames, frame-elements are mutually informative!
- All frame-elements together:
  - Reranking with global features (Toutanova, Haghighi, and Manning 2005)
  - Approximate prediction with global constraints (AD<sup>3</sup>: Das et. al. 2012)
- Frames + frame-elements:
  - Approximate prediction with AD<sup>3</sup> (Yang et. al., 2017; Peng et. al., 2018)
- Joint prediction of predicates, senses and arguments (Labeled Span Graphs, He et. al., ACL 2018)

### Multitask learning

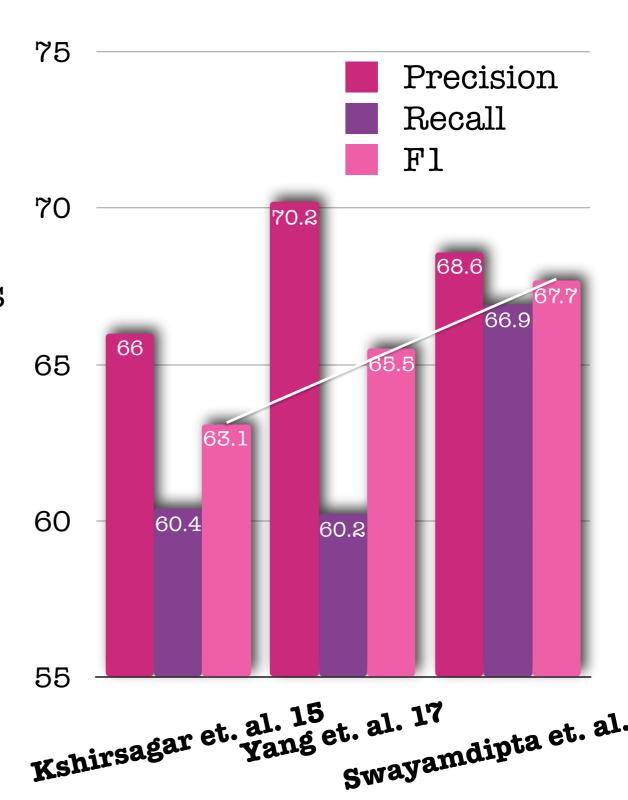
- With syntax
  - Full syntactic tree (CoNLL shared tasks 2008, 2009)
  - Only relevant parts -Scaffolding (Swayamdipta et. al., EMNLP 2018)
- With multiple semantic formalisms:
  - PropBank + FrameNet (Kshirsagar et. al. NAACL 2015; Fitzgerald et. al. EMNLP 2015)
  - Semantic dependencies + FrameNet (Peng et. al. NAACL 2018)

# Syntactic tree + semantic graph

- CoNLL 2008 (Surdeaneau et. al., 2008)
- CoNLL 2009, multilingual (Hajivc et. al., 2009)
- Pipelined vs joint models
- Joint models
  - Graph-based
  - Transition-based

# Syntactic Scaffolding

- Instead of learning entire syntactic trees, only learn parts of the tree which are relevant for SRL.
- Learns better representations of spans.
- "Scaffold" can be discarded after training.
- Also helps other semantic tasks such as coreference resolution.

