

## § 1. Marked ideals and ord reductions.

We reduce PII to an inductive order reduction process in this section, and we introduce the "marked ideals" that play an important role in the process.

**Def 1.1 (Order)** Let  $X$  be a smooth variety,  $0 \neq I \subset \mathcal{O}_X$  ideal sheaf. For a point  $x \in X$  (not necessarily closed), we define

$$\text{ord}_x I = \max \{ r : m_x^r \mathcal{O}_{x,X} \supseteq I \cdot \mathcal{O}_{x,X} \}.$$

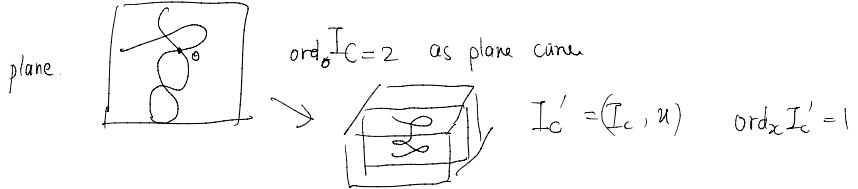
Rem: ①  $\text{ord}_x I$  is constructible, upper-semicontinuous on  $X$ .

② The maximal order of  $I$  along subvar  $Z \subset X$  is

$$\max_{Z \subset X} \text{ord}_Z I = \max \{ \text{ord}_Z I, Z \subset X \}.$$

when  $Z = X$ , we may omit  $Z$ .

③ If  $V(I)$  is contained in a smooth hyperplane, then  $\text{ord}_x I = 1 \quad \forall x \in \text{Supp } I$ .  
(In this case,  $\exists u \in I$ ,  $\text{ord}_x u = 1 \quad \forall x \in \text{Supp } u$ ).



**Def 1.2 (Marked ideals)** A marked ideal on a sm var  $X$  is a pair  $(I, m)$  where  $I \subset \mathcal{O}_X$  is an ideal sheaf and  $m$  is a natural number.

The support of a marked ideal  $(I, m)$  is defined as

$$\text{Supp } (I, m) = \{ x \in X \mid \text{ord}_x I \geq m \}.$$

Rem:  $\text{Supp } (I, 1) = \text{Supp } (I)$ ,  $\text{Supp } (I, m)$  is closed.

**Def 1.3 (Birational transform of Ideals and Marked ideals)**

Let  $0 \neq I \subset \mathcal{O}_X$  be an ideal sheaf for a smooth variety  $X$ , There is a unique largest div  $\text{Div}(I)$  s.t.  $I \subset \mathcal{O}_X(-\text{Div}(I))$ . We may write

$$I = \mathcal{O}_X(-\text{Div}(I)) \cdot I_{\text{adm}, 2} \quad I_{\text{adm}, 2} = I \cdot \mathcal{O}_X(\text{Div}(I))$$

Let  $f: \tilde{X} \rightarrow X$  be a smooth blow-up with center  $Z \subset X$  and exceptional div  $f^*(Z) = E$ . we define the bir transform for  $I$  as

$$f_*^* I = (\mathcal{O}_{\tilde{X}}(\text{ord}_{\tilde{X}}(I) \cdot E) \cdot f^* I) \cdot \mathcal{O}_{\tilde{X}}.$$

We define the bir trans for marked ideal as

$$f_*^*(I, m) = (\mathcal{O}_{\tilde{X}}(mE) \cdot f^* I \cdot \mathcal{O}_{\tilde{X}}, m)$$

Remark: ① In application, we require  $Z \subseteq \text{Supp}(I, m)$ , and the bir trans for marked ideal is well defined in this case.

② The exceptional div here is different from the usual ones.

If  $f: \tilde{X} \rightarrow X$  is a trivial blow-up, then  $f$  is Id,  $E = Z$ .

And in this case,  $f_*^* I_Z = \mathcal{O}_{\tilde{X}}(E) \cdot \mathcal{O}_{\tilde{X}}(-E) = \mathcal{O}_{\tilde{X}}$

③  $f$  is empty blow-up, then  $f_*^* I = I$ .

