

Enabling Deep Hierarchical Image-to-Image Translation by Transferring from GANs

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IE643 Course Project (2022)

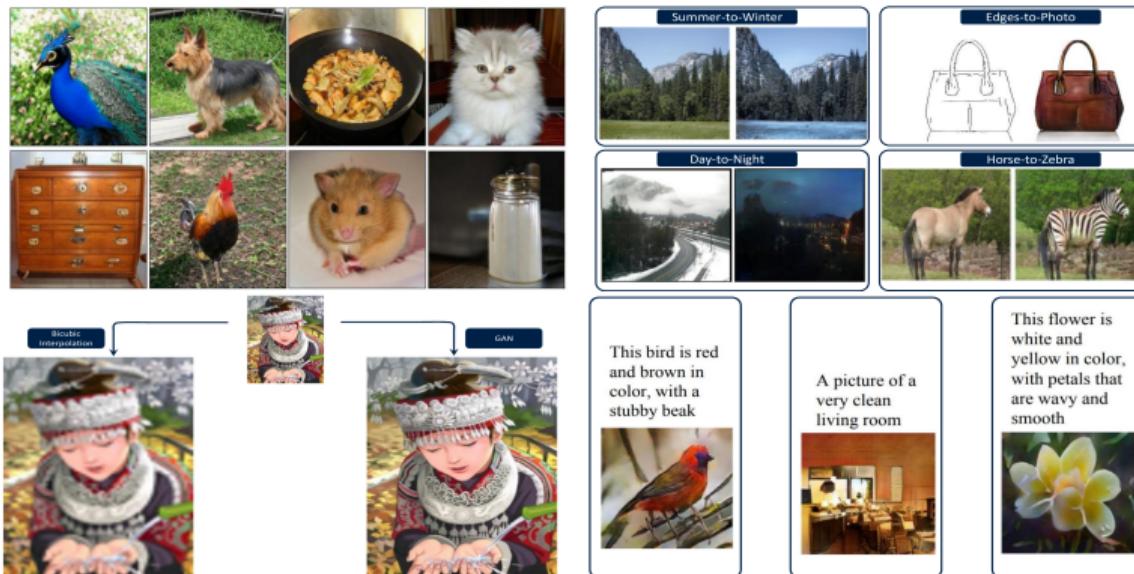


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Motivation for Generating images¹



¹<https://jonathan-hui.medium.com/gan-whats-generative-adversarial-networks-and-its-application-f39ed278ef09>



Motivation for Generating images

Yann LeCun described GANs as "**the most interesting idea in the last 10 years in Machine Learning**".



Motivation for Generating images



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What are GANs?

- The training data comes from some underlying complex high-dimensional distribution $p_{data}(\mathbf{x})$.
- New data can be generated by sampling from this distribution using a generator $p_G(\mathbf{x})$.
- GANs overcome this problem by sampling from a simple distribution, and then learn a complex distribution to generate training data.
- The complex transformation is a deep neural network.

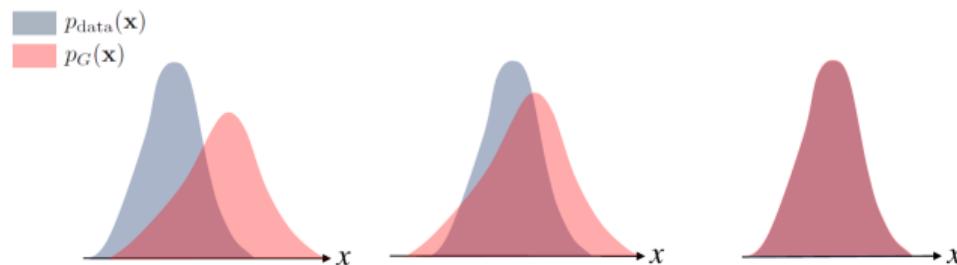
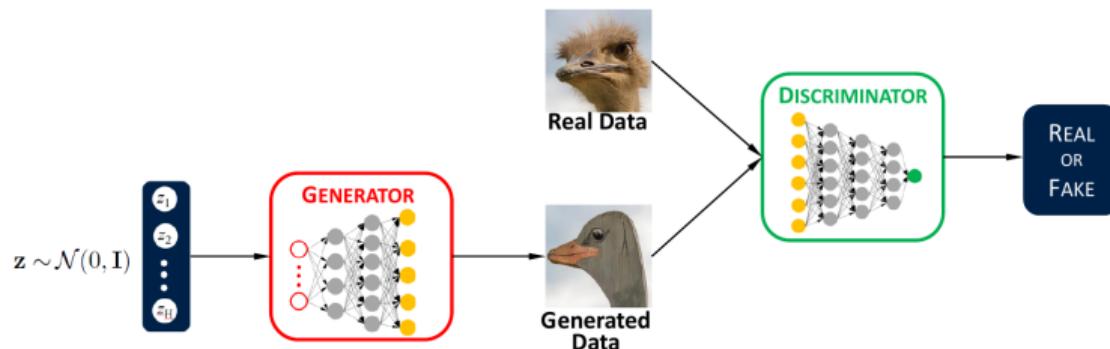


