

# Lecture 3 The birth of Matrix mechanics

Born, Jordan 1925

Dirac, P A M 1925

$$[P, X] = \frac{\hbar}{i} \leftarrow \text{Born's tombstone}$$

$$\left. \begin{aligned} \dot{X} &= \frac{1}{i\hbar} [X, H] \\ \dot{P} &= \frac{1}{i\hbar} [P, H] \end{aligned} \right\} \text{Born and Jordan}$$

$$\frac{1}{i\hbar} [X, P] \leftrightarrow \{X, P\}$$

$$\frac{1}{i\hbar} [f_1, f_2] \leftrightarrow \{f_1, f_2\} \leftarrow \text{Dirac canonical quantization}$$

①

§1 Hermitian matrix  $\longleftrightarrow$  mechanical quantity

Post 1: Coordinate and momentum should be represented as matrix  $p_{m,n} e^{-i\omega_{m \leftarrow n} t}$ ,  $x_{mn} e^{-i\omega_{m \leftarrow n} t}$ .

When we define matrix product, say  $(x p)_{mn} e^{-i\omega_{m \leftarrow n} t}$   
 $= \sum_k x_{mk} p_{kn} e^{-i(\omega_{m \leftarrow k} + \omega_{k \leftarrow n}) t}$

Hence, Post 1 really relies on the Ritz combination rule.

$(x, p)$  forms the complete set of mechanical observables,  
 $O(p, x)$  in principle can be expressed power series of  
 $x$  and  $p$ . We write down the classic expression

$$O = \sum_l O_n(l) e^{-il\omega_n t}$$

The correspondence between classic and quantum version

$$O_n(l) e^{-il\omega_n t} \longleftrightarrow O_{n-l, n} e^{-i\omega_{n-l \leftarrow n} t} \quad \text{向下兼容}$$

for  $l > 0$

注意规定

$$\text{but } O_{n+l, n} e^{il\omega_{n \leftarrow n+l} t} = O_{n, n+l}^* (e^{-il\omega_{n \leftarrow n+l} t})^*$$

Post 2. frequency recombination

$$\omega_{j \leftarrow k} + \omega_{k \leftarrow l} + \omega_{l \leftarrow j} = 0$$

At this stage, we can relate  $\hbar \omega_{m \leftarrow n} = W_n - W_m$ .

But whether  $W$  is energy or not, we will need to prove.

Post 3. Hamiltonian  $E_q$  is the same as before, but should be interpreted in terms of the matrix language.

$$H = \frac{p^2}{2m} + V(q)$$

$$\dot{q} = \frac{\partial H}{\partial p}$$

$$\dot{p} = -\frac{\partial H}{\partial q}$$

Dynamical rules are the same

but the interpretation of kinematics (meaning of  $q$  and  $p$ ) needs to be redone.

§ Establishment of the canonical commutation rule

Post 4: The diagonal matrix elements of  
 $(px - xp)_{nn} = \hbar/i$ .

We cannot prove, but show its equivalence to the f-sum rule.

$$(px - xp)_{nn} = m (\dot{x}x - x\dot{x})_{nn} = m \sum_k \dot{x}_{nk} x_{kn} - x_{nk} \dot{x}_{kn}$$

$$\dot{x}_{nk} = -i\omega_{n \leftarrow k} x_{nk}, \quad \dot{x}_{kn} = -i\omega_{k \leftarrow n} x_{kn}.$$

$$(px - xp)_{nn} = -i \sum_k \omega_{n \leftarrow k} x_{nk} x_{kn} - \omega_{k \leftarrow n} x_{nk} x_{kn}$$

① Set  $k = n+l$ , for  $l > 0$

$$\begin{aligned} & m \sum_{l>0} -i \omega_{n \leftarrow n+l} |x_{n,n+l}|^2 + i \omega_{n+l \leftarrow n} |x_{n+l,n}|^2 \\ & = -2mi \sum_{l>0} \omega_{n \leftarrow n+l} |x_{n+l,n}|^2 \end{aligned}$$

② if  $l < 0$ , then  $k = n-|l|$ ,

$$(px - xp)_{nn} = -2im \sum \omega_{n \leftarrow n-|l|} x_{n,n-|l|} x_{n-|l|,n}$$

(4)

$$= 2im \sum \omega_{n-l, n} |X_{n, n-l}|^2$$

$$\Rightarrow (px - xp)_{nn} = 2im \sum_{l>0} \omega_{n \leftarrow n+l} \left[ |X_{n+l, n}|^2 - \omega_{n-l \leftarrow n} |X_{n, n-l}|^2 \right]$$

by using the f-sum rule

$$(px - xp)_{nn} = 2im \frac{\hbar}{2m} = \hbar/i.$$

Hence, we show that Heisenberg's quantization rule is equivalent to the diagonal matrix element  $[p, x] = \hbar/i$ .

