

# LSTMs

Learning to forget

Devansh Kukreja and Eric Nie



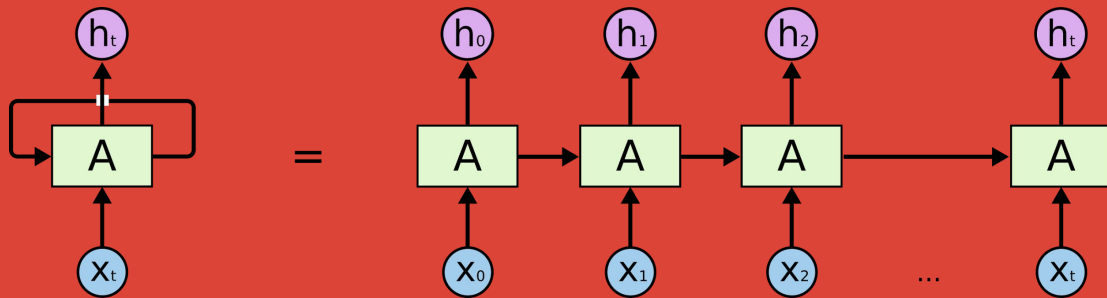
# Background/Motivation

- State-of-the-art in sequence learning
- Address the issue of remembering information
- Useful for analyzing sequential data
- Cells within RNNs and LSTMs maintain an internal state

# Recurrent Neural Networks

(A Brief Introduction)

# Intro

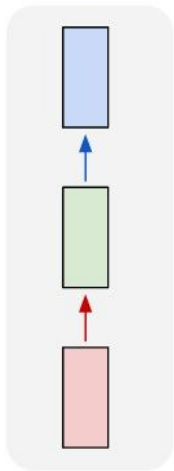


## Recurrent Neural Networks

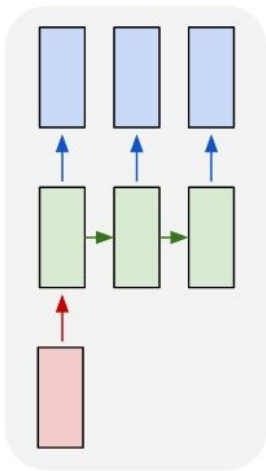
- Internal states
- Sequences of inputs and outputs
- Share weights across timesteps

# More RNNs

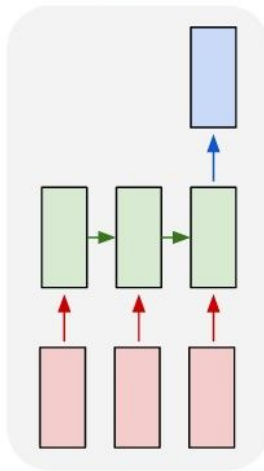
one to one



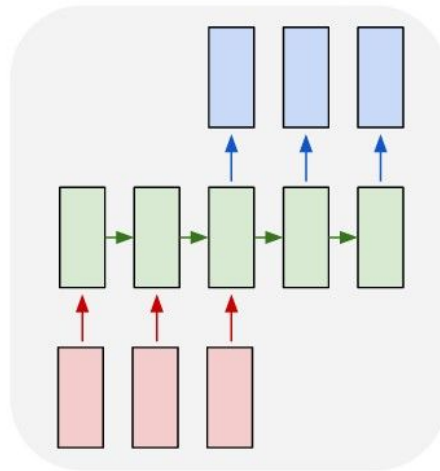
one to many



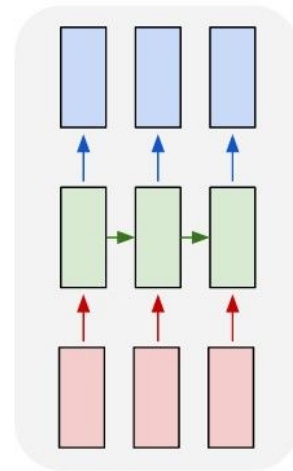
many to one



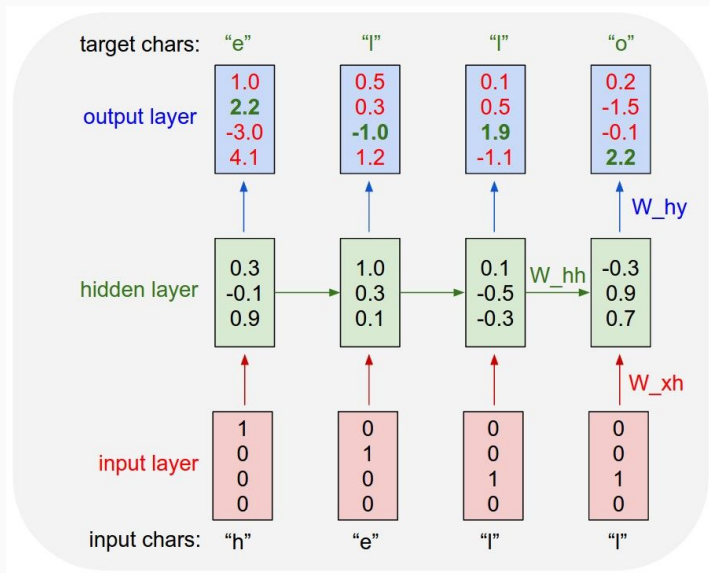
many to many



many to many



# Character-level RNN



- Predict the next character given input
- One-hot encoding

# What can an RNN do?

Generate Text: Linux source code

```
/*
 * Increment the size file of the new incorrect UI_FILTER group information
 * of the size generatively.
 */
static int indicate_policy(void)
{
    int error;
    if (fd == MARN_EPT) {
        /*
         * The kernel blank will coold it to userspace.
         */
        if (ss->segment < mem_total)
            unblock_graph_and_set_blocked();
        else
            ret = 1;
        goto ball;
    }
    segaddr = in_sb(in.addr);
    selector = seg / 16;
    setup_works = true;
    for (i = 0; i < blocks; i++) {
        seq = buf[i+1];
        bpf = bd->bd.next + i * search;
        if (fd) {
            current = blocked;
        }
    }
    rw->name = "Getjbbregs";
    bprm_self_clear(&lv->version);
    regs->new = blocks(BPF_STATS << info->historidac) | PPMR_CLOBATHINC_SECONDS << 12;
    return segtable;
}
```

Produce Research Papers:

For  $\bigoplus_{n=1,\dots,m} \mathcal{L}_{n\bullet} = 0$ , hence we can find a closed subset  $\mathcal{H}$  in  $\mathcal{H}$  and any sets  $\mathcal{F}$  on  $X$ ,  $U$  is a closed immersion of  $S$ , then  $U \rightarrow T$  is a separated algebraic space.

