# Context Autoencoder for Scalable Self-Supervised Representation Pretraining

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## Computer Vision Tasks Need Representation Pretraining







Object detection

Encoder



Semantic segmentation



Face alignment



Pose estimation



representation

Task decoder

## Representation Pretraining

#### Goal

Learn an encoder mapping an image to a representation

### Pretraining task

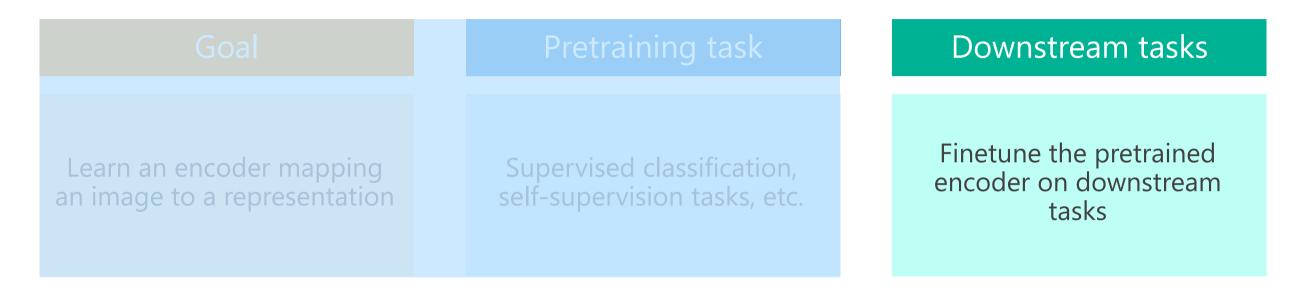
Supervised classification, self-supervision tasks, etc.

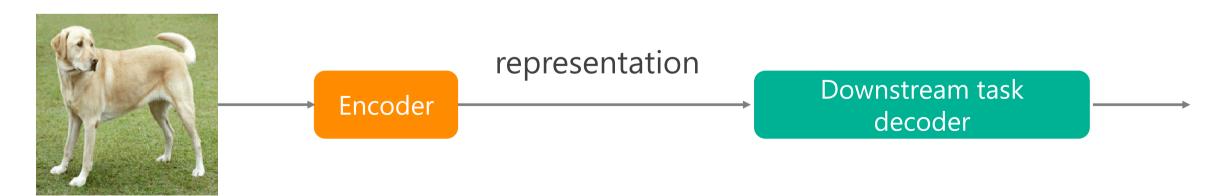
#### Downstream tasks

Finetune the pretrained encoder on downstream tasks



## Representation Pretraining





# Background

## Representation Pretraining: Scaling Up

### Sample scale

How many samples are used for pretraining

### Concept scale

How many concepts are learned from pretraining

# How Existing Pretraining Frameworks Scale Up

	Supervised	Semi-supervised	Vision-language	Self-supervised
Sample scale	8			
Concept scale	8	8		

## Self-Supervised Representation Pretraining in Vision

### Contrastive pretraining

- Maximize the similarity
   between random crops from
   the same image, and minimize
   the similarity between random
   crops from different images
- Representative methods: InstDis, SimCLR, MoCo, BYOL, Swav, DINO, etc.

### Masked image modeling

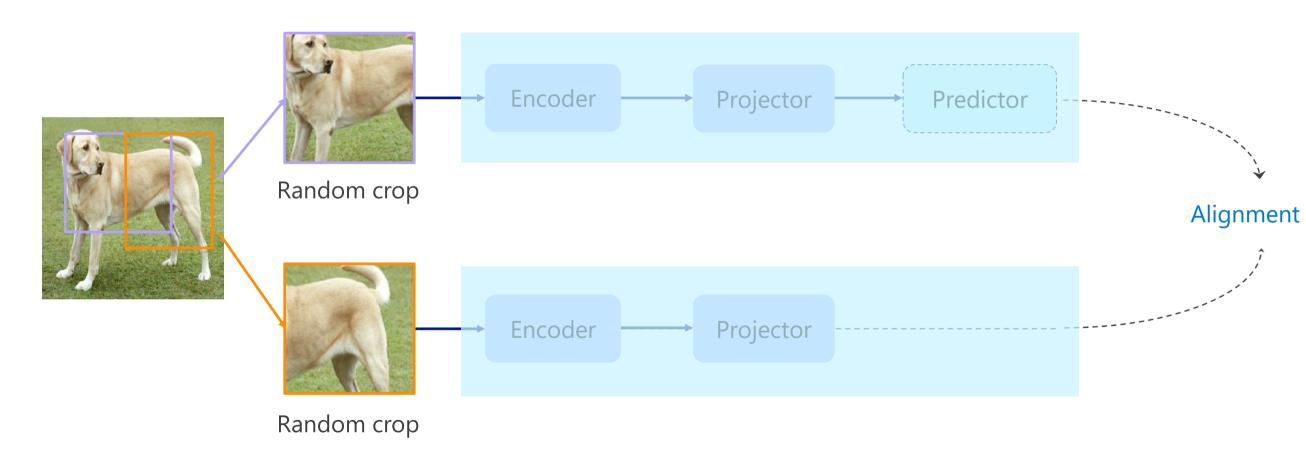
- Divide the images into visible and masked patches
- Make predictions for the masked patches from the visible patches
- Representative methods: ViT, BEiT, MAE, SimMIM, SplitMask, etc.

