

# Automatically Learning Shape Specifications

He Zhu   Gustavo Petri   Suresh Jagannathan

$(\mathcal{S}^3)$

**PURDUE**  
UNIVERSITY

# Software Verification ...

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Software Verifier

# Software Verification ...

```
let ocaml_merge_tree
(t1:tree) (t2:tree) =
  (//recursively merge t1, t2;
   ... ..;
   ... ..;
   ... ..;
  )
```

Programs

Software Verifier

# Software Verification ...

Specifications

Programs

Software Verifier

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let ocaml_merge_tree
(t1:tree) (t2:tree) =
  _(requires BST(t1) && BST(t2))
  _(requires Set(t1) < Set(t2))
  _(ensures BST(\res))
  _(ensures Set(\res)=
        Set(t1)++Set(t2))
  (//recursively merge t1, t2;
   ... ..;
   ... ..;
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Specifications are  
as complex as  
code

# Software Verification ...

Inductive Inv

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# A Programmer's Day ...





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Defining data structures ...

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## Writing functions ...

```
// flat: 'a list -> 'a tree -> 'a list
```

```
let rec flat accu t =  
  match t with  
  | Leaf -> accu  
  | Node (x, l, r) ->  
    flat (x::(flat accu r)) l
```

```
// elements: 'a tree -> 'a list
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```
let elements t = flat [] t
```

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No assertions /  
pre-conditions /  
post-conditions!

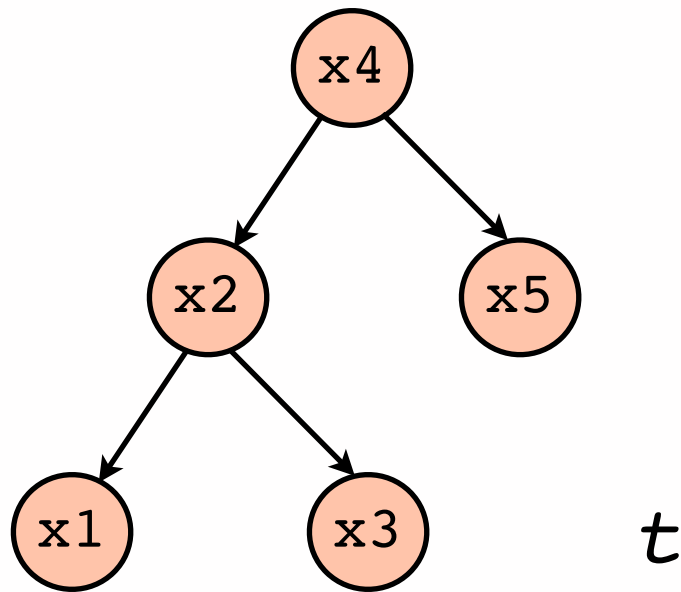
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# A Programmer's Day ...

Testing code ...

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$l = \text{elements } t$

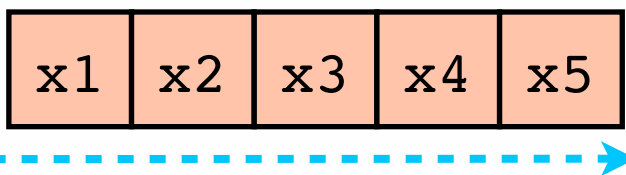
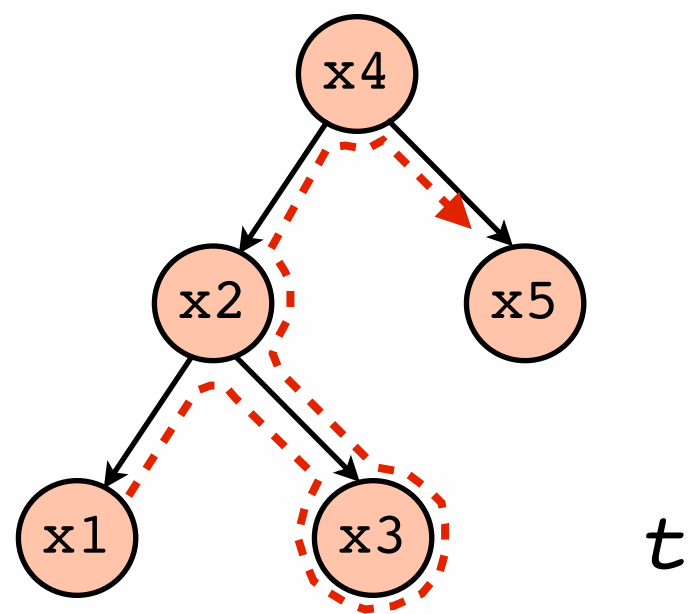


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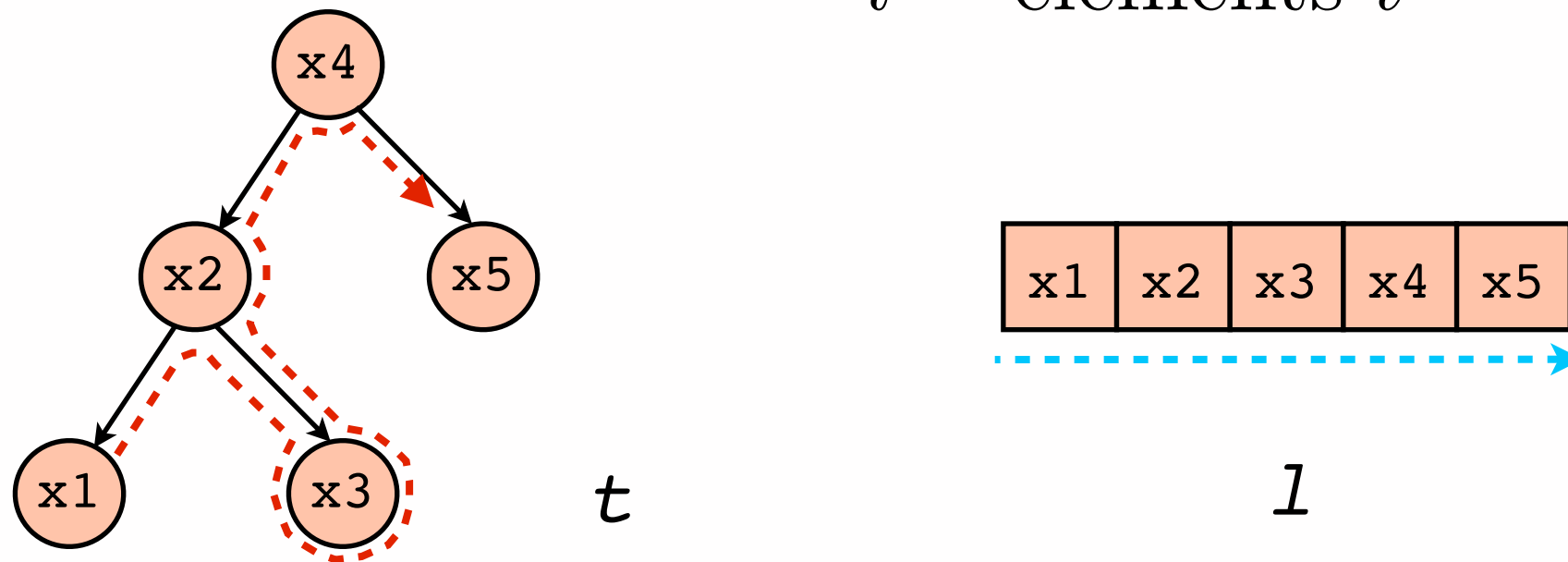
*l*

