

# Modern Analysis 1 L6

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## 1 Nomenclature

$\vee$  means max and  $\wedge$  means min

## 2 Squares

Given  $a > 0$ ,  $A = \{x \in \mathbb{R}^+ : x^2 < a\}$  is nonempty  $A \supseteq (0, 1 \wedge a)$  and is bounded above by  $1 \vee a$ .

*Proof.* If  $y > 1 \vee a \Rightarrow y^2 > y > a \Rightarrow y \notin A$ .

$\therefore y \in A \Rightarrow y \leq 1 \vee a$  □

Let  $\alpha = \sup(A)$

**Claim.**  $\alpha^2 = a$

*Proof.* According to the Trichotomy, we want to rule out  $\alpha^2 < a$  and  $\alpha^2 > a$ .

- Case 1: Suppose  $\alpha^2 < a$   
Then,  $\exists t > 0$  s.t.  $(\alpha + t)^2 < a \Leftrightarrow \alpha^2 + 2\alpha t + t^2 < a \equiv 2\alpha t + t^2 < a - \alpha^2$ .  
Choose  $t$  to be small enough to make

1.  $2\alpha t < \frac{a - \alpha^2}{2}$

This implies that  $t < \frac{a - \alpha^2}{4\alpha}$

2.  $t^2 < \frac{a - \alpha^2}{2}$

This implies that  $t < 1 \wedge \frac{a - \alpha^2}{2}$

Therefore, if  $0 < t < 1 \wedge \frac{a - \alpha^2}{4\alpha} \wedge \frac{a - \alpha^2}{2}$ , then  $(\alpha + t)^2 < a \Rightarrow (\alpha + t) \in A$ .

But,  $\alpha$  is the least upper bound for  $A$ ,  $\Rightarrow \Leftarrow$

Therefore,  $\alpha^2 \not< a$ .

- Case 2: Suppose  $\alpha^2 > a$   
We can find  $t < \alpha$  s.t.  $(\alpha - t)^2 > a \Leftrightarrow \alpha^2 - 2\alpha t + t^2 > a \Leftarrow \alpha^2 - 2\alpha t > a \Leftarrow \alpha^2 - a > 2\alpha t$   
So, let  $t < \frac{\alpha^2 - a}{2\alpha} \wedge \alpha$  to get  $\alpha - t > 0$  s.t.  $(\alpha - t)^2 > a$ .  
Now,  $\alpha - t$  is an upper bound for  $A$ . But,  $\alpha$  is the least upper bound for  $A$ ,  $\Rightarrow \Leftarrow$ .

Therefore,  $\alpha^2 = a$  □

**Remark.** In  $\mathbb{R}$ , the order structure can be recovered from the field structure because  $a > 0 \Leftrightarrow a$  is a non-zero square.

**Theorem 2.1.** *Let  $f : [0, 1] \rightarrow [0, 1]$  be increasing. Then,  $f$  has a fixed point (i.e.  $\exists x \in [0, 1]$  s.t.  $f(x) = x$ )*

*Proof.* Consider  $A = \{t \in [0, 1] : t \leq f(t)\}$ .

$A \neq \emptyset$  because  $0 \in A$ , and  $A$  is bounded above by 1.

Let  $\alpha = \sup A$ .

**Claim:**  $f(\alpha) = \alpha$

Suppose we can show  $\alpha \leq f(\alpha)$

Then  $f \uparrow \Rightarrow f(\alpha) \leq f(f(\alpha))$

$\therefore f(\alpha) \in A$

$\therefore f(\alpha) \leq \alpha$  because  $\alpha$  is an upper bound for  $A$ .

Now, all that remains is to show  $f(\alpha)$  is an upper bound for  $A$ , because then  $\alpha \leq f(\alpha)$  follows.

Let  $t \in A$ , then  $t \leq f(t)$ .

$\alpha$  is an upper bound for  $A \Rightarrow t \leq \alpha$ .

$f \uparrow \bigvee t \leq \alpha \Rightarrow f(t) \leq f(\alpha)$

$t \leq f(t) \bigvee f(t) \leq f(\alpha) \Rightarrow t \leq f(\alpha)$ .

Thus,  $f(\alpha)$  is an upper bound for  $A \Rightarrow \alpha \leq f(\alpha)$ .

We have showed that  $f(\alpha) \leq \alpha$  and  $\alpha \leq f(\alpha)$ .

Therefore,  $f(\alpha) = \alpha$ . □

**Remark.** *This is a special case of a fixed-point theorem (due to Knaster and Taski) for complete lattices. The general theorem leads to a nice proof of Schroder-Bernstein theorem.*

**Theorem 2.2** (Schroder-Bernstein Theorem). *If there exist injective functions  $f : A \rightarrow B$  and  $g : B \rightarrow A$  between the sets  $A, B$ , then there exists a bijective function  $h : A \rightarrow B$ .*