# 11-442 / 11-642 / 11-742: Search Engines

# Feature-Based Retrieval and Authority Metrics

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# Outline

**Introduction to feature-based methods** 

Three approaches to training learning algorithms

- Pointwise
- Pairwise
- Listwise

#### **Benchmark datasets**

Sample results

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#### **Benchmark Datasets**

## Three popular LeToR benchmark datasets

- 1. The LeToR collection (Microsoft) (2007)
- 2. Yahoo! Challenge datasets (2010)
- 3. Microsoft Learning to Rank datasets (2010)

### Old, but still used in research publications

- There aren't many newer datasets
- Newer datasets have the same characteristics



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# **Benchmark Dataset #1:** The LeToR Collections

### Created by Microsoft using existing publicly-available data

- The .gov corpus
  - 1M .gov web documents from 2002
  - 350 queries (topic, homepage, named page)
  - Top 1000 documents per query returned by BM25
  - 64 features
- The OHSUMED corpus
  - 350K PubMed abstracts from 1987-1991
  - 106 queries (informational)
  - All judged documents
  - 40 features

(http://research.microsoft.com/en-us/projects/mslr/feature.aspx)

(Qin, et al., 2010)

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# **Benchmark Dataset #1:** The LeToR Collections

# Field-specific features (title, anchor, url, whole) for (d, q)

- Tf: Sum over all query terms:  $\sum_{q_{i \in q}} t f_{q_i}$
- ullet Idf: Sum over all query terms:  $\sum_{q_{i\in q}} idf_{q_i}$
- Tf × Idf: Sum over all query terms:  $\sum_{q_{i \in q}} t f_{q_i} \times i d f_{q_i}$
- Field length
- Retrieval model scores
  - Boolean, VSM, BM25,  $\rm LM_{Abs}, \, LM_{Dirichlet}, \, LM_{JM}$
- Hyperlink-based features, HITS, Topic-specific PageRank, ...

(Qin, et al., 2010)

 $tf_{apple} + tf_{pie} + tf_{recipe}$ 

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# **Benchmark Dataset #1:** The LeToR Collection

# Document-level features for (d, q)

- PageRank, number of inlinks
- Url: Number of '/', length
- Number of child pages

# Note that these features do not depend on q

• These features prefer certain types of pages

(Qin, et al., 2010)

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# Benchmark Dataset #2: The Yahoo Challenge! Datasets

### Created by Yahoo using proprietary data

- Set 1
  - 710K feature vectors
  - 30K queries
  - 519 features (not described)
  - Relevance scale with 5 values
- Set 2
  - 173K feature vectors
  - 6K queries
  - 596 features (not described)
  - Relevance scale with 5 values

(Liu, 2011)

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# Benchmark Dataset #3: Microsoft Learning to Rank (MSLR) Dataset

# Created by Microsoft using proprietary data

- 3.7M web documents
- 30K queries
- 136 features
- Relevance scale with 5 values

### **Example data**

```
0 qid:1 1:3 2:0 3:2 4:2 ... 135:0 136:0 2 qid:1 1:3 2:3 3:0 4:0 ... 135:0 136:0 relevance query features id
```

(http://research.microsoft.com/en-us/projects/mslr/)

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# Benchmark Dataset #3: Microsoft Learning to Rank Dataset

### Field-specific features (title, anchor, body, url, whole) for (d, q)

- Covered query term number, covered query term ratio
  - Terms in query q that appear in d
- Field length, field length normalized in various ways
- Idf
- Tf: Min, Max, Sum, Mean, Variance
  - "apple pie recipe":  $Min (tf_{apple} tf_{pie} tf_{recipe})$
- Tf × idf: Min, Max, Sum, Mean, Variance
- Retrieval model scores: Boolean, VSM, BM25, LM<sub>Dirichlet</sub>, LM<sub>JM</sub>

(http://research.microsoft.com/en-us/projects/mslr/feature.aspx)

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# Benchmark Dataset #3: Microsoft Learning to Rank Dataset

### Document-level features for (d, q)

- Url: Number of '/', length
- Number of inlinks and outlinks
- PageRank, SiteRank, url click count, url dwell time
- Two quality scores
- Query-url click count

### Note that these features do not depend on q

• These features prefer certain types of pages

(http://research.microsoft.com/en-us/projects/mslr/feature.aspx)

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## **Outline**

**Introduction to feature-based methods** 

Three approaches to training learning algorithms

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- Pairwise
- Listwise

Benchmark datasets

Sample results

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# **Sample Experimental Results**

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# LeToR Benchmark (.gov dataset, topic distillation (TD) queries)