Figure 2: Generator tries to learn the underlying distribution.



What are GANs?



- Training is done using a two player game which comprise of a **generator** and a **discriminator**.
- Generator produces the images that appear to be real.
- The discriminator tries to detect if an image is real or fake.

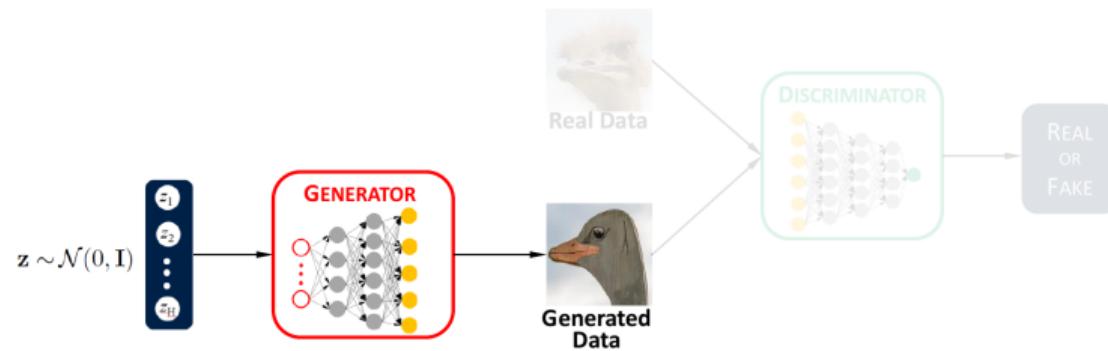


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Generator model



- $G_{\theta}(\mathbf{z})$, where \mathbf{z} is the input and θ are the parameters of the model.
- Input: Noise vector $\mathbf{z} \sim p_{\mathbf{z}}(\mathbf{z})$ which can be $\mathcal{N}(0, \mathbf{I})$
- G_{θ} is the neural network model.