④  $(g^{\epsilon I}, m)$  is called a marked function. And in local coordinate  $(x_1, \dots, x_n)$ ,  $Z := (x_1 = \dots = x_r = 0)$ , blow up  $Z$ ,

the bir transform of  $(f, m)$  is

$$f_*^*(g, m) = (y_r^{-m} g(y_1, y_2, \dots, y_{r-1}, y_r, y_{r+1}, \dots, y_{r+n}), m) \text{ in the local chart resp to } x_r \text{ (marked ideal).}$$

⑤ Let  $Z \subset X$  with  $\text{ord}_{\tilde{X}} I = \max \text{ord } I = m$ ,  $\pi: Bl_Z X \rightarrow X$  then  $\max \text{ord } \pi_*^* I \leq \max \text{ord } I$ .

Semi-continuity:  $\forall x \in Z$ ,  $\text{ord}_{\tilde{x}} I = m$ .  $\forall y \in \pi^{-1}(Z)$ ,  $\exists f \in I$  s.t.  $\text{ord}_{\tilde{x}} f = m$ .  $\pi_*^* f = y_r^{-m} f(y_1, y_2, \dots)$   
 $\Rightarrow \text{ord}_{\tilde{y}} \pi_*^* f \leq \text{ord}_{\tilde{y}} f(y_1, y_2, \dots) - m \leq 2m - m = m \Rightarrow \text{ord}_{\tilde{y}} \pi_*^* I \leq \text{ord}_{\tilde{y}} f \leq m \Rightarrow \max \text{ord } \pi_*^* I \leq m$ .

⑥  $Z \not\subseteq H \subset X$ , where  $H$  is a hypersurface,  $I \subseteq \mathcal{O}_X$ ,  $I|_H \neq 0$ .  $Z \subseteq \text{Supp}(I, m)$ .

$$\pi: Bl_Z X \rightarrow X \quad \pi|_H: Bl_Z H \rightarrow H$$

$$(\pi|_H)_*^* (I|_H) \supset (\pi_*^* I)|_{Bl_Z H} \quad (\pi|_H)_*^* (I|_H, m) = (\pi_*^* (I, m))|_{Bl_Z H}$$

(When we do restriction on  $H$ , ord may increase, but when we assign an order as in marked ideal, everything is fine)

⑦  $f: Y \rightarrow X$  smooth,  $fg = x$ ,  $I \subseteq \mathcal{O}_X$  then

$$\text{ord}_Y f^* I \cdot \mathcal{O}_Y = \text{ord}_X I \quad (\text{Check for stalk, for } X[A^n]).$$

⑧ The bir trans for ideals and marked ideals are only defined for

Seg of sm blow-ups.

## Def-Prop 1.4 (Arithmetic Operation on Marked ideals)

Let  $(I_1, m_1), (I_2, m_2)$  be two marked ideals on sm var  $X$ , we introduce the following  
 $(I_1, m_1) \cdot (I_2, m_2) = (I_1 I_2, m_1 + m_2)$ ,  $\sum_i^n (I_i, m_i) = (\sum_i^c I_i^{c_i}, \text{lcm}(m_1, \dots, m_n))$

here  $C_i = \text{lcm}(m_1, \dots, m_n)/m_i$ . ( $m_i \neq 0$  above).  $(I_i, m_i)$  marked ideal on  $X$ .

We have the following basic properties.

$$(1) \text{Supp}(\sum_i^n (I_i, m_i)) = \bigcap_{i=1}^n \text{Supp}(I_i, m_i).$$

$$(2) \text{Supp}(I_1, m_1) \cap \text{Supp}(I_2, m_2) \subseteq \text{Supp}((I_1, m_1) \cdot (I_2, m_2))$$

$$(3) \text{Supp}(I^c, cm) = \text{Supp}(I, m)$$

(4) Let  $\pi: Y = Bl_Z \rightarrow X$  be a smooth blow-up for  $Z \subseteq \text{Supp}(I_1, m_1) \cap \text{Supp}(I_2, m_2)$

$$\text{we have } \pi_*^{-1}[(I_1, m_1) + (I_2, m_2)] = \pi_*^{-1}(I_1, m_1) + \pi_*^{-1}(I_2, m_2)$$

$$\pi_*^{-1}[(I_1, m_1) \cdot (I_2, m_2)] = \pi_*^{-1}(I_1, m_1) \cdot \pi_*^{-1}(I_2, m_2).$$

