

- Prop.  $M$  flat as  $A$ -module  $\Leftrightarrow \forall$  ideal  $\mathfrak{q} \subseteq A$ ,  $\mathfrak{q} \otimes_A M \rightarrow M$  is injective.  
 $a \otimes m \mapsto m$

- (Local criteria of flatness) TFAE.

(1)  $M$  flat over  $A$ .

(2)  $M_{\mathfrak{p}}$  flat over  $A_{\mathfrak{p}}$ ,  $\forall \mathfrak{p} \in \text{Spec } A$ .

(3)  $M_{\mathfrak{m}}$  flat over  $A_{\mathfrak{m}}$ ,  $\forall \mathfrak{m} \in \text{Spec } A$ .

- Prop. (1)  $f: A \rightarrow B$  flat.  $S \subseteq A$ ,  $T \subseteq B$  multiplicative sets  $f(S) \subseteq T$ .  
 $\Rightarrow \tilde{f}: S^{-1}A \rightarrow T^{-1}B$  flat.

(2)  $A_{f^{-1}(\mathfrak{p})} \rightarrow B_{\mathfrak{p}}$  flat,  $\forall \mathfrak{p} \in \text{Spec } B \Rightarrow A \rightarrow B$  flat.

Pf. (1)  $A \rightarrow B$  flat  $\Rightarrow S^{-1}A \rightarrow S^{-1}B$  flat.

$$S^{-1}B \cong [f(S)]^{-1}B. \quad T^{-1}B \cong T^{-1}([f(S)]^{-1}B)$$

$$\Rightarrow S^{-1}B \rightarrow T^{-1}B \text{ flat} \Rightarrow \tilde{f}: S^{-1}A \rightarrow T^{-1}B \text{ flat.}$$

(2)  $N' \hookrightarrow N$   $A$ -modules. Goal:  $N' \otimes_A B \rightarrow N \otimes_A B$  inj.

$$\begin{array}{ccc} N' \otimes_A B \otimes_B B_{\mathfrak{p}} & \xrightarrow{\quad \uparrow \quad} & N \otimes_A B \otimes_B B_{\mathfrak{p}} \\ \text{IS} & & \text{IS} \\ N' \otimes_A B_{\mathfrak{p}} & & N \otimes_A B_{\mathfrak{p}} \\ \text{IS} & & \text{IS} \\ N' \otimes_A (A_{f^{-1}(\mathfrak{p})} \otimes_{A_{f^{-1}(\mathfrak{p})}} B_{\mathfrak{p}}) & & N \otimes_A (\dots) \\ \text{IS} & & \text{IS} \\ N'_{f^{-1}(\mathfrak{p})} \otimes_{A_{f^{-1}(\mathfrak{p})}} B_{\mathfrak{p}} & & N_{f^{-1}(\mathfrak{p})} \otimes_{A_{f^{-1}(\mathfrak{p})}} B_{\mathfrak{p}} \end{array}$$

- Thm. (Equation Principle of flatness) TFAE.

(1)  $M$  is flat over  $A$ .

(2)  $\forall a_1, \dots, a_n \in A$  and  $x_1, \dots, x_n \in M$  with  $\sum_{i=1}^n a_i x_i = 0$ ,

$\exists y_1, \dots, y_m \in M$ ,  $a_{ij} \in A$ ,  $i=1, \dots, n$ ,  $j=1, \dots, m$  s.t.

$$\textcircled{1} \quad \sum_{i=1}^n a_i a_{ij} = 0, \quad \forall j=1, \dots, m;$$

$$\textcircled{2} \quad x_i = \sum_{j=1}^m a_{ij} x_j, \quad \forall i=1, \dots, n.$$

Formally:

$$(a_1 \ \dots \ a_n) \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = 0 \Rightarrow \exists \begin{pmatrix} y_1 \\ \vdots \\ y_m \end{pmatrix}, \begin{pmatrix} a_{11} & \dots & a_{1m} \\ \vdots & & \vdots \\ a_{m1} & \dots & a_{mm} \end{pmatrix} \text{ s.t.}$$

$$(a_1 \ \dots \ a_n) \begin{pmatrix} a_{11} & \dots & a_{1m} \\ \vdots & & \vdots \\ a_{m1} & \dots & a_{mm} \end{pmatrix} = 0, \quad \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} a_{11} & \dots & a_{1m} \\ \vdots & & \vdots \\ a_{m1} & \dots & a_{mm} \end{pmatrix} \begin{pmatrix} y_1 \\ \vdots \\ y_m \end{pmatrix}$$

• Prop.  $M$  f.g.  $A$ -mod. (A noeth.) TFAE:

(a)  $M$  is flat.

(b)  $M_{\mathfrak{m}}$  is a free  $A_{\mathfrak{m}}$ -module,  $\forall \mathfrak{m} \in \text{Spec } A$ .

(c)  $\tilde{M}$  is a locally free sheaf on  $\text{Spec } A$ .

(d)  $M$  is projective as an  $A$ -module.

(e) (if  $A$  is a domain)  $\dim_{k(\mathfrak{p})} M \otimes_A k(\mathfrak{p}) \equiv \text{const.}$

$$M_{\mathfrak{p}}/\mathfrak{p}M_{\mathfrak{p}}$$

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Q4. (2)

Pf. (a)  $\Leftrightarrow$  (b) local criteria of flatness.

o Lemma.  $(A, \mathfrak{m})$  local ring.  $M$  f.g. & flat over  $A \Rightarrow M$  free.

