



# Continental-scale quantification of post-fire vegetation greenness recovery in temperate and boreal North America

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## ABSTRACT

Knowledge and information of post-fire vegetation recovery are essential for our understanding of ecosystem stability and resilience in response to present and future disturbances. Although previous studies have examined the post-fire vegetation recovery at landscape and regional levels, the lack of continent-wide analysis limits our understanding of fire effect on vegetation recovery across ecoregions and climate zones. With advanced technology in satellite observations as well as large-scale database development, it is possible for us to explore the large-scale effect of concurrent and lagged responses of terrestrial ecosystems to fire disturbances. In this study, we investigated the recovery of vegetation greenness in the early post-fire period in North America by integrating two national-level fire perimeter datasets and multiple Moderate Resolution Imaging Spectroradiometer (MODIS) products. We developed a new algorithm to estimate fire effect on the Normalized Difference Vegetation Index (NDVI) by comparing fire pixels with their surrounding unburned pixels. Our results showed the fire effect on needleleaf trees was stronger than on other vegetation types, and the high-latitude ecoregions had larger NDVI reduction and longer recovery time than the mid-latitude ecoregions. We also found the variations in vegetation composition and fire severity can explain a large fraction of post-fire vegetation greenness recovery. Our satellite-based analysis showed a longer NDVI recovery time than the existing estimates, which indicates a stronger fire effect on ecosystems than previously thought. Findings in this study indicate that more sophisticated parameterization schemes of fire severity and post-fire vegetation recovery are needed for the vegetation-fire models to better simulate the terrestrial carbon cycling and climate-ecosystem feedbacks.

## 1. Introduction

Fire is a widespread land disturbance across the globe (Bond et al., 2005; Bowman et al., 2009; Chuvieco et al., 2016; van der Werf et al., 2010), which substantially alters vegetation composition, terrestrial biogeochemical cycles, and global ecosystem-climate feedbacks (Bond et al., 2005; Littell et al., 2016; Randerson et al., 2006; Rogers et al., 2013; Ward et al., 2012; Yang et al., 2015a). While fires have been well-recognized as critical components in the Earth System Model (ESM) (e.g., Arora and Boer, 2005; Lasslop et al., 2014; Li et al., 2012), parameterization schemes to simulate fire effects on ecosystem processes diverge significantly among current fire models. It is still unclear which type of scheme has better performance in representing fire effect (Hantson et al., 2016). Moreover, the simulation of post-fire vegetation recovery has not been validated against large-scale benchmark data sets and remains one of the major challenges in developing vegetation-fire models. This gap may lead to large uncertainties in understanding fire

effects on biogeochemical processes (Tian et al., 2016) and their potential feedbacks to the climate system (Rogers et al., 2013). Therefore, knowledge of post-fire vegetation recovery and large-scale benchmark data sets are essential for fire model development and validation (Kelley et al., 2013; Hantson et al., 2016; Yang et al., 2015b).

Remote sensing-based estimates provide useful information for validating and constraining model results of fire effects across multiple spatial scales. At the landscape and ecosystem scales, the high-resolution optical imagery (Meng et al., 2017; Quintano et al., 2013) and airborne LiDAR data (McCarley et al., 2017) have been applied for quantifying fire severity and examining the post-fire vegetation dynamics (Bolton et al., 2015; Fernandez-Manso et al., 2016; Meng et al., 2015; Storey et al., 2016; Wulder et al., 2009). These high-resolution remote sensing imageries have high accuracy in vegetation recovery assessment especially for the geographically fragmented stands. At the regional scale, the relative coarse-resolution satellite imageries (such as AVHRR and MODIS) are more popular in investigating post-fire

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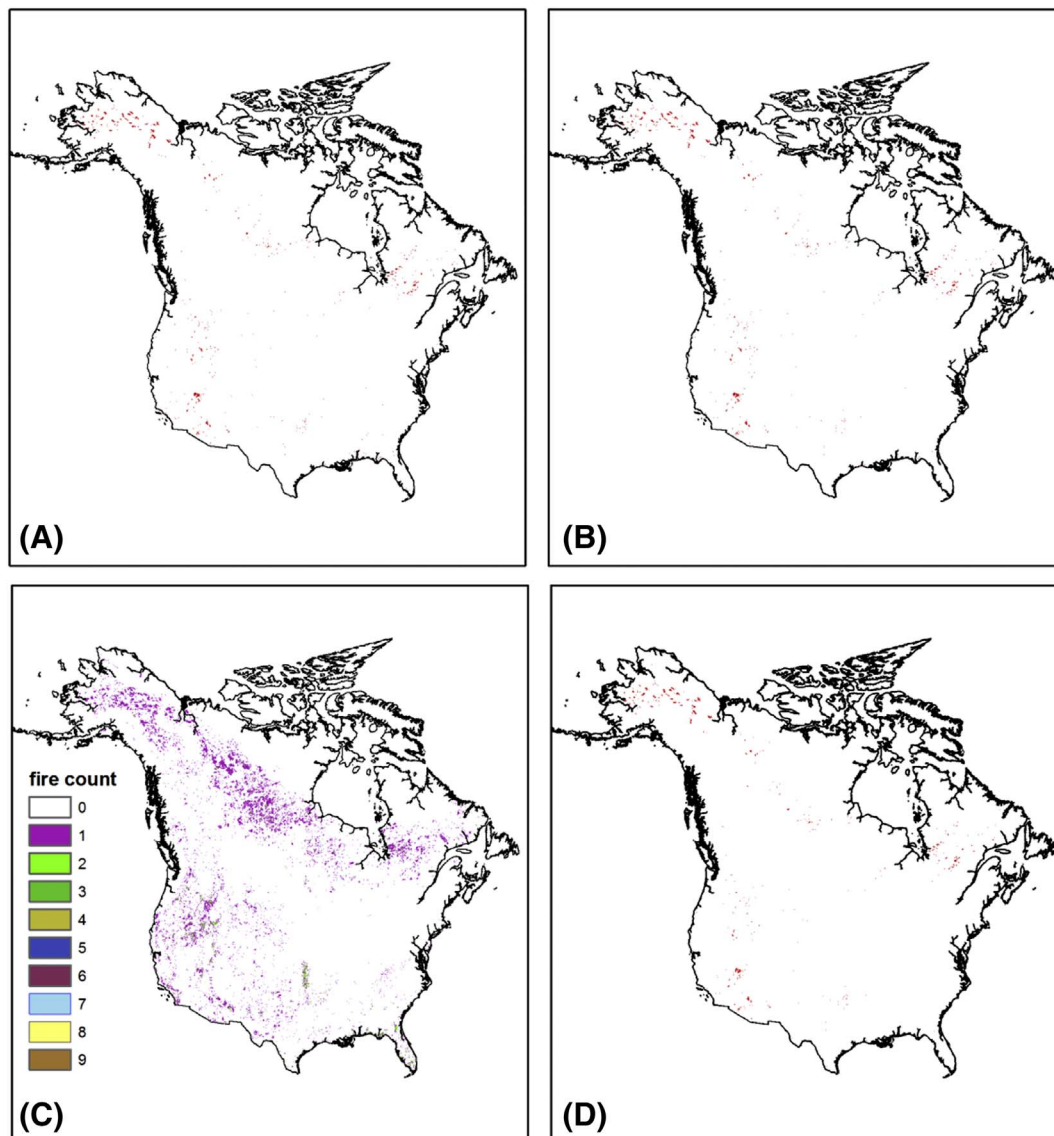


Fig. 1. (A) All fire perimeters in 2005 extracted from the MTBS and CNFDB datasets, (B) fires in 2005 with size > 10 km<sup>2</sup>, (C) the count of fire occurrences during 1995–2015 in each pixel, and (D) the selected fire pixels in 2005 with only one fire occurrence during 1995–2015.

**vegetation dynamics.** For example, studies in boreal regions indicated that the recovery time of boreal forest greenness varies between 5 and 9 years in North America (Goetz et al., 2006; Hicke et al., 2003; Jin et al., 2012), and over 13 years in Central Siberia (Cuevas-González et al., 2009). Although the recovery of vegetation structure (such as leaf area index and tree height) has been examined by using remote sensing approaches (e.g. Magnussen and Wulder, 2012; McMichael et al., 2004; McCarley et al., 2017), more satellite-based studies have been focused on the spectral recovery of vegetation greenness (such as Normalized Difference Vegetation Index, NDVI and Enhanced Vegetation Index, EVI) (Goetz et al., 2007). Both high-resolution and coarse-resolution remote-sensing studies identified vegetation species, climate, fire severity, topography, and human management as critical factors in affecting the post-fire vegetation recovery trajectories (e.g. Alcaraz-Segura et al., 2010; Chu and Guo, 2013; Meng et al., 2015; White et al., 1996; Zhao et al., 2016).

Large-scale vegetation recovery studies (e.g. Goetz et al., 2006; Jin et al., 2012) often use ecoregions as basic ecological unit because different ecoregions are associated with different fire regimes, vegetation species, and climate condition (Chuvieco et al., 2008). Stand-replacing fires characterized by high burn severity and long return interval are

the major fire types in boreal forests in North America (Rogers et al., 2015), whereas the low-severity prescribed fires are more pervasive in Southeast United States (Mitchell et al., 2014). Spatial variations in fire regimes and vegetation types could result in distinct vegetation recovery trajectories. Quantifying post-fire vegetation recovery trajectories at the continental scale across ecoregions and climate zones are essential for better understanding fire effects on ecosystems, devising post-fire land management practices, and improving fire parameterization in Earth System Models (Bartels et al., 2016; Goetz et al., 2005; Randerson et al., 2006; Rogers et al., 2013).

