

CS447: Natural Language Processing

<http://courses.engr.illinois.edu/cs447>

Lecture 20:

Expressive grammars

Julia Hockenmaier

juliahmr@illinois.edu

3324 Siebel Center

Projects and Literature Reviews

First report due Nov 15

(PDF written in LaTeX; no length restrictions;
submission through Compass)

Purpose of this first report:

Check-in to make sure that you're on track
(or, if not, that we can spot problems)

Get feedback from your peers

Rubrics for the *final* reports (due on Reading Day):

<https://courses.engr.illinois.edu/CS447/LiteratureReviewRubric.pdf>

<https://courses.engr.illinois.edu/CS447/FinalProjectRubric.pdf>

Projects and Literature Reviews

Guidelines for first **Project Report**:

What is your project about?

What are the relevant papers you are building on?

What data are you using?

What evaluation metric will you be using?

What models will you implement/evaluate?

What is your to-do list?

Guidelines for first **Literature Review Report**:

What is your literature review about?

(What task or what kind of models?

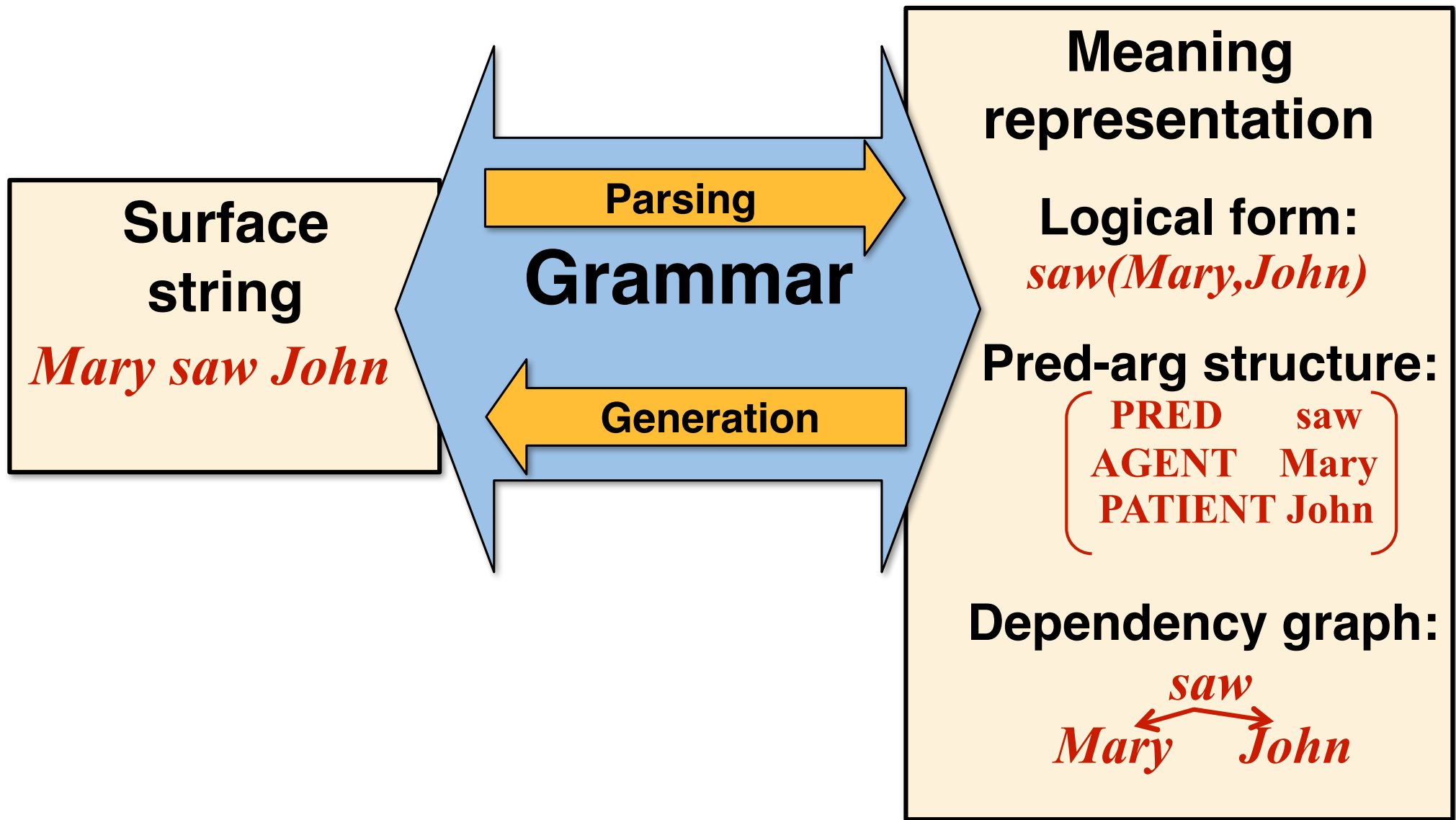
Do you have any specific questions or focus?)

What are the papers you will review?

(If you already have it, give a brief summary of each of them)

What's your to-do list?

Why grammar?



Grammar formalisms

Formalisms provide a **language** in which linguistic theories can be expressed and implemented

Formalisms define **elementary objects** (trees, strings, feature structures) and **recursive operations** which generate complex objects from simple objects.

Formalisms may impose **constraints** (e.g. on the kinds of dependencies they can capture)

How do grammar formalisms differ?

Formalisms define different **representations**

Tree-adjoining Grammar (TAG):

Fragments of phrase-structure trees

Lexical-functional Grammar (LFG):

Annotated phrase-structure trees (c-structure)
linked to feature structures (f-structure)

Combinatory Categorical Grammar (CCG):

Syntactic categories paired with meaning representations

Head-Driven Phrase Structure Grammar (HPSG):

Complex feature structures (Attribute-value matrices)

The dependencies so far:

Arguments:

Verbs take arguments: subject, object, complements, ...

Heads subcategorize for their arguments

Adjuncts/Modifiers:

Adjectives modify nouns, adverbs modify VPs or adjectives,
PPs modify NPs or VPs

Modifiers subcategorize for the head

Typically, these are *local* dependencies: they can be expressed *within individual CFG rules*

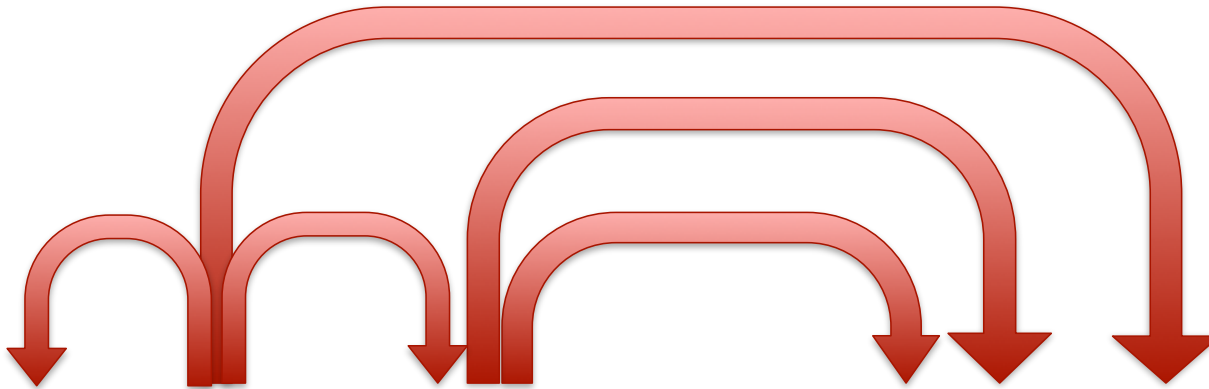


Context-free grammars

CFGs capture only **nested** dependencies

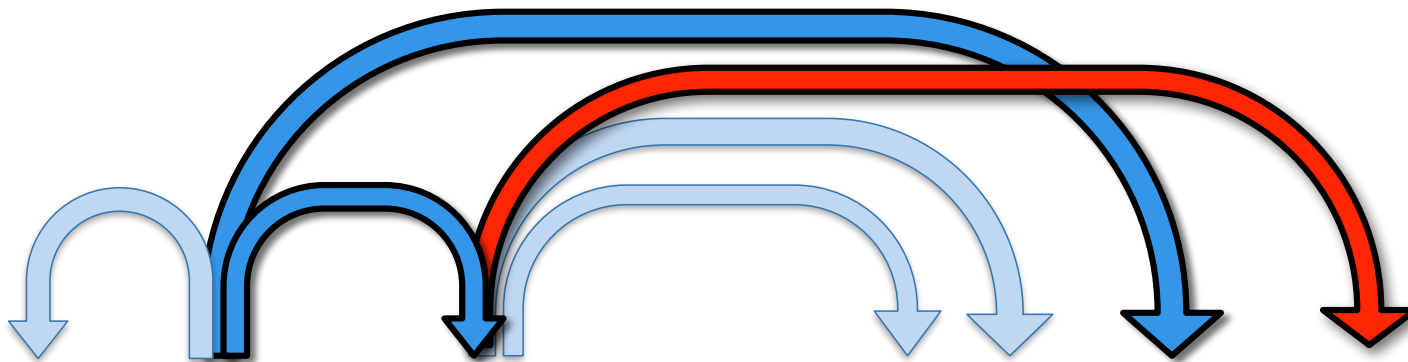
The dependency graph is a **tree**

The dependencies **do not cross**



Beyond CFGs: Nonprojective dependencies

Dependencies form a **tree with crossing branches**



Non-projective dependencies

(Non-local) scrambling: In a sentence with multiple verbs, the argument of a verb appears in a different clause from that which contains the verb (arises in languages with freer word order than English)

