

# FUNDAMENTAL ALGEBRA & ANALYSIS

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# Chapter 1

## Topology

### 1.1 Topological spaces

**Proposition 1.1.1** Let  $X$  be a set and for any  $x \in X$ , let  $\mathcal{G}_x$  be a filter contained in the principal filter of  $\{x\}$  ( $\forall U \in \mathcal{G}_x, x \in U$ ). Denote by  $\mathcal{T}$  the set

$$\{U \in \wp(X) \mid \forall x \in U, U \in \mathcal{G}_x\}.$$

Then the following conditions are satisfied.

- (1)  $\{\emptyset, X\} \subseteq \mathcal{T}$ .
- (2) If  $(U_1, U_2) \in \mathcal{T}^2$ , then  $U_1 \cap U_2 \in \mathcal{T}$ .
- (3) If  $I$  is a set and  $(U_i)_{i \in I} \in \mathcal{T}^I$ , then  $\bigcup_{i \in I} U_i \in \mathcal{T}$ .

Moreover,  $\forall x \in X, \mathcal{B}_x = \{U \in \mathcal{T} \mid x \in U\}$  is a filter basis contained in  $\mathcal{G}_x$ . It generates  $\mathcal{G}_x$  if the following condition is satisfied:

$$\forall U \in \mathcal{G}_x, \exists V \in \mathcal{G}_x, V \subseteq U \text{ and } \forall y \in V, V \in \mathcal{G}_y.$$

#### Proof

- (1)  $\emptyset \in \mathcal{T}, X \in \bigcap_{x \in X} \mathcal{G}_x$ .

- (2)  $\forall x \in U_1 \cap U_2, U_1 \in \mathcal{G}_x, U_2 \in \mathcal{G}_x$ , so  $U_1 \cap U_2 \in \mathcal{G}_x$ .

- (3) Let  $U = \bigcup_{i \in I} U_i$ .  $\forall x \in U, \exists i \in I, x \in U_i$ , so  $U_i \in \mathcal{G}_x$ . Since  $U \supseteq U_i$ , so  $U \in \mathcal{G}_x$ .

$$\mathcal{B}_x := \{U \in \mathcal{T} \mid x \in U\}.$$

If  $U \in \mathcal{B}_x$ , then  $x \in U$ , so  $U \in \mathcal{G}_x$ . Hence  $\mathcal{B}_x \subseteq \mathcal{G}_x$ . If  $(U, V) \in \mathcal{B}_x^2$ , then  $U \cap V \in \mathcal{T}$ , and  $x \in U \cap V$ . So  $U \cap V \in \mathcal{B}_x$ . So  $\mathcal{B}_x$  is a filter basis. Suppose the condition is satisfied. For any  $U \in \mathcal{G}_x, \exists V \in \mathcal{G}_x \cap \mathcal{T}$ , such that  $V \subseteq U$ . Note

that  $V \in \mathcal{B}_x$ , so  $\mathcal{G}_x$  is generated by  $\mathcal{B}_x$ . □

**Definition 1.1.2** Let  $X$  be a set. We call **topology** on  $X$  any subset  $\mathcal{T}$  of  $\wp(X)$  that satisfies the following conditions:

- (1)  $\{\emptyset, X\} \subseteq \mathcal{T}$ .
- (2) If  $(U_1, U_2) \in \mathcal{T}^2$ , then  $U_1 \cap U_2 \in \mathcal{T}$ .
- (3) For any set  $I$ ,  $\forall (U_i)_{i \in I} \in \mathcal{T}^I$ , then  $\bigcup_{i \in I} U_i \in \mathcal{T}$ .

$(X, \mathcal{T})$  is called a **topological space**.

If  $\forall x \in X$ ,  $\mathcal{B}_x$  is a filter basis of  $X$  contained in the principal filter of  $\{x\}$ , then

$$\mathcal{T} = \{U \in \wp(X) \mid \forall x \in U, \exists V_x \in \mathcal{B}_x, V_x \subseteq U\}$$

is a topology on  $X$ , called the **topology generated by**  $(\mathcal{B}_x)_{x \in X}$ . More generally, if  $\forall x \in X$ ,  $S_x$  is a subset of the principal filter of  $\{x\}$  and  $\mathcal{G}_x$  is the filter generated by  $S_x$ , then we say that

$$\mathcal{T} = \{U \in \wp(X) \mid \forall x \in U, U \in \mathcal{G}_x\}$$

is the topology generated by  $(S_x)_{x \in X}$ .

### Example 1.1.3

- (1) Let  $\mathcal{G}_x = \{X\}$ . The topology by  $(\mathcal{G}_x)_{x \in X}$  is  $\{\emptyset, X\}$ , called the **trivial topology** on  $X$ .
- (2) Let  $\mathcal{G}_x = \mathcal{F}_{\{x\}}$  be the principal filter. The topology generated by  $(\mathcal{G}_x)_{x \in X}$  is  $\wp(X)$ . This topology is called the **discrete topology** on  $X$ .
- (3) Let  $(X, d)$  be a semimetric space.

$$d : X \times X \longrightarrow \mathbb{R}_{\geq 0}, d(x, y) = d(y, x), d(x, z) \leq d(x, y) + d(y, z), d(x, x) = 0.$$

$\forall \varepsilon > 0, \forall x \in X$ , let  $B(x, \varepsilon) = \{y \in X \mid d(x, y) < \varepsilon\}$ ,  $\{B(x, \varepsilon) \mid \varepsilon \in \mathbb{R}_{>0}\} =: \mathcal{B}_x$  is a filter basis on  $X$ ,  $\mathcal{B}_x$  is contained in the principal filter of  $\{x\}$ . The topology

$$\mathcal{T} = \{U \in \wp(X) \mid \forall x \in U, \exists \varepsilon \in \mathbb{R}_{>0}, B(x, \varepsilon) \subseteq U\}$$

is called the **topology induced by the semimetric**  $d$ .

- (4) Let  $(G, \leq)$  be a totally ordered set  $\forall x \in G$ , let  $S_x = \{G_{>a} \mid a < x\} \cup \{G_{<b} \mid x < b\}$

**Proposition 1.1.4**  $\forall x \in X, \forall \varepsilon \in \mathbb{R}_{>0}, B(x, \varepsilon) \in \mathcal{T}$ .

**Proof**  $\forall y \in B(x, \varepsilon), d(x, y) < \varepsilon$ . Let  $r = \varepsilon - d(x, y) > 0$ , we claim that  $B(y, r) \subseteq B(x, \varepsilon)$ . Let  $z \in B(y, r), d(y, z) < r$ . Hence,

$$d(x, z) \leq d(x, y) + d(y, z) < d(x, y) + r = d(x, y) + \varepsilon - d(x, y) = \varepsilon.$$

□

**Remark 1.1.5** On  $\mathbb{R}$ , one has a metric

$$d : \mathbb{R} \times \mathbb{R} \longrightarrow \mathbb{R}_{\geq 0},$$

$$(a, b) \longmapsto |a - b|.$$

$$B(x, \varepsilon) = ]x - \varepsilon, x + \varepsilon[.$$

$$\mathcal{B}_x = \{ ]x - \varepsilon, x + \varepsilon[ \mid \varepsilon \in \mathbb{R}_{>0} \}.$$

Let  $\mathcal{T}_d$  be the topology generated by  $(\mathcal{B}_x)_{x \in \mathbb{R}}$ . Let  $\mathcal{T}$  be the order topology generated by  $(S_x)_{x \in \mathbb{R}}$ , where

$$S_x := \{ \mathbb{R}_{>a} \mid a < x \} \cup \{ \mathbb{R}_{<b} \mid x < b \}.$$

**Proposition 1.1.6** For any  $x \in \mathbb{R}, \mathcal{F}(\mathcal{B}_x) = \mathcal{F}(S_x)$ .

**Proof**  $\forall \varepsilon > 0, ]x - \varepsilon, x + \varepsilon[ = \mathbb{R}_{<x+\varepsilon} \cap \mathbb{R}_{>x-\varepsilon} \in \mathcal{F}(S_x)$ . So  $\mathcal{F}(\mathcal{B}_x) \subseteq \mathcal{F}(S_x)$ .

$\forall a \in \mathbb{R}, a < x, \mathbb{R}_{>a} \supseteq ]a, 2x - a[ = ]x - (x - a), x + (x - a)[, \mathbb{R}_{>a} \in \mathcal{F}(\mathcal{B}_x)$ .

$$\forall b \in \mathbb{R}, b > x, \mathbb{R}_{<b} \supseteq ]2x - b, b[ = ]x + (b - x), x + (b - x)[,$$

So,  $\mathbb{R}_{<b} \subseteq \mathcal{F}(\mathcal{B}_x)$ . Hence  $S_x \subseteq \mathcal{F}(\mathcal{B}_x)$ , which leads to  $\mathcal{F}(S_x) \subseteq \mathcal{F}(\mathcal{B}_x)$ . □

**Definition 1.1.7** Let  $(X, \mathcal{T})$  be a topological space. For any  $x \in X$  and any  $V \in \wp(X)$ , if there exists  $U \in \mathcal{T}$  such that  $x \in U \subseteq V$ , then we say that  $V$  is a **neighborhood of  $x$** . We call **open subset** of  $X$  any subset of  $X$  that belongs to  $\mathcal{T}$ . If  $U \in \mathcal{T}$ , such that  $x \in U$ , we say that  $U$  is an **open neighborhood of  $x$** . We denote by  $\mathcal{V}_x(\mathcal{T})$  the set of all neighborhoods of  $x$ .

**Proposition 1.1.8**  $\mathcal{V}_x(\mathcal{T})$  is a filter on  $X$  contained in the principal filter of  $\{x\}$ . Moreover, the topology generated by  $(\mathcal{V}_x(\mathcal{T}))_{x \in X}$  identifies with  $\mathcal{T}$ .

**Proof**

(1) If  $(V_1, V_2) \in \mathcal{V}_x(\mathcal{T})^2$ ,  $\exists (U_1, U_2) \in \mathcal{T}^2$ , such that  $x \in U_1 \subseteq V_1$ ,  $x \in U_2 \subseteq V_2$ . Hence,  $x \in U_1 \cap U_2 \subseteq V_1 \cap V_2$ , so  $V_1 \cap V_2 \in \mathcal{V}_x(\mathcal{T})$ .

(2) If  $V \in \mathcal{V}_x(\mathcal{T})$ ,  $W \in \wp(X)$ ,  $V \subseteq W$ .  $\exists U \in \mathcal{T}$ ,  $x \in U \subseteq V \subseteq W$ , so  $W \in \mathcal{V}_x(\mathcal{T})$ . Let  $\mathcal{T}'$  be the topology generated by  $(\mathcal{V}_x(\mathcal{T}))_{x \in X}$ . By definition,

$$\mathcal{T}' = \{U \subseteq X \mid \forall x \in U, U \in \mathcal{V}_x(\mathcal{T})\}.$$

For any  $U \in \mathcal{T}$ ,  $\forall x \in U$ ,  $U$  is a open neighborhood of  $x$ , so  $U \in \mathcal{T}'$ . Let  $U \in \mathcal{T}'$ ,  $\forall x \in U$ ,  $\exists V_x \in \mathcal{T}$ ,  $x \in V_x \subseteq U$ .

$$U = \bigcup_{x \in U} \{x\} \subseteq \bigcup_{x \in U} V_x \subseteq U.$$

$$U = \bigcup_{x \in U} V_x \in \mathcal{T}.$$

□

**Proposition 1.1.9** Let  $X$  be a set,  $(\mathcal{T}_i)_{i \in I}$  be a family of topologies on  $X$ . Then

$$\mathcal{T} = \bigcap_{i \in I} \mathcal{T}_i$$

is a topology on  $X$ .

**Proof**

(1)  $\forall i \in I$ ,  $\{\emptyset, X\} \subseteq \mathcal{T}_i$ , so  $\{\emptyset, X\} \subseteq \mathcal{T}$ .

(2) If  $(U_1, U_2) \in \mathcal{T}^2$ , then for any  $i \in I$ ,  $U_1 \cap U_2 \in \mathcal{T}_i$ , so  $U_1 \cap U_2 \in \bigcap_{i \in I} \mathcal{T}_i$ .

(3) For any set  $J$  and any  $(U_j)_{j \in J} \in \mathcal{T}^J$ , one has  $\forall i \in I$ ,  $\forall j \in J$ ,  $U_j \in \mathcal{T}_i$ , so

$$\bigcup_{j \in J} U_j \in \mathcal{T}_i.$$

Therefore,

$$\bigcup_{j \in J} U_j \in \bigcap_{i \in I} \mathcal{T}_i.$$

□

**Definition 1.1.10** Let  $S$  be a subset of  $\wp(X)$ , we denote by  $\mathcal{T}_S$  the intersection of all topologies containing  $S$ , we call it the topology generated by  $S$ .

**Definition 1.1.11**

Let  $\mathcal{B}$  be a subset of  $\wp(X)$ , we say that  $\mathcal{B}$  is a topological basis if:

- (1)  $X = \bigcup_{V \in \mathcal{B}} V$ .
- (2)  $\forall (U, V) \in \mathcal{B} \times \mathcal{B}, \forall x \in U \cap V, \exists W_x \in \mathcal{B}, x \in W_x \subseteq U \cap V$ .

**Definition 1.1.12** Let  $X$  be a set and  $\mathcal{T}_1$  and  $\mathcal{T}_2$  be two topologies on  $X$ . If  $\mathcal{T}_1 \subseteq \mathcal{T}_2$ , we say that  $\mathcal{T}_1$  is coarser than  $\mathcal{T}_2$  and  $\mathcal{T}_2$  is finer than  $\mathcal{T}_1$ .

If  $S \subseteq \wp(X)$ , we denote by  $\mathcal{T}_S$  the intersection of all topology containing  $S$ . It is the coarsest topology containing  $S$ .

Let  $\mathcal{B} \subseteq \wp(X)$ . If  $X = \bigcup_{V \in \mathcal{B}} V$  and  $\forall (U, V) \in \mathcal{B} \times \mathcal{B}, \forall x \in U \cap V, \exists W_x \in \mathcal{B}, x \in W_x \subseteq U \cap V$ , we say that  $\mathcal{B}$  is a **topological basis** on  $X$ .

**Proposition 1.1.13** Let  $S$  be a subset of  $\wp(X)$ . Let

$$\mathcal{B}_S := \{X\} \cup \left\{ \bigcap_{i=1}^n A_i \mid n \in \mathbb{N}_{\geq 1}, (A_1, \dots, A_n) \in S^n \right\},$$

then,  $\mathcal{B}_S$  is a topological basis on  $X$ . Moreover,  $\mathcal{T}_S = \mathcal{T}_{\mathcal{B}_S}$ .