#### Others

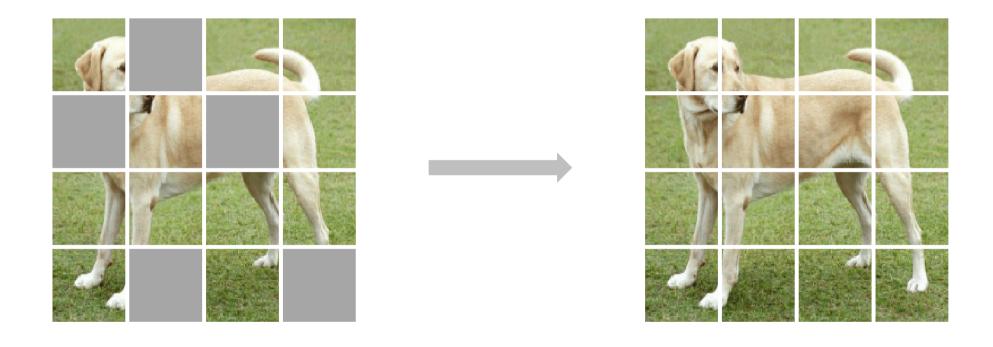
- Color shuffling: colorization, color shuffle, split-brain autoencoder
- Innate relationship prediction: predict relative positions between patches, Jigsaw puzzle, predict the rotation

Self-supervised pretraining is NOT unsupervised learning. There is still supervision, that is from the images other than human annotation.

## **Contrastive Pretraining**



## Masked Image Modeling



Predict the pixel RGB values or token IDs for masked patches from visible patches

# Our Approach: Context Autoencoder (CAE)

## CAE: Ideas

Goal in mind: Representation pretraining aims to learn an encoder, mapping an image to a representation that can be transferred to downstream tasks.

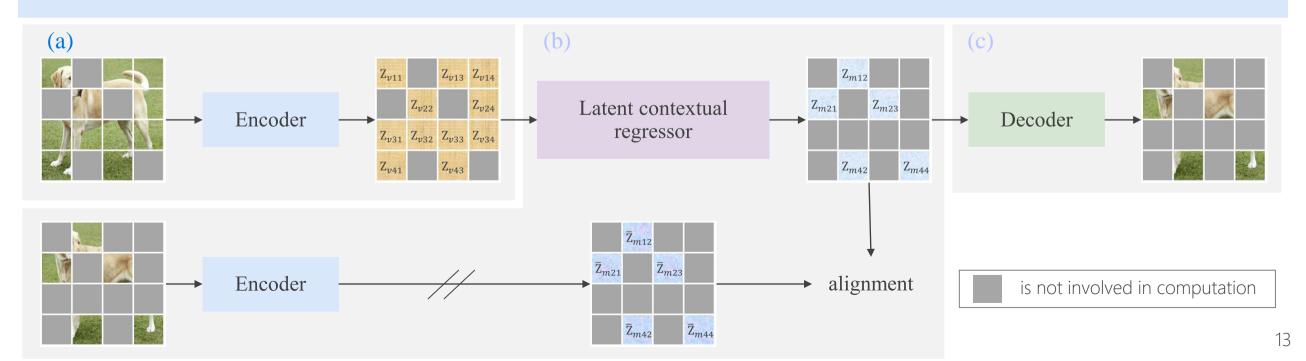
#### Core ideas:

- Make predictions for masked patches from visible patches in the encoded representation space for solving the masked image modeling task
- ☐ The encoder is dedicated for representation pretraining, and representation pretraining is only by the encoder
- ☐ The prediction part is separated from the encoder

One principle in deep learning: Operation in the latent representation space

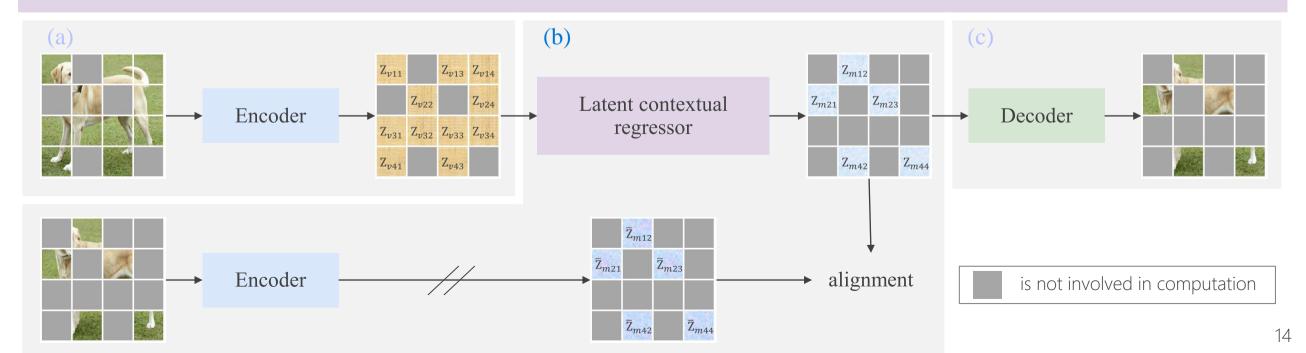
## CAE: Architecture

- (a) Encoder (self-attention) Extract the content representations for visible patches
- 1) Only the visible patches are fed into the encoder