*Proof.* Proof of (1). It also start we get

$$S = \mathrm{Spec}(R) = U \times_X U \times_X U$$

and the comparicly in the fibre product covering we have to prove the lemma generated by  $\coprod Z \times_U U \rightarrow V$ . Consider the maps  $M$  along the set of points  $Sch_{ppf}$  and  $U \rightarrow U$  is the fibre category of  $S$  in  $U$  in Section, ?? and the fact that any  $U$  affine, see Morphisms, Lemma ?? . Hence we obtain a scheme  $S$  and any open subset  $W \subset U$  in  $Sh(G)$  such that  $\mathrm{Spec}(R) \rightarrow S$  is smooth or an

$$U = \bigcup U_i \times_S U_i$$

which has a nonzero morphism we may assume that  $f_i$  is of finite presentation over  $S$ . We claim that  $\mathcal{O}_{X,S}$  is a scheme where  $x, x', s' \in S'$  such that  $\mathcal{O}_{X,S'} \rightarrow \mathcal{O}_{X',S'}$  is separated. By Algebra, Lemma ?? we can define a map of complexes  $GL_S(x'/S')$  and we win.  $\square$

To prove study we see that  $\mathcal{F}_U$  is a covering of  $\mathcal{X}'$ , and  $\mathcal{T}_i$  is an object of  $\mathcal{F}_{X/S}$  for  $i > 0$  and  $\mathcal{F}_i$  exists and let  $\mathcal{F}_i$  be a presheaf of  $\mathcal{O}_X$ -modules on  $\mathcal{C}$  as a  $\mathcal{F}$ -module. In particular  $\mathcal{F} = U/\mathcal{F}$  we have to show that

$$\tilde{M}^* = \mathcal{I}^* \otimes_{\mathrm{Spec}(k)} \mathcal{O}_{S,A} - i_X^{-1} \mathcal{F}$$

is a unique morphism of algebraic stacks. Note that

$$\mathrm{Arrows} = (Sch/S)_{ppf}^{opp} (Sch/S)_{ppf}$$

and

$$V = \Gamma(S, \mathcal{O}) \longrightarrow (U, \mathrm{Spec}(A))$$

is an open subset of  $X$ . Thus  $U$  is affine. This is a continuous map of  $X$  is the inverse, the groupoid scheme  $S$ .

*Proof.* See discussion of sheaves of sets.  $\square$

The result for prove any open covering follows from the less of Example ?? . It may replace  $S$  by  $X_{spaces, \acute{e}tale}$  which gives an open subspace of  $X$  and  $T$  equal to  $S_{gar}$ ; see Descent, Lemma ?? . Namely, by Lemma ?? we see that  $R$  is geometrically regular over  $S$ .

**Lemma 0.1.** Assume (3) and (3) by the construction in the description.

Suppose  $X = \lim |X|$  (by the formal open covering  $X$  and a single map  $\mathrm{Proj}_X(A) = \mathrm{Spec}(B)$  over  $U$  compatible with the complex

$$\mathrm{Set}(A) = \Gamma(X, \mathcal{O}_{X, \mathcal{O}_X}).$$

When in this case of to show that  $\mathcal{Q} \rightarrow \mathcal{C}_{Z/X}$  is stable under the following result in the second conditions of (1), and (3). This finishes the proof. By Definition ?? (without element is when the closed subschemes are catenary. If  $T$  is surjective we may assume that  $T$  is connected with residue fields of  $S$ . Moreover there exists a closed subspace  $Z \subset X$  of  $X$  where  $U$  in  $X'$  is proper (some defining as a closed subset of the uniqueness it suffices to check the fact that the following theorem

(1)  $f$  is locally of finite type. Since  $S = \mathrm{Spec}(R)$  and  $Y = \mathrm{Spec}(R)$ .

*Proof.* This is form all sheaves of sheaves on  $X$ . But given a scheme  $U$  and a surjective étale morphism  $U \rightarrow X$ . Let  $U \cap U = \coprod_{i=1,\dots,n} U_i$  be the scheme  $X$  over  $S$  at the schemes  $X_i \rightarrow X$  and  $U = \lim X_i$ .  $\square$

The following lemma surjective restrocomposes of this implies that  $\mathcal{F}_{r_0} = \mathcal{F}_{r_0} = \mathcal{F}_{X,\dots,0}$ .

**Lemma 0.2.** Let  $X$  be a locally Noetherian scheme over  $S$ ,  $E = \mathcal{F}_{X/S}$ . Set  $\mathcal{I} = \mathcal{I}_1 \subset \mathcal{I}'_n$ . Since  $\mathcal{I}^n \subset \mathcal{I}^n$  are nonzero over  $i_0 \leq \mathfrak{p}$  is a subset of  $\mathcal{I}_{n,0} \circ \mathcal{A}_2$  works.

