

# Artificial Intelligence (CS303)

## Lecture 6: First-Order Logic

# Hints for this lecture

- We prefer representations that are similar to natural language (at least sometimes).

# Outline of this lecture

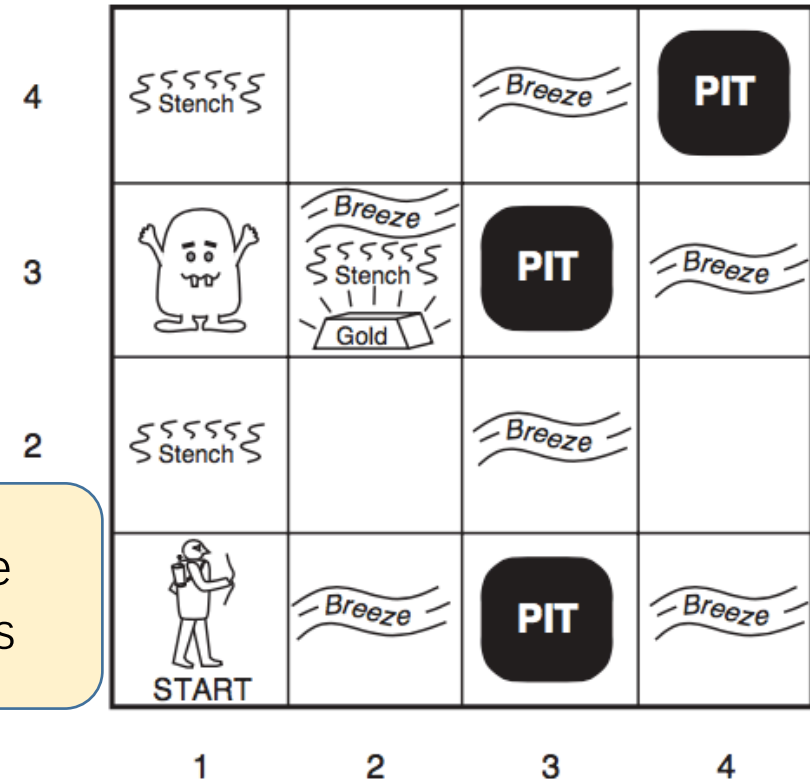
- Definitions
- Knowledge Base Engineering
- Inference by Propositionalization
- Proof by Resolution

# **I. Definitions**

# Why need FOL

- A different knowledge representation that
  - might be easier to construct KB
  - or to inference
  - more natural to human thoughts

