Homework 5

1 Introduction

1.1 Collaboration and Originality

1.	Did you receive help <u>of any kind</u> from anyone in developing your software for this assignment (Yes or No)? It is not necessary to describe discussions with the instructor or TAs.
	No
2.	Did you give help <u>of any kind</u> to anyone in developing their software for this assignment (Yes or No)?
	No
3.	Did you examine anyone else's software for this assignment (Yes or No)? It is not necessary to mention software provided by the instructor.
	No
4.	Are you the author of <u>every line</u> of source code submitted for this assignment (Yes or No)? It is not necessary to mention software provided by the instructor.
	YES
5.	Are you the author of every word of your report (Yes or No)?
	YES

2 Experiment: Diversity and relevance baselines

2.1 Experimental Results

	Indri (Exp-2.1a)	Indri + PM2 (Exp-2.1b)	Indri + xQuAD (Exp-2.1c)	BM25 (Exp-2.1d)	BM25+ PM2 (Exp-2.1e)	BM25+ xQuAD (Exp-2.1f)
P-IA@10	0.17166	0.21900	0.17416	0.23916	0.28050	0.29300
P-IA@20	0.20000	0.23491	0.20250	0.22541	0.30100	0.31650
aNDCG@20	0.31584	0.40616	0.31872	0.41438	0.49016	0.54762
P@10	0.2800	0.3400	0.2800	0.3900	0.4000	0.4200
P@20	0.3450	0.3700	0.3350	0.3850	0.4250	0.4550
MAP	0.2231	0.1382	0.1288	0.2666	0.1706	0.1828

2.2 Parameters

trecEvalOutputLength=100 retrievalAlgorithm=Indri Indri:mu=1500 Indri:lambda=0.1 BM25:b=0.75 BM25:k_1=1.2 BM25:k_3=0.0

2.3 Discussion

Using diversity algorithm could lower the Accuracy score and MAP score. As we can see that MAP scores of 2.1b, 2.1c, 2.1e and 2.1f are lower than that of 2.1a and 2.1d. It is because the diversity algorithm will reorder the original ranking. The original ranking only optimizing for the original query and MAP, Precision are also calculated with respect to original query. Therefore, applying diversity algorithm would lower the score. But it is interesting to see that, although the MAP is lower when using diversity algorithm. P@10 and P@20 is not necessarily lower than the baseline. The reason could be that documents appearing on the top of the original ranking not only fit the original query well but also being able to fit all kinds of intents well. Therefore, these documents stay in the front when using diversity algorithm.

Using diversity algorithm improves P-IA and alpha-NDCG. And PM2 algorithm works better than xQuAD.

3 Experiment: Effect of λ

3.1 Experimental results

Indri + PM2						
	λ=0.0	λ=0.33	λ=0.67	λ=1.0		
	(Exp-3.1a)	(Exp-3.1b)	(Exp-3.1c)	(Exp-3.1d)		
P-IA@10	0.21683	0.21933	0.21466	0.19550		
P-IA@20	0.23841	0.24158	0.22825	0.20025		
αNDCG@20	0.36887	0.41902	0.40410	0.40410		
P@10	0.3000	0.3400	0.3400	0.3400		
P@20	0.3500	0.3600	0.3600	0.3500		
MAP	0.1407	0.1422	0.1342	0.1279		
Indri + xQuAD						
	$\lambda = 0.0$	λ =0.33	λ =0.67	$\lambda=1.0$		
	(Exp-3.2a)	(Exp-3.2b)	(Exp-3.2c)	(Exp-3.2d)		
P-IA@10	0.17166	0.16666	0.18167	0.22183		
P-IA@20	0.20000	0.20500	0.20875	0.23108		
αNDCG@20	0.31584	0.31630	0.32084	0.40655		
P@10	0.2800	0.2700	0.2900	0.3500		
P@20	0.3450	0.3450	0.3350	0.3550		
MAP	0.1213	0.1247	0.1323	0.1426		