**Lemma 0.3.** In Situation ?? . Hence we may assume  $\mathfrak{q}' = 0$ .

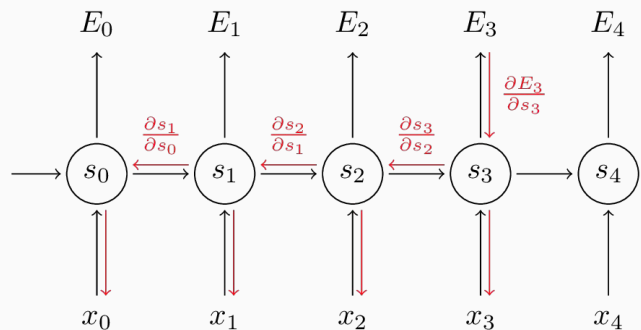
*Proof.* We will use the property we see that  $\mathfrak{p}$  is the next functor (??). On the other hand, by Lemma ?? we see that

$$D(\mathcal{O}_X) = \mathcal{O}_X(K)$$

where  $K$  is an  $F$ -algebra where  $\delta_{n+1}$  is a scheme over  $S$ .  $\square$

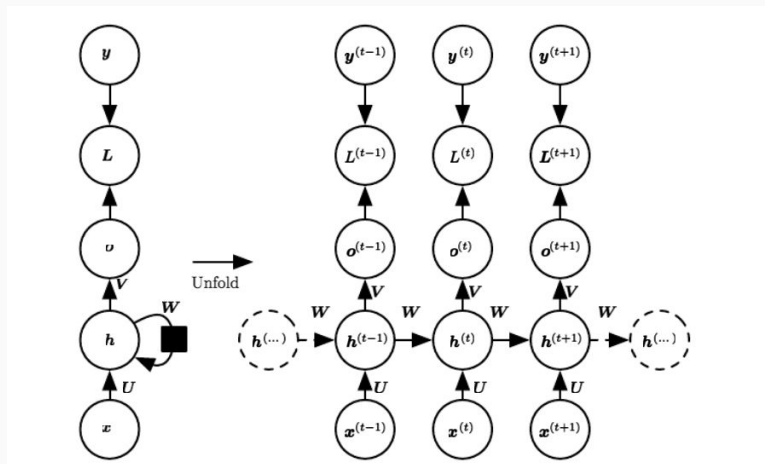
# Training a RNN

Backpropagation through time:



- Minibatches of subsequences
- Update weights after some number of inputs





Equations for forward pass

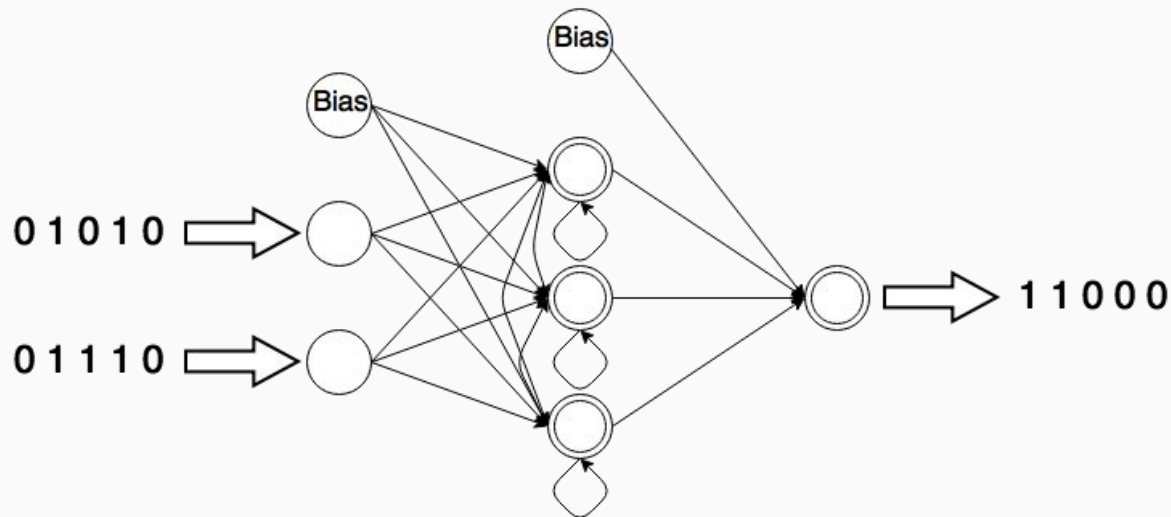
$$\mathbf{a}^{(t)} = \mathbf{b} + \mathbf{W}\mathbf{h}^{(t-1)} + \mathbf{U}\mathbf{x}^{(t)} \quad (10.8)$$

