

Graphs with three eigenvalues

Jack Koolen

Joint work with Ximing Cheng and it is work in progress

School of Mathematical Sciences,
University of Science and Technology of China

Villanova University,
June 2, 2014

Outline

- 1 Introduction
 - Definitions
 - History
- 2 Theory
 - Basic Theory
- 3 Our results
 - Bound
 - Neumaier's result

Definitions

- Let $\Gamma = (V, E)$ be a graph.
- The **distance** $d(x, y)$ between two vertices x and y is the length of a shortest path connecting them.
- The maximum distance between two vertices in Γ is the **diameter** $D = D(\Gamma)$.
- The **valency** k_x of x is the number of vertices adjacent to it.
- A graph is **regular** with **valency** k if each vertex has k neighbours.

Definitions

- Let $\Gamma = (V, E)$ be a graph.
- The **distance** $d(x, y)$ between two vertices x and y is the length of a shortest path connecting them.
- The maximum distance between two vertices in Γ is the **diameter** $D = D(\Gamma)$.
- The **valency** k_x of x is the number of vertices adjacent to it.
- A graph is **regular** with **valency** k if each vertex has k neighbours.
- The **adjacency matrix** A of Γ is the matrix whose rows and columns are indexed by the vertices of Γ and the (x, y) -entry is 1 whenever x and y are adjacent and 0 otherwise.
- The **eigenvalues** of the graph Γ are the eigenvalues of A .

Strongly regular graphs

A **strongly regular graph** (SRG) with parameters (n, k, λ, μ) is a k -regular graph on n vertices such that

- each pair of adjacent vertices have λ common neighbours;
- each pair of distinct non-adjacent vertices have μ common neighbours

Strongly regular graphs

A **strongly regular graph** (SRG) with parameters (n, k, λ, μ) is a k -regular graph on n vertices such that

- each pair of adjacent vertices have λ common neighbours;
- each pair of distinct non-adjacent vertices have μ common neighbours

Examples

- The Petersen graph is a strongly regular graph with parameters $(10, 3, 0, 1)$.
- The line graph of a complete graph on t vertices $L(K_t)$ is a SRG $(t(t-1)/2, 2(t-2), t-2, 4)$.

Strongly regular graphs

A **strongly regular graph** (SRG) with parameters (n, k, λ, μ) is a k -regular graph on n vertices such that

- each pair of adjacent vertices have λ common neighbours;
- each pair of distinct non-adjacent vertices have μ common neighbours

Examples

- The Petersen graph is a strongly regular graph with parameters $(10, 3, 0, 1)$.
- The line graph of a complete graph on t vertices $L(K_t)$ is a SRG $(t(t-1)/2, 2(t-2), t-2, 4)$.
- The line graph of a complete bipartite graph $K_{t,t}$, $L(K_{t,t})$, is a SRG $(t^2, 2(t-1), t-2, 2)$.
- There are many more examples, coming from all parts in combinatorics.

Strongly regular graphs 2

A strongly regular graph has at most diameter two, and has at most three distinct eigenvalues. We can characterize the strongly regular graphs by this property.

Theorem

A connected regular graph Γ has at most three eigenvalues if and only if it is strongly regular.

Small number of distinct eigenvalues

Now we will discuss graphs with a small number of distinct eigenvalues. If Γ is a connected graph with t distinct eigenvalues then the diameter of Γ is bounded by $t - 1$. So a connected graph with at most two distinct eigenvalues is just a complete graph and hence is regular.

Small number of distinct eigenvalues

Now we will discuss graphs with a small number of distinct eigenvalues. If Γ is a connected graph with t distinct eigenvalues then the diameter of Γ is bounded by $t - 1$. So a connected graph with at most two distinct eigenvalues is just a complete graph and hence is regular. But connected graphs with three distinct eigenvalues do not have to be regular. For example the complete bipartite graph $K_{s,t}$ has distinct eigenvalues $\pm\sqrt{st}$ and 0.

Small number of distinct eigenvalues

Now we will discuss graphs with a small number of distinct eigenvalues. If Γ is a connected graph with t distinct eigenvalues then the diameter of Γ is bounded by $t - 1$. So a connected graph with at most two distinct eigenvalues is just a complete graph and hence is regular. But connected graphs with three distinct eigenvalues do not have to be regular. For example the complete bipartite graph $K_{s,t}$ has distinct eigenvalues $\pm\sqrt{st}$ and 0.

