



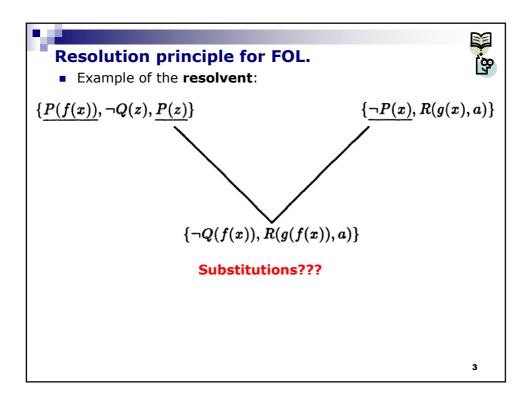
Using the unification principle, we are now in a situation to formulate the resolution principle for FOL.

### **DEFINITION (resolution in FOL):**

Let  $C_1, C_2$  and R be clauses (in predicate logic). Then R is called a *resolvent* of  $C_1, C_2$  if the following holds.

- 1. There exist certain substitutions  $s_1$  and  $s_2$  which are variable renamings so that  $C_1s_1$  and  $C_2s_2$  do not contain the same variable.
- 2. There is a set of literals  $L_1, \ldots, L_m \in C_1 s_1$   $(m \ge 1)$  and  $L'_1, \ldots, L'_n \in C_2 s_2$   $(n \ge 1)$ , such that  $\mathbf{L} = \{\overline{L_1}, \overline{L_2}, \ldots, \overline{L_m}, L'_1, L'_2, \ldots, L'_n\}$  is unifiable. Let sub be a most general unifier for  $\mathbf{L}$ .
- 3. R has the form

$$R = ((C_1s_1 - \{L_1, \ldots, L_m\}) \cup (C_2s_2 - \{L'_1, \ldots, L'_n\}))sub.$$







## **Resolution Theorem (of FOL)**

Let F be a closed formula in Skolem form with its matrix F\*. Then, F is unsatisfiable iff □ ∈ Res\*(F\*).

(see proof in the Textbook, Chapter 2, section 2.5)



### **Example:**

- Consider the two clauses:
  - $C1 = \{P(f(x),g(y)),Q(x,y)\}$
  - C2 =  $\{\neg P(f(f(a)),g(z)),Q(f(a),g(z))\}$
- These **two clauses** contain the following literals:
  - $L1 = \{P(f(x),g(y))\}$
  - $L2 = {\neg P(f(f(a)),g(z))}$
- It is easy to check that the literals in L1 and L2 are unifiable with MGU =  $\{[x/f(a)], [y/z]\}$
- so the clauses C1 and C2 are clashing, and their resolvent is:
  - $Res(C1,C2) = {Q(f(a),z),Q(f(a),g(z))}$

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# Resolution principle for FOL.



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### Example #1:

- In this example, we will prove that the set of clauses (1)-(4) is unsatisfiable.
  - 1.  $\neg p(x,y) \lor p(y,x)$
  - 2.  $\neg p(x,y) \lor \neg p(y,z) \lor p(x,z)$
  - 3. p(x,f(x))
  - 4.  $\neg p(x,x)$
- Resolution...
  - 3'. p(x',f(x'))
- 3 Rename x to x'
- 5. p(f(x),x)
- 1,3' [x'/x], [y/f(x)]
- 3". p(x'', f(x''))
- 3 Rename x to x"
- 6.  $\neg p(f(x), z) \lor p(x, z)$  3",2 [y/f (x)], [x"/x]
- 5". p(f(x"), x")
- 5 Rename x to x"
- 7. p(x,x)
- 6,5 [z/x], [x'''/x]
- 4"". ¬p(x"", x"")
- 4 Rename x to x''''

8. □

7,4"" [x""/x]



### Example #2:

- We will show, using the general resolution procedure, that the following set of clauses is unsatisfiable:
  - 1.  $\neg p(x) \lor q(x) \lor r(x,f(x))$
  - 2.  $\neg p(x) \lor q(x) \lor s(f(x))$
  - 3. t(a)
  - 4. p(a)
  - 5.  $\neg r(a,y) \lor t(y)$
  - 6.  $\neg t(x) \lor \neg q(x)$
  - 7.  $\neg t(x) \lor \neg s(x)$
- Resolution...
  - 8. ¬q(a) 3,6[x/a]
  - 9.  $\neg p(a) \lor s(f(a))$ 2,8 [x/a]
  - 10.  $\neg p(a) \lor r(a,f(a))$  1,8 [x/a] 11. s(f(a)) 4,9

  - 12. r (a,f(a)) 4,10
  - 13. t(f(a)) 5,12 [y/f(a)]14. ¬t(f(a)) 11,7 [x/f(a)]
  - 15. 🗆 13,14

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# Resolution principle for FOL.



### Example #3:

Use resolution to prove that a relation  $R \subseteq AxA$  is reflexive if it is transitive and symmetric.