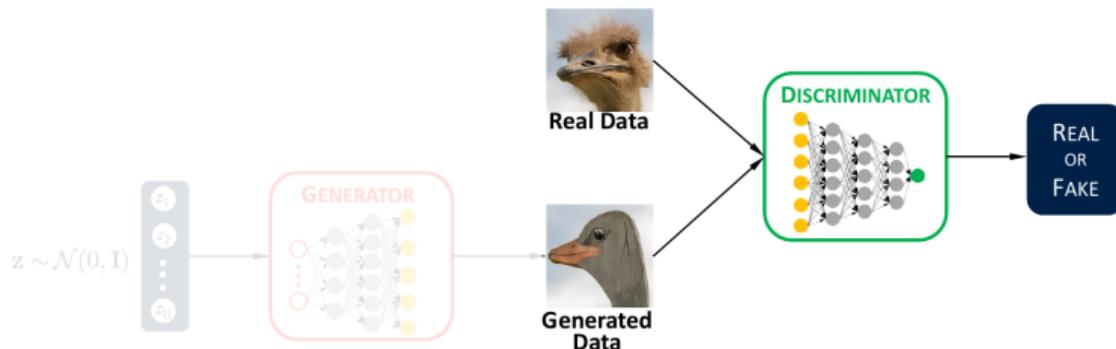


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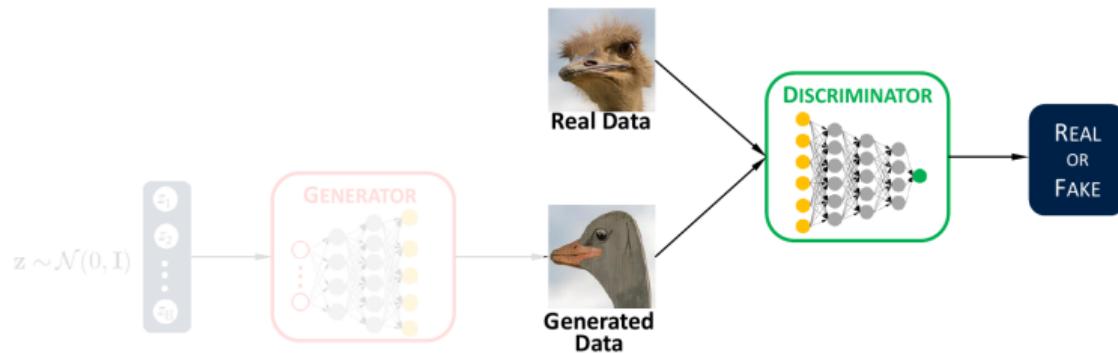
Discriminator model



- $D_\varphi(\mathbf{x})$, where \mathbf{x} is the input to the discriminator and φ are the parameters.
- Input can come from data or generator:
 - \mathbf{x} if coming from the data.
 - $G_\theta(\mathbf{z})$ if coming from the generator.
- D_φ is a neural network model.



Discriminator model



- The discriminator $D_\varphi(\mathbf{x})$ outputs a score between 0 and 1.
- This is a probability of an image being real or fake.
- It is 0 if the image is fake and 1 if it is real.

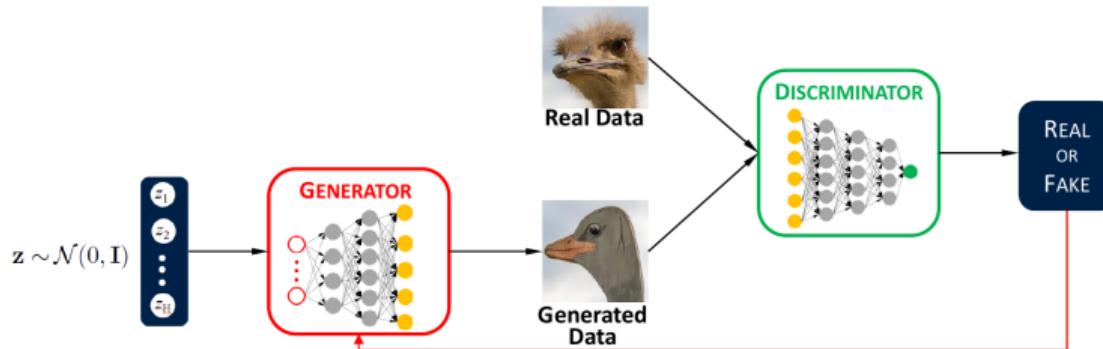


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Generator Objective



- The generator wants its output $G_{\theta}(\mathbf{z})$ to be classified as real. Therefore, it wants to: $\max_{\theta} \log D_{\varphi}(G_{\theta}(\mathbf{z}))$ or $\min_{\theta} \log(1 - D_{\varphi}(G_{\theta}(\mathbf{z})))$
- We want the generator to do this task for all possible values of \mathbf{z} sampled from the distribution $p_{\mathbf{z}}(\mathbf{z})$. Therefore the objective becomes:

$$\min_{\theta} \int p_{\mathbf{z}}(\mathbf{z}) \log(1 - D_{\varphi}(G_{\theta}(\mathbf{z}))) d\mathbf{z} = \min_{\theta} \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}(\mathbf{z})} [\log(1 - D_{\varphi}(G_{\theta}(\mathbf{z})))]$$

