CS447: Natural Language Processing
http://courses.engr.illinois.edu/cs447

Lecture 2: Finite-state methods for morphology

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Last lecture

The NLP pipeline:

tokenization — POS tagging — syntactic parsing — semantic analysis — coreference resolution

Why is NLP difficult?

ambiguity

coverage

Course admin:

HW0 will be out later today (due Friday, Sep 15)

Office hours

Homework policies (no late submissions…)

Midterm and final exams

Projects and Literature surveys

Compass and enrollment…

I spoke too soon in the last lecture….

- We have 100 seats in this class(room).
- I received ~40 requests to be added to Compass.
- (Apologies if I haven't replied to your email…)

That is simply not feasible.

- We are not able to grade more than 100 assignments.
- I am not allowed to let significantly more students into this classroom (fire code).

Compass and enrollment…

Lecture slides and the PDFs for the assignments will always be posted on the class website.

You don’t need to be on Compass for that.

Piazza is also available to everybody.

If you are planning to drop this class, please do so ASAP, so that others can take your spot.

DRES accommodations

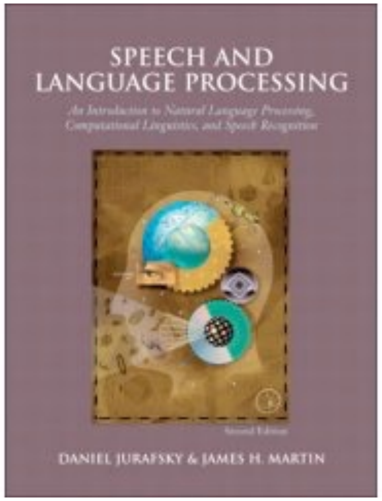
If you need any disability related accommodations, talk to DRES (http://disability.illinois.edu, disability@illinois.edu, phone 333-4603)

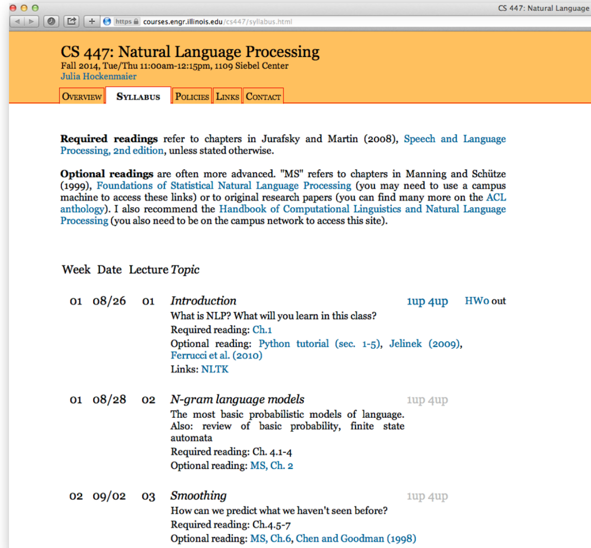
- If you are concerned you have a disability-related condition that is impacting your academic progress, there are academic screening appointments available on campus that can help diagnosis a previously undiagnosed disability by visiting the DRES website and selecting “Sign-Up for an Academic Screening” at the bottom of the page.”

Come and talk to me as well, especially once you have a letter of accommodation from DRES.

- Do this early enough so that we can take your requirements into account for exams and assignments.

Reading





The textbook: https://web.stanford.edu/~jurafsky/slp3/

Jurafsky and Martin, **Speech and Language Processing**
(3rd edition PDFs in prep.; 2nd edition, 2008 in print)

Other material (Slides, additional reading):

Posted on course website

For some assignments:

The NLTK book (http://www.nltk.org/book)

Assessment

If you take this class for 3 hours credit:

1/3 homework assignments

1/3 midterm exam

1/3 final exam

If you take this class for 4 hours credit:

1/4 homework assignments

1/4 midterm exam

1/4 final exam

1/4 literature reviews

We reserve the right to improve your grade by up to 5% depending on your class participation. If you're in between grades, but attended class and participated frequently and actively in in-class discussions etc., we will give you the higher grade.

Homework assignments

Schedule:

Week 1: Friday, 09/01 HW0 out (today!)

Week 3: Friday, 09/15 HW0 due, HW1 out

Week 6: Friday, 10/06 HW1 due, HW2 out

Week 9: Friday, 10/27 HW2 due, HW3 out

Week 12: Friday, 11/17 HW3 due, HW4 out

Week 15: Wednesday, 12/13 HW4 due (last lecture)

Points per assignment:

HW0 = 2 points

(Did you submit (on time)? Was it in the right format?)

HW1,HW2,HW3,HW4 = 10 points per assignment

Homework assignments

For now, we will use Enthought Canopy Python (2.7.6)

This is available on the EWS linux machines

```
~> ssh linux.ews.illinois.edu
[juliahmr@linux-a2 ~]$ module load canopy
[juliahmr@linux-a2 ~]$ python
Enthought Canopy Python 2.7.6 | 64-bit |
(default, Jun  4 2014, 16:32:15)
…
```

4^{th} credit hour: Research Projects

What?

You need to read and describe a few (2-3) NLP papers on a particular task, implement an NLP system for this task and describe it in a written report.

Why?

To make sure you get a deeper knowledge of NLP by reading original papers and by building an actual system.

When?

Oct 20 (Wk 8): Proposal due (What topic? What papers will you read?)
Nov 15 (Wk 12): Progress report due (Are your experiments on track?)
Dec 14 (Reading Day): Final report due (Summary of papers, your system)

4^{th} credit hour: Literature Survey

What?

You need to read and describe several (5-7) NLP papers on a particular task or topic, and produce a written report that compares and critiques these approaches.

Why?

To make sure you get a deeper knowledge of NLP by reading original papers, even if you don't build an actual system.

When?

Oct 20 (Wk 8): Proposal due (What topic? What papers will you read?)
Nov 15 (Wk 12): Progress report due (Is your paper on track?)
Dec 14 (Reading Day): Final report due (Summary of papers)

Course Outline (tentative)

Lectures 2–5:	Words and strings (morphology, language models)
Lectures 7–10:	Sequence labeling (POS tagging etc.)
Lectures 11-12:	Lexical similarities, word clustering
Lecture 13:	Review for midterm
–––––––	**Midterm exam** –––––––––––
Lecture 14–21:	Syntax and Parsing
Lecture 22–24:	Machine Translation
Lecture 25–28:	Semantics, Discourse
Lecture 29:	Review for Final Exam

http://courses.engr.illinois.edu/cs447/syllabus.html

Exams

What?

Midterm exam: Thursday, Oct 12 (Week 7), 6:30pm DCL 1320

Final exam: TBD [between Dec. 15 and Dec. 21]

(based on material after first midterm)

Why?

To make sure you understand what you learned
well enough to explain and apply it.

How?

Essay questions and problem questions

Closed-book (no cheatsheets, no electronics, etc.)

Will be based on lectures and readings

Today's lecture

What is the structure of words?
(in English, Chinese, Arabic,…)

- Morphology: the area of linguistics that deals with this.

How can we identify the structure of words?

- We need to build a morphological analyzer (parser).
- We will use finite-state transducers for this task.

Finite-State Automata and Regular Languages

- (Review)

NB: No probabilities or machine learning yet.

- We're thinking about (symbolic) representations today.

Morphology:
What is a word?

A Turkish word

uygarlaştıramadıklarımızdanmışsınızcasına
uygar_laş_tır_ama_dık_lar_ımız_dan_mış_sınız_casına

"as if you are among those whom we were not able to civilize (=cause to become civilized)"

uygar: *civilized*
_laş: *become*
_tır: *cause somebody to do something*
_ama: *not able*
_dık: past participle
_lar: plural
_ımız: 1st person plural possessive (our)
_dan: *among* (ablative case)
_mış: past
_sınız: 2nd person plural (you)
_casına: *as if* (forms an adverb from a verb)

K. Oflazer pc to J&M

Basic word classes (parts of speech)

Content words (open-class):

- Nouns: student, university, knowledge,...
- Verbs: write, learn, teach,...
- Adjectives: difficult, boring, hard, ...
- Adverbs: easily, repeatedly,...

Function words (closed-class):

- Prepositions: in, with, under,...
- Conjunctions: and, or,...
- Determiners: a, the, every,...

Words aren't just defined by blanks

Problem 1: Compounding

"ice cream", "website", "web site", "New York-based"

Problem 2: Other writing systems have no blanks

Chinese: 我开始写小说 = 我 开始 写 小说

I start(ed) writing novel(s)

Problem 3: Clitics

English: "doesn't" , "I'm" ,

Italian: "dirglielo" = dir + gli(e) + lo

tell + *him* + *it*

How many words are there?

Of course he wants to take the advanced course too.
He already took two beginners' courses.

This is a bad question. Did I mean:

How many word tokens are there?
(16 to 19, depending on how we count punctuation)

How many word types are there?
(i.e. How many different words are there?
Again, this depends on how you count, but it's usually much less than the number of tokens)

How many words are there?

Of course he wants to take the advanced course too.
He already took two beginners' courses.

The same (underlying) word can take different forms:
course/courses, take/took

We distinguish concrete word forms (take, taking) from abstract lemmas or dictionary forms (take)

Different words may be spelled/pronounced the same:
of course vs. advanced course
two vs. too

How many different words are there?