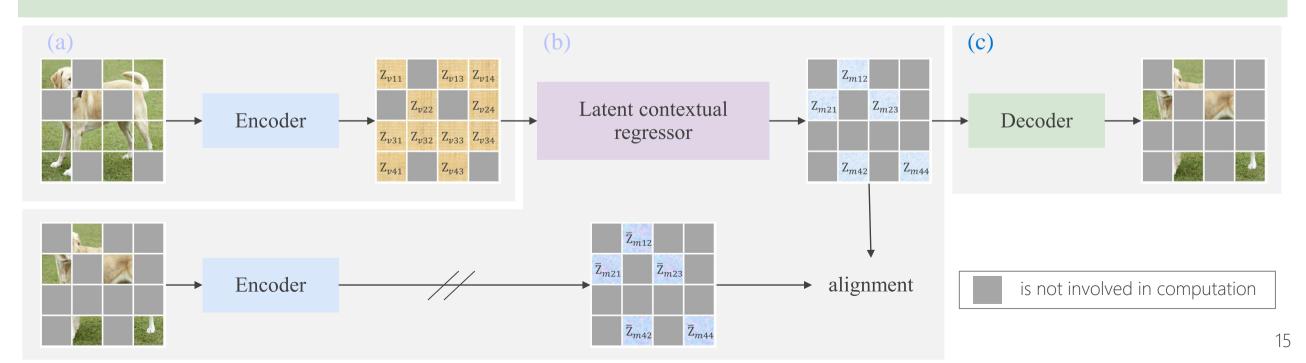
### **CAE:** Architecture

- (b) Making predictions in the encoded representation space
- 1) Latent contextual regressor (cross-attention) Predict content representations for masked patches
  - ☐ The representations of the visible matches are not updated
  - ☐ The only part connecting visible patches and masked patches
- 2) Alignment Make sure that the predictions are made in the encoded representation space
  - Expect that the predicted representations are close to the representations of masked patches computed from the encoder



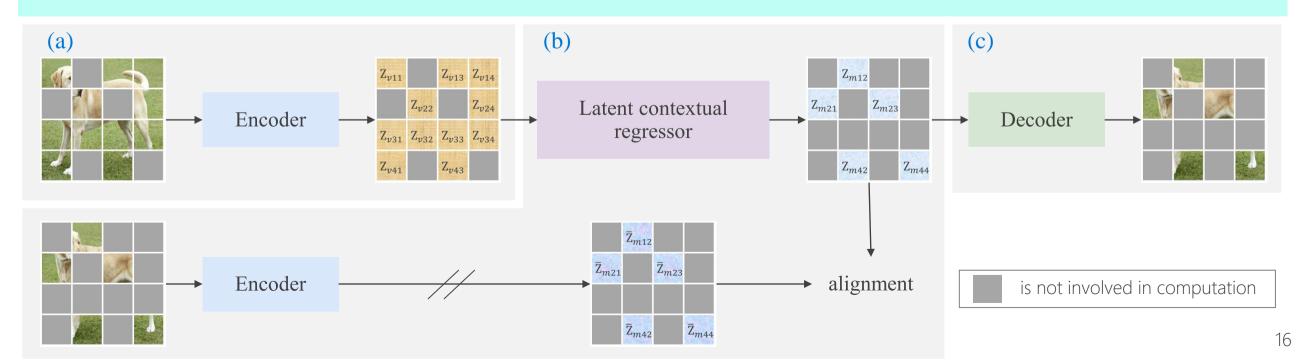
## **CAE:** Architecture

- (c) Decoder (self-attention) Map content representations for masked patches to the targets, e.g., patch token IDs
- 1) Only depend on the predicted representations of masked patches



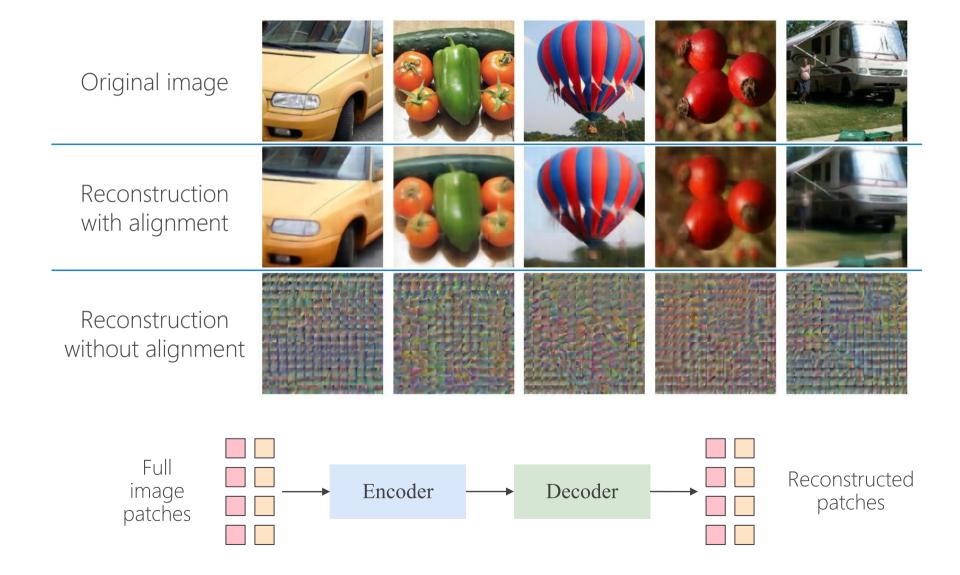
## CAE: Key Points

- ☐ Latent contextual regressor + alignment (b) expect that the predictions are made in the latent representation space
- ☐ Separate the encoding (representation learning) role (a) from the MIM task completion role (b, c)
- ☐ Application to downstream tasks: simply replace the task completion part (b, c) with the downstream task part