Die Pizza hat Klaus versprochen zu *bringen*

The *pizza* has Klaus promised to *bring*

Klaus has promised to *bring the pizza*

Extraposition: Here, a modifier of the subject NP is moved to the end of the sentence

The *guy* is coming *who is wearing a hat*

Compare with the non-extrapolated variant

The *[guy [who is wearing a hat]]* is coming

Topicalization: Here, the argument of the embedded verb is moved to the front of the sentence.

Cheeseburgers, I [thought [he *likes*]]

Beyond CFGs:

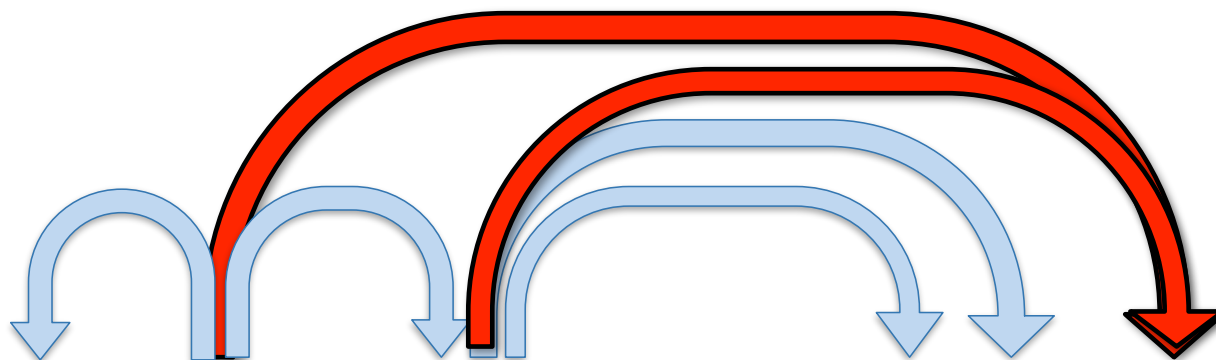
Nonlocal dependencies

Dependencies form a **DAG**

(a node may have **multiple incoming edges**)

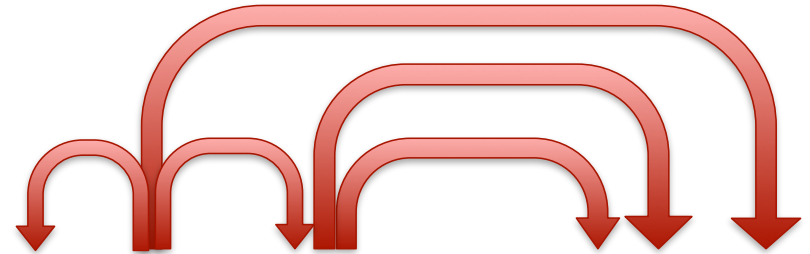
Arise in the following constructions:

- **Control** (*He has **promised** me to **go***), **raising** (*He **seems** to **go***)
- **Wh-movement** (*the **man** who you **saw** yesterday **is** here again*),
- **Non-constituent** coordination
(right-node raising, gapping, argument-cluster coordination)

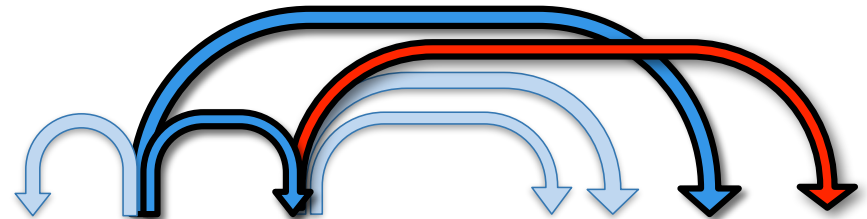


Dependency structures

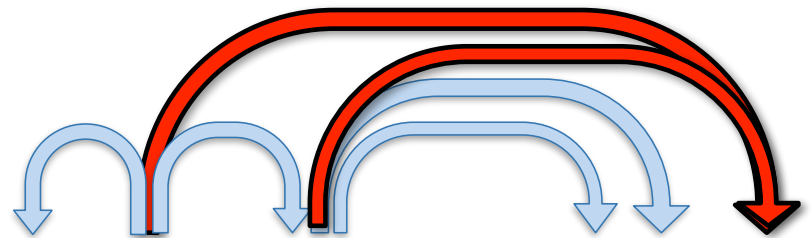
Nested (projective)
dependency trees
(CFGs)



Non-projective
dependency trees



Non-local dependency
graphs



Non-local dependencies

Long-range dependencies

Bounded long-range dependencies:

Limited distance between the head and argument

Unbounded long-range dependencies:

Arbitrary distance (within the same sentence)
between the head and argument

Unbounded long-range dependencies cannot (in general) be represented with CFGs.

Chomsky's solution:

Add null elements (and co-indexation)

Unbounded nonlocal dependencies

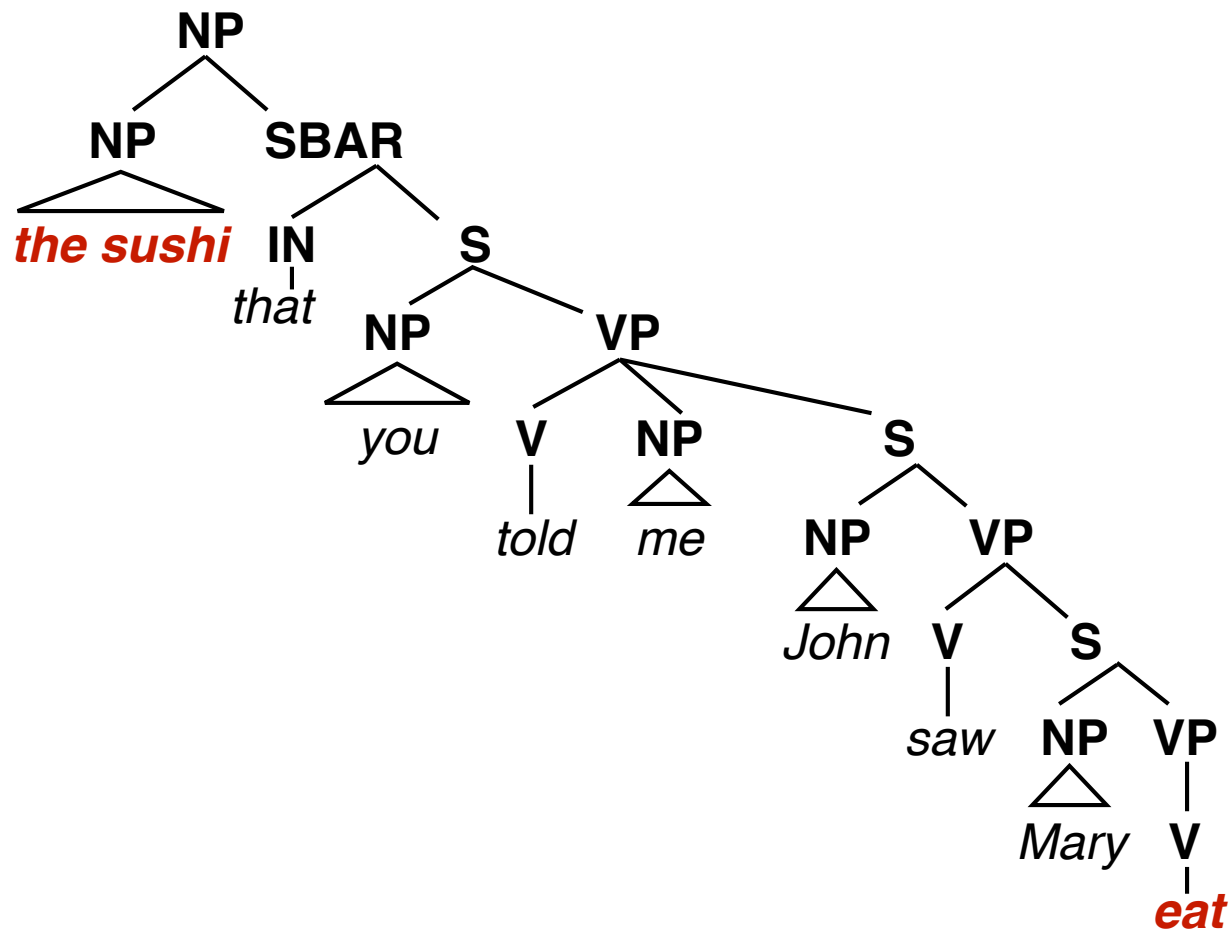
Wh-questions and relative clauses contain **unbounded nonlocal dependencies**, where the missing NP may be arbitrarily deeply embedded:

