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## Zigbee Specification

Revision 23

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Abstract

The Zigbee Specification describes the infrastructure and services available to applications operating on the Zigbee platform.

Keywords

Zigbee, Stack, Network, Application, Profile, Framework, Device Description, Binding, Security

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## 83 Document History

### 84 Zigbee Specification History

Revision	Date	Description
	December 14, 2004	Zigbee v.1.0 draft ratified
r06	February 17, 2006	Zigbee Specification (Zigbee document number 053474r06/07) incorporating errata and clarifications: Zigbee document numbers 053920r02, 053954r02, 06084r00, and 053474r07
r07	April 28, 2006	Changes made per Editorial comments on spreadsheet
r13	October 9, 2006	Zigbee-2006 Specification (see letter ballot comments and resolution in Zigbee document 064112)
r14	November 3, 2006	Zigbee-2007 Specification (adds features described in 064270, 064269, 064268, 064281, 064319, and 064293)
r15	December 12, 2006	Zigbee-2007 Specification incorporating errata and clarifications: 074746
r16	May 31, 2007	Zigbee-2007 Specification incorporating errata and clarifications: 07819
r17	October 19, 2007	Zigbee-2007 specification incorporating errata: 075318, 075053, 075164, 075098
r18	June 16, 2009	Zigbee-2007 specification incorporating errata: 08012
r19	September 28, 2010	Zigbee-2007 specification incorporating errata described in document 105413r04
r20	September 18, 2012	Zigbee-2007 specification incorporating errata described in 11-53778-r13 and 12-0030-01
r21	August 5, 2015	Zigbee specification incorporating large updates as follows: <ol style="list-style-type: none"> <li>1. Chapter 2 – Application Layer               <ol style="list-style-type: none"> <li>a. Addition of Parent Announce ZDO message</li> <li>b. Addition of over-the-air mechanism for detecting device's implemented specification version.</li> </ol> </li> <li>2. Chapter 3 – Network Layer               <ol style="list-style-type: none"> <li>a. Add End device timeout protocol and aging mechanism</li> </ol> </li> <li>3. Chapter 4 – Security               <ol style="list-style-type: none"> <li>a. Removal of High Security</li> <li>b. Addition of Trust Center Link Key update services</li> <li>c. Cleanup of frame counter handling,</li> <li>d. Addition of Distributed Trust Center mode</li> </ol> </li> <li>4. Annex D – MAC And PHY Sub-layer Clarifications               <ol style="list-style-type: none"> <li>a. Update to IEEE Std 802.15.4-2011</li> </ol> </li> <li>5. Annex G – Inter-PAN               <ol style="list-style-type: none"> <li>a. Formalization of Inter-PAN frame formats and service handling.</li> </ol> </li> </ol>

<b>Revision</b>	<b>Date</b>	<b>Description</b>
		6. Annex H – Inter-PAN Test Vectors of Green Power Inter-PAN test vectors.
r22 0.7	July 25 2016	Additional functionality to support sub GHz FSK PHY/MAC 0.7 Reballot comments included
R22 0.9	Sep 30 2016	R21 errata and other critical CCBs added. PHY/MAC spec integrated.
R22 0.9	December 1 <sup>st</sup> 2016	Updated with comments and issued for recirculation ballot.
R22 1.0	March 20, 2017	Updated with reballot comments and issues for draft rev 1.0 release.
R23 0.5	July 4, 2018	Chapter 3: Clean-up
R23 0.5	September 28, 2018	Added Dynamic Link Key NFR text (up through chapter 3).
R23 0.5	October 8, 2018	Included Curve25519 text, Low-power (CSL) changes. Included most of the WWAH items.
R23 0.5	November 25, 2018	Integrated ZDO deprecation and remove all references to caches.
R23 0.5	December 03, 2018	Removed the User descriptor and complex descriptor. Update the use of Allocated Address bit.
R23 0.5	January 11, 2019	Integrated Sub Gig routing and regional Sub Gig annex.
R23 0.5	January 15, 2019	Integrated Routing updates.
R23 0.5	January 28, 2019	Joining/Rejoining/Dynamic Link key negotiation updates
R23 0.7	May 29, 2019	Comment resolution from R23 0.5 ballot
R23 0.6	January 22, 2020 Rev 9	Merged changes from a separate 0.8 document into this. Updated Clear All Bindings and Security Decommission Req. Updated Security Key Negotiation Req and Security Key Negotiation Rsp. Added section 1.2.6.
R23 0.6	January 24, 2020 Rev 10	Updated Annex I based on changes from Sheffield Face-to-face. Merged from conflicted document on Causeway. Reinstated Symmetric Passphrase, Next Channel Change, and Next PAN ID Global TLV. Updated rules on malformed TLVs and various other minor edits proposed in Sheffield.
R23 0.6	January 30, 2020 Revision 11	Comment fixes: 2554, 2555, 2621, 2561, 2623, 3111
R23 0.6	February 4, 2020 Revision 12	Updated SEC_Get_Authentication_token_req to use TLVs. Updated SEC_Get_Authentication_Level_req to use TLVs. Updated Security_Get_Authentication_Level_rsp to use TLVs.
R23 0.6	February 20, 2020 Revision 13	Updated Annex J (aligned KDF between J.1 and J.2, added H*(x), Curve25519 Private Key Clamping, endianness clarifications).

<b>Revision</b>	<b>Date</b>	<b>Description</b>
R23.0.6	February 27, 2020 Revision 14	Addressed comments for sections 1.1.5, 1.2.5 and added in APS Frame Counter Synchronization.
R23.0.6	March 8, 2020 Revision 15	Addressed comments for sections 2.3.2.4 and 2.4. Removed Beacon Appendix version from Beacon Payload. Added Router Information TLV.
R23.0.6	March 16, 2020 Revision 16	Made Annex I changes. Added Fragmentation Parameters Global TLV, Joiner Encapsulation Global TLV, Beacon Appendix Encapsulation Global TLV. Section 1.2.6, 2.4.3.4
R23.0.6	March 22, 2020 Revision 17	Added the Beacon Survey Configuration TLV to Mgmt_NWK_Beacon_Survey_req. Updated sections 2.4.2.8.3, 2.4.3.4, 4.7.3.12.
R23.0.6	April 6, 2020 Revision 18	Added Annex C.7 with test vectors for the key agreement schemes defined in Annex J: <ul style="list-style-type: none"> <li>• ECDHE-PSK/P-256/SHA-256/HMAC-SHA-256-128 (C.7.1)</li> <li>• SPEKE/Curve25519/AES-MMO-128/HMAC-AES-MMO-128 (C.7.2)</li> </ul>
R23.0.6	April 6, 2020 Revision 19	Updated Security_Decommission_Req, Security_Start_Key_Negotiation_Req and Secuity_Get_Authentication_Token_Req. Removed 2.5.4.6 (Device and Service Discovery), 2.5.4.7 (Security Manager) and 2.5.4.8 (Binding Manager).
R23.0.6	April 15, 2020 Revision 20	Updated Key negotiation before joining diagram (Section 1.1.5.4). Updated sections 3.2.2, 3.4.
R23.0.6	April 30, 2020 Revision 21	Updated sections 3.4, 3.6.1.4, 3.6.1.5, 3.6.4. CCB 3190
R23.0.6	May 15, 2020 Revision 22	Updated section 3.6.1.4. Updated sections 4.4.2, 4.4.9, 4.4.12, 4.6.
R23.0.6	May 21, 2020 Revision 23	Updated section 2.4.3.3.12, 3.6.9.2. Updated the Beacon Survey Configuration TLV. Added in Multi-hop Dynamic Link Key Changes. Updated section 2.4.3.4.1.3 Effect on receipt (of ZDO Security_Key_Negotiation_req). Updated section 2.4.4.5.1.6 Effect on Receipt [ZDO Security_Start_Key_Negotiation_rsp]. Updated section 3.4.14.3.2 TLV [NWK Commissioning Request Command]. Added section 4.4.10 Key Negotiation Services. Added section 4.4.12.9 Relay Message Downstream. Added section 4.4.12.10 Relay Message Upstream. Added sections 4.6.3.8.4 – 4.6.3.8.12.

<b>Revision</b>	<b>Date</b>	<b>Description</b>			
R23 0.6	June 19,2020 Revision 24	Removed section 2.4.4.1.1. Added in CCB 2673. Updated sections 2.4.3.3.7, 4.9.			
R23 0.6	June 26,2020 Revision 25	Updated session identifier for SPEKE simplified (Annex J) and test vector (Annex C). Added PSK capabilities and selection to key negotiation. PSK enumerations for Z3BLE allocated Clarified usage of APS acknowledgments in APS commands. Added section 4.9.7. Addressed comments 2802, 2988,2989,3042,3080. Fixed endian issue for the Test Vectors (Curve25519 and P-256).			
R23 0.7	August 28, 2020 Revision 26	Updated based on the 0.5 ballot editorial comments.			
R23 0.9	June 8, 2022	Various integrations of 0.9 ballot comments:  ZPC-1181      ZPC-1055      ZPC-1036      ZPC-1031 ZPC-1027      ZPC-1022      ZPC-1014      ZPC-1013 ZPC-1012      ZPC-1009      ZPC-1004      ZPC-1003 ZPC-1002      ZPC-1001      ZPC-1000      ZPC-999 ZPC-998      ZPC-996      ZPC-995      ZPC-994 ZPC-993      ZPC-992      ZPC-991      ZPC-990 ZPC-975      ZPC-974      ZPC-972      ZPC-969 ZPC-968      ZPC-967      ZPC-966      ZPC-965 ZPC-964      ZPC-963      ZPC-962      ZPC-961 ZPC-960      ZPC-956      ZPC-955      ZPC-954 ZPC-953      ZPC-952      ZPC-948      ZPC-947 ZPC-946      ZPC-945      ZPC-944      ZPC-943 ZPC-942      ZPC-941      ZPC-940      ZPC-939 ZPC-938      ZPC-937      ZPC-936      ZPC-935 ZPC-934      ZPC-933      ZPC-932      ZPC-931 ZPC-929      ZPC-928      ZPC-927      ZPC-923 ZPC-922      ZPC-920      ZPC-919      ZPC-918 ZPC-917      ZPC-913      ZPC-912      ZPC-911 ZPC-910      ZPC-909      ZPC-908      ZPC-905 ZPC-904      ZPC-903      ZPC-901      ZPC-900 ZPC-898      ZPC-897      ZPC-896      ZPC-895 ZPC-894      ZPC-893      ZPC-892      ZPC-891 ZPC-890      ZPC-889      ZPC-888      ZPC-885			

<b>Revision</b>	<b>Date</b>	<b>Description</b>			
		ZPC-884	ZPC-882	ZPC-881	ZPC-880
		ZPC-879	ZPC-878	ZPC-877	ZPC-876
		ZPC-875	ZPC-873	ZPC-869	ZPC-868
		ZPC-867	ZPC-864	ZPC-863	ZPC-861
		ZPC-860	ZPC-855	ZPC-851	ZPC-844
		ZPC-842	ZPC-840	ZPC-838	ZPC-836
		ZPC-833	ZPC-831	ZPC-827	ZPC-826
		ZPC-824	ZPC-818	ZPC-812	ZPC-811
		ZPC-809	ZPC-803	ZPC-795	ZPC-792
		ZPC-781	ZPC-775	ZPC-752	ZPC-762
		ZPC-588	ZPC-556	ZPC-546	ZPC-486
		ZPC-468	ZPC-330	ZPC-315	ZPC-295
		ZPC-264	ZPC-249	ZPC-180	ZPC-12
		ZPC-10	ZPC-4		
R23 0.95	August 15, 2022	Various integrations of 0.95 ballot comments:			
		ZPC-1302	ZPC-1301	ZPC-1300	ZPC-1299
		ZPC-1298	ZPC-1297	ZPC-1267	ZPC-1214
		ZPC-1259	ZPC-1247	ZPC-1242	ZPC-1234
		ZPC-1231	ZPC-1224	ZPC-1220	ZPC-1218
		ZPC-1217	ZPC-1181	ZPC-1180	ZPC-1178
		ZPC-1170	ZPC-1156	ZPC-1155	ZPC-1154
		ZPC-1153	ZPC-1152	ZPC-1151	ZPC-1150
		ZPC-1149	ZPC-1148	ZPC-1147	ZPC-1146
		ZPC-1145	ZPC-1144	ZPC-1143	ZPC-1142
		ZPC-1141	ZPC-1140	ZPC-1139	ZPC-1138
		ZPC-1137	ZPC-1136	ZPC-1135	ZPC-1134
		ZPC-1133	ZPC-1132	ZPC-1131	ZPC-1130
		ZPC-1129	ZPC-1128	ZPC-1127	ZPC-1126
		ZPC-1125	ZPC-1124	ZPC-1123	ZPC-1122
		ZPC-1121	ZPC-1120	ZPC-1119	ZPC-1118
		ZPC-1117	ZPC-1116	ZPC-1115	ZPC-1114
		ZPC-1113	ZPC-1112	ZPC-1111	ZPC-1110
		ZPC-1109	ZPC-1108	ZPC-1107	ZPC-1106
		ZPC-1105	ZPC-1104	ZPC-1102	ZPC-1101
		ZPC-1100	ZPC-1099	ZPC-1098	ZPC-1097

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		ZPC-1088	ZPC-1087	ZPC-1086	ZPC-1085
		ZPC-1084	ZPC-1083	ZPC-1082	ZPC-1081
		ZPC-1080	ZPC-1079	ZPC-1078	ZPC-1077
		ZPC-1076	ZPC-1075	ZPC-1074	ZPC-1073
		ZPC-1072	ZPC-1071	ZPC-1070	ZPC-1069
		ZPC-1068	ZPC-1067	ZPC-1066	ZPC-1065
		ZPC-1064	ZPC-1063	ZPC-1062	ZPC-1060
		ZPC-1059	ZPC-1058	ZPC-1057	ZPC-1056
		ZPC-1054	ZPC-1053	ZPC-1052	ZPC-1033
		ZPC-1032	ZPC-1011	ZPC-835	
r23	11 Jan 2023	NCR review completed and Security audit completed.			