In this study, we chose North America (including the United States and Canada) as our study domain to investigate post-fire recovery of vegetation greenness by using two national-level fire perimeter datasets and the Moderate Resolution Imaging Spectroradiometer (MODIS) NDVI. Over the past few decades, North America has experienced a significant increase in fire frequency and burned area in the context of climate warming and drying condition (Dennison et al., 2014; Kasischke and Turetsky, 2006; Stocks et al., 2002; Westerling et al., 2006; Yang et al., 2015b). Frequent fire activities and large spatial variation of fire regimes make North America a valuable natural laboratory to investigate the post-fire vegetation recovery. Objectives of

this study is threefold: (1) to quantify fire effect on vegetation greenness at a continental scale in ten post-fire years; (2) to examine the impacts of vegetation type and fire severity on post-fire vegetation greenness dynamics; and (3) to discuss future directions to improve vegetation-fire models.

## 2. Methods and datasets

### 2.1. Fire perimeters and fire pixels

Time series of fire perimeters were obtained from two national-level datasets: The Monitoring Trends in Burn Severity (MTBS) in the United States (continental US and Alaska) and the Canadian National Fire Database (CNFDB) in Canada. MTBS is “a multi-year project designed to consistently map the burn severity and perimeters of fires across all the lands of the United States from 1984 and beyond” (<http://www.mtbs.gov/>). MTBS fire perimeters were created by the delineation of Landsat-derived dNBR images (Eidenshink et al., 2007). CNFDB is a collection of fire perimeters and locations from multiple Canadian fire management agencies (Canadian Forest Service, 2015). The earliest fire records in the CNFDB can date back to the early 20th century. Both the original MTBS and CNFDB fire perimeters are in vector format.

Five steps were applied to extract fire pixels burned in 2005 from the MTBS and CNFDB fire perimeters. Pixels with more than one fire event in the period of 1995–2015 were excluded. Step 1: MTBS and CNFDB fire perimeters were registered to Albers conic equal-area projection and merged together. Fire perimeters in 2005 were selected from the merged dataset (Fig. 1A). Step 2: Fires with the size  $< 10 \text{ km}^2$  were excluded (Fig. 1B) since small fires were associated with large edge-to-area ratio and could be composed of burned and unburned fire pixels when converted to raster format (Cuevas-González et al., 2009). Step 3: Fire perimeters with the size  $> 10 \text{ km}^2$  were converted to raster format at a spatial resolution of 1 km. Fire pixels in cropland were excluded. Step 4: Annual fire perimeters from 1995 to 2015 were extracted from the merged fire perimeter dataset, and then converted into raster format at a 1-km spatial resolution. The number of fire occurrences in every pixel was counted over the 21 years from 1995 to 2015 (Fig. 1C). Step 5: Fire pixels with more than one fire occurrence were excluded to rule out the repeated burn effects (Fig. 1D). We conducted the analysis and statistics of fire effect on vegetation greenness and post-fire recovery for the selected fire pixels in 2005.

### 2.2. Ecoregions

Vegetation within the same ecoregion experiences similar climate and human conditions. Ecoregion (ER) was often used as the basic ecological unit to investigate fire effect on ecosystems (e.g. Goetz et al., 2006; Hicke et al., 2003; Jin et al., 2012). In this study, we investigated fire effect over ecoregions delineated by Olson et al. (2001). Ecoregions with  $> 50$  qualified fire pixels in 2005 were chosen to ensure the sample size large enough for relative accurate statistics. Based on this criterion, 39 ecoregions were finally chosen in the boreal and temperate North America (Fig. 2). The numbers of qualified fire pixels in these ecoregions are illustrated in Fig. 3. Table S1 lists the percentages of four vegetation types in the qualified fire pixels, 30-year average temperature and precipitation during 1981–2010, and centroid latitudes of the ecoregions. The average climate condition was estimated based on CRU TS v3.22 climate dataset. The ecoregions were further separated into 21 high-latitude ecoregions (centroid latitude  $> 45^\circ \text{ N}$ ) and 18 mid-latitude ecoregions (centroid latitude  $< 45^\circ \text{ N}$ ). The high-latitude ecoregions include ER1–ER19, ER21, and ER23, and the mid-latitude ecoregions include ER20, ER22, and ER24–ER39.

### 2.3. MODIS products

In this study, post-fire vegetation greenness was represented by

MODIS NDVI (MOD13A3 version 6) (Huete et al., 2002), which is available from February 2000 to present. Annual NDVI from 2001 to 2015 were retrieved by averaging monthly NDVI data. Pre-fire vegetation type in 2004 was acquired from MCD12Q1 version 5.1 (Friedl et al., 2010) by using the classification of Plant Functional Type (PFT) scheme. We examined fire effects on four vegetation types, including needleleaf trees, broadleaf trees, shrub, and grass. Fig. 3 shows the numbers of the qualified fire pixels for the four vegetation types in each ecoregion. Vegetation types with  $> 50$  fire pixels in one ecoregion (colored dots in Fig. 3) were included in the biome-level analysis.

8-Day composite surface reflectance product (MCD43B3 version 5) (Vermote et al., 2002) was used to estimate the differenced Normalized Burn Ratio (dNBR). Burning dates of each qualified fire pixels were extracted from MOD14A2 active fire products version 6 (Giglio et al., 2003). However, a small fraction of fire pixels in 2005 converted from MTBS and CNFDB is still unable to be covered by this active fire product. For these pixels, the same burning dates as their nearest pixels were assigned.

Annual Vegetation Continuous Field (VCF, MOD44B) was constructed by a regression tree algorithm (Hansen et al., 2002), which was designed to represent ground cover as a coverages of trees, herbaceous vegetation, and open ground (Carroll et al., 2010; Hansen et al., 2002, 2003). The continuous vegetation cover maps are useful to identify land areas experiencing vegetation changes induced by fire disturbances (Carroll et al., 2010). Fractional tree cover was estimated based on the amount of skylight intercepted by tree canopies higher than 5 m, while plant lower than 5 m in height (such as grass, shrub, and tree seedling) was assumed to be non-tree vegetation.

All these MODIS products were originally in Hierarchical Data format (HDF) and Sinusoidal projection at the spatial resolutions of 250 m, 500 m or 1 km. To be compatible with fire pixel developed in Section 2.1, these MODIS products were converted to Albers conic equal-area projection, and resampled to a spatial resolution of 1 km.

### 2.4. Fire severity index

dNBR is sensitive to the loss of vegetation and landscape charring (Rogers et al., 2015), which is calculated by the reflectance in the near-infrared band (NIR) and shortwave-infrared band (SWIR). dNBR was widely applied as one fire severity index in West US, boreal North America, and other regions of the world (e.g. Jin et al., 2012; Lentile et al., 2006; Loboda et al., 2007; Rogers et al., 2015; Veraverbeke et al., 2010). Many previous studies (e.g. Hall et al., 2008; Wimberly and Reilly, 2007) suggested the strong correlation between satellite-based dNBR and field-observed Composite Burn Index (CBI) (Key and Benson, 2006). dNBR in the study was computed by white-sky albedos in band 2 and band 7 from the MCD43B3 surface reflectance,

$$\text{dNBR} = \text{NBR}_{\text{pre-fire}} - \text{NBR}_{\text{post-fire}} = \left( \frac{\rho_{\text{NIR}} - \rho_{\text{SWIR}}}{\rho_{\text{NIR}} + \rho_{\text{SWIR}}} \right)_{\text{pre-fire}} - \left( \frac{\rho_{\text{NIR}} - \rho_{\text{SWIR}}}{\rho_{\text{NIR}} + \rho_{\text{SWIR}}} \right)_{\text{post-fire}} \quad (1)$$

Pre-fire and post-fire periods here refer to the 8-day periods immediately before and after the date of fire occurrence. It should be noted that surface reflectance data may not be available when heavy cloud exists. Thus, dNBR was not calculated when surface reflectance data is missing. dNBR of the qualified pixels burned in 2005 is illustrated in Fig. S1.

At a large scale, dNBR was reported to have limited capacity in representing fire severity (e.g. Eidenshink et al., 2007; Kolden et al., 2015; Lentile et al., 2009; Miller and Thode, 2007). Therefore, we used an alternative index, the changed tree coverage (dTree), as a metrics of regional fire severity index for needle trees and broadleaf trees. This index was used previously as a proxy of regional fire severity in boreal



