

Neural Machine Translation

CS4742 Natural Language Processing

Lecture 00

Jiho Noh

Department of Computer Science
Kennesaw State University

CS4742 Summer 2025 ¹

¹This lecture is based on the slides from Dr. Hafiz Khan at KSU.

1 Encoder-Decoder for Machine Translation

2 Sequence to Sequence (Seq2Seq)

3 Beam Search

4 Attention Mechanism

Neural Machine Translation

- A single **neural network** is used to translate from *source* in one language to *target* in another language
- Typical architecture: Encoder-Decoder
 - ▶ **Encoder**: reads the source sentence and encodes it into a fixed-length *context vector*
 - ▶ **Decoder**: generates the target sentence from the *context vector*

Encoder-Decoder Model

- **Encoder-Decoder** models are essential in *sequence-to-sequence* tasks.

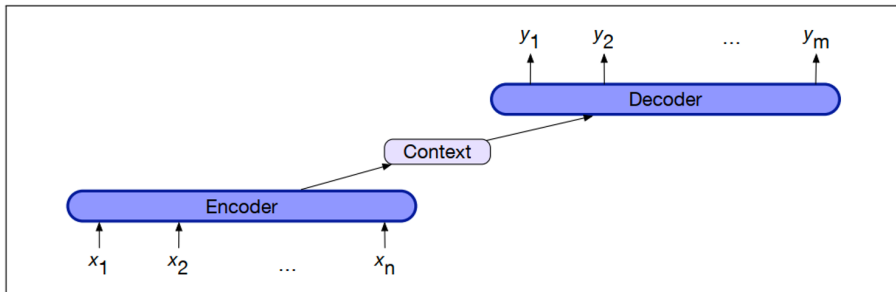
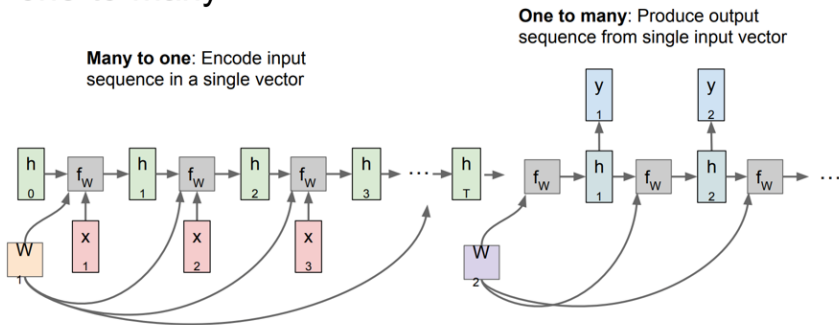


Figure 11.3 The encoder-decoder architecture. The context is a function of the hidden representations of the input, and may be used by the decoder in a variety of ways.

Recall: RNNs

- RNNs use *sequential information* and maintain a hidden state.

Sequence to Sequence: Many-to-one + one-to-many



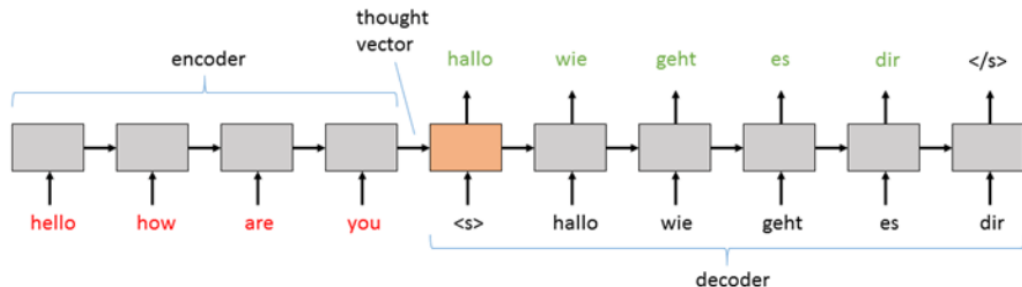
1 Encoder-Decoder for Machine Translation

2 Sequence to Sequence (Seq2Seq)

3 Beam Search

4 Attention Mechanism

Sequence to Sequence (Seq2Seq)

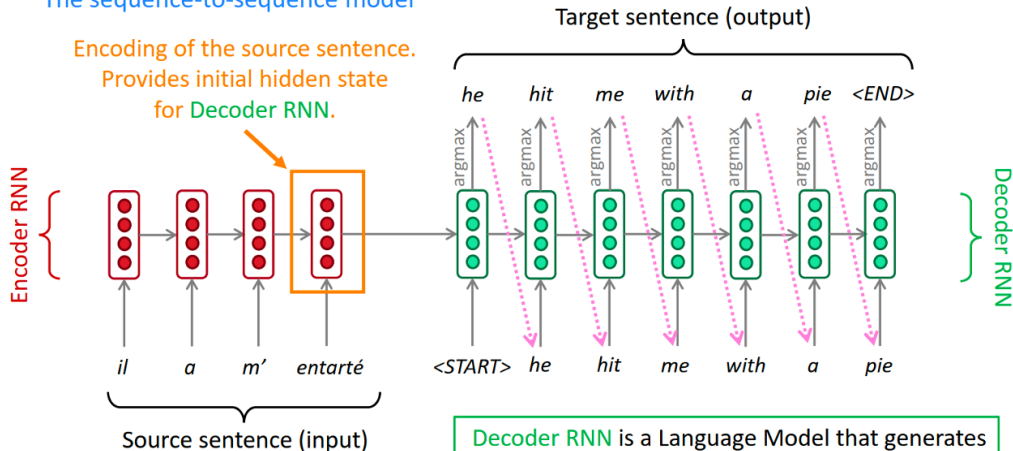


- **Encodes** the input sequence into a single vector (using an RNN)
- **Decode** one word at a time (again using an RNN; this method is called *auto-regressive* decoding)
 - ▶ **Beam search** is used to select the best inference

Neural Machine Translation — *Information Flow*

The sequence-to-sequence model

Encoding of the source sentence.
Provides initial hidden state
for Decoder RNN.