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## 1033 CHAPTER 1. ZIGBEE PROTOCOL OVERVIEW

### 1034 1.1 Protocol Description

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1035 The Connectivity Standards Alliance has developed a very low-cost, very low-power-consumption, two-way, wireless  
1036 communications standard. Solutions adopting the Zigbee standard will be embedded in consumer electronics, home  
1037 and building automation, industrial controls, PC peripherals, medical sensor applications, toys, and games.

#### 1038 1.1.1 Scope

---

1039 This document contains specifications, interface descriptions, object descriptions, protocols and algorithms pertaining  
1040 to the Zigbee protocol standard, including the application support sub-layer (APS), the Zigbee device objects (ZDO),  
1041 Zigbee device profile (ZDP), the application framework, the network layer (NWK), and Zigbee security services.

#### 1042 1.1.2 Purpose

---

1043 The purpose of this document is to provide a definitive description of the Zigbee protocol standard as a basis for future  
1044 implementations, such that any number of companies incorporating the Zigbee standard into platforms and devices on  
1045 the basis of this document will produce interoperable, low-cost, and highly usable products for the burgeoning wireless  
1046 marketplace.

#### 1047 1.1.3 Stack Architecture

---

1048 The Zigbee stack architecture is made up of a set of blocks called layers. Each layer performs a specific set of services  
1049 for the layer above. A data entity provides a data transmission service and a management entity provides all other  
1050 services. Each service entity exposes an interface to the upper layer through a service access point (SAP), and each  
1051 SAP supports a number of service primitives to achieve the required functionality.

1052 The IEEE Std 802.15.4 defines the two lower layers: the physical (PHY) layer and the medium access control (MAC)  
1053 sub-layer. The Connectivity Standards Alliance builds on this foundation by providing the network (NWK) layer and  
1054 the framework for the application layer. The application layer framework consists of the application support sub-layer  
1055 (APS) and the Zigbee device objects (ZDO). Manufacturer-defined application objects use the framework and share  
1056 APS and security services with the ZDO.

1057 The PHY layer operates in two separate frequency ranges: 868/915 MHz and 2.4 GHz. The lower frequency PHY  
1058 layer covers both the 868 MHz European band and the 915 MHz band, used in countries such as the United States and  
1059 Australia. The higher frequency PHY layer is used virtually worldwide. A complete description of the PHY layers can  
1060 be found in [B1].

1061 The MAC sub-layer controls access to the radio channel using either a CSMA-CA or LBT mechanism, depending on  
1062 the underlying MAC/PHY. Its responsibilities may also include transmitting beacon frames, synchronization, and  
1063 providing a reliable transmission mechanism. A complete description of the IEEE Std 802.15.4 MAC sub-layer can  
1064 be found in [B1]. Figure 1-1 represents the outline of the Zigbee Stack Architecture.

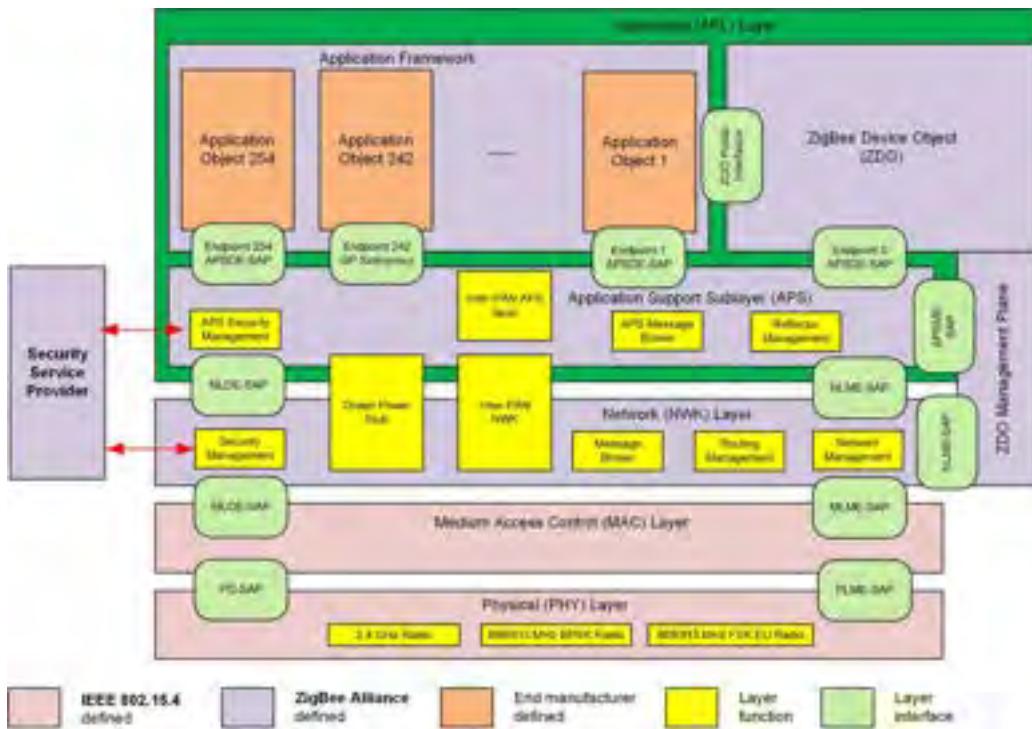


Figure 1-1. Outline of the Zigbee Stack Architecture

### 1.1.3.1 Non-Certifiable Features

Some Zigbee functions are not certifiable on every combination of MAC/PHY. These are listed below:

- Green Power is certifiable only on the 2.4GHz O-QPSK PHY
- Inter-PAN is certifiable only on the 2.4GHz O-QPSK PHY

## 1.1.4 Network Topology

The Zigbee network layer (NWK) supports star and mesh topologies. In a star topology, the network is controlled by one single device called the Zigbee coordinator. The Zigbee coordinator is responsible for initiating and maintaining the devices on the network. All other devices, known as end devices, directly communicate with the Zigbee coordinator. In mesh topologies, the Zigbee coordinator is responsible for starting the network and for choosing certain key network parameters, but the network may be extended through the use of Zigbee routers. Mesh networks allow full peer-to-peer communication. Zigbee routers in mesh networks do not currently emit regular IEEE Std 802.15.4 beacons. This specification describes only intra-PAN networks, that is, networks in which communications begin and terminate within the same network. An exception is the inter-PAN feature which allows the Zigbee stack to be bypassed, for example to initialize Zigbee network settings out of band.

1081

## 1082    1.1.5    Overall Joining Flow

1083 This section will describe the high-level flow for a device joining the network. This flow varies due to changes over  
1084 time in the specification and is highlighted below.

1085 For a device to operate as a fully authorized device on the Zigbee mesh network it must have the current network  
1086 key. In centralized networks the role of the Trust Center acts as gatekeeper to determine when and who is authorized  
1087 to join the network. The joining flows below show a multi-hop join with three devices: joiner, router, and trust cen-  
1088 ter. When only two devices are present, Trust Center and joiner, the roles of Trust center and Router are collapsed  
1089 together, and communication is handled internal to the device.

1090 Instead of the centralized security model, which requires a trust center and coordinator to form and manage the net-  
1091 work, a decentralized approach is also available called a Distributed Security Network. This allows any router to  
1092 authorize a new device by sending the network key directly to it without consulting a centralized authority.

1093 In Revision 21 and earlier all Zigbee devices joining the network are pre-configured with a Trust Center Link Key.  
1094 This may either be a well-known default link key or a secret, device-specific key known as an Install Code derived  
1095 Key. Joining with the well-known Trust Center link key represents a moment of insecurity that may be acceptable to  
1096 the Trust Center managing the network's security. Alternatively, the Trust Center may be configured to require use  
1097 of the Install Code derived key when joining the network. In that case all devices must pass their Install Code de-  
1098 rived key out-of-band to the Trust Center prior to joining.

1099 Revision 23 introduces a new mechanism that utilizes public key cryptography to securely negotiate a key before  
1100 receiving the network key.

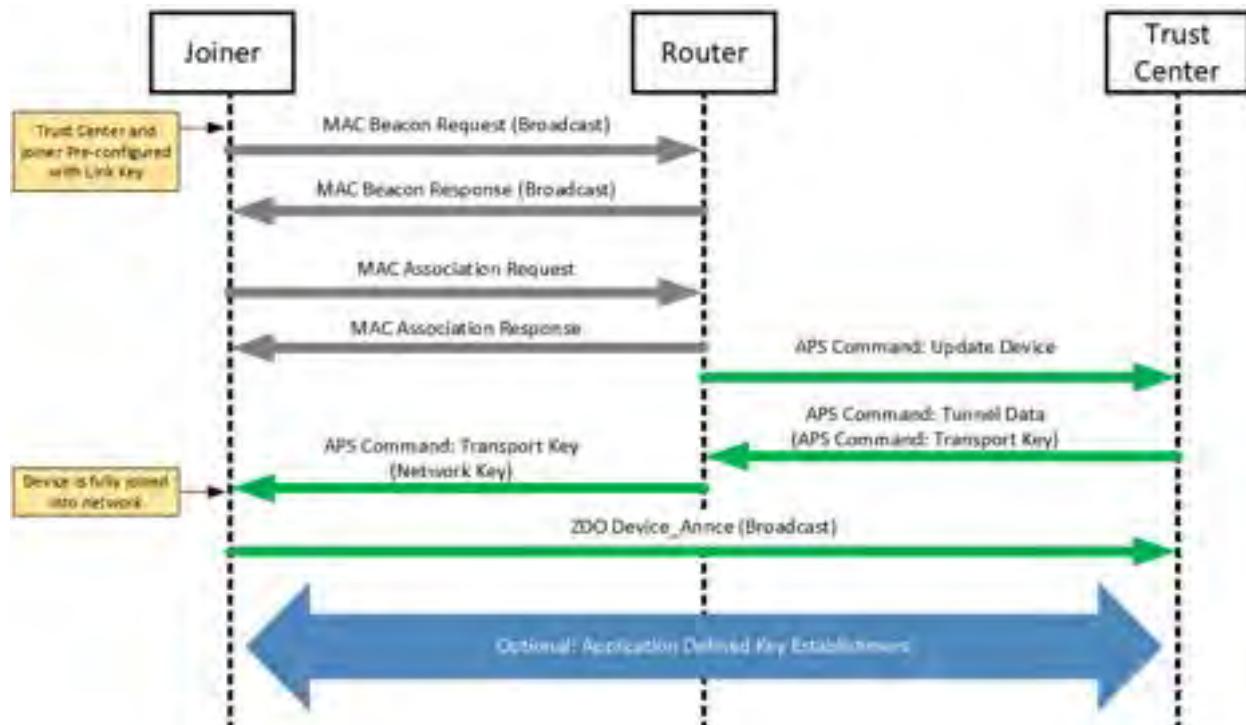
1101 Note that all diagrams in this section do not show the APS Acknowledgments but they are present on the ZDO messages. Also,  
1102 fragmentation may occur but that is not shown in these diagrams.

### 1103    1.1.5.1    Static Key Joining

1104 Static Key Joining is when both the joining device and Trust Center have been configured with a fixed link key. This  
1105 key can be one of several standardized values listed below.

- 1106    1. Default Global Trust Center link key (ZigBeeAlliance09)
- 1107    2. Install code derived pre-configured link key
- 1108    3. Distributed Security Global Link Key
- 1109    4. Touchlink Preconfigured Link Key

1110 Zigbee devices in Revision 20 and earlier had only a joining flow that involved the following sequence. This is  
1111 shown in Figure 1-2.



**Figure 1-2. Static Key Joining without Updating Trust Center Link Key**

Devices in Revision 20 and earlier only updated their pre-configured link key when an application defined key establishment protocol was used, such as the Key Establishment Cluster in the Zigbee Smart Energy Specification.

### 1.1.5.2 Joining and Using Key Assignment

With Revision 21 of the specification and beyond, the joiner is required to replace its initial trust center link key with an updated key. The joiner uses Static Key Joining to initially gain access to the network, but then performs a Trust Center Link Key Update. The mechanism for updating the trust center link key can utilize stack primitives or use a higher layer protocol. If no application defined protocol is performed, devices can use the Key Assignment Mechanism.

In Key Assignment a new symmetric link key is requested by the joiner and chosen by the Trust Center and the exchange is shown in Figure 1-3. This update occurs regardless of whether the initial trust center link key was a well-known or Install Code derived key. This prevents an attacker that obtains the trust center link key after the join from using it to gain access to the network. This joining flow was very similar to the previous flow but added additional steps after receiving the network key to request a trust center link key from the trust center.

