

# Rotation and Angular Momentum

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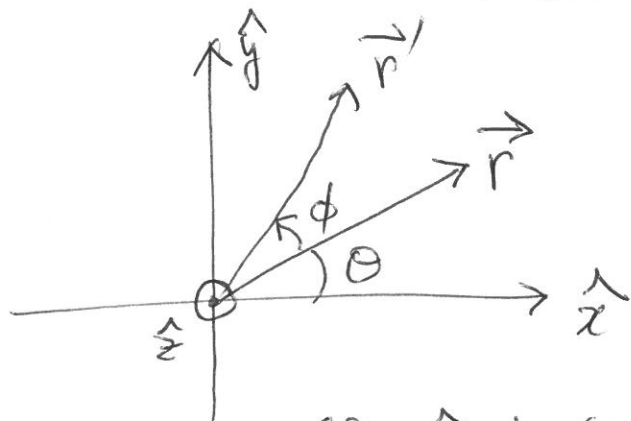
Review Lecture Notes Nov. 15 & 17 on moodle  
while I travel next week, HW8 will begin on Nov. 22

Nov. 10, 2016

The importance of angular momentum in modern physics can hardly be overemphasized. A thorough understanding of angular momentum is essential in molecular, atomic and nuclear spectroscopy. Angular momentum considerations play an important role in scattering and collision problems, as well as in bound-state problems.

\* Rotation involves "Non-inertial frame"  
(e.g. "Thomas precession" in spin-orbit coupling in atomic systems)  
Quantization shown in spin will be generalized through the group properties, e.g.  $SO(3)$  &  $SU(2)$ , etc.

Consider a rotation of  $\vec{r}$  to  $\vec{r}'$  by an angle  $\phi$  around  $\hat{z}$ -axis as shown below.



use  $\hat{x} \doteq \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

$$\vec{r} = r \cos \theta \hat{x} + r \sin \theta \hat{y}$$

$$\vec{r}' = r \cos(\theta + \phi) \hat{x} + r \sin(\theta + \phi) \hat{y}$$

Let's take  $r=1$  for simplicity without loss of any generality, and

$$\hat{y} \doteq \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

Then,  $\vec{r} = \cos\theta \hat{x} + \sin\theta \hat{y} \doteq \begin{pmatrix} \cos\theta \\ \sin\theta \end{pmatrix}$

and  $\vec{r}' \doteq \begin{pmatrix} \cos(\theta+\phi) \\ \sin(\theta+\phi) \end{pmatrix} = \begin{pmatrix} \cos\theta \cos\phi - \sin\theta \sin\phi \\ \sin\theta \cos\phi + \cos\theta \sin\phi \end{pmatrix}$

$= \underbrace{\begin{pmatrix} \cos\phi & -\sin\phi \\ \sin\phi & \cos\phi \end{pmatrix}}_{R_z(\phi)} \underbrace{\begin{pmatrix} \cos\theta \\ \sin\theta \end{pmatrix}}_{\vec{r}}$

$R_z^T(\phi) = R_z^{-1}(\phi) = R_z(-\phi)$   
 $\sim R_z^T(\phi) R_z(\phi) = I$   
 Orthogonal!  
 $\det R_z(\phi) = 1$ ; Special!  
 SO(3)  
 special orthogonal group in 3-dim

or  $\vec{r}' = R_z(\phi) \vec{r}$ , where  $R_z(\phi) \doteq \begin{pmatrix} \cos\phi & -\sin\phi & 0 \\ \sin\phi & \cos\phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$

We may generalize to other axis

by taking the element 1 to other diagonal element corresponding to the axis chosen.  $R_x(\phi) \doteq \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\phi & -\sin\phi \\ 0 & \sin\phi & \cos\phi \end{pmatrix}$ ,  $R_y(\phi) \doteq \begin{pmatrix} \cos\phi & 0 & \sin\phi \\ 0 & 1 & 0 \\ -\sin\phi & 0 & \cos\phi \end{pmatrix}$

Note that finite rotations around different axes are not commutative or "Non-commutative".

e.g.  $R_x\left(\frac{\pi}{2}\right) R_z\left(\frac{\pi}{2}\right) \neq R_z\left(\frac{\pi}{2}\right) R_x\left(\frac{\pi}{2}\right)$ .

