

Simulating Graphene-Based Photonic and Optoelectronic Devices



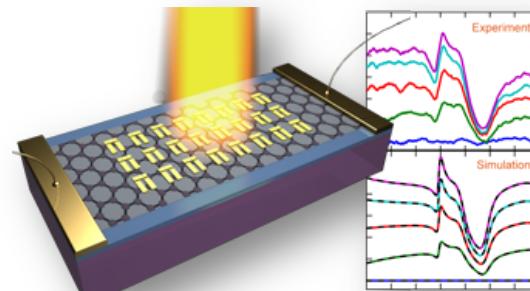
Andrew Strikwerda,
Applications Engineer,
COMSOL



Alexander Kildishev,
Associate Professor,
Birck Nanotechnology Center,
SECE, Purdue University

Agenda

- Optics Simulation with COMSOL Multiphysics®
- Simulating Graphene-Based Photonic and Optoelectronic Devices
- Live Demo
 - Graphene Frequency and Time Domain Modeling
- Q&A Session
- How To
 - Try COMSOL Multiphysics
 - Contact Us



Design of plasmonic antennas optimized using COMSOL Multiphysics

Product Suite – COMSOL® 5.1

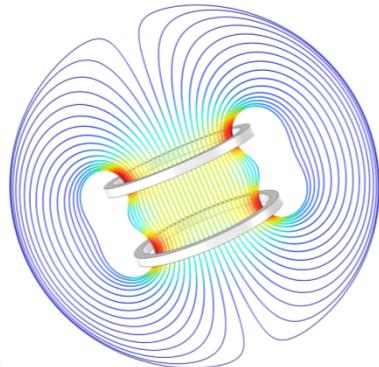
COMSOL Multiphysics®

COMSOL Server™

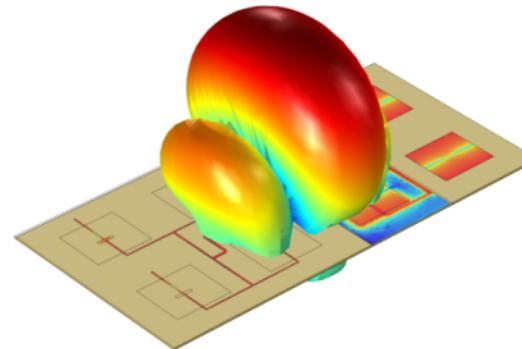
ELECTRICAL	MECHANICAL	FLUID	CHEMICAL	MULTIPURPOSE	INTERFACING
AC/DC Module	Heat Transfer Module	CFD Module	Chemical Reaction Engineering Module	Optimization Module	LiveLink™ for MATLAB*
RF Module	Structural Mechanics Module	Mixer Module	Batteries & Fuel Cells Module	Material Library	LiveLink™ for Excel*
Wave Optics Module	Nonlinear Structural Materials Module	Microfluidics Module	Electrodeposition Module	Particle Tracing Module	CAD Import Module
Ray Optics Module	Geomechanics Module	Subsurface Flow Module	Corrosion Module		ECAD Import Module
MEMS Module	Fatigue Module	Pipe Flow Module	Electrochemistry Module		LiveLink™ for SOLIDWORKS*
Plasma Module	Multibody Dynamics Module	Molecular Flow Module			LiveLink™ for Inventor*
Semiconductor Module	Acoustics Module				LiveLink™ for AutoCAD*
					LiveLink™ for PTC® Creo® Parametric™
					LiveLink™ for PTC® Pro/ENGINEER®
					LiveLink™ for Solid Edge*
					File Import for CATIA® V5

Electrical Simulations

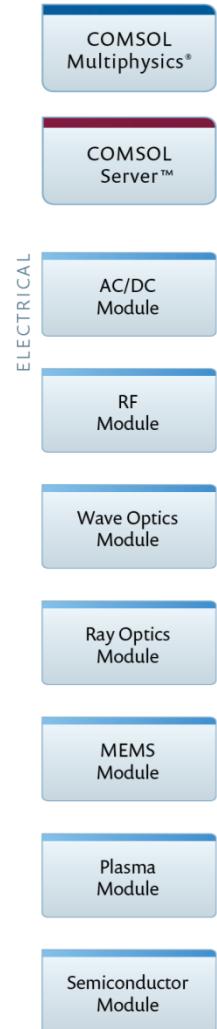
- AC/DC current and field distribution
- Electromechanical machinery and electrical circuits
- RF and microwave components
- Wave propagation in optical media



Magnetic field in a Helmholtz coil

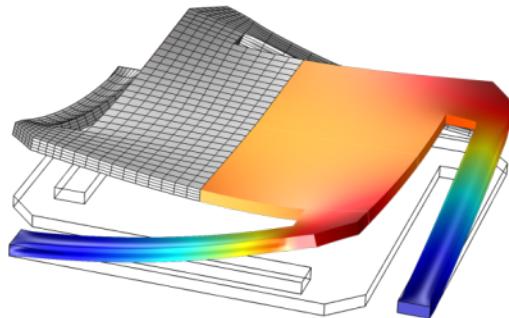


Microstrip patch antenna array

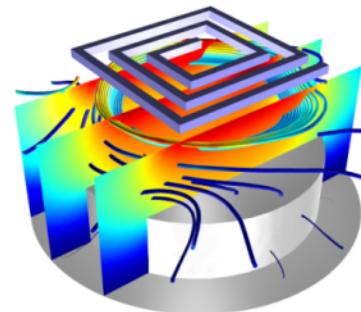


Electrical Simulations

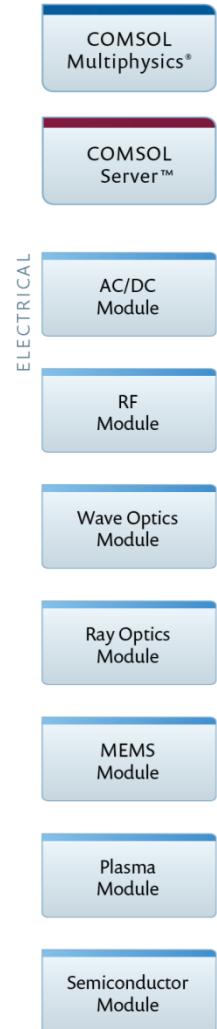
- MEMS devices and sensors
- Low temperature plasma reactors
- Semiconductor devices
- Ray tracing in optically large systems



Prestressed micromirror



Inductively coupled plasma reactor



A Complete Simulation Environment

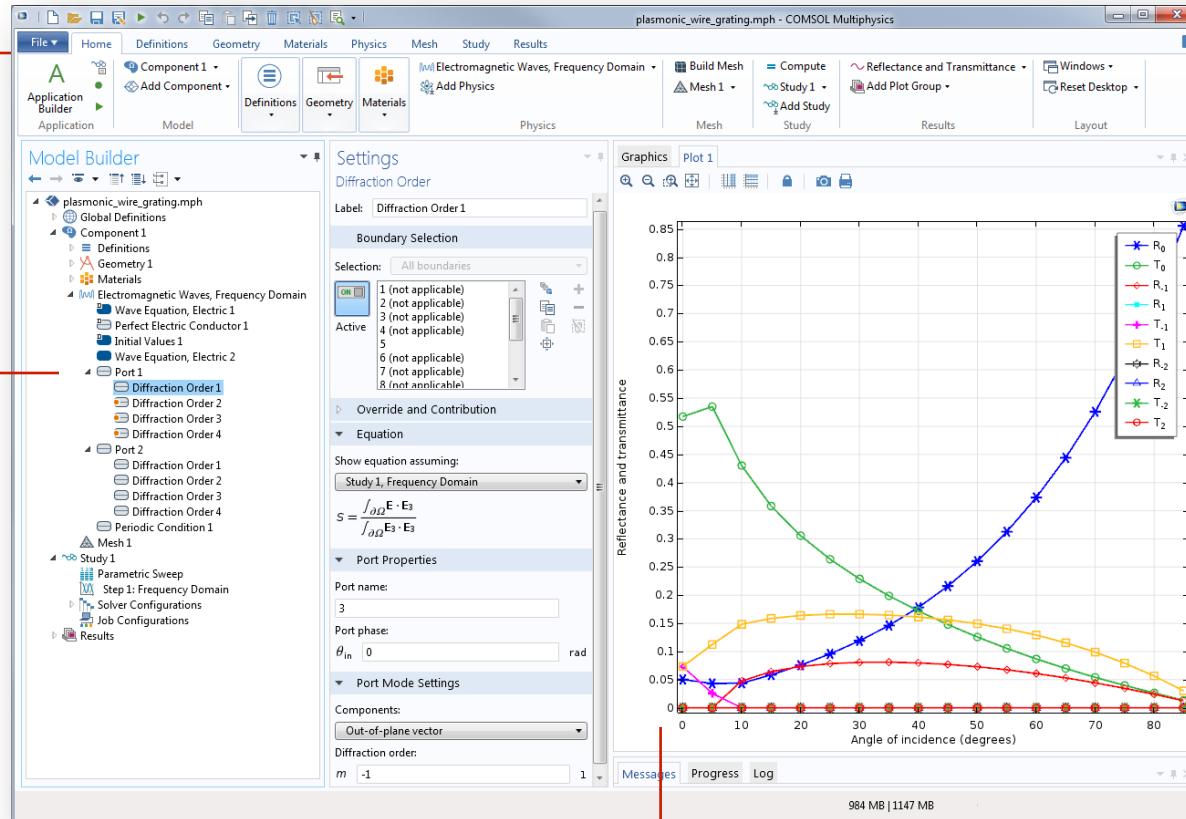
COMSOL Desktop®

Straightforward to use, the Desktop gives insight and full control over the modeling process

