

Mid-term Summary

Programming Language Theory

Mid-term

- **Schedule: 10/21 Wed 10AM~12PM (2 hours).**
- All Questions in English.
 - We have 2 hours considering language difficulties.
- Closed Book - No dictionaries too.
- You can answer the questions in either English or Korean, or both.
- Basically the same as Assignment 1.

Mid-term Questions

- Some questions ask you to fill in blanks.

- Similar to Assignment1 - Q1.

state	input symbol		
	1	+	#
q_0	$(q_0, 1, R)$		(a)
q_1	(b)		
q_2			(c)
q_3			

- What is the transition function for (a), (b), (c)?
- Other questions make you to complete the equations or code or definitions.
- You may need to expect the output of given code and explain the reasons.

Topics

- **BNF**
- **Parsing**
- **Static Memory Management**
- **Dynamic Memory Managements**
- **Control Flow and Recursion**

Backus Naur Form (BNF)

- It is a notation technique for ***context-free grammars***.
- Basically, it is a different notation of production rule we've learned.
- Grammar $G = (V, T, S, P)$
 - *Variables (V) - Nonterminals* (e.g. $\langle \text{expr} \rangle$)
 - *Terminal Symbols (T) - Terminals* (e.g. num, 1, 2, '-')
 - *Production Rule (P)*
 - $S \rightarrow Ab, A \rightarrow Sa, A \rightarrow b$
 - $\langle S \rangle ::= \langle A \rangle b, \langle A \rangle ::= \langle S \rangle a, \langle A \rangle ::= b$

Production Rules

- We can derive strings with BNF just like grammar.
- $\langle S \rangle ::= \langle A \rangle b, \langle A \rangle ::= \langle S \rangle a, \langle A \rangle ::= b$
- But, how about the Start Symbol?
- Unlike grammars in formal language, BNF notations of syntax are often missing start symbols.

In Practice

- Sometimes it doesn't even follow the specific conventions of notations.
- No '|' for choice, no '<','>' for nonterminals, they even omit '::=' symbol.
- Still we can get the idea.
- $\langle \text{members} \rangle ::=$
 $\langle \text{member} \rangle$ |
 $\langle \text{member} \rangle$ ', ' $\langle \text{members} \rangle$

```
json
  element

value
  object
  array
  string
  number
  "true"
  "false"
  "null"

object
  '{' ws '}'
  '{' members '}'

members
  member
  member ', ' members

member
  ws string ws ':' element
```

In Practice

- If we want to derive or check the whole JSON document,
 - start with `<json>`.
- If we just want to what is the object in JSON,
 - check `<object>` and related ones.
- Hence start symbol is often implicit and not directly mentioned.

```
json
  element

value
  object
  array
  string
  number
  "true"
  "false"
  "null"

object
  '{' ws '}'
  '{' members '}'

members
  member
  member ' , ' members

member
  ws string ws ' : ' element
```


In Practice

- Quotations - Just need to use them for clarification.
 - Symbols used for notations ($::=$, $|$, $<$, $>$) should be enclosed in quotations if they're used as terminal symbols.
- There exist many variants in BNF and EBNF - Don't need to be stuck with minor details.
- Still don't mess with $\{ \}$, $[]$, $+$, $*$, etc, which actually changes the meaning.

```
json
  element

value
  object
  array
  string
  number
  "true"
  "false"
  "null"

object
  '{' ws '}'
  '{' members '}'

members
  member
  member ',' members

member
  ws string ws ':' element
```

Derivation

- Starts from a nonterminal on the left, keep replacing nonterminals on the right until everything is terminal.
- e.g.) Derive / + a b c
- $\langle \text{prefix} \rangle ::= \langle \text{op} \rangle \langle \text{prefix} \rangle \langle \text{prefix} \rangle \mid \langle \text{var} \rangle$
 $\langle \text{var} \rangle ::= \text{"a"} \mid \text{"b"} \mid \text{"c"}$
 $\langle \text{op} \rangle ::= \text{"/" } \mid \text{"+"}$
- Start from $\langle \text{prefix} \rangle$, keep replacing the leftmost or the rightmost ***nonterminals***.