Boring to enumerate events for all squares



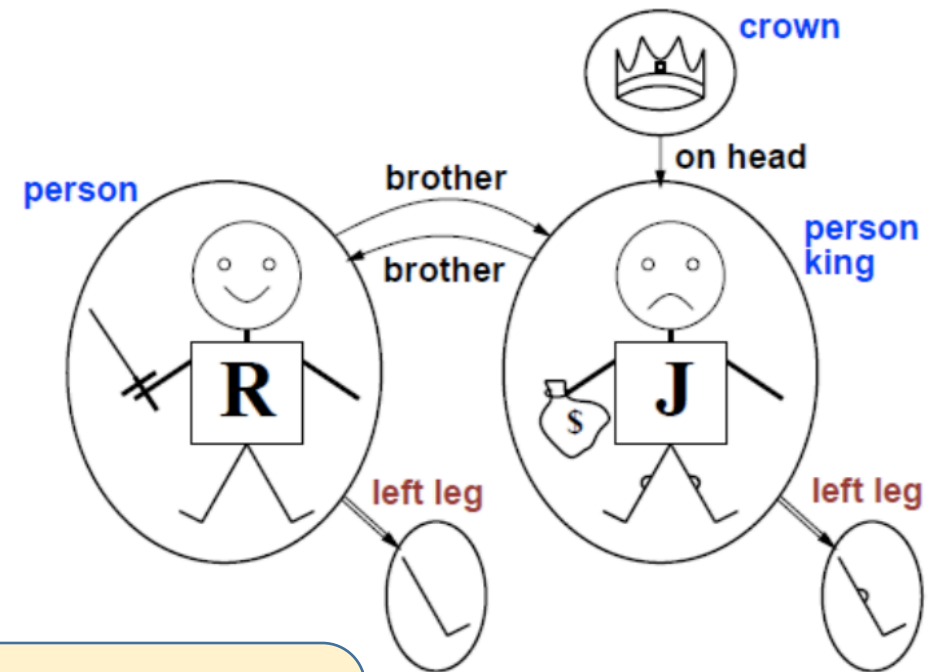
# “Viewpoint” of FOL

- The world is a “graph”

**Objects** people, houses, numbers, theories, Ronald McDonald, colors, baseball games, wars, centuries . . .

**Relations** red, round, bogus, prime, multistoried, brother of, bigger than, inside, part of, has color, occurred after, owns, comes between,

**Function** father of, best friend, third inning of, one more than, end of . . .



What is model in such a definition?

What is the advantages?

# A few more thoughts about logic

Language	Ontological Commitment	Epistemological Commitment
Propositional logic	facts	true/false/unknown
First-order logic	facts, objects, relations	true/false/unknown
Probability theory	facts	degree of belief
Fuzzy logic	facts	degree of truth known interval value

# Syntax of FOL (Overview)

*Sentence*  $\rightarrow$  *AtomicSentence* | *ComplexSentence*

*AtomicSentence*  $\rightarrow$  *Predicate* | *Predicate*(*Term*, ...) | *Term* = *Term*

*ComplexSentence*  $\rightarrow$  ( *Sentence* ) | [ *Sentence* ]  
|  $\neg$  *Sentence*  
| *Sentence*  $\wedge$  *Sentence*  
| *Sentence*  $\vee$  *Sentence*  
| *Sentence*  $\Rightarrow$  *Sentence*  
| *Sentence*  $\Leftrightarrow$  *Sentence*  
| *Quantifier* *Variable*, ... *Sentence*

*Term*  $\rightarrow$  *Function*(*Term*, ...)  
| *Constant*  
| *Variable*

*Quantifier*  $\rightarrow$   $\forall$  |  $\exists$

*Constant*  $\rightarrow$  *A* | *X*<sub>1</sub> | *John* | ...

*Variable*  $\rightarrow$  *a* | *x* | *s* | ...

*Predicate*  $\rightarrow$  *True* | *False* | *After* | *Loves* | *Raining* | ...

*Function*  $\rightarrow$  *Mother* | *LeftLeg* | ...

OPERATOR PRECEDENCE :  $\neg, =, \wedge, \vee, \Rightarrow, \Leftrightarrow$



# Syntax of FOL

Constants	<i>KingJohn, 2, UCB, ...</i>
Predicates	<i>Brother, &gt;, ...</i>
Functions	<i>Sqrt, LeftLegOf, ...</i>
Variables	<i>x, y, a, b, ...</i>
Connectives	$\wedge \vee \neg \Rightarrow \Leftrightarrow$
Equality	$=$
Quantifiers	$\forall \exists$

Atomic sentence =  $predicate(term_1, \dots, term_n)$   
or  $term_1 = term_2$

Term =  $function(term_1, \dots, term_n)$   
or constant or variable

E.g.,  $Brother(KingJohn, RichardTheLionheart)$   
 $> (Length(LeftLegOf(Richard)), Length(LeftLegOf(KingJohn)))$

# Syntax of FOL

Complex sentences are made from atomic sentences using connectives

$$\neg S, \quad S_1 \wedge S_2, \quad S_1 \vee S_2, \quad S_1 \Rightarrow S_2, \quad S_1 \Leftrightarrow S_2$$