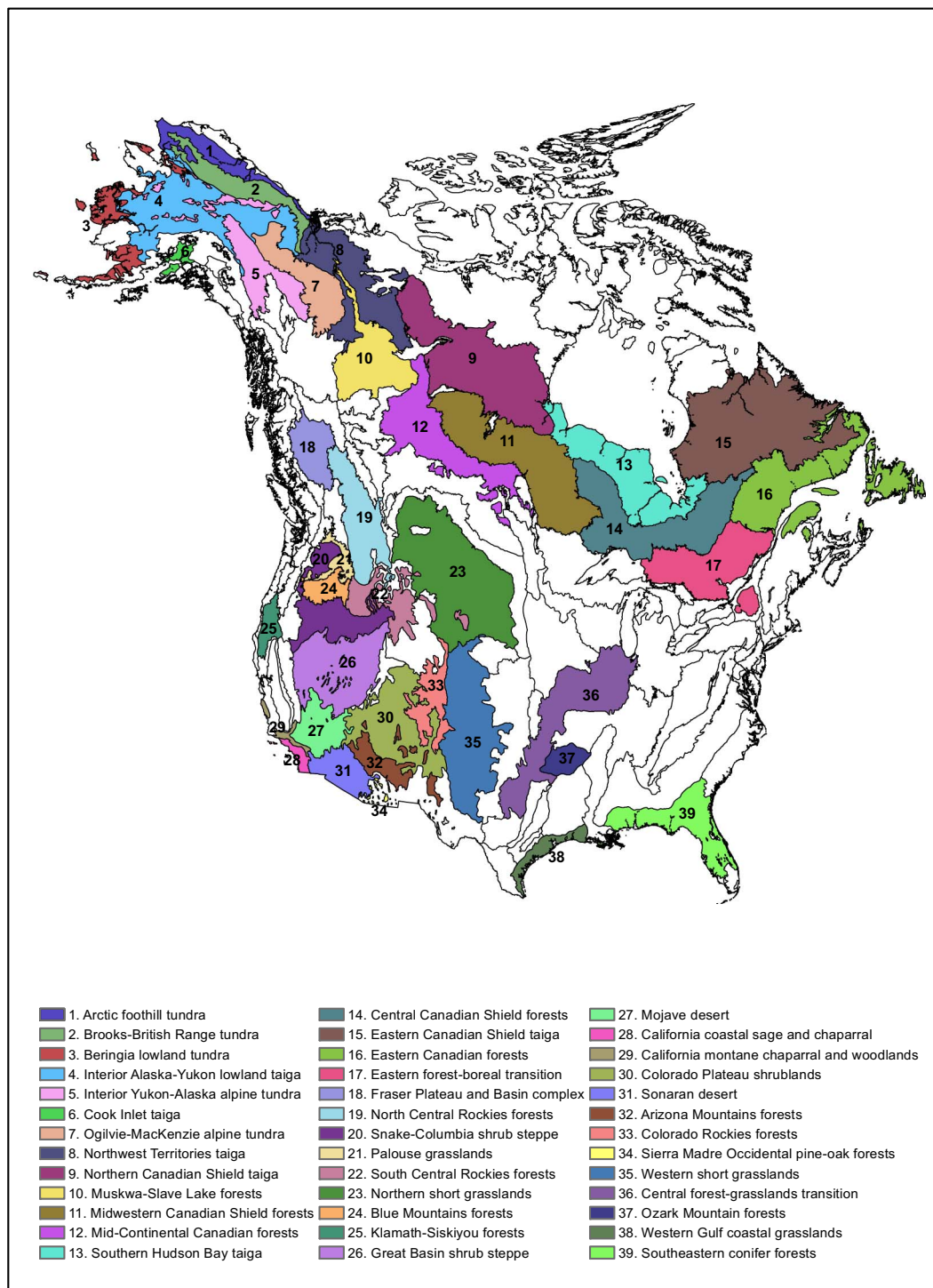


Fig. 2. Olson's ecoregions used in this study. All the 39 chosen ecoregions include at least 50 1-km fire pixels.

North America and Eurasia (Rogers et al., 2015). In this study, dTree was calculated as,

$$dTree = (fTree_{pre-fire} - fTree_{post-fire}) \times 100\% \quad (2)$$

where  $fTree$  is the fractional tree coverage from the MOD44B products; the pre-fire and post-fire periods in this equation refer to the year before and the year after fire occurrence (i.e., 2004 and 2006), respectively. Regional dTree was computed by averaging the dTree of the fire pixels (Fig. S2).

## 2.5. Fire effect on NDVI

Post-fire recovery is defined as the ecological processes to revert to the pre-fire or unburned functional and structural status. In this study fire effects specifically refer to the fire-induced changes in vegetation greenness. Two methods have been implemented previously to study fire effects on vegetation attributes and the post-fire vegetation recovery, which are named here as “comparison with pre-fire condition” and “comparison with unburned plots”, respectively. For the first method, post-fire vegetation status was compared with that in the pre-fire period. The temporal differences were calculated to represent fire

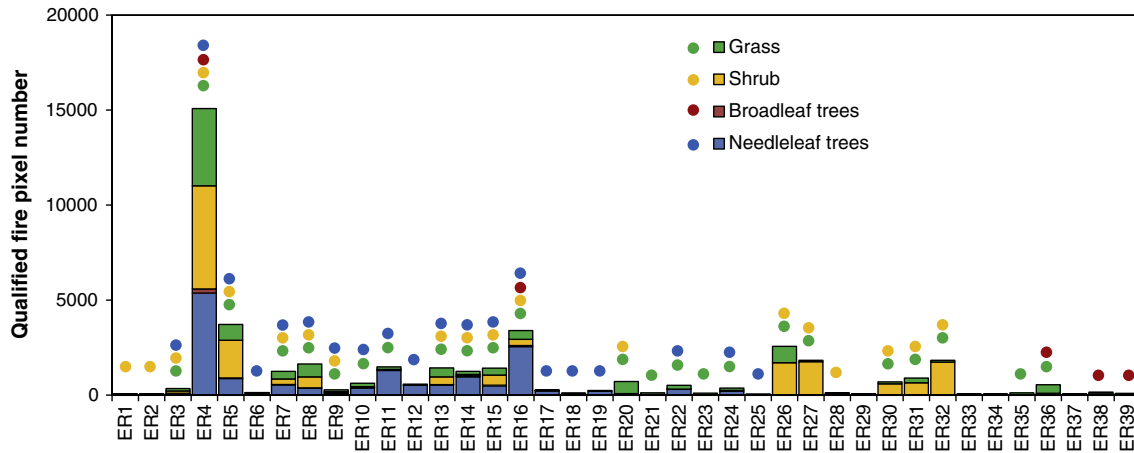


Fig. 3. Number of the qualified fire pixels in each ecoregion (ER) and four vegetation types (i.e., needleleaf trees, broadleaf trees, shrub, and grass). The dots above ecoregion columns indicate that the vegetation type in the ecoregion has > 50 qualified fire pixels. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)

effect (e.g., Hicke et al., 2003; Meng et al., 2015). For the second method, vegetation attributes were compared between the burned fire scars and unburned plots. Spatial differences were analyzed to represent fire effect (e.g., Cuevas-González et al., 2009; Goetz et al., 2006). In this study, we quantified fire effect on NDVI by using the method of “comparison with unburned plots”. We also calculated fire effect on NDVI by the method of “comparison with pre-fire condition” but only for the purpose of evaluating the uncertainties caused by the different retrieval methods.

For the method of “comparison with unburned plots”, we developed a new algorithm by comparing the burned pixel with surrounding unburned pixels. The effective unburned pixels (control pixels) were extracted from a square moving window based on three criteria: (1) identical vegetation type to the fire pixel, (2) similar topography as the fire pixel (i.e., elevation difference < 50 m, and aspect difference < 45°), and (3) no fire occurrence during 1995–2015. These criteria promise that the effective unburned pixels have similar environmental conditions as the fire pixel. The elevation and aspect were obtained from the HYDRO1K dataset (<https://lta.cr.usgs.gov/HYDRO1K>). Fig. S3 illustrates an example of the selection of the control pixels (in green color) in needleleaf forest in Alaska.

Fire effect on vegetation greenness ( $\Delta\text{NDVI}_i$ ,  $i = 2006 \dots 2015$ ) was estimated by the difference between the annual NDVI ( $\text{NDVI}_i$ ) and the average annual NDVI of the effective unburned pixels ( $\frac{\sum_{j=1}^n \text{NDVI}_{i,j}}{n}$ ),

$$\Delta\text{NDVI}_i = \text{NDVI}_i - \frac{\sum_{j=1}^n \text{NDVI}_{i,j}}{n} \quad (3)$$

where  $j$  is the index of effective unburned pixels in the square window, and  $n$  is the total number of the effective unburned pixels. For each pixel, we computed the 10-year average  $\Delta\text{NDVI}_i$  in the post-fire period to represent the recovery of vegetation greenness. Additionally, we calculated the 3-year average  $\Delta\text{NDVI}_i$  during the 8th–10th post-fire years to represent the “unrecovered NDVI” (Díaz-Delgado et al., 2003).

Fractional NDVI recovery (fNDVI) is estimated by using the 3-year average NDVI during the 8th–10th post-fire years and the average NDVI of the effective unburned pixels in the square window,

$$\text{fNDVI} = \left( \frac{\text{NDVI}_{2013} + \text{NDVI}_{2014} + \text{NDVI}_{2015}}{3} \right) / \left( \frac{\sum_{j=1}^n \text{NDVI}_{2013,j} + \sum_{j=1}^n \text{NDVI}_{2014,j} + \sum_{j=1}^n \text{NDVI}_{2015,j}}{3n} \right) \quad (4)$$

The threshold of 0.95 was set to identify whether the post-fire NDVI recovered to unburned status.

We conducted a sensitivity test to evaluate the influence of the sizes of moving window on the results, including  $50 \times 50$  pixels,  $100 \times 100$  pixels,  $150 \times 150$  pixels, and  $200 \times 200$  pixels. Results showed that, except for ER18 and ER22, the estimated post-fire NDVI changes and recovery trajectories were relatively similar for the four window sizes (Fig. S4). Therefore, we only used the results derived from the window size of  $100 \times 100$  pixels for further analysis.

We quantified the changing rate of  $\Delta\text{NDVI}_i$  time series in the 10 post-fire years by the rank-based, non-parametric Mann-Kendall Test and Sen's slope ( $\rho$ , unit  $\text{NDVI yr}^{-1}$ ) as suggested by Alcaraz-Segura et al., 2010. Recovery trend is assumed to be significant when  $\rho$  is positive and  $p$ -value < 0.05.

For the method of “comparison with pre-fire condition”, annual NDVI between 2001 and 2004 was averaged to represent the mean pre-fire vegetation greenness. Fire effect on vegetation greenness ( $\Delta\text{NDVI}_i$ ,  $i = 2006 \dots 2015$ ) was estimated by the temporal difference between annual NDVI in the post-fire year ( $\text{NDVI}_i$ ) and the average NDVI in the pre-fire period.

$$\Delta\text{NDVI}_i = \text{NDVI}_i - \text{NDVI}_{\text{pre-fire}} = \text{NDVI}_i - \frac{\text{NDVI}_{2001} + \text{NDVI}_{2002} + \text{NDVI}_{2003} + \text{NDVI}_{2004}}{4} \quad (5)$$

## 2.6. Analysis of fire severity impact on post-fire NDVI recovery

We performed two-level analyses to examine the impact of fire severity on post-fire NDVI recovery over different fire pixels and over different ecoregions, respectively. Our hypothesis is that the variations of post-fire recovery can be explained by fire severity among fire pixels and over ecoregions. To evaluate the impact of fire severity on vegetation recovery over different pixels, the “unrecovered NDVI” was fitted against pixel dNBR in the same ecoregion for each of the examined vegetation types. The estimated regression coefficient was regarded as the sensitivity of vegetation greenness recovery to fire severity. To examine the impact of fire severity on vegetation recovery at the regional level, we fitted the “unrecovered NDVI” in each ecoregion against the regional dTree by using regression analysis for needleleaf trees and broadleaf trees, respectively.