Derivation

- For derivation, which expression to choose is up to you.
 - e.g.) $\langle op \rangle$ can be replaced with "/" or "+" . Choose the one which can derive the given string.
- One string can be derived by more than one derivation steps.
- Bad choice may lead to wrong derivation.
 - $+ a b$ cannot be generated if $\langle op \rangle \langle prefix \rangle \langle prefix \rangle \Rightarrow / \langle prefix \rangle \langle prefix \rangle$.
- If there exists any way to derive a string, the string is syntactically correct for the BNF.

Derivation

- Leftmost Derivation of $/ + a b c$
 $\langle \text{prefix} \rangle ::= \langle \text{op} \rangle \langle \text{prefix} \rangle \langle \text{prefix} \rangle | \langle \text{var} \rangle$
 $\langle \text{var} \rangle ::= "a" | "b" | "c"$
 $\langle \text{op} \rangle ::= "/" | "+"$
- $\langle \text{prefix} \rangle \Rightarrow$
 $\langle \text{op} \rangle \langle \text{prefix} \rangle \langle \text{prefix} \rangle \Rightarrow$
 $/ \langle \text{prefix} \rangle \langle \text{prefix} \rangle \Rightarrow$
 $/ \langle \text{op} \rangle \langle \text{prefix} \rangle \langle \text{prefix} \rangle \langle \text{prefix} \rangle \Rightarrow$
 $/ + \langle \text{prefix} \rangle \langle \text{prefix} \rangle \langle \text{prefix} \rangle \Rightarrow$
 $/ + \langle \text{var} \rangle \langle \text{prefix} \rangle \langle \text{prefix} \rangle \Rightarrow$
 $/ + a \langle \text{prefix} \rangle \langle \text{prefix} \rangle \Rightarrow^*$
 $/ + a b \langle \text{prefix} \rangle \Rightarrow^*$
 $/ + a b c$

EBNF Notations

- $\{ X \}$: repeat X 0 or more times.
- $\langle \text{statements} \rangle ::= \{ \langle \text{statement} \rangle ; \}$
- $\langle \text{statements} \rangle \Rightarrow^* \langle \text{statement} \rangle ; \dots$
 $\langle \text{statement} \rangle ;$
- $\langle \text{statements} \rangle \Rightarrow^* \langle \text{statement} \rangle ;$
- $\langle \text{statements} \rangle \Rightarrow^* \epsilon$

EBNF Notations

- $[X]$: X is optional. You can also use '?' like regular expression style.
- $\langle \text{signed} \rangle ::= [' -'] \langle \text{num} \rangle$
- $\langle \text{signed} \rangle ::= ' -'? \langle \text{num} \rangle$
- $\langle \text{num} \rangle ::= 1|2|3|4$
- $\langle \text{signed} \rangle \Rightarrow^* 1 \quad \langle \text{signed} \rangle \Rightarrow^* -1$
 $\langle \text{signed} \rangle \Rightarrow^* 2 \quad \langle \text{signed} \rangle \Rightarrow^* -4$

EBNF Notations

- $\langle \text{digits} \rangle ::= \langle \text{digit} \rangle^*$ repeat 0 or more
 - $\langle \text{digits} \rangle ::= \{ \langle \text{digit} \rangle \}$
 - $\langle \text{digits} \rangle \Rightarrow^* \epsilon$
 - $\langle \text{digits} \rangle \Rightarrow^* \langle \text{digit} \rangle \langle \text{digit} \rangle \dots \langle \text{digit} \rangle$
- $\langle \text{digits} \rangle ::= \langle \text{digit} \rangle^+$ repeat at least once
 - $\langle \text{digits} \rangle ::= \langle \text{digit} \rangle \{ \langle \text{digit} \rangle \}$