**Proof** Since  $X \in \mathcal{B}_S$ ,  $\bigcup_{V \in \mathcal{B}_S} V = X$ . Let  $(U, V) \in \mathcal{B}_S \times \mathcal{B}_S$ . If  $U = X$ , then  $U \cap V = V \in \mathcal{B}_S$ . Similarly, if  $V = X$ , then  $U \cap V = U \in \mathcal{B}_S$ . If  $U = A_1 \cap \dots \cap A_n, V = B_1 \cap \dots \cap B_m$ , then  $\{A_1, \dots, A_n, B_1, \dots, B_m\} \subseteq \mathcal{B}_S$ .

$$U \cap V = A_1 \cap \dots \cap A_n \cap B_1 \cap \dots \cap B_m \in \mathcal{B}_S.$$

Since  $S \subseteq \mathcal{B}_S \subseteq \mathcal{T}_{\mathcal{B}_S}$ , so  $\mathcal{T}_S \subseteq \mathcal{T}_{\mathcal{B}_S}$ ,  $X \in \mathcal{T}_S$ . If  $(A_1, \dots, A_n) \in S^n$ , then  $(A_1, \dots, A_n) \in \mathcal{T}_{\mathcal{B}_S}$ . So  $A_1 \cap \dots \cap A_n \in \mathcal{T}_S$ . Hence  $\mathcal{B}_S \subseteq \mathcal{T}_S$ . Therefore,  $\mathcal{T}_{\mathcal{B}_S} \subseteq \mathcal{T}_S$ , so  $\mathcal{T}_{\mathcal{B}_S} = \mathcal{T}_S$ .  $\square$

**Proposition 1.1.14** Let  $\mathcal{B}$  be a topological basis on a set  $X$ . Then

$$\mathcal{T}_{\mathcal{B}} = \left\{ U \in \wp(X) \mid \exists \text{ a set } I \text{ and } (V_i)_{i \in I} \in \mathcal{B}^I, U = \bigcup_{i \in I} V_i \right\}.$$

**Proof** We denote by  $\mathcal{T}$  the set

$$\{U \in \wp(X) \mid U \text{ can be written as the union of a family sets in } \mathcal{B}\}.$$

By definition,  $\mathcal{B} \subseteq \mathcal{T} \subseteq \mathcal{T}_{\mathcal{B}}$ . It remains to check that  $\mathcal{T}$  is a topology.

By definition,  $X \in \mathcal{T}$ ,  $\emptyset \in \mathcal{T}$ . Moreover, the union of a family of elements of  $\mathcal{T}$  remains in  $\mathcal{T}$ . Let  $U = \bigcup_{i \in I} U_i$  and  $V = \bigcup_{j \in J} V_j$  be elements of  $\mathcal{T}$ , where,  $U_i \in \mathcal{B}, V_j \in \mathcal{B}$ . Then

$$U \cap V = \bigcup_{(i,j) \in I \times J} (U_i \cap V_j).$$

For any  $x \in U_i \cap V_j$ ,  $\exists W_x^{(i,j)} \in \mathcal{B}$ ,  $x \in W_x^{(i,j)} \subseteq U_i \cap V_j$ .  $U_i \cap V_j = \bigcup_{x \in U_i \cap V_j} W_x^{(i,j)}$ ,

so

$$U \cap V = \bigcup_{(i,j) \in I \times J} \bigcup_{x \in U_i \cap V_j} W_x^{(i,j)}.$$

□

## 1.2 Convergence

We fix a topology space  $(E, \mathcal{T})$ ,  $l \in E$  and  $S \subseteq \wp(E)$  that generates the filter  $\mathcal{V}_l(\mathcal{T})$  of all neighborhood of  $l$ .

**Definition 1.2.1** Let  $f : X \longrightarrow Y$  be a mapping. If  $\mathcal{F}$  is a filter on  $X$ , we denote by  $f_*(\mathcal{F})$  the set  $\{B \subseteq Y \mid f^{-1}(B) \in \mathcal{F}\}$ .

**Proposition 1.2.2**  $f_*(\mathcal{F})$  is a filter on  $Y$ .

**Proof** Let  $(B_1, B_2) \in f_*(\mathcal{F})$ ,

$$f^{-1}(B_1 \cap B_2) = f^{-1}(B_1) \cap f^{-1}(B_2) \in \mathcal{F}.$$

Let  $B \in f_*(\mathcal{F})$ ,  $C \supseteq B$ .  $f^{-1}(C) \supseteq f^{-1}(B) \in \mathcal{F}$ , so  $f^{-1}(C) \in \mathcal{F}$ . □

**Proposition 1.2.3** Let  $f : X \longrightarrow Y$ ,  $g : Y \longrightarrow Z$ , be mappings.  $\mathcal{F}$  be a filter on  $X$ . Then

$$(g \circ f)_*(\mathcal{F}) = g_*(f_*(\mathcal{F})).$$



**Proof**

$$\begin{aligned}
(g \circ f)_*(\mathcal{F}) &= \{C \subseteq Z \mid (g \circ f)^{-1}(C) \in \mathcal{F}\} \\
&= \{C \subseteq Z \mid f^{-1}(g^{-1}(C)) \in \mathcal{F}\} \\
&= \{C \subseteq Z \mid g^{-1}(C) \in f_*(\mathcal{F})\} \\
&= g_*(f_*(\mathcal{F})).
\end{aligned}$$

□

**Proposition 1.2.4** Let  $\mathcal{B}$  be a filter basis in  $X$ ,  $f : X \rightarrow Y$  be a mapping and  $\mathcal{F}$  be the filter generated by  $\mathcal{B}$ . Then  $f(\mathcal{B}) : \{f(U) \mid U \in \mathcal{B}\}$  is a filter basis on  $Y$  and  $f_*(\mathcal{F})$  is the filter generated by  $f(\mathcal{B})$ .

**Proof** Let  $U$  and  $V$  be elements of  $\mathcal{B}$ . Then  $\exists W \in \mathcal{B}$ ,  $W \subseteq U \cap V$ . Hence  $f(W) \subseteq f(U \cap V) \subseteq f(U) \cap f(V)$ . Moreover, for any  $U \in \mathcal{B}$ ,  $U \subseteq f^{-1}(f(U))$ . So  $f^{-1}(f(U)) \in \mathcal{F}$ . Therefore,  $f(\mathcal{B}) \subseteq f_*(\mathcal{F})$ . Let  $A \in f_*(\mathcal{F})$ . Then,  $f^{-1}(A) \in \mathcal{F}$ . So  $\exists V \in \mathcal{B}$ ,  $V \subseteq f^{-1}(A)$ . Hence  $f(V) \subseteq A$ . Therefore,  $f_*(\mathcal{F})$  is a filter basis generated by  $f_*(\mathcal{B})$  □

**Definition 1.2.5** Let  $f : X \rightarrow E$  be a mapping,  $\mathcal{F}$  be a non-degenerate filter on  $X$ . If  $f_*(\mathcal{F}) \supseteq \mathcal{V}_l(\mathcal{S})$ , we say that  $f$  **converges** to  $l$  along  $\mathcal{F}$ .

$$\lim_{\mathcal{F}} f = l$$

denotes “ $f$  converges to  $l$  along  $\mathcal{F}$ ”.

This condition is equivalent to

$$\forall V \in S_l, f^{-1}(V) \in \mathcal{F}.$$

If  $\mathcal{B}$  is a filter basis which generates  $\mathcal{F}$ . This condition is also

$$\forall V \in S_l, \exists U \in \mathcal{B}, f(U) \subseteq V.$$

**Example 1.2.6**

(1) Let  $I \subseteq \mathbb{N}$  be an infinite subset and  $x = (x_n)_{n \in I} \in \mathbb{N}^I$ . Let  $\mathcal{F}$  be the Fréchet filter on  $I$ . If  $x$  converges to  $l$  along  $\mathcal{F}$ , or equivalently,

$$\forall V \in S_l, \exists N \in \mathbb{N}, \forall n \in I_{\geq N}, x_n \in V.$$

We say that the sequence  $(x_n)_{n \in I}$  **converges to**  $l$  when  $n$  tends to the infinity,

denote as

$$\lim_{n \rightarrow +\infty} x_n = l.$$

(2) Let  $(X, \mathcal{T}_X)$  be a topological space. Let  $Y \subseteq X$  be a subset of  $X$ ,  $p \in X$ . Let

$$\mathcal{F} = \mathcal{V}_p(\mathcal{T}_X)|_Y = \{V \cap Y \mid V \in \mathcal{V}_p(\mathcal{T}_X)\}.$$

Assume that  $\mathcal{F}$  is non-degenerate. Let  $f : X \rightarrow E$  be a mapping. If  $f$  converges to  $l$  along  $\mathcal{F}$ , we say that  $f(x)$  converges to  $l$  when  $x \in Y$  tends to  $p$ , denoted as

$$\lim_{x \in Y, x \rightarrow p} f(x) = l.$$

This condition is equivalent to:

$$\forall V \in S_l, \exists U \text{ a open neighborhood of } p, \forall x \in U \cap Y, f(x) \in V.$$

In general, if  $g : Y \rightarrow E$  is a mapping such that

$$\forall V \in S_l, \exists U \text{ a open neighborhood of } p, \forall x \in U \cap Y, g(x) \in V,$$

then we say  $g(x)$  converges to  $l$  when  $x \in Y$  tends to  $p$ , denoted as

$$\lim_{x \in Y, x \rightarrow p} g(x) = l.$$

**Remark 1.2.7** If  $(E, d)$  is a semimetric space,  $\mathcal{T}$  is the semimetric topology. Then condition in (1) becomes:

$$\forall \varepsilon > 0, \exists N \in \mathbb{N}, \forall n \in I_{\geq N}, d(x_n, l) < \varepsilon.$$

The conditions in (2) becomes:

$$\forall \varepsilon > 0, \exists U \text{ a open neighborhood of } p, \forall x \in U \cap Y, d(g(x), l) < \varepsilon.$$

If furthermore,  $(X, \mathcal{T}_X)$  is a semimetric space with semimetric  $d_X$ . The condition becomes

$$\forall \varepsilon > 0, \exists \delta > 0, \forall x \in Y, d_X(x, p) < \delta \Rightarrow d(g(x), l) < \varepsilon.$$

**Example 1.2.8**

(3) Consider  $X = \mathbb{R}$ . Let  $Y \subseteq X$ . Consider the filter  $\mathcal{F}$  generated by  $\{\mathbb{R}_{>M}, M \in \mathbb{R}\}$ . Suppose that  $\mathcal{F}|_Y$  is non-degenerate. Let  $g : Y \rightarrow E$ . If  $\lim_{\mathcal{F}|_Y} g = l$ , we say that  $g(x)$  converges to  $l$  when  $x$  tends to  $+\infty$ , denoted as

$$\lim_{x \in Y, x \rightarrow +\infty} g(x) = l.$$

This condition is

$$\forall V \in S_l, \exists M \in \mathbb{R}_{>0}, \forall x \in Y, x > M \Rightarrow g(x) \in V.$$

If  $(E, d)$  is a metric space, it becomes:

$$\forall \varepsilon > 0, \exists M \in \mathbb{R}_{>0}, \forall x \in Y, x > M \Rightarrow d(g(x), l) < \varepsilon.$$

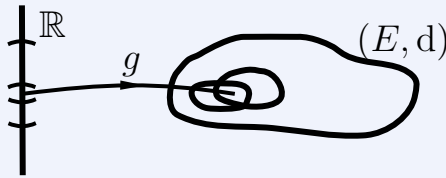


Figure 1.2.1: Example on  $\mathbb{R}$

**Example 1.2.9** Let  $(G, \leq)$  be a totally ordered set,  $\mathcal{F}$  be the ordered topology on  $G$ . It is generated by  $\{G_{>a} \mid a \in g\} \cup \{G_{<b} \mid b \in G\}$ . If  $l \in G$ , then  $\mathcal{V}_x(\mathcal{T})$  is generated by

$$S_l := \{G_{>a} \mid a < l\} \cup \{G_{<b} \mid l < b\}.$$

Assume that  $(G, \leq)$  is order complete. Let  $f : X \rightarrow G$  be a mapping and  $\mathcal{F}$  be a non-degenerate filter on  $X$ .

(1) Assume that  $f$  converges to  $l$  along  $\mathcal{F}$ .  $\forall a < l, U_a := f^{-1}(G_{>a}) \in \mathcal{F}$ .  $\forall x \in U_a, f(x) > a$ . So  $\liminf_{\mathcal{F}} f \geq a$ . If  $\sup(G_{<l}) = l$ , then  $\liminf_{\mathcal{F}} f \geq l$ . If  $\sup(G_{<l}) < l$ , we denote  $a = \sup(G_{<l})$ .  $\forall a \in U_a, f(x) \geq l$ . So  $\liminf_{\mathcal{F}} f \geq l$ . Similarly,  $\liminf_{\mathcal{F}} f \leq l$ . So  $f$  admits  $l$  as its limit.

(2) Assume that  $\limsup_{\mathcal{F}} f = \liminf_{\mathcal{F}} f = l$ .

$$\liminf_{\mathcal{F}} f = l \Rightarrow \sup_{U \in \mathcal{F}} f^i(U) = l, \forall a < l, \exists U \in \mathcal{F}, f^i(U) > a, f^{-1}(G_{>a}) \in \mathcal{F}.$$

$$\limsup_{\mathcal{F}} f = l \Rightarrow \forall b > l, f^{-1}(G_{<b}) \in \mathcal{F}.$$

Therefore,  $f$  converges to  $l$  along  $\mathcal{F}$ .

### 1.3 Continuity

**Definition 1.3.1** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces,  $f$  be a function from  $X$  to  $Y$ , and  $p \in \text{Dom}(f)$ . If for any neighborhood  $U$  of  $f(p)$ , there exists a neighborhood  $V$  of  $p$  such that

$$f(V) \subseteq U,$$

then we say that the function  $f$  is **continuous** at the point  $p$ .

If  $f$  is continuous at any  $p \in \text{Dom}(f)$ , then we say that  $f$  is **continuous**.

**Remark 1.3.2**

(1) The continuity of  $f$  at  $p$  is equivalent to:

$$\lim_{\substack{x \in \text{Dom}(f) \\ x \rightarrow p}} f(x) = f(p),$$

namely,  $f$  converges to  $f(p)$  when  $x$  tends to  $p$ .

(2) Let  $\mathcal{V}_{f(p)}(\mathcal{T}_Y)$  and  $\mathcal{V}_p(\mathcal{T}_X)$  be filters of neighborhoods of  $f(p)$  and  $p$  respectively. Let  $\mathcal{B}_p$  be a filter basis that generates  $\mathcal{V}_p(\mathcal{T}_X)$ . Let  $S_{f(p)}$  be a subset of  $\mathcal{V}_{f(p)}(\mathcal{T}_Y)$  that generates  $\mathcal{V}_{f(p)}(\mathcal{T}_Y)$ . Then the continuity of  $f$  at  $p$  is equivalent to:

$$\forall U \in S_{f(p)}, \exists V \in \mathcal{B}_p, f(V) \subseteq U.$$

In the case where  $X$  and  $Y$  are metric spaces, this condition becomes:

$$\forall \varepsilon > 0, \exists \delta > 0, \forall x \in \text{Dom}(f), d(x, p) < \delta \Rightarrow d(f(x), f(p)) < \varepsilon.$$

**Proposition 1.3.3** Let  $(X, \mathcal{T}_X)$ ,  $(Y, \mathcal{T}_Y)$ ,  $(Z, \mathcal{T}_Z)$  be topological spaces.  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be functions.  $p \in \text{Dom}(g \circ f)$ . Assume that  $f$  is continuous at  $p$  and  $g$  is continuous at  $f(p)$ . Then  $g \circ f$  is continuous at  $p$ .

