

## List comprehensions in Common Lisp

This document is (C) Frédéric Peschanski CC-BY-SA 3.0

My favorite programming languages are undoubtedly *Lisps*, originally Scheme but today I enjoy a lot Common Lisp and (a little bit less but still fairly enough) Clojure. I am however quite a polyglot programmer: I taught (and thus used, a lot) Java for several years. And at work I program a lot in Ocaml and Python (but that's *not* for fun).

There's no programming language that I really hate (except VB) or love (except Lisp). Let's take for example Python: not efficient, full of what I think are ill-designed constructs (e.g. `lambda`, also the lexical bindings) but clearly usable. One feature I use a lot in Python is the *comprehensions*. There are comprehension expressions for building lists, sets, dictionaries and (perhaps most interestingly) generators. Today I will only discuss the case of *list comprehensions* because ... I think that's an interesting topic to begin with.

A simple list comprehension expression is written as follows in Python:

```
[ <expr> for <var> in <list> ]
```

This reads: “build the list of `<expr>` in which the occurrences of `<var>` are replaced in turn by the successive elements of the source `<list>`.”

Note that the source of the comprehension can be something else than a list (it can be an *iterable* or a generator) but we will mostly consider the case for lists (although we will make some remarks about this aspect at the end).

**Remark:** the functional programming language Haskell has a similar syntactic construct, and so does Clojure (cf. the `for` macro).

Let's see some examples of Python comprehensions.

```
>>> [i*i for i in [1, 2, 3, 4, 5]]
[1, 4, 9, 16, 25]
```

Comprehensions can also nest, performing a kind of *cartesian product*, e.g.:

```
>>> [(i, j) for i in [1, 2, 3, 4]
        for j in ['A', 'B']]
[(1, 'A'), (1, 'B'), (2, 'A'), (2, 'B'), (3, 'A'), (3, 'B'), (4, 'A'), (4, 'B')]
```

It is also possible to filter the elements:

```
>>> [i for i in [1, 2, 3, 4, 5, 6, 7, 8] if i % 2 == 0]
[2, 4, 6, 8]
```

You know that already, but Common Lisp does not provide *list comprehension expressions*. However, the programmable programming language is never short of macro-based solutions for “missing” constructs!

So, our objective will be to find a few ways to provide list comprehensions to Common Lisp.

## The List monad

No no no! This is not *yet another monad tutorial* (tm)! But please don't hate monads or other interesting design patterns of functional programming languages. There's some beauty in the concept, and I will try to convey this beauty by considering one of the simplest case: the *list monad*.

The fundamental operator that we are concerned with is a function that does not look *that* useful: `append-map`.

```
(defun append-map (f ll)
  (if (endp ll)
      '()
      (append (funcall f (car ll)) (append-map f (cdr ll)))))
```

Of course, `append` is interesting, and `map`/`mapcar` too, but mixing the two does not look extremely useful (as pointed out by `lisp@reddit/lisp` a similar function is called `mappend` in [alexandria](#)). Anyway the function works as expected, for example:

```
(append-map (lambda (x) (list x (* 10 x))) '(1 2 3 4 5))

=> (1 10 2 20 3 30 4 40 5 50)
```

The type of this function is something like this:

```
(a -> L a) * L a -> L a
```

where we interpret `L a` as “a List of `a`'s”. Not that I want to force you thinking in types, but we need at least an intuitive understanding of the types of our functions, right ?

The first and most famous monadic combinator is called `bind` and in the case of the list monad it is almost a synonymous for `append-map`.

```
;; L a * (a -> L a) -> L a
(defun list-bind (l f)
  (append-map f l))
```

**Remark:** for a monad  $M$  the type of `bind` is  $M\ a * (a \rightarrow M\ a) \rightarrow M\ a$ . It's good to know even if we will only consider the list monad  $L$  today.

The second fundamental combinator is `return` that is the simplest function we can imagine with a type  $a \rightarrow L\ a$  ( $a \rightarrow M\ a$  for a monad  $M$  in general).

```
;; a -> L a
(defun list-return (x)
  (list x))
```

And we in fact already have everything we need to write simple comprehensions.