Model Builder

Provides instant access to any of the model settings

- CAD/Geometry
- Materials
- Physics
- Mesh
- Solve
- Results

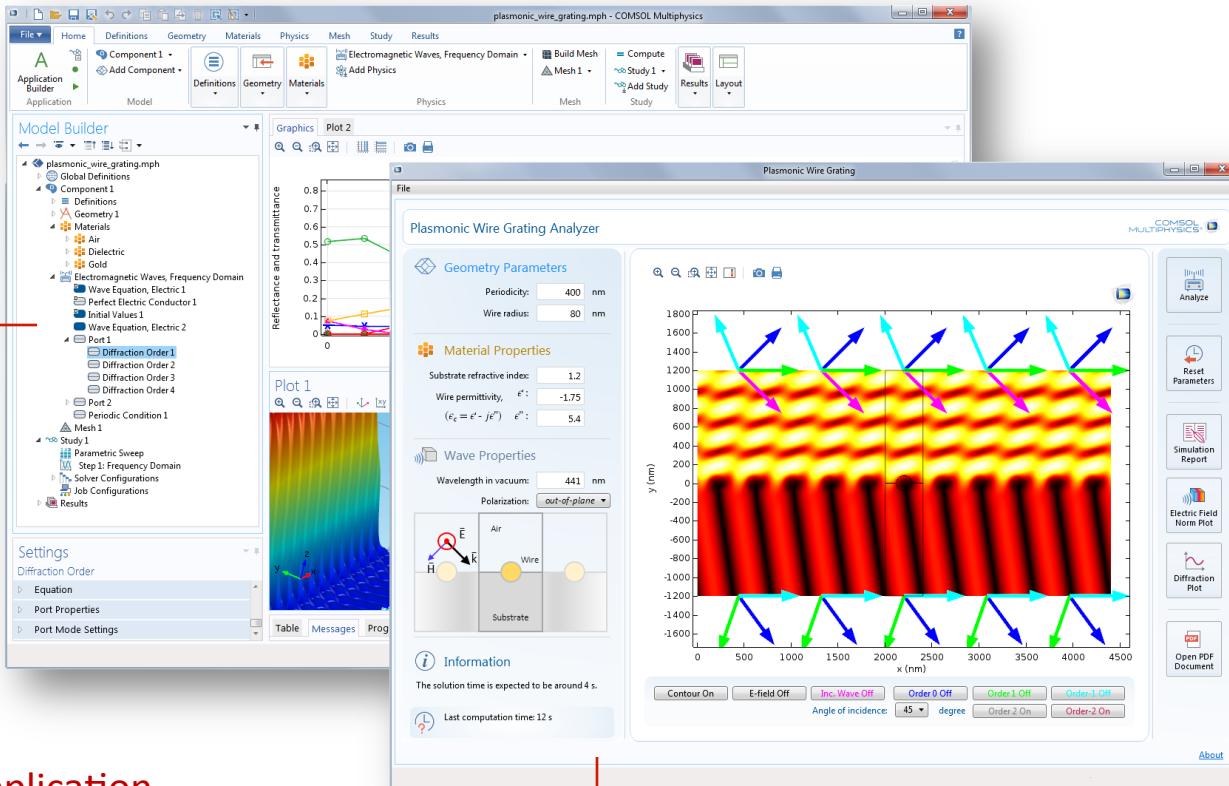


Graphics Window

Ultrafast graphic presentation, stunning visualization

Application Design Tools

Application Builder
Provides all the tools
needed to build and
run simulation apps
• Form Editor
• Method Editor



Simulation Application
Any COMSOL model can be turned into an
app with its own interface using the tools
provided in the Application Builder

Run Applications

COMSOL Server™

It's the engine for running COMSOL apps and the hub for controlling their deployment, distribution, and use

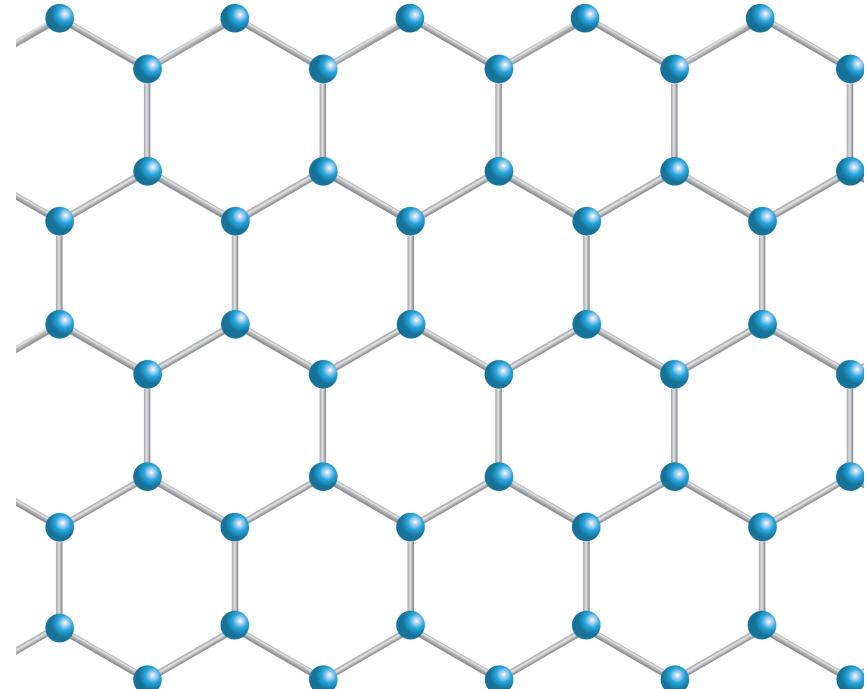
The screenshot shows the COMSOL Application Library interface. On the left, there is a sidebar with user information (valerio administrator), navigation links (Application Library, Upload, Administration, Monitor, User Database, Preferences, Your Settings), and a search bar. The main area is divided into sections: 'Library' (listing Beam Subjected to Traveling Load, Biosensor Design, Corrugated Circular Horn Antenna Simulator, Dielectrophoretic Separation of Platelets from Red Blo...), 'Running' (listing Truck Mounted Crane Analyzer (2038)), and 'Favorites' (listing Frame Fatigue Life, Concentric Tube Heat Exchanger). A red line points from the 'COMSOL Server™' text to the 'Administration' link in the sidebar. Below the main interface, a detailed view of the 'Plasmonic Wire Grating Analyzer' app is shown. It includes sections for 'Geometry Parameters' (Periodicity: 400 nm, Wire radius: 80 nm), 'Material Properties' (Substrate refractive index: 1.2, Wire permittivity: ε': -1.75, ε''': 5.4), 'Wave Properties' (Wavelength in vacuum: 441 nm, Polarizations: out-of-plane), and 'Information' (solution time expected around 4 s, last computation time: 2 min 18 s). The main plot shows a 2D simulation of a plasmonic wire grating with arrows indicating wave propagation. A red line points from the 'Simulation Apps' text to the 'Electric Field Norm Plot' button in the right sidebar.

Simulation Apps

They can be run in a COMSOL® Client for Windows® and major web browsers

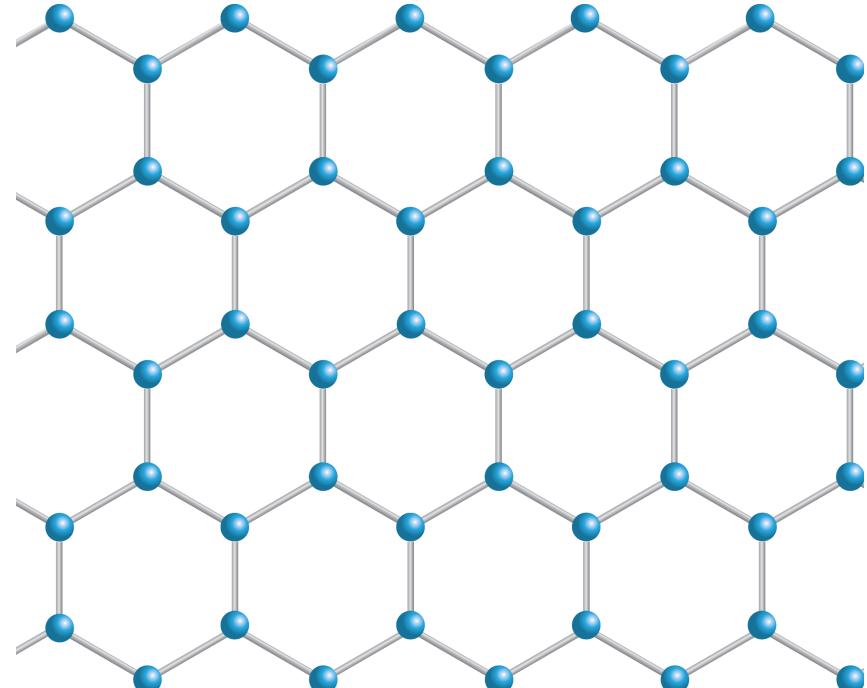
Graphene

- Discovered in 2004
 - Only 1 atom thick (Carbon)
 - 2D material in a honeycomb lattice
 - Nobel Prize in 2010
- Exciting material properties
 - Nearly transparent
 - Flexible
 - High electrical conductivity
 - High thermal conductivity
 - Stronger than steel
- Exotic material properties
 - Linear dispersion curve
 - Charge carries act as massless particles



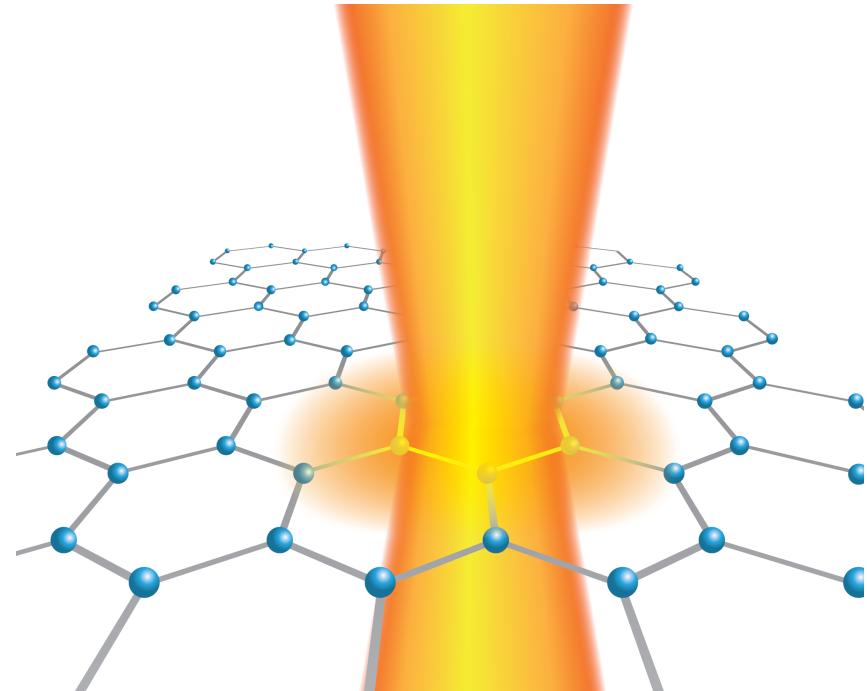
COMSOL and Graphene

- Graphene defies our intuition
 - Only 1 atom thick
- User-defined equations
 - Add in your own physics
- Physics and material properties can be assigned to any geometry and dimension
 - Treat thin layers as boundaries
- Flexibility in modeling
 - Explicit control of physics couplings



Why Simulate Graphene and Graphene-Based Devices?

- Graphene defies our intuition
 - Only 1 atom thick
- Conception and understanding
 - Enables innovation
- Design and optimization
 - Achieve the highest possible performance
- Testing and verification
 - Virtual testing is much faster than testing physical prototypes



Poll Question

- Are you currently simulating graphene applications?
 - Yes, I'm using my own code.
 - Yes, I'm using an off-the-shelf software.
 - Yes, I'd like to see how it's done in COMSOL.
 - No, I'd like to learn what is possible to simulate.