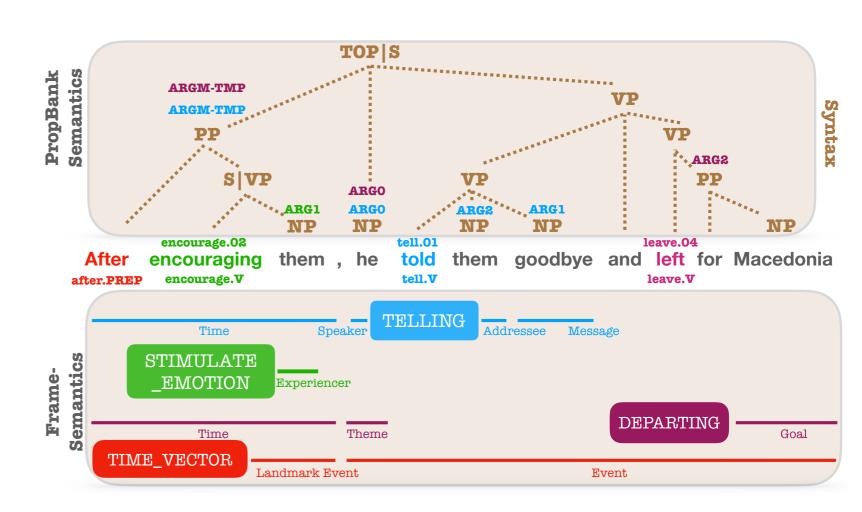


Swayamdipta et. al. 18

Swayamdipta et. al. (EMNLP, 2018)

### PropBank + Frame-SRL

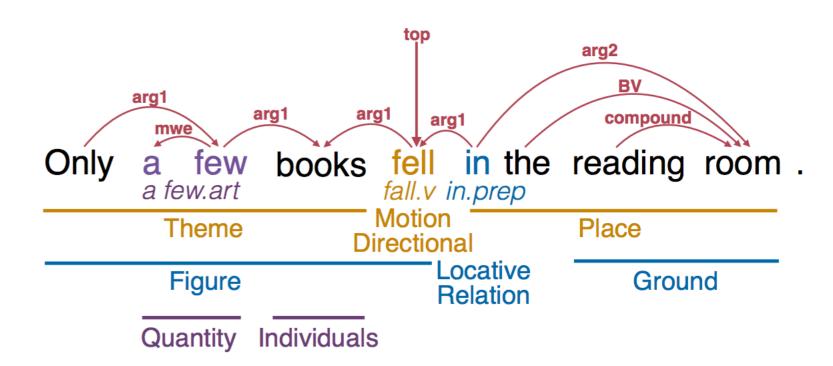
- Significant overlaps between formalisms
- PropBank much larger than
   FrameNet, so helps
   FrameSRL
   performance



Fitzgerald et. al. (2015) Kshirsagar et. al. (2015)

# Semantic Dependencies + Frame-SRL

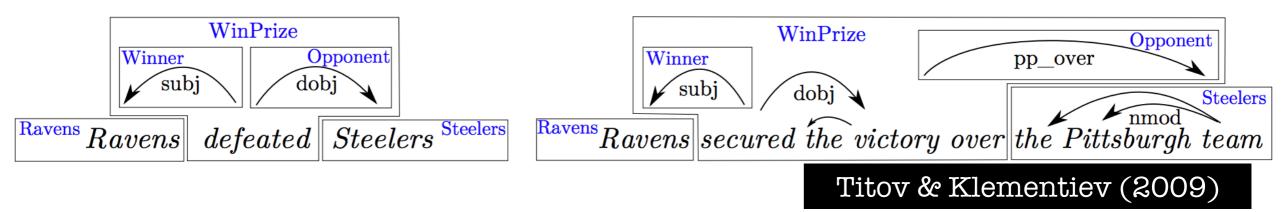
- Disjoint formalisms: span-based vs. dependencybased.
- Treat semantic dependencies as "latent" when predicting frameelements.



Peng et. al. (2018)

### Alternatives to supervised learning

### Unsupervised approaches



- Two different syntactic trees with a common semantic representation.
- Clusters of syntactic structures correspond to semantic roles.

### Semi-supervised approaches

- Pre-trained embeddings, based on language models.
- Seed examples and projection (Fursteanu & Lapata, 2012; Das et. al., CL 2014)

### Summary of Part 3

- Vanilla classifiers for subtasks can be improved on.
- Joint prediction
- Multi-task learning
- Still need more data... [Part 4]

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### Increasing supervision

- Coverage
  - FrameNet+ (Pavlick et. al. ACL 2015)
  - Augmentation via Paraphrases (Rastogi & Van Durme, Workshops at ACL 2015)
- Domain Adaptation
  - Distributional semantics and Yags (Hartmann et. al. EACL 2017)
  - Twitter (Søgaard et. al., 2015)