SO(3) group is a non-abelian group.  
 special orthogonal 3-dim.  
 "non-commutative"

See Fig. 3.1

However, infinitesimal rotations about different axes commute! (3)

$$R_x(\epsilon) R_z(\epsilon) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 - \frac{\epsilon^2}{2} & -\epsilon \\ 0 & \epsilon & 1 - \frac{\epsilon^2}{2} \end{pmatrix} \begin{pmatrix} 1 - \frac{\epsilon^2}{2} & -\epsilon & 0 \\ \epsilon & 1 - \frac{\epsilon^2}{2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\approx \begin{pmatrix} 1 - \frac{\epsilon^2}{2} & -\epsilon & 0 \\ \epsilon & 1 - \frac{\epsilon^2}{2} & -\epsilon \\ \epsilon^2 & \epsilon & 1 - \frac{\epsilon^2}{2} \end{pmatrix} \approx \begin{pmatrix} 1 & -\epsilon & 0 \\ \epsilon & 1 & -\epsilon \\ 0 & \epsilon & 1 \end{pmatrix} \quad \uparrow \text{up to } \mathcal{O}(\epsilon)$$

$$R_z(\epsilon) R_x(\epsilon) = \begin{pmatrix} 1 - \frac{\epsilon^2}{2} & -\epsilon & 0 \\ \epsilon & 1 - \frac{\epsilon^2}{2} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 - \frac{\epsilon^2}{2} & -\epsilon \\ 0 & \epsilon & 1 - \frac{\epsilon^2}{2} \end{pmatrix}$$

$$\approx \begin{pmatrix} 1 - \frac{\epsilon^2}{2} & -\epsilon & \epsilon^2 \\ \epsilon & 1 - \frac{\epsilon^2}{2} & -\epsilon \\ 0 & \epsilon & 1 - \frac{\epsilon^2}{2} \end{pmatrix} \approx \begin{pmatrix} 1 & -\epsilon & 0 \\ \epsilon & 1 & -\epsilon \\ 0 & \epsilon & 1 \end{pmatrix} \quad \uparrow \text{up to } \mathcal{O}(\epsilon)$$

Also, we note

$$R_z(\epsilon) R_x(\epsilon) - R_x(\epsilon) R_z(\epsilon) \approx \begin{pmatrix} 0 & 0 & +\epsilon^2 \\ 0 & 0 & 0 \\ -\epsilon^2 & 0 & 0 \end{pmatrix}$$

$$= R_y(\epsilon^2) - \mathbf{I}$$

$$\begin{pmatrix} \cos \epsilon^2 & 0 & \sin \epsilon^2 \\ 0 & 1 & 0 \\ -\sin \epsilon^2 & 0 & \cos \epsilon^2 \end{pmatrix}$$

$R_{any}(0)$

See p. 160, Eq. (3.1.7)

$$R_z(\phi) = \begin{pmatrix} \cos\phi & -\sin\phi & 0 \\ \sin\phi & \cos\phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 - \frac{\phi^2}{2!} + \frac{\phi^4}{4!} - \dots & -\phi + \frac{\phi^3}{3!} - \dots & 0 \\ \phi - \frac{\phi^3}{3!} + \dots & 1 - \frac{\phi^2}{2!} + \frac{\phi^4}{4!} - \dots & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \phi \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + \frac{\phi^2}{2!} \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ + \frac{\phi^3}{3!} \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + \frac{\phi^4}{4!} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} + \dots$$

$$= I + \phi G_z + \frac{\phi^2}{2!} G_z^2 + \frac{\phi^3}{3!} G_z^3 + \frac{\phi^4}{4!} G_z^4 + \dots$$

$$= e^{\phi G_z}, \text{ where } G_z = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Similarly,

$$R_x(\phi) = e^{\phi G_x}, \text{ where } G_x = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix},$$

$$\text{and } R_y(\phi) = e^{\phi G_y}, \text{ where } G_y = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}.$$

Note that

$$G_x G_y = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, G_y G_x = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \text{ and } [G_x, G_y] = G_z$$

$$\text{In general, } [G_i, G_j] = \epsilon_{ijk} G_k.$$

Also, note that

$$e^{\epsilon G_z} e^{\epsilon G_x} - e^{\epsilon G_x} e^{\epsilon G_z} = e^{\epsilon^2 G_y} - I \text{ for up to } \epsilon^2 \text{ order}$$

as shown in Eq. (3.1.7) p. 160.