## 3. Results

### 3.1. Post-fire NDVI

During 2006–2015, 10-year average  $\Delta\text{NDVI}$  shows a large reduction ( $\Delta\text{NDVI} < -0.1$ ) in 23.1% (9/39) of the ecoregions, a medium

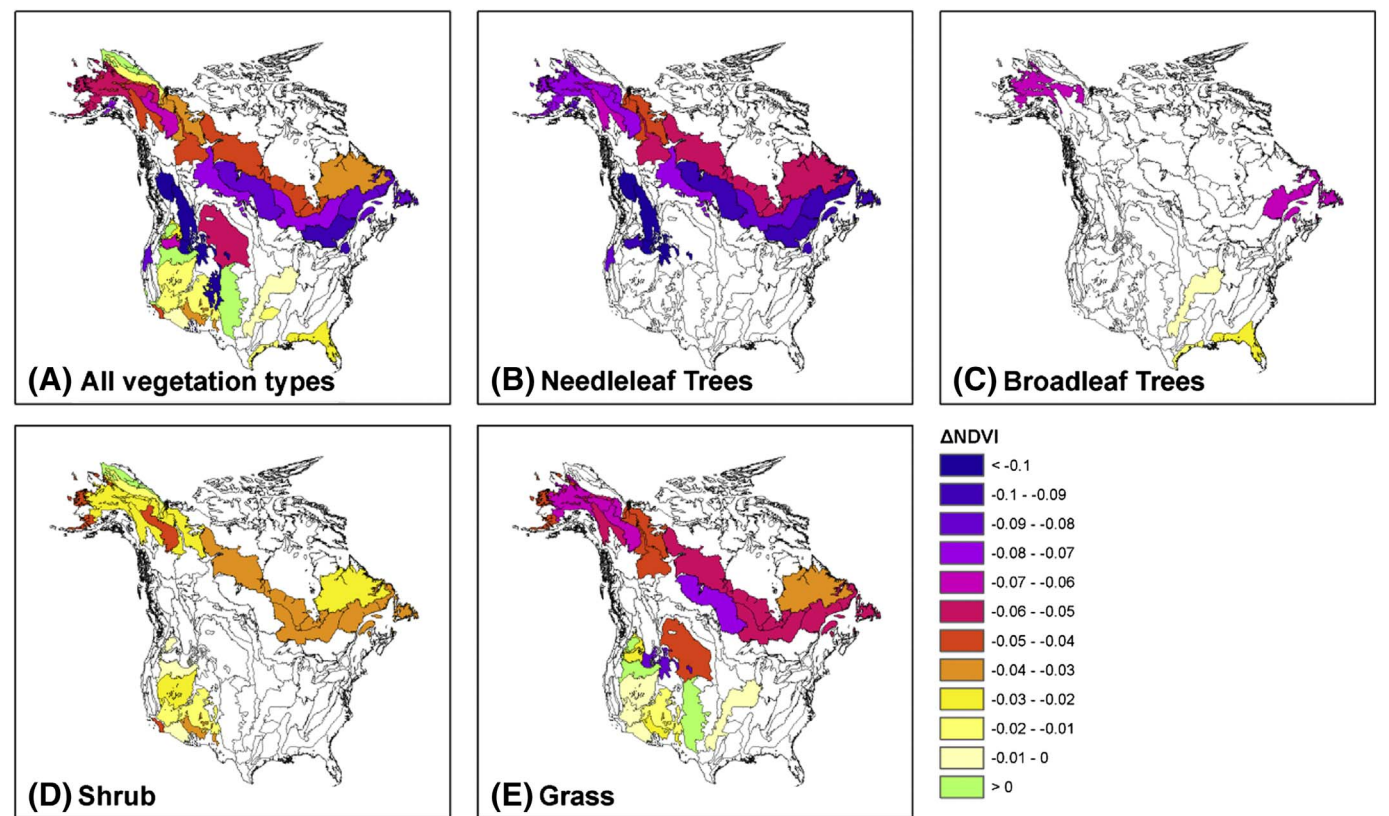


Fig. 4. Fire-induced changes in 10-year average NDVI during 2006–2015 for (A) all the examined vegetation types, (B) needleleaf trees, (C) broadleaf trees, (D) shrub, and (E) grass.

reduction ( $-0.1 < \Delta\text{NDVI} < -0.05$ ) in 30.8% (12/39) of the ecoregions, a small reduction ( $-0.05 < \Delta\text{NDVI} < 0$ ) in 41% (16/39) of the ecoregions, and an increase ( $\Delta\text{NDVI} > 0$ ) in 5.1% (2/39) of the ecoregions (Fig. 4A). The largest reduction is in ER18 ( $\Delta\text{NDVI} = -0.14$ ). 10-year average  $\Delta\text{NDVI}$  during 2006–2015 is  $-0.071 \pm 0.038$  (avg.  $\pm 1$  std. dev., same hereafter) in the 21 high-latitude ecoregions and  $-0.038 \pm 0.041$  in the 18 mid-latitude ecoregions, indicating the larger fire effect on vegetation greenness in the high latitudes.

Needleleaf trees are associated with large NDVI reduction in 45% (9/20) of the examined ecoregions and medium NDVI reduction in 55% (11/20) of the examined ecoregions (Fig. 4B). For broadleaf trees, 40% (2/5) of the examined ecoregions have medium reduction and 60% (3/5) of the examined ecoregions show a small reduction (Fig. 4C). For shrub, 21% (4/19) of the examined ecoregions have medium NDVI reduction, 73.7% (14/19) of the examined ecoregions have small NDVI reduction, and 5.3% (1/19) of the examined ecoregions have increased NDVI (Fig. 4D). Post-fire grass has medium NDVI reduction in 45.9% (11/24) of the examined ecoregions, low NDVI reduction in 50% (12/24) of the examined ecoregions, and increased NDVI in 4.2% (1/24) of the examined ecoregions (Fig. 4E). As shown in Table 1,  $\Delta\text{NDVI}$  for needleleaf trees is mainly in large and medium reduction categories,  $\Delta\text{NDVI}$  for broadleaf trees and shrub are mainly in small reduction

category, while  $\Delta\text{NDVI}$  for grass is mainly in medium and small reduction categories. The results show fire effects are stronger on needleleaf trees than on the other vegetation types.

In the 10-year post-fire period,  $\Delta\text{NDVI}$  increasing rate ( $\rho$ ) is significant in 48.7% (19/39) of the ecoregions, with the largest  $\rho$  in ER12 ( $0.0082 \text{ unit NDVI yr}^{-1}$ ) (Fig. 5A).  $\rho$  is  $0.0032 \pm 0.003 \text{ unit NDVI yr}^{-1}$  in the high-latitude ecoregions, and  $0.0012 \pm 0.0023 \text{ unit NDVI yr}^{-1}$  in the mid-latitude ecoregions. For needleleaf trees,  $\rho$  is  $0.0036 \pm 0.0029 \text{ unit NDVI yr}^{-1}$  among the 20 examined ecoregions, and significant in 70% (14/20) of the ecoregions. For broadleaf trees,  $\rho$  is  $0 \pm 0.0029 \text{ unit NDVI yr}^{-1}$ , and the significant  $\rho$  was only found in the two high-latitude ecoregions. For shrub,  $\rho$  is  $0.0022 \pm 0.0019 \text{ unit NDVI yr}^{-1}$  in the 19 examined ecoregions,  $0.0029 \pm 0.0019 \text{ unit NDVI yr}^{-1}$  in the 12 examined high-latitude ecoregions, and  $0.0008 \pm 0.0012 \text{ unit NDVI yr}^{-1}$  in the 7 examined mid-latitude ecoregions. For grass,  $\rho$  is  $0.0029 \pm 0.0021 \text{ unit NDVI yr}^{-1}$  in the 14 examined high-latitude ecoregions, and  $0.0008 \pm 0.0009 \text{ unit NDVI yr}^{-1}$  in the 10 examined mid-latitude ecoregions. In general, the post-fire NDVI increase is more rapid in the high-latitude ecoregions, and needleleaf trees have the most rapid post-fire NDVI increase of all the examined vegetation types.

Fig. 6 shows the average fractional recovery of NDVI between the 8th and 10th post-fire years. During 2013–2015,  $\text{fNDVI}$  is higher than 0.95 in 20.5% (8/39) of the ecoregions. 4.8% (1/21) of the high-latitude ecoregions have  $\text{fNDVI}$  higher than 0.95, while 38.9% (7/18) of the mid-latitude ecoregions have  $\text{fNDVI}$  higher than 0.95, indicating that most ecoregions (especially the high-latitude ecoregions) have not recovered to unburned status within 10 years. For needleleaf trees,  $\text{fNDVI}$  in none of the 20 examined ecoregions is larger than 0.95. Thus, the recovery time for needleleaf trees could be longer than 10 years. For broadleaf trees,  $\text{fNDVI}$  is lower than 0.95 in the two high-latitude ecoregions, but higher than 0.95 in the three mid-latitude ecoregions. For shrub,  $\text{fNDVI}$  in 15.8% (3/19) of the examined ecoregions is higher than 0.95. For grass,  $\text{fNDVI}$  in 25% (6/24) of the examined ecoregions

Table 1  
The percentages of the examined ecoregions in different  $\Delta\text{NDVI}$  categories.