E.g.  $Sibling(KingJohn, Richard) \Rightarrow Sibling(Richard, KingJohn)$

$$>(1, 2) \vee \leq(1, 2)$$

$$>(1, 2) \wedge \neg >(1, 2)$$

# Syntax of FOL

Sentences are true with respect to a model and an interpretation

Model contains  $\geq 1$  objects (domain elements) and relations among them

Interpretation specifies referents for

constant symbols  $\Rightarrow$  objects

predicate symbols  $\Rightarrow$  relations

function symbols  $\Rightarrow$  functional relations

An atomic sentence  $\textit{predicate}(\textit{term}_1, \dots, \textit{term}_n)$  is true  
iff the objects referred to by  $\textit{term}_1, \dots, \textit{term}_n$   
are in the relation referred to by  $\textit{predicate}$

# Syntax of FOL - Universal/Existential Quantification and Equality

$\forall \langle \text{variables} \rangle \langle \text{sentence} \rangle$

Everyone at Berkeley is smart:

$\forall x \text{ At}(x, \text{Berkeley}) \Rightarrow \text{Smart}(x)$

$\forall x P$  is equivalent to the conjunction of instantiations of  $P$

$\text{At}(\text{KingJohn}, \text{Berkeley}) \Rightarrow \text{Smart}(\text{KingJohn})$   
 $\wedge \text{At}(\text{Richard}, \text{Berkeley}) \Rightarrow \text{Smart}(\text{Richard})$   
 $\wedge \text{At}(\text{Berkeley}, \text{Berkeley}) \Rightarrow \text{Smart}(\text{Berkeley})$   
 $\wedge \dots$

Typically,  $\Rightarrow$  is the main connective with  $\forall$ .

Common mistake: using  $\wedge$  as the main connective with  $\forall$ :

$\forall x \text{ At}(x, \text{Berkeley}) \wedge \text{Smart}(x)$

means “Everyone is at Berkeley and everyone is smart”

$\exists \langle \text{variables} \rangle \langle \text{sentence} \rangle$

Someone at Stanford is smart:

$\exists x \text{ At}(x, \text{Stanford}) \wedge \text{Smart}(x)$

$\exists x P$  is equivalent to the disjunction of instantiations of  $P$

$\text{At}(\text{KingJohn}, \text{Stanford}) \wedge \text{Smart}(\text{KingJohn})$   
 $\vee \text{At}(\text{Richard}, \text{Stanford}) \wedge \text{Smart}(\text{Richard})$   
 $\vee \text{At}(\text{Stanford}, \text{Stanford}) \wedge \text{Smart}(\text{Stanford})$   
 $\vee \dots$

Typically,  $\wedge$  is the main connective with  $\exists$ .

Common mistake: using  $\Rightarrow$  as the main connective with  $\exists$ :

$\exists x \text{ At}(x, \text{Stanford}) \Rightarrow \text{Smart}(x)$

is true if there is anyone who is not at Stanford!

$\text{term}_1 = \text{term}_2$  is true under a given interpretation  
 if and only if  $\text{term}_1$  and  $\text{term}_2$  refer to the same object

$\text{Father}(\text{John}) = \text{Henry}$  implies  $\text{Father}(\text{John})$  and  $\text{Henry}$  refers to the same object

used with negation to insist two terms are not the same object

E.g., definition of (full) *Sibling* in terms of *Parent*:

$\forall x, y \text{ Sibling}(x, y) \Leftrightarrow [\neg(x = y) \wedge \exists m, f \neg(m = f) \wedge$   
 $\text{Parent}(m, x) \wedge \text{Parent}(f, x) \wedge \text{Parent}(m, y) \wedge \text{Parent}(f, y)]$

## **II. Knowledge Base Engineering**

# Creating a Knowledge Base

- ▶ Identify the Task
- ▶ Assemble the relevant knowledge
- ▶ Decide on a vocabulary of predicates, functions and constants
- ▶ Encode general knowledge about the domain (rules)
- ▶ Encode a description of the problem
- ▶ Pose queries to the inference procedure and get answers

