



# SapFlower

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**Automating sap flow data preprocessing,  
cleaning, modeling, gap-filling, and analysis.**

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## ***1. What is SapFlower?***

SapFlower is a tool developed for ecologists and plant scientists who are interested in measuring plant sap flow using Thermal Dissipation Probes (TDPs) based on Granier's equation (Granier 1987). Considering measuring sap flow in the field using solar panels powered sap flow sensors may encounter power outage due to the weather conditions; gap-filling is essential for getting complete data to estimate whole growing season water use. SapFlower was designed to automate sap flow data cleaning, filtering, segmentation, gap-fill model training, gap-filling, and analysis. Users can feed SapFlower raw data measured from the datalogger, and get complete gap-filled data including dT, K, and F for calculating sap flow.

SapFlower integrates some essential functions such as baseline calculation from the Baseline 4.



## ***2. How does SapFlower work?***

SapFlower has four main parts, namely Project Configuration, Data Preprocessing, Model Training, and Gap-filling, which enable users to handle all sap flow data processing and calculations in one place.

### ***2.1 Project Configuration***

Users can create, open, set up parameters for data filtering, and save projects easily through the Project Configuration Tab.

#### ***2.1.1 Create a new project***

Before creating a project, users can edit the data filtering thresholds for the project. The default values are set to the Toy dataset, users should change them as needed based on their own data.

Field name	Description
Define Data File Path	Users can either type or click the “Data Path” button to prompt wot select a data file to edit. The file can be either .csv or .xlsx format, and the file must include some columns for calculation. The mandatory columns are <b>TIMESTAMP</b> , <b>PAR_Den_Avg</b> , <b>AirTC_Avg</b> , <b>RH</b> , and <b>at least one sap flow</b> data column. (Future updates may dynamically handle different users’ data structure). <b>The mandatory columns’ names must be the same as listed here for indexing.</b> Users can also have other columns, such as LAI (leaf area index), DOY, VPD, and it will calculate them based on TIMESTAMP for DOY, and AirTC_Avg and RH for VPD.
Define Project File Path	Users don’t need to define through this edit field, instead, it will prompt to allow user to select a folder to save the project file.
Time Step Increments (min)	Time between two data points. 30 = 30 minutes.
Min SapFlow	Filters data that are below acceptable range. Any dT data less than this value will be deleted. Just set it to zero if you don’t know.
Max SapFlow	Filters data that are above acceptable range. Any dT data greater than this value will be deleted. You can first set to a high value to view your data, and then come back to set it to a reasonable value for your data.
Max Change Per Interval	Filters data spikes. Any value with an absolute change from the previous time step that is greater than this value will be deleted. You can first set to a high value to view your data, and then come back to set it to a reasonable value for your data.
PAR Threshold	Threshold for determining nighttime hours. Note that due to PAR/radiation sensor calibration/drift issues or light pollution, sensors may read some nominal value greater than zero during the night.
VPD Time (h)	Time threshold for low-VPD conditions below which transpiration is expected to cease.
Delete Data Points Less Than	Filters short runs. Due to the outage of battery or low battery, there will be some short runs of measurement, such as only a few of points measured during the noon. You can set it to zero to preview your data first, and then come back to change it to a reasonable value.
VPD Threshold	I would keep this as intact described in Baseline: Threshold for low-VPD conditions below which transpiration is expected to cease. Note that due to temperature/RH sensor calibration/drift issues, sensors may read some nominal value greater than zero during saturated conditions.

Project Configuration	Data Preprocessing	Model Training	Gap Filling			
Define Data File Path	<input type="text"/>			Data Path		
Define Project File Path	<input type="text"/>			Project Path		
Project Name	<input type="text"/>			Create Project		
Time Step Increments (min)	<input type="text" value="30"/>	Min SapFlow	<input type="text" value="0"/>	Max SapFlow	<input type="text" value="1"/>	Open Project
Max Change Per Interval	<input type="text" value="1.5"/>	PAR Threshold	<input type="text" value="50"/>	VPD Time (h)	<input type="text" value="24"/>	Save Project
Delete Data Points Less Than	<input type="text" value="6"/>	VPD Threshold	<input type="text" value="2"/>	Reserved	<input type="text" value="N/A"/>	Save As Project

Users can define the data path first, add a name in “Project Name” edit field, and then either use the menu, shortcut (Ctrl+N), or “Create Project” button to prompt to create a project file (.html). After creating a project file, it will save it and open it as the current project file. It will prompt you to select additional environmental or meta data columns. You should select all columns that are not sap flow sensors, so that later you can loop through all your sensors data at once. It may also ask you to select which one is Air temperature and which one is relative humidity if your data doesn’t have a VPD column.

