

## Homework #4 – Neural Networks

CAP 5638, Pattern Recognition, Fall, 2015

Department of Computer Science, Florida State University

---

**Due: Monday, November 16, 2015** (No late submission will be accepted as the solution will be available during the class on the due date for the midterm exam starting on November 18, 2015)

**Submission: Hardcopy (including programs) is required and is due at the beginning of the class on the due date.**

**Points: 80 points**

**Problem 1 (10 points)** Problem 1 of Chapter 6 of the textbook

1. Show that if the transfer function of the hidden units is linear, a three-layer network is equivalent to a two-layer one. Explain why, therefore, that a three-layer network with linear hidden units cannot solve a non-linearly separable problem such as XOR or  $n$ -bit parity.

**Problem 2 (10 points)** Problem 3 of Chapter 6 of the textbook

3. Consider an  $d - n_H - c$  network trained with  $n$  patterns for  $m_e$  epochs.

- (a) What is the space complexity in this problem? (Consider both the storage of network parameters as well as the storage of patterns, but not the program itself.)
- (b) Suppose the network is trained in stochastic mode. What is the time complexity? Since this is dominated by the number of multiply-accumulations, use this as a measure of the time complexity.
- (c) Suppose the network is trained in batch mode. What is the time complexity?

**Problem 3 (15 points)** Problem 11 of Chapter 6 of the textbook

11. Generalize the backpropagation to four layers, and individual (smooth, differentiable) transfer functions at each unit. In particular, let  $x_i$ ,  $y_j$ ,  $v_l$  and  $z_k$  denote the activations on units in successive layers of a four-layer fully connected network, trained with target values  $t_k$ . Let  $f_{1i}$  be the transfer function of unit  $i$  in the first layer,  $f_{2j}$  in the second layer, and so on. Write a program, with greater detail than that of Algorithm 1, showing the calculation of sensitivities, weight update, etc. for the general four-layer network.

**Problem 4 (15 points)** Problem 29 of Chapter 6 of the textbook

Consider a  $d - n_H - c$  three-layer neural network whose input units are linear and output units are sigmoidal but each hidden unit implements a particular polynomial function, trained on a sum-square-error criterion. Specifically, let the output of hidden unit  $j$  be given by

$$o_j = w_{ji}x_i + w_{jm}x_m + q_jx_ix_m$$

For two prespecified inputs,  $i$ , and  $m \neq i$ .

- (a) Write gradient descent learning rule for the input-to-hidden weights and scalar parameters  $q_j$ .

- (b) Does the learning rule for the hidden-to-output unit weights differ from that in the standard three-layer network described in the text?
- (c) What might be some of the strengths and the weaknesses of such a network and its learning rule?

**Problem 5 (15 points)** Computer Exercise 1 of Chapter 6 of the textbook

1. Consider a 2-2-1 network with bias, where the transfer function at the hidden units and the output unit is a sigmoid  $y_j = a \tanh[b \text{net}_j]$  for  $a = 1.716$  and  $b = 2/3$ .

- (a) Suppose the matrices describing the input-to-hidden weights ( $w_{ji}$  for  $j = 1, 2$  and  $i = 0, 1, 2$ ) and the hidden-to-output weights ( $w_{kj}$  for  $k = 1$  and  $j = 0, 1, 2$ ) are, respectively,

$$\begin{pmatrix} 0.5 & -0.5 \\ 0.3 & -0.4 \\ -0.1 & 1.0 \end{pmatrix} \text{ and } \begin{pmatrix} 1.0 \\ -2.0 \\ 0.5 \end{pmatrix}.$$

The network is to be used to classify patterns into one of two categories, based on the sign of the output unit signal. Shade a two-dimensional input space  $x_1 - x_2$  ( $-5 \leq x_1, x_2 \leq +5$ ) black or white according to the category given by the network. Repeat with

- (b) Repeat part (a) but with the following weight matrices:

$$\begin{pmatrix} -1.0 & 1.0 \\ -0.5 & 1.5 \\ 1.5 & -0.5 \end{pmatrix} \text{ and } \begin{pmatrix} 0.5 \\ -1.0 \\ 1.0 \end{pmatrix}.$$

**Problem 6 (15 points)** Computer Exercise 5 of Chapter 6 of the textbook

5. Write a basic backpropagation program for a 3-3-1 network with bias to solve the three-bit parity problem, i.e., return a +1 if the number of input units that are high is even, and -1 if odd.

- (a) Show the input-to-hidden weights and analyze the function of each hidden unit.
- (b) Retrain several times from a new random point until you get a local (but not global) minimum. Analyze the function of the hidden units now.
- (c) How many patterns are properly classified for your local minimum? Explain.

Extra credit problem

**Problem 7 (5 points)** Problem 21 of Chapter 6 of the textbook, **part (b) only**

21. Consider a three-layer network for classification with output units employing softmax (Eq. 30), trained with 0 – 1 signals.

- (b) Repeat for the criterion function is cross-entropy, i.e.,

$$J_{ce}(\mathbf{w}) = \sum_{k=1}^c t_k \ln \frac{t_k}{z_k}.$$