Pf.  $0 \rightarrow K \rightarrow A^n \rightarrow M \rightarrow 0$  exact  $\xrightarrow{\otimes A/\mathfrak{m}}$

$$\text{Tor}'(M, A_{\mathfrak{m}}) \rightarrow K/\mathfrak{m}K \rightarrow (A/\mathfrak{m})^n \rightarrow M/\mathfrak{m}M = 0.$$

$\begin{matrix} \parallel \\ 0 \end{matrix}$

$\bar{x}_1, \dots, \bar{x}_n$  a  $A/\mathfrak{m}$ -basis of  $M/\mathfrak{m}M$   $\xrightarrow{\text{Nakayama}}$

$x_1, \dots, x_n$  generate  $M$  over  $A$ . We can define  $A^n \rightarrow M$

$$\Rightarrow (A/\mathfrak{m})^n \xrightarrow{\sim} M/\mathfrak{m}M$$

$$e_i \mapsto x_i$$

$$\Rightarrow K/\mathfrak{m}K = 0 \xrightarrow{\text{Nakayama}} K = 0 \Rightarrow M \cong A^n.$$

(b)  $\Rightarrow$  (c) Fix  $\mathfrak{m} \in \text{Spec } A$ . Take an  $A_{\mathfrak{m}}$ -basis of  $M_{\mathfrak{m}}$ :

$x_1, \dots, x_n$  (WLOG, WMA  $x_1, \dots, x_n \in M$ )

$$\varphi: A^n \longrightarrow M \Rightarrow (\ker \varphi)_m = (\text{im } \varphi)_m = 0$$

$e_i \longmapsto x_i$  (exactness of localization)

$\ker \varphi, \text{im } \varphi$  f.g. over  $A \Rightarrow \text{Supp}$  is closed

$$\circ M = A\langle x_1, \dots, x_n \rangle \Rightarrow$$

$$\text{Supp } M = \{p \in \text{Spec } A \mid M_p \neq 0\}$$

$$= \{p \in \text{Spec } A \mid \exists i=1, \dots, n \text{ s.t. } \text{Ann}(x_i) \subseteq p\}$$

$$= \bigcup_{i=1}^n V(\text{Ann}(x_i)) \text{ closed}$$

$$\Rightarrow \exists f \in A - \mathfrak{m} \text{ s.t. } \varphi_f: A_f^n \xrightarrow{\sim} M_f.$$

(c)  $\Rightarrow$  (d) Suppose  $\exists f_1, \dots, f_m \in A$  s.t.  $(f_1, \dots, f_m) = (1)$  &  $M_{f_i}$  free over  $A_{f_i}$ .

$$\pi: A^n \longrightarrow M$$

$$e_i \longmapsto x_i \xrightarrow{\sim} \pi_i: A_{f_i}^n \longrightarrow M_{f_i}$$

$$\exists \varphi_i: M_{f_i} \longrightarrow A_{f_i}^n \text{ s.t. } \pi_i \circ \varphi_i = \text{id}_{M_{f_i}}$$

Claim:  $\exists N$  s.t.  $f_i^N \varphi_i$  can be lifted to  $\tilde{\varphi}_i: M \longrightarrow A^n$ ,

i.e. the diagram commutes.

$$\begin{array}{ccc} M & \xrightarrow{\tilde{\varphi}_i} & A^n \\ \downarrow & & \downarrow \\ M_{f_i} & \xrightarrow{f_i^N \varphi_i} & A_{f_i}^n \end{array}$$

Pf of claim. Write  $M = A\langle x_1, \dots, x_n \rangle$   $\varphi(x_j) = \frac{y_j}{f_i^m}$ ,  $y_j \in A^n$

Hope to find some  $M'$  s.t. the  $A$ -mod homomorphism

$\tilde{\varphi}_i: M \longrightarrow A^n$  is well-defined  $\Rightarrow N = M + M'$  is OK.

$$x_j \longmapsto f_i^{m'} y_j$$

$$(\Leftrightarrow \exists (a_1, \dots, a_n) \in \ker \pi, f_i^{m'} \sum_j a_j y_j = 0)$$

Write  $\ker \pi = A\langle v_1, \dots, v_s \rangle$   $v_k = (a_{k1}, \dots, a_{kn})$

$$\Rightarrow \varphi_i \left( \sum_j a_{kj} x_j \right) = \sum_j \frac{a_{kj} y_j}{f_i^m} = 0 \text{ in } A_{f_i}^n, \quad \forall k=1, \dots, s$$

$\varphi_i(0)$

$$\Rightarrow \exists M', \text{ s.t. } f_i^M \sum_j a_{kj} y_j = 0, \forall k=1, \dots, S. \Rightarrow \square.$$

Take  $\varphi: M \rightarrow A^n$ , where  $g_i \in A$ ,  $\sum_{i=1}^m g_i f_i^N = 1$

$$x \mapsto \sum_{i=1}^m g_i \bar{\varphi}_i(x) \quad \text{[partition of unity in AG]}$$

$$\Rightarrow \pi(\varphi(x)) = \sum_{i=1}^m g_i \pi(\bar{\varphi}_i(x)).$$

$$\text{Localize at } f_i \Rightarrow \pi(\varphi(x)) = \sum_{i=1}^m g_i \pi_i(f_i^N \varphi_i(x)) = \sum_{i=1}^m g_i f_i^N x = x \text{ in } M_{f_i}$$

$\Rightarrow \pi \circ \varphi = \text{id}_M$ .  $M$  projective.

(d)  $\Rightarrow$  (a)  $M$  projective  $\Rightarrow M$  is direct summand of  $A^n \Rightarrow M$  flat.

(c)  $\Rightarrow$  (e)  $\dim_{k(p)} M \otimes_A k(p)$  locally constant  $\xrightarrow{\text{connectedness}} \text{globally const.}$

(e)  $\Rightarrow$  (c) (Hartshorne II Ex 5.8)

$\bar{x}_1, \dots, \bar{x}_n$  a  $k(p)$ -basis of  $M_p/pM_p \xrightarrow{\text{Nakayama}}$

$x_1, \dots, x_n$  a minimal set of  $A_p$ -generators of  $M_p$ . (WLOG  $x_1, \dots, x_n \in M$ )

Consider  $\varphi: A^n \rightarrow M$   $\varphi_p$  is surj  $\Rightarrow (\text{coker } \varphi)_p = 0$ .

$$e_i \mapsto x_i \quad \downarrow \varphi_p \text{ surj.}$$

(closed support)  $\Rightarrow \exists f \in A - p$  s.t.  $x_1, \dots, x_n$  generate  $M_f$  over  $A_f$

If  $\exists a_1, \dots, a_n \in A_f$ ,  $(a_1, \dots, a_n) \neq (0, \dots, 0)$  s.t.  $\sum_{i=1}^n a_i x_i = 0$

(WLOG, WMA  $a_1 \neq 0$ ) ( $A$  integral)  $\Rightarrow a_1$  not nilpotent

$\Rightarrow \exists$  prime ideal  $\mathfrak{q}_f \subseteq A_f$  s.t.  $a_1 \notin \mathfrak{q}_f$

$\Rightarrow a_1$  is invertible in  $A_{\mathfrak{q}}$   $\Rightarrow x_i = -\sum_{i=2}^n \frac{a_i}{a_1} x_i$  in  $M_{\mathfrak{q}} / \mathfrak{q}_f M_{\mathfrak{q}} = M \otimes_A k(\mathfrak{q})$

$\Rightarrow \dim_{k(\mathfrak{q})} M \otimes_A k(\mathfrak{q}) < \dim_{k(p)} M \otimes_A k(p)$ . A contradiction.

