### Web Search Basics and Web Crawling

#### CS4422/7263 Information Retrieval Lecture 01

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- The Web and Search Engines
  - History of Search Engines
  - From Keyword to GenAI Search

- Fundamentals of Web Crawlers
  - Web as a Graph
  - Coverage, Freshness, Politeness
  - Handling Duplicates

#### The World Wide Web

- The Web is a system of interlinked hypertext documents accessed via the Internet.
- Developed by Tim Berners-Lee in 1989 at CERN
  - ► To organize research documents for scientists.
  - Combined the idea of hypertext to link documents with the documents available via FTP.
  - ▶ Developed HTTP network protocol, URLs, HTML, and the first web browser.

# **Early Search Engines**

- **1990** Alan E. Hall's **Archie** was the first search engine
  - Indexes FTP sites, not the web
  - ▶ Searchable database of file names, allowing regular expressions (regex) search
- **1993 Gopher** was the first search engine to index the web; searching names of text files
- 1993 Spider robots were developed to crawl the web and collect URL's
- 1994 Stanford grad students David Filo and Jerry Yang created Yahoo!
  - A directory (hierarchy) of web pages, not a search engine
  - Manually collecting popular web pages
  - Users could submit their own pages to be indexed

#### **Directory of Web Pages**



# **Early Search Engines (Cont.)**

- 1994 Brian Pinkerton developed WebCrawler at the University of Washington
  - Eventually became part of Excite and AOL
- **1998** Larry Page and Sergey Brin developed **BackRub** (Google's original name) at Stanford
  - ► A search engine that used *PageRank* algorithm to rank web pages
- 1998 Microsoft launched MSN Search (now Bing)
  - A web search engine that used a combination of algorithms and human editors to rank web pages
  - Bing now evolved focused on features like image and video search, and providing contextual search suggestions



# **Core Components of Traditional Search Engines**

#### Crawling

Discovering and fetching web pages from the internet

#### Indexing

 Organizing and storing the crawled data in a way that allows for efficient retrieval

#### Query Processing

Analyzing and interpreting user queries to retrieve relevant results

#### Ranking

Determining the order in which search results are presented to the user

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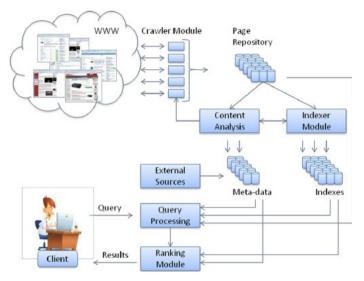


Figure: The Architecture of a Web Search Engine; image by Michalis Vazigiannis

# **Challenges of Web Search**

#### Scale of the Web

- Large volume of data
- Distributed nature: Documents spread across millions of web servers

#### • Language understanding

- ► Language Ambiguity: Words can have multiple meanings, and the same meaning can be expressed in different ways
- Unstructured data: Most web content is unstructured, making it difficult to extract relevant information

#### Heterogeneous content

- ▶ Different formats and media types: Web pages can be in HTML, PDF, images, videos, etc.
- Different languages: The web is multilingual, and search engines need to handle multiple languages



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# Limitations of keyword search

#### Keyword Search

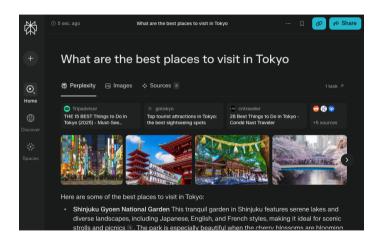
- Users enter keywords, and the search engine retrieves documents containing those keywords
- Limited understanding of user intent and context
- Often returns irrelevant or low-quality results

#### Rise of Conversational AI and LLMs

#### Conversational AI

- AI systems that can engage in natural language conversations with users
- Examples: Chatbots, virtual assistants (e.g., Siri, Alexa)
- Large Language Models (LLMs)
  - Deep learning models trained on vast amounts of text data
  - Capable of understanding and generating human-like text
  - Examples: GPT-3, BERT, T5

