

DISCRETE MATHEMATICS FOR COMPUTER SCIENCE

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Assignment #3

Please submit your assignments before class!



Simple Substitution Ciphers: Examples

Cryptanalysis: Suppose that we know two pairs of plaintext-ciphertext characters (m_1, c_1) and (m_2, c_2) , i.e.,

$$c_1 = (m_1 k_0 + k_1) \mod 26$$

 $c_2 = (m_2 k_0 + k_1) \mod 26$

It is possible to solve the equations to obtain k_0 and k_1 .

Q: When the set of two equations above has a unique solution (k_0, k_1) ?

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When the set of two equations above has a unique solution (k_0, k_1) ?

Case I:
$$gcd(m_1 - m_2, 26) = 1$$

 $k_0 = (c_1 - c_2)(m_1 - m_2)^{-1} \mod 26$
 $k_1 = c_1 - m_1 k_0 \mod 26$

Case II: $gcd(m_1 - m_2, 26) = 2$. Suppose that (k_0, k_1) , (k'_0, k'_1) are two solutions. Then $(k_0 - k'_0)(m_1 - m_2) \equiv 0 \mod 26$. Then $k'_0 = k_0 + 13\ell$ for $\ell = 0, 1$. Since k_0, k'_0 must be coprime to 26, ℓ must be 0 and $k_0 = k'_0$.

Case III: $gcd(m_1 - m_2, 26) = 13$. $(k_0, k_1) \sim ((k_0 + 2) \mod 26, k_1)$

RSA Public-Key Cryptosystem

Pick two large primes, p and q. Let n = pq, then $\phi(n) = (p-1)(q-1)$. Encryption and decryption keys e and d are selected such that

- $gcd(e, \phi(n)) = 1$
- $ed \equiv 1 \pmod{\phi(n)}$
- $C = M^e \mod n$ (RSA encryption)
- $M = C^d \mod n$ (RSA decryption)



■ To prove $C^d \mod n = M$



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Case I:
$$gcd(M, n) = 1$$

By Euler's theorem, $M^{\phi(n)} \equiv 1 \mod n$. Then

$$C^d \mod n = M^{ed} \mod n$$

$$= M^{k\phi(n)+1} \mod n$$

$$= (M^{k\phi(n)} \mod n)M \mod n$$

$$= (M^{\phi(n)} \mod n)^k M \mod n$$

$$= M,$$

where k is some integer.



■ To prove $C^d \mod n = M$

Case II:
$$gcd(M, n) = p$$

We have M=tp, with some integer 0 < t < q. So gcd(M,q)=1. Since $ed=k\phi(n)+1$ for some integer k, by Fermat's theorem, we have

$$(M^{k\phi(n)}-1) \bmod q = ((M^{k(p-1)})^{q-1}-1) \bmod q = 0.$$

Whence

$$(M^{ed} - M) \mod n = M(M^{ed-1} - 1) \mod n$$

$$= tp \left(M^{k\phi(n)} - 1\right) \mod pq$$

$$= 0$$



■ To prove $C^d \mod n = M$

Case III: gcd(M, n) = qSimilar to Case II.

Case IV: gcd(M, n) = pqTrivial since M = C = 0.



Q: Consider the RSA system. Let (e,d) be a key pair for the RSA. Define

$$\lambda(n) = \operatorname{lcm}(p-1, q-1)$$

and compute $d' = e^{-1} \mod \lambda(n)$. Will decryption using d' instead of d still work? (prove $C^{d'} \mod n = M$)



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Case I: gcd(M, n) = 1

$$C^{d'} \bmod n = M^{ed'} \bmod n = M^{k\lambda(n)+1} \bmod n$$

$$= (M^{k\lambda(n)} \bmod n) M \bmod n$$

$$= (M^{(p-1)(q-1)/\gcd(p-1,q-1)} \bmod n)^k M \bmod n$$

By Fermat's theorem, $M^{(p-1)(q-1)/\gcd(p-1,q-1)} \mod p = (M^{(q-1)/\gcd(p-1,q-1)})^{p-1} \mod p = 1$ and $M^{(p-1)(q-1)/\gcd(p-1,q-1)} \mod q = 1$. Then by Chinese Remainder Theorem, we have $C^{d'} \mod n = M$.



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Case II: gcd(M, n) = p

M = tp for some integer 0 < t < q. We have gcd(M, q) = 1 and $ed' = k\lambda(n) + 1$ for some integer k. By Fermat's theorem, we have

$$(M^{k\lambda(n)}-1) \bmod q = (M^{k(p-1)(q-1)/\gcd(p-1,q-1)}-1) \bmod q = 0.$$

Then

$$(M^{ed'} - M) \mod n = M(M^{ed'-1} - 1) \mod n$$

$$= tp(M^{k\lambda(n)} - 1) \mod pq$$

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Case III: gcd(M, n) = q

Similar to Case II.