\* Jordan's contribution: proof of  $([p, x])_{m, n} = 0$  if  $m \neq n$ .

Basically, Jordan proved  $\frac{d}{dt} ([p, x])_{m, n} = 0$ , if the off diagonal matrix element is non-zero, then it would have time dependence  $[p, x]_{mn} e^{-i\omega_{m \leftarrow n} t}$ .

We consider a simple case that  $H = H_1(p) + H_2(x)$

Define  $g = \frac{i}{\hbar} [p, x]$ .

$$\text{Then } \frac{dg}{dt} = \frac{i}{\hbar} [\dot{p}x + p\dot{x} - \dot{x}p - x\dot{p}]$$

$$\dot{g} = \frac{i}{\hbar} \left( - \frac{\partial H_2(x)}{\partial x} x + x \frac{\partial H_2(x)}{\partial x} - \frac{\partial H_1(p)}{\partial p} p + p \frac{\partial H_1(p)}{\partial p} \right) \quad (5)$$

Since  $H_2$  only depends on  $x$ , we have  $x \frac{\partial H_2(x)}{\partial x} = \frac{\partial H_2(x)}{\partial x} x$ ,

then  $\dot{g} = 0$ , then the off-diagonal matrix element

of  $g_{m,n} = 0 \Rightarrow g = 1$ , i.e.  $[p, x] = \frac{\hbar}{i}$

If  $H$  contains term mixing  $p, x$ , the proof is more complicated. Nevertheless, it can be done. We will not show the details here.

(\*) With canonical commutation rule, we can express

$$\dot{p} = \frac{1}{i\hbar} [p, H], \quad \dot{x} = \frac{1}{i\hbar} [x, H]$$

Again for simplicity, we prove the case  $H = H_1(p) + H_2(x)$ .

check  $\dot{p} = - \frac{\partial}{\partial x} H_2(x)$ , assume  $H_2(x) = \sum_n a_n x^n$

$$\Rightarrow \dot{p} = - \sum_n a_n n x^{n-1}.$$

It's easy to show  $[p, x^n] = \sum_{i=0}^{n-1} x^i [p, x] x^{n-i-1}$

$$= -i\hbar x^{n-1}$$

$$\Rightarrow \dot{p} = \frac{1}{i\hbar} [p, H].$$



Similarly, we have  $\dot{x} = \frac{1}{i\hbar} [x, H]$ .

If  $\dot{g}_1 = \frac{1}{i\hbar} [g_1, H]$ ,  $\dot{g}_2 = \frac{1}{i\hbar} [g_2, H]$ , then

$$\begin{aligned} \frac{d}{dt}(g_1 g_2) &= \dot{g}_1 g_2 + g_1 \dot{g}_2 = \frac{1}{i\hbar} ([g_1, H] g_2 + g_1 [g_2, H]) \\ &= \frac{1}{i\hbar} [g_1 g_2, H] \end{aligned}$$

Since  $O$  in principle could be expanded in terms of power series of  $p, x$ , then  $\dot{O} = \frac{1}{i\hbar} [O, H]$ .

⊛ if  $H(p, x)$  does not depend on  $t$  explicitly, then

$$\frac{d}{dt} H = 0 \Rightarrow H \text{ is diagonal since its off diagonal matrix elements are time-dependent if it is non-zero.}$$

Post 5:  $H_{nn} = E_n$ , i.e the diagonal matrix elements of  $H$  is the energy of the stationary state  $E_n$ .

$$\therefore \dot{O} = \frac{1}{i\hbar} [O, H], \quad \dot{O}_{mn} = \frac{1}{i\hbar} (O_{mn} H_{nn} - H_{mm} O_{mn})$$

$$-i\omega_{m \leftarrow n} O_{mn} = \frac{1}{i\hbar} O_{mn} (E_n - E_m)$$

$$\boxed{\hbar \omega_{m \leftarrow n} = E_n - E_m}$$

(7)

{ Canonical quantization

$$\{f_1, f_2\}_{p,x} = - \frac{\partial f_1}{\partial p} \frac{\partial f_2}{\partial x} + \frac{\partial f_1}{\partial x} \frac{\partial f_2}{\partial p}$$

Poisson bracket does not depend on the choice of coordinate and momentum. We can also use  $(J, \theta)$  to define Poisson

bracket  $\{f_1, f_2\} = \{f_1, f_2\}_{p,x} = \{f_1, f_2\}_{J,\theta} = - \frac{\partial f_1}{\partial J} \frac{\partial f_2}{\partial \theta} + \frac{\partial f_1}{\partial \theta} \frac{\partial f_2}{\partial J}$

Classical mechanics

$$\dot{p} = \{p, H\}$$

$$\dot{q} = \{q, H\}$$

QM

$$\dot{p} = \frac{1}{i\hbar} [p, H]$$

$$\dot{q} = \frac{1}{i\hbar} [q, H]$$

→ conjecture  $\{ \} \longrightarrow \frac{1}{i\hbar} [ \ ]$ .

