

# Programming Language Principles

Programming Language Theory

# This Week's Topics

- Syntax, Semantics, Pragmatics
- How to define a language mathematically?
  - Formal Language Basics
  - **Backus-Naur Form (BNF)**
  - **Context-free Grammar (Syntax)**
  - **Parsing and Ambiguity**

# We already learned Grammar and Language

- $G = (V, T, S, P)$
- A grammar defines how strings (sentences) of a language can be generated.
- We can use such grammars and notations we've learned for programming languages too.
- However, in PL, there is another notation for specifying grammars of programming languages.

# Backus Naur Form

- Originally Backus Normal Form, developed by John Backus.
- After expanded and used by Peter Naur, the name was changed to ***Backus-Naur Form (BNF)*** by the suggestion of Donald Knuth.
- It is a notation technique for *context-free grammars*.

# BNF

- ***Variables (or nonterminals)***: enclosed in brackets <, >
  - <expression>, <term>, <operator>
- ***Terminal symbols***: without any marking.
  - int, void, for
- Use ::= instead of  $\rightarrow$ .
- Use '|' to represent 'or'.
  - <bool-literal> ::= true|false

# Example: Real Number

- $\langle \text{real-num} \rangle ::= \langle \text{int-part} \rangle . \langle \text{frac-part} \rangle$
- $\langle \text{int-part} \rangle ::= \langle \text{digit} \rangle | \langle \text{int-part} \rangle \langle \text{digit} \rangle$
- $\langle \text{frac-part} \rangle ::= \langle \text{digit} \rangle | \langle \text{digit} \rangle \langle \text{frac-part} \rangle$
- $\langle \text{digit} \rangle ::= 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9$
- Start nonterminal is  $\langle \text{real-num} \rangle$ .

# Left-most Derivation

- Derive the leftmost nonterminal first, if there are more than one nonterminal.
- 3.14
  - $\langle \text{real-num} \rangle \Rightarrow \langle \text{int-part} \rangle . \langle \text{frac-part} \rangle$
  - $\Rightarrow \langle \text{digit} \rangle . \langle \text{frac-part} \rangle \Rightarrow 3 . \langle \text{frac-part} \rangle$
  - $\Rightarrow 3 . \langle \text{digit} \rangle \langle \text{frac-part} \rangle \Rightarrow 3 . 1 \langle \text{frac-part} \rangle$
  - $\Rightarrow 3 . 1 \langle \text{digit} \rangle \Rightarrow 3 . 14$

# Right-most Derivation

- Let's derive  $()$
- $\langle \text{balanced} \rangle ::= (\langle \text{balanced} \rangle \langle \text{balanced} \rangle | \epsilon$
- $\langle \text{balanced} \rangle \Rightarrow (\langle \text{balanced} \rangle \langle \text{balanced} \rangle)$
- $\Rightarrow (\langle \text{balanced} \rangle)_\epsilon \Rightarrow (\langle \text{balanced} \rangle)$
- $\Rightarrow ((\langle \text{balanced} \rangle) \langle \text{balanced} \rangle) \Rightarrow ((\langle \text{balanced} \rangle)_\epsilon)$
- $\Rightarrow ((\langle \text{balanced} \rangle)) \Rightarrow ((\epsilon)) \Rightarrow ()$



# Extended BNF

- Or simply **EBNF**, has the same expressive power as BNF, but much simpler.
- { X } : repeat X 0 or more times.
  - <statements> ::= {<statement>;}
- [ X ] : X is optional. You can also use '?' like regular expression style.
  - <signed> ::= [ '-' ]<num>
  - <signed> ::= '-'?<num>

# Extended BNF

- We can also use some regular expression like notations.
  - $\ast$ :  $\langle \text{expr} \rangle ::= \langle \text{digit} \rangle | \epsilon$ 
    - $\rightarrow$   $\langle \text{expr} \rangle ::= \langle \text{digit} \rangle^\ast$
  - $+$ :  $\langle \text{expr} \rangle ::= \langle \text{digits} \rangle | \langle \text{digit} \rangle \langle \text{digits} \rangle$ 
    - $\rightarrow$   $\langle \text{expr} \rangle ::= \langle \text{digit} \rangle^+$
- $(X)$ : for grouping.
  - $\langle \text{id} \rangle ::= \langle \text{letter} \rangle | \langle \text{id} \rangle \langle \text{letter} \rangle | \langle \text{id} \rangle \langle \text{digit} \rangle$ 
    - $\rightarrow$   $\langle \text{id} \rangle ::= \langle \text{letter} \rangle (\langle \text{letter} \rangle | \langle \text{digit} \rangle)^\ast$

# Real Number Again

- In **BNF**, let's consider full spec. here.
- $\langle \text{real-num} \rangle ::= '-' \langle \text{num} \rangle | \langle \text{num} \rangle$
- $\langle \text{num} \rangle ::= \langle \text{digits} \rangle | \langle \text{digits} \rangle . \langle \text{digits} \rangle$
- $\langle \text{digits} \rangle ::= \langle \text{digit} \rangle | \langle \text{digit} \rangle \langle \text{digits} \rangle$
- $\langle \text{digit} \rangle ::= 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9$

# Real Number Again

- In **EBNF**,
- $\langle \text{real-num} \rangle ::= [\text{'-'}] \langle \text{digit} \rangle^+ [\text{'.'} \langle \text{digit} \rangle^+]$
- $\langle \text{digit} \rangle ::= 0|1|2|3|4|5|6|7|8|9$
- A lot simpler than BNF.
- Using '?' instead.
- $\langle \text{real-num} \rangle ::= \text{'-'}? \langle \text{digit} \rangle^+ (\text{'.'} \langle \text{digit} \rangle^+)?$