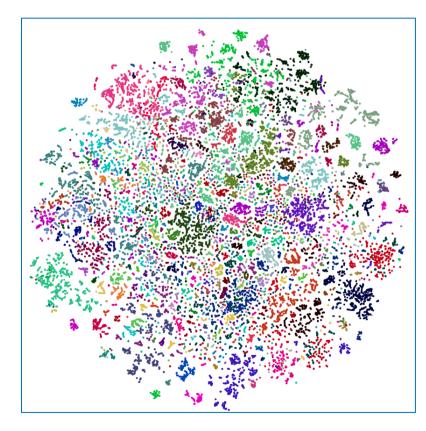
# Analysis and Discussion

## Illustration for Making Predictions in the Encoded Representation Space

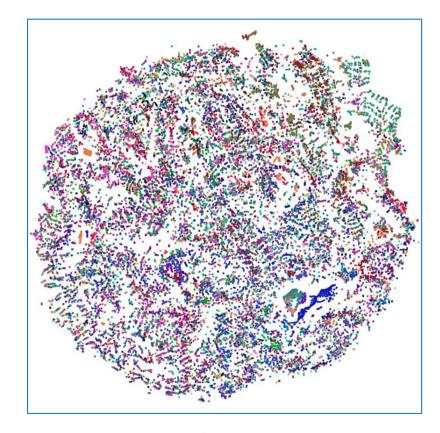


## Representations are in the Semantic Space

#### The representations from CAE encoder are clustered for the same categories



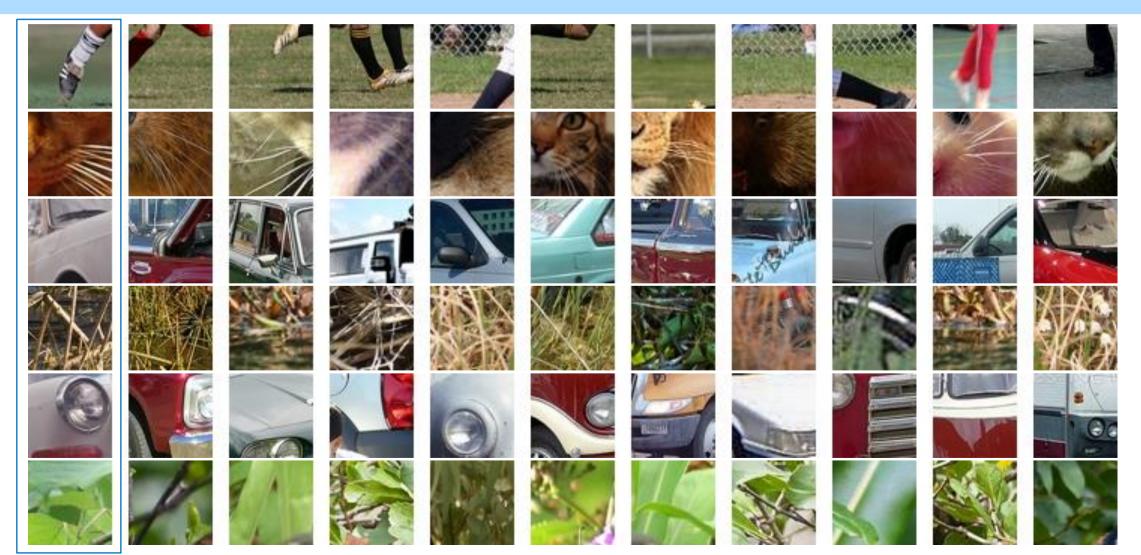
Representations from CAE encoder



Representations from random encoder

## Representations are in the Semantic Space

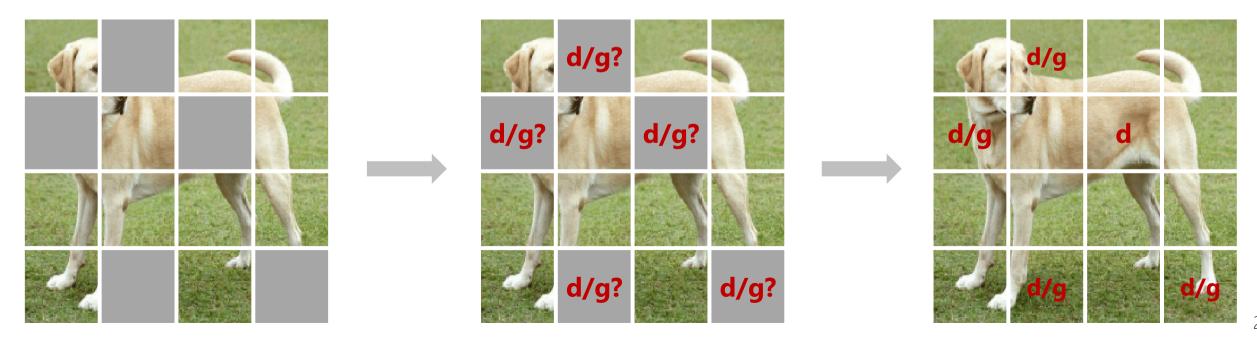
Patch search result example: using the patch representation for similarity computation (the first patch as the query)