The screenshot shows the SapFlower application window. The title bar says 'SapFlower'. The menu bar includes 'File', 'Edit', and 'Help'. The 'File' menu is open, showing options: 'Create New' (Ctrl+N), 'Open File' (Ctrl+O), 'Save' (Ctrl+S), 'Export K' (Ctrl+K), and 'Exit' (Ctrl+Q). The main window has three tabs: 'Data Preprocessing' (selected), 'Model Training', and 'Gap Filling'. Below the tabs are several input fields and buttons. On the right side, there are four buttons: 'Data Path' (orange), 'Project Path' (orange), 'Create Project' (teal), and 'Open Project' (teal). Below these are three more buttons: 'Save Project' (teal) and 'Save As Project' (teal). The configuration area includes the following fields and values: 'Project Name' (empty), 'Time Step Increments (min)' (30), 'Min SapFlow' (0), 'Max SapFlow' (1), 'Max Change Per Interval' (1.5), 'PAR Threshold' (50), 'VPD Time (h)' (24), 'Delete Data Points Less Than' (6), 'VPD Threshold' (2), and 'Reserved' (N/A).

### 2.1.2 Open an existing project file

Users can easily open an existing project file by clicking “Open Project” either using the menu or button or using shortcut (Ctrl+O). It will prompt you to select additional environmental or meta data columns. You should select all columns that are not sap flow sensors, so that later you can loop through all your sensors data at once. It may also ask you to select which one is Air temperature and which one is relative humidity if your data doesn’t have a VPD column.

### 2.1.3 Saving a project file

Users can modify their currently loaded project file and save it as new projects or just update some parameters by clicking “Save Project” either using the menu or button or using shortcut (Ctrl+S).

## 2.2 Data Preprocessing

This panel is designed to help users to clean their data, and the main design was inspired by Baseline 4. There are two main options, namely manually clean and auto clean. Manually clean requires users to determine valid and effective data through setting filtering thresholds in Project Configuration and manually selecting and deleting or reversing data points in Data Preprocessing. During data cleaning, if users want to and changed update project configuration, they can click “Plot Data” button to view the updated data based on the new project configuration.