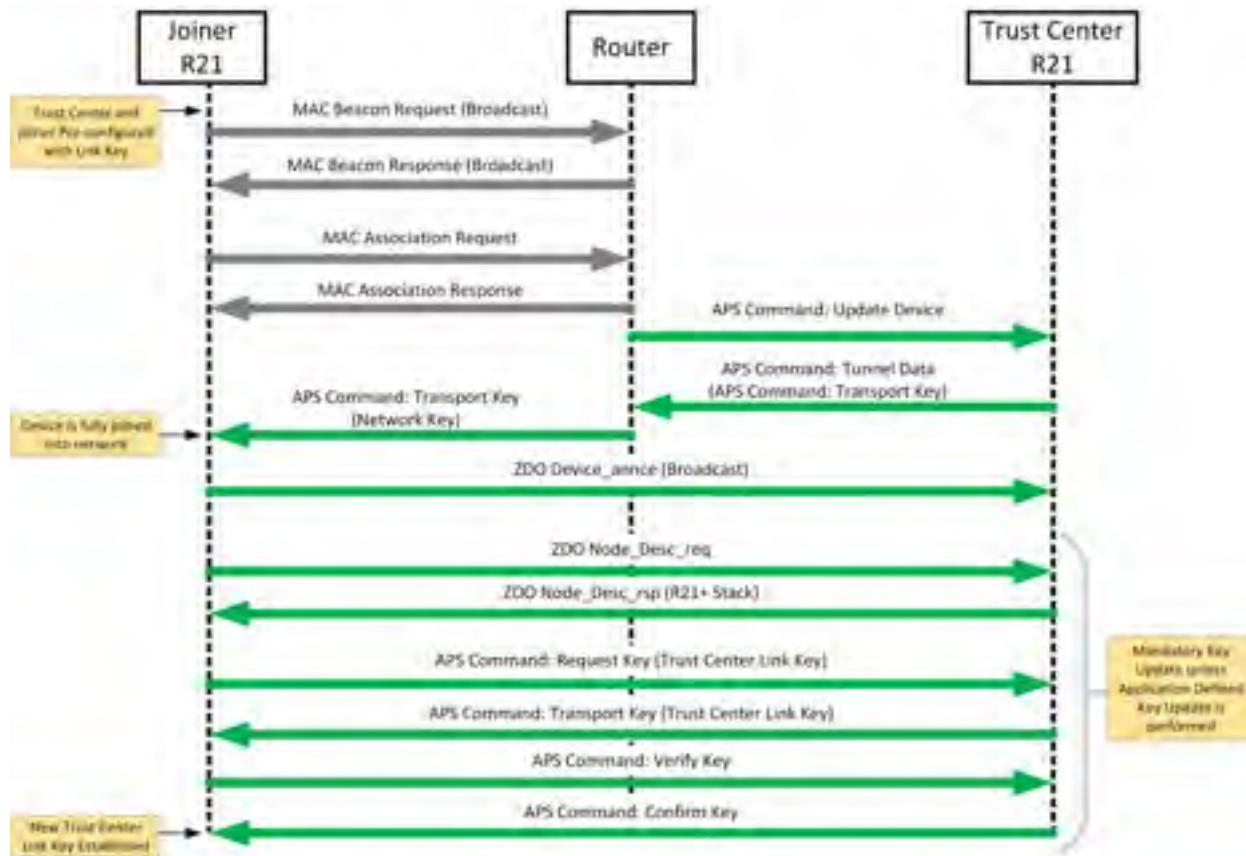


Figure 1-3. Joining in Revision 21

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### 1.1.5.3 Joining in a distributed security network

With Revision 21 a lightweight distributed security model was added that allowed for a network to operate without a trust center such that every router can choose to allow a device on the network. This joining flow is shown in Figure 1-4.

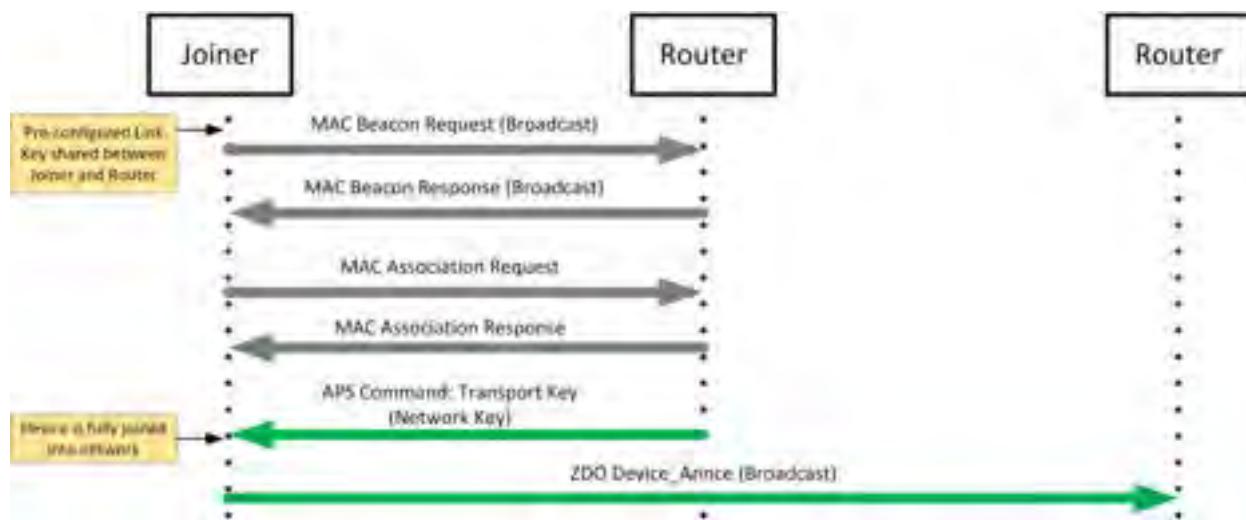


Figure 1-4. Joining a Distributed Network in Revision 21

1133

1134

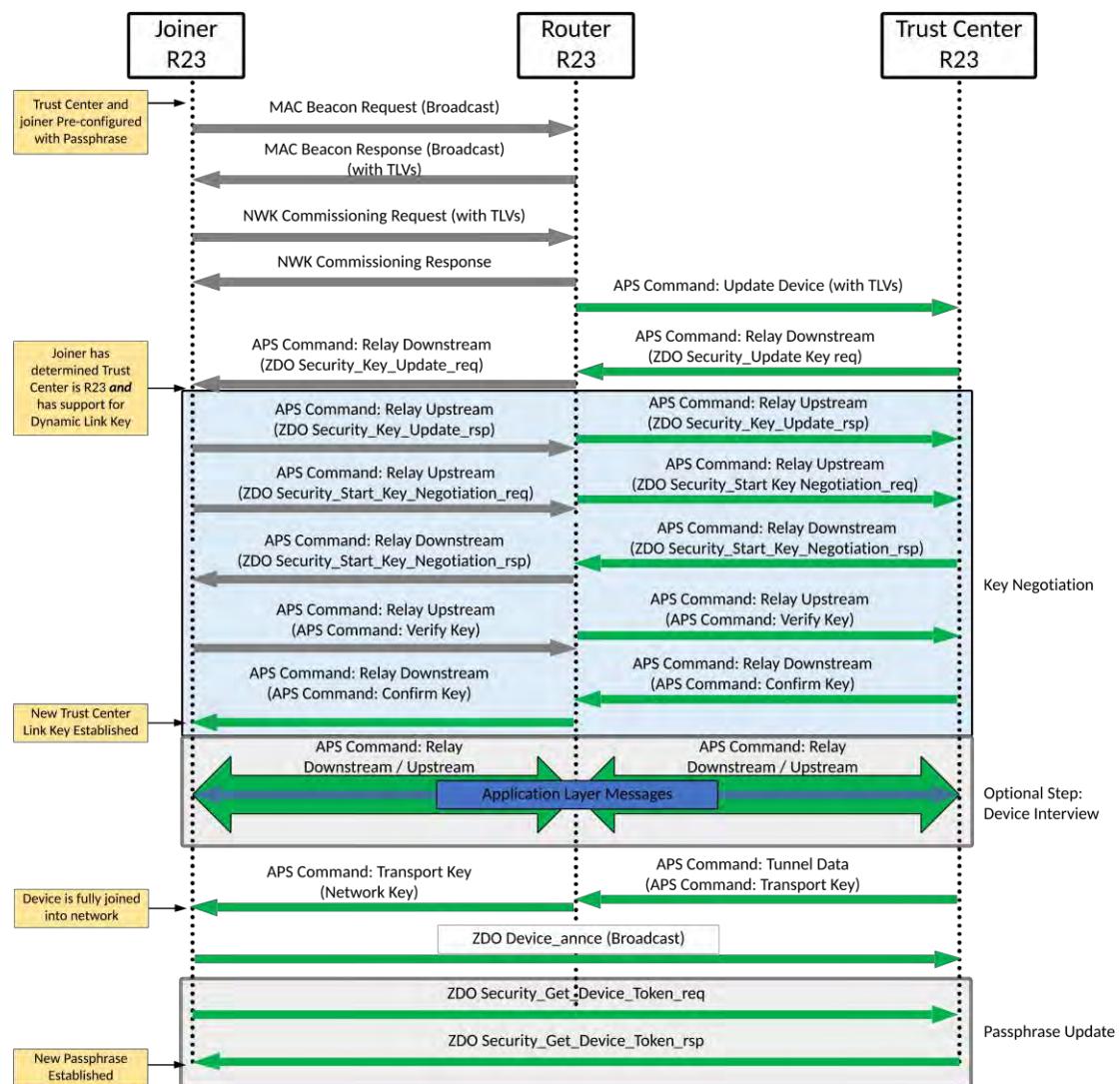
1135

In distributed networks no Link Key Update is performed.

### 1136 1.1.5.4 Dynamic Key negotiation before joining

1137 Revision 23 of this specification introduces a mechanism to negotiate a dynamic link key before the device joins the  
 1138 network and receives the network key. This mechanism utilizes Elliptic Curve Diffie-Hellman Ephemeral (ECDHE)  
 1139 or Simple Password Exponential Key Exchange (SPEKE) as the basis for negotiating a key. The negotiation MAY  
 1140 be done anonymously with a well-known passphrase, where the Trust Center has no prior knowledge of the device  
 1141 joining the network, or it MAY be done by using install codes or a secret passphrase to authenticate both sides dur-  
 1142 ing key negotiation. This scenario requires that all involved devices (including the parent router) are Revision 23 or  
 1143 later. This is shown in Figure 1-5.

1144 After joining, the device is not required to replace its link key immediately. Instead, it acquires a token that it can  
 1145 use to perform authenticated re-negotiation of its link key in the future.



1146  
1147 **Figure 1-5. Joining in Revision 23 with Dynamic Key Negotiation before Receiving the Network Key**

### 1148 1.1.5.5 Optional Step: Device Interview

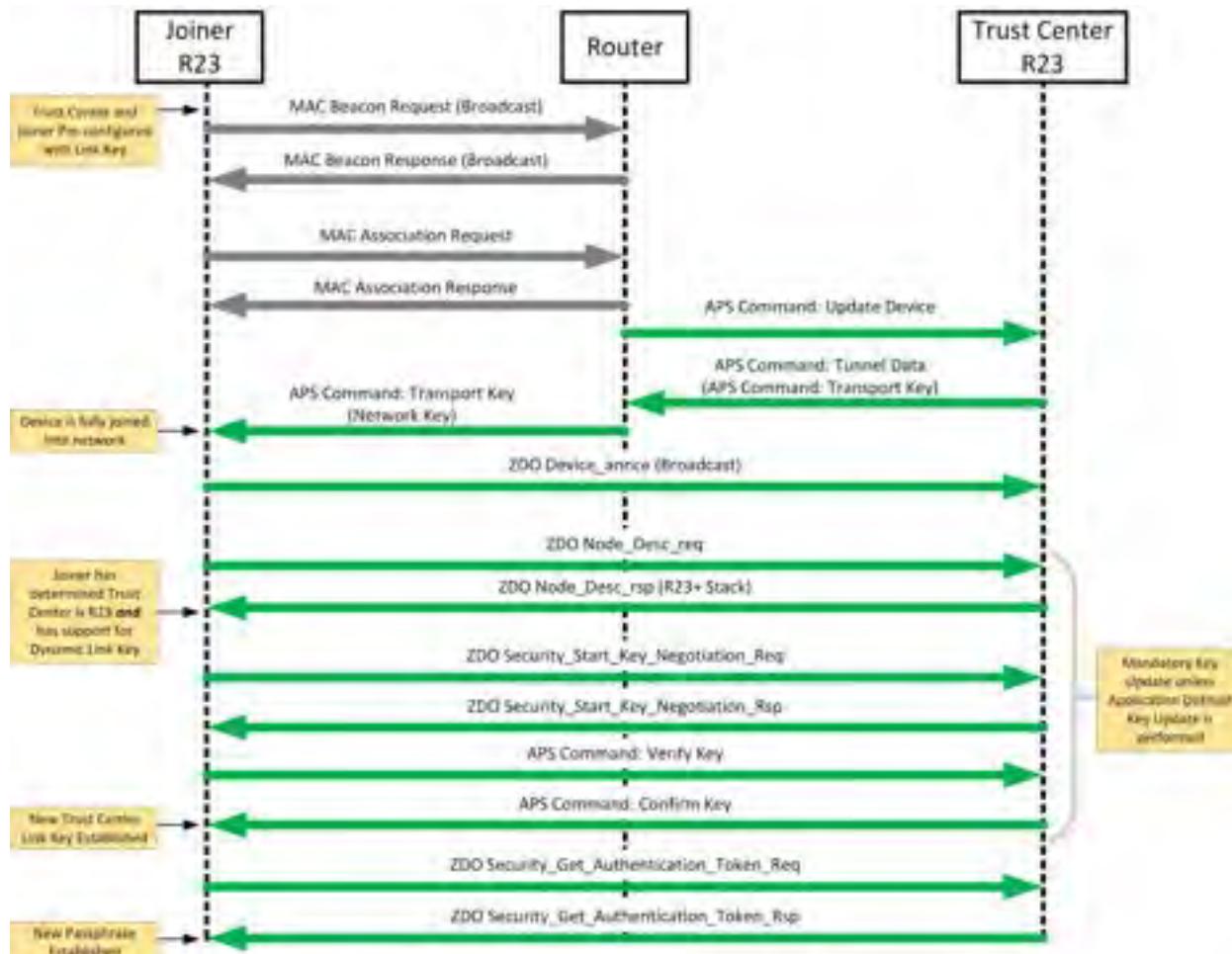
1149 Once network commissioning has begun and a dynamic link key has been established the Trust Center Application  
 1150 can opt to query the joining device or an application endpoint with APSData requests encrypted with the Dynamic  
 1151 Link Key prior to authorizing the device on the network.. This period of message exchange is known as the Device

1152 Interview. During this process the joining timeout will be extended until either the TC application allows the device  
 1153 to come on to network by sending the Network Key via Transport Key Mechanism.

