

# Lecture 1 Review of Classical physics

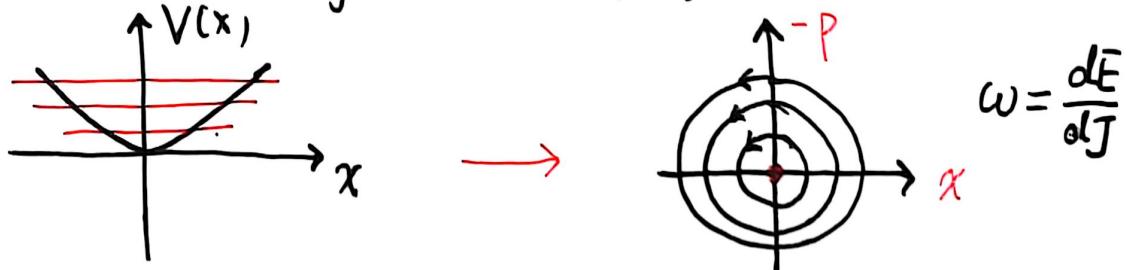
## and Old Quantum theory

1° Brief history 1900 — 1925, 1926

2° Hamiltonian Eq from least action principle

$$\text{Maupertuis principle} - J = \oint \frac{P dq}{2\pi}$$

canonical transformation  $(p, q) \rightarrow (J, \Theta)$



3° Classic theory of radiation — quick summary

4° Planck black body radiation — energy quantizat

5° Bohr's old quantum theory

- action quantization

- Correspondence theorem

# 1. History of quantum physics

1900 Black body radiation, Planck's energy quantization

1905 Photoelectric effect - Einstein's hypothesis of photon (quantization of light)

Specific heat of solids - phonon, quantization of lattice vibration

1911 Bohr's model of hydrogen atom

correspondence principle

Bohr - Sommerfeld quantization

$$\frac{1}{2\pi} \oint pdq = n\hbar \quad \text{action quantization}$$

1924 De Broglie matter wave  $p = \hbar k = h/\lambda$ .

1925-26 Heisenberg's interpretation  $(x^i)_{ij} = X_{ik}X_{kj}$

Born - Jordan matrix mechanics  $[x, p] = i\hbar$

Dirac's mapping to Poisson brackets

1926 Schrödinger equation - wave mechanics

$$i\hbar \frac{\partial}{\partial t} \psi = \left( -\frac{\hbar^2}{2m} \nabla^2 + V \right) \psi$$

1933, 1948 Dirac, Feynman path integral formulation

$$G(x_b t_b, x_a t_a) = \int D\chi(t) e^{i \int_{t_a}^{t_b} dt \cdot L(x, \dot{x}, t)}$$

# Review of classic mechanics

## ④ Hamilton's Equation from least action principle

$$S[q(t)] = \int_{t_0}^{t_f} L(q, \dot{q}, t) dt = \int (p \dot{q} - H) dt$$

$$\begin{cases} L = p \dot{q} - H(p, q) \\ p = \frac{\partial L}{\partial \dot{q}} \end{cases}$$

$$\delta S = \int_{t_1}^{t_2} \left[ \delta p \dot{q} + p \frac{d}{dt} (\delta q) - \left( \frac{\partial H}{\partial p} \delta p + \frac{\partial H}{\partial q} \delta q \right) \right] dt$$

