

Continued Fractions I

Lin Jiu

DKU Discrete Math Seminar

March 11th, 2022

What is a continued fractions

$$\frac{65}{12}$$

What is a continued fractions

$$\frac{65}{12}$$

By the Euclidean Algorithm,

$$\frac{65}{12}$$

What is a continued fractions

$$\frac{65}{12}$$

By the Euclidean Algorithm,

$$\frac{65}{12} = 5 + \frac{5}{12}$$

What is a continued fractions

$$\frac{65}{12}$$

By the Euclidean Algorithm,

$$\frac{65}{12} = 5 + \frac{5}{12} = 5 + \frac{1}{2 + \frac{2}{5}}$$

What is a continued fractions

$$\frac{65}{12}$$

By the Euclidean Algorithm,

$$\frac{65}{12} = 5 + \frac{5}{12} = 5 + \frac{1}{2 + \frac{2}{5}} = 5 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2}}}$$

What is a continued fractions

$$\frac{65}{12}$$

By the Euclidean Algorithm,

$$\frac{65}{12} = 5 + \frac{5}{12} = 5 + \frac{1}{2 + \frac{2}{5}} = 5 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2}}}$$

$$\pi = \frac{4}{1 + \frac{1^2}{2 + \frac{3^2}{2 + \frac{5^2}{2 + \ddots}}}}$$

Golden Ratio $\phi = \frac{\sqrt{5}+1}{2}$

$$\phi^2 = \frac{6 + 2\sqrt{5}}{4}$$

Golden Ratio $\phi = \frac{\sqrt{5}+1}{2}$

$$\phi^2 = \frac{6+2\sqrt{5}}{4} = 1 + \frac{1+\sqrt{5}}{2} = 1 + \phi$$

Golden Ratio $\phi = \frac{\sqrt{5}+1}{2}$

$$\phi^2 = \frac{6+2\sqrt{5}}{4} = 1 + \frac{1+\sqrt{5}}{2} = 1 + \phi$$

$$\phi = 1 + \frac{1}{\phi}$$

Golden Ratio $\phi = \frac{\sqrt{5}+1}{2}$

$$\phi^2 = \frac{6+2\sqrt{5}}{4} = 1 + \frac{1+\sqrt{5}}{2} = 1 + \phi$$

$$\phi = 1 + \frac{1}{\phi} = 1 + \frac{1}{1 + \frac{1}{\phi}}$$

Golden Ratio $\phi = \frac{\sqrt{5}+1}{2}$

$$\phi^2 = \frac{6+2\sqrt{5}}{4} = 1 + \frac{1+\sqrt{5}}{2} = 1 + \phi$$

$$\phi = 1 + \frac{1}{\phi} = 1 + \frac{1}{1 + \frac{1}{\phi}} = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{\ddots}}}$$

Rogers–Ramanujan continued fraction

$$G(q) := \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q; q)_n} = \frac{1}{(q; q^5)_{\infty} (q^4; q^5)_{\infty}} = 1 + q + q^2 + q^3 + 2q^4 + \dots$$

$$H(q) := \sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(q; q)_n} = \frac{1}{(q^2; q^5)_{\infty} (q^3; q^5)_{\infty}} = 1 + q^2 + q^3 + q^4 + \dots$$

Rogers–Ramanujan continued fraction

$$G(q) := \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q; q)_n} = \frac{1}{(q; q^5)_{\infty} (q^4; q^5)_{\infty}} = 1 + q + q^2 + q^3 + 2q^4 + \dots$$

$$H(q) := \sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(q; q)_n} = \frac{1}{(q^2; q^5)_{\infty} (q^3; q^5)_{\infty}} = 1 + q^2 + q^3 + q^4 + \dots$$

$$\frac{G(q)}{H(q)} = 1 + \frac{q}{1 + \frac{q^2}{1 + \frac{q^3}{1 + \ddots}}}$$

Rogers–Ramanujan continued fraction

$$G(q) := \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q; q)_n} = \frac{1}{(q; q^5)_{\infty} (q^4; q^5)_{\infty}} = 1 + q + q^2 + q^3 + 2q^4 + \dots$$

$$H(q) := \sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(q; q)_n} = \frac{1}{(q^2; q^5)_{\infty} (q^3; q^5)_{\infty}} = 1 + q^2 + q^3 + q^4 + \dots$$

$$\frac{G(q)}{H(q)} = 1 + \frac{q}{1 + \frac{q^2}{1 + \frac{q^3}{1 + \ddots}}} \Rightarrow q \rightarrow 1^-.$$