‘the sushi that [you told me [John saw [Mary eat]]]’

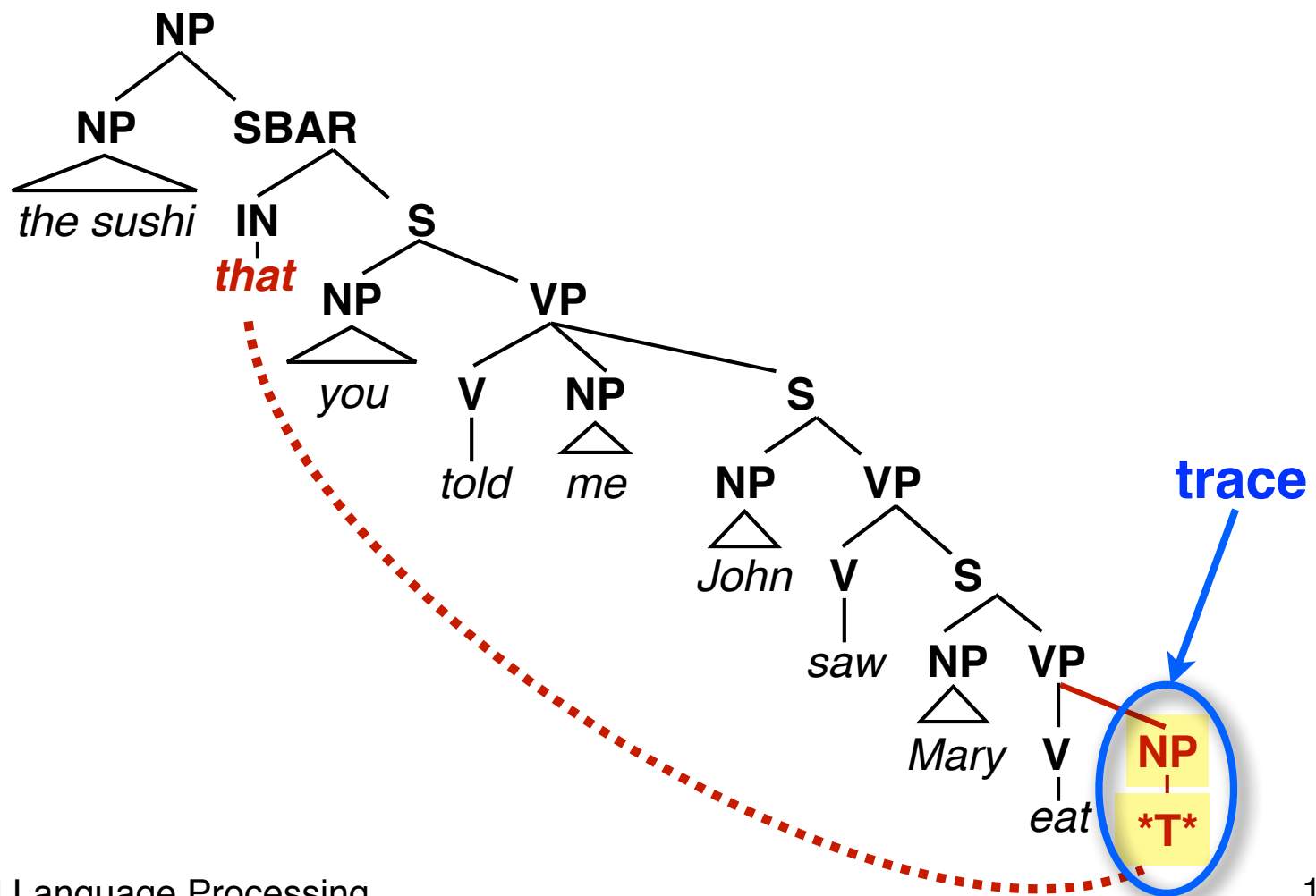
‘what [did you tell me [John saw [Mary eat]]]?’

Linguists call this phenomenon **wh-extraction** (wh-movement).

Non-local dependencies in *wh*-extraction



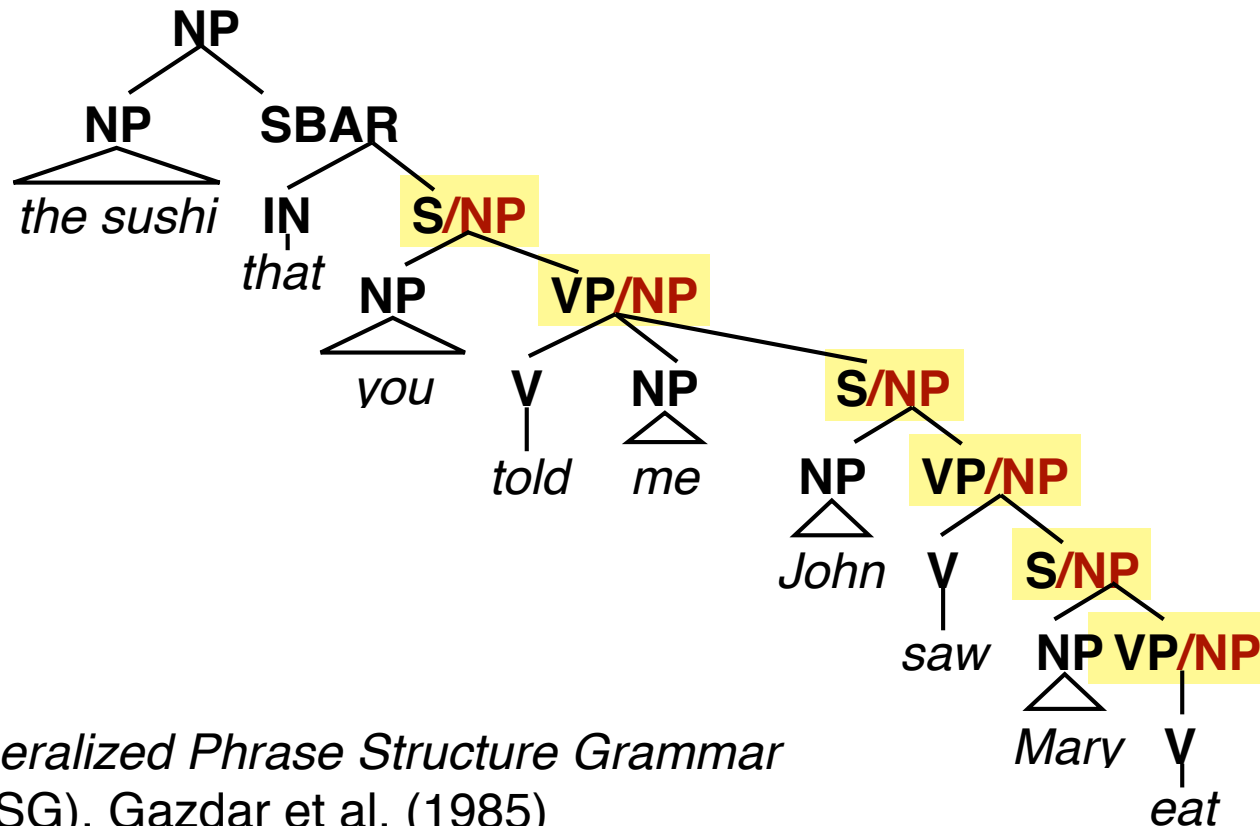
The trace analysis of *wh*-extraction



Slash categories for wh-extraction

Because only one element can be extracted, we can use **slash categories**.

This is still a CFG: the set of nonterminals is finite.

