## Lab 8

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Lab8 Deadline no demo

In this lab,

## Must use sample code, otherwise no credit.

## **Outline**

- Solve LunarLander-v2 using DQN
- 2. Solve LunarLanderContinuous-v2 using DDPG
- 3. Modify and Run Sample Code
- 4. Scoring Criteria
- 5. Reminders

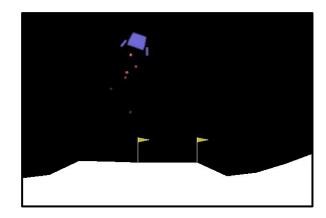
## **LunarLander-v2**

#### Observation [8]

- 1. Horizontal Coordinate
- 2. Vertical Coordinate
- 3. Horizontal Speed
- 4. Vertical Speed
- 5. Angle
- 6. Angle Speed
- 7. If first leg has contact
- 8. If second leg has contact

#### Action [4]

- 1. No-op
- 2. Fire left engine
- 3. Fire main engine
- 4. Fire right engine



#### Action [2] (Continuous)

- Main engine: -1 to 0 off, 0 to +1 throttle from 50% to 100% power. Engine can't work with less than 50% power
- Left-right: -1.0 to -0.5 fire left engine, +0.5 to
  +1.0 fire right engine, -0.5 to 0.5 off

## Deep Q-Network (DQN)

#### Algorithm 1: deep Q-learning with experience replay.

Initialize replay memory D to capacity NInitialize action-value function Q with random weights  $\theta$ Initialize target action-value function  $\hat{Q}$  with weights  $\theta^- = \theta$ 

For episode = 1, M do

Initialize sequence  $s_1 = \{x_1\}$  and preprocessed sequence  $\phi_1 = \phi(s_1)$ 

For t = 1,T do

With probability  $\varepsilon$  select a random action  $a_t$  otherwise select  $a_t = \operatorname{argmax}_a Q(\phi(s_t), a; \theta)$ 

Execute action  $a_t$  in emulator and observe reward  $r_t$  and image  $x_{t+1}$ 

Set  $s_{t+1} = s_t, a_t, x_{t+1}$  and preprocess  $\phi_{t+1} = \phi(s_{t+1})$ 

Store transition  $(\phi_t, a_t, r_t, \phi_{t+1})$  in D

Sample random minibatch of transitions  $(\phi_j, a_j, r_j, \phi_{j+1})$  from D

Set 
$$y_j = \begin{cases} r_j & \text{if episode terminates at step } j+1 \\ r_j + \gamma \max_{a'} \hat{Q}(\phi_{j+1}, a'; \theta^-) & \text{otherwise} \end{cases}$$

Perform a gradient descent step on  $(y_j - Q(\phi_j, a_j; \theta))^2$  with respect to the network parameters  $\theta$ 

Every C steps reset Q = Q

#### TODO:

- Construct the neural network
- Select action according to epsilon-greedy
- Construct Q-values and target Q-values
- Calculate loss function
- Update behavior and target network
- Understand deep Q-learning mechanisms

**End For** 

## Deep Deterministic Policy Gradient (DDPG)

#### Algorithm 1 DDPG algorithm

Randomly initialize critic network  $Q(s,a|\theta^Q)$  and actor  $\mu(s|\theta^\mu)$  with weights  $\theta^Q$  and  $\theta^\mu$ .