**Proof** Let  $U$  be a neighborhood of  $g(f(p))$ . Since  $g$  is continuous at  $f(p)$ , there exists a neighborhood  $V$  of  $f(p)$  such that  $g(V) \subseteq U$ . Since  $f$  is continuous at  $p$ , there exists a neighborhood  $W$  of  $p$ , such that  $f(W) \subseteq V$ . Hence  $g(f(W)) \subseteq g(V) \subseteq U$ . So  $g \circ f$  is continuous at  $p$ .  $\square$

**Example 1.3.4** Let  $(X, \mathcal{T}_X)$  be a topological space. Then  $\text{Id}_X$  and constant mapping are continuous.

**Theorem 1.3.5** Let  $(X, \mathcal{T}_X)$ ,  $(Y, \mathcal{T}_Y)$  be topological spaces,  $f : X \rightarrow Y$  a function,  $p \in \text{Dom}(f)$ . Consider the following conditions:

- (1)  $f$  is continuous at  $p$ .
- (2) For any  $(x_n)_{n \in \mathbb{N}} \in \text{Dom}(f)^{\mathbb{N}}$ , if  $\lim_{n \rightarrow \infty} x_n = p$ , then

$$\lim_{n \rightarrow \infty} f(x_n) = f(p).$$

One has (1) $\Rightarrow$ (2). If  $p$  has a countable basis of neighborhoods, (2) $\Rightarrow$ (1).

**Proof** Let  $(x_n)_{n \in \mathbb{N}} \in \text{Dom}(f)^{\mathbb{N}}$  such that  $\lim_{n \rightarrow \infty} x_n = p$ .  $f$  is continuous at  $p$ , so for any neighborhood  $U$  of  $f(p)$ , there exists a neighborhood  $V$  of  $p$ , such that  $f(V) \subseteq U$ . Since  $\lim_{n \rightarrow \infty} x_n = p$ , there exists  $N \in \mathbb{N}$ , so that for any  $n \in \mathbb{N}_{>N}$ ,  $x_n \in V$ . Hence for any  $n \in \mathbb{N}_{>N}$ ,  $f(x_n) \in U$ . Hence  $\lim_{n \rightarrow \infty} f(x_n) = f(p)$ . Assume that  $p$  has a countable basis of neighborhood. Let  $(W_n)_{n \in \mathbb{N}}$  be a sequence of neighborhood of  $p$ , such that  $\{W_n \mid n \in \mathbb{N}\}$  forms a neighborhood basis. For  $n \in \mathbb{N}$ ,

$$V_n := \bigcap_{i \in \mathbb{N}_{\leq n}} W_i.$$

$V_n$  is a neighborhood of  $p$ . If  $f$  is not continuous at  $p$ , then there exists a neighborhood of  $p$ ,  $U$ , such that

$$\forall n \in \mathbb{N}, f(V_n) \not\subseteq U.$$

We pick  $x_n \in V_n$  but  $f(x_n) \notin U$ . For any neighborhood  $V$  of  $p$ , there exists  $N \in \mathbb{N}$  such that  $V_N \subseteq V$ , so that  $x_n \in V$  for any  $n \in \mathbb{N}_{>N}$ . Hence,  $x_n$  converges to  $p$ . But  $f(x_n)$  cannot converge to  $f(p)$ .  $\square$

**Lemma 1.3.6** Let  $(X, \mathcal{T}_X)$  be a topological space.  $V \subseteq X$ . If  $\forall p \in V$ ,  $V$  is a neighborhood of  $p$ , then  $V \in \mathcal{T}_X$ . In fact  $\forall p \in V$ , there exists  $W_p \in \mathcal{T}_X$ ,  $p \in W_p \subseteq V$ . Hence

$$V = \bigcup_{p \in V} \{p\} \subseteq \bigcup_{p \in V} W_p \subseteq V.$$

**Proposition 1.3.7** Let  $(X, \mathcal{T}_X)$ ,  $(Y, \mathcal{T}_Y)$  be topological spaces,  $\mathcal{S} \subseteq \mathcal{T}_Y$ ,  $\mathcal{S}$  generates  $\mathcal{T}_Y$ . The following statements are equivalent:

- (1)  $f$  is continuous.
- (2) For any  $U \in \mathcal{T}_Y$ ,  $f^{-1}(U) \in \mathcal{T}_X$ .
- (3) For any  $U \in \mathcal{S}$ ,  $f^{-1}(U) \in \mathcal{T}_X$ .

**Proof**

(1) $\Rightarrow$ (2): For any  $p \in f^{-1}(U)$ , one has  $f(p) \in U$ . Hence, there is a neighborhood  $V_p$  of  $p$ ,  $f(V_p) \subseteq U$ , or equivalently  $V_p \subseteq f^{-1}(U)$ . Therefore,  $f^{-1}(U) \in \mathcal{T}_X$ .

(3) $\Rightarrow$ (2):

$$\mathcal{T}'_Y = \{U \in \wp(Y) \mid f^{-1}(U) \in \mathcal{T}_X\}$$

By definition,  $\{\emptyset, Y\} \subseteq \mathcal{T}'_Y$ . If  $(U_1, U_2) \in \mathcal{T}'_Y \times \mathcal{T}'_Y$ , then  $f^{-1}(U_1 \cap U_2) = f^{-1}(U_1) \cap f^{-1}(U_2) \in \mathcal{T}_X$ . So  $U_1 \cap U_2 \in \mathcal{T}'_Y$ .  $(U_i)_{i \in I} \in (\mathcal{T}'_Y)^I$ , then

$$f^{-1}\left(\bigcup_{i \in I} U_i\right) = \bigcup_{i \in I} f^{-1}(U_i) \in \mathcal{T}_X.$$

So  $\mathcal{T}'_Y$  is a topology, by (3),  $\mathcal{S} \subseteq \mathcal{T}'_Y \Rightarrow \mathcal{T}_Y \subseteq \mathcal{T}'_Y$ . □

## 1.4 Initial Topology

**Definition 1.4.1** Let  $X$  be a set,  $((Y_i, \mathcal{T}_i))_{i \in I}$  a family of topological spaces,  $(f_i : X \rightarrow Y_i)_{i \in I}$  a family of mappings. We call **initial topology** on  $X$  induced by  $(f_i)_{i \in I}$  the topology generated by

$$\bigcup_{i \in I} \{f_i^{-1}(U_i) \mid U_i \in \mathcal{T}_i\}.$$

It is the coarsest topology on  $X$  making all  $f_i$  continuous.

**Proposition 1.4.2** Let  $\mathcal{T}$  be the initial topology on  $X$  induced by  $(f_i)_{i \in I}$ .

(1)

$$\mathcal{B} = \left\{ \bigcap_{j \in J} f_j^{-1}(U_j) \mid J \subseteq I \text{ finite, } (U_j)_{j \in J} \in \prod_{j \in J} \mathcal{T}_j \right\}$$

is topological basis that generates  $\mathcal{T}$ .

(2) Let  $(Z, \mathcal{T}_Z)$  be topological space,  $h : Z \rightarrow X$  be a function and  $p \in \text{Dom}(f)$ . Then  $h$  is continuous at  $p$  if and only if  $\forall i \in I$ ,  $f_i \circ h$  is continuous at  $p$ .

**Proof**

(1) Let

$$S = \bigcup_{i \in I} \{f_i^{-1}(U_i) \mid U_i \in \mathcal{T}_i\},$$

$\mathcal{B}'$  be the set of the intersections of all finitely elements of  $S$  (We have proved that  $\mathcal{B}'$  is a basis of  $\mathcal{T}$ ).  $\mathcal{B} \subseteq \mathcal{B}'$ . Let  $i_1, \dots, i_n$  elements of  $I$ ,  $U_{i_k} \in \mathcal{T}_{i_k}$ ,  $J = \{i_1, \dots, i_n\}$ ,  $j \in J$ ,  $A_j = \{k \in \{1, \dots, n\} \mid i_k = j\}$ ,  $W_j = \bigcap_{k \in A_j} U_{i_k}$ .

$$\bigcap_{k=1}^n f_{i_k}^{-1}(U_{i_k}) = \bigcap_{j \in J} f_j^{-1}(W_j) \in \mathcal{B}.$$

(2) Since  $f_i$  is continuous at  $p$ , if  $h$  is continuous then  $\forall i \in I$ ,  $f_i \circ h$  is continuous. Assume  $\forall i \in I$ ,  $f_i \circ h$  is continuous, then

$$\forall i \in I, \forall U_i \in \mathcal{T}_i, (f_i \circ h)^{-1}(U_i) = h^{-1}(f_i^{-1}(U_i)).$$

Therefore, for any  $V \in S$ ,  $h^{-1}(V) \in \mathcal{T}_Z$ . Hence  $h$  is continuous.  $\square$

**Example 1.4.3** Let  $(X_i, \mathcal{T}_i)$  be topological spaces,  $X = \prod_{i \in I} X_i$ ,  $\pi_i : X \rightarrow X_i$  be a projection. The initial topology on  $X$  induced by  $(\pi_i)_{i \in I}$  is called the **product topology**.

## 1.5 Uniform Continuity

**Definition 1.5.1** Let  $(X, d_X)$ ,  $(Y, d_Y)$  be semimetric spaces,  $f : X \rightarrow Y$  be a function,  $\alpha \in \mathbb{R}_{\geq 0}$ . If for any  $(x_1, x_2) \in \text{Dom}(f)^2$ ,  $d(f(x_1), f(x_2)) \leq \alpha \cdot d(x_1, x_2)$ , then we say that  $f$  is  $\alpha$ -Lipschitzian. If there exists  $\alpha \in \mathbb{R}_{\geq 0}$  such that  $f$  is  $\alpha$ -Lipschitzian, then we say that  $f$  is **Lipschitzian**.

If

$$\forall \varepsilon > 0, \exists \delta > 0, \forall (x_1, x_2) \in \text{Dom}(f)^2, d_X(x_1, x_2) < \delta \Rightarrow d(f(x_1), f(x_2)) < \varepsilon,$$

then we say that  $f$  is **uniformly continuous**.

**Proposition 1.5.2** Let  $(X, d_X)$ ,  $(Y, d_Y)$  be semimetric spaces,  $f : X \rightarrow Y$  be a function. If  $f$  is uniformly continuous, then  $f$  is continuous.

**Proof** Let  $p \in \text{Dom}(f)$ . For  $\varepsilon > 0$ , there exists  $\delta > 0$  such that

$$\forall (x_1, x_2) \in \text{Dom}(f)^2, d_X(x_1, x_2) < \delta \Rightarrow d(f(x_1), f(x_2)) < \varepsilon.$$

In particular,

$$\forall x \in \text{Dom}(f), \exists \delta > 0, d_X(x, p) < \delta \Rightarrow d_Y(f(x), f(p)) < \varepsilon.$$

□

**Definition 1.5.3** Let  $K$  be a field, we call absolute value on  $K$  any mapping,

$$|\cdot| : K \longrightarrow \mathbb{R}_{\geq 0},$$

(1)  $\forall a \in K, a = 0_K$  if and only if  $|a| = 0$ .

(2)  $\forall (a, b) \in K \times K, |ab| = |a| |b|$ .

(3)  $\forall (a, b) \in K \times K, |a + b| \leq |a| + |b|$ .

The pair  $(K, |\cdot|)$  is called a **valued field**.

**Example 1.5.4** Let  $(K, \leq)$  be a totally ordered field, then  $|a| = \max\{-a, a\}$  is an absolute value on  $K$ .

**Example 1.5.5** Let  $p$  be a prime number. Any non-zero rational number  $\alpha$  can be written in the form

$$\alpha = p^{\text{ord}_p(\alpha)} \cdot \frac{m}{n},$$

where,  $\text{ord}_p(\alpha) \in \mathbb{Z}, p \nmid mn$ . If  $\alpha = 0$ , we set (by convention)  $\text{ord}_p(\alpha) = +\infty$ .

**Properties:**

(1)  $\text{ord}_p(\alpha\beta) = \text{ord}_p(\alpha) + \text{ord}_p(\beta)$ .

(2)  $\alpha = p^{\text{ord}_p(\alpha)} \frac{m}{n}, \beta = p^{\text{ord}_p(\beta)} \frac{u}{v}, \text{ord}_p(\alpha) > \text{ord}_p(\beta), p \nmid nvu$ .

$$\alpha + \beta = p^{\text{ord}_p(\beta)} \frac{p^{\text{ord}(\alpha) - \text{ord}(\beta)} mv + nu}{nv}.$$

(3) If  $\text{ord}(\alpha) = \text{ord}(\beta)$ , then  $\text{ord}_p(\alpha + \beta) \geq \text{ord}_p(\alpha) = \text{ord}_p(\beta)$ .

$$\alpha + \beta = p^{\text{ord}_p(\alpha)} \frac{mv + nu}{nv}.$$

**Proposition 1.5.6** The mapping

$$|\cdot|_p : \mathbb{Q} \longrightarrow \mathbb{R}_{\geq 0},$$

$$\begin{cases} |\alpha|_p = p^{-\text{ord}(\alpha)}, & \text{if } \alpha \neq 0 \\ |\alpha|_p = 0, & \text{if } \alpha = 0 \end{cases}$$



is an absolute value on  $\mathbb{Q}$ .

**Proof** If  $\alpha = 0$ , then  $|\alpha|_p > 0$ . If  $(\alpha, \beta) \in \mathbb{Q}^2$ , when  $0 \in \{\alpha, \beta\}$ , then  $\alpha\beta = 0$  and  $0 = |\alpha\beta|_p = |\alpha|_p|\beta|_p$ . When  $0 \notin \{\alpha, \beta\}$ ,

$$|\alpha\beta|_p = p^{-\text{ord}_p(\alpha\beta)} = p^{-\text{ord}_p(\alpha) - \text{ord}_p(\beta)} = |\alpha|_p|\beta|_p.$$

If  $\alpha = 0$ ,  $|\alpha\beta|_p = |\beta|_p$ . If  $\beta = 0$ ,  $|\alpha\beta|_p = |\alpha|_p$ , if  $0 \notin \{\alpha, \beta\}$ ,

$$|\alpha + \beta|_p = p^{-\text{ord}_p(\alpha + \beta)} \leq p^{\max\{\text{ord}_p(\alpha), \text{ord}_p(\beta)\}} \leq \max\{|\alpha|_p, |\beta|_p\} \leq |\alpha|_p + |\beta|_p.$$

□

**Remark 1.5.7** Let  $(K, |\cdot|)$  be a valued field. If for any  $(\alpha, \beta) \in K^2$  satisfies  $|\alpha + \beta| \leq \max\{|\alpha|, |\beta|\}$ , we say that  $(K, |\cdot|)$  is **non-archimedean**, otherwise, we say that  $(K, |\cdot|)$  is **archimedean**.  $(\mathbb{R}, |\cdot|)$  and  $(\mathbb{Q}, |\cdot|)$  are archimedean.