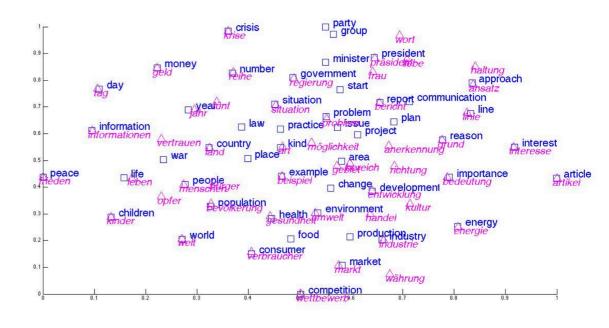
# One glaring gap

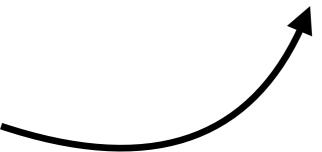
# One glaring gap



# Multilingual SRL

- Encouraged CoNLL 2009 for PropBank SRL
- Primary approach:
  - Build a single model for SRL
  - Apply to others via language-specific features / embeddings
- Multilingual models: Crosslingual embeddings!



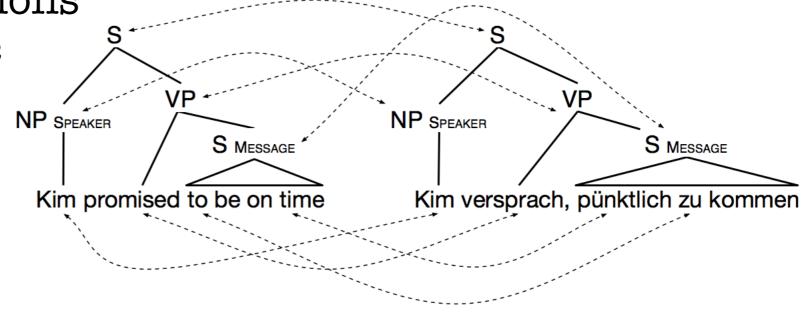


# Cross-lingual annotation projection for SRL

• Needs parallel corpora

 Projection of annotations via lexical / syntactic alignments between sentences

 Not feasible without parallel data / highly accurate syntax



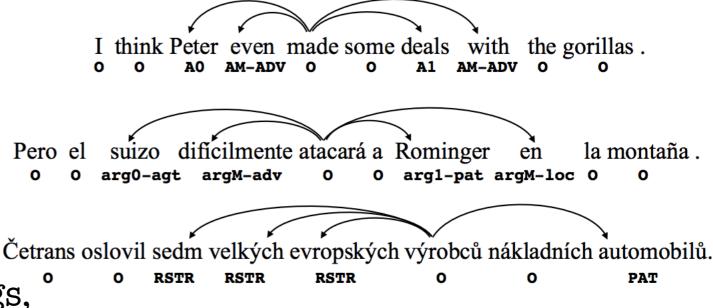
Pado & Lapata (2009)

### Multilingual FN Efforts

- Annotation: WordNet + FrameNet (Hartmann & Gurevych, ACL 2013)
- Any language frame-semantic parsing (Johannsen et. al. 2015)
  - ▶ 9 languages in 2 domains
  - ▶ Using word-word translation
  - ▶ Inter-annotator agreement issues stemming from automatic target identification through word-word translation

## Polyglot SRL

- Training data from pairs of languages merged
- Challenge: Differences in annotation schemes across languages.
- Multilingual word embeddings, learned from cross-lingual alignments (Ammar et. al., 2016)
- Maximum benefit reported for low-resource languages such as Catalan, when combined with English.



Mulcaire et. al. (ACL, 2018)

### Applications of Multilingual FrameNet

- Translation using semantics as pivot.
- Cross-lingual transfer for downstream applications such as knowledge | information | relation extraction.
- Particular benefits for lowresource languages



## Summary

• Part 1: Frame-SRL

a. Graph induction

b. Supervised Learning • Part 2: Subtasks

a. Target
Identification

b. Frame Identification

c. Frame-Element Identification Part 3: AdvancedModeling

Part 4:
 Looking
 Forward /
 Multilinguality