### Case Study: Perplexity AI



### **How Perplexity AI Works**

| Feature               | Traditional Search Engine    | Perplexity (GenAl Search)        |
|-----------------------|------------------------------|----------------------------------|
| Query Input           | Keyword-based                | Conversational, natural language |
| Results               | Ranked list of links         | Direct answers with citations    |
| Indexing              | Inverted index, static pages | Real-time web search, dynamic    |
| Personalization       | Limited, based on history    | Context-aware, remembers threads |
| Advanced Capabilities | Boolean, phrase search       | Summarization, file analysis     |
| Transparency          | Limited                      | Source citations in every answer |

Figure: Perplexity generated answer to "GenAI-based search engines"

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# Web Crawling

- **Web crawling** is the process of locating, fetching, and storing the pages available in the Web
- A program that automatically downloads web pages is called a web crawler
- Follow all links on these visited pages recursively to find additional pages.
- Other names of web crawlers are:
  - spiders
  - scrapers
  - robots
  - harvesters



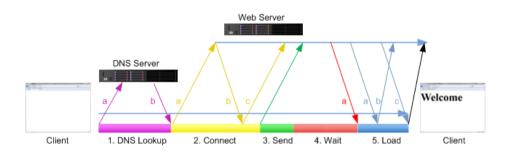
# **Retrieving Web Pages**

- Each web page has its own unique *Uniform Resource Locator (URL)*
- Most web pages can be retrieved via *Hypertext Transfer Protocol (HTTP)*



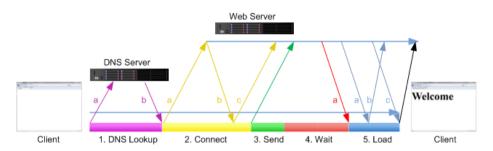
**Figure:** A uniform resource locator (URL)

# **Simple HTTP Transaction**



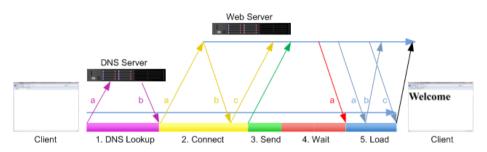
- (1). DNS Lookup: A client (e.g., browser or crawler) request IP for a domain name
- DNS server responses with the IP address for a requested domain name

# **Simple HTTP Transaction (cont.)**



- (2). Connect: Client establishes TCP connection with the IP address
- Client sends SYN packet
- Web server sends SYN-ACK packet
- Client sends ACK packet, concluding the three-way handshake for TCP connection

# **Simple HTTP Transaction (cont.)**



- (3). Send: Client sends the HTTP request.
- (4). Wait: Client waits for the server to respond to the request.
- **(5). Load**: Client keeps communicating with the server to load all the TCP segments.
- Client sends a a FIN packet to close the TCP connection.

# **HTTP Requests**

An *HTTP Request* is made by a client to a web server for accessing a resource on the server.

#### **HTTP** request components

- A request line (<method><url><HTTP version>)
- A series of HTTP headers
- A message body, if needed

# What's going on "under the hood" using cURL

- cURL is a command-line tool for transferring data with URLs
- With -verbose option, cURL shows the details of the HTTP request and response
- The printout contains four components:
  - Additional info. such as http transaction info, provided by curl (prefixed \*)
  - "header data" sent by curl (prefixed >)
  - "header data" received from the server (prefixed <)</p>