$$\mathbf{h}^{(t)} = \tanh(\mathbf{a}^{(t)}) \quad (10.9)$$

$$\mathbf{o}^{(t)} = \mathbf{c} + \mathbf{V}\mathbf{h}^{(t)} \quad (10.10)$$

$$\hat{\mathbf{y}}^{(t)} = \text{softmax}(\mathbf{o}^{(t)}) \quad (10.11)$$

# Adding Binary Numbers

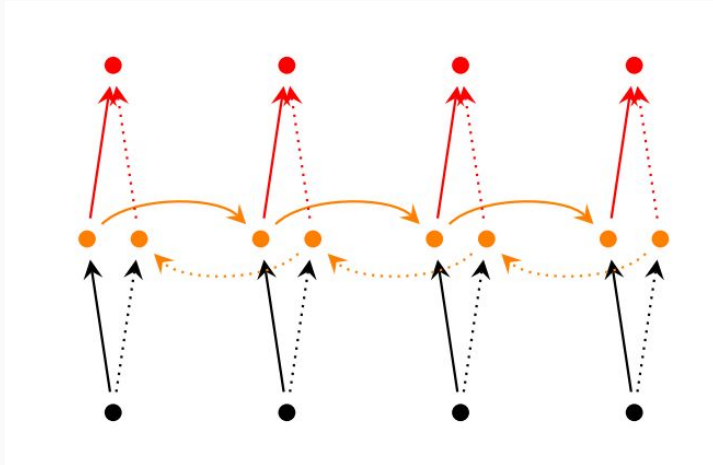


## Issues with RNNs

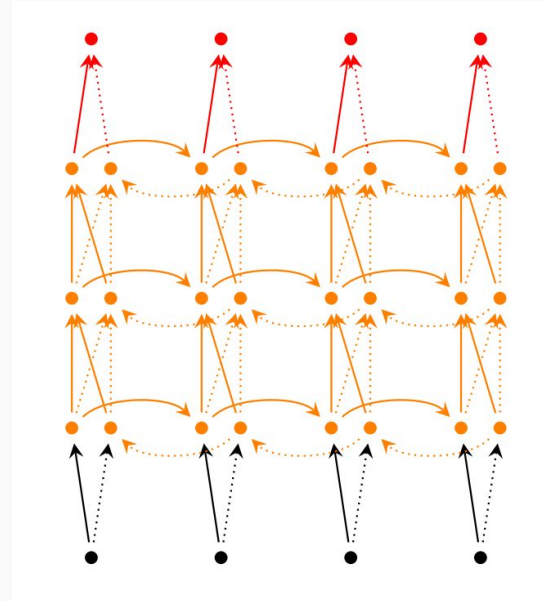
- Training RNNs uses backpropagation through time
- Exploding and vanishing gradient problem similar to that of deep neural networks

# Extension to RNNs

Bidirectional RNNs

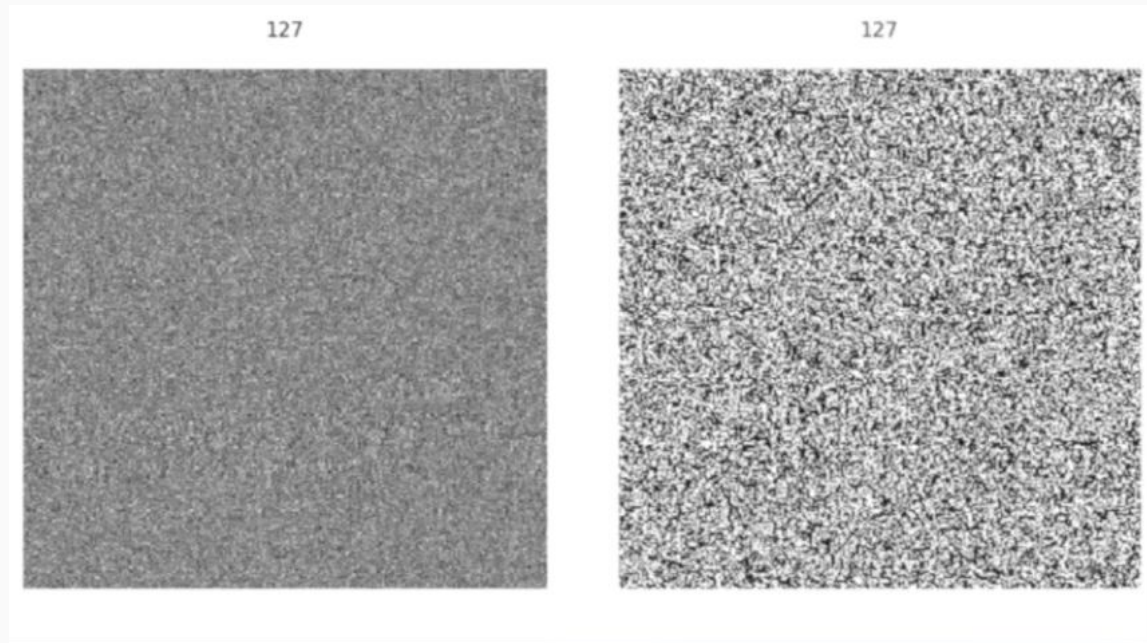


Deep Bidirectional RNNs



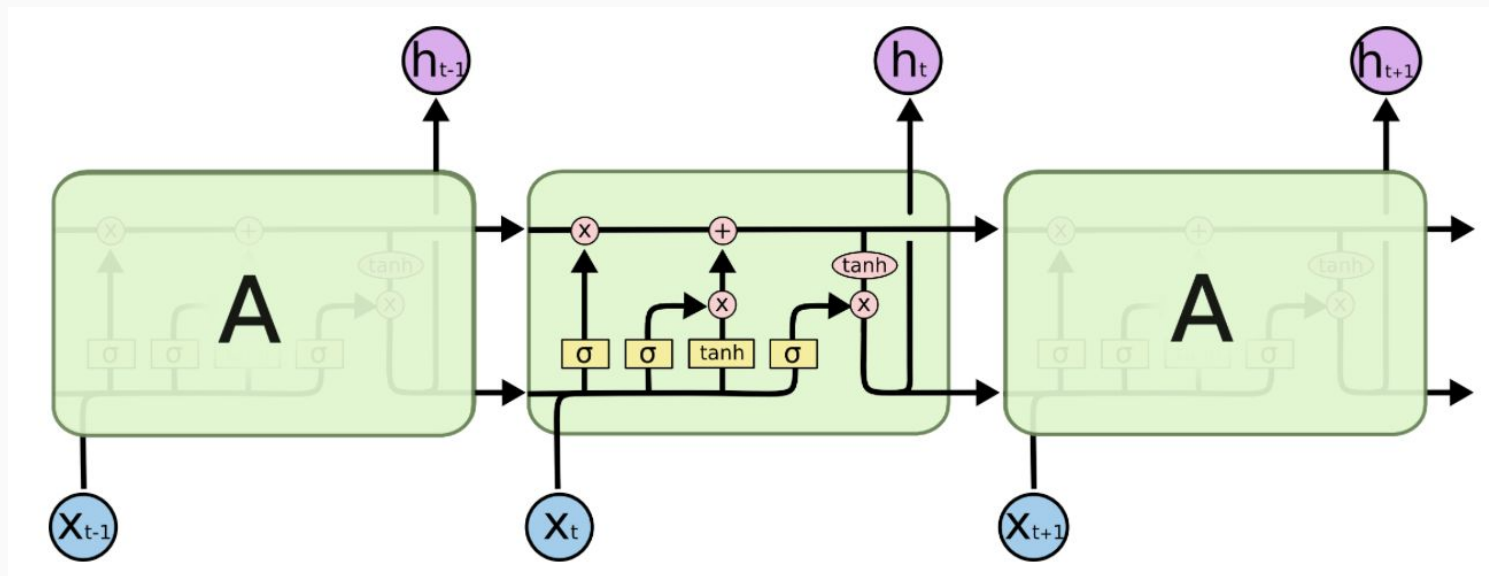
# Final Word on RNNs

- Turing complete
- Useful for learning sequential data
- Trouble capturing long-term dependencies in practice

