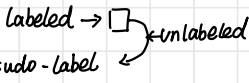
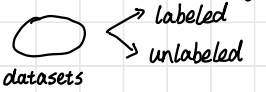


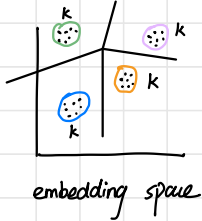
# Hierarchical Dynamic Labeling (HDL)

Semi-supervised learning



$\square$  :  $\begin{cases} \text{predict network (classifier)} \\ \text{or} \\ \text{Represent network (feature extractor)} \end{cases}$   $\checkmark$  Generalization ability  $\uparrow$

k-NN label clusterability (unsupervised learning)



Similar embedding  $\rightarrow$  assemblable  
[metric learning (clip, Dino)]

Defined:

labeled dataset:  $D = \{(x_n, y_n)\}_{n \in [N]}$   $[N] = \{1, 2, \dots, N\}$   $y_n \in \{1, 2, \dots, C\}$

unlabeled dataset:  $D' = \{x'_m\}_{m \in [M]}$   $y'_m$ : potential label

$\begin{cases} \forall m \in [M], y'_m \in [C] \\ \exists m \in [M], y'_m \notin [C] \end{cases} \xrightarrow{\text{closed-set}} \forall m \in [M], y'_m \in \{1, 2, \dots, C\}$

Define 1: Label clusterability  $(k, \delta_k)$

Dataset  $D$ , image encoder  $f(\cdot)$

$\rightarrow$  embeddings  $X = f(D)$

$\forall x \in X, p(x \text{ and } k\text{-nearest neighbors} \rightarrow \text{Same class}) \geq 1 - \delta_k$

$\rightarrow D \rightarrow (k, \delta_k)$   $\delta_k$ :  $D$  violate clusterability probability

(1)  $\delta_k = 0$ ,  $D \rightarrow k$ -NN label clusterability

(2)  $k \uparrow \rightarrow \delta_k \uparrow$

(3) Representational ability  $\downarrow \rightarrow \delta_k \uparrow$



Iterative algorithm process:

① Ideal label clusterability (paper 4.1 (KWW-DV))

Ideal: Strict clustering  $\rightarrow$  labels

labeled embeddings  $D = \{x_n, y_n\}_{n \in [N]}$

unlabeled embeddings  $D' = \{x'_m\}_{m \in [M]}$

Since ideal So  $D \rightarrow k$ -NN label clusterability

$x'_m, m \in [M] \rightarrow y'_m, m \in [M]$

$x'_m$   $k_1, \dots, k_N$  from  $D = x'_{m_1}, x'_{m_2}, \dots, x'_{m_{k_1}}$

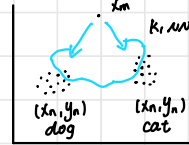
Corresponding labels:  $y'_{m_1}, y'_{m_2}, \dots, y'_{m_{k_1}}$

Label convert: integer  $\rightarrow$  one-hot

Vote:  $y'_m = \arg \max_{i \in [C]} (\frac{1}{k_1} \sum_{j=1}^{k_1} y'_{m_j}) [i], y'_{m_j} \in R^C$

Traversal  $\begin{cases} 1: i \in [C] & C: \text{number of labels} \\ 2: \sum_{j=1}^{k_1} y'_{m_j} & k_1: \text{nearest neighbors labels from } D \\ & \text{one-hot form addition} \end{cases}$

Geometric perspective:



classes	integer	one-hot
dog	0	10
cat	1	01

$([1, 0] \times 2 + [0, 1] \times 3) / 5$   
 $\Rightarrow \arg \max([ \frac{2}{5}, \frac{3}{5} ])$   
 $\Rightarrow 1 \Rightarrow \text{cat} = y'_m$

Weakness:

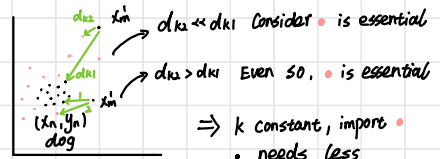
1: Rely on highly clustering!

2: Step by step import unlabeled point

Ignore the relationship between them!

$y_n \in [C], y'_m \in [C]$  same of label space

$\Rightarrow D$  mix  $D'$  follow clusterability in embedding space



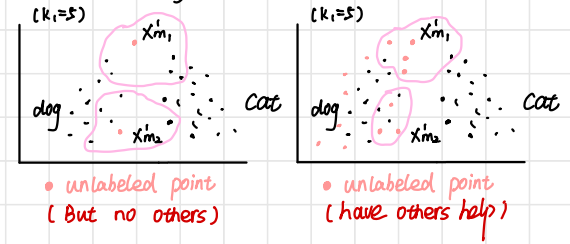
$\Rightarrow k$  constant, import  $\bullet$  needs less

We can proved clusterability be allowed  $\downarrow$  Since  $\bullet$  needs less

Continuous...