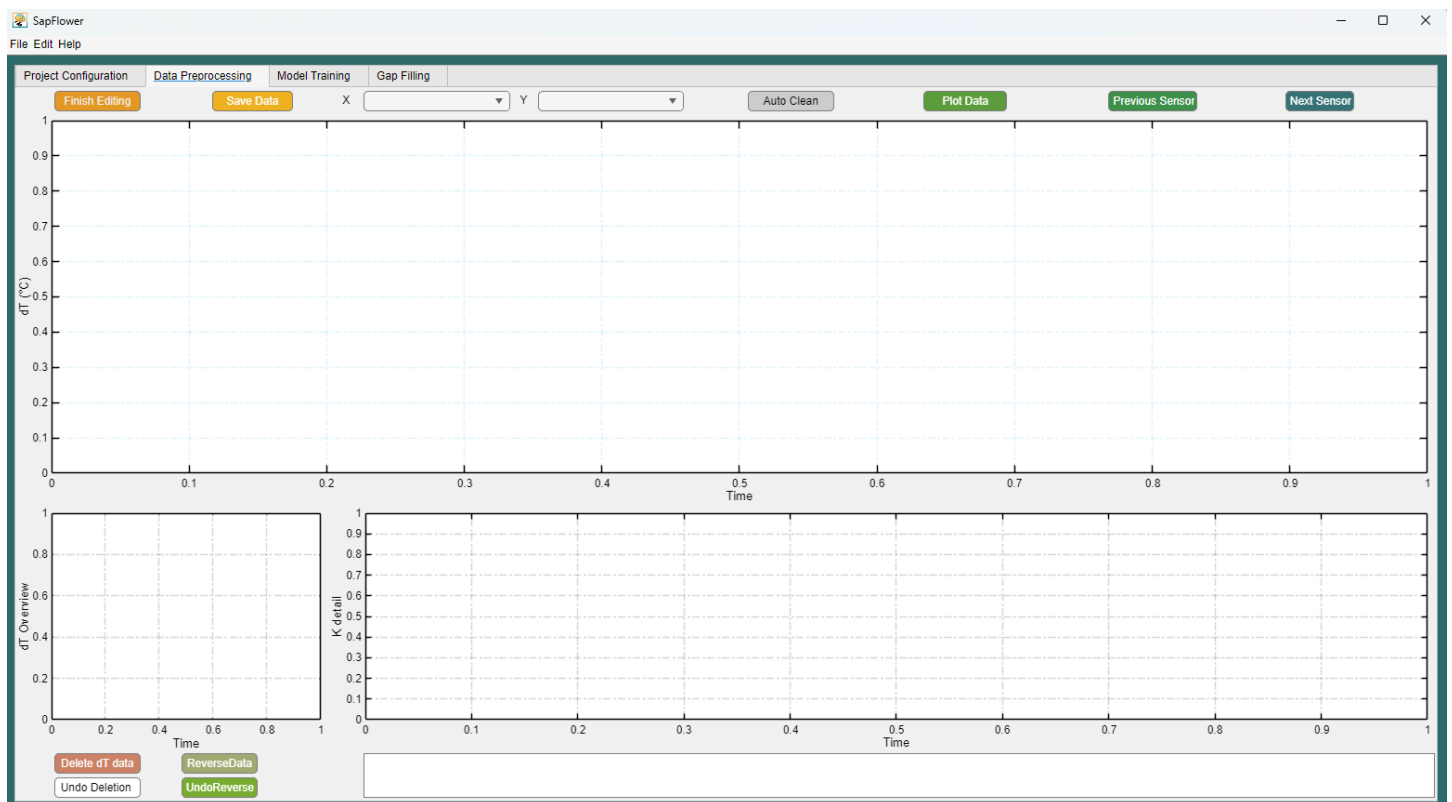
Auto clean requires users to set the auto clean parameters in Model Training left top (WindowSize, IQR-ThresholdMultiplier, HighVariationThreshold, and LowVariationThreshold). Users can preview by clicking “Auto Clean” to see which data points will be removed by currently defined thresholds. Please note that the Auto Clean will always be applied before all model training to ensure that at least some outliers are removed. It’s better if users can manually clean some data and fine-tune the auto clean thresholds to get high quality training data.