Inflection creates different forms of the same word:

Verbs: to be, being, I am, you are, he is, I was,

Nouns: one book, two books

Derivation creates different words from the same lemma:

grace ⇒ disgrace ⇒ disgraceful ⇒ disgracefully

Compounding combines two words into a new word:

cream ⇒ ice cream ⇒ ice cream cone ⇒ ice cream cone bakery

Word formation is productive:

New words are subject to all of these processes:

Google ⇒ Googler, to google, to ungoogle, to misgoogle, googlification, ungooglification, googlified, Google Maps, Google Maps service,...

Inflectional morphology in English

Verbs:

Infinitive/present tense: walk, go

3rd person singular present tense (s-form): walks, goes

Simple past: walked, went

Past participle (ed-form): walked, gone

Present participle (ing-form): walking, going

Nouns:

Common nouns inflect for number:

singular (book) vs. plural (books)

Personal pronouns inflect for person, number, gender, case:

I saw him; he saw me; you saw her; we saw them; they saw us.

Derivational morphology

Nominalization:

V + -ation: computeriz<u>ation</u>

V+ -er: kill<u>er</u>

Adj + -ness: fuzzi<u>ness</u>

Negation:

un-: <u>un</u>do, <u>un</u>seen, ...

mis-: <u>mis</u>take,...

Adjectivization:

V+ -able: do<u>able</u>

N + -al: nation<u>al</u>

Morphemes: stems, affixes

dis-grace-ful-ly
prefix-stem-suffix-suffix

Many word forms consist of a stem plus a number of affixes (*prefixes* or *suffixes*)

- *Infixes* are inserted inside the stem.
- *Circumfixes* (German gesehen) surround the stem

Morphemes: the smallest (meaningful/grammatical) parts of words.

- *Stems* (grace) are often free morphemes.
  - Free morphemes can occur by themselves as words.
- *Affixes* (dis-, -ful, -ly) are usually bound morphemes.
  - Bound morphemes have to combine with others to form words.

Morphemes and morphs

There are many *irregular* word forms:

Plural nouns add -*s* to singular: book-book**s**,
but: box-box<u>**es**</u>, fly-fl<u>**ies**</u>, child-child<u>**ren**</u>

Past tense verbs add -*ed* to infinitive: walk-walk**ed**,
but: like-like<u>**d**</u>, leap-leap<u>**t**</u>

One morpheme (e.g. for plural nouns) can be realized as different surface forms (morphs): -s/-es/-ren

Allomorphs: two different realizations (-s/-es/-ren) of the same underlying morpheme (plural)

Morphological parsing and generation

Morphological parsing

disgracefully

dis	grace	ful	ly
prefix	*stem*	*suffix*	*suffix*
NEG	grace*+N*	*+ADJ*	*+ADV*

Morphological generation

We cannot enumerate all possible English words, but we would like to capture the rules that define whether a string *could* be an English word or not.

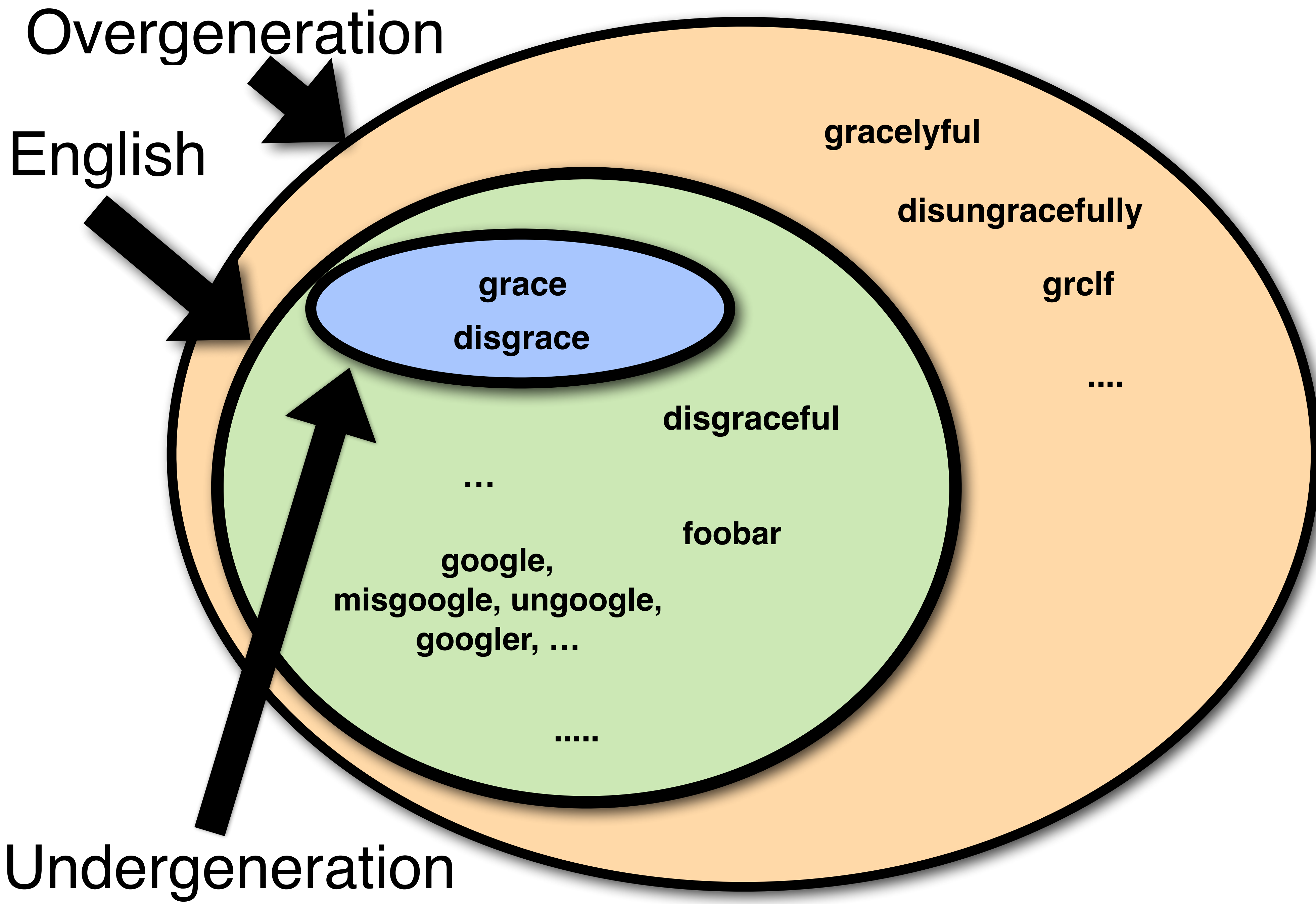
That is, we want a procedure that can generate (or accept) possible English words…

grace, graceful, gracefully
disgrace, disgraceful, disgracefully,
ungraceful, ungracefully,
undisgraceful, undisgracefully,…

without generating/accepting impossible English words

*gracelyful, *gracefuly, *disungracefully,…

NB: * is linguists' shorthand for "this is ungrammatical"


Overgeneration
English
gracelyful
disungracefully
grclf
....
grace
disgrace
disgraceful
...
foobar
google,
misgoogle, ungoogle,
googler, ...
.....
Undergeneration

Review:
Finite-State Automata
and
Regular Languages

Formal languages

An alphabet $\sum$ is a set of symbols:

e.g. $\sum = \{a, b, c\}$

A string ω is a sequence of symbols, e.g $\omega = abcb$.

The empty string ε consists of zero symbols.

The Kleene closure $\sum^*$ ('sigma star') is the (infinite) set of all strings that can be formed from $\sum$:

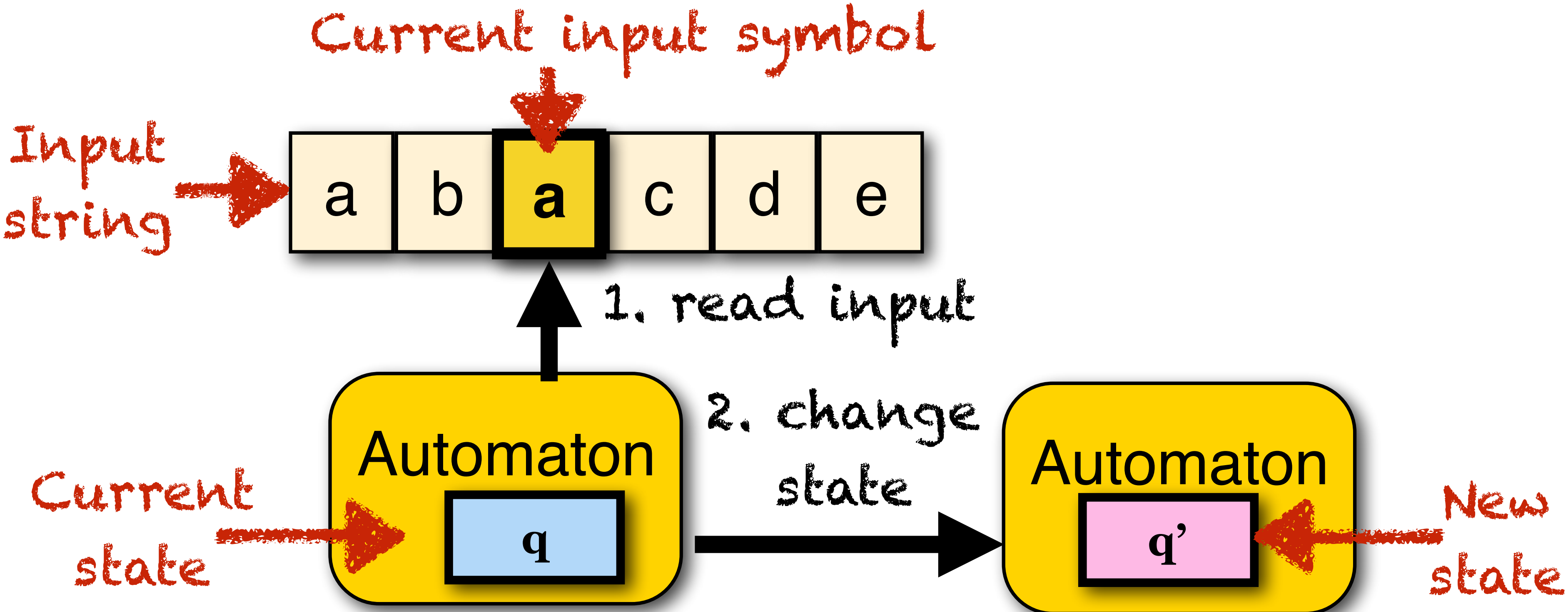
$\sum^* = \{\varepsilon, a, b, c, aa, ab, ba, aaa, ...\}$

A language $L \subseteq \sum^*$ over $\sum$ is also a set of strings.