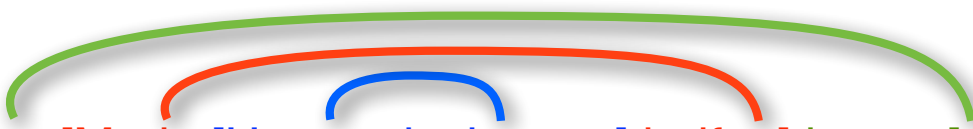


Generalized Phrase Structure Grammar
(GPSG), Gazdar et al. (1985)

German: center embedding

...daß ich [Hans schwimmen] sah
...that I Hans swim saw
...that I saw [Hans swim]

...daß ich [Maria [Hans schwimmen] helfen] sah
...that I Maria Hans swim help saw
...that I saw [Mary help [Hans swim]]



...daß ich [Anna [Maria [Hans schwimmen] helfen] lassen] sah
...that I Anna Maria Hans swim help let saw
...that I saw [Anna let [Mary help [Hans swim]]]

The diagram illustrates the nested structure of the sentence using three colored arcs: a blue arc connects 'Hans' and 'schwimmen'; a red arc connects 'Maria' and 'helfen'; and a green arc connects 'Anna' and 'lassen'. These arcs are nested, with the blue arc being the innermost and the green arc being the outermost, visually representing the center-embedding structure.

Dutch: cross-serial dependencies

...dat ik Hans zag zwemmen

...that I Hans saw swim

...that I saw [Hans swim]

...dat ik Maria Hans zag helpen zwemmen

...that I Maria Hans saw help swim

...that I saw [Mary help [Hans swim]]

...dat ik Anna Maria Hans zag laten helpen zwemmen



The diagram illustrates cross-serial dependencies in the sentence "...dat ik Anna Maria Hans zag laten helpen zwemmen". Colored arcs connect words across the sentence: a green arc connects "Anna" to "laten", a red arc connects "Maria" to "helpen", and a blue arc connects "Hans" to "zwemmen".

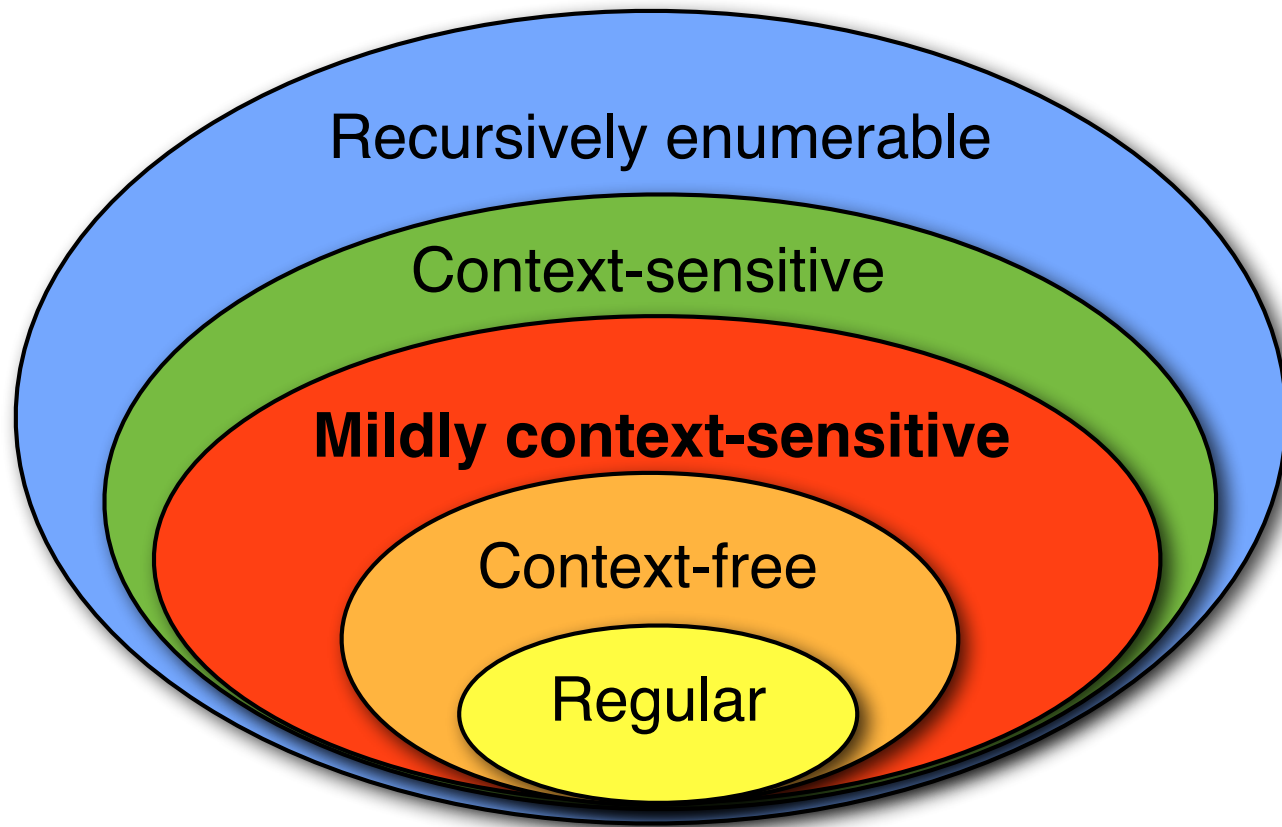
...that I Anna Maria Hans saw let help swim

...that I saw [Anna let [Mary help [Hans swim]]]

Such **cross-serial** dependencies require
mildly context-sensitive grammars

Two mildly context-sensitive formalisms: TAG and CCG

The Chomsky Hierarchy



Mildly context-sensitive grammars

Contain all context-free grammars/languages

Can be **parsed in polynomial time** (TAG/CCG: $O(n^6)$)

(*Strong* generative capacity) capture certain kinds of dependencies: **nested** (like CFGs) and **cross-serial** (like the Dutch example), but not the MIX language:

MIX: the set of strings $w \in \{a, b, c\}^*$ that contain equal numbers of *as*, *bs* and *cs*

Have the **constant growth** property:

the length of strings grows in a linear way

The power-of-2 language $\{a^{2^n}\}$ does not have the constant growth property.

TAG and CCG are lexicalized formalisms

The lexicon:

- pairs words with elementary objects
- specifies all language-specific information (e.g. subcategorization information)

The grammatical operations:

- are universal
- define (and impose constraints on) recursion.

Tree-Adjoining Grammar

(Lexicalized) Tree-Adjoining Grammar

TAG is a tree-rewriting formalism:

TAG defines operations (**substitution**, **adjunction**) on trees.

The **elementary objects** in TAG are trees (not strings)

TAG is lexicalized:

Each elementary tree is **anchored** to a lexical item (word)

“**Extended domain of locality**”:

The elementary tree contains all arguments of the anchor.

TAG requires a linguistic theory which specifies the shape of these elementary trees.

TAG is mildly context-sensitive:

can capture Dutch cross-serial dependencies

but is still efficiently parseable

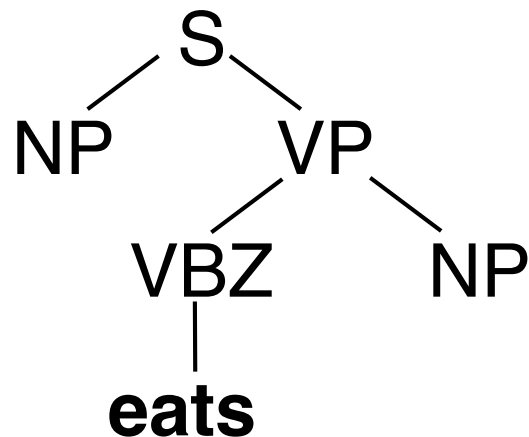
AK Joshi and Y Schabes (1996)
Tree Adjoining Grammars.
In G. Rosenberg and A. Salomaa,
Eds., Handbook of Formal
Language 26