Decoder RNN is a Language Model that generates target sentence, *conditioned on encoding*.

Encoder RNN produces an **encoding** of the

Note: This diagram shows **test time behavior**:

Seq2seq Models in Applications

- **Sequence-to-sequence models** are used in many applications:
- Many *NLP tasks* can be phrased as sequence-to-sequence:
 - ▶ Summarization (long text → short text)
 - ▶ Dialogue (previous utterances → next utterance)
 - ▶ Parsing (input text → output parse as sequence)
 - ▶ Code generation (natural language → Python code)

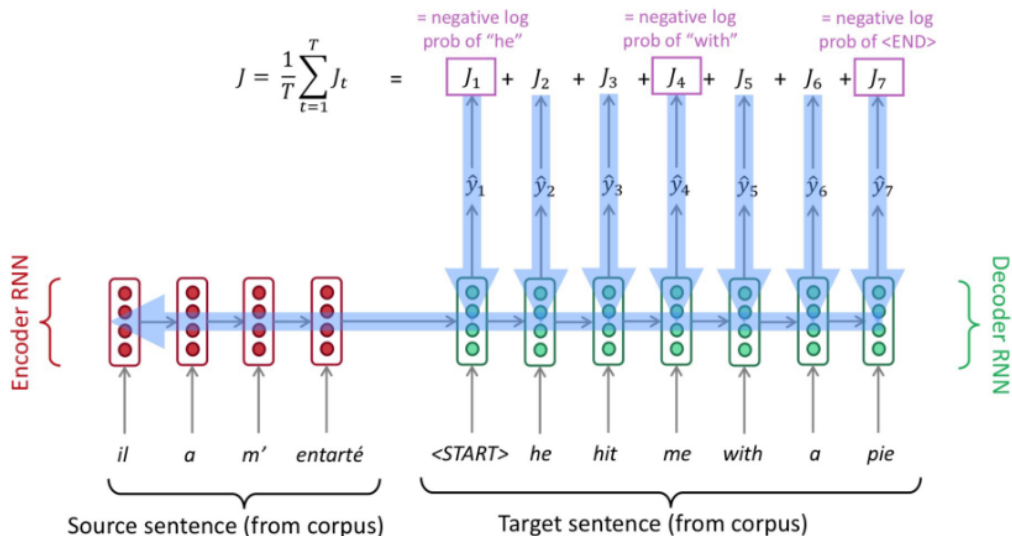
Seq2seq Training

- Similar to training a **language model**!
- Minimize *cross-entropy loss*

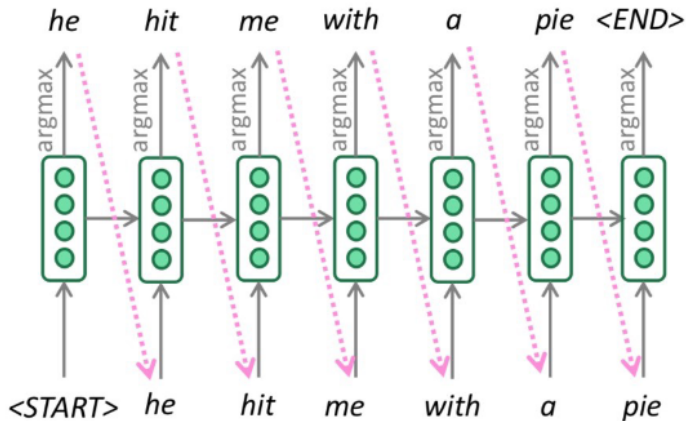
$$\sum_{t=1}^T -\log P(y_t | y_1, \dots, y_{t-1}, x_1, \dots, x_n)$$

- Need a **big parallel corpus**, such as Europarl (European Parliament Proceedings Parallel Corpus)
 - ▶ Europarl (French-English) contains 2,007,723 pairs of sentences

Seq2seq Training



Greedy Decoding



Takes the most probable word at each time step. **Any problems with this method?**

Exhaustive Search?

- Find $\arg \max_{y_1, \dots, y_T} P(y_1, \dots, y_T \mid x_1, \dots, x_n)$
- We could *try* computing **all possible sequences**
 - ▶ $O(V^T)$ is expensive, where V is the vocabulary size and T is the length of the output sequence

1 Encoder-Decoder for Machine Translation

2 Sequence to Sequence (Seq2Seq)

3 **Beam Search**

4 Attention Mechanism

Beam Search

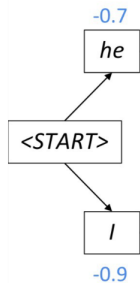
- **Core idea:** On each step of decoder, keep track of the *k most probable partial translations* (which we call hypotheses).
- A hypothesis Y_1, \dots, Y_t has a **score** which is its *log probability*:

$$\text{score}(y_1, \dots, y_t) = \log P_{\text{LM}}(y_1, \dots, y_t \mid x) = \sum_{i=1}^t \log P_{\text{LM}}(y_i \mid y_1, \dots, y_{i-1}, x)$$

- *Not guaranteed to be optimal*, but **more efficient** than exhaustive search.