↖

$$\frac{d}{dt} (p \delta q) - \dot{p} \delta q$$

$$= \int_{t_1}^{t_2} \left[ \delta p \left( \dot{q} - \frac{\partial H}{\partial p} \right) - \delta q \left( \frac{\partial H}{\partial q} + \dot{p} \right) \right] + p \delta q \Big|_{t_0}^{t_f} = 0$$

$$\therefore \frac{\delta S}{\delta p} = 0, \quad \frac{\delta S}{\delta q} = 0$$

$$\Rightarrow \begin{cases} \dot{q} = \frac{\partial H}{\partial p} \\ \dot{p} = -\frac{\partial H}{\partial q} \end{cases}$$

$$\text{Example: } L = \frac{1}{2} m \left( \dot{q}^2 - \omega^2 q^2 \right)$$

$$p = \frac{\partial L}{\partial \dot{q}} = m \dot{q}$$

$$H = p \dot{q} - L = \frac{p^2}{2m} + \frac{1}{2} m \omega^2 q^2$$

$$\begin{cases} \dot{q} = \frac{\partial H}{\partial p} = \frac{p}{m} \\ \dot{p} = -\frac{\partial H}{\partial q} = -m \omega^2 q \end{cases}$$

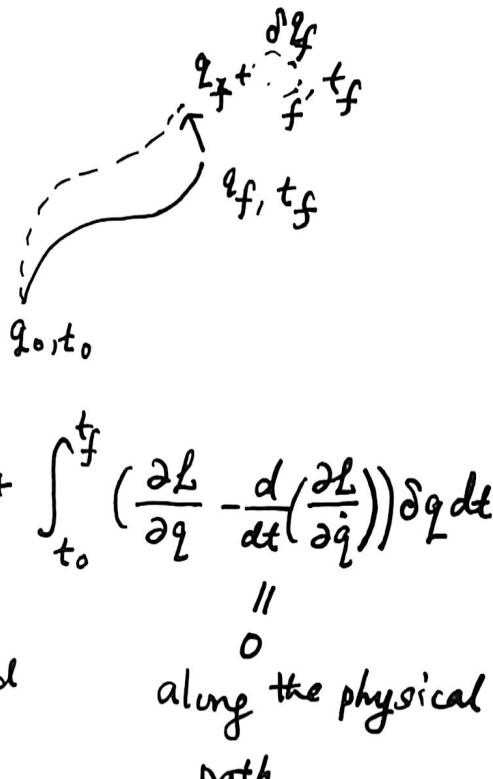
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## ④ Action as function of $q, t$

Along the physical path, then  $S$  is no longer a functional but a function. Let's fix  $t_0, q_0$ , then  $S$  is a function of  $t_f, q_f$ , i.e.  $S(q_f, t_f)$ .

- Let's calculate  $S(q_f + \delta q, t_f)$

Then the physical paths are slightly different.



$$S(q_f + \delta q, t_f) - S(q_f, t_f) = \frac{\partial L}{\partial \dot{q}} \delta q \Big|_{t_0}^{t_f} + \int_{t_0}^{t_f} \left( \frac{\partial L}{\partial q} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}} \right) \right) \delta q dt$$

↓  
 $t_0, q_0$   
 fixed

$\parallel$   
 0  
 along the physical  
 path

$$\Rightarrow \boxed{\frac{\partial S}{\partial q_f} = P_f}$$

- If we view  $q_f$  as a function of  $t_f$ , then  $S(t_f, q_f(t_f))$  is a function of  $t_f$ .

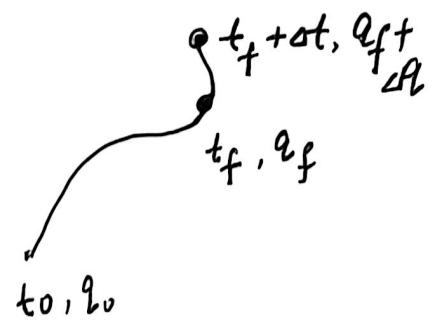
$$S_{(t_f)} = \int_{t_0}^{t_f} dt \ L \quad \Rightarrow \quad \frac{dS}{dt_f} = \int_{t_0}^{t_f + \delta t} dt \ L - \int_{t_0}^{t_f} dt \ L$$

$$\boxed{\frac{dS}{dt_f} = L.}$$

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$$\frac{ds}{dt_f} = \frac{\partial S}{\partial t_f} + \frac{\partial S}{\partial q_f} \dot{q}_f = L$$

$$\boxed{\frac{\partial S}{\partial t_f} = L - P_f \dot{q}_f = -H}$$



If we release both ends  $S(t_f, q_f, t_0, q_0) \Rightarrow$

$$ds = P_f dq_f - H(P_f, q_f) \underbrace{dt_f}_{dt_f} - (P_0 dq_0 - H(P_0, q_0) dt_0)$$