Up to  $\epsilon^2$  order, one can see the above equality holds

$$(1 + \epsilon G_z + \frac{\epsilon^2}{2!} G_z^2) (1 + \epsilon G_x + \frac{\epsilon^2}{2!} G_x^2) - (1 + \epsilon G_x + \frac{\epsilon^2}{2!} G_x^2) (1 + \epsilon G_z + \frac{\epsilon^2}{2!} G_z^2)$$

$$= \epsilon^2 [G_z, G_x] = \epsilon^2 G_y = (I + \epsilon^2 G_y) - I$$

$G_y$

Lie group; continuous transformation group

$e^{\epsilon^2 G_y}$  expansion up to  $\epsilon^2$  order

"degree 2" (because only 3 generators,  $J_x, J_y, J_z$ )

In the Hilbert space,

$$G_k \rightarrow \frac{J_k}{i\hbar} = -\frac{i}{\hbar} J_k$$

so that  $SO(3); e^{G_k \phi} \rightarrow e^{\frac{-i J_k \phi}{\hbar}}; SU(2)$   $\text{Det}[e^{\frac{-i J_k \phi}{\hbar}}] = 1$ ; special "unitary"

$$[G_i, G_j] = \epsilon_{ijk} G_k \longrightarrow [J_i, J_j] = i\hbar \epsilon_{ijk} J_k$$

Note that we had already the spin algebra given by

$$\text{Eq. (1.4.20) p. 28, i.e. } [S_i, S_j] = i\hbar \epsilon_{ijk} S_k$$

See the lecture note on Nov. 15 "Pauli's Two-Component Formalism"  $\vec{S} = \frac{\hbar}{2} \vec{\sigma}$

What we obtained here is the generalization of the spin algebra to any angular momentum algebra.

(e.g. orbital angular momentum as well as any other spin angular momentum beyond spin- $\frac{1}{2}$ )

Infinitesimal rotations

in Q.M. Hilbert space introduce the angular momentum generators;  $J_x, J_y, J_z$ .

e.g. Orbital angular momentum  $\vec{L} = \vec{r} \times \vec{p}$ . (6)

From  $\vec{L} = \vec{r} \times \vec{p} = \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ x & y & z \\ p_x & p_y & p_z \end{vmatrix}$ , we have

$$L_x = y p_z - z p_y, \quad L_y = z p_x - x p_z, \quad L_z = x p_y - y p_x.$$

Using the Q.M. commutation relations between position and momentum, i.e.  $[x_i, p_j] = i\hbar \delta_{ij}$ , we get

e.g.  $[L_x, L_y] = [y p_z - z p_y, z p_x - x p_z]$

$$= [y p_z, z p_x] - [y p_z, x p_z] - [z p_y, z p_x] + [z p_y, x p_z]$$

$$y [p_z, z p_x] + [y, z p_x] p_z$$

$$\underbrace{[p_z, z]}_{-i\hbar} p_x + z \underbrace{[p_z, p_x]}_0$$

$$\underbrace{z [p_y, x p_z]}_0 + \underbrace{[z, x p_z] p_y}_{i\hbar p_y}$$

$$= i\hbar (x p_y - y p_x)$$

$$= i\hbar L_z$$

or  $[L_x, L_y] = i\hbar L_z$   
as it should be!

$$\begin{aligned} &+ [y, z p_x] p_z - [y p_z, x p_z] \\ &- [z p_y, z p_x] + z [p_y, x p_z] \end{aligned}$$

$$\begin{aligned} &\downarrow \\ &y z p_x p_z - z p_x y p_z - y p_z x p_z + x p_z y p_z \\ &- z p_y z p_x + z p_x z p_y + z p_y x p_z - z x p_z p_y \\ &\downarrow \\ &0 \end{aligned}$$