Typically we only care about proper subsets of $\sum^*$ ($L \subset \Sigma$).

Automata and languages

An automaton is an abstract model of a computer.
It *reads* an input string symbol by symbol.
It *changes* its internal state depending on
the current input symbol and its current internal state.

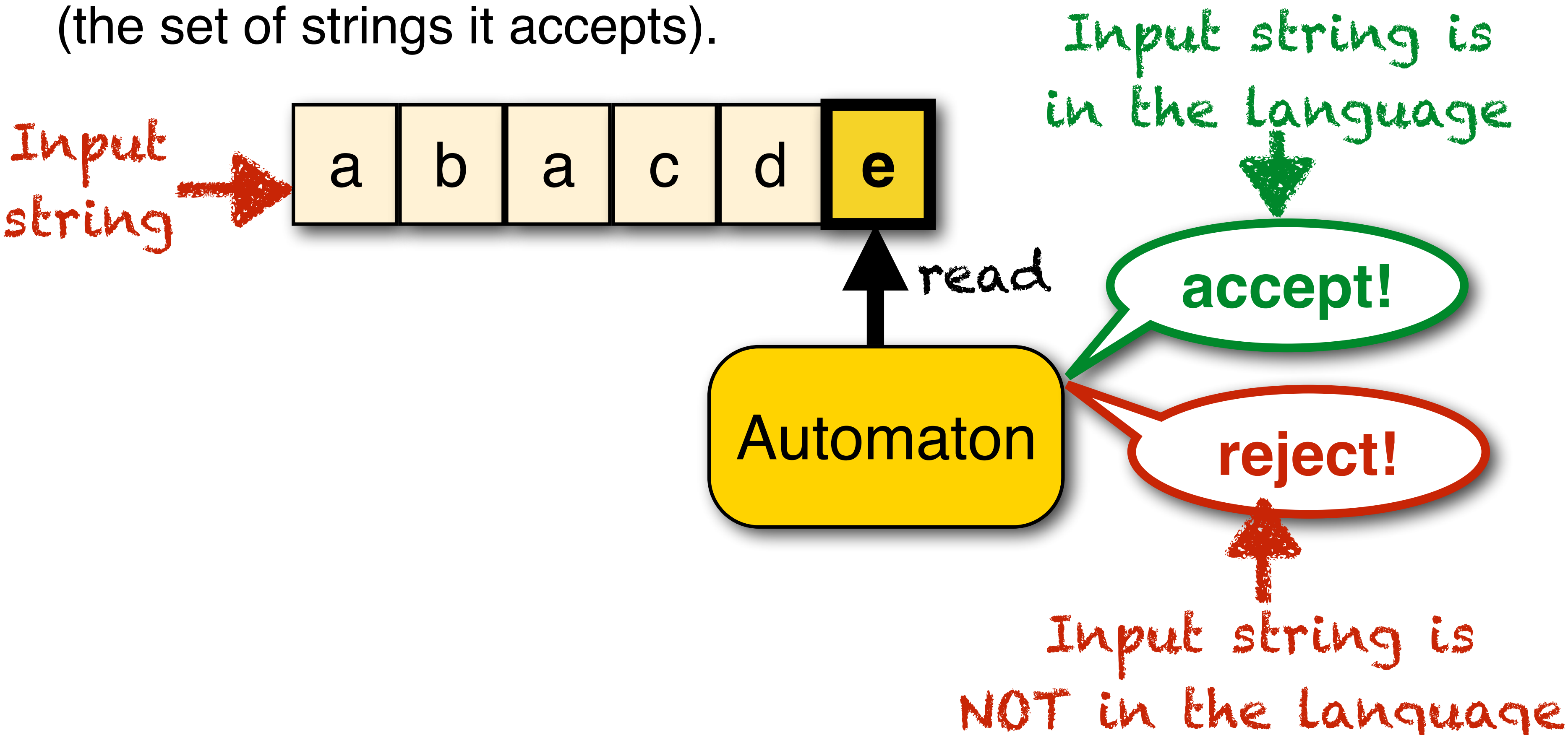


Automata and languages

The automaton either accepts or rejects the input string.

Every automaton defines a language

(the set of strings it accepts).

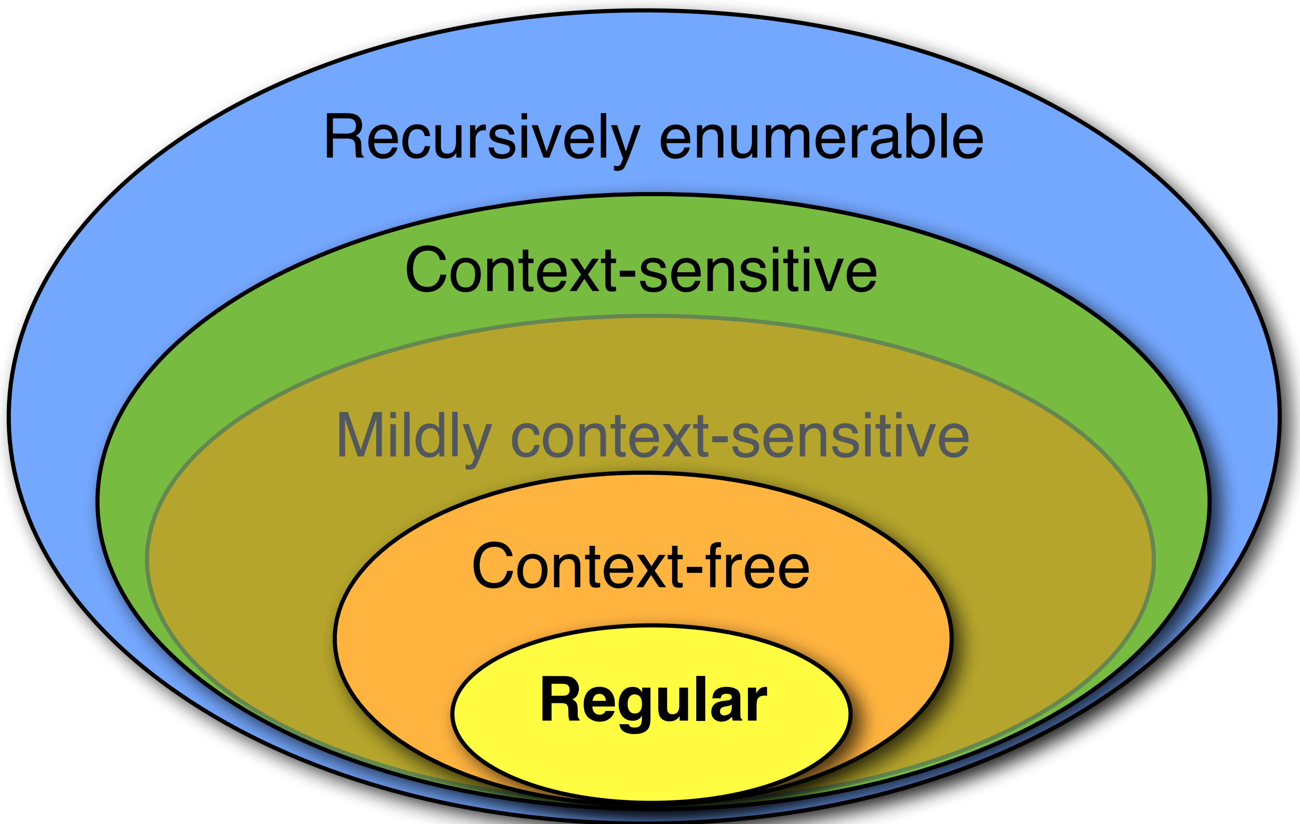


Automata and languages

Different types of automata define
different language classes:

- **Finite-state** automata define **regular** languages
- **Pushdown** automata define **context-free** languages
- **Turing machines** define **recursively enumerable** languages

