

Consider at the initial time ($t=0$), the system is governed by H_0 (time-independent, unperturbed), and stays in one eigenstate ψ_k . Since $t>0$, we apply an external perturbation H' , (which may be time-dependent) and check its time evolution.

We still use the basis for H_0 ; $H_0 \psi_n = E_n \psi_n$, and use this set of basis to expand

$$\psi(t) = \sum_n C_n(t) e^{-iE_n t/\hbar} \psi_n, \quad (*)$$

and the initial condition is that $C_n(0) = \delta_{nk}$.

Plug (*) into the time-dependent Schrödinger Eq

$$i\hbar \frac{\partial}{\partial t} \psi(t) = (H_0 + H'(t)) \psi(t)$$

$$\begin{aligned} i\hbar \sum_n \dot{C}_n(t) e^{-iE_n t/\hbar} \psi_n + \sum_n C_n(t) E_n e^{-iE_n t/\hbar} \psi_n \\ = \sum_n C_n(t) E_n e^{-iE_n t/\hbar} \psi_n + \sum_n C_n(t) e^{-iE_n t/\hbar} H'(t) \psi_n \end{aligned}$$

do the inner product with the $\psi_{k'}$ \Rightarrow

$$i\hbar \dot{C}_{k'}(t) e^{-iE_{k'} t/\hbar} = \sum_n C_n(t) e^{-iE_n t/\hbar} \langle \psi_{k'} | H'(t) | \psi_n \rangle$$

$$\begin{cases} i\hbar \dot{C}_{k'}(t) = \sum_n C_n(t) e^{i(E_{k'} - E_n)t/\hbar} \langle \psi_{k'} | H'(t) | \psi_n \rangle \\ C_{k'}(0) = \delta_{mk'} \end{cases}$$

zero-th order, we ignore $H'(t)=0 \Rightarrow C_n^{(0)} = \delta_{nk}$. Plug in this

solution into time-evolution Eq,

$$i\hbar \dot{C}_k^{(1)}(t) = e^{i(E_{k'} - E_k)t/\hbar} \langle \psi_{k'} | H'(t) | \psi_k \rangle$$

$$C_k^{(1)}(t) = \frac{1}{i\hbar} \int_0^t e^{i\omega_{k'k}t} H'_{k'k} dt, \text{ where } \omega_{k'k} = \frac{E_{k'} - E_k}{\hbar}$$

$$\Rightarrow C_k(t) \approx \delta_{kk'} + \frac{1}{i\hbar} \int_0^t e^{i\omega_{k'k}t} H'_{k'k} dt$$

for the transition $k' \neq k \Rightarrow$ probability

$$P_{k' \leftarrow k}(t) = |C_k(t)|^2 = \frac{1}{\hbar^2} \left| \int_0^t H'_{k'k} e^{i\omega_{k'k}t} dt \right|^2$$

Discussion

① if H' has certain symmetry, such that $\langle \psi_{k'} | H' | \psi_k \rangle = 0$, then $P_{k' \leftarrow k} = 0$ at 1st order. We say such a transition is forbidden. But selection rule at high orders, such a transition is still possible, but much weaker.

② If initial state has degeneracy, we need to do average over initial states according to its density matrix. For example, for a thermal ensemble, we just average evenly over the degenerate initial states.

If final states have degeneracy, we will sum over the final states.

Example ① consider the perturbation $H'(t) = F e^{-t/\tau}$. At long time ③

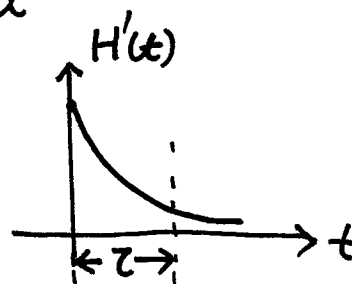
$t \gg \tau$, let us calculate the probability in the state $\psi_{k'}$.

$$C_{k'}(t) = \frac{1}{i\hbar} \int_0^t H'_{k'k}(t) e^{i\omega_{k'k}t} dt$$

$$H'_{k'k} = \langle \psi_{k'} | F e^{-t/\tau} | \psi_k \rangle = F_{k'k} e^{-t/\tau}$$

$$\Rightarrow C_{k'}(t) = \frac{1}{i\hbar} F_{k'k} \int_0^t e^{[i\omega_{k'k} - 1/\tau]t} dt$$

$$= F_{k'k} \frac{e^{[i\omega_{k'k} - 1/\tau]t} - 1}{-i\hbar\omega_{k'k} - i/\tau}$$



as $t \gg \tau \Rightarrow C_{k'}(t) = F_{k'k} \frac{1}{\hbar\omega_{k'k} + i/\tau}$

$$\text{thus } P_{k' \leftarrow k} = \frac{|F_{k'k}|^2}{(E_{k'} - E_k)^2 + (\hbar/\tau)^2} \leftarrow \text{Lorentzian}$$