Define a rotation in Hilbert space

7

as Drehung (meaning rotation in German) given by

$$|\alpha\rangle_R = \Delta(R)|\alpha\rangle$$

↑  
rotated state

More specifically, Drehung by angle  $\phi$  around  $\hat{n}$  axis is denoted as

$$\Delta(\hat{n}, \phi) = e^{\frac{-i \vec{J} \cdot \hat{n}}{\hbar} \phi}$$

Recall the transition operator  $e^{\frac{-i p l}{\hbar}}$  to get

$$|x+l\rangle = e^{\frac{-i p l}{\hbar}} |x\rangle$$

$$\begin{aligned} e^{\frac{-i p l}{\hbar}} |x\rangle &= (1 - \frac{i p l}{\hbar} + \dots) |x\rangle \\ &= |x\rangle - \frac{i p l}{\hbar} |x\rangle \end{aligned}$$

$$\begin{aligned} \langle x | p &= -\frac{\hbar}{i} \frac{\partial}{\partial x} \langle x | \\ \langle x | p &= \frac{\hbar}{i} \frac{\partial}{\partial x} \langle x | \end{aligned}$$

As an example,  $\Delta(\hat{z}, \phi)$  rotates  $|x, y, z\rangle$  to  $|x', y', z\rangle$ , where  $x' = x \cos \phi - y \sin \phi$  and  $y' = x \sin \phi + y \cos \phi$ , i.e.

$$e^{\frac{-i J_z \phi}{\hbar}} |x, y, z\rangle = |x \cos \phi - y \sin \phi, x \sin \phi + y \cos \phi, z\rangle$$

This can be verified taking  $J_z$  as  $L_z = x p_y - y p_x$ .

Note that  $\langle y | p_y = \frac{\hbar}{i} \frac{\partial}{\partial y} \langle y | \sim p_y | y \rangle = -\frac{\hbar}{i} \frac{\partial}{\partial y} | y \rangle$ , etc.

$$\begin{aligned} |x \cos \phi - y \sin \phi, x \sin \phi + y \cos \phi, z\rangle &= |x, y, z\rangle + \phi (x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x}) |x, y, z\rangle + \dots \\ &= (1 - \frac{i \phi}{\hbar} L_z + \dots) |x, y, z\rangle = e^{\frac{-i L_z \phi}{\hbar}} |x, y, z\rangle. \end{aligned}$$

(8)

While I travel next week,

please review the lecture notes on Moodle:

Nov. 15: Pauli's Two-Component Formalism  
(Remind the spin algebra with Pauli matrices)