	Large reduction	Medium reduction	Small reduction	Increase
All vegetation	23.1%	30.8%	41.0%	5.1%
Needleleaf trees	45%	55%	0%	0%
Broadleaf trees	0%	40%	60%	0%
Shrub	0%	21.0%	73.7%	5.3%
Grass	0%	45.8%	50.0%	4.2%



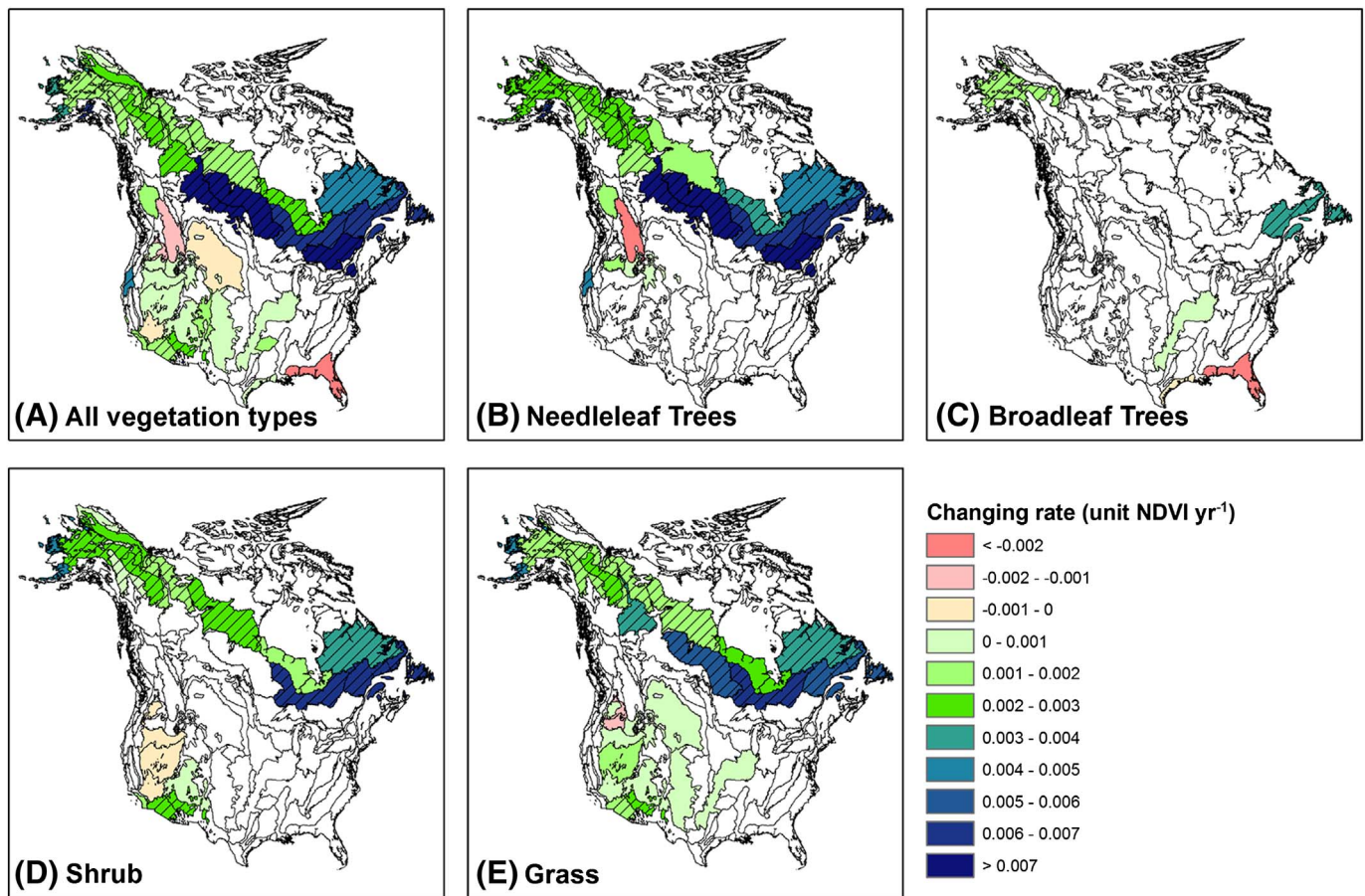


Fig. 5. The changing rate of  $\Delta\text{NDVI}$  ( $\rho$ ) over the 10-year post-fire period for (A) all the examined vegetation types, (B) needleleaf trees, (C) broadleaf trees, (D) shrub, and (E) grass. Hatch lines indicate a significant changing trend at the 95% confidence interval.

is higher than 0.95.

### 3.2. Impact of vegetation type on post-fire NDVI

Post-fire inter-annual  $\Delta\text{NDVI}$  variations were compared among different vegetation types for 10 ecoregions. Each ecoregion has at least three vegetation types with  $> 50$  qualified fire pixels. As shown in Fig. 7, fire effect is the strongest on needleleaf trees in 9 of the 10 examined ecoregions, while fire effect is the least on shrub in all the 10 examined ecoregions. For the two ecoregions including four vegetation types (i.e., ER4 and ER16), fire effect on vegetation types can be ranked as: needleleaf trees  $>$  grass  $>$  broadleaf trees  $>$  shrub. These results suggest that the recovery of vegetation greenness is related to vegetation type, with stronger fire effect on needleleaf trees and lower fire effect on broadleaf trees and shrub. Although the  $\Delta\text{NDVI}$  increasing rate for needleleaf trees is faster than that of other vegetation types, needleleaf trees still need a longer recovery time due to the larger NDVI reduction after fire (see the conceptual diagram in Fig. S5).

The 10-year average  $\Delta\text{NDVI}$  at regional level was fitted against the percentages of vegetation types in the 39 ecoregions (Fig. 8). It is found that the regional  $\Delta\text{NDVI}$  is significantly related to the fractions of needleleaf trees, shrub, and grass ( $p$ -value  $< 0.05$ ). The area percentage of needleleaf trees can explain 72% of the  $\Delta\text{NDVI}$  variation among different ecoregions. As shown by Fig. 8A, 1% increases in the area of needleleaf trees leads to a larger reduction of the 10-year average  $\Delta\text{NDVI}$  by 0.0011. On the contrary, the increase in the area percentages of shrub, grass, and broadleaf trees could result in less reduction in the post-fire NDVI. The percentage of broadleaf tree area can only explain 6% of the  $\Delta\text{NDVI}$  variation over ecoregions. This is probably because broadleaf forests were not burned or only burned in a small area in most

of the examined ecoregions in 2005. Another explanation for this phenomenon is the fast resprouting strategy of broadleaf trees to adapt to fire disturbance (Franklin et al., 2006; Keeley et al., 2011). This analysis suggests that vegetation composition is one factor accounting for the variations of fire effect among ecoregions.

### 3.3. Impact of fire severity on post-fire NDVI recovery

The sensitivity of NDVI recovery during the 8th–10th post-fire years to pixel burn severity was examined by the regression coefficient of the “unrecovered NDVI” against pixel dNBR (Fig. 9). For needleleaf trees, average regression coefficient is  $-0.07 \pm 0.05$  unit NDVI per unit dNBR in all the 20 ecoregions. All the ecoregions have negative regression coefficient, and the coefficients are significant in 75% (15/20) of the ecoregions, suggesting that higher dNBR leads to larger NDVI reduction and longer fire effect. For shrub, 78.9% (15/19) of the ecoregions show significant correlation between NDVI recovery and dNBR. For grass, 66.7% (16/24) of the ecoregions show significant correlation between the NDVI recovery and dNBR. It can be concluded that fire severity is one important factor regulating the post-fire recovery during the 8th–10th post-fire years for needleleaf forests, shrub and grass. However, for broadleaf trees, the “unrecovered NDVI” during the 8th–10th post-fire years is not significantly related to dNBR in all the five examined ecoregions. This is probably due to the smaller fire effect on NDVI reduction, the faster post-fire resprouting, and shorter recovery time for broadleaf trees (Franklin et al., 2006).

Fig. 10 shows the influence of fire severity on vegetation recovery across different ecoregions, represented by the regression between the “unrecovered NDVI” and the regional average dTree. For needleleaf trees over the 20 examined ecoregions, the “unrecovered NDVI” is