### 1154 1.1.5.6 Dynamic Key negotiation after joining

1155 A device that supports Dynamic Key Negotiation before joining might still join using Static Link Key Joining. This  
 1156 could occur because the parent router is not R23 (and cannot relay key negotiation frames) or the Trust Center does  
 1157 not support Key Negotiation.

1158 After initially joining the network with a static link key the device is required to update that key. If both Trust Center  
 1159 and the device support Dynamic Key Negotiation this SHALL be used as the mechanism to update a link key after  
 1160 the device has joined the network. This is shown in Figure 1-6.



1161  
 1162 **Figure 1-6. Joining in Revision 23 with Dynamic Key Negotiation after Receiving the Network Key**

### 1163 1.1.5.7 Summary of Joining and Link Key Update Mechanisms

1164 Table 1-1 summarizes the Join mechanism and the subsequent link key update after joining for Centralized net-  
 1165 works.

1166

1167

**Table 1-1. Summary of Join and Key Update Mechanisms for Centralized Networks**

<b>Specification Revision</b>	<b>Join Mechanisms</b>	<b>Post Joining Link Key Update</b>
20 and earlier	Static Key Joining	None
	Static Key Joining	Application Defined
21	Static Key Joining	Key Assignment
	Static Key Joining	Application Defined
22	Static Key Joining	Key Assignment
	Static Key Joining	Application Defined
23	Static Key Joining	Key Assignment
	Static Key Joining	Application Defined
	Static Key Joining	Dynamic Key Negotiation Update
	Dynamic Key Negotiation Joining	Dynamic Key Negotiation Update*
	Dynamic Key Negotiation Joining	Application Defined

1168 \* Note: A Link Key Update is not required immediately after joining if Dynamic Key Negotiation was used. How-  
 1169 ever, devices can update their link key later using Dynamic Key Negotiation.

1170 Table 1-2 summarizes the Join mechanisms and subsequent link key update after joining.

**Table 1-2. Summary of Join and Key Update Mechanisms for Distributed Networks**

<b>Specification Revision</b>	<b>Join Mechanisms</b>	<b>Post Joining Link Key Update</b>
All	Static Key Joining	None

## 1172 **1.2 Conventions and Abbreviations**

### 1173 **1.2.1 Symbols and Notation**

1174 Notation follows from ANSI X9.63-2001, §2.2 [B7].

### 1175 **1.2.2 Integers, Octets, and Their Representation**

1176 Throughout Annexes A through D, the representation of integers as octet strings and of octet strings as binary strings  
 1177 SHALL be fixed. All integers SHALL be represented as octet strings in most-significant-octet first order. This repre-  
 1178 sentation conforms to the convention in section 4.3 of [B7]. All octets SHALL be represented as binary strings in  
 1179 most-significant-bit first order.

### 1180 **1.2.3 Transmission Order**

1181 Unless otherwise indicated, the transmission order of all frames in this specification follows the conventions used in  
 1182 [B1]:

- 1183 1. Frame formats are depicted in the order in which they are transmitted by the PHY layer—from left to right—  
 1184 where the leftmost bit is transmitted first in time.

- 1185    2. Bits within each field are numbered from 0 (leftmost, and least significant) to k-1 (rightmost, and most signifi-  
 1186    cant), where the length of the field is k bits.
- 1187    3. Fields that are longer than a single octet are sent to the PHY in order from the octet containing the lowest num-  
 1188    bered bits to the octet containing the highest-numbered bits.

1189 **1.2.4 Strings and String Operations**

---

1190 A string is a sequence of symbols over a specific set (for example, the binary alphabet {0,1} or the set of all octets).  
 1191 The length of a string is the number of symbols it contains (over the same alphabet). The empty string has length 0.  
 1192 The right-concatenation of two strings  $x$  and  $y$  of length  $m$  and  $n$  respectively (notation:  $x // y$ ), is the string  $z$  of length  
 1193  $m+n$  that coincides with  $x$  on its leftmost  $m$  symbols and with  $y$  on its rightmost  $n$  symbols. An octet is a symbol string  
 1194 of length 8. In our context, all octets are strings over the binary alphabet.

1195 **1.2.5 Handling Malformed Zigbee and IEEE Std 802.15.4**  
 1196 **Frames**

---

1197 If Zigbee messages are received that have mandatory fields missing, the entire message SHALL be ignored. This  
 1198 includes the MAC layer, NWK layer, APS layer, and ZDO layers. The handling of malformed higher layer messages  
 1199 is up to the application layer.

1200 If NWK Commands, APS Commands, or ZDO frames are received that have additional fields over those expected,  
 1201 the expected parts of the field SHALL be processed and the additional fields ignored.

1202 **1.2.6 Type Length Value (TLV) Data**

---

1203 Revision 23 of this specification has introduced Type Length Value formatted fields that are appended as new fields  
 1204 in existing commands or present in new commands.

1205 Commands introduced before R23 have a byte packed format that SHOULD NOT be changed due to interoperability  
 1206 considerations. However, it is EXPECTED that those existing commands can be extended without impacting that.  
 1207 Any extensions to existing commands or introduction of new ones will use TLVs.

1208 All Zigbee messages MAY be extended in a future specification. Thus, devices SHALL NOT discard frames when  
 1209 they have additional data beyond defined fields.

1210 **1.3 Acronyms**

---

1211 The acronyms used this specification are included in Table 1-3.

1212 **Table 1-3. Acronyms Used in this Specification**

Acronym	Definition
AIB	Application support sub-layer information base
AF	Application framework
APDU	Application support sub-layer protocol data unit
APL	Application layer
APS	Application support sub-layer
APSDE	Application support sub-layer data entity

Acronym	Definition
APSDE-SAP	Application support sub-layer data entity – service access point
APSME	Application support sub-layer management entity
APSME-SAP	Application support sub-layer management entity – service access point
ASDU	APS service data unit
BRT	Broadcast retry timer
BT	(Filter) Bandwidth (Symbol) Time Product
BTR	Broadcast transaction record
BTT	Broadcast transaction table
CCM*	Counter with CBC-MAC, a cryptographic block cipher mode
CSMA-CA	Carrier sense multiple access – collision avoidance.
CRC	Cyclic Redundancy Check
ED	Energy Detection
ECDHE	Elliptic Curve Diffie-Helman Ephemeral
EPID	Extended PAN ID
FCS	Frame Check Sequence
FEC	Forward Error Correction
FFD	Full function device
FSK	Frequency Shift Keying
GB	Great Britain
GHz	Gigahertz
GPD	Green Power Device
GPDF	Green Power Device Frame
GPEP	Green Power Endpoint
HDR	Header
IB	Information base
IE	Information Element
IEEE	Institute of Electrical and Electronics Engineers
kHz	Kilohertz
LBT	Listen Before Talk. ETSI defined channel access mechanism
LQI	Link quality indicator
LR-WPAN	Low rate wireless personal area network
MAC	Medium access control
MCPS-SAP	Medium access control common part sub-layer service access point

<b>Acronym</b>	<b>Definition</b>
MHz	Megahertz
MIC	Message integrity code
MLME-SAP	Medium access control sub-layer management entity service access point
MSC	Message sequence chart
MSDU	Medium access control sub-layer service data unit
MSG	Message service type
MTU	Maximum Transmission Unit
NBDT	Network broadcast delivery time
NHLE	Next higher layer entity
NIB	Network layer information base
NLDE	Network layer data entity
NLDE-SAP	Network layer data entity – service access point
NLME	Network layer management entity
NLME-SAP	Network layer management entity – service access point
NPDU	Network layer protocol data unit
NSDU	Network service data unit
NWK	Network
OSI	Open systems interconnection
PAN	Personal area network
PD-SAP	Physical layer data service access point
PDU	Protocol data unit
PHR	PHY Header
PHY	Physical layer
PIB	Personal area network information base
PLME-SAP	Physical layer management entity – service access point
POS	Personal operating space
PPDU	PHY Protocol Data Unit
PSDU	PHY Service Data Unit
QOS	Quality of service
RFD	Reduced function device
RREP	Route reply
RREQ	Route request
SAP	Service access point

Acronym	Definition
SFD	Start of Frame Delimiter
SHR	Synchronization Header
SKG	Secret key generation
SSP	Security services provider
SSS	Security services specification
TRD	Technical Requirements Document
WPAN	Wireless personal area network
ZB	Zigbee
ZDO	Zigbee device object

## 1.4 Glossary

### 1.4.1 Conformance Language

The key words in Table 1-4 are capitalized in this specification.

Table 1-4. Key Words Used in this Specification

Key Word	Description
EXPECTED	Used to describe the behavior <i>assumed</i> by this specification. Other behaviors may also be implemented.
MAY	Indicates flexibility of choice with <i>no implied preference</i> .
NOT	Describes that the requirement is the inverse of the behavior specified (that is, SHALL NOT, MAY NOT, etc.)
SHALL	Indicates a mandatory requirement. Designers are required to implement all such mandatory requirements.
SHOULD	Indicates flexibility of choice with a strongly preferred alternative. Equivalent to the phrase <i>is recommended</i> .

### 1.4.2 Conformance Requirements

**Reserved Codes:** A set of codes that are defined in this specification, but not otherwise used. Future specifications may implement the use of these codes. A product implementing this specification SHALL NOT generate these codes.

**Reserved Fields:** A set of fields that are defined in this specification, but are not otherwise used. Products that implement this specification SHALL zero these fields and SHALL make no further assumptions about these fields nor perform processing based on their content.

**Zigbee Protocol Version:** The name of the Zigbee protocol version governed by this specification. The protocol version sub-field of the frame control field in the NWK header of all Zigbee Protocol Stack frames conforming to this specification SHALL have a value of 0x02 for all Zigbee frames, and a value of 0x03 for the Zigbee Green Power frames. The protocol version support required by various Zigbee specification revisions appears in Table 1-5.

**Table 1-5. Zigbee Protocol Versions**

<b>Specification</b>	<b>Protocol Version</b>	<b>Comment</b>
Zigbee Green Power	0x03	Zigbee Green Power feature. See Annex G.
Zigbee Pro Zigbee 2006	0x02	Backwards compatibility not required. Zigbee Pro and Zigbee 2006 compatibility required.
Zigbee 2004	0x01	Original Zigbee version.

1228 A Zigbee device that conforms to this version of the specification may elect to provide backward compatibility with  
 1229 the 2004 Revision of the specification. If it so elects, it SHALL do so by supporting, in addition to the frame formats  
 1230 and features described in this specification version, all frame formats and features as specified in the older version.  
 1231 (All devices in an operating network, regardless of which revisions of the Zigbee specification they support internally,  
 1232 shall, with respect to their external, observable behavior, consistently conform to a single Zigbee protocol version.) A  
 1233 single Zigbee network SHALL NOT contain devices that conform, in terms of their external behavior, to multiple  
 1234 Zigbee protocol versions. [The protocol version of the network to join SHALL be determined by a backwardly com-  
 1235 patible device in examining the beacon payload prior to deciding to join the network; or SHALL be established by the  
 1236 application if the device is a Zigbee coordinator.] A Zigbee device conforming to this specification may elect to sup-  
 1237 port only protocol version 0x02, whereby it SHALL join only networks that advertise commensurate beacon payload  
 1238 support. A Zigbee device that conforms to this specification SHALL discard all frames carrying a protocol version  
 1239 sub-field value other than 0x01, 0x02, or 0x03. It SHALL process only protocol versions of 0x01 or 0x02, consistent  
 1240 with the protocol version of the network that the device participates within. A Zigbee device that conforms to this  
 1241 specification SHALL pass the frames carrying the protocol version sub-field value 0x03 to the Interpan APS (see  
 1242 Annex G), if it supports the Zigbee Green Power, otherwise it SHALL drop them.

### 1.4.3 Zigbee Definitions

1244 For the purposes of this standard, the following terms and definitions apply. Terms not defined in this section can be  
 1245 found in [B1].

1246 **Access control list:** This is a table used by a device to determine which devices are authorized to perform a specific  
 1247 function. This table MAY also store the security material (for example, cryptographic keys, frame counts, key counts,  
 1248 security level information) used for securely communicating with other devices.

1249 **Active network key:** This is the key used by a Zigbee device to secure outgoing NWK frames and that is available  
 1250 for use to process incoming NWK frames.

1251 **Alternate network key:** This is a key available to process incoming NWK frames in lieu of the active network key.

1252 **Application domain:** This describes a broad area of applications, such as building automation.

1253 **Application key:** This is a link key transported by the Trust center to a device for the purpose of securing end-to-end  
 1254 communication.

1255 **Application object:** This is a component of the top portion of the application layer defined by the manufacturer that  
 1256 actually implements the application.

1257 **Application profile:** This is a collection of device descriptions, which together form a cooperative application. For  
 1258 instance, a thermostat on one node communicates with a furnace on another node. Together, they cooperatively form  
 1259 a heating application profile.

1260 **Application support sub-layer protocol data unit:** This is a unit of data that is exchanged between the application  
 1261 support sub-layers of two peer entities.

1262 **APS command frame:** This is a command frame from the APSME on a device addressed to the peer entity on another  
 1263 device.

1264 **Association:** This is the service provided by the IEEE Std 802.15.4 MAC sub-layer that is used to establish member-  
 1265 ship in a network.