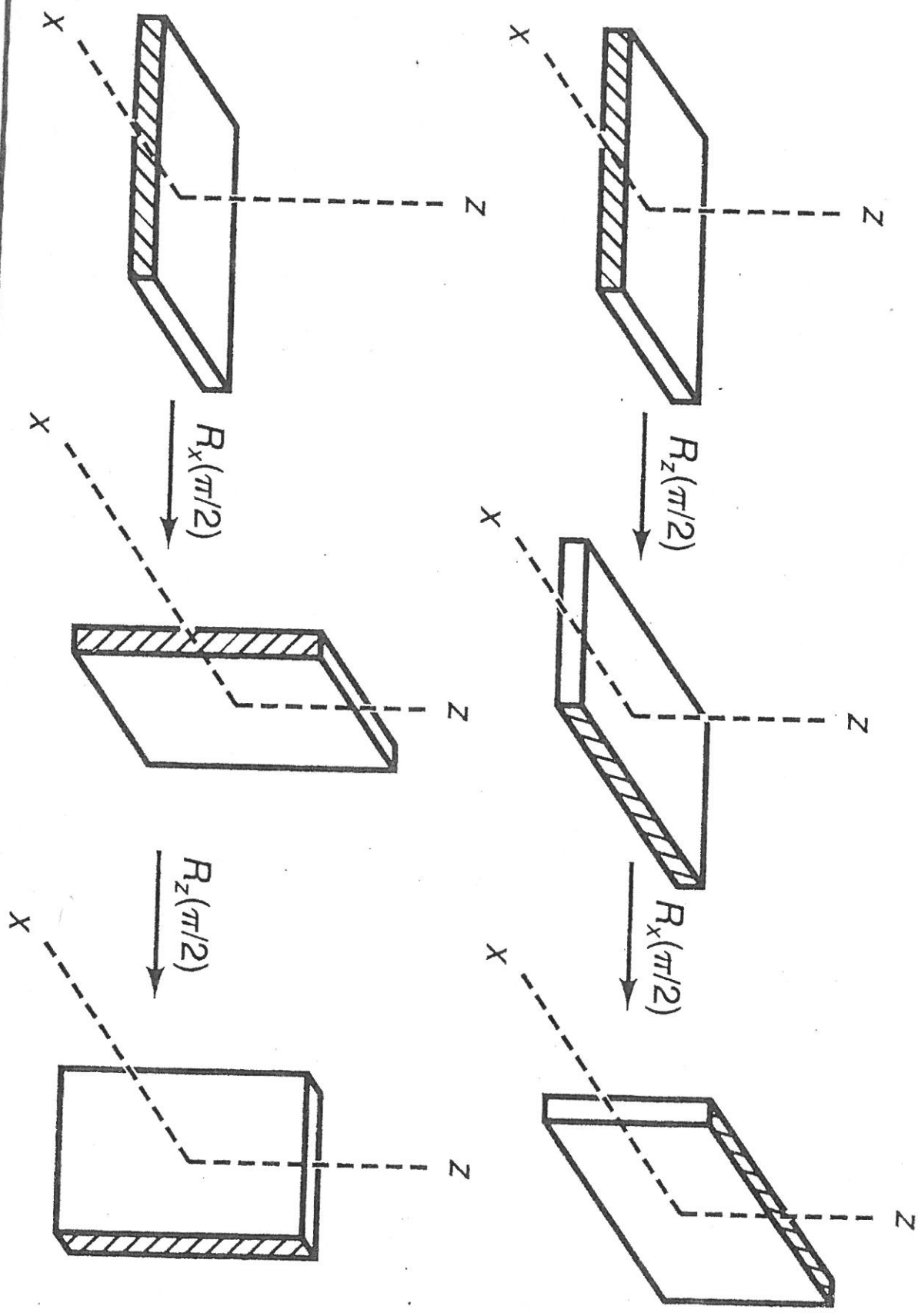
Nov. 17:  $SO(3)$  and  $SU(2)$

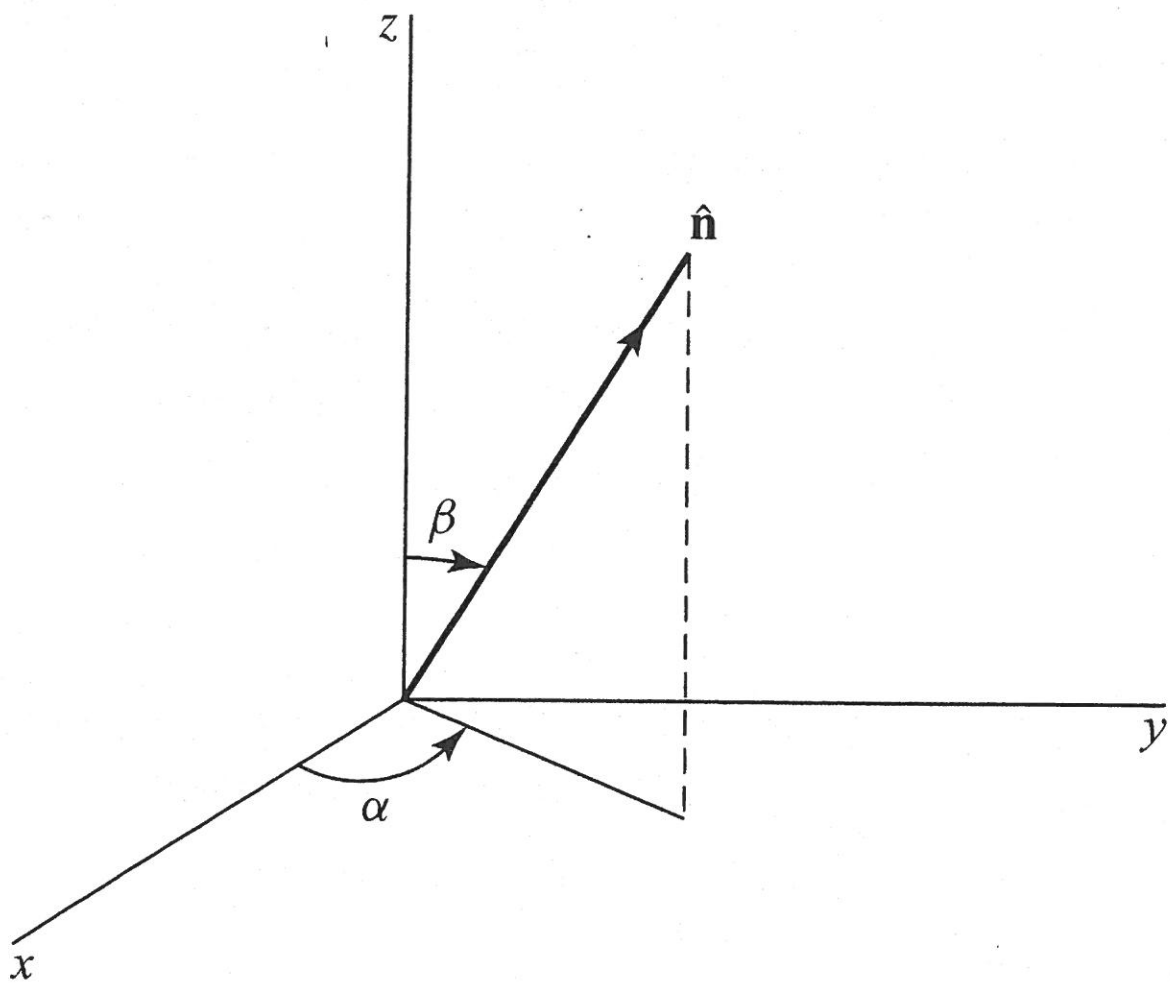
(Study the Euler angles