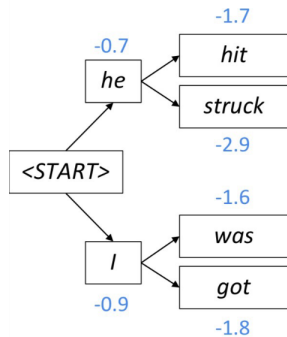
Beam Decoding

- k (Beam size) = 2
- Blue numbers = $\text{score}(y_1, \dots, y_t) = \sum_{i=1}^t \log P_{\text{LM}}(y_i \mid y_1, \dots, y_{i-1}, x)$



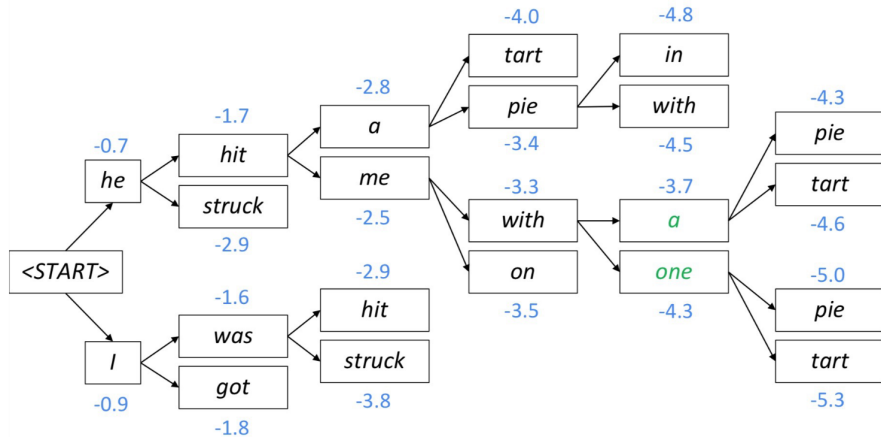
Beam Decoding

- k (Beam size) = 2
- Blue numbers = $\text{score}(y_1, \dots, y_t) = \sum_{i=1}^t \log P_{\text{LM}}(y_i \mid y_1, \dots, y_{i-1}, x)$



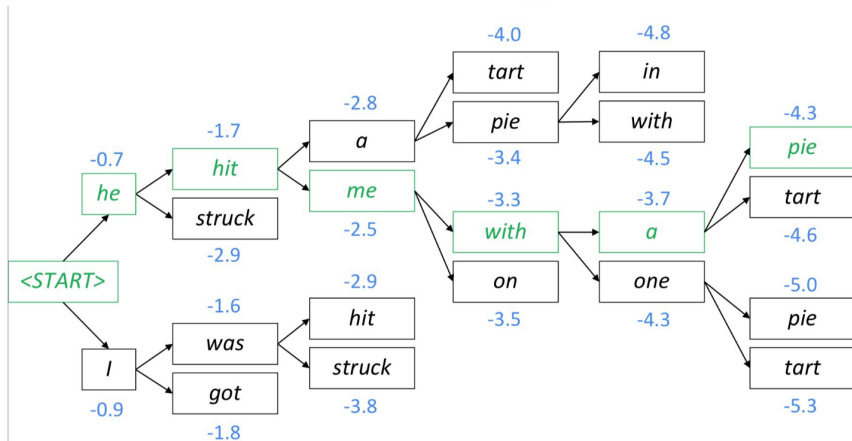
Beam Decoding

- k (Beam size) = 2
- Blue numbers = $\text{score}(y_1, \dots, y_t) = \sum_{i=1}^t \log P_{\text{LM}}(y_i \mid y_1, \dots, y_{i-1}, x)$



Beam Decoding

- k (Beam size) = 2
- Blue numbers = $\text{score}(y_1, \dots, y_t) = \sum_{i=1}^t \log P_{\text{LM}}(y_i \mid y_1, \dots, y_{i-1}, x)$



Decode until When?

- Different hypotheses may produce (end) token at different time steps.
- When a hypothesis produces (end), stop expanding it and place it aside.
- Continue beam search until:
 - ▶ All k hypotheses produce (end) **OR**
 - ▶ Hit max decoding limit T
- Select top hypotheses using the normalized likelihood score

$$\frac{1}{T} \sum_{t=1}^T \log P(y_t \mid y_1, \dots, y_{t-1}, x_1, \dots, x_n)$$

- Various normalization methods may consider the *length* of the hypothesis.

Beam Search Normalization — Wu et al. (2016)

- Regular beam search favor shorter results since a negative values of log-probability is added at each step.
- We need some form of length normalization to compare different length of hypotheses.
- In Wu et al. (2016),

$$\text{score}(Y, X) = \frac{\log P(Y | X)}{\text{lp}(Y)} + \text{cp}(X, Y)$$

- The **length penalty** is defined as below:

$$\text{lp}(Y) = \frac{(5 + |Y|)^\alpha}{(5 + 1)^\alpha}$$

- ▶ where $|Y|$ is the current target length and α is the *length penalty hyperparameter*.