Rmk 1. When  $A$  is not noeth. or  $M$  is not f.g.,

then  $M$  is flat  $\not\Rightarrow M$  is projective.

Ex. ① (A is not noeth) (2023 Yau-Contest Q4(3))

$$A = \prod_{\mathbb{N}} \mathbb{Z}, I = \bigoplus_{\mathbb{N}} \mathbb{Z} \subseteq A, M = A/I.$$

② (M is not f.g.)

$$A = \mathbb{Z}, M = \mathbb{Q}.$$

Rmk 2. (Lazard) A ring (not necessarily noeth.)

M A-module.

M is flat  $\Leftrightarrow M = \varinjlim \{\text{finite free module}\}$ .

- Def.  $f: Y \rightarrow X$  is a flat morphism if  $\forall y \in Y$ ,

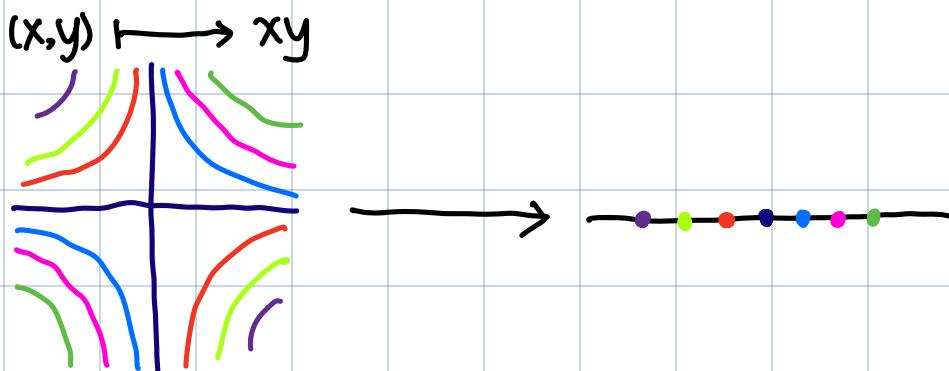
$\mathcal{O}_{Y,y}$  is a flat  $\mathcal{O}_{X,x}$ -algebra.  $x = f(y)$ .

Rmk. (Equiv.) (1)  $\forall$  open affine  $U \subseteq X, V \subseteq Y, f(V) \subseteq U$ :

$\Gamma(V, \mathcal{O}_Y)$  is flat over  $\Gamma(U, \mathcal{O}_X)$ .

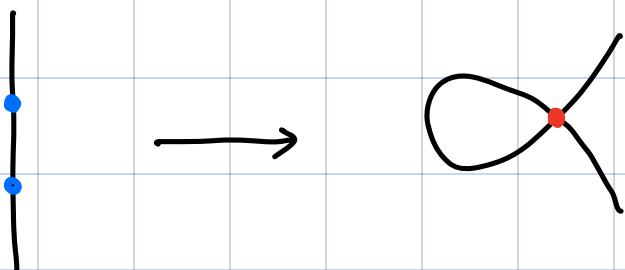
(2)  $\forall$  closed point  $y \in Y, \mathcal{O}_{Y,y}$  is flat over  $\mathcal{O}_{X,x}$ .

- Ex. (1)  $f: \mathbb{A}^2 \rightarrow \mathbb{A}$  is flat.

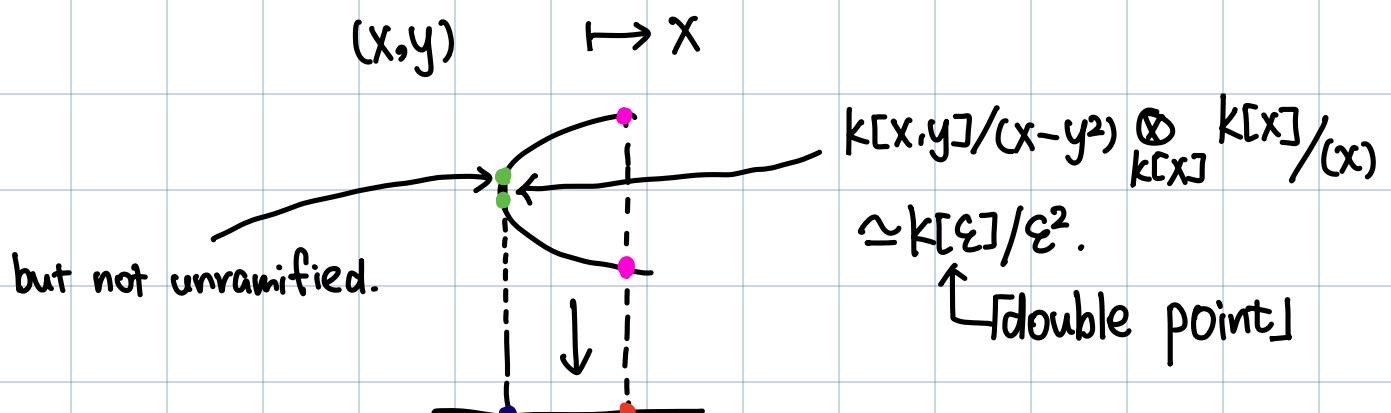


(2)  $f: \text{Spec } k[t] \rightarrow \text{Spec } k[x,y]/(x^2+y^3-y^2)$  is not flat.

$$t \mapsto (t^2-1, t(t^2-1))$$

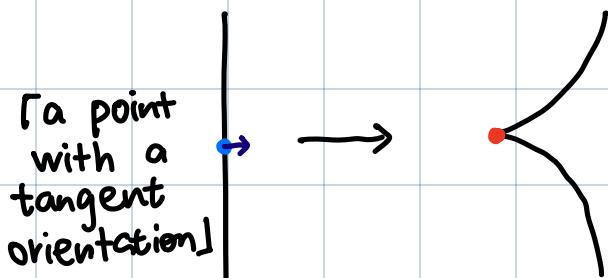


(3)  $f: \text{Spec } k[x,y]/(x-y^2) \rightarrow \text{Spec } k[x]$  is flat.