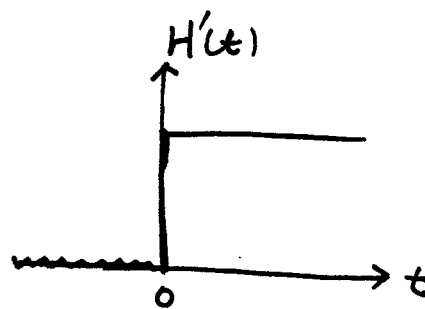
this conclusion is valid in the case of $P_{k' \leftarrow k} \ll 1$.

② a constant perturbation

$$P_{k' \leftarrow k} = \frac{1}{\hbar^2} |H'_{k'k}|^2 \left| \int_0^t e^{i\omega_{k'k}t} dt \right|^2$$

$$= \frac{1}{\hbar^2} |H'_{k'k}|^2 \left| \frac{e^{i\omega_{k'k}t} - 1}{\omega_{k'k}} \right|^2$$

$$= \frac{1}{\hbar^2} |H'_{k'k}|^2 \frac{\sin^2(\omega_{k'k}t/2)}{(\omega_{k'k}/2)^2}$$



This expression makes sense if $P_{k' \leftarrow k} \ll 1$. This can be justified in the

case of $t \ll \frac{\hbar}{|H_{kk'}|}$. Let us consider the limit that $|H_{kk'}| \rightarrow 0$

such that t can approach a long time. For state k' with $\omega_{kk'} t \gg 1$

their average transition probability $\overline{P_{k' \leftarrow k}} = \frac{2}{\hbar^2} \frac{|H_{kk'}|^2}{\omega_{kk'}^2}$, which

does not increase with time. But for states with $\omega_{kk'} t \ll 1$,

$P_{k' \leftarrow k} = \frac{1}{\hbar^2} |H_{kk'}|^2 t^2$, which increases with t quadratically.

Let us consider if there are many possibility for the final states, and we are interested in the sum of transition probability in a certain energy interval. Then we need

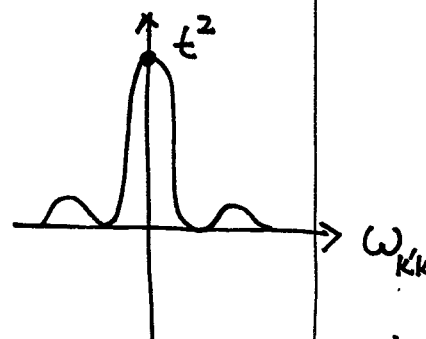
$$\sum_{k'} P_{k' \leftarrow k} = \frac{1}{\hbar^2} P(E_{k'}) dE_{k'} |H_{kk'}|^2 \frac{\sin^2(\omega_{kk'} t/2)}{(\omega_{kk'}/2)^2}$$

For a fixed but large value of t ,

$$\frac{\sin^2 \omega_{kk'} t/2}{(\omega_{kk'}/2)^2} \xrightarrow{t \rightarrow \infty} 2t \pi \delta(\omega_{kk'})$$

in this sense, we have

$$\begin{aligned} P_{k' \leftarrow k} &= \frac{1}{\hbar^2} |H'_{k'k}|^2 2\pi t \delta(\omega_{k'k}) \\ &= \frac{2\pi t}{\hbar} |H'_{k'k}|^2 \delta(E_{k'} - E_k) \end{aligned}$$



Although the peak value increase as t^2 , but the width shrink as $1/t$.

the meaning of δ -function should be understood correctly. It doesn't

mean infinity, but a distribution whose peak value $\propto t^2$, but width
 over $\omega_{k'k}$

shrinks $\propto 1/t$. As $t \rightarrow +\infty$, the transition concentrates to states with energy conservation. For a distribution of final states we have

$$\int dE_{k'} \underbrace{\rho(E_{k'})}_{\substack{\uparrow \\ \text{density of states}}} P_{k \leftarrow k} = \frac{2\pi t}{\hbar} \int dE_{k'} \underbrace{|H_{k'k}|^2}_{\rho(E_{k'})} \delta(E_{k'} - E_k)$$

It means that the total probability of transition is proportional to

$$t \Rightarrow \text{transition rate: } \frac{2\pi}{\hbar} \int dE_{k'} \underbrace{\rho(E_{k'})}_{\substack{\uparrow \\ \text{density of states}}} |H_{k'k}|^2 \delta(E_{k'} - E_k)$$

This is Fermi golden rule.