Case IV: gcd(M, n) = pq

Trivial.



Growth Rates of Solutions to Recurrences

Divide and conquer algorithms

Iteration recurrences

Three different behaviors



We just analyzed recurrences of the form

$$T(n) = \begin{cases} b & \text{if } n = 0 \\ r \cdot T(n-1) + a & \text{if } n > 0 \end{cases}$$



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$$T(n) = r^n b + a \frac{1 - r^n}{1 - r}$$

We will now look at recurrences of the form

$$T(n) = \begin{cases} \text{something given} & \text{if } n \leq n_0 \\ r \cdot T(n/m) + a & \text{if } n > n_0 \end{cases}$$



Someone has chosen a number x between 1 and n.
We need to discover x.



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Our strategy will be to always ask greater than questions, at each step halving our search range, until the range only contains one number, when we ask a final equal to question.



 $32 \qquad \qquad 48 \qquad \qquad 64$



 $\frac{1}{2}$ $\frac{32}{48}$ $\frac{64}{2}$

x > 32?



1 32 48 64

Is x > 32? Answer: Yes



32 48 64

Is x > 32? Answer: Yes

|x| > 48?





Is x > 32? Answer: Yes

Is x > 48? Answer: No



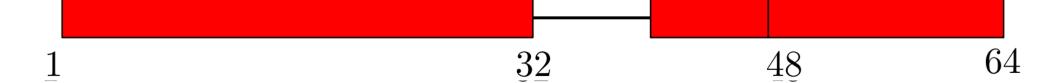
 $\overline{32}$ $\overline{48}$ $\overline{64}$

Is x > 32? Answer: Yes

Is x > 48? Answer: No

|x| > 40?



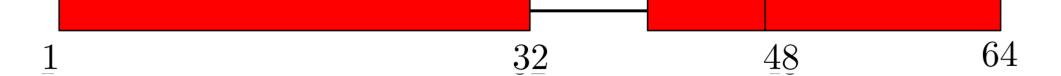


Is x > 32? Answer: Yes

Is x > 48? Answer: No

Is x > 40? Answer: No





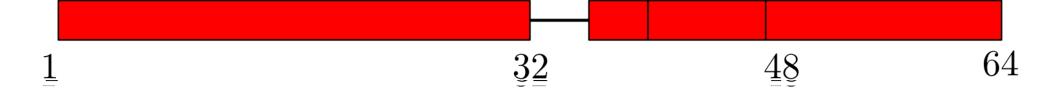
Is
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$$1s \ x > 36$$
?





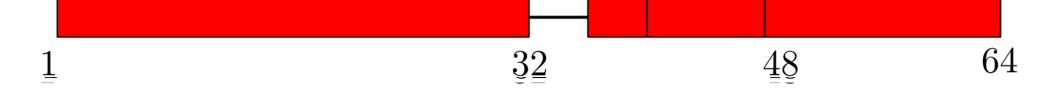
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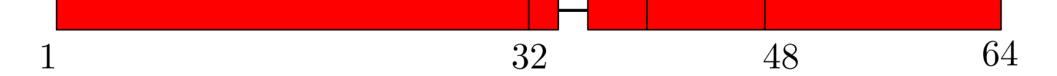
Is x > 48? Answer: No

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ls x > 36? Answer: No

 $ls \ x > 34?$





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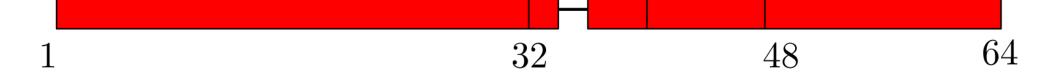
Is x > 48? Answer: No

ls x > 40? Answer: No

ls x > 36? Answer: No

Is x > 34? Answer: Yes





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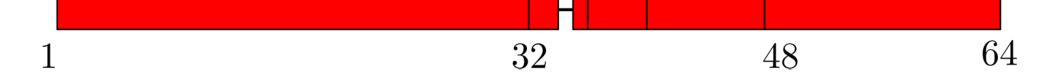
Is x > 40? Answer: No

Is x > 36? Answer: No

Is x > 34? Answer: Yes

x > 35?





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Is x > 36? Answer: No

ls x > 34? Answer: Yes

ls x > 35? Answer: No



1 32 48 64

Is x > 32? Answer: Yes

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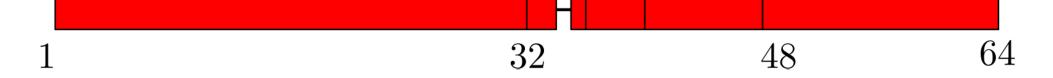
Is x > 36? Answer: No

Is x > 34? Answer: Yes

ls x > 35? Answer: No

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Is x > 35? Answer: No

Is x = 35? Answer: BINGO!