(4)  $f: \text{Spec } k[t] \rightarrow \text{Spec } k[x,y]/(x^3-y^2)$  is not flat.

$$t \mapsto (t^2, t^3)$$



- $f: Y \rightarrow X$  flat  $\Rightarrow \forall y \in Y, x = f(y)$ :

$$\dim Y_x = \dim \mathcal{O}_{Y,y} - \dim \mathcal{O}_{X,x}$$
 (Hartshorne Chapter III Prop. 9.5)

- Rmk. In general,  $X$  integral.  $f: Y \rightarrow X$  projective morphism. Then  $f$  flat  $\Leftrightarrow$  All fibers  $Y_x \rightarrow \text{Spec } k(x)$  have the same Hilbert polynomial (Hartshorne Chapter III Thm 9.9)

See EGA IV Chap.12 for more properties of flat families.

- Prop. (1) Open immersions are flat.

(2) Composition of two flat morphisms is flat.

(3) Flatness is stable under base extension,

Pf. (3)

$$\begin{array}{ccc} Y \times_X X' & \longrightarrow & X' \\ x \quad \downarrow & \nearrow y' & \downarrow \\ Y & \longrightarrow & X \end{array}$$

$$\begin{array}{ccc} A \otimes_S B & \leftarrow & B \\ \uparrow & & \uparrow \\ A & & B \end{array}$$

$$Y \xrightarrow{y} X \quad A \xleftarrow{S}$$

$S \rightarrow A$  flat  $\Rightarrow B \rightarrow A \otimes_S B$  flat.

- Prop.  $f: A \rightarrow B$  flat algebra.

$b \in B$  s.t.  $\bar{b}$  is not a zero-divisor in  $B/\pi B, \forall \pi \in \text{Specm } A$

$\Rightarrow A \rightarrow B/(b)$  flat.

Pf. ① Localization. Goal:  $\forall \pi \in \text{Specm } B$  with  $b \in \pi, \pi = f^{-1}(\pi)$ ,

$A_\pi \rightarrow (B/(b))_\pi \simeq B_\pi/(b)$  is flat.

$A \rightarrow B$  flat  $\Rightarrow A_\pi \rightarrow B_\pi$  flat.

If  $\pi \subsetneq \pi' \subsetneq A \Rightarrow \pi B = B \Rightarrow \bar{b} = 0$  in  $B/\pi B$  (contradiction)

$\Rightarrow \pi \in \text{Specm } A$ .

$b$  in  $B_\pi/\pi B_\pi \simeq (B/\pi B)_\pi$  is not a zero-divisor.

$\Rightarrow \text{WMA } (A, \pi) \rightarrow (B, \pi)$  local homomorphism.

② We will show  $b$  is not a zero-divisor in  $B$ .

Suppose  $bc=0$  in  $B \Rightarrow c \in \pi B$ .

Goal: Prove  $c \in \pi^r B, \forall r \geq 1$  by induction

$\Rightarrow c \in \bigcap_{r \geq 1} \pi^r B = 0$  (Krull's intersection thm)

If we have proved  $c \in \pi^r B$ .

Choose a minimal set of generators of  $\pi^r = (a_1, \dots, a_k)$

Write  $c = \sum a_i b_i, b_i \in B \Rightarrow \sum a_i b_i b = 0$

(Equation principle of flatness)  $\Rightarrow \exists a_{ij} \in A, b'_j \in B$  s.t.

$$\begin{pmatrix} b_1 & b \\ \vdots & \\ b_k & b \end{pmatrix} = \begin{pmatrix} a_{11} & \cdots & a_{1L} \\ \vdots & & \vdots \\ a_{k1} & \cdots & a_{kL} \end{pmatrix} \begin{pmatrix} b'_1 \\ \vdots \\ b'_L \end{pmatrix}$$

and  $(a_1 \dots a_k) \begin{pmatrix} a_{11} & \dots & a_{1L} \\ \vdots & & \vdots \\ a_{k1} & \dots & a_{kL} \end{pmatrix} = 0$ ,

If  $a_{ij} \in A - \pi = A^x$ :  $0 = \sum_k a_{kj} a_{ij} \Rightarrow a_i = -a_{ij}^{-1} \sum_{h \neq i} a_h a_{hj}$

$\Rightarrow \{a_1, \dots, \widehat{a}_i, \dots, a_k\}$  is a smaller set of generators ( $X$ )

$\Rightarrow a_{ij} \in \pi$ ,  $\forall i, j \Rightarrow b_i b \in \pi B$ ,  $\forall i \Rightarrow b_i \in \pi B$ ,  $\forall i$

$\Rightarrow c = \sum a_i b_i \in \pi^{r+1} B$ .  $\square$ .

( $b$  is not a zero-divisor  
in  $B/\pi B$ )

③ Take any ideal  $\mathfrak{a} \subseteq A$ .

A replaced by  $A/\mathfrak{a}$ , B replaced by  $B/\mathfrak{a}B$ .

The same proof as in ②  $\Rightarrow b$  is not a zero-divisor in  $B/\mathfrak{a}B$ .

$$\mathfrak{a} \otimes_A B/(b) \simeq \mathfrak{a} \otimes_B (B \otimes_B B/(b)) \simeq (\mathfrak{a} \otimes_B B) \otimes_B B/(b)$$

need to prove  $\mathfrak{a}B \cap (b) = b\mathfrak{a}B$

(hence  $\mathfrak{a}B/b\mathfrak{a}B \hookrightarrow B/(b)$  injective).

| flatness of  $B$  over  $A$ )  
 $\mathfrak{a}B \otimes_B B/(b) \simeq \mathfrak{a}B/b\mathfrak{a}B$   
 $\downarrow$   
 $B/(b)$

Take any  $x \in \mathfrak{a}B \cap (b)$ . Write  $x = by, y \in B$ .

$b$  is not a zero-divisor in  $B/\mathfrak{a}B \Rightarrow (x \in \mathfrak{a}B \Rightarrow y \in \mathfrak{a}B)$

$\Rightarrow x = by \in b\mathfrak{a}B$ .