The Chomsky Hierarchy

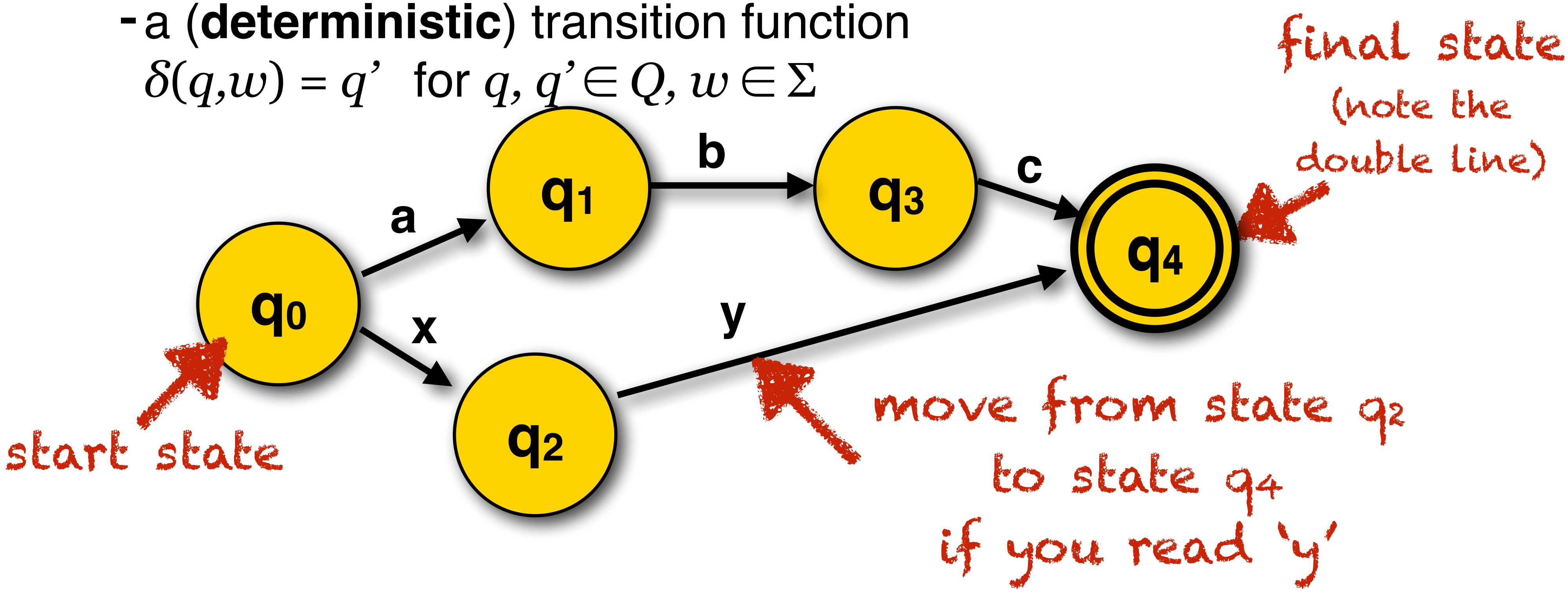


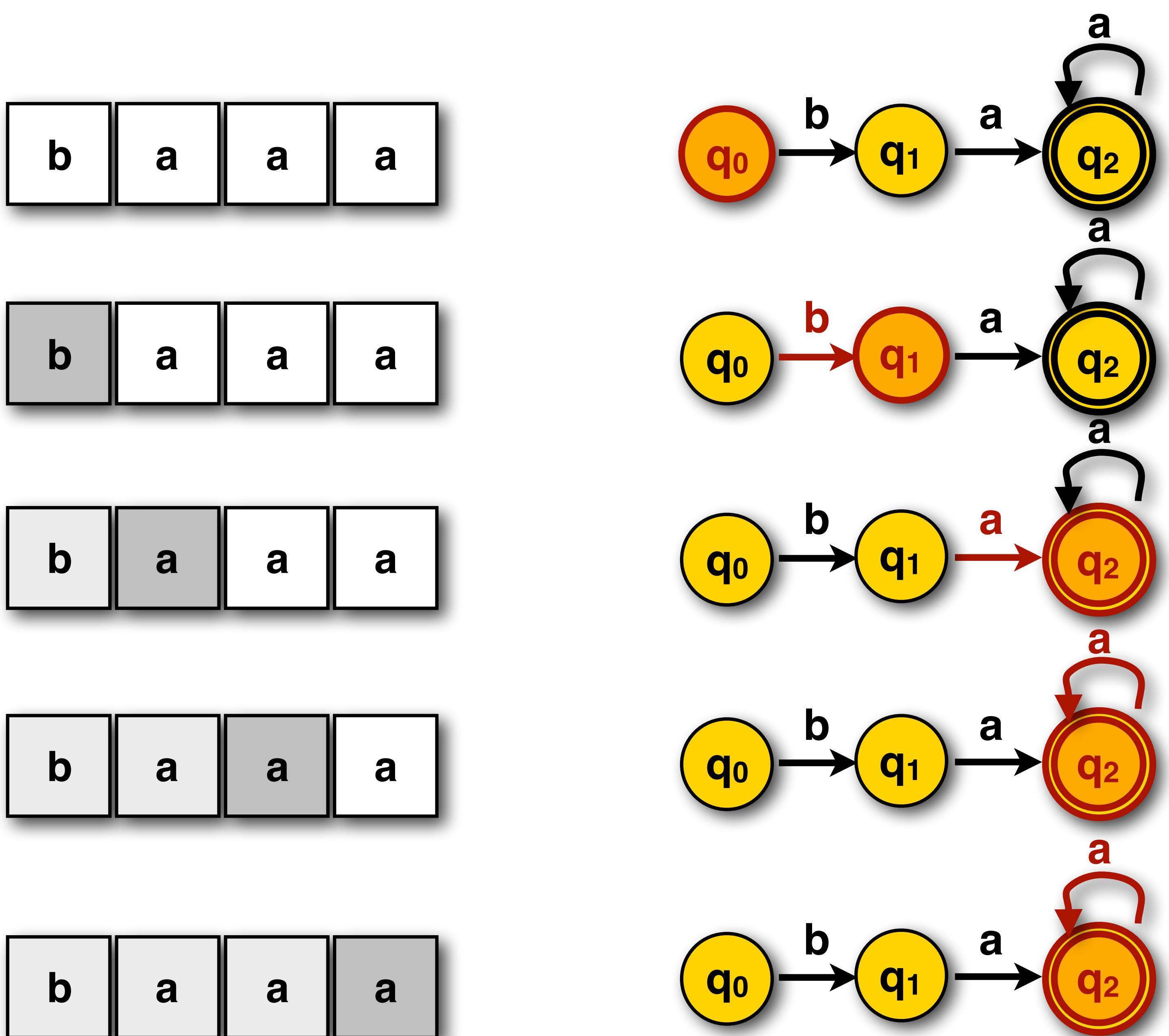
The structure of English words can be described by a regular (= finite-state) grammar.

Finite-state automata

A (deterministic) finite-state automaton (FSA) consists of:

- a **finite set of states** $Q = \{q_0 \ldots q_N\}$, including **a start state** q_0 and one (or more) **final (=accepting) states** (say, q_N)
- a (**deterministic**) transition function
  $\delta(q,w) = q'$ for $q, q' \in Q, w \in \Sigma$



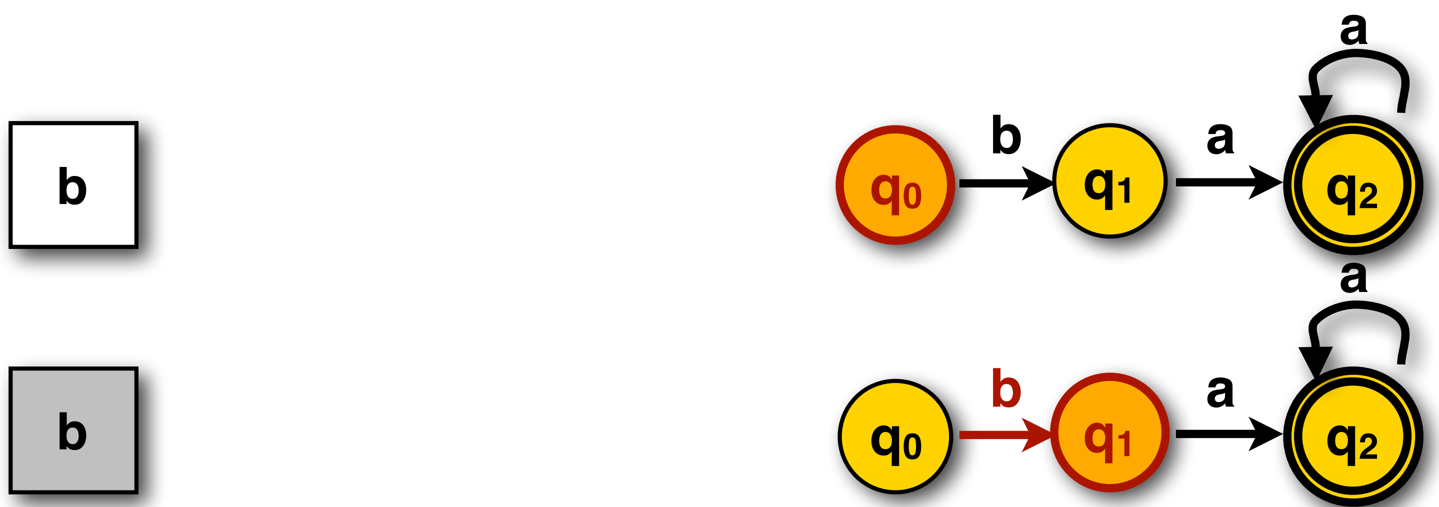


Start in q_0

Accept!

