### I. INTRO TO C

```
Hello World
                                                                         int myroutine(int j) {
#include <stdio.h>
                                                                           int i = 5 // local variable
                                                                           i = i+j;
int main(void) {
                                                                           return i;
 printf("Hello World!\n");
 return 0;
                                                                            global variables (int m):
                                                                              lifetime: while program runs
  #include: preprocessor inserts stdio.h contents
                                                                             placed on pre-defined place in memory
  stdio.h: contains printf declaration
                                                                            basic block/function-local variables (int i):
                                                                              lifetime: during invocation of routine
  main: program starts here
                                                                             placed on stack or in registers
  void: keyword for argument absence
  { }: basic block/scope delimiters
                                                                         Variables - local vs. static
  printf: prints to the terminal
                                                                         int myroutine(int j) {
  \n: newline character
                                                                           static int i = 5;
  return: leave function, return value
                                                                           i = i+j;
                                                                           return i;
Compiling
 $ gcc hello.c -o hello
                                                                         k = myroutine(1); // k = 6
  ./hello
                                                                         k = myroutine(1); // k = 7
 Hello World!
Basic Data Types
                                                                            static function-local variables:
                                                                              saved like global variables
  char c = 5: char c = 'a':
                                                                              variable persistent across invocations
    one byte, usually for characters (1970: ASCII is fine)
                                                                             lifetime: like global variables
  int i = 5; int i = 0xf; int i = 'a';
    usually 4 bytes, holds integers
                                                                         Printing
  float f = 5; float f = 5.5;
    4 bytes floating point number
                                                                         int i = 5; float f = 2.5;
                                                                         printf("The numbers are i=%d, f=%f", i, f);
  double d = 5.19562
    8 bytes double precision floating point number
                                                                            comprised of format string and arguments
Basic Data Types - logic
                                                                            may contain format identifiers (%d)
  int i = 5 / 2; //i = 2
                                                                            see also man printf
    integer logic, no rounding
                                                                            special characters: encoded via leading backslash:
  float f = 5.0f / 2; //f = 2.5f
                                                                              \n newline
    decimal logic for float and double
                                                                              \t tab
  char a = 'a' / 2 //a = 97 / 2 = 48
                                                                              \' single quote
    char interpreted as character by console
                                                                              \" double quote
                                                                              \0 null, end of string
Basic Data Types - signed/unsigned
                                                                         Compound data types
  signed int i = -5 //i = -5 (two's complement)
  unsigned int i = -5 //i = 4294967291
                                                                            structure: collection of named variables (different types)
                                                                            union: single variable that can have multiple types
Basic Data Types - short/long
                                                                            members accessed via . operator
  short int i = 1024 //-32768...32767
  long int i = 1024 //-2147483648...2147483647
                                                                         struct coordinate {
                                                                           int x:
Basic Data Types - more size stuff
                                                                           int y;
  sizeof int; sizeof long int; //4; 4; (x86\ 32-Bit)
                                                                         union longorfloat {
  use data types from inttypes.h to be sure about sizes:
                                                                           long 1;
    #include <inttypes.h>
                                                                           float f;
    int8_t i; uint32_t j;
                                                                         struct coordinate c;
{\bf Basic\ Data\ Types-const/volatile}
                                                                         \mathbf{c} \cdot \mathbf{x} = 5;
                                                                         c.y = 6;
  const int c = 5;
    i is constant, changing it will raise compiler error
                                                                         union longorfloat lf;
  volatile int i = 5;
                                                                          1f.1 = 5;
    i is volatile, may be modified elsewhere (by different program
                                                                         lf.f = 6.192;
    in shared memory, important for CPU caches, register, assump-
    tions thereof)
```

Variables - local vs. global int m; // global variable

#### **Functions**

```
encapsulate functionality (reuse)
  code structuring (reduce complexity)
   must be declared and defined
   <u>Declaration</u>: states signature
   <u>Definition</u>: states implementation (implicitly declares function)
int sum(int a, int b); // declaration
return a+b;
int sum(int a, int b) { // definition
Header files
  header file for frequently used declarations
   use extern to declare global variables defined elsewhere
   use static to limit scope to current file (e.g. static float pi in
    sum.c: no pi in main.c)
    // mymath.h
    int sum(int a. int b):
    extern float pi;
    // sum.c
    #include "mymath.h"
    float pi = 3.1415927;
    int sum(int a, int b) {
      return a+b;
    }
    // main.c
    #include <stdio.h>
    #include "mymath.h"
    void main() {
      printf("%d\n", sum(1,2));
      printf("%f\n", pi);
Data Segments and Variables
   Stack: local variables
   Heap: variables crated at runtime via malloc()/free()
   Data\ \underline{Seg\underline{ment}}\colon \underline{static}/\underline{global}\ \underline{variables}
  Code: functions
Function overloading
   no function overloading in C!
  use arrays ore pointers
Pointers
int a = 5
int *p = &a // points to int, initalized to point to a
int *q = 32 // points to int at address 32
int b = a+1;
int c = *p; // dereference(p) = dereference(&a) = 5
int d = (*p)+2 // = 7
int *r = p+1; // pointing to next element p is pointing to int e = *(p+2) // dereference (p+2) = d = 7
Pointers - linked list
  linked-list implementation via next-pointer
struct 11 {
  int item:
  struct ll *next;
struct 1 first;
first.item = 123:
struct 11 second:
second.item = 456;
```