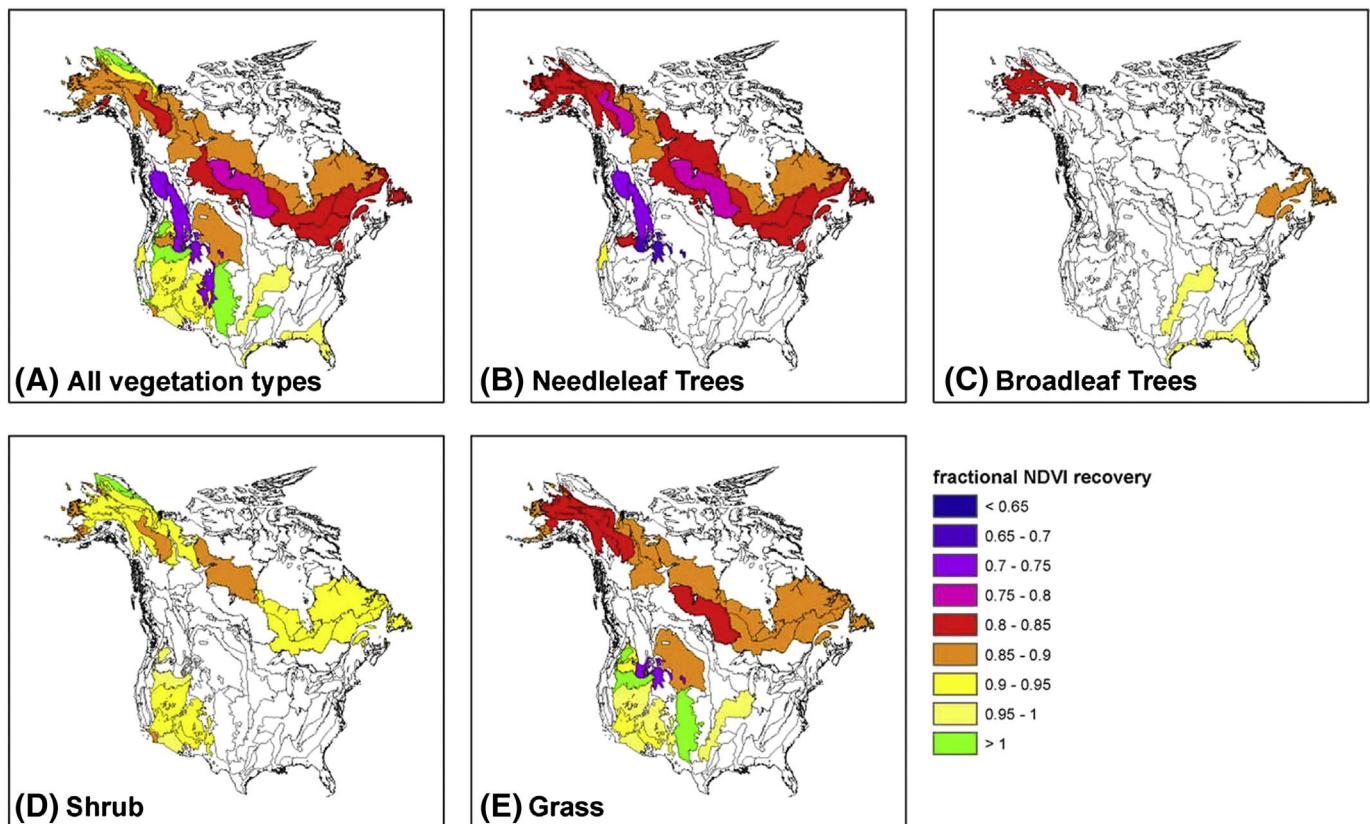


Fig. 6. Fractional NDVI recovery during 2013–2015 for (A) all the examined vegetation types, (B) needleleaf trees, (C) broadleaf trees, (D) shrub, and (E) grass.

significantly correlated with fire severity, and 48% of the regional variation of the “unrecovered NDVI” can be explained by dTree. For broadleaf trees over the 5 examined ecoregions, the spatial variation of the “unrecovered NDVI” is correlated to fire severity as well, and the correlation coefficient is significant at the 90% confidence interval. This result indicates that fire severity plays an important role in forest NDVI recovery over different ecoregions.

## 4. Discussion

### 4.1. Factors controlling the spatial variation of the post-fire NDVI

Post-fire changes in NDVI show distinct spatial variations and the fire effect on NDVI is generally larger in the high-latitude ecoregions than that in the mid-latitude ecoregions (Fig. 4A). The variations of fire effect on NDVI among ecoregions can be largely explained by the vegetation composition, especially the fraction of needleleaf trees. According to the comparison among different vegetation types, fire effect on NDVI is stronger for needleleaf trees, and lower for broadleaf trees and shrub (Fig. 7), indicating that vegetation type is an important factor determining fire effect. The finding of stronger fire effect on needleleaf trees is confirmed by previous studies based on the high-resolution satellite imagery and the aerial photo (Allen and Sorbel, 2008; Jin et al., 2012; Thompson and Spies, 2009). Vegetation types diverge in their fire-adaptive traits, such as resprouting, serotiny, and germination (Keeley et al., 2011; Quinn and Keeley, 2006). Serotiny is the fire-adaptive trait for many needleleaf trees in boreal North America, including black spruce (*Picea mariana*), jack pine (*Pinus banksiana*), etc. These species need high-severity fires to open the (semi-)serotinous cones and release seeds for regeneration. Low branches and other morphological characteristics are important for these species to promote high-intensity crown fires (Rogers et al., 2015). On the contrary, broadleaf trees and broadleaf shrub often burn in lower severity and

sometimes act as barriers to spreading fire (Epting and Verbyla, 2005). Post-fire resprouting is a more popular fire-adaptive trait for broadleaf trees (Franklin et al., 2006; Keeley et al., 2011). Therefore, the variation of fire effect was explained by vegetation composition to a large extent.

The significant impact of fire severity on the recovery of post-fire vegetation greenness is confirmed by the field observations. For example, after the 1988 Yellowstone fires, vegetation coverage in 1992 over the severely burned area was about 50% of pre-fire level, while vegetation coverage in 1992 returned to pre-fire level over the lightly burned area (Turner et al., 2000, 2003). The significant impact of fire severity on the post-fire NDVI recovery found in this study is also consistent with other satellite-based studies in boreal (e.g. Chu et al., 2017; Jin et al., 2012; Johnstone and Chapin, 2006) and temperate region (Díaz-Delgado et al., 2003).

### 4.2. Comparison of recovery time with other studies

Previous studies showed large variations in the recovery time of boreal forests by using satellite observations. Hicke et al. (2003) reported that the recovery time of forest greenness was about 9 years in boreal North America; Goetz et al. (2006) found a relative shorter NDVI recovery time (5 years) in Canada; Jin et al. (2012) found that the summer EVI has recovered to within 90%–108% of prefire levels in 5–8 years after fire. Our results showed that the post-fire NDVI for boreal forests in North America needs a longer recovery time, which could be > 10 years. The difference could be attributed to the different NDVI data source and fire events. First, Hicke et al. (2003) and Goetz et al. (2006) used 8-km Advanced Very High Resolution Radiometer (AVHRR) NDVI product, while we used 1-km MODIS product. The recovery time estimated by satellite-based vegetation indices is scale-dependent (Hicke et al., 2003), and the aggregation of NDVI over 8-km pixel may lead to a faster recovery (Cuevas-González et al., 2009).



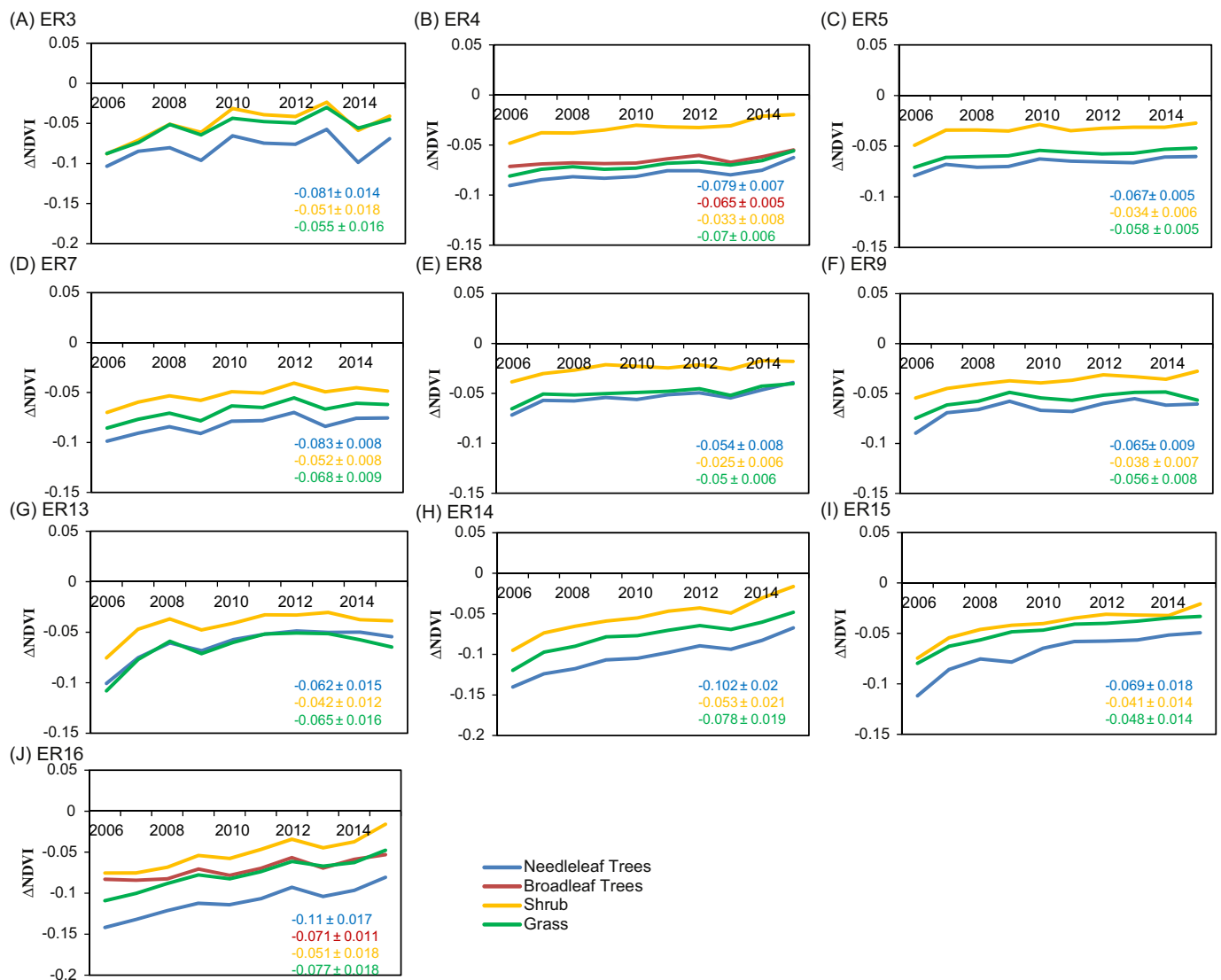


Fig. 7. Post-fire changes in  $\Delta\text{NDVI}$  for the vegetation types of needleleaf trees, broadleaf trees, shrub, and grass over 10 ecoregions that have at least three examined vegetation types. The colored numbers are the average  $\pm 1$  standard deviation of  $\Delta\text{NDVI}$  during 2006–2015. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Second, our study included all the large fires in 2005, while Goetz et al. (2006) selected the large fires in several ecoregions in three episodic years (1981, 1989 and 1995), and Hicke et al. (2003) focused on 61 fires with the size larger than 1000 km<sup>2</sup> from 1980 to 1995. Forest composition, fire severity, and post-fire environmental condition were different among these studies.