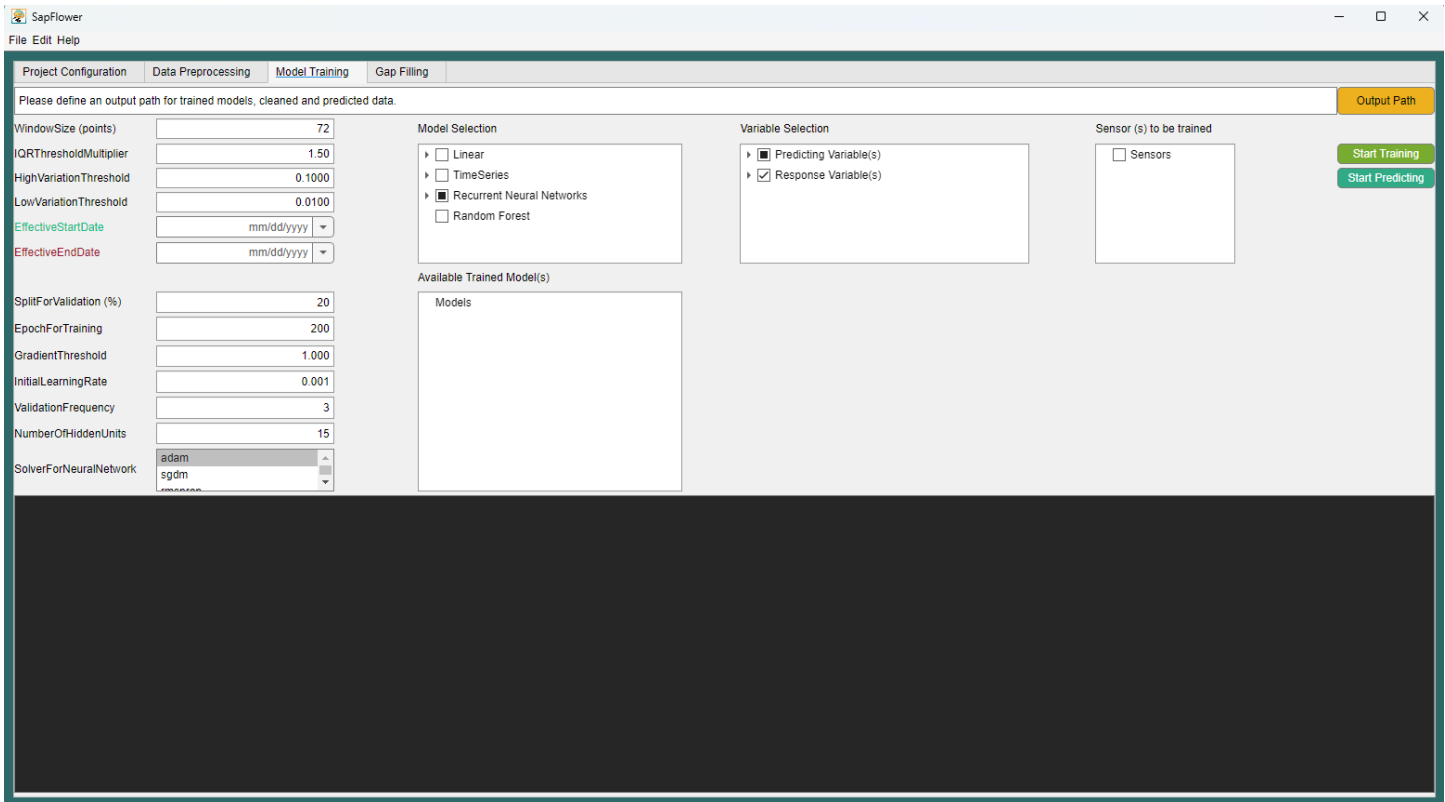
Field name	Description
WindowSize	It separates the whole data sequence into multiple short sequences to calculate the quantile, low and high variation. You can set it based on your time step increments. If your time step increments are 30 min, then you can put 72 to represent 36 hours window. Smaller numbers will make it more sensitive.
IQRThresholdMultiplier	Multiplier for IQR to define outliers (Recommend setting it based on your Max SapFlow).
HighVariationThreshold	Threshold for detecting high variation windows. A smaller number will filter more points. (Recommend setting it based on your Max SapFlow).
LowVariationThreshold	Threshold for detecting low variation windows. A larger number will filter more points. (Set a small number like 0.01~0.05 based on your data).

Users can also use shortcuts for data deletion (Ctrl+D), reversal (Ctrl+R), and undo (Ctrl+Z). To switch different sensors, users can select the sensors from the dropdown menu (Y) or just loop through sensors by clicking “Previous Sensor” or “Next Sensor.”

Users can also edit the current plot by clicking and editing the title, axes, and legend, or export plot by moving the mouse to the right corner of the plot to use the export plot tool. The plot can be exported in .JPG, .PNG, .TIF, and .PDF format. Users may see that there are some data smoothing functions when right click on the plot, but please note that those haven’t been enabled to use. Future updates may enable those functions. All executing output will be updated in the bottom text area, and users can use it as references when they encounter any unexpected errors or warnings.

Once users finished cleaning, they can go to the Model Training Tab without saving the edited data since it will be updated automatically to the current data table. However, if users want to save the cleaned data, they can then click “Save Data” to save the cleaned data and export the current K values. Alternatively, users can save to replace their original data or save as a new data file by clicking “Finish Editing.” The time for saving data depends on the data that users loaded, so please wait until it finishes saving.





## 2.3 Model Training

This Tab is designed to help users train their own models for sap flow data gap-filling. Before model training, users need to set their output data path for cleaned data, trained model, and predicted and gap-filled data. Users just need to set one path; it will set sub folders to store different types of data. There are several steps that users should confirm before clicking the “Start Training” button, and the “Start Prediction” button will only work after model training.

### Step 1. Set output path

Users need to ensure that their data is cleaned/or set up reasonable auto clean parameters for auto cleaning.

### Step 2. Set parameters for Auto Clean

Define effective growth period through the Date pickers. The gap-filled output data will use them as references for calculations.

### Step 3. Model Selection

Users may select/check at least and only one model for gap-filling model training. Please note that Time Series models (ARX and ARMAX) require Y values as reference for prediction and can only be used for short period (e.g., 24 hours) predictions. If users have more over 24 hours missing period, I would recommend using Recurrent Neural Networks or Random Forest models.