Extended domain of locality

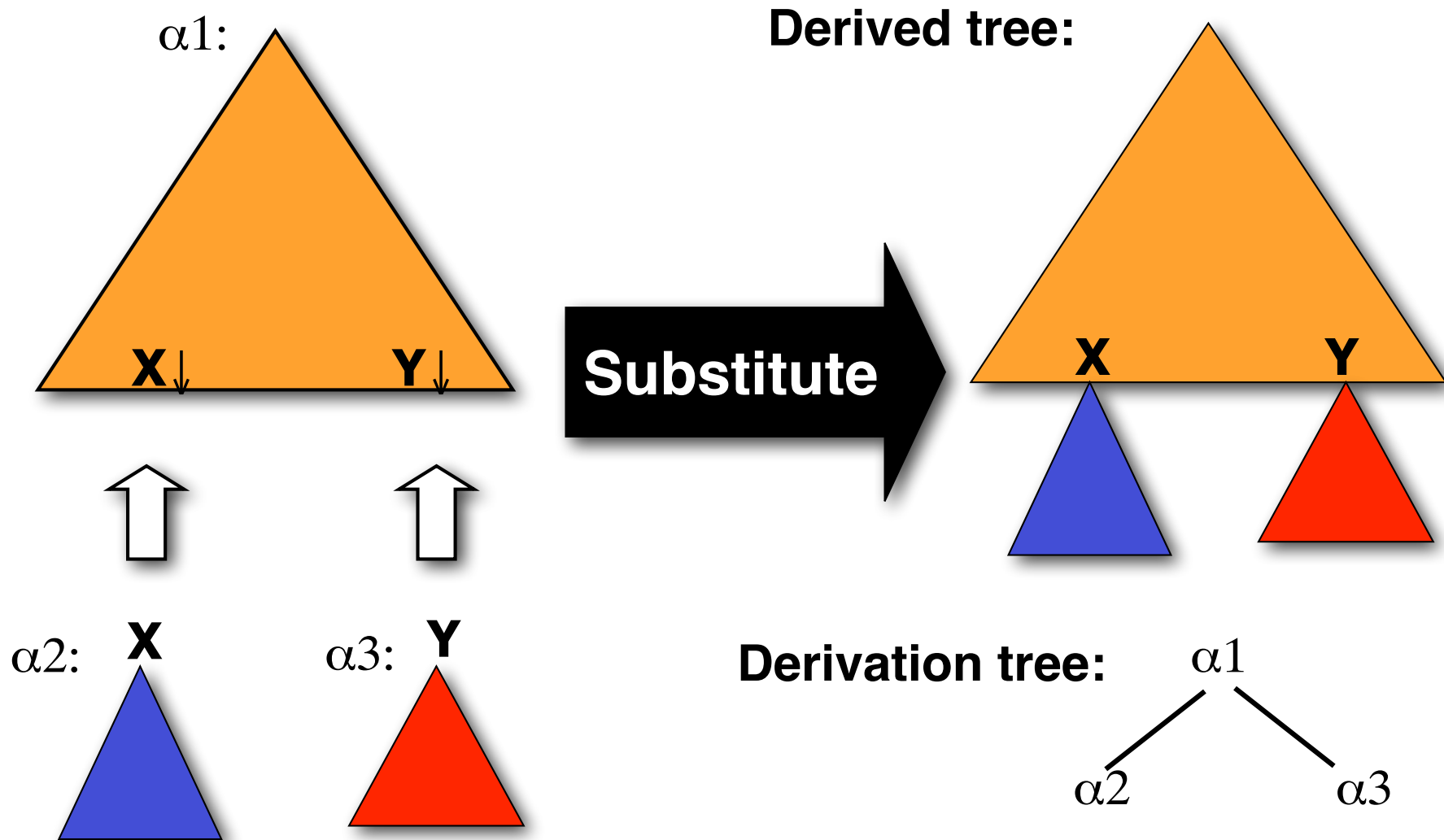
We want to capture **all arguments of a word** in a **single elementary object**.

We also want to retain certain syntactic structures (e.g. VPs).

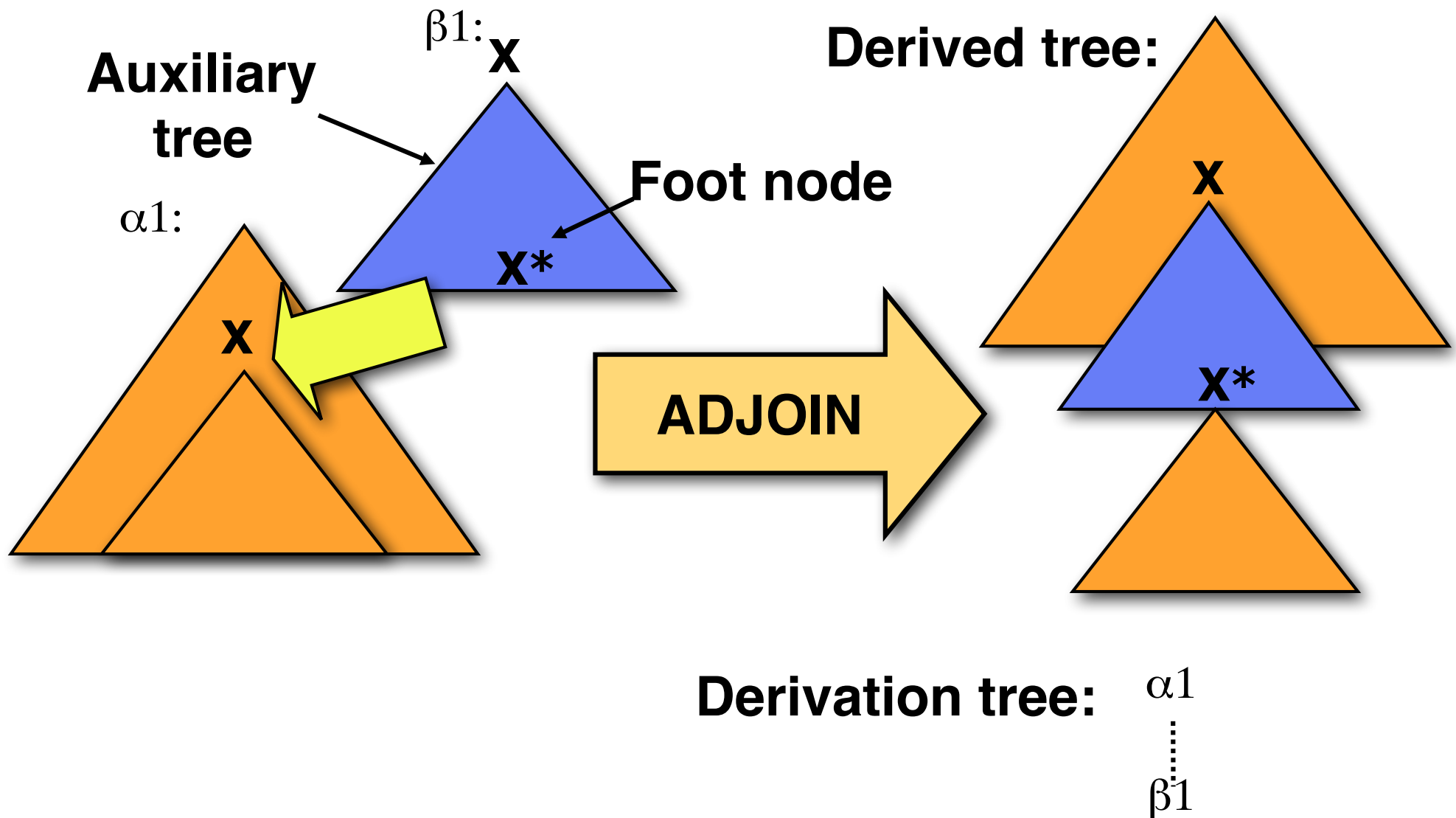
Our elementary objects are tree fragments:



TAG substitution (arguments)

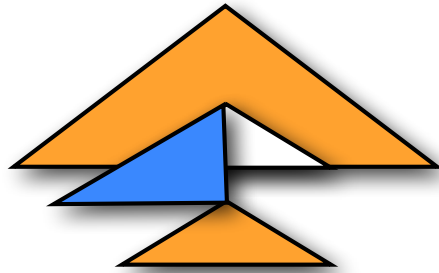


TAG adjunction

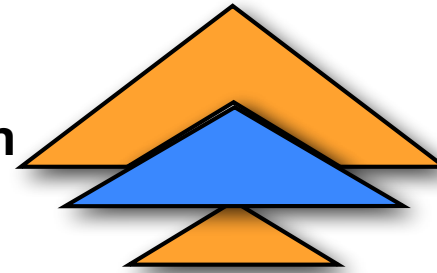


The effect of adjunction

TIG:
sister
adjunction



TAG:
wrapping
adjunction



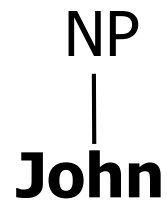
No adjunction: TSG (Tree substitution grammar)
TSG is context-free

Sister adjunction: TIG (Tree insertion grammar)
TIG is also context-free, but has a linguistically more adequate treatment of modifiers

Wrapping adjunction: TAG (Tree-adjoining grammar)
TAG is mildly context-sensitive

A small TAG lexicon

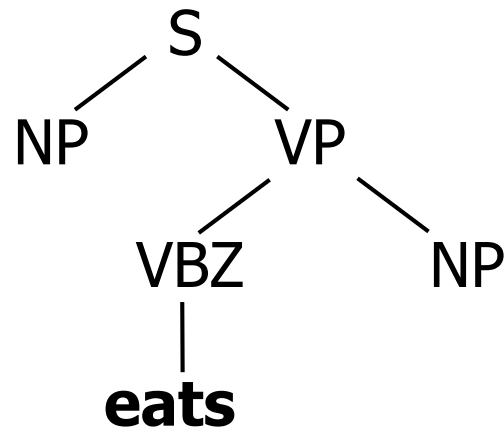
α_2 :