# Intuitive Interpretation

### **Dog** = a subspace of latent representations

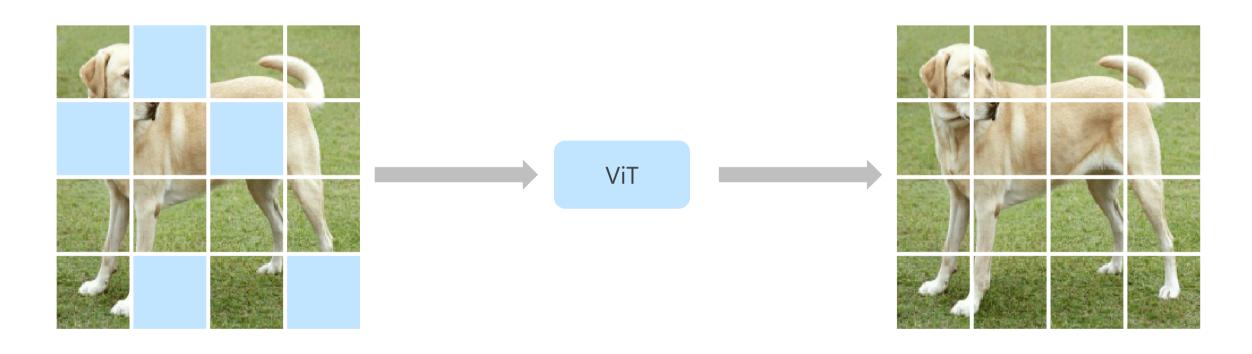
i	Recognize the visible regions to be about a dog	Map the visible regions to latent representations
ii	Predict the regions where the other parts of the dog appear	Predict the latent representations for masked patches
iii	Guess what the other parts look like	Map the latent representations to targets, e.g. pixels



# Comparison to BEiT and MAE

## **BEIT**

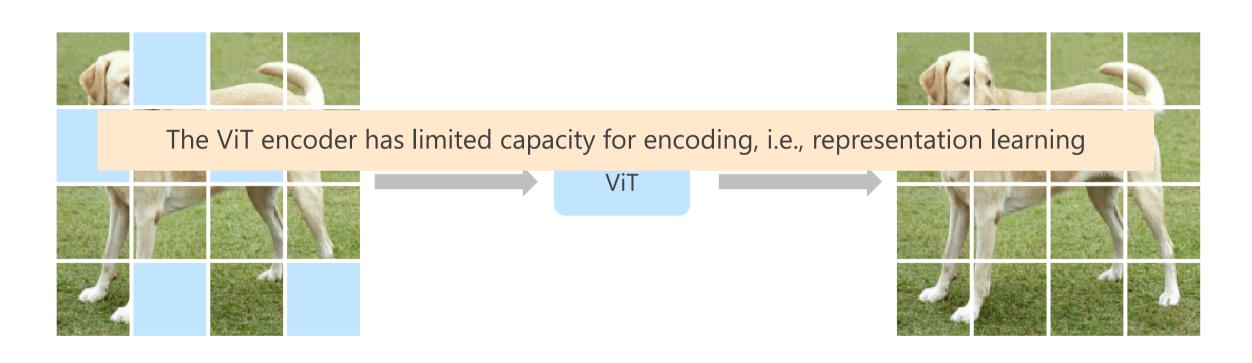
(Bidirectional Encoder representation from Image Transformers)



- ☐ All the tokens, including visible and mask tokens, are input to the ViT
- ☐ The ViT is responsible for both encoding and task completion roles

### **BEIT**

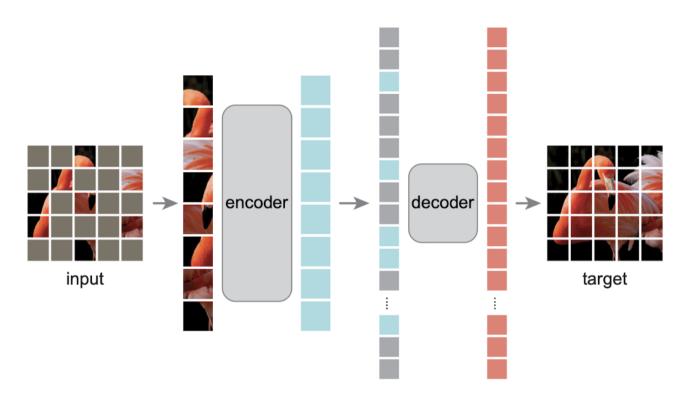
(Bidirectional Encoder representation from Image Transformers)



- ☐ All the tokens, including visible and mask tokens, are input to the ViT
- ☐ The ViT is responsible for both encoding and task completion roles

### MAE

#### Masked Autoencoder



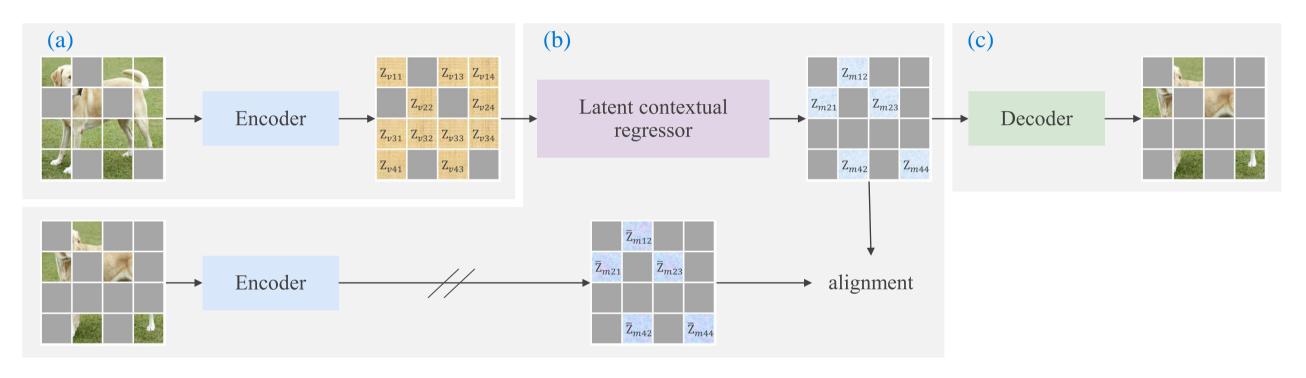
- ☐ Encoder: transformer with self-attention on visible tokens
- ☐ Decoder: transformer with self-attention on both visible and mask tokens
- ☐ The decoder takes some responsibility for encoding, i.e., representation learning