### \* Maupertuis principle

The least action principle involves time explicitly. If we are only interested in the shape of path without referring to time, we need to modify the least action principle. We fix  $t_0$  and  $q_0$ . The final position  $q_f$  is also fixed. But  $t_f$  is allowed to vary.

variation:  
The least action principle fixes  $t_f, q_f$ , not  $E$

The Maupertuis principle does variation by fixing  $q_f, E$ , but not  $t_f$ .

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For motions in 2D or even higher dimensions, even  $E$  is fixed, the actual shape of the path is still to be determined. In this case, Maupertuis principle is useful.

$$S[q_i(t)] = \int_{t_0}^{t_f} L dt = \int_{t_0}^{t_f} (P_i \dot{q}_i - H) dt$$

$$= \int_{\vec{q}_0}^{\vec{q}_f} \vec{P} \cdot d\vec{q} - E(t_f - t_0)$$

Define  $S_{\text{abr}}[q_i(t)] = S[q_i(t)] + E(t_f - t_0)$

$$= \int_{\vec{q}_0}^{\vec{q}_f} \vec{P} \cdot d\vec{q} \quad \leftarrow \text{abbreviated action}$$

Do variation of  $S_{\text{abr}}$  around the actual path.

$$\delta S_{\text{abr}} = \delta \left[ \int_{\vec{q}_0}^{\vec{q}_f} \vec{P} \cdot d\vec{q} \right]$$

To the first order of  $\delta q$ , over the actual path, we only need to find a particular change: Fix  $\vec{q}_f$ , but vary  $t_f$  to  $t_f + \Delta t$ .

Then  $\delta S_{\text{abr}} = \left[ \frac{\partial S(t_f, \vec{q}_f)}{\partial t} + E \right] \Delta t$  choose the physical path

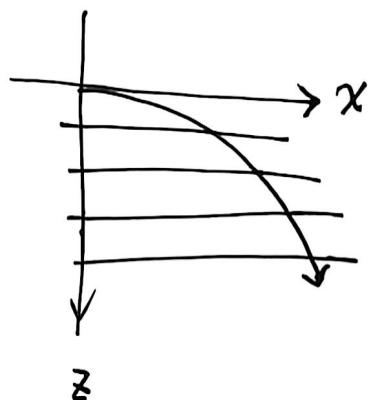
$$= [-E + E] \Delta t = 0. \Rightarrow \left. \delta \left[ \int_{\vec{q}_0}^{\vec{q}_f} \vec{P} \cdot d\vec{q} \right] \right|_{\substack{\text{fixed } \\ E}} = 0.$$

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Exercise:

Ex. Consider a projectile motion

$$\int dl \sqrt{2m(E_0 + mgz)}$$



$$\text{make an analogy to } \int \frac{dl}{v} = \int \frac{n dl}{c}$$

$n(z) \propto \sqrt{E_0 + mgz}$  increases as  $z$  increases, hence the trajectory is like light from the air to the water

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## Canonical transformation:

The drawback of Newtonian equation of motion is that they explicitly depend on the coordinates. The Lagrangian formalism improves it, the Lagrangian equation is invariant under coordinate transformation. The Hamiltonian formalism enjoys even larger symmetry. Coordinates and momenta can transform together while maintaining the invariance of the canonical Eq.

