

# Modern Analysis 1 L8 and L9

Guanyun Liu

October 2, 2023

## 1 Recall

- **AMGM** If  $a_1, \dots, a_n \geq 0$ , then  $\frac{a_1 + \dots + a_n}{n} \geq (a_1 \cdots a_n)^{\frac{1}{n}}$  with equality  $\Leftrightarrow a_1 = \dots = a_n$ .

## 2 Example 1

$\mathbb{E}$  Maximize  $x(1-x)^n$  on  $(0, 1)$ .

Solution:

Consider the following numbers  $a_0 = x, a_1 = 1 - x, \dots, a_n = 1 - x$ , according to AMGM we have

$$(a_0 a_1 \cdots a_n)^{\frac{1}{n+1}} \leq \frac{a_0 + a_1 + \dots + a_n}{n+1}$$

$$\Rightarrow (nx(1-x)^n)^{\frac{1}{n+1}} \leq \frac{n}{n+1}$$

$$\Rightarrow nx(1-x)^n \leq \left(\frac{n}{n+1}\right)^{n+1}$$

$$\Rightarrow x(1-x)^n \leq \frac{n^n}{(n+1)^{n+1}}$$

Equality holds  $\Leftrightarrow a_0 = a_1 = \dots = a_n$ , that is  $x = \frac{1}{n+1}$ .

## 3 Example 2

**Given**  $a_1, \dots, a_N > 0$ , **show that if**  $\pi \in S_N$ ,  $\sum_{n=1}^N \frac{a_{\pi(n)}}{a_n} \geq N$

For example,  $a, b, c > 0$ ,  $\frac{b}{c} + \frac{c}{a} + \frac{a}{b} \geq 3$

*Proof.* Apply AMGM to  $\frac{a_{\pi_1}}{a_1}, \dots, \frac{a_{\pi_N}}{a_N}$   
$$\frac{\frac{a_{\pi_1}}{a_1} + \dots + \frac{a_{\pi_N}}{a_N}}{N} \geq \left(\frac{a_{\pi_1}}{a_1} \cdots \frac{a_{\pi_N}}{a_N}\right)^{\frac{1}{N}}$$

$\because \pi$  is permutation of  $N$

$\therefore$  RHS's numerator is exactly same as the denominator with different order

$\therefore \text{RHS} = 1$

$\therefore \frac{\frac{a_{\pi_1} + \dots + a_{\pi_N}}{a_1 + \dots + a_N}}{N} \geq 1 \therefore \frac{a_{\pi_1}}{a_1} + \dots + \frac{a_{\pi_N}}{a_N} \geq N$  with equality holds if  $\pi = \text{identity}$ , i.e.  $a_{\pi_i} = a_i$   $\square$

## 4 Uniqueness of $\mathbb{R}$

**Theorem 4.1.** *If  $F$  and  $F'$  are fields with compatible total orders having the least upper bound property, then there exists a unique **field isomorphism** from  $F \rightarrow F'$ .*

**Remark.** *The smallest (or prime) subfield of  $F$  is an isomorphic copy of the rationals, say  $\mathbb{Q}$ . Similarly,  $F' \supseteq \mathbb{Q}'$ .*

**Fact:** There is a unique field isomorphism  $\phi_0 : \mathbb{Q} \rightarrow \mathbb{Q}'$

**Fact:** If  $a \in F$ , and  $\mathbb{Q}_a := \{q \in \mathbb{Q} : q < a\}$ , then  $\sup \mathbb{Q}_a = a$

*Proof.*  $\mathbb{Q}_a \neq \emptyset$  due to Archimedes.

Thus,  $\mathbb{Q}_a$  is nonempty and bounded above.

The  $\mathbb{D}$  of  $\mathbb{Q}_a \Rightarrow a$  is an upper bound for  $\mathbb{Q}_a$

Now, we need to show  $a$  is the least upper bound by showing if there exists a  $t < a$ , then  $t$  is not an upper bound.

Let  $t < a$

Archimedes gives us  $q \in \mathbb{Q} \text{ s.t. } t < q < a$

$a < a \wedge q \in \mathbb{Q} \Rightarrow q \in \mathbb{Q}_a$

Therefore,  $t < q$  implies  $t$  is not an upper bound for  $\mathbb{Q}_a$ . Thus,  $\sup \mathbb{Q}_a = a$ .  $\square$

Let's define a function  $\phi : F \rightarrow F'$  as  $\phi(a) = \sup[\phi_0(\mathbb{Q}_a)]$ , where  $\phi_0 : \mathbb{Q} \rightarrow \mathbb{Q}'$ . Then, we have the following claims:

- $\phi_0(\mathbb{Q}_a) \neq \emptyset$  because  $\phi_0$  is isomorphism, i.e. bijective mapping, and  $\mathbb{Q}_a \neq \emptyset$ .
- $\phi_0(\mathbb{Q}_a)$  is bounded above by Archimedes.