**Definition 1.5.8** Let  $(K, |\cdot|)$  be a valued field,  $V$  a vector space over  $K$ . We call **seminorm** on  $V$  any mapping

$$\|\cdot\| : V \longrightarrow \mathbb{R}_{\geq 0}$$

that satisfies the following conditions:

$$(1) \forall (a, x) \in K \times V, \|ax\| = |a| \cdot \|x\|.$$

$$(2) \forall (x, y) \in V \times V, \|x + y\| \leq \|x\| + \|y\|.$$

Note that (1) implies that  $\|0_V\| = |0_K| \cdot \|0_V\| = 0$ .

The pair  $(V, \|\cdot\|)$  is called **seminormed vector space** over  $(K, |\cdot|)$ . If  $\forall (x, y) \in V \times V, \|x + y\| \leq \max\{\|x\|, \|y\|\}$ , then we say that  $\|\cdot\|$  is **ultrametric**. If  $\forall x \in V \setminus \{0\}, \|x\| > 0$ , then we say that  $\|\cdot\|$  is a **norm** and  $(V, \|\cdot\|)$  is a **normed vector space** over  $(K, |\cdot|)$ .

**Example 1.5.9**  $d : V \times V \longrightarrow \mathbb{R}_{\geq 0}, d(x, y) := \|x - y\|$  is a semi-metric.

**Example 1.5.10** Let  $(K, |\cdot|)$  be a valued field.

(1)  $(K, |\cdot|)$  is a normed vector space over  $(K, |\cdot|)$ . ( $d(x, y) = |x - y|$  is a metric.)

(2) Let  $(V_1, \|\cdot\|_1), \dots, (V_n, \|\cdot\|_n)$  be seminormed vector spaces over  $(K, |\cdot|)$ ,

$$V = V_1 \oplus \cdots \oplus V_n.$$

$$\|\cdot\|_{l^\infty} : V \longrightarrow \mathbb{R}_{\geq 0}, (x_1, \dots, x_n) \longmapsto \max_{i \in \{1, \dots, n\}} \|x_i\|_i, x_i \in V_i, i \in \{1, \dots, n\},$$

$$\|\cdot\|_{l^1} : V \longrightarrow \mathbb{R}_{\geq 0}, (x_1, \dots, x_n) \longmapsto \sum_{i \in \{1, \dots, n\}} \|x_i\|_i, x_i \in V_i, i \in \{1, \dots, n\}.$$

$$\forall \lambda \in K, \forall (x_1, \dots, x_n) \in V,$$

$$\begin{aligned} \|\lambda(x_1, \dots, x_n)\|_{l^\infty} &= \|(\lambda \cdot x_1, \dots, \lambda x_n)\|_{l^\infty} \\ &= \max_{i \in \{1, \dots, n\}} \|\lambda x_i\|_i \\ &= \max_{i \in \{1, \dots, n\}} |\lambda| \|x_i\|_i \\ &= |\lambda| \max_{i \in \{1, \dots, n\}} \|x_i\|_i \\ &= |\lambda| \cdot \|(x_1, \dots, x_n)\|_{l^\infty}. \end{aligned}$$

$$\begin{aligned} \|\lambda(x_1, \dots, x_n)\|_{l^1} &= \|(\lambda \cdot x_1, \dots, \lambda x_n)\|_{l^1} \\ &= \sum_{i \in \{1, \dots, n\}} \|\lambda x_i\|_i \\ &= \sum_{i \in \{1, \dots, n\}} |\lambda| \|x_i\|_i \\ &= |\lambda| \sum_{i \in \{1, \dots, n\}} \|x_i\|_i \\ &= |\lambda| \cdot \|(x_1, \dots, x_n)\|_{l^1}. \end{aligned}$$

$$\forall x = (x_1, \dots, x_n), y = (y_1, \dots, y_n) \in V,$$

$$\begin{aligned} \|x + y\|_{l^\infty} &= \|(x_1 + y_1, \dots, x_n + y_n)\|_{l^\infty} = \max_{i \in \{1, \dots, n\}} \|x_i + y_i\|_i \\ &\leq \max_{i \in \{1, \dots, n\}} \|x_i\|_i + \|y_i\|_i \leq \|x\|_{l^\infty} + \|y\|_{l^\infty}. \end{aligned}$$

$$\begin{aligned} \|x + y\|_{l^1} &= \sum_{i \in \{1, \dots, n\}} \|x_i + y_i\|_i \\ &\leq \sum_{i \in \{1, \dots, n\}} \|x_i\|_i + \|y_i\|_i = \|x\|_{l^1} + \|y\|_{l^1}. \end{aligned}$$

(3) Let  $(V, \|\cdot\|)$  be a seminormed vector space over  $K$ ,  $f : W \longrightarrow V$  be a  $K$ -linear mapping. We denote by  $\|\cdot\|_f$  the mapping  $W \longrightarrow \mathbb{R}_{\geq 0}$  define as

$$\forall x \in W, \|x\|_f := \|f(x)\|.$$

$$\forall (\lambda, x) \in K \times W,$$

$$\|\lambda x\|_f = \|f(\lambda x)\| = \|\lambda f(x)\| = |\lambda| \cdot \|f(x)\| = \lambda \|x\|_f.$$

$$\forall (x, y) \in W \times W,$$

$$\|x + y\|_f = \|f(x + y)\| = \|f(x) + f(y)\| \leq \|x\|_f + \|y\|_f.$$

Therefore,  $\|\cdot\|_f$  is a seminorm on  $W$ , called the **seminorm induced by (the  $K$ -linear) mapping  $f$** .

(4) Let  $(V, \|\cdot\|)$  be a seminormed vector space over  $K$ , let  $\pi : V \longrightarrow E$  be a surjective  $K$ -linear mapping. We denote by  $\|\cdot\|_\pi$  the mapping

$$\begin{aligned} E &\longrightarrow \mathbb{R}_{\geq 0}, \\ \alpha &\longmapsto \inf_{x \in \pi^{-1}(\alpha)} \|x\|. \end{aligned}$$

$$\text{If } (\lambda, \alpha) \in K \times E,$$

$$\|\lambda \alpha\|_\pi = \inf_{x \in \pi^{-1}(\lambda \alpha)} \|x\| = \inf_{x \in \pi^{-1}(\alpha)} |\lambda| \|x\| = |\lambda| \|\alpha\|_\pi.$$

$$\text{If } (\alpha, \beta) \in E \times E,$$

$$\begin{aligned} \|\alpha + \beta\|_\pi &= \inf_{z \in \pi^{-1}(\alpha + \beta)} \|z\| = \inf_{(x, y) \in \pi^{-1}(\alpha) \times \pi^{-1}(\beta)} \|x + y\| \\ &\leq \inf_{(x, y) \in \pi^{-1}(\alpha) \times \pi^{-1}(\beta)} (\|x\| + \|y\|) \\ &= \inf_{x \in \pi^{-1}(\alpha)} \|x\| + \inf_{y \in \pi^{-1}(\beta)} \|y\|. \end{aligned}$$

Hence  $\|\cdot\|_\pi$  is a seminorm on  $E$  called the **quotient seminorm of  $\|\cdot\|$  induced by  $\pi$** .

**Proposition 1.5.11** Let  $(V, \|\cdot\|)$  be a seminormed vector space over a valued field  $(K, |\cdot|)$ .

- (1) For any  $a \in V$ , the mapping  $\tau_a : V \longrightarrow V$ ,  $\tau_a(x) = x + a$  is 1-Lipschitzian.
- (2) For any  $\lambda \in K$ , the mapping  $m_\lambda : V \longrightarrow V$ ,  $m_\lambda(x) := \lambda \cdot x$  is  $\lambda$ -Lipschitzian.
- (3) The mapping  $\|\cdot\| : V \longrightarrow \mathbb{R}$  is 1-Lipschitzian.

### Proof

$$(1) \forall (x, y) \in V \times V, \|\tau_a(x) - \tau_a(y)\| = \|(x + a) - (y + a)\| = \|x - y\|.$$

- (2)  $\forall (x, y) \in V \times V$ ,  $\|m_\lambda(x) - m_\lambda(y)\| = \|\lambda x - \lambda y\| = |\lambda| \|x - y\|$ .  
 (3)  $\forall (x, y) \in V \times V$ ,  $\|x\| = \|(x - y) + y\| \leq \|y\| + \|x - y\|$ . So  $\|x\| - \|y\| \leq \|x - y\|$ .  
 Similarly,  $\|y\| - \|x\| \leq \|y - x\|$ . Hence,

$$|\|x\| - \|y\|| \leq \|x - y\|.$$

□

**Definition 1.5.12**  $(E, \|\cdot\|_E), (F, \|\cdot\|_F)$  be two seminormed vector spaces over a valued field  $(K, |\cdot|)$ , and  $\varphi$  a  $K$ -linear mapping from  $E$  to  $F$ . We define  $\|\varphi\| \in [0, +\infty]$  as

$$\|\varphi\| := \sup_{x \in E, \|x\|_E \neq 0} \frac{\|\varphi(x)\|_F}{\|x\|_E}.$$

In the case where  $\|x_E\| = 0$ , for any  $x \in E$ , by convention,  $\|\varphi(x)\|$  is defined to be 0. If  $\|\varphi\| < +\infty$ , we say that  $\varphi$  is bounded. We denote by  $\mathcal{L}(E, F)$  the set of all bounded  $K$ -linear mappings from  $E$  to  $F$ .

**Remark 1.5.13** In the case when  $(E, \|\cdot\|_E) = (K, \|\cdot\|)$ ,

$$\|\varphi\| = \sup_{x \in \mathcal{B}(0,1)} \|\varphi(x)\|_F.$$

**Proposition 1.5.14**

- (1) For any  $\varphi \in \mathcal{L}(E, F)$  be the mapping  $\varphi$  is  $\|\varphi\|$ -Lipschitzian. In particular,  $\varphi$  is continuous.  
 (2) Suppose that there exists  $\lambda \in K$ , such that  $|\lambda| > 1$ . If  $\varphi : E \rightarrow F$  is continuous at  $0_E$ , then  $\varphi \in \mathcal{L}(E, F)$ .

**Proof** For any  $(x, y) \in E \times E$ :

- (1)  $\|\varphi(x) - \varphi(y)\|_F = \|\varphi(x - y)\|_F \leq \|\varphi\| \|x - y\|_E$ .  
 (2)  $\mathcal{B}(0_F, 1) := \{\alpha \in F \mid \|\alpha\|_F < 1\}$  is a neighborhood of  $0_F$ . There exists  $\varepsilon > 0$  such that

$$\varphi(\overline{\mathcal{B}}(0_E, \varepsilon)) \subseteq \mathcal{B}(0_F, 1)$$

where

$$\overline{\mathcal{B}}(0_E, \varepsilon) := \{x \in E \mid \|x\|_E < \varepsilon\}.$$

Let  $x \in E \setminus \{0\}$ , there exists  $n \in \mathbb{Z}$ , such that  $\|\lambda^n x\|_E = |\lambda|^n \|x\|_E < \varepsilon$  and

$\|\lambda^{n+1}x\|_E = |\lambda|^{n+1} \|x\|_E \geq \varepsilon$ . Thus,

$$\|\varphi(x)\|_F = \|\lambda^{-n}\varphi(\lambda^n x)\|_F = |\lambda|^{-n} \|\varphi(\lambda^n x)\|_F \leq |\lambda|^{-n} \leq \frac{|\lambda|}{\varepsilon} \|x\|_E.$$

Therefore,  $\|\varphi\| \leq \frac{\lambda}{\varepsilon}$ . □

**Proposition 1.5.15** Let  $(E, \|\cdot\|_E), (F, \|\cdot\|_F)$  be two seminormed vector spaces over a valued field  $(K, |\cdot|)$ . Then  $\mathcal{L}(E, F)$  is a vector subspace of  $F^E$ , and  $\|\cdot\|$  is a seminorm on  $\mathcal{L}(E, F)$ , called the operator seminorm.

**Proof** Let  $\varphi, \psi$  be to  $K$ -linear mappings from  $E$  to  $F$ . For any  $x \in E$ , such that  $\|x\|_E \neq 0$ .

$$\begin{aligned} \|(\varphi + \psi)(x)\|_F &= \|\varphi(x) + \psi(x)\|_F \leq \|\varphi(x)\|_F + \|\psi(x)\|_F \\ &\leq \|\varphi\| \|x\|_E + \|\psi\| \|x\|_E = (\|\varphi\| + \|\psi\|) \|x\|_E. \end{aligned}$$

$$\|\varphi + \psi\| \leq \|\varphi\| + \|\psi\|.$$

So,  $\varphi, \psi \in \mathcal{L}(E, F) \Rightarrow \varphi + \psi \in \mathcal{L}(E, F)$ . Let  $\lambda \in K^\times$  and  $\varphi \in \mathcal{L}(E, F)$ , for any  $x \in E, \|x\|_E \neq 0$ . One has

$$\|(\lambda\varphi)(x)\|_F = \|\lambda \cdot \varphi(x)\|_F = |\lambda| \|\varphi(x)\|_F \leq |\lambda| \|\varphi\| \|x\|_E.$$

So,  $\|\lambda\varphi\| \leq |\lambda| \|\varphi\|$ ,  $\lambda\varphi \in \mathcal{L}(E, F)$ . So  $\mathcal{L}(E, F)$  is a vector subspace of  $F^E$ . Note that we can apply to  $\lambda^{-1}$  and  $\lambda\varphi$  and get

$$\|\lambda^{-1} \cdot \lambda\varphi\| = \|\varphi\| \leq |\lambda^{-1}| \|\lambda\varphi\| = |\lambda|^{-1} \|\lambda\varphi\|, \quad |\lambda| \leq \|\lambda\varphi\|.$$

Hence,  $|\lambda| \|\varphi\| = \|\lambda\varphi\|$  and therefore  $\|\cdot\|$  is a seminorm on  $\mathcal{L}(E, F)$ . □

**Definition 1.5.16** Let  $E$  be a vector space over  $K$ , and  $\|\cdot\|_1$  and  $\|\cdot\|_2$  be seminorms on  $E$ . We say that  $\|\cdot\|_1$  and  $\|\cdot\|_2$  are equivalent, if there exists  $c_1, c_2 \in \mathbb{R}_{>0}$  such that

$$\forall x \in E, \quad c_1 \|x\|_1 \leq \|x\|_2 \leq c_2 \|x\|_1.$$

**Proposition 1.5.17** Let  $E$  be a vector space over  $K$ , and  $\|\cdot\|_1$  and  $\|\cdot\|_2$  be seminorms on  $E$ . If  $\|\cdot\|_1$  and  $\|\cdot\|_2$  are equivalent, then they define the same topology on  $E$ .