**Remark:** Here  $\sum (I_i, m_i)$  is in fact not even associate operation, it is just a formal notation!

$$(1) \forall x \in \bigcap \text{Supp}(I_i, m_i), \text{ord}_x I_i^{c_i} \geq \text{lcm}(m_1, \dots, m_n)$$

$$\Rightarrow \text{ord}_x I_1^{c_1} + \dots + I_n^{c_n} \geq \text{lcm} \Rightarrow \bigcap \text{Supp}(I_i, m_i) \subseteq \text{Supp}(\sum (I_i, m_i))$$

$$\forall x \in \text{Supp}(\sum (I_i, m_i)), \text{ if } \exists f_i \in I_i \text{ s.t. } \text{ord}_x f_i < m_i$$

$$\Rightarrow \text{ord}_x (\sum (I_i, m_i)) < c_i m_i \Rightarrow \Leftarrow. \Rightarrow \text{ord}_x I_i \geq m_i \quad \forall i, \forall x. \quad \square$$

(2) follows from definition.

(3) by (2) we have  $\text{Supp}(Z, m) \subseteq \text{Supp}(I^c, cm)$ .

$\forall x \in \text{Supp}(I^c, cm)$ , assume  $\text{ord}_x f < m$  for some  $f \in I$

$$\Rightarrow \text{ord}_x f^c < cm \Rightarrow \text{ord}_x I^c < cm \Rightarrow \Leftarrow. \quad \square.$$

(4).

$$\pi_*^{-1}((I_1, m_1) (I_2, m_2)) = \pi_*^{-1}(I_1 I_2, m_1 + m_2)$$

$$= \pi^{-1}(I_1 I_2) \cdot \mathcal{O}_Y \cdot \mathcal{O}_Y((m_1 + m_2)E)$$

$$= \pi^{-1}(I_1) \cdot \mathcal{O}_Y \cdot \mathcal{O}_Y(m_1 E) \cdot \pi^{-1}(I_2) \mathcal{O}_Y \cdot \mathcal{O}_Y(m_2 E)$$

$$= \pi_*^{-1}(I_1, m_1) \cdot \pi_*^{-1}(I_2, m_2)$$

$$\pi_*^{-1}((I_1, m_1) + (I_2, m_2)) = \pi_*^{-1}(I_1^{c_1} + I_2^{c_2}, \text{lcm}(m_1, m_2))$$

$$= \pi^{-1} I_1^{c_1} \cdot \mathcal{O}_Y \cdot \mathcal{O}_Y(c_1 m_1) + \pi^{-1} I_2^{c_2} \cdot \mathcal{O}_Y \cdot \mathcal{O}_Y(c_2 m_2) = \pi_*^{-1}(I_1, m_1) + \pi_*^{-1}(I_2, m_2).$$

$$Y = Bl_Z X,$$



$$Z \subset X$$

$$Z \subseteq \bigcap \text{Supp}(I_i, m_i)$$

Now we introduce the main object in the order reduction process.

Def 1.5 ① Let  $(X, I, m, \underline{E})$  be the object (resp.  $(X, I, E)$ )

(1)  $X$  is sm var / k char = 0

(2)  $0 \neq (I, m) \subset \mathbb{D}_X$  marked ideal (resp.  $0 \neq I \subset \mathcal{O}_X$  ideal)

(3)  ~~$\underline{E} := (E^1, \dots, E^s)$~~  an ordered set of smooth divisors, s.t.  $\sum E^i$  is snc,  $E^i$  can be zero divisor.  $(X, I, E)$

has no order  
just or snc div.