Pointwise 
Pairwise 
Listwise -

|                    | NDCG@ |       | P@    |       |       |       |       |
|--------------------|-------|-------|-------|-------|-------|-------|-------|
| Algorithm          | 1     | 3     | 10    | 1     | 3     | 10    | MAP   |
| Regression         | 0.320 | 0.307 | 0.326 | 0.320 | 0.260 | 0.178 | 0.241 |
| RankSVM            | 0.320 | 0.344 | 0.346 | 0.320 | 0.293 | 0.188 | 0.263 |
| RankBoost          | 0.280 | 0.325 | 0.312 | 0.280 | 0.280 | 0.170 | 0.227 |
| FRank              | 0.300 | 0.267 | 0.269 | 0.300 | 0.233 | 0.152 | 0.203 |
| ListNet            | 0.400 | 0.337 | 0.348 | 0.400 | 0.293 | 0.200 | 0.275 |
| AdaRank            | 0.260 | 0.307 | 0.306 | 0.260 | 0.260 | 0.158 | 0.228 |
| SVM <sup>Map</sup> | 0.320 | 0.320 | 0.328 | 0.320 | 0.253 | 0.170 | 0.245 |

RankSVM is similar to ListNet except at rank 1

(Liu, 2011)

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# **Sample Experimental Results**

# LeToR Benchmark (.gov dataset, named page (NP) queries)

| Pointwise |  |
|-----------|--|
| Pairwise  |  |
| Listwise  |  |

| ( 8                |       |       |       | •     | / -   |       |       |
|--------------------|-------|-------|-------|-------|-------|-------|-------|
|                    | NDCG@ |       | P@    |       |       |       |       |
| Algorithm          | 1     | 3     | 10    | 1     | 3     | 10    | MAP   |
| Regression         | 0.447 | 0.614 | 0.665 | 0.447 | 0.220 | 0.081 | 0.564 |
| RankSVM            | 0.580 | 0.765 | 0.800 | 0.580 | 0.271 | 0.092 | 0.696 |
| RankBoost          | 0.600 | 0.764 | 0.807 | 0.600 | 0.269 | 0.094 | 0.707 |
| FRank              | 0.540 | 0.726 | 0.776 | 0.540 | 0.253 | 0.090 | 0.664 |
| ListNet            | 0.567 | 0.758 | 0.801 | 0.567 | 0.267 | 0.092 | 0.690 |
| AdaRank            | 0.580 | 0.729 | 0.764 | 0.580 | 0.251 | 0.086 | 0.678 |
| SVM <sup>Map</sup> | 0.560 | 0.767 | 0.798 | 0.560 | 0.269 | 0.089 | 0.687 |

RankSVM, and RankBoost are best

(Liu, 2011)

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## **Lessons Learned**

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## Observations about the effectiveness of different algorithms

- Many learning algorithms perform relatively well
- Relative effectiveness: Listwise ≈ Pairwise > Pointwise
  - As expected

### Many ML algorithms work with pointwise & pairwise LeToR

• Easy to develop, reasonably effective

### Listwise algorithms may be more effective

- But, there are fewer off-the-shelf solutions
- Still an open research topic

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### **Lessons Learned**

### Much of the LeToR literature uses lots of training data

- Research is driven by web companies that have a lot of data
- But ... you may not have a lot of data
  - Their conclusions may not apply to your situation

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### **Lessons Learned**

#### Observation from academic research

- 100-200 labeled queries can support 50-100 features
  - Surprising compared to other classification/regression tasks, which need a higher ratio of examples to features
- The theory behind LeToR is still an open research topic

### Use large numbers of features cautiously

- Start with a small set of high-quality features, then grow it
- Design features carefully

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#### **Lessons Learned**

Much research is driven by machine learning researchers ...their focus is on machine learning algorithms

The features used in most systems are surprisingly simple

- Simple statistics (e.g., tf, idf, tf × idf, field length)
- Obvious variations of existing ranking algorithms
- A few page quality metrics

Better understanding of <a href="mailto:search">search</a> can produce better features ... and better search accuracy

• A nice opportunity for future improvement

(Liu, 2011)

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## Outline

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#### **Introduction to feature-based methods**

Three approaches to training learning algorithms

- Pointwise
- Pairwise
- Listwise

**Benchmark datasets** 

Sample results

L0: Boolean logic
L1: IR models
10<sup>10</sup> Docs

L2: reranking
10<sup>5</sup> Docs

L3: reranking
10<sup>3</sup> Docs

L4
10<sup>1</sup>

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### 11-442 / 11-642 / 11-742: Search Engines

# **Authority Metrics**

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### Introduction

## Until now, retrieval models considered mostly only page content

- Title, url, meta, body
- We also considered inlink text, which is provided by other pages

#### Similar content from different sources has different value

- Consider two pages with advice about how to treat a cold
  - A famous medical site
  - Some unknown individual's web page
- Which would you trust more?