EBNF Notations

- (X) : for grouping. Symbols are applied to the whole grouped terminals, nonterminals.
 - $\langle \text{nums} \rangle ::= (+|-)^* \langle \text{num} \rangle (, \langle \text{num} \rangle)^+$
 - +, - are repeated 0 or more times.
 - For every repetition, we can choose + or -.
 - e.g.) $++--+-\langle \text{num} \rangle, \langle \text{num} \rangle, \langle \text{num} \rangle$
 - After one $\langle \text{num} \rangle$, " , $\langle \text{num} \rangle$ " will be added at least once, or more.
 - e.g.) $+\langle \text{num} \rangle, \langle \text{num} \rangle$ or $\langle \text{num} \rangle, \langle \text{num} \rangle$ or $\langle \text{num} \rangle, \langle \text{num} \rangle, \langle \text{num} \rangle \dots$

Parsing

- When we were talked about derivation of a string based on a grammar or BNF,
- We simply choose one of the production rules which might derive the given string.
- e.g.) $/ + a \langle \text{var} \rangle \langle \text{var} \rangle \Rightarrow^* / + a b c$ **Selected b, c here.**
- Then how does a compiler make such decisions?
- Top-down, Bottom-up Parsing.

Top-down Parsing

- **Top-down parsing** starts from the start nonterminal (i.e., root).
- For each round of parsing, *it checks all possible productions* to be applied to nonterminals.
- Hence it is also called **exhaustive search parsing**.
- $\langle \text{int-part} \rangle ::= \langle \text{digit} \rangle | \langle \text{int-part} \rangle \langle \text{digit} \rangle$
 - Derive 3.14
 - $\langle \text{int-part} \rangle . \langle \text{frac-part} \rangle \Rightarrow \langle \text{digit} \rangle . \langle \text{frac-part} \rangle$
 - $\langle \text{int-part} \rangle . \langle \text{frac-part} \rangle$
 $\Rightarrow \langle \text{int-part} \rangle \langle \text{digit} \rangle . \langle \text{frac-part} \rangle$

Flaws in Top-down Parsing

- It's very tedious.
 - We simply ask the compiler to try every possibility until it finds the right one.
 - This is not efficient way of parsing.
- Non-termination.
 - If a given string cannot be derived by given BNF, parsing will never end.

Bottom-up Parsing

- Conversely, we can ***reduce terminals*** of given string w to a nonterminal using BNF.
 - e.g.) $3.14 \Rightarrow \langle \text{digit} \rangle .14$
- Usually it reads the input text from left to right, and finds nonterminal to replace terminals in the text.
 - This is the instruction for the compiler.

Ambiguity

- If there exist more than one production, which one should be applied?
- For $\langle \text{digit} \rangle . 14$, we can reduce $\langle \text{digit} \rangle$ into two different nonterminals.
- $\langle \text{int-part} \rangle ::= \langle \text{digit} \rangle | \langle \text{int-part} \rangle \langle \text{digit} \rangle$
- $\langle \text{frac-part} \rangle ::= \langle \text{digit} \rangle | \langle \text{digit} \rangle \langle \text{frac-part} \rangle$
- For $\langle \text{int-part} \rangle . \langle \text{digit} \rangle 4$, we can reduce $\langle \text{digit} \rangle$ further, or just move onto the next.

To Resolve Ambiguity

- One way to resolve ambiguity is to rewrite the grammar.
- Think about the $a + b * c$ example again.
- $$\begin{aligned} \langle \text{expr} \rangle &::= \langle \text{expr} \rangle + \langle \text{expr} \rangle \\ &\quad | \langle \text{expr} \rangle * \langle \text{expr} \rangle \\ &\quad | a \mid b \mid c \end{aligned}$$
- We know that we have two parse trees for the expression, based on which operator (+, *) is considered first.

To Resolve Ambiguity

- We can introduce new nonterminals.
- $\langle \text{expr} \rangle ::= \langle \text{expr} \rangle + \langle \text{expr}^* \rangle \mid \langle \text{expr}^* \rangle$
 $\langle \text{expr}^* \rangle ::= \langle \text{expr}^* \rangle * \langle \text{var} \rangle \mid \langle \text{var} \rangle$
 $\langle \text{var} \rangle ::= a \mid b \mid c$
- This example is not that difficult to resolve the ambiguity.
- But usually it is very hard to tell whether a grammar has ambiguity or not, and also to resolve it.