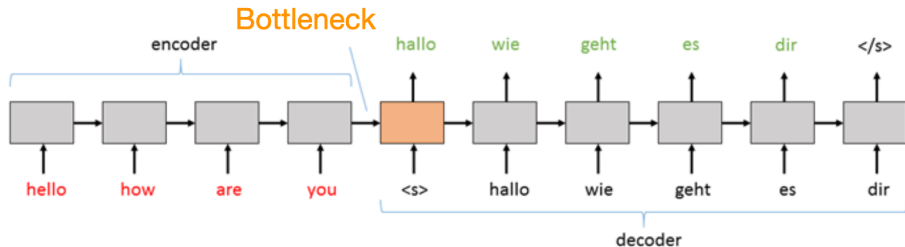
Beam Search Normalization — Wu et al. (2016)

- Scores are also penalized by the following formula:

$$\text{cp}(X, Y) = \beta \sum_{i=1}^{|X|} \log \left(\min \left(\sum_{j=1}^{|Y|} p_{i,j}, 1.0 \right) \right)$$

- where $p_{i,j}$ is the attention probability of the j -th target word y_j on the i -th source word x_i
- $|X|$ is the source length, $|Y|$ is the current target length
- β is the **coverage normalization coefficient**
- The idea is to **encourage** the model to translate *all* of the provided input.

Issues with Vanilla Seq2Seq (or RNN)



- A single encoding vector, h^{enc} , needs to capture all the information about the source sentence
- Longer sequences can lead to **vanishing gradients**
- **Overfitting**

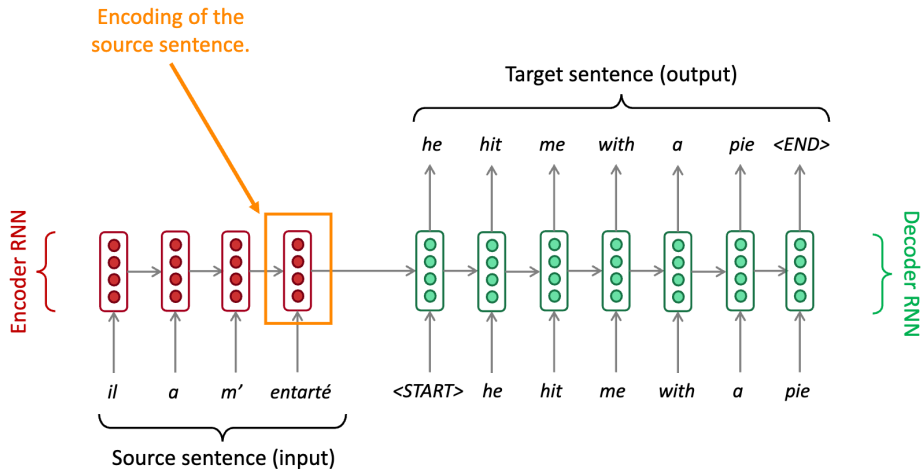
1 Encoder-Decoder for Machine Translation