1266   **Attribute:** This is a data entity which represents a physical quantity or state. This data is communicated to other  
1267   devices using commands.

1268   **Binding:** This is the creation of a unidirectional logical link between a source endpoint/cluster identifier pair and a  
1269   destination endpoint, which MAY exist on one or more devices.

1270   **Broadcast:** This is the transmission of a message to every device in a particular PAN belonging to one of a small  
1271   number of statically defined broadcast groups, for example all routers, and within a given transmission radius meas-  
1272   ured in hops.

1273   **Broadcast jitter:** This is a random delay time introduced by a device before relaying a broadcast transaction.

1274   **Broadcast transaction record:** This is a local receipt of a broadcast message that was either initiated or relayed by a  
1275   device.

1276   **Broadcast transaction table:** This is a collection of broadcast transaction records.

1277   **Cluster:** This is an application message, which MAY be a container for one or more attributes. As an example, the  
1278   Zigbee Device Profile defines commands and responses. These are contained in Clusters with the cluster identifiers  
1279   enumerated for each command and response. Each Zigbee Device Profile message is then defined as a cluster. Alter-  
1280   natively, an application profile MAY create sub-types within the cluster known as attributes. In this case, the cluster  
1281   is a collection of attributes specified to accompany a specific cluster identifier (sub-type messages).

1282   **Cluster identifier:** This is a reference to an enumeration of clusters within a specific application profile or collection  
1283   of application profiles. The cluster identifier is a 16-bit number unique within the scope of each application profile  
1284   and identifies a specific cluster. Conventions MAY be established across application profiles for common definitions  
1285   of cluster identifiers whereby each application profile defines a set of cluster identifiers identically. Cluster identifiers  
1286   are designated as inputs or outputs in the simple descriptor for use in creating a binding table.

1287   **Coordinator:** This is an IEEE Std 802.15.4 device responsible for associating and disassociating devices into its PAN.  
1288   A coordinator SHALL be a full-function device (FFD).

1289   **Data integrity:** This is assurance that the data has not been modified from its original form.

1290   **Data key:** This is a key derived from a link key used to protect data messages.

1291   **Device:** This is any entity that contains an implementation of the Zigbee protocol stack.

1292   **Device application:** This is a special application that is responsible for Device operation. The device application  
1293   resides on endpoint 0 by convention and contains logic to manage the device's networking and general maintenance  
1294   features. Endpoints 241-254 are reserved for use by the Device application or common application function agreed  
1295   within the Connectivity Standards Alliance.

1296   **Device description:** This is a description of a specific device within an application profile. For example, the light  
1297   sensor device description is a member of the home automation application profile. The device description also has a  
1298   unique identifier that is exchanged as part of the discovery process.

1299   **Direct addressing:** This is a mode of addressing in which the destination of a frame is completely specified in the  
1300   frame itself.

1301   **Direct transmission:** This is a frame transmission using direct addressing.

1302   **End application:** This is for applications that reside on endpoints 1 through 254 on a Device. The end applications  
1303   implement features that are non-networking and Zigbee protocol related. Endpoints 241 through 254 SHALL only be  
1304   used by the End application with approval from the Connectivity Standards Alliance. The Green Power cluster, if  
1305   implemented, SHALL use endpoint 242.

1306   **Endpoint:** This is a particular component within a unit. Each Zigbee device MAY support up to 254 such components.

1307   **Extended PAN ID:** This is the globally unique 64-bit PAN identifier of the network. This identifier SHOULD be  
1308   unique among the PAN overlapping in a given area. This identifier is used to avoid PAN ID conflicts between distinct  
1309   networks.

1310   **Information base:** This is a collection of variables that define certain behavior in a layer. These variables can be  
1311   specified or obtained from a layer through its management service.

- 1312   **Key establishment:** This is a mechanism that involves the execution of a protocol by two devices to derive a mutually  
1313   shared secret key.
- 1314   **Key-load key:** This is a key derived from a link key used to protect key transport messages carrying a link key.
- 1315   **Key transport:** This is a mechanism for communicating a key from one device to another device or other devices.
- 1316   **Key-transport key:** This is a key derived from a link key used to protect key transport messages carrying a key.
- 1317   **Key update:** This is a mechanism implementing the replacement of a key shared amongst two or more devices by  
1318   means of another key available to that same group.
- 1319   **Local device:** This is the initiator of a ZDP command.
- 1320   **Link key:** This is a key that is shared exclusively between two, and only two, peer application-layer entities within a  
1321   PAN.
- 1322   **Maximum Transmission Unit (MTU):** The maximum size message that can be sent according to the layer it is being  
1323   generated at.
- 1324   **Mesh network:** This is a network in which the routing of messages is performed as a decentralized, cooperative  
1325   process involving many peer devices routing on each other's behalf.
- 1326   **Multicast:** This is a transmission to every device in a particular PAN belonging to a dynamically defined multicast  
1327   group, and within a given transmission radius measured in hops.
- 1328   **Multihop network:** This is a network, in particular a wireless network, in which there is no guarantee that the trans-  
1329   mitter and the receiver of a given message are connected or linked to each other. This implies that intermediate devices  
1330   SHALL be used as routers.
- 1331   **Non-beacon-enabled personal area network:** This is a personal area network that does not contain any devices that  
1332   transmit beacon frames at a regular interval.
- 1333   **Neighbor table:** This is a table used by a Zigbee device to keep track of other devices within the POS.
- 1334   **Network address:** This is the address assigned to a device by the network layer and used by the network layer for  
1335   routing messages between devices.
- 1336   **Network broadcast delivery time:** This is the time required by a broadcast transaction to reach every device of a  
1337   given network.
- 1338   **Network manager:** This is a Zigbee device that implements network management functions as described in Chapter  
1339   3, including PAN ID conflict resolution and frequency agility measures in the face of interference.
- 1340   **Network protocol data unit:** This is a unit of data that is exchanged between the network layers of two peer entities.
- 1341   **Network service data unit:** This is the information that is delivered as a unit through a network service access point.
- 1342   **Note:** This is a collection of independent device descriptions and applications residing in a single unit and sharing a  
1343   common 802.15.4 radio.
- 1344   **Normal operating state:** This is the processing which occurs after all startup and initialization processing has oc-  
1345   curred and prior to initiation of shutdown processing.
- 1346   **NULL:** a parameter or variable value that means unspecified, undefined, or unknown. The exact value of NULL is  
1347   implementation-specific, and SHALL NOT conflict with any other parameters or values.
- 1348   **Octet:** eight bits of data, used as a synonym for a byte.
- 1349   **OctetDuration:** transmission time (in seconds) of an octet on PHY layer. This time is calculated as  $8/\text{phyBitRate}$   
1350   where phyBitRate can be found in Table 1 of [B1]. To get milliseconds from N OctetDurations for 2.4 GHz the follow  
1351   formula has to be used:  $N*(8/250000)*1000$  where 250000 bit rate on 2.4 GHz and 8 number of bits in one octet.
- 1352   **One-way function:** a function whose forward computation is much easier to perform than its inverse.
- 1353   **Orphaned device:** a device, typically a Zigbee end device that has lost communication with the Zigbee device through  
1354   which it has its PAN membership.

- 1355   **PAN coordinator:** the principal controller of an IEEE Std 802.15.4-based network that is responsible for network  
1356 formation. The PAN coordinator SHALL be a full function device (FFD).
- 1357   **PAN information base:** a collection of variables in the IEEE Std 802.15.4 standard that are passed between layers,  
1358 in order to exchange information. This database MAY include the access control list, which stores the security mate-  
1359 rial.
- 1360   **Passphrase:** A symmetric secret used as part of a key negotiation protocol.
- 1361   **Personal operating space:** the area within reception range of a single device.
- 1362   **Private method:** attributes and commands which are accessible to Zigbee device objects only and unavailable to the  
1363 end applications.
- 1364   **Protocol data unit:** the unit of data that is exchanged between two peer entities.
- 1365   **Public method:** attributes and commands which are accessible to end applications.
- 1366   **Radio:** the IEEE Std 802.15.4 radio that is part of every Zigbee device.
- 1367   **Remote device:** the target of a ZDP command.
- 1368   **Route discovery:** an operation in which a Zigbee coordinator or Zigbee router attempts to discover a route to a remote  
1369 device by issuing a route request command frame.
- 1370   **Route discovery table:** a table used by a Zigbee coordinator or Zigbee router to store temporary information used  
1371 during route discovery.
- 1372   **Route reply:** a Zigbee network layer command frame used to reply to route requests.
- 1373   **Route request:** a Zigbee network layer command frame used to discover paths through the network over which sub-  
1374 sequent messages MAY be delivered.
- 1375   **Routing table:** a table in which a Zigbee coordinator or Zigbee router stores information required to participate in the  
1376 routing of frames.
- 1377   **Security token:** A term for a generic security item that is given to a device and to verify the identity of a device when  
1378 negotiating a security key.
- 1379   **Service discovery:** the ability of a device to locate services of interest.
- 1380   **Stack profile:** an agreement by convention outside the scope of the Zigbee specification on a set of additional re-  
1381 strictions with respect to features declared optional by the specification itself.
- 1382   **Trust center:** the device trusted by devices within a Zigbee network to distribute keys for the purpose of network and  
1383 end-to-end application configuration management.
- 1384   **Unicast:** the transmission of a message to a single device in a network.
- 1385   **Zigbee coordinator:** an IEEE Std 802.15.4 PAN coordinator.
- 1386   **Zigbee device object:** the portion of the application layer responsible for defining the role of the device within the  
1387 network (for example, Zigbee coordinator or end device), initiating and/or responding to binding and discovery re-  
1388 quests, and establishing a secure relationship between network devices.
- 1389   **Zigbee end device:** an IEEE Std 802.15.4 RFD or FFD participating in a Zigbee network, which is neither the Zigbee  
1390 coordinator nor a Zigbee router.
- 1391   **Zigbee router:** an IEEE Std 802.15.4 FFD participating in a Zigbee network, which is not the Zigbee coordinator but  
1392 MAY act as an IEEE Std 802.15.4 coordinator within its personal operating space, that is capable of routing messages  
1393 between devices and supporting associations.
- 1394   **Zigbee 2.4 GHz Coordinator:** An IEEE Std 802.15.4-2020 PAN coordinator operating in a Zigbee 2.4 GHz network.
- 1395   **Zigbee 2.4 GHz End Device:** An IEEE Std 802.15.4-2020 RFD participating in a Zigbee 2.4 GHz network, which is  
1396 neither the Zigbee coordinator nor a Zigbee router.

- 1397   **Zigbee 2.4 GHz Router:** An IEEE Std 802.15.4-2020 FFD participating in a Zigbee 2.4 GHz network, which is not  
1398   the Zigbee coordinator but MAY act as an IEEE Std 802.15.4-2020 coordinator within its personal operating space,  
1399   that is capable of routing messages between devices and supporting associations
- 1400   **Zigbee Sub-GHz Router:** An IEEE Std 802.15.4-2020 FFD participating in a Zigbee Sub- GHz network, which is not  
1401   the Zigbee coordinator but MAY act as an IEEE Std 802.15.4-2020 coordinator within its personal operating  
1402   space, that is capable of routing messages between devices and supporting associations. Zigbee Sub-GHz Router  
1403   (ZSR) is supported in R22 with power control on end device to routers and end devices to coordinators links. There  
1404   is no power control for router to router, and router to coordinator links.
- 1405   **Zigbee Multi-MAC Selection Router:** An IEEE Std 802.15.4-2020 FFD participating in a Zigbee Sub-GHz **or** 2.4  
1406   GHz network but **not** in both bands. Power control only on Sub-GHz interface and not on the 2.4 GHz interface.  
1407   Router in Sub-GHz mode in R22 will support power control on end device to routers and end devices to coordinators  
1408   links. There is no power control for router to router, and router to coordinator links.
- 1409   **Zigbee Multi-MAC Switch Router:** An IEEE Std 802.15.4-2020 FFD participating in a Zigbee Sub-GHz **and** 2.4  
1410   GHz network. In R22 only allows a single Zigbee Multi-MAC Switch Router in the network integrated into the  
1411   Zigbee Multi-MAC Switch Coordinator
- 1412   **Zigbee Multi-MAC Switch Coordinator:** An IEEE Std 802.15.4-2020 PAN coordinator operating in a Zigbee 2.4  
1413   GHz network **and** in Sub-GHz band.
- 1414   **Zigbee Multi-MAC Selection End Device:** An IEEE Std 802.15.4-2020 RFD participating in a Zigbee 2.4 GHz  
1415   network **or** the Sub-GHz network which is neither the Zigbee coordinator nor a Zigbee router.
- 1416   **Zigbee Sub-GHz End Device:** An IEEE Std 802.15.4-2020 RFD participating in a Zigbee Sub-GHz network which  
1417   is neither the Zigbee coordinator nor a Zigbee router.

## 1418   1.5 References

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1419   The following standards contain provisions, which, through reference in this document, constitute provisions of this  
1420   standard. Normative references are given in **and** and informative references are given in **At the time of publication,**  
1421   the editions indicated were valid. All standards are subject to Revision, and parties to agreements based on this stand-  
1422   ard are encouraged to investigate the possibility of applying the most recent editions of the references, as indicated in  
1423   this section.

### 1424   1.5.1 Zigbee/IEEE References

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- 1425   [B1]   802.15.4-2020, IEEE Standard for Local and metropolitan area networks--Part 15.4: Low-Rate Wireless  
1426   Personal Area Networks (LR-WPANs)
- 1427   [B2]   Document 20-27688-032: Zigbee Direct specification
- 1428   [B3]   Document 03-285r00: Suggestions for the Improvement of the IEEE 802.15.4 Standard, July 2003.
- 1429   [B4]   Document 09-5499r26: Green Power specification
- 1430   [B5]   Document 14-0563-16: Zigbee PRO Green Power feature specification Basic functionality set

### 1431   1.5.2 Normative References

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1435   from <http://www.ansi.org>.