with respect to Lab frame vs. Body frame)

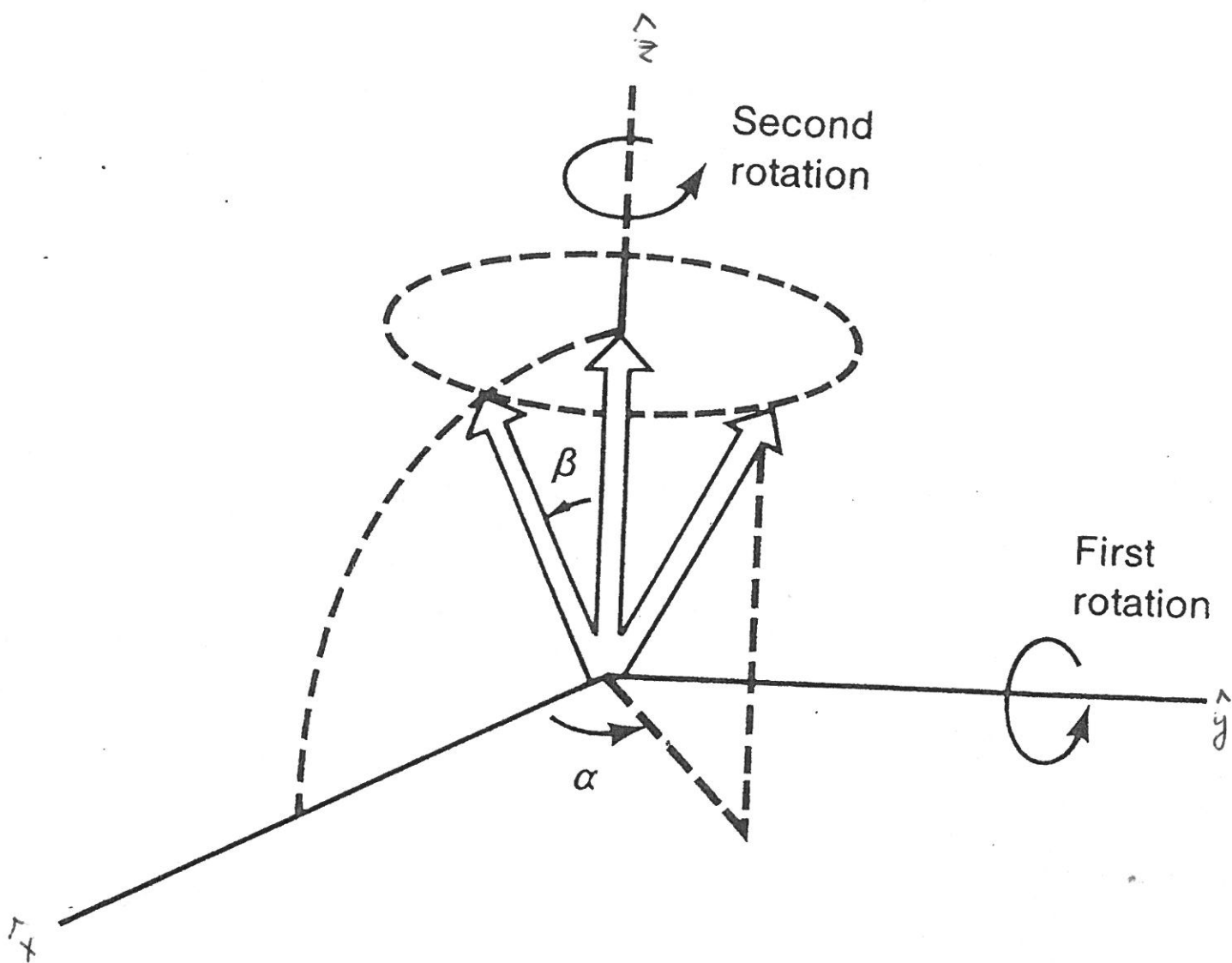
Homework #8 (Last Homework) will be  
given on Nov. 22.