- Geometric interpretation

$f: Y \rightarrow X$  flat.  $Z \subseteq Y$  a [hypersurface]

If  $\forall$  closed point  $x \in X$ ,  $Z$  doesn't contain any generic point of irreducible component of fiber  $Y_x$  [ $\dim(Z \cap Y_x) < \dim Y_x$ ],

then the composition  $Z \rightarrow X$  is flat.

- Thm.  $f: Y \rightarrow X$  flat, locally of finite type  $\Rightarrow f$  open.

Sketch. ① Reduce to  $X, Y$  affine. Need to prove  $f(Y)$  open in  $X$ .

②  $f(Y)$  is constructible; ③ flatness  $\Rightarrow$  going-down.

- Thm. (generic flatness)  $f: Y \rightarrow X$  locally of finite type

$\Rightarrow \{y \in Y \mid \mathcal{O}_{Y,y} \text{ is flat over } \mathcal{O}_{X,f(y)}\}$  is open in  $Y$ .

(if  $X$  is integral)  $\Rightarrow \exists$  non-empty open  $U \subseteq X$  s.t.  $f$  flat on  $f^{-1}(U)$ .

Sketch. • Lemma:  $A$  noeth.  $A \rightarrow B$  finite type.

$M$  f.g.  $B$ -mod  $\Rightarrow \exists f \in A$  s.t.  $M_f$  is free over  $A_f$ . ↑  
 $f^{-1}(U)$  may be empty.

Take  $M = B$ .

- Def. (faithfully flat)  $f: A \rightarrow B$  is faithfully flat if:

①  $f: A \rightarrow B$  flat; ②  $\forall A$ -mod  $M$  with  $B \otimes_A M = 0 \Rightarrow M = 0$ .

- Prop.  $f: A \rightarrow B$  flat,  $A \neq 0$ . TFAE:

(a)  $f$  faithfully flat;

(b)  $B \otimes_A M' \rightarrow B \otimes_A M \rightarrow B \otimes_A M''$  exact  $\Rightarrow M' \rightarrow M \rightarrow M''$  exact

(c)  $f^*: \text{Spec } B \rightarrow \text{Spec } A$  surj.

(d)  $\forall \mathfrak{m} \in \text{Spec } A, \pi \mathfrak{m} \neq B$ .

(Cor.  $f: A \rightarrow B$  flat local homomorphism  $\Rightarrow f$  faithfully flat).

Pf. (a)  $\Rightarrow$  (b):  $M' \xrightarrow{g_1} M \xrightarrow{g_2} M''$  s.t.

$B \otimes_A M' \rightarrow B \otimes_A M \rightarrow B \otimes_A M''$  exact

$$\Rightarrow B \otimes_A \text{im}(g_2 g_1) = \text{im}((1 \otimes g_2)(1 \otimes g_1)) = 0$$

$$\Rightarrow \text{im}(g_2 g_1) = 0. \quad \text{flatness}$$

$$\Rightarrow \text{im } g_1 \subseteq \ker g_2.$$

$$B \otimes_A (\ker g_2 / \text{im } g_1) = \ker(1 \otimes g_2) / \text{im}(1 \otimes g_1) = 0$$

$$\Rightarrow \ker g_2 / \text{im } g_1 = 0 \Rightarrow \text{im } g_1 = \ker g_2.$$

$$(b) \Rightarrow (a): B \otimes_A M = 0 \Rightarrow B \otimes_A M \xrightarrow{\text{100}} B \otimes_A M \rightarrow 0 \text{ exact}$$

$$\Rightarrow M \xrightarrow{0} M \rightarrow 0 \text{ exact} \Rightarrow M = 0.$$

(Rmk.  $\mathcal{F}: \mathcal{C} \rightarrow \mathcal{D}$  exact functor. TFAE:

①  $\mathcal{F}$  is faithfully exact, i.e.  $\mathcal{F}(A) = 0 \Rightarrow A = 0$ .

②  $\mathcal{F}$  reflects exact sequences, i.e.

$$\mathcal{F}(A') \rightarrow \mathcal{F}(A) \rightarrow \mathcal{F}(A'') \text{ exact} \Rightarrow A' \rightarrow A \rightarrow A'' \text{ exact.}$$

(a)  $\Rightarrow$  (c).  $\forall \mathfrak{p} \in \text{Spec } A$ , the fiber  $B \otimes_A A_{\mathfrak{p}} / \mathfrak{p} A_{\mathfrak{p}} \neq 0$  as  $A$ -module  
 $\Rightarrow f^{\#}: \text{Spec } B \rightarrow \text{Spec } A$  surj. ↑ non-empty ↴

(c)  $\Rightarrow$  (d).  $\forall \mathfrak{m} \in \text{Spec } A, \exists \mathfrak{n} \in \text{Spec } B$  s.t.  $f^{-1}(\mathfrak{n}) = \mathfrak{m}$   
 $\Rightarrow f(\mathfrak{m}) \subseteq \mathfrak{n} \Rightarrow \mathfrak{m}B \subseteq \mathfrak{n}B = \mathfrak{n} \subsetneq B$ .

(d)  $\Rightarrow$  (a).  $B \otimes_A M = 0$ . Take any  $x \in M$ .

$$(\text{flatness of } B) A_x \hookrightarrow M \rightsquigarrow B \otimes_A A_x \hookrightarrow B \otimes_A M = 0$$

$$\Rightarrow B \otimes_A A_x = 0. \quad \text{Write } A_x \simeq A/\mathfrak{m}.$$

Assume  $\mathfrak{m} \notin A \Rightarrow$  Take any maximal ideal  $\mathfrak{m} \supseteq \mathfrak{m}$ ,

$$B \otimes_A A/\mathfrak{m} \simeq B/\mathfrak{m}B \neq 0$$

$$\text{But } A/\mathfrak{m} \longrightarrow A/\mathfrak{m} \Rightarrow B \otimes_A A_x \xrightarrow{\parallel} B \otimes_A A/\mathfrak{m} \xrightarrow{0} \text{ contradiction}$$

- Geometric interpretation. a faithfully flat morphism

$f: Y \rightarrow X$  is an analogy of [covering map].

- Def.  $f: Y \rightarrow X$  is faithfully flat iff  $f$  is flat & surjective.