#### **Step 4. Variable Selection**

In the current version, users only need to select the Predicting Variables for model training. The variables will be listed under Predicting Variable(s) Tree once the users loaded their project. Users can decide to check one or multiple variables for different models of training. Here VPD is already checked as default considering their substantial relationship with sap flow. Users may leave the Response Variable(s) as default, and they can export K and F values once they finished gap-filling.

#### **Step 5. Sensor(s) to be trained**

Sensors will be available for checking once users loaded their project. Users may choose multiple sensors at once to loop through and train models for all checked sensors.

#### **Step 6. Model training hyper parameters**

<b>Field name</b>	<b>Description</b>
SplitForValidation (%)	This is where users can set their data splitting for model training and validation. A number like 20 represents that 20% data will be split to be used for model validation. Users can try different numbers to see how it will affect the model training.
EpochForTraining	Users can determine the numbers of iterations for model training, and this will be only applied to Recurrent Neural Networks. A larger number may get more well trained or over trained model depending on your data and variables selected for model training. You can leave it as default if you don't know how to set.
GradientThreshold	Here it is used to prevent gradient explosion: In deep networks, especially recurrent networks, gradients can sometimes grow uncontrollably large, leading to instability. Clipping helps keep them in check. Improved stability: It improves training stability by ensuring that excessively large gradient updates don't destabilize the optimization process.
InitialLearningRate	The learning rate determines the size of the steps the optimization algorithm takes to minimize the loss function during training. If the learning rate is too high, the model may overshoot the minimum of the loss function, leading to instability. If the learning rate is too low, training may be very slow or get stuck in a local minimum.
ValidationFrequency	This parameter is used for validation. If you set it to one, it will validate immediately after each iteration of training, and if you set it to 5, it will validate every 5 iterations of training.
NumberOfHiddenUnits	The hidden units represent the neurons in the hidden layer that learn internal representations of the input data. The number of hidden units influences the model's ability to capture complex patterns in the data. More hidden units can increase the capacity of the model to learn more intricate relationships, but it also increases the computational complexity and the risk of overfitting if the dataset is small.
SolverForNeuralNetwork	It refers to the optimization algorithm (solver) used to minimize the loss function and update the model weights during training. The solver plays a critical role in determining how the neural network learns and converges.

(Continued on next page)



<b>Field name</b>	<b>Description</b>
SolverForNeuralNetwork	<p>'adam' (Adaptive Moment Estimation):</p> <p>This is a popular solver that combines the benefits of RMSProp and momentum. It adjusts the learning rate for each parameter and can perform well in many scenarios, especially for deep networks and LSTM networks. It's often a good starting choice for deep learning models because of its adaptive learning rate feature.</p> <p>'sgdm' (Stochastic Gradient Descent with Momentum):</p> <p>This is the standard stochastic gradient descent method with momentum to accelerate convergence and reduce oscillations. It's effective for many types of neural networks, including CNNs and fully connected networks.</p> <p>'rmsprop' (Root Mean Square Propagation):</p> <p>This solver is designed to deal with the diminishing learning rates problem in the traditional stochastic gradient descent method by normalizing the gradients based on their recent magnitudes. It is especially useful for recurrent neural networks and deep networks.</p>

### **Step 7.** Start Training.

Once users clicked to start the training process, the data will be auto cleaned and saved to CleanedData folder, and then the model training process will start. Users will be able to monitor the model training process either via the text output or real-time plotting (due to MATLAB license limit, the real-time plotting will be only available for those who are using SapFlower in MATLAB). Trained models will be saved to TrainedModels folder.

### **Step 8.** Start Predicting.

Once users finish model training, they can start model predicting, and the predicted data for each sensor with model types will be saved to PredictedData folder.

## **2.4 Gap-filling**

This Tab is designed to help users do and visualize gap-filling. Once users finish model prediction, the sensors available for gap-filling will be listed under the right list tree. To do all operations, users must check at least one sensor to be gap-filled. Users can start loading and view cleaned raw data in both table and figure by clicking the "RawData" button. Similarly, users can click the View Predicted Data Tab to view the predicted data plot by clicking "PredictedData" button. After loading both raw data and predicted data, users can then do gap-fill by clicking the "GapFill" button. Gap-filled data will be saved to Gapilled folder. Users can also edit, zoom in/out, and export all plots through using the plot's right corner's plotting tool bar.