② And a smooth blow-up of  $(X, I, m, \underline{E})$  (resp.  $(X, I, E)$ ) is a sm blow-up

$\pi: Bl_Z X \rightarrow X$  such that

(1)  $Z$  is snc with  $\bigcup_{i=1}^s E^i$   $\star$  (2)  $Z \subseteq \text{Supp}(I, m)$

③ The bir transform of  $(X, I, m, \underline{E})$  (resp.  $(X, I, E)$ ) is

$$\pi_*^{-1}(X, I, m, \underline{E}) = (Bl_Z X, \pi_*^{-1}(I, m), \pi_{tot}^{-1} \underline{E})$$

here  $\Rightarrow \pi_{tot}^{-1} \underline{E} = (\pi_*^{-1} E^1, \pi_*^{-1} E^2, \dots, \pi_*^{-1} E^s, E^{s+1} = \text{Excep}(\pi))$

$$\pi_*^{-1}(X, I, E) = (Bl_Z X, \pi_*^{-1} I, \pi_{tot}^{-1} E).$$

Remark: In the principalization we introduced triple  $(X, I, E)$  with  $E$  just snc div. and the "transform" of  $(X, I, E)$  on  $Bl_Z X$  is  $(Bl_Z X, \pi^{-1} I, \underline{O}_{Bl_Z X}, \pi^{-1} E)$ .

Def 1.6. (Sequence of blow-ups for  $(X, I, m, \underline{E})$  and  $(X, I, E)$ ).

① A smooth blow-up seq of  $(X, I, m, \underline{E})$  is  $(\underline{E} = (E^1, E^2, \dots, E^s))$

$\boxed{B_0}$ :  $\pi: (X_r, I_r, m_r, E_r) \rightarrow (X_{r-1}, I_{r-1}, m_{r-1}, E_{r-1}) \rightarrow \dots \rightarrow (X_0, I_0, m_0, E_0)$

s.t. (1) Each blow-up is smooth with center  $Z_i$  snc with  $\bigcup_{i=1}^s E^i$

(2)  $(I_{i+1}, m_i) = \pi_*^{-1}(I_i, m_i)$ ,  $E_{i+1} = \pi_{tot}^{-1} E_i$

$\star$  (3)  $Z_i \subseteq \text{Supp}(I_i, m_i)$ .

② A smooth blow-up seq of  $(X, I, E)$  of order m is

$\boxed{B_m}$ :  $\pi: (X_r, I_r, E_r) \rightarrow \dots \rightarrow (X_0, I_0, E_0)$

(1) $^*$  = (1)

(2) $^*$ :  $I_{i+1} = \pi_*^{-1} I_i$   $E_{i+1} = \pi_{tot}^{-1} E_i$

(3) $^*$ :  $\text{Ord}_{Z_i} I_i = m \quad \forall i \leq r-1$ .

Rem: In both definitions, empty blow-ups are not allowed, but trivial blow-ups are allowed.

Prop 1.7. Let  $h: Y \rightarrow X$  be a smooth morphism, then for any  $BO(X, I, m, E)$  (resp.  $B^m(X, I, m)$ ),  $h^*BO(Y, h^*I, m, E)$  (resp.  $h^*B^m(Y, h^*I, m)$ ) is well defined.

Proof:

Fact:  $\forall y \in Y, x = h(y)$ , we have

$$\text{ord}_y h^*I \cdot O_Y = \text{ord}_x I. \quad \text{Def 1.3 Rem ⑦.}$$

$\widetilde{\pi_i} : Y_{i+1} \rightarrow Y_i$

We need to check:  $(J_{i+1}, m) = \widetilde{\pi_i}^{-1}(J_i, m)$ .

$$\Leftrightarrow (h_{i+1}^{-1} I_{i+1} \cdot O_{Y_{i+1}}, m) = \widetilde{\pi_i}^{-1}(h_i^{-1} I_i \cdot O_{Y_i}, m)$$

$$\boxed{\begin{array}{c} J_r = h^r I_r \cdot O_Y \\ J_0 \times \dots \times J_r \xrightarrow{h_r} X_r \rightarrow I_r \\ \downarrow \\ J_2 = h^2 I_2 \cdot O_Y \\ Y_0 \times Y_2 \xrightarrow{h_2} X_2 \rightarrow I_2 \\ \downarrow \\ J_1 = h^1 I_1 \cdot O_Y \\ Y_0 \times Y_1 \xrightarrow{h_1} X_1 \rightarrow I_1 \\ \downarrow \\ J = h^0 I \cdot O_Y \\ Y_0 \xrightarrow{h} X_0 \rightarrow I_0 \end{array}}$$