# Context-free Language

- $G = (V, T, S, P)$  is **context-free**, if all productions in  $P$  have the form
  - $A \rightarrow x$
- where  $A \in V, x \in (V \cup T)^*$ .
- $L$  is context-free iff. there exists a context-free grammar  $G$  such that  $L = L(G)$ .
- Meaning that ***allowing only one variable on the left side.***

# Why is it Context-Free?

- Suppose a grammar with productions contain something else on the left.
  - $xAy \rightarrow b$  :  $xAyb \Rightarrow bb$  **OK!**     $xA b \Rightarrow bb$  **Wrong!**
  - $xA \rightarrow c$  :  $xA b \Rightarrow cb$  **OK!**     $xAyb \Rightarrow cyb$  **OK!**     $yAxb \Rightarrow ycxb$  **Wrong!**
  - Each production can only be applied to a certain sequence of strings (i.e. context).
- On the other hand, we can always replace a variable when it appears during derivation with context-free grammar.
  - $A \rightarrow Ab \mid Bc$
  - $B \rightarrow Ba \mid b$
  - $\langle \text{expr} \rangle ::= \langle \text{digit} \rangle \mid \epsilon$

# Parsing

- So far, we were talking about ‘generative’ aspect of grammars.
- Given a grammar  $G$ , which set of strings can be derived by  $G$ ?
- What if we want to know that, for a given string  $s$  of terminals,
  - whether or not  $s \in L(G)$ .

# Parsing

- **Parsing** is finding a sequence of productions by which a  $w \in L(G)$  is derived.
- In other words, it answers whether  $w$  can be derived by  $G$ .
- Parse tree, top-down parsing, bottom-up parsing.



# Parse Tree

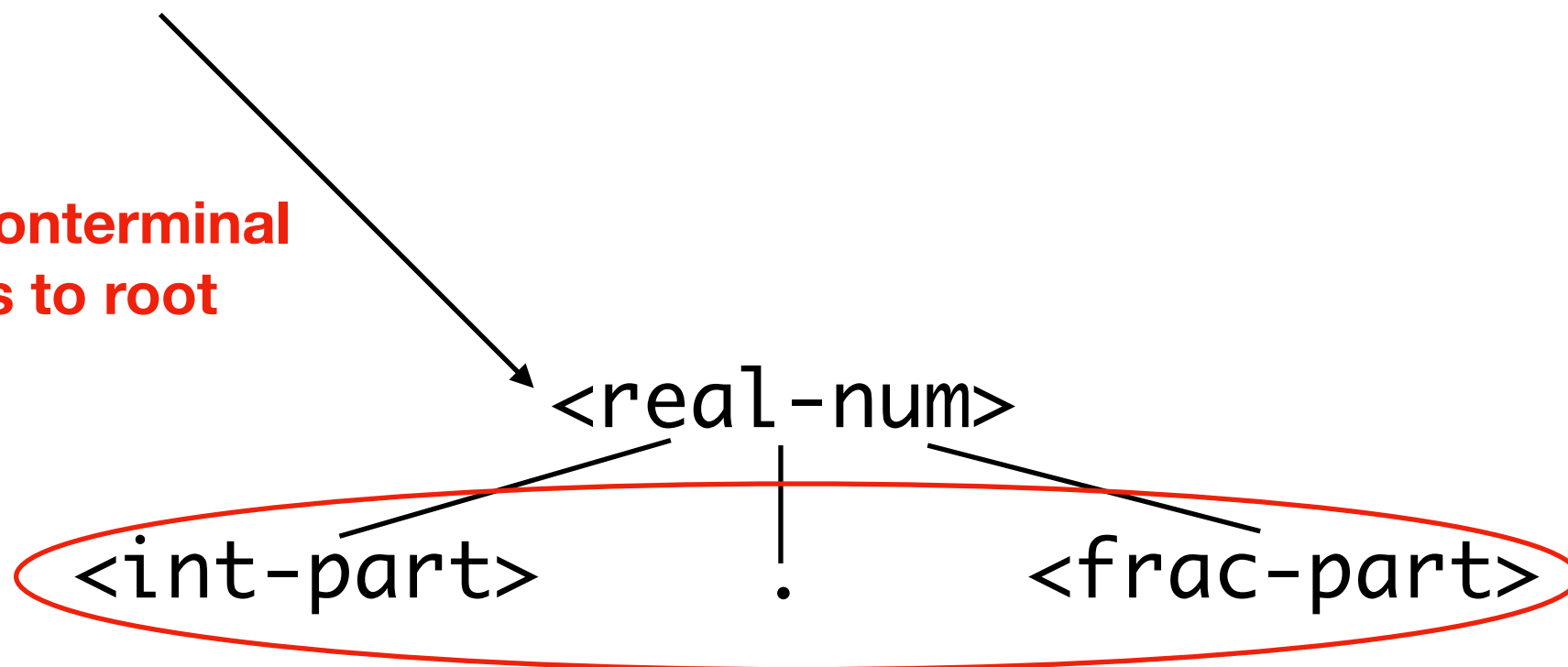
- To verify an expression (or a string) can be derived by a given BNF, we can construct a **Parse Tree**.
- A parse tree should satisfy the following conditions.
  - All terminal nodes (leaf nodes) are either terminals or  $\epsilon$ .
  - All intermediate nodes are nonterminals.
  - Each nonterminal is located on the left hand side, and the right hand side will be the nonterminal's children.
  - The root node is the start nonterminal.