**Proof** Let  $\mathcal{T}_1$  and  $\mathcal{T}_2$  to be the topologies defined by  $\|\cdot\|_1$  and  $\|\cdot\|_2$ , respectively. Then

$$\text{Id}_E : (E, \mathcal{T}_1) \longrightarrow (E, \mathcal{T}_2)$$

is bounded. So it is continuous, so  $\mathcal{T}_2 \subseteq \mathcal{T}_1$ . Similarly,  $\mathcal{T}_1 \subseteq \mathcal{T}_2$ .  $\square$

**Proposition 1.5.18** Let  $n \in \mathbb{N}_{\geq 1}$ , and  $(X_i, d_i)$ ,  $i \in \{1, 2, \dots, n\}$  be  $n$  seminormed vector spaces over  $K$ . Let  $X = \prod_{i=1}^n X_i$  and

$$d : X \times X \longrightarrow \mathbb{R}_{\geq 0},$$

$$d((x_1, \dots, x_n), (y_1, \dots, y_n)) \longmapsto \max_{i \in \{1, \dots, n\}} d_i(x_i, y_i).$$

Then  $d$  is a semimetric on  $X$ , and the topology induced by  $d$  is the product topology of  $\mathcal{T}_{d_i}$  (topology induced on  $X_i$  by  $d_i$ )  $i \in \{1, \dots, n\}$ .

**Proof**

$$(1) \ d((x_1, \dots, x_n), (x_1, \dots, x_n)) = \max_{i \in \{1, \dots, n\}} d_i(x_i, x_i) = 0.$$

(2)

$$\begin{aligned} d((x_1, \dots, x_n), (y_1, \dots, y_n)) &= \max_{i \in \{1, \dots, n\}} d_i(x_i, y_i) \\ &= \max_{i \in \{1, \dots, n\}} d_i(y_i, x_i) = d((y_1, \dots, y_n), (x_1, \dots, x_n)). \end{aligned}$$

(3)

$$\begin{aligned} &d((x_1, \dots, x_n), (z_1, \dots, z_n)) \\ &= \max_{i \in \{1, \dots, n\}} d_i(x_i, z_i) \\ &\leq \max_{i \in \{1, \dots, n\}} d_i(x_i, y_i) + \max_{i \in \{1, \dots, n\}} d_i(y_i, z_i) \\ &= d((x_1, \dots, x_n), (y_1, \dots, y_n)) + d((y_1, \dots, y_n), (z_1, \dots, z_n)). \end{aligned}$$

So  $d$  is a semimetric on  $X$ . For  $i \in \{1, \dots, n\}$ ,  $\mathcal{T}_i := \mathcal{T}_{d_i}$ , where  $\mathcal{T}_d$  is the topology induced by  $d$ . Let  $\pi_i : X \longrightarrow X_i$  be the project mapping (continuous with the product topology on  $X$ .) For any  $x = (x_1, \dots, x_n), y = (y_1, \dots, y_n)$  in  $X$ ,

$$d(x, y) = \max_{i \in \{1, \dots, n\}} d_i(x_i, y_i) = \max_{i \in \{1, \dots, n\}} d_i(\pi_i(x), \pi_i(y)),$$

have  $\forall i \in \{1, \dots, n\}, d_i(x_i, y_i) \leq d(x, y)$  which implies that

$$\text{Id}_X : (X, \mathcal{T}_d) \longrightarrow (X, \mathcal{T})$$

is continuous. So  $\mathcal{T} \subseteq \mathcal{T}_d$ .

$$\begin{aligned} \mathcal{B}((p_1, \dots, p_n), \varepsilon) &= \{(x_1, \dots, x_n) \mid d((p_1, \dots, p_n), (x_1, \dots, x_n)) < \varepsilon\} \\ &= \prod_{i=1}^n \mathcal{B}(p_i, \varepsilon) \in \mathcal{T}. \end{aligned}$$

□

## 1.6 Closed Subsets

**Example 1.6.1** (Review of open subsets) In  $\mathbb{R}$ , an interval of the form  $]a, b[$  is open, since  $]a, b[ = \mathcal{B}(\frac{a+b}{2}, \frac{b-a}{2})$ . An interval of the form  $]a, +\infty[$  is open, since  $]a, +\infty[ = \bigcup_{n \in \mathbb{N}_{\geq 1}} ]a, a+n[$ .

**Definition 1.6.2** Let  $(X, \mathcal{T})$  be a topological space. We say a subset  $Y$  of  $X$  is **closed** if  $X \setminus Y$  is open.

### Remark 1.6.3

- (1)  $\emptyset, X$  are closed.
- (2) If  $F_1, F_2$  are closed subset of  $X$ , then  $F_1 \cup F_2$  is closed.
- (3) If  $(F_i)_{i \in I}$  is a non-empty family of closed subsets of  $X$ , then  $\bigcap_{i \in I} F_i$  is closed.

**Example 1.6.4** Let  $(a, b) \in \mathbb{R}^2, a < b$ , then  $[a, b] \subseteq \mathbb{R}^2$  is closed. Moreover,  $] -\infty, a]$  is closed.

**Proposition 1.6.5** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces, and  $f : X \rightarrow Y$  be a mapping, then the following statements are equivalent:

- (1)  $f$  is continuous.
- (2) For any closed subset  $F$  of  $Y$ ,  $f^{-1}(F)$  is a closed subset of  $X$ .

### Proof

(1)  $\Leftrightarrow$  (2):  $f$  is continuous if and only if, for any open subset  $U$  of  $Y$ ,  $f^{-1}(U) \in \mathcal{T}_X$ . Let  $F \subseteq Y$  be closed, then  $Y \setminus F$  is open. So  $f^{-1}(Y \setminus F) = X \setminus f^{-1}(F)$  is open, so  $f^{-1}(F)$  is closed.

(2)  $\Leftrightarrow$  (1): Let  $U \in \mathcal{T}_Y$ , then  $F = Y \setminus U$  is closed, so  $f^{-1}(F) = X \setminus f^{-1}(U)$  is

closed. So  $f^{-1}(U) \in \mathcal{T}_Y$ . □

**Example 1.6.6**

In  $\mathbb{R}^2$ ,  $\{(x, y) \in \mathbb{R}^2 \mid x \geq 1\}$  is closed. Since  $\mathbb{R}^2 \setminus \{(x, y) \mid x \geq 1\} = ]-\infty, 0[ \times \mathbb{R}$ . Since  $f(x, y) = x + y$  is continuous, then  $f^{-1}([0, +\infty[) = \{(x, y) \in \mathbb{R}^2 \mid x + y \geq 0\}$  is closed.

**Example 1.6.7** Let  $(X, d)$  be a semimetric space. Let  $Y \subseteq X$ ,  $Y \neq \emptyset$ . We define, for any  $x \in X$ ,

$$d(x, Y) = \inf_{y \in Y} d(x, y) \in \mathbb{R}_{\geq 0}.$$

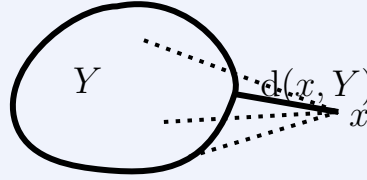


Figure 1.6.1: Definition of  $d(\cdot, Y)$ .

The mapping  $d(\cdot, Y) : X \longrightarrow \mathbb{R}$ ,  $x \longmapsto d(x, Y)$  is 1-Lipschitzian.

Let  $(x, x') \in X \times X$ ,  $\forall y \in Y$ ,

$$d(x, y) - d(x', y) \leq d(x, x').$$

Taking the infimum, we get

$$d(x, Y) - d(x', Y) \leq d(x, x').$$

By symmetry between  $x$  and  $x'$ ,  $d(x', Y) - d(x, Y) \leq d(x, x')$ . So

$$|d(x, Y) - d(x', Y)| \leq d(x, x').$$

For any  $r > 0$ , define

$$B(Y, r) := \{x \in X \mid d(x, Y) < r\},$$

$$\overline{B}(Y, r) := \{x \in X \mid d(x, Y) \leq r\}$$

$B(Y, r)$  is open,  $\overline{B}(Y, r)$  is closed. If  $Y = \{y\}$  is a one point set,  $B(Y, r)$  and  $\overline{B}(Y, r)$  are defined as  $B(y, r)$  and  $\overline{B}(y, r)$  respectively.



**Definition 1.6.8** Let  $(X, \mathcal{T})$  be a topological space.

(1) Let  $\mathcal{F}$  be a non-degenerate filter, an element  $p \in X$  is called **adherent point** of  $\mathcal{F}$  if  $\mathcal{F} \cup \mathcal{V}_p(\mathcal{T})$  generates a non-degenerate filter. ( $\forall U \in \mathcal{F}, \forall V \in \mathcal{V}_p(\mathcal{T}), U \cap V \neq \emptyset$ .)

(2) Let  $Y \subseteq X$ . We say that  $p \in X$  is an adherent point of  $Y$  if it is an adherent point of the principal filter  $\mathcal{F}_Y = \{U \subseteq X \mid Y \subseteq U\}$ . (For any neighborhood  $V$  of  $p$ ,  $Y \cap V \neq \emptyset$ .) We denote by  $\bar{Y}$  the set of all adherent points of  $Y$  called the **closure** of  $Y$ . Clearly,  $Y \subseteq \bar{Y}$ .

**Proposition 1.6.9** Let  $(X, \mathcal{T}_X)$  be a topological space,  $Y \subseteq X$ . Then  $\bar{Y}$  is the smallest closed subset containing  $Y$ . Namely,

$$\bar{Y} = \bigcap_{\substack{F \subseteq X \text{ closed,} \\ Y \subseteq F}} F.$$

**Proof** Let  $p \in \bar{Y}$ . If there exists a closed subset  $F$  containing  $Y$  such that  $p \notin F$ . So  $p \in X \setminus F$ . Hence  $X \setminus F \in \mathcal{V}_p(\mathcal{T})$ . So  $\emptyset = (X \setminus Y) \cap Y \supseteq (X \setminus F) \cap Y \neq \emptyset$ . Contradiction. Therefore,

$$\bar{Y} \subseteq \bigcap_{\substack{F \subseteq X \text{ closed,} \\ Y \subseteq F}} F.$$

Suppose that  $x \in X \setminus \bar{Y}$ . There exists an open neighborhood  $U$  of  $x$  such that  $U \cap Y = \emptyset$ . So  $x \notin F := X \setminus U$ .

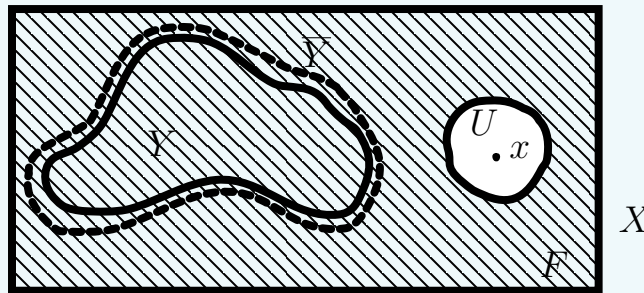


Figure 1.6.2: Closure

Note that  $F$  is closed and  $F \supseteq Y$ . Therefore,

$$X \setminus \bar{Y} \subseteq \bigcup_{\substack{F \subseteq X \text{ closed,} \\ Y \subseteq F}} (X \setminus F).$$

which leads to

$$\overline{Y} \supseteq X \setminus \left( \bigcup_{\substack{F \subseteq X \text{ closed,} \\ Y \subseteq F}} (X \setminus F) \right) = \bigcap_{\substack{F \subseteq X \text{ closed,} \\ Y \subseteq F}} F.$$

□

**Definition 1.6.10** Let  $(X, \mathcal{T})$  be a topological space and  $Y \subseteq X$ . We denote by  $Y^\circ$  the set of  $p \in Y$  such that  $Y$  is a neighborhood of  $p$ .

**Proposition 1.6.11**  $Y^\circ$  is the least<sup>a</sup> open subset of  $X$  such that is contained in  $Y$ . Moreover,

$$X \setminus Y^\circ = \overline{X \setminus Y}.$$

---

<sup>a</sup>largest

**Proof**  $\forall y \in Y^\circ$ , there exists  $U_y \in \mathcal{T}$  such that  $y \in U_y \subseteq Y$ . Therefore,  $\forall x \in U_y$ ,  $Y$  is a neighborhood of  $x$ , hence,  $U_y \subseteq Y^\circ$ . We thus obtain

$$Y^\circ = \bigcup_{y \in Y^\circ} \{y\} \subseteq \bigcup_{y \in Y^\circ} U_y \subseteq Y^\circ.$$

Hence  $Y^\circ$  is open.

If  $U \subseteq Y$  is open, then  $\forall x \in U$ ,  $Y$  is a neighborhood of  $x$ . So  $U \subseteq Y^\circ$ . Therefore,  $Y^\circ$  is the largest open subset that is contained in  $Y$ .

$$X \setminus Y^\circ = X \setminus \bigcup_{\substack{U \in \mathcal{T} \\ U \subseteq Y}} U = \bigcap_{\substack{U \in \mathcal{T} \\ U \subseteq Y}} X \setminus U \stackrel{F = X \setminus U}{=} \bigcap_{\substack{F \text{ closed} \\ X \setminus Y \subseteq F}} F = \overline{X \setminus Y}.$$

□

**Definition 1.6.12** Let  $(X, \mathcal{T})$  be a topological space. We equip  $X \times X$  with the product topology, (a topological basis is given by  $\{U \times V \mid (U, V) \in \mathcal{T}^2\}$ ) Let

$$\Delta_X := \{(x, x) \mid x \in X\} \subseteq X \times X.$$

If  $\Delta_X$  is closed, we say that  $(X, \mathcal{T})$  is a **Hausdorff space**. (Or  $(X, \mathcal{T})$  is separated.)

**Proposition 1.6.13**  $(X, \mathcal{T})$  is a Hausdorff space if and only if  $\forall (x, y) \in X \times X$ ,  $x \neq y$ , there exists  $(U, V) \in \mathcal{V}_x(\mathcal{T}) \times \mathcal{V}_y(\mathcal{T})$ , such that  $U \cap V = \emptyset$ .

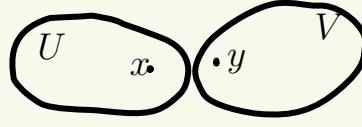


Figure 1.6.3: Hausdorff space

**Proof**

“ $\Rightarrow$ ”: If  $(x, y) \in X \times X$ ,  $x \neq y$ , then  $(x, y) \in (X \times X) \setminus \Delta_X$ . There exists  $(U, V) \subseteq (X \times X) \setminus \Delta_X$ , such that  $(x, y) \in U \times V$ , so  $(U \times V) \cap \Delta_X = \emptyset$ . Thus  $U \cap V = \emptyset$ . (If  $p \in U \cap V$ , then  $(p, p) \in (U \times V) \cap \Delta_X$ .)

“ $\Leftarrow$ ”: For any  $(x, y) \in X \times X$ ,  $x \neq y$ ,  $\exists U \in \mathcal{T}, \forall V \in \mathcal{T}, x \in U, y \in V, U \cap V = \emptyset$ . Then  $(x, y) \in U \times V$  and  $(U \times V) \cap \Delta_X = \emptyset$ . So  $\Delta_X$  is closed.  $\square$

**Proposition 1.6.14** Let  $(X, \mathcal{T})$  be a Hausdorff space. Let  $\mathcal{F}$  be a non-degenerate filter on  $X$ . If  $\mathcal{F}$  has a limit point<sup>a</sup>, then its limit point is unique.

