

# CSOR W4231: Analysis of Algorithms (sec.001) - Problem Set #2

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## Problem 1

We assume probability of  $X_1 = [\text{R contains at least 1 element of T}]$  as  $P_1$

$$P_1 = 1 - \left(\frac{n-1}{n}\right)^n$$

The probability of  $X_2 = [\text{R contains no element of S}]$  as  $P_2$  is

$$P_2 = \left(\frac{n-1}{n}\right)^n$$

Since  $X_1$  and  $X_2$  is independent events, the probability that the sample is good is

$$P = P_1 * P_2 = \left(1 - \left(\frac{n-1}{n}\right)^n\right) * \left(\frac{n-1}{n}\right)^n$$

where

$$\frac{\partial \left(\frac{n-1}{n}\right)^n}{\partial n} = \frac{\left(1 - \frac{1}{n}\right)^{n-1}}{n} > 0 \quad (\text{when } n > 1)$$

from which we know that the  $\left(\frac{n-1}{n}\right)^n$  has min value when  $n=2$ , which is  $\frac{1}{4}$

According to the hint

$$\left(1 - \left(1 - \frac{1}{n}\right)^n\right) \geq 1 - e^{-1}$$

so

$$P = \left(1 - \left(\frac{n-1}{n}\right)^n\right) * \left(\frac{n-1}{n}\right)^n \geq (1 - e^{-1}) * \frac{1}{4}$$

Then we have the statement that the probability our sample is good is larger than some positive constant, such as any number less than  $(1 - e^{-1}) * \frac{1}{4}$ , which is about 0.158.



## Problem 2

(a)

**Pseudocode:**

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**replace**( $a$ )

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$item = a[1]$

**for**  $k = 2$  to  $\text{Length}(a)$  **do**

$$item = \begin{cases} a[i] & \text{with probability } \frac{1}{k} \\ item & \text{with probability } 1 - \frac{1}{k} \end{cases}$$

**end for**

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**Correctness:**

**Base case:**  $k = 2$ , the probabilities of storing  $a[1]$  and  $a[2]$  are both  $1/2$  then the statement is true.

**Induction hypothesis:** assume that the statement is true for  $k \geq 2$ .

**Inductive step:** show it true for case  $k+1$

Since each of  $a[1], a[2], \dots, a[k]$  will be stored with a probability of  $1/k$ , when  $a[k+1]$  appears, there is a probability of  $1 - \frac{1}{k+1}$  that the stored item will fall in the previous range  $(a[1], a[2], \dots, a[k])$ , which means each of  $a[1], a[2], \dots, a[k]$  will be stored with a probability of

$$\frac{1}{k} * (1 - \frac{1}{k+1}) = \frac{1}{k+1}$$

There is also another probability of  $\frac{1}{k+1}$  that the stored item will be replaced with  $a[k+1]$ . To conclude, the item has the property that it is uniformly distributed over all the items we have already seen, so the statement is also true in case  $k+1$ .

**Conclusion:** it follows that the statement is true for  $k \geq 2$ .

**Running Time:**

The algorithm has only a for loop, so the running complexity is  $O(\text{Length}(a))$ , although we do not know the length in the process of the algorithm.

**Space:**

The space complexity is  $O(1)$ .

(b)

It follows a distribution that when  $k$ -th items have been seen

$$p(a[i]) = \begin{cases} \frac{1}{2^{k-i+1}} & i \neq 1 \\ \frac{1}{2^{k-1}} & i = 1 \end{cases}$$

**Correctness:**

**Base case:**  $k = 2$ , the probabilities of storing  $a[1]$  and  $a[2]$  are both  $1/2$  then the statement is true.

**Induction hypothesis:** assume that the statement is true for  $k \geq 2$ .

**Inductive step:** show it true for case  $k+1$

When  $k$ -th item appears, item  $a[i] (i \neq 0)$  will be stored with a probability of

$$\frac{1}{2^{k-i+1}}$$

and the probability for item  $a[1]$  is  $\frac{1}{2^{k-1}}$ .