# Examples for Encoding Knowledge/Rules

“One’s mother is one’s female parent”

$$\forall m, c \text{ Mother}(c) = m \Leftrightarrow \text{Female}(m) \wedge \text{Parent}(m, c)$$

“A sibling is another child of one’s parents”

$$\forall x, y \text{ Sibling}(x, y) \Leftrightarrow x \neq y \wedge \exists p \text{ Parent}(p, x) \wedge \text{Parent}(p, y)$$

“Wendy is female”

$$\text{Female}(\text{Wendy})$$

These are axioms

### **III. Inference by Propositionalization**



# Inference with FOL

- naïve idea: reduce to propositional logic

## Universal Instantiation

Every instantiation of a universally quantified sentence is entailed by it:

$$\frac{\forall v \alpha}{\text{SUBST}(\{v/g\}, \alpha)}$$

for any variable  $v$  and ground term  $g$

E.g.,  $\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$  yields

$$\begin{aligned} &\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John}) \\ &\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard}) \\ &\text{King}(\text{Father}(\text{John})) \wedge \text{Greedy}(\text{Father}(\text{John})) \Rightarrow \text{Evil}(\text{Father}(\text{John})) \end{aligned}$$

$UI$  can be applied several times to **add** new sentences; the new  $KB$  is logically equivalent to the old

$EI$  can be applied once to **replace** the existential sentence; the new  $KB$  is **not** equivalent to the old, but is satisfiable if the old  $KB$  was satisfiable

## Existential Instantiation

For any sentence  $\alpha$ , variable  $v$ , and constant symbol  $k$  that does not appear elsewhere in the knowledge base:

$$\frac{\exists v \alpha}{\text{SUBST}(\{v/k\}, \alpha)}$$

E.g.,  $\exists x \text{ Crown}(x) \wedge \text{OnHead}(x, \text{John})$  yields

$$\text{Crown}(C_1) \wedge \text{OnHead}(C_1, \text{John})$$

provided  $C_1$  is a new constant symbol, called a **Skolem constant**

Another example: from  $\exists x \text{ } d(x^y)/dy = x^y$  we obtain

$$d(e^y)/dy = e^y$$

provided  $e$  is a new constant symbol

# Example: Reduction to Propositional Logic

Suppose the KB contains just the following:

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

$\text{Greedy}(\text{John})$

$\text{Brother}(\text{Richard}; \text{John})$

Instantiating the universal sentence in all possible ways, we have

$\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John})$

$\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard})$

$\text{King}(\text{John})$

$\text{Greedy}(\text{John})$

$\text{Brother}(\text{Richard}; \text{John})$

The new KB is **propositionalized**: proposition symbols are

$\text{King}(\text{John}); \text{Greedy}(\text{John}); \text{Evil}(\text{John}); \text{King}(\text{Richard}) \text{ etc.}$

# Problem with Reduction

Claim: a ground sentence  $\star$  is entailed by new  $KB$  iff entailed by original  $KB$

Claim: every  $FOL KB$  can be propositionalized so as to preserve entailment

Idea: propositionalize  $KB$  and query, apply resolution, return result

Problem: with function symbols, there are infinitely many ground terms, e.g.,  $Father(Father(Father(John)))$

Theorem: Herbrand (1930). If a sentence  $\alpha$  is entailed by an  $FOL KB$ , it is entailed by a finite subset of the propositional  $KB$

Idea: *For*  $n = 0$  to  $\infty$  do

    create a propositional  $KB$  by instantiating with depth- $n$  terms

    see if  $\alpha$  is entailed by this  $KB$

Problem: works if  $\alpha$  is entailed, loops if  $\alpha$  is not entailed

Theorem: Turing (1936), Church (1936), entailment in FOL is **semidecidable**

Representation unlikely changes the complexity, this is because FOL expresses a more complicated world.