# Parsing 3.14

- 3.14

- $\langle \text{real-num} \rangle \Rightarrow \langle \text{int-part} \rangle . \langle \text{frac-part} \rangle$

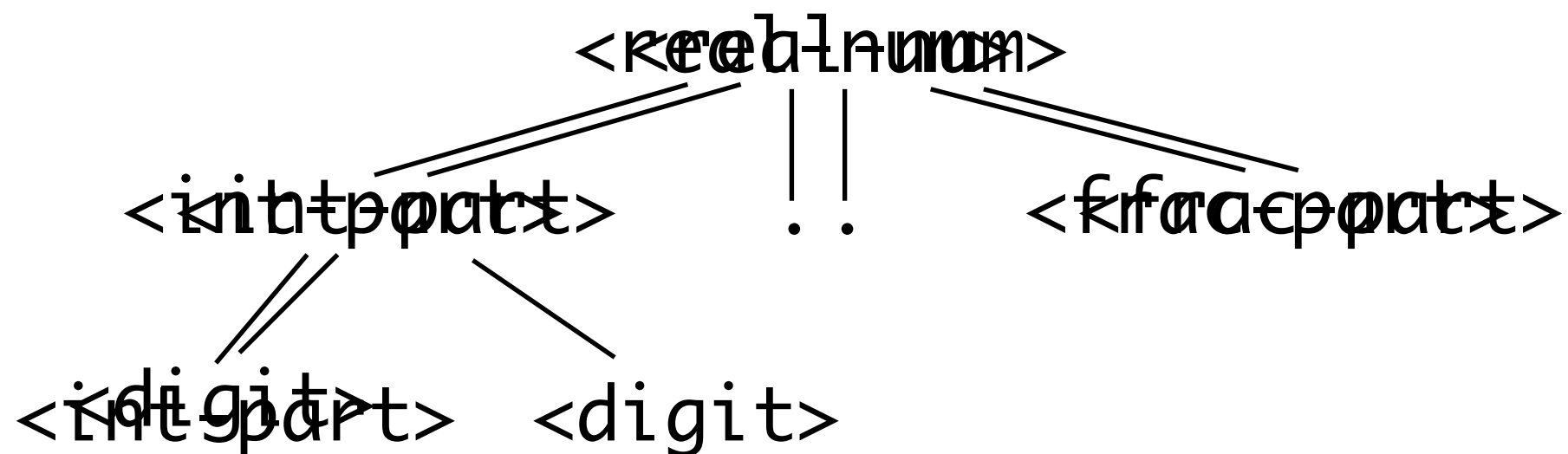
Start nonterminal  
goes to root



Right hand side  
become child nodes.