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Note: When n is a power of 2, T(n), the number of questions in a binary search on [1, n], satisfies

$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$



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This can also be proved inductively, similar to the tower of Hanoi recurrence.

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Number of questions needed for binary search on *n* items is:

first step

time to perform binary search on the remaining n/2 items

Base case (1 item): T(1) = 1 to ask: "Is the number k?"



(*)
$$T(n) = \begin{cases} C_1 & \text{if } n = 1 \\ T(\lceil n/2 \rceil) + C_2 & \text{if } n \geq 2 \end{cases}$$

For simplicty, we will (usually) assume that n is a power of 2 (or sometimes 3 or 4) and also often that constants such as C_1 , C_2 are 1. This will let us replace a recurrence such as (*) by one such as (**).



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In practice, the solution of (*) will be very close to that of (**) (this can be proved mathematically). Hence, we can restrict attention to (**).

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- $T(n) = 2T(\frac{n}{2}) + n$ (i) solve 2 subproblems of size n/2, and
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$$T(n) = 3T(n-1) + n$$



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- (i) solve 1 subproblems of size n/4, and
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$$T(n) = 3T(n-1) + r$$

- T(n) = 3T(n-1) + n (i) solve 3 subproblems of size n-1, and
 - (ii) do *n* unites of additional work



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$$T(n) = \begin{cases} T(1) & \text{if } n = 1 \\ 2T(n/2) + n & \text{if } n \ge 2 \end{cases}$$



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This corresponds to solving a problem of size n, by

- (i) solving 2 subproblems of size n/2 and
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or using T(1) work for "bottom" case of n=1



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In the course "Analysis of Algorithms", this is exactly how Mergesort works.



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We now see how to solve (*) by algebraically iterating the recurrence.

Algebraically iterating the recurrence

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$



• Algebraically iterating the recurrence Assume that n is a power of 2

$$T(n) = 2T\left(\frac{n}{2}\right) + n = 2\left(2T\left(\frac{n}{4}\right) + \frac{n}{2}\right) + n$$



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$$= 8T\left(\frac{n}{8}\right) + 3n$$



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$$= 8T\left(\frac{n}{8}\right) + 3n$$

$$\vdots \qquad \vdots$$

$$= 2^{i}T\left(\frac{n}{2^{i}}\right) + in$$



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$$\vdots \qquad \vdots \qquad \qquad \text{End when } i = \log_2 n$$

$$\vdots \qquad \vdots \qquad \vdots \qquad \vdots$$

$$= 2^{\log_2 n} T\left(\frac{n}{2^{\log_2 n}}\right) + (\log_2 n)n$$



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$$\vdots \qquad \vdots \qquad \vdots$$

$$= 2^{\log_2 n} T\left(\frac{n}{2^{\log_2 n}}\right) + (\log_2 n)n$$

$$= nT(1) + n\log_2 n$$



We just iterated the recurrence to derive that the solution to

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$$T(n) = \begin{cases} T(1) & \text{if } n = 1 \\ 2T(n/2) + n & \text{if } n \ge 2 \end{cases}$$

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Note: Technically, we still need to use **induction** to prove that our solution is correct. Practically, we never explicitly perform this step, since it is obvious how the induction would work.



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$$T(n) = T\left(\frac{n}{2}\right) + 1 \qquad = \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1$$



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$$= T\left(\frac{n}{2^2}\right) + 2$$



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$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \ge 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + 1 \qquad = \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1$$
$$= T\left(\frac{n}{2^2}\right) + 2 \qquad = \left(T\left(\frac{n}{2^3}\right) + 1\right) + 2$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \ge 2 \end{cases}$$

$$T(n) = T(\frac{n}{2}) + 1$$
 = $(T(\frac{n}{2^2}) + 1) + 1$
= $T(\frac{n}{2^2}) + 2$ = $(T(\frac{n}{2^3}) + 1) + 2$
= $T(\frac{n}{2^3}) + 3$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \ge 2 \end{cases}$$

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$$= T\left(\frac{n}{2^3}\right) + 3$$

$$\vdots \qquad \vdots$$

$$= T\left(\frac{n}{2^i}\right) + i$$



(*)
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$$= T\left(\frac{n}{2^{i}}\right) + i$$

$$\vdots \qquad \vdots$$

$$= T\left(\frac{n}{2^{\log_{2} n}}\right) + \log_{2} n$$



$$(*) T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \ge 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + 1 = \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1$$

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$$= T\left(\frac{n}{2^3}\right) + 3$$

$$\vdots \qquad \vdots$$

$$= T\left(\frac{n}{2^{\log_2 n}}\right) + \log_2 n = 1 + \log_2 n$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n \ge 2 \end{cases}$$