When  $a[k+1]$  appears, there is a probability of  $\frac{1}{2}$  that the stored item will fall in the previous range  $(a[1], a[2], \dots, a[k])$ , which means item  $a[i] (i \neq 0)$  will be stored with a probability of

$$\frac{1}{2} * \frac{1}{2^{k-i+1}} = \frac{1}{2^{k-i+2}}$$

and the probability for item  $a[1]$  is  $\frac{1}{2} * \frac{1}{2^{k-1}} = \frac{1}{2^k}$ .

There is also another probability of  $\frac{1}{2}$  that the stored item will be replaced with  $a[k+1]$ . To conclude, the item has the property that it follows a distribution that when  $k$ -th items have been seen

$$p(a[i]) = \begin{cases} \frac{1}{2^{k-i+1}} & i \neq 1 \\ \frac{1}{2^{k-1}} & i = 1 \end{cases}$$

so the statement is also true in case  $k+1$ .

**Conclusion:** it follows that the statement is true for  $k \geq 2$ .

**Running Time:**

The algorithm is very similar to the one in (a), so the running complexity is  $O(\text{Length}(a))$ .

**Space:**

The space complexity is  $O(1)$ .

**Pseudocode:**

---

**replace( $a$ )**

---

$item = a[1]$

**for**  $k = 2$  to  $\text{Length}(a)$  **do**

$$item = \begin{cases} a[i] & \text{with probability } \frac{1}{2} \\ item & \text{with probability } \frac{1}{2} \end{cases}$$

**end for**

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## Problem 3

(a)

If  $n$  is odd, we set  $k = \lfloor n/2 \rfloor + 1$  and use  $k$ -th order statistic ( $S, k$ ) to find the median. If  $n$  is even, we set  $k = n/2$  and  $k = n/2 + 1$  separately and use  $k$ -th order statistic ( $S, k$ ) to find the two items, then calculate the mean of the two items, which is the median of  $S$ .

(b)

**Upper bound the expected time of a subproblem of type  $j$ :**

The expected running time of a subproblem of type  $j$  excluding the time on recursive calls is  $O(\text{Length}(S))$ , here  $n * (\frac{3}{4})^j \leq S \leq n * (\frac{3}{4})^{j+1}$ .

**Upper bound the expected time until a good item  $a_i$  is selected:**

If we want to select an item  $a_i$  that can help us throw out at least  $\frac{1}{4}$  of the input, we can judge it by looking at the length of  $|S^-|$  to assure the size of the part we can throw up, which takes  $O(\text{Length}(S))$  time. Also we have a probability of  $\frac{1}{2}$  to pick an item  $a_i$  that we want, so we select a good  $a_i$  by spending  $O(\text{Length}(S))$  time in total.

**Upper bound the expected time of the entire algorithm:**

For the entire algorithm, the depth of  $j$  is  $\log_{\frac{3}{4}} \frac{1}{n}$ , so we have

$$O(\frac{3}{4}n) + O(\frac{3}{4}n) + O((\frac{3}{4})^2n) + O((\frac{3}{4})^2n) + \dots + O((\frac{3}{4})^{\log_{\frac{3}{4}} \frac{1}{n}}n) + O((\frac{3}{4})^{\log_{\frac{3}{4}} \frac{1}{n}}n) = O(n)$$

Also we can derive the answer according to master theorem,

$$T(n) = T(\frac{3}{4}n) + O(n)$$

The expected running time is  $O(n)$ .



## Problem 4

(a)

Suppose we have  $k$  balls, for each ball the probability that it will be discarded is

$$p = (1 - \frac{1}{n})^{k-1}$$

so the expected number of balls that should be discarded in this round is

$$E(D_k) = k * p = k * (1 - \frac{1}{n})^{k-1}$$

Now we have  $k = \epsilon n$ , so the expected balls that we have for next round is

$$\begin{aligned} E &= k - E(D_k) = \epsilon n (1 - (1 - \frac{1}{n})^{\epsilon n - 1}) \\ &\leq \epsilon n (1 - e^{-\frac{1}{n} * (\epsilon n - 1)}) \\ &< \epsilon n (1 - e^{-\epsilon}) \\ &\leq \epsilon n (1 - (1 - \epsilon)) \\ &= \epsilon^2 n = k^2 / n \end{aligned}$$

We expect to have at most  $\epsilon^2 n$  balls.