Also the cone over the Petersen graph (i.e. you add a new vertex and join the new vertex with all the other vertices) is a non-regular graph with exactly three distinct eigenvalues.

Outline

- 1 Introduction
 - Definitions
 - History
- 2 Theory
 - Basic Theory
- 3 Our results
 - Bound
 - Neumaier's result

History

- In 1970 M. Doob asked to study graphs with a small number of distinct eigenvalues.

History

- In 1970 M. Doob asked to study graphs with a small number of distinct eigenvalues.
- In 1979 and 1981 Bridges and Mena constructed infinite many examples of graphs with exactly three distinct eigenvalues. They constructed mainly cones over strongly regular graphs.

History

- In 1970 M. Doob asked to study graphs with a small number of distinct eigenvalues.
- In 1979 and 1981 Bridges and Mena constructed infinite many examples of graphs with exactly three distinct eigenvalues. They constructed mainly cones over strongly regular graphs.
- In 1995 W. Haemers asked to construct new families of connected graphs with exactly three distinct eigenvalues. (He was unaware of the papers by Bridges and Mena).

History

- In 1970 M. Doob asked to study graphs with a small number of distinct eigenvalues.
- In 1979 and 1981 Bridges and Mena constructed infinite many examples of graphs with exactly three distinct eigenvalues. They constructed mainly cones over strongly regular graphs.
- In 1995 W. Haemers asked to construct new families of connected graphs with exactly three distinct eigenvalues. (He was unaware of the papers by Bridges and Mena).
- In 1998 Muzychuk and Klin gave more examples of such graphs.

History

- In 1970 M. Doob asked to study graphs with a small number of distinct eigenvalues.
- In 1979 and 1981 Bridges and Mena constructed infinite many examples of graphs with exactly three distinct eigenvalues. They constructed mainly cones over strongly regular graphs.
- In 1995 W. Haemers asked to construct new families of connected graphs with exactly three distinct eigenvalues. (He was unaware of the papers by Bridges and Mena).
- In 1998 Muzychuk and Klin gave more examples of such graphs.
- In 1998 E. van Dam gave the basic theory for such graphs, and also give some new examples. Also he classified the graphs with exactly three distinct eigenvalues having smallest eigenvalue at least -2 .

Outline

- 1 Introduction
 - Definitions
 - History
- 2 Theory
 - Basic Theory
- 3 Our results
 - Bound
 - Neumaier's result

Basic theory

Our motivation is how much of the theory for strongly graphs can be generalised to connected graphs with exactly three distinct eigenvalues. We start with some basic theory.

Basic theory

Our motivation is how much of the theory for strongly graphs can be generalised to connected graphs with exactly three distinct eigenvalues. We start with some basic theory.

- Let Γ be a connected graph with exactly three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$.

Basic theory

Our motivation is how much of the theory for strongly graphs can be generalised to connected graphs with exactly three distinct eigenvalues. We start with some basic theory.

- Let Γ be a connected graph with exactly three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$.
- Then by the Perron-Frobenius Theorem θ_0 has multiplicity one.

Basic theory

Our motivation is how much of the theory for strongly graphs can be generalised to connected graphs with exactly three distinct eigenvalues. We start with some basic theory.

- Let Γ be a connected graph with exactly three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$.
- Then by the Perron-Frobenius Theorem θ_0 has multiplicity one.
- Let A be the adjacency matrix of Γ .
- As $B := (A - \theta_1 I)(A - \theta_2 I)$ has rank 1 and is positive semi-definite we have $B = \mathbf{x}\mathbf{x}^T$ for some eigenvector \mathbf{x} of A corresponding to eigenvalue θ_0 .

Basic theory

Our motivation is how much of the theory for strongly graphs can be generalised to connected graphs with exactly three distinct eigenvalues. We start with some basic theory.