# A Programmer's Day ...

## Testing code ...

```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



```
// specification:
// elements: 'a tree -> 'a list
// l = elements t

// ..... → ≡ ..... →
// in-order(t)    forward-order(l)
```



From a programmer's day ...

# From a programmer's day ...

Programmers  
write tests

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Verifiers  
require specifications

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## Vision:

A general specification can be discovered from  
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Goal: Design a learner to automatically  
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## Vision:

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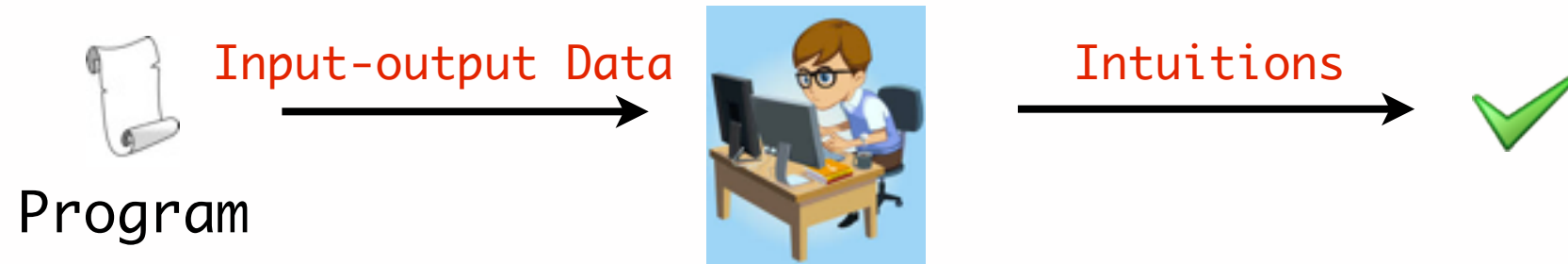
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# A Learner's Day ...



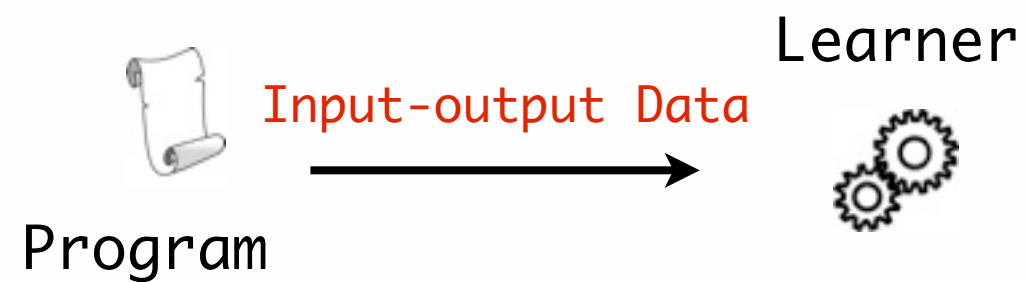
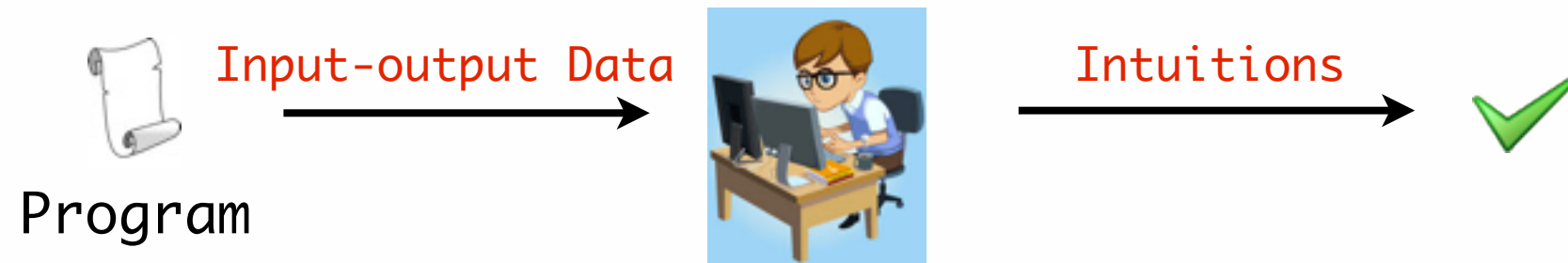


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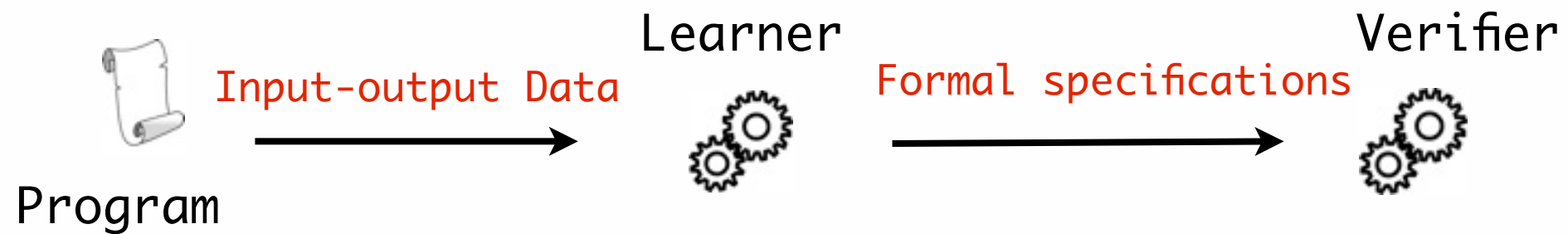
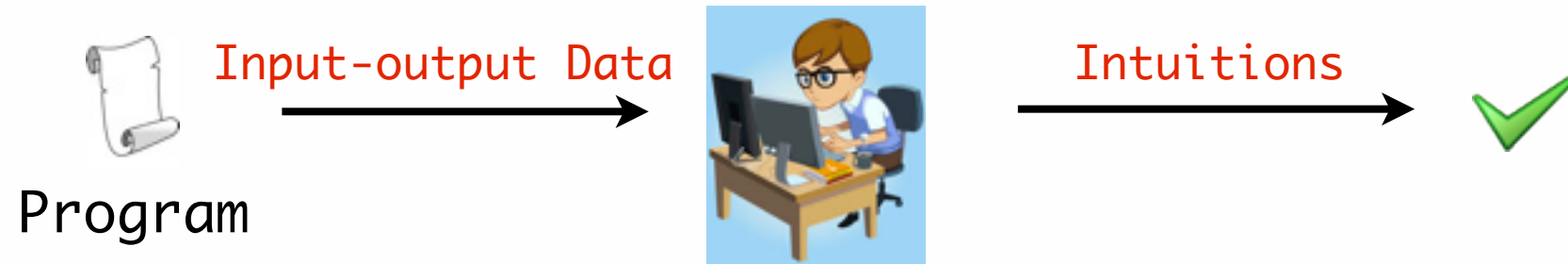


Program

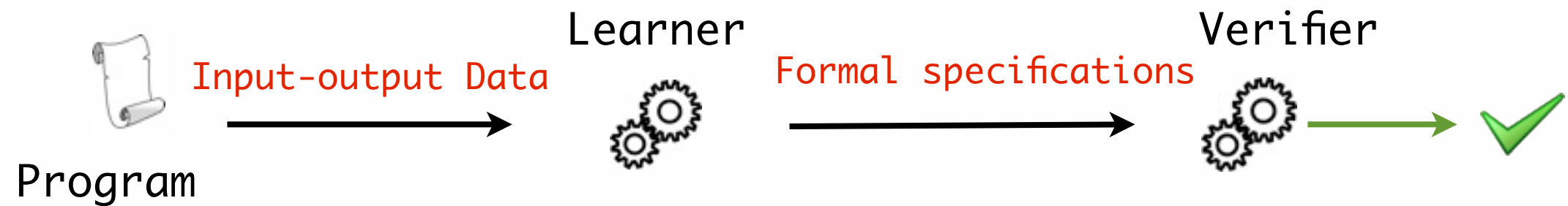
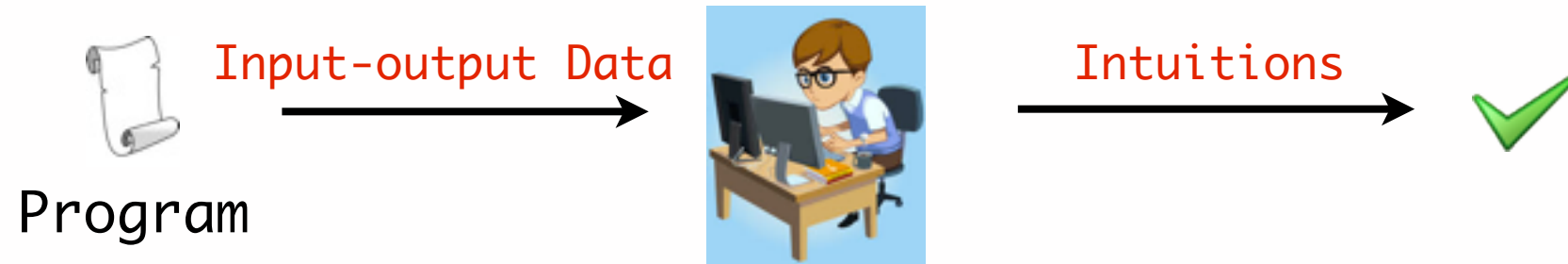
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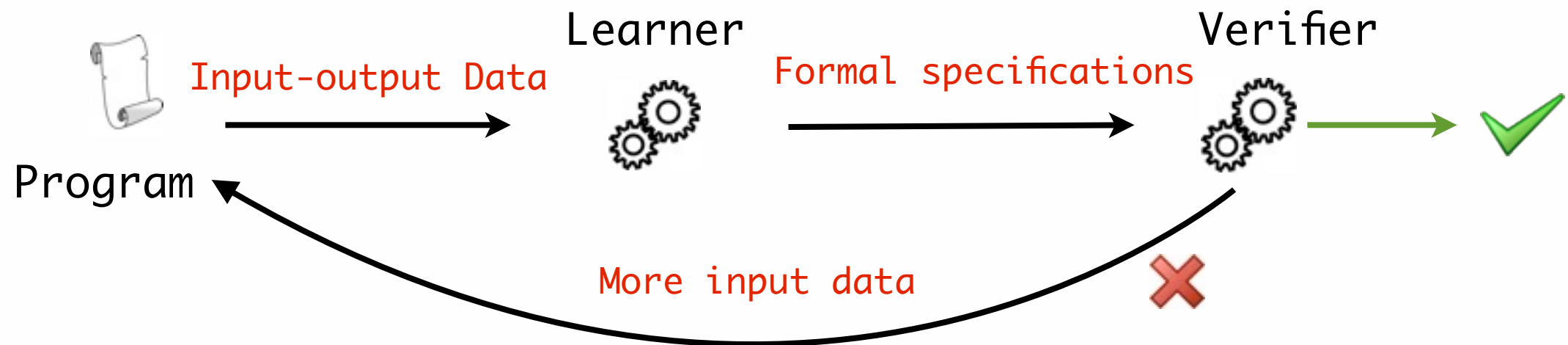
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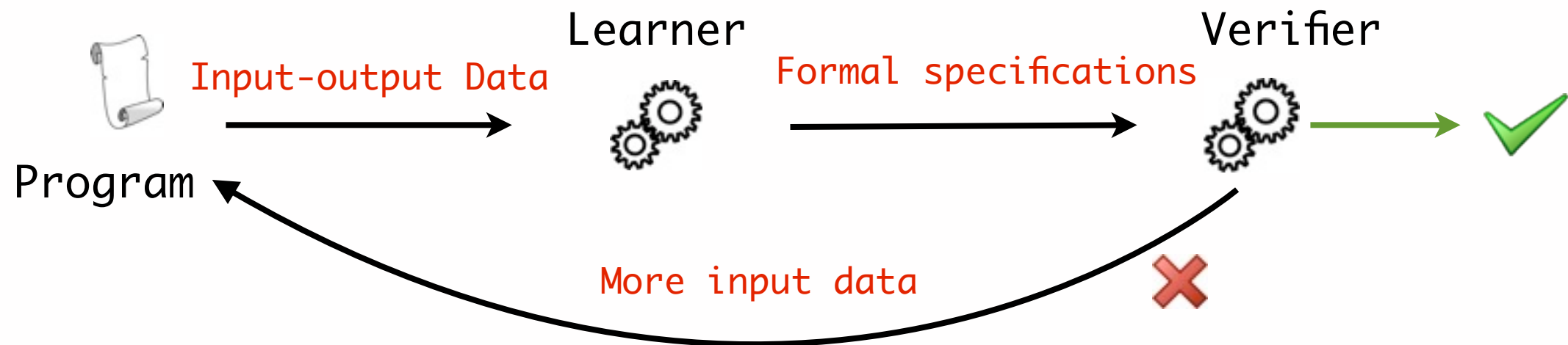
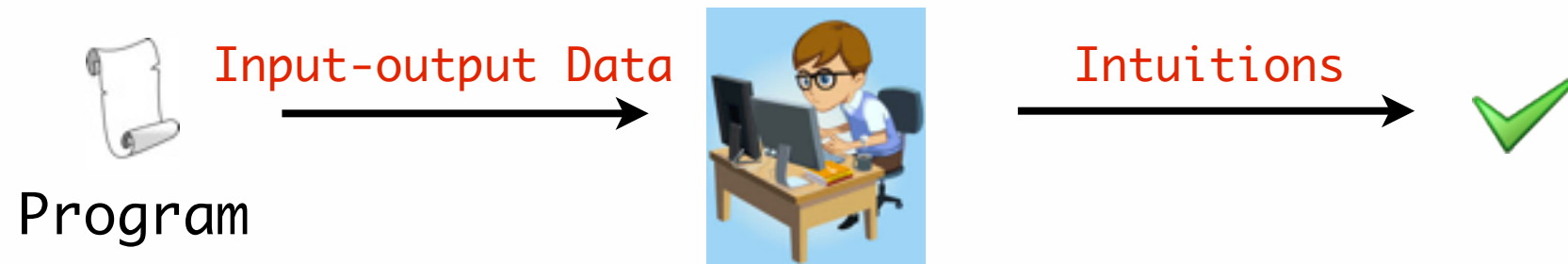
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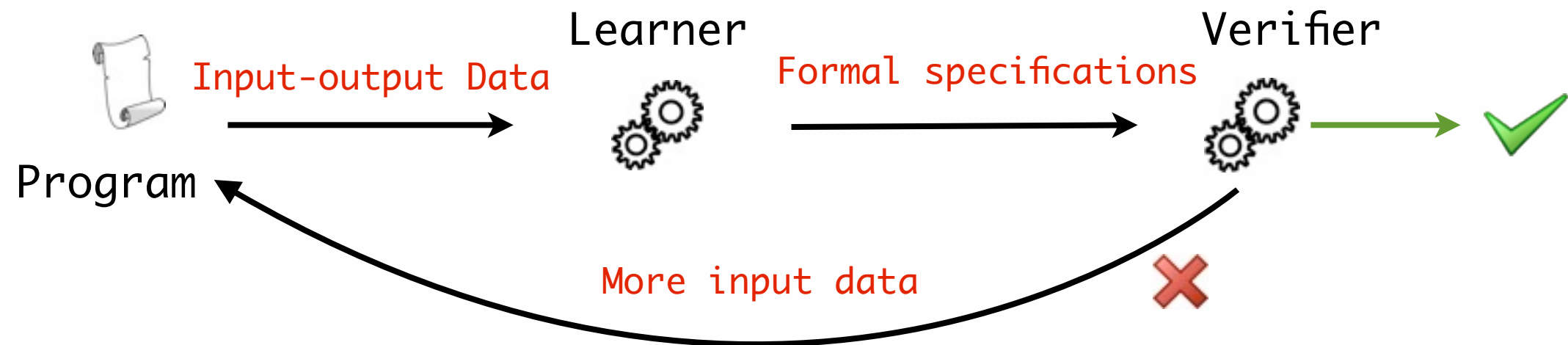
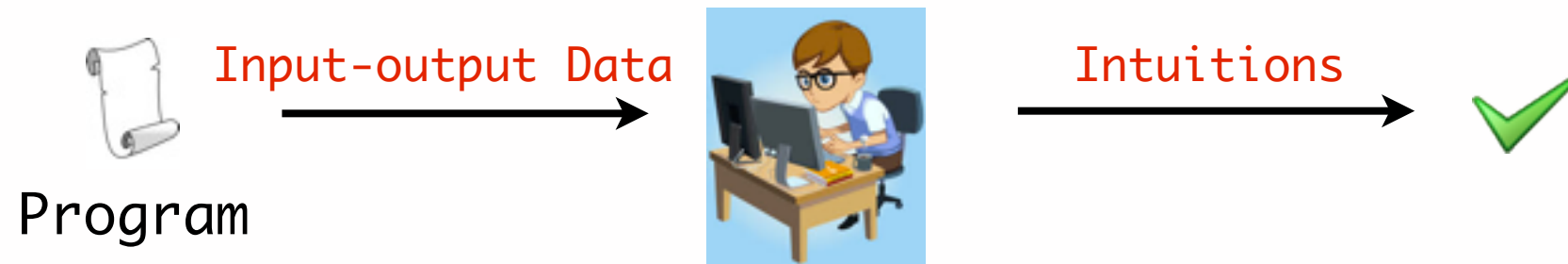


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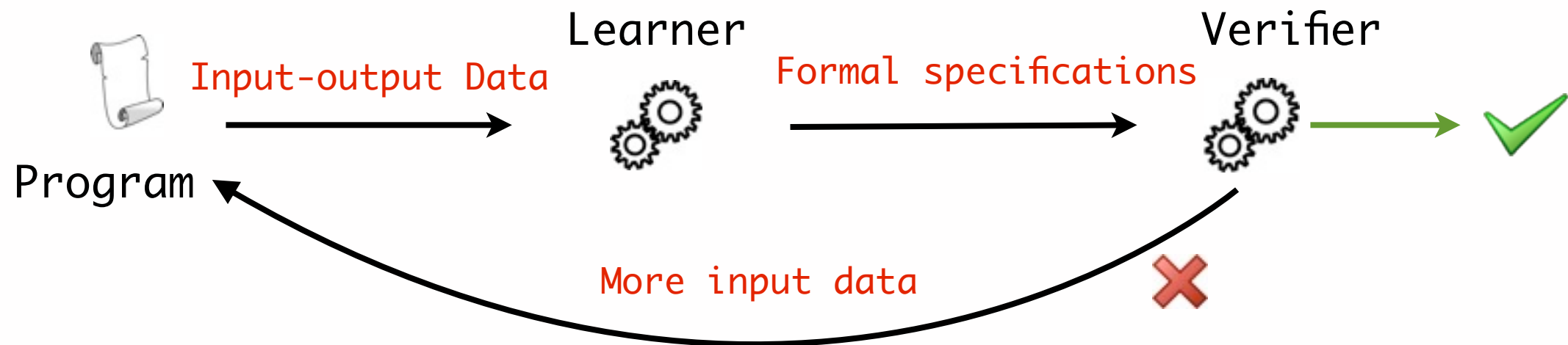
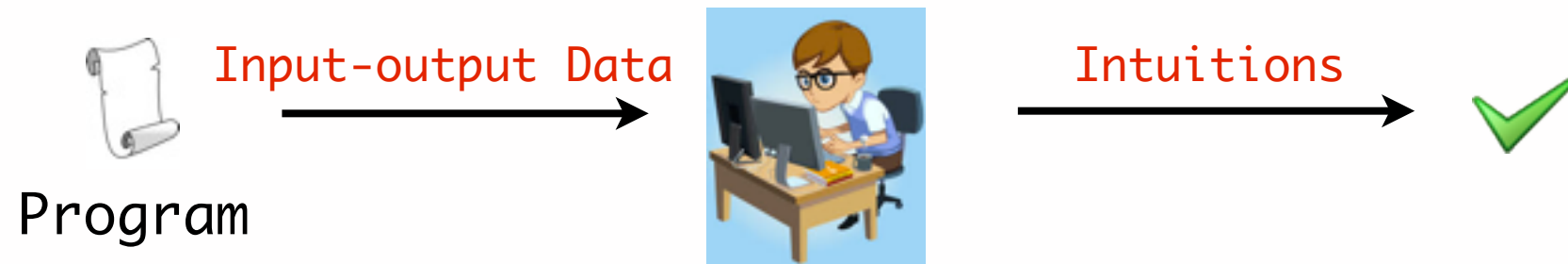
- Automated test inputs generation vs. Manual tests

# A Learner's Day ...



- Automated test inputs generation vs. Manual tests
- Formal specifications vs. Intuitions

# A Learner's Day ...



- Automated test inputs generation vs. Manual tests
- Formal specifications vs. Intuitions
- Robust verification result vs. Programmers' belief



In this talk ...

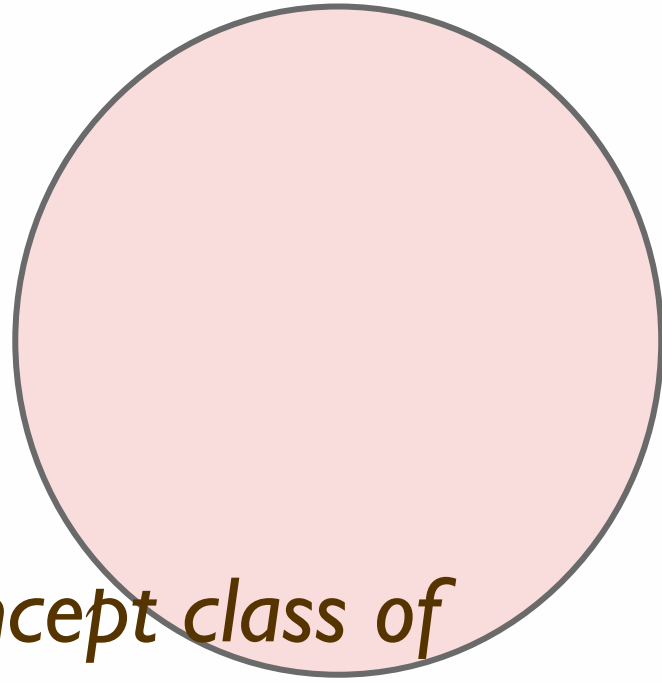
# A Convergent Learning Technique for Specification Synthesis

Learning

# Learning

# Specification Learning ...

# Specification Learning ...



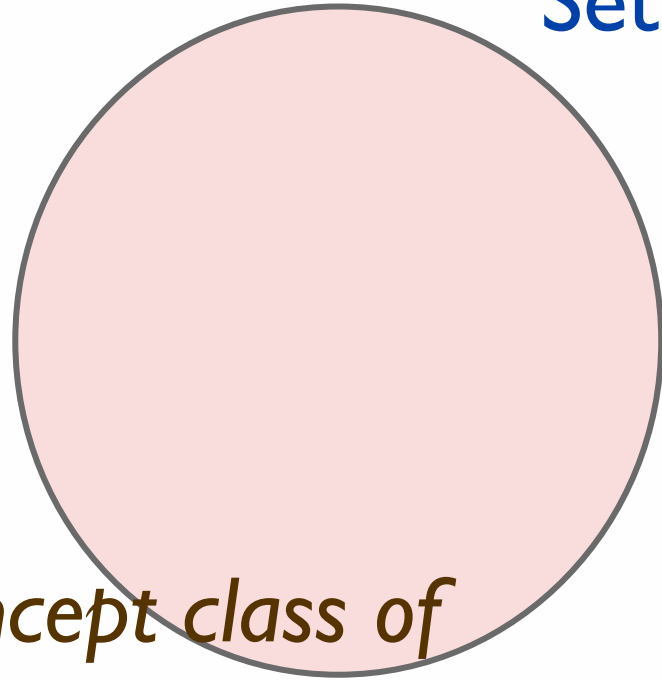
*C: Concept class of  
program P:*

*Data structures,  
Numeric domains,*

*...*

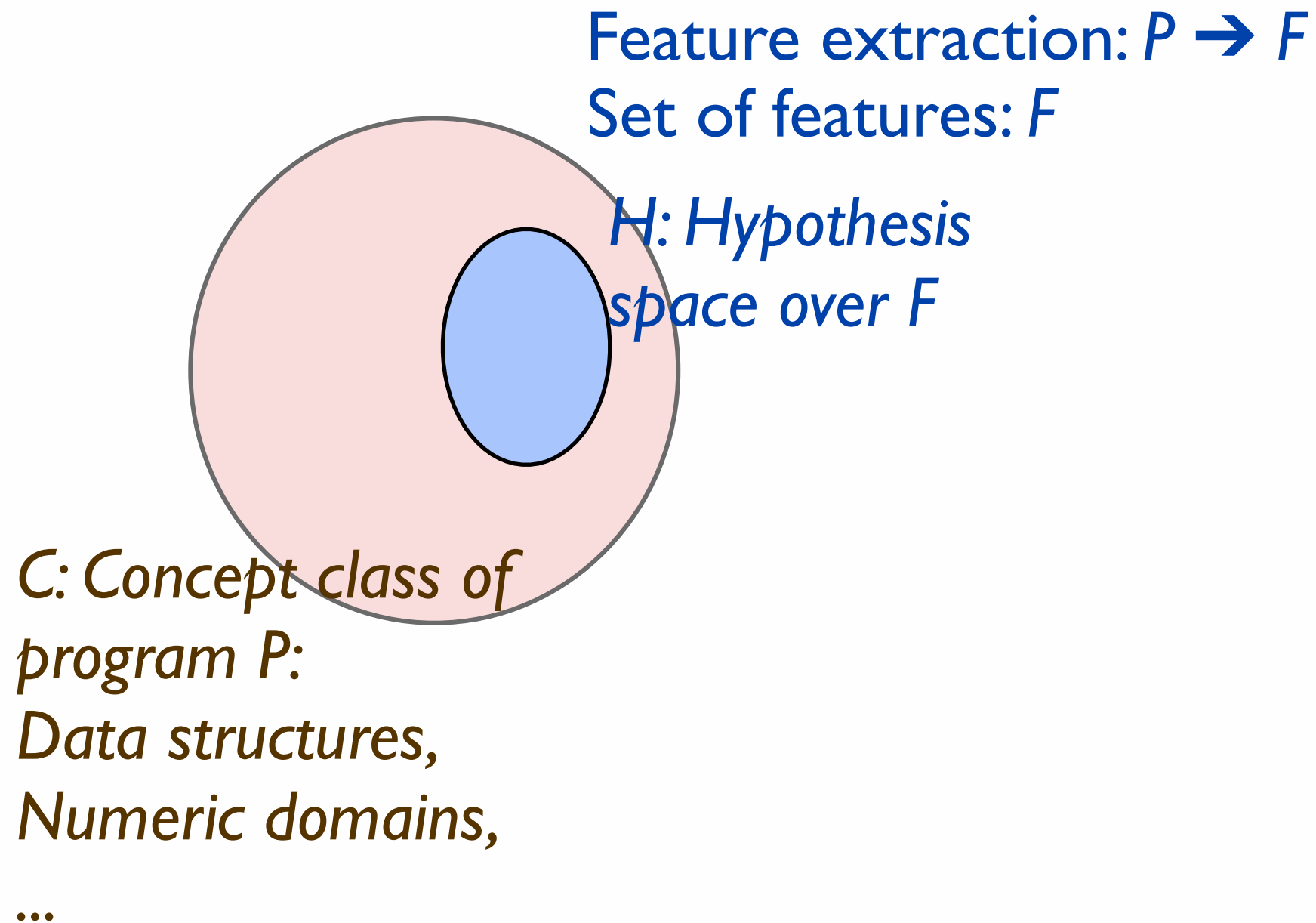
# Specification Learning ...

Feature extraction:  $P \rightarrow F$   
Set of features:  $F$

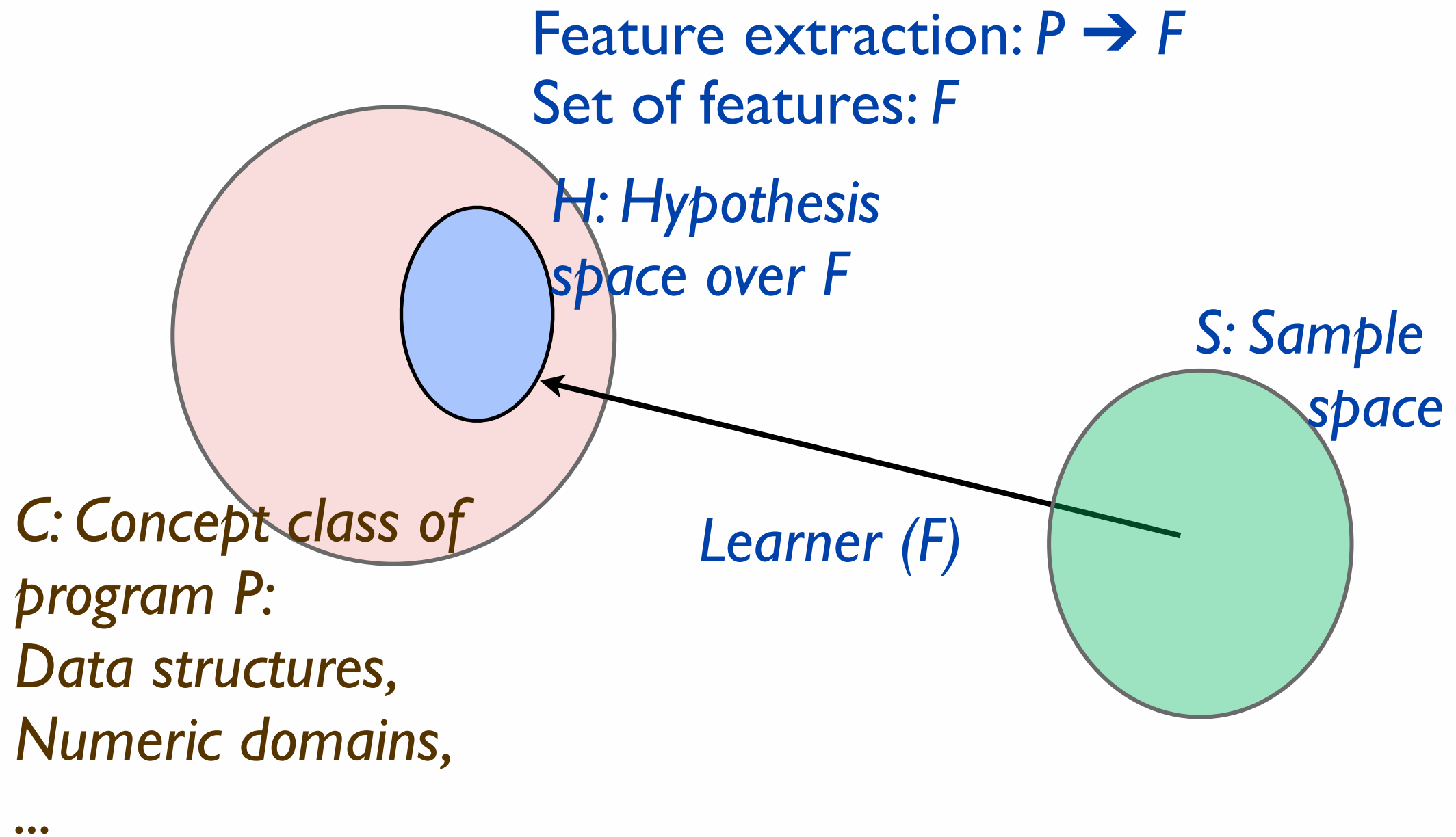


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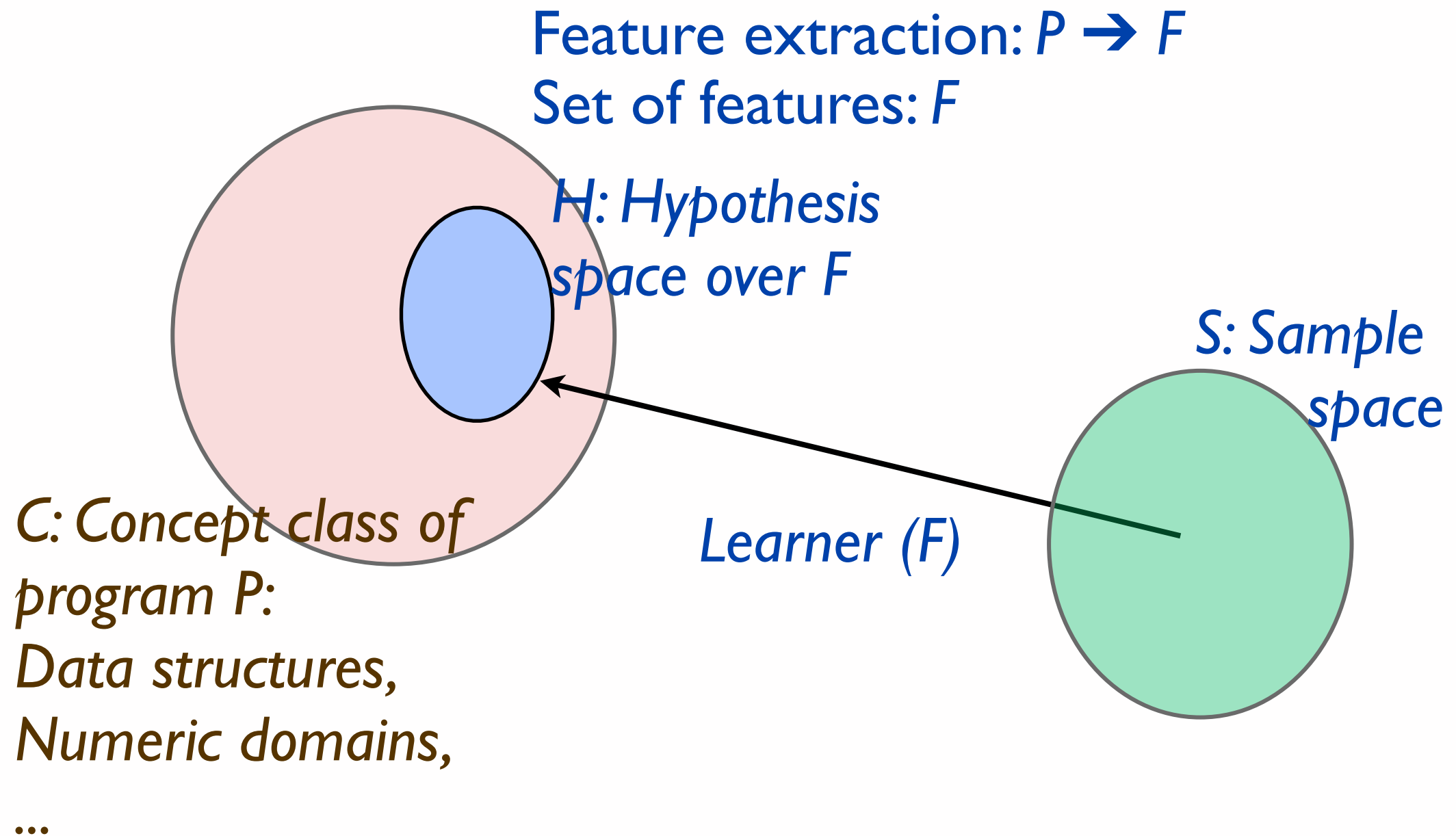


# Specification Learning ...





# Specification Learning ...



- Assumptions:
  - Inductive Functional Data Structures

# Features of Data Structures ...

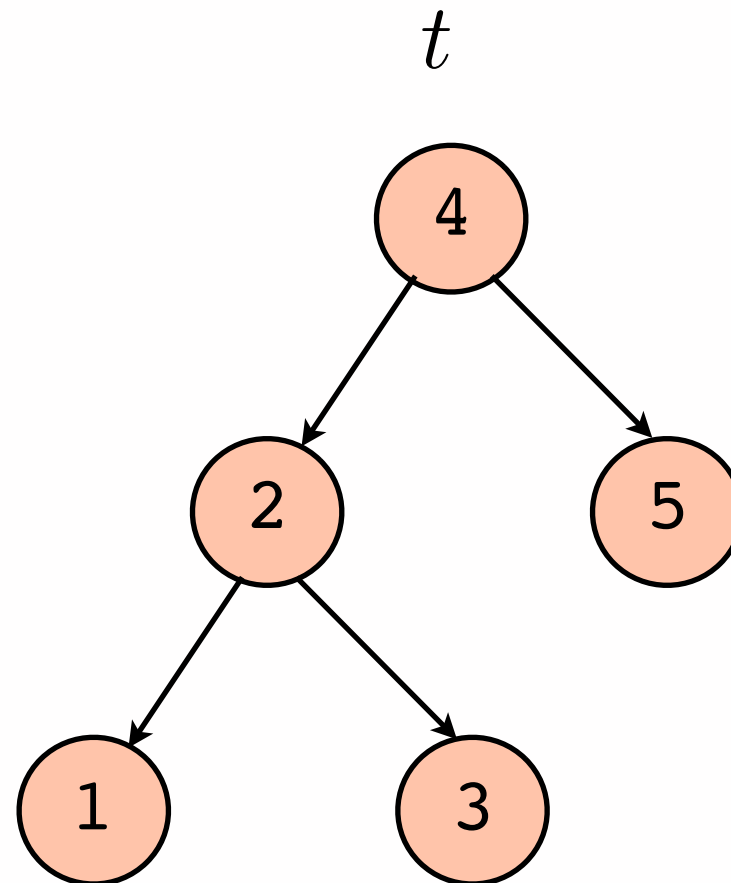
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let elements t = flat [] t
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$l = \text{elements } t$

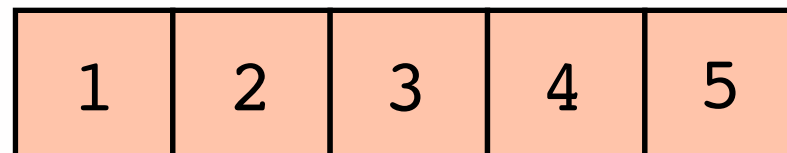
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$l$



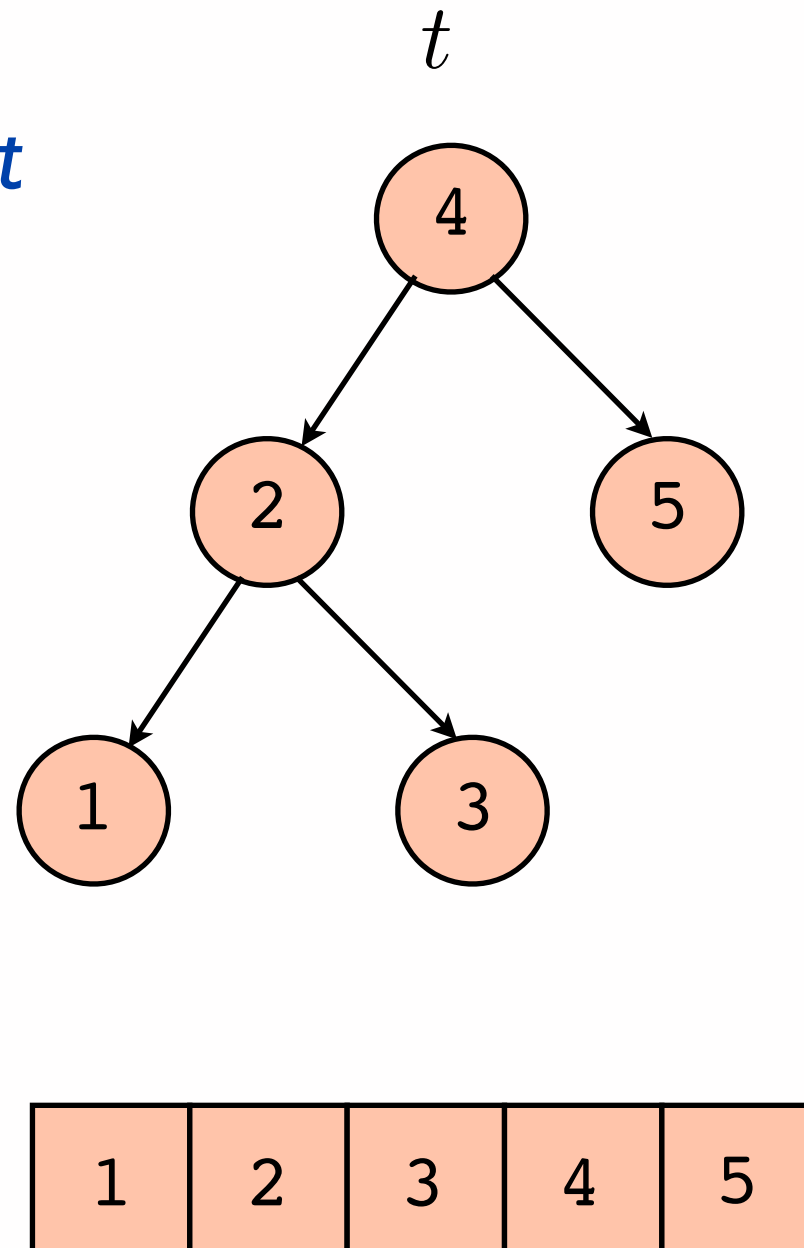
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$t \dashrightarrow u$     *Containment*

$t : u \swarrow v$     *Reachability*



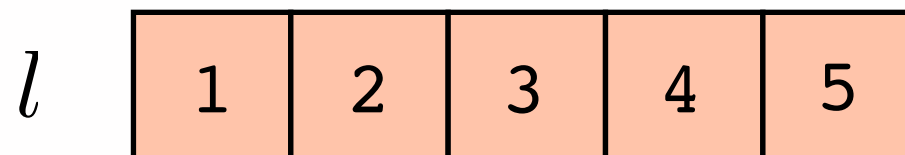
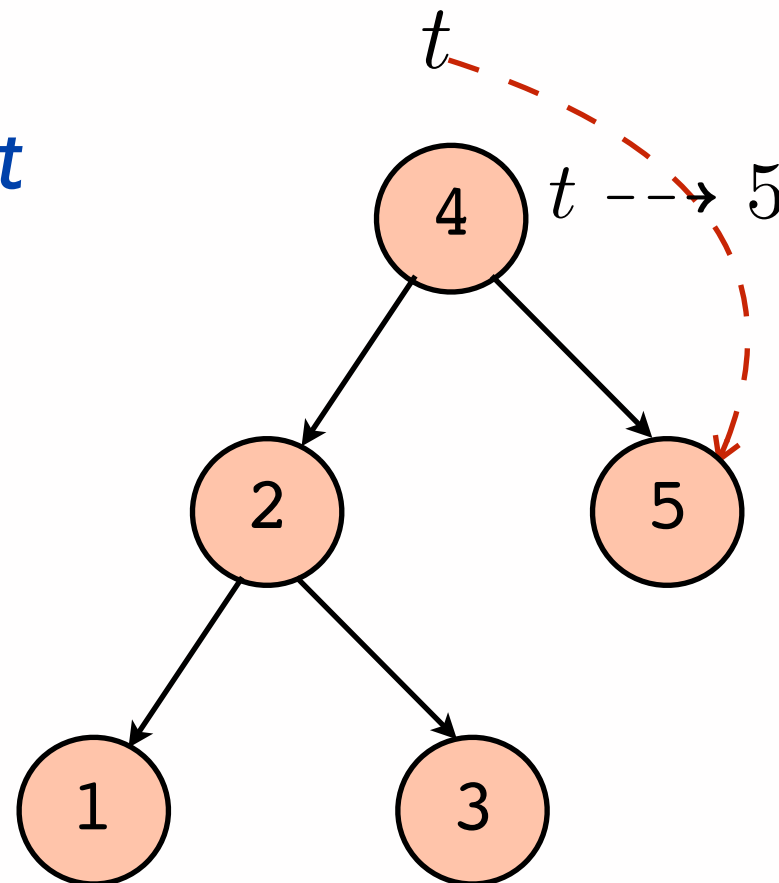
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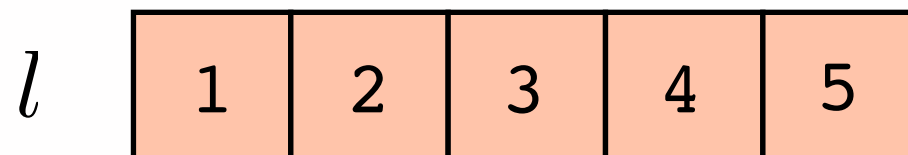
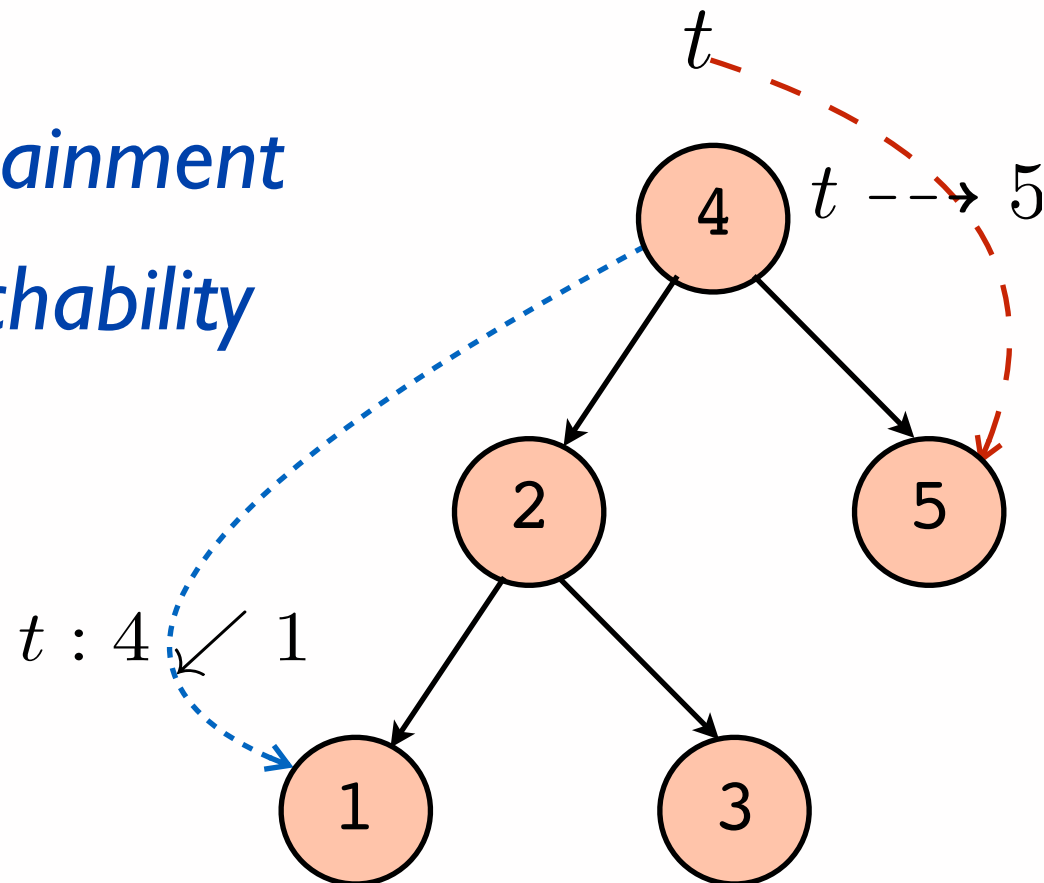
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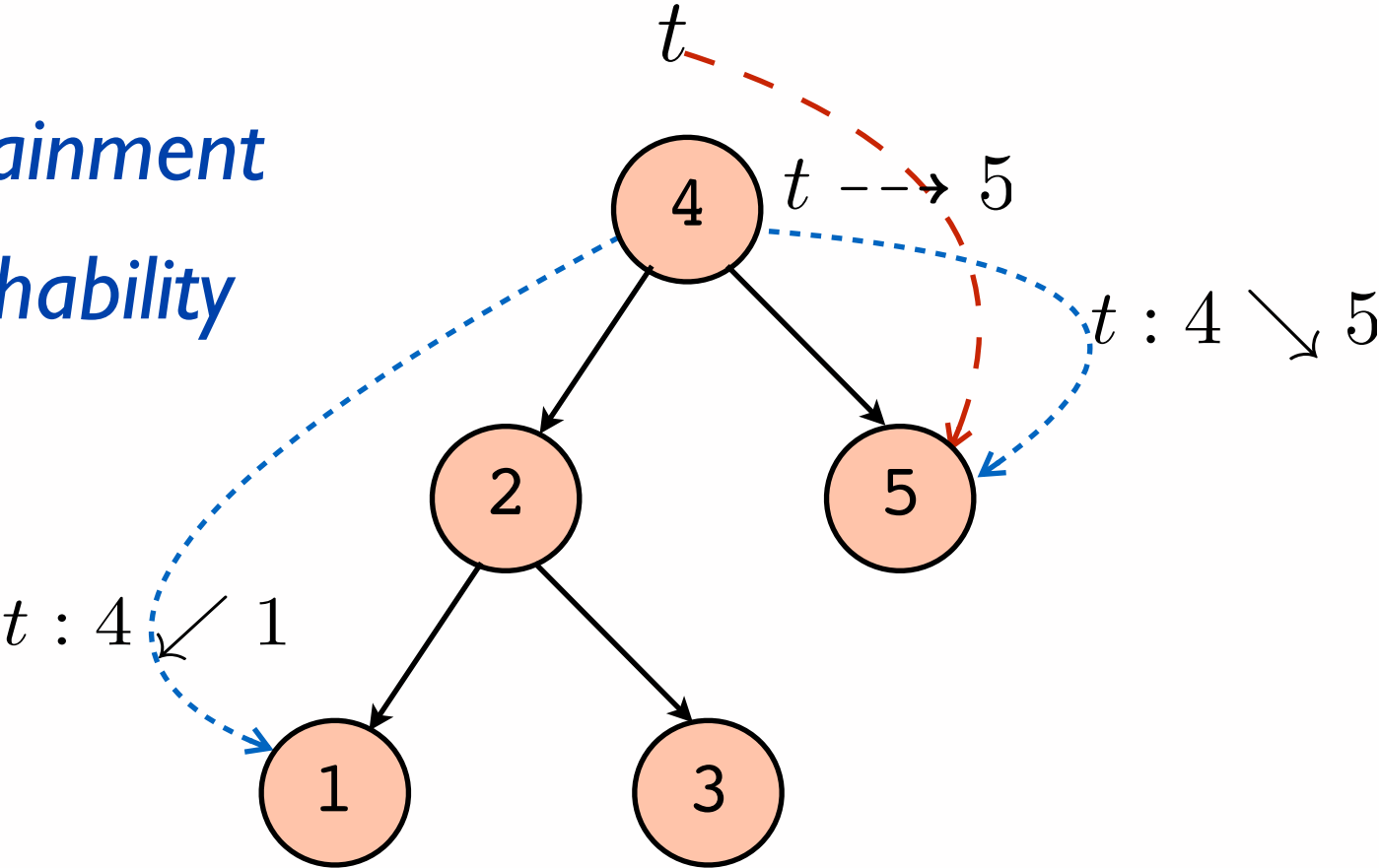
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*l*

1	2	3	4	5
---	---	---	---	---

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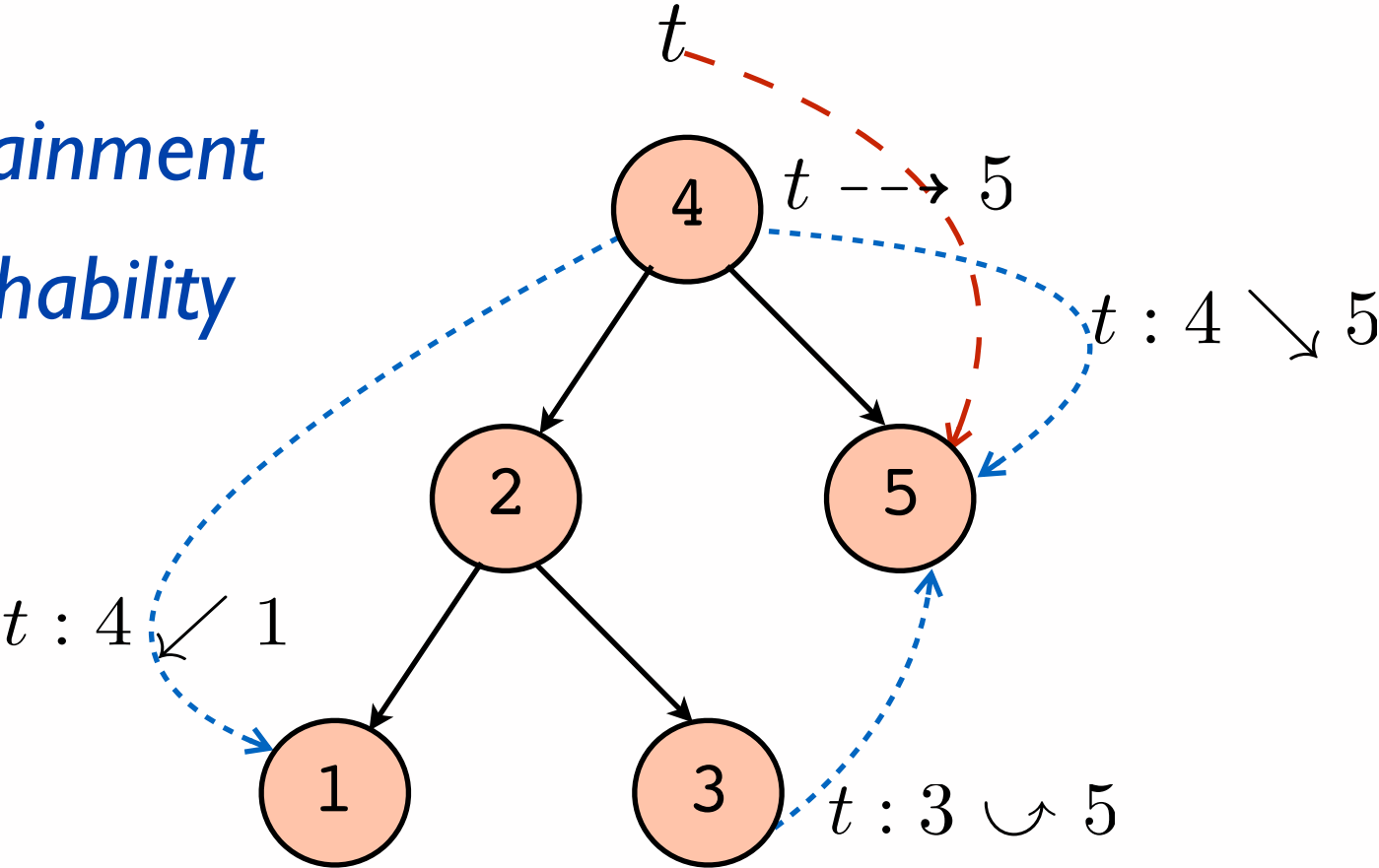
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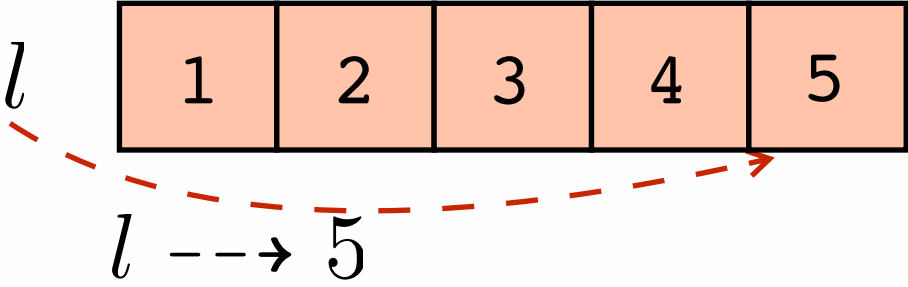
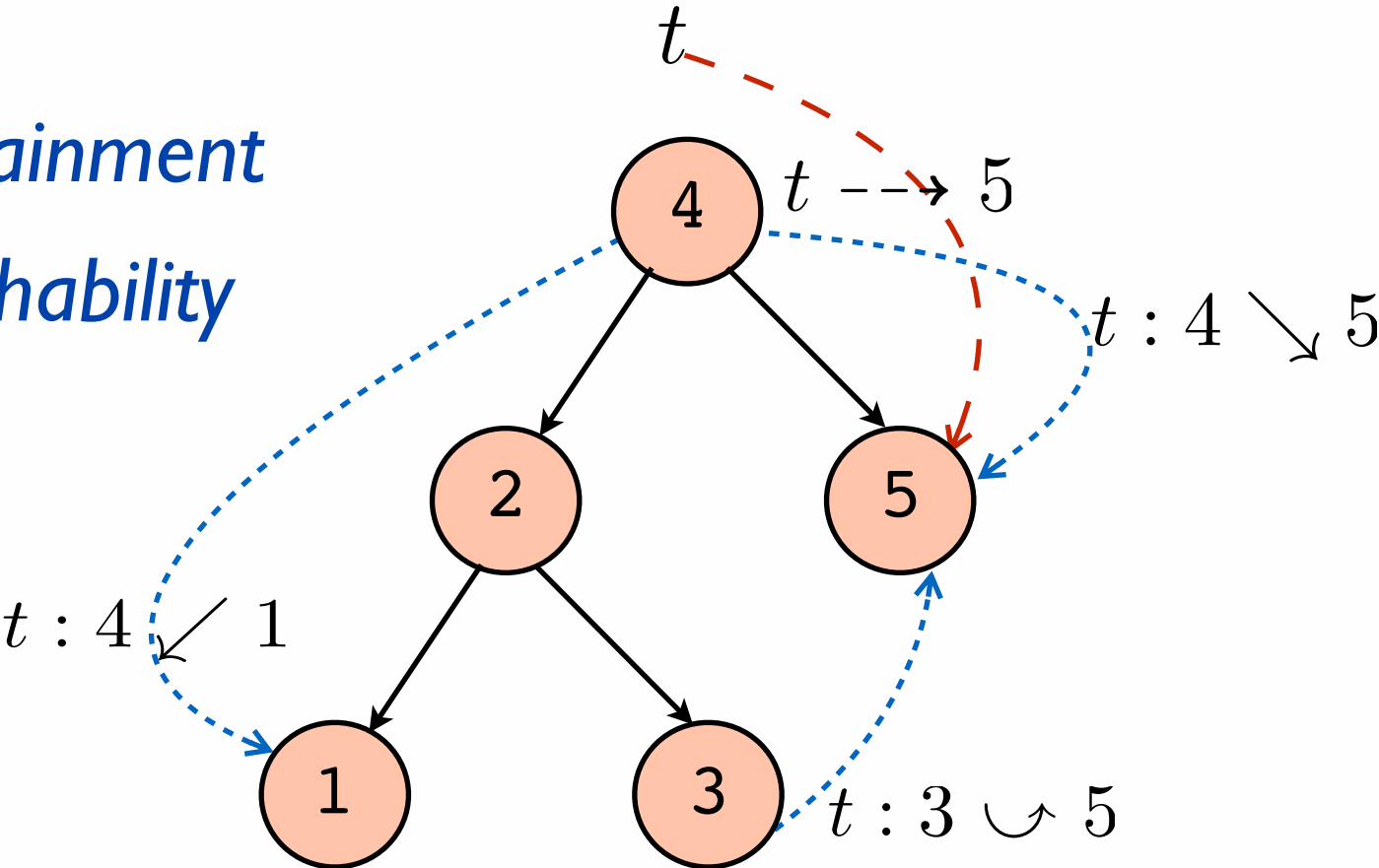
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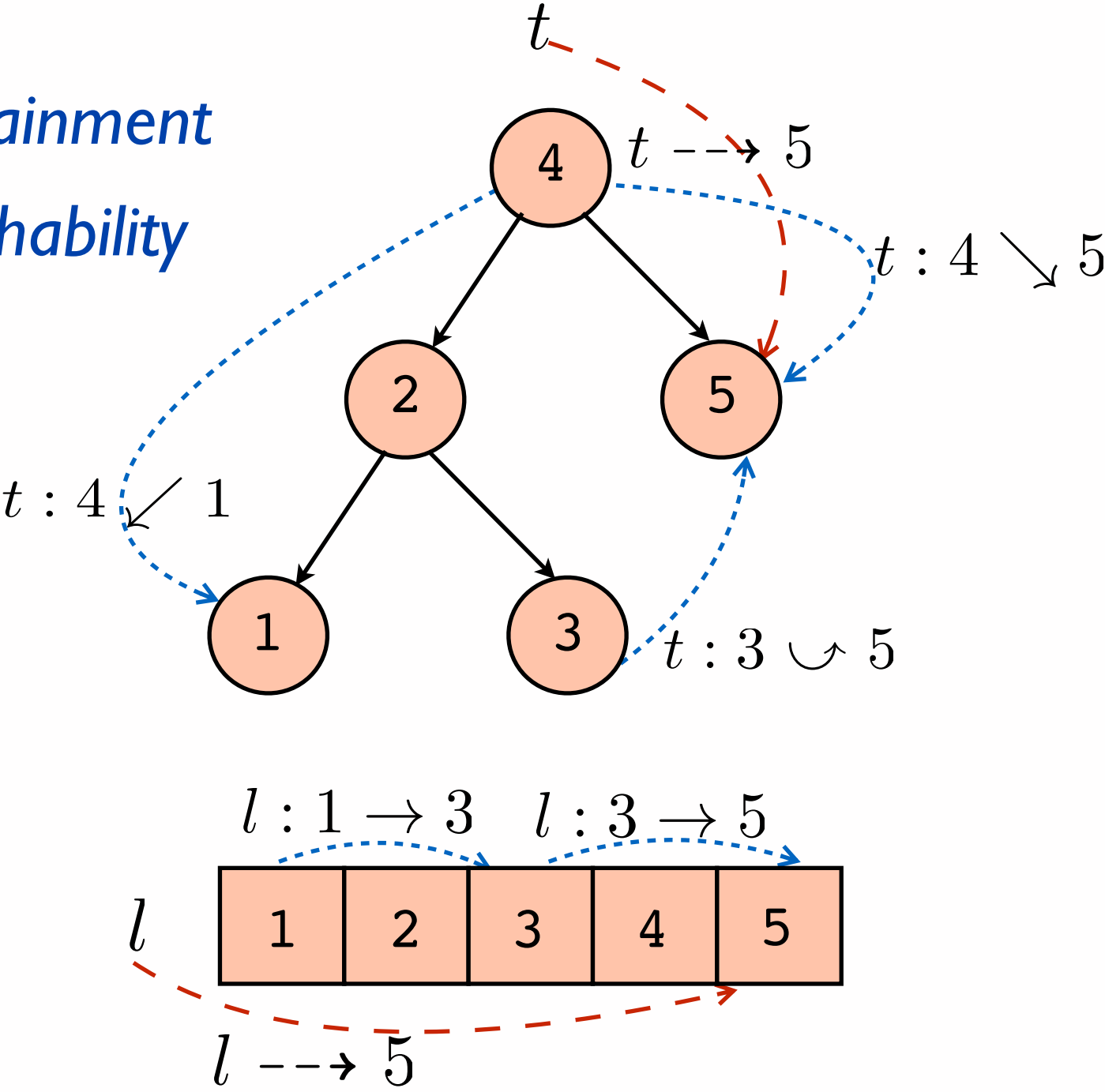
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$l : u \rightarrow v$



# Features of Data Structures ...

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Hypothesis Domain *over*  
*data structure features:*

$t \dashrightarrow u$

Containment

$t : u \searrow v$

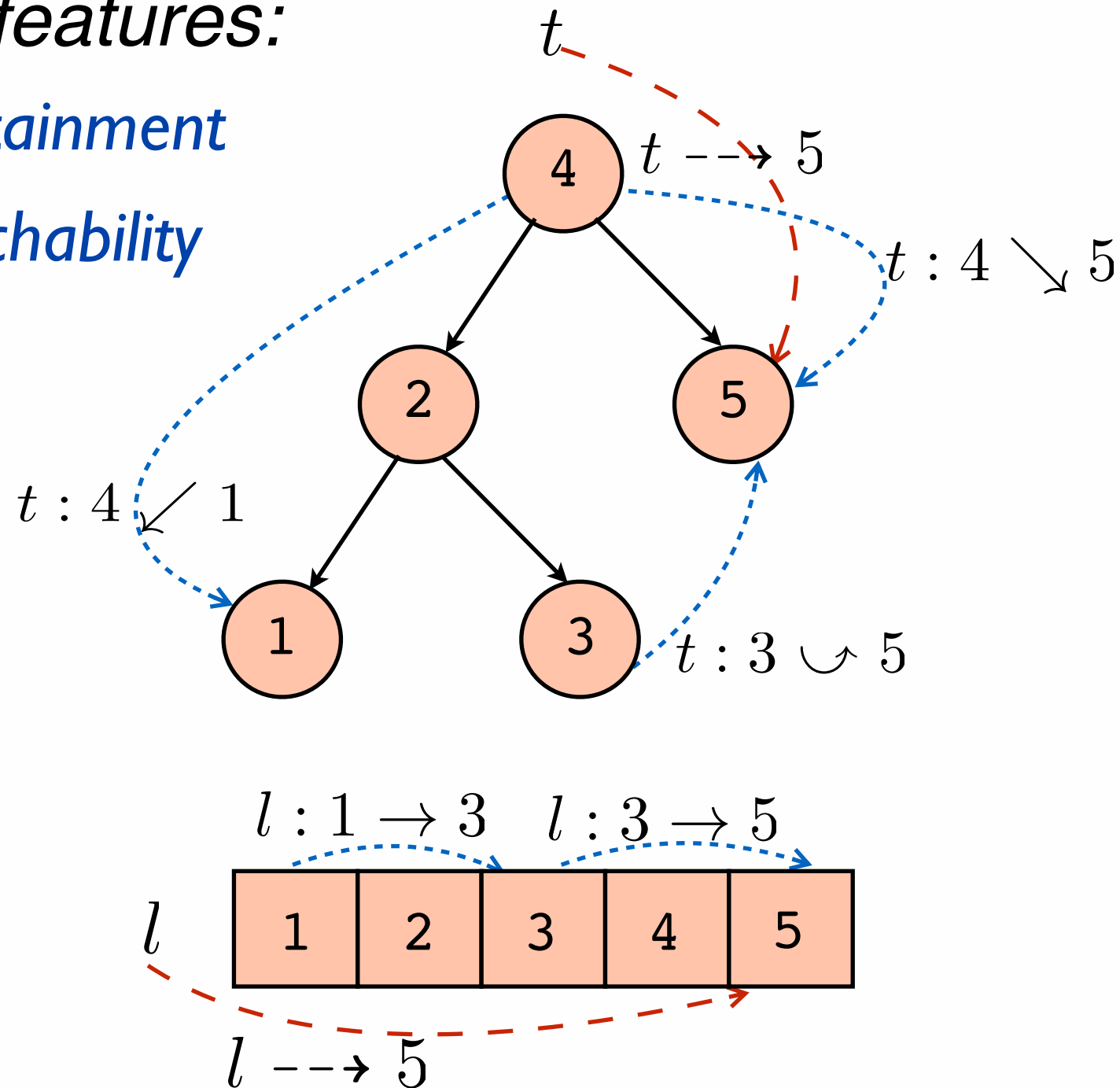
Reachability

$t : u \swarrow v$

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$l \dashrightarrow u$

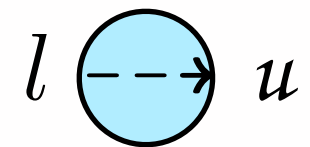
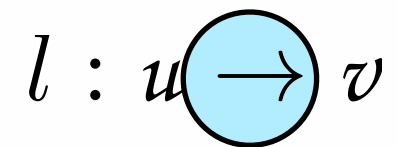
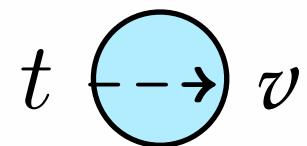
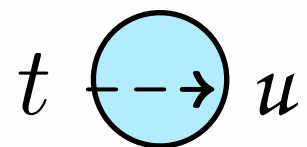
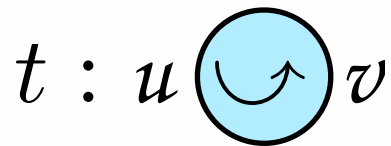
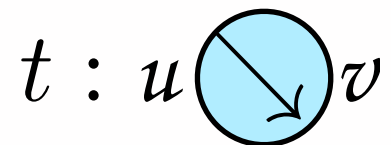
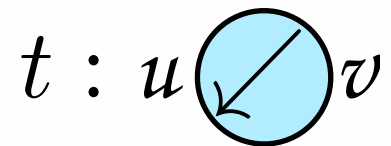
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# From features to specifications ...

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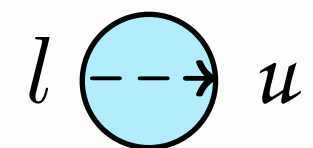
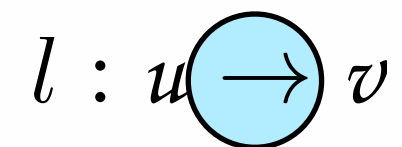
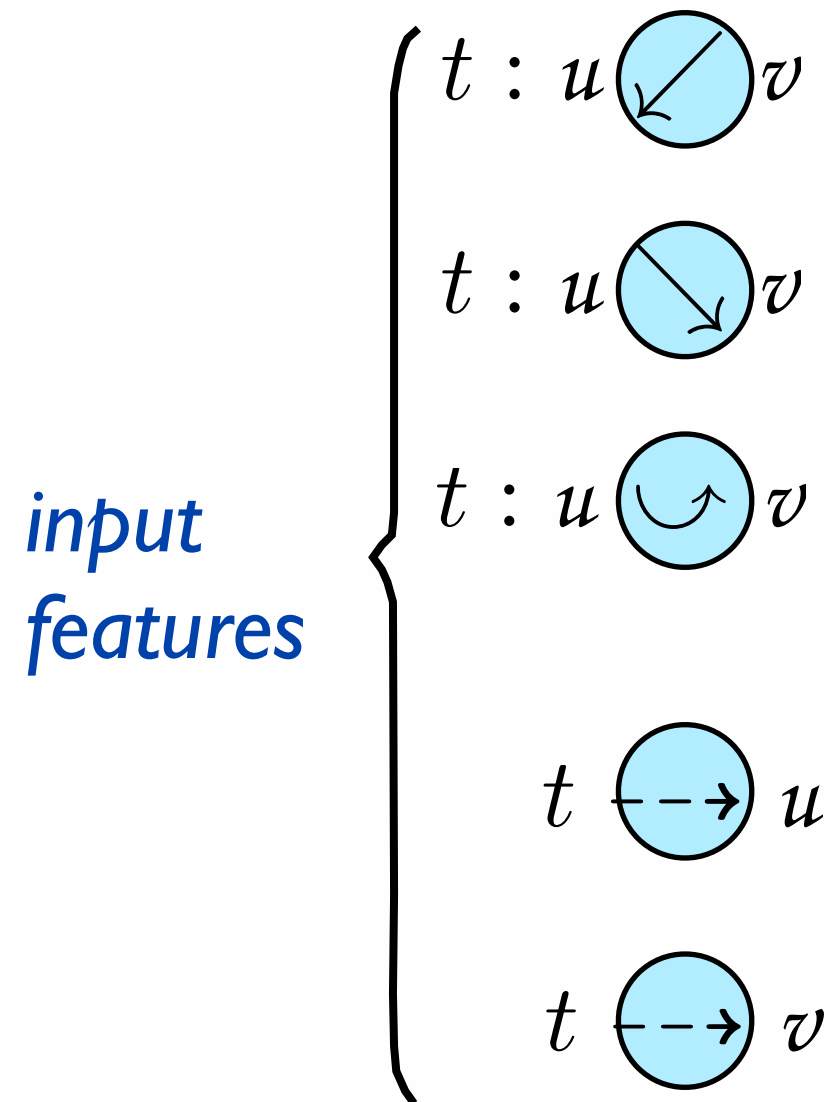
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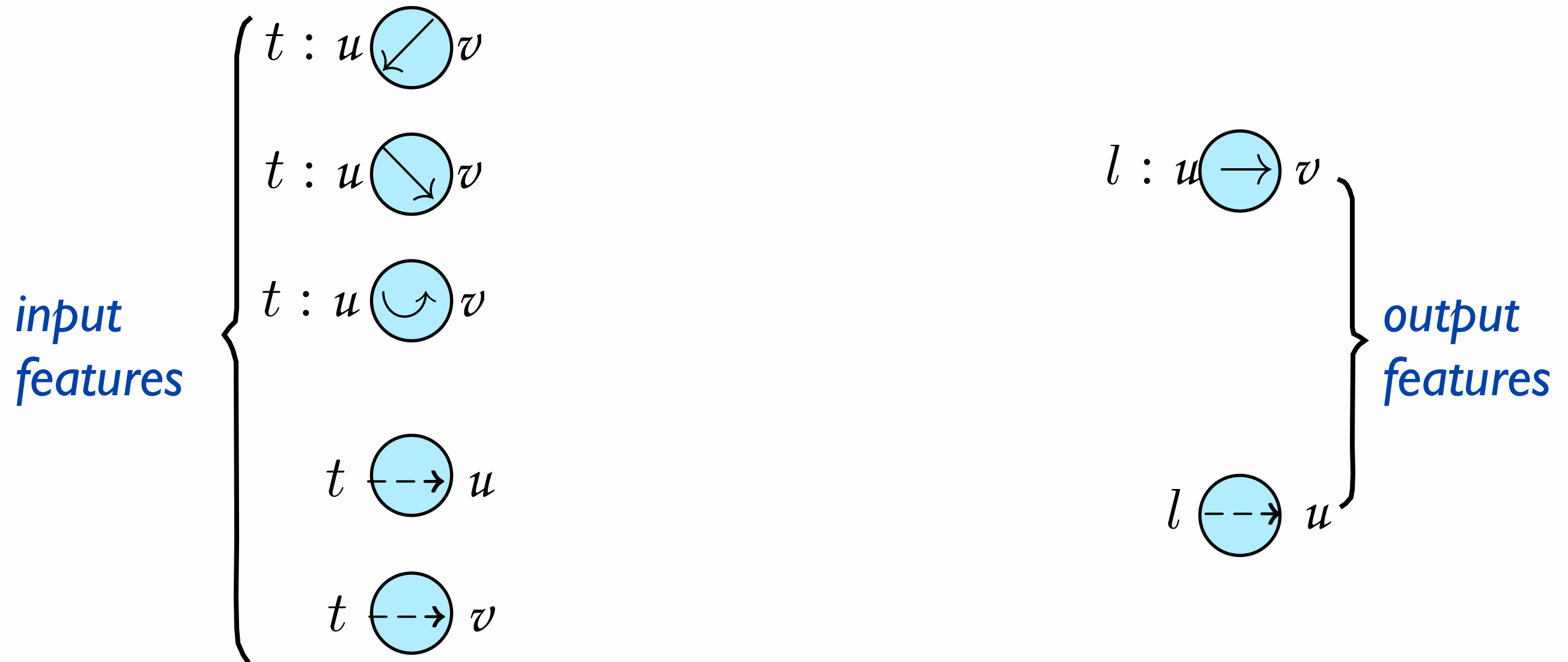
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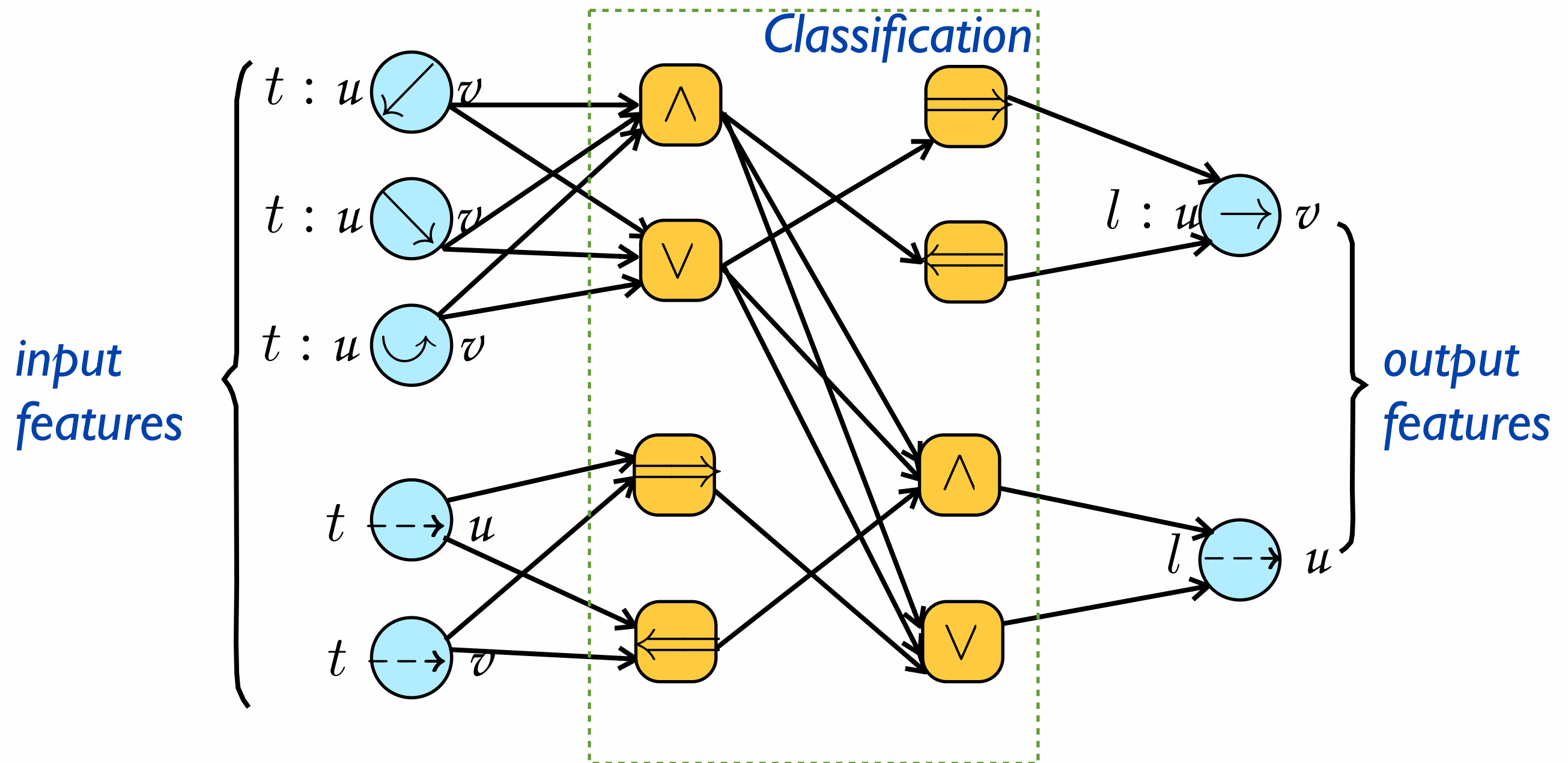
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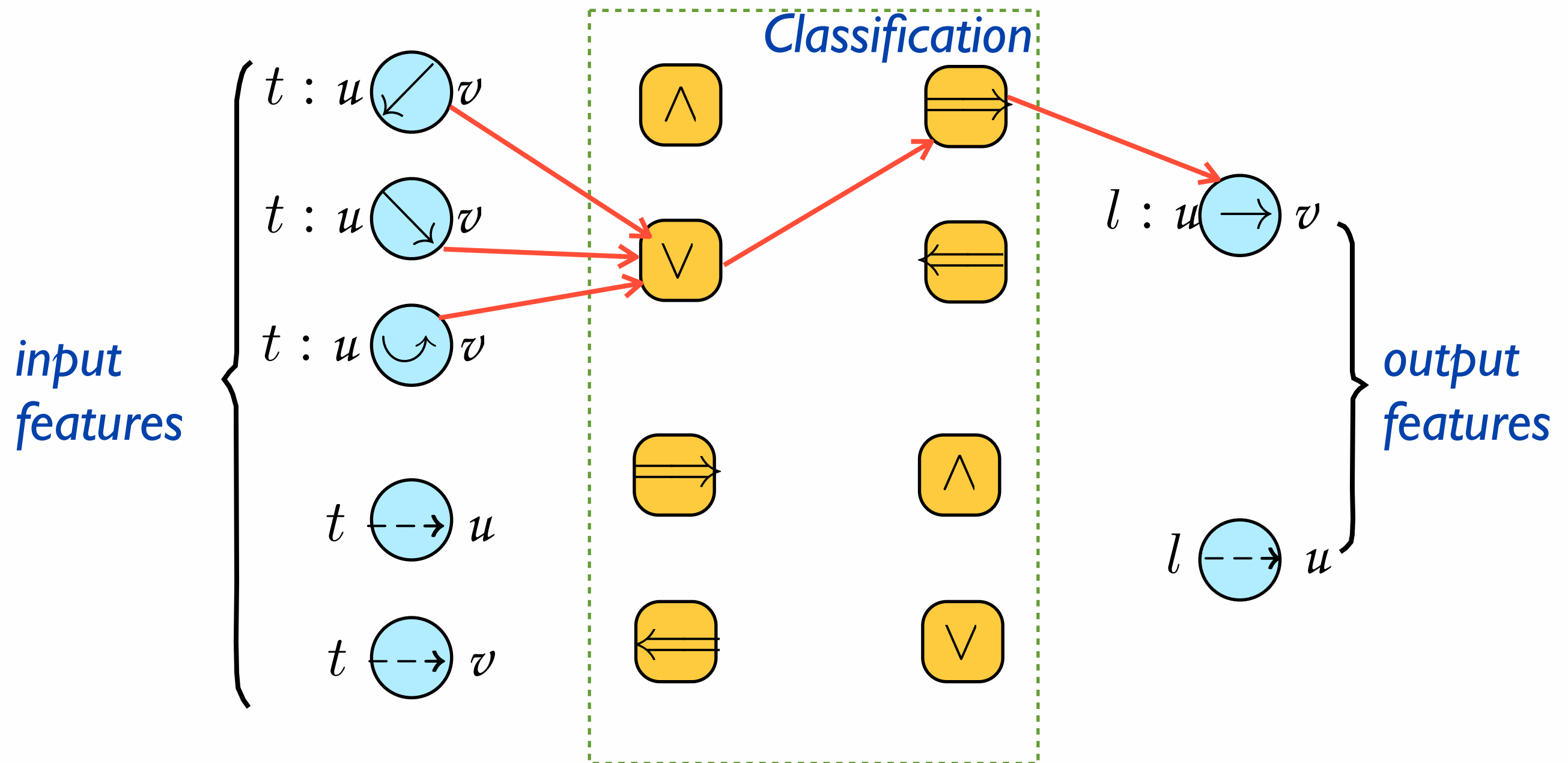
*Predict truth of output features using a Boolean combination of input features ...*



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*Predict truth of output features using a Boolean combination of input features ...*





# Specifications of Data Structures ...