Simulating Graphene-Based Photonic and Optoelectronic Devices

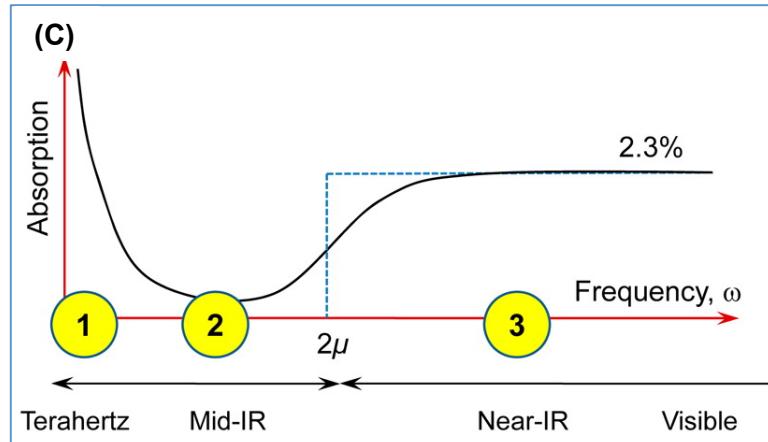
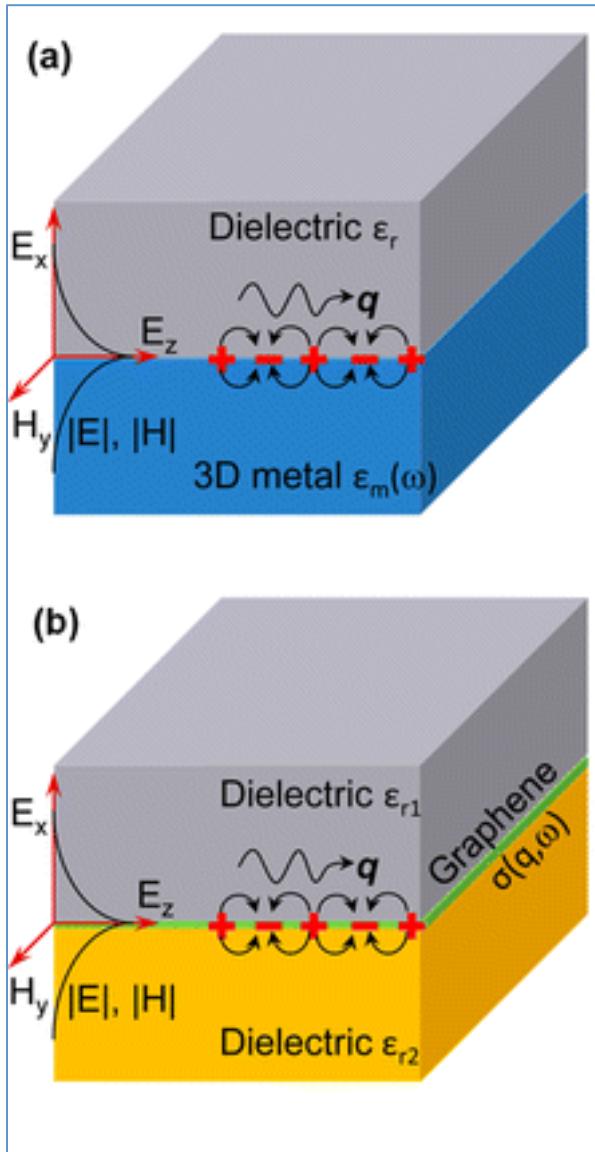
Alexander Kildishev

Associate Professor, Birck Nanotechnology Center,
School of Electrical and Computer Engineering, Purdue University



Graphene Plasmonics: Optical Dispersion

Low & Avouris, ACS Nano, 2014, 8 (2), 1086–1101



$$\sigma(\omega) = \frac{2e^2\omega_T}{\pi\hbar} \frac{i}{\omega + i\tau^{-1}} \log \left[2 \cosh \left(\frac{\omega_F}{2\omega_T} \right) \right] \\ + \frac{e^2}{4\hbar} \left[H\left(\frac{\omega}{2}\right) + i \frac{2\omega}{\pi} \int_0^\infty \frac{H\left(\frac{\omega'}{2}\right) - H\left(\frac{\omega}{2}\right)}{\omega^2 - \omega'^2} d\omega' \right]$$

Intraband term

Interband term
(not TD-friendly)

$$H(\omega) = \sinh(\omega/\omega_T)/[\cosh(\omega_F/\omega_T) + \cosh(\omega/\omega_T)]$$

$$\omega_F = E_F/\hbar \quad \omega_T = k_B T/\hbar$$

ω is the frequency of incident light, e is the charge of an electron, τ is the Drude relaxation rate, T is the temperature, and k_B is the Boltzmann constant

Graphene Plasmonics: Applications

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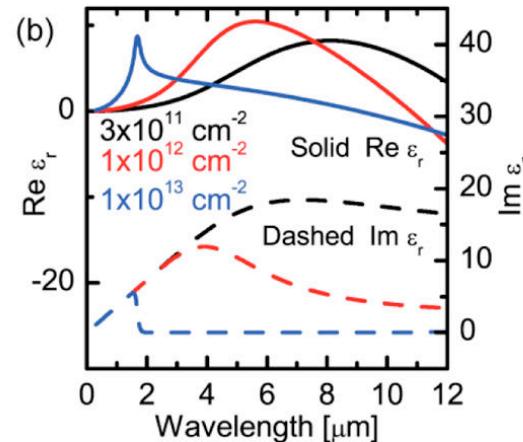
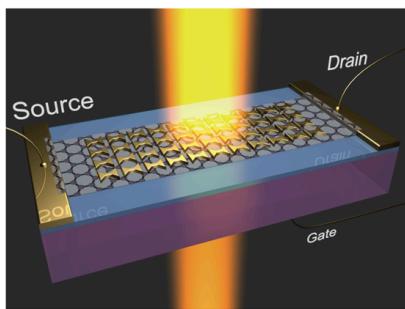
NANO LETTERS

Letter

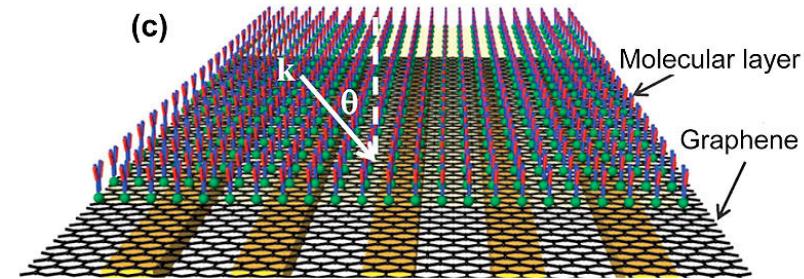
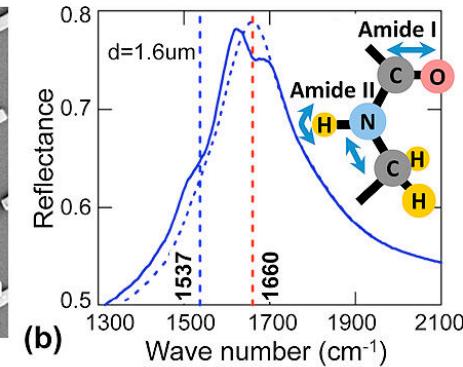
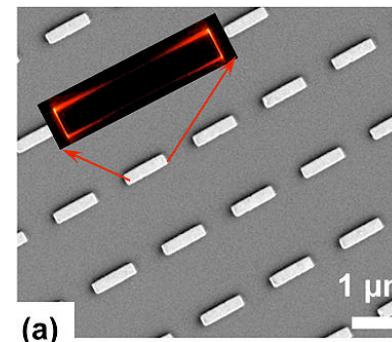
pubs.acs.org/NanoLett

Electrically Tunable Damping of Plasmonic Resonances with Graphene

Naresh K. Emani, Ting-Fung Chung, Xingjie Ni, Alexander V. Kildishev,
Yong P. Chen, and Alexandra Boltasseva



Adato, et al., Proc. Acad. Sci. U.S.A. 106, 19227, 2009
(The Altug Group, Boston University)



Low & Avouris, ACS Nano, 2014, 8 (2), 1086–1101

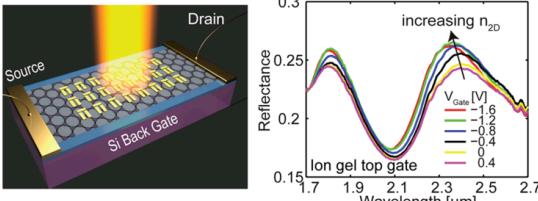
NANO LETTERS

Letter

pubs.acs.org/NanoLett

Electrical Modulation of Fano Resonance in Plasmonic Nanostructures Using Graphene

Naresh K. Emani, Ting-Fung Chung, Alexander V. Kildishev, Vladimir M. Shalaev,
Yong P. Chen, and Alexandra Boltasseva

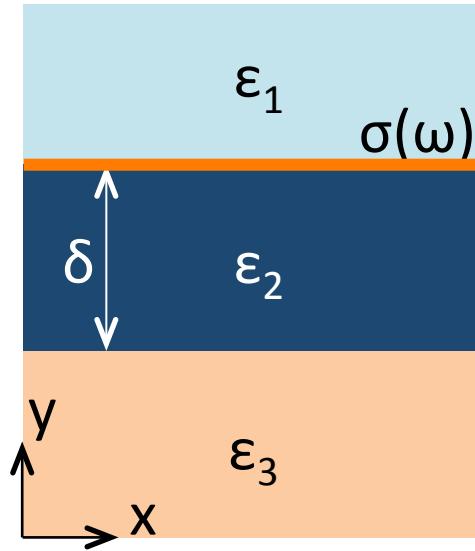


Modeling Challenges

- **Frequency Domain Modeling:** As graphene is a material with reduced dimensionality and chemically or electrically tunable optical dispersion (plasmonic properties) – specific methods are necessary
- **Time Domain Modeling:** RPA does not provide an easy way to implement the time-domain analysis of tunable nanostructured plasmonic graphene elements and hybrid SLG-metal devices in real time – better causal models are desirable
- **Advanced Modeling Problems:** coupling with polar substrates, non-linear graphene plasmonics, quantum effects in nano-structured graphene, etc

Frequency Domain Modeling: Validation Effort

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φ	Angle of incidence
δ	Spacer layer thickness
$\epsilon_i, i \in \overline{1,3}$	Relative permittivity in i-th media
$\sigma(\omega)$	Surface conductivity
$k_i = \frac{2\pi}{\lambda} \sqrt{\epsilon_i - \epsilon_1 \sin^2 \varphi}$	X-component of wave vector

The Modified Fresnel Equations (p-polarization)

$$r_{12}^\pm = \frac{\epsilon_2 k_1 + \xi - \epsilon_1 k_2}{\epsilon_2 k_1 + \xi + \epsilon_1 k_2}, r_{12}^\mp = \frac{\epsilon_2 k_1 - \xi + \epsilon_1 k_2}{\epsilon_2 k_1 + \xi + \epsilon_1 k_1}, r_{12}^= = \frac{\epsilon_2 k_1 - \xi - \epsilon_1 k_1}{\epsilon_2 k_1 + \xi + \epsilon_1 k_2}, r_{23} = \frac{\epsilon_3 k_2 - \epsilon_2 k_3}{\epsilon_3 k_2 + \epsilon_2 k_3}, \xi = \sigma(\omega) k_2 k_3 / (\omega \epsilon_0)$$