Let's start slowly:

```
(list-bind '(1 2 3 4 5)
  (lambda (x) (list-return (* x x))))
```

=> (1 4 9 16 25)

And now something a little bit more involved.

```
(list-bind
  '(1 2 3 4)
  (lambda (x) (list-bind
    '(A B)
    (lambda (y) (list-return (cons x y))))))
```

=> ((1 . A) (1 . B) (2 . A) (2 . B) (3 . A) (3 . B) (4 . A) (4 . B))

If we want to add some condition in the comprehension, we need a third combinator called `fail`. In the case of the list monad, failing simply boils down to yielding the empty list.

```
(defun list-fail ()
  (list))
```

And now we can write e.g.:

```
(list-bind '(1 2 3 4 5 6 7 8)
  (lambda (x) (if (evenp x) (list-return x) (list-fail))))
```

=> (2 4 6 8)

```
(list-bind '(1 2 3 4 5 6 7 8)
  (lambda (x) (if (oddp x) (list-return x) (list-fail))))
```

=> (1 3 5 7)

## The do notation

If we compare to the comprehension expressions of Python, we can probably say that using directly the combinators `bind`, `return` and `fail` is on the one hand more explicit but on the other hand less elegant.

Haskell provides a `do` notation that greatly improves the readability of monadic combinations. In fact, monads (and their relatives functors and applicatives) are mostly about plumbing, as we can see with the examples above. The `do` notation allows to hide and reorganize some of this plumbing.

This is a straightforward macro in Common Lisp.

```
;; a very permissive recognizer for "symbols"
(defun is-sym (kw str)
  (and (symbolp kw)
       (string= (symbol-name kw) str)))

(defmacro do-list (&body body)
  (cond
    ((null body) (progn))
    ((null (cdr body)) (car body))
    ((is-sym (cadr body) "<-")
     `(list-bind ,(caddr body) (lambda (,(car body)) (do-list ,@(caddr body)))))
    ((is-sym (car body) "WHEN")
     `(if ,(cadr body) (do-list ,@(caddr body)) (list-fail)))
    ((is-sym (car body) "YIELD")
     `(list-return ,(cadr body)))
    (t (error "Not a do-able expression: ~S" `(quote ,body)))))
```

And now we can write:

```
(do-list
  i <- '(1 2 3 4 5)
  yield (* i i))
```

=> (1 4 9 16 25)

```
(do-list
  i <- '(1 2 3 4)
  j <- '(A B)
  yield (cons i j))
```

=> ((1 . A) (1 . B) (2 . A) (2 . B) (3 . A) (3 . B) (4 . A) (4 . B))

```
(do-list
  i <- '(1 2 3 4 5 6 7 8)
  when (evenp i)
  yield i)
```

=> (2 4 6 8)

```
(do-list
  i <- '(1 2 3 4 5 6 7 8)
  when (oddp i)
  yield i)
```

=> (1 3 5 7)

All is well, we have now a simple macro for list comprehensions, and as you can see the monadic thinking make things very concise and (if you spend some time to understand) straightforward.

But is this the simplest and best way to bring list comprehensions to Lisp?

Let's see another (and please be reassured “un-monadic”) way...

## The Loop way to comprehensions

Instead of building on basic functions – the Monad way – we can build our list comprehensions on higher-level abstractions. In plain Common Lisp, the `loop` macro is a good candidate. For *loop-haters* I would recommend porting the code below to the more *lispy* and undoubtedly (even) more powerful [iterate](#) macro (that you'll find on [quicklisp](#) of course). But let's stick with `loop` that already provides everything we need (and more !). If you need a loop refresher, please consult [the excellent Practical Common Lisp](#).

So, how would we write the list of squares in loop ? Easy !

```
(loop for i in '(1 2 3 4 5)
      collect (* i i))
```

=> (1 4 9 16 25)

For nested comprehensions, the `append` clause is our friend.

```
(loop for i in '(1 2 3 4)
      append (loop for j in '(A B)
                  collect (cons i j)))
```

```
=> ((1 . A) (1 . B) (2 . A) (2 . B) (3 . A) (3 . B) (4 . A) (4 . B))
```

**Remark:** Aidenn0@reddit/lisp tells me quite rightly that instead of the “constructive” `append` we can use the “destructive” `nconc` since the inner `collect` clause generates a fresh list. So we have an even better friend since `nconc`-ing is much more efficient.

```
(loop for i in '(1 2 3 4)
      nconc (loop for j in '(A B)
                  collect (cons i j)))
```

```
=> ((1 . A) (1 . B) (2 . A) (2 . B) (3 . A) (3 . B) (4 . A) (4 . B))
```

Filtering is also easy with the `when` clause.

```
(loop for i in '(1 2 3 4 5 6 7 8)
      when (evenp i)
      collect i)
```

```
=> (2 4 6 8)
```

By looking at these examples, we might say that list comprehension expressions are not really needed in Common Lisp since the corresponding `loop` expressions are both readable and efficient (probably more so than using the list monad).