# Problem with Reduction

Propositionalization seems to generate lots of irrelevant sentences.  
E.g., from

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$   
 $\text{King}(\text{John})$   
 $\forall y \text{ Greedy}(y)$   
 $\text{Brother}(\text{Richard}; \text{John})$

it seems obvious that  $\text{Evil}(\text{John})$ , but propositionalization produces lots of facts such as  $\text{Greedy}(\text{Richard})$  that are irrelevant

With  $p$   $k$ -ary predicates and  $n$  constants, there are  $p \cdot n^k$  instantiations

With function symbols, it gets much much worse!

## **IV. Proof by Resolution**

# Unification

Propositionalization seems to generate lots of irrelevant sentences.  
E.g., from

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$   
 $\text{King}(\text{John})$   
 $\forall y \text{ Greedy}(y)$   
 $\text{Brother}(\text{Richard}; \text{John})$

it seems obvious that  $\text{Evil}(\text{John})$ , but propositionalization produces lots of facts such as  $\text{Greedy}(\text{Richard})$  that are irrelevant

With  $p$   $k$ -ary predicates and  $n$  constants, there are  $p \cdot n^k$  instantiations

With function symbols, it gets much much worse!

# Unification

We can get the inference immediately if we can find a substitution  $\theta$  such that *King*( $x$ ) and *Greedy*( $x$ ) match *King*(*john*) and *Greedy*( $y$ )

$\theta = \{x/\text{John}, y/\text{John}\}$  works

$\text{UNIFY}(\alpha, \beta) = \theta$  if  $\alpha\theta = \beta\theta$

Idea: Unify rule premises with known facts, apply unifier to conclusion

$p$	$q$	$\theta$
<i>Knows</i> ( <i>John</i> , $x$ )	<i>Knows</i> ( <i>John</i> , <i>Jane</i> )	$\{x/\text{Jane}\}$
<i>Knows</i> ( <i>John</i> , $x$ )	<i>Knows</i> ( $y$ , <i>OJ</i> )	$\{x/\text{OJ}, y/\text{John}\}$
<i>Knows</i> ( <i>John</i> , $x$ )	<i>Knows</i> ( $y$ , <i>Mother</i> ( $y$ ))	$\{y/\text{John}, x/\text{Mother}(\text{John})\}$
<i>Knows</i> ( <i>John</i> , $x$ )	<i>Knows</i> ( $x$ , <i>OJ</i> )	<i>fail</i>

Standardizing apart eliminates overlap of variables, e.g.,

*Knows*( $z_{17}$ , *OJ*)

# Unification

$$\frac{p_1', p_2', \dots, p_n', (p_1 \wedge p_2 \wedge \dots \wedge p_n \Rightarrow q)}{q\sigma} \quad \text{where } p_i'\sigma = p_i\sigma \text{ for all } i$$

E.g.  $p_1' = \text{Faster}(\text{Bob}, \text{Pat})$   
 $p_2' = \text{Faster}(\text{Pat}, \text{Steve})$   
 $p_1 \wedge p_2 \Rightarrow q = \text{Faster}(x, y) \wedge \text{Faster}(y, z) \Rightarrow \text{Faster}(x, z)$   
 $\sigma = \{x/\text{Bob}, y/\text{Pat}, z/\text{Steve}\}$   
 $q\sigma = \text{Faster}(\text{Bob}, \text{Steve})$

GMP used with KB of definite clauses (exactly one positive literal):  
either a single atomic sentence or

(conjunction of atomic sentences)  $\Rightarrow$  (atomic sentence)

All variables assumed universally quantified



# Resolution Inference Rule (again)

Entailment in first-order logic is only semidecidable:

can find a proof of  $\alpha$  if  $KB \models \alpha$

cannot always prove that  $KB \not\models \alpha$

Cf. Halting Problem: proof procedure may be about to terminate with success or failure, or may go on for ever