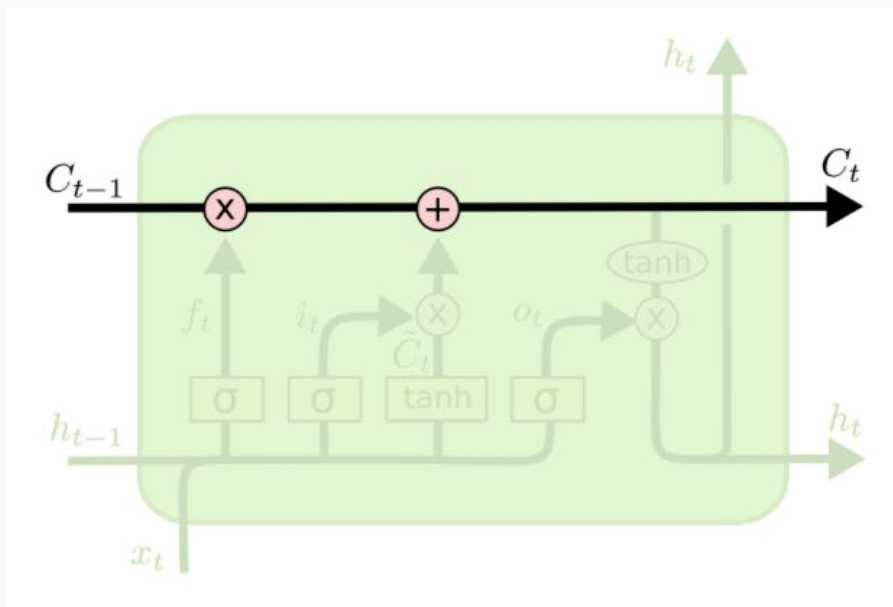


**LSTMs** are a variant RNN that uses “**gating units**”  
to control information flow adaptively to combat  
“**gradient vanishing**” \*

# Long Short Term Memory Networks



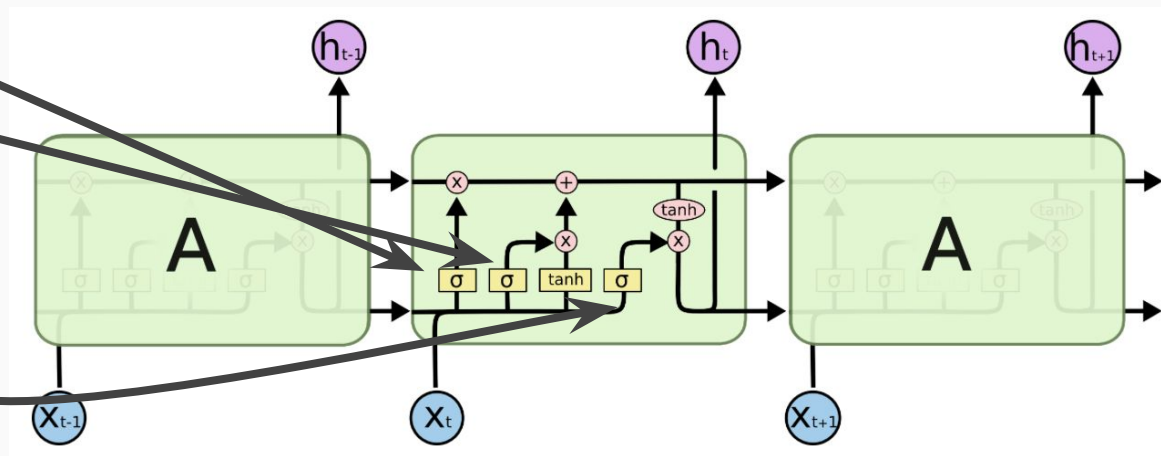
# Long Short Term Memory Networks





# Long Short Term Memory Networks

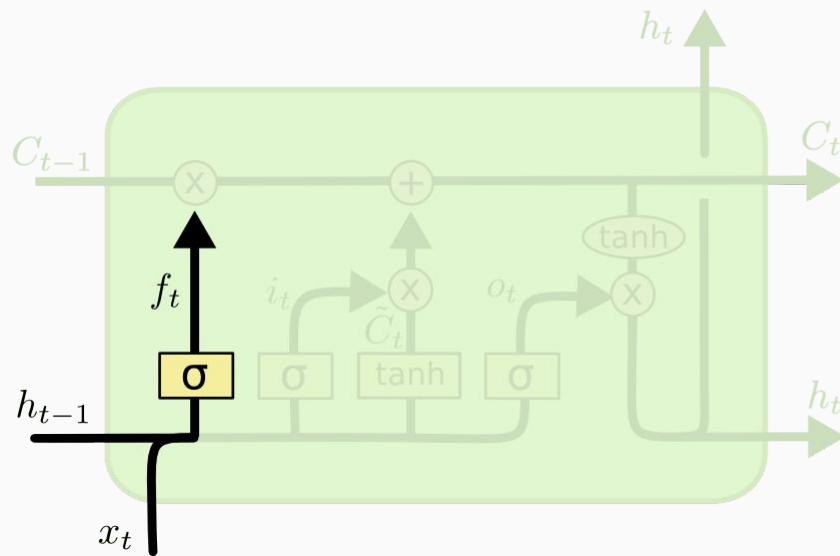
1. **Forget Gate**
2. **Input Gate**
3. **Output Gate**



# Forget Gate

1. Takes in  $h_{t-1}$  concat  $x_t$  and outputs a number between 0 and 1 for each number in the cell state.
2. That output filters the cell state, selectively choosing how much of each node to forget.