We've reached the end of the string, and are in an accepting state.

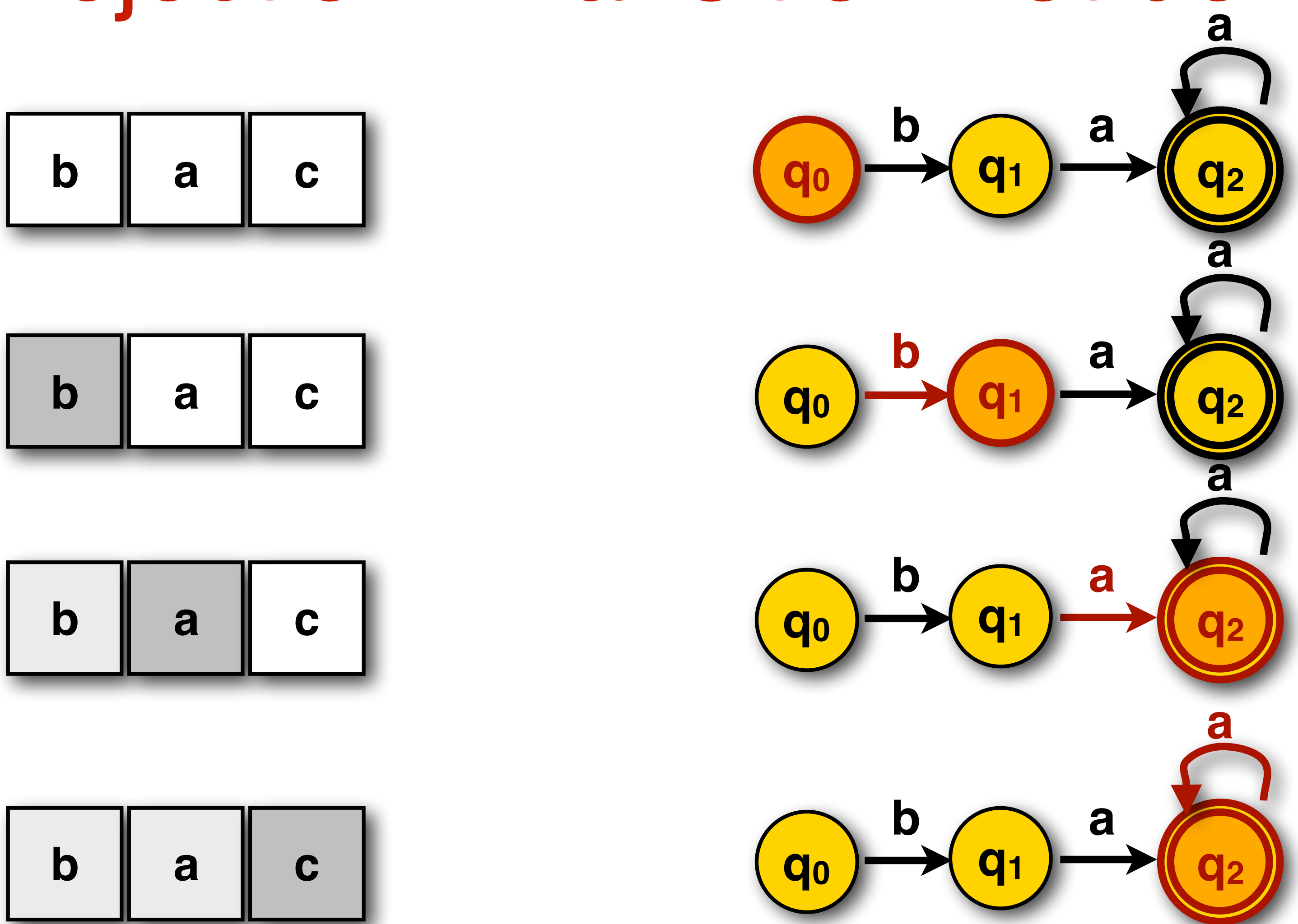
Rejection: Automaton does not end up in accepting state



Start in q_0

Reject!
(q_1 is not a final state)

Rejection: Transition not defined



Start in q_0

Reject!
(There is no transition labeled ‘c’)

Finite State Automata (FSAs)

A finite-state automaton $M = \langle Q, \Sigma, q_0, F, \delta \rangle$ consists of:

- A finite set of states $Q = \{q_0, q_1, .., q_n\}$
- A finite alphabet Σ of input symbols (e.g. $\Sigma = \{a, b, c, ...\}$)
- A designated start state $q_0 \in Q$
- A set of final states $F \subseteq Q$
- A transition function δ:
  - The transition function for a deterministic (D)FSA: $Q \times \Sigma \rightarrow Q$

    $\delta(q,w) = q'$ for $q, q' \in Q, w \in \Sigma$

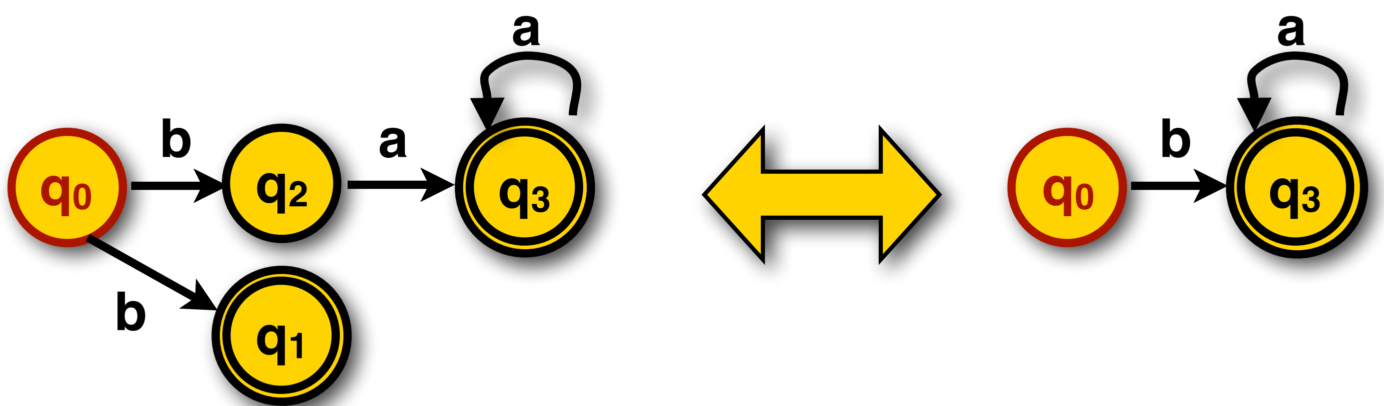
    If the current state is q and the current input is w, go to q'
  - The transition function for a nondeterministic (N)FSA: $Q \times \Sigma \rightarrow 2^Q$

    $\delta(q,w) = Q'$ for $q \in Q, Q' \subseteq Q, w \in \Sigma$

    If the current state is q and the current input is w, go to any $q' \in Q'$

Finite State Automata (FSAs)

Every NFA can be transformed into an equivalent DFA:



Recognition of a string *w* with a DFA is linear in the length of *w*

Finite-state automata define the class of regular languages

$L_1 = \{ a^n b^m \} = \{ab, aab, abb, aaab, abb, \ldots \}$ is a regular language,

$L_2 = \{ a^n b^n \} = \{ab, aabb, aaabbb, \ldots\}$ is not (it's context-free).

You cannot construct an FSA that accepts all the strings in L_2 and nothing else.

Regular Expressions

Regular expressions can also be used to define a regular language.

Simple patterns:

- **Standard characters** match themselves: *'a'*, '1'
- **Character classes**: '[*abc*]', '[0-9]', **negation:** '[^*aeiou*]'
  (Predefined: *s* (whitespace), *w* (alphanumeric), etc.)
- **Any character** (except newline) is matched by '.'

Complex patterns: (e.g. ^[*A-Z*]([*a-z*])+\s)

- **Group:** '(…)'
- **Repetition:** 0 or more times: '*', 1 or more times: '+'
- **Disjunction:** '...|...'
- **Beginning of line** '^' and **end of line** '$'