- Lemma.  $f: A \rightarrow B$  faithfully flat  $\Rightarrow$  long exact seq

$$0 \rightarrow A \xrightarrow{f} B \xrightarrow{d^0} B^{\otimes 2} \xrightarrow{d^1} B^{\otimes 3} \rightarrow \dots B^{\otimes r} \xrightarrow{d^{r-1}} B^{\otimes r+1} \rightarrow \dots$$

where  $d^{r-1}(b_0 \otimes \dots \otimes b_{r-1}) = \sum_{i=0}^{r-1} (-1)^i b_0 \otimes \dots \otimes b_{i-1} \otimes 1 \otimes b_i \otimes \dots \otimes b_{r-1}$ .

Pf.

$$d^r d^{r-1} = 0 \quad (\checkmark)$$

We firstly assume that  $\exists g: B \rightarrow A$  s.t.  $gf = 1$

$$\begin{array}{ccccccc} \Rightarrow 0 \rightarrow A & \xrightarrow{f} & B & \xrightarrow{d^0} & B^{\otimes 2} & \xrightarrow{d^1} & B^{\otimes 3} \rightarrow B^{\otimes 4} \rightarrow \dots \\ & k_{-1}, & k_0, & k_1, & k_2, & & \\ 0 \rightarrow A & \xleftarrow{f} & B & \xleftarrow{d^0} & B^{\otimes 2} & \xleftarrow{d^1} & B^{\otimes 3} \rightarrow B^{\otimes 4} \rightarrow \dots \end{array}$$

chain homotopy.

$$k_r(b_0 \otimes \dots \otimes b_{r+1}) = g(b_0) b_1 \otimes b_2 \otimes \dots \otimes b_{r+1}$$

$$\Rightarrow k_{r+1} d^{r+1} + d^r k_r = \text{id}_{B^{\otimes r+2}}, \quad r \geq -1$$

$$\Rightarrow \text{id} \underset{\substack{\sim \\ \text{chain homotopy}}}{\sim} 0 \Rightarrow \text{exact. [faithful flatness is not required in this step]}$$

For general case: Define  $f': B \rightarrow B \underset{A}{\otimes} B$   
 $b \mapsto b \otimes 1$

Inverse:  $g': B \underset{A}{\otimes} B \rightarrow B$

$$b_1 \otimes b_2 \mapsto b_1 b_2$$

$$\Rightarrow 0 \rightarrow B \rightarrow B \underset{A}{\otimes} B \rightarrow (B \underset{A}{\otimes} B)^{\otimes 2} \rightarrow (B \underset{A}{\otimes} B)^{\otimes 3} \rightarrow \dots$$

$$\begin{array}{ccc} B^{\otimes 2} \underset{A}{\otimes} B & \text{IS} & B^{\otimes 3} \underset{A}{\otimes} B \\ & & \text{exact} \end{array}$$

$$\xrightarrow{\text{faith. flat}} 0 \rightarrow A \rightarrow B \rightarrow B^{\otimes 2} \rightarrow B^{\otimes 3} \rightarrow \dots \text{ exact.}$$

• Rmk. Similar argument.  $f: A \rightarrow B$  faith.flat  $M$   $A$ -mod

$$\Rightarrow 0 \rightarrow M \rightarrow M \underset{A}{\otimes} B \rightarrow M \underset{A}{\otimes} B^{\otimes 2} \rightarrow M \underset{A}{\otimes} B^{\otimes 3} \rightarrow \dots$$

is exact.

• Descent theory

(Part I, Comm. Alg. Version)

$f: A \rightarrow B$  ring homomorphism

$M \text{ } A\text{-mod} \rightsquigarrow M' = M \otimes_A B \text{ } B\text{-mod.}$

Can we recover  $M$  from  $M'$ ?

ANS: Under assumption that  $f: A \rightarrow B$  faithfully flat,  
we can recover  $M$  from  $M'$  together with some  
"extra data".

Why extra data?

$$0 \rightarrow M \rightarrow M \otimes_A B \rightarrow M' \otimes_A B^{\otimes 2} \rightarrow M' \otimes_A B^{\otimes 3} \rightarrow \dots$$

$\downarrow M'$        $\downarrow M' \otimes_A B$        $\downarrow M' \otimes_A B^{\otimes 2}$

$d^0: m \otimes b \mapsto m \otimes 1 \otimes b - m \otimes b \otimes 1 \Rightarrow M \cong \ker d^0.$

If we only know  $M'$  is a  $B$ -mod, but don't know

$M' = M \otimes_A B$ , then  $d^0$  can't be defined intrinsically!

That is, given  $m \otimes b$ , " $m \otimes 1$ " = ?

Geometrically:  $f: Y \rightarrow X$  faithfully flat. E.g.  $G \curvearrowright Y$  free  $X = Y/G$ .

$M$  a qcoh.  $\mathcal{O}_X$ -module on  $X$ .  $M' = f^*M$  pull-back.

Try to recover  $M$  from  $M'$ .

if  $f(y_1) = f(y_2)$ , we need to give an isom  $M'_{y_1} \xrightarrow{\sim} M'_{y_2}$

Formally,  $Y \times_X Y = \{(y_1, y_2) \in Y \mid f(y_1) = f(y_2)\}$

$$\begin{array}{ccc} & p_1 & \\ & \downarrow & \\ Y & & p_2 \end{array}$$

need to give an isom of  $\mathcal{O}_{Y \times_X Y}$ -modules

$$\varphi: p_1^* M' \xrightarrow{\sim} p_2^* M'$$

(stalks at  $(p_1, p_2)$ ):  $\mathcal{M}'_{y_1} \xrightarrow{\sim} \mathcal{M}'_{y_2}$ )

$$B \xrightarrow[\substack{P_2}]{} B \otimes_A B$$

need to give a  $B \otimes_A B$ -mod isomorphism

$$\varphi: M' \underset{B, P_1}{\otimes} (B \underset{A}{\otimes} B) \xrightarrow{\sim} M' \underset{B, P_2}{\otimes} (B \underset{A}{\otimes} B)$$

$$M' \underset{A}{\otimes} B \xrightarrow{\sim} M' \underset{A}{\otimes} B \quad (\text{different } B \underset{A}{\otimes} B\text{-module})$$

$$(b' \otimes b)(m' \otimes b_0) = b'm' \otimes bb_0$$

If  $M'$  is obtained by  $M \otimes_A B$ ,  $\varphi$  acts like

$$(m \otimes b) \otimes b' \mapsto (m \otimes b') \otimes b$$

$\Rightarrow d^0(m') = \varphi(m' \otimes 1) - m' \otimes 1$ ,  $m' \in M'$  is determined.