§ light absorption and semi-classical theory

We will consider the interaction between atomic levels and radiation fields. The atomic levels are treated quantum mechanically, while we treat the E-M field classically. A more advance treatment will be the quantization of E-M field. For this purpose, let us consider the periodical perturbation.

$$H' = W \cos \omega t = W (e^{i\omega t} + e^{-i\omega t})/2$$

Repeat the process presented before, we have

$$C_{k'}(t) = \frac{1}{i\hbar} \int_0^t e^{i\omega_{k'k}t} H'_{k'k} dt \quad \text{for } k' \neq k$$

$$= \frac{W_{k'k}}{2i\hbar} \int_0^t \left[e^{i(\omega_{k'k} + \omega)t} + e^{i(\omega_{k'k} - \omega)t} \right] dt$$

$$= -\frac{W_{k'k}}{2i\hbar} \left[\frac{e^{i(\omega_{k'k} + \omega)t} - 1}{\omega_{k'k} + \omega} + \frac{e^{i(\omega_{k'k} - \omega)t} - 1}{\omega_{k'k} - \omega} \right]$$

and $\omega_{k'k} > 0$
 assume $\omega > 0$, and usually the $\omega_{k'k}$ for two different levels is large. ω for light (say, 5000\AA , $\omega \sim 4 \times 10^{15} \text{s}^{-1}$), we will neglect the contribution from the first term.

$$\Rightarrow C_{k'}(t) = -\frac{W_{k'k}}{2i\hbar} \frac{e^{i(\omega_{k'k} - \omega)t} - 1}{\omega_{k'k} - \omega}$$

$$P_{k' \leftarrow k}(t) = \frac{|W_{k'k}|^2}{4\hbar^2} \frac{\sin^2(\omega_{k'k} - \omega)t/2}{[(\omega_{k'k} - \omega)/2]^2} \quad \text{again as } t \text{ goes large, we have}$$

The transition Rate

$$\omega_{K \leftarrow K} = \frac{\pi}{4\hbar^2} |W_{k'k}|^2 \delta\left[\frac{\omega_{k'k} - \omega}{2}\right]$$

$$= \frac{\pi}{2\hbar} |W_{k'k}|^2 \delta[E_{k'} - E_k - \hbar\omega]$$

Consider the monochromatic light

$$\vec{E} = \vec{E}_0 \cos(\omega t - \vec{k} \cdot \vec{r}). \quad \text{The wavelength of light } \sim 1 \mu\text{m}$$

$$W = -e \vec{E}_0 \cdot \vec{r} \cos \omega t$$

$$\text{define } \vec{D} = e \vec{r}$$

thus in the scale of atomic length
we can think \vec{E} is uniform by
neglecting $\vec{k} \cdot \vec{r}$.

The transition from state $k \rightarrow k'$ ($E_k < E_{k'}$). The transition rate

$$\omega_{k \leftarrow k'} = \frac{\pi}{2\hbar} |\vec{D}_{k'k} \cdot \vec{E}_0|^2 \delta(E_{k'} - E_k - \hbar\omega)$$

if we use unpolarized light, then the angle between $\vec{D}_{k'k}$ and \vec{E}_0 is random \Rightarrow average over angle $\overline{\cos^2 \theta} = 1/3$

$$\Rightarrow \omega_{k' \leftarrow k} = \frac{\pi}{6\hbar} |\vec{D}_{k'k}|^2 E_0^2 \delta(E_{k'} - E_k - \hbar\omega)$$

If for non-monochromatic light, the spectral density $\rho(\omega) d\omega$ represents the energy density of E-M wave.

$$\rho(\omega) d\omega = \frac{1}{8\pi} \overline{E^2 + B^2} = \frac{1}{4\pi} \overline{E^2} = \frac{1}{8\pi} E_0^2$$