BM25 + PM2							
	λ=0.0	λ=0.33	λ=0.67	λ=1.0			
	(Exp-3.3a)	(Exp-3.3b)	(Exp-3.3c)	(Exp-3.3d)			
P-IA@10	0.30533	0.27967	0.28633	0.19722			
P-IA@20	0.30225	0.30725	0.29808	0.19514			
αNDCG@20	0.48695	0.51528	0.53560	0.61049			
P@10	0.4600	0.4100	0.4100	0.3000			
P@20	0.4250	0.4350	0.4300	0.3500			
MAP	0.1913	0.1747	0.1763	0.2800			
	BM25 + xQuAD						
	λ=0.0	λ=0.33	λ =0.67	λ=1.0			
	(Exp-3.4a)	(Exp-3.4b)	(Exp-3.4c)	(Exp-3.4d)			
P-IA@10	0.23917	0.30050	0.29550	0.28133			
P-IA@20	0.22542	0.29525	0.31650	0.31275			
αNDCG@20	0.41438	0.55249	0.51235	0.49970			
P@10	0.3900	0.4300	0.4300	0.4100			
P@20	0.3850	0.4350	0.4550	0.4400			
MAP	0.1787	0.1799	0.1796	0.1724			

3.2 Parameters

Indri:mu=1500

Indri:lambda=0.1

BM25:b=0.75

BM25:k_1=1.2

BM25:k 3=0.0

diversity=true

diversity:algorithm=pm2

 $diversity: initial Ranking File = TEST_DIR/HW5-Exp-Indri. in Ranks$

diversity:maxInputRankingsLength=100 diversity:maxResultRankingLength=50

3.3 Discussion

For xQuAD, if lambda is higher, the algorithm will more focus on selecting diverse query. For PM2, if lambda is higher, the algorithm will tend to choose the document that fits a certain intent better, thus making the ranking more diverse. We assume that, if the lambda is higher, the ranking is more diverse and thus the MAP could be lower, because the ranking is not only optimized for matching the original query. Exp-3 seems to support this assumption, but other experiments do not. When we look at the P@10 or P@20, the score tends to be higher when lambda is higher. It means that when we consider more diversity in our ranking, the precision score gets higher. As for diversity metric, we need to tune the lambda between 0 and 1 to get the maximum score.

4 Experiment: The effect of the re-ranking depth

4.1 Experimental results

Indri + PM2						
	25 / 25	50 / 25	100 / 50	200 / 100		
	(Exp-4.1a)	(Exp-4.1b)	(Exp-4.1c)	(Exp-4.1d)		
P-IA@10	0.20917	0.20500	0.21900	0.21967		
P-IA@20	0.20750	0.21875	0.23492	0.25317		
αNDCG@20	0.35386	0.35210	0.40616	0.46936		
P@10	0.3300	0.3500	0.3400	0.3300		
P@20	0.3400	0.3400	0.3700	0.3550		
MAP	0.0724	0.0685	0.1382	0.2475		

BM25 + PM2					
	25 / 25	50 / 25	100 / 50	200 / 100	
	(Exp-4.2a)	(Exp-4.2b)	(Exp-4.2c)	(Exp-4.2d)	
P-IA@10	0.25583	0.30517	0.28050	0.27283	
P-IA@20	0.23333	0.28258	0.30100	0.27992	
αNDCG@20	0.48004	0.50670	0.49017	0.53431	
P@10	0.3700	0.4500	0.4000	0.4100	
P@20	0.3800	0.4250	0.4250	0.3900	
MAP	0.1163	0.1185	0.1706	0.2812	
	BN	M25 + xQuAD)		
	25/25 50/25 100/50 200/1				
	(Exp-4.3a)	(Exp-4.3b)	(Exp-4.3c)	(Exp-4.3d)	
P-IA@10	0.26083	0.27917	0.29300	0.29700	
P-IA@20	0.23833	0.29925	0.31650	0.29367	
αNDCG@20	0.49658	0.49863	0.54763	0.51267	
P@10	0.3900	0.4300	0.4200	0.4600	
P@20	0.3800	0.4550	0.4550	0.4450	
MAP	0.1508	0.1228	0.1828	0.3069	

4.2 Parameters

Indri:mu=1500

Indri:lambda=0.1

BM25:b=0.75

BM25:k_1=1.2

BM25:k 3=0.0

diversity=true

diversity:algorithm=pm2 (or xQuad)

 $diversity: initial Ranking File = TEST_DIR/HW5-Exp-Indri. in Ranks$

diversity:lambda=0.5

4.3 Discussion

The deeper the re-ranking is, the higher score it gets, not only on MAP and precision scores but also on diversity metrics, P-IA and a-NDCG. If we use large re-ranking depth, we can have more candidates to form the final ranking, which can give us better result. But at the same time, it is possible that some bad documents also get included in the candidates, finally chosen into the result and damage the perform. We can see this on some of the P@10 and P@20 result. Overall, increasing the re-rank depth give us a better performance (within our range from 25 to 200), but the improvement is more obvious on diversity metric than the normal Precision and MAP scores.