Resolution is a refutation procedure:

to prove  $KB \models \alpha$ , show that  $KB \wedge \neg\alpha$  is unsatisfiable

Resolution uses  $KB$ ,  $\neg\alpha$  in CNF (conjunction of clauses)

Resolution inference rule combines two clauses to make a new one:

Inference continues until an empty clause is derived (contradiction)

# Resolution Inference Rule (again)

Basic propositional version:

$$\frac{\alpha \vee \beta, \neg\beta \vee \gamma}{\alpha \vee \gamma} \quad \text{or equivalently} \quad \frac{\neg\alpha \Rightarrow \beta, \beta \Rightarrow \gamma}{\neg\alpha \Rightarrow \gamma}$$

Full first-order version:

$$\frac{\begin{array}{c} p_1 \vee \dots p_j \dots \vee p_m, \\ q_1 \vee \dots q_k \dots \vee q_n \end{array}}{(p_1 \vee \dots p_{j-1} \vee p_{j+1} \dots p_m \vee q_1 \dots q_{k-1} \vee q_{k+1} \dots \vee q_n)\sigma}$$

where  $p_j\sigma = \neg q_k\sigma$

For example,

$$\frac{\begin{array}{c} \neg Rich(x) \vee Unhappy(x) \\ Rich(Me) \end{array}}{Unhappy(Me)}$$

with  $\sigma = \{x/Me\}$

# Conversion to CNF

Everyone who loves all animals is loved by someone:

$$\forall x [\forall y \text{ Animal}(y) \Rightarrow \text{Loves}(x, y)] \Rightarrow [\exists y \text{ Loves}(y, x)]$$

1. Eliminate biconditionals and implications

$$\forall x [\neg \forall y \neg \text{Animal}(y) \vee \text{Loves}(x, y)] \vee [\exists y \text{ Loves}(y, x)]$$

2. Move  $\neg$  inwards:  $\neg \forall x, p \equiv \exists x \neg p$ ,  $\neg \exists x, p \equiv \forall x \neg p$ :

$$\forall x [\exists y \neg(\neg \text{Animal}(y) \vee \text{Loves}(x, y))] \vee [\exists y \text{ Loves}(y, x)]$$

$$\forall x [\exists y \neg \neg \text{Animal}(y) \wedge \neg \text{Loves}(x, y)] \vee [\exists y \text{ Loves}(y, x)]$$

$$\forall x [\exists y \text{ Animal}(y) \wedge \neg \text{Loves}(x, y)] \vee [\exists y \text{ Loves}(y, x)]$$

# Conversion to CNF

3. Standardize variables: each quantifier should use a different one

$$\forall x [\exists y \text{ Animal}(y) \wedge \neg \text{Loves}(x, y)] \vee [\exists z \text{ Loves}(z, x)]$$

4. Skolemize: a more general form of existential instantiation.  
Each existential variable is replaced by a **Skolem function** of the enclosing universally quantified variables:

$$\forall x [\text{Animal}(F(x)) \wedge \neg \text{Loves}(x, F(x))] \vee \text{Loves}(G(x), x)$$

5. Drop universal quantifiers:

$$[\text{Animal}(F(x)) \wedge \neg \text{Loves}(x, F(x))] \vee \text{Loves}(G(x), x)$$