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$$= T\left(\frac{n}{2^{i}}\right) + \frac{n}{2^{i-1}} + \dots + \frac{n}{2^{2}} + \frac{n}{2} + n$$



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$$\vdots \qquad \vdots$$

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$$\vdots \qquad \vdots$$

$$= T\left(\frac{n}{2^{\log_{2} n}}\right) + \frac{n}{2^{\log_{2} n-1}} + \dots + \frac{n}{2^{2}} + \frac{n}{2} + n$$

$$= 1 + 2 + 2^{2} + \dots + \frac{n}{2^{2}} + \frac{n}{2} + n$$



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$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n \ge 2 \end{cases}$$

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$$\vdots \qquad \vdots$$

$$= T\left(\frac{n}{2^{\log_{2} n}}\right) + \frac{n}{2^{\log_{2} n-1}} + \dots + \frac{n}{2^{2}} + \frac{n}{2} + n$$

$$= 1 + 2 + 2^{2} + \dots + \frac{n}{2^{2}} + \frac{n}{2} + n = \Theta(n)$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \ge 3 \end{cases}$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \ge 3 \end{cases}$$

$$T(n) = 3T\left(\frac{n}{3}\right) + n$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \ge 3 \end{cases}$$

$$T(n) = 3T\left(\frac{n}{3}\right) + n \qquad = 3\left(3T\left(\frac{n}{3^2}\right) + \frac{n}{3}\right) + n$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \ge 3 \end{cases}$$

$$T(n) = 3T\left(\frac{n}{3}\right) + n = 3\left(3T\left(\frac{n}{3^2}\right) + \frac{n}{3}\right) + n$$
$$= 3^2T\left(\frac{n}{3^2}\right) + 2n$$



(*)
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$$= 3^2T(\frac{n}{3^2}) + 2n = 3^2(3T(\frac{n}{3^3}) + \frac{n}{3^2}) + 2n$$



(*)
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(*)
$$T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \ge 3 \end{cases}$$

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$$= 3^3T(\frac{n}{3^3}) + 3n$$

$$\vdots \qquad \vdots$$

$$= 3^iT(\frac{n}{3^i}) + in$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \ge 3 \end{cases}$$

$$T(n) = 3T \left(\frac{n}{3}\right) + n = 3 \left(3T \left(\frac{n}{3^2}\right) + \frac{n}{3}\right) + n$$

$$= 3^2 T \left(\frac{n}{3^2}\right) + 2n = 3^2 \left(3T \left(\frac{n}{3^3}\right) + \frac{n}{3^2}\right) + 2n$$

$$= 3^3 T \left(\frac{n}{3^3}\right) + 3n$$

$$\vdots \qquad \vdots$$

$$= 3^i T \left(\frac{n}{3^i}\right) + in$$

$$\vdots \qquad \vdots$$

$$= 3^{\log_3 n} T \left(\frac{n}{3^{\log_3 n}}\right) + n \log_3 n$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \ge 3 \end{cases}$$

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$$= 3^3 T \left(\frac{n}{3^3}\right) + 3n$$

$$\vdots \qquad \vdots$$

$$= 3^i T \left(\frac{n}{3^i}\right) + in$$

$$\vdots \qquad \vdots$$

$$= 3^{\log_3 n} T \left(\frac{n}{3^{\log_3 n}}\right) + n \log_3 n = n + n \log_3 n$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \ge 2 \end{cases}$$



(*)
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(*)
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$$T(n) = 4T\left(\frac{n}{2}\right) + n \qquad = 4\left(4T\left(\frac{n}{2^2}\right) + \frac{n}{2}\right) + n$$



(*)
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(*)
$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \ge 2 \end{cases}$$

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$$= 4^2T(\frac{n}{2^2}) + \frac{4}{2}n + n = 4^2(4T(\frac{n}{2^3}) + \frac{n}{2^2}) + \frac{4}{2}n + n$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

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(*)
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$$= 4^3T(\frac{n}{2^3}) + \frac{4^2}{2^2}n + \frac{4}{2}n + n$$

$$\vdots \qquad \vdots$$

$$= 4^iT(\frac{n}{2^i}) + \frac{4^{i-1}}{2^{i-1}}n + \dots + \frac{4^2}{2^2}n + n$$



(*)
$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \ge 2 \end{cases}$$

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$$= 4^{2}T\left(\frac{n}{2^{2}}\right) + \frac{4}{2}n + n = 4^{2}\left(4T\left(\frac{n}{2^{3}}\right) + \frac{n}{2^{2}}\right) + \frac{4}{2}n + n$$

$$= 4^{3}T\left(\frac{n}{2^{3}}\right) + \frac{4^{2}}{2^{2}}n + \frac{4}{2}n + n$$

$$\vdots \qquad \vdots$$

$$= 4^{i}T\left(\frac{n}{2^{i}}\right) + \frac{4^{i-1}}{2^{i-1}}n + \dots + \frac{4^{2}}{2^{2}}n + n$$

$$\vdots \qquad \vdots$$

$$= 4^{\log_{2}n}T\left(\frac{n}{2^{\log_{2}n}}\right) + \frac{4^{\log_{2}n-1}}{2^{\log_{2}n-1}}n + \dots + \frac{4}{2}n + n$$