first.next = &second;

```
Arrays
```

```
= fixed number of variables continuously laid out in memory
int A[5]; // declare array (reserve memory space)
 A[4] = 25; \ A[0] = 24; \ // \ assign \ 25 \ to \ last, \ 24 \ to \ first \ elements of a constant of a constan
            protection fault)
// declare pointer to array; address elements via pointer:
*(p+1) = 'Z'; p[3] = 'B'; char b = *p; // = 'a'
Strings
      = array of chars terminated by NULL:
         declaration via pointer:
         const char *p = "Test";
      common string functions (string.h):
         length: size_t strnlen(const char *s, size_t maxlen)
         compare:
          int strncmp(const char *s1, const char *s2, size_t n);
copy: int strncpy(char *dest, const char *src size_t n);
          tokenize: char *strtok(char *str, const char *delim);
               (e.g. split line into words)
Arithmetic/bitwise operators
      arithmetic operators:
          a+b, a++, ++a, a+=b, a-b, a--, --a, a-=b, a*b, a*=b, a/b, a/=b, a%b,
          a%=b
     logical operators:
         a&b, a|b, a>>b, a<<b, a^b, ~a
      difference pre-/post-increment:
         int a = 5;
         if(a++ == 5) printf("Yes"); // Yes
         a = 5;
         if(++a == 5) printf("Yes"); // nothing
      operators in order of precedence:
         !, ++, --, +y, -y, *z, &=, (type), sizeof *, /, %
          (), [], \rightarrow, .
          <<, >>
          <, <=, >, >=
          ==, !=
         &
         ጲጲ
          \Pi
         =, +=, -=, *=, /=, %=, &=, ~=,=, *=, *=|
Structures
     brackets only needed for multiple statements
     if/else, for, while, do-while, switch
     may use break/continue
     switch: need break statement, otherwise will fall through
if(a==b) printf("Equal") else printf("Different");
for(i=10; i>=10; i--) printf("%d", i+1);
int i=10; while(i--) printf("foo");
int i=0; do printf("bar"); while(i++ != 0);
char a = read();
switch(a) {
    case
        handle_1();
        break:
    default:
        handle_other();
        break;
}
```

### Type casting

```
explicit casting: precision loss possible
  int i = 5; float f = (float)i;
implicit casting: if no precision is lost
  char c = 5; int i = c;
pointer casting: changes address calculation
  int i = 5; char *p = (char *)&i; *(p+1)= 5;
type hierarchy: "wider"/"shorter" types
  unsigned int wider than signed int
  operators cast parameters to widest type
  Attention: assignment cast after operator cast
```

### C Preprocessor

```
modifies source code before compilation
based on preprocessor directives (usually starting with *)
**include <stdio.h>, **include "mystdio.h":
copies contents of file to current file
only works with strings in source file
completely ignores C semantics
```

#### Preprocessor - search paths

```
#include <file>: system include, searches in:
/usr/local/include
libdir/gcc/[target]/[version]/include
/usr/[target]/include
/usr/include
(target: arch-specific (e.g. i686-linux-gnu),
    version: gcc version (e.g. 4.2.4))

#include "file": local include, searches in:
directory containing current file
then paths specified by -i <dir>
then in system include paths
```

## Preprocessor - definitions

defines introduce replacement strings (can have arguments, based on string replacement)  $\,$ 

can help code structuring, often leading to source code cluttering

```
#define PI 3.14159265
#define TRUE (1)
#define max(a,b) ((a > b) ? (a) (b))
#define panic(str) do { printf(str); for (;;) } while(0);
#ifdef __unix__
# include <unistd.h>
#elif defined _WIN32
# include <windows.h>
#endif
```

# Preprocessor – predefined macros

```
system-specific:
    __unix__, _WIN32, __STDC_VERSION__
useful:
    __LINE__, __FILE__, __DATE__
```

### Libraries

= collection of functions contained in object files, glued together in dynamic/static library

ex.: Math header contains declarations, but not all definitions  $\leadsto$  need to link math library: gcc math.c -o math -lm

```
#include <math.h>
#include <stdio.h>

int main() {
  float f = 0.555f;
  printf("%f", sqrt(f*4));
  return 0;
```

### II. INTRODUCTION TO OPERATING SYSTEMS

### What's an OS?

<u>abstraction</u>: provides abstraction for applications manages and hides hardware details uses low-level interfaces (not available to applications) multiplexes hardware to multiple programs (*virtualisation*) makes hardware use efficient for applications