π

$$\pi = \frac{4}{1 + \frac{1^2}{2 + \frac{3^2}{2 + \frac{5^2}{2 + \ddots}}}}$$

π

$$\pi = \frac{4}{1 + \frac{1^2}{2 + \frac{3^2}{2 + \frac{5^2}{2 + \ddots}}}} = 3 + \frac{1^2}{6 + \frac{3^2}{6 + \frac{5^2}{6 + \ddots}}}$$

Some Results

Theorem

Let

$$\frac{A_n}{B_n} = q_1 + \frac{1}{q_2 + \frac{1}{q_3 + \frac{1}{\ddots \frac{1}{q_{n-1} + \frac{1}{q_n}}}}}.$$

Then,

Some Results

Theorem

Let

$$\frac{A_n}{B_n} = q_1 + \frac{1}{q_2 + \frac{1}{q_3 + \frac{1}{\ddots \frac{1}{q_{n-1} + \frac{1}{q_n}}}}}.$$

Then,

- For $n \geq 3$, $A_n = A_{n-1}q_n + A_{n-2}$ and $B_n = B_{n-1}q_n + B_{n-2}$.

Some Results

Theorem

Let

$$\frac{A_n}{B_n} = q_1 + \frac{1}{q_2 + \frac{1}{q_3 + \frac{1}{\ddots \frac{1}{q_{n-1} + \frac{1}{q_n}}}}}.$$

Then,

- ▶ For $n \geq 3$, $A_n = A_{n-1}q_n + A_{n-2}$ and $B_n = B_{n-1}q_n + B_{n-2}$.
- ▶ For $n > 1$, $A_n B_{n-1} - B_n A_{n-1} = (-1)^n$.

Some Results

Theorem

Let

$$\frac{A_n}{B_n} = q_1 + \frac{1}{q_2 + \frac{1}{q_3 + \frac{1}{q_4 + \ddots + \frac{1}{q_{n-1} + \frac{1}{q_n}}}}}.$$

Then,

- ▶ For $n \geq 3$, $A_n = A_{n-1}q_n + A_{n-2}$ and $B_n = B_{n-1}q_n + B_{n-2}$.
- ▶ For $n > 1$, $A_n B_{n-1} - B_n A_{n-1} = (-1)^n$.

Theorem

Assume $\alpha = \lim_{n \rightarrow \infty} \frac{A_n}{B_n}$ exists. Then,

$$\frac{1}{B_n(B_n + B_{n+1})} < \left| \alpha - \frac{A_n}{B_n} \right| < \frac{1}{B_n B_{n+1}}.$$

Functions

Let

$$N(n, k) = \frac{1}{n} \binom{n}{k} \binom{n}{k-1} \quad \text{and} \quad N_n(x) = \sum_{k=0}^n N(n, k) x^k.$$

Functions

Let

$$N(n, k) = \frac{1}{n} \binom{n}{k} \binom{n}{k-1} \quad \text{and} \quad N_n(x) = \sum_{k=0}^n N(n, k) x^k.$$

Then,

$$\mathcal{N}(x, t) := \sum_{n=0}^{\infty} N_n(x) t^n$$

Functions

Let

$$N(n, k) = \frac{1}{n} \binom{n}{k} \binom{n}{k-1} \quad \text{and} \quad N_n(x) = \sum_{k=0}^n N(n, k) x^k.$$

Then,

$$\mathcal{N}(x, t) := \sum_{n=0}^{\infty} N_n(x) t^n = \frac{1}{1 - \frac{xt}{1 - \frac{t}{1 - \frac{xt}{1 - \frac{t}{1 - \frac{xt}{1 - \frac{t}{\ddots}}}}}}}}.$$

Functions

Let

$$N(n, k) = \frac{1}{n} \binom{n}{k} \binom{n}{k-1} \quad \text{and} \quad N_n(x) = \sum_{k=0}^n N(n, k) x^k.$$

Then,

$$\mathcal{N}(x, t) := \sum_{n=0}^{\infty} N_n(x) t^n = \frac{1}{1 - \frac{xt}{1 - \frac{t}{1 - \frac{xt}{1 - \frac{t}{\ddots}}}}}$$

Definition

$N(n, k)$ are called Narayana numbers and $N_n(x)$ are Narayana polynomials.