Replace E_0^2 with $8\pi \rho(\omega) d\omega$ in the transition rate \Rightarrow

$$\begin{aligned} & \int d\omega \, 8\pi \rho(\omega) \cdot \frac{\pi}{6\hbar} |\vec{D}_{k'k}|^2 \delta(E_{k'} - E_k - \hbar\omega) \\ &= \frac{8\pi^2}{6\hbar} \cdot \frac{1}{\hbar} \rho(\omega_{k'k}) |\vec{D}_{k'k}|^2 = \frac{4\pi^2 e^2}{3\hbar^2} |\vec{r}_{k'k}|^2 \rho(\omega_{k'k}) \end{aligned}$$

The matrix elements $\gamma_{k'k}$:

$$x = \frac{r}{2} \sin\theta (e^{i\varphi} + e^{-i\varphi})$$

$$y = \frac{r}{2i} \sin\theta (e^{i\varphi} - e^{-i\varphi})$$

$$z = r \cos\theta$$

and

$$\cos\theta Y_{lm} = \sqrt{\frac{(\ell+1)^2 - m^2}{(2\ell+1)(2\ell+3)}} Y_{\ell+1,m}$$

$$+ \sqrt{\frac{\ell^2 - m^2}{(2\ell-1)(2\ell+1)}} Y_{\ell-1,m}$$

$$e^{\pm i\varphi} \sin\theta Y_{lm} = \pm \sqrt{\frac{(\ell \pm m + 1)(\ell \pm m + 2)}{(2\ell+1)(2\ell+3)}} Y_{\ell+1, m \pm 1}$$

$$\mp \sqrt{\frac{(\ell \mp m)(\ell \mp m - 1)}{(2\ell-1)(2\ell+1)}} Y_{\ell-1, m \pm 1}$$

$$k' \sim |n' \ell' m'\rangle, \quad k \sim |n \ell m\rangle$$

$$Z_{k'k}: \ell' = \ell \pm 1, \quad m' = m$$

$$x_{k'k}, y_{k'k}: \ell' = \ell \pm 1, \quad m' = m \pm 1$$

} dipole transition
selection rule.

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§ Einstein's spontaneous emission theory

If initially, the atomic system is in the excited state, in non-relativistic QM, it is a stationary state, and it will not decay. But in fact, the excited state has finite life time due to spontaneous emission. This is because the interaction between electrons and E-M modes. Einstein proposed a model to describe spontaneous emission.

Suppose that the atom is in the light field with the intensity $\rho(\omega)$, then transition rate from $k \rightarrow k'$ state (assume $E_{k'} > E_k$) is

$$\omega_{k \leftarrow k'} = B_{k' \leftarrow k} \rho(\omega_{k'k}), \text{ where } B_{k' \leftarrow k} = \frac{4\pi^2 e^2}{3\hbar^2} |\vec{r}_{k'k}|^2$$

is called the absorption coefficient.

for the stimulated emission from $k' \rightarrow k$,

we also have

$$\omega_{k \leftarrow k'} = B_{k \leftarrow k'} \rho(\omega_{k'k}) \text{ where } B_{k \leftarrow k'} = \frac{4\pi^2 e^2}{3\hbar^2} |\vec{r}_{k'k}|^2$$

Clearly, we have $B_{k' \leftarrow k} = B_{k \leftarrow k'}$, which are independent on the light intensity.

Let us consider a thermo equilibrium system: there're N_k atoms at E_k level, and $N_{k'}$ atoms lie at the $E_{k'}$ level. In the equilibrium, we have
$$\frac{N_k}{N_{k'}} = e^{(E_{k'} - E_k)/k_B T} = e^{\hbar \omega_{k'k}/k_B T}$$

we must introduce a new term of spontaneous emission

for the higher energy state. Otherwise since $n_k > n_{k'}$, we cannot reach equilibrium.

$$n_k B_{k' \leftarrow k} \rho(\omega_{k'k}) = n_{k'} [B_{k \leftarrow k'} \rho(\omega_{kk'}) + A_{k \leftarrow k'}].$$

$$\Rightarrow \rho(\omega_{k'k}) = \frac{A_{k \leftarrow k'}}{B_{k \leftarrow k'}} \frac{1}{n_k/n_{k'} - 1} = \frac{A_{k \leftarrow k'}}{B_{k \leftarrow k'}} \frac{1}{e^{\hbar\omega_{k'k}/kT} - 1}$$