```
// specification:
```

```
// in-order of  $t \equiv$  forward-order of  $l$ 
```

```
 $l$ :list = elements ( $t$ :tree)
```

$$\left( \forall u \ v, \begin{pmatrix} t : v \swarrow u \vee \\ t : u \searrow v \vee \\ t : u \curvearrowright v \end{pmatrix} \right) \iff l : u \rightarrow v$$

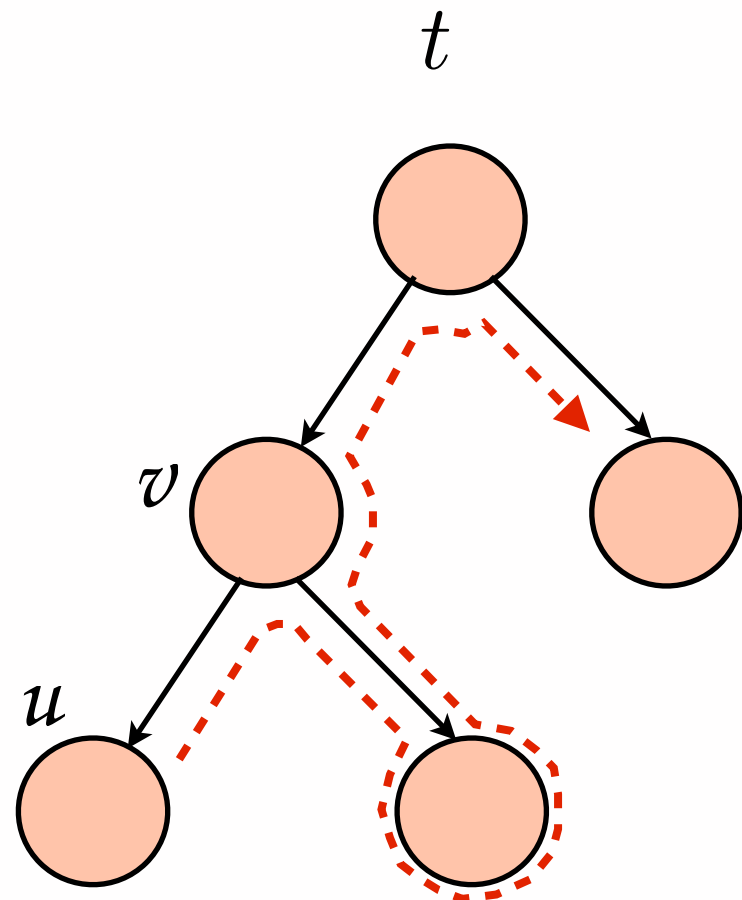
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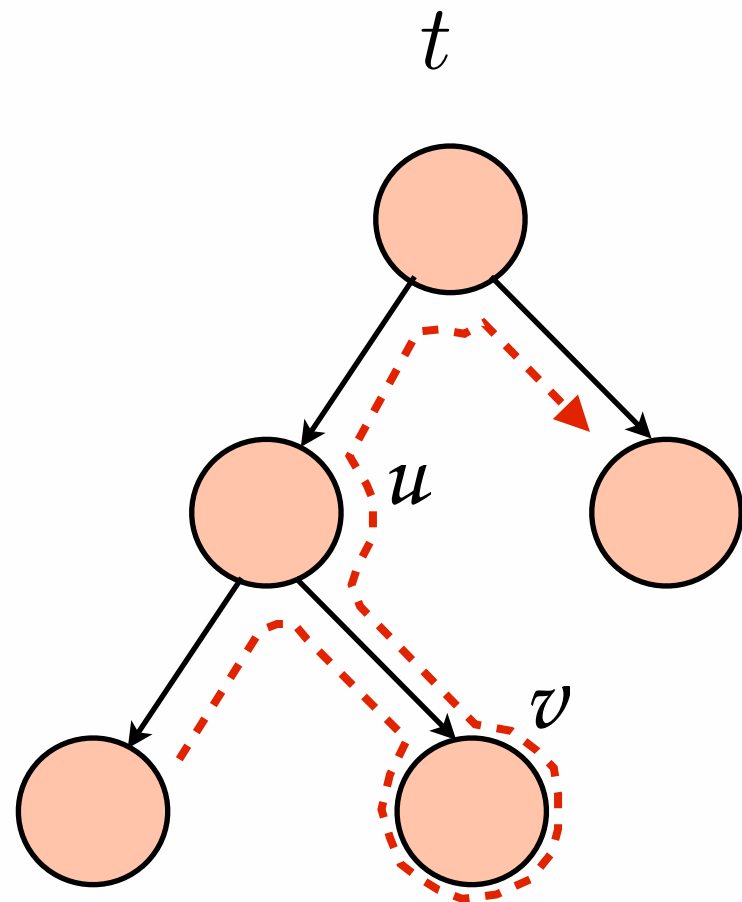
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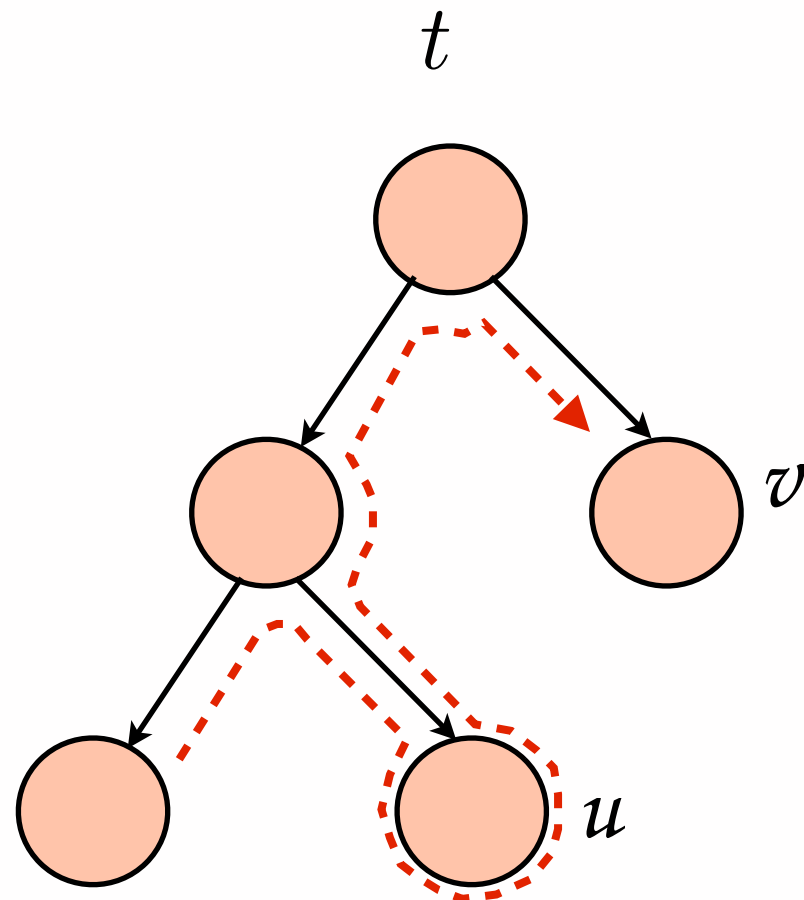
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$l:\text{list} = \text{elements } (t:\text{tree})$

$$\left( \forall u \ v, \begin{pmatrix} t : v \swarrow u \searrow \\ t : u \searrow v \swarrow \\ t : u \curvearrowright v \end{pmatrix} \right) \iff l : u \rightarrow v$$



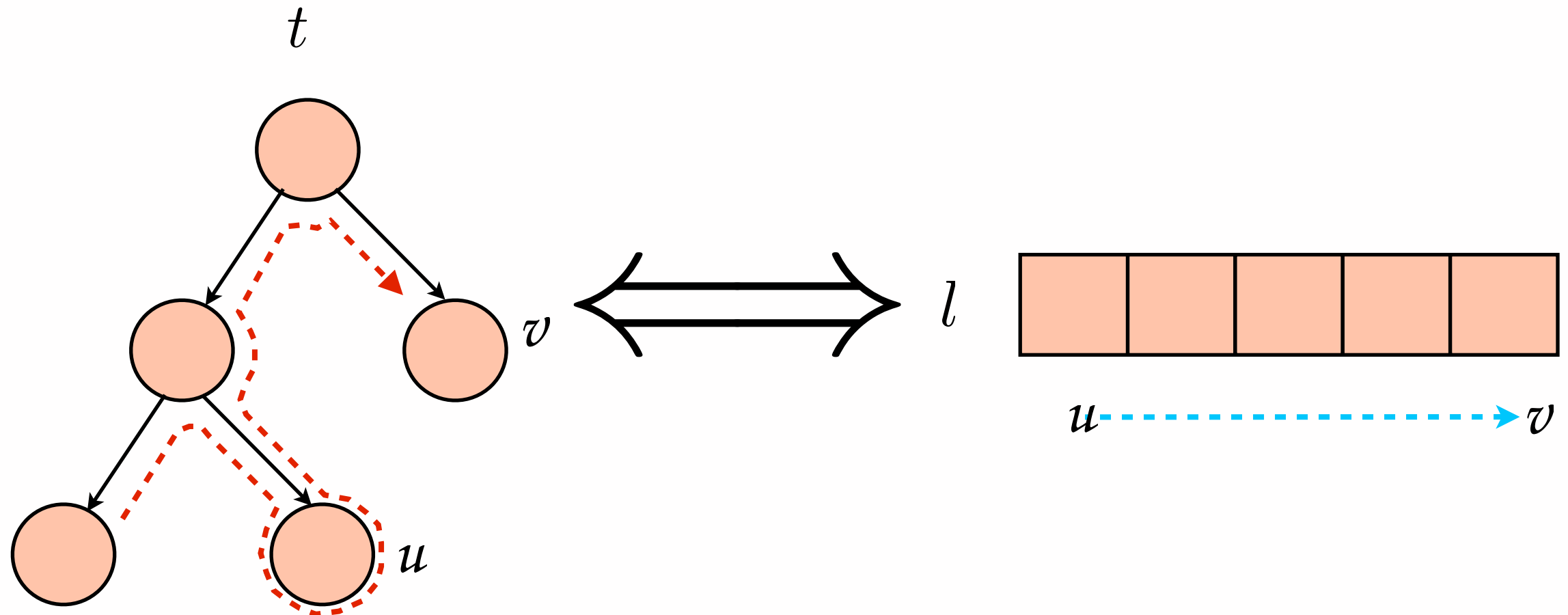
# Specifications of Data Structures ...

// specification:

// in-order of  $t \equiv$  forward-order of  $l$

$l:\text{list} = \text{elements } (t:\text{tree})$

$$\left( \forall u \ v, \begin{pmatrix} t : v \swarrow u \searrow \\ t : u \searrow v \swarrow \\ t : u \curvearrowright v \end{pmatrix} \right) \iff l : u \rightarrow v$$



## Feature Extraction ...