We will use coordinate and momentum to check the correspondence between  $\{ \}$  and  $\frac{1}{i\hbar} [ \ ]$ .

Consider the matrix element  $[p, x]_{n,n-1}$  where  $n$  corresponds to the classic action  $J = n\hbar$ . The classic angular variable

$\theta = \omega_n t$  and  $\omega_n = \frac{\partial E}{\partial J} \Big|_{J=n\hbar}$ . At  $n \rightarrow +\infty$ ,  $n \gg 1, \hbar$

$$\begin{aligned} \frac{i}{\hbar} [p, x]_{n,n-1} e^{i\omega_n \leftarrow n-1 t} &= \frac{\partial}{\partial J} (p_{n \leftarrow n-1} e^{-i\omega_n \leftarrow n-1 t}) \frac{\partial}{\partial \theta} (x_{n-1 \leftarrow n} e^{-i\omega_{n-1 \leftarrow n} t}) \\ &\quad - \frac{\partial}{\partial J} (x_{n, n-1 \leftarrow n-1} e^{-i\omega_{n \leftarrow n-1 \leftarrow n-1} t}) \frac{\partial}{\partial \theta} (p_{n-1 \leftarrow n-1, n-1} e^{-i\omega_{n-1 \leftarrow n-1, n-1} t}) \end{aligned}$$



⑧

We use the following identity: we suppress the time dependence

$$\begin{aligned}
 [P, X]_{n, n-l} &= \sum_k (P_{n, n-k} - P_{n-l-k, n-l}) X_{n-k, n-l} \\
 &\quad - (X_{n, n-l-k} - X_{n-k, n-l}) P_{n-l-k, n-l} \\
 &= \hbar(l-k) \frac{\partial}{\partial J} P_{n, n-k} X_{n-k, n-l} - k \hbar \frac{\partial}{\partial J} X_{n, n-l-k} P_{n-l-k, n-l}
 \end{aligned}$$

Then according  $O_n(l) \bar{e}^{i l \omega_n t} \leftrightarrow O_{n-l, n} \bar{e}^{-i \omega_{n-l} \leftarrow n t}$

$l > 0$

$$O_n(-l) \leftrightarrow O_{n, n-l} \bar{e}^{-i \omega_{n-l} \leftarrow n t}$$

$$\sum_k \frac{\partial}{\partial J} P_{n-k, n} \bar{e}^{i k \omega_n t}$$

if  $k > 0$   $P_{n, n-k} \rightarrow P_n(-k)$

$k < 0$   $P_{n, n-k} = P_{n, n+|k|} \rightarrow P_{n-k}(-k) \sim P_n(-k)$

$X_{n-k, n-l} \rightarrow X_n(-l+k) \rightarrow$  the difference between two indices  
 $\downarrow$   
 sum over the left and right indices and then  
 take the leading order

$$X_{n, n-l-k} \rightarrow X_n(-l+k)$$

$$P_{n-l-k, n-l} \rightarrow P_n(-k)$$

$$\begin{aligned}
 \Rightarrow \sum_k \left[ \frac{\partial}{\partial J} P_n(-k) \frac{\partial}{\partial \theta} X_n(-l+k) - \frac{\partial}{\partial J} X_n(-l+k) \frac{\partial}{\partial \theta} P_n(-k) \right] e^{i l \omega_n} \\
 = O_n(-l) e^{i l \omega_n}
 \end{aligned}$$

where  $O = \frac{\partial}{\partial J} P \frac{\partial}{\partial \theta} X - \frac{\partial}{\partial J} X \frac{\partial}{\partial \theta} P = -\{P, X\}$

In principle, the above process applies to an arbitrary  $f_1, f_2$ . ⑨

$$\Rightarrow \left( \frac{1}{i\hbar} [f_1, f_2] \right)_{n, n-l} \rightarrow \left( \{f_1, f_2\} \right)_n (-l) e^{i l \omega_n t}$$

$$\text{i.e. } \frac{1}{i\hbar} [f_1, f_2] \leftarrow \{f_1, f_2\}$$

This provides a systematic way for quantization

— Canonical quantization!