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- 1446 [B12] [EN 300-220] ETSI EN 300 220-1 V2.4.1 (2012-01) Electromagnetic compatibility and Radio spectrum Matters (ERM); Short Range Devices (SRD); Radio equipment to be used in the 25 MHz to 1000 MHz frequency range with power levels ranging up to 500 mW; Part 1: Technical characteristics and test methods
- 1449 [B13] [EN 300-220] ETSI EN 300 220-1 V3.1.1 (2017-07) – Draft / unpublished) Electromagnetic compatibility and Radio spectrum Matters (ERM); Short Range Devices (SRD); Radio equipment to be used in the 25 MHz to 1000 MHz frequency range with power levels ranging up to 500 mW; Part 1: Technical characteristics and test methods
- 1453 [B14] [EN 303-204] ETSI EN 303 204-1 V1.1.0 (2014-06) Electromagnetic compatibility and Radio spectrum Matters (ERM); Network Based Short Range Devices (SRD); Radio equipment to be used in the 870 MHz to 876 MHz frequency range with power levels ranging up to 500 mW; Part 1: Technical characteristics and test methods

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### 1.5.3 Informative References

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- 1458 [B15] FIPS140- 2 ISO/IEC 7498-1:1994 Information technology — Open systems interconnection — Basic reference model: The basic model.
- 1460 [B16] ISO/IEC 9646-1:1991, Information technology — Open systems Interconnection — Conformance testing methodology and framework — Part 1: General concepts.
- 1462 [B17] ISO/IEC 9646-7:1995, Information technology — Open Systems Interconnection — Conformance testing methodology and framework — Part 7. Implementation conformance statements.
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- 1466 [B19] FIPS Pub 113, Computer Data Authentication, Federal Information Processing Standard Publication 113, US Department of Commerce/N.I.S.T., May 30, 1985. Available from <http://csrc.nist.gov/>.
- 1468 [B20] A. Langley, M. Hamburg, S. Turner, “Elliptic Curves for Security”, RFC 7748, Internet Engineering Task Force (IETF), 2016
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## 1479      **CHAPTER 2. APPLICATION LAYER SPECIFICA-** 1480      **TION**

### 1481      **2.1 General Description**

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1482      The Zigbee stack architecture includes a number of layered components including the IEEE Std 802.15.4 Medium  
1483      Access Control (MAC) layer, Physical (PHY) layer, and the Zigbee Network (NWK) layer. Each component provides  
1484      an application with its own set of services and capabilities. Although this chapter may refer to other components within  
1485      the Zigbee stack architecture, its primary purpose is to describe the component labeled Application (APL) Layer  
1486      shown in Figure 1-1.

1487      As shown in Figure 1-1, the Zigbee application layer consists of the APS sub-layer, the ZDO (containing the ZDO  
1488      management plane), and the manufacturer-defined application objects.

#### 1489      **2.1.1 Application Support Sub-Layer (APS)**

1490      The application support sub-layer (APS) provides an interface between the network layer (NWK) and the application  
1491      layer (APL) through a general set of services that are used by both the ZDO and the manufacturer-defined application  
1492      objects. The services are provided by two entities:

- 1493      1. The APS data entity (APSDE) through the APSDE service access point (APSDE-SAP).
- 1494      2. The APS management entity (APSME) through the APSME service access point (APSME-SAP).

1495      The APSDE provides the data transmission service between two or more application entities located on the same  
1496      network.

1497      The APSME provides a variety of services to application objects including security services and binding of devices.  
1498      It also maintains a database of managed objects, known as the APS information base (AIB).

#### 1499      **2.1.2 Application Framework**

1500      The application framework in Zigbee is the environment in which application objects are hosted on Zigbee devices.

1501      Up to 254 distinct application objects can be defined, each identified by an endpoint from 1 to 254. Two additional  
1502      endpoints are defined for APSDE-SAP usage: endpoint 0 is reserved for the data interface to the Zigbee Device Object  
1503      (ZDO) and endpoint 255 is reserved for the data interface function to broadcast data to all application objects. End-  
1504      points 241-254 are assigned by the Connectivity Standards Alliance and SHALL NOT be used without approval. The  
1505      Green Power cluster, if implemented, SHALL use endpoint 242.

##### 1506      **2.1.2.1 Application Profiles**

1507      Application profiles are agreements for messages, message formats, and processing actions that enable developers to  
1508      create an interoperable, distributed application employing application entities that reside on separate devices. These  
1509      application profiles enable applications to send commands, request data, and process commands and requests.

##### 1510      **2.1.2.2 Clusters**

1511      Clusters are identified by a cluster identifier, which is associated with data flowing out of, or into, the device. Cluster  
1512      identifiers are unique within the scope of a particular application profile.

##### 1513      **2.1.3 Zigbee Device Objects**

1514      The Zigbee device objects (ZDO), represent a base class of functionality that provides an interface between the applica-  
1515      tion objects, the device profile, and the APS. The ZDO is located between the application framework and the

1516 application support sub-layer. It satisfies common requirements of all applications operating in a Zigbee protocol  
1517 stack. The ZDO is responsible for the following:

- 1518 1. Initializing the APS, NWK, and the Security Service Provider.  
1519 2. Assembling configuration information from the end applications to determine and implement discovery, secu-  
1520 rity management, network management, and binding management.

1521 The ZDO presents public interfaces to the application objects in the application framework layer for control of device  
1522 and network functions by the application objects. The ZDO interfaces with the lower portions of the Zigbee protocol  
1523 stack, on endpoint 0, through the APSDE-SAP for data, and through the APSME-SAP and NLME-SAP for control  
1524 messages. The public interface provides address management of the device, discovery, binding, and security functions  
1525 within the application framework layer of the Zigbee protocol stack. The ZDO is fully described in section 2.5.

1526 **2.1.3.1 Device Discovery**

1527 Device discovery is the process whereby a Zigbee device can discover other Zigbee devices. There are two forms of  
1528 device discovery requests: IEEE address requests and NWK address requests. The IEEE address request is unicast to  
1529 a particular device and assumes the NWK address is known. The NWK address request is broadcast and carries the  
1530 known IEEE address as data payload.

1531 **2.1.3.2 Service Discovery**

1532 Service discovery is the process whereby the capabilities of a given device are discovered by other devices. Service  
1533 discovery can be accomplished by issuing a query for each endpoint on a given device or by using a match service  
1534 feature (either broadcast or unicast). The service discovery facility defines and utilizes various descriptors to outline  
1535 the capabilities of a device.

1536 **2.2 Zigbee Application Support Sub-Layer**

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1537 **2.2.1 Scope**

1538 This section specifies the portion of the application layer providing the service specification and interface to both the  
1539 manufacturer-defined application objects and the Zigbee device objects. The specification defines a data service to  
1540 allow the application objects to transport data, and a management service providing mechanisms for binding. In addi-  
1541 tion, it also defines the application support sub-layer frame format and frame-type specifications.

1542 **2.2.2 Purpose**

1543 The purpose of this section is to define the functionality of the Zigbee APS. This functionality is based on both the  
1544 driver functionality necessary to enable correct operation of the Zigbee network layer and the functionality required  
1545 by the manufacturer-defined application objects.

1546 **2.2.3 Application Support Sub-Layer Overview**

1547 The application support sub-layer provides the interface between the network layer and the application layer through  
1548 a general set of services for use by both the ZDO and the manufacturer-defined application objects. These services are  
1549 offered via two entities: the data service and the management service. The APS data entity (APSDE) provides the data  
1550 transmission service via its associated SAP, the APSDE-SAP. The APS management entity (APSME) provides the  
1551 management service via its associated SAP, the APSME-SAP, and maintains a database of managed objects known  
1552 as the AIB.

### 1553      2.2.3.1 Application Support Sub-Layer Data Entity (APSDE)

1554      The APSDE SHALL provide a data service to the network layer and both ZDO and application objects to enable the  
1555      transport of application PDUs between two or more devices. The devices themselves SHALL be located on the same  
1556      network.

1557      The APSDE will provide the following services:

- 1558      • **Generation of the application level PDU (APDU):** The APSDE SHALL take an application PDU and generate  
1559      an APS PDU by adding the appropriate protocol overhead.
- 1560      • **Binding:** Once two devices are bound, the APSDE SHALL be able to transfer a message from one bound device  
1561      to the second device.
- 1562      • **Group address filtering:** The ability to filter group-addressed messages based on endpoint group membership.
- 1563      • **Reliable transport:** Increases the reliability of transactions above that available from the NWK layer alone by  
1564      employing end-to-end retries.
- 1565      • **Duplicate rejection:** Messages offered for transmission will not be received more than once.
- 1566      • **Fragmentation:** Enables segmentation and reassembly of messages longer than the payload of a single NWK  
1567      layer frame.

### 1568      2.2.3.2 Application Support Sub-Layer Management Entity (APSME)

1569      The APSME SHALL provide a management service to allow an application to interact with the stack.

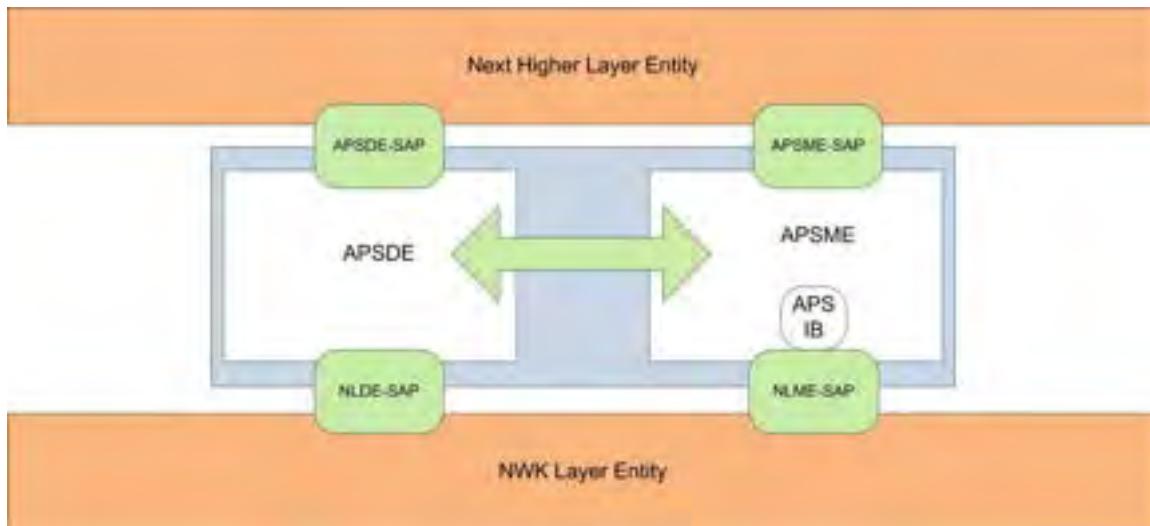
1570      The APSME SHALL provide the ability to match two devices together based on their services and their needs. This  
1571      service is called the binding service, and the APSME SHALL be able to construct and maintain a table to store this  
1572      information.

1573      In addition, the APSME will provide the following services:

- 1574      • **Binding management:** The ability to match two devices together based on their services and their needs.
- 1575      • **AIB management:** The ability to get and set attributes in the device's AIB.
- 1576      • **Security:** The ability to set up authentic relationships with other devices through the use of secure keys.
- 1577      • **Group management:** The ability to declare a single address shared by multiple devices, to add devices to the  
1578      group, and to remove devices from the group.

### 1579      2.2.4 Service Specification

1580      The APS sub-layer provides an interface between a next higher layer entity (NHLE) and the NWK layer. The APS  
1581      sub-layer conceptually includes a management entity called the APS sub-layer management entity (APSME). This  
1582      entity provides the service interfaces through which sub-layer management functions MAY be invoked. The APSME  
1583      is also responsible for maintaining a database of managed objects pertaining to the APS sub-layer. This database is  
1584      referred to as the APS sub-layer information base (AIB). Figure 2-1 depicts the components and interfaces of the APS  
1585      sub-layer.

**Figure 2-1. The APS Sub-Layer Reference Model**

The APS sub-layer provides two services, accessed through two service access points (SAPs). These are the APS data service, accessed through the APS sub-layer data entity SAP (APSDE-SAP), and the APS management service, accessed through the APS sub-layer management entity SAP (APSME-SAP). These two services provide the interface between the NHLE and the NWK layer, via the NLDE-SAP and, to a limited extent, NLME-SAP interfaces (see section 3.1). The NLME-SAP interface between the NWK layer and the APS sub-layer supports only the NLME-GET and NLME-SET primitives; all other NLME-SAP primitives are available only via the ZDO (see section 2.5). In addition to these external interfaces, there is also an implicit interface between the APSME and the APSDE that allows the APSME to use the APS data service.

### **2.2.4.1 APS Data Service**

The APS sub-layer data entity SAP (APSDE-SAP) supports the transport of application protocol data units between peer application entities. Table 2-1 lists the primitives supported by the APSDE-SAP. Each of these primitives will be discussed in the following sections.

**Table 2-1. APSDE-SAP Primitives**

APSDE-SAP Primitive	Request	Confirm	Indication
APSDE-DATA	2.2.4.1.1	2.2.4.1.2	2.2.4.1.3

#### **2.2.4.1.1 APSDE-DATA.request**

This primitive requests the transfer of a NHLE PDU (ASDU) from the local NHLE to one or more peer NHLE entities.