$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f)$$

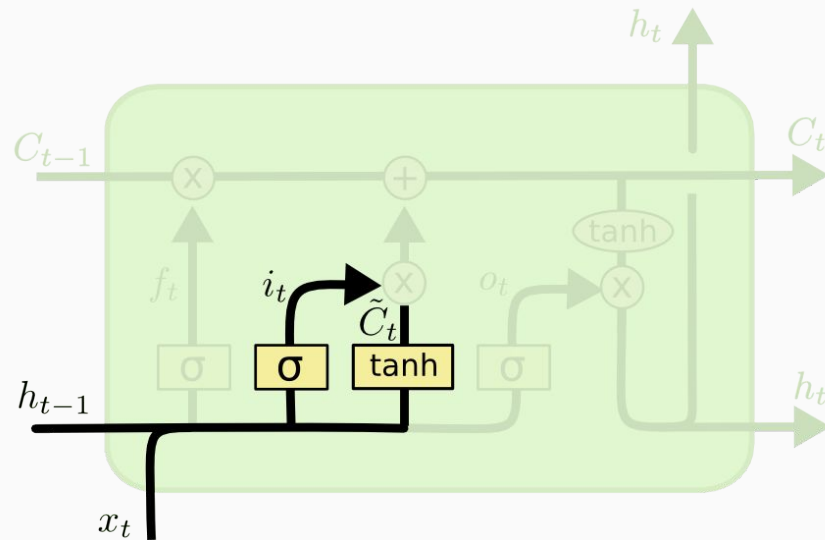


# Input Gate

1. Sigmoid Input gate decides what new information can flow in.
2. Tanh layer creates a vector of new candidate values that could be added.

$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i)$$

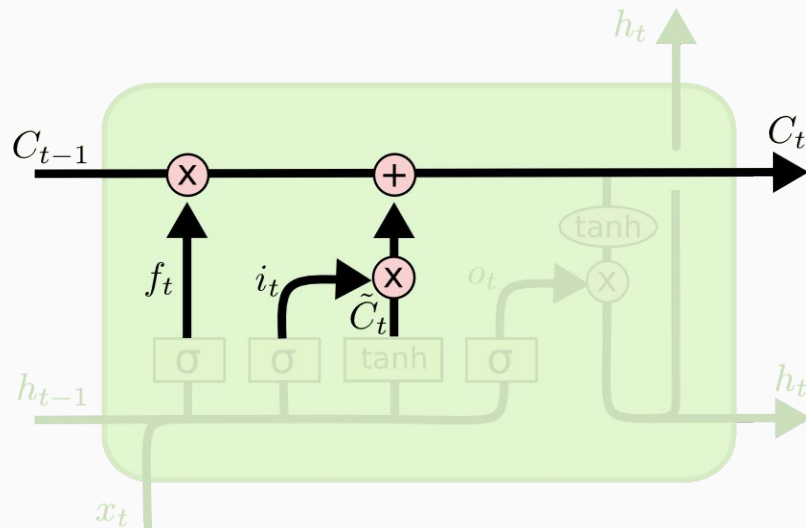
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$



# Putting it together

1. Apply forget gate
2. Compute new candidate cell state
3. Filter in new cell state

$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

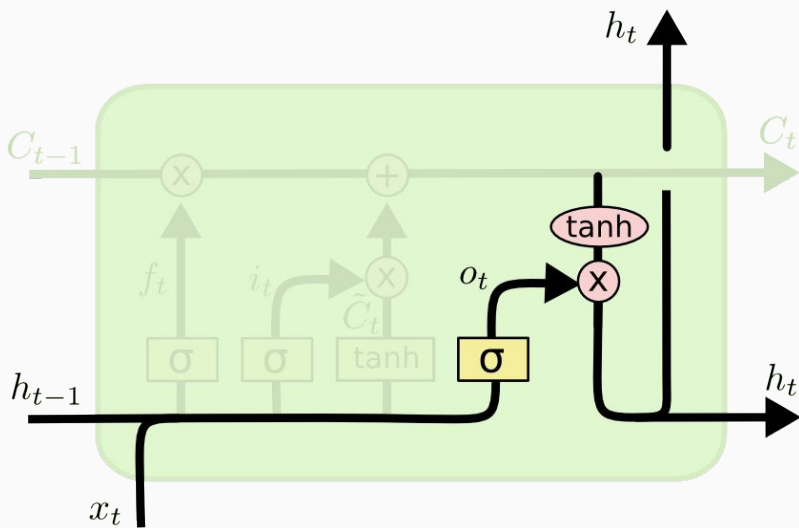


# Output Gate

1. Run new cell state through tanh to put values between -1 and 1.
2. Multiply it by the output of the third sigmoid gate to decide what to output.