(*)
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$$= 4^{3}T\left(\frac{n}{2^{3}}\right) + \frac{4^{2}}{2^{2}}n + \frac{4}{2}n + n$$

$$\vdots \qquad \vdots$$

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$$\vdots \qquad \vdots$$

$$= 4^{\log_{2}n}T\left(\frac{n}{2^{\log_{2}n}}\right) + \frac{4^{\log_{2}n-1}}{2^{\log_{2}n-1}}n + \dots + \frac{4}{2}n + n$$

$$= 2n^{2} - n$$



Growth Rates of Solutions to Recurrences

Divide and conquer algorithms

Iteration recurrences

Three different behaviors



Three Different Behaviors

Compare the iteration for the recurrences

$$T(n) = 2T(n/2) + n$$

$$T(n) = T(n/2) + n$$

$$T(n) = 4T(n/2) + n$$



Three Different Behaviors

Compare the iteration for the recurrences

$$T(n) = 2T(n/2) + n$$

 $T(n) = T(n/2) + n$
 $T(n) = 4T(n/2) + n$

- ⋄ all three recurrences iterate log₂ n times
- in each case, size of subproblem in next iteration is
 half the size in the preceding iteration level



Three Different Behaviors

Theorem Suppose that we have a recurrence of the form T(n) = aT(n/2) + n,

where a is a positive integer and T(1) is nonnegative. Then we have the following big Θ bounds on the solution:

- 1. If a < 2, then $T(n) = \Theta(n)$.
- 2. If a = 2, then $T(n) = \Theta(n \log n)$.
- 3. If a > 2, then $T(n) = \Theta(n^{\log_2 a})$



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Proof

We already proved Case 1 when a=1 in Example 3. (will not prove it for 1 < a < 2)

We already proved Case 2 in Example 1.

We will now prove Case 3.



Iterating Recurrences

T(n) = aT(n/2) + n, where a > 2. Assume that $n = 2^i$.



Iterating Recurrences

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Iterating as in Example 5 gives

$$T(n) = a^{i} T\left(\frac{n}{2^{i}}\right) + \left(\frac{a^{i-1}}{2^{i-1}} + \frac{a^{i-2}}{2^{i-2}} + \cdots + \frac{a}{2} + 1\right) n$$



Iterating Recurrences

T(n) = aT(n/2) + n, where a > 2. Assume that $n = 2^i$.

Iterating as in Example 5 gives

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$$T(n) = a^{\log_2 n} T(1) + n \sum_{i=0}^{\log_2 n-1} \left(\frac{a}{2}\right)^i$$
Work at Iterated "bottom" Work



The total work is

$$T(n) = a^{\log_2 n} T(1) + n \sum_{i=0}^{\log_2 n-1} \left(\frac{a}{2}\right)^i$$



The total work is

$$T(n) = a^{\log_2 n} T(1) + n \sum_{i=0}^{\log_2 n-1} \left(\frac{a}{2}\right)^i$$

Since a > 2, the geometric series is Θ of the largest term.

$$n \sum_{i=0}^{\log_2 n-1} \left(\frac{a}{2}\right)^i = n \frac{1 - (a/2)^{\log_2 n}}{1 - a/2} = n \Theta((a/2)^{\log_2 n-1})$$



n times the largest term in the geometric series is

$$n\left(\frac{a}{2}\right)^{\log_2 n - 1} = \frac{2}{a} \cdot \frac{n \cdot a^{\log_2 n}}{2^{\log_2 n}} = \frac{2}{a} \cdot \frac{n \cdot a^{\log_2 n}}{n} = \frac{2}{a} \cdot a^{\log_2 n}$$



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Notice that

$$a^{\log_2 n} = (2^{\log_2 a})^{\log_2 n} = (2^{\log_2 n})^{\log_2 a} = n^{\log_2 a}$$



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Notice that

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So the total work is

$$a^{\log_2 n} T(1) + n \sum_{i=0}^{\log_2 n-1} \left(\frac{a}{2}\right)^i$$



n times the largest term in the geometric series is

$$n\left(\frac{a}{2}\right)^{\log_2 n - 1} = \frac{2}{a} \cdot \frac{n \cdot a^{\log_2 n}}{2^{\log_2 n}} = \frac{2}{a} \cdot \frac{n \cdot a^{\log_2 n}}{n} = \frac{2}{a} \cdot a^{\log_2 n}$$

Notice that

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So the total work is

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$$\Theta\left(n^{\log_2 a}\right) \qquad \Theta\left(n^{\log_2 a}\right)$$



Example 5 Recap

(*)
$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \ge 2 \end{cases}$$



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$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

a = 4, so the Theorem says that

$$T(n) = \Theta\left(n^{\log_2 a}\right) = \Theta\left(n^{\log_2 4}\right) = \Theta(n^2)$$



Example 5 Recap

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$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \ge 2 \end{cases}$$

a = 4, so the Theorem says that

$$T(n) = \Theta\left(n^{\log_2 a}\right) = \Theta\left(n^{\log_2 4}\right) = \Theta(n^2)$$

This matches with the exact answer of $2n^2 - n$.