### Today's topic: Authority metrics

• Which information sources are more trustworthy

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### **Outline**

### We will cover two authority metrics

- PageRank
- HITS

#### Goals

- Provide familiarity with some widely-known metrics
- Illustrate issues that authority metrics must address

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# **PageRank**

## PageRank is a metric for estimating a web page's importance

- Developed by Larry Page (Google co-founder)
- PageRank is related to citation analysis in Library Science
  - Which scientific journals or authors have the greatest impact

### PageRank is query-independent

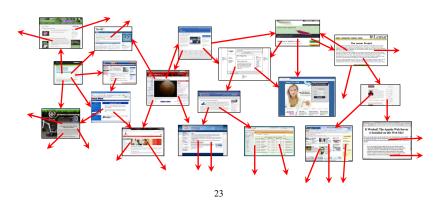
- The Kanye West Wikipedia page has high PageRank
  - ... but it isn't a good choice for the query "obama family tree"

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### How does it work?

- Web pages contain hyperlinks to other web pages
- These links form a directed graph ("the web graph")

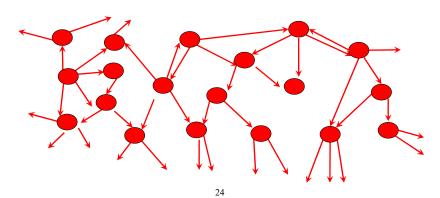


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# PageRank

## How does it work?

- Web pages contain hyperlinks to other web pages
- These links form a directed graph ("the web graph")



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### PageRank can be viewed as a random walk algorithm

- Start at an arbitrary web page
- When viewing a page w that has n outlinks, there are two possible next steps

  - Randomly follow one of the outlinks to the next page
- Randomly select some other page in the dataset ("teleportation")
- Repeat (many, many times)



### Over time, some pages are reached more often than other pages

• These pages are more central, and are considered more important

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# **PageRank**

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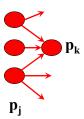


#### **Transitions**

• The probability of reaching a page  $p_k$  is

$$PR(p_k) = \frac{(1-d)}{|C|} + d\sum_{p_j \in InLinks(p_k)} \frac{PR(p_j)}{|OutLinks(p_j)|}$$

- d: The damping factor, e.g., d=0.85
- (1–*d*): The probability of teleporting to a random page
- |C|: The size of the corpus



(Brin and Page, 1998)

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### PageRank can be viewed as a voting algorithm

While (Not done)

For each page p

p votes for each page that it links to

### The key idea is how many votes a page p is allowed to cast

- In iteration 1, each page casts the same number of votes
- In iteration i, a page casts the number of votes it received in iteration i-1
  - I.e., popular pages get to cast more votes

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# **PageRank**



#### Voting

• On each iteration, page  $p_j$  is allowed to make  $PR(p_j)$  votes

$$PR(p_k) = \frac{(1-d)}{|C|} + d\sum_{p_j \in InLinks(p_k)} \frac{PR(p_j)}{|OutLinks(p_j)|}$$

d: a damping factor (smoothing), e.g., d=0.85

|C|: size of the corpus

- p<sub>i</sub> divides its votes equally among every page that it links to
- Consider two pages that have equal PageRank in iteration i
  - $-PR(p_1) = 0.4$ .  $p_1$  has 2 outlinks. Each vote by  $p_1$  is 0.4/2 = 0.2.
  - $-PR(p_2) = 0.4$ .  $p_2$  has 4 outlinks. Each vote by  $p_2$  is 0.4/4 = 0.1.

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### PageRank can be calculated with a simple iterative algorithm

```
For each page p current PR = 1 / |C| C: Number of nodes in the graph next PR = 0

While (Not done)

For each page p use p's current PR to update the next PR of each outlink page For each page p current PR = next PR next PR = 0
```

It can also be calculated using matrix multiplication

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# PageRank

# Consider a web graph with just 3 pages

- A has outlinks to B and C
- B has an outlink to A
- C has an outlink to A



### PageRank computation with d=0.5

- 14 iterations to converge
  - At 4 decimal places

| i | PR(A)  | PR(B)  | PR(C)  |
|---|--------|--------|--------|
| 0 | 0.3333 | 0.3333 | 0.3333 |
| 1 | 0.5000 | 0.2500 | 0.2500 |
| 2 | 0.4167 | 0.2917 | 0.2917 |
| 3 | 0.4583 | 0.2708 | 0.2708 |
| 4 | 0.4375 | 0.2813 | 0.2813 |
| 5 | 0.4479 | 0.2760 | 0.2760 |
| 6 | 0.4427 | 0.2786 | 0.2786 |
| 7 | 0.4453 | 0.2773 | 0.2773 |
| 8 | 0.4440 | 0.2780 | 0.2780 |
| : | : :    | : :    | ::     |

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14 | 0.4444 | 0.2778 | 0.2778