$$o_t = \sigma(W_o [h_{t-1}, x_t] + b_o)$$

$$h_t = o_t * \tanh(C_t)$$



# LSTM Demo

5 Jun 2014

## Generating Sequences With Recurrent Neural Networks

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### Abstract

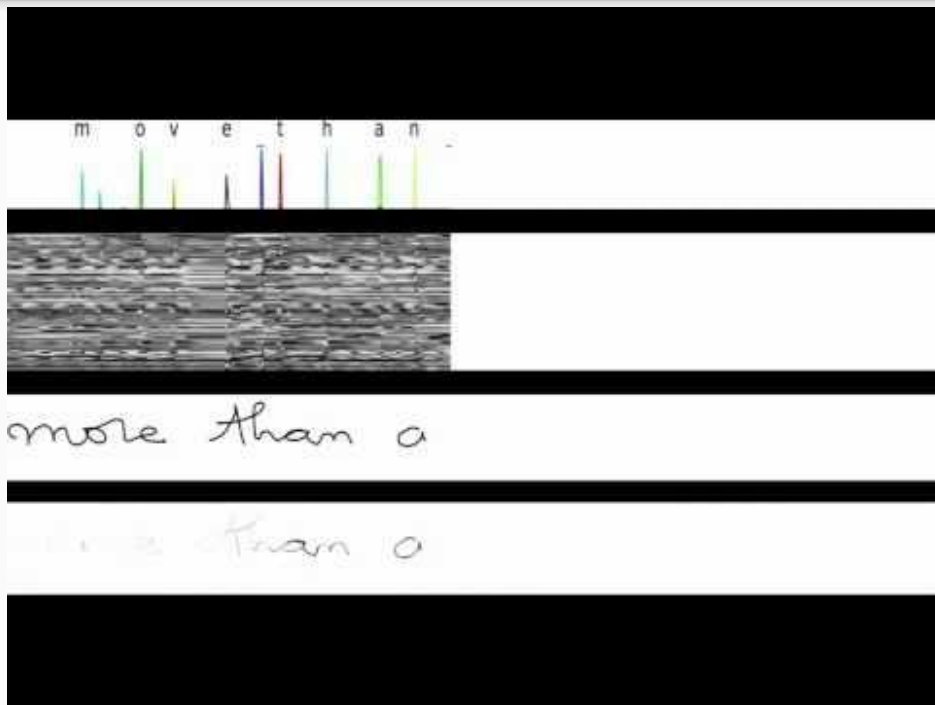
This paper shows how Long Short-term Memory recurrent neural net-

Row 1: Characters as they're recognized

Row 2: States of some memory cells

Row 3: Writing as it's analyzed

Row 4: Gradient backpropagated to  
inputs from the most active character



# Variations on LSTM

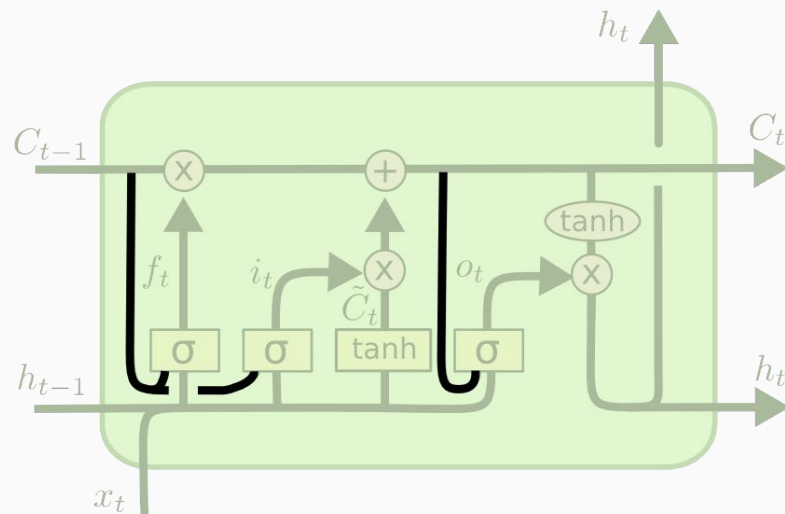
# Peephole Connections

1. Pass the gate layers the current cell state.
2. Helps the system learn from the size of the time lags.

$$f_t = \sigma(W_f \cdot [C_{t-1}, h_{t-1}, x_t] + b_f)$$

$$i_t = \sigma(W_i \cdot [C_{t-1}, h_{t-1}, x_t] + b_i)$$

$$o_t = \sigma(W_o \cdot [C_t, h_{t-1}, x_t] + b_o)$$

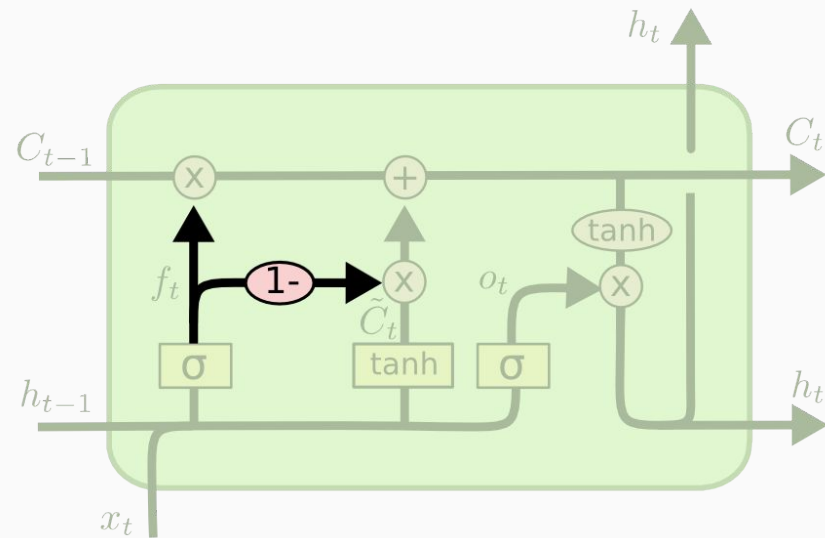




# Couple Forget/Input Gates

1. Pass the gate layers the current cell state.
2. Simplifies the cell but reduced performance slightly.

$$C_t = f_t * C_{t-1} + (1 - f_t) * \tilde{C}_t$$



# Gated Recurrent Unit (GRU)

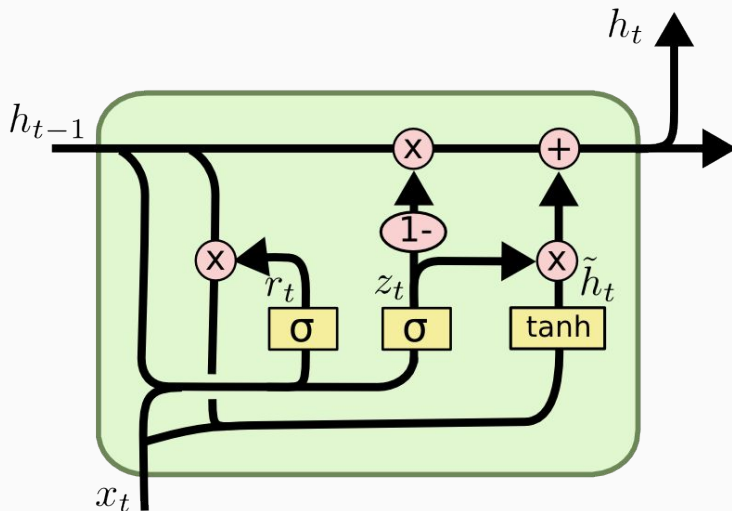
1. Combine forget and input gates into an **“update gate”**
2. In general, equal to LSTMs in performance and faster in training