The Modified Drude Equation

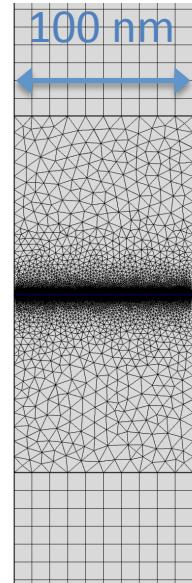
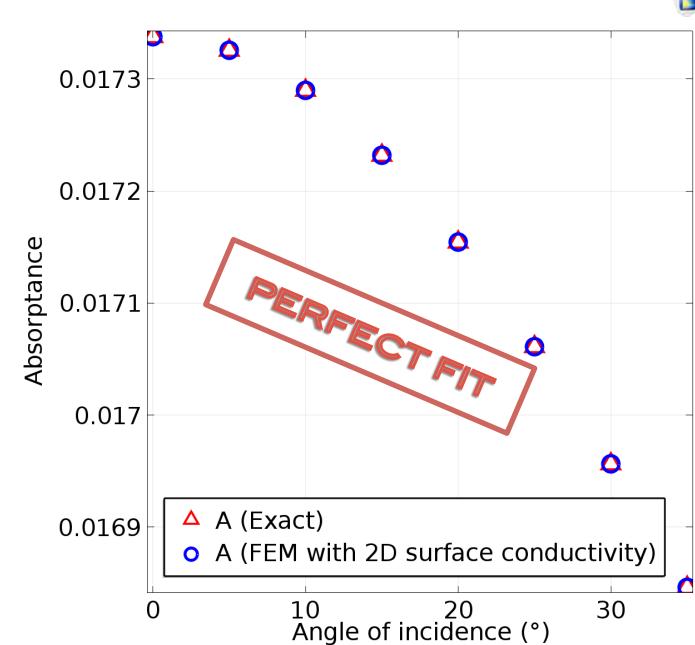
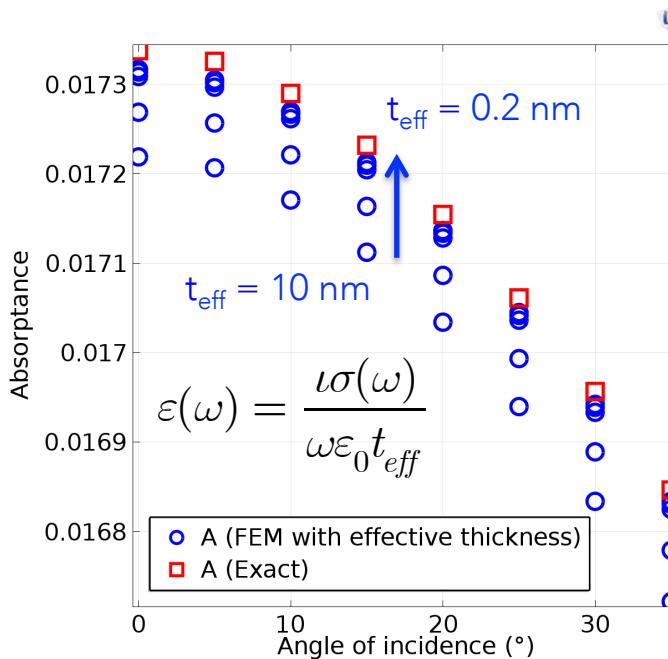
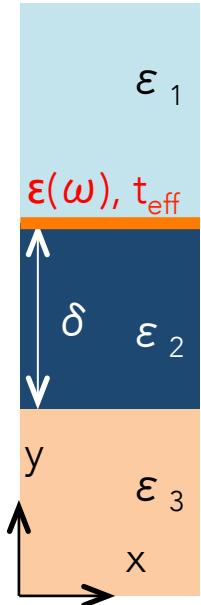
$$r = \frac{r_{12}^\pm + r_{12}^\mp r_{23} e^{i2k_2 \delta}}{1 + r_{12}^= r_{23} e^{i2k_2 \delta}}$$

The Modified Voight Equation

$$t = \frac{t_{12}^= t_2 e^{ik_1 \delta}}{1 + r_{12}^= r_{23} e^{i2k_1 \delta}}, \quad (t_{12}^= = 1 + r_{12}^=, t_{23} = 1 + r_{23})$$

FD Modeling: “thin layer” vs. “surface current”

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Single scan with $t_{eff} = 0.2 \text{ nm}$

Free-space $\lambda = 6 \mu\text{m}$

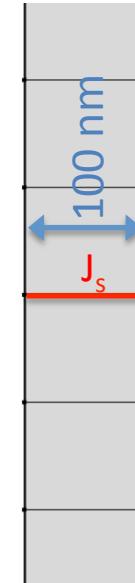
$\delta = 0.3 \mu\text{m}$, ϵ_2 —silica

ϵ_3 —glass substrate

Angle of incidence: (0° : 5° : 35°)

Number of DOF: 241721

Solution time (8 angles): 35 s



Single scan with 2D conductivity

Free-space $\lambda = 6 \mu\text{m}$

$\delta = 0.3 \mu\text{m}$, ϵ_2 —silica

ϵ_3 —glass substrate

Angle of incidence: (0° : 5° : 35°)

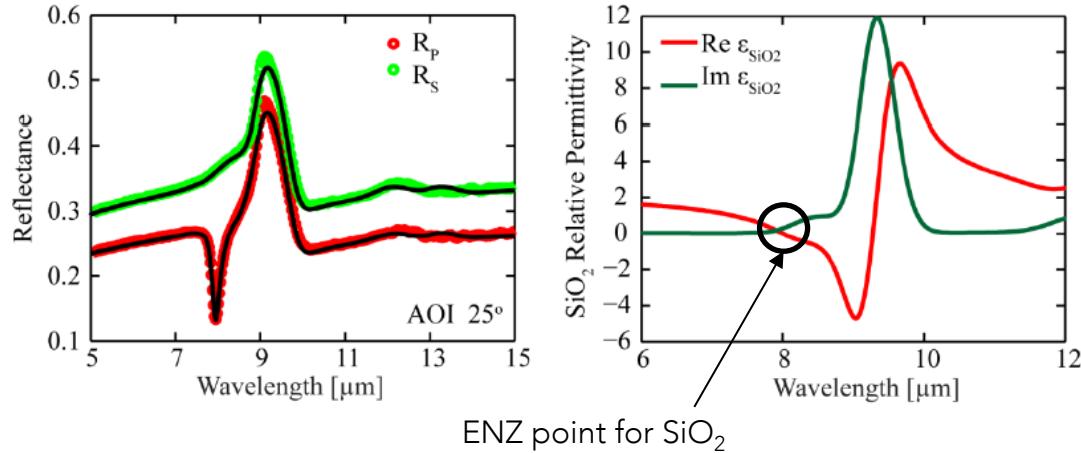
Number of DOF: 278 (vs. 241721)

Solution time (8 angles): 2 s (vs. 35 s)

Frequency Domain Modeling: the case of SLG

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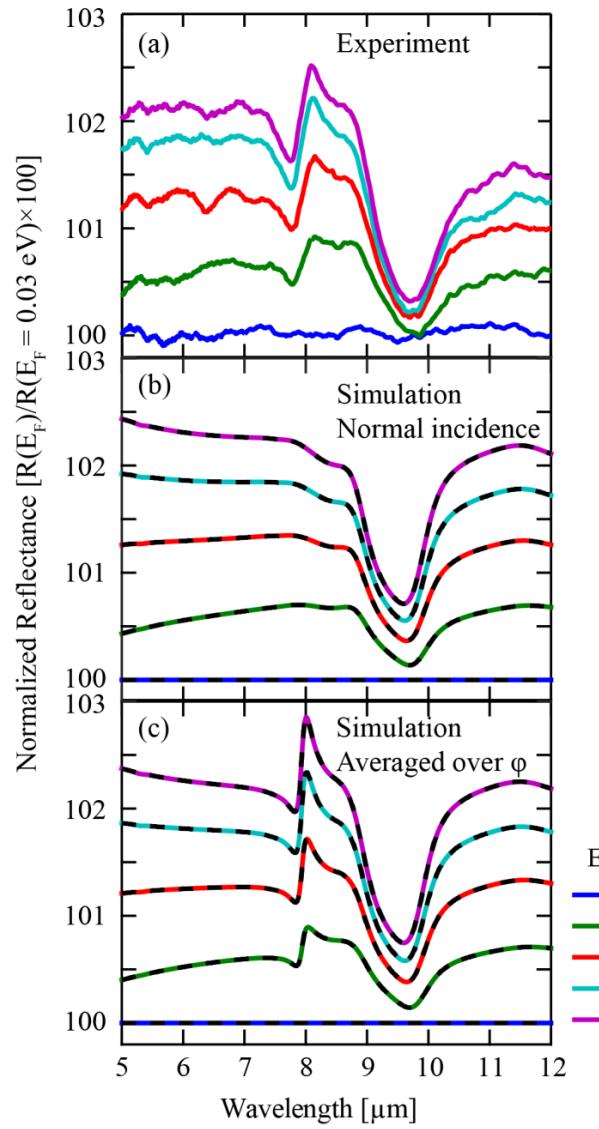
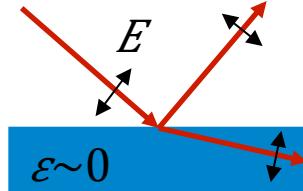
Ellipsometry for SiO₂ WITHOUT graphene*



Modeling Approaches

- (1) 2D conductivity, $\sigma(\omega)$
- (2) Permittivity ϵ of an effective layer with thickness t_{eff}

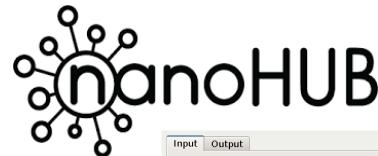
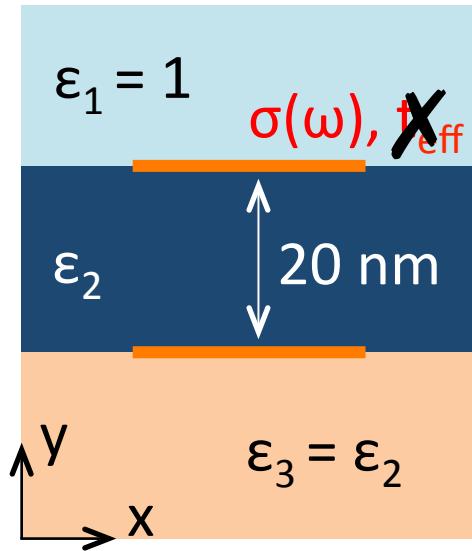
$$\epsilon(\omega) = i\sigma(\omega)/(\omega\epsilon_0 t_{\text{eff}})$$
- (3) Angular averaging