### Consider a web graph with just 3 pages

- A has outlinks to B and C
- B has an outlink to A
- C has an outlink to A



### PageRank computation with d=0.85

- 58 iterations to converge
  - At 4 decimal places

| i  | PR(A)  | PR(B)  | PR(C)  |
|----|--------|--------|--------|
| 0  | 0.3333 | 0.3333 | 0.3333 |
| 1  | 0.6167 | 0.1917 | 0.1917 |
| 2  | 0.3758 | 0.3121 | 0.3121 |
| 3  | 0.5805 | 0.2097 | 0.2097 |
| 4  | 0.4065 | 0.2967 | 0.2967 |
| 5  | 0.5544 | 0.2228 | 0.2228 |
| 6  | 0.4287 | 0.2856 | 0.2856 |
| 7  | 0.5356 | 0.2322 | 0.2322 |
| 8  | 0.4448 | 0.2776 | 0.2776 |
| :  | ::     | ::     | ::     |
| 58 | 0.4865 | 0.2568 | 0.2568 |

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# PageRank Range

## PageRank varies over a wide range

### Usually the range is compressed and transformed in some way

- E.g.,  $PR_T = log_{10}(PR) + 11$
- You could use other functions
- In the past, Google reported a range of 1-10

| PR         | PR      | PR <sub>T</sub> |
|------------|---------|-----------------|
| 0.00000001 | 1.0E-08 | 3               |
| 0.000001   | 1.0E-06 | 5               |
| 0.0001     | 1.0E-04 | 7               |
| 0.01       | 1.0E-02 | 9               |

When people say "PageRank",

usually they mean "some transformation of PageRank"

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# **PageRank Observations**

### What produces a high PageRank for page p?

- Many inlinks (obviously)
- Many inlinks from high PageRank pages
- The pages that link to p have <u>few outlinks</u>
  - During propagation, a page's PR is divided among its outlinks





- I.e., an inlink from a large directory is not very helpful

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# **PageRank Observations**

# There are <u>many</u> variations on the basic PageRank algorithm

- E.g., should links among pages on the same site count?
  - That makes it easier to manipulate PR for some pages
- E.g., what is the PageRank of new pages?
  - Should they inherit some PageRank from the host?
- E.g., how to handle 'sinks'
  - pages or page groups that have no outgoing links
- E.g., handling link farms and link exchanges



#### PageRank is topic-independent

• A page may have high PR but be a bad choice for this query

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### **Outline**

- PageRank
- HITS

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# **Hyperlink-Induced Topic Search (HITS)**

Two important types of web pages

- Hub: A page that points to pages with good content
- Authority: A page that has good content



Initialize H(p)=1 and A(p)=1 for each page

Hub and Authority scores are calculated iteratively

$$H(p_k) = \sum_{p_j \in OutLinks(p_k)} A(p_j)$$
$$A(p_k) = \sum_{p_j \in InLinks(p_k)} H(p_j)$$

Normalize scores at the end of each iteration

• Divide by 
$$\sqrt{\sum H(p)^2}$$
 and  $\sqrt{\sum A(p)^2}$ 

(Kleinberg, 1999)

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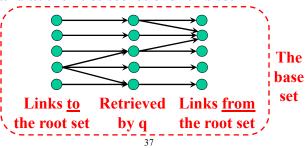
# **Hyperlink-Induced Topic Search (HITS)**



Hubs & authority scores are not calculated over the entire web

Obtain the top n pages for query q ("the root set")

- ... expand it with some of the pages that point into the root set
- ... expand it with pages that the root set points to
- ... calculate hubs and authorities scores over this set



(Kleinberg, 1999)

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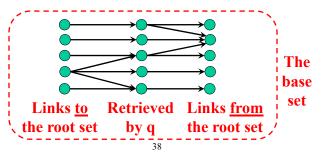
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# **Hyperlink-Induced Topic Search (HITS)**



#### **Notable characteristics**

- The base set has a strong, query-specific focus
- The base set is relatively small (so the calculation is efficient)
  - E.g., perhaps 200 pages
- Scores are calculated at query time (so efficiency is important)



(Kleinberg, 1999)

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# **Hyperlink-Induced Topic Search (HITS)**



### HITS isn't used much in large-scale search engines

- It is a little easier to spam than PageRank
  - E.g., it is easy to create a page with a high hub score
- Its run-time costs are higher than PageRank

## It is often used for other purposes

- E.g., to find communities
  - They tend to have tightly-bound hubs and authorities
- E.g., finding experts within a community

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# **Outline**

- PageRank
- HITS

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# **Summary**

### Authority metrics are an important component of web ranking

- Exactly how important is a topic of much debate
- Exactly how it is used is also a topic of much debate

#### This remains an active area of research

- Spammers and other bad guys keep adapting
- The range of factors that must be considered keeps growing

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### For More Information

#### Learning to rank

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