» curl -v -s -stderr https://www.google.com



```
* [HTTP/2] [1] [:authority: www.google.com]
* [HTTP/2] [1] [:path: /]
* [HTTP/2] [1] [user-agent: curl/8.7.1]
* [HTTP/2] [1] [accept: */*]
                  Request Line
> GFT / HTTP/2
> Host: www.google.com
                                Request Headers
> User-Agent: curl/8.7.1
> Accent: */*
* Request completely sent off
< HTTP/2 200
< date: Sun. 27 per 2025 21:26:20 GMT
                                                  Response Headers
< expires: -1
                        Response Code
< cache-control: private, max-age=0
< content-type: text/html; charset=ISO-8859-1
< content-security-policy-report-only: object-src 'none':base-uri 'self':script-src 'nonce-P;</pre>
https: http::report-uri https://csp.withgoogle.com/csp/gws/other-hp
< accent-ch: Sec-CH-Prefers-Color-Scheme
< p3p: CP="This is not a P3P policy! See q.co/p3phelp for more info."</pre>
< server: gws
< x-xss-protection: 0
< x-frame-options: SAMFORIGIN
< set-cookie: AEC=AVcia2eR6 h5T8hN7biQR8K61Muro-cb9bH56qqt8MaH3zC uzAiXt0mrA: expires=Fri. 24</p>
< set-cookie: NID=523=W56aHRRqze6DmSSB3wEBR-3ZRLh1lvoYYVHq1fdzBJCWh vvEK10iQmEpFZ9okowyhEGIdr</p>
D2GM Som-YaP6Dl Ev1d2YVeaPfp4 rYz6-JdRl2iEhCLx ImYfV89PeD4U8; exptres=Mon. 27-Oct-2025 21:26
< alt-syc: h3=":443": ma=2592000.h3-29=":443": ma=2592000
< accept-ranges: none
< vary: Accept-Encoding
                                                                       Response Body
<!doctype html><html itemscope="" itemtype="http://schema.org/WebPage" lang="en"><head><meta</pre>
. Google has many special features to help you find exactly what you're looking for." name="(
UTF-8" http-equiv="Content-Type"><meta_content="/images/branding/googleg/1x/googleg_standard
EWyOnch4">(function(){var_g={kEI*' KAOaIa4E-iNwhkP-geWo4U' kEXPI*'0 202791,27,3497447,1119.4
```

### **HTTP Request Methods**

| Method | Description  |
|--------|--|
| GET    | Requests a representation of the specified resource. Requests using GET should only retrieve data.   |
| HEAD   | Asks for a response identical to a GET request, but without the response body.   |
| POST   | Submits an entity to the specified resource, often causing a change in state or side effects on the server. (e.g., submitting form inputs) |
| PUT    | Replaces all current representations of the target resource with the request payload. (e.g., saving an object)                             |
| DELETE | Deletes the specified resource   |

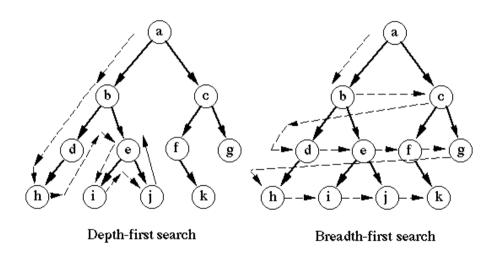
- Full list of HTTP Methods
- Full list of HTTP Response Stat codes

# Web Graph

Web crawlers exploit the hyperlink structure of the Web



#### DFS vs. BFS





#### DFS vs. BFS

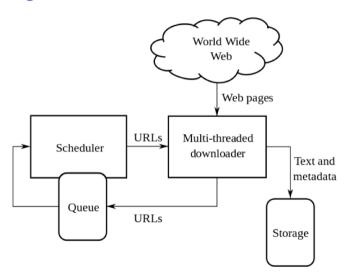
- Depth-First Search (DFS)
  - Requires less memory
  - A seed page may not get crawled in the end
- Breadth-First Search (BFS)
  - Explores the Web uniformly outward
  - Requires memory of all nodes on the previous level (exponential in depth)
  - Can avoid too many requests to a single host
- Both,
  - Implemented using a queue of links (FIFO, LIFO)
  - ▶ Heuristically ordering the Queue give a "focused crawler" that directs its search towards "interesting" pages.

# **Web Crawling Process**

A crawler maintains a **frontier** with a collection of pages to be crawled and iteratively fetches and stores pages from it.