1603

1604 2.2.4.1.1.1 **Semantics of the Service Primitive**

1605 The semantics of this primitive are as follows:

---

```

1606     APSDE-DATA.request           {
1607         DstAddrMode,
1608         DstAddress,
1609         DstEndpoint,
1610         ProfileId,
1611         ClusterId,
1612         SrcEndpoint,
1613         ASDULength,
1614         ASDU,
1615         TxOptions,
1616         UseAlias,
1617         AliasSrcAddr,
1618         AliasSeqNumber,
1619         RadiusCounter
1620         nwkBroadcastAddress
1621     }

```

---

1622 Table 2-2 specifies the parameters for the APSDE-DATA.request primitive. Support of the parameters UseAlias,  
 1623 AliasSrcAddr, and AliasSeqNumb in the APSDE-DATA.request primitive is required if Green Power feature is sup-  
 1624 ported by the implementation.

1625 **Table 2-2. APSDE-DATA.request Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive and of the APDU to be transferred. This parameter can take one of the non-reserved values from the following list: 0x00 = DstAddress and DstEndpoint not present 0x01 = 16-bit group address for DstAddress; DstEndpoint not present 0x02 = 16-bit address for DstAddress and DstEndpoint present 0x03 = 64-bit extended address for DstAddress and DstEndpoint present 0x04 – 0xff = reserved
DstAddress	Address	As specified by the DstAddrMode parameter	The individual device address or group address of the entity to which the ASDU is being transferred.

Name	Type	Valid Range	Description
DstEndpoint	Integer	0x00 – 0xff	This parameter SHALL be present if, and only if, the DstAddrMode parameter has a value of 0x02 or 0x03 and, if present, shall be either the number of the individual endpoint of the entity to which the ASDU is being transferred or the broadcast endpoint (0xff).
ProfileId	Integer	0x0000 – 0xffff	The identifier of the profile for which this frame is intended.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the object for which this frame is intended.
SrcEndpoint	Integer	0x00 – 0xfe	The individual endpoint of the entity from which the ASDU is being transferred.
ASDULength	Integer	0x00 – 256 * (NsduLength - apscMinHeaderOverhead)	The number of octets comprising the ASDU to be transferred. The maximum length of an individual APS frame payload is given as NsduLength - <i>apscMinHeaderOverhead</i> . Assuming fragmentation is used, there can be 256 such blocks comprising a single maximum sized ASDU.
ASDU	Set of octets	-	The set of octets comprising the ASDU to be transferred.
TxOptions	Bitmap	0000 0000 – 0001 1111	The transmission options for the ASDU to be transferred. These are a bitwise OR of one or more of the following: 0x01 = Security enabled transmission 0x02 = Use NWK key 0x04 = Acknowledged transmission 0x08 = Fragmentation permitted 0x10 = Include extended nonce in APS security frame.
UseAlias	Boolean	TRUE or FALSE	The next higher layer may use the UseAlias parameter to request alias usage by NWK layer for the current frame. If the <i>UseAlias</i> parameter has a value of FALSE, meaning no alias usage, then the parameters <i>AliasSrcAddr</i> and <i>AliasSeqNumb</i> will be ignored. Otherwise, a value of TRUE denotes that the values supplied in <i>AliasSrcAddr</i> and <i>AliasSeqNumb</i> are to be used.
AliasSrcAddr	16-bit address	Any valid device address except a broadcast address	The source address to be used for this NSDU. If the <i>UseAlias</i> parameter has a value of FALSE, the <i>AliasSrcAddr</i> parameter is ignored.

Name	Type	Valid Range	Description
AliasSeqNumb	integer	0x00-0xff	The sequence number to be used for this NSDU. If the <i>UseAlias</i> parameter has a value of FALSE, the <i>AliasSeqNumb</i> parameter is ignored.
RadiusCounter	Un-signed integer	0x00-0xff	The distance, in hops, that a transmitted frame will be allowed to travel through the network.
nwkBroadcastAddress	16-bit address	0xFFFFC - 0xFFFF	This indicates the broadcast address used for multicast messages (DstAddrMode = 0x01).

1626 2.2.4.1.1.2 **When Generated**

1627 This primitive is generated by a local NHLE whenever a data PDU (ASDU) is to be transferred to one or more peer  
 1628 NHLEs.

1629 2.2.4.1.1.3 **Effect on Receipt**

1630 On receipt of this primitive, the APS sub-layer entity begins the transmission of the supplied ASDU.

1631 If the DstAddrMode parameter is set to 0x00 and this primitive was received by the APSDE of a device supporting a  
 1632 binding table, a search is made in the binding table with the endpoint and cluster identifiers specified in the SrcEnd-  
 1633 point and ClusterId parameters, respectively, for associated binding table entries. If no binding table entries are found,  
 1634 the APSDE issues the APSDE-DATA.confirm primitive with a status of NO\_BOUND\_DEVICE. If one or more  
 1635 binding table entries are found, then the APSDE examines the destination address information in each binding table  
 1636 entry. If this indicates a device itself, then the APSDE SHALL issue an APSDE-DATA.indication primitive to the  
 1637 next higher layer with the DstEndpoint parameter set to the destination endpoint identifier in the binding table entry.  
 1638 If UseAlias parameter has the value of TRUE, the supplied value of the AliasSrcAddr SHALL be used for the  
 1639 SrcAddress parameter of the APSDE-DATA.indication primitive. Otherwise if the binding table entries do not indicate  
 1640 the device itself, the APSDE constructs the APDU with the endpoint information from the binding table entry, if  
 1641 present, and uses the destination address information from the binding table entry when transmitting the frame via the  
 1642 NWK layer. If more than one binding table entry is present, then the APSDE processes each binding table entry as  
 1643 described above; until no more binding table entries remain. If this primitive was received by the APSDE of a device  
 1644 that does not support a binding table, the APSDE issues the APSDE-DATA.confirm primitive with a status of  
 1645 NOT\_SUPPORTED.

1646 If the DstAddrMode parameter is set to 0x03, the DstAddress parameter contains an extended 64-bit IEEE address  
 1647 and SHALL first be mapped to a corresponding 16-bit NWK address by using the nwkAddressMap attribute of the  
 1648 NIB (see Table 3-46). If a corresponding 16-bit NWK address could not be found, the APSDE issues the APSDE-  
 1649 DATA.confirm primitive with a status of NO\_SHORT\_ADDRESS. If a corresponding 16-bit NWK address is found,  
 1650 it will be used in the invocation of the NLDE-DATA.request primitive and the value of the DstEndpoint parameter  
 1651 will be placed in the resulting APDU. The delivery mode sub-field of the frame control field of the APS header  
 1652 SHALL have a value of 0x00 in this case.

1653 If the DstAddrMode parameter has a value of 0x01, indicating group addressing, the DstAddress parameter will be  
 1654 interpreted as a 16-bit group address. This address will be placed in the group address field of the APS header, the  
 1655 DstEndpoint parameter will be ignored, and the destination endpoint field will be omitted from the APS header. The  
 1656 delivery mode sub-field of the frame control field of the APS header SHALL have a value of 0x03 in this case. The  
 1657 nwkBroadcastAddress passed to the APSDE-DATA.request primitive SHALL be passed to the NLDE-DATA.request  
 1658 primitive.

1659 If the DstAddrMode parameter is set to 0x02, the DstAddress parameter contains a 16-bit NWK address, and the  
 1660 DstEndpoint parameter is supplied. The next higher layer SHOULD only employ DstAddrMode of 0x02 in cases

1661 where the destination NWK address is employed for immediate application responses and the NWK address is not  
1662 retained for later data transmission requests.

1663 The application MAY limit the number of hops a transmitted frame is allowed to travel through the network by setting  
1664 the RadiusCounter parameter of the NLDE-DATA.request primitive to a non-zero value.

1665 The parameters UseAlias, AliasSrcAddr and AliasSeqNumb SHALL be used in the invocation of the NLDE-  
1666 DATA.request primitive. If UseAlias is set to TRUE, the AliasSeqNumb value SHALL be copied into the APS Counter  
1667 field instead of using the device's own value.

1668 If the UseAlias parameter has the value of TRUE, and the Acknowledged transmission field of the TxOptions parameter  
1669 is set to 0b1, then the APSDE issues the APSDE-DATA.confirm primitive with a status of NOT\_SUPPORTED.

1670 If the TxOptions parameter specifies that secured transmission is required, the APS sub-layer SHALL use the security  
1671 service provider (see section 4.2.3) to secure the ASDU. The security processing SHALL always be performed using  
1672 device's own extended 64-bit IEEE address and the OutgoingFrameCounter attribute as stored in apsDeviceKeyPair-  
1673 Set attribute of the AIB for the entity indicated by the DstAddress parameter, and those values SHALL be put into the  
1674 auxiliary APS header of the frame, even if UseAlias parameter has a value of TRUE. If the security processing fails,  
1675 the APSDE SHALL issue the APSDE-DATA.confirm primitive with a status of SECURITY\_FAIL.

1676 The APSDE transmits the constructed frame by issuing the NLDE-DATA.request primitive to the NWK layer. When  
1677 the APSDE has completed all operations related to this transmission request, including transmitting frames as required,  
1678 any retransmissions, and the receipt or timeout of any acknowledgements, then the APSDE SHALL issue the APSDE-  
1679 DATA.confirm primitive (see section 2.2.4.1.2). If one or more NLDE-DATA.confirm primitives failed, then the  
1680 Status parameter SHALL be set to that received from the NWK layer. Otherwise, if one or more APS acknowledg-  
1681 ments were not correctly received, then the Status parameter SHALL be set to NO\_ACK. If the ASDU was success-  
1682 fully transferred to all intended targets, then the Status parameter SHALL be set to SUCCESS.

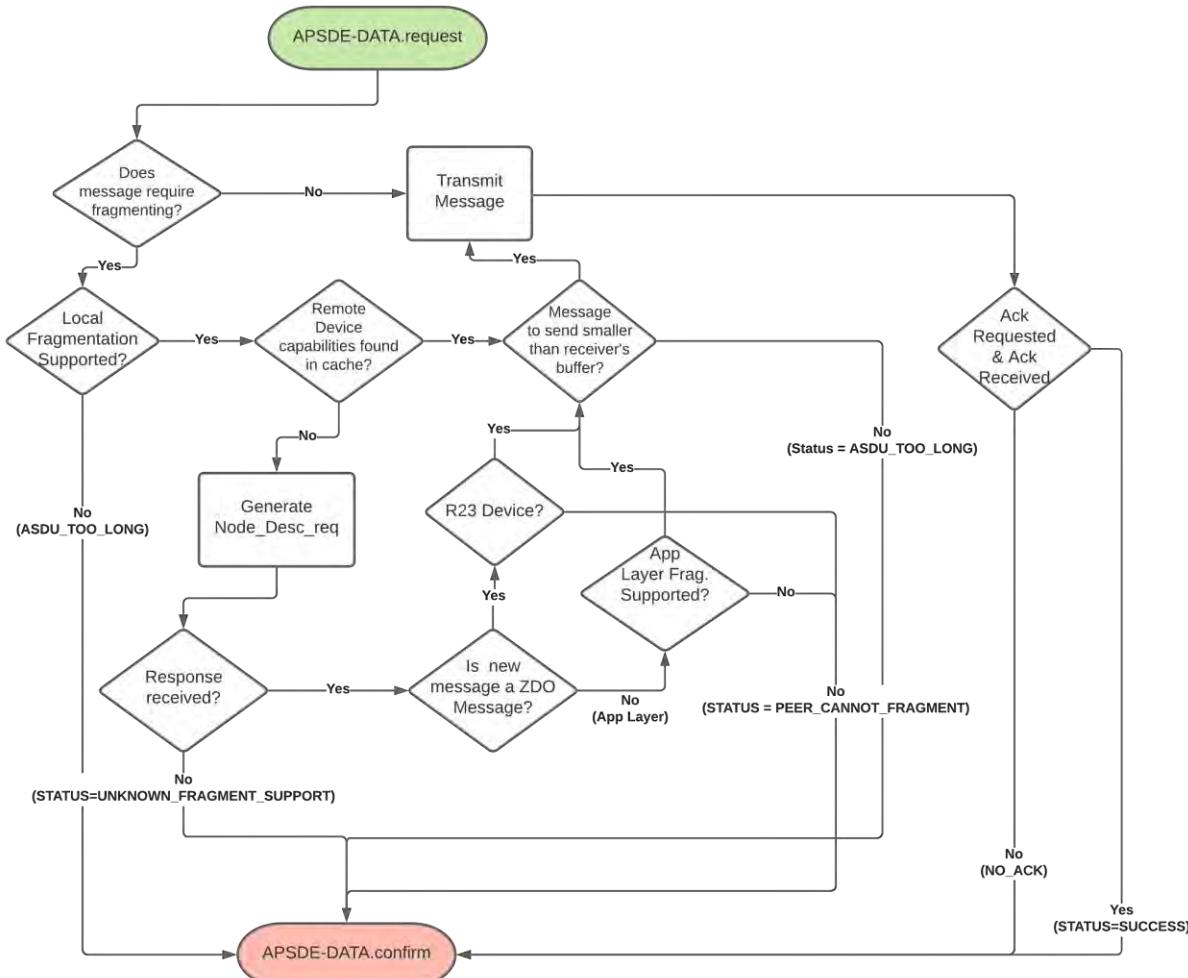
1683 The APSDE will ensure that route discovery is always enabled at the network layer by setting the DiscoverRoute  
1684 parameter of the NLDE-DATA.request primitive to 0x01, each time it is issued.

1685 If the ASDU to be transmitted is large than will fit in a single frame and the destination is a broadcast address then the  
1686 APSDE SHALL return a status of ASDU\_TOO\_LONG error via the APSDE-DATA.confirm.