<sup>a</sup>Let  $(X, \mathcal{T})$  be a topological space and  $\mathcal{F}$  be a filter on  $X$ . If  $p \in X$  is such that  $\mathcal{V}_p(\mathcal{T}) \subseteq \mathcal{F}$ , then we say that  $p$  is a limit point of  $\mathcal{F}$ .

**Proof (By contradiction)** Suppose that  $x$  and  $y$  are limit points of  $\mathcal{F}$ ,  $x \neq y$ . Since  $X$  is Hausdorff,  $\exists (U, V) \in \mathcal{T}^2$ ,  $x \in U, y \in V, U \cap V = \emptyset$ . Since  $x$  and  $y$  are limit points of  $\mathcal{F}$ ,  $U \in \mathcal{F}, V \in \mathcal{F}$ . This contradicts the hypothesis that  $\mathcal{F}$  is non-degenerate.  $\square$

**Example 1.6.15** Any metric space is Hausdorff.

Let  $(X, d)$  be a metric space,  $\forall (x, y) \in X \times X$ ,  $x \neq y$ ,  $d(x, y) > 0$ . Let  $\varepsilon = \frac{d(x, y)}{2}$ .  $B(x, \varepsilon) \cap B(y, \varepsilon) = \emptyset$ . In fact, if  $z \in B(x, \varepsilon) \cap B(y, \varepsilon)$ ,

$$d(x, y) \leq d(x, z) + d(z, y) < 2\varepsilon = d(x, y).$$

**Proposition 1.6.16** Let  $(X, \mathcal{T})$  be a topological space,  $Y$  be a subset of  $X$  and  $p \in X$ .

(1) Let  $Z$  be a set and  $f : Z \rightarrow X$  be a mapping. Let  $\mathcal{F}$  be a non-degenerate filter on  $Z$ . If  $p$  is a limit of  $f$  along  $\mathcal{F}$ , and if  $f(Z) \subseteq Y$ , then  $p \in \overline{Y}$ .

(2) Suppose that  $p$  has a countable neighborhood basis. If  $p \in \overline{Y}$ , then there exists a sequence  $(y_n)_{n \in \mathbb{N}}$  in  $Y$  that converges to  $p$ .

**Proof**

(1)  $p$  is a limit of  $f$  along  $\mathcal{F}$  if and only if  $\mathcal{V}_p(\mathcal{T}) \subseteq f_*(\mathcal{F})$ , or equivalently

$$\forall U \in \mathcal{V}_p(\mathcal{T}), f^{-1}(U) \in \mathcal{F}.$$

$f(f^{-1}(U)) \subseteq U \cap Y$ , since  $f(X) \subseteq Y$ . Hence  $U \cap Y \neq \emptyset$ . So  $p \in \bar{Y}$ .

(2) Since  $p$  has a countable neighborhood basis, there exists a decreasing sequence  $V_0 \supseteq V_1 \supseteq \dots$  of neighborhood of  $p$  such that  $\{V_n \mid n \in \mathbb{N}\}$  forms a filter basis of  $\mathcal{V}_p(\mathcal{T})$ . For any  $n \in \mathbb{N}$ ,  $V_n \cap Y = \emptyset$ , we take  $y_n \in V_n \cap Y$ . The sequence  $(y_n)_{n \in \mathbb{N}}$  converges to  $p$  since  $\forall n \in \mathbb{N}, \{y_k \mid k \in \mathbb{N}, k \geq n\} \subseteq V_n$ .  $\square$

**Example 1.6.17** Let  $(X, d)$  be a semimetric space.  $Y \subseteq X, \varepsilon > 0$ . If  $(y_n)_{n \in \mathbb{N}}$  is a sequence in  $B(Y, \varepsilon)$ , that converges to some  $p \in X$ , then

$$\lim_{n \rightarrow \infty} d(y_n, Y) = d(p, Y).$$

Therefore,  $\overline{B(Y, \varepsilon)} \subseteq \bar{B}(Y, \varepsilon) := \{x \in X \mid d(x, Y) \leq \varepsilon\}$ .

**Proposition 1.6.18** Let  $(X, d)$  be a semimetric space,  $Y \subseteq X$  be a closed subset.  $\forall x \in X \setminus Y, d(x, Y) > 0$ .

**Proof**  $X \setminus Y$  is open, so  $\exists \varepsilon > 0$  such that  $B(X, \varepsilon) \subseteq X \setminus Y$ . So  $\forall y \in Y, d(x, y) \geq \varepsilon$ . Hence,  $d(x, Y) \geq \varepsilon$ .  $\square$

**Corollary 1.6.19** Let  $(V, \|\cdot\|)$  be a semimetric space,  $W$  be a closed vector subspace of  $V$ .  $Q = V/W$ . Then the quotient seminorm

$$\|\cdot\|_Q : Q \longrightarrow \mathbb{R},$$

$$\alpha \longmapsto \inf_{x \in V, [x] = \alpha} \|x\|$$

is a norm.

**Proof** Let  $\alpha \in Q \setminus \{0\}$  and  $x \in V$  such that  $\alpha = [x]$ . Since  $\alpha \neq 0, x \notin W$ .

$$0 < d(x, W) := \inf_{y \in W} \|x - y\| = \inf_{\substack{x' \in V \\ [x'] = \alpha}} \|x'\| = \|\alpha\|_Q.$$

$\square$

**Proposition 1.6.20** If  $(X, \mathcal{T})$  is a Hausdorff space, then,  $\forall x \in X$ ,  $\{x\}$  is closed.

**Proof**  $\forall y \in X \setminus \{x\}, y \neq x$ . So  $\exists (U, V) \in \mathcal{T} \times \mathcal{T}, x \in U, y \in V. U \cap V = \emptyset$ . So  $V \subseteq X \setminus \{x\}$ . Hence  $X \setminus \{x\}$  is a neighborhood of  $y$ .  $\square$

**Remark 1.6.21** Let  $(V, \|\cdot\|)$  be a seminorm space.  $W \subseteq V, Q = V/W$  and  $\|\cdot\|_Q$  is the quotient seminorm. The mapping  $\pi : V \rightarrow Q, x \mapsto [x]$  is continuous since  $\|[x]\|_Q \leq \|x\|$ . If  $\|\cdot\|_Q$  is a norm then  $\{0_Q\}$  is closed (since  $Q$  is Hausdorff). So  $W = \pi^{-1}(\{0_Q\}) = \ker(\pi)$  is closed. This shows that  $\|\cdot\|_Q$  is a norm  $\Leftrightarrow W$  is closed.

## 1.7 Completeness

**Definition 1.7.1** Let  $(X, d)$  be a semimetric space,  $Y \subseteq X$ , we define the diameter of  $Y$  as  $\text{diam}(Y) := \sup_{(x,y) \in Y^2} d(x, y)$ . If  $\text{diam}(Y) < +\infty$ , we say that  $Y$  is **bounded**.

**Remark 1.7.2** Let  $(E, d)$  be a semimetric space.

(1) If  $A$  and  $B$  are subsets of  $E$ , then

$$A \subseteq B \Rightarrow \text{diam}(A) \leq \text{diam}(B).$$

(2) If  $A \subseteq B \subseteq E$  and  $B$  is bounded, then  $A$  is bounded.

(3) If  $A = \{x_1, \dots, x_n\} \subseteq E, n \in \mathbb{N}_{\geq 1}$ . Then

$$\text{diam}(A) = \max_{(i,j) \in \{1, \dots, n\}^2} d(x_i, x_j) < +\infty.$$

So  $A$  is bounded.

(4)  $\forall p \in X, \text{diam}(\bar{B}(p, r)) \leq 2r, \forall r \in \mathbb{R}_{>0}$ . In fact,  $\forall (x, y) \in \bar{B}(p, r)^2, d(x, y) \leq d(x, p) + d(p, y) \leq 2r$ .

**Proposition 1.7.3** Let  $(E, d)$  be a semimetric space,  $A \subseteq E$ . Suppose that  $A$  is bounded. Let  $r = \text{diam}(A)$ . For any  $p \in A, A \subseteq \bar{B}(p, r)$ .

**Proof**  $\forall x \in A, d(p, x) \leq \text{diam}(A) = r$ .  $\square$

**Proposition 1.7.4** Let  $(E, d)$  be a semimetric space,  $A \subseteq E$ ,  $B \subseteq E$  and  $(x_0, y_0) \in A \times B$ . Then

$$\text{diam}(A \cup B) \leq \text{diam}(A) + \text{diam}(B) + d(x_0, y_0).$$

**Proof** Let  $(x, y) \in (A \cup B)^2$ .

Case 1.  $\{x, y\} \in A$ ,  $d(x, y) \leq \text{diam}(A)$ .

Case 2.  $\{x, y\} \in B$ ,  $d(x, y) \leq \text{diam}(B)$ .

Case 3.  $x \in A$ ,  $y \in B$ ,

$$d(x, y) \leq d(x, x_0) + d(x_0, y_0) + d(y_0, y) \leq \text{diam}(A) + \text{diam}(B) + d(x_0, y_0).$$

Case 4.  $x \in B$ ,  $y \in A$ . Same as case 3.

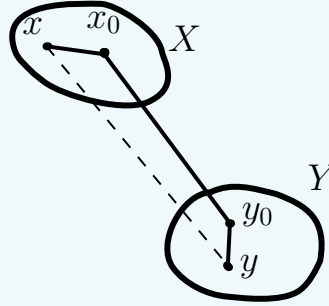


Figure 1.7.1: Prop. 1.7.4

□

**Corollary 1.7.5** If  $A \cap B \neq \emptyset$ , then

$$\text{diam}(A \cup B) \leq \text{diam}(A) + \text{diam}(B).$$

**Definition 1.7.6** Let  $(E, d)$  be a semimetric space, and  $\mathcal{F}$  be a non-degenerate filter on  $E$ . If  $\inf_{A \in \mathcal{F}} \text{diam}(A) = 0$ , we say that  $\mathcal{F}$  is a **Cauchy filter**.

**Example 1.7.7**  $E = ]0, 1]$ . If  $\mathcal{F}$  is generated by  $]0, \varepsilon[$ ,  $\varepsilon \leq 1$ , then  $\mathcal{F}$  is a Cauchy filter.

**Proposition 1.7.8** Let  $(E, d)$  be a semimetric space and  $\mathcal{F}$  be a non-degenerate filter on  $E$ . If  $\mathcal{F}$  has a limit point  $p$ , then  $\mathcal{F}$  is a Cauchy filter.

**Proof**  $\forall \varepsilon > 0$ ,  $\bar{B}(p, \frac{\varepsilon}{2}) \in \mathcal{F}$ ,  $\text{diam}(\bar{B}(p, \frac{\varepsilon}{2})) \leq \varepsilon$ . So  $\inf_{A \in \mathcal{F}} \text{diam}(A) = 0$ .  $\square$

**Proposition 1.7.9** Let  $(E, d)$  be a semimetric space, and  $\mathcal{F}$  be a Cauchy filter on  $E$ . Any adherent point of  $\mathcal{F}$  is a limit point of  $\mathcal{F}$ .

**Proof** Let  $\varepsilon > 0$ . Let  $A \in \mathcal{F}$  such that  $\text{diam}(A) < \frac{\varepsilon}{2}$ . Note that  $B(p, \frac{\varepsilon}{4}) \cap A \neq \emptyset$ . So

$$\text{diam}(B(p, \frac{\varepsilon}{4}) \cup A) \leq \text{diam}(B(p, \frac{\varepsilon}{4})) + \text{diam}(A) < \frac{\varepsilon}{2} + \frac{\varepsilon}{2}.$$

So  $A \subseteq B(p, \varepsilon)$ .  $\square$

**Definition 1.7.10** Let  $(E, d)$  be a semimetric space.  $I \subseteq \mathbb{N}$  be an infinite subset,  $\mathcal{F}$  be the Fréchet filter on  $I$ . We say that a sequence  $x := (x_n)_{n \in I} \in E^I$  is a Cauchy sequence if  $x_*(\mathcal{F}) := \{U \subseteq E \mid x^{-1}(U) \in \mathcal{F}\}$  is a Cauchy filter. Or equivalently,

$$\forall \varepsilon > 0, \exists N \in I, \forall n, m \in I_{\geq N}, d(x_n, x_m) \leq \varepsilon.$$

**Proof** “ $\Rightarrow$ ”  $\forall \varepsilon > 0$ ,  $\exists U \in x_*(\mathcal{F})$ ,  $\text{diam}(U) \leq \varepsilon$ . Since  $x^{-1}(U) \in \mathcal{F}$ ,  $\exists N \in \mathbb{N}$  such that  $I_{\geq N} \subseteq x^{-1}(U)$ . So  $\{x_n \mid n \in I_{\geq N}\} \subseteq U$ , which leads to

$$\forall (n, m) \in I_{\geq N}^2, d(x_n, x_m) \leq \varepsilon.$$

“ $\Leftarrow$ ”  $\forall \varepsilon > 0$ ,  $\exists N \in \mathbb{N}$ ,  $\text{diam}(\{x_n \mid n \in I_{\geq N}\}) \leq \varepsilon$ .  $\square$

**Proposition 1.7.11** Let  $(E, d)$  be a semimetric space, and  $(x_n)_{n \in I}$  be a sequence in  $E$ .

- (1) If  $(x_n)_{n \in I}$  is convergent, then it is a Cauchy sequence.
- (2) If  $(x_n)_{n \in I}$  is a Cauchy sequence, then  $\{x_n \mid n \in I\}$  is bounded.
- (3) If  $(x_n)_{n \in I}$  is a Cauchy sequence, then any of its subsequence is a Cauchy sequence.
- (4) If  $(x_n)_{n \in I}$  is a Cauchy sequence, and if there exists a subsequence  $(x_n)_{n \in J}$  that converges to some  $p \in E$ , then  $(x_n)_{n \in I}$  converges to  $p$ .

**Proof** Let  $\mathcal{F}_I$  be a Fréchet filter on  $I$ .

- (1)  $x_*(\mathcal{F}_I)$  has a limit point. So it is a Cauchy filter.

(2)  $\exists N \in \mathbb{N}$  such that  $\text{diam}(\{x_n \mid n \in I_{\geq N}\}) \leq 1 < +\infty$ . So  $\text{diam}(\{x_n \mid n \in I\}) < +\infty$  since

$$\{x_n \mid n \in I\} = \{x_n \mid n \in I_{<N}\} \cup \{x_n \mid n \in I_{\geq N}\}.$$

(3) Let  $J$  be an infinite subset of  $I$ ,  $\lambda : J \rightarrow I$  be the inclusion mapping, and  $\mathcal{F}_J$  be the Fréchet filter. Then  $\lambda_*(\mathcal{F}_J) \supseteq \mathcal{F}_I$ .

$$(x \circ \lambda)_*(\mathcal{F}_J) = x_*(\lambda_*(\mathcal{F}_J)) \supseteq x_*(\mathcal{F}_I).$$

Since  $x_*(\mathcal{F}_I)$  is a Cauchy filter,  $(x \circ \lambda)_*(\mathcal{F}_J)$  is a Cauchy filter.