$M = \ker d^0$  can be recovered.

However, the isomorphism  $\varphi$  can't be given arbitrarily!

$$\text{Note that } d' : M \otimes_A B^{\otimes 2} \longrightarrow M \otimes_A B^{\otimes 3}$$

$$m \otimes b' \otimes b \mapsto m \otimes 1 \otimes b' \otimes b - m \otimes b' \otimes 1 \otimes b$$

$$+ m \otimes b' \otimes b \otimes 1$$

Write  $m' = m \otimes b'$   $\rightsquigarrow d': M' \otimes_A B \rightarrow M' \otimes_A B^{\otimes 2}$   $d'$  can be determined by  $\varphi$ .

$$d'(m' \otimes b) = \varphi(m' \otimes 1) \otimes b - m' \otimes 1 \otimes b + m' \otimes b \otimes 1, \quad m' \in M.$$

At least we require  $d'd^o = 0$ !

Geometric interpretation:  $f: Y \rightarrow X$  faithfully flat

$M$  qcoh.  $\mathcal{O}_X$ -module.  $M' = f^*M.$

For  $y_1, y_2, y_3 \in Y$  with  $f(y_1) = f(y_2) = f(y_3)$ , the diagram of "glueing isomorphisms"  $M'_{y_2}$  commutes

$$\begin{array}{ccc} M'_{y_1} & \xrightarrow{\sim} & M'_{y_3} \\ \curvearrowleft \curvearrowright & \sim & \curvearrowright \curvearrowright \end{array}$$

That is, in  $\text{Y}_X \times_X \text{Y} = \{(y_1, y_2, y_3) \in \text{Y}^3 \mid f(y_1) = f(y_2) = f(y_3)\}$ :

$$P_{13}^*(\varphi) = P_{23}^*(\varphi) \circ P_{12}^*(\varphi)$$

$$\begin{array}{ccccc} \text{Y} & \times_X & \text{Y} & \times_X & \text{Y} \\ p_{13}: (y_1, y_2, y_3) & \mapsto & (y_1, y_3) & & \\ & \searrow & \downarrow & \swarrow & \\ & & \text{Y} & \times_X & \text{Y} \\ & & p_{23}: (y_1, y_2, y_3) & \mapsto & (y_2, y_3) \\ & & \downarrow & & \\ & & p_{12}: (y_1, y_2, y_3) & \mapsto & (y_1, y_2) \\ & & \swarrow & & \\ & & \varphi: p_1^* M' & \xrightarrow{\sim} & p_2^* M' \end{array}$$

Fact. if  $\varphi: M' \underset{B, p_1}{\otimes} (B \underset{A}{\otimes} B) \xrightarrow{\sim} M' \underset{B, p_2}{\otimes} (B \underset{A}{\otimes} B)$

$B \underset{A}{\otimes} B$ -module isomorphism

satisfies

$$\varphi \underset{p_{13}}{\otimes} B^{\otimes 3} = (\varphi \underset{p_{23}}{\otimes} B^{\otimes 3}) \circ (\varphi \underset{p_{12}}{\otimes} B^{\otimes 3}),$$

$$M = \ker d^0 = \{m' \in M' \mid \varphi(m' \otimes 1) - m' \otimes 1 = 0\}$$

then  $M \underset{A}{\otimes} B \longrightarrow M'$  is an isomorphism.

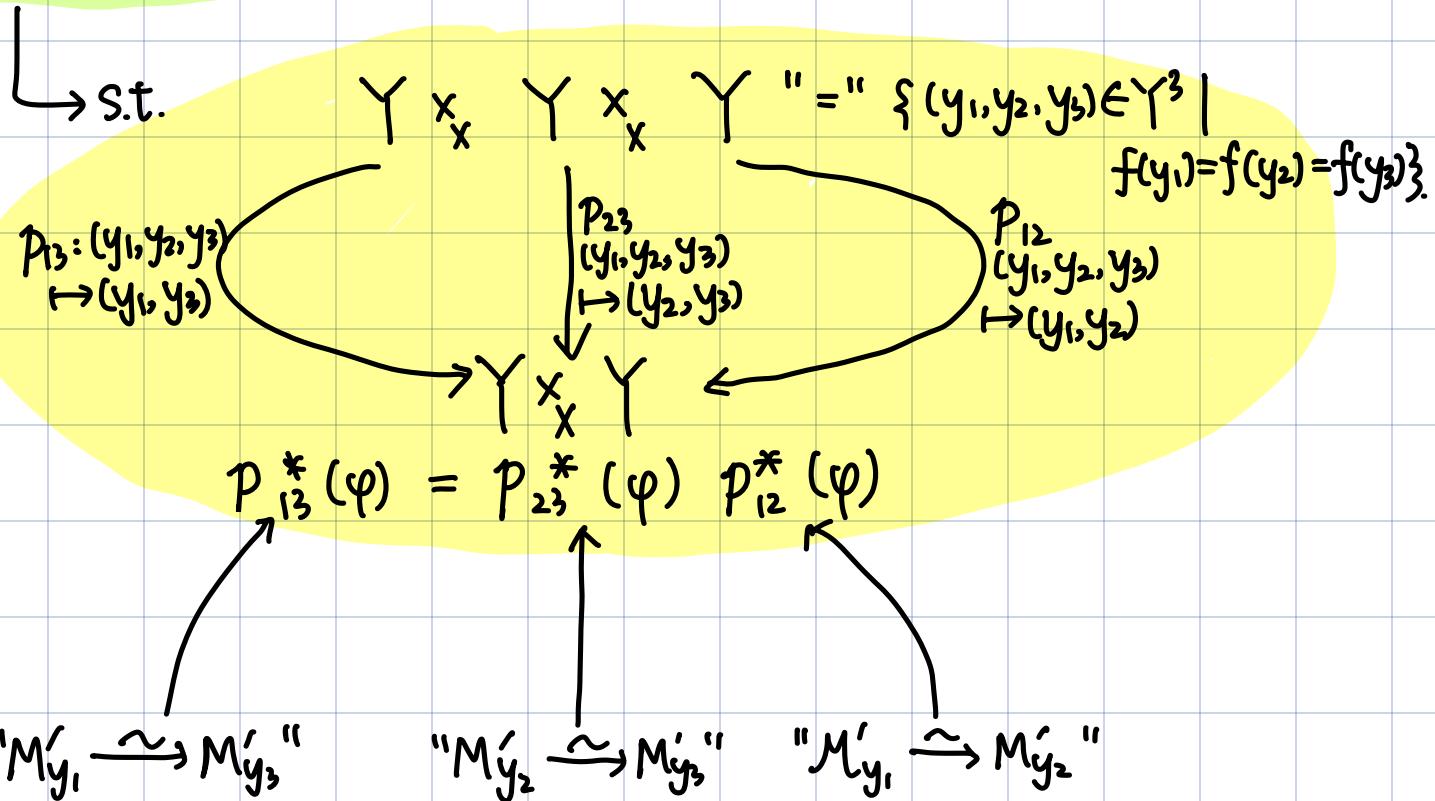
$m \otimes b \mapsto bm$   $M$  can be recovered from  $(M', \varphi)$ .