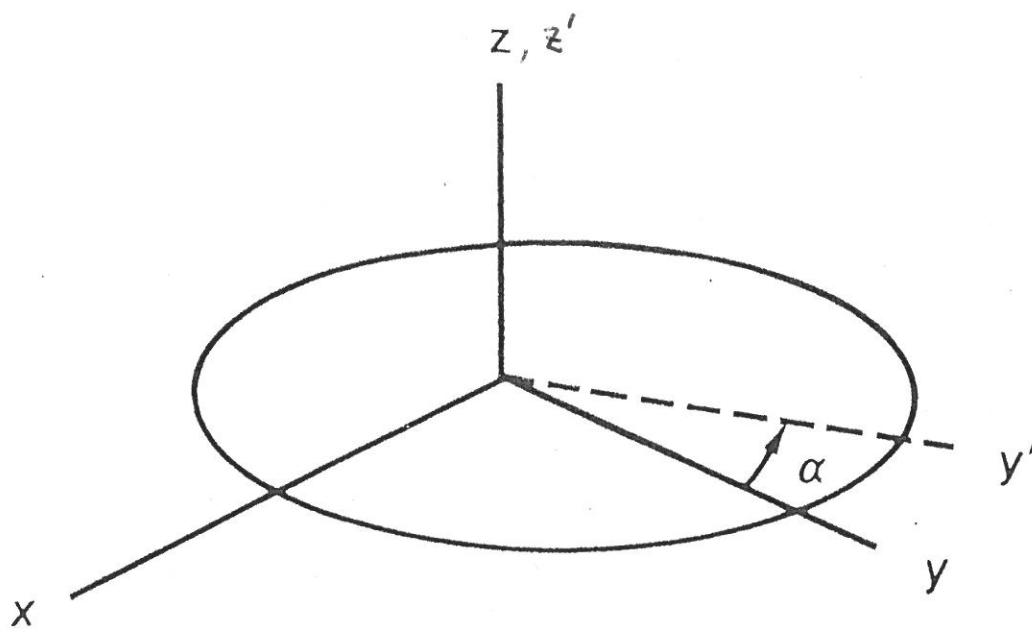


Diagram illustrating the sequence of rotations for a 3D object.