Narayana numbers

- ▶ Dyck paths of length $2n$ with k peaks
- ▶ Non-crossing partitions of $[n]$ with k blocks
- ▶ Non-nesting partitions of $[n]$ with k blocks

Narayana numbers

- ▶ Dyck paths of length $2n$ with k peaks
- ▶ Non-crossing partitions of $[n]$ with k blocks
- ▶ Non-nesting partitions of $[n]$ with k blocks
- ▶ The number of unlabeled ordered rooted trees with n edges and k leaves.

Narayana numbers

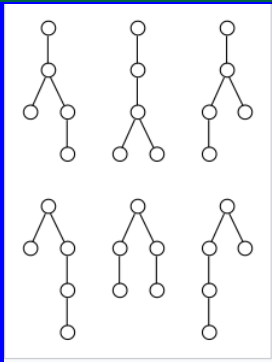
- ▶ Dyck paths of length $2n$ with k peaks
- ▶ Non-crossing partitions of $[n]$ with k blocks
- ▶ Non-nesting partitions of $[n]$ with k blocks
- ▶ The number of unlabeled ordered rooted trees with n edges and k leaves.

$$N(4, 2) = \frac{1}{4} \binom{4}{2} \binom{4}{2-1} = 6$$

Narayana numbers

- ▶ Dyck paths of length $2n$ with k peaks
- ▶ Non-crossing partitions of $[n]$ with k blocks
- ▶ Non-nesting partitions of $[n]$ with k blocks
- ▶ The number of unlabeled ordered rooted trees with n edges and k leaves.

$$N(4, 2) = \frac{1}{4} \binom{4}{2} \binom{4}{2-1} = 6$$



from Wikipedia

Dyck path

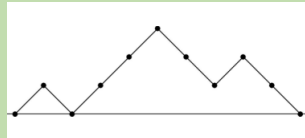
Definition

A Dyck path of length $2n$ is a path in the right quadrant \mathbb{N}^2 from $(0,0)$ to $(2n,0)$ using steps $(1,1)$ “rise” and $(1,-1)$ “fall”

Dyck path

Definition

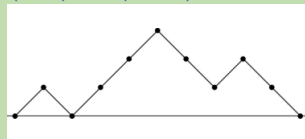
A Dyck path of length $2n$ is a path in the right quadrant \mathbb{N}^2 from $(0,0)$ to $(2n,0)$ using steps $(1,1)$ “rise” and $(1,-1)$ “fall”



Dyck path

Definition

A Dyck path of length $2n$ is a path in the right quadrant \mathbb{N}^2 from $(0,0)$ to $(2n,0)$ using steps $(1,1)$ “rise” and $(1,-1)$ “fall”











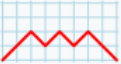

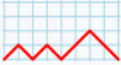



Theorem (Flajolet 1980)

As an identity in $\mathbb{Z}[\vec{\alpha}][[t]]$, we have

$$\frac{1}{1 - \frac{\alpha_1 t}{1 - \frac{\alpha_2 t}{\ddots}}} = \sum_{n=0}^{\infty} S_n(\alpha_1, \dots, \alpha_n) t^n,$$

where $S_n(\alpha_1, \dots, \alpha_n)$ is the generating polynomial for Dyck paths of length $2n$ in which each fall starting at height i gets weight α_i .

$$n = 4$$

$N(4, k)$	Paths
$N(4, 1) = 1$ path with 1 peak	
$N(4, 2) = 6$ paths with 2 peaks:	     
$N(4, 3) = 6$ paths with 3 peaks:	     
$N(4, 4) = 1$ path with 4 peaks:	

Wikipedia

Proof

$$\mathcal{N}(x, t) = 1 + xt\mathcal{N}(x, t)^2 - xt\mathcal{N}(x, t) + t\mathcal{N}(x, t).$$

Elementary “renewal” argument on Dyck paths implies

$$\mathcal{N} = \frac{1}{1 - tx - t(\mathcal{N} - 1)},$$

Proof

$$\mathcal{N}(x, t) = 1 + xt\mathcal{N}(x, t)^2 - xt\mathcal{N}(x, t) + t\mathcal{N}(x, t).$$