2 Sequence to Sequence (Seq2Seq)

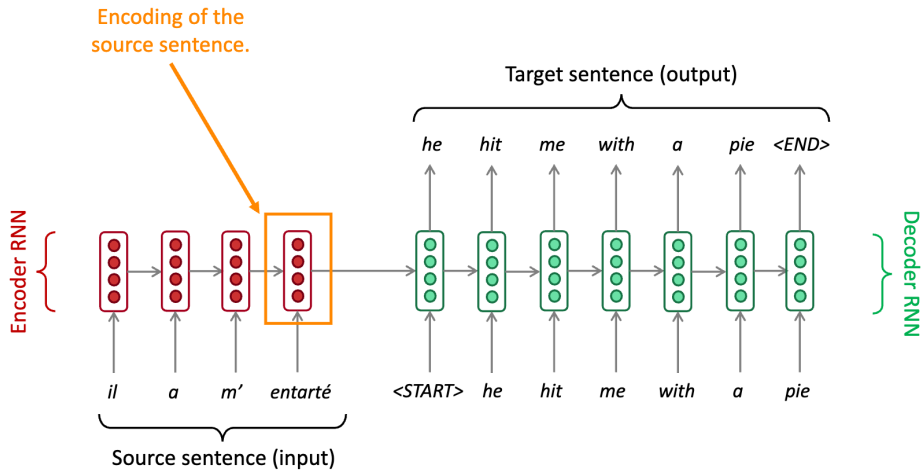
3 Beam Search

4 **Attention Mechanism**

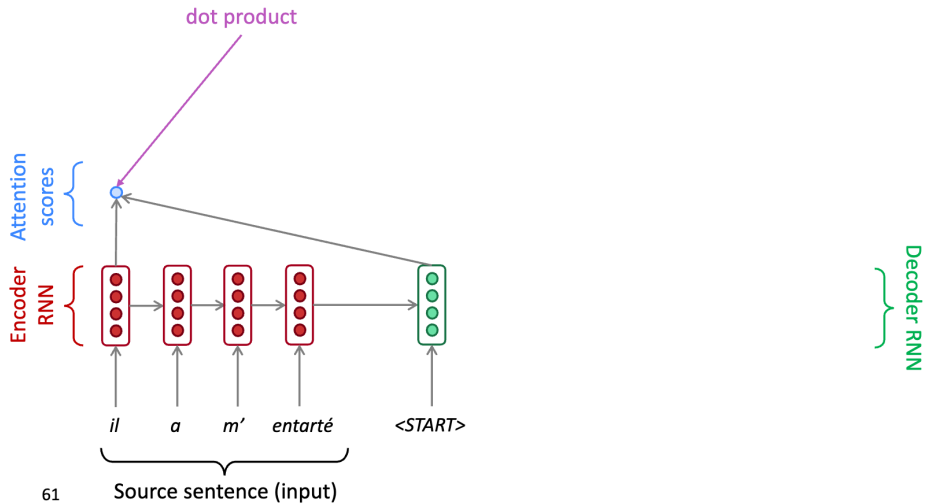
Attention Revisited



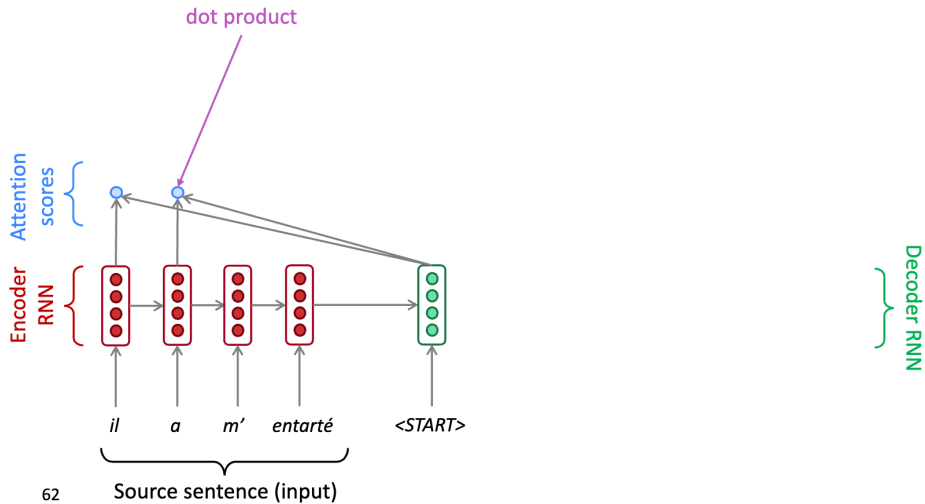
Problems with this architecture?

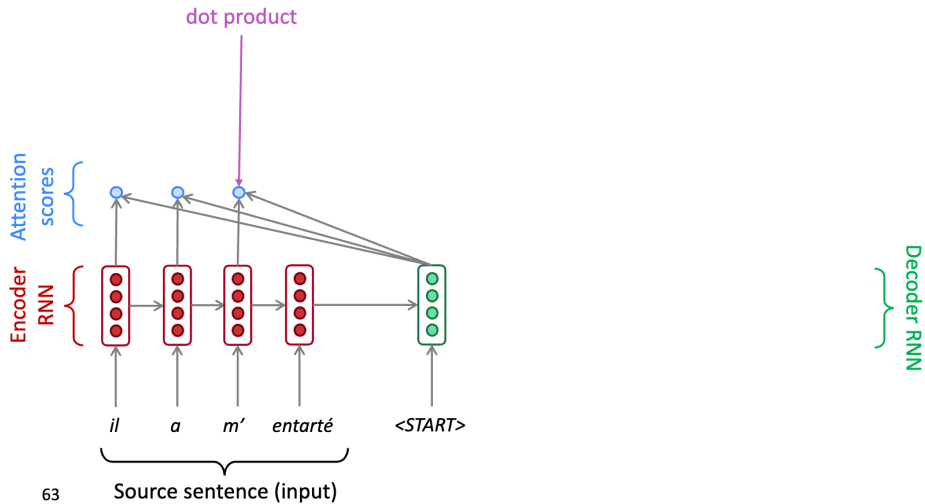


Problems with this architecture?