Once users finished gap-filling, they can export K and/or F values through clicking "ExportKvalues" and/or "ExportFvalues", and the exported data will be saved to ExportedKvalue and/or ExportedFvalue folder. Users can then do further analysis using that data.



Considering the sapwood area and tree growing patterns varied case by case, daily water use calculation, and some other analyses are currently not available in SapFlow. Future updates may include those high-level calculations.

SapFlow

File Edit Help

Project Configuration Data Preprocessing Model Training Gap Filling

Define Data File Path D:\Baseline4\baseline4-master\MATLAB\Simplified\_sapflow.csv Data Path

Define Project File Path D:\Baseline4\baseline4-master\MATLAB\Project\_Pontotoc\_2020.html Project Path

Project Name Project\_Pontotoc\_2020 Create Project

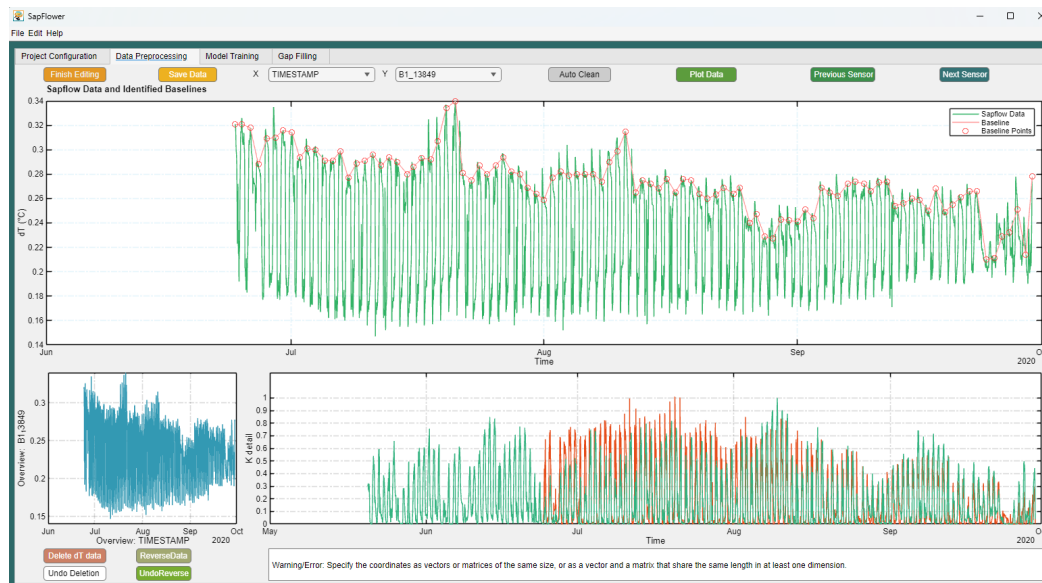
Time Step Increments (min) 30 Min SapFlow 0 Max SapFlow 1 Open Project