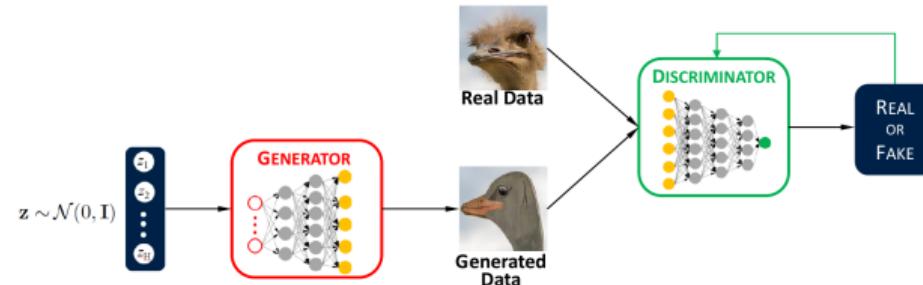


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Discriminator Objective



- The discriminator should assign high score to real images

$$\max_{\varphi} \mathbb{E}_{\mathbf{x} \sim p_{data}} [\log D_{\varphi}(\mathbf{x})]$$
- Low scores (minimize) to generated images $\min_{\varphi} \mathbb{E}_{\mathbf{z} \sim p_z} [\log D_{\varphi}(G_{\theta}(\mathbf{z}))]$ or

$$\max_{\varphi} \mathbb{E}_{\mathbf{z} \sim p_z} [\log(1 - D_{\varphi}(G_{\theta}(\mathbf{z})))]$$
- The combined discriminator objective function can be written as:

$$\max_{\varphi} \mathbb{E}_{\mathbf{x} \sim p_{data}} [\log D_{\varphi}(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_z} [\log(1 - D_{\varphi}(G_{\theta}(\mathbf{z})))]$$

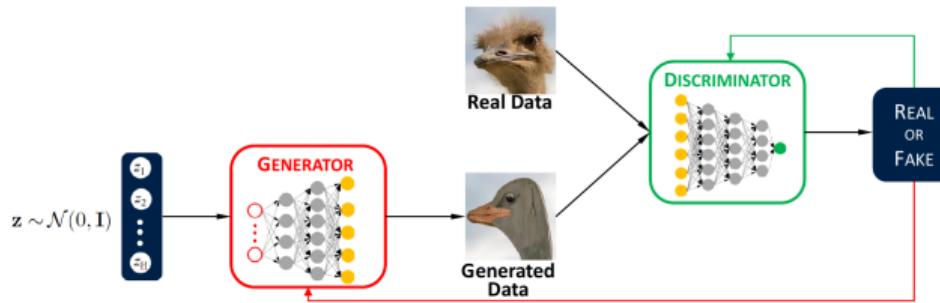


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Combined objective function



- The generator and discriminator's objective combined yeilds a minmax problem:

$$\min_{\theta} \max_{\varphi} \mathbb{E}_{x \sim p_{data}} [\log D_{\varphi}(x)] + \mathbb{E}_{z \sim p_z} [\log(1 - D_{\varphi}(G_{\theta}(z)))]$$
- The first expectation is independent of θ .
- The second expectation is minimized w.r.t. θ and maximized w.r.t φ , hence, the generator wants to minimize the second term while the discriminator wants to maximize it.

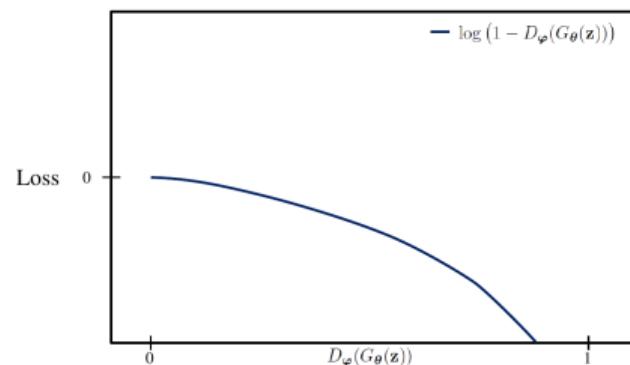


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Problem in gradient



- Early on in training it is more likely that $D_\varphi(G_\theta(\mathbf{z})) \approx 0$ as the generator has not learnt much and it is easy for the discriminator to identify the difference between real and fake samples.
- In such a situation, the gradient of $\log(1 - D_\varphi(G_\theta(\mathbf{z})))$ is close to zero. The generator does not learn much in the beginning and there is little change in θ .