Three Different Behaviors

Theorem Suppose that we have a recurrence of the form

$$T(n) = aT(n/2) + n$$

where a is a positive integer and T(1) is nonnegative. Then we have the following big Θ bounds on the solution:

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The Master Theorem

Theorem Suppose that we have a recurrence of the form

$$T(n) = aT(n/b) + cn^d,$$

where a is a positive integer, $b \ge 1$, c, d are real numbers with c positive and d nonnegative, and T(1) is nonnegative. Then we have the following big Θ bounds on the solution:

- 1. If $a < b^d$, then $T(n) = \Theta(n^d)$.
- 2. If $a = b^d$, then $T(n) = \Theta(n^d \log n)$.
- 3. If $a > b^d$, then $T(n) = \Theta(n^{\log_b a})$



- \blacksquare P 13, Ex. 14. Let p, q, and r be the propositions
 - p: You get an A on the final exam
 - q: You do every exercise in this book
 - r: You get an A in this case.
 - Write these propositions using p, q and r and logical connectives (including negations).
 - c) To get an A in this class, it is necessary for you to get an A on the final.
 - d) You get an A on the final, but you don't do every exercise in this book; nevertheless, you get an A in this class.



 \blacksquare P 13, Ex. 14. Let p, q, and r be the propositions

p: You get an A on the final exam

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r: You get an A in this case.

Write these propositions using p, q and r and logical connectives (including negations).

- c) To get an A in this class, it is necessary for you to get an A on the final.
- d) You get an A on the final, but you don't do every exercise in this book; nevertheless, you get an A in this class.

Solution.

c)
$$r \rightarrow p$$

d)
$$p \wedge \neg q \wedge r$$



■ P 16, Ex. 40. Explain, without using a truth table, why $(p \lor \neg q) \land (q \lor \neg r) \land (r \lor \neg p)$ when p, q and r have the same truth value and it is false otherwise.



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Proof. Explanation.



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Alternatively, using logical equivalences.



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Proof. Explanation.

Alternatively, using logical equivalences.

$$(p \vee \neg q) \wedge (q \vee \neg r) \wedge (r \vee \neg p)$$

$$\equiv (q \to p) \wedge (r \to q) \wedge (p \to r)$$

$$\equiv [(q \to p) \wedge (r \to q)] \wedge (p \to r)$$

$$\wedge (q \to p) \wedge [(r \to q) \wedge (p \to r)]$$

$$\equiv (r \leftrightarrow p) \wedge (q \leftrightarrow p)$$

$$\equiv r \leftrightarrow p \leftrightarrow q$$



- P 65, Ex. 10. Let F(x, y) be the statement "x can fool y", where the domain consists of all people in the world. Use quantifiers to express each of these statements.
 - g) Nancy can fool exactly two people.
 - h) There is exactly one person whom everybody can fool.
 - i) No one can fool himself or herself.
 - j) There is someone who can fool exactly one person besides himself or herself.

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Solution.

```
g) \exists y_1 \exists y_2 (F(Nancy, y_1) \land F(Nancy, y_2) \land y_1 \neq y_2 \land \forall y (F(Nancy, y) \rightarrow (y = y_1 \lor y = y_2)))
h) \exists y (\forall x F(x, y) \land \forall z (\forall x F(x, z) \rightarrow z = y))
i) \neg \exists x F(x, x)
j) \exists x \exists y (F(x, x) \land x \neq y \land F(x, y) \land \forall z ((F(x, z) \land z \neq x) \rightarrow z = y))
```

■ P 108, Ex. 7. Prove the **triangle inequality**, which states that if x and y are real numbers, then $|x| + |y| \ge |x + y|$.



■ P 108, Ex. 7. Prove the **triangle inequality**, which states that if x and y are real numbers, then $|x| + |y| \ge |x + y|$.

Proof.

- Case 1: $x \ge 0$ and $y \ge 0$.
- Case 2: x < 0 and y < 0.
- Case 3: $x \ge 0$ and y < 0.
- Case 4: x < 0 and $y \ge 0$.



■ P 108, Ex. 14. Prove or disprove that if a and b are rational numbers, then a^b is also rational.