Finite-state methods for morphology

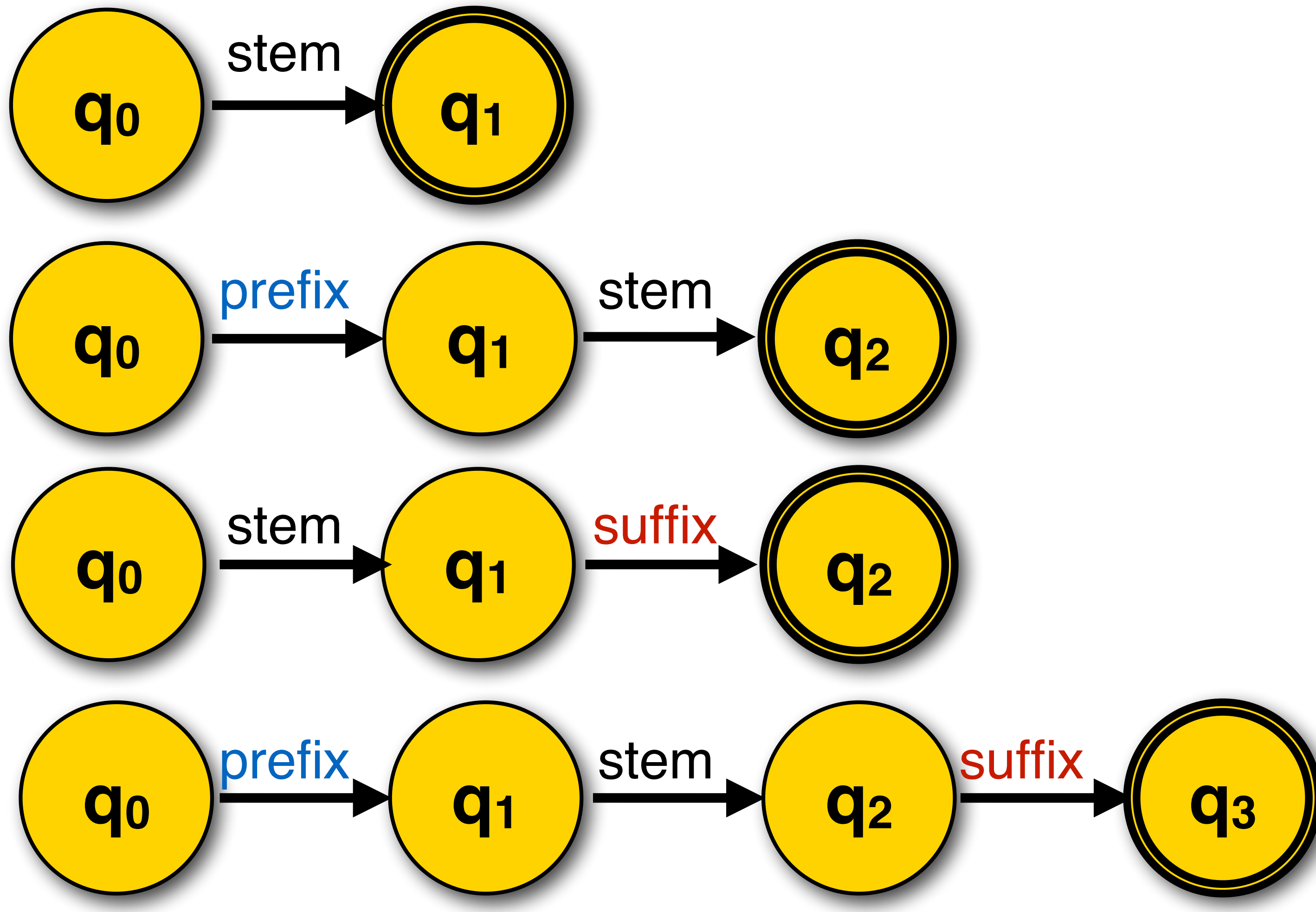
Finite state automata for morphology

grace:

dis-grace:

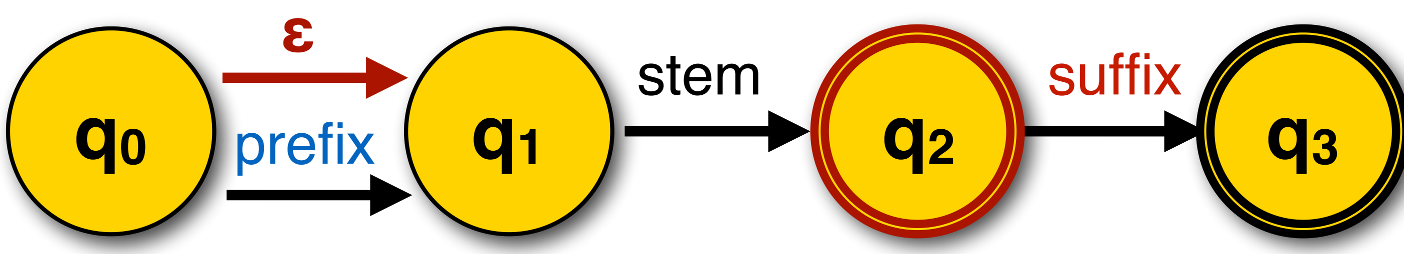
grace-ful:

dis-grace-ful:



Union: merging automata

grace,
dis-grace,
grace-ful,
dis-grace-ful

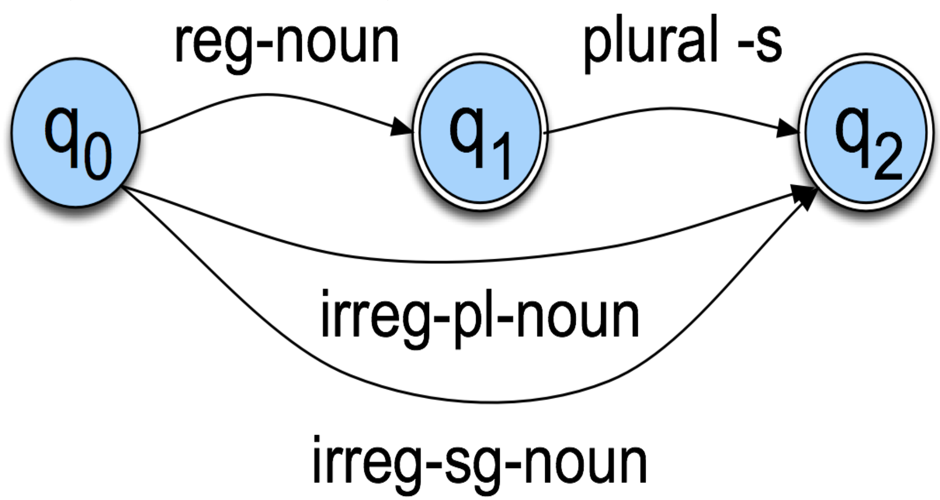


Stem changes

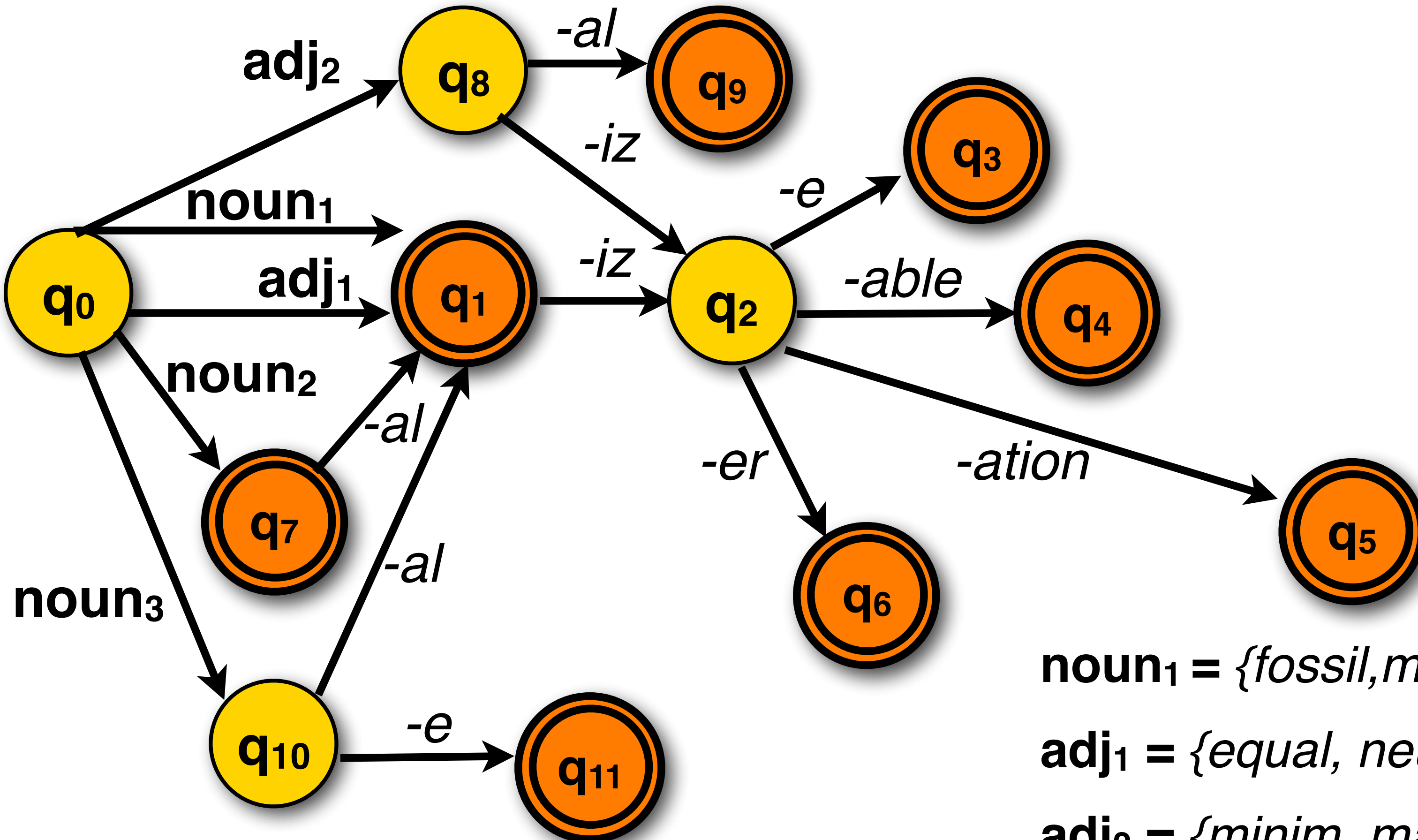
Some irregular words require stem changes:

Past tense verbs:
teach-<u>taught</u>, go-<u>went</u>, write-<u>wrote</u>

Plural nouns:
mouse-<u>mice</u>, foot-<u>feet</u>, wife-wi<u>ves</u>



FSAs for derivational morphology



$noun_1$ = *{fossil,mineral,...}*

adj_1 = *{equal, neutral}*

adj_2 = *{minim, maxim}*

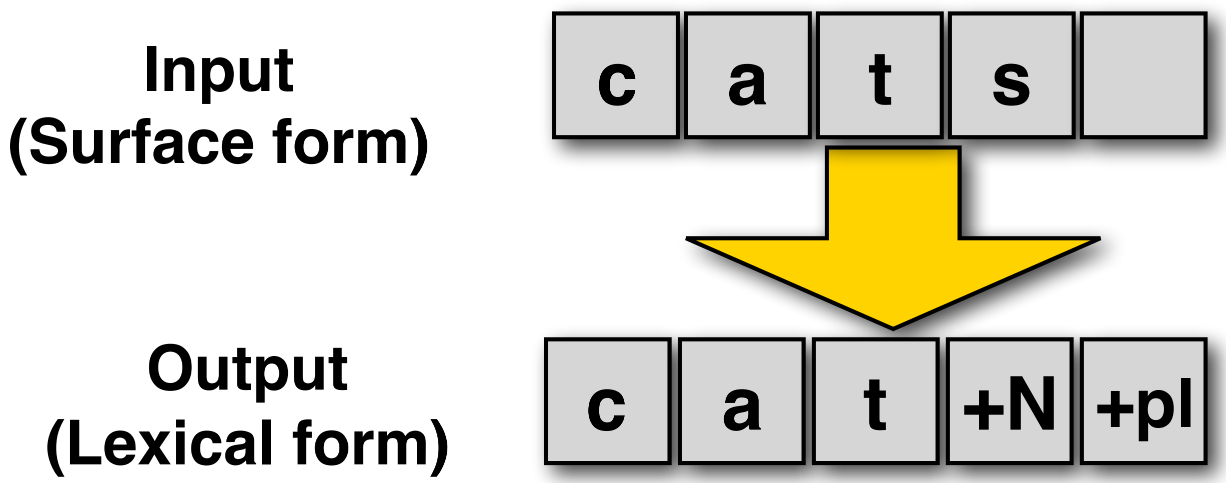
$noun_2$ = *{nation, form,…}*

$noun_3$ = *{natur, structur,…}*

Recognition vs. Analysis

FSAs can recognize (accept) a string, but they don't tell us its internal structure.

We need is a machine that maps (transduces) the input string into an output string that encodes its structure:



Finite-state transducers

A **finite-state transducer** $T = \langle Q, \Sigma, \Delta, q_0, F, \delta, \sigma \rangle$ consists of:

- A finite **set of states** $Q = \{q_0, q_1,.., q_n\}$
- A finite alphabet Σ of **input symbols** (e.g. $\Sigma = \{a, b, c,...\}$)
- A finite alphabet Δ of **output symbols** (e.g. $\Delta = \{+N, +pl,...\}$)
- A designated **start state** $q_0 \in Q$
- A set of **final states** $F \subseteq Q$
- A **transition function** $\delta: Q \times \Sigma \rightarrow 2^Q$

  $\delta(q,w) = Q'$ *for* $q \in Q, Q' \subseteq Q, w \in \Sigma$
- **An output function** $\sigma: Q \times \Sigma \rightarrow \Delta^*$

  $\sigma(q,w) = \omega$ for $q \in Q, w \in \Sigma, \omega \in \Delta^*$

  If the current state is *q* and the current input is w, write ω.

  (NB: Jurafsky&Martin define $\sigma: Q \times \Sigma^* \rightarrow \Delta^*$. Why is this equivalent?)

Finite-state transducers

An FST $T = L_{in} \times L_{out}$ defines a relation between two regular languages L_{in} and L_{out}:

L_{in} = {**cat, cats, fox, foxes, ...**}

L_{out} = {*cat+N+sg, cat+N+pl, fox+N+sg, fox+N+pl ...*}

T = { ⟨**cat**, *cat+N+sg*⟩,
⟨**cats**, *cat+N+pl*⟩,
⟨**fox**, *fox+N+sg*⟩,
⟨**foxes**, *fox+N+pl*⟩ }

Some FST operations

Inversion T^{-1}:

The inversion (T^{-1}) of a transducer switches input and output labels.

This can be used to switch from parsing words to generating words.

Composition ($T \circ T'$): *(Cascade)*

Two transducers $T = L_1 \times L_2$ and $T' = L_2 \times L_3$ can be composed into a third transducer $T'' = L_1 \times L_3$.

Sometimes intermediate representations are useful

English spelling rules

Peculiarities of English spelling (orthography)

The same underlying morpheme (e.g. *plural-s*) can have different orthographic "surface realizations" (-s, -es)

This leads to spelling changes at morpheme boundaries:

- E-insertion: fox +s = fox**e**s
- E-deletion: mak**e** +ing = making

Side note: “Surface realization”?

This terminology comes from Chomskyan Transformational Grammar.

- Dominant early approach in theoretical linguistics, superseded by other approaches (“minimalism”).
- Not computational, but has some historical influence on computational linguistics (e.g. Penn Treebank)

“Surface” = standard English (Chinese, Hindi, etc.).

- “Surface string” = a written sequence of characters or words

vs. “Deep”/“Underlying” structure/representation:

- A more abstract representation.
- Might be the same for different sentences with the same meaning.

Intermediate representations

English plural -s: cat ⇒ cats   dog ⇒ dogs
but: fox ⇒ foxes,   bus ⇒ buses   buzz ⇒ buzzes

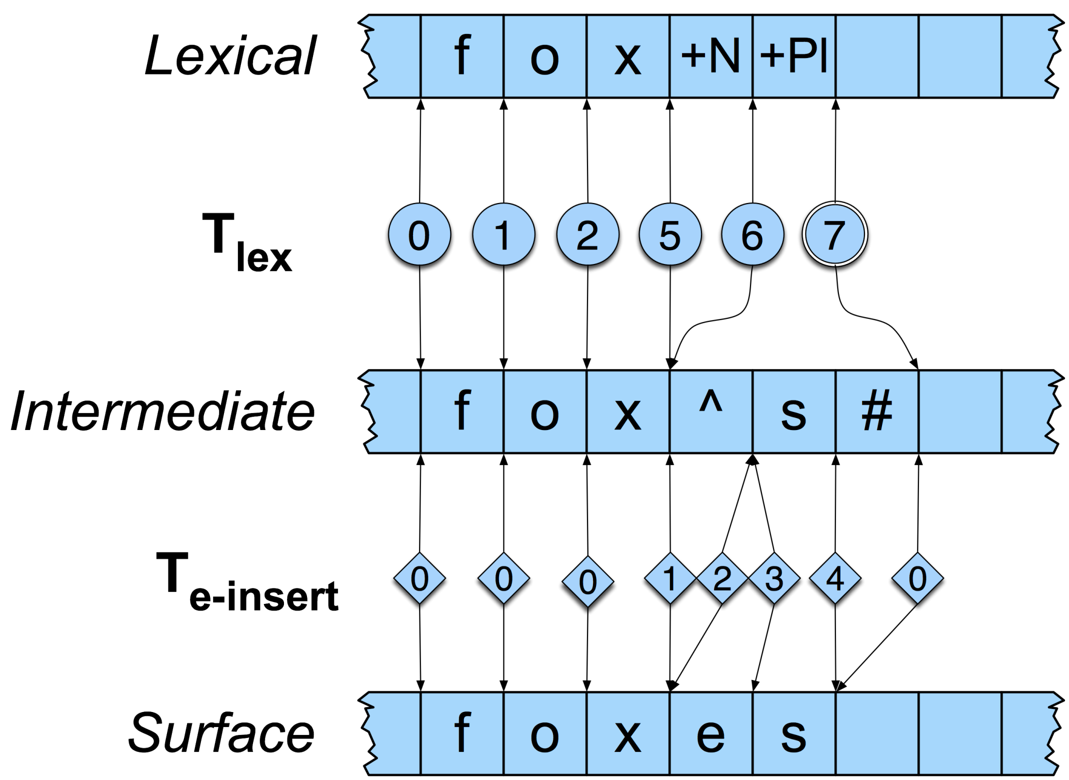
We define an intermediate representation to capture morpheme boundaries (^) and word boundaries (#):

Lexicon:	***cat+N+PL***	***fox+N+PL***
⇒ *Intermediate representation:*	***cat^s#***	***fox^s#***
⇒ *Surface string:*	***cats***	***foxes***