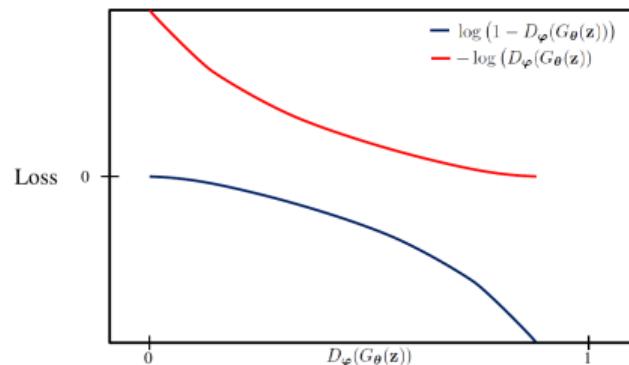


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Modified objective



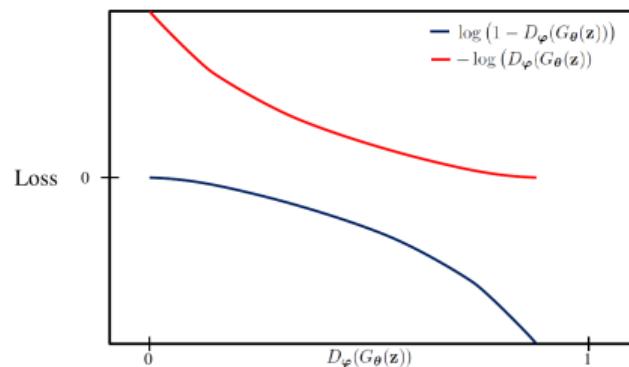
- Originally we were performing minimization w.r.t. the generator parameters θ :

$$\min_{\theta} \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}(\mathbf{z})} [\log(1 - D_\varphi(G_\theta(\mathbf{z})))]$$
- Now, we use a modified version of the above (minimization) objective:

$$\min_{\theta} \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}(\mathbf{z})} [-\log(D_\varphi(G_\theta(\mathbf{z})))] \equiv \max_{\theta} \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}(\mathbf{z})} [\log(D_\varphi(G_\theta(\mathbf{z})))]$$



Modified objective



- We modify the objective function to $-\log(D_\varphi(G_\theta(\mathbf{z})))$
- This has large gradient when $D_\varphi(G_\theta(\mathbf{z})) \approx 0$
- This also enables the generator to learn more in the early training period.



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Algorithm for training GANs

Algorithm 1 Minibatch stochastic gradient descent training of generative adversarial nets. The number of steps to apply to the discriminator, k , is a hyperparameter. We used $k = 1$, the least expensive option, in our experiments.

```

for number of training iterations do
    for  $k$  steps do
        • Sample minibatch of  $m$  noise samples  $\{\mathbf{z}^{(1)}, \dots, \mathbf{z}^{(m)}\}$  from noise prior  $p_g(\mathbf{z})$ .
        • Sample minibatch of  $m$  examples  $\{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(m)}\}$  from data generating distribution  $p_{\text{data}}(\mathbf{x})$ .
        • Update the discriminator by ascending its stochastic gradient:
```

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[\log D(\mathbf{x}^{(i)}) + \log (1 - D(G(\mathbf{z}^{(i)}))) \right].$$

```

end for
    • Sample minibatch of  $m$  noise samples  $\{\mathbf{z}^{(1)}, \dots, \mathbf{z}^{(m)}\}$  from noise prior  $p_g(\mathbf{z})$ .
    • Update the generator by descending its stochastic gradient:
```