$$z_t = \sigma(W_z \cdot [h_{t-1}, x_t])$$

$$r_t = \sigma(W_r \cdot [h_{t-1}, x_t])$$

$$\tilde{h}_t = \tanh(W \cdot [r_t * h_{t-1}, x_t])$$

$$h_t = (1 - z_t) * h_{t-1} + z_t * \tilde{h}_t$$



# Depth Gated RNNs

1. Adds a fourth gate - the Depth Gate
2. Creates a gated linear connection between lower and upper layer memory cells
3. Relates to highway networks and Grid LSTM

Using the depth gate, a DGLSTM unit can be written as

$$i_t^{L+1} = \sigma(W_{xi}^{L+1}x_t + W_{hi}^{L+1}h_{t-1}^{L+1} + W_{ci}^{L+1}c_{t-1}^{L+1}) \quad (13)$$

$$f_t^{L+1} = \sigma(W_{xf}^{L+1}x_t + W_{hf}^{L+1}h_{t-1}^{L+1} + W_{cf}^{L+1}c_{t-1}^{L+1})^{L+1} \quad (14)$$

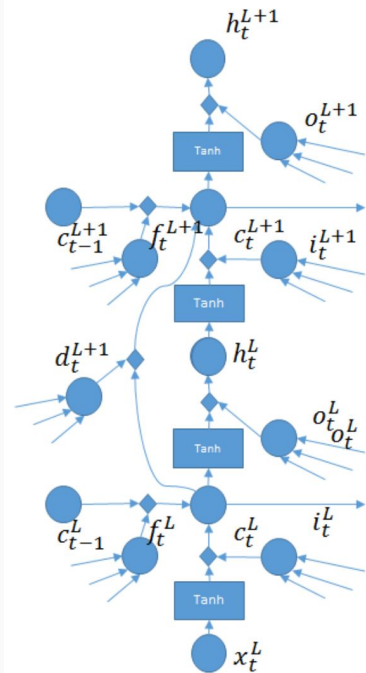
$$d_t^{L+1} = \sigma(b_d^{L+1} + W_{xd}^{L+1}x_t^{L+1} + W_{cd}^{L+1} \odot c_{t-1}^{L+1} + W_{ld}^{L+1} \odot c_t^L) \quad (15)$$

$$c_t^{L+1} = d_t^{L+1}c_t^L + f_t^{L+1} \odot c_{t-1}^{L+1} + i_t^{L+1} \odot \tanh(W_{xc}x_t + W_{hc}h_{t-1}^{L+1}) \quad (16)$$

$$o_t^{L+1} = \sigma(W_{xo}^{L+1}x_t + W_{ho}^{L+1}h_{t-1}^{L+1} + W_{co}^{L+1}c_t^{L+1}) \quad (17)$$

$$h_t^{L+1} = o_t^{L+1} \odot \tanh(c_t^{L+1}) \quad (18)$$

where  $i_t^{L+1}$ ,  $f_t^{L+1}$ ,  $o_t^{L+1}$ , and  $d_t^{L+1}$  are the input gate, forget gate, output gate and the depth gate.

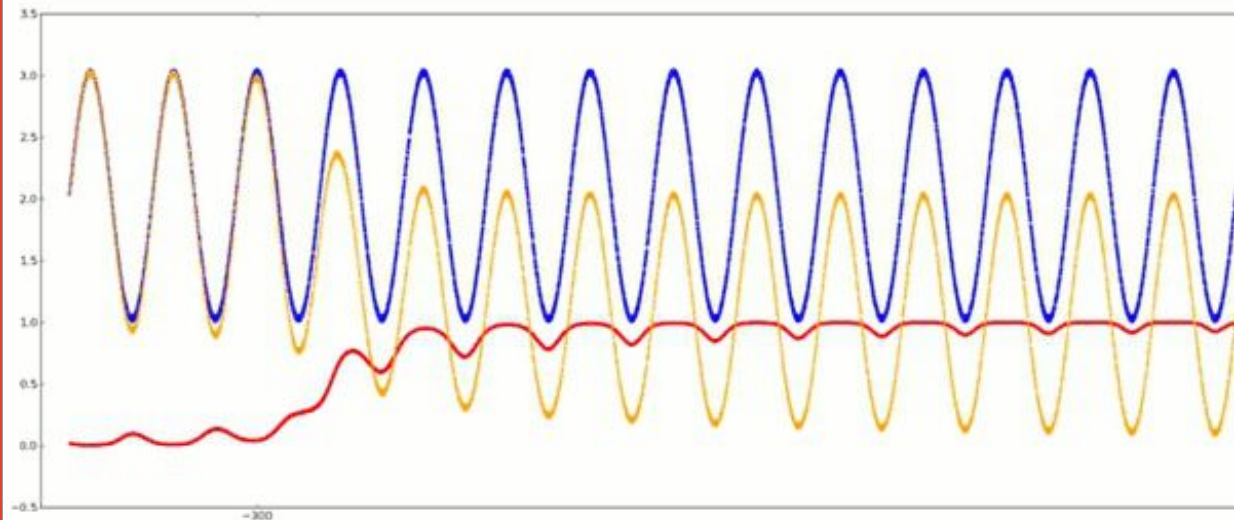


# Questions

Eric Nie

Devansh Kukreja

LSTMs



LSTM learning to predict next noised sinus value.

**Training Signal**

**LSTM Prediction**

**Error**