(4) We keep the notation introduced in the proof of (3). If  $p$  is a limit point of  $x \circ \lambda_*(\mathcal{F}_J)$ . Then  $p$  is an adherent point of  $x_*(\mathcal{F}_I)$ . Since  $x_*(\mathcal{F}_I)$  is a Cauchy filter,  $p$  is a limit point of  $x_*(\mathcal{F}_I)$ .  $\square$

**Definition 1.7.12** Let  $(X, d)$  be a semimetric space. If any Cauchy filter on  $X$  has a limit point, then we say that  $(X, d)$  is complete.

**Proposition 1.7.13** Let  $(X, d)$  be a metric space and  $Y$  be a subset of  $X$ . If  $(Y, d)$  is complete, then  $Y$  is a closed subset of  $X$ .

**Proof** Let  $(y_n)_{n \in \mathbb{N}}$  be a sequence in  $Y$  that converges in  $X$  to some  $p \in X$ . So  $(y_n)_{n \in \mathbb{N}}$  is a Cauchy sequence in  $Y$ , thus it converges to some  $q \in Y$ . Since  $X$  is Hausdorff,  $p = q$ . Then  $\bar{Y} = Y$  (Since  $\bar{Y}$  is the set of limits of all sequence in  $Y$ ). So  $Y$  is closed.  $\square$

**Example 1.7.14**  $(\mathbb{R}, |\cdot|)$  is complete.

Let  $(x_n)_{n \in I}$  be a Cauchy sequence in  $\mathbb{R}$ . Let  $M > 0$  such that  $\forall n \in I, |x_n| \leq M$ . Hence,

$$-M \leq \liminf_{n \rightarrow +\infty} x_n \leq \limsup_{n \rightarrow +\infty} x_n \leq M.$$

By Bolzano-Weierstrass,  $\exists J \subseteq I$  infinite such that  $(x_n)_{n \in I}$  converges to  $\limsup_{n \rightarrow +\infty} x_n \in \mathbb{R}$ . So  $(x_n)_{n \in I}$  converges.

**Proposition 1.7.15** Let  $(X, d)$  be a semimetric space,  $(X, d)$  is complete if and only if any Cauchy sequence in  $X$  is convergent.



**Proof** It suffices to prove “ $\Leftarrow$ ”. Suppose that all Cauchy sequence in  $X$  converges. Let  $\mathcal{F}$  be a Cauchy filter on  $X$ .  $\forall n \in \mathbb{N}$ , let  $A_n \in \mathcal{F}$ ,  $\text{diam}(A) < \frac{1}{n+1}$ .  $\forall n \in \mathbb{N}$ , let  $B_n = A_0 \cap \dots \cap A_n \in \mathcal{F}$ ,  $\text{diam}(B) \leq \frac{1}{n+1}$ . Take  $x_n \in B_n$ . Then  $(x_n)_{n \in \mathbb{N}}$  is a Cauchy sequence. Hence  $(x_n)_{n \in \mathbb{N}}$  converges to  $p \in X$ .

We claim that  $p$  is a limit point of  $\mathcal{F}$ . If  $V$  is a neighborhood of  $p$ , then  $\exists N \in \mathbb{N}$  such that  $\{x_n \mid n \in \mathbb{N}_{\geq N}\} \subseteq V$ . So  $V \cap B_n \neq \emptyset$ ,  $\forall n \in \mathbb{N}_{\geq N}$ .

Let  $A \in \mathcal{F}$ ,  $A \cap B_n \neq \emptyset$ . Take  $y_n \in A \cap B_n$ ,  $(y_n)_{n \in \mathbb{N}}$  is a Cauchy sequence.  $d(y_n, x_n) \leq \frac{1}{1+n}$ , so  $(y_n)_{n \in \mathbb{N}}$  converges to  $p$ . Thus  $V \cap A \neq \emptyset$ .  $\square$

**Proposition 1.7.16** Let  $n$  be a positive integer. For any  $i \in \{1, \dots, n\}$ , let  $(X_i, d_i)$  be a semimetric space. Let  $X = X_1 \times \dots \times X_n$  and

$$\pi_i : X \longrightarrow X_i$$

$$(x_1, \dots, x_n) \longmapsto x_i$$

be the projection mapping. We equip  $X$  with the product semimetric  $d$

$$d((x_1, \dots, x_n), (y_1, \dots, y_n)) := \max_{i \in \{1, \dots, n\}} d_i(x_i, y_i).$$

Let  $\mathcal{F}$  be a non-degenerate filter on  $X$ . For any  $i \in \{1, \dots, n\}$ , let

$$\mathcal{F}_i = (\pi_i)_* (\mathcal{F}).$$

(1) The filter  $\mathcal{F}$  is a Cauchy filter if and only if  $\mathcal{F}_i$  is a Cauchy filter for any  $i \in \{1, \dots, n\}$ .

(2) The filter  $\mathcal{F}$  has a limit point if and only if  $\mathcal{F}_i$  has a limit point for any  $i \in \{1, \dots, n\}$ . If  $p_i$  is the limit point of  $\mathcal{F}_i$ , then  $p = (p_1, \dots, p_n)$  is a limit point of  $\mathcal{F}$ .

(3) If  $(X_1, d_1), \dots, (X_n, d_n)$  are complete, then  $(X, d)$  is complete.

### Proof

(1) Since  $\pi_i$  are Lipschitzian, if  $\mathcal{F}$  is a Cauchy filter, then  $\mathcal{F}_i$  are all Cauchy filters. Conversely, let  $\mathcal{F}$  be a non-degenerate filter such that  $\mathcal{F}_i$  is a Cauchy filter for all  $i \in \{1, \dots, n\}$ . For any  $\varepsilon > 0$ , any  $i \in \{1, \dots, n\}$ , there exists  $A_i \in \mathcal{F}_i$  such that  $\text{diam}(A_i) < \varepsilon$ . We define

$$A := A_1 \times \dots \times A_n = \bigcap_{i=1}^n \pi_i^{-1}(A_i) \in \mathcal{F}.$$

For any  $(x_1, \dots, x_n), (y_1, \dots, y_n) \in A$ ,  $d(x, y) = \max_{i \in \{1, \dots, n\}} d_i(x_i, y_i) < \varepsilon$ .

(2)  $\pi_i$  is Lipschitzian so also continuous  $i \in \{1, \dots, n\}$ , therefore, if  $p = (p_1, \dots, p_n)$  a limit point of  $\mathcal{F}$ , then  $\pi_i(p) = p_i$  is a limit point of  $\mathcal{F}_i$ .

Conversely, Suppose that  $p_i$  is a limit point of  $\mathcal{F}_i$ . Let  $U$  be a neighborhood of  $p$ . There exists  $U_i \in \mathcal{F}_i$  neighborhood of  $p_i$  such that  $U_1 \times \dots \times U_n \subseteq U$ .

$$U \supseteq \bigcap_{i=1}^n \pi_i^{-1}(U_i) \in \mathcal{F},$$

so  $U \in \mathcal{F}$ . □

**Proposition 1.7.17** Let  $(X, d_X), (Y, d_Y)$  be two semimetric spaces,  $f : X \rightarrow Y$  be uniformly continuous. For any Cauchy filter  $\mathcal{F}$  on  $X$ ,  $f_*(\mathcal{F})$  is also a Cauchy filter on  $Y$ .

**Proof**  $\forall \varepsilon > 0, \exists \delta > 0$  such that

$$\forall (x, y) \in X \times X, d_X(x, y) < \delta \Rightarrow d_Y(f(x), f(y)) < \varepsilon.$$

Let  $A \in \mathcal{F}$  such that  $\text{diam}(A) < \delta$ , then  $f(A) \in f_*(\mathcal{F})$  and  $\text{diam}(f(A)) < \varepsilon$ . □

**Proposition 1.7.18** Let  $(X, d), (X', d')$  be two semimetric spaces,  $f : X \rightarrow X'$  injective mapping. Assume that there exists positive constants  $C_1$  and  $C_2$  such that  $\forall (x, y) \in X \times X, C_1 \cdot d(x, y) \leq d'(f(x), f(y)) \leq C_2 \cdot d(x, y)$ .

(1) For any sequence  $(x_i)_{i \in I}$  in  $X$ , the sequence  $(x_i)_{i \in I}$  is a Cauchy sequence if and only if the sequence  $(f(x_i))_{i \in I}$  is a Cauchy sequence.

(2) For any  $(x_i)_{i \in I}$  in  $X$ ,  $(x_i)_{i \in I}$  is a convergent if and only if  $(f(x_i))_{i \in I}$  is convergent.

(3) If  $(X, d)$  is complete, then  $(f(X), d')$  is complete.  $f(X)$  is closed in  $X'$  if in addition we assume that  $d'$  is a metric.

**Proof** Note that  $f : X \rightarrow f(X), f^{-1} : f(X) \rightarrow X$  are Lipschitzian. Apply the previous proposition. □

**Theorem 1.7.19** Let  $(K, |\cdot|)$  be a valued field such that  $(K, |\cdot|)$  is complete. Let  $V$  be a finite dimensional vector space over  $K$ .

(1) All possible norms on  $V$  are equivalent.

(2) For any norm  $\|\cdot\|$  on  $V$ , the normed space  $(V, \|\cdot\|)$  is complete.

(3) If we equip  $V$  with the topology induced by an arbitrary norm  $\|\cdot\|$ . For any normed vector space  $(V, \|\cdot\|)$ , any  $K$ -linear mapping  $f : V \longrightarrow V'$  is bounded and  $f(V)$  is closed in  $V'$ .

**Proof** Let  $e := (e_i)_{i=1}^n$  be a basis of  $V$ . Then, the mapping

$$\|\cdot\|_e : V \longrightarrow \mathbb{R}_{>0}, \|a_1e_1 + \dots + a_ne_n\| = \max_{i \in \{1, \dots, n\}} |a_i|$$

is a norm on  $V$ . For  $f : V \longrightarrow V'$ ,

$$\|f(a_1e_1 + \dots + a_ne_n)\|' = \|a_1f(e_1) + \dots + a_nf(e_n)\|' \quad (1.7.1)$$

$$\leq |a_1| \|f(e_1)\|' + \dots + |a_n| \|f(e_n)\|' \quad (1.7.2)$$

$$\leq \max_{i \in \{1, \dots, n\}} |a_i| \sum_{i=1}^n \|f(e_i)\|'. \quad (1.7.3)$$

Therefore the  $K$ -linear mapping  $f : (V, \|\cdot\|_e) \longrightarrow (V', \|\cdot\|')$  is bounded. In particular, for any  $\|\cdot\|$  on  $V$ ,  $\text{Id} : (V, \|\cdot\|) \longrightarrow (V, \|\cdot\|)$  is bounded. So there exists  $C > 0$ ,  $\|\cdot\| \leq C\|\cdot\|_e$ .

To prove the theorem, we reason by induction with respect to  $n = \dim(V)$ .

In case when  $n = 0$ ,  $V = \{0\}$  has the unique norm  $\|\cdot\|_0$  (constant mapping with the value  $0 \in \mathbb{R}$ ). Any sequence in  $\{0_V\}$  is constant, and hence convergent ( $(\{0\}, \|\cdot\|_0)$  complete). If  $f : (\{0_V\}, \|\cdot\|) \longrightarrow (V, \|\cdot\|)$  is  $K$ -linear, then it is bounded. The unique  $f(\{0_V\})$  is a one-point set, which is closed, since  $(V, \|\cdot\|)$  is Hausdorff.

In case when  $n = 1$ , let  $e_1$  be the basis of  $V$ , and  $\|\cdot\|$  be an arbitrary norm on  $V$ .

$$\|a_1e_1\| = |a_1| \|e_1\| = \|a_1e_1\|_{e_1} \cdot \|e_1\|,$$

so  $\|\cdot\|$  is equivalent to  $\|\cdot\|_{e_1}$ .

(2) Since  $(K, |\cdot|)$  is complete, so  $(V, \|\cdot\|_{e_1})$  is also complete, since

$$(K, |\cdot|) \longrightarrow (V, \|\cdot\|_{e_1})$$

$$a \longmapsto ae_1$$

is an isomorphism.

(3) We have seen that the mapping  $f : (V, \|\cdot\|_{e_1}) \longrightarrow (V', \|\cdot\|')$  is bounded. So  $f : (V, \|\cdot\|) \longrightarrow (V', \|\cdot\|')$  is also bounded.  $f(V) = \{0\}$ , or  $(f(V), \|\cdot\|')$  is of dimension 1, so  $(f(V), \|\cdot\|')$  is complete, so  $f(V)$  is closed.

Suppose that the theorem holds for normed vector space of dimension  $< n$ . Then the case of dimension  $n$ :

Let  $e = (e_i)_{i=1}^n$  be a basis of  $V$ . Let  $W = \text{span}_K(\{e_1, \dots, e_n\})$ . If  $\|\cdot\|$  is a norm on  $V$ , then, by the induction hypothesis,

(i)  $\|\cdot\|$  and  $\|\cdot\|_e$  are equivalent on  $W$ , that is,  $\exists A > 0$  such that  $\forall (a_1, \dots, a_{n-1})$ ,

$$\max\{|a_1|, \dots, |a_n|\} = \|a_1e_1 + \dots + a_{n-1}e_{n-1}\|_e \leq A\|a_1e_1 + \dots + a_{n-1}e_{n-1}\|.$$

(ii)  $(W, \|\cdot\|)$  is complete.

(iii)  $W$  is a closed subset of  $V$ .

Let  $Q = V/W$ , and  $\|\cdot\|_Q$  be the quotient norm on  $Q$ . Let  $(b_1, \dots, b_n) \in K^n$ ,

$$s = b_1e_1 + \dots + b_ne_n \in V, t = b_1e_1 + \dots + b_ne_n + w \in V, \alpha = [s] = b_n[e_n] \in Q.$$

$$\|t\| = \|s - b_ne_n\| \leq \|s\| + |b_n| \|e_n\|.$$

Take  $B = \frac{\|e_n\|}{\|[e_n]\|_Q} \in \mathbb{R}$ ,  $\|s\| \geq \|\alpha\|_Q = |b_n| \|[e_n]\|_Q = B^{-1}|b_n|\|e_n\|$ .

$$B^{-1}\|s\| \geq (\|t\| - |b_n| \cdot \|e_n\|) B^{-1}$$

$$\|s\| \geq B^{-1} \cdot |b_n| \cdot \|e_n\|.$$

$$(B^{-1} + 1) \|s\| \geq B^{-1}\|t\| \geq B^{-1}A^{-1} \max\{|b_1|, \dots, |b_n|\}$$

Take  $C = \min\left\{\frac{B^{-1}A^{-1}}{B^{-1}+1}, B^{-1} \cdot \|e_n\|\right\}$ . Then  $\|s\| \geq C \max\{|b_1|, \dots, |b_n|\}$ . So  $\|\cdot\|$  is equivalent to  $\|\cdot\|_e$ .

(2) Since  $(V, \|\cdot\|)_e$  is complete and  $\|\cdot\|$  is equivalent to  $\|\cdot\|_e$ , we obtain that  $(V, \|\cdot\|)$  is also complete.

(3) Since  $f : (V, \|\cdot\|_e) \rightarrow (V', \|\cdot\|')$  is bounded,  $f : (V, \|\cdot\|) \rightarrow (V', \|\cdot\|')$  is also bounded.