# protection:

from processes using up all resources (accounting, allocation) from processes writing into other processes memory

### resource managing:

manages + multiplexes hardware resources decides between conflicting requests for resource use strives for efficient + fair resource use

### control:

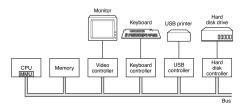
controls program execution prevents errors and improper computer use  $\,$ 

### → no universially accepted definition

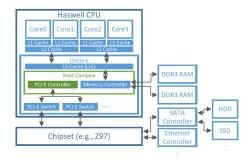
### Hardware Overview

 $\ensuremath{\mathrm{CPU(s)/devices/memory}}$  (conceptually) connected to common bus

 $\mathrm{CPU}(s)/\mathrm{devices}$  competing for memory cycles/bus all entities run concurrently



today: multiple busses



# Central Processing Unit (CPU) - Operation

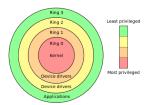
fetches instructions from memory, executes them (instruction format/-set depends on  $\ensuremath{\mathsf{CPU}})$ 

CPU internal registers store (meta-)data during execution (general purpose registers, floating point registers, instruction pointer (IP), stack pointer (SP), program status word (PSW),...)

### execution modes:

```
user mode (x86: Ring \ 3/CPL \ 3):
only non-privileged instructions may be executed cannot manage hardware \rightarrow protection kernel mode (x86: Ring \ 0/CPL \ 0):
all instructions allowed
```

can manage hw with **privileged instructions** 



## Random Access Memory (RAM)

keeps currently executed instructions + data today: CPUs have built-in memory controller root complex connected directly via "wire" to caches pins to RAM pins to PCI-E switches

### Caching

RAM delivers instructions/data slower than CPU can execute memory references typicalle follow  $locality\ principle$ :

spatial locality: future refs often near previous accesses (e.g. next byte in array)

temporal locality: future refs often at previously accessed ref (e.g. loop counter)

caching helps mitigating this memory wall:

copy used information temporarily from slower to faster storage check faster storage first before going down **memory hierarchy** if not, data is copied to cache and used from there

### Access latency:

register: ∼1 CPU cycle

L1 cache (per core):  $\sim 4$  CPU cycles

L2 cache (per core pair): ∼12 CPU cycles

L3 cache/LLC (per uncore):  $\sim$ 28 CPU cycles ( $\sim$ 25 GiB/s)

DDR3-12800U RAM:  $\sim$ 28 CPU cycles  $+\sim$  50ns ( $\sim$ 12 GiB/s)

### Caching - Cache Organisation

caches managed in hardware

divided into cache lines (usually 64 bytes each, unit at which data is exchanged between hierarchy levels)

often separation of data/instructions in faster caches (e.g. L1, see  $harward\ architecture$ )

cache hit: accessed data already in cache (e.g. L2 cache hit)cache miss: accessed data has to be fetched from lower level cache miss types:

compulsory miss: first ref miss, data never been accessed capacity miss: cache not large enough for process working set conflict miss: cache has still space, but collisions due to placement strategy

## Interplay of CPU and Devices

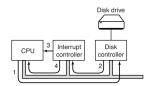
I/O devices and CPU execute concurrently

Each device controller

- is in charge of particular device
- has local buffer

## Workflow:

- 1. CPU issues commands, moves data to devices
- $2.\,$  Device controller informs APIC that operation is finished
- 3. APIC signals CPU
- 4. CPU receives device/interrupt number from APIC, executes handler



#### Device control

Devices controlled through their  $\mathbf{device}$   $\mathbf{controller}$ , accepts commands from OS via  $\mathbf{device}$   $\mathbf{driver}$ 

devices controlled through device registers and device memory: control device by writing device registers read status of device by reading device registers pass data to device by reading/writing device memory

2 ways to access device registers/memory:

### 1. port-mapped IO (PMIO):

use special CPU instructions to access port-mapped registers/memory

e.g. x86 has different in/out-commands that transfer 1,2 or 4 bytes between CPU and device

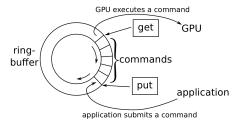
## 2. memory-mapped IO (MMIO):

use same address space for RAM and device memory some addresses map to RAM, others to different devices access device's memory region to access device registers/memory

some devices use hybrid approaches using both

### Device control - Nvidia general purpose GPU

memory-mapped ring-buffer and put/get-device mapping can be exposed to application  $\leadsto$  application can submit commands in user-mode



## Summary

The OS is an abstraction layer between applications and hardware (multiplexes hardware, hides hardware details, provides protextion between processes/users)

The CPU provides a separation of User and Kernel mode (which are required for an OS to provide protection between applications)

CPU can execute commands faster than memore can deliver instructions/data – memory hierarchy mitigates this memory wall, needs to be carefully managed by OS to minimize slowdowns

device drivers control hardware devices through  ${\rm PMIO/MMIO}$ 

Devices can signal the CPU (and through the CPU notify the OS) through interrupts