■ P 108, Ex. 14. Prove or disprove that if a and b are rational numbers, then a^b is also rational.

Proof. Let a = 2 and b = 1/2.



■ P 109, Ex. 36. Prove that between every two rational numbers there is an irrational number.



■ P 109, Ex. 36. Prove that between every two rational numbers there is an irrational number.

Proof. Let
$$a = (x + y)/2$$
.



■ P 126, Ex. 45. Show that if we define the ordered pair (a, b) to be $\{\{a\}, \{a, b\}\}$, then (a, b) = (c, d) if and only if a = c and b = d.



■ P 126, Ex. 45. Show that if we define the ordered pair (a, b) to be $\{\{a\}, \{a, b\}\}$, then (a, b) = (c, d) if and only if a = c and b = d.

Proof. We need to show that $\{\{a\}, \{a, b\}\} = \{\{c\}, \{c, d\}\}$ if and only if a = c and b = d. The "if" part is straightforward.

Assume the two sets are equal. We need discuss on two cases: $a \neq b$ and a = b.



■ P 137, Ex. 40. Determine whether the symmetric difference is associative, that is, if A, B, C are sets, does it follow that $A \oplus (B \oplus C) = (A \oplus B) \oplus C$?



■ P 137, Ex. 40. Determine whether the symmetric difference is associative, that is, if A, B, C are sets, does it follow that $A \oplus (B \oplus C) = (A \oplus B) \oplus C$?

Proof. Using membership, one can show that each side consists of the elements that are in an odd number of the sets A, B and C.



■ P 137, Ex. 41. Suppose that A, B adn C are sets such that $A \oplus C = B \oplus C$. Must it be the case that A = B?



■ P 137, Ex. 41. Suppose that A, B adn C are sets such that $A \oplus C = B \oplus C$. Must it be the case that A = B?

Proof. Yes. We prove that for every element $x \in A$, we have $x \in B$ and vice versa. First, for any element $x \in A$ and $x \notin C$, since $A \oplus C = B \oplus C$, we know that $x \in A \oplus C$ and thus $x \in B \oplus C$. Since $x \notin C$, we must have $x \in B$. For elements $x \in A$ and $x \in C$, we have $x \notin A \oplus C$. Thus,

 $x \notin B \oplus C$. Since $x \in C$, we must have $x \in B$.



■ P 155, Ex. 70. Show that f is an invertible function from Y to Z and g is an invertible function from X to Y. Show that the inverse of the composition $f \circ g$ is given by $(f \circ g)^{-1} = g^{-1} \circ f^{-1}$.



■ P 155, Ex. 70. Show that f is an invertible function from Y to Z and g is an invertible function from X to Y. Show that the inverse of the composition $f \circ g$ is given by $(f \circ g)^{-1} = g^{-1} \circ f^{-1}$.

Proof. We have to show that $((f \circ g) \circ (g^{-1} \circ f^{-1}))(z) = z$ for all $z \in Z$ and that $((g^{-1} \circ f^{-1}) \circ (f \circ g))(x) = x$ for all $x \in X$.



■ P 155, Ex. 80. Show that a set S is infinite if and only if there is a proper subset A of S such that there is a one-to-one correspondence between A and S.



■ P 155, Ex. 80. Show that a set S is infinite if and only if there is a proper subset A of S such that there is a one-to-one correspondence between A and S.

Proof. The "if" part. We prove it by contrapositive. If S is a finite set with cardinality m, then the proper subset A has cardinality strictly smaller than m. So there is no possible bijection between A and S.

The "only if" part. We try to construct a one-to-one and onto function f from S to A. Let a_0 be one element of S, and let $A = S - \{a_0\}$. Clearly A is infinite. We choose an arbitrary element $a_1 \in A$, and set $f(a_0) = a_1$. For a_1 , we choose an arbitrary element $a_2 \in A - \{a_1\}$, and define $f(a_1) = a_2$. Next for a_2 , we choose an arbitrary element $a_3 \in A - \{a_1, a_2\}$, and set $f(a_2) = a_3$. Continue this process, and finally let $f(a_i) = a_{i+1}$ for all natural numbers i and f(x) = x for all $x \in S - \{a_0, a_1, a_2, \ldots\}$.

■ P 169, Ex. 38. Derive the formula for $\sum_{k=1}^{n} k^2$.



■ P 169, Ex. 38. Derive the formula for $\sum_{k=1}^{n} k^2$.

Proof. Note that $k^3 - (k-1)^3 = 3k^2 - 3k + 1$. Sum the equation for all values of k from 1 to n.



■ P 169, Ex. 41. Find a formula for $\sum_{k=0}^{m} \lfloor \sqrt{k} \rfloor$, when m is a positive integer.



■ P 169, Ex. 41. Find a formula for $\sum_{k=0}^{m} \lfloor \sqrt{k} \rfloor$, when m is a positive integer.