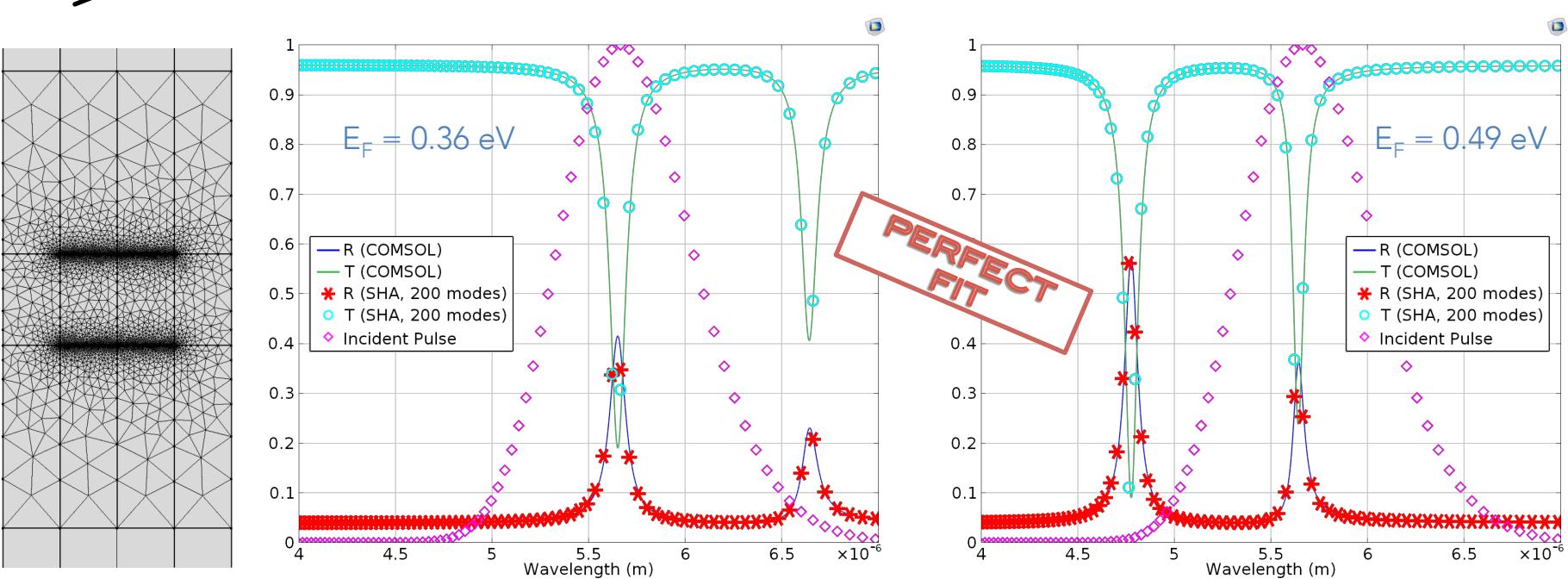
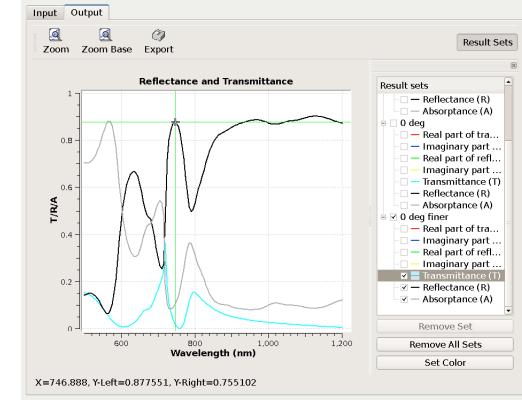
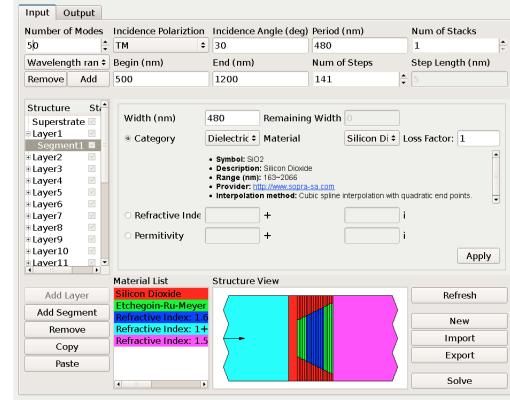
*Ellipsometry data from J. A. Woollam

FD Modeling: the case of nanostructured graphene

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<https://nanohub.org/tools/sha2d>



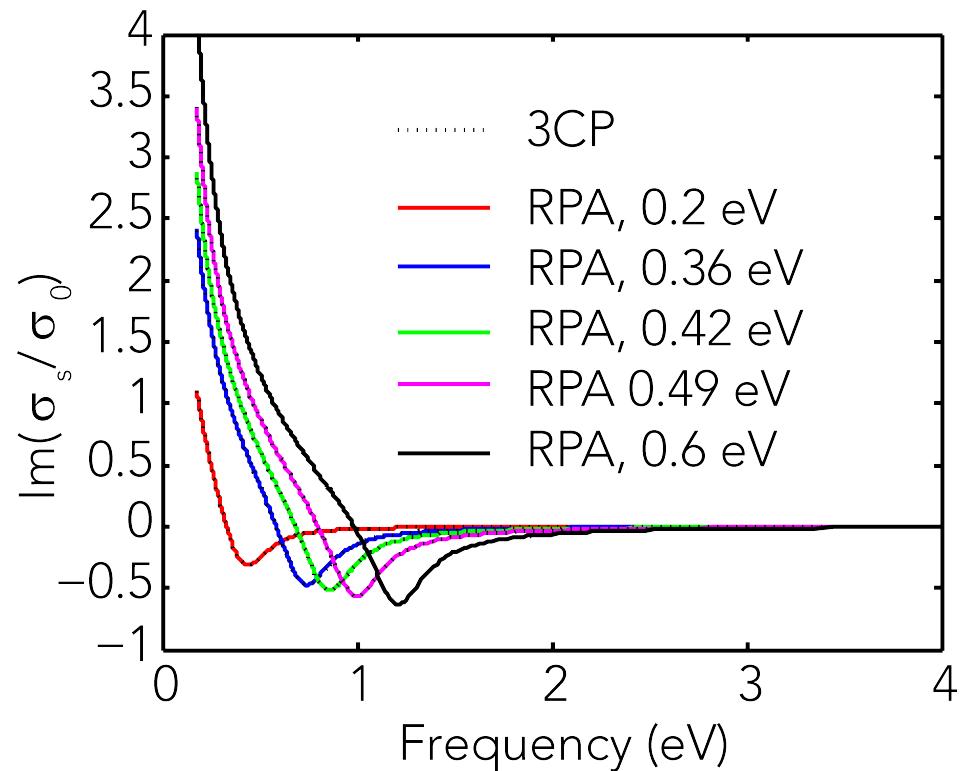
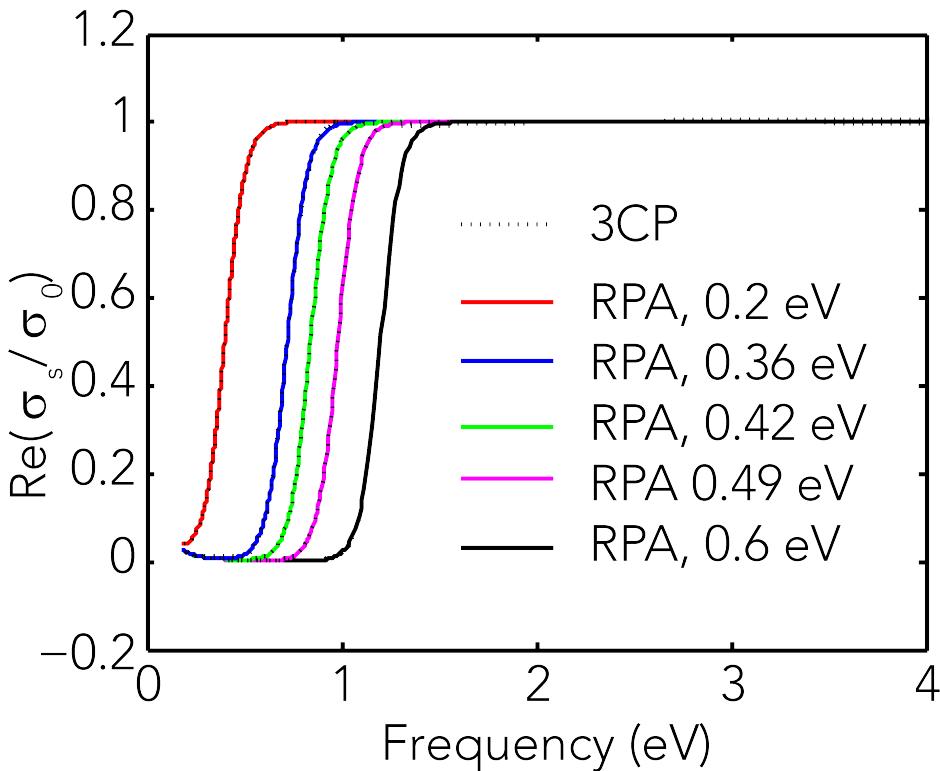
TD Modeling: Optical Dispersion

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Padé approximation – summation of three Critical Points Terms

$$\sigma_s = \sigma_0 \left(1 + \frac{a_{1,0} - i\omega a_{1,1}}{b_{1,0} - i\omega b_{1,1} - \omega^2} + \frac{a_{2,0} - i\omega a_{2,1}}{b_{2,0} - i\omega b_{2,1} - \omega^2} + \frac{a_{3,0} - i\omega a_{3,1}}{b_{3,0} - i\omega b_{3,1} - \omega^2} \right)$$

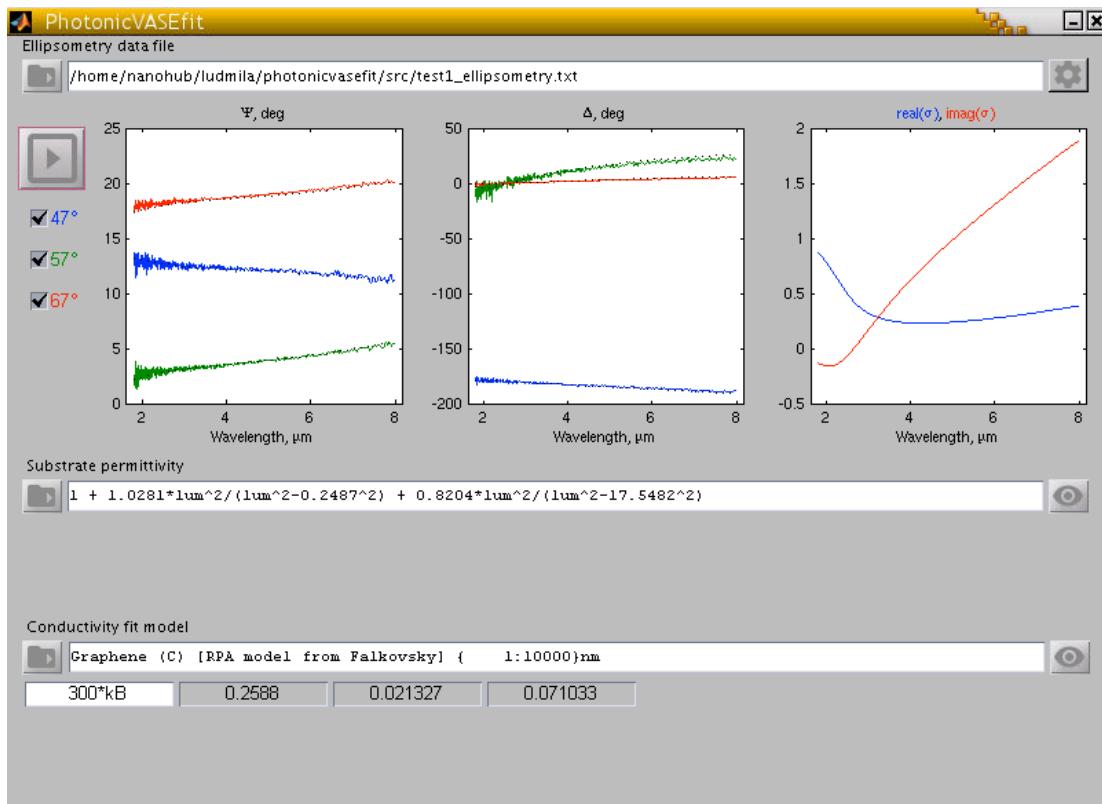
$$\sigma_0 = \frac{e^2}{4\hbar}$$



TD Modeling: Optical Dispersion



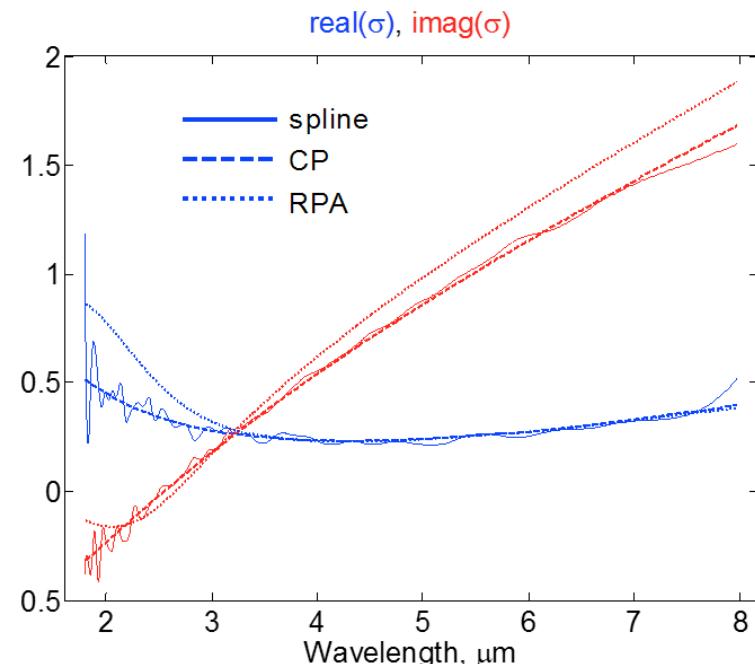
PhotonicVASEfit tool available at
<https://nanoHUB.org/tools/photonicVASEfit>