```
type 'a tree =  
  | Leaf  
  | Node 'a * 'a tree * 'a tree
```

# Feature Extraction ...



```
type 'a tree =  
  | Leaf  
  | Node 'a * 'a tree * 'a tree
```

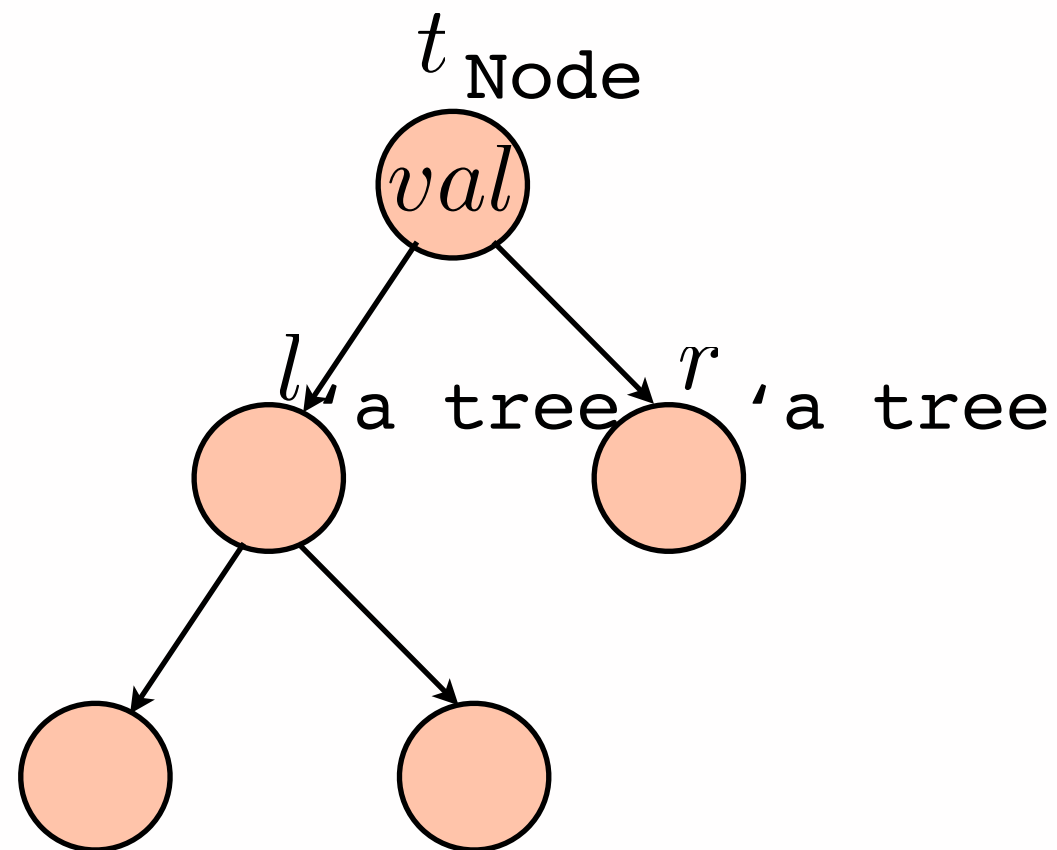
**Static code analysis**

# Feature Extraction ...



```
type 'a tree =  
  | Leaf  
  | Node 'a * 'a tree * 'a tree
```

## Static code analysis





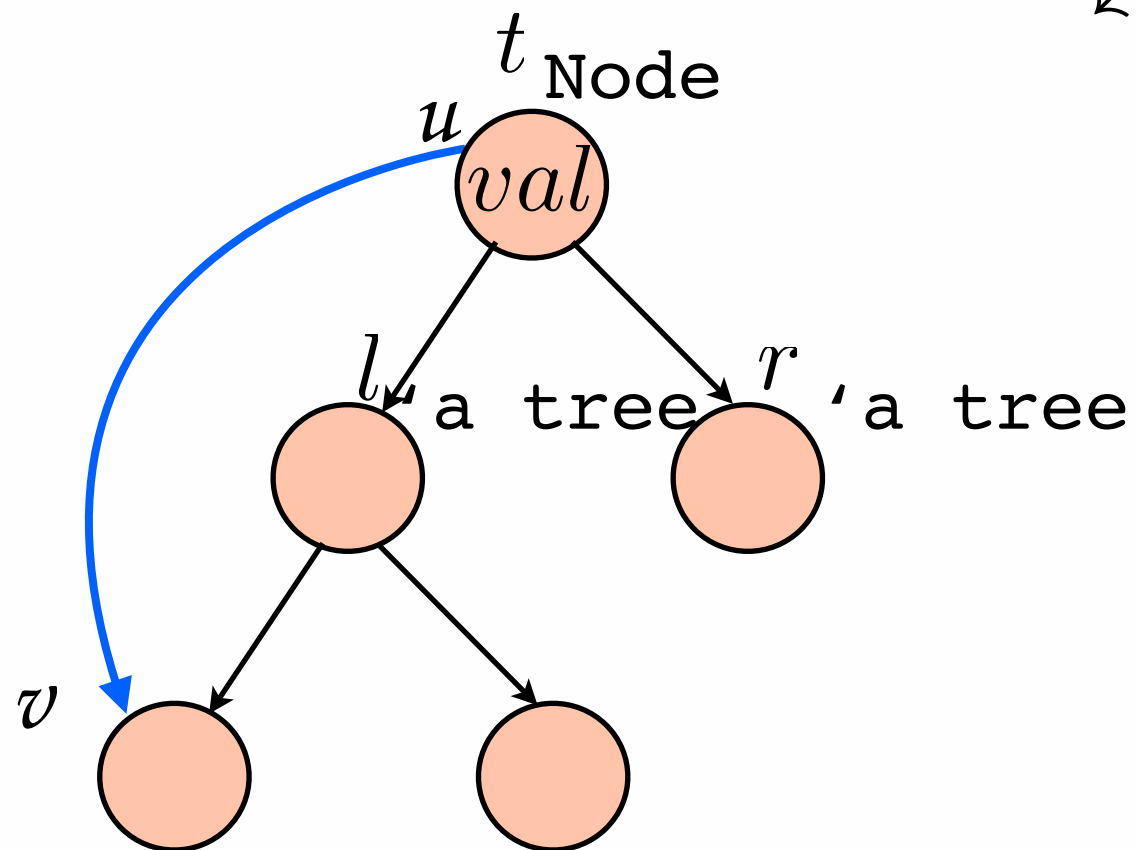
# Feature Extraction ...



## Static code analysis

```
type 'a tree =  
  | Leaf  
  | Node 'a * 'a tree * 'a tree
```

$t : u \swarrow v$



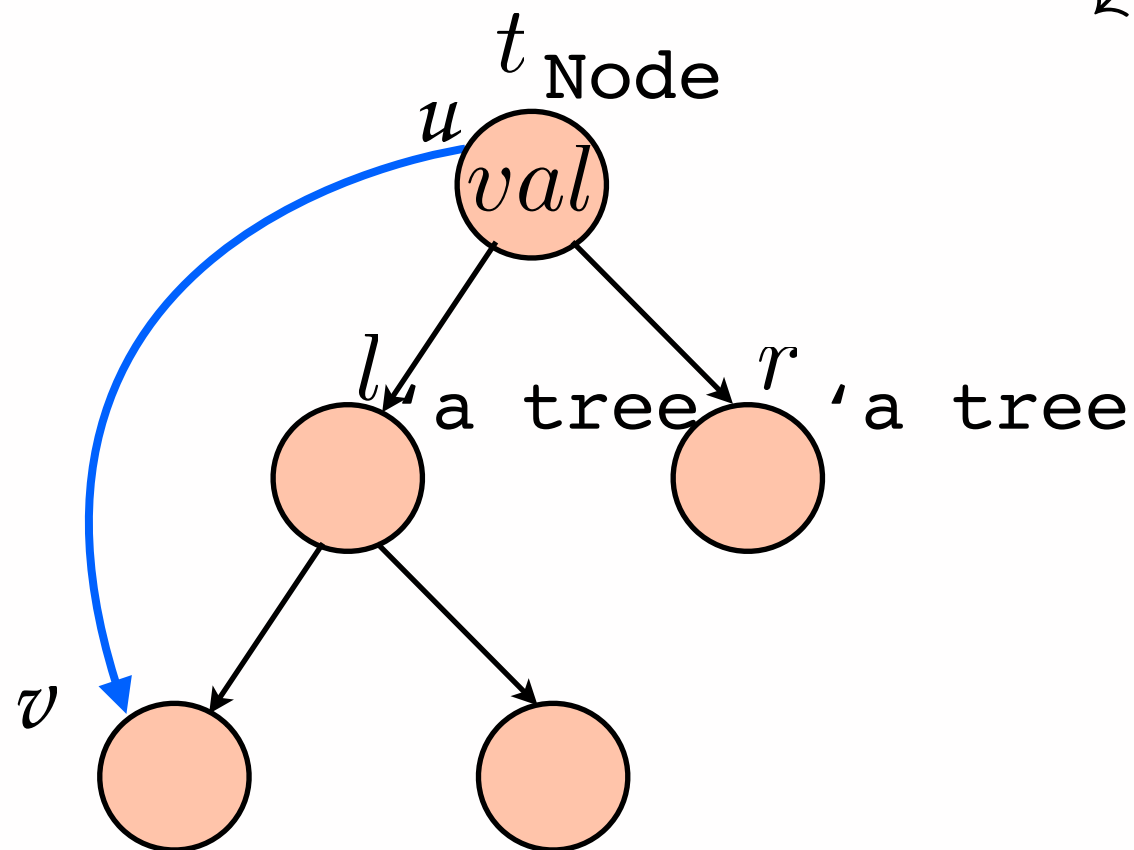
# Feature Extraction ...



## Static code analysis

```
type 'a tree =  
  | Leaf  
  | Node 'a * 'a tree * 'a tree
```

$t : u \swarrow v$



$t : u \swarrow v \iff$

$((u = val \wedge l \dashrightarrow v) \vee l : u \swarrow v \vee r : u \swarrow v)$

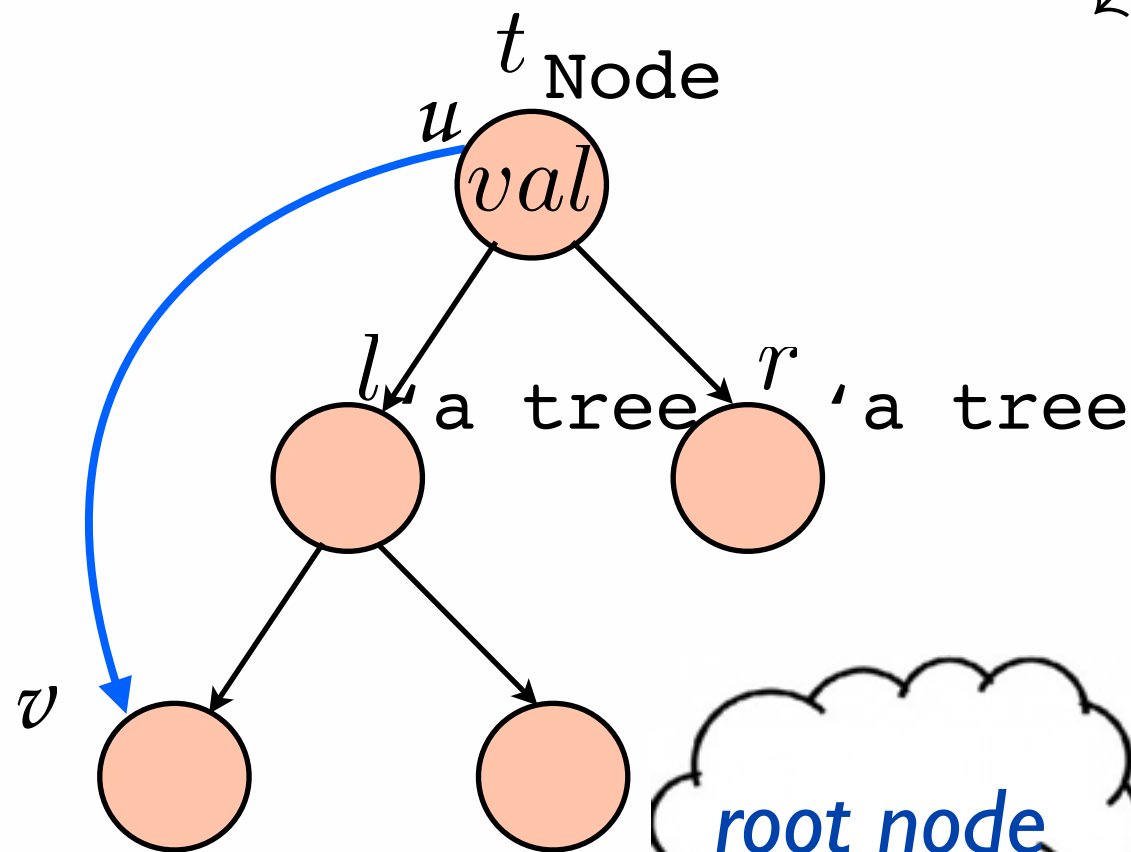
# Feature Extraction ...



## Static code analysis

```
type 'a tree =  
  | Leaf  
  | Node 'a * 'a tree * 'a tree
```

$t : u \checkmark v$



$t : u \checkmark v \iff$

$((u = val \wedge l \dashrightarrow v) \vee l : u \checkmark v \vee r : u \checkmark v)$

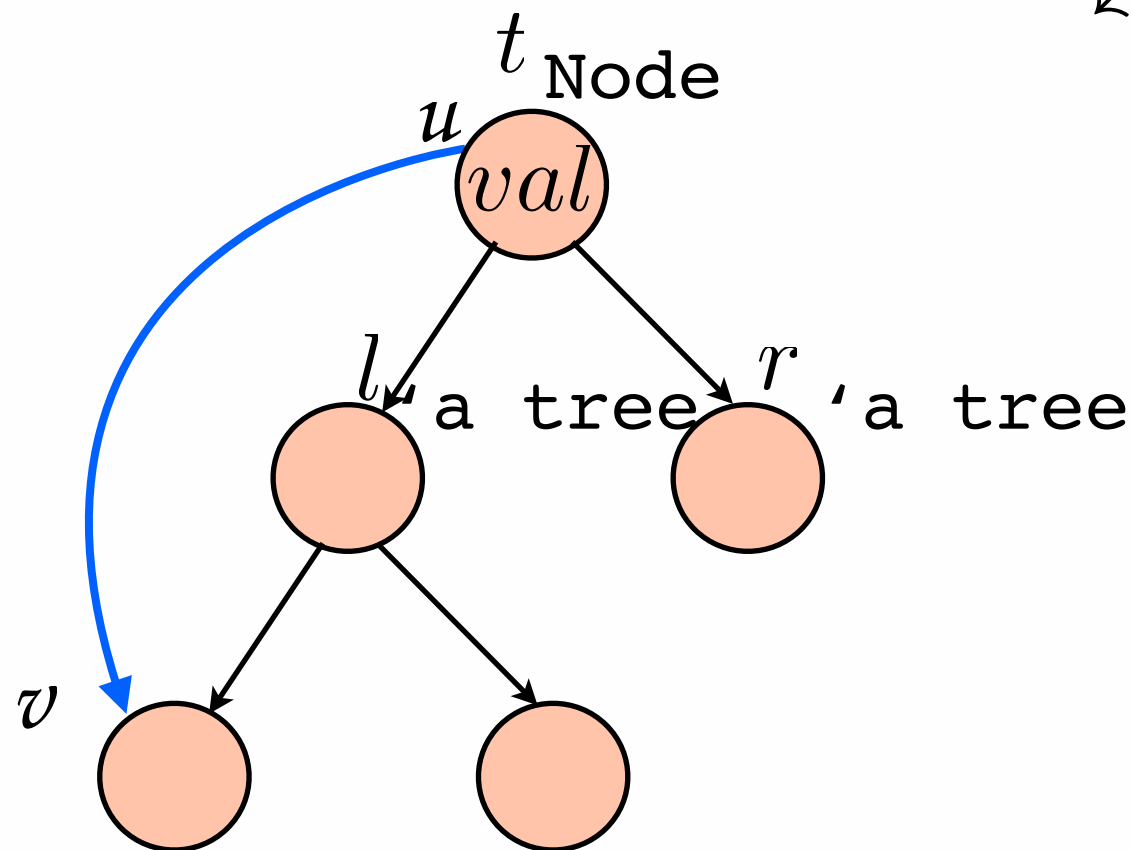
# Feature Extraction ...



## Static code analysis

```
type 'a tree =  
  | Leaf  
  | Node 'a * 'a tree * 'a tree
```

$t : u \swarrow v$



left subtree

$t : u \swarrow v \iff$

$((u = val \wedge l \dashrightarrow v) \vee l : u \swarrow v \vee r : u \swarrow v)$

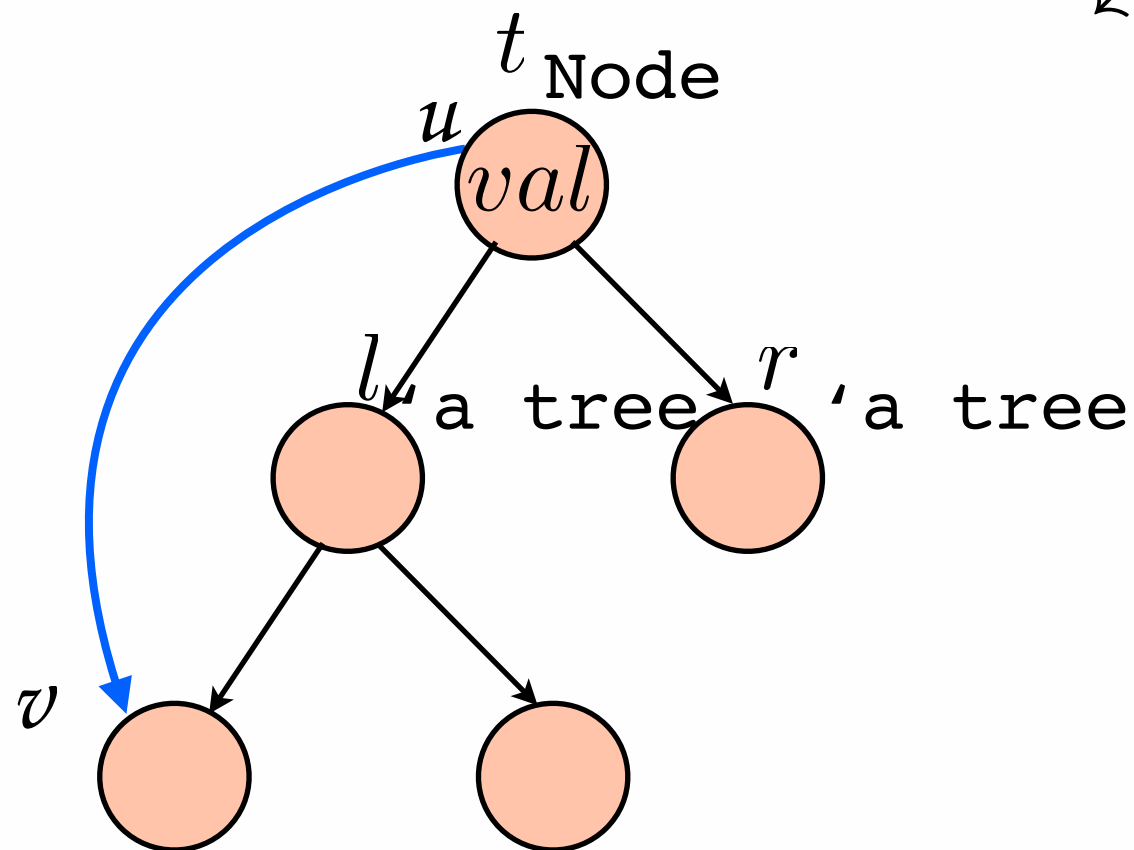
# Feature Extraction ...



## Static code analysis

```
type 'a tree =  
  | Leaf  
  | Node 'a * 'a tree * 'a tree
```

$t : u \swarrow v$



right subtree

$t : u \swarrow v \iff$

$((u = val \wedge l \dashrightarrow v) \vee l : u \swarrow v \vee r : u \swarrow v)$

# Feature Extraction ...



## Static code analysis

```
type 'a tree =
```

```
| Leaf
```

```
| Node
```

```
  'a * 'a tree * 'a tree
```

$t : u \searrow v$

$t : u \searrow v$

# Feature Extraction ...



## Static code analysis

```
type 'a tree =
```

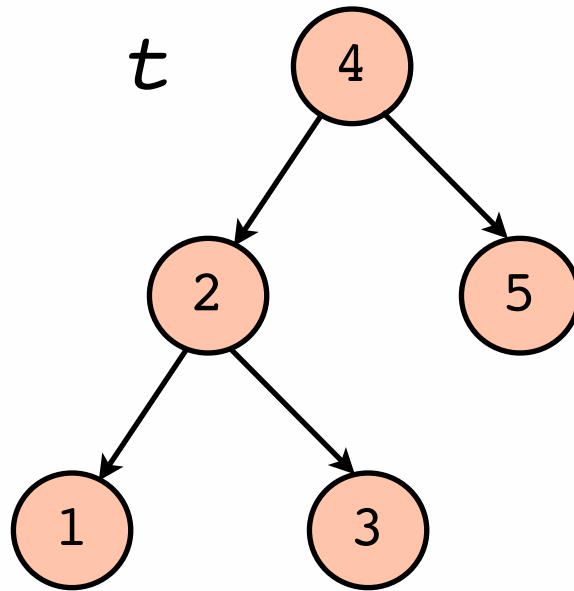
```
| Leaf
```

```
| Node
```

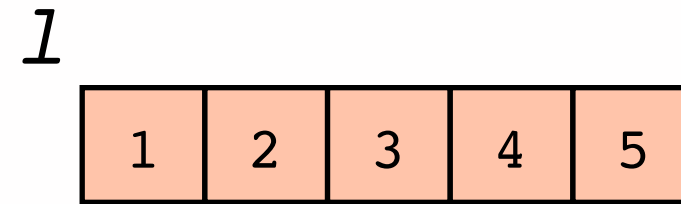
```
'a * 'a tree * 'a tree
```

 $t : u \hookrightarrow v$  $t : u \searrow v$  $t : u \searrow v$

Learner ...

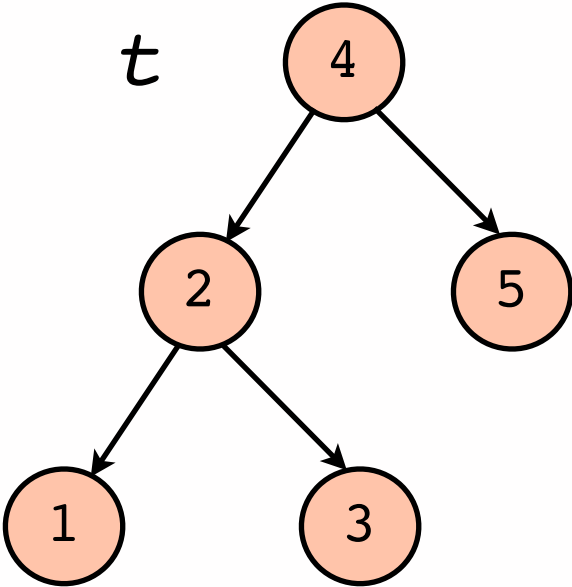


```
// elements: 'a tree -> 'a list  
let elements t = flat [] t  
  
l = elements t
```



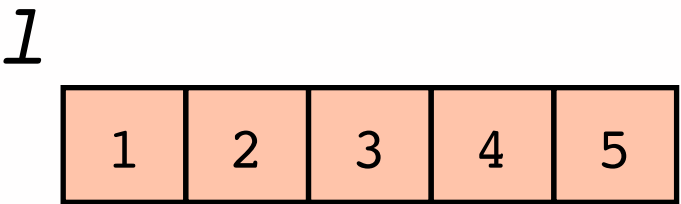


Learner ...



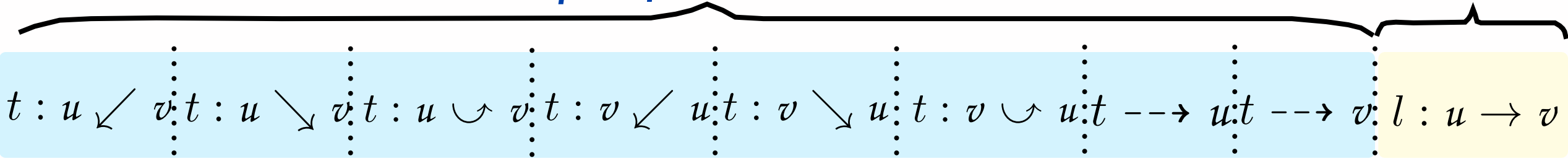
```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



input features

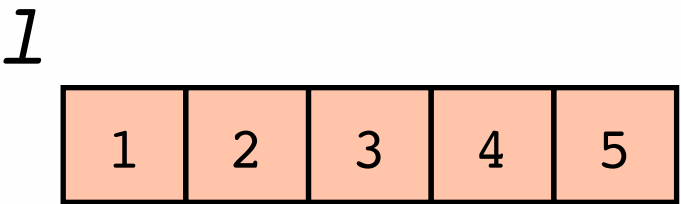
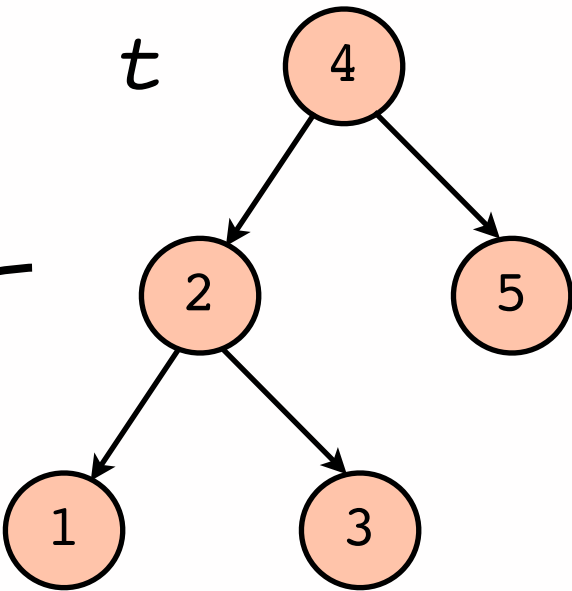
output features



Learner ...