- Let Γ be a connected graph with exactly three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$.
- Then by the Perron-Frobenius Theorem θ_0 has multiplicity one.
- Let A be the adjacency matrix of Γ .
- As $B := (A - \theta_1 I)(A - \theta_2 I)$ has rank 1 and is positive semi-definite we have $B = \mathbf{x}\mathbf{x}^T$ for some eigenvector \mathbf{x} of A corresponding to eigenvalue θ_0 .
- By looking at the uv entries of B , this gives $k_u = -\theta_1\theta_2 + x_u^2$ for u a vertex,
- $\lambda_{uv} = \theta_1 + \theta_2 + x_u x_v$, for $u \sim v$,
- $\mu_{xy} = x_u x_v$ for u and v non-adjacent.

A result of Van Dam

Theorem (Van Dam)

Let Γ be a connected non-regular graph with three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$. Then the following hold:

- Γ has diameter two.

A result of Van Dam

Theorem (Van Dam)

Let Γ be a connected non-regular graph with three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$. Then the following hold:

- Γ has diameter two.
- If θ_0 is not an integer, then Γ is complete bipartite.

A result of Van Dam

Theorem (Van Dam)

Let Γ be a connected non-regular graph with three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$. Then the following hold:

- Γ has diameter two.
- If θ_0 is not an integer, then Γ is complete bipartite.
- $\theta_1 \geq 0$ with equality if and only if Γ is complete bipartite.
- $\theta_2 \leq -\sqrt{2}$ with equality if and only if Γ is the path of length 2.

A result of Van Dam

Theorem (Van Dam)

Let Γ be a connected non-regular graph with three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$. Then the following hold:

- Γ has diameter two.
- If θ_0 is not an integer, then Γ is complete bipartite.
- $\theta_1 \geq 0$ with equality if and only if Γ is complete bipartite.
- $\theta_2 \leq -\sqrt{2}$ with equality if and only if Γ is the path of length 2.

From now on we will assume $\theta_1 > 0$ and hence θ_0 is an integer.

Outline

- 1 Introduction
 - Definitions
 - History
- 2 Theory
 - Basic Theory
- 3 Our results
 - Bound
 - Neumaier's result

A bound on the number of vertices

Lemma

Let Γ be a non-regular connected graph with exactly three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$. Let $u \sim v$ with $k_u < k_v$. Then $k_u \geq \lambda_{uv} + 1$. This gives $x_v - 1 \leq x_u(x_v - x_u) \leq -\theta_1\theta_2 + \theta_1 + \theta_2$, and hence $x_v \leq -(\theta_1 + 1)(\theta_2 + 1)$.

A bound on the number of vertices

Lemma

Let Γ be a non-regular connected graph with exactly three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$. Let $u \sim v$ with $k_u < k_v$. Then $k_u \geq \lambda_{uv} + 1$. This gives $x_v - 1 \leq x_u(x_v - x_u) \leq -\theta_1\theta_2 + \theta_1 + \theta_2$, and hence $x_v \leq -(\theta_1 + 1)(\theta_2 + 1)$.

This implies:

Proposition

Let Γ be a non-regular connected graph on n vertices with three distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ with respective multiplicities 1, m_1, m_2 . Let Δ be the maximal valency in Γ and let

$\ell := \min\{1 - (\theta_1 + 1)(\theta_2 + 1), -\theta_1\theta_2 + 1\}$. Then the following hold:

- ① $\Delta \leq (1 - (\theta_1 + 1)(\theta_2 + 1))^2 - \theta_1\theta_2$;
- ② If $\Delta \neq n - 1$ and $\theta_1 \neq 0$, then $\Delta \leq \ell^2 - \theta_1\theta_2$;
- ③ $n \leq \max\{(\ell^2 - \theta_1\theta_2 - 1)^2 + 1, (1 - (\theta_1 + 1)(\theta_2 + 1))^2 - \theta_1\theta_2 + 1\}$.

Outline

- 1 Introduction
 - Definitions
 - History
- 2 Theory
 - Basic Theory
- 3 Our results
 - Bound
 - Neumaier's result

Neumaier's Theorem

Neumaier (1979) showed the following result.