Spline – very good fit, slow (too many parameters ~100), does not represent physics, fictitious oscillations

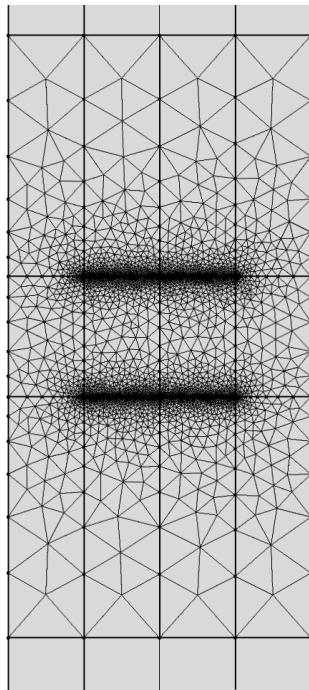
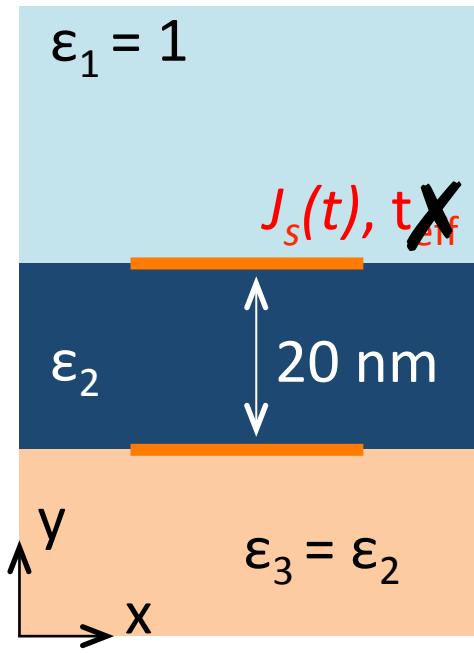
RPA – physical parameters, T , E_F , γ retrieval, slow (iterative integration)

Critical points – good fit, only 4 parameters, causal (TD-friendly), fast

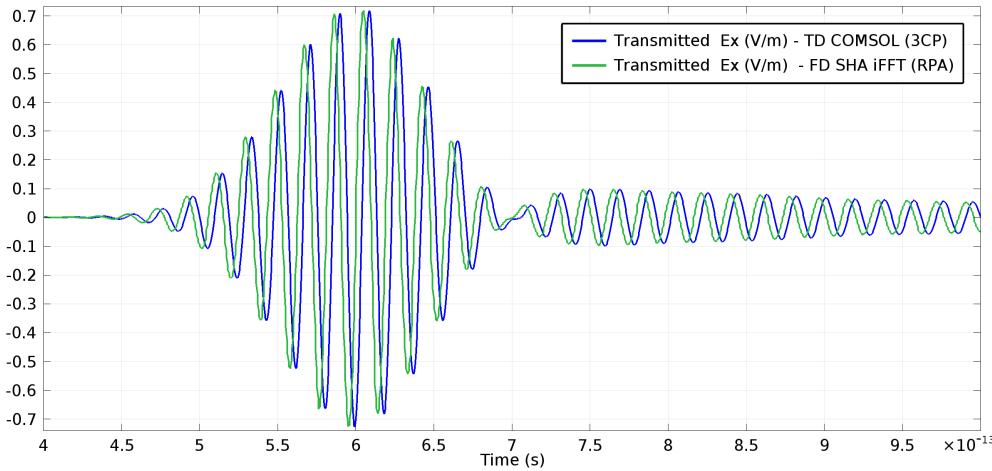


Time-domain SLG Modeling: Multiphysics in Action

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Good agreement between the TD (Comsol, 3CP) and FD iFFT (SHA, RPA) models



$$J_s = \sigma_0 E_x + J_1 + J_2 + J_3$$

$$\mathbf{n} \times (\mathbf{H}_1 - \mathbf{H}_2) = \hat{\mathbf{e}}_x J_s$$

$$\nabla \times (\nabla \times \mathbf{A}) + \mu_0 \sigma \frac{\partial}{\partial t} \left(\varepsilon_0 \varepsilon_r \frac{\partial \mathbf{A}}{\partial t} \right) = \mathbf{0}$$

+

$$\begin{cases} \underbrace{\frac{1}{b_{1,0}} \frac{d^2 J_1}{dt^2} + \frac{b_{1,1}}{b_{1,0}} \frac{d J_1}{dt}}_{e_a} = \underbrace{\left(\frac{a_{1,0}}{b_{1,0}} E_x + \frac{a_{1,1}}{b_{1,0}} \right)}_f \sigma_0 - J_1 \\ \underbrace{\frac{1}{b_{2,0}} \frac{d^2 J_2}{dt^2} + \frac{b_{2,1}}{b_{2,0}} \frac{d J_2}{dt}}_{d_a} = \underbrace{\left(\frac{a_{2,0}}{b_{2,0}} E_x + \frac{a_{2,1}}{b_{2,0}} \right)}_f \sigma_0 - J_2 \\ \underbrace{\frac{1}{b_{3,0}} \frac{d^2 J_3}{dt^2} + \frac{b_{3,1}}{b_{3,0}} \frac{d J_3}{dt}}_{f_a} = \underbrace{\left(\frac{a_{3,0}}{b_{3,0}} E_x + \frac{a_{3,1}}{b_{3,0}} \right)}_f \sigma_0 - J_3 \end{cases}$$

SUMMARY

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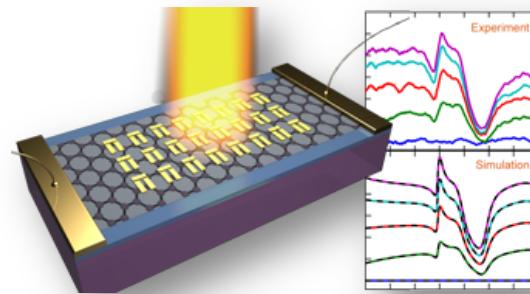
- Frequency Domain Modeling:
 - surface current (J_s) - an efficient method of choice for modeling graphene in COMSOL Multiphysics
 - successfully validated with optical experiments and other numerical methods
- PhotonicVASEfit - a complementary tool for graphene modeling with COMSOL:
 - fitting optical constants of materials to the data from Variable Angle Spectroscopic Ellipsometry (VASE) - is now available at <https://nanoHUB.org/tools/photonicVASEfit>
 - supports RPA, Splines, Critical Points models and most general user-defined functions
- Time Domain Multiphysics Modeling:
 - modeling of the broad-band dispersion of graphene in TD using COMSOL is shown
 - The method is based on causal Padé approximants (Critical Points)
 - Future Plans: Extend to temperature control, quantum emitters, etc
- Other things to do – future plans:
 - coupling with polar substrates, quantum emitters, non-linear graphene plasmonics;
 - quantum effects in nano-structured graphene;
 - etc...

Live Demo

1. Comparison between COMSOL and theory treating graphene as a thin, bulk material
2. Comparison between COMSOL and theory treating graphene as a 2D surface current
3. Nanostructured graphene - frequency domain
4. Nanostructured graphene - time domain

Files can be downloaded from COMSOL's Model Exchange at <http://www.comsol.com/community/exchange/361/>

Q&A Session



*Design of plasmonic antennas
optimized using COMSOL Multiphysics*

Product Suite – COMSOL® 5.1

COMSOL Multiphysics®

COMSOL Server™

ELECTRICAL	MECHANICAL	FLUID	CHEMICAL	MULTIPURPOSE	INTERFACING
AC/DC Module	Heat Transfer Module	CFD Module	Chemical Reaction Engineering Module	Optimization Module	LiveLink™ for MATLAB*
RF Module	Structural Mechanics Module	Mixer Module	Batteries & Fuel Cells Module	Material Library	LiveLink™ for Excel*
Wave Optics Module	Nonlinear Structural Materials Module	Microfluidics Module	Electrodeposition Module	Particle Tracing Module	CAD Import Module
Ray Optics Module	Geomechanics Module	Subsurface Flow Module	Corrosion Module		ECAD Import Module
MEMS Module	Fatigue Module	Pipe Flow Module	Electrochemistry Module		LiveLink™ for SOLIDWORKS*
Plasma Module	Multibody Dynamics Module	Molecular Flow Module			LiveLink™ for Inventor*
Semiconductor Module	Acoustics Module				LiveLink™ for AutoCAD*
					LiveLink™ for PTC® Creo® Parametric™
					LiveLink™ for PTC® Pro/ENGINEER®
					LiveLink™ for Solid Edge*
					File Import for CATIA® V5

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- Spring, TX
- Bellevue, WA
- Huntsville, AL
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- Plano, TX
- Cambridge, MA
- Harrisburg, PA