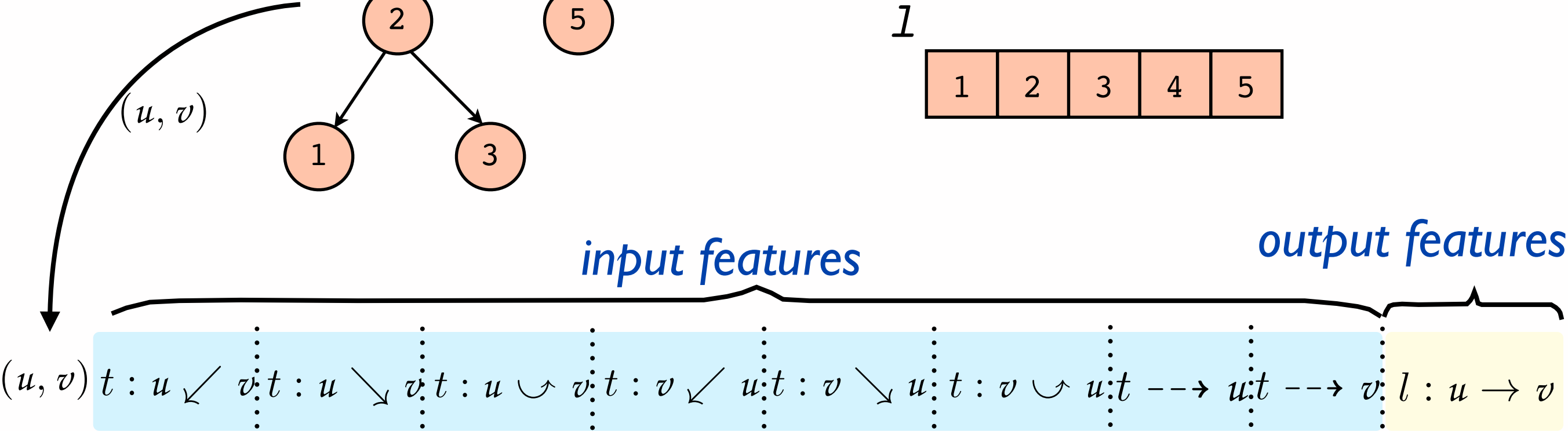
```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



input features

output features

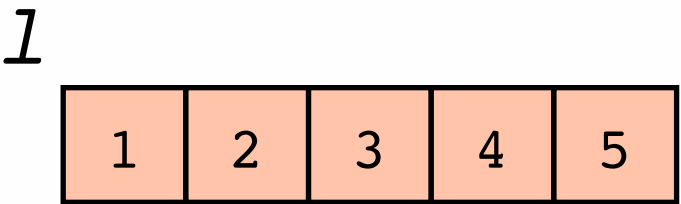
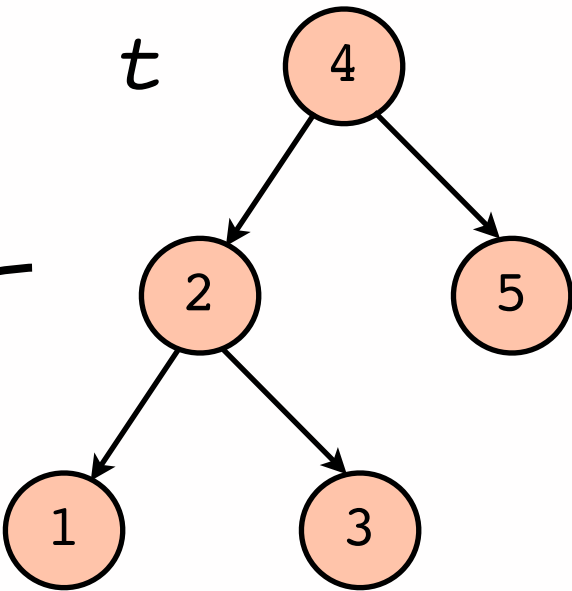


- (1,2)
- (4,5)
- (2,5)
- (3,1)
- (3,2)
- (4,1)
- ⋮

Learner ...

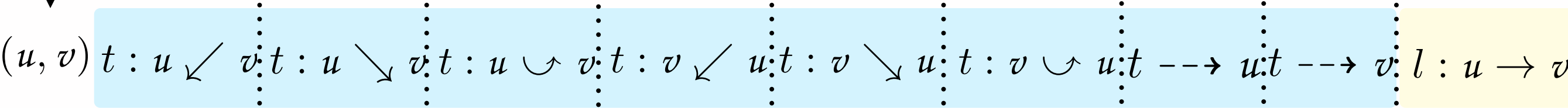
```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



input features

output features



- (1,2)
- (4,5)
- (2,5)
- (3,1)
- (3,2)
- (4,1)

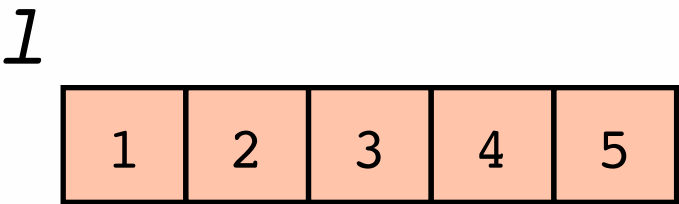
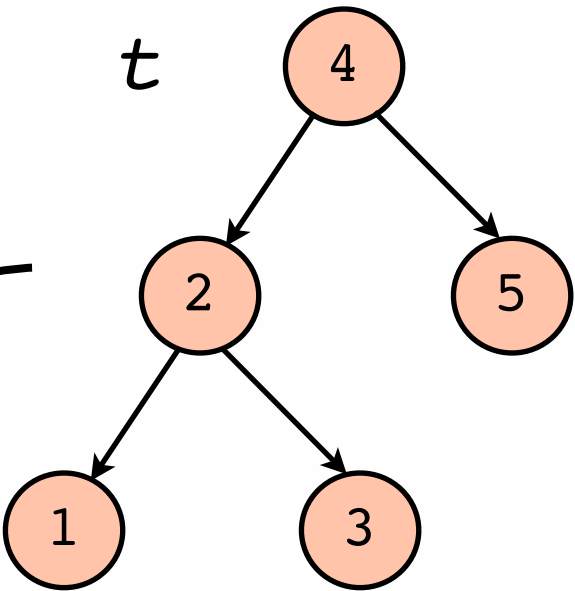
⋮

Sample space

Learner ...

```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



input features

output features

$(u, v)$   $t : u \swarrow v$   $t : u \searrow v$   $t : u \curvearrowright v$   $t : v \swarrow u$   $t : v \searrow u$   $t : v \curvearrowright u$   $t \dashrightarrow u$   $t \dashrightarrow v$   $l : u \rightarrow v$

(1,2)	0	0	0	1	0	0	1	1	1
(4,5)	0	1	0	0	0	0	1	1	1
(2,5)	0	0	1	0	0	0	1	1	1
(3,1)	0	0	0	0	0	1	1	1	0
(3,2)	0	0	0	0	1	0	1	1	0
(4,1)	1	0	0	0	0	0	1	1	0

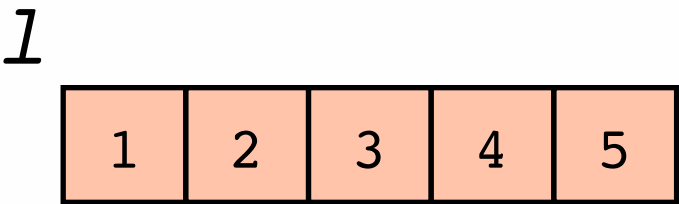
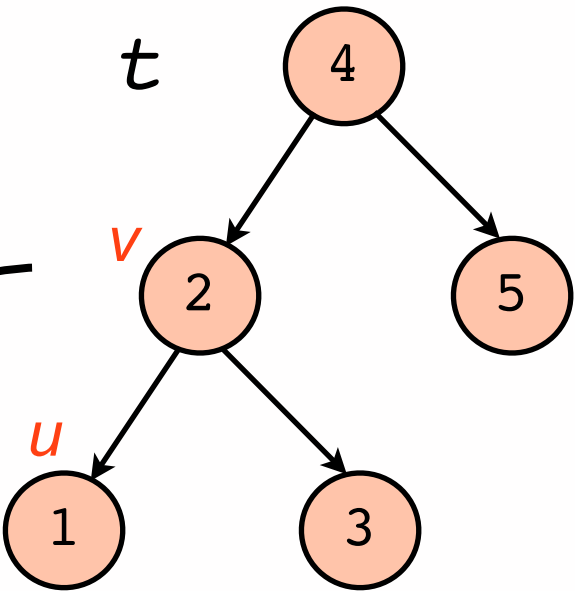
⋮

Sample space

Learner ...

```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



input features

output features

$(u, v)$   $t : u \swarrow v$   $t : u \searrow v$   $t : u \curvearrowright v$   $t : v \swarrow u$   $t : v \searrow u$   $t : v \curvearrowright u$   $t \dashrightarrow u$   $t \dashrightarrow v$   $l : u \rightarrow v$

(1,2)	0	0	0	1	0	0	1	1	1
(4,5)	0	1	0	0	0	0	1	1	1
(2,5)	0	0	1	0	0	0	1	1	1
(3,1)	0	0	0	0	0	1	1	1	0
(3,2)	0	0	0	0	1	0	1	1	0
(4,1)	1	0	0	0	0	0	1	1	0

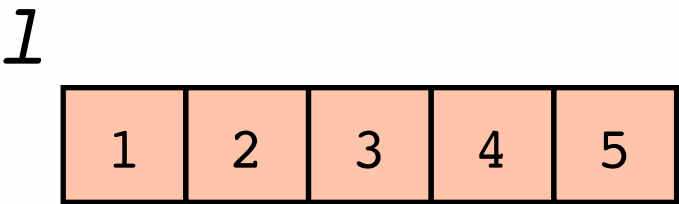
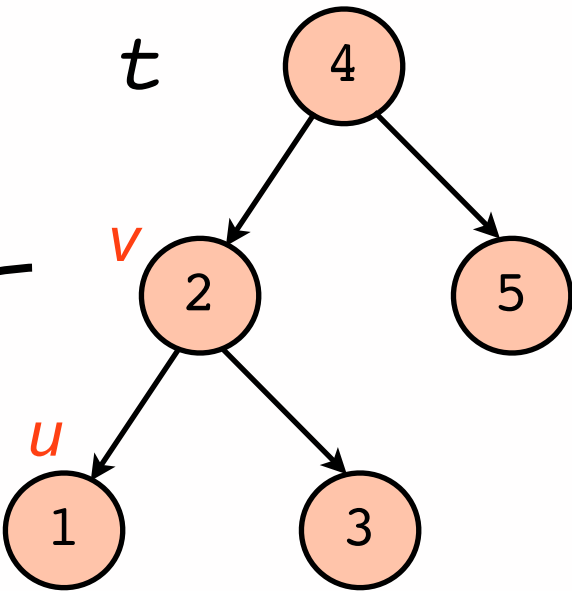
⋮

Sample space

Learner ...

```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



input features

output features

$(u, v)$   $t : u \swarrow v$   $t : u \searrow v$   $t : u \curvearrowright v$   $t : v \swarrow u$   $t : v \searrow u$   $t : v \curvearrowright u$   $t \dashrightarrow u$   $t \dashrightarrow v$   $l : u \rightarrow v$

(1,2)	0	0	0	1	0	0	1	1	1
(4,5)	0	1	0	0	0	0	1	1	1
(2,5)	0	0	1	0	0	0	1	1	1
(3,1)	0	0	0	0	0	1	1	1	0
(3,2)	0	0	0	0	1	0	1	1	0
(4,1)	1	0	0	0	0	0	1	1	0

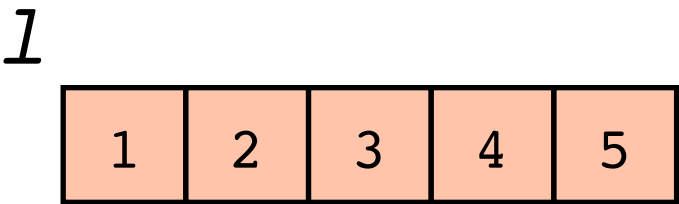
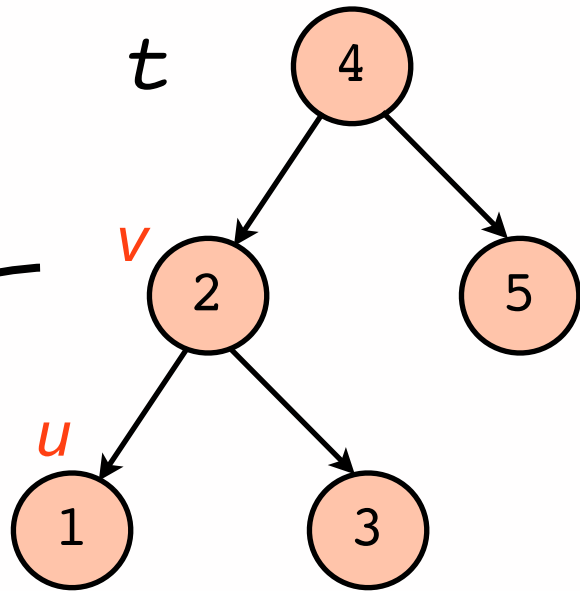
⋮

Sample space

Learner ...

```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



input features

output features

$(u, v)$   $t : u \swarrow v$   $t : u \searrow v$   $t : u \curvearrowright v$   $t : v \swarrow u$   $t : v \searrow u$   $t : v \curvearrowright u$   $t \dashrightarrow u$   $t \dashrightarrow v$   $l : u \rightarrow v$

(1,2)	0	0	0	1	0	0	1	1	1
(4,5)	0	1	0	0	0	0	1	1	1
(2,5)	0	0	1	0	0	0	1	1	1
(3,1)	0	0	0	0	0	1	1	1	0
(3,2)	0	0	0	0	1	0	1	1	0
(4,1)	1	0	0	0	0	0	1	1	0

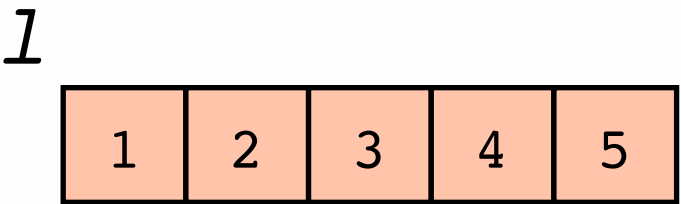
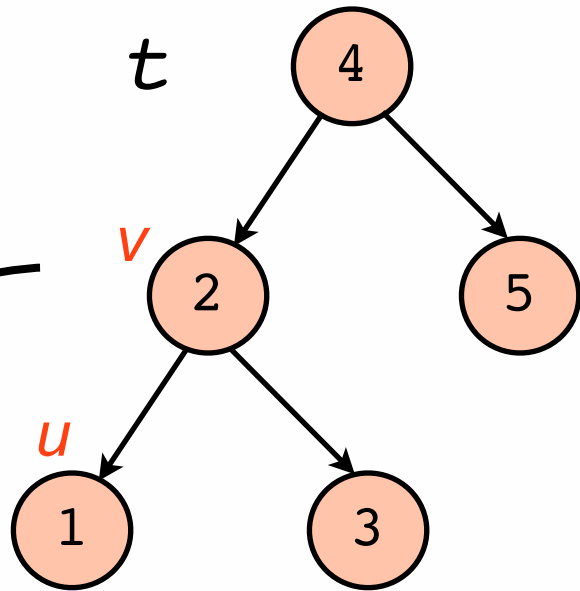
⋮

Sample space

Learner ...

```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



input features

output feature

$(u, v)$   $t : u \swarrow v$   $t : u \searrow v$   $t : u \curvearrowright v$   $t : v \swarrow u$   $t : v \searrow u$   $t : v \curvearrowright u$   $t \dashrightarrow u$   $t \dashrightarrow v$   $l : u \rightarrow v$

(1,2)	0	0	0	1	0	0	1	1	1
(4,5)	0	1	0	0	0	0	1	1	1
(2,5)	0	0	1	0	0	0	1	1	1
(3,1)	0	0	0	0	0	1	1	1	0
(3,2)	0	0	0	0	1	0	1	1	0
(4,1)	1	0	0	0	0	0	1	1	0

⋮

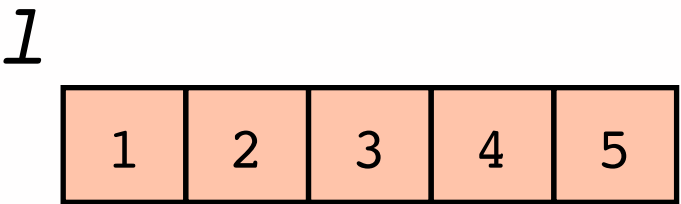
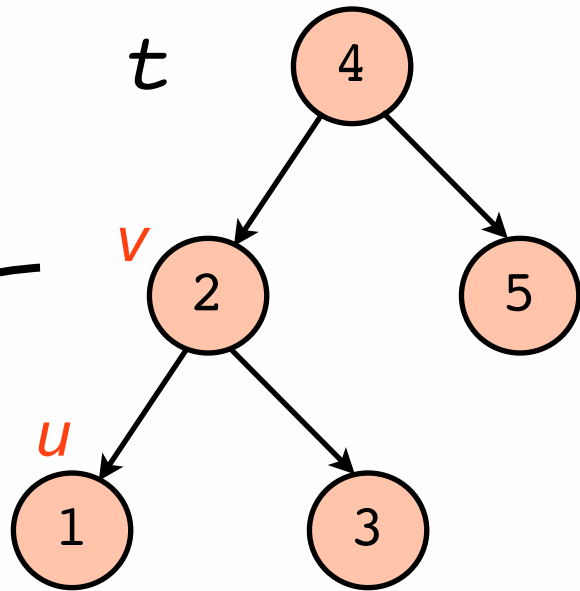
Sample space



Learner ...

```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



input features

output feature

$(u, v)$   $t : u \swarrow v$   $t : u \searrow v$   $t : u \curvearrowright v$   $t : v \swarrow u$   $t : v \searrow u$   $t : v \curvearrowright u$   $t \dashrightarrow u$   $t \dashrightarrow v$   $l : u \rightarrow v$

(1,2)	0	0	0	1	0	0	1	1	1
(4,5)	0	1	0	0	0	0	1	1	pos 1
(2,5)	0	0	1	0	0	0	1	1	1
(3,1)	0	0	0	0	0	1	1	1	0
(3,2)	0	0	0	0	1	0	1	1	0
(4,1)	1	0	0	0	0	0	1	1	0

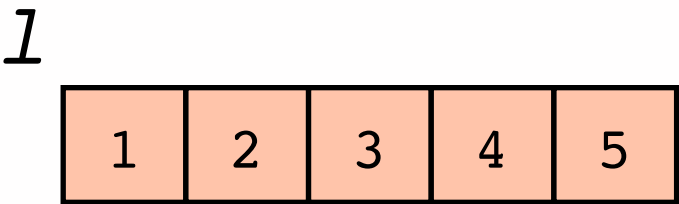
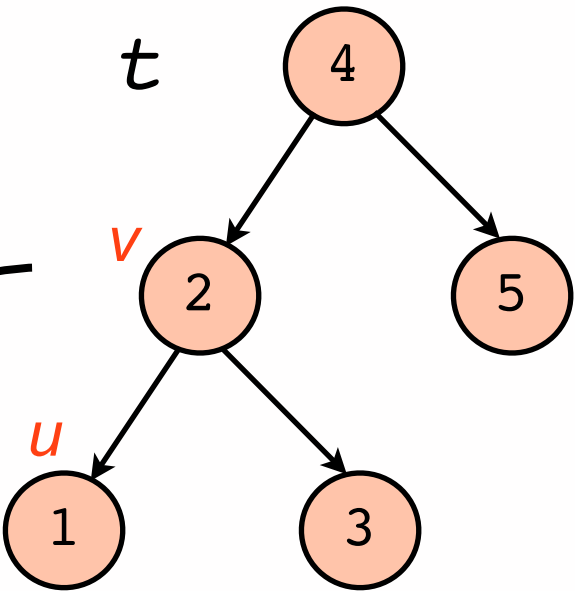
⋮

Sample space

Learner ...

```
// elements: 'a tree -> 'a list
let elements t = flat [] t

l = elements t
```



input features

output feature

$(u, v)$   $t : u \swarrow v$   $t : u \searrow v$   $t : u \curvearrowright v$   $t : v \swarrow u$   $t : v \searrow u$   $t : v \curvearrowright u$   $t \dashrightarrow u$   $t \dashrightarrow v$   $l : u \rightarrow v$

(1,2)	0	0	0	1	0	0	1	1	1
(4,5)	0	1	0	0	0	0	1	1	pos 1
(2,5)	0	0	1	0	0	0	1	1	1
(3,1)	0	0	0	0	0	1	1	1	0
(3,2)	0	0	0	0	1	0	1	1	neg 0
(4,1)	1	0	0	0	0	0	1	1	0

⋮

Sample space

Learner ...

input features

$(u, v)$	$t : u \not\prec v$	$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \not\prec u$	$t : v \searrow u$	$t : v \curvearrowright u$	$t \dashrightarrow u$	$t \dashrightarrow v$
----------	---------------------	--------------------	----------------------------	---------------------	--------------------	----------------------------	-----------------------	-----------------------

pos samples

$l : u \rightarrow v$	(1,2)	0	0	0	1	0	0	1	1
	(4,5)	0	1	0	0	0	0	1	1
	(2,5)	0	0	1	0	0	0	1	1

neg samples

$\neg l : u \rightarrow v$	(3,1)	0	0	0	0	0	1	1	1
	(3,2)	0	0	0	0	1	0	1	1
	(4,1)	1	0	0	0	0	0	1	1

Learner ...

input features

$(u, v)$	$t : u \swarrow v$	$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \swarrow u$	$t : v \searrow u$	$t : v \curvearrowright u$	$t \dashrightarrow u$	$t \dashrightarrow v$
----------	--------------------	--------------------	----------------------------	--------------------	--------------------	----------------------------	-----------------------	-----------------------

pos samples

$l : u \rightarrow v$	(1,2)	0	0	0	1	0	0	1	1
	(4,5)	0	1	0	0	0	0	1	1
	(2,5)	0	0	1	0	0	0	1	1

$\varphi$

neg samples

$\neg l : u \rightarrow v$	(3,1)	0	0	0	0	0	1	1	1
	(3,2)	0	0	0	0	1	0	1	1
	(4,1)	1	0	0	0	0	0	1	1

$\neg \varphi$

Learner ...

input features

$(u, v)$	$t : u \swarrow v$	$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \swarrow u$	$t : v \searrow u$	$t : v \curvearrowright u$	$t \dashrightarrow u$	$t \dashrightarrow v$
----------	--------------------	--------------------	----------------------------	--------------------	--------------------	----------------------------	-----------------------	-----------------------

pos samples

$l : u \rightarrow v$	(1,2)	0	0	0	1	0	0	1	1
	(4,5)	0	1	0	0	0	0	1	1
	(2,5)	0	0	1	0	0	0	1	1

$\varphi$

neg samples

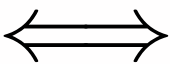
$\neg l : u \rightarrow v$	(3,1)	0	0	0	0	0	1	1	1
	(3,2)	0	0	0	0	1	0	1	1
	(4,1)	1	0	0	0	0	0	1	1

$\neg \varphi$



SPECS

$\varphi$



$l : u \rightarrow v$

Learner ...

input features

$(u, v)$	$t : u \not\prec v$	$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \not\prec u$	$t : v \searrow u$	$t : v \curvearrowright u$	$t \dashrightarrow u$	$t \dashrightarrow v$
	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$

pos samples

$l : u \rightarrow v$	(1,2)	0	0	0	1	0	0	1	1	$\varphi$
	(4,5)	0	1	0	0	0	0	1	1	
	(2,5)	0	0	1	0	0	0	1	1	

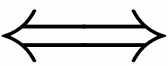
neg samples

$\neg l : u \rightarrow v$	(3,1)	0	0	0	0	0	1	1	1	
	(3,2)	0	0	0	0	1	0	1	1	$\neg \varphi$
	(4,1)	1	0	0	0	0	0	1	1	



SPECS

$\varphi$



$l : u \rightarrow v$

Learner ...

$(u, v)$	$t : u \swarrow v$	$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \swarrow u$	$t : v \searrow u$	$t : v \curvearrowright u$	$t \dashrightarrow u$	$t \dashrightarrow v$	$l : u \rightarrow v$
(1,2)	0	0	0	1	0	0	1	1	} pos 1
(4,5)	0	1	0	0	0	0	1	1	
(2,5)	0	0	1	0	0	0	1	1	
(3,1)	0	0	0	0	0	1	1	1	} neg 0
(3,2)	0	0	0	0	1	0	1	1	
(4,1)	1	0	0	0	0	0	1	1	

# Learner ...

$(u, v)$	$t : u \swarrow v$	$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \swarrow u$	$t : v \searrow u$	$t : v \curvearrowright u$	$t \dashrightarrow u$	$t \dashrightarrow v$	$l : u \rightarrow v$
(1,2)	0	0	0	1	0	0	1	1	} pos 1
(4,5)	0	1	0	0	0	0	1	1	
(2,5)	0	0	1	0	0	0	1	1	
(3,1)	0	0	0	0	0	1	1	1	} neg 0
(3,2)	0	0	0	0	1	0	1	1	
(4,1)	1	0	0	0	0	0	1	1	

- Optimization task:
  - Constraint solvers





# Learner ...

$(u, v)$	$t : u \swarrow v$	$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \swarrow u$	$t : v \searrow u$	$t : v \curvearrowright u$	$t \dashrightarrow u$	$t \dashrightarrow v$	$l : u \rightarrow v$
(1,2)	0	0	0	1	0	0	1	1	1
(4,5)	0	1	0	0	0	0	1	1	1
(2,5)	0	0	1	0	0	0	1	1	1
(3,1)	0	0	0	0	0	1	1	1	0
(3,2)	0	0	0	0	1	0	1	1	0
(4,1)	1	0	0	0	0	0	1	1	0

- Optimization task:
  - Constraint solvers



Learner ...

Truth Table

$(u, v)$	$t : u \swarrow v$	$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \swarrow u$	$t : v \searrow u$	$t : v \curvearrowright u$	$t \dashv\dashv u$	$t \dashv\dashv v$	$l : u \rightarrow v$
(1,2)	0	0	0	1	0	0	1	1	1
(4,5)	0	1	0	0	0	0	1	1	1
(2,5)	0	0	1	0	0	0	1	1	1
(3,1)	0	0	0	0	0	1	1	1	0
(3,2)	0	0	0	0	1	0	1	1	0
(4,1)	1	0	0	0	0	0	1	1	0

- Optimization task:
  - Constraint solvers



Learner ...

```
l:list = elements (t:tree)
```

Truth Table

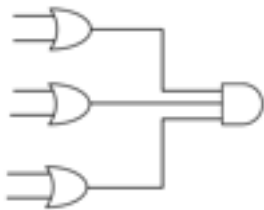
$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \swarrow u$	$l : u \rightarrow v$
0	0	1	1
1	0	0	1
0	1	0	1
0	0	0	0
0	0	0	0
0	0	0	0

Learner ...

`l:list = elements (t:tree)`

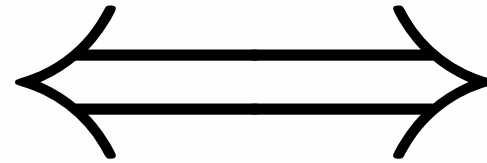
*Truth Table*

$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \swarrow u$	$l : u \rightarrow v$
0	0	1	1
1	0	0	1
0	1	0	1
0	0	0	0
0	0	0	0
0	0	0	0



$(\forall u \ v, \left( \begin{matrix} t : v \swarrow u \vee \\ t : u \curvearrowright v \vee \\ t : u \searrow v \end{matrix} \right) \iff l : u \rightarrow v)$

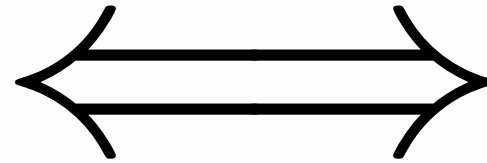
# Learner ...



If and only if specifications are nice, but ...

	input feature1	input feature2	input feature3	input feature4	input feature5	input feature6	input feature7	input feature8
pos samples	0	0	0	1	0	0	1	1
output feature	0	1	0	0	0	0	1	1
	0	0	1	0	0	0	1	1
neg samples	0	0	0	1	0	0	1	1
¬output feature	0	0	0	0	1	0	1	1
	1	0	0	0	0	0	1	1

# Learner ...



If and only if specifications are nice, but ...

input feature1	input feature2	input feature3	input feature4	input feature5	input feature6	input feature7	input feature8
-------------------	-------------------	-------------------	-------------------	-------------------	-------------------	-------------------	-------------------

pos samples

output  
feature

0	0	0	1	0	0	1	1
0	1	0	0	0	0	1	1
0	0	1	0	0	0	1	1

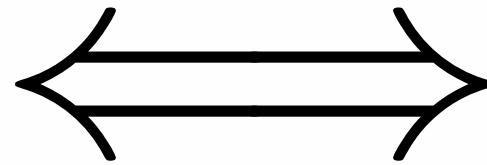
neg samples

¬output  
feature

0	0	0	1	0	0	1	1
0	0	0	0	1	0	1	1
1	0	0	0	0	0	1	1

No classifier!

# Learner ...



If and only if specifications are nice, but ...

input feature1	input feature2	input feature3	input feature4	input feature5	input feature6	input feature7	input feature8
-------------------	-------------------	-------------------	-------------------	-------------------	-------------------	-------------------	-------------------

pos samples

output  
feature

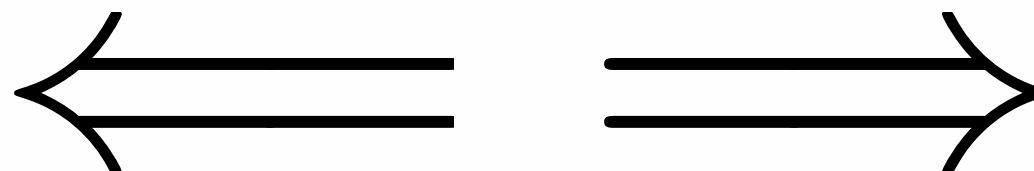
0	0	0	1	0	0	1	1
0	1	0	0	0	0	1	1
0	0	1	0	0	0	1	1

neg samples

¬output  
feature

0	0	0	1	0	0	1	1
0	0	0	0	1	0	1	1
1	0	0	0	?	0	1	1

No classifier!



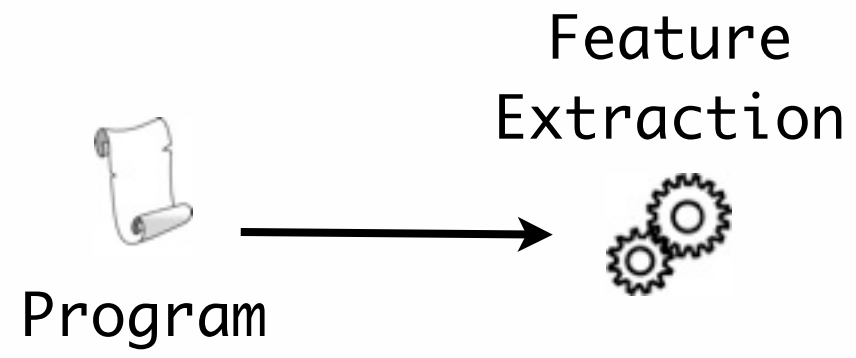
# Verification Framework ...



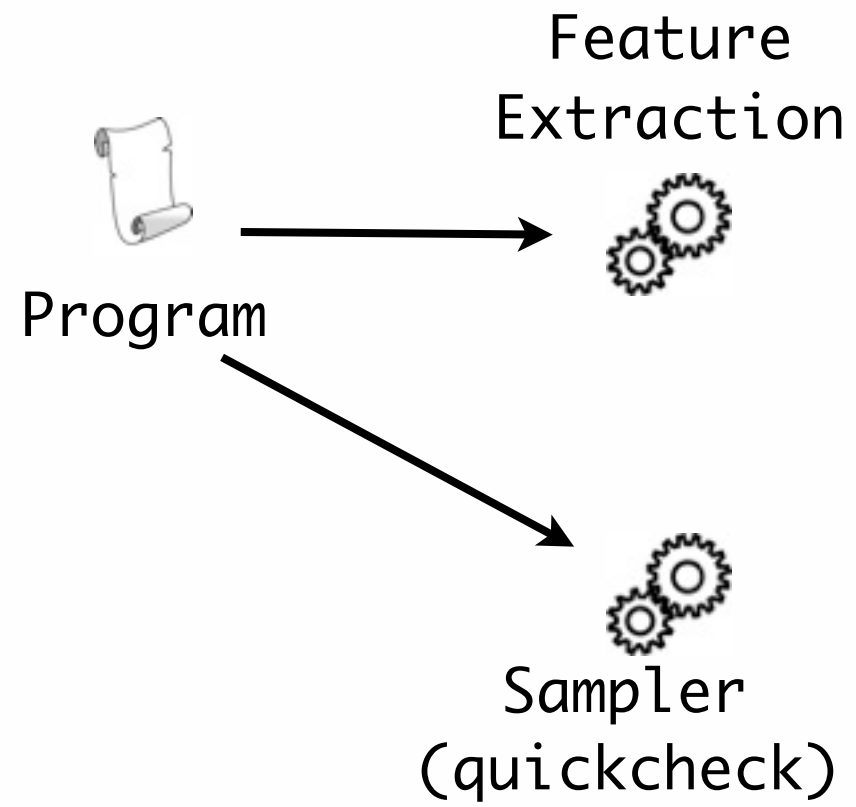
Program



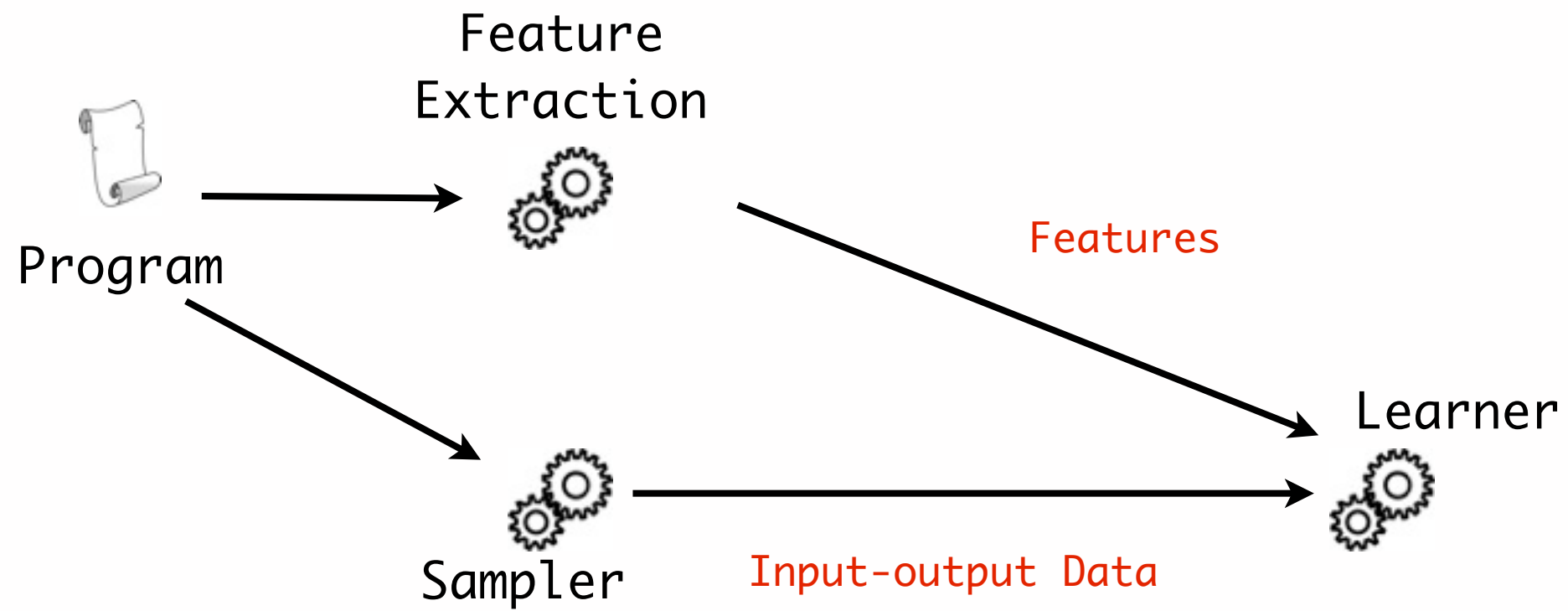
# Verification Framework ...