Elementary “renewal” argument on Dyck paths implies

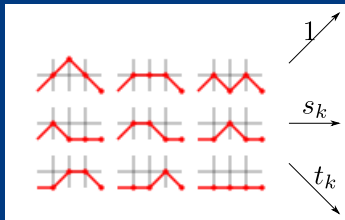
$$\mathcal{N} = \frac{1}{1 - tx - t(\mathcal{N} - 1)},$$

which means

$$\mathcal{N} = \frac{1}{1 - \frac{xt}{1-t\mathcal{N}}}$$

$$\begin{aligned}
(m_n)_{n=0}^\infty \sim m_n &= \int_{\mathbb{R}} x^n d\mu(x) \quad \stackrel{?}{\Rightarrow} \quad (P_n(x))_{n=1}^\infty \sim \int_{\mathbb{R}} P_n(x) P_m(x) d\mu(x) = C_n \delta_{m,n} \\
&\Rightarrow P_{n+1}(x) = (x + s_n) P_n(x) + t_n P_{n-1}(x) \\
&\Rightarrow \boxed{\sum_{n=0}^{\infty} m_n x^n = \frac{m_0}{1 + s_0 x + \frac{t_1 x^2}{1 + s_1 x + \frac{t_2 x^2}{1 + \dots}}}}
\end{aligned}$$

$$M_{n+1,k} = M_{n,k-1} + s_k M_{n,k} + t_{k+1} M_{n,k+1}$$



$$\sum_{n=0}^{\infty} M_{n,0} z^n = \frac{1}{1 - s_0 z - \frac{t_1 z^2}{1 - s_1 z - \frac{t_2 z^2}{\dots}}}$$

Different Types

Different Types

- ▶ Stieltjes type (S-fractions):

$$f(t) = \frac{1}{1 - \frac{\alpha_1 t}{1 - \frac{\alpha_2 t}{1 - \frac{\alpha_3 t}{\ddots}}}}$$

Different Types

- ▶ Stieltjes type (S-fractions):

$$f(t) = \frac{1}{1 - \frac{\alpha_1 t}{1 - \frac{\alpha_2 t}{1 - \frac{\alpha_3 t}{1 - \dots}}}}$$

- ▶ Jacobi type (J-fractions):

$$f(t) = \frac{1}{1 - \gamma_0 t - \frac{\beta_1 t^2}{1 - \gamma_1 t - \frac{\beta_2 t^2}{1 - \gamma_2 t - \frac{\beta_3 t^2}{1 - \gamma_3 t - \dots}}}}$$

Different Types

- ▶ Stieltjes type (S-fractions):

$$f(t) = \frac{1}{1 - \frac{\alpha_1 t}{1 - \frac{\alpha_2 t}{1 - \frac{\alpha_3 t}{1 - \dots}}}}$$

- ▶ Jacobi type (J-fractions):

$$f(t) = \frac{1}{1 - \gamma_0 t - \frac{\beta_1 t^2}{1 - \gamma_1 t - \frac{\beta_2 t^2}{1 - \gamma_2 t - \frac{\beta_3 t^2}{1 - \gamma_3 t - \dots}}}}$$

- ▶ Thron type (T-fractions):

$$f(t) = \frac{1}{1 - \delta_1 t - \frac{\alpha_1 t}{1 - \delta_2 t - \frac{\alpha_2 t}{1 - \delta_3 t - \frac{\alpha_3 t}{1 - \delta_4 t - \dots}}}}$$

Contractions

- ▶ even contraction

$$\frac{1}{1 - \frac{\alpha_1 t}{1 - \frac{\alpha_2 t}{1 - \frac{\alpha_3 t}{1 - \dots}}}} = \frac{1}{1 - \alpha_1 t - \frac{\alpha_1 \alpha_2 t^2}{1 - (\alpha_2 + \alpha_3)t - \frac{\alpha_3 \alpha_4 t^2}{1 - (\alpha_4 + \alpha_5)t - \frac{\alpha_5 \alpha_6 t^2}{1 - \dots}}}}$$