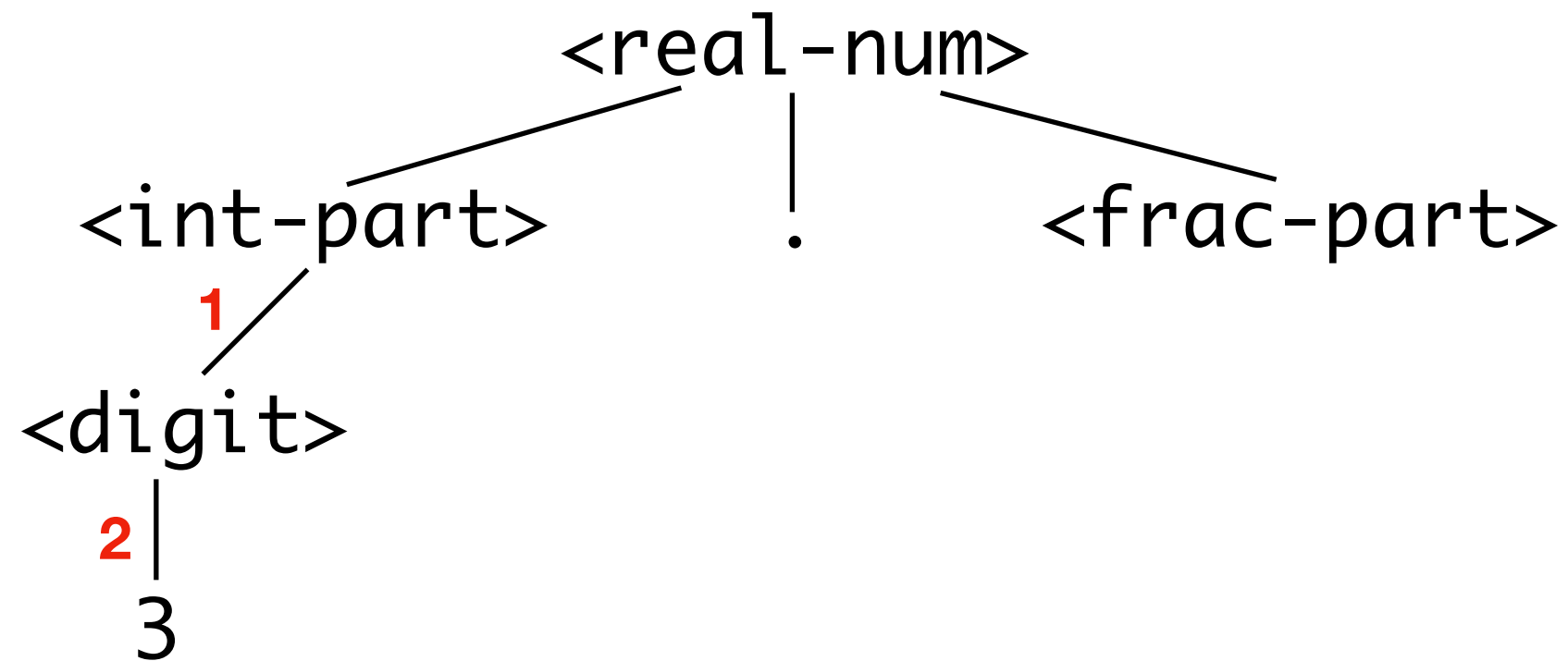
# Parsing 3.14

- $\langle \text{real-num} \rangle \Rightarrow \langle \text{int-part} \rangle . \langle \text{frac-part} \rangle$ 
    - $\Rightarrow \langle \text{digit} \rangle . \langle \text{frac-part} \rangle$  **try this**
    - $\Rightarrow \langle \text{int-part} \rangle \langle \text{digit} \rangle . \langle \text{frac-part} \rangle$  **try this**
- $\langle \text{int-part} \rangle ::= \langle \text{digit} \rangle | \langle \text{int-part} \rangle \langle \text{digit} \rangle$



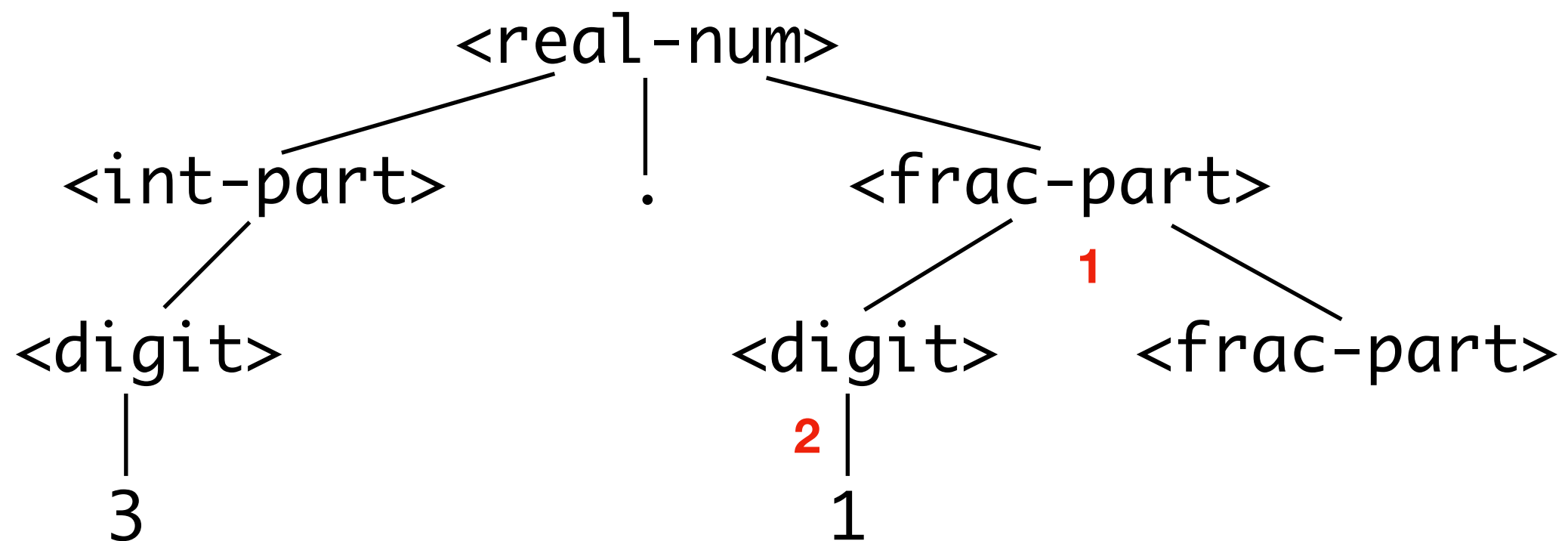
# Parsing 3.14

- $\langle \text{real-num} \rangle \Rightarrow \langle \text{int-part} \rangle . \langle \text{frac-part} \rangle$
- $\overset{1}{\Rightarrow} \langle \text{digit} \rangle . \langle \text{frac-part} \rangle \overset{2}{\Rightarrow} 3 . \langle \text{frac-part} \rangle$