# MAE Masked Autoencoder The encoder does not take full responsibility for representation learning input target

- ☐ Encoder: transformer with self-attention on visible tokens
- ☐ Decoder: transformer with self-attention on both visible and mask tokens
- ☐ The decoder takes some responsibility for encoding, i.e., representation learning

## CAE

#### Context Autoencoder

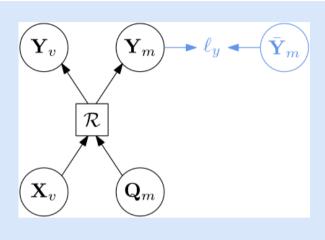


- ☐ Latent contextual regressor + alignment (b) expect that the predictions are made in the latent representation space
- ☐ Separate the encoding role (a) from the task completion role (b, c)

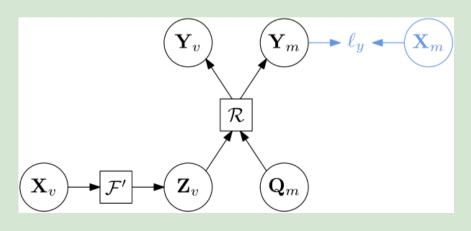
# Comparing CAE, MAE and BEiT

	BEiT	MAE	CAE
	× 🙁	<b>-</b> 😊	√ ©
Encoder and decoder separation	Coupled	Encoder only for representation learning, Decoder also partially for representation learning	Designed for separation
Make predictions in the encoded representation space?	×®	<b>-</b> 🖭	√ ©
Representation semantics	× 🙁	<b>-</b> 😊	√

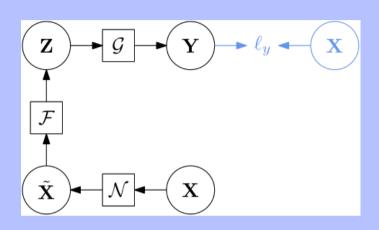
# Computational Graph Comparison



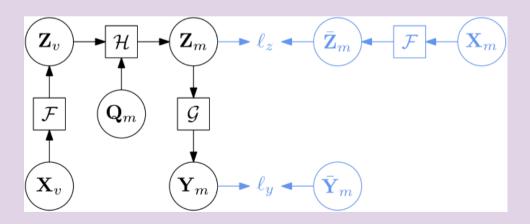
**BEiT** 



Masked autoencoder



Denoising autoencoder

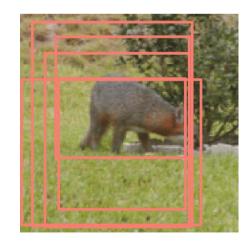


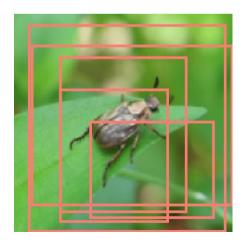
Context autoencoder

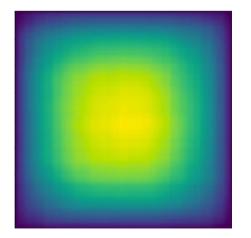
# Comparison to Contrastive Pretraining

## How Contrastive Pretraining Works

- ☐ Typical contrastive pretraining methods (e.g., MoCo) study the similarity between the representations of random crops
- ☐ Question: What makes the representations of random crops from the same original image be similar?
- □ Observation: The common among random crops lie in the center of the original image; The object in ImageNet lies in the center
- **Speculation**: Contrastive pretraining extracts the representation of the part of the object (encoder), then projects it to the representation of the object (projector)
- □ Conclusion: Contrastive pretraining mainly learns the semantics of the center region, i.e., 1000 categories in ImageNet-1K