Proof. By the definition of the floor function, there are 2n + 1 n's in the summation. Let $n = |\sqrt{m}| - 1$. Then

$$\sum_{k=0}^{m} \lfloor \sqrt{k} \rfloor$$

$$= \sum_{i=1}^{n} (2i^{2} + i) + (n+1)(m - (n+1)^{2} + 1)$$

$$= 2\sum_{i=1}^{n} i^{2} + \sum_{i=1}^{n} i + (n+1)(m - (n+1)^{2} + 1)$$

$$= \frac{n(n+1)(2n+1)}{3} + \frac{n(n+1)}{2} + (n+1)(m - (n+1)^{2} + 1)$$

■ P 176, Ex. 17. If A is an uncountable set and B is a countable set, must A - B be uncountable?



■ P 176, Ex. 17. If A is an uncountable set and B is a countable set, must A - B be uncountable?

Proof. Consider $A = (A - B) \cup (A \cap B)$.



■ P 217, Ex. 50. Show that if $f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$, where $a_0, a_1, \ldots, a_{n-1}$, and a_n are real numbers and $a_n \neq 0$, then f(x) is $\Theta(x^n)$.



We need to show inequalities in both ways. First, we show that $|f(x)| \le Cx^n$ for all $x \ge 1$ in the following. Noting that $x^i \le x^n$ for such values of x whenever i < n. We have the following inequalities, where M is the largest then of the absolute values of the coefficients and C = (n+1)M:

$$|f(x)| = |a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0|$$

$$\leq |a_n| x^n + |a_{n-1}| x^{n-1} + \dots + |a_1| x + |a_0|$$

$$\leq |a_n| x^n + |a_{n-1}| x^n + \dots + |a_1| x^n + |a_0| x^n$$

$$\leq M x^n + M x^n + \dots + M x^n$$

$$= C x^n.$$

For the other direction, let k be chosen larger than 1 and larger than $2nm/|a_n|$, where m is the largest of the absolute values of the a_i 's for i < n. Then each a_{n-i}/x^i will be smaller than $|a_n|/2n$ in absolute value for all x > k. Now we have for all x > k,

$$|f(x)| = |a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0|$$

$$= x^n \left| a_n + \frac{a_{n-1}}{x} + \dots + \frac{a_1}{x^{n-1}} + \frac{a_0}{x^n} \right|$$

$$\geq x^n |a_n/2|.$$



■ P 218, Ex. 71. Show that $n \log n$ is $O(\log n!)$.



 \blacksquare P 218, Ex. 71. Show that $n \log n$ is $O(\log n!)$.

Proof. Try to prove that $(n!)^2 \ge n^n$.



 \blacksquare P 218, Ex. 71. Show that $n \log n$ is $O(\log n!)$.

Proof. Try to prove that $(n!)^2 \geq n^n$.

Use
$$(n-i)(i+1) \ge n$$
 for $i = 0, 1, ..., n-1$.



■ P 272, Ex. 11. Show that log₂ 3 is an irrational number.



P 272, Ex. 11. Show that log₂ 3 is an irrational number.

Proof. Suppose that $\log_2 3 = a/b$ where $a, b \in \mathbf{Z}^+$ and $b \neq 0$. Then $2^{a/b} = 3$, so $2^a = 3^b$. This violates the fundamental theorem of arithmetic. Hence $\log_2 3$ is irrational.



■ P 274, Ex. 55. Show that there are infinitely many primes of the form 4k + 3, where k is a nonnegative integer.



■ P 274, Ex. 55. Show that there are infinitely many primes of the form 4k + 3, where k is a nonnegative integer.

Proof. Suppose that there are only finitely many primes of the form 4k+3, namely p_1, p_2, \ldots, p_n , where $p_1=3, p_2=7$ and so on. Let $P=4p_1p_2\cdots p_n-1$. Note that P is of the form 4k+3. If P is prime, then we have found a prime of the desired form different from all those listed. If P is not prime, then P has at least one prime factor not in the list p_1, p_2, \ldots, p_n , because the remainder when P is divided by p_j is nonzero. Since all odd primes are either of the form 4k+1 or 4k+3, and the product of primes of the form 4k+1 is also of the form 4k+1, there must be a factor of P of the form 4k+3 different from the primes we listed.



Announcements

■ Homework assignment 4

- ♦ P330 Ex. 25, 26, P331 Ex. 44, P341 Ex. 4, P344 Ex. 37, 42, P371 Ex. 24, 26, P535 Ex. 12, 22, P536 Ex. 34, 36
- ♦ Due on *Nov. 21st, 2017 before class*
- ♦ Please try you best to slove problems marked with *
- Please write your homeowrk neatly, as a courtesy to graders.



Next Lecture

counting, ...