Contractions

► even contraction

$$\frac{1}{1 - \frac{\alpha_1 t}{1 - \frac{\alpha_2 t}{1 - \frac{\alpha_3 t}{1 - \dots}}}} = \frac{1}{1 - \alpha_1 t - \frac{\alpha_1 \alpha_2 t^2}{1 - (\alpha_2 + \alpha_3)t - \frac{\alpha_3 \alpha_4 t^2}{1 - (\alpha_4 + \alpha_5)t - \frac{\alpha_5 \alpha_6 t^2}{1 - \dots}}}}$$

► odd contraction

$$\frac{1}{1 - \frac{\alpha_1 t}{1 - \frac{\alpha_2 t}{1 - \frac{\alpha_3 t}{1 - \dots}}}} = 1 + \frac{\alpha_1 t}{1 - (\alpha_1 + \alpha_2)t - \frac{\alpha_2 \alpha_3 t^2}{1 - (\alpha_3 + \alpha_4)t - \frac{\alpha_4 \alpha_5 t^2}{1 - \dots}}}$$

Hankel determinants

Given a sequence $\mathbf{a} = (a_0, a_1, \dots)$, the n th Hankel determinant of \mathbf{a} is defined by

$$H_n(\mathbf{a}) = H_n(a_k) := \det_{0 \leq i, j \leq n} \begin{pmatrix} a_0 & a_1 & \cdots & a_n \\ a_1 & a_2 & \cdots & a_{n+1} \\ \vdots & \vdots & \ddots & \vdots \\ a_n & a_{n+1} & \cdots & a_{2n} \end{pmatrix}$$

Hankel determinants

Given a sequence $\mathbf{a} = (a_0, a_1, \dots)$, the n th Hankel determinant of \mathbf{a} is defined by

$$H_n(\mathbf{a}) = H_n(a_k) := \det_{0 \leq i, j \leq n} \begin{pmatrix} a_0 & a_1 & \cdots & a_n \\ a_1 & a_2 & \cdots & a_{n+1} \\ \vdots & \vdots & \ddots & \vdots \\ a_n & a_{n+1} & \cdots & a_{2n} \end{pmatrix}$$

For Catalan number $C_n = \binom{2n}{n} / (n+1)$, $H_n(C_k) =$

Orthogonal polynomials

$$(a_n)_{n=0}^{\infty} \sim a_n = \int_{\mathbb{R}} x^n d\mu(x)$$

Orthogonal polynomials

$$(a_n)_{n=0}^{\infty} \sim a_n = \int_{\mathbb{R}} x^n d\mu(x) \stackrel{?}{\Rightarrow} (P_n(x))_{n=1}^{\infty} \sim \int_{\mathbb{R}} P_n(x) P_m(x) d\mu(x) = C$$

Orthogonal polynomials

$$(a_n)_{n=0}^{\infty} \sim a_n = \int_{\mathbb{R}} x^n d\mu(x) \stackrel{?}{\Rightarrow} (P_n(x))_{n=1}^{\infty} \sim \int_{\mathbb{R}} P_n(x) P_m(x) d\mu(x) = C$$

$$P_n(y) = \frac{1}{H_{n-1}(\mathbf{a})} \det_{0 \leq i,j \leq n} \begin{pmatrix} a_0 & a_1 & \cdots & a_n \\ a_1 & a_2 & \cdots & a_{n+1} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n-1} & a_n & \cdots & a_{2n-1} \\ 1 & y & \cdots & y^n \end{pmatrix}.$$

Orthogonal polynomials

$$(a_n)_{n=0}^{\infty} \sim a_n = \int_{\mathbb{R}} x^n d\mu(x) \stackrel{?}{\Rightarrow} (P_n(x))_{n=1}^{\infty} \sim \int_{\mathbb{R}} P_n(x) P_m(x) d\mu(x) = 0$$

$$P_n(y) = \frac{1}{H_{n-1}(\mathbf{a})} \det_{0 \leq i, j \leq n} \begin{pmatrix} a_0 & a_1 & \cdots & a_n \\ a_1 & a_2 & \cdots & a_{n+1} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n-1} & a_n & \cdots & a_{2n-1} \\ 1 & y & \cdots & y^n \end{pmatrix}.$$

$$\sum_{n=0}^{\infty} a_n x^n = \frac{a_0}{1 + s_0 x + \frac{t_1 x^2}{1 + s_1 x + \frac{t_2 x^2}{1 + \dots}}}$$