Europe

- Villach, Austria
- Olsztyn, Poland
- Helsinki, Finland
- Berlin, Germany
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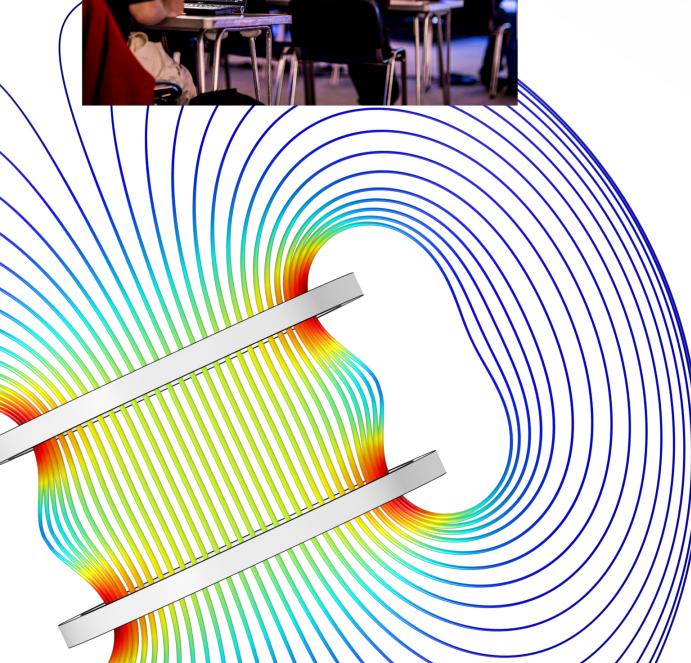
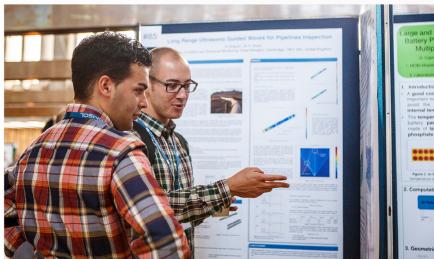
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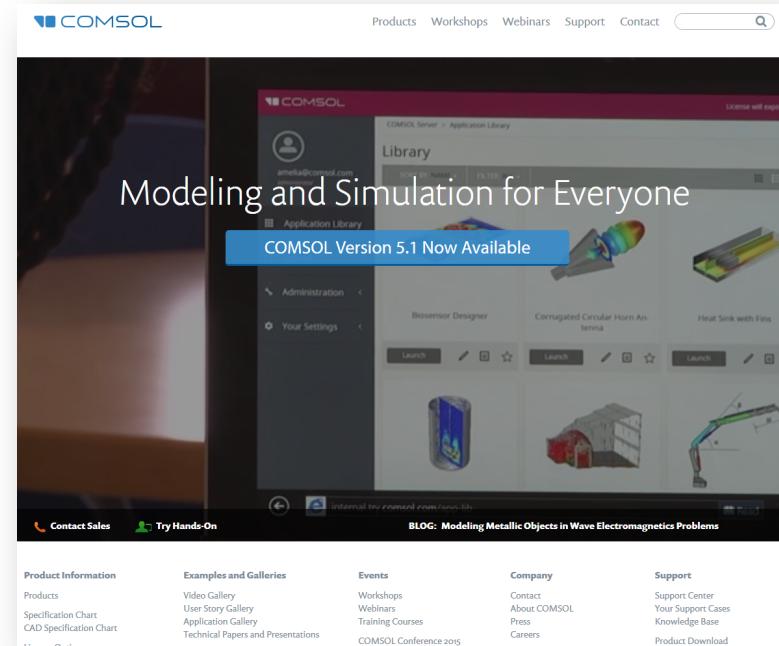
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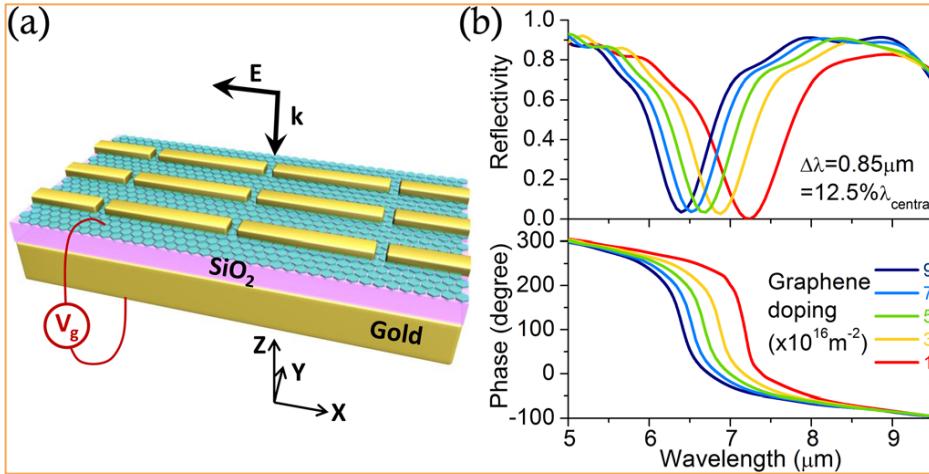
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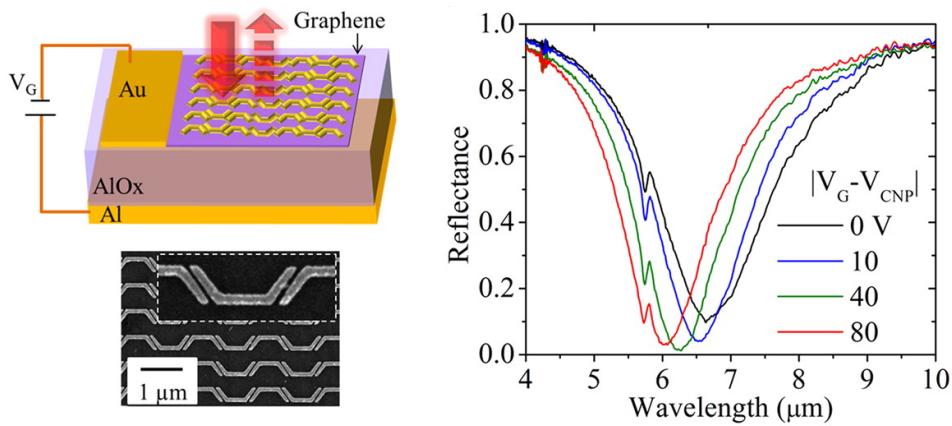
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Graphene Plasmonics: Applications

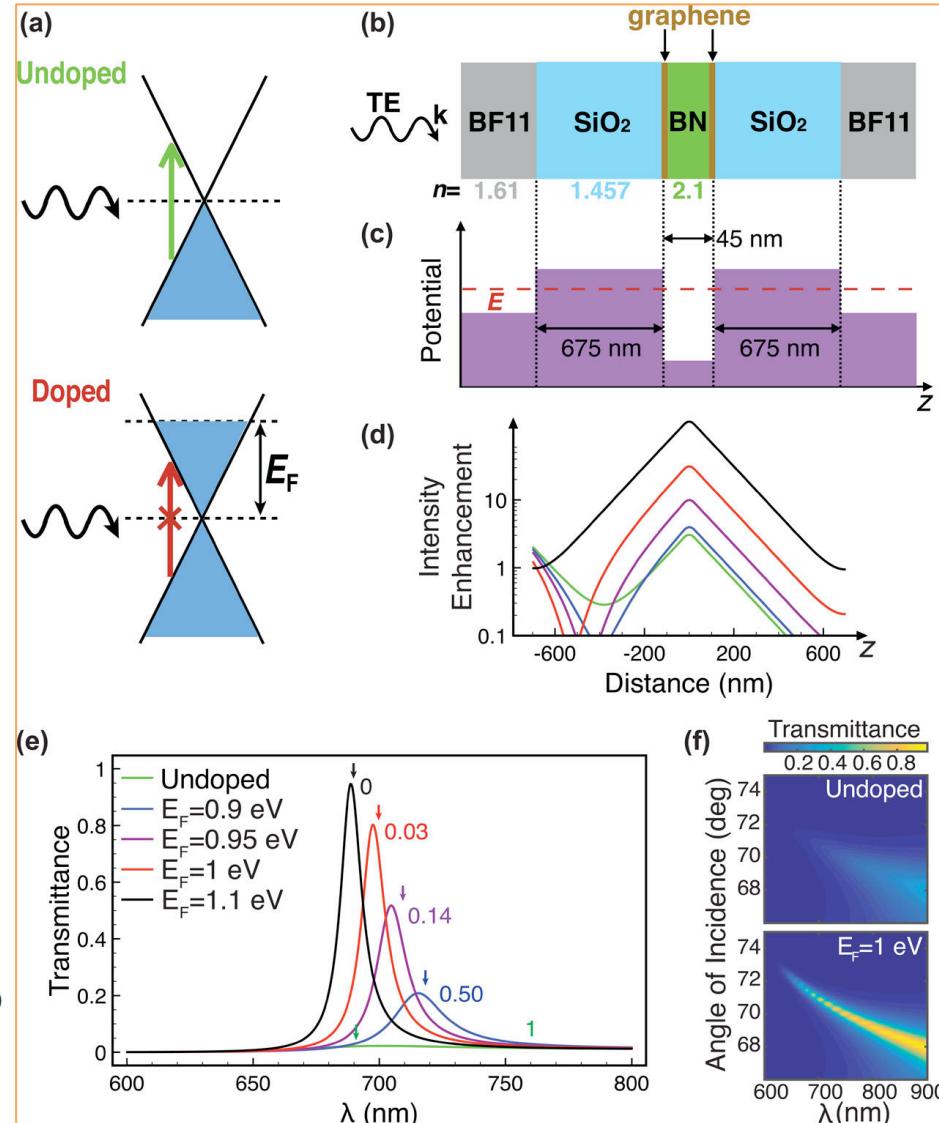
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(Nanfang Yu Group, Columbia)



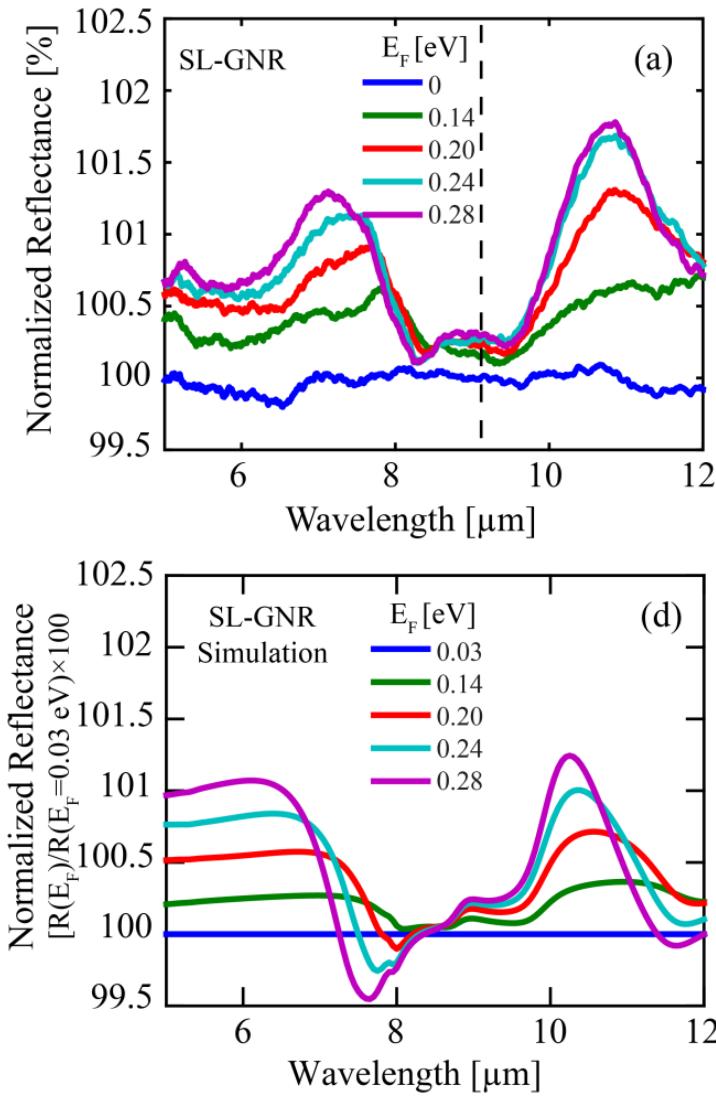
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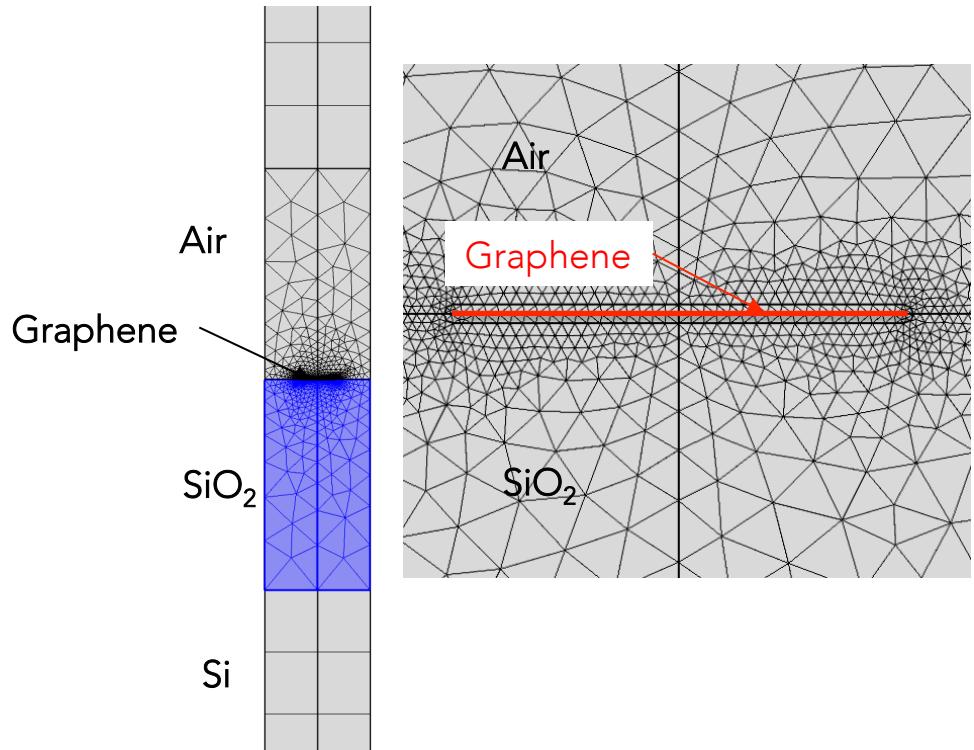
FD Modeling: SLG nanoribbons