(b)

From part (a), we know that if we have  $k$  balls in some round, the expected number of balls left will be  $k^2/n$ , so suppose we have  $a_i$  balls after  $i$ -th round we can induct the equation

$$a_k = a_{k-1}^2 / n = a_{k-2}^4 / n^3 = \dots$$

Also from part (a), we have  $a_k < \epsilon n (1 - e^{-\epsilon})$ . Inducted by this equation, we can get that after the first round we have at most  $n(1 - e^{-1})$  balls and after the second round we have at most  $n(1 - e^{-1})^2 < 1/2$  balls, which means  $a_2 < 1/2$ . Back to the previous equation, we can now finish it

$$\begin{aligned} a_k &= a_2^{2^{k-2}} / n^{1+2+\dots+2^{k-3}} \\ &< (n/2)^{2^{k-2}} / n^{2^{k-2}-1} \\ &= \frac{1}{2^{2^{k-2}-1} n} \end{aligned}$$

If we want the experiment ends at round  $k$ , we need  $a_k < 1$ , which means

$$\begin{aligned} \frac{1}{2^{2^{k-2}-1} n} &< 1 \\ k &> 2 + \log \log n \end{aligned}$$

So as long as  $k > 2 + \log \log n$ , the experiment must end no later than  $k$ -th round. Equivalently, we would discard all the balls within  $O(\log \log n)$  rounds.





## Problem 5

(a)

If the other  $n - 1$  people are not trying to access the computer and person  $i$  is trying to access, then the person  $i$  could succeed. The probability is  $p * (1 - p)^{n-1}$ , which we will use  $P_1$  to denote.

(b)

We can calculate the first order derivative and makes it equal to 0.

$$\frac{\partial P_1}{\partial p} = (1 - p)^{n-1} - p * (n - 1) * (1 - p)^{n-2} = 0$$

$$p = \frac{1}{n}$$

so we need to set  $p = \frac{1}{n}$  and probability  $P_1$  will be  $\frac{1}{n} * (1 - \frac{1}{n})^{n-1}$ .

(c)

The probability that person  $i$  fails in one step is  $1 - \frac{1}{n} * (1 - \frac{1}{n})^{n-1}$ . If the person  $i$  did not succeed to access in any of the first  $t = en$  steps, the probability will be  $(1 - \frac{1}{n} * (1 - \frac{1}{n})^{n-1})^{en}$ , which we will use  $P_2$  to denote.

$$\begin{aligned} P_2 &= (1 - \frac{1}{n} * (1 - \frac{1}{n})^{n-1})^{en} \\ &\leq (1 - \frac{1}{n} * \frac{n}{n-1} * e^{-1} (1 - \frac{1}{n}))^{en} \\ &= (1 - \frac{1}{en})^{en} \\ &\leq e^{-1} \end{aligned}$$

so the upper bound is  $e^{-1}$ .

(d)

From part (c), we have  $(1 - \frac{1}{en})^t$  is the approximation of  $P_2$ , and if  $t = en$ ,  $P_2$  should be upper bounded by  $e^{-1}$ .

Now we make  $t = ken \log n$ , then we have

$$P_2 \leq e^{-k \ln n} \leq \frac{1}{n^k}$$

so  $t$  should be more than  $ken \ln n$ .

(e)

We know  $1 - P_2$  is the probability that one person will succeed to access the computer in the first  $t$  steps, so if all people want to succeed, the the probability is  $(1 - P_2)^n$ . We need the probability greater than  $1 - \frac{1}{n}$  then we have

$$(1 - P_2)^n \geq 1 - \frac{1}{n}$$

We make  $t = -en \ln(1 - e^{-\frac{1}{n^2}})$ , so we have

$$P_2 \leq e^{\ln(1 - e^{-\frac{1}{n^2}})} = 1 - e^{-\frac{1}{n^2}}$$

$$1 - p_2 \geq e^{-\frac{1}{n^2}}$$

since  $e^{-1} \geq (1 - \frac{1}{n})^n$ ,  $e^{-\frac{1}{n}} \geq 1 - \frac{1}{n}$

$$(1 - P_2)^n \geq e^{-\frac{1}{n}} \geq 1 - \frac{1}{n}$$

so  $t$  should be more than  $-en \ln(1 - e^{-\frac{1}{n^2}})$ , which is roughly  $\ln \frac{3}{2} en \approx 1.102n$  when  $n$  is large enough.