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^m \log (1 - D(G(\mathbf{z}^{(i)}))).$$

```

end for
The gradient-based updates can use any standard gradient-based learning rule. We used momentum in our experiments.
```



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Theoretical Analysis

- Objective: $\min_{\mathbf{G}} \max_{\mathbf{D}} \mathbb{E}_{\mathbf{x} \sim p_{data}(\mathbf{x})} [\log D_{\varphi}(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}} [\log(1 - D_{\varphi}(G_{\theta}(\mathbf{z})))]$
- We can re-write the objective function as:

$$\min_{\mathbf{G}} \max_{\mathbf{D}} \int_{\mathbf{x}} p_{data}(\mathbf{x}) \log D_{\varphi}(\mathbf{x}) d\mathbf{x} + \int_{\mathbf{z}} p_{\mathbf{z}}(\mathbf{z}) \log(1 - D_{\varphi}(G_{\theta}(\mathbf{z}))) d\mathbf{z}$$

$$\min_{\mathbf{G}} \max_{\mathbf{D}} \int_{\mathbf{x}} p_{data}(\mathbf{x}) \log D_{\varphi}(\mathbf{x}) d\mathbf{x} + \int_{\mathbf{x}} p_{\mathbf{G}}(\mathbf{x}) \log(1 - D_{\varphi}(\mathbf{x})) d\mathbf{x}$$
- Revised objective can be written as: $\min_{\mathbf{G}} \max_{\mathbf{D}} \mathcal{M}(G_{\theta}, D_{\varphi})$
- Where: $\mathcal{M}(G_{\theta}, D_{\varphi}) = \int_{\mathbf{x}} (p_{data}(\mathbf{x}) \log D_{\varphi}(\mathbf{x}) + p_{\mathbf{G}}(\mathbf{x}) \log(1 - D_{\varphi}(\mathbf{x}))) d\mathbf{x}$
- For a given generator G_{θ} , we need the discriminator D_{φ} which maximizes the objective.
- The objective is maximized when the integrand is maximized. Differentiating the integrand w.r.t. D_{φ} yields:

$$\frac{d}{d(D_{\varphi}(\mathbf{x}))} (p_{data}(\mathbf{x}) \log D_{\varphi}(\mathbf{x}) + p_{\mathbf{G}}(\mathbf{x}) \log(1 - D_{\varphi}(\mathbf{x}))) = 0$$



Theoretical Analysis

$$(p_{data}(\mathbf{x}) \frac{1}{D_\varphi(\mathbf{x})} - p_{\mathbf{G}}(\mathbf{x}) \frac{1}{1 - D_\varphi(\mathbf{x})}) \frac{d}{d(D_\varphi(\mathbf{x}))} D_\varphi(\mathbf{x}) = 0$$

$$p_{data}(\mathbf{x}) \frac{1}{D_\varphi(\mathbf{x})} = p_{\mathbf{G}}(\mathbf{x}) \frac{1}{1 - D_\varphi(\mathbf{x})}$$

$$D_\varphi(\mathbf{x}) = \frac{p_{data}(\mathbf{x})}{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})}$$

- Therefore for optimal discriminator we have: $D^* \varphi(\mathbf{x}) = \frac{p_{data}(\mathbf{x})}{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})}$
- Let $\mathcal{C}(\mathbf{G}_\theta) = \max \mathcal{M}(\mathbf{G}_\theta, \mathbf{D}_\varphi) = \mathcal{M}(\mathbf{G}_\theta, \mathbf{D}^* \varphi)$. Therefore, we have:

$$\mathcal{C}(\mathbf{G}_\theta) =$$

$$\int \left(p_{data}(\mathbf{x}) \log \left(\frac{p_{data}(\mathbf{x})}{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})} \right) + p_{\mathbf{G}}(\mathbf{x}) \log \left(1 - \frac{p_{data}(\mathbf{x})}{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})} \right) \right) d\mathbf{x}.$$

$$= \int \left(p_{data}(\mathbf{x}) \log \left(\frac{p_{data}(\mathbf{x})}{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})} \right) + p_{\mathbf{G}}(\mathbf{x}) \log \left(\frac{p_{\mathbf{G}}(\mathbf{x})}{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})} \right) \right) d\mathbf{x}$$