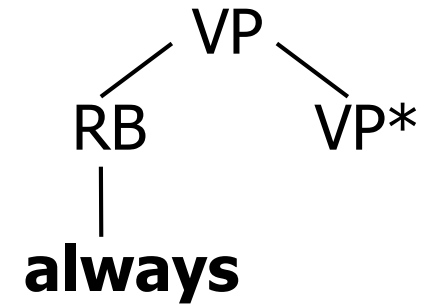
α_3 :



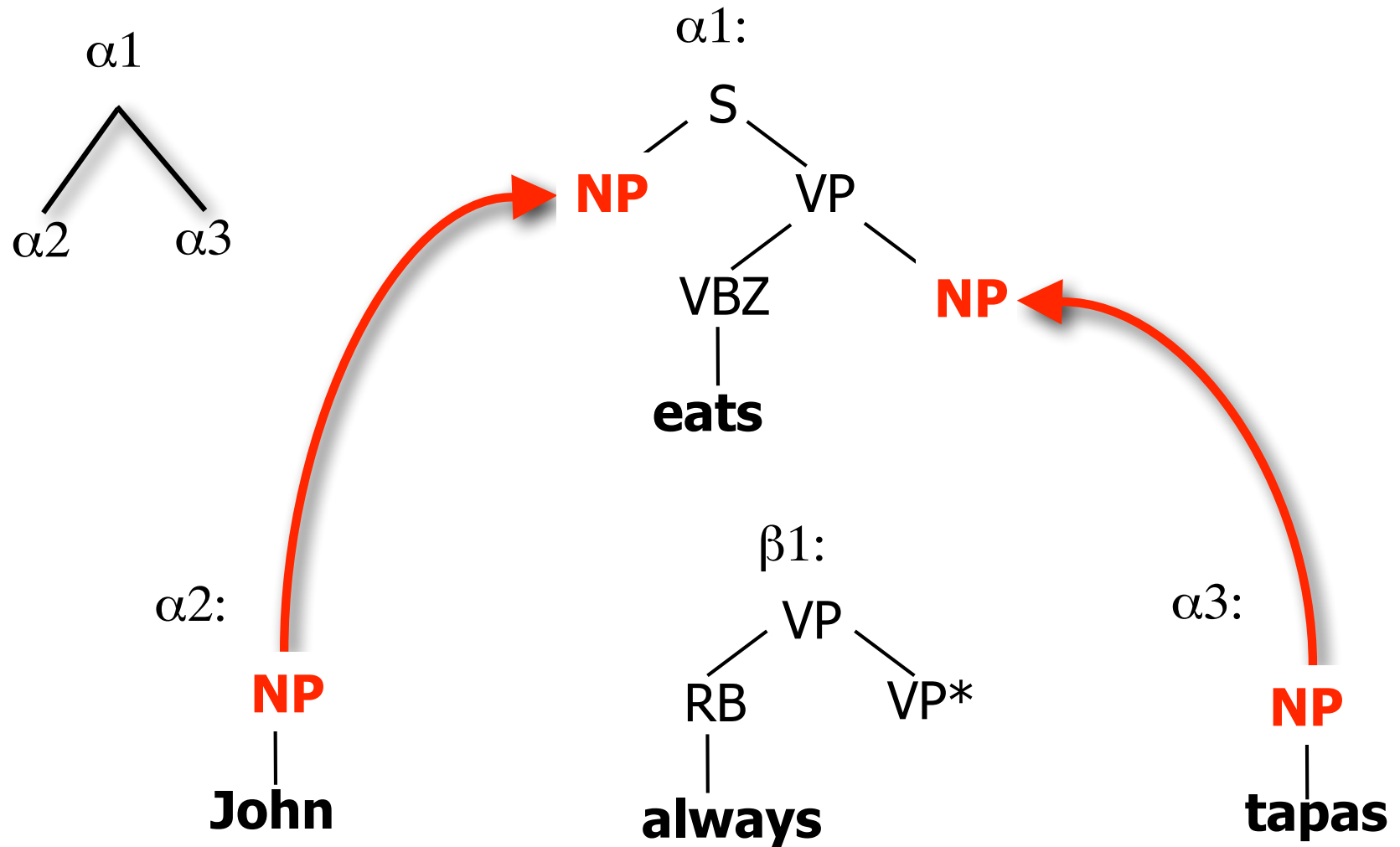
α_1 :



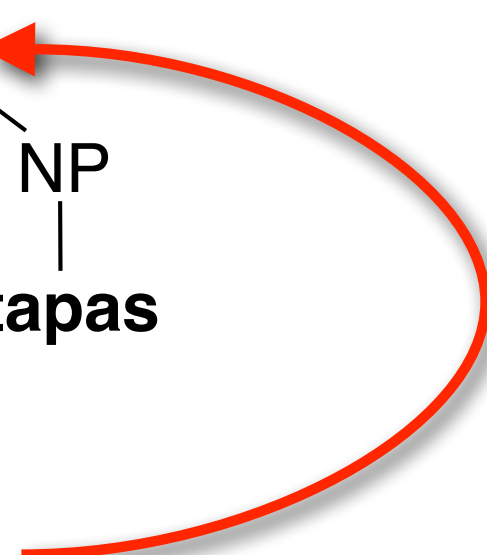
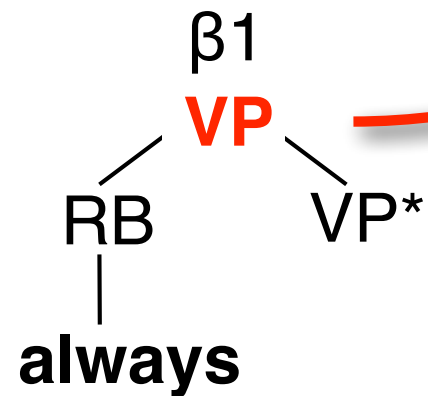
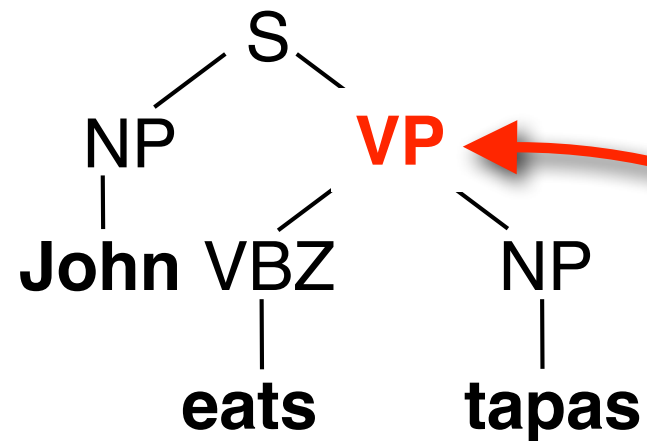
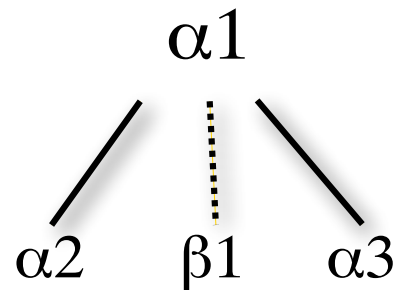
β_1 :



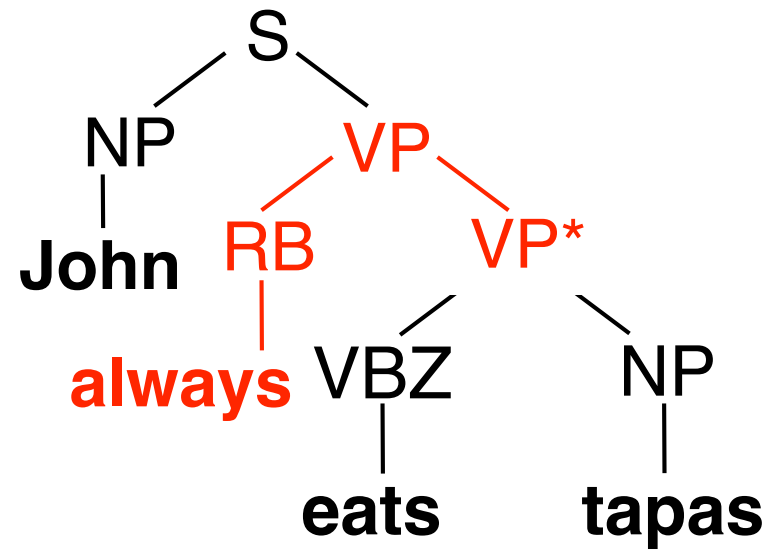
A TAG derivation



A TAG derivation

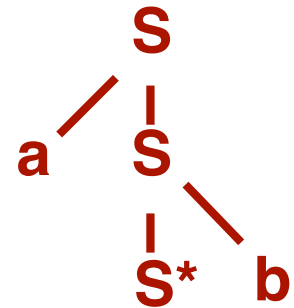
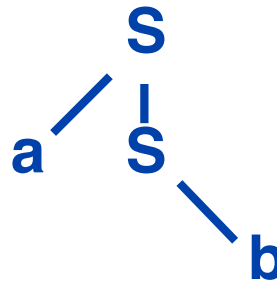