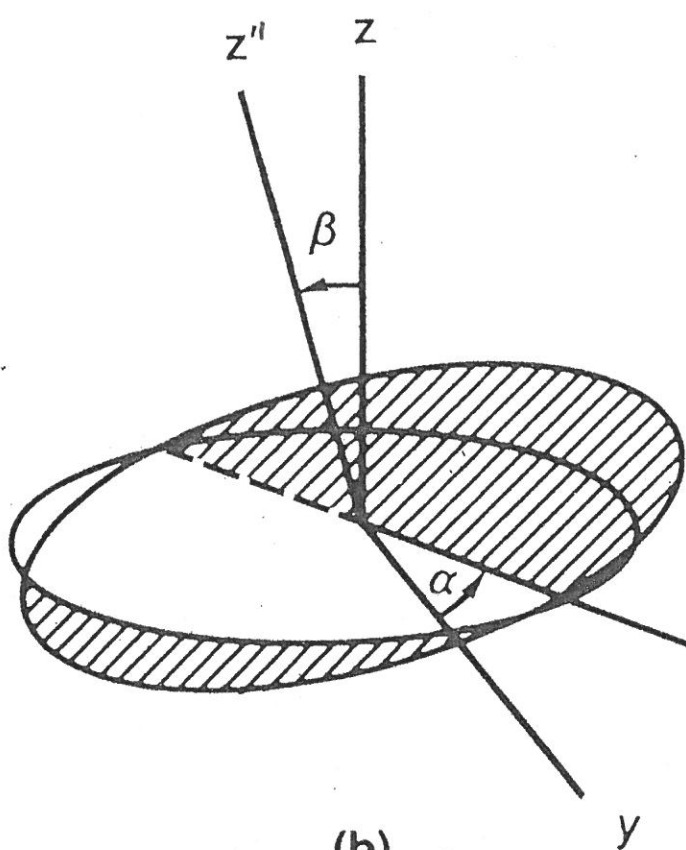




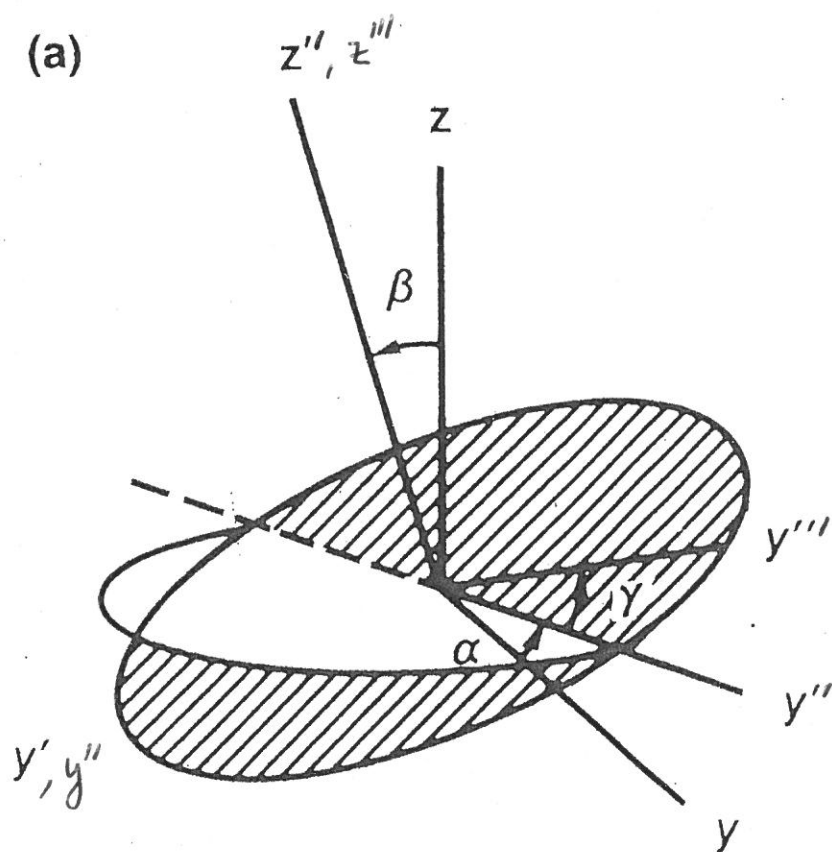




(a)



(b)



(c)

FIGURE 3.4. Euler rotations.