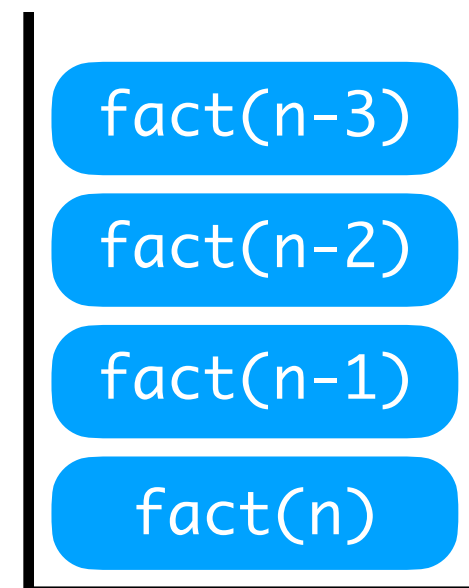
Static Management

- Static memory management is performed by the compiler, before program execution.
- Statically allocated objects are located in a fixed zone of memory.
- These objects stay in there for the entire program execution.
- Global variables, object code, constants, compiler generated tables.

With Recursion

- For each call, a new activation record for the same function fact() is pushed to the stack.
- In each activation record, ***the same parameter n will have different values.***
- The value of n can be decided and changed during runtime.

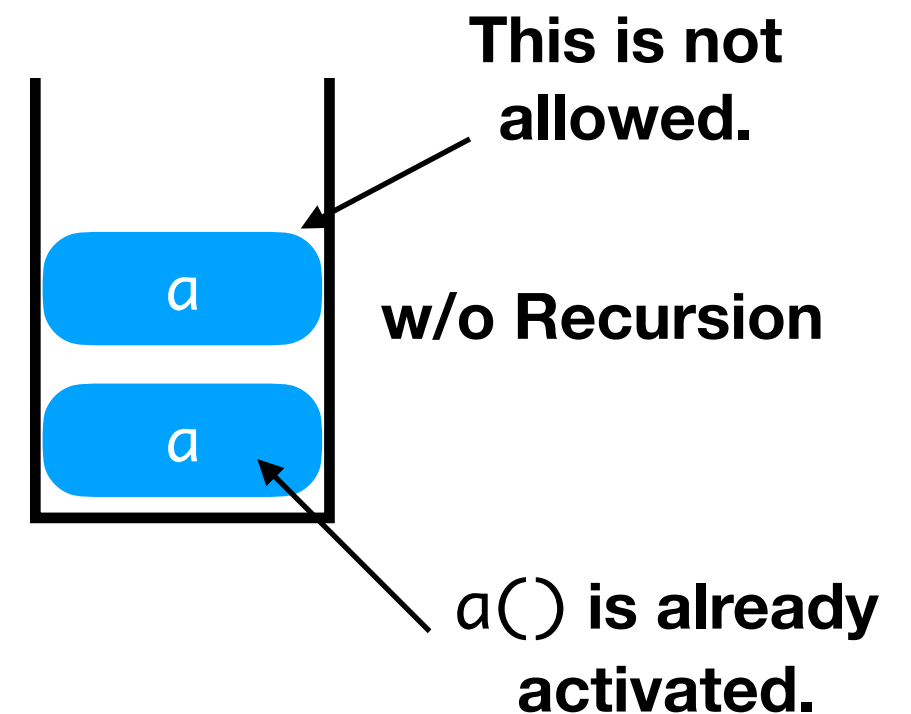
```
int fact(int n) {  
    if(n == 1)  
        return 1;  
    else  
        return n*fact(n-1);  
}
```



Without Recursion

- Without recursion, more than one activation record of the same function cannot be in the stack at the same time.
- Hence it's possible to handle other components of PL statically.
- if `a()` declares a local variable, we only need one memory location to store its value → it can be loaded statistically.

```
a(){  
  b();  
  a();  
}
```



Summary

- BNF
- Parsing
- Static Memory Management