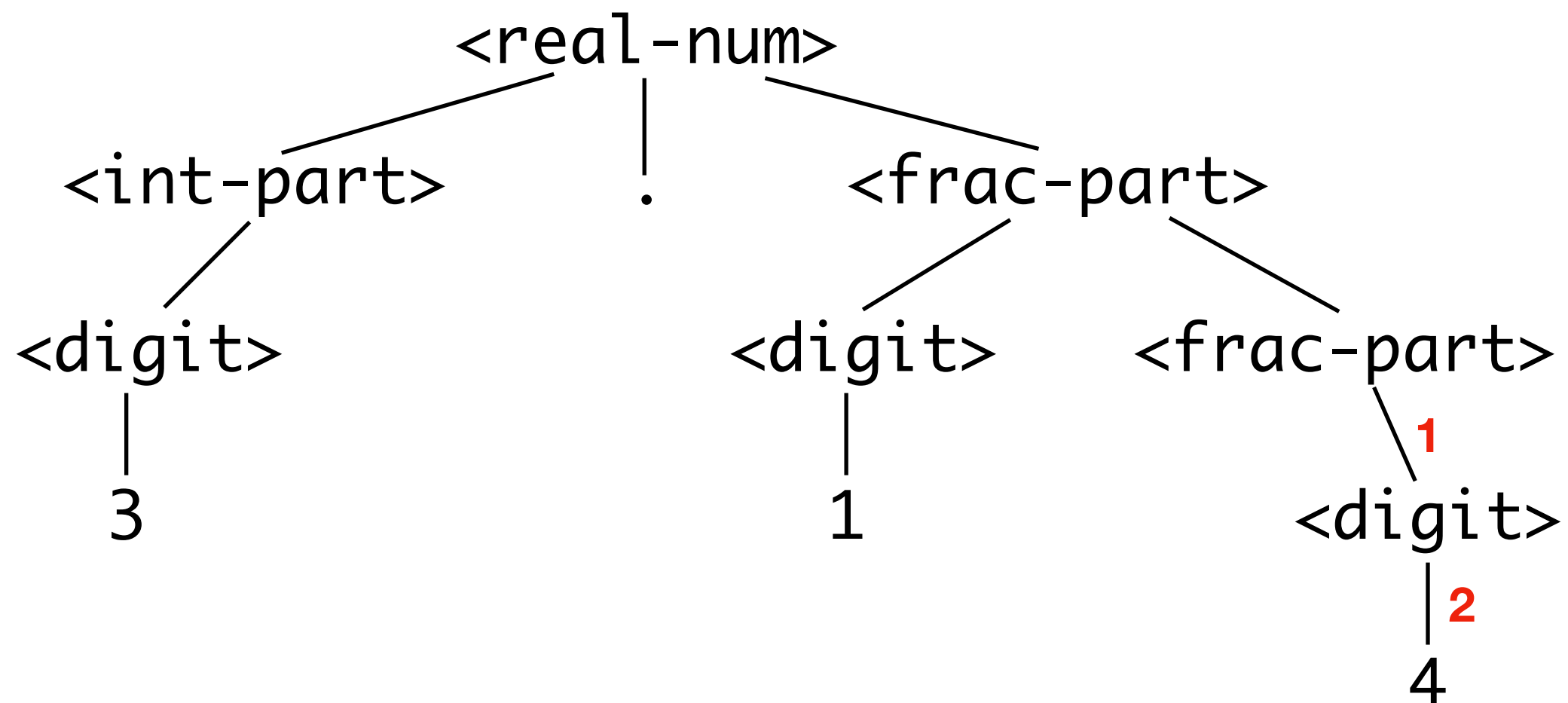
# Parsing 3.14

- 3.<frac-part>
  - <sup>1</sup>⇒ 3.<digit><frac-part> <sup>2</sup>⇒ 3.1<frac-part>



# Parsing 3.14

- 3.1<frac-part>
  - <sup>1</sup>⇒ 3.1<digit> <sup>2</sup>⇒ 3.14



# Top-down Parsing

- **Top-down parsing** starts from the start nonterminal (i.e., root).
- For each round of parsing, *it checks all possible productions* to be applied to nonterminals.
- Hence it is also called **exhaustive search parsing**.
- $\langle \text{int-part} \rangle ::= \langle \text{digit} \rangle | \langle \text{int-part} \rangle \langle \text{digit} \rangle$ 
  - $\langle \text{int-part} \rangle . \langle \text{frac-part} \rangle \Rightarrow \langle \text{digit} \rangle . \langle \text{frac-part} \rangle$
  - $\langle \text{int-part} \rangle . \langle \text{frac-part} \rangle \Rightarrow \langle \text{int-part} \rangle \langle \text{digit} \rangle . \langle \text{frac-part} \rangle$

# Flaws in Top-down Parsing

- It's very tedious.
  - We have to verify every possible productions for each step, until we find the target expression.
  - This is not efficient way of parsing.
- It doesn't terminate, if a given string **w** is not in  $L(G)$ .
  - In other words, if **w** cannot be derived by given BNF, parsing will never end.

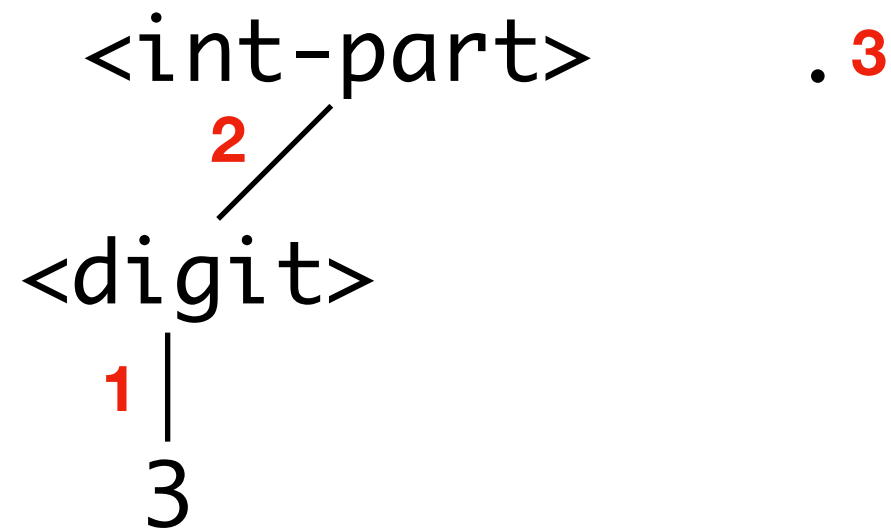


# Bottom-up Parsing

- Conversely, we can ***reduce terminals*** of given string  $w$  to a nonterminal using BNF.
  - e.g.)  $3.14 \Rightarrow \langle \text{digit} \rangle .14$
- Usually it reads the input text from left to right, and finds nonterminal to replace terminals in the text.