$$\text{We define } \begin{cases} Q = Q(q, p, t) \\ \dot{p} = \dot{p}(q, p, t) \end{cases} \text{ such that } \begin{cases} \dot{Q} = \frac{\partial H'}{\partial \dot{p}} \\ \dot{p} = -\frac{\partial H'}{\partial Q} \end{cases}$$

where  $H'(p, Q, t)$  is a new Hamiltonian

$$\text{Consider } \delta \int (pdq - H dt) = 0 \quad (*)$$

$$\delta \int (\dot{p}dQ - H' dt) = 0 \quad (**)$$

(\*) and (\*\*) are equivalent iff they differ by a total derivative

$$pdq - H dt = \dot{p}dQ - H' dt + dF \quad \text{generation function}$$

$$dF = pdq - \dot{p}dQ + (H - H') dt \Rightarrow \begin{cases} P = \frac{\partial F}{\partial q}, & \dot{P} = -\frac{\partial F}{\partial Q} \\ H' = H + \frac{\partial F}{\partial t} \end{cases}$$

$F$  as function of  $q, Q, t$ ,  $F(q, Q, t)$

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For example, let's choose  $F = -Q$ , then  $\begin{cases} P = \frac{\partial F}{\partial Q} = -Q \\ Q = -\frac{\partial F}{\partial P} = Q \end{cases}$

$$\text{Then } H = \frac{p^2}{2m} + \frac{1}{2} m \omega^2 q^2 \quad H' = H$$

$$1H = \frac{Q^2}{2m} + \frac{1}{2} m \omega^2 |p|^2$$

## Solutions to harmonic oscillator



$$J = \oint \frac{pdq}{2\pi} = \frac{\pi A}{2\pi} m\omega A = \frac{1}{2} m\omega A^2$$

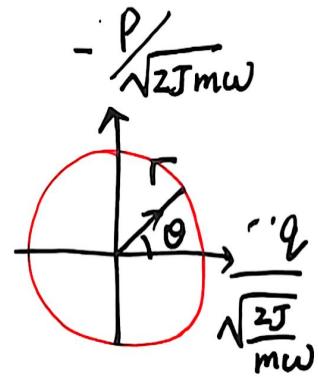
↑

action over a period

$$= E/\omega$$

$$A = \sqrt{\frac{2E}{m\omega^2}} = \sqrt{\frac{2J}{m\omega}} \Rightarrow \begin{cases} q' = \sqrt{\frac{2J}{m\omega}} \cos \theta \\ p = -\sqrt{2Jm\omega} \sin \theta \end{cases}$$

$$H(\theta, J) = J\omega \quad (J > 0)$$



we view the motion as around a circle

in phase space

$$\begin{cases} \dot{\theta} = \frac{\partial H}{\partial J} = \omega \\ \dot{J} = -\frac{\partial H}{\partial \theta} = 0 \end{cases}$$

$$\theta = \omega_s^{-1} \frac{x}{\sqrt{2J/m\omega}} = \omega(t - t_0)$$

$$J = \left( \frac{P}{\sqrt{m\omega_2}} \right)^2 + \left( \frac{\sqrt{m\omega_2}}{2} Q \right)^2$$

General case,  $J$  is a single variable function of  $E$ , hence

$$S_{\text{abr}}(q, E) = \int_{q_0}^q dq \ P(q, E) \quad \text{can be expressed as}$$

$$S_{\text{abr}}(q, J) = \int_{q_0}^q dq \ P(q, J), \text{ then treat } S_{\text{abr}}(q, J)$$