### Solution:

- Translate in terms of logic.
- Define R(x,y) to be true if x is related to y.
- Since A is the **domain** we have

$$\forall x \exists y R(x,y).$$

The relation is **transitive**, that is,

$$\forall x \forall y \forall z ((R(x,y) \wedge R(y,z)) \rightarrow R(x,z)).$$

The relation is **symmetric**, that is

$$\forall x \forall y (R(x,y) \rightarrow R(y,x)).$$

We want to conclude from these premises that the relation is reflexive, that is,

$$\forall x R(x,x).$$

To prove the theorem by refutation, negate the conclusion  $\exists x \neg R(x,x).$ 



# Resolution principle for FOL. Example #3 (cont...):



### Original clauses are:

The **domain** we have

 $\forall x \exists y R(x,y)$ 

**Transitive:** 

 $\forall x \forall y \forall z ((R(x,y) \land R(y,z)) \rightarrow R(x,z))$ 

Symmetric:

 $\forall x \forall y (R(x,y) \rightarrow R(y,x))$ 

Reflexive:

 $\forall x R(x,x)$ 

To prove the theorem by refutation, negate the conclusion

 $\exists x \neg R(x,x).$ 

### After Skolemization:

The **domain** we have

R(x,f(x))

**Transitive:** 

 $\neg R(x,y) \lor \neg R(y,z) \lor R(x,z))$ 

Symmetric:

 $\neg R(x,y) \lor R(y,x)$ 

Reflexive:

To prove the theorem by refutation, negate the conclusion

 $\neg R(a,a)$ .

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# **Resolution principle for FOL.**

### Example #3 (cont...):



- 1. ¬R(a,a) // Conclusion negation
- 2. R(x,f(x))
- 3.  $\neg R(x,y) \lor \neg R(y,z) \lor R(x,z)$
- 4.  $\neg R(x,y) \lor R(y,x)$

### Resolution:

- 5.  $\neg R(a,y) \lor \neg R(y,a) \lor R(a,a)$
- 3, with [x/a] [z/a]
- 6.  $\neg R(a,y) \lor \neg R(y,a)$
- resolve 1 and 5
- 7. R(a,f(a))
- 2 with [x/a]
- 8.  $\neg R(a,f(a)) \lor \neg R(f(a),a)$
- 6 with [y/f(a)] resolve 7 and 8
- 9.  $\neg R(f(a),a)$ 10.  $\neg R(a,f(a)) \lor R(f(a),a)$
- 4 with [x/a] [y/a]
- 11. ¬R(a,f(a))

resolve 9 and 10

12. R(a,f(a))

2 with [x/a]

- 13. 🗆 11, 12
- empty clause original claim is valid





### Example #4:

Prove that everybody has a grandparent, provided everybody has a parent.

 Let P(x,y) represent x is a parent of y. The premise can now be stated as

$$\forall x \exists y P(y,x).$$

 From this we must be able to conclude that there exists a parent of a parent, which can be expressed as

$$\forall x \exists y \exists z (P(z,y) \land P(y,x)).$$

We must thus prove that

$$\forall x \exists y P(y,x) \models \forall x \exists y \exists z (P(z,y) \land P(y,x))$$

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# **Resolution principle for FOL.**



### Example #4 (cont...):

Prove that everybody has a grandparent is a logical consequence that everybody has a parent.

$$P(x,y)$$
: x is a parent of y  
 $\forall x \exists y P(y,x) \models \forall x \exists y \exists z (P(z,y) \land P(y,x))$ 

We add the negation of the conclusion to the set of premises, which yields:

$$\forall x \exists y P(y,x) \land \exists x \forall y \forall z (\neg P(z,y) \lor \neg P(y,x))$$

• Eliminate the existential quantifiers (**skolemization** process):

$$\forall x \exists y P(y,x) \land \exists w \forall v \forall z (\neg P(z,v) \lor \neg P(v,w))$$

$$\forall x \exists y \exists w \forall v \forall z (P(y,x) \land (\neg P(z,v) \lor \neg P(v,w)))$$

$$\forall x \forall v \forall z (P(f(x),x) \land (\neg P(z,v) \lor \neg P(v,g(x))))$$

After dropping the universal quantifiers, this yields:

$$S = \{\{P(f(x),x)\}, \{\neg P(z,v), \neg P(v,g(x))\}\}$$





### Example #4 (cont...):

Prove that everybody has a grandparent, provided everybody has a parent.

$$\forall x \exists y P(y,x) \models \forall x \exists y \exists z (P(z,y) \land P(y,x))$$



 $\{\{P(f(x),x)\}, \{\neg P(z,v), \neg P(v,g(x))\}\}\$  is **unsatisfiable** 

### Resolution...

1. P(f(x),x) Given 2.  $\neg P(z,v) \lor \neg P(v,g(x))$  Given

3. P(f(g(a)),g(a)) 1 with [x/g(a)]

4.  $\neg P(z,f(g(a))) \lor \neg P(f(g(a)),g(a))$  2 with [x/a] and [v/f(g(a))]

5.  $\neg P(z,f(g(a)))$  Resolve 3 and 4 6. P(f(f(g(a))),f(g(a))) 1 with [x/f(g(a))]7.  $\neg P(f(f(g(a))),f(g(a)))$  5 with [z/f(f(g(a)))]

8. □ **empty clause** (contradiction) from 6 and 7

This means that the original argument is valid.