A TAG derivation

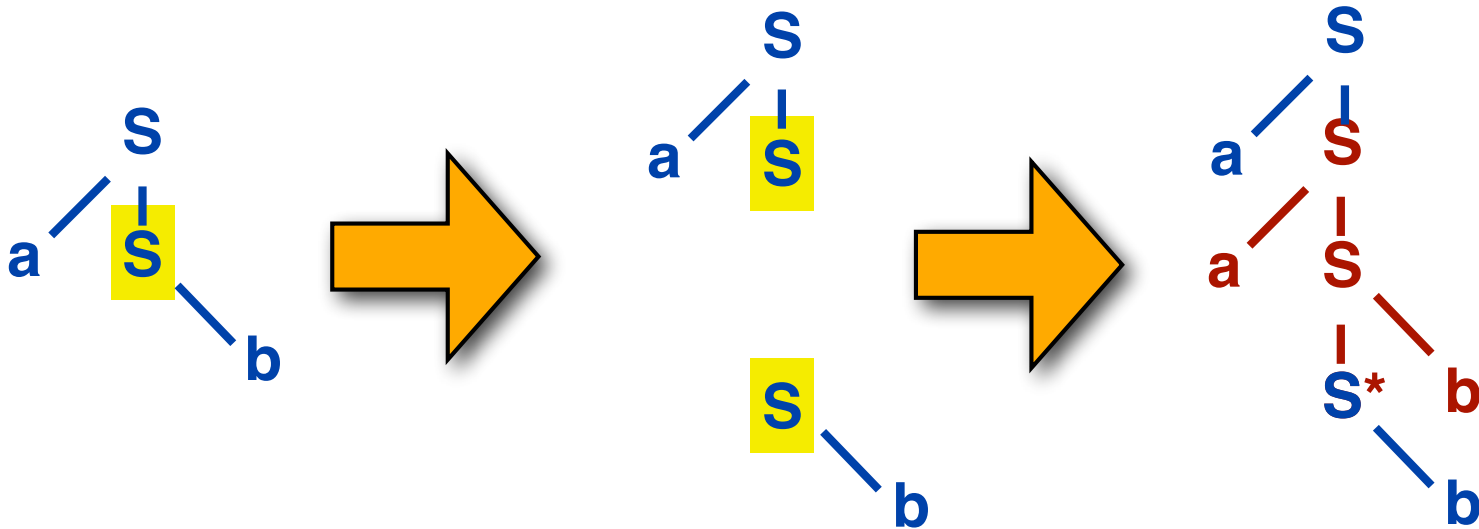


$a^n b^n$: Cross-serial dependencies

Elementary trees:



Deriving **aabbb**



Combinatory Categorical Grammar

CCG: the machinery

Categories:

specify subcat lists of words/constituents.

Combinatory rules:

specify how constituents can combine.

The lexicon:

specifies which categories a word can have.

Derivations:

spell out process of combining constituents.

CCG categories

Simple (atomic) categories: **NP, S, PP**

Complex categories (functions):

Return a **result** when combined with an **argument**

VP, intransitive verb	S\NP
Transitive verb	(S\NP)/NP
Adverb	(S\NP)\(S\NP)
Prepositions	((S\NP)\(S\NP))/NP (NP\NP)/NP PP/NP

Function application

Forward application ($>$):

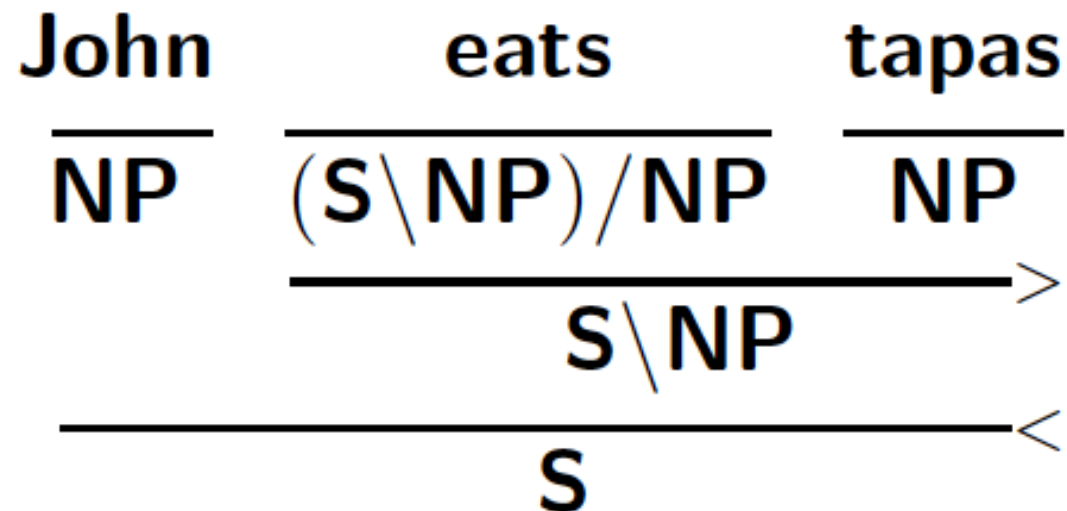
$(S \backslash NP) / NP$ NP $\Rightarrow_{>}$ $S \backslash NP$
eats tapas eats tapas

Backward application ($<$):

NP $S \backslash NP$ $\Rightarrow_{<}$ S
John eats tapas John eats tapas

Used in all variants of categorial grammar

A (C)CG derivation



Function composition

Harmonic forward composition ($>B$):

$$X / Y \quad Y / Z \quad \Rightarrow_{>B} \quad X / Z$$

Harmonic backward composition ($<B$):

$$Y \setminus Z \quad X \setminus Y \quad \Rightarrow_{<B} \quad X \setminus Z$$

Forward crossing composition ($>B^x$):

$$X / Y \quad Y \setminus Z \quad \Rightarrow_{>B^x} \quad X \setminus Z$$

Backward crossing composition ($<B^x$):

$$Y / Z \quad X \setminus Y \quad \Rightarrow_{<B^x} \quad X / Z$$

Type-raising

Forward type-raising ($>T$):

$$X \Rightarrow_{>T} T / (T \setminus X)$$

Backward type-raising ($<T$):

$$X \Rightarrow_{<T} T \setminus (T / X)$$

Type-raising and composition

Type-raising: $X \rightarrow T/(T \backslash X)$

Turns an argument into a function.

NP	\rightarrow	$S/(S \backslash NP)$	(subject)
NP	\rightarrow	$(S \backslash NP) \backslash ((S \backslash NP)/NP)$	(object)

Harmonic composition: $X/Y \ Y/Z \rightarrow X/Z$

Composes two functions (complex categories)

$(S \backslash NP)/PP \ PP/NP \rightarrow (S \backslash NP)/NP$

$S/(S \backslash NP) \ (S \backslash NP)/NP \rightarrow S/NP$

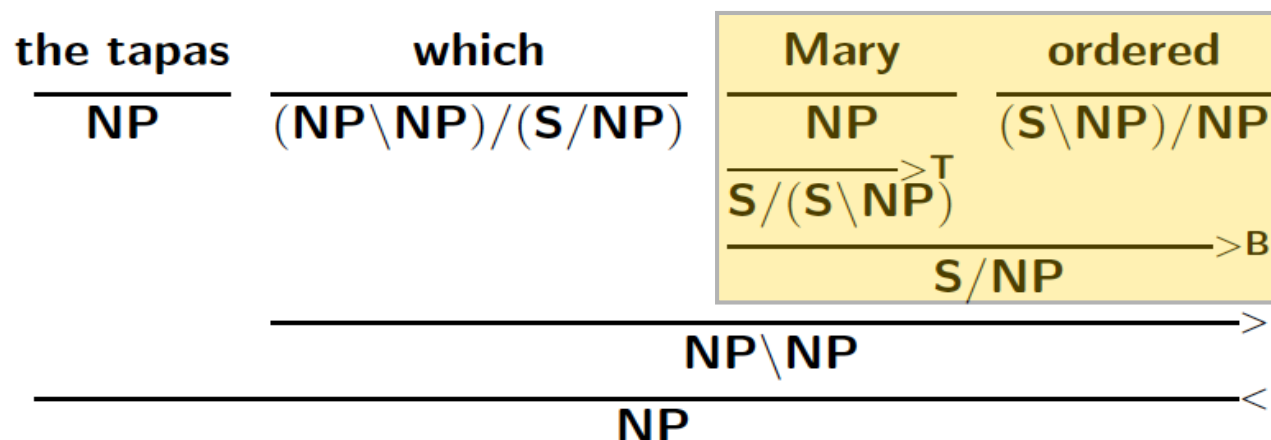
Crossing function composition: $X/Y \ Y \backslash Z \rightarrow X \backslash Z$