Our study showed that, by 8–10 years after fire, fractional NDVI recovery is still < 90% for boreal needleleaf trees in most of the ecoregions (Fig. 6B), indicating a larger fire effect than that estimated by Jin et al. (2012). This divergence could be partially attributed to the different study methods. Jin et al. (2012) investigated post-fire vegetation recovery by the method of “comparison with pre-fire condition”, which is supposed to estimate a faster recovery than that estimated by the method of “comparison with unburned plots” (see Section 4.3). Additionally, vegetation greenness in Jin et al. (2012) was represented by EVI, which is sensitive to vegetation dynamics over the dense biomass area, such as Amazon forests (Huete et al., 2002), while we used NDVI, which is more sensitive to vegetation changes in lower biomass area and widely applied to investigate post-fire vegetation recovery (Yang et al., 2012). The difference in the vegetation recovery estimated by EVI and NDVI is still unclear and need to be evaluated in future studies.

In California, Meng et al. (2015) studied post-fire forest recovery by

using Landsat-based imagery. Their results showed that the forest NDVI in the 5th post-fire year is still much lower than the pre-fire NDVI (Fig. 3 in Meng et al., 2015). In this study, we found that the NDVI at the 5th post-fire year is 0.103 lower than NDVI of the unburned pixels for needleleaf trees in ER25 (California) which is similar as Meng et al. (2015).

#### 4.3. Uncertainties

Uncertainties in this study mainly come from the MODIS vegetation greenness product and the study method. The standard MODIS greenness products were reported to contain artefacts of variations in sun-sensor geometry (Morton et al., 2014). MODIS-based NDVI was assumed to be overestimated during the early post-fire period (Cheng et al., 2006). Thus, our study using MODIS products might underestimate fire effect to some extent. Landsat imagery and LiDAR datasets have been applied to evaluate post-fire vegetation dynamics and have higher accuracy in fire effect assessment especially for the fragmented landscape (e.g. Meng et al., 2015; Wulder et al., 2009). The comparison of the post-fire NDVI derived from MODIS datasets and other high-resolution remote sensing could be helpful to quantify the uncertainties caused by MODIS product and worthy a future

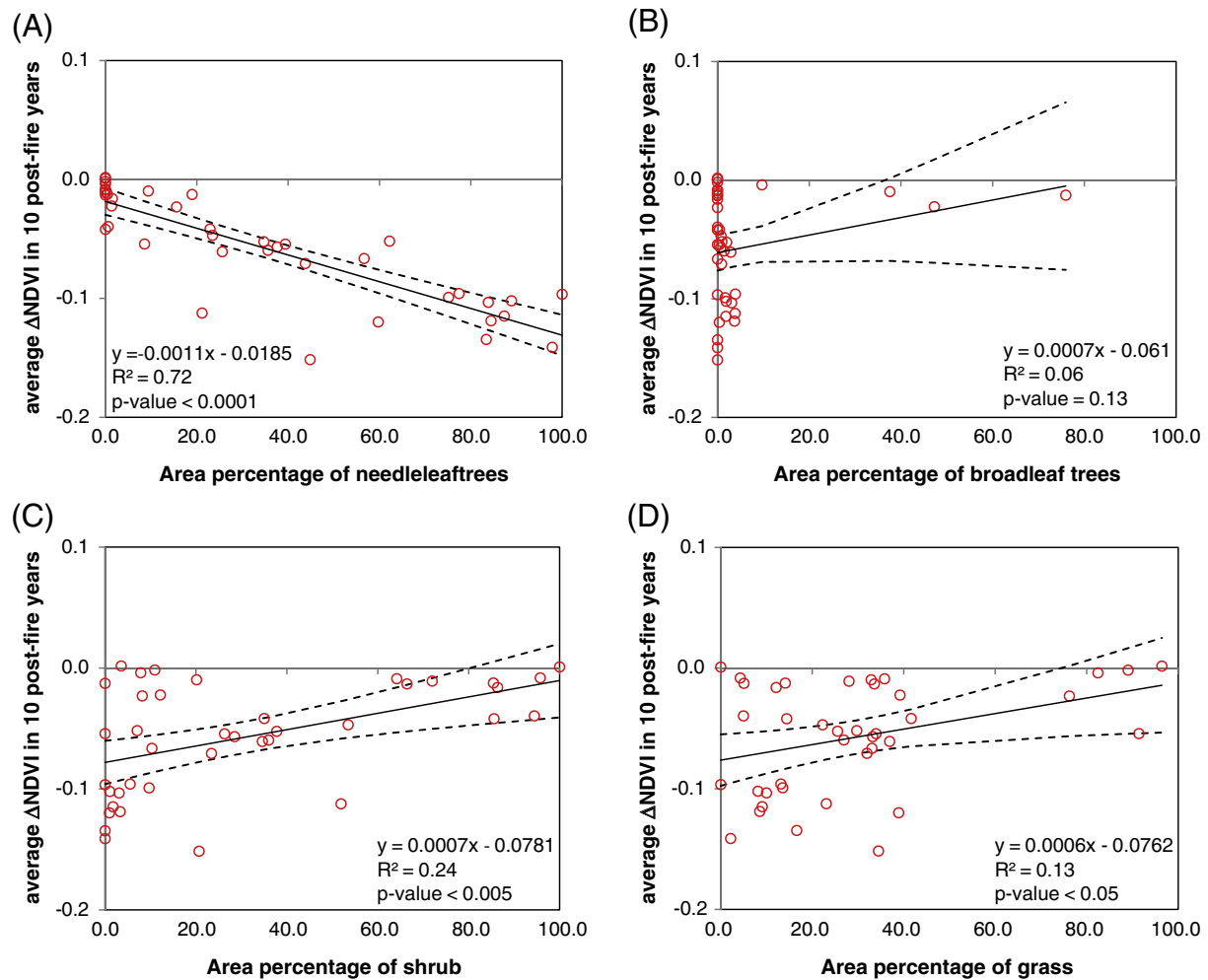


Fig. 8. Plots of the 10-year average  $\Delta\text{NDVI}$  against the percentages of (A) needleleaf trees, (B) broadleaf trees, (C) shrub, and (D) grass over the 39 ecoregions. Solid lines are fitted by linear regression, and dashed lines represent the 95% confidence interval of the regression. p-Value is obtained by the F-test of the overall significance.

investigation. Additionally, vegetation greenness based on the MODIS reflectance after MultiAngle Implementation of Atmospheric Correction (MAIAC) (Lyapustin et al., 2011) may be helpful to acquire a more accurate estimate by filtering cloud contamination and the artefact of variation in sun-sensor geometry.

Fire effect on vegetation greenness estimated by “comparison with unburned plots” was compared with that estimated by “comparison with pre-fire condition” (Fig. S6). Although both methods are able to capture the post-fire NDVI recovery trend, the differences in the post-fire NDVI trajectories are evident, indicating the existence of uncertainty in the study method. For all the selected fire pixels over the entire domain, the 10-year average  $\Delta\text{NDVI}$  is  $-0.05$  estimated by “comparison with unburned plots” and  $-0.04$  estimated by “comparison with pre-fire condition”, indicating a larger fire effect estimated by “comparison with unburned plots”. The average  $\rho$  is  $0.0023 \text{ unit NDVI yr}^{-1}$  estimated by “comparison with unburned plots” and  $0.003 \text{ unit NDVI yr}^{-1}$  estimated by “comparison with pre-fire condition”, indicating a slower recovery of post-fire NDVI by “comparison with unburned plots”.

For the selection of surrounding effective unburned pixels (Section 2.5), we considered the similarity of vegetation type and topography. Nevertheless, disturbance history (such as logging, insect, and hurricane) is neglected due to the lack of continental-scale disturbance datasets, which may lead to uncertainties. For the method of “comparison with pre-fire condition”, post-fire NDVI trajectory could be affected by multiple environmental factors in the post-fire period that stimulate

vegetation growth, such as  $\text{CO}_2$  fertilization and nitrogen deposition. For the method of “comparison with unburned plots”, the effects of climate variations,  $\text{CO}_2$  and nitrogen deposition on NDVI recovery were partially reduced, since both burned and the unburned fire pixels experienced environmental changes over the post-fire period. Therefore, the method of “comparison with pre-fire condition” estimated a faster post-fire NDVI recovery.

#### 4.4. Implications for fire model improvement

This study provided large-scale evidences of the impact of fire severity on vegetation greenness recovery. In current vegetation-fire models, fire severity is usually represented by plant functional type (PFT)-specific parameters without spatial and temporal changes (e.g., Arora and Boer, 2005; Li et al., 2012). However, this assumption is not consistent with field measurements, which showed significant spatial variations of fire severity and biomass combustion completeness (van Leeuwen et al., 2014). For instance, combustion completeness for boreal forests could vary between 8% and 87% over different regions. The ill-represented fire severity and combustion completeness contributed to a large fraction of the bias in the simulated fuel combustion (van Leeuwen et al., 2014), fire emissions (Yang et al., 2015b), and climate conditions by Earth System Models (Li et al., 2017).