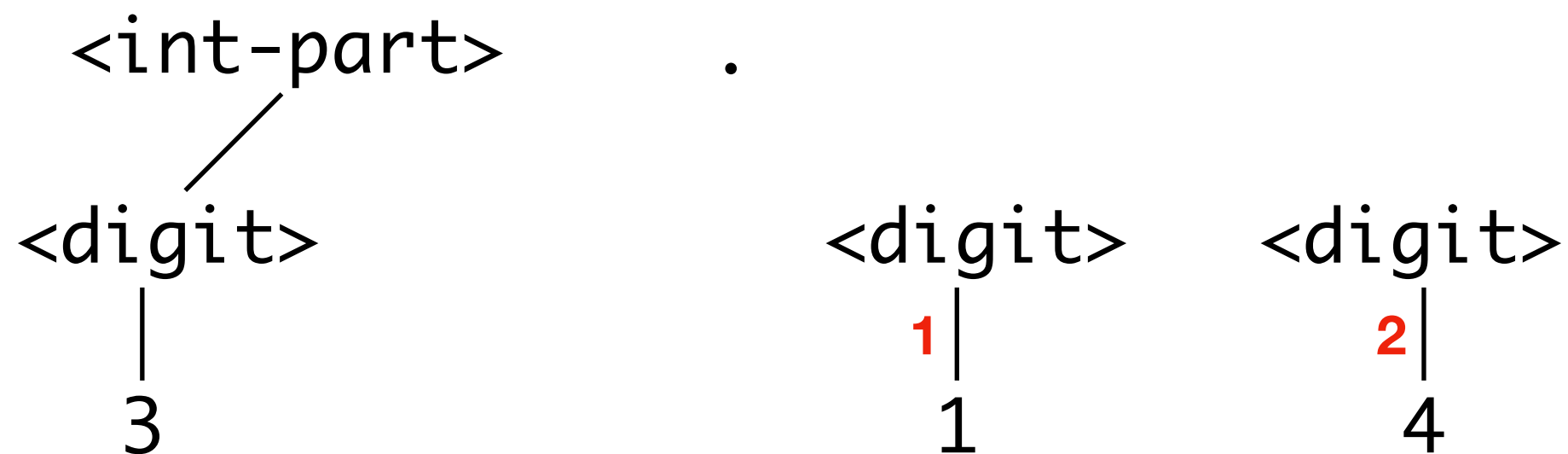
# Parsing 3.14

- 3.14
  - $\overset{1}{\Leftarrow} \langle \text{digit} \rangle .14 \overset{2}{\Leftarrow} \langle \text{int-part} \rangle .14 \overset{3}{\Leftarrow} \langle \text{int-part} \rangle .14$   
?



# Parsing 3.14

- `<int-part>.14`
  - <sup>1</sup> $\Leftarrow$  `<int-part>.<digit>4`  
?
  - <sup>2</sup> $\Leftarrow$  `<int-part>.<digit><digit>`

