# Some Large Language Models used with Pre-training + Fine-Tuning

We present a few models using this approach.

## **BERT**

- paper (https://arxiv.org/pdf/1810.04805.pdf)
- model card (https://huggingface.co/bert-base-uncased)

BERT (Bidirectional Encoder Representations from Transformers) is also a *fine-tuning* (universal model) approach.

# Training objective

BERT is trained to solve **two** tasks

- Masked Language Modeling
- Next sentence prediction
  - does one sentence follow from another

(For a list of auxiliary tasks used, see <a href="https://arxiv.org/pdf/2107.13586.pdf#page=44">https://arxiv.org/pdf/2107.13586.pdf#page=44</a>)

The **Masked Language Model** task is a generalization of "predict the next" token

- Mask (obscure) 15% of the input tokens, chosen at random
- The method for masking takes one of three forms
  - lacksquare 80% of the time, hide it: replace with [MASK] token
  - 10% of the time: replace it with a random word
  - 10% of the time: don't obscure it

The training objective is to predict the masked word

#### The authors explain

- Since BERT does not know which words have been masked
- Or which of the masked words were random replacements
- It must maintain a context for all tokens

They also state that, since random replacement only occurs 1.5% of the time (10% \* 15%), this does not seem to destroy language understanding

| The second task is entailment   |
|---|
| <ul> <li>Given two sentences, does the second logically follow from the first.</li> <li>Perhaps this forces BERT to encode even more global context into its representations</li> </ul> |
|   |
|   |
|   |

# **Training**

- BooksCorpus dataset (like GPT): 800MM words
- Wikipedia (English): 2,500MM words
- Training time
  - 4 days on 64 TPU chips

See Section A.2 ("Pre-training procedure", page 13) for details of training

- Optimizer: AdaM
- Learning rate decay
- Warmup

#### **Architecture**

BERT is an Encoder.

The original Transformer consistS of an

- An Encoder which could attend to all tokens
  - does not use masked attention to force causal ordering
- A Decoder which used masking to enforce causal attention (not peeking into the future)

The Encoder allows bi-directional access to all elements of the inputs

• is appropriate for tasks that require a context-sensitive representation of each input element.

An Encoder is useful for tasks that require a summary of the sequence. The summary can be conceptualized as a "sentence embedding" • Sentiment

#### **BERT** in action

<u>Interactive model for MLM (https://huggingface.co/bert-base-uncased?text=Washington+is+the+%5BMASK%5D+of+the+US)</u>

# **GPT:** Generalized Pre-Training

<u>paper (https://cdn.openai.com/research-covers/language-unsupervised/language\_understanding\_paper.pdf)</u>

Summary article (https://openai.com/blog/language-unsupervised/)

GPT is a sequence of increasingly powerful (and big) models of similar architecture.

It is based on the paradigm of Unsupervised Pre-Training and Supervised Fine-Tuning.

#### **Architecture**

GPT models are stacks of Transformer Decoders.

Recall the specifics of a Transformer Decoder

- ullet Recurrent: output of time step t appended to input available at time step (t+1)
- Causal ordering of inputs
  - Left to Right, unidirectional
  - Implemented via Masked Self-attention

A Decoder is appropriate for generative tasks. The Unsupervised Pre-Training task is generative. • They are all trained on a Language Model objective: predict the next word Text Task
Prediction Classifier

## Size

Each generation of the GPT family

- Increases the number of stacked Transformer blocks
- Increases the size of the training data

#### The first generation model (called "GPT") architecture

- N=12 Transformer blocks (stacked)
- d=768 (referred to as  $d_{
  m model}$  in the paper)
  - lacktriangle Recall that d is the size of each position of the Encoder output
  - Is also the size of the output of all internal layers
- $n_{\rm heads} = 12$ 
  - Recall that Multi-head Attention uses several Attention heads
  - lacksquare On a reduced length transformation of the length d input

$$lacksquare d_{
m head} = rac{d_{
m model}}{n_{
m heads}} = 64$$

- Feed Forward Network
  - Output of Attention layer (size  $d_{\mathrm{model}}$ ) connected to
  - $4*d_{
    m model}=3072$  internal nodes
- $ar{T} \leq 512$ 
  - maximum sequence length.

GPT uses a total of 117 million weights.

It is trained on

- 5GB of text (BooksCorpus dataset consisting of 7,000 books: 800MM words)
- Training time
  - 30 days on 8 GPUs
  - 26 petaflop-days

# **Unsupervised Pre-Training**

The Pre-Training task is to predict the next word in the sequence.