### (Part I, Geometric Version I)

- Prop.  $f: Y \rightarrow X$  faithfully flat & quasi-compact.

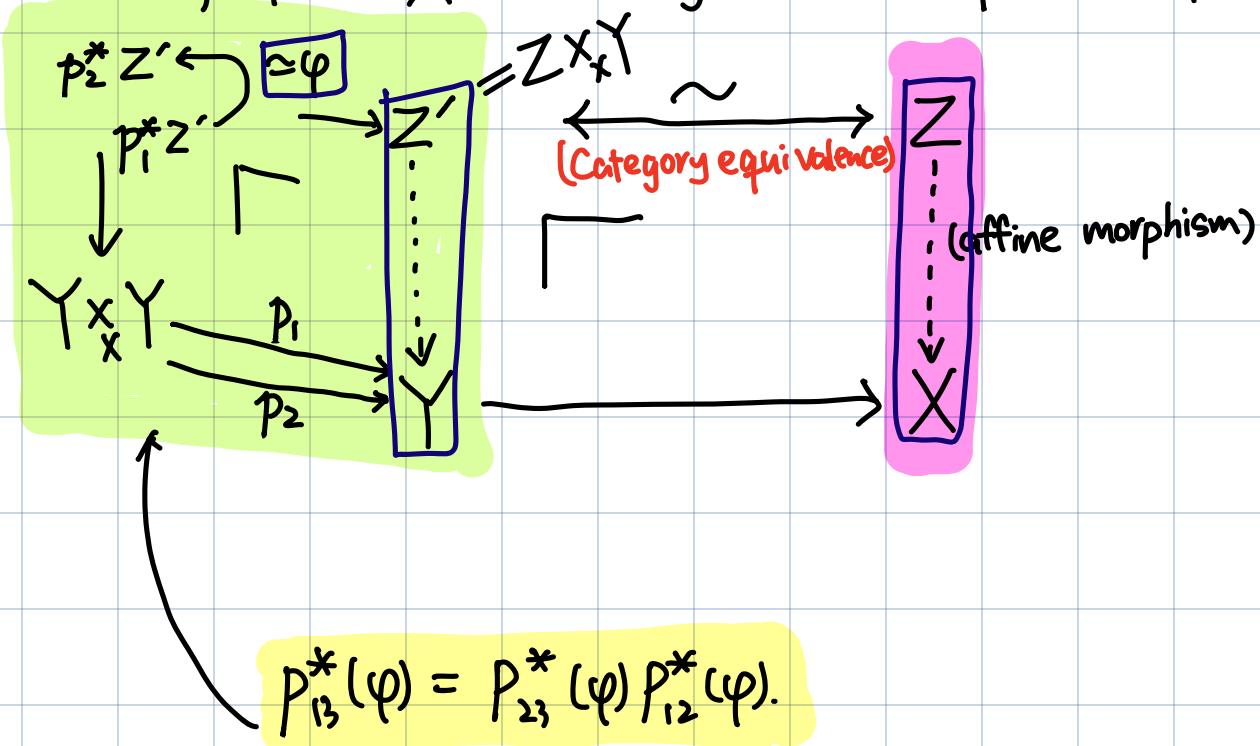
$$\begin{array}{ccccc} \varphi: p_1^* M' & \xrightarrow{\sim} & p_2^* M' & & \\ \text{Y} & \times_X & \text{Y} & \xleftarrow{\sim} & \text{qcoh. } \mathcal{O}_X\text{-module } M \\ & \searrow & \swarrow & & \\ & & p_2 & & \\ & & \text{qcoh. } M' & = & f^* M \\ & & \swarrow & & \downarrow \\ & & & & X \end{array}$$

(Category equivalence)



### (Part I, Geometric Version II)

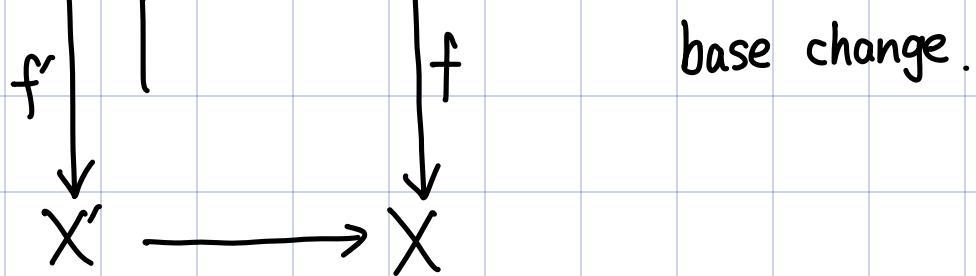
- Thm.  $f: Y \rightarrow X$  faithfully flat & quasi-compact.



Fact. There's a category equivalence

$$\{Z \rightarrow X \text{ affine}\} \xrightarrow{\sim} \{\text{qcoh. } \mathcal{O}_X\text{-algebra}\}$$

(Part II)  $Y' = \begin{matrix} Y \\ \times \\ X \end{matrix} \longrightarrow Y$



In what cases,  $[f: Y \rightarrow X]$  satisfies some properties  
 (finite, finite-type, quasi-finite, flat, étale, etc.)  $\Rightarrow [f: Y \rightarrow X]$   
 satisfies the same properties] ?

ANS. If  $X' \rightarrow X$  is faith.flat & quasi-compact  $\Rightarrow \checkmark$ .