Max Change Per Interval 10 PAR Threshold VPD Time (h) 24 Save Project

Delete Data Points Less Than 12 VPD Threshold 0.05 Reserved N/A Save As Project

AirTC_Avg	BattV_Avg	DOY	DaysOfMonth	PAR_Den_Avg	PlotID	RH	Rain_mm_Tot	TIMESTAMP	TimeOfDay	VPD	Year	B1_13849	B2_24304
23.3800	13.8600	141	20	21.3000	101	60.9100	0	05/20/2020 11:00	1100	1.1237	2020	NaN	NaN
23.0000	14.1300	141	20	17.0700	101	57.9900	0	05/20/2020 11:30	1130	1.1802	2020	NaN	NaN
23.3400	13.8600	141	20	23.9800	101	61.1100	0	05/20/2020 12:00	1200	1.1153	2020	NaN	NaN
23.7100	13.3500	141	20	17.9200	101	65.7200	0	05/20/2020 12:30	1230	1.0952	2020	NaN	NaN
23.4000	13.3200	141	20	14.8200	101	58.9800	0	05/20/2020 13:00	1300	1.1006	2020	NaN	NaN
23.5300	13.3400	141	20	16.5300	101	65.1800	0	05/20/2020 13:30	1330	1.0100	2020	NaN	NaN
23.6000	13.3400	141	20	11.9400	101	61.2500	0	05/20/2020 14:00	1400	1.1288	2020	NaN	NaN
23.5100	13.3700	141	20	14.1800	101	58.7700	0	05/20/2020 14:30	1430	1.1945	2020	NaN	NaN
22.8700	13.3700	141	20	5.9500	101	65.0400	0	05/20/2020 15:00	1500	0.9804	2020	NaN	NaN
22.5300	13.4200	141	20	6.0850	101	65.5100	0	05/20/2020 15:30	1530	0.9418	2020	NaN	NaN
22.6900	13.4400	141	20	5.6500	101	60.8100	0	05/20/2020 16:00	1600	1.0805	2020	NaN	NaN
23.1000	13.4700	141	20	3.4530	101	58.4700	0	05/20/2020 16:30	1630	1.1738	2020	NaN	NaN
23.2400	13.4700	141	20	2.0090	101	60.1000	0	05/20/2020 17:00	1700	1.1373	2020	NaN	NaN
22.8800	13.4900	141	20	1.7520	101	61.4600	0	05/20/2020 17:30	1730	1.0814	2020	NaN	NaN
22.6800	13.5300	141	20	1.3370	101	67.6700	0	05/20/2020 18:00	1800	0.9809	2020	NaN	NaN
22.2400	13.5700	141	20	0.8370	101	70.7900	0	05/20/2020 18:30	1830	0.7837	2020	NaN	NaN
20.3800	13.4200	141	20	0.2730	101	81.3000	0	05/20/2020 19:00	1900	0.4477	2020	NaN	NaN
18.1800	12.9500	141	20	0.0110	101	89.5000	0	05/20/2020 19:30	1930	0.2182	2020	NaN	NaN
17.0300	13.0000	141	20	0.0000	101	93.4000	0	05/20/2020 20:00	2000	0.1476	2020	NaN	NaN

Project loaded from: D:\Baseline4\baseline4-master\MATLAB\Project\_Pontotoc\_2020.html



SapFlow

File Edit Help

Project Configuration Data Preprocessing Model Training Gap Filling

D:\Baseline4\baseline4-master\MATLAB\sapflow22 Output Path

Window Size (points) 72

QORTThresholdMultiplier 1.50

HighVariationThreshold 0.1000

LowVariationThreshold 0.0100

EffectiveStartDate 01-Jun-2020

EffectiveEndDate 29-Sep-2020

SplitForValidation (%) 20

EpochForTraining 200

GradientThreshold 1.000

InitialLearningRate 0.001

ValidationFrequency 3

NumberOfHiddenUnits 15

SolverForNeuralNetwork adam

Model Selection

☒ TimeSeries

☒ Recurrent Neural Networks

☐ GRU

☐ LSTM

☐ Random Forest

Available Trained Model(s)

Models

Variable Selection

☒ Predicting Variable(s)

☐ AirTC\_Avg

☐ BattV\_Avg

☐ DOY

☐ DaysOfMonth

☐ PAR\_Den\_Avg

Sensor(s) to be trained

☒ Sensors

☒ B1\_13849

☒ B2\_24304

Start Training

Start Predicting

Training history 2 of 2 (100%)

Training BILSTM model...

Epoch: 0, Iteration: 0, Loss: 0.0000

Epoch: 1, Iteration: 1, Loss: 0.0451

Epoch: 2, Iteration: 2, Loss: 0.0391

Epoch: 3, Iteration: 3, Loss: 0.0336

Epoch: 4, Iteration: 4, Loss: 0.0286

Epoch: 5, Iteration: 5, Loss: 0.0240

Epoch: 6, Iteration: 6, Loss: 0.0199

Epoch: 7, Iteration: 7, Loss: 0.0162

Epoch: 8, Iteration: 8, Loss: 0.0131

Epoch: 9, Iteration: 9, Loss: 0.0104

Epoch: 10, Iteration: 10, Loss: 0.0082

Epoch: 11, Iteration: 11, Loss: 0.0067

Epoch: 12, Iteration: 12, Loss: 0.0056

Epoch: 13, Iteration: 13, Loss: 0.0051

Epoch: 14, Iteration: 14, Loss: 0.0049

Epoch: 15, Iteration: 15, Loss: 0.0049

Epoch: 16, Iteration: 16, Loss: 0.0050

Epoch: 17, Iteration: 17, Loss: 0.0049

Epoch: 18, Iteration: 18, Loss: 0.0047

Epoch: 19, Iteration: 19, Loss: 0.0044

Epoch: 20, Iteration: 20, Loss: 0.0040