$$\Leftrightarrow (h_{i+1}^{-1} I_{i+1} \cdot O_{Y_{i+1}}, m) = \widetilde{\pi_i}^{-1}(h_i^{-1} I_i \cdot O_{Y_i}, m) \cdot O_{Y_{i+1}}(m \cdot h_{i+1}^{-1}(E_{i+1})). \quad E_{i+1} \text{ is the excep div of } \widetilde{\pi_i}: X_{i+1} \rightarrow X_i.$$

$$h_{i+1}^{-1}(\widetilde{\pi_i}^{-1}(h_i^{-1} I_i \cdot O_{Y_i}) \cdot O_{X_{i+1}}(m E_i)) \cdot O_{Y_{i+1}}, m).$$

$$\begin{array}{ccc} Y_{i+1} & \xrightarrow{h_{i+1}} & X_{i+1} \\ \widetilde{\pi_i} \downarrow & \square & \downarrow \pi_i \\ Y_i & \xrightarrow{h_i} & X_i \end{array}$$

Rem: We say  $BO$  (resp  $B^m$ ) commutes with sm mor if  
~~may not be surjective. (ordered =)~~

~~$h^*BO(X, I, m, E)$  is an extension of  $BO(Y, h^*I \cdot O_Y, m, h^*E)$  (resp.  $B^m$ ). (Just as blow-up sequence) ☆~~

Rem: for closed embeddings, as we mentioned before  
 $j: x \hookrightarrow X \hookrightarrow A$ , it can happen that

$$\text{ord}_x j_* I \cdot O_A = !!!!$$

so functionality resp to closed embedding in general does not make sense.

$I_x$  in  $O_A$  contain some smooth element

But, for  $(I, i)$ , functionality resp to closed embedding still make sense.

Now, we introduce two order reduction theorem

### Ord I (ord reduction for ideals)

For every  $m \in N$ , there is a smooth blow-up sequence functor  
Necessary.

$B^m$  [of order  $m$ ] defined on  $(X, I, E := (E^1, \dots, E^s))$ , max ord  $I \leq m$

$$B^m: (X_r, I_r, E_r) \rightarrow \dots \rightarrow (X_1, I_1, E_1) \rightarrow (X_0, I_0, E_0)$$

i.e.,  $Z_i \cup Z_1 \cup Z_0$

(each center  $Z_i$  snc with  $E$ ,  $\text{ord}_{\eta_{Z_i}} I_i = m$ )

(1)  $\max \text{ord } I_r < m$

(2)  $B^m$  commutes with smooth morphism and change of fields.

### Ord II (Order reduction theorem for marked ideals)

For every  $m \in N$ , there is a smooth blow-up seq functor

$B^0$  defined on  $(X, I, m, E)$  such that

→ ordered set of sm div.

$$B^0: T: (X_r, I_r, m, E_r) \rightarrow \dots \rightarrow (X, I, m, E)$$

i.e.,

$(Z_i \subseteq \text{Supp}(I_i, m))$ ,  $Z_i$  snc with  $E$ )  $\xrightarrow{\text{ord}_{\eta_{Z_i}} I_i \geq m}$  (m=maxord)

(1)  $\text{Supp}(I_r, m) = \emptyset$

$\downarrow$   
 $\text{ord}_{\eta_{Z_i}} I_i = m$ .

(2) commutes with sm mor and change of fields.

Remark: If  $m = \max \text{ord } I$ ,  $B^m(X, I, E) = B^0(X, I, m, E)$ ; \*

In this case,  $\text{ord}_{\eta_{Z_i}} I_i = m$ .

$$j^\# : \mathcal{O}_X \rightarrow j_* \mathcal{O}_S.$$

$$R \xrightarrow{\pi} R/A.$$

OCE:  $j: S \xrightarrow{Is} X$  closed embedding  
 $BO(X, j_* Is \cdot \mathcal{O}_X, 1, \emptyset) = \underline{j_* BO(S, Is, 1, \emptyset)}$

The main inductive steps of the proof is

Ord II in dim  $\leq n-1$  → the induction has nothing to do with "m". ✘

$\Downarrow T_1$  → use restriction. ★.