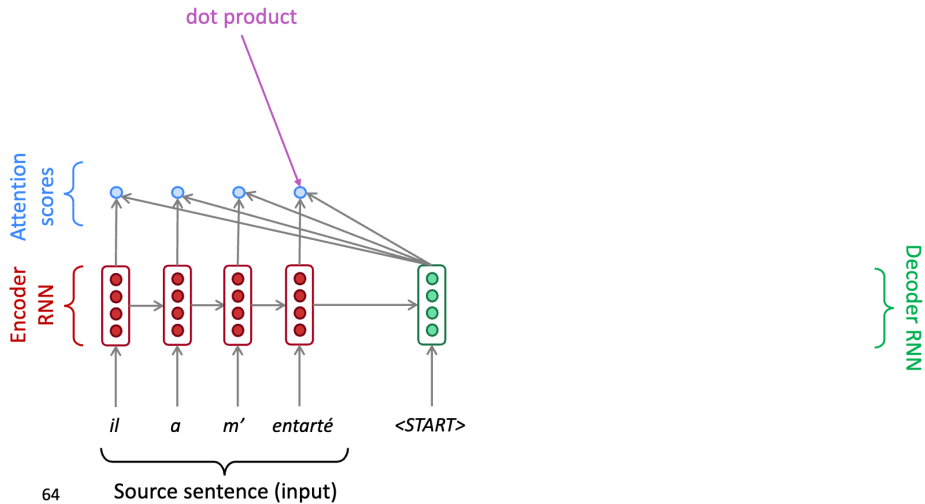


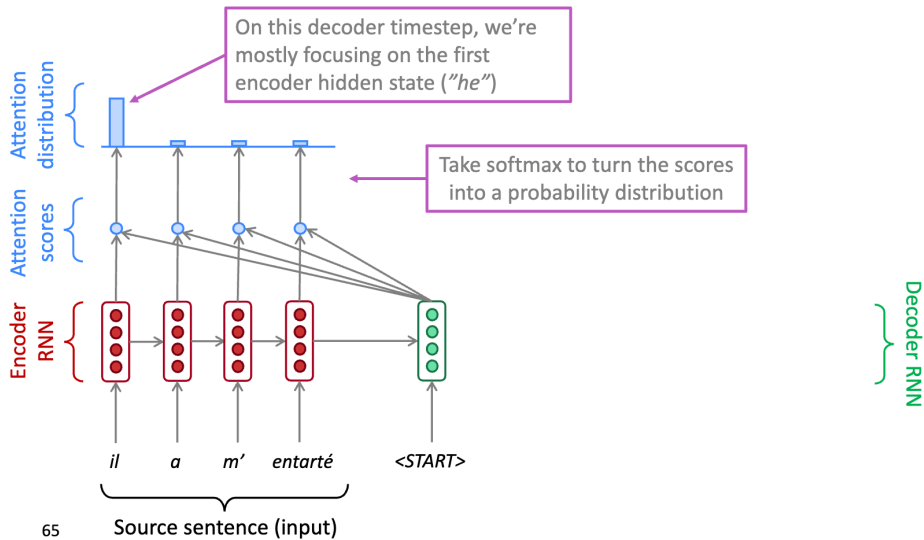
61



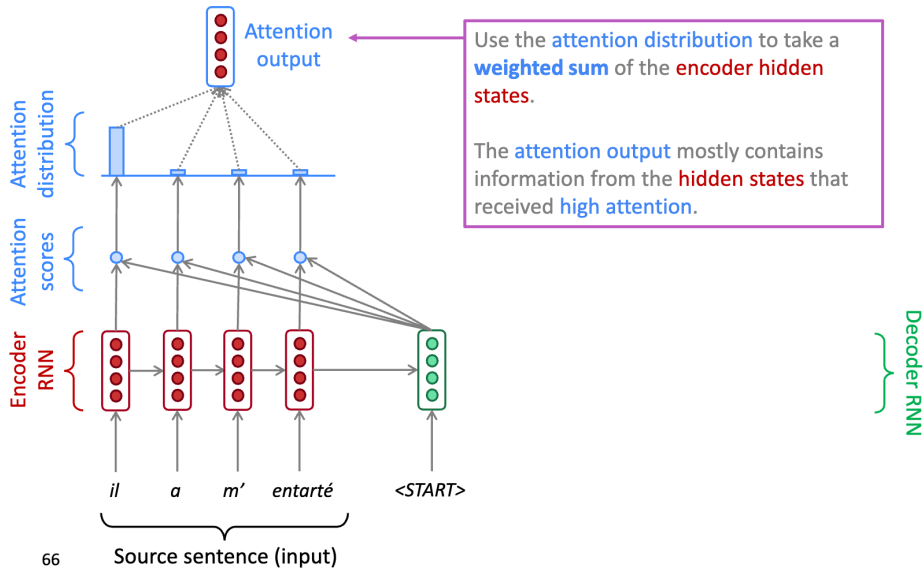


63

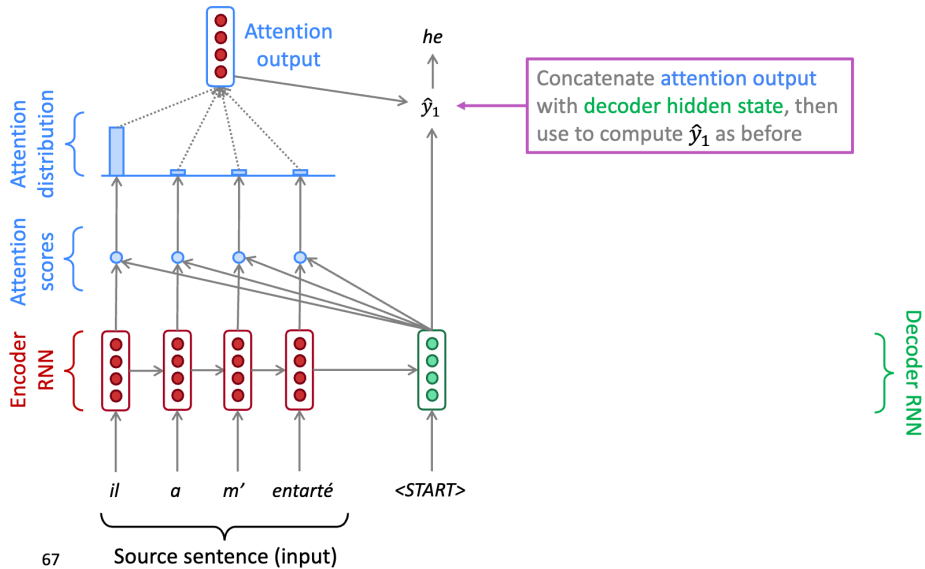




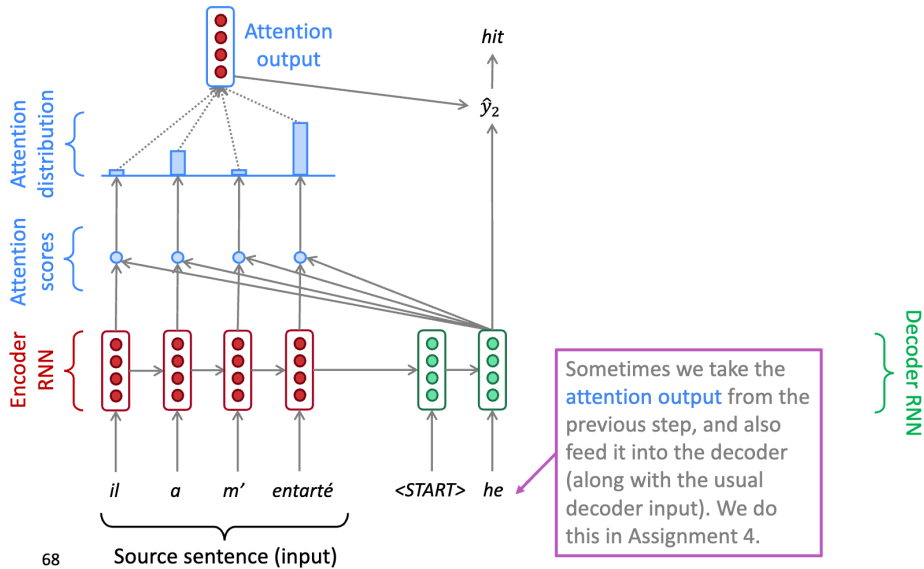
65

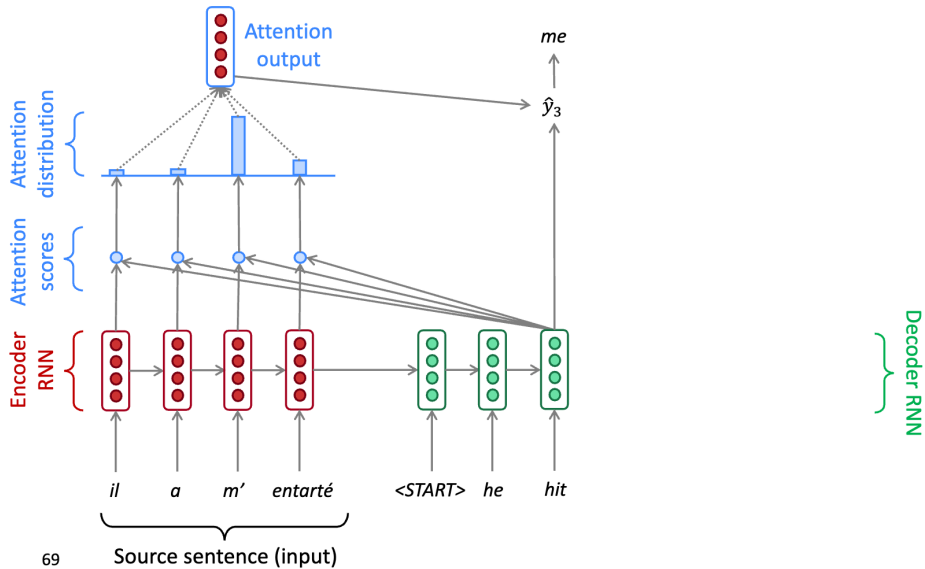


66

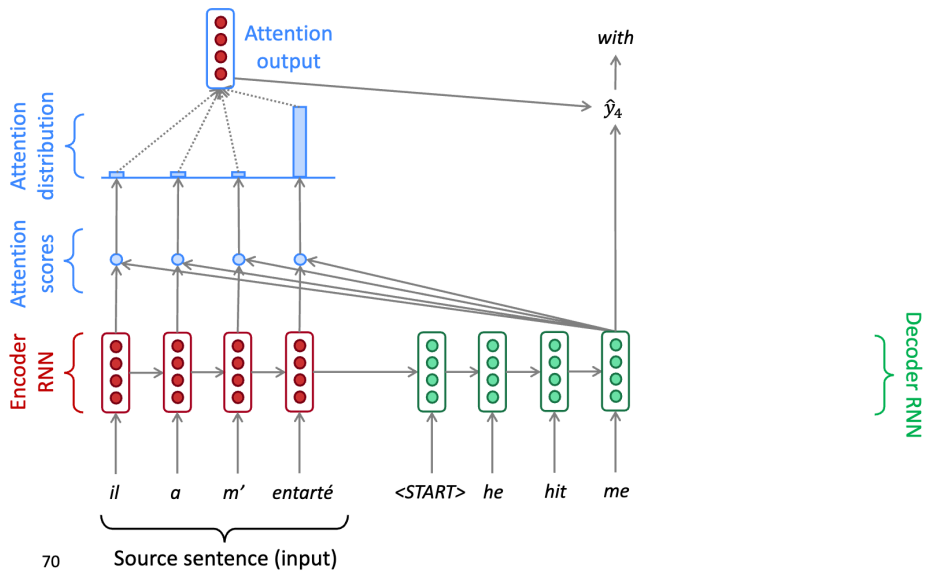


67



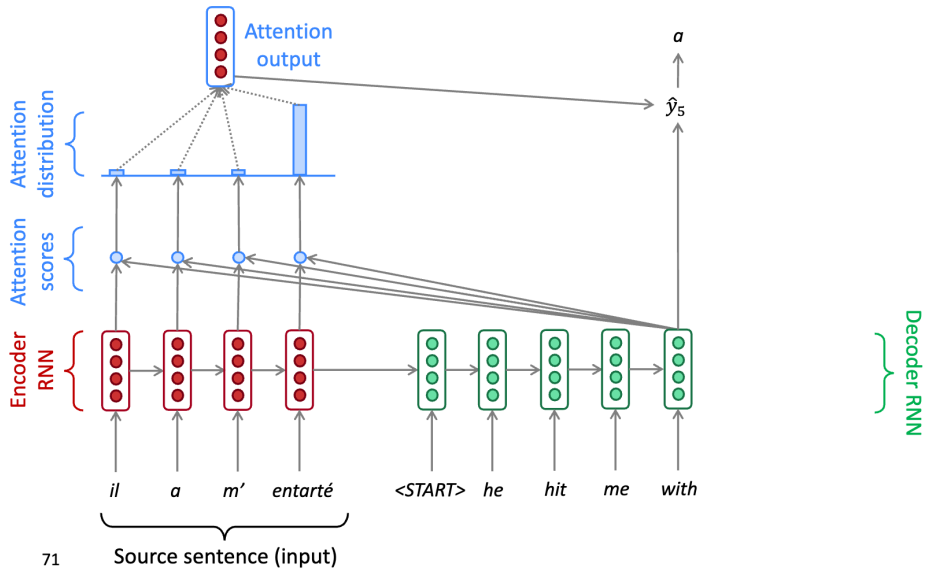


69

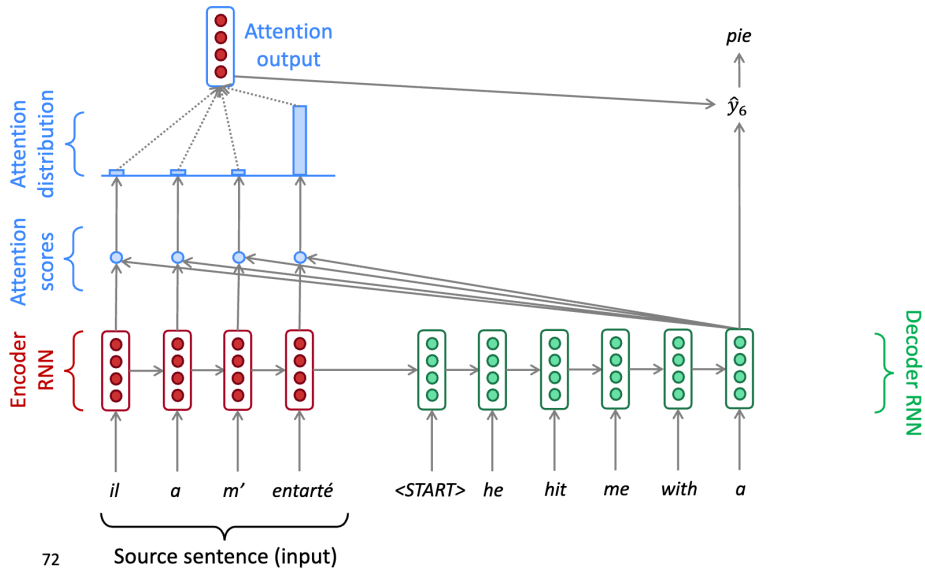


70

Source sentence (input)



71



72

Source sentence (input)

Attention: in equations (Part 1)

- We have encoder hidden states $h_1, \dots, h_N \in \mathbb{R}^h$
- On timestep t , we have decoder hidden state $s_t \in \mathbb{R}^h$
- We get the attention scores e^t for this step:

$$e^t = \left[s_t^T h_1, \dots, s_t^T h_N \right] \in \mathbb{R}^N$$

- We take *softmax* to get the attention distribution α^t for this step (this is a probability distribution and sums to 1)