6. Distribute  $\wedge$  over  $\vee$ :

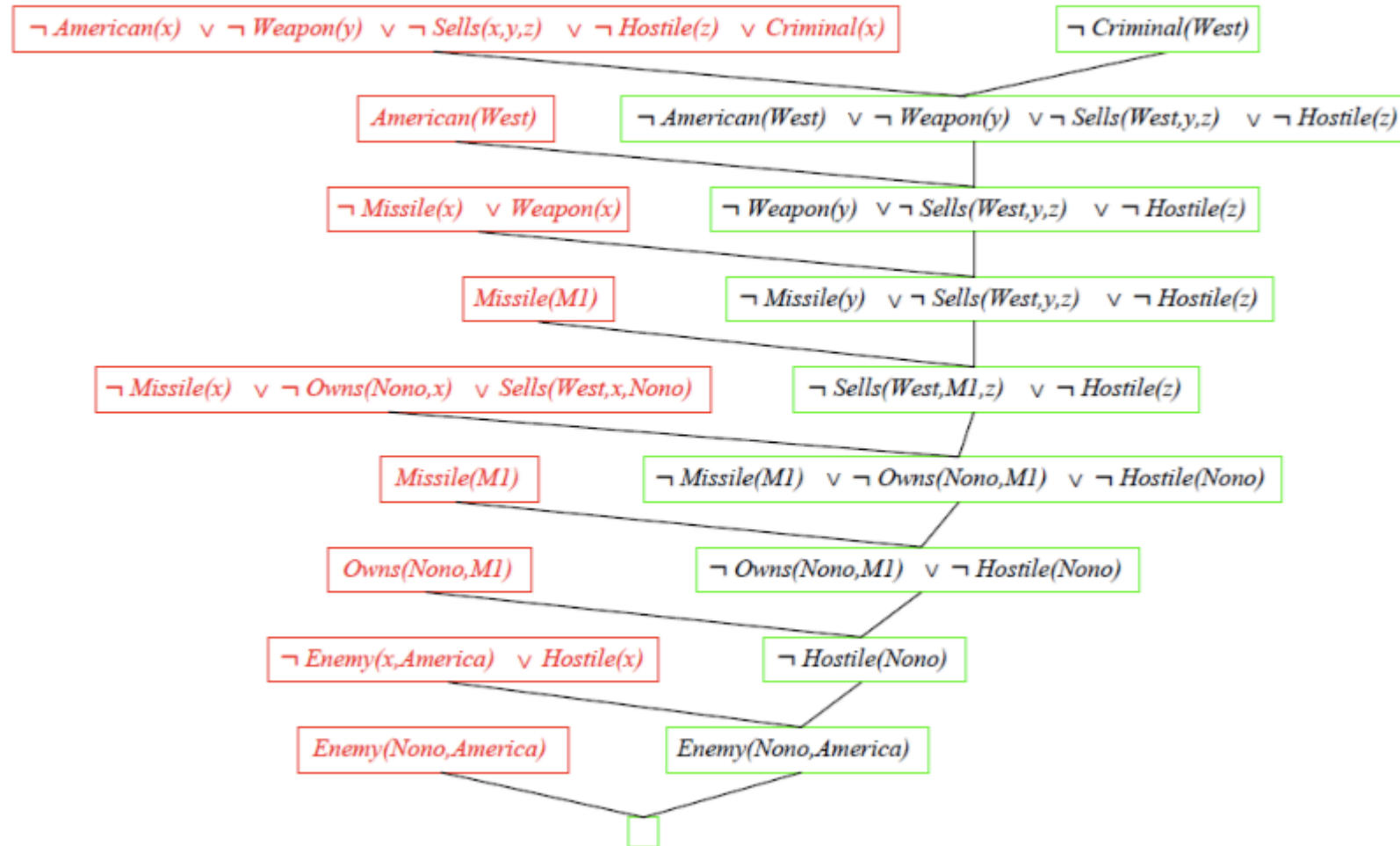
$$[\text{Animal}(F(x)) \vee \text{Loves}(G(x), x)] \wedge [\neg \text{Loves}(x, F(x)) \vee \text{Loves}(G(x), x)]$$

# Proof by Resolution (again)

To prove  $\alpha$ :

- negate it
- convert to CNF
- add to CNF KB
- infer contradiction

# Proof by Resolution (again)



# Summary

- Three ways for inference with FOL
  - Propositionalization
  - Unification
    - => Resolution
    - => Chaining Algorithms

To be continued