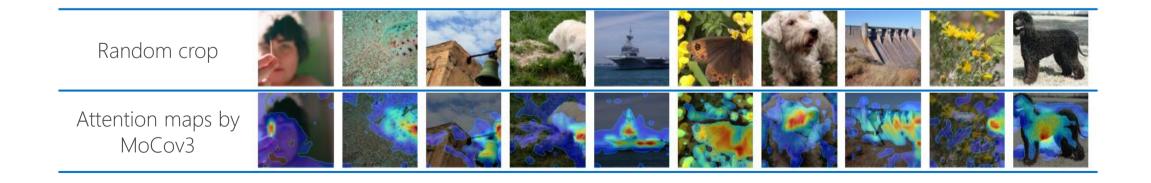




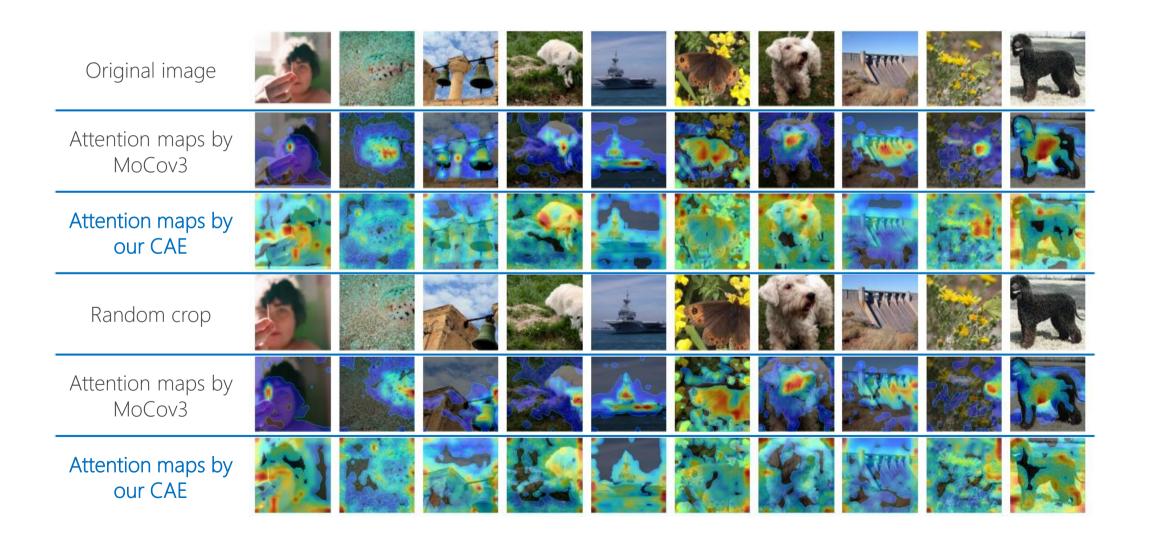
Center pixels have large probability to appear in random crops

## Contrastive Pretraining Learns the Semantics of the Center Object





# Contrastive Pretraining Learns the Semantics of the Center Object The CAE (MIM) Learns the Semantics for All the Regions

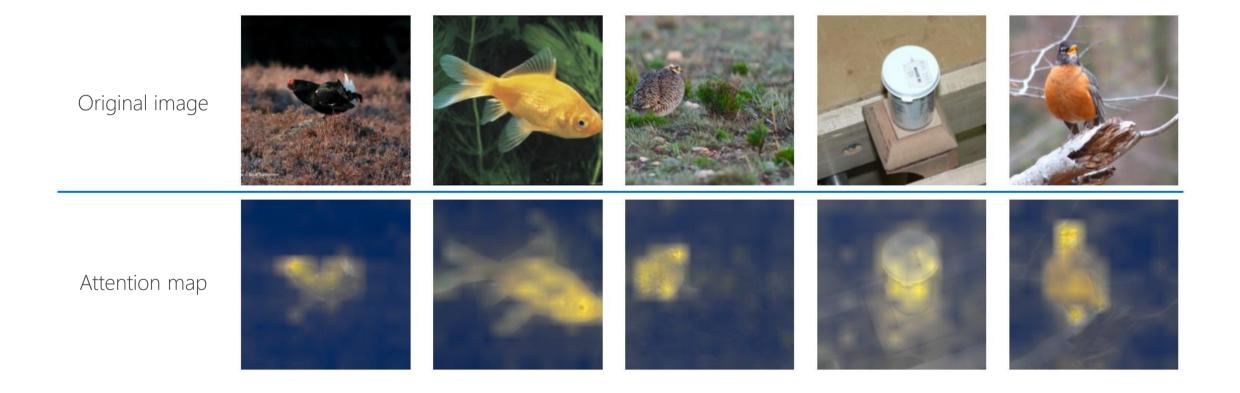


# Experimental Results

# Representation Pretraining Evaluation

	Fine-tuning on ImageNet	Linear probing	Attentive probing
Operations	Retraining from pretrained model weights	Average-pool the patch representations or use the class-token embedding in the encoder, followed by a learned linear classifier with pretrained model weights fixed	Aggregate the patch representations by cross attention with an extra class token as the query, followed by a learned linear classifier with pretrained model weights fixed
Characteristics	No knowledge added. Pretrained weights may help optimization	Include all the patch representations	Select the proper patch representations for ImageNet classification
Pretraining evaluation?	Not suitable for pretraining evaluation	Contrastive pretraining: OK Masked image modeling: Not suitable	Contrastive pretraining: OK Masked image modeling: OK

## Representation Pretraining Evaluation: Attentive Probing



## Representation Pretraining Evaluation: Results

Method	#Epochs	#Crops	Finetune	Linear prob.	Attentive prob.
Deit	300				81.8
MoCo v3	300	2	83.0	76.2	77.0
DINO	400	12	83.3	77.3	77.8
BEiT	300	1	83.0	37.6	49.4
MAE	300	1	82.9	61.5	71.1
CAE	300	1	83.6	64.1	73.8
MAE	1600	1	83.6	67.8	74.2
CAE	1600	1	83.9	70.4	77.1

- ☐ Contrastive pretraining: similar scores for linear and attentive probing
- ☐ Masked image modeling (MIM): larger score for attentive probing than linear probing
- ☐ MIM consider all image patches, and MIM is about the center region and thus 1K classes in ImageNet

## **CAE: Ablation Study**

Decoder	Alignment	Attentive prob.	ADE Segmentation	<b>COCO Detection</b>
×	×	71.2	47.0	46.9
$\checkmark$	×	72.7	47.1	47.2
$\sqrt{}$	$\sqrt{}$	73.8	48.3	48.4