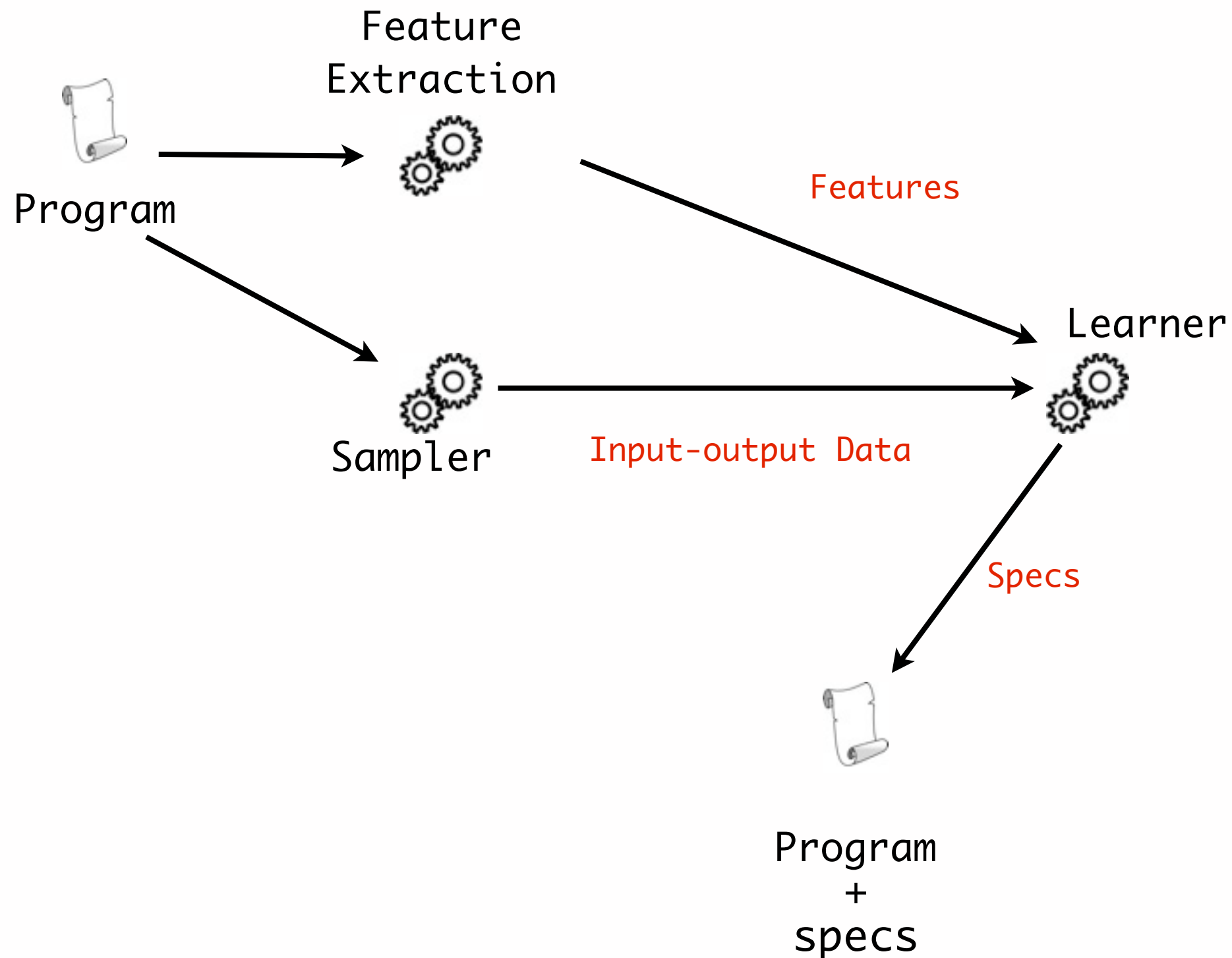
# Verification Framework ...



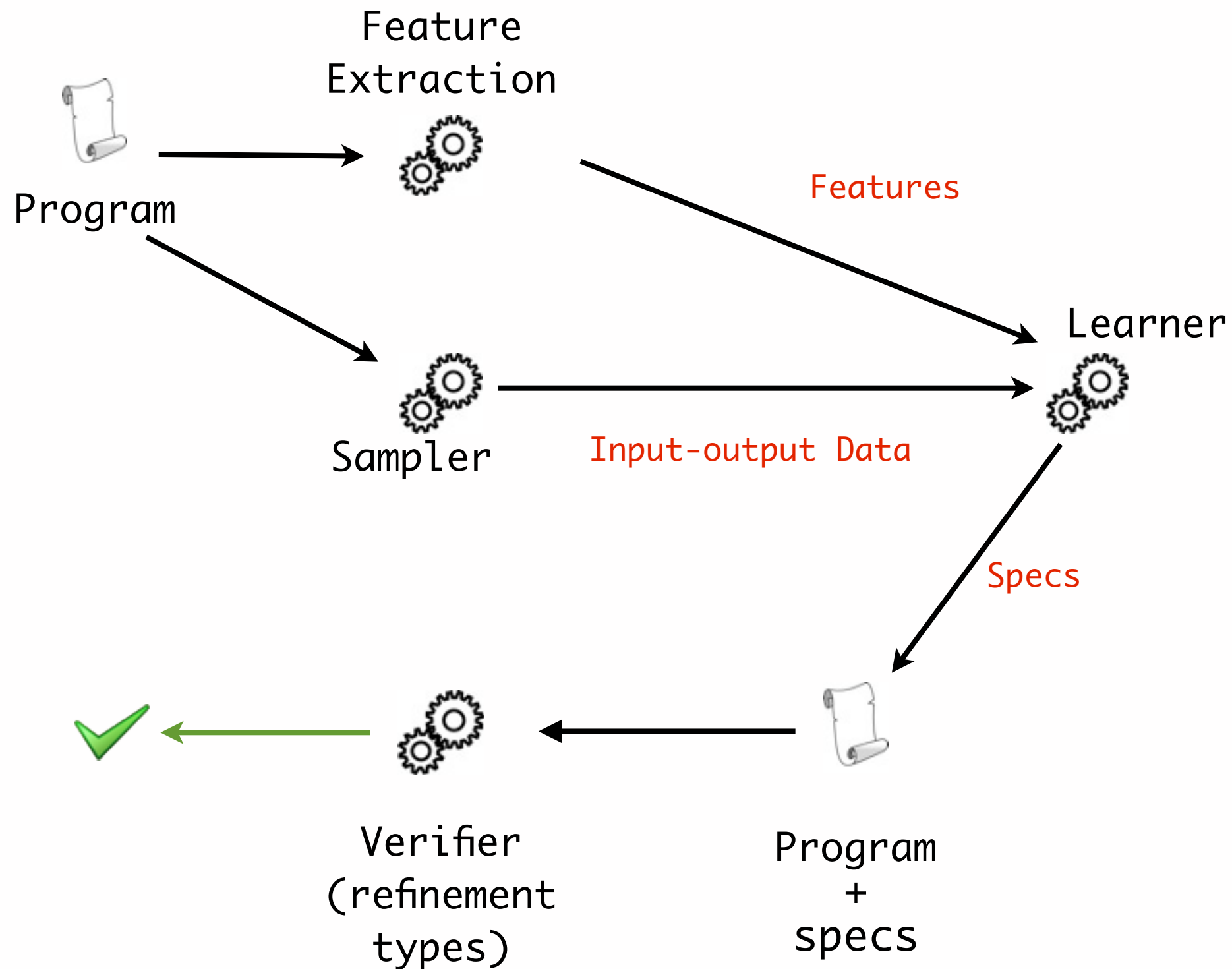
# Verification Framework ...



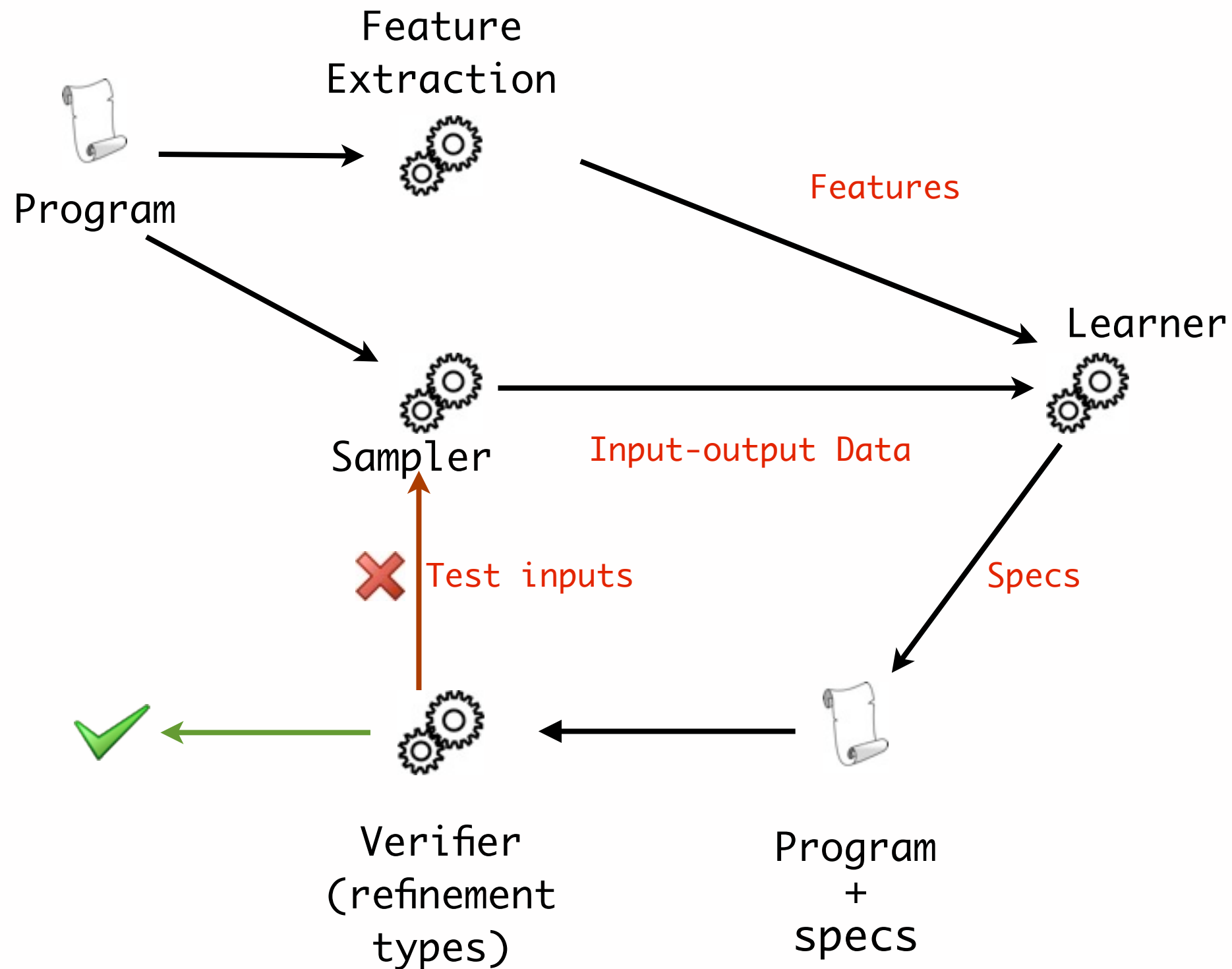
# Verification Framework ...



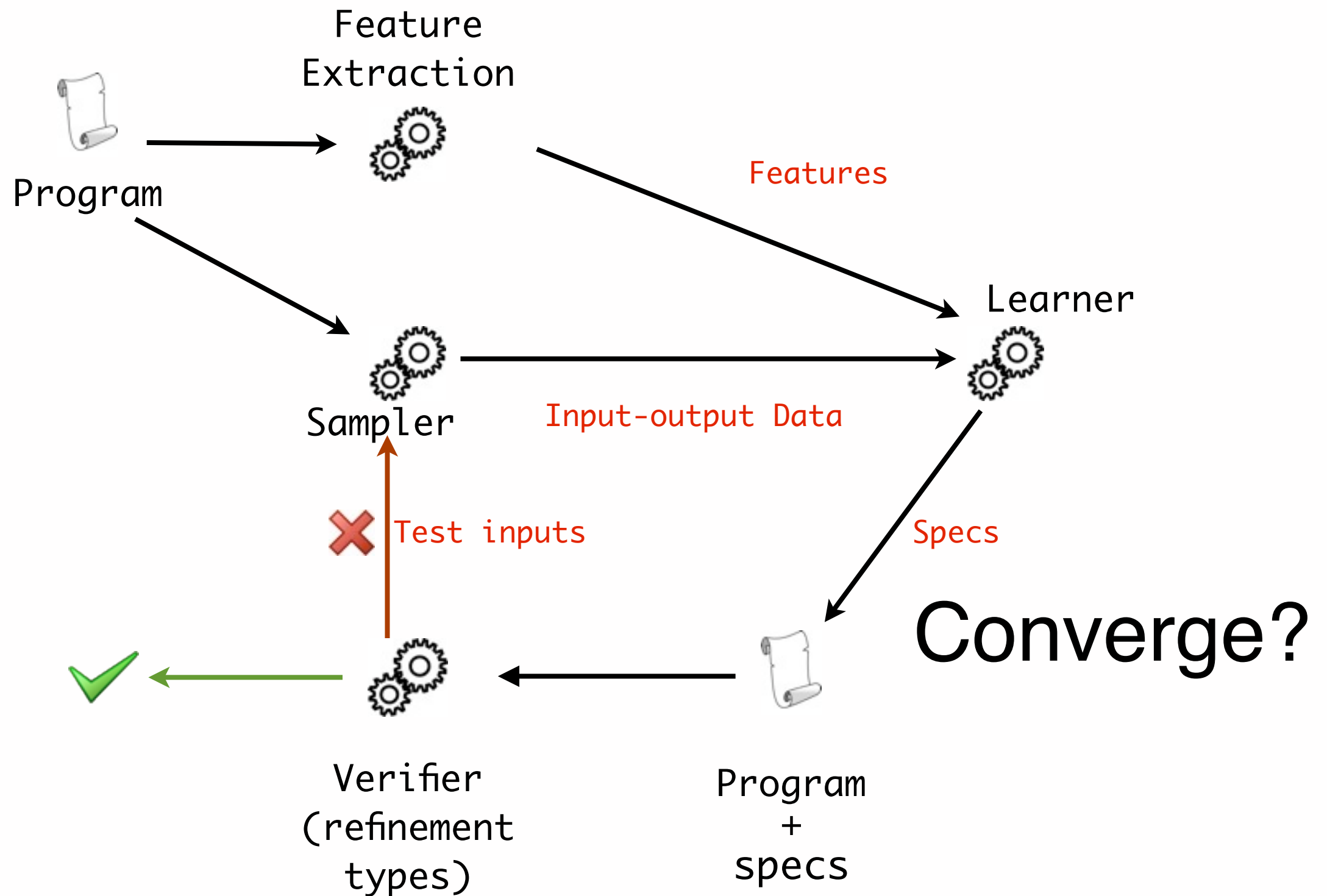
# Verification Framework ...



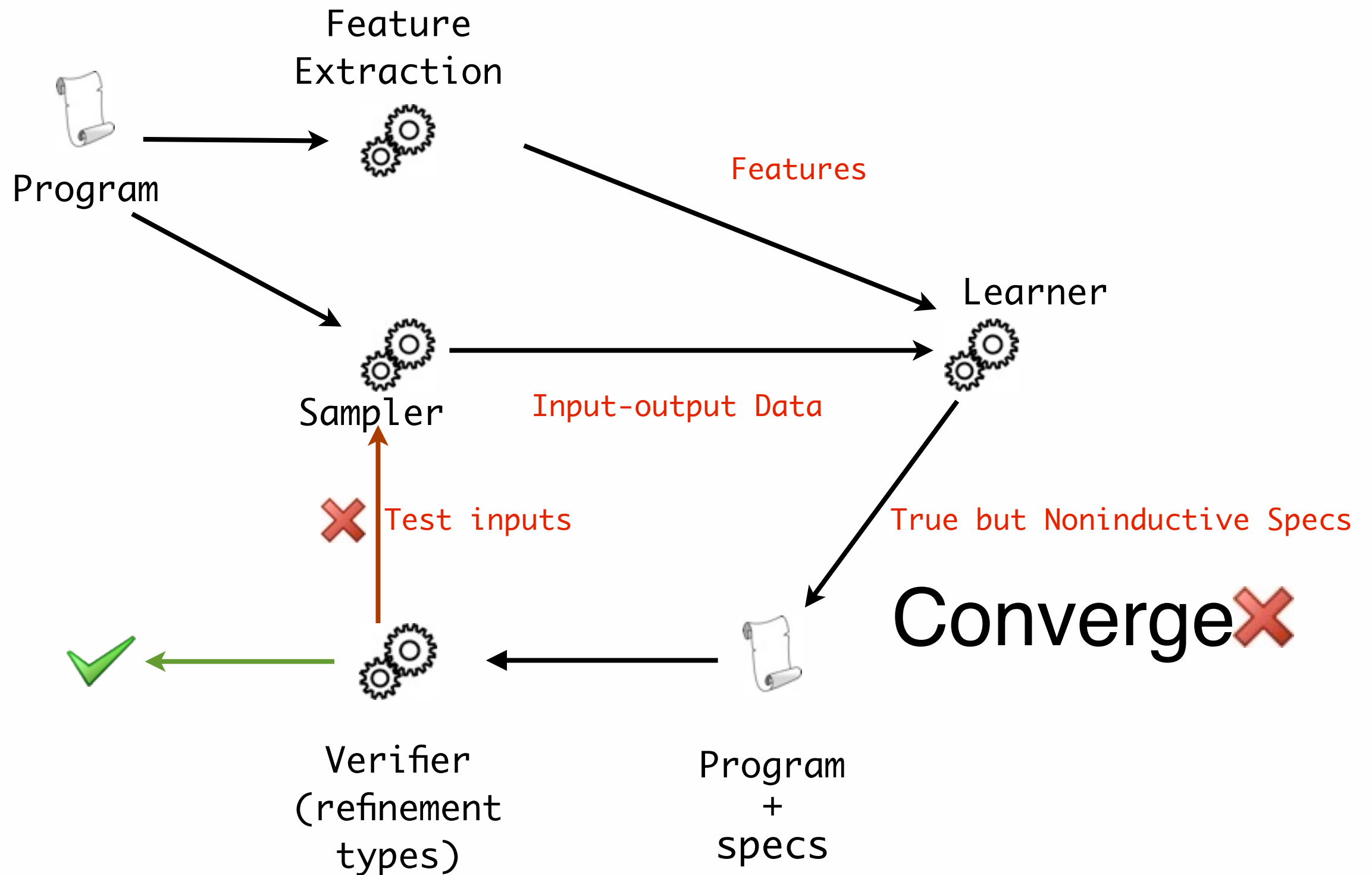
# Verification Framework ...



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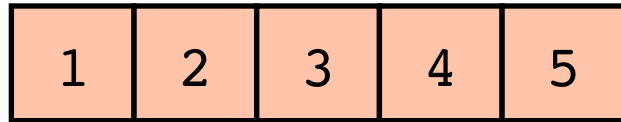




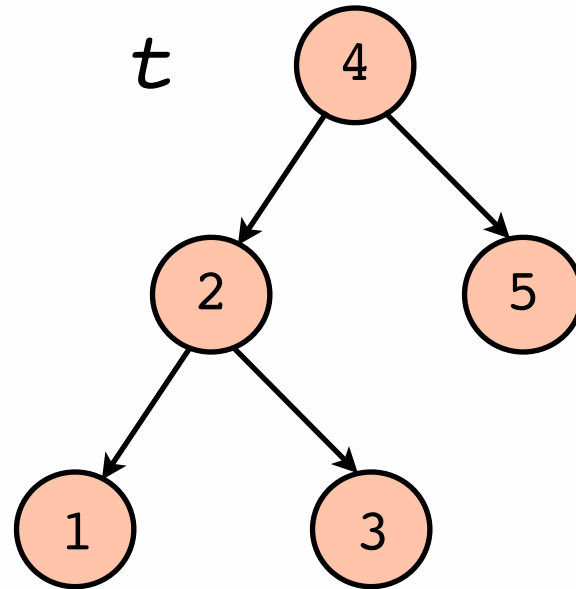
# Verification and Convergence ...

`l:list = elements (t:tree)`

*l*



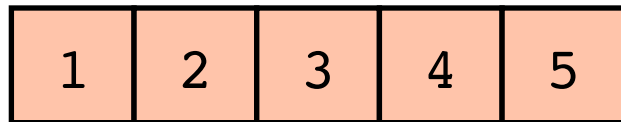
*t*



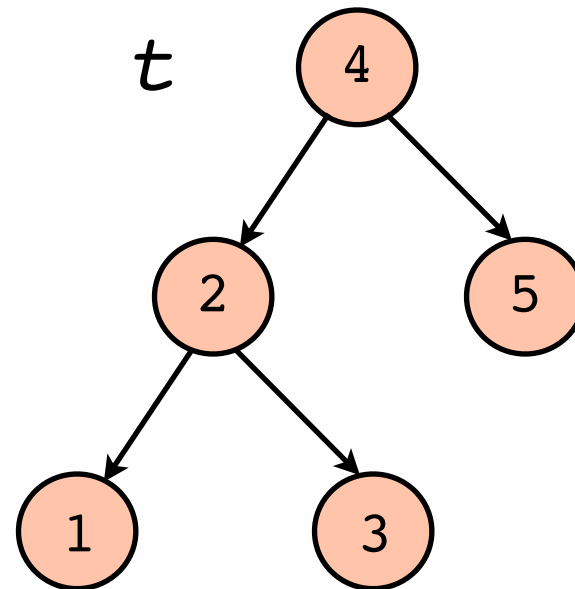
# Verification and Convergence ...

$l : \text{list} = \text{elements } (t : \text{tree})$

$l$



$t$



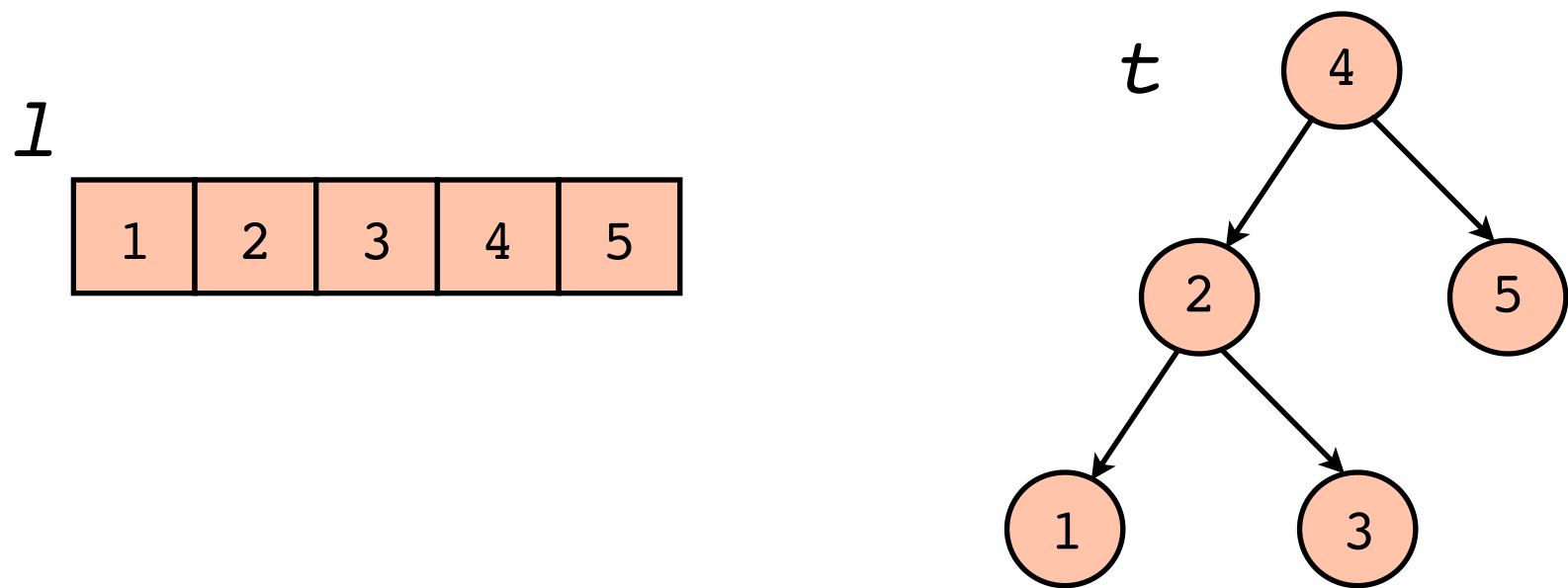
*A hypothesis specification with a minimal number of features:*

$$(\forall u \ v, \ l : u \rightarrow v \Rightarrow (t \dashrightarrow u \wedge t \dashrightarrow v))$$

**Non inductive invariant**

# Verification and Convergence ...

$l : \text{list} = \text{elements } (t : \text{tree})$



*A hypothesis specification with a minimal number of features:*

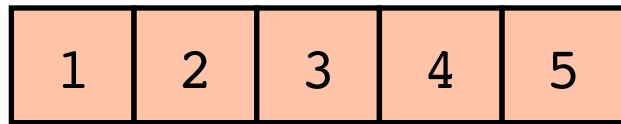
$\text{X} \left( \forall u \ v, \ l : u \rightarrow v \Rightarrow \left( t \dashrightarrow u \wedge t \dashrightarrow v \right) \right) \text{X}$

$(u, v)$		$t : u \swarrow v$	$t : u \searrow v$	$t : u \curvearrowright v$	$t : v \swarrow u$	$t : v \searrow u$	$t : v \curvearrowright u$	$t \dashrightarrow u$	$t \dashrightarrow v$
pos samples									
$l : u \rightarrow v$	(1,2)	0	0	0	1	0	0	1	1
	(4,5)	0	1	0	0	0	0	1	1
	(2,5)	0	0	1	0	0	0	1	1
<hr/>									
neg samples									
$\neg l : u \rightarrow v$	(3,1)	0	0	0	0	0	1	1	1
	(3,2)	0	0	0	0	1	0	1	1
	(4,1)	1	0	0	0	0	0	1	1

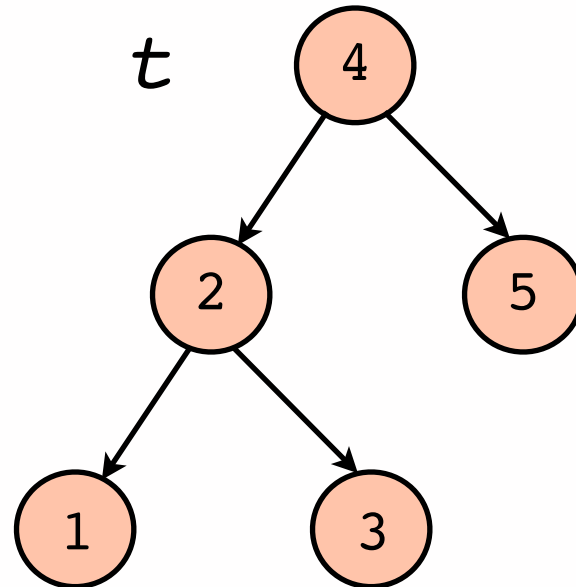
# Verification and Convergence ...