However, the loop syntax is complex and it is still useful to provide a simpler abstraction *exclusively* for list comprehensions. Moreover, we can exploit various loop features to enrich our comprehension framework.

## The `list-of` macro for list comprehensions

We now reach the final stage of our exploration of list comprehensions. We will build a (relatively) simple macro named `list-of` that will macroexpand to `loop` expressions.

The basic comprehensions will be of the form:

```
(list-of <expr> for <var> in <list>)
```

More generally, all `list-of` expressions will be of the form `(list-of <expr> for <var> ...)`. Hence, the first clause will always be a `loop`-like `for` clause.

The following transformer manages to extract this first clause from a `list-of` expression.

```
(defun transform-first-clause (expr)
  (cond
    ((null expr) (error "Empty comprehension: missing first 'for' clause"))
    ((is-sym (car expr) "FOR")
     (values `(for ,(cadr expr) ,(caddr expr) ,(caddrdr expr)) (caddrdrdr expr)))
    (t (error "First 'for' clause missing in comprehension."))))
```

For example:

```
(transform-first-clause
 '(for i in '(1 2 3 4 5) for j in '(A B) for k across "Hello"))

=> (FOR I IN '(1 2 3 4 5))      ; the extracted clause as a first value
=> (FOR J IN '(A B) FOR K ACROSS "Hello") ; the remaining clauses as a second value
```

Now we write a second transformer for all the remaining clauses. The supported clauses are the following ones:

- the `for` clause for nested comprehensions (using an inner loop nested within an `append` clause)
- the `and` clause for parallel comprehensions (similarly to multiple `for` clauses in a single loop)
- the `with` clause to fix a temporary variable (`with <var> = <expr>` corresponds to loop's `for <var> = <expr>`)
- other interesting loop clauses: `when` for filtering and `until` for early terminations (do you see something else?).

Note that this transformer is not very robust (with many `cadd...`'s), but adding all the error cases would make the code less readable I guess. For the real thing I would strongly recommend using the `optima` pattern matcher.

```
(defun transform-clause (expr)
  (if (null expr)
      (values :END '() '())
      (cond
        ((is-sym (car expr) "AND")
         (values :AND `(for ,(cadr expr) ,(caddr expr) ,(caddrdr expr)) (caddrdrdr expr)))
        ((is-sym (car expr) "WHEN")
         (values :WHEN `(when ,(cadr expr)) (caddrdr expr)))
        ((is-sym (car expr) "UNTIL")
         (values :UNTIL `(until ,(cadr expr)) (caddrdr expr)))
        ((is-sym (car expr) "WITH")
         (values :WITH `(with ,(cadr expr) ,(caddrdr expr)) (caddrdrdr expr))))))
```

```

(values :WITH `(for ,(cadr expr) ,(caddr expr) ,(cadddr expr)) (cddddr expr)))
((is-sym (car expr) "FOR")
 (values :FOR `(for ,(cadr expr) ,(caddr expr) ,(cadddr expr)) (cddddr expr)))
(t (error "Expecting 'and', 'for', 'when' or 'until' in comprehension."))))

```

A few examples:

```
(transform-clause '())
```

```
=> :END , NIL, NIL
```

```
(transform-clause '(and i in '(1 2 3 4) for j in '(A B) collect i))
```

```

=> :AND ; the kind of clause as a first value
=> (FOR I IN '(1 2 3 4)) ; the clause content as a second value
=> (FOR J IN '(A B) COLLECT I) ; the remaining clauses as a last value

```

Now we can write the main transformer, that simply recurse on the clause transformer above. The case for the nested comprehensions injects the *loop-within-nconc* expression. But everything is otherwise rather straightforward.

```

(defun list-of-transformer (what expr)
  (multiple-value-bind (kind next rexpr)
    (transform-clause expr)
    (case kind
      (:END `(collect ,what))
      ((:AND :WHEN :UNTIL :WITH) (append next (list-of-transformer what rexpr)))
      (:FOR `(nconc (loop ,@next ,@(list-of-transformer what rexpr))))))

(list-of-transformer '(cons i j) '(with k = i and i in '(1 2 3 4) for j in '(A B)))

=> (FOR K = I FOR I IN '(1 2 3 4) APPEND
  (LOOP FOR J IN '(A B)
    COLLECT (CONS I J)))