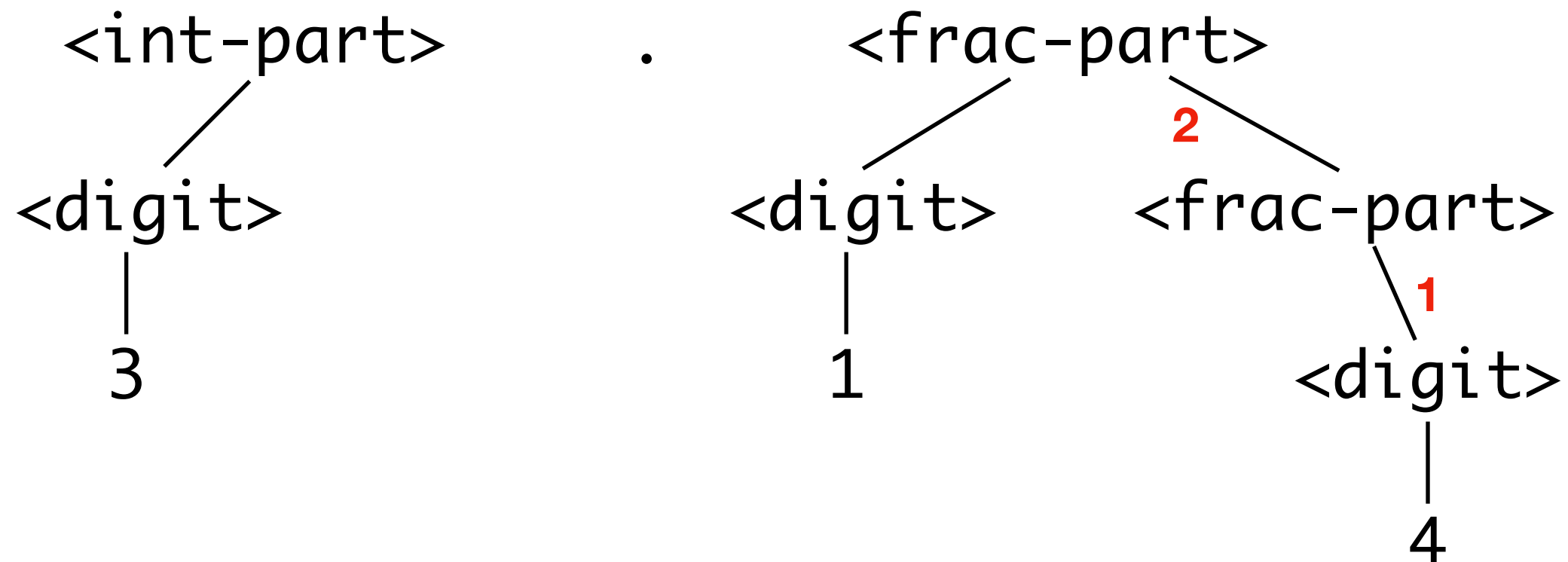


# Parsing 3.14

- $\langle \text{int-part} \rangle . \langle \text{digit} \rangle \langle \text{digit} \rangle$

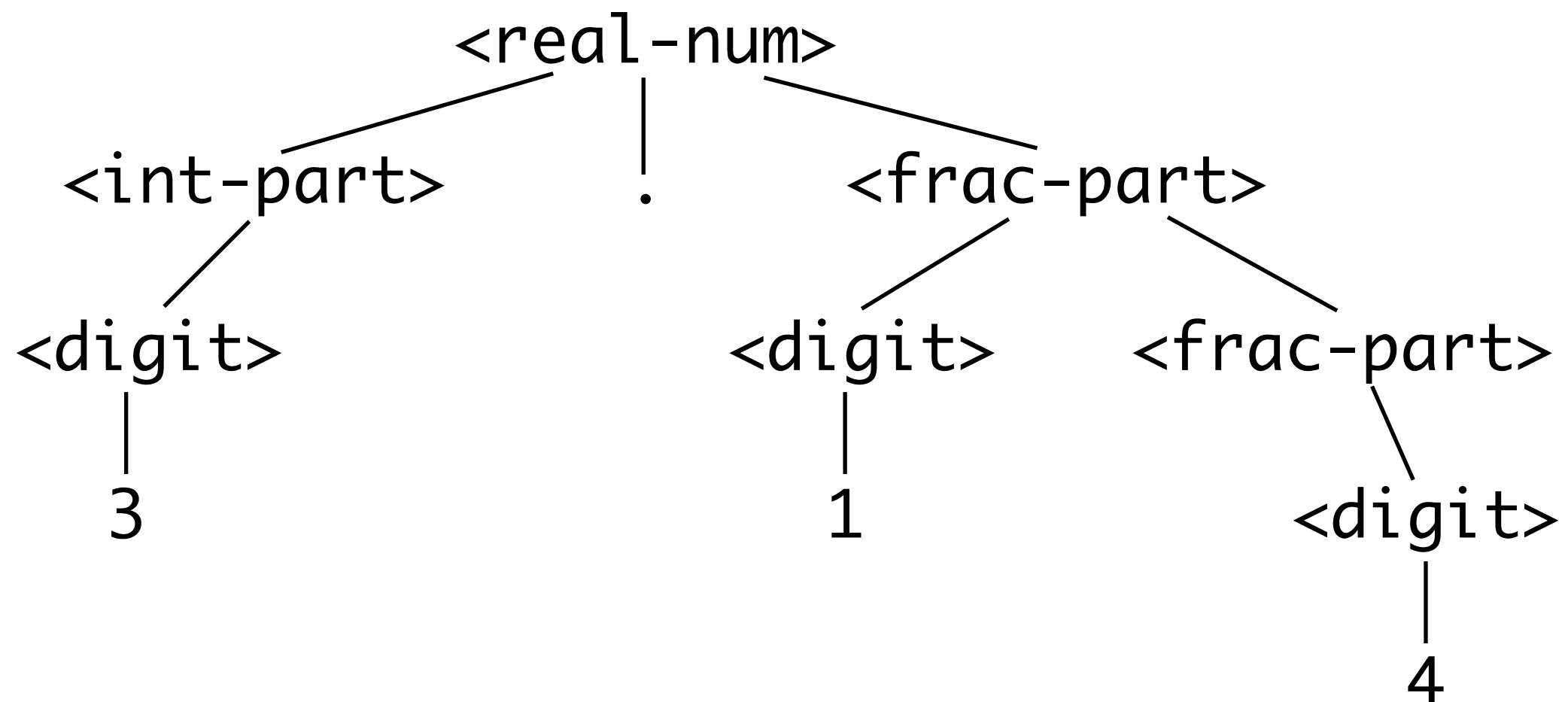
- <sup>1</sup> $\Leftarrow \langle \text{int-part} \rangle . \langle \text{digit} \rangle \langle \text{frac-part} \rangle$
- $\langle \text{frac-part} \rangle ::=$   
 $\langle \text{digit} \rangle \mid \langle \text{digit} \rangle \langle \text{frac-part} \rangle$

- <sup>2</sup> $\Leftarrow \langle \text{int-part} \rangle . \langle \text{frac-part} \rangle$



# Parsing 3.14

- $\langle \text{int-part} \rangle . \langle \text{frac-part} \rangle \Leftarrow \langle \text{real-num} \rangle$