`l:list = elements (t:tree)`

*l*



*t*

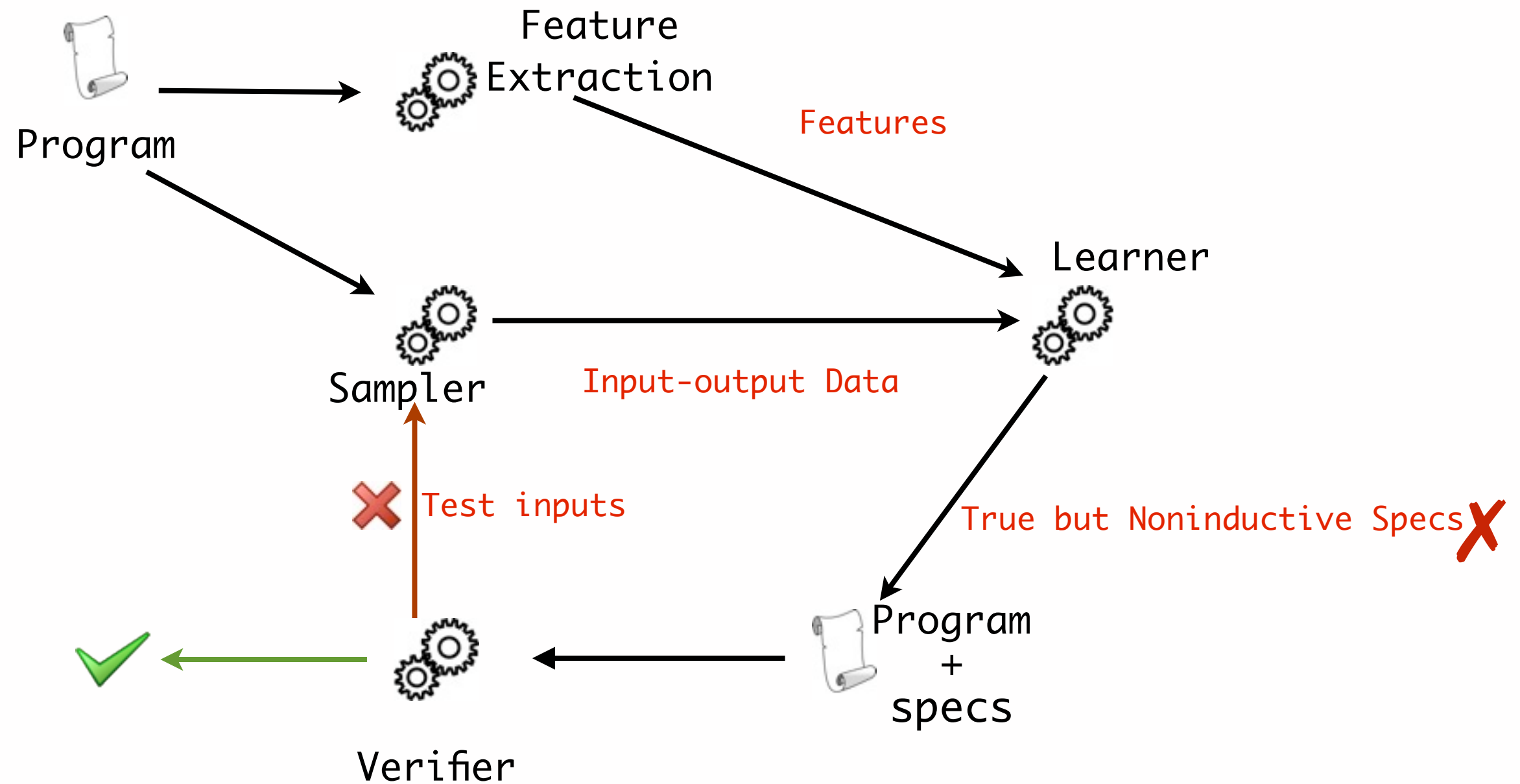


*A hypothesis specification with a minimal number of features:*

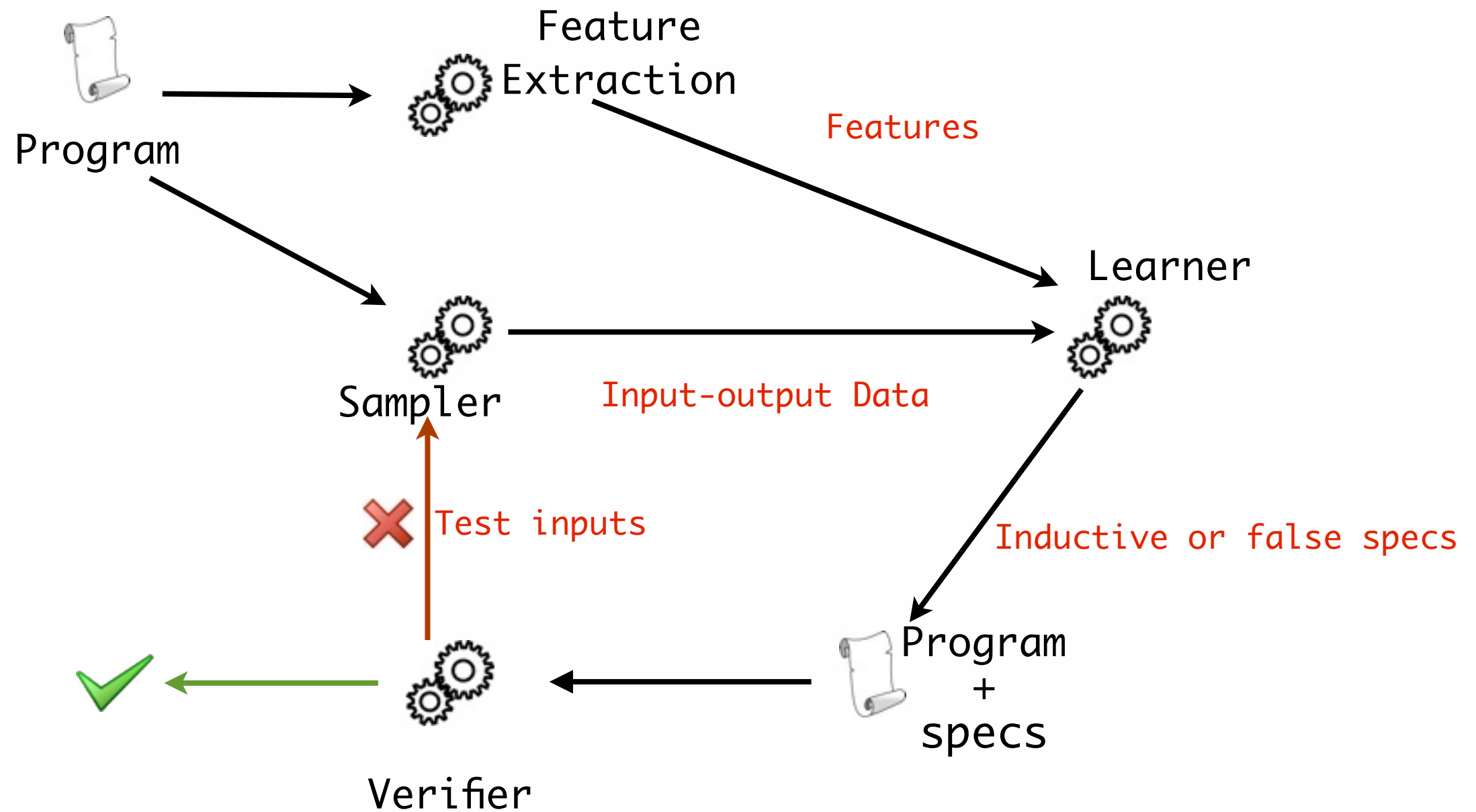
✗  $(\forall u \ v, \ l : u \rightarrow v \Rightarrow (t \dashrightarrow u \wedge t \dashrightarrow v))$

✓  $(\forall u \ v, \ l : u \rightarrow v \iff \left( \begin{array}{l} t : v \swarrow u \searrow \\ t : u \searrow v \swarrow \\ t : u \curvearrowright v \end{array} \right))$

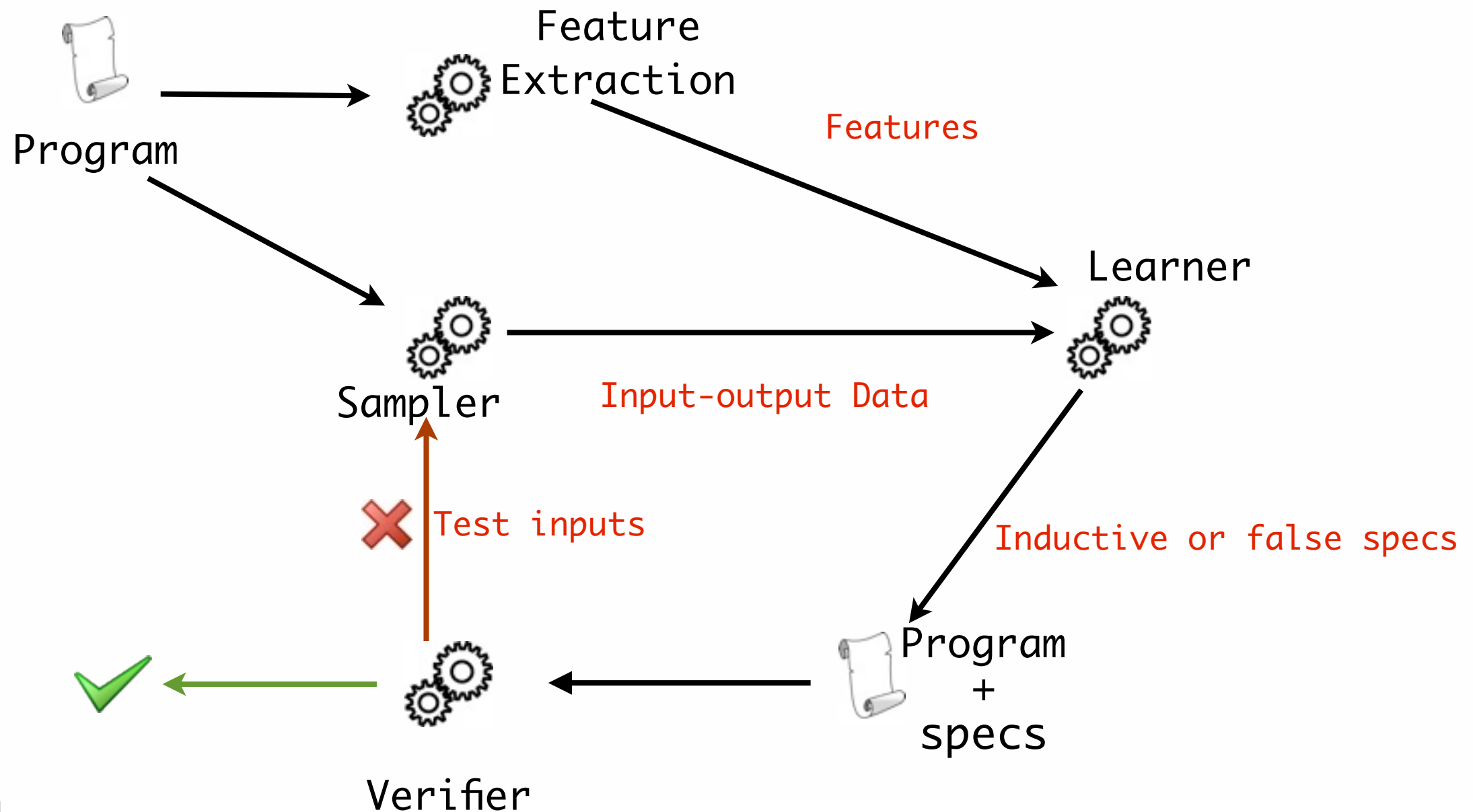
# Verification and Convergence ...



# Verification and Convergence ...



# Verification and Convergence ...



**Theorem:** The learning algorithm eventually converges to the strongest inductive specification in the hypothesis space.

In the paper ...



In the paper ...

*Predicting binary search tree?*

In the paper ...

*Predicting binary search tree?*

Shape-data specifications

In the paper ...

*Predicting binary search tree?*

Shape-data specifications

*Predicting balanced tree?*

In the paper ...

*Predicting binary search tree?*

Shape-data specifications

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Numeric specifications

In the paper ...

*Predicting binary search tree?*

Shape-data specifications

*Predicting balanced tree?*

Numeric specifications

General Framework for Specification Synthesis

# Experimental Results ...

- **DOrder** -- implemented within the OCaml tool chain.
- Programmers write code as usual (with no annotation burden) while the tool reports program specifications.

Benchmark Programs	Specifications
<ul style="list-style-type: none"><li>● Okasaki's functional Stack, Queue</li><li>● Lists: mem, concat, reverse, filter, insertionsort, quicksort, mergesort</li><li>● Set: list-based and tree-based implementations</li><li>● Heap: Leftist, Skew, Splay, Pairing, Binomial, Heapsort</li><li>● Tree: Treap, AVL, Braun, Splay, Redblack, Random-access-list, Proposition-lib and OCaml-Set-lib</li></ul>	<ul style="list-style-type: none"><li>● List reversal: input-forward is output-backward</li><li>● Balanced tree insertion preserves in-order relation</li><li>● Heap removal preserves parent-children relations of extant nodes</li><li>● Shape-data: Sorting, BST, Heap-ordered</li><li>● Numeric: Tree balance</li></ul>

## Experimental Results ...

- Verification is fast.
  - Redblack tree in 2mins.
  - AVL tree in 1min.
  - Most benchmarks verified in less than 30s.

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- Verification is fast.
  - Redblack tree in 2mins.
  - AVL tree in 1min.
  - Most benchmarks verified in less than 30s.
- Specifications can be synthesized from a small number of tests.
  - Redblack tree with ~100 samples.
  - AVL tree with ~40 samples.
  - Most benchmarks verified with ~20 samples.



# Unique Features ...

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- High-Automation.
  - *Without* requiring assertions, pre- and post-conditions.

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- Strong Convergence Guarantee.
  - Ensure there *always* exists a test to refine an unverifiable specification (if hypothesis space is sufficient).
- Demonstrated applicable to real-world programs.