- Initialize the frontier with a list of seed pages
- Selects the next page carefully, with the politeness and performance in considerations
- Ownloads the selected pages, and extracts the hyperlinks within
- Locates new pages by parsing the downloaded pages
- Processes the new URLs and filters before being added to the frontier
- Ontinues downloading until the fetch queue gets empty or a satisfactory number of pages are downloaded.

# **Web Crawling Process**

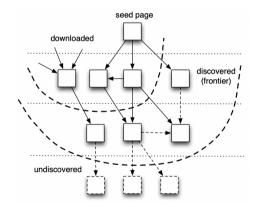


### Web Crawling — Frontier

Crawler frontier is a data structure used to store eligible URLs for crawling. It can be seen as a priority queue.

Crawler divides the Web into three sets

- downloaded
- discovered
- undiscovered



#### **URL Prioritization**

A state-of-the-art web crawler maintains two separate queues for prioritizing the download of URLs:

#### Discovery queue

- Downloads pages pointed by already discovered links
- Tries to increase the **coverage** of the crawler

#### Refreshing queue

- re-downloads already downloaded pages
- tries to increase the **freshness** of the repository



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#### **Goals of Web Crawlers**

#### Coverage

- No crawler can index all the Internet content,
- ▶ Hence it should prioritize high-quality content
- Discovering new pages and web sites as they appear online

#### Freshness

- The recency of the content in your index
- A crawler should measure the rate at which each page changes
- A crawler should balance between the coverage and freshness goals

#### Politeness

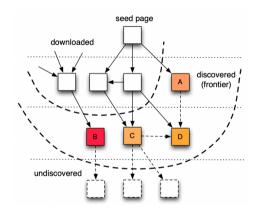
- ▶ Be respectful of other's web service resources by obeying the requested policies
- ▶ Put a delay between a consecutive downloads from the same server

# **URL Selection Strategies**

#### Methods to select the next page to crawl

- Breadth-First Search (BFS): This distributes requests across domains relatively well and tends to download high-PageRank pages early
- **Backlink count**: Prioritize pages with more in-links from already-crawled pages.
- Larger sites first: Prioritize pages on domains with many pages in the frontier.
- Partial PageRank: Approximate PageRank scores are calculated based on already-crawled pages.
- \* PageRank measures the importance of a webpage by link analysis

# **URL Selection Strategies (Discovery)**



Which webpage will be selected for next download?

Random: Any of (A, B, C, D)

• Breadth-first: A

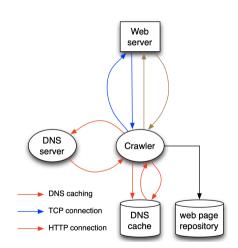
• In-degree: C

PageRank: B

\* More intense red color indicates higher PageRank score

# **DNS Caching**

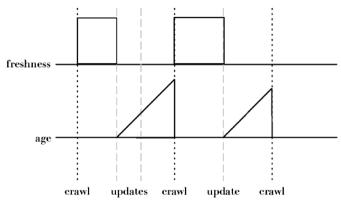
- Before a web page is crawled, the host name needs to be resolved to an IP address
- Since the same host name appears many times, DNS entries are locally cached by the crawler



## Freshness vs. Age

- Webpage modification rate has a huge variations.
- Crawlers need to measure the rate at which each page changes.
- Two metrics:
  - ▶ **Freshness**: a page is *fresh* if the crawl has the most recent copy of a web page
  - ► **Age**: a page has age 0 until it is changed and then its age grows

## Freshness vs Age



Freshness is binary, age is continuous.