Initialize target network Q' and  $\mu'$  with weights  $\theta^{Q'} \leftarrow \theta^Q$ ,  $\theta^{\mu'} \leftarrow \theta^{\mu}$ 

Initialize replay buffer R

for episode = 1, M do

Initialize a random process N for action exploration

Receive initial observation state  $s_1$ 

for t = 1, T do

Select action  $a_t = \mu(s_t|\theta^{\mu}) + \mathcal{N}_t$  according to the current policy and exploration noise

Execute action  $a_t$  and observe reward  $r_t$  and observe new state  $s_{t+1}$ 

Store transition  $(s_t, a_t, r_t, s_{t+1})$  in R

Sample a random minibatch of N transitions  $(s_i, a_i, r_i, s_{i+1})$  from R

Set  $y_i = r_i + \gamma Q'(s_{i+1}, \mu'(s_{i+1}|\theta^{\mu'})|\theta^{Q'})$ 

Update critic by minimizing the loss:  $L = \frac{1}{N} \sum_{i} (y_i - Q(s_i, a_i | \theta^Q))^2$ 

Update the actor policy using the sampled policy gradient:

$$\nabla_{\theta^{\mu}} J \approx \frac{1}{N} \sum_{i} \nabla_{a} Q(s, a | \theta^{Q})|_{s=s_{i}, a=\mu(s_{i})} \nabla_{\theta^{\mu}} \mu(s | \theta^{\mu})|_{s_{i}}$$

Update the target networks:

$$\theta^{Q'} \leftarrow \tau \theta^{Q} + (1 - \tau)\theta^{Q'}$$
$$\theta^{\mu'} \leftarrow \tau \theta^{\mu} + (1 - \tau)\theta^{\mu'}$$

#### TODO:

- Construct neural networks of both actor and critic
- Select action according to the actor and the exploration noise
- Update critic
- Update actor
- Update target network softly
- Understand the mechanism of actor-critic

## 3. Modify Sample Code

- 1. Find a #TODO comment with hints
- 2. remove the raise NotImplementedError

## 3. Run Sample Code

- Simply train and test: python dqn.py
- Only test and render: python dqn.py --test\_only --render
- Help message: python dqn.py --help

## 4. Scoring Criteria

Show your work, otherwise no credit will be granted.

- Report (80%)
  - o (DO explain; do not only copy and paste your codes.)
- Report Bonus (20%)
  - Implement and Experiment on Double-DQN (10%)
  - Extra hyperparameter tuning, e.g., Population Based Training. (10%)
- Performance (20%)
  - [LunarLander-v2] Average reward of 10 testing episodes: Average ÷ 30
  - [LunarLanderContinuous-v2] Average reward of 10 testing episodes: Average ÷ 30

### 5. Reminders

- Your network architecture and hyper-parameters can differ from the defaults.
- Ensure the shape of tensors all the time especially when calculating the loss.
- with no\_grad(): scope is the same as xxx.detach()
- Be aware of the indentation of hints.
- When testing DDPG, action selection need NOT include the noise.

## References

- 1. Mnih, Volodymyr et al. "Playing Atari with Deep Reinforcement Learning." ArXiv abs/1312.5602 (2013).
- 2. Mnih, Volodymyr et al. "Human-level control through deep reinforcement learning." Nature 518 (2015): 529-533.
- 3. Van Hasselt, Hado, Arthur Guez, and David Silver. "Deep Reinforcement Learning with Double Q-Learning." AAAI. 2016.
- 4. Lillicrap, Timothy P. et al. "Continuous control with deep reinforcement learning." CoRR abs/1509.02971 (2015).
- 5. Silver, David et al. "Deterministic Policy Gradient Algorithms." ICML (2014).
- 6. OpenAl. "OpenAl Gym Documentation." Retrieved from Getting Started with Gym: <a href="https://gym.openai.com/docs/">https://gym.openai.com/docs/</a>.
- 7. OpenAl. "OpenAl Wiki for Pendulum v0." Retrieved from Github: <a href="https://github.com/openai/gym/wiki/Pendulum-v0">https://github.com/openai/gym/wiki/Pendulum-v0</a>.
- 8. PyTorch. "Reinforcement Learning (DQN) Tutorial." Retrieved from PyTorch Tutorials: <a href="https://pytorch.org/tutorials/intermediate/reinforcement\_q\_learning.html">https://pytorch.org/tutorials/intermediate/reinforcement\_q\_learning.html</a>.