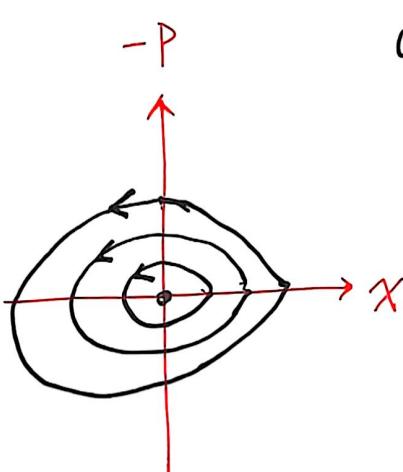
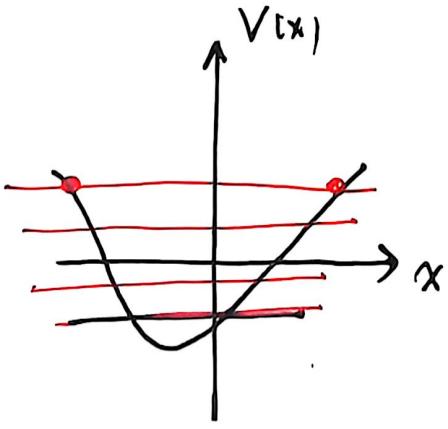
as the generation function

$$P = \left( \frac{\partial S_{\text{abr}}}{\partial q} \right)_J \quad \leftrightarrow (P, q) \text{ conjugate}$$

$$\Theta' = - \left( \frac{\partial S_{\text{abr}}}{\partial J} \right)_q \quad \leftrightarrow (\Theta', J) \text{ conjugate} \rightarrow (J, \Theta = -\Theta')$$

$H' = H = E(J)$  since  $S_{\text{abr}}(q, J)$  is independent on time

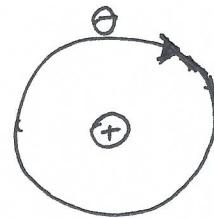
$$\Theta = \frac{dE}{dJ} \cdot t + : \text{const} = \omega(J) t + \text{const}$$



$$\omega = \left. \frac{dE}{dJ} \right|_J$$

# Classic theory of radiations

## 1. dipole radiation power



$$P \sim \frac{e^2 a^2}{C^3} \quad a: \text{acceleration}$$

dimensional analysis

$$\frac{e^2}{C^3} \cdot \frac{L^2}{T^4} \cdot \frac{T^3}{L^3} = \frac{e^2}{L \cdot T} = \frac{E}{T} = \text{power}$$

$$a \sim \omega^2 x \Rightarrow$$

$$P \sim \frac{e^2}{C^3} x^2 \omega^4$$

more precisely

$$P = \frac{2e^2}{3C^3} \ddot{x}^2$$

## 2. Fourier expansion - harmonics

Here  $a_\alpha$  is the harmonic component

$$x_n(t) = \sum_{\alpha=-\infty}^{\infty} a_\alpha e^{i \alpha \omega_n t}, \text{ where } \alpha \text{ is an integer order of harmonics.}$$

$$x_n(t) = \sum_{\alpha, \alpha'} a_\alpha a_{\alpha'} e^{i(\alpha + \alpha') \omega_n t}$$

$n$  is a certain mode of oscillation

$$= \sum_{\beta} \left( \sum_{\alpha} a_{\beta-\alpha} a_{\alpha} \right) e^{i \beta \omega_n t}$$

$$\Rightarrow y_\beta = \sum_{\alpha} a_{\beta-\alpha} a_{\alpha}$$

$\omega_n, 2\omega_n, 3\omega_n, \dots$

$$3 \quad P_\alpha(n) \sim \frac{e^2}{C^3} (\alpha \omega_n)^4 |a|_\alpha^2$$

$$P = \sum_{\alpha=1}^{\infty} P_\alpha(n)$$

①

## How Planck derived his formula?

Actually Planck was guided by the general principle of thermodynamics and experiment fact. ① The radiative power at  $\omega \rightarrow 0$  obeys the Rayleigh-Jeans law, i.e.

$$\text{power} \propto k_B T \propto U(\omega),$$

$U$  is the average energy for a E&M mode at the frequency  $\omega$ .

② At  $\omega \rightarrow \infty$ , the experiment curve shows

$$U(\omega) \propto e^{-\hbar\omega/k_B T}$$

The question is that the two forms of  $U(\omega) = \begin{cases} k_B T & \omega \rightarrow 0 \\ *e^{-\hbar\omega/k_B T} & \omega \rightarrow \infty \end{cases}$

are two different. How to find an unified formula to control is an interesting question.