Thanks!  
Q & A

<https://github.com/rowangithub/DOrder>

# Binary Search Tree Insertion ...

```
let rec insert x t =  
  match t with  
  | Leaf -> Node (x, Leaf, Leaf)  
  | Node (y, l, r) ->  
    if x < y then  
      Node (y, insert x l, r)  
    else if y < x then  
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```

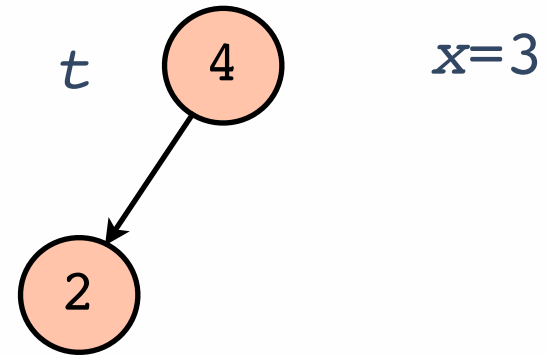
$r = \text{insert } 3 \ t$



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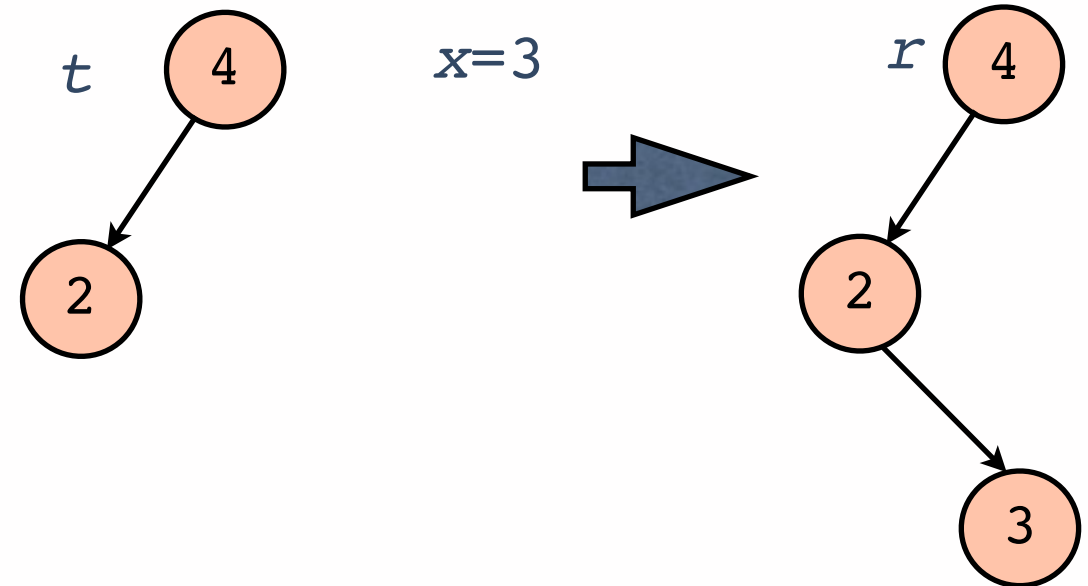
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$r = \text{insert } 3 \ t$

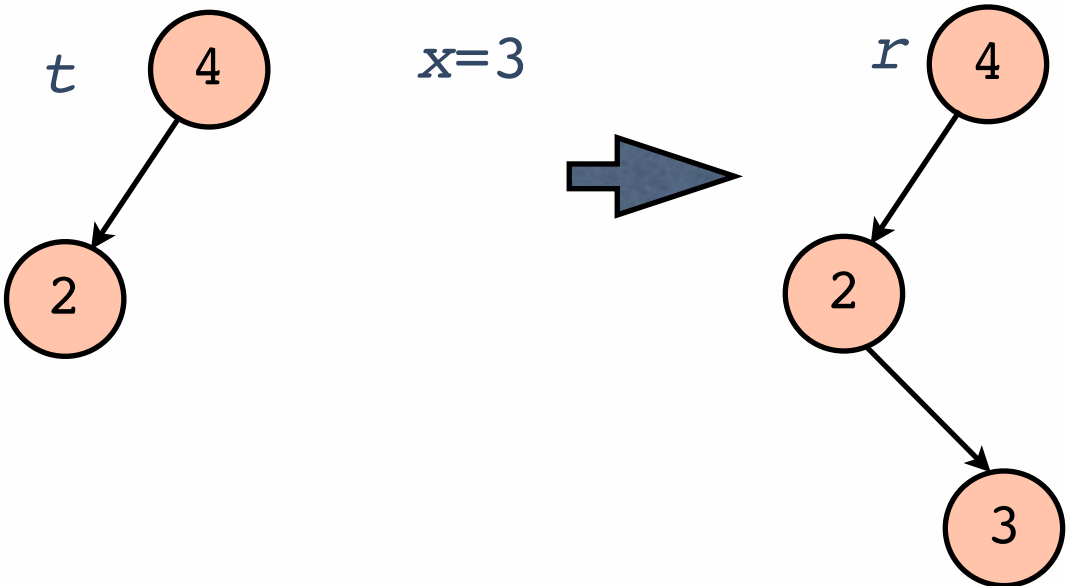


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```

input features		output features	
$\Pi_0 t : u \swarrow v$	$\Pi_8 u = x$	$\Pi_{10}$	
$\Pi_1 t : u \searrow v$	$\Pi_9 v = x$	$r : u \swarrow v$	
$\Pi_2 t : u \cup v$		$\vdots$	
$\Pi_3 t : v \swarrow u$			
$\Pi_4 t : v \searrow u$			
$\Pi_5 t : v \cup u$			
$\Pi_6 t \dashrightarrow u$			
$\Pi_7 t \dashrightarrow v$			

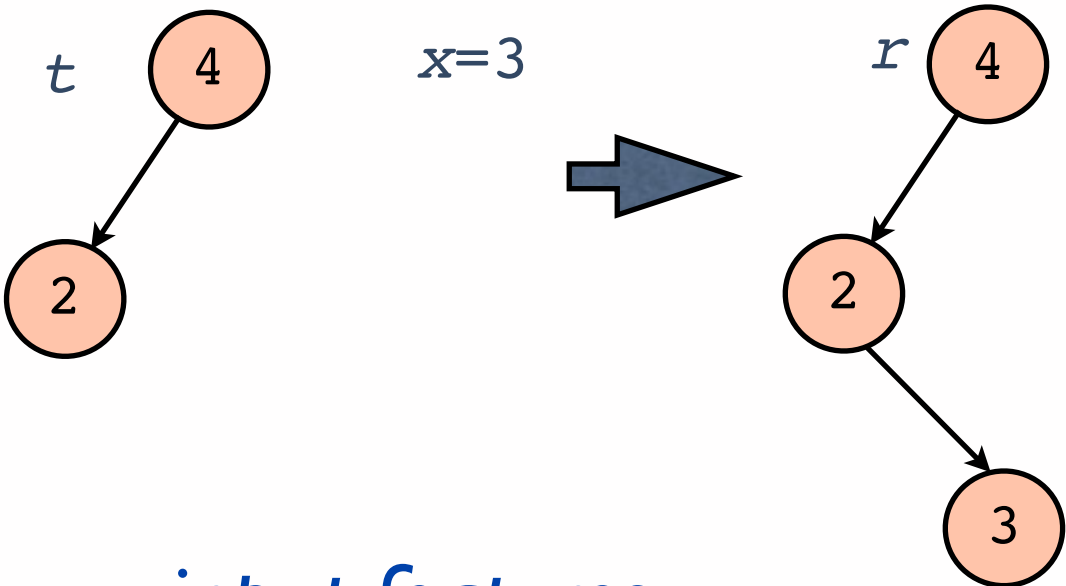
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*input features*      *output features*

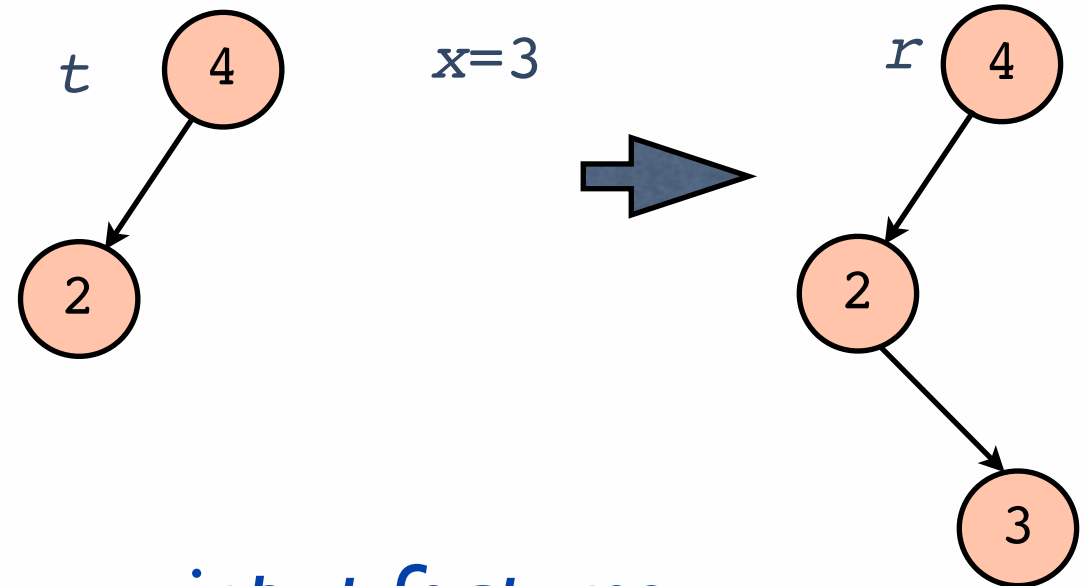
$\Pi_0 \ t : u \swarrow v$	$\Pi_8 \ u = x$	$\Pi_{10}$
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$\Pi_2 \ t : u \cup v$		$\vdots$
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*input features*

$(u, v)$	$\Pi_0$	$\Pi_1$	$\Pi_2$	$\Pi_3$	$\Pi_4$	$\Pi_5$	$\Pi_6$	$\Pi_7$	$\Pi_8$	$\Pi_9$	$\Pi_{10}$
$(4,3)$	0	0	0	0	0	0	1	0	0	1	1

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$$r = \text{insert } 3 \ t$$


*input features*

*output features*

$\Pi_0 \ t : u \swarrow v$	$\Pi_8 \ u = x$	$\Pi_{10}$
$\Pi_1 \ t : u \searrow v$	$\Pi_9 \ v = x$	$r : u \swarrow v$
$\Pi_2 \ t : u \cup v$		$\vdots$
$\Pi_3 \ t : v \swarrow u$		
$\Pi_4 \ t : v \searrow u$		
$\Pi_5 \ t : v \cup u$		
$\Pi_6 \ t \dashrightarrow u$		
$\Pi_7 \ t \dashrightarrow v$		

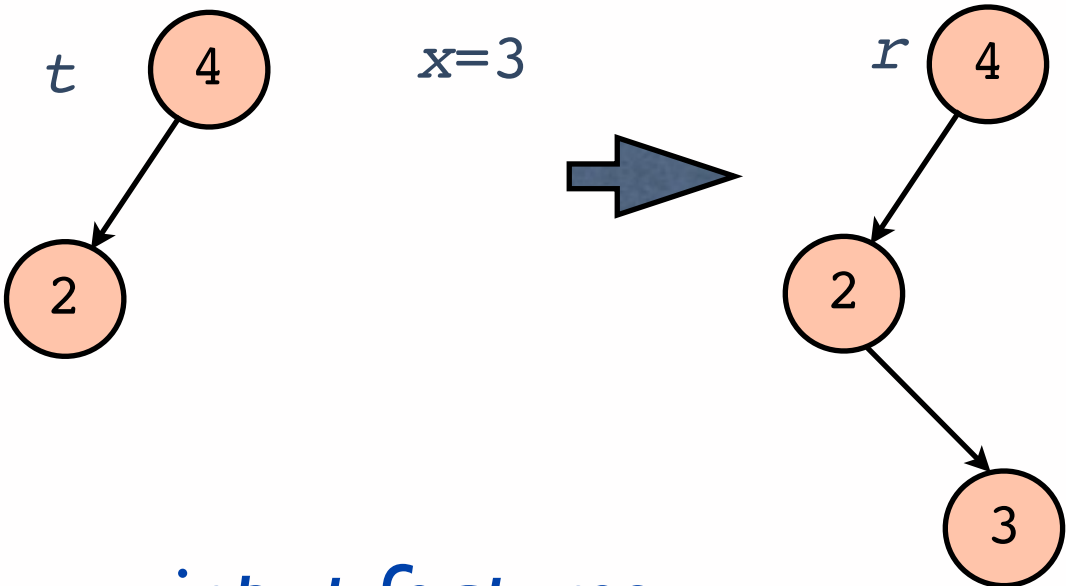
*input features*

$(u, v)$	$\Pi_0$	$\Pi_1$	$\Pi_2$	$\Pi_3$	$\Pi_4$	$\Pi_5$	$\Pi_6$	$\Pi_7$	$\Pi_8$	$\Pi_9$	$\Pi_{10}$
$(4,3)$	0	0	0	0	0	0	1	0	0	1	1
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$\Pi_5 \ t : v \curvearrowright u$		
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$\Pi_7 \ t \dashrightarrow v$		

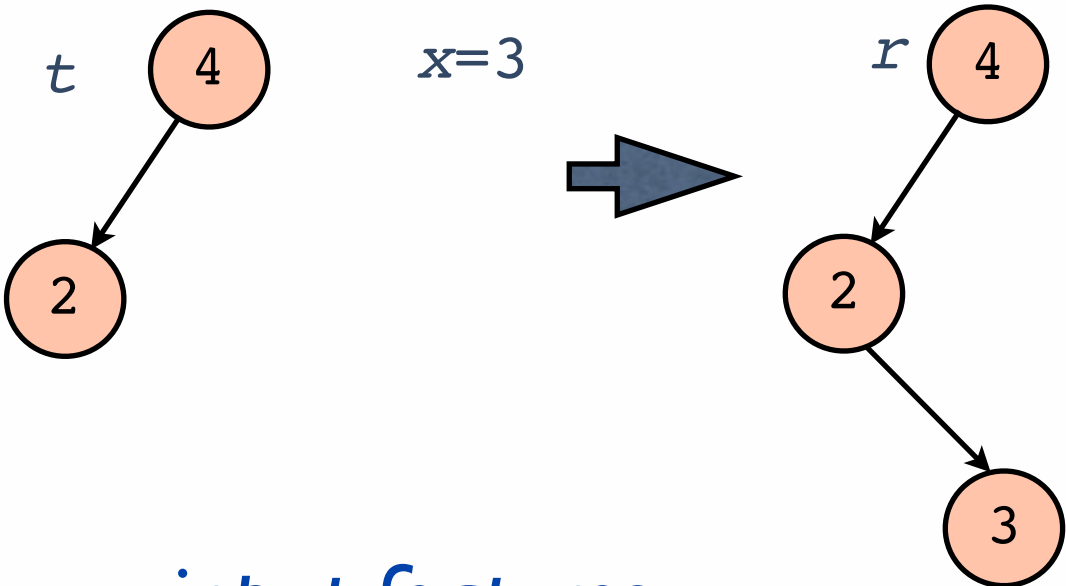
*input features*

$(u, v)$	$\Pi_0$	$\Pi_1$	$\Pi_2$	$\Pi_3$	$\Pi_4$	$\Pi_5$	$\Pi_6$	$\Pi_7$	$\Pi_8$	$\Pi_9$	$\Pi_{10}$
(4,3)	0	0	0	0	0	0	1	0	0	1	pos1
(2,3)	0	0	0	0	0	0	1	0	0	1	neg0

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$r = \text{insert } 3 \ t$



input features

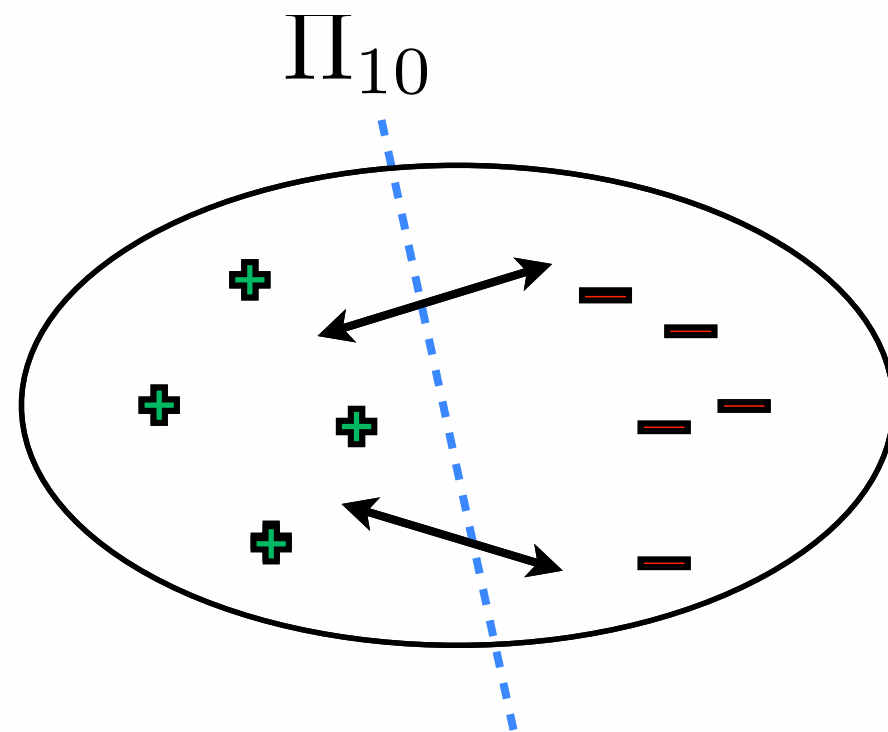
output features

input features

input features										output features
$\Pi_0 \ t : u \swarrow v$	$\Pi_8 \ u = x$	$\Pi_{10}$								
$\Pi_1 \ t : u \searrow v$	$\Pi_9 \ v = x$	$r : u \swarrow v$								
$\Pi_2 \ t : u \curvearrowright v$		$\vdots$								
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$\Pi_5 \ t : v \curvearrowright u$										
$\Pi_6 \ t \dashrightarrow u$										
$\Pi_7 \ t \dashrightarrow v$										
$(u, v)$										$\Pi_{10}$
$(4,3)$										1pos1
$(2,3)$										1neg0

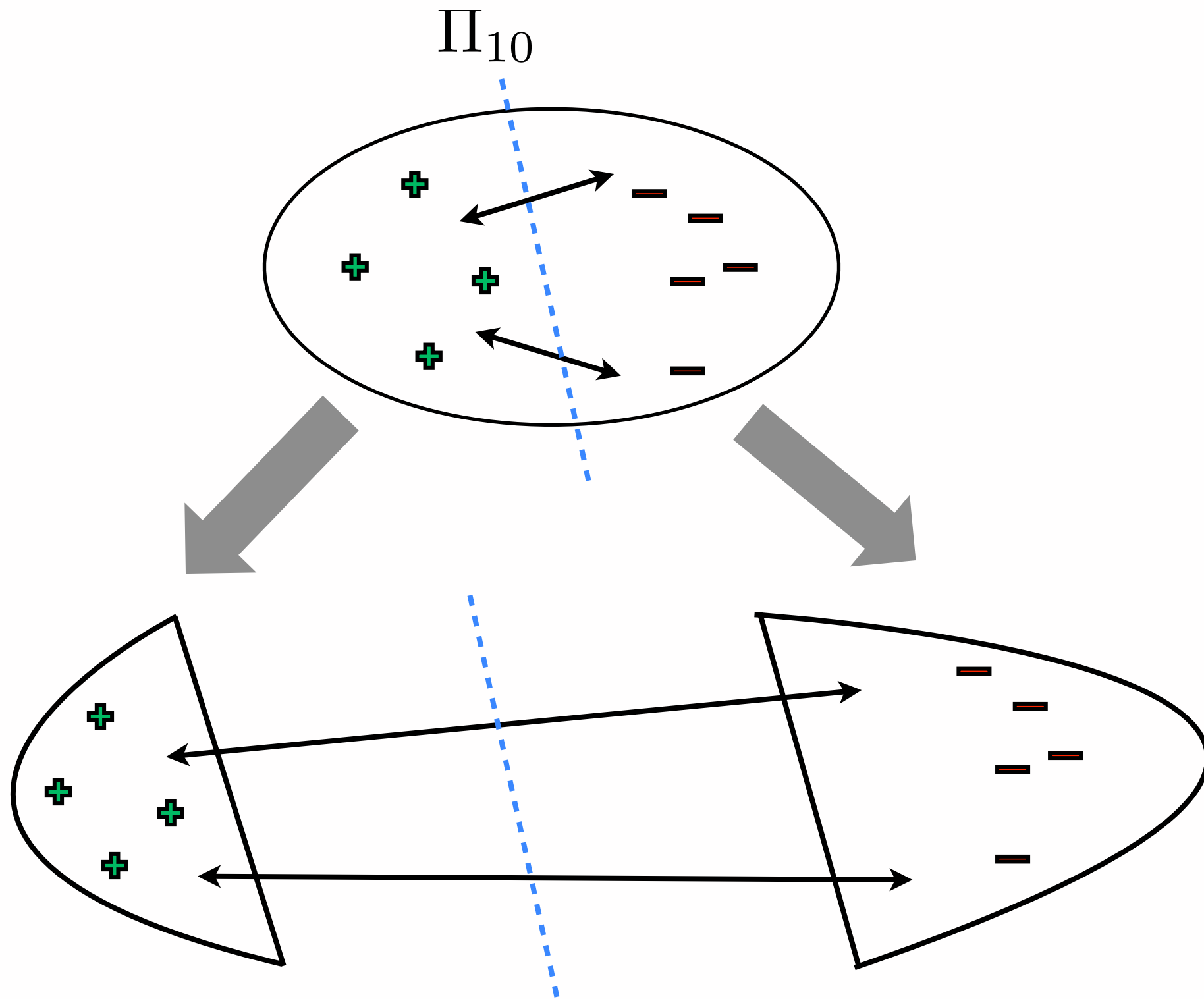
**Problem:**  
Samples are not separable  
with existing features

Learner ...

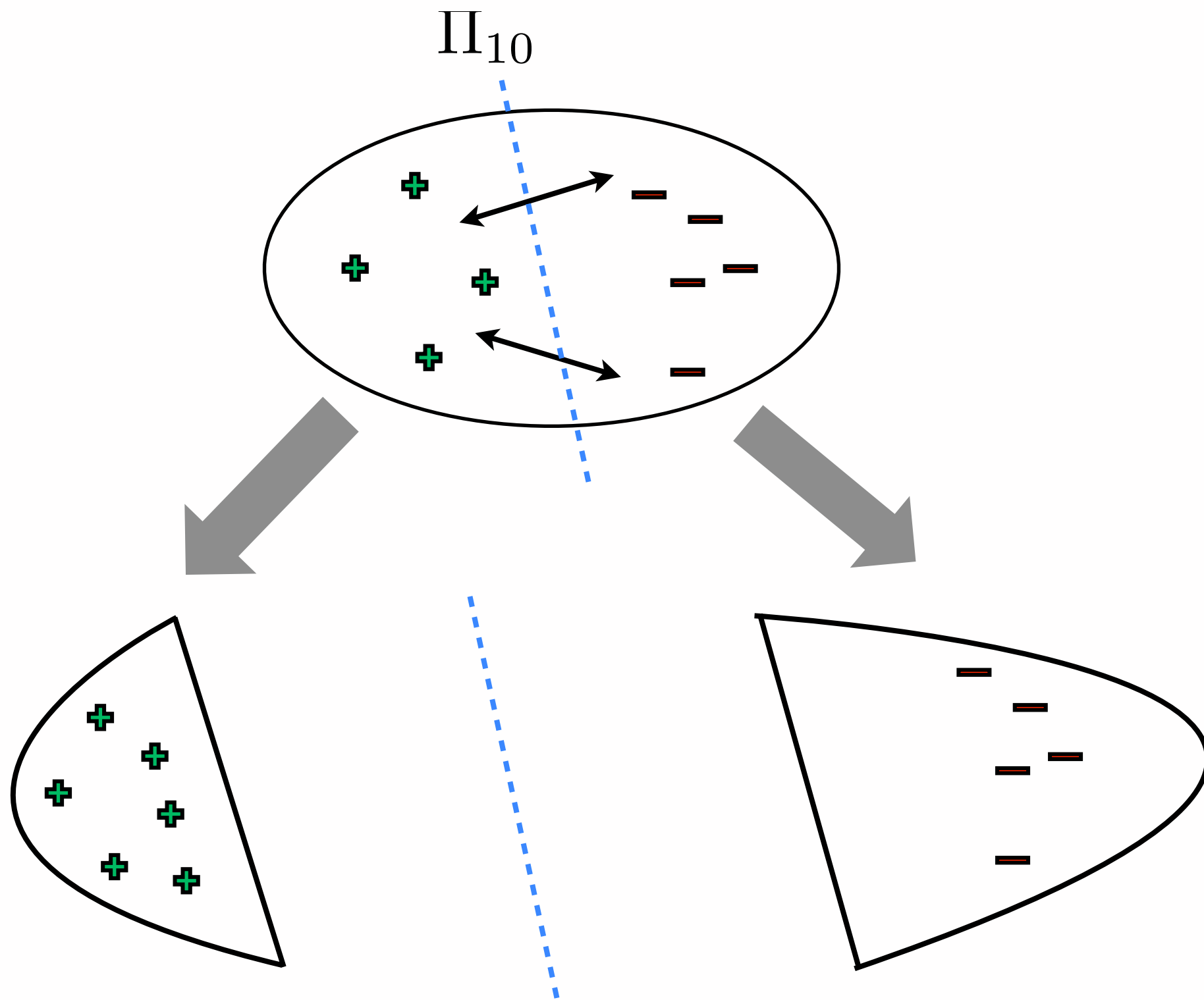




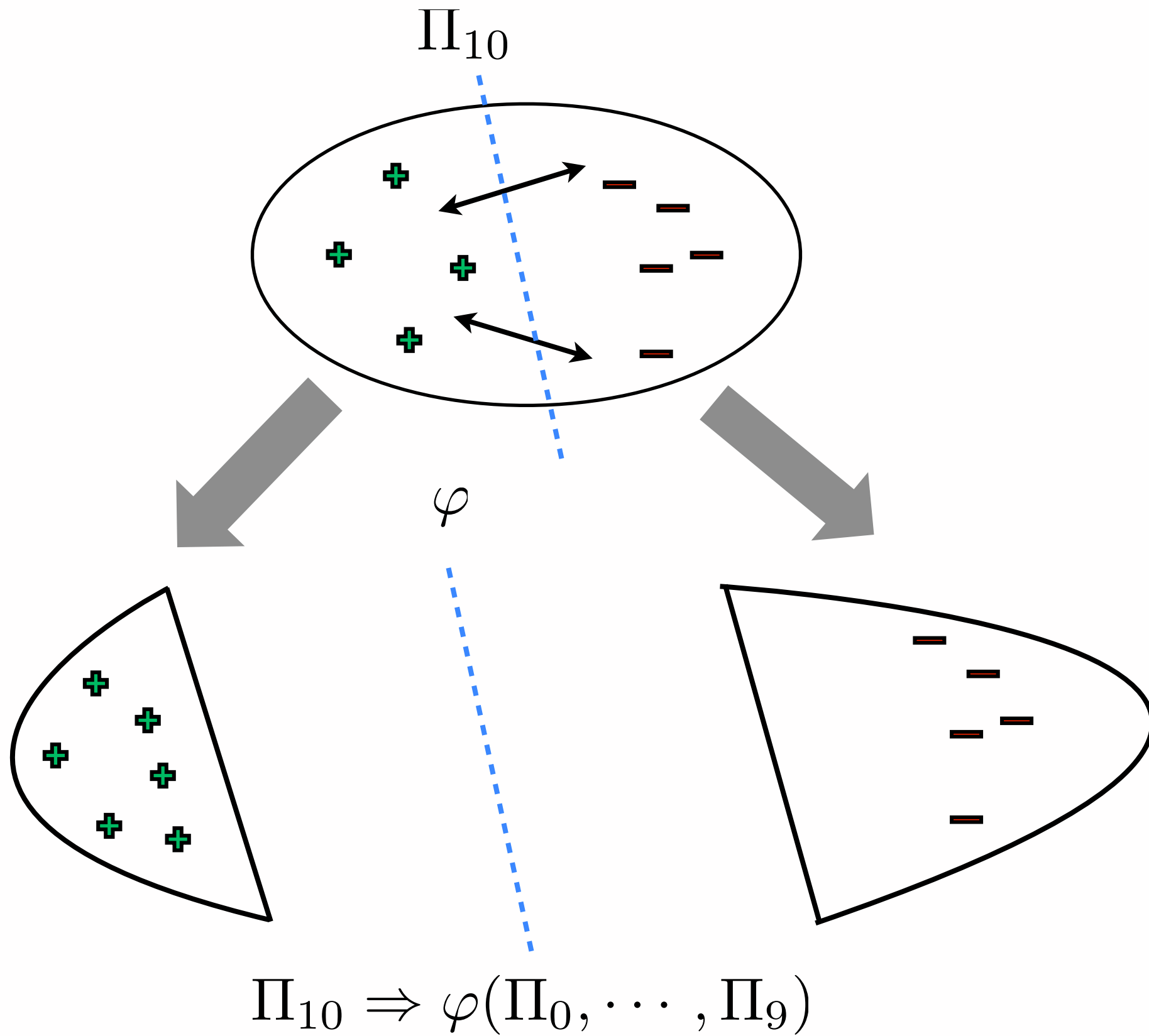
Learner ...



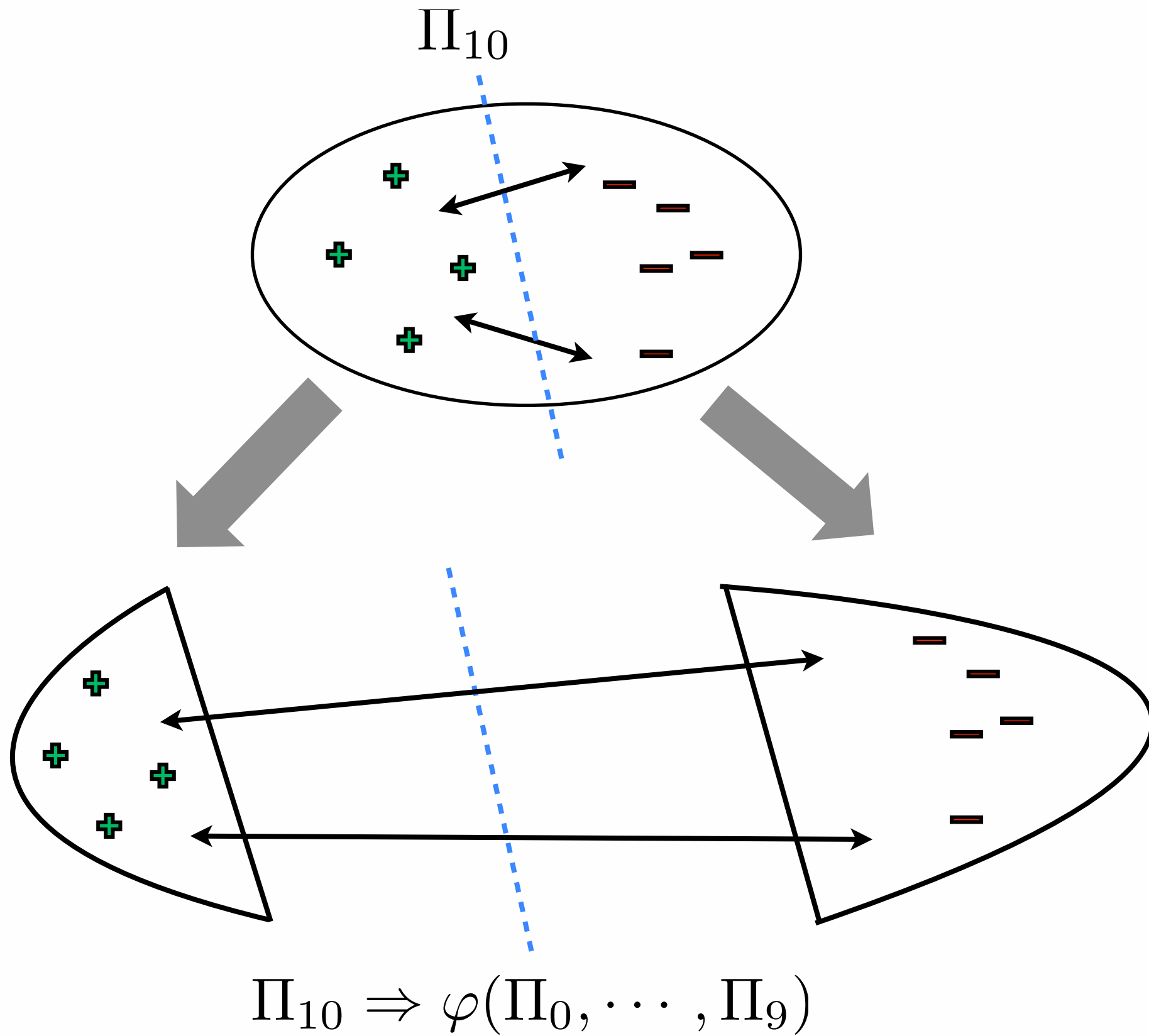
Learner ...



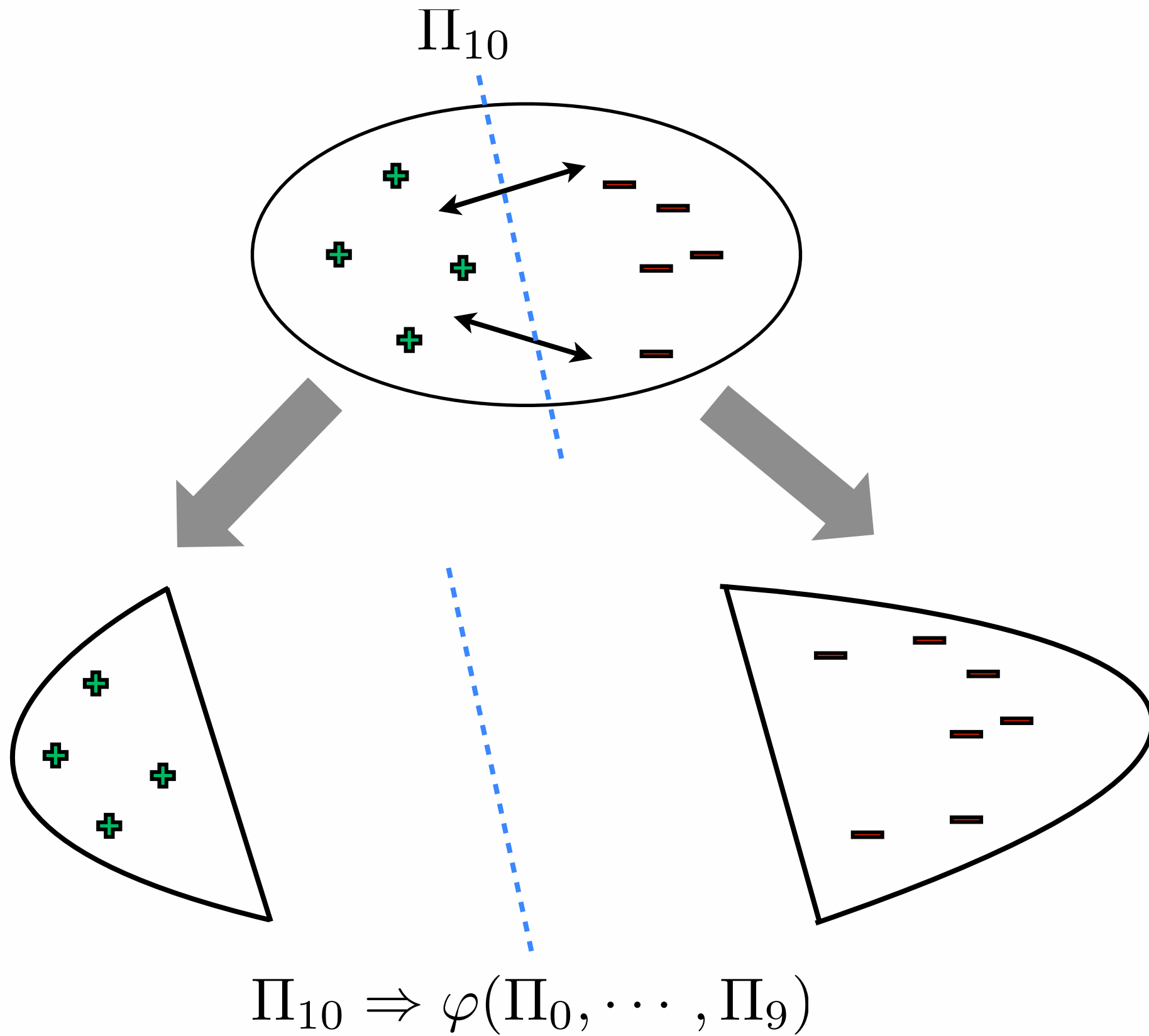
Learner ...



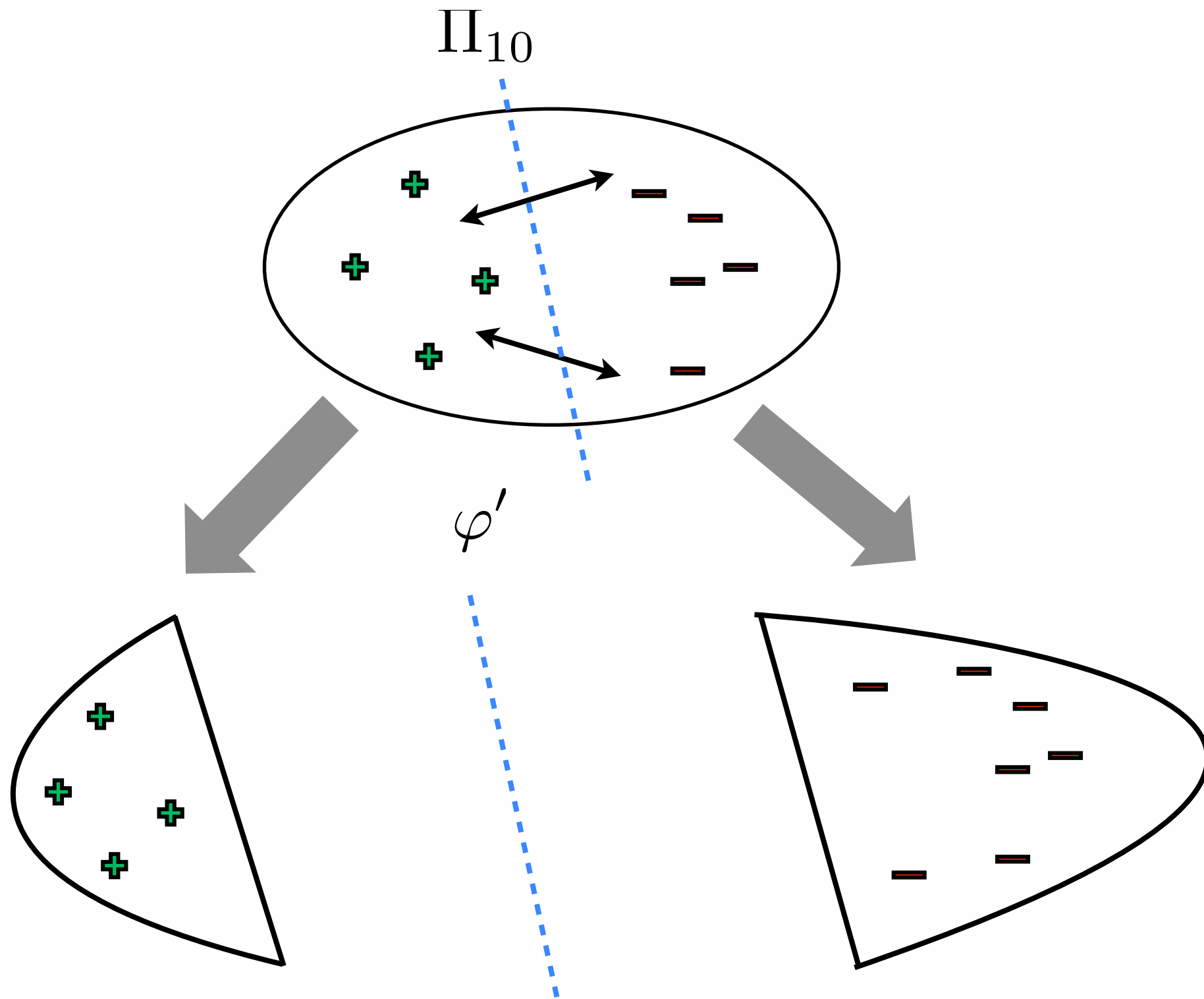
Learner ...



Learner ...



Learner ...

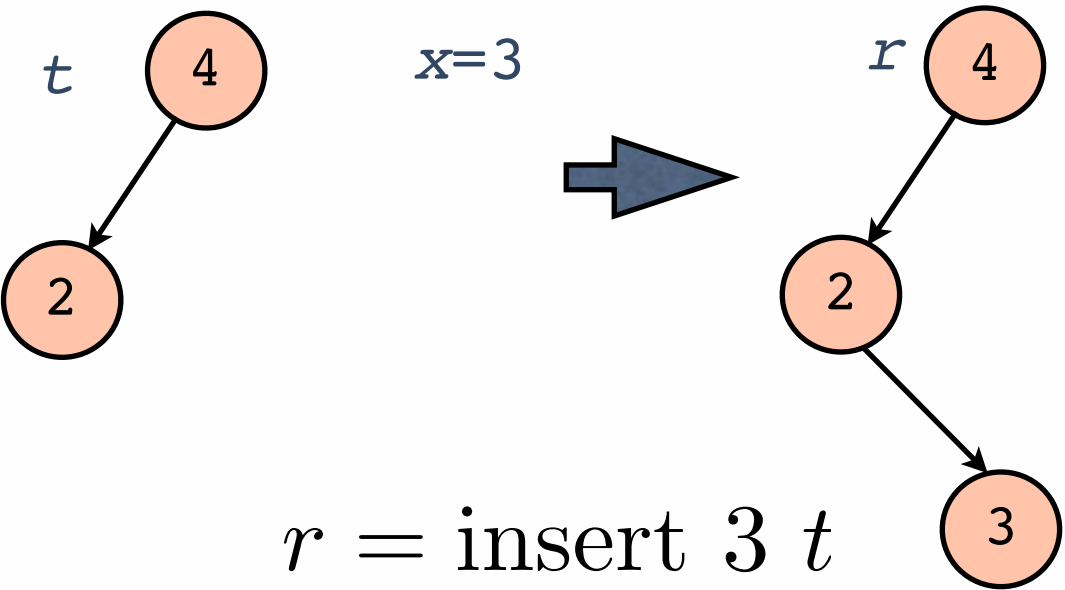


$$\Pi_{10} \Rightarrow \varphi(\Pi_0, \dots, \Pi_9)$$

$$\varphi'(\Pi_0, \dots, \Pi_9) \Rightarrow \Pi_{10}$$

# Binary Search Tree Insertion ...

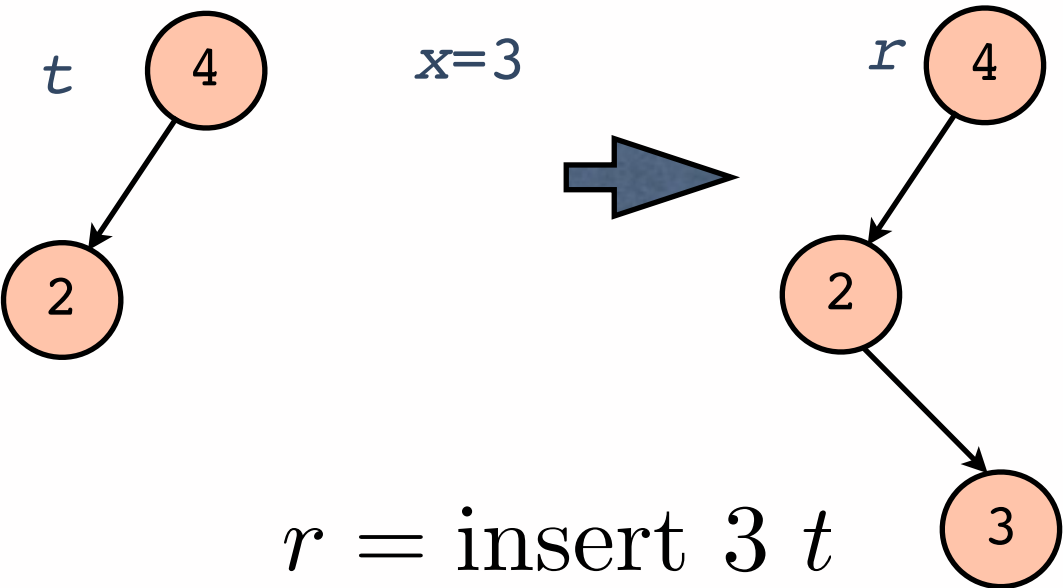
input features				output features	
$\Pi_0$	$t : u \swarrow v$	$\Pi_8$	$u = x$	$\Pi_{10}$	
$\Pi_1$	$t : u \searrow v$	$\Pi_9$	$v = x$	$r : u \swarrow v$	
$\Pi_2$	$t : u \cup v$			$\vdots$	
$\Pi_3$	$t : v \swarrow u$				
$\Pi_4$	$t : v \searrow u$				
$\Pi_5$	$t : v \cup u$				
$\Pi_6$	$t \dashrightarrow u$				
$\Pi_7$	$t \dashrightarrow v$				



	input features										
	$\Pi_0$	$\Pi_1$	$\Pi_2$	$\Pi_3$	$\Pi_4$	$\Pi_5$	$\Pi_6$	$\Pi_7$	$\Pi_8$	$\Pi_9$	$\Pi_{10}$
(4,3)	0	0	0	0	0	0	1	0	0	1	1
(4,2)	1	0	0	0	0	0	1	1	0	0	1
(2,3)	0	0	0	0	0	0	1	0	0	1	0
(2,4)	0	0	1	0	0	0	1	1	0	0	0

# Binary Search Tree Insertion ...

input features				output features	
$\Pi_0$	$t : u \swarrow v$	$\Pi_8$	$u = x$	$\Pi_{10}$	
$\Pi_1$	$t : u \searrow v$	$\Pi_9$	$v = x$	$r : u \swarrow v$	
$\Pi_2$	$t : u \cup v$			$\vdots$	
$\Pi_3$	$t : v \swarrow u$				
$\Pi_4$	$t : v \searrow u$				
$\Pi_5$	$t : v \cup u$				
$\Pi_6$	$t \dashrightarrow u$				
$\Pi_7$	$t \dashrightarrow v$				

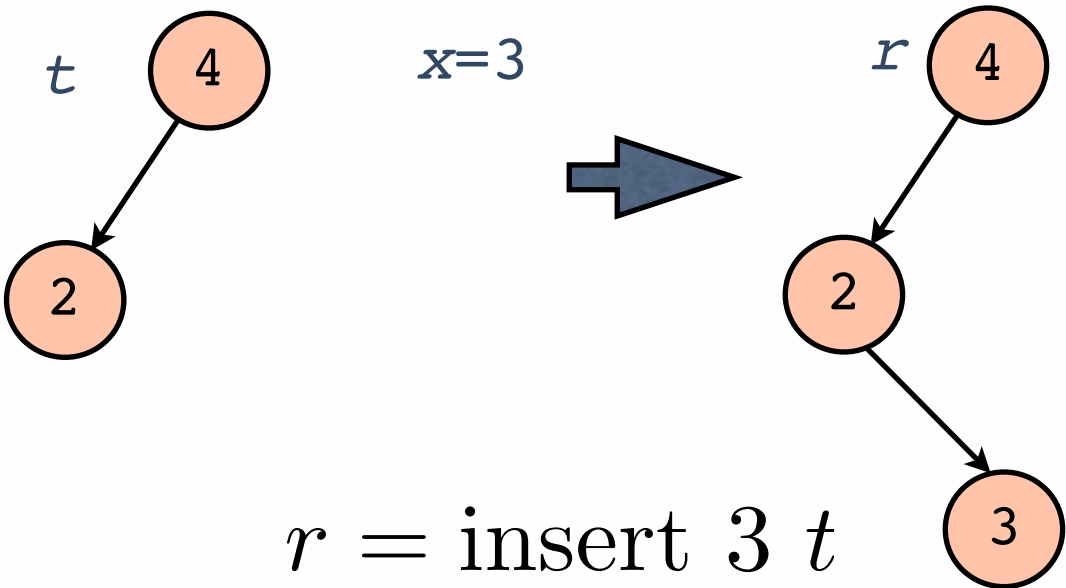


input features												$\Pi_{10}$
$\Pi_0$	$\Pi_1$	$\Pi_2$	$\Pi_3$	$\Pi_4$	$\Pi_5$	$\Pi_6$	$\Pi_7$	$\Pi_8$	$\Pi_9$			
(4,3)	0	0	0	0	0	0	1	0	0	1	1	
(4,2)	1	0	0	0	0	0	1	1	0	0	1	
(2,3)	0	0	0	0	0	0	1	0	0	1	0	
(2,4)	0	0	1	0	0	0	1	1	0	0	0	



# Binary Search Tree Insertion ...

input features				output features	
$\Pi_0$	$t : u \swarrow v$	$\Pi_8$	$u = x$	$\Pi_{10}$	
$\Pi_1$	$t : u \searrow v$	$\Pi_9$	$v = x$	$r : u \swarrow v$	
$\Pi_2$	$t : u \cup v$			$\vdots$	
$\Pi_3$	$t : v \swarrow u$				
$\Pi_4$	$t : v \searrow u$				
$\Pi_5$	$t : v \cup u$				
$\Pi_6$	$t \dashrightarrow u$				
$\Pi_7$	$t \dashrightarrow v$				

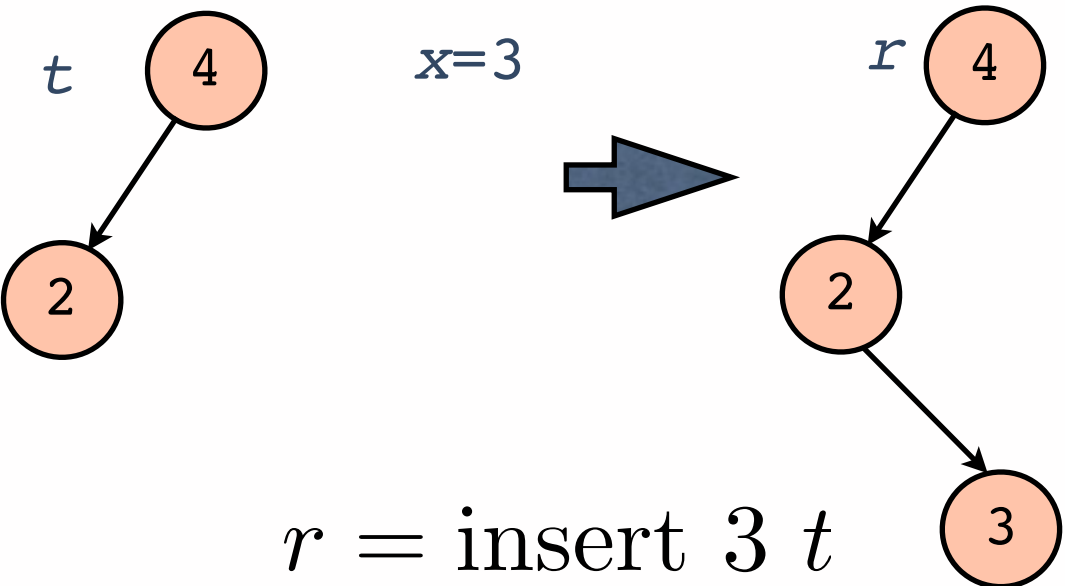


input features												
$\Pi_0$	$\Pi_1$	$\Pi_2$	$\Pi_3$	$\Pi_4$	$\Pi_5$	$\Pi_6$	$\Pi_7$	$\Pi_8$	$\Pi_9$	$\Pi_{10}$		
(4,3)	0	0	0	0	0	0	1	0	0	1	1	
(4,2)	1	0	0	0	0	0	1	1	0	0	1	
(2,3)	0	0	0	0	0	0	1	0	0	1	0	
(2,4)	0	0	1	0	0	0	1	1	0	0	0	

$\forall u \ v, \ t : u \swarrow v \Rightarrow r : u \swarrow v$

# Binary Search Tree Insertion ...

input features			output features	
$\Pi_0$	$t : u \swarrow v$	$\Pi_8$	$u = x$	$\Pi_{10}$
$\Pi_1$	$t : u \searrow v$	$\Pi_9$	$v = x$	$r : u \swarrow v$
$\Pi_2$	$t : u \cup v$			$\vdots$
$\Pi_3$	$t : v \swarrow u$			
$\Pi_4$	$t : v \searrow u$			
$\Pi_5$	$t : v \cup u$			
$\Pi_6$	$t \dashrightarrow u$			
$\Pi_7$	$t \dashrightarrow v$			



input features											
	$\Pi_0$	$\Pi_1$	$\Pi_2$	$\Pi_3$	$\Pi_4$	$\Pi_5$	$\Pi_6$	$\Pi_7$	$\Pi_8$	$\Pi_9$	$\Pi_{10}$
(4,3)	0	0	0	0	0	0	1	0	0	1	1
(4,2)	1	0	0	0	0	0	1	1	0	0	1
(2,3)	0	0	0	0	0	0	1	0	0	1	0
(2,4)	0	0	1	0	0	0	1	1	0	0	0

$$\forall u \ v, \ t : u \swarrow v \Rightarrow r : u \swarrow v$$
$$\forall u \ v, \ r : u \swarrow v \Rightarrow \left( \begin{array}{c} (t \dashrightarrow u \wedge v = x) \vee \\ t : u \swarrow v \end{array} \right)$$