$$\alpha^t = \text{softmax} (e^t) \in \mathbb{R}^N$$

Attention: in equations (Part 2)

- We use α^t to take a weighted sum of the encoder hidden states to get the attention output a_t

$$a_t = \sum_{i=1}^N \alpha_i^t h_i \in \mathbb{R}^h$$

- Finally we concatenate the attention output a_t with the decoder hidden state s_t and proceed as in the non-attention seq2seq model

$$[a_t; s_t] \in \mathbb{R}^{2h}$$

Attention in Neural Machine Translation (NMT)

- **Attention** significantly improves **NMT** performance
 - ▶ It's very useful to allow decoder to focus on certain parts of the source
- **Attention** solves the *bottleneck problem*
 - ▶ **Attention** allows decoder to look directly at source; bypass bottleneck
- **Attention** helps with *vanishing gradient problem*
 - ▶ Provides shortcut to faraway states
- **Attention** provides some interpretability
 - ▶ By inspecting attention distribution, we can see what the decoder was focusing on
 - ▶ We get (soft) alignment for free!
 - ▶ This is cool because we never explicitly trained an alignment system
 - ▶ The network just learned alignment by itself

Summary

- **Neural Machine Translation (NMT)** is a powerful approach to translating text using neural networks.
- The *Encoder-Decoder* architecture is a key component of NMT, where the encoder processes the source sentence and the decoder generates the target sentence.
- **Seq2Seq** is the architecture used in NMT, which encodes the input sequence into a single vector and decodes it one word at a time.
- Attention mechanisms enhance the Seq2Seq model by allowing the decoder to focus on different parts of the input sequence, improving performance and interpretability.