The Unsupervised Training objective is to

- maximize the likelihood for the "target" word (next word in sequence)
- ullet maximize log likelihood on  ${\cal U}$  (a corpus of tokens)

$$\mathcal{L}_1(\mathcal{U}) = \sum_i \log p(u)_i | u_{i-k}, \dots, u_{i-1}; \Theta)$$

The stacked Decoder blocks are described mathematically in the paper as

$$egin{array}{ll} h_0 &= UW_e + W_p & ext{concatenate Input Embedding and Positi} \ h_i &= ext{transformer\_block}(h_{i-1}) & ext{connect output of layer } (i-1) ext{ to input of for } 1 \leq i \leq n \ p(U) &= ext{softmax}(h_nW_e^T) & ext{Final output is probability distribution or } h_n ext{ is output of top transformer block} \ h_nW_e^T ext{reverses the embedding to obtain to } h_nW_e^T ext{reverses the embedding to obtain to } h_nW_e^T ext{reverses} \end{aligned}$$

where

 $egin{aligned} U & ext{context of size } k: [u_{-k}, \dots, u_{-1}] \ W_e & ext{token embedding matrix} \end{aligned}$ 

 $W_p$  position encoding matrix

 $h_i$  Output of transformer block i

n number of transformer blocks/layers

See <u>Section 4.1 ("Model specifications") of the paper (https://cdn.openai.com/research-covers/language-unsupervised/language\_understanding\_paper.pdf#page=4)</u> for details of training

- Optimizer: AdaM
- Learning rate decay
- Warmup

We briefly introduced these concepts in earlier modules.

Hopefully it is somewhat interesting to see them used in practice.

# **Supervised Fine Tuning**

The end-user uses the pre-trained model (architecture and weights)

ullet Trains on a small set  ${\cal C}$  of domain-specific examples for a **Classifiation task** on a sequence of words

$$egin{array}{lll} \mathcal{C} &=& [\mathbf{x^{(i)}},\mathbf{y^{(i)}}|1 \leq i \leq ||\mathcal{C}||] \ &=& \mathbf{x^{(i)}_{(1)}},\ldots,\mathbf{x^{(i)}_{(m)}},\mathbf{y^{(i)}} \end{array}$$

• To fine-tune the weights

The process is described mathematical short-hand in the paper by defining the Fine Tuning Objective:

• maximize log likelihood on  $\mathcal{C}$   $\mathcal{L}_2(\mathcal{C}) = \sum_{(\mathbf{x}, \mathbf{y})} \log p(\mathbf{y} | \mathbf{x}_1, \dots, \mathbf{x}_m) \quad \text{where } \mathbf{y} = \operatorname{softmax}(h_l^m W_y)$ 

Let's understand this

- Take output of layer l of the model:  $h_l^m$ 
  - the *m* is referring to the length of the input
- Add a Classification head specific to the narrow domain
  - $\operatorname{softmax}(h_l^m W_y)$  is the mathematical formula for Logistic Regression
- Using weights from unsupervised pre-training

The authors also experimented with a Fine Tuning Objective that included the Language Model Pbjective

$$\mathcal{L}_3(\mathcal{C}) = \mathcal{L}_2(\mathcal{C}) + \lambda \mathcal{L}_1(\mathcal{C})$$

# Results of Unsupervised Pre-Training + Supervised Fine-Tuning

- Tested on 12 tasks
- Improved state-of-the-art results on 9 out of the 12

## GPT 2

## GPT-2

<u>paper (https://cdn.openai.com/better-language-models/language models are unsupervised multitask learners.pdf)</u>

Model card (https://github.com/openai/gpt-2/blob/master/model card.md)

Summary (https://openai.com/blog/better-language-models/)

Second Generation model.

## Size

- N=48 Transformer blocks (4 times first generation)
- d=1536 (2 times first generation)
- ullet  $n_{
  m heads}=16$  (1.5 times first generation)

$$d_{
m head} = rac{d_{
m model}}{n_{
m heads}} = 96$$

ullet  $ar{T}=1024$  (2 times first generation)

GPT-2 uses 1.5 billion weights.

It is trained on

• 40GB of data (10 times the first generation)

# Results on Zero-shot tasks

Tested on 8 tasks

• State of the art on 7 out of the 8

# GPT-3

Third Generation model.

paper (https://arxiv.org/abs/2005.14165)

Model card (https://github.com/openai/gpt-3/blob/master/model-card.md)

Summary ()

## Size

- N=96 Transformer blocks (8 times first generation)
- d=12,288 (16 times first generation)
- ullet  $n_{
  m heads}=96$  (8 times first generation)

$$lacksquare d_{
m head} = rac{d_{
m model}}{n_{
m heads}} = 128$$

ullet  $ar{T}=2048$  (4 times first generation)

GPT-3 uses 175 billion weights.