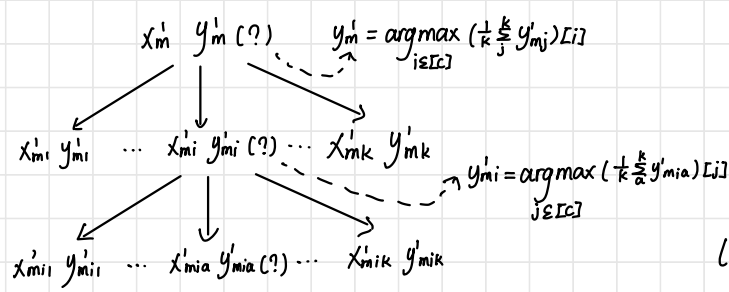
we assume  $x_m$  authentic label is dog

⇒ how • ensure  $x_m$  labeling correctly when clusterability ↓  
 Simultaneous analysis of near and far points  $x_{m1}, x_{m2}$



⇒ I think • as fault-tolerant when clusterability ↓  
 ② unlabeled embedding help each others (paper 4.2 (kuv))  
 may  $x_{m1}, x_{m2} \dots x_{mk}$  is unlabeled embedding  
 $\exists j \in [k] \rightarrow x_{mj} \in D' \rightarrow$  how we know its label

⇒ Depth-First Search (DFS) for tree structure



{ Exhaustively → find all labels  
 or  
 proportional threshold → partial labels compute manager

HDL: Dynamically increase determined labels

Weakness: fall into { loop search  
 multi branch repeated search

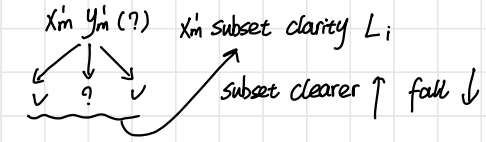
③ Hierarchical Dynamic labeling (paper 4.3 HDL)

Try best to avoid fall: (core)

- ① Prioritize labeling clearer ingredients (P(fall) minimum)
- ② Dynamically update D (D' ↓ D' ↑) ⇒ P(the rest, fall) ↓

(1) self optimal

$$D = \{(x_n, y_n)\}_{n \in [N]} \quad D' = \{x'_m\}_{m \in [M]}$$



$D', k_{uv}$ , belong to  $D$ , is  $L_1, L_2, \dots, L_M$

$$\text{clearest: } L_m = \max \{L_1, L_2, \dots, L_M\}, m \in [M]$$

$L_m \begin{cases} \text{one} \\ \text{multi} \end{cases} \Rightarrow \text{unlabeled embedding}$   
 $x'_{max,1}, \dots, x'_{max,s}, s \leq M$

(2) overall optimal

$x'_{max,1}, \dots, x'_{max,s} s \leq M$  (self-optimal)

arbitrary select? →  
 Assume labeling  $x'_{max,i}, i \in [s] \rightarrow x'_{max,i}$  from  $D'$  to  $D$   
 Then the rest of self-optimal's  $L$  may change

$$[L_{i,1}, \dots, L_{i,i}, L_{i,i+1}, \dots, L_{i,s}] \in R^{s-1}$$

expand

$$L = \begin{bmatrix} L_{1,2} & \dots & L_{1,i} & L_{1,i+1} & \dots & L_{1,s} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ L_{i,1} & \dots & L_{i,i-1} & L_{i,i} & \dots & L_{i,s} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ L_{s,1} & \dots & L_{s,i-1} & L_{s,i} & \dots & L_{s,s} \end{bmatrix} \in R^{s \times (s-1)}$$

$[L_i]$ : labeling the  $i$ -th, the rest of  $D' \rightarrow L$

$$\arg \max_{i \in [s]} \text{Sum}([L_i]) \rightarrow \text{Rest-optimal}$$

$$\text{self-optimal} + \text{Rest-optimal} = \text{overall-optimal}$$

④ Adoptive  $k$  (paper 4.4)

balance →  $k \uparrow$  clusterability ↓  
 majority voting:  $k \uparrow$  robust ↑ Reliable ↑

Ref [43] (2022 IJML):

"detecting corrupted labels without training a model to predict"

→ Continuous...

Self-optimal  
 overall-optimal

2022 ICM L: (lower bound)

$$P(\text{Vote is correct} | k) \geq (1 - \delta_k) \cdot I_{1-e}(kH - k', k+1)$$

$(1 - \delta_k)$ : Quality of features

Based on it

$$\Rightarrow p(k) \geq \mu_k \cdot I_{1-e}(kH - k', k+1)$$

$\mu_k$ :  $p(\text{clustability})$   
 $e$ :  $p(\text{error labels in embedding set})$   
 $k'$ :  $\lfloor (kH)/2 \rfloor - 1$   
 $I_{1-e}(kH - k', k+1)$ : regularized incomplete beta function

$\mu_k = (1 - \delta_k)$  = Quality of embedding

$$I_{1-e}(kH - k', k+1) = \frac{(k+1)!}{(k-k')!k!} \int_0^e t^{k-k'}(1-t)^k dt$$

$$k \uparrow \Rightarrow \begin{cases} I_{1-e}(kH - k', k+1) \uparrow \\ \text{clustability} \downarrow \Rightarrow \mu_k \downarrow \end{cases}$$

Qualification  $\mu_k$ :

From labeled embedding space

randomly select instances to statistics