- It's better to re-crawl pages when the age of the last crawled version exceeds some limit.
- The age of a page is the elapsed time since the first update after the most liho Noh (CS/KSU) Web Search Basics and Web Crawling Summer 2025

# **Expected Page Age**

Suppose we have a page with change frequency  $\lambda$ , (i.e., a page changes  $\lambda$  times in a one-day period)

The expected age of a page t days after it was crawled depends on its update probability:

$$age(\lambda, t) = \int_0^t P(\text{page changed at time } \mathbf{x})(t - x)dx$$

On average, page updates follow a Poisson distribution – the time until the next update is governed by an exponential distribution. This makes the expected age:

$$age(\lambda, t) = \int_0^t \lambda e^{-\lambda x} (t - x) dx$$



## Freshness vs Age

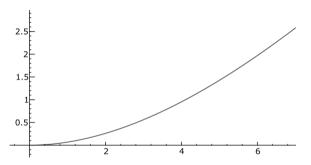


Fig. 3.7. Expected age of a page with mean change frequency  $\lambda = 1/7$  (one week)

### **Politeness**

- In general, we don't want to make heavy demands on other's web service resources.
- Some web content is considered private or under copyright.
- Politeness policies can mediate the conflicts between search providers and the web sites.

### Our Web



Figure: Web by indexability and accessibility.

## **Politeness Policy** — Obey Robot Exclusion

- A standard from the early days of the Web
- The owner of a website can specify that robots should not crawl/index certain areas.
- visible  $\neq$  accessible  $\neq$  storable
- Always check before crawling the website

### **Robot Exclusion**

#### **Robot Exclusion Protocol**

- Two components:
  - ► **Robots Exclusion Protocol**: Site wide specification of excluded directories. Specified in a file called 'robots.txt'
  - Robots META Tag: Individual document tag to exclude indexing or following links

### Robots.txt

'Robots.txt' is a file contains a list of excluded directories for given robot (user-agent)

#### **Exclude all robots from the entire site:**

```
User-agent: *
Disallow: /
```

### **Exclude specific directories:**

```
User-agent: *
Disallow: /tmp/
Disallow: /cgi-bin/
Disallow: /users/paranoid/
```

#### **Exclude a specific robot:**

```
User-agent: GoogleBot
```

### Politeness Policy — Robots.txt

#### Robots.txt

```
user-agent: googlebot
                           # all services
disallow: /private/
                           # disallow this directory
                           # only the news service
user-agent: googlebot-news
disallow: /
                           # on everything
user-agent: *
                          # all robots
disallow: /something/
                           # on this directory
                           # all robots
user-agent: *
crawl-delay: 10
                           # wait at least 10 seconds
disallow: /directory1/ # disallow this directory
allow: /directorv1/mvfile.html # allow a subdirectorv
host: www.example.com
                     # use this mirror
```

#### google robots.txt



# **Robots META Tag**

- Include META tag in HEAD section of a specific HTML document.
   <meta name="robots" content="noindex, nofollow">
- Content value is a pair of values for two aspects:
  - ▶ index | noindex: Allow/disallow indexing of this page
  - ► follow | nofollow: Allow/disallow following links on this page

# **Robots META Tag**

- Special values:
  - ► all = index,follow
  - none = noindex,nofollow
- Examples:

```
<meta name="robots" content="noindex,follow">
<meta name="robots" content="index,nofollow">
<meta name="robots" content="none">
```

## **Sitemaps**

- In addition to robots.txt, which asks crawlers not to index certain content, a site can request that certain content be indexed by hosting a file at /sitemap.xml.
- Sitemaps can direct your crawler toward the most important content on the site, indicate when it has changed, etc.
- ex. Google's sitemaps



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## **Consolidating Duplicate URLs**

#### **URL Canonicalization Process**

- Many possible URLs ca refer to the same resource.
- Many URL normalization rules are available:
  - some can make mistakes.
  - the order of rules can also affect the results

```
http://example.com/some/../folder?id=1#anchor ⇒
http://example.com/some/../folder
⇒ http://example.com/folder
```

## **URL Syntax**

- A URL has the following syntax: <scheme>://<authority><path>?<query>#<fragment>
- An authority has the syntax <host>:<port-number>
- A query passes variable values from an HTML form and has the syntax: <variable>=<value>...
- A fragment is also called a reference or a ref and is a pointer within the document to a point specified by an anchor tag of the form <ANAME="<fragment>">