Ord I in dim  $n$

$\Downarrow T_2$

Ord II in dim  $n$ .

We only ~~use~~  $m=1$

By Ord II + OCE  $T_3 \Rightarrow P III$   $\Rightarrow$  Main Goal.

Now we prove  $T_3$ .

Proof of  $T_3$ : We start from a triple  $(X, I, E)$

Step 1: Write  $E = \bigsqcup_{i=1}^k D_i$ , set  $\tilde{E} = (D_1, D_2, \dots, D_k)$   $D_i$  sm div.

For any point  $x \in X$ , set  $S_{X, E}(x) = \{ \text{number of div in } \{D_i\} \text{ passing } x \}$ .

$$S(X, E) = \max \{ S_{X, E}(x) \mid x \in X \}.$$

Set  $H^{S(X, E)} = \bigsqcup_{A \in \{1, \dots, k\}} \prod_{i \in A} D_i$  we blow up  $H^{S(X, E)}$ .  
 $|A| = S(X, E)$

Here  $H^{S(X, E)}$  is a smooth center.

$T_{16}: X_0 \rightarrow X_0 = X$  is a sm blow-up of center  $H^{S(X, E)}$

Consider the corresponding  $S_{X_1, \pi_0^{-1} E}(x_0) \quad S(X_1, \pi_0^{-1} E)$ .

$$(\pi_0^{-1} D_1, \dots, \pi_0^{-1} D_k).$$

$$S(X_1, \pi_0^{-1} E) < S(X, E).$$

Repeat this procedure, we construct  $\widetilde{B}: X_r \rightarrow X_{r-1} \rightarrow \dots \rightarrow X_0 = X$  s.t.  $(\pi_i: X_i \rightarrow X)$

$$0 = S(X_r, \pi_{r*}^{-1} E) < S(X_{r-1}, \pi_{r-1*}^{-1} E) < \dots < S(X, E)$$

$\Rightarrow \pi_{r*}^{-1} E$  is a disjoint union of irr sm components.

We now show  $\widetilde{B}(X, I, E)$  is functorial resp to sm morph.

$\forall h: Y \rightarrow X \quad y \in Y, \quad x = h(y), \quad \text{we have } \underline{S_{X,E}(x)} = \underline{S_{Y,h^*E}(y)}$

thus  $S(X, E) = S(Y, h^*E)$ . Since each time we blow up the maximal locus of

$$S_{X,E} (S_{Y,h^*E}) \Rightarrow H^S(Y, h^*E) = h^* H^S(X, E)$$

thus the functoriality resp to sm morph follows.

Rem: This idea is used in [WFO05], where we use a function to control the blow up process, and this function is inv resp to sm mor.

Step 2: Now consider  $(X_r, \pi_r^{-1} J \cdot \mathcal{O}_{X_r}, (\pi_r^{-1} E, F_1, F_2, \dots, F_r))$

here  $\pi_r^{-1} E$  is smooth.

$$M=1.$$

Apply Ord II to  $(X_r, J, \textcircled{1} F)$ . we get

$$BO(X_r, J, \textcircled{1} F): \pi: X_n \rightarrow \dots \rightarrow X_r$$

s.t.  $\text{Supp } \pi_*^{-1}(J, \textcircled{1}) = \emptyset$ .

$\Rightarrow \underbrace{\pi_1^{-1} J \cdot \mathcal{O}_{X_n}}_{\text{Excep } \pi} = \mathcal{O}_{X_n}$  (Excep  $\pi$ ) here Excep  $\pi$  is snc,  $\pi$  exceptional

And  $X_n \rightarrow X_r \rightarrow X_0$  gives the principalization.

Sm functoriality follows from Ord II and construction.

functoriality resp to closed embedding follows from OCE.

$$\text{P}\underline{II} \quad E = \emptyset$$

in this case, step 1 is trivial.