*Proof.* Choose  $n > a, n \in \mathbb{Z}$ , because integers are not bounded above.

Now,  $q \in \mathbb{Q}_a \Rightarrow n > a > q$

$n > q \Rightarrow \phi_0(n) > \phi_0(q)$  because the isomorphism  $\phi_0$  preserves order ( $\mathbb{E}$ ).

Therefore,  $\phi_0(n)$  is an upper bound for  $\phi_0(\mathbb{Q}_a)$  □

- $\phi : F \rightarrow F'$  is an isomorphism.

*Proof.* We need to show:

1.  $\phi(a + b) = \phi(a) + \phi(b)$

First,  $\mathbb{Q}_{a+b} = \mathbb{Q}_a + \mathbb{Q}_b$ .

If so, (assuming this is correct), then  $\phi_0(\mathbb{Q}_{a+b}) = \phi_0(\mathbb{Q}_a) + \phi_0(\mathbb{Q}_b)$ , because  $\phi_0$  is isomorphism.

$$\begin{aligned} \therefore \phi(a + b) &= \sup[\phi_0(\mathbb{Q}_{a+b})] \\ &= \sup[\phi_0(\mathbb{Q}_a) + \phi_0(\mathbb{Q}_b)] \\ &= \sup[\phi_0(\mathbb{Q}_a)] + \sup[\phi_0(\mathbb{Q}_b)] \\ &= \phi(a) + \phi(b) \end{aligned}$$

Now, to prove  $\mathbb{Q}_{a+b} = \mathbb{Q}_a + \mathbb{Q}_b$ , we need to show  $\mathbb{Q}_{a+b} \subseteq \mathbb{Q}_a + \mathbb{Q}_b$  and  $\mathbb{Q}_{a+b} \supseteq \mathbb{Q}_a + \mathbb{Q}_b$

“ $\subseteq$ ” is easy: if  $x \in \mathbb{Q}_a$  and  $y \in \mathbb{Q}_b$ , then  $x + y < a + b$  and  $x + y \in \mathbb{Q}_{a+b}$

“ $\supseteq$ ” is not easy because the set  $\mathbb{Q}_a$  is defined as  $q$  strictly less ( $<$ ) than  $a$ .

Let  $z \in \mathbb{Q}_{a+b}$ , then  $\mathbb{Q} \ni z < a + b$ .

We want  $z = x + y$  for some  $x \in \mathbb{Q}_a$  and  $y \in \mathbb{Q}_b$ .

Since  $z - a < b$ , according to Archimedes:  $\exists y \in \mathbb{Q}$  s.t.  $z - a < y < b \Rightarrow y \in \mathbb{Q}_b$

Let  $x = z - y$ , certainly  $x \in \mathbb{Q}$ .

Since  $z - b < a$ , again by Archimedes,  $\exists x \in \mathbb{Q}$

**Check  $x < a$  HOW?????**

2.  $\phi(ab) = \phi(a)\phi(b)$

□

## 5 Isomorphism

**Definition 5.1.** Given two groups  $(G, *)$  and  $(H, \odot)$ , a **group isomorphism** from  $(G, *)$  to  $(H, \odot)$  is a bijective group homomorphism from  $G$  to  $H$ . This means that a group isomorphism is a bijective function  $f : G \rightarrow H$  such that  $\forall u, v \in G$ , it holds that

$$f(u * v) = f(u) \odot f(v)$$

The two groups  $G$  and  $H$  are isomorphic if there exists an isomorphism from one to the other, denoted as  $(G, *) \cong (H, \odot)$

## 6 Homomorphism

**Definition 6.1.** Let  $(G, *)$  and  $(H, \odot)$  be groups. A homomorphism  $f : G \rightarrow H$  is a function s.t.  $\forall g_1, g_2 \in G$ ,

$$f(g_1 * g_2) = f(g_1) \odot f(g_2)$$

**Definition 6.2.** Equivalently, the function  $f : G \rightarrow H$  is a group homomorphism if whenever  $a, b, c \in G$

$$a * b = c \Rightarrow f(a) \odot f(b) = f(c)$$

ℳ The purpose of defining a group homomorphism is to create functions that **preserve** the algebraic structure. According to the alternative definition, the group  $H$  in some sense has a similar algebraic structure as  $G$  and the homomorphism  $h$  preserves that.

**Proposition 6.0.1.** Let  $G$  and  $H$  be groups, written multiplicatively and let  $f : G \rightarrow H$  be a homomorphism. Then,

1.  $f(1) = 1$ , where the 1 on the left is the identity in  $G$  and the 1 on the right is the identity in  $H$ . In other words,  $f$  takes the identity in  $G$  to the identity in  $H$ .
2.  $\forall g \in G, f(g^{-1}) = (f(g))^{-1}$