Theoretical Analysis

$$\begin{aligned}
 &= \int (p_{data}(\mathbf{x}) \left(\log 2 + \log \left(\frac{p_{data}(\mathbf{x})}{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})} \right) \right) + \\
 &\quad p_{\mathbf{G}}(\mathbf{x}) \left(\log 2 + \log \left(\frac{p_{\mathbf{G}}(\mathbf{x})}{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})} \right) \right) - (p_{data}(\mathbf{x}) + p_{\mathbf{G}}(\mathbf{x})) \log 2) d\mathbf{x} \\
 &= \int \left(p_{data}(\mathbf{x}) \left(\log \frac{p_{data}(\mathbf{x})}{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})} \right) + p_{\mathbf{G}}(\mathbf{x}) \left(\log \frac{p_{\mathbf{G}}(\mathbf{x})}{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})} \right) \right) d\mathbf{x} - \\
 &\quad \log 2 \int (p_{data}(\mathbf{x}) + p_{\mathbf{G}}(\mathbf{x})) d\mathbf{x} \\
 &= KL \left(p_{data}(\mathbf{x}) \middle\| \frac{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})}{2} \right) + KL \left(p_{\mathbf{G}}(\mathbf{x}) \middle\| \frac{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})}{2} \right) - 2 \log 2
 \end{aligned}$$

Theorem

The global minimum of $\mathcal{C}(\mathbf{G}_{\theta})$ is achieved if and only if $p_{\mathbf{G}} = p_{data}$.



Theoretical Analysis²

$$\mathcal{C}(\mathbf{G}_\theta) = KL \left(p_{data}(\mathbf{x}) \parallel \frac{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})}{2} \right) + KL \left(p_{\mathbf{G}}(\mathbf{x}) \parallel \frac{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})}{2} \right) - 2 \log 2$$

- If $p_{\mathbf{G}} = p_{data}$, then the minimum of $\mathcal{C}(\mathbf{G}_\theta)$ is attained
- For $p_{\mathbf{G}} = p_{data}$, we have $\min_G \mathcal{C}(\mathbf{G}_\theta) = -\log 4$ as $KL(p_G \parallel p_G) = 0$.
- For $p_{\mathbf{G}} \neq p_{data}$, we have $\min_G \mathcal{C}(\mathbf{G}_\theta) \geq -\log 4$ as $KL(\cdot \parallel \cdot) \geq 0$.
- If the minimum of $\mathcal{C}(\mathbf{G}_\theta)$ is achieved, then $p_{\mathbf{G}} = p_{data}$

$$\begin{aligned} \mathcal{C}(\mathbf{G}_\theta) &= \\ &KL \left(p_{data}(\mathbf{x}) \parallel \frac{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})}{2} \right) + KL \left(p_{\mathbf{G}}(\mathbf{x}) \parallel \frac{p_{\mathbf{G}}(\mathbf{x}) + p_{data}(\mathbf{x})}{2} \right) - \log 4 \\ &= 2JS(p_{data}(\mathbf{x}) \parallel p_{\mathbf{G}}(\mathbf{x})) - \log 4 \end{aligned}$$

- $2JS(p_{data}(\mathbf{x}) \parallel p_{\mathbf{G}}(\mathbf{x})) = 0$ only when $p_{\mathbf{G}} = p_{data}$

²From the notes of Dripta Mj, RKMVERI



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DeepI2I: Summary

- *Image to Image (I2I)* translation is used in the field of Computer Graphics (CG), specially in the movie industries.
- Traditionally this is a labour intensive process, and the proposed technique can be applied to automatic translation of faces/objects.
- I2I translations shows inferior performance when translations between classes requires large shape changes.
- Learn the model by leveraging hierarchical features:
 - Structural information contained in the shallow layers.
 - Semantic information extracted from the deep layers.
- Implemented a novel transfer learning method by transferring knowledge from pre-trained GANs, enabling learning on small datasets.