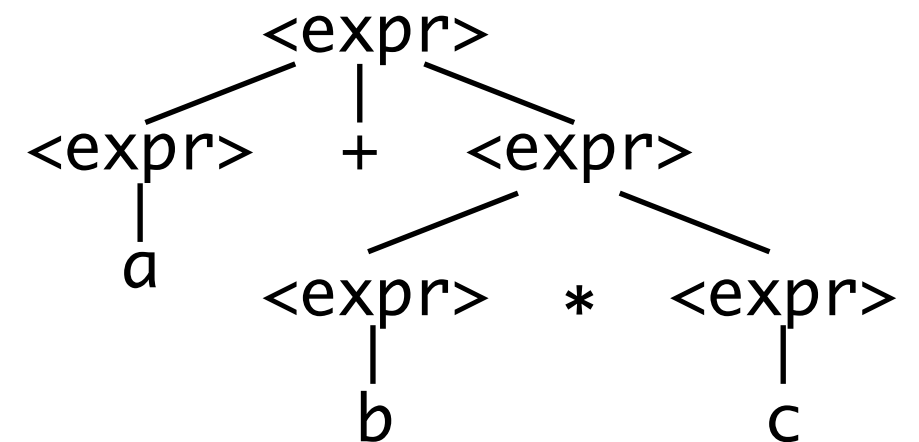
# Ambiguity

- If there exist more than one production, which one should be applied?
- For  $\langle \text{digit} \rangle . 14$ , we can reduce  $\langle \text{digit} \rangle$  into two different nonterminals.
- $\langle \text{int-part} \rangle ::= \langle \text{digit} \rangle | \langle \text{int-part} \rangle \langle \text{digit} \rangle$
- $\langle \text{frac-part} \rangle ::= \langle \text{digit} \rangle | \langle \text{digit} \rangle \langle \text{frac-part} \rangle$
- For  $\langle \text{int-part} \rangle . \langle \text{digit} \rangle 4$ , we can reduce  $\langle \text{digit} \rangle$  further, or just move onto the next.

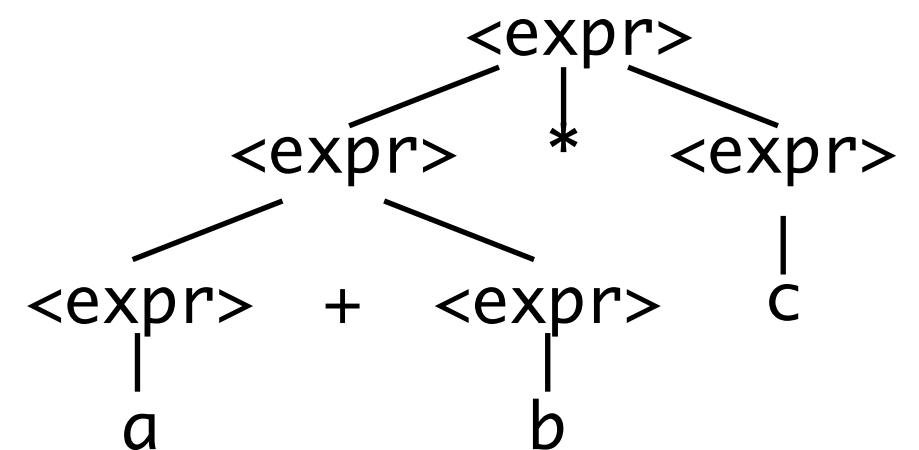
# Ambiguity

- Let's consider another example.
- $\langle \text{expr} \rangle ::= \langle \text{expr} \rangle + \langle \text{expr} \rangle$   
                  |  $\langle \text{expr} \rangle * \langle \text{expr} \rangle$   
                  | a | b | c
- Suppose we're parsing a + b \* c
- Whether we apply  $\langle \text{expr} \rangle + \langle \text{expr} \rangle$  or  $\langle \text{expr} \rangle * \langle \text{expr} \rangle$  first, there could be two possible parse trees.

$\langle \text{expr} \rangle \Rightarrow \langle \text{expr} \rangle + \langle \text{expr} \rangle$



$\langle \text{expr} \rangle \Rightarrow \langle \text{expr} \rangle * \langle \text{expr} \rangle$



# Ambiguity

- Grammar itself has ambiguity.
- For an input, there are more than one interpretation.
- If a PL has more than one parse tree for the same input, we call the PL is '*ambiguous*'.
- For the previous example, we might use operator precedences.
  - This is *not syntax, but semantics*.
- It is necessary to design syntax carefully, so that *syntactically correct statement is also semantically correct*.



# To Resolve Ambiguity

- One way to resolve ambiguity is to rewrite the grammar.
- Think about the  $a + b * c$  example again.
- $$\begin{aligned} \langle \text{expr} \rangle &::= \langle \text{expr} \rangle + \langle \text{expr} \rangle \\ &\quad | \langle \text{expr} \rangle * \langle \text{expr} \rangle \\ &\quad | a \mid b \mid c \end{aligned}$$
- We know that we have two parse trees for the expression, based on which operator (+, \*) is considered first.

# To Resolve Ambiguity

- We can introduce new nonterminals.
- $\langle \text{expr} \rangle ::= \langle \text{expr} \rangle + \langle \text{expr}^* \rangle \mid \langle \text{expr}^* \rangle$   
 $\langle \text{expr}^* \rangle ::= \langle \text{expr}^* \rangle * \langle \text{var} \rangle \mid \langle \text{var} \rangle$   
 $\langle \text{var} \rangle ::= a \mid b \mid c$
- This example is not that difficult to resolve the ambiguity.
- But usually it is very hard to tell whether a grammar has ambiguity or not, and also to resolve it.

# Summary

- BNF
- Context-free Grammar
- Parsing and Ambiguity