```

The macro itself is simply the construction of a loop expression with a body generated by our two transformer `transform-first-clause` and `list-of-transformer`.

```

(defmacro list-of (what &body body)
  (multiple-value-bind (first-clause rest)
    (transform-first-clause body)
    `(loop ,@first-clause ,@(list-of-transformer what rest))))

```



Now let's see some expansions... we'll also check that things are working (at least seemingly) well.

```
(macroexpand-1 '(list-of (* i i) for i in '(1 2 3 4 5)))
```

```
=> (LOOP FOR I IN '(1 2 3 4 5)
      COLLECT (* I I))
```

```
(list-of (* i i) for i in '(1 2 3 4 5))
```

```
=> (1 4 9 16 25)
```

Let's try the `with` clause (and see that it is expanded to a `for` clause in the loop).

```
(macroexpand-1 '(list-of k
      for i in '(1 2 3 4 5)
      and j in '(1 2 3 4 5) with k = (+ i j)))
```

```
=> (LOOP FOR I IN '(1 2 3 4 5)
      FOR J IN '(1 2 3 4 5)
      FOR K = (+ I J)
      COLLECT K)
```

```
(list-of k for i in '(1 2 3 4 5)
      and j in '(1 2 3 4 5)
      with k = (+ i j))
```

```
=> (2 4 6 8 10)
```

Filtering with `when` of course works.

```
(list-of i
  for i in '(1 2 3 4 5 6 7 8)
  when (evenp i))
```

```
=> (2 4 6 8)
```

```
(list-of i
  for i in '(1 2 3 4 5 6 7 8)
  when (oddp i))
```

```
=> (1 3 5 7)
```

The nesting of comprehensions yields nested loops as expected.

```
(macroexpand-1 '(list-of (cons i j)
                          for i in '(1 2 3 4)
                          for j in '(A B)))
```

```
=> (LOOP FOR I IN '(1 2 3 4)
      NCONC (LOOP FOR J IN '(A B)
                  COLLECT (CONS I J)))
```

```
(list-of (cons i j)
  for i in '(1 2 3 4)
  for j in '(A B))
```

```
=> ((1 . A) (1 . B) (2 . A) (2 . B) (3 . A) (3 . B) (4 . A) (4 . B))
```

To illustrate the `until` clause let's stop a little bit earlier.

```
(list-of (cons i j)
  for i in '(1 2 3 4)
  until (= i 3)
  for j in '(A B))
```

```
=> ((1 . A) (1 . B) (2 . A) (2 . B))
```

It is to be compared with parallel comprehensions, as in the following example.

```
(macroexpand-1 '(list-of (cons i j)
                          for i in '(1 2 3 4)
                          and j in '(A B)))
```

```
=> (LOOP FOR I IN '(1 2 3 4)
      FOR J IN '(A B)
      COLLECT (CONS I J))
```

```
(list-of (cons i j)
  for i in '(1 2 3 4)
  and j in '(A B))
```

```
=> ((1 . A) (2 . B))
```

And the last one is left as an exercise.

```
(list-of (list i j k)
  for i in '(1 2 3 4 5)
  and j in '(A B C D E)
  when (oddp i)
  for k in '(1 2 3 4)
  until (= i 5)
  when (evenp k))
```

## Conclusion

So what did we achieve ?

First, we found two different ways to provide *list comprehensions* in Common Lisp. Whereas in many programming languages this is a matter of hope or faith, a Lisp programmer can take his destiny at hand and introduce the feature he wants *the way* he wants it !

Another take away is that monadic thinking is useful, even if you must be able to go beyond the type-based plumbing they propose... In a way monads are a kind of well-typed-although-limited macros. Simply relying on the powerful `loop` mini-language allowed us to go beyond simple comprehensions (especially with the `until` clause).

If compared to comprehension expressions found in other languages, it is not a bad start. Thanks to `loop` we can take different sources: lists (with `in`), strings (with `across`), etc. There would be some (simple) work to support more collections such as hashtables. Perhaps a better thing would be to base our macro on `iterate` since the latter is extensible.

Finally, Python also has set, dictionary comprehensions as well as generator expressions (actually closer to Clojure's sequence comprehensions). This goes beyond our `list-of` macro but it is a topic I do intend to further study.

And that's it for today...

## Discussion

cf. the [reddit/lisp comments](#)