DeepI2I: Summary

- Leverage the discriminator of pretrained GAN to initialize the encoder and discriminator, and leverage the pretrained generator to initialize the generator of their model.
- Introduced Adaptor network to address the alignment problem between encoder and decoder when using knowledge transfer.
- They are first to do I2I translation over 1000 classes in animal faces, birds and food datasets.
- They qualitatively and quantitatively showed that transfer learning significantly improves the performance of I2I systems for small datasets.



DeepI2I: Model

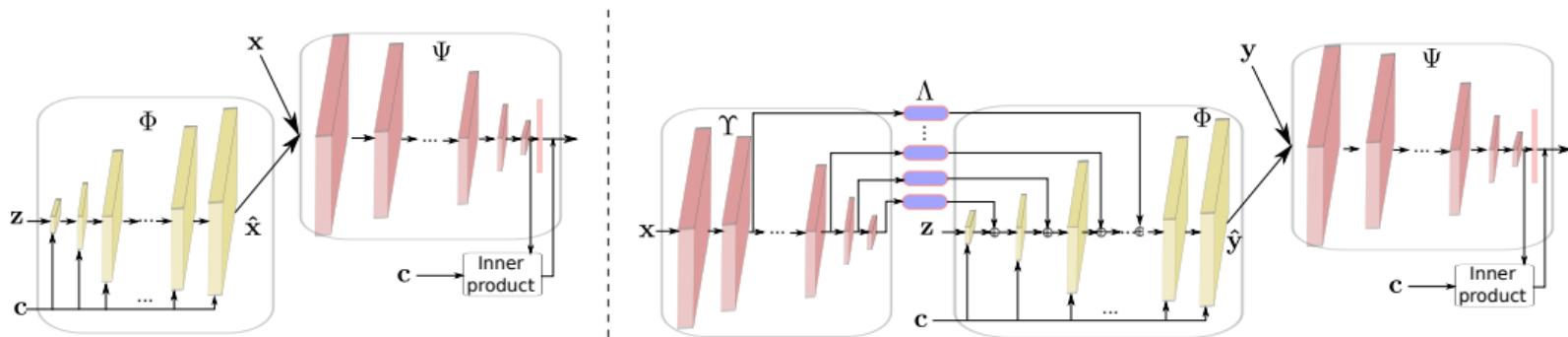


Figure 3: *Left:* the traditional form of conditional GAN (i.e., BigGAN) which contains the generator Φ and the discriminator Ψ . *Right:* the proposed DeepI2I method based on conditional GAN (left). The method consists of four terms: the encoder Υ , the adaptor Λ , the generator Φ and the discriminator Ψ . The encoder Υ is initialized by pre-trained discriminator (left), as well as both the generator Φ and the discriminator Ψ by pre-trained GANs (left). The adaptor Λ aims to align the pre-trained encoder Υ and the pre-trained generator Ψ .



DeepI2I: Results



Qualitative comparison on animal faces and foods. The input images are in the first column and the remaining columns show the class-specific translated images.



Qualitative results of DeepI2I. The input image is in the first column and the remaining column show the class-specific outputs. For each specific target class, we show two images.



Unseen I2I translation: the input image is mapped into eight animal faces with StarGAN and DeepI2I.



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Acknowledgements

It is a ritual that scholars express their gratitude to their instructors. This acknowledgement is very special to me to express my deepest sense of gratitude and pay respect to my instructor, **P. Balamurugan**, Department of Industrial Engineering and Operations Research, for his constant encouragement, guidance, supervision, and support throughout the completion of my project. His close scrutiny, constructive criticism, and intellectual insight have immensely helped me in every stage of my work. I would like to thank him for patiently answering my often-naive questions related to Computer Vision.

I would like to thank my thesis supervisor **Suyash P. Awate** for arranging free compute resources and help me suggest such a wonderful course. I would also like to thank the awesome T.A.s, **Vaibhav Singh Panwar** and **Akash Saha** for the stimulating discussions that they had shared with me during the Deep Learning Theory and Practices course. Finally I would like to thank my father **Dr. Jadab Kumar Pal**, Indian Statistical Institute, for supporting me.



Any Questions . . . ?

Thank You

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