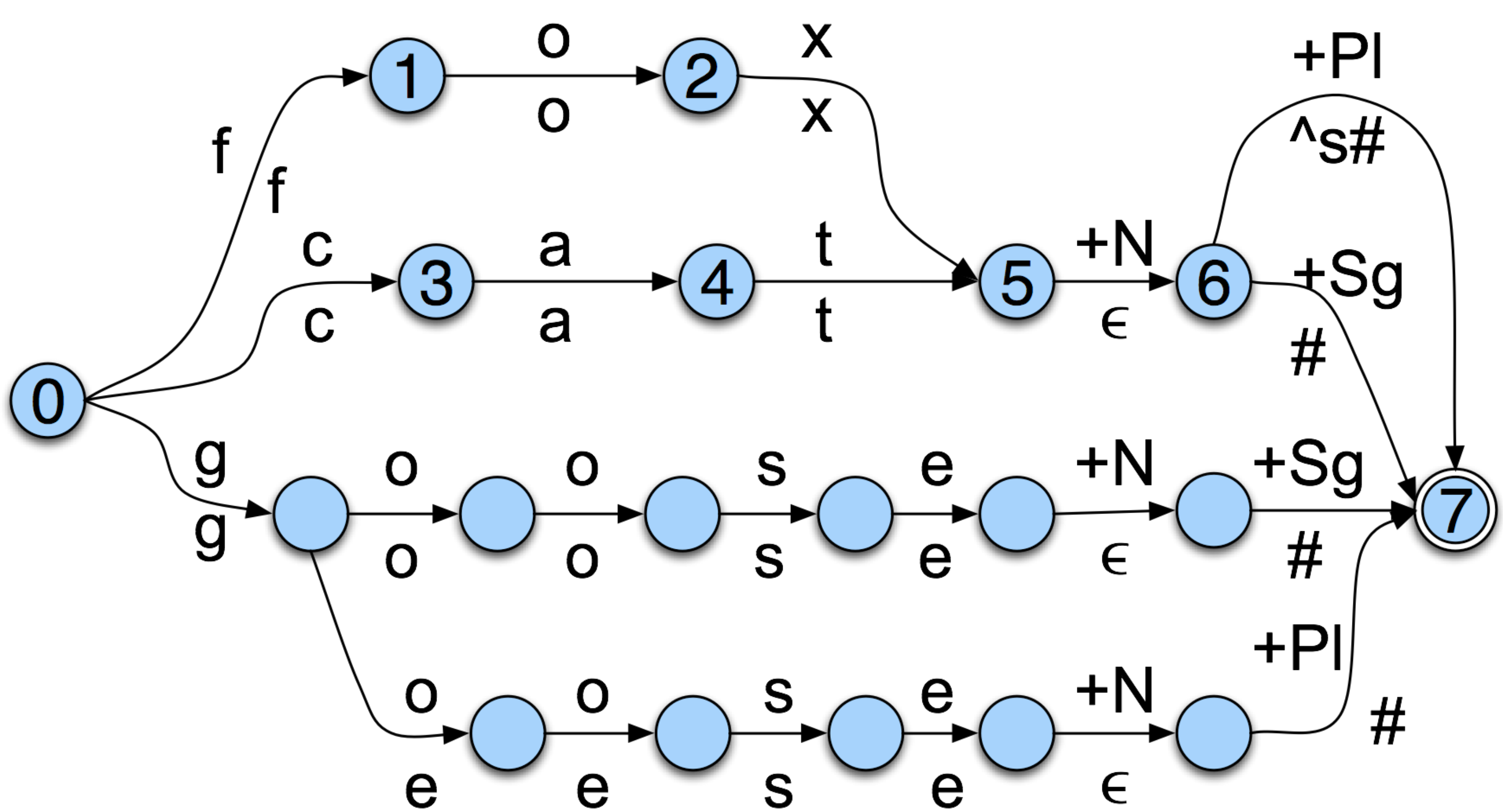
Intermediate-to-Surface Spelling Rule:

If plural '*s*' follows a morpheme ending in '*x*','*z*' or '*s*', insert '*e*'.

FST composition/cascade:

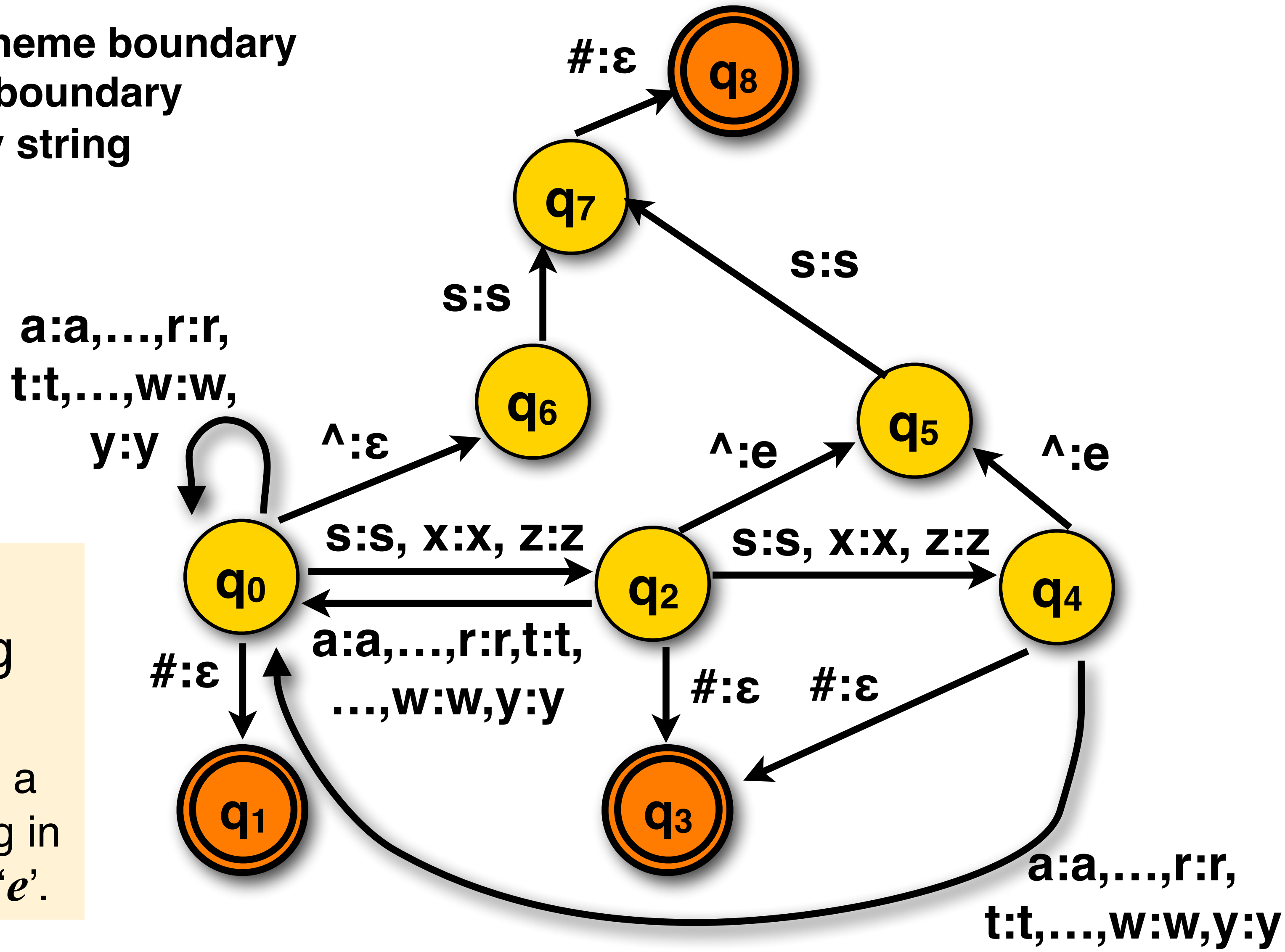


T_{lex}: Lexical to intermediate level



$T_{e\text{-insert}}$: intermediate to surface level

^ = morpheme boundary
# = word boundary
ε = empty string



Intermediate-to-Surface Spelling Rule:

If plural '*s*' follows a morpheme ending in '*x*','*z*' or '*s*', insert '*e*'.

Dealing with ambiguity

book: *book +N +sg* or *book +V*?

Generating words is generally unambiguous,
but analyzing words often requires disambiguation.

We need a nondeterministic FST.

- Efficiency problem: Not every nondeterministic FST can be translated into a deterministic one!

We also need a scoring function to identify which analysis is more likely.

- We may need to know the context in which the word appears: (I read a **book** vs. I **book** flights)

Other applications of FSTs

A computer therapist?

Computer: TELL ME MORE ABOUT YOUR FAMILY

Human: My mother takes care of me.

Computer: WHO ELSE IN YOUR FAMILY TAKES CARE OF YOU

Human: My father.

Computer: YOUR FATHER

Human: You are like my father in some ways.

Computer: WHAT RESEMBLANCE DO YOU SEE

Human: You are not very aggressive but I think you don't want me to notice that.

Computer: WHAT MAKES YOU THINK I AM NOT VERY AGGRESSIVE

Human: You don't argue with me.

Computer: WHY DO YOU THINK I DON'T ARGUE WITH YOU

Human: You are afraid of me.

Weizenbaum (1966), ELIZA.

ELIZA as a FST cascade

Human: *You don't argue with me.*

Computer: *WHY DO YOU THINK I DON'T ARGUE WITH YOU*

1. Replace **you** with ***I*** and ***me*** with ***you:***
   I don't argue with you.
2. Replace ***<...>*** with ***Why do you think <...>:***
   Why do you think I don't argue with you.

What about other NLP tasks?

Could we write an FST for machine translation?

What about compounds?

Semantically, compounds have hierarchical structure:

(((ice cream) cone) bakery)
not (ice ((cream cone) bakery))

((computer science) (graduate student))
not (computer ((science graduate) student))

We will need context-free grammars to capture this underlying structure.

Today’s key concepts

Morphology (word structure): stems, affixes
Derivational vs. inflectional morphology
Compounding
Stem changes
Morphological analysis and generation

Finite-state automata
Finite-state transducers
Composing finite-state transducers

Today’s reading

This lecture follows closely
Chapter 3.1-7 in J&M 2008

Optional readings (see website)
Karttunen and Beesley '05, Mohri (1997), the Porter stemmer, Sproat et al. (1996)