Planck considered to view the low  $\omega$  and high  $\omega$  as two subsystems. They reach thermal equilibrium via the same temperature. At low  $\omega$  frequency region

$$\frac{1}{T} = \left( \frac{\partial S}{\partial U} \right)_V \Rightarrow \frac{\partial}{\partial U} \left( \frac{1}{T} \right) = \left( \frac{\partial^2 S}{\partial U^2} \right)_V$$

since  $U \propto T \Rightarrow \frac{1}{T} = \frac{k_B}{U}$

$$\Rightarrow \frac{\partial(\frac{1}{T})}{\partial U} = -\frac{k_B}{U^2} \quad \text{where } C_1 \text{ is a constant.}$$

At high frequency.  $\ln U = -\frac{\hbar\omega}{k_B T} + \text{const}$

$$\frac{\partial \ln U}{\partial(\frac{1}{T})} = -\frac{\hbar\omega}{k_B} \Rightarrow \frac{1}{U} \frac{\partial U}{\partial \frac{1}{T}} = -\frac{\hbar\omega}{k_B}$$

$$\frac{\partial(\frac{1}{T})}{\partial U} = -\frac{k_B/\hbar\omega}{U}$$

Then  $\frac{\partial(\frac{1}{T})}{\partial U}$  can be unified as

$$\frac{\partial(\frac{1}{T})}{\partial U(\omega)} = -\frac{k_B}{U(U + \hbar\omega)} \rightarrow \begin{cases} -\frac{k_B}{U^2} & \omega \rightarrow 0 \\ -\frac{k_B}{U\hbar\omega} & \omega \rightarrow \infty \end{cases}$$

$$\Rightarrow \frac{\partial(\frac{1}{k_B T})}{\partial U} = \left[ \frac{1}{U + \hbar\omega} - \frac{1}{U} \right] \frac{1}{\hbar\omega}$$

$$\frac{\hbar\omega}{k_B T} = \ln \frac{U + \hbar\omega}{U} + \text{const} \Rightarrow$$

$$1 + \frac{\hbar\omega}{U} = \text{const. } e^{\frac{\hbar\omega}{k_B T}}$$

check  $\hbar\omega \rightarrow 0 \Rightarrow \text{const} = 1$ , with  $U = k_B T$

$$\Rightarrow \frac{\hbar\omega}{U} = e^{\frac{\hbar\omega}{k_B T}} - 1$$

$$\Rightarrow U(\omega) = \boxed{\frac{\hbar\omega}{e^{\frac{\hbar\omega}{k_B T}} - 1}}$$

## old quantum theory - Bohr theory

### ① Quantization condition - Bohr - Sommerfeld

$$\oint \frac{p dx}{2\pi} = nh, \quad n=0, 1, 2, \dots$$

### ② Frequency $\omega_{nm}$ and the power of light associated with the transition

$$\begin{aligned}\omega_{nm} &= \frac{1}{\hbar} (E_n - E_m) \\ P_{nm} &= A_{nm} (E_n - E_m)\end{aligned}$$

Einstein - Bohr

$A_{nm}$  is the transition rate, i.e. the probability from  $n \rightarrow m$  in unit time.

This is consistent with the Rydberg - Ritz combination law

$$\omega_{n\ell} = \omega_{nm} + \omega_{m\ell}$$

### ③ Intensity correspondence (Bohr)

The radiation power  $P_{n,n-\alpha} \xrightarrow{\alpha \rightarrow \infty} P_\alpha(n)$  in the limit  $n \gg \alpha$ .

transition from

$$n \rightarrow n - \alpha$$

the  $\alpha$ -th harmonics of

the classic motion in the state  $n$ .