It is trained on

- 570 GB of data (100 times first generation)
- Training cost
  - \$42K
  - 190K KWh of electricity @ \$ 0.22 per KW hour

#### The training set comes from several sources

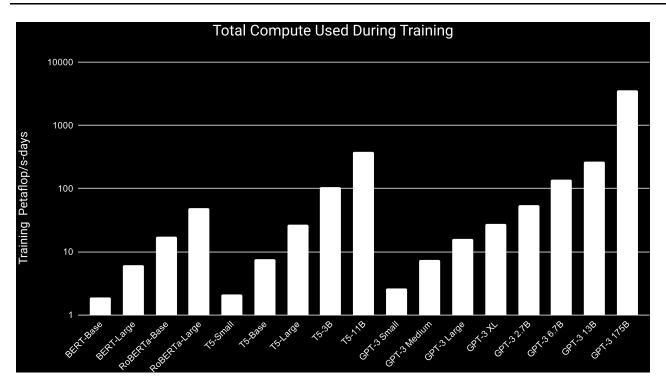
- Common Crawl (https://commoncrawl.org/the-data/get-started/)
  - web crawler over multiple years
  - 570 GB (100 times GPT)
  - 410 billion tokens
- Additional training sets, for experiments
  - Webtext2 (https://d4mucfpksywv.cloudfront.net/better-languagemodels/language-models.pdf)
    - Web pages originating from highly ranked Reddit links
    - 19 billion tokens
  - Books
    - o 67 billion tokens -Wikipedia
    - 3 billion tokens

# **Evolution of the GPT generations**

You can see from the following graph how the computation times increase by orders of magnitude over the generations of GPT

- GPT-3 small  $\approx$  GPT
- GPT-3 XL  $\approx$  GPT-2

#### Compute time



# Can you compete with GPT? Why Transfer Learning matters

Intellectually: you know (approximately) how to replicate GPT-3.

Practically: can you do it?

# Scaling up the size of the training set: WebText

We argued early in the course that the "dirty secret" of Machine Learning was the effort expended in sourcing, cleaning, and pre-processing training data.

The GPT project illustrates this.

One key to the success of GPT-2 (and later generations) was a newly created training set that was scraped from the Web.

The most common web-scraped dataset is <a href="Common Crawl">Common Crawl</a> (<a href="https://commoncrawl.org/">https://commoncrawl.org/</a>)

- large, diversified
- quality problems?
  - Large set of pages pointed to are "gibberish"

The GPT team tried to create a high-quality crawl by using a curated approach to links

- Based on Reddit
- Only follow links originating from highly-ranked (high "karma") Reddit pages

The result is called WebText

- 40GB; 8MM documents
- removed any Wikipedia
  - since it is included in many of the benchmark tasks whose performance we want to measure out of sample

From a practical standpoint:

- this is a highly labor-intensive step
- that **precedes** training

Creating a large, quality dataset such as this is a significant impediment to your attempting to create our own model.

## Cost of Training GPT-3 on your own

The computational requirements for training a Large Language Model is immense!

In the following table observe the "Total train compute" cost for models of varying size

- in flops (floating point operations)
- in Peta Flop (PF) days
  - number of days, assuming  $10^{15}$  floating point operations per second available, running all day
  - can reduce number of days by more hardware (more floating point operations per second)

#### D Total Compute Used to Train Language Models

This appendix contains the calculations that were used to derive the approximate compute used to train the language models in Figure 2.2. As a simplifying assumption, we ignore the attention operation, as it typically uses less than 10% of the total compute for the models we are analyzing.

- Amazon Cloud
  - G5 instance
    - NVidia A10G Tensor Core GPUs @ 250 Tflops/GPU
    - 8 GPU instance (2 Pflops) @\$10/hour (with yearly contract; \\$16\hour on-demand)
      - \$240 per 2Pflops-day
- GPT-3  $\approx$  3000 Pflop-days
  - 3000/2 = 1500 days G5 instances to get 3000 Pflops-days
  - Cost = 1500 \* \$240/day = \\$360K

# Can you compete

Intellectually: yes.

Practically: requires much effort and expense

Fortunately, *someone else* often has performed the Unsupervised Pre-Training of a Large Language Model.

You may have little choice other than to leverage this effort and only perform the Supervised Fine-Tuning of the Pre-trained model on your specific task.

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In [1]: print("Done")
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Done