The parameterization of fire effect on vegetation could potentially be improved in the following aspects:

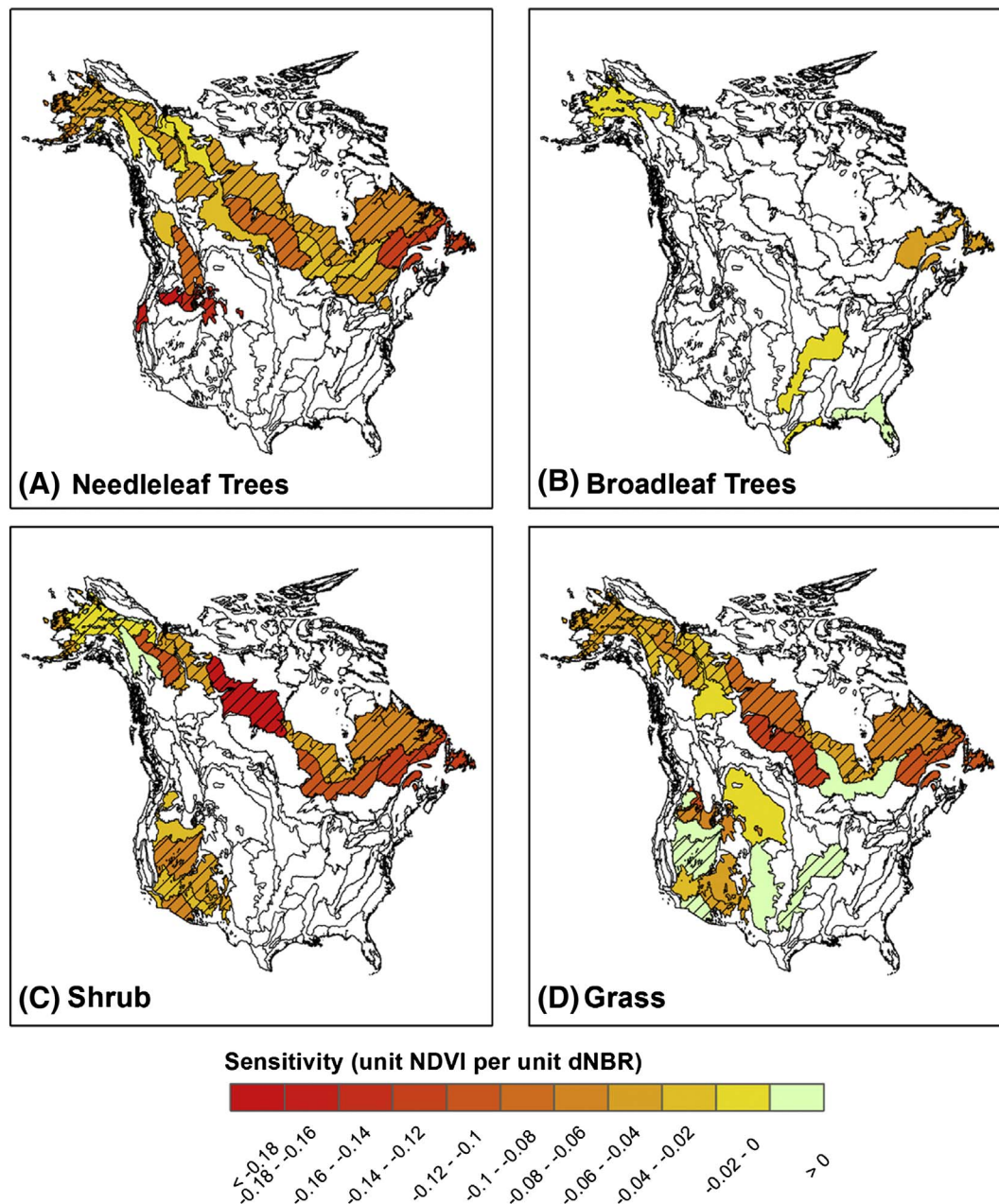


Fig. 9. The sensitivity of post-fire NDVI recovery ("unrecovered NDVI", i.e., average  $\Delta$ NDVI in the 8th to 10th post-fire years) to pixel dNBR in each ecoregion for (A) needleleaf trees, (B) broadleaf trees, (C) shrub, and (D) grass. Hatch lines indicate that the sensitivity is significant at the 95% confidence interval.

(1) Fire severity. Fire severity is largely predictable by using vegetation species, topography, burning conditions, and fuel characteristics (Viedma et al., 2015). These factors ought to be explicitly parameterized to improve model simulations. In recent years, more sophisticated fire models, such as SPITFIRE (Thonicke et al., 2010) and LPJ-LMfire (Pfeiffer et al., 2013), simulated combustion completeness and fire mortality by considering fuel moisture, weather conditions, and vegetation fire-adaptive traits (e.g. bark thickness and canopy height). It is an improvement over previous fire models. However, bark thickness and canopy height in SPITFIRE and LPJ-LMfire were still represented by PFT-specific parameters without considering variations among different species. The recent developed global fuel data set using the Fuel Characteristic Classification System (Pettinari and Chuvieco, 2016) provided spatially-explicit fuelbed map, which can potentially be used to estimate canopy structure and fuel attributes and coupled into fire models to

improve fire severity simulation.

(2) Postfire recovery. Post-fire vegetation recovery trajectories showed variations among fire pixels (e.g. Alcaraz-Segura et al., 2010; White et al., 1996; Zhao et al., 2016). Higher model spatial resolution could improve the accuracy in simulating post-fire vegetation dynamics. However, pixel-level simulation at  $\sim 1$  km resolution is not plausible for large-scale studies. For the coarse-resolution grid-level simulation, appropriate upscaling strategies are important. Vegetation dynamics models without age cohort structure, such as LPJ model (Sitch et al., 2003), simulated post-fire vegetation recovery by using the average of old vegetation and newly established vegetation. By contrast, gap-based vegetation dynamics models, such as CLM(ED) (Fisher et al., 2015), explicitly considered fire-induced age structure and simulated vegetation succession in the open spaces. Theoretically, gap-based vegetation models can better represent post-fire vegetation recovery for the partially burned grids



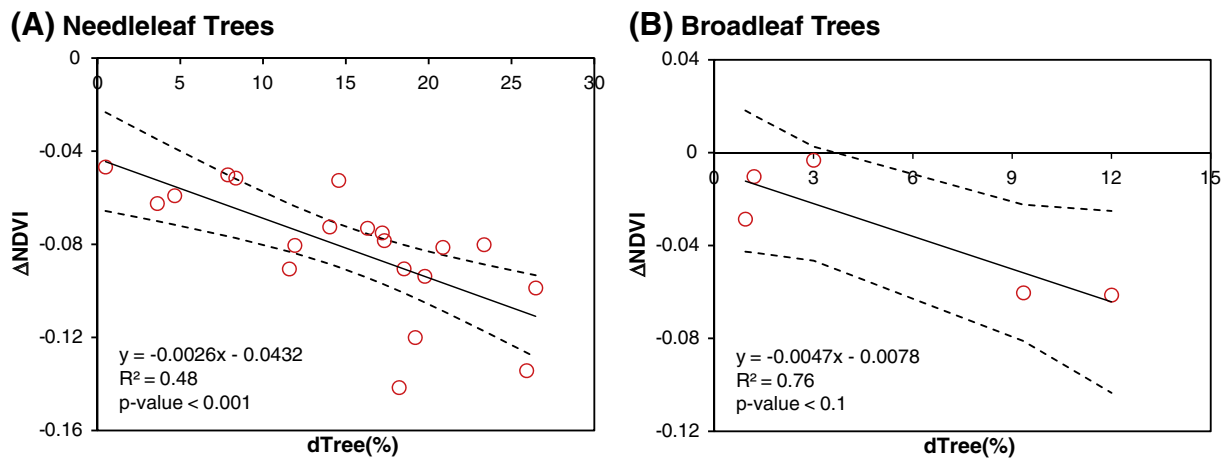


Fig. 10. Plots of the “unrecovered NDVI” (i.e., average  $\Delta$ NDVI in the 8th to 10th post-fire years) against the fire severity represented by dTree over different ecoregions for (A) needleleaf trees and (B) broadleaf trees. Solid lines are fitted by linear regression, and dashed lines represent the 95% confidence interval of the regression. p-Value is obtained by the F-test of the overall significance of linear regression.

than models without age cohort (Fisher et al., 2015). The ongoing effort of fire model intercomparison project (Hantson et al., 2016) is expected to give more specific answers about the advantage of gap-based models. Additionally, the consideration of post-fire regeneration strategies (such as resprouting and serotiny) may help improve the simulation of post-fire recovery.

- (3) Remote sensing-based constrains. One notable advantage of remote sensing is to illustrate regional and global pictures of fire effect across various ecoregions and climate zones. Global burned fraction from satellite observations had been used as benchmark data set for evaluating global vegetation models (Kelley et al., 2013; Yang et al., 2014). We suggest that satellite-derived vegetation metrics should also be used for validating fire effect simulated by models. Besides vegetation greenness used in this study, other satellite-based vegetation parameters, such as solar-induced chlorophyll fluorescence (Joiner et al., 2014), leaf area index (McMichael et al., 2004), canopy height (Magnussen and Wulder, 2012), and vegetation fraction (Carroll et al., 2010), could reveal the postfire recovery of vegetation functional and structural properties. LANDSAT-based forest loss data (Hansen et al., 2013) could be further processed to retrieve the fire-induced tree mortality at a large scale (Krylov et al., 2014). These datasets would be beneficial to the parameterization of fire effects in vegetation-fire models and Earth System Models.

## 5. Conclusions

By using MODIS products and two national-level fire perimeter datasets, we evaluated fire effect on vegetation greenness in the early post-fire period at a continental scale. Fire effect on post-fire NDVI was found to be stronger for needleleaf trees than for other vegetation types. The high-latitude ecoregions were associated with larger reduction in vegetation greenness and longer NDVI recovery time than the mid-latitude ecoregions. Vegetation composition, especially the fraction of needleleaf trees, explains a large part of the spatial variations of fire effect on NDVI. Fire severity is an important factor regulating the spatial pattern of post-fire NDVI recovery over pixels and ecoregions. Furthermore, we found a longer NDVI recovery time for boreal forests than existing estimates, indicating a stronger fire effect on terrestrial ecosystems than previously thought. This study provides large-scale insight into the post-fire vegetation dynamics, which is pivotal to understand fire effects on terrestrial ecosystem dynamics and improve the parameterization of fire effect in the Earth System Models.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.rse.2017.07.022>.

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