### ③ Correspondence theorems

1. Correspondence between spectral and mechanical frequencies.

The spectra frequency  $\omega_{n,n-\alpha}$  from the quantum state  $n \rightarrow n-\alpha$ , corresponds to the  $\alpha$ -harmonics of mechanical frequency  $\alpha\omega(n)$ .

$$\text{i.e. } \boxed{\omega_{n,n-\alpha} = \alpha \omega_n}$$

Proof: In classic physics, the abberivated action  $J(E) = \oint \frac{Pdx}{2\pi}$ ,

$$2\pi \frac{\partial J}{\partial E} = \oint \frac{\partial P}{\partial E} dq = \oint \frac{dq}{\partial H} \frac{\partial H}{\partial p} = \oint \frac{dq}{\dot{q}} = \oint dt = T$$

$$\Rightarrow \boxed{\frac{\partial E}{\partial J} = \omega}$$

assume  $E_n = E(J=n\hbar)$ ,  $E_m = E(J=m\hbar)$

$$\hbar \omega_{nm} = E_n - E_m = E(n\hbar) - E(m\hbar) = \left. \frac{\partial E}{\partial J} \right|_{J=n\hbar} (n-m)\hbar$$

$$\hbar \omega_{n,n-\alpha} = \alpha \left. \hbar \frac{\partial E}{\partial J} \right|_{J=n\hbar} \rightarrow \alpha \hbar \omega_n$$

$$\text{define } \omega_n = \left. \frac{\partial E}{\partial J} \right|_{J=n\hbar}.$$

2. Correspondence between transition probability and vibration amplitude: —

The transition rate  $n \rightarrow n-2$  in the limit of  $n \rightarrow \infty$ , it behaves.

$$A_{n,n-\alpha} = \frac{e^2 (\alpha \omega_n)^3}{3 \hbar c^3} |a_{\alpha}(n)|^2 \quad \text{the } \alpha\text{-th harmonics of}$$

$$X_n(t) = \sum_{\alpha=-\infty}^{+\infty} a_{\alpha}(n) e^{i \omega_n t}.$$

Proof:  $P(t) = \frac{2e^2}{3c^3} \ddot{x}_n^2$

$$\ddot{x}_n(t) = \sum_{\alpha} a_{\alpha}(n) e^{i \omega_n t}, \quad \ddot{x}_n(t) = \sum_{\alpha} a_{\alpha}(n) (-i)(\alpha \omega_n)^2 e^{i \alpha \omega_n t}$$

$$|\ddot{x}_n(t)|^2 = \sum_{\alpha \alpha'} a_{\alpha}(n) a_{\alpha'}^*(n) (\alpha \omega_n)^2 (\alpha' \omega_n)^2 e^{i(\alpha-\alpha') \omega_n t}$$

$$= \sum_{\alpha} |a_{\alpha}(n)|^2 \alpha^4 \omega_n^4 + \sum_{\alpha \neq \alpha'} a_{\alpha}(n) a_{\alpha'}^*(n) (\alpha \alpha')^2 \omega_n^4 e^{i(\alpha-\alpha') \omega_n t}$$

$$\Rightarrow \overline{P(t)} = \frac{4}{3} \frac{e^2}{c^3} \omega_n^4 \sum_{\alpha=1}^{\infty} \alpha^4 |a_{\alpha}(n)|^2 = P(n)$$

$$P_{\alpha}(n) = \frac{4}{3} \frac{e^2}{c^3} \omega_n^4 \alpha^4 |a_{\alpha}(n)|^2$$

$$P_{\alpha}(n) \rightarrow h \omega_{n,n-\alpha} A_{n,n-\alpha} \rightarrow \frac{4}{3} \frac{e^2}{c^3} \omega_{n,n-\alpha}^4 |a_{\alpha}(n)|^2$$

$$A_{n,n-\alpha} = \frac{4}{3} \frac{e^2}{h c^3} \omega_{n,n-\alpha}^3 |X_{n-\alpha,n}|^2$$

$\alpha \omega_n$

$|X_{n-\alpha,n}|^2$