Composes two functions (complex categories)

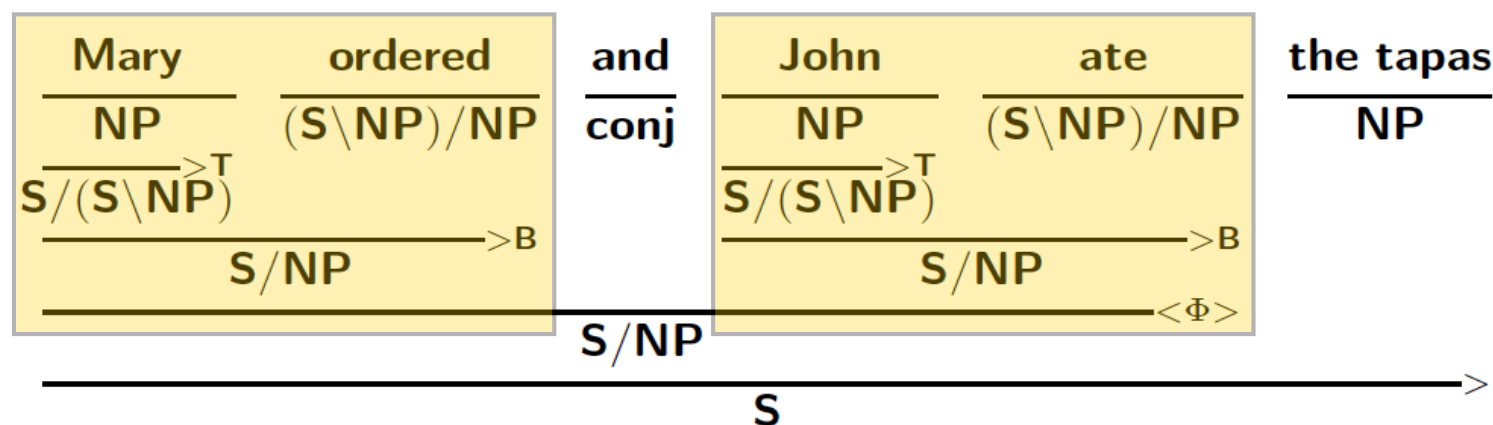
$(S \backslash NP)/S \ S \backslash NP \rightarrow (S \backslash NP) \backslash NP$

Type-raising and composition

Wh-movement (relative clause):



Right-node raising:



Function application (\triangleright and \triangleleft):

$$\begin{array}{lll} X / Y & Y & \Rightarrow_{\triangleright} X \\ Y & X \setminus Y & \Rightarrow_{\triangleleft} X \end{array}$$

Harmonic composition (\triangleright_B and \triangleleft_B):

$$\begin{array}{lll} X / Y & Y / Z & \Rightarrow_{\triangleright_B} X / Z \\ Y \setminus Z & X \setminus Y & \Rightarrow_{\triangleleft_B} X \setminus Z \end{array}$$

Crossing composition (\triangleright_{B^x} and \triangleleft_{B^x}):

$$\begin{array}{lll} X / Y & Y \setminus Z & \Rightarrow_{\triangleright_{B^x}} X \setminus Z \\ Y / Z & X \setminus Y & \Rightarrow_{\triangleleft_{B^x}} X / Z \end{array}$$

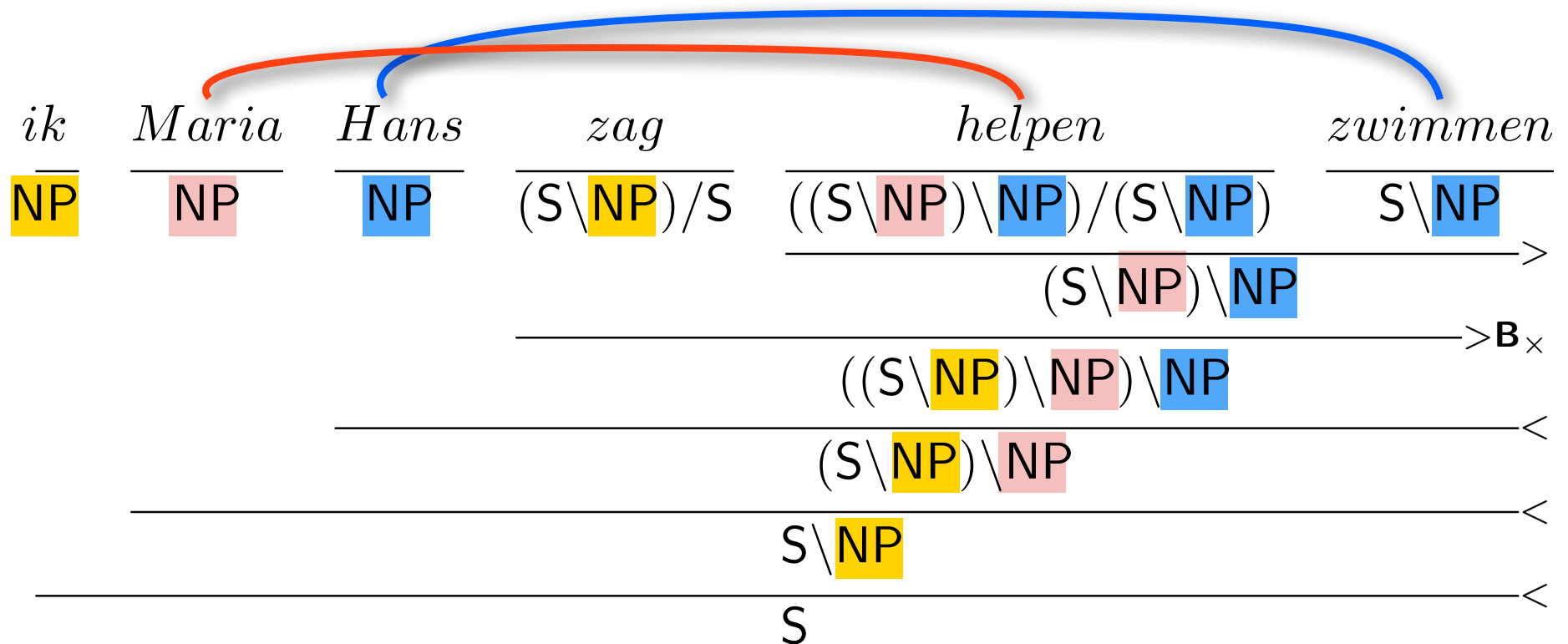
Generalized composition (\triangleright_{B^n} and \triangleleft_{B^n}):

$$\begin{array}{lll} X / Y & (... (Y \mid Z_1) | ...) | Z_n & \Rightarrow_{\triangleright_{B^n}} (... (X \mid Z_1) | ...) | Z_n \\ (... (Y \mid Z_1) | ...) | Z_n & X \setminus Y & \Rightarrow_{\triangleleft_{B^n}} (... (X \mid Z_1) | ...) | Z_n \end{array}$$

Type-raising (\triangleright_T and \triangleleft_T):

$$\begin{array}{lll} X & & \Rightarrow_{\triangleright_T} T / (T \setminus X) \\ X & & \Rightarrow_{\triangleleft_T} T \setminus (T / X) \end{array}$$

Dutch cross-serial dependencies



Combinatory Categorical Grammar

- CCG is **lexicalized**
(the “rules” of the grammar are completely general,
all language-specific information is given in the lexicon)
- CCG is **mildly context-sensitive**
(can capture Dutch crossing dependencies, but is still
efficiently parseable)
- CCG has a **flexible constituent structure**
- CCG has a unified treatment of extraction/coordination
- CCG has a transparent syntax-semantics interface
(every syntactic category and operation has a semantic
counterpart)
- CCG rules are monotonic
(movement or traces don’t exist)

Today's key concepts

Phenomena that require extensions of standard context-free grammars:

- non-local dependencies
- cross-serial dependencies

Two lexicalized formalisms:

- Tree-adjoining Grammar
- Combinatory Categorical Grammar