Neumaier's Theorem

Let m be a positive integer. Let Γ be a connected and coconnected (i.e. the complement is connected) strongly regular graph with minimal eigenvalue $-m$. Then either the number of vertices is bounded by a function in m , or Γ belongs to one of two infinite (one parameter) families of strongly regular graphs (and we know how to construct all of them if the number of vertices is large enough)

Neumaier's Theorem

Neumaier (1979) showed the following result.

Neumaier's Theorem

Let m be a positive integer. Let Γ be a connected and coconnected (i.e. the complement is connected) strongly regular graph with minimal eigenvalue $-m$. Then either the number of vertices is bounded by a function in m , or Γ belongs to one of two infinite (one parameter) families of strongly regular graphs (and we know how to construct all of them if the number of vertices is large enough)

How can we generalize this result to graphs with three distinct eigenvalues?

Neumaier's Theorem 2

Question 1:

Let m be a positive integer.

- Are there only finitely many non-regular connected graphs with distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ such that $0 < \theta_1 \leq m$?
- Are there only finitely many non-regular connected graphs with distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ such that $\theta_2 \geq -m$?

Neumaier's Theorem 2

Question 1:

Let m be a positive integer.

- Are there only finitely many non-regular connected graphs with distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ such that $0 < \theta_1 \leq m$?
- Are there only finitely many non-regular connected graphs with distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ such that $\theta_2 \geq -m$?
- Our bound on the number of vertices implies that the conjecture is true if the graphs have a non-integral eigenvalue.

Neumaier's Theorem 2

Question 1:

Let m be a positive integer.

- Are there only finitely many non-regular connected graphs with distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ such that $0 < \theta_1 \leq m$?
 - Are there only finitely many non-regular connected graphs with distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ such that $\theta_2 \geq -m$?
-
- Our bound on the number of vertices implies that the conjecture is true if the graphs have a non-integral eigenvalue.
 - Van Dam showed that (ii) is true for smallest eigenvalue -2 .

Neumaier's Theorem 2

Question 1:

Let m be a positive integer.

- Are there only finitely many non-regular connected graphs with distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ such that $0 < \theta_1 \leq m$?
 - Are there only finitely many non-regular connected graphs with distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ such that $\theta_2 \geq -m$?
-
- Our bound on the number of vertices implies that the conjecture is true if the graphs have a non-integral eigenvalue.
 - Van Dam showed that (ii) is true for smallest eigenvalue -2 .
 - We were able to show that the answer to the first part of the question for non-regular graphs with exactly three distinct eigenvalues and exactly two different valencies is positive.

Neumaier's Theorem 2

Question 1:

Let m be a positive integer.

- Are there only finitely many non-regular connected graphs with distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ such that $0 < \theta_1 \leq m$?
 - Are there only finitely many non-regular connected graphs with distinct eigenvalues $\theta_0 > \theta_1 > \theta_2$ such that $\theta_2 \geq -m$?
-
- Our bound on the number of vertices implies that the conjecture is true if the graphs have a non-integral eigenvalue.
 - Van Dam showed that (ii) is true for smallest eigenvalue -2 .
 - We were able to show that the answer to the first part of the question for non-regular graphs with exactly three distinct eigenvalues and exactly two different valencies is positive.
 - Note that the answer for the question (i) is negative if you allow four distinct eigenvalues as the friendship graphs show.

Note that we only have a very few examples with more than two valencies and all the known examples have at most three different valencies.

Note that we only have a very few examples with more than two valencies and all the known examples have at most three different valencies.

This leads to:

Question 2:

Is it true that a connected graph with exactly three distinct eigenvalues has at most 3 different valencies?

Note that we only have a very few examples with more than two valencies and all the known examples have at most three different valencies.

This leads to:

Question 2:

Is it true that a connected graph with exactly three distinct eigenvalues has at most 3 different valencies?

This was shown for cones, i.e. graphs with a vertex of valency $n - 1$.

Note that we only have a very few examples with more than two valencies and all the known examples have at most three different valencies.

This leads to:

Question 2:

Is it true that a connected graph with exactly three distinct eigenvalues has at most 3 different valencies?

This was shown for cones, i.e. graphs with a vertex of valency $n - 1$. We end with a challenge.

Challenge:

Construct more connected non-regular graphs with three distinct eigenvalues.

Thank you for your attention.