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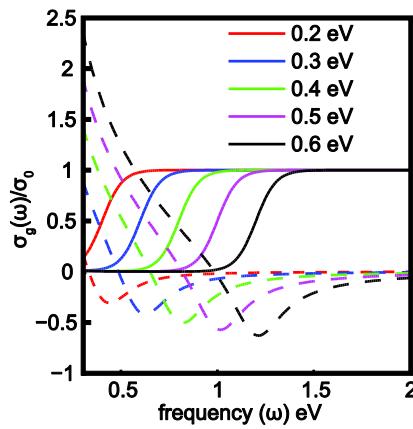
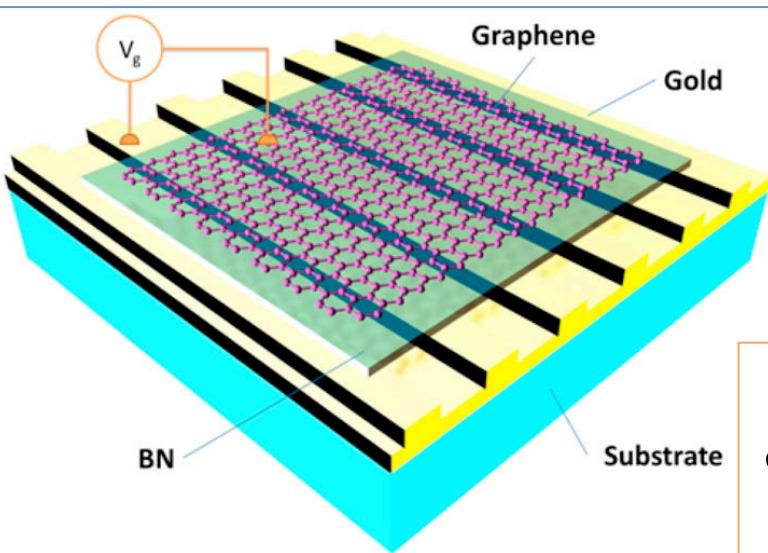
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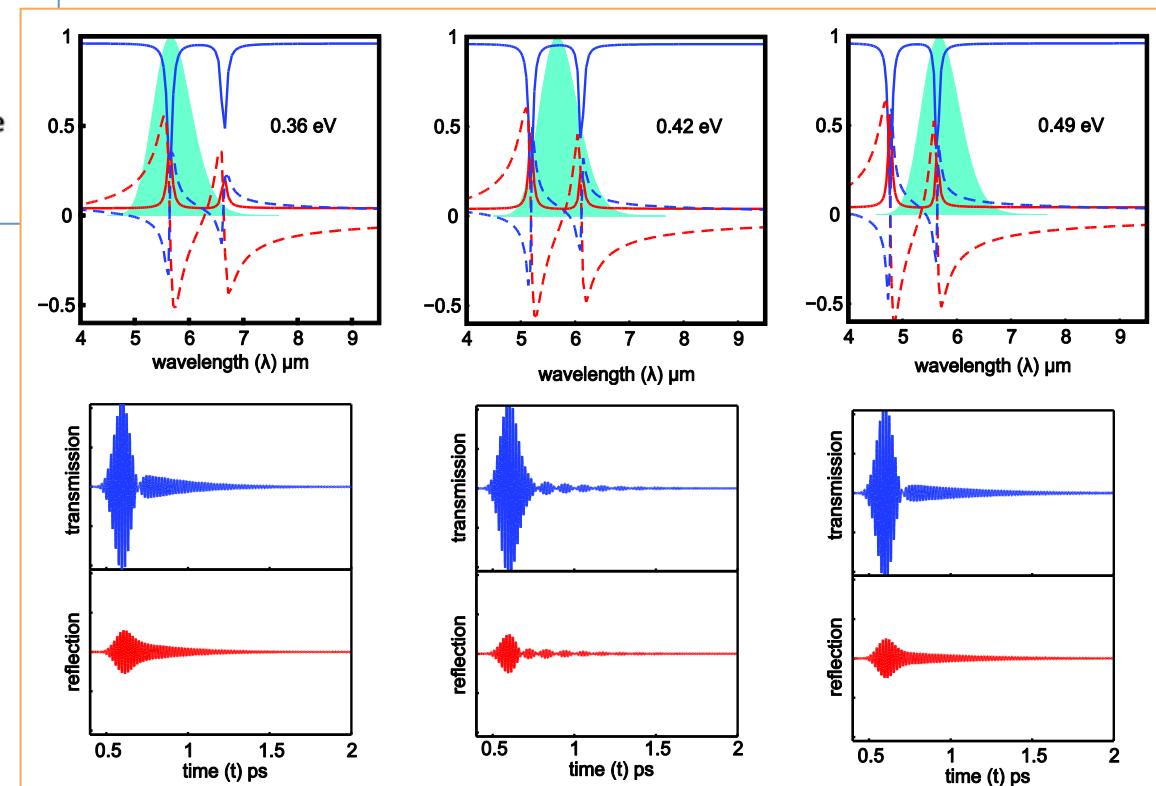


TD Multiphysics SLG Modeling: Applications

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Thackray, et al., Nano Lett. 15, 3519-3523 (2015)



L. J. Prokopeva, and A. V. Kildishev "Time Domain Modeling of Tunable Graphene-Based Pulse-Shaping Device (invited)," in Computational Methods in Nanoelectromagnetics, Applied Computational Electromagnetics 2014, March 23 - 27, 2014 Jacksonville, Florida (online publication).

Graphene Plasmonics: Tunability

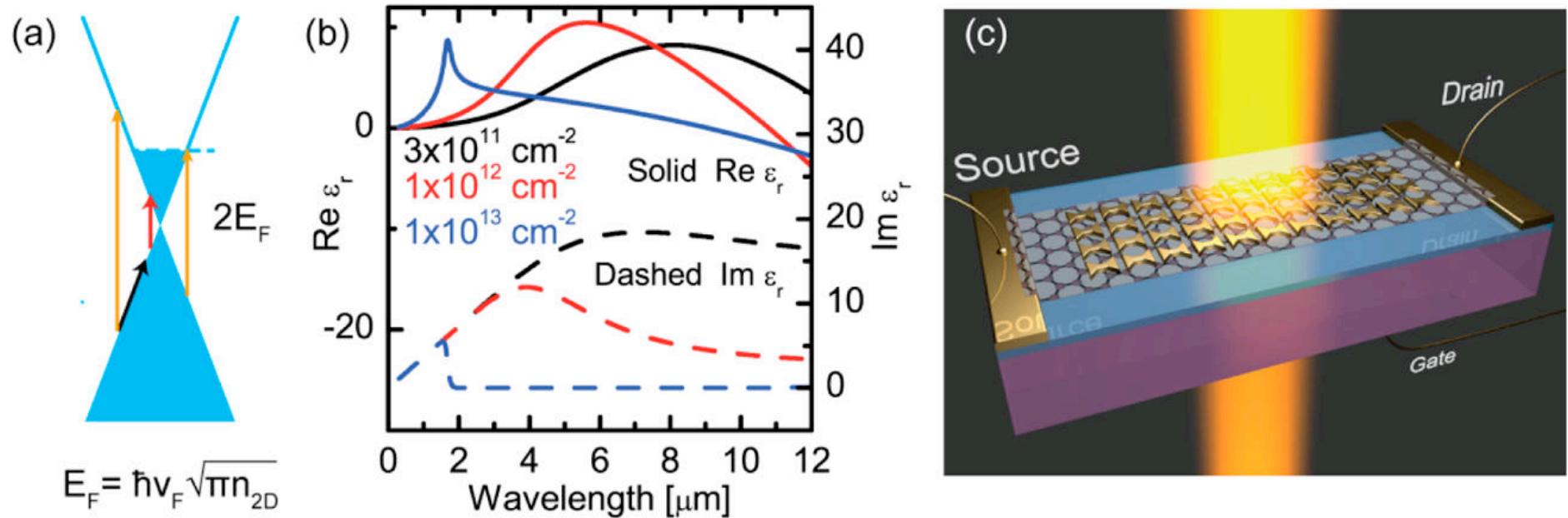
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Electrically Tunable Damping of Plasmonic Resonances with Graphene

Naresh K. Emani, Ting-Fung Chung, Xingjie Ni, Alexander V. Kildishev,
Yong P. Chen, and Alexandra Boltasseva



- (a) The optical response of graphene is controlled by e-h pair excitations. Photons with energies above $2E_F$ are absorbed due to transitions into the unoccupied states above the Fermi level;
- (b) Calculated dielectric functions for carrier densities of 3×10^{11} , 1×10^{12} , $1 \times 10^{13} \text{ cm}^{-2}$ show a tunable interband threshold. Changes in the imaginary part (dashed lines) of permittivity are accompanied by corresponding changes in the real part (solid lines). The calculations were performed with $\tau = 1 \times 10^{-13} \text{ s}$, $T = 300 \text{ K}$ and $t_g = 1 \text{ nm}$;
- (c) schematic illustration of the experimental structure for voltage-controlled optical transmission measurements.