## **URL Canonicalization Example**

### W3lib example (used in Scrapy package)

### Example process: w3lib (used by the Python *Scrapy* package)

- Sort query arguments, first by key, then by value https://example.com/item?id=1231&cat=service&cat=product
- Percent encode paths; non-ASCII characters are percent-encoded using UTF-8 (RFC-3986) http://www.example.com/r\u00e9sum\u00e9
- Percent encode query arguments; non-ASCII characters are percent-encoded using passed encoding (UTF-8 by default)
- Normalize all spaces (in query arguments) '+' (plus symbol) https://example.com/item?id=1231&cat=service&cat=europeancafe



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## **URL Canonicalization Example**

#### Example process: w3lib (used by the Python Scrapy package)

- Normalize percent encodings case (%2f → %2F) http://www.example.com/r%c3%a9sum%c3%a9
- Remove query arguments with blank values https://example.com/item?id=1231&cat=service&cat=europeancafe&loc=
- Remove fragments https://example.com/book.php#second\_title

# **Keeping Similar or Duplicate Pages**

- Multiple device types
  - https://example.com/news/koala-rampage
  - https://m.example.com/news/koala-rampage
- Dynamic URLs (e.g., search parameters, session IDs)
  - ► https://www.example.com/products?category=dresses&color=green
- Different naming schemes for blog posts
  - https://blog.example.com/dresses/green-dresses-are-awesome/
  - https://github.com/alexeygumirov/pandoc-beamer-how-to
- Different network protocols
  - http://example.com/green-dresses
  - https://example.com/green-dresses
  - http://www.example.com/green-dresses



## **Duplicate Detection**

### Why?

- More than 30% of pages are (near-) duplicate documents on the Web
- Copies, mirror sites, versioning, plagiarism, spam, etc.
- Detecting duplicate documents is important for conserving limited crawling and indexing resources
- Also, an essential tool in natural language processing

#### How?

- For exact duplicates, use **checksumming** techniques
- For near-duplicates, use a threshold value for some similarity measure between pairs of documents (e.g., **fingerprints**)

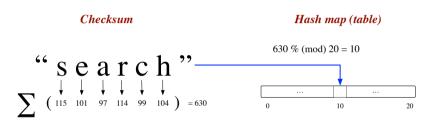


## **Duplicate Detection**

#### Checksums vs. Hash functions

- *Hash (Checksum) functions* are mathematical functions that maps variable lenth data into a fixed value.
- Same concept with different purposes
  - Checksum is to detect errors (or accidental changes) in data, often in a simple and fast manner.
  - ► **Hash** is to speed up comparison of data using fingerprints or create a hash table.
- ASCII parity bit is a 1-bit hash function (i.e., even/odd parity)

### **Hash functions (proof of concept)**



## **Fingerprints**

#### Process of generating fingerprints using n-grams

- A document is converted into a sequence of words; all punctuation and formatting is removed.
- ② Words are grouped into (possibly overlapping) n-grams, for some n.
- A subset of the n-grams are selected to represent the document.
- The selected n-grams are hashed, and the resulting hashes stored in an inverted index.
- Occuments are compared based on the number of overlapping n-grams.

## Fingerprints (example)

#### (a) Original text

Tropical fish include fish found in tropical environments around the world, including both freshwater and salt water species.

### (b) 3-grams

tropical fish include, fish include fish, include fish found, fish found in, found in tropical, in tropical environments, tropical environments around, environments around the, around the world, the world including, world including both, including both freshwater, both freshwater and, freshwater and salt, and salt water, salt water species

#### (c) Hash values

938 664 463 822 492 798 78 969 143 236 913 908 694 553 870 779

### (d) Selected hash values using 0mod4

664 492 236 908



# **Summary**

- Questions?
- Discussion?