- ☐ Alignment is critical to make sure that predictions are made in the latent representation space.
- ☐ Latent representation is discriminative

## Downstream Task: Semantic Segmentation

Method	#Epochs	Supervised	Self-Supervised	mloU
Deit	300	$\checkmark$		47.0
MoCo v3	300		$\sqrt{}$	47.2
DINO	400		$\checkmark$	47.2
BEiT	300		$\checkmark$	45.5
BEiT	800		$\checkmark$	46.5
MAE	300		$\checkmark$	45.8
CAE	300		$\checkmark$	48.3
MAE	1600		$\checkmark$	48.1
CAE	1600		$\checkmark$	50.2
MAE (large)	1600		$\checkmark$	53.6
CAE (large)	1600		$\checkmark$	54.7

# Downstream Task: Object Detection and Instance Segmentation

Method	#Epochs	Supervised	Self-Supervised	Detection	Segmentation
Deit	300	$\checkmark$		46.9	41.5
MoCo v3	300		$\sqrt{}$	45.5	40.5
DINO	400		$\sqrt{}$	46.8	41.5
BEiT	300		$\sqrt{}$	39.5	35.9
BEiT	800		$\sqrt{}$	42.1	37.8
MAE	300		$\sqrt{}$	45.4	40.6
CAE	300		$\sqrt{}$	48.4	42.6
MAE	1600		$\sqrt{}$	48.4	42.6
CAE	1600		$\sqrt{}$	50.0	44.0
MAE (large)	1600		$\sqrt{}$	54.0	47.1
CAE (large)	1600		$\sqrt{}$	54.5	47.6

## Application to OCR Text Recognition (BCTR)

Method	Pretraining	Scene	Web	Document	Handwriting	Avg.
CRNN		53.4	54.5	97.5	46.4	67.0
ASTER		54.5	52.3	93.1	38.9	64.7
MORAN		51.8	49.9	95.8	39.7	64.3
SAR		62.5	54.3	93.8	31.4	67.3
SRN		60.1	52.3	96.7	18.0	65.0
SEED		49.6	46.3	93.7	32.1	61.2
TransOCR		63.3	62.3	96.9	53.4	72.8
Baseline (base)		68.8	70.7	98.6	49.4	75.8
+ CAE	$\sqrt{}$	72.3	73.7	99.2	62.5	79.8
MaskOCR (small)	$\sqrt{}$	71.4	72.5	98.8	55.6	78.1
MaskOCR (base)	$\sqrt{}$	73.9	74.8	99.3	63.7	80.8
MaskOCR (large)	$\checkmark$	76.2	76.8	99.4	67.9	82.6

## Application to OCR Text Recognition (English scene texts)

Method	Pretraining	IC13	SVT	IIIT5K	IC15	SVTP	CUTE	Avg.	#Params
ASTER		91.8	89.5	93.4	76.1	78.5	79.5	86.7	-
SEED		92.8	89.6	93.8	80.0	81.4	83.6	88.3	-
PIMNet		95.2	91.2	95.2	83.5	84.3	84.4	90.5	-
SRN		95.5	91.5	94.8	82.7	85.1	87.8	90.4	55M
VisionLan		95.7	91.7	95.8	83.7	86.0	88.5	91.2	33M
ABINet	$\checkmark$	97.4	93.5	96.2	86.0	89.3	89.2	92.7	37M
ConCLR	$\checkmark$	97.7	94.3	96.5	85.4	89.3	91.3	92.8	37M
PerSec	$\checkmark$	97.2	94.6	96.3	84.4	89.5	90.2	92.4	-
MaskOCR (small)	$\checkmark$	97.1	95.7	95.5	87.0	90.1	90.3	92.9	31M
MaskOCR (base)	$\checkmark$	98.1	94.7	95.8	87.3	89.9	89.2	93.1	97M
MaskOCR (large)	$\checkmark$	97.8	94.1	96.5	88.7	90.2	92.7	93.8	315M

## Summary

The core of CAE: Make predictions in the encoded representation space; Separate the encoding role and the task completion role

Masked image modeling learns all the region information, and discriminative and generative representations

Contrastive pretraining learns the center information, and is inferior to masked image modeling

Attentive probing is more suitable for pretraining evaluation.
Fine-tuning on ImageNet is not suitable

Context Autoencoder for Self-Supervised Representation Learning: <a href="https://arxiv.org/abs/2202.03026">https://arxiv.org/abs/2202.03026</a>
MaskOCR: Text Recognition with Masked Encoder-Decoder Pretraining: <a href="https://arxiv.org/abs/2206.00311">https://arxiv.org/abs/2206.00311</a>
Code link: <a href="https://github.com/PaddlePaddle/VIMER">https://github.com/PaddlePaddle/VIMER</a>

## 文心·CV大模型

https://github.com/PaddlePaddle/VIMER

#### 多任务视觉表征学习 VIMER-UFO

多任务 (20+) 大模型 (170亿参数) 易部署 (同时小模化)

#### 商品图文搜索表征学习 VIMER-UMS

融合学习单图,图+场景文字,图+文字描述等表征 已落地实际应用

#### 自监督视觉表征学习 VIMER-CAE

从无标注数据学习语义表征, 支持通用图像,文档图像等, 已落地实际应用

#### 图文表征学习

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#### 文档图像表征学习 VIMER-StrucTexT

1.0: 图像和文字多模态建模 2.0: 图像建模 已落地实际应用

#### 视文表征学习

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行业大模型: 视觉基础大模型落地行业应用

# Thanks! Q&A