If  $f$  is not injective,  $\dim(f(V)) < n$ , so  $(f(V), \|\cdot\|')$  is complete,  $f(V)$  is thus closed.

If  $f$  is injective, then  $\|f(\cdot)\|'$  and  $\|\cdot\|$  are equivalent norms on  $V$ . So  $(f(V), \|\cdot\|')$  is complete. Hence  $f(V)$  is closed.

□

**Proposition 1.7.20** Let  $(X, d)$  be a complete semimetric space. Let  $Y \subseteq X$  be a closed subset. Then  $(Y, d)$  is complete.

**Proof** Let  $(x_n)_{n \in \mathbb{N}}$  be a Cauchy sequence in  $Y$ . It is also a Cauchy sequence in  $X$ , so converges to some  $l \in X$ . Since  $Y$  is closed, one has  $l \in Y$ . So  $(Y, d)$  is complete. □

## 1.8 Compactness

**Definition 1.8.1** Let  $X$  be a set and  $\mathcal{F}$  be a non-degenerate filter on  $X$ . If there does not exist any non-degenerate filter  $\mathcal{G}$  on  $X$  such that  $\mathcal{F} \subsetneq \mathcal{G}$ , then we say that  $\mathcal{F}$  is an ultrafilter.

**Proposition 1.8.2** For any non-degenerate filter  $\mathcal{F}$  on  $X$ , there exists an ultrafilter on  $X$  containing  $\mathcal{F}$ .

**Proof** Let  $\Theta$  be a set of non-degenerate filters containing  $\mathcal{F}$ , equipped with  $\subseteq$ . Let  $\Theta_0$  be a non-empty totally ordered subset. Let  $\mathcal{F}' = \bigcup_{\mathcal{H} \in \Theta_0} \mathcal{H}$ .

We prove that  $\mathcal{F}'$  is a filter.

(1) Let  $(V_1, V_2) \in \mathcal{F}' \times \mathcal{F}'$ ,  $\exists \mathcal{H}_1, \mathcal{H}_2$  in  $\Theta_0$ ,  $V_1 \in \mathcal{H}_1$ ,  $V_2 \in \mathcal{H}_2$ . Since  $\Theta_0$  is totally ordered, either  $\mathcal{H}_1 \subseteq \mathcal{H}_2$  or  $\mathcal{H}_2 \subseteq \mathcal{H}_1$ .

If  $\mathcal{H}_1 \subseteq \mathcal{H}_2$ ,  $V_1 \cap V_2 \in \mathcal{H}_2 \subseteq \mathcal{F}'$ . If  $\mathcal{H}_2 \subseteq \mathcal{H}_1$ ,  $V_1 \cap V_2 \in \mathcal{H}_1 \subseteq \mathcal{F}'$ .

(2) Let  $V \in \mathcal{F}'$ , let  $\mathcal{H} \in \Theta_0$  such that  $V \in \mathcal{H}$ .  $\forall U \in \wp(X)$ ,  $U \supseteq V$ , one has  $U \in \mathcal{H} \subseteq \mathcal{F}'$ . So  $\mathcal{F}' \in \Theta$ . It is an upper bound of  $\Theta_0$ . By Zorn's lemma, there exists maximal  $\mathcal{G} \in \Theta$ , it is an ultrafilter containing  $\mathcal{F}$ . □

**Proposition 1.8.3** Let  $X$  be a set and  $\mathcal{F}$  be a non-degenerate filter on  $X$ . The following conditions are equivalent.

- (1)  $\mathcal{F}$  is an ultrafilter.
- (2)  $\forall A \in \wp(X)$ , either  $A \in \mathcal{F}$  or  $X \setminus A \in \mathcal{F}$ .
- (3)  $\forall (A, B) \in \wp(X)^2$ , if  $A \cup B \in \mathcal{F}$ , then  $A \in \mathcal{F}$  or  $B \in \mathcal{F}$ .

**Proof**

(1) $\Rightarrow$ (2): Suppose that  $A \in \wp(X)$  such that  $A \notin \mathcal{F}$  and  $X \setminus A \notin \mathcal{F}$ . Let  $B \in \mathcal{F}$ . If  $B \cap A = \emptyset$ , then  $B \subseteq X \setminus A$ . So  $\mathcal{F} \cup \{A\}$  generates a non-degenerate filter  $\mathcal{F}' \supsetneq \mathcal{F}$ , contradiction.

(2) $\Rightarrow$ (3): Suppose that  $B \notin \mathcal{F}$ . Then  $X \setminus B \in \mathcal{F}$ . So  $(A \cup B) \cap (X \setminus B) \in \mathcal{F}$ . So  $A \in \mathcal{F}$ .

(3) $\Rightarrow$ (1): Let  $\mathcal{F}'$  be a non-degenerate filter such that  $\mathcal{F} \subsetneq \mathcal{F}'$ . Take  $A \in \mathcal{F}' \setminus \mathcal{F}$ . Then  $X = A \cup (X \setminus A)$ . Since  $A \notin \mathcal{F}$ ,  $X \setminus A \in \mathcal{F} \subseteq \mathcal{F}'$ . So  $\emptyset = A \cap (X \setminus A) \in \mathcal{F}'$ . Contradiction. □

**Corollary 1.8.4** Let  $f : X \rightarrow Y$  be mapping of sets. If  $\mathcal{F}$  is an ultrafilter on  $X$ , then  $f_*(\mathcal{F})$  is an ultrafilter on  $Y$ .

**Proof** Let  $A$  and  $B$  be subsets of  $Y$  such that

$$A \cup B \in f_*(\mathcal{F}) := \{C \subseteq Y \mid f^{-1}(C) \in \mathcal{F}\}$$

$$f^{-1}(A \cup B) = f^{-1}(A) \cup f^{-1}(B) \in \mathcal{F}.$$

Since  $\mathcal{F}$  is an ultrafilter,  $f^{-1}(A) \in \mathcal{F}$  or  $f^{-1}(B) \in \mathcal{F}$ . Namely,  $A \in f_*(\mathcal{F})$  or  $B \in f_*(\mathcal{F})$ .  $\square$

**Definition 1.8.5** Let  $(X, \mathcal{T})$  be a topological space,  $Y \subseteq X$  and  $(U_i)_{i \in I}$  be a family of subset of  $X$ .

- (1) If  $Y \subseteq \bigcup_{i \in I} U_i$ , we say that  $(U_i)_{i \in I}$  is a **cover** of  $Y$ .
- (2) If  $\exists J \in I$  such that  $Y \subseteq \bigcup_{j \in J} U_j$ , we say that  $(U_j)_{j \in J}$  is a **subcover** of  $(U_i)_{i \in I}$  of  $Y$ .
- (3) If  $(U_i)_{i \in I} \in \mathcal{T}^I$  is a cover of  $Y$ , we say that it is an **open cover** of  $Y$ .
- (4) If  $I$  is a finite set and  $(U_i)_{i \in I}$  is a cover of  $Y$ , we say that  $(U_i)_{i \in I}$  is a **finite open cover**.

**Proposition 1.8.6** Let  $(X, \mathcal{T})$  be a topological space and  $Y \subseteq X$ . The following conditions are equivalent:

- (1) For any ultrafilter  $\mathcal{G}$  on  $X$  such that  $Y \in \mathcal{G}$ ,  $\mathcal{G}$  has a limit point in  $Y$ .
- (2) For any non-degenerate filter  $\mathcal{F}$  on  $X$ , such that  $Y \in \mathcal{F}$ ,  $\mathcal{F}$  has an adherent point in  $Y$ .
- (3) Any open cover of  $Y$  has a finite subcover.

**Proof**

(1) $\Rightarrow$ (2) Let  $\mathcal{G}$  be an ultrafilter containing  $\mathcal{F}$  and  $x \in Y$  be a limit point of  $\mathcal{G}$ . For any  $U \in \mathcal{V}_x(\mathcal{T})$ ,  $U \in \mathcal{G}$ , so  $\forall A \in \mathcal{F}$ ,  $U \cap A \neq \emptyset$ .

(2) $\Rightarrow$ (1) Let  $\mathcal{G}$  be an ultrafilter, and let  $x \in Y$  be an adherent point of  $\mathcal{G}$ . Then the filter  $\mathcal{G}'$  generated by  $\mathcal{G} \cup \mathcal{V}_x(\mathcal{T})$  is non-degenerate and contains  $\mathcal{G}$ . So  $\mathcal{G}' = \mathcal{G}$ . This means  $\mathcal{V}_x(\mathcal{T}) \subseteq \mathcal{G}$ .

(2) $\Rightarrow$ (3) Let  $(U_i)_{i \in I}$  be an open cover of  $Y$ . Suppose that it does not have any finite subcover. For any  $i \in I$ , let  $F_i = X \setminus U_i$ . For any finite subset  $J$  of  $I$ ,

$Y \subseteq \bigcup_{j \in \mathcal{F}} U_j$ . So

$$Y \cap \left( X \setminus \bigcup_{j \in J} U_j \right) = Y \cap \bigcap_{j \in J} F_j \neq \emptyset.$$

So  $\{F_i \mid i \in I\} \cup \{Y\}$  generates a non-degenerate filter  $\mathcal{F}$ . It has an adherent point of  $x \in Y$ . Since  $Y \subseteq \bigcup_{i \in I} U_i$ ,  $\exists i_0 \in I$ ,  $x \in U_{i_0}$ . So  $U_{i_0} \in \mathcal{V}_x(\mathcal{T})$ . This is impossible since  $U_{i_0} \cap F_{i_0} = \emptyset$ .

(3) $\Rightarrow$ (2) Let  $\mathcal{F}$  be a non-degenerate filter such that  $Y \in \mathcal{F}$ . Suppose that  $\mathcal{F}$  does not have any adherent point in  $Y$ .

For any  $y \in Y$ , there exists open neighborhood  $U_y$  of  $y$  and  $A_y \in \mathcal{F}$ , such that  $U_y \cap A_y = \emptyset$ . Since  $Y \subseteq \bigcup_{y \in Y} U_y$ ,  $\exists \{y_1, \dots, y_n\} \subseteq Y$  such that  $Y \subseteq \bigcup_{i=1}^n U_{y_i}$ .

Take  $A = \left( \bigcap_{i=1}^n A_{y_i} \right) \cap Y \in \mathcal{F}$ ,  $A \neq \emptyset$ ,  $A \subseteq Y$ .

$$\begin{aligned} A &= A \cap Y \subseteq A \cap \bigcup_{i=1}^n U_{y_i} \\ &= \bigcup_{i=1}^n (A \cap U_{y_i}) \\ &\subseteq \bigcup_{i=1}^n (A_{y_i} \cap U_{y_i}) \\ &= \emptyset. \end{aligned}$$

Contradiction. □

**Definition 1.8.7** Let  $(X, \mathcal{T})$  be a topological space. If  $Y \subseteq X$  satisfies the equivalent conditions described in the previous proposition, we say that  $Y$  is **compact**.

**Proposition 1.8.8** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be two topological spaces,  $f : X \rightarrow Y$  be a continuous mapping. If  $F \subseteq X$  is compact, then  $f(F)$  is also compact.

**Proof** Let  $(V_i)_{i \in I}$  be an open cover of  $f(F)$ . Then

$$F \subseteq f^{-1}(f(F)) \subseteq \bigcup_{i \in I} f^{-1}(V_i).$$

Since  $F$  is closed,  $\exists J \subseteq I$  finite such that  $F \subseteq \bigcup_{j \in J} f^{-1}(V_j)$ . Hence  $f(F) \subseteq \bigcup_{j \in J} V_j$ .  $\square$

**Proposition 1.8.9** Let  $(X, \mathcal{T})$  be a topological space,  $A$  be a compact subset of  $X$ ,  $F$  is a closed subset of  $X$ , then  $A \cap F$  is a compact subset of  $X$ .

**Proof** Let  $(U_i)_{i \in I}$  be an open cover of  $A \cap F$ . Then

$$A \subseteq \left( \bigcup_{i \in I} U_i \right) \cup (X \setminus F).$$

So  $\exists J \subseteq I$  finite,  $A \subseteq (\bigcup_{j \in J} U_j) \cup (X \setminus F)$ . Hence

$$A \cap F \subseteq \left( \bigcup_{j \in J} U_j \right) \cup ((X \setminus F) \cap F) = \bigcup_{j \in J} U_j.$$

$\square$

**Proposition 1.8.10** Let  $(X, \mathcal{T})$  be a topological space,  $A$  be a compact subset of  $X$ .

(1) For any  $x \in X \setminus A$ , there exists open subsets  $U$  and  $V$  of  $X$ , such that  $A \subseteq U$ ,  $x \in V$  and  $U \cap V = \emptyset$ .

(2)  $A$  is closed.

**Proof** (1) $\Rightarrow$ (2):  $\forall x \in X \setminus A$ ,  $\exists (U, V) \in \mathcal{T}^2$ ,  $A \subseteq U$ ,  $x \in V$ ,  $U \cap V = \emptyset$ . Hence,  $V \subseteq X \setminus A$ . So  $X \setminus A$  is a neighborhood of  $x$ .

Proof of (1):  $\forall y \in A$ ,  $\exists U_y \in \mathcal{T}$ ,  $V_y \in \mathcal{T}$  such that  $y \in U_y$ ,  $x \in V_y$ ,  $U_y \cap V_y = \emptyset$ .

(Hausdorff) Since  $A \subseteq \bigcup_{y \in A} U_y$  and  $A$  is compact.  $\exists \{y_1, \dots, y_n\} \subseteq A$ ,  $A \subseteq \bigcup_{i=1}^n U_{y_i}$ .

Let  $U = \bigcup_{i=1}^n U_{y_i}$ ,  $V = \bigcap_{i=1}^n V_{y_i}$ . These are open subsets of  $X$ , and  $A \subseteq U$ ,  $x \in V$ .

$$U \cap V = \bigcup_{i=1}^n U_{y_i} \cap V \subseteq \bigcup_{i=1}^n U_{y_i} \cap V_{y_i} = \emptyset.$$

$\square$



**Corollary 1.8.11** Let  $(X, \mathcal{T})$  be a topological space, and  $A$  and  $B$  be disjoint compact subset of  $X$ . There exist  $(U, V) \in \mathcal{T}^2$ , such that  $A \subseteq U$ ,  $B \subseteq V$  and  $U \cap V = \emptyset$ .

**Proof** By (1) of the previous proposition.

$$\forall x \in B, \exists (U_x, V_x) \in \mathcal{T}^2, A \subseteq U_x, x \in V_x, U_x \cap V_x = \emptyset.$$

$B \subseteq \bigcup_{x \in B} V_x$ . So,  $\exists \{x_1, \dots, x_n\} \subseteq B$ , such that  $B \subseteq \bigcup_{i=1}^n V_{x_i}$ . Let

$$U = \bigcap_{i=1}^n U_{x_i} \in \mathcal{T}, V = \bigcup_{i=1}^n V_{x_i} \in \mathcal{T}.$$

Then,  $A \subseteq U$ ,  $B \subseteq V$ , and  $U \cap V = \emptyset$ . □