Consider the atom ensemble is in an isolated cavity with temperature T , then we can use black body radiation spectra.

$$\rho(\omega) = \frac{\hbar \omega^3}{c^2 2\pi^2 2\pi} \frac{1}{e^{\hbar\omega/kT} - 1} \cdot \frac{4\pi}{c}$$

$$= \frac{\hbar \omega^3}{\pi^2 c^3} \frac{1}{e^{\hbar\omega/kT} - 1}$$

$$\Rightarrow \frac{A_{k \leftarrow k'}}{B_{k \leftarrow k'}} = \frac{\hbar \omega_{k'k}^3}{\pi^2 c^3} \Rightarrow$$

$$A_{k \leftarrow k'} = \frac{4e^2 \omega_{k'k}^3}{3\hbar c^3} |r_{k'k}|^2$$

$$\frac{4}{3} \frac{c}{a} \alpha^4 \left(\frac{\omega_{k'k}}{e^2/a} \right)^3 \left| \frac{r_{k'k}}{a} \right|^2$$

$$\text{where } \alpha = \frac{e^2}{\hbar c}$$

Example: The spontaneous emission of the 1st excited state.

There are 2s and 2p states. The transition from 2s \rightarrow 1s is forbidden. We only consider the transition from 2p \rightarrow 1s.

$$A_{1s \leftarrow 2p} = \frac{4e^2}{3\hbar c^3} \omega_{1s,2p}^3 |\langle 1s | \vec{r} | 2p \rangle|^2$$

$$\omega_{1s,2p} = \frac{me^4}{2\hbar^3} \left(1 - \frac{1}{2^2}\right) = \frac{3me^4}{8\hbar^3}$$

$$|\langle 1s | \vec{r} | 2p \rangle|^2 = |\langle 1s | x | 2p \rangle|^2 + |\langle 1s | y | 2p \rangle|^2 + |\langle 1s | z | 2p \rangle|^2$$

|2p> can take any of |2p_z>, |2p_x>, and |2p_y>.

By symmetry, all of these three give rise to the same $|\langle 1s | \vec{r} | 2p \rangle|^2$.

If we calculate the life time of the 2p state, we need to do average over the 3 2p states. We can choose any of them, say, the 2p_z state.

$$\psi_{210} = R_{21}(r) Y_{10}(\vartheta, \varphi) = \frac{1}{4\sqrt{2}\pi} \frac{r}{a^{5/2}} e^{-r/2a} \cos\theta \quad a = \frac{\hbar^2}{me^2}$$

$$\psi_{100} = R_{10}(r) Y_{00}(\vartheta, \varphi) = \frac{1}{\sqrt{\pi} a^{3/2}} e^{-r/a}$$

$$\langle 100 | x | 210 \rangle = \langle 100 | y | 210 \rangle = 0 \quad (\text{why?})$$

$$\langle 100 | z | 210 \rangle = \frac{1}{4\sqrt{2}\pi a^4} \int_0^\infty r^4 e^{-3r/2a} dr \int \cos^2\theta d\vartheta$$

$$\begin{aligned} \int \cos^2\theta d\vartheta &= 2\pi \int_0^\pi \sin^2\theta d\theta = \frac{1}{3\sqrt{2}a^4} \left(\frac{2a}{3}\right)^5 \int_0^\infty x^4 e^{-x} dx \\ &= \frac{2^5 a}{3\sqrt{2}} \frac{24}{3^5} = \frac{2^{15}}{3^5} a \end{aligned}$$

$$A_{15,2p} = \frac{4e^2}{3\hbar c^3} \frac{3^3}{8^3} \left(\frac{me^4}{\hbar^3}\right)^3 \cdot \frac{2^5}{3^{10}} a^2$$

$$= \frac{2^8}{3^8} \frac{e^{14} m^3 a^2}{\hbar^{10} c^3} = \frac{2^8}{3^8} \frac{c}{a} \left(\frac{e^2}{\hbar c}\right)^4 \approx \left(\frac{1}{137}\right)^4 \frac{(2)^8}{3^8} \left(\frac{c}{a}\right)$$

$$\approx 1.1 \times 10^{-10} \left(\frac{c}{a}\right) \approx 1.1 \times 10^{-10} \frac{3 \times 10^8}{0.5 \times 10^{-10}} \approx 6.27 \times 10^8 s^{-1}$$

$$\tau = 1/A_{15,2p} \approx 1.6 \times 10^{-9} s$$