1687 If the ASDU to be transmitted is larger than will fit in a single frame and the destination is not a broadcast address,,  
1688 then the device SHALL first determine whether the destination supports fragmentation and the size of the maximum  
1689 incoming transfer size of the destination. This is done by initiating a ZDO Node\_Desc\_req to the device including the  
1690 Fragmentation Parameters Global TLV of the local device. The ZDO Node\_Desc\_rsp will include the support and the  
1691 maximum buffer size the destination can support. The sender of the message SHALL wait apsZdoResponseTimeout  
1692 seconds for the response. If no response is received a status of NO\_ACK is returned to the application via the APSDE-  
1693 DATA.confirm.

1694 If the ASDU to be transmitted is larger than will fit in a single frame, an acknowledged transmission is requested, and  
1695 the fragmentation permitted flag of the TxOptions field is set to 1, and the ASDU is not too large to be handled by the  
1696 APSDE, then the ASDU SHALL be fragmented across multiple APDUs, as described in section 2.2.8.4.5. Transmis-  
1697 sion and security processing where requested, SHALL be carried out for each individual APDU independently. Note  
1698 that fragmentation SHALL NOT be used unless relevant higher-layer interactions explicitly indicate that fragmenta-  
1699 tion is permitted for the frame being sent, and that the other end is able to receive the fragmented transmission, both  
1700 in terms of number of blocks and total transmission size.

1701 Figure 2-2 indicates the overall flow of how the APSDE state machine will manage message for fragmentation.



1702

1703

**Figure 2-2. APSDE-DATA.request Process Flow**

1704 2.2.4.1.2 APSDE-DATA.confirm

1705 The primitive reports the results of a request to transfer a data PDU (ASDU) from a local NHLE to one or more peer  
1706 NHLEs.

1707 2.2.4.1.2.1 Semantics of the Service Primitive

1708 This semantics of this primitive are as follows:

```
1709     APSDE-DATA.confirm {  
1710         DstAddrMode,  
1711         DstAddress,  
1712         DstEndpoint,  
1713         SrcEndpoint,  
1714         Status,  
1715         TxTime  
1716     }
```

1717 Table 2-3 specifies the parameters for the APSDE-DATA.confirm primitive.

**Table 2-3. APSDE-DATA.confirm Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive and of the APDU to be transferred. This parameter can take one of the non-reserved values from the following list: 0x00 = DstAddress and DstEndpoint not present 0x01 = 16-bit group address for DstAddress; DstEndpoint not present 0x02 = 16-bit address for DstAddress and DstEndpoint present 0x03 = 64-bit extended address for DstAddress and DstEndpoint present 0x04 – 0xff = reserved
DstAddress	Address	As specified by the DstAddrMode parameter	The individual device address or group address of the entity to which the ASDU is being transferred.
DstEndpoint	Integer	0x00 – 0xff	This parameter SHALL be present if, and only if, the DstAddrMode parameter has a value of 0x02 or 0x03 and, if present, shall be the number of the individual endpoint of the entity to which the ASDU is being transferred.
SrcEndpoint	Integer	0x00 – 0xfe	The individual endpoint of the entity from which the ASDU is being transferred.
Status	Enumeration	SUCCESS, NO_SHORT_ADDRESS, NO_BOUND_DEVICE, SECURITY_FAIL, NO_ACK, ASDU_TOO_LONG, PEER_CANNOT_FRAGMENT, UN-KNOWN_FRAGMENT_SUPPORT or any status values returned from the NLDE-DATA.confirm primitive.	The status of the corresponding request.
TxTime	Integer	Implementation specific	A time indication for the transmitted packet based on the local clock, as provided by the NWK layer.

1719 2.2.4.1.2.2 **When Generated**

1720 This primitive is generated by the local APS sub-layer entity in response to an APSDE-DATA.request primitive. This  
 1721 primitive returns a status of either SUCCESS, indicating that the request to transmit was successful, or an error code  
 1722 of NO\_SHORT\_ADDRESS, NO\_BOUND\_DEVICE, SECURITY\_FAIL, ASDU\_TOO\_LONG, or any status values

1723 returned from the NLDE-DATA.confirm primitive. The reasons for these status values are fully described in section  
 1724 2.2.4.1.1.3.

1725 **2.2.4.1.2.3 Effect on Receipt**

1726 On receipt of this primitive, the next higher layer of the initiating device is notified of the result of its request to  
 1727 transmit. If the transmission attempt was successful, the Status parameter will be set to SUCCESS. Otherwise, the  
 1728 Status parameter will indicate the error.

1729 **2.2.4.1.3 APSDE-DATA.indication**

1730 This primitive indicates the transfer of a data PDU (ASDU) from the APS sub-layer to the local application entity.

1731 **2.2.4.1.3.1 Semantics of the Service Primitive**

1732 The semantics of this primitive are as follows:

---

```

 1733     APSDE-DATA.indication      {
 1734             DstAddrMode,
 1735             DstAddress,
 1736             DstEndpoint,
 1737             SrcAddrMode,
 1738             SrcAddress,
 1739             SrcEndpoint,
 1740             ProfileId,
 1741             ClusterId,
 1742             asduLength,
 1743             asdu,
 1744             Status,
 1745             SecurityStatus,
 1746             LinkQuality,
 1747             KeyIndex,
 1748             RxTime,
 1749             DeviceKeyPairEntry
 1750         }

```

---

1751 Table 2-4 specifies the parameters for the APSDE-DATA.indication primitive.

1752 **Table 2-4. APSDE-DATA.indication Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 - 0xff	The addressing mode for the destination address used in this primitive and of the APDU that has been received. This parameter can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddress; DstEndpoint not present

Name	Type	Valid Range	Description
			<p>0x02 = 16-bit address for DstAddress and DstEndpoint present      0x03 = 64-bit extended address for DstAddress and DstEndpoint present.      0x04 = 64-bit extended address for DstAddress, but DstEndpoint NOT present.      0x05 – 0xff = reserved</p>
DstAddress	Address	As specified by the DstAddrMode parameter	The individual device address or group address to which the ASDU is directed.
DstEndpoint	Integer	0x00 – 0xfe	The target endpoint on the local entity to which the ASDU is directed.
SrcAddrMode	Integer	0x00 – 0xff	<p>The addressing mode for the source address used in this primitive and of the APDU that has been received. This parameter can take one of the non-reserved values from the following list:</p> <p>0x00 = reserved      0x01 = reserved      0x02 = 16-bit short address for SrcAddress and SrcEndpoint present      0x03 = 64-bit extended address for SrcAddress and SrcEndpoint present      0x04 = 64-bit extended address for SrcAddress, but SrcEndpoint NOT present.      0x05 – 0xff = reserved</p>
SrcAddress	Address	As specified by the SrcAddrMode parameter	The individual device address of the entity from which the ASDU has been received.
SrcEndpoint	Integer	0x00 – 0xfe	The number of the individual endpoint of the entity from which the ASDU has been received.
ProfileId	Integer	0x0000 – 0xffff	The identifier of the profile from which this frame originated.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the received object.

Name	Type	Valid Range	Description
asduLength	Integer	-	The number of octets comprising the ASDU being indicated by the APSDE.
asdu	Set of octets	-	The set of octets comprising the ASDU being indicated by the APSDE.
Status	Enumeration	SUCCESS, DEFrag_UNSUP-PORTED, DEFrag_DEFERRED or any status returned from the security processing of the frame	The status of the incoming frame processing.
SecurityStatus	Enumeration	UNSECURED, SECURED_NWK_KEY, or SECURED_LINK_KEY	UNSECURED if the ASDU was received without any security. SECURED_NWK_KEY if the received ASDU was secured with the NWK key. SECURED_LINK_KEY if the ASDU was secured with a link key.
LinkQuality	Integer	0x00 - 0xff	The link quality indication delivered by the NLDE.
KeyIndex	Integer	0 – 255	This value is only valid when SecurityStatus is set to SECURED_LINK_KEY. This indicates the index in the <i>apsDeviceKeyPairSet</i> table that was used to decrypt the incoming packet. The application may use this index to obtain all the details about the key via an APSME-GET.request.
RxTime	Integer	Implementation-specific	A time indication for the received packet based on the local clock, as provided by the NWK layer.
DeviceKeyPairEntry	Handle	NULL or pointer	If SecurityStatus indicates SECURED_LINK_KEY this will be a handle to the DeviceKeyPairEntry that was used during APS decryption. Otherwise, it will be NULL. This can be used by the application to determine the security parameters of the link key associated with the sending device and thus apply any application layer policies to the message.

1753 2.2.4.1.3.2 **When Generated**

1754 This primitive is generated by the APS sub-layer and issued to the next higher layer on receipt of an appropriately  
1755 addressed data frame from the local NWK layer entity or following receipt of an APSDE-DATA.  
1756 request in which the DstAddrMode parameter was set to 0x00 and the binding table entry has directed the frame to  
1757 the device itself. If the frame control field of the ASDU header indicates that the frame is secured, security processing  
1758 SHALL be done as specified in section 4.4.1.

1759 This primitive is generated by the APS sub-layer entity and issued to the next higher layer entity on receipt of an  
1760 appropriately addressed data frame from the local network layer entity, via the NLDE-DATA.indication primitive.

1761 If the frame control field of the APDU header indicates that the frame is secured, then security processing SHALL be  
1762 undertaken as specified in section 4.4.1. If the security processing fails, the APSDE sets the Status parameter to the  
1763 security error code returned from the security processing.

1764 If the frame is not secured or the security processing was successful, the APSDE SHALL check for the frame being  
1765 fragmented. If the extended header is included in the APDU header and the fragmentation sub-field of the extended  
1766 frame control field indicates that the frame is fragmented but this device does not support fragmentation, the APSDE  
1767 sets the Status parameter to DEFrag\_UNSUPPORTED. If the extended header is included in the APDU header, the  
1768 fragmentation sub-field of the extended frame control field indicates that the frame is fragmented and the device  
1769 supports fragmentation, but is not currently able to defragment the frame, the APSDE sets the Status parameter to  
1770 DEFrag\_DEFERRED.

1771 Under all other circumstances, the APSDE sets the Status parameter to SUCCESS.

1772 If the Status parameter is not set to SUCCESS, the APSDE sets the ASDULength parameter to 0 and the ASDU  
1773 parameter to the null set of bytes.

1774 The APS sub-layer entity SHALL attempt to map the source address from the received frame to its corresponding  
1775 extended 64-bit IEEE address by using the nwkAddressMap attribute of the NIB (see Table 3-46). If a corresponding  
1776 64-bit IEEE address was found, the APSDE issues this primitive with the SrcAddrMode parameter set to 0x03 and  
1777 the SrcAddress parameter set to the corresponding 64-bit IEEE address. If a corresponding 64-bit IEEE address was  
1778 not found, the APSDE issues this primitive with the SrcAddrMode parameter set to 0x02, and the SrcAddress parameter  
1779 set to the 16-bit source address as contained in the received frame.

1780 2.2.4.1.3.3 **Effect on Receipt**

1781 On receipt of this primitive, the next higher layer is notified of the arrival of data at the device.

1782 **2.2.4.2 APS Management Service**

1783 The APS management entity SAP (APSME-SAP) supports the transport of management commands between the next  
1784 higher layer and the APSME. Table 2-5 summarizes the primitives supported by the APSME through the APSME-  
1785 SAP interface. See the following sections for more details on the individual primitives.

1786

**Table 2-5. Summary of the Primitives Accessed Through the APSME-SAP**

Name	Request	Indication	Response	Confirm
APSME-BIND	2.2.4.3.1			2.2.4.3.2
APSME-UNBIND	2.2.4.3.3			2.2.4.3.4
APSME-GET	2.2.4.4.1			2.2.4.4.2
APSME-SET	2.2.4.4.3			2.2.4.4.4
APSME-ADD-GROUP	2.2.4.5.1			2.2.4.5.2
APSME-REMOVE-GROUP	2.2.4.5.3			2.2.4.5.4
APSME-REMOVE-ALL-GROUPS	2.2.4.5.5			2.2.4.5.6

## 2.2.4.3 Binding Primitives

This set of primitives defines how the next higher layer of a device can add (commit) a binding record to its local binding table or remove a binding record from its local binding table.

Only a device supporting a binding table MAY process these primitives. If any other device receives these primitives from their next higher layer, the primitives SHOULD be rejected.

### 2.2.4.3.1 APSME-BIND.request

This primitive allows the next higher layer to request to bind two devices together, or to bind a device to a group, by creating an entry in its local binding table, if supported.

#### 2.2.4.3.1.1 Semantics of the Service Primitive

The semantics of this primitive are as follows:

```
APSME-BIND.request {  
    SrcAddr,  
    SrcEndpoint,  
    ClusterId,  
    DstAddrMode,  
    DstAddr,  
    DstEndpoint  
}
```

Table 2-6 specifies the parameters for the APSME-BIND.request primitive.

1807

**Table 2-6. APSME-BIND.request Parameters**

Name	Type	Valid Range	Description
SrcAddr	IEEE address	A valid 64-bit IEEE address	The source IEEE address for the binding entry.
SrcEndpoint	Integer	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is to be bound to the destination device.
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive. This parameter can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddr and DstEndpoint not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddr and DstEndpoint present 0x04 – 0xff = reserved
DstAddr	Address	As specified by the DstAddrMode parameter	The destination address for the binding entry.
DstEndpoint	Integer	0x01 – 0xff	This parameter will be present only if the DstAddrMode parameter has a value of 0x03 and, if present, will be the destination endpoint for the binding entry.

**1808 2.2.4.3.1.2 When Generated**

1809 This primitive is generated by the next higher layer and issued to the APS sub-layer in order to instigate a binding  
 1810 operation on a device that supports a binding table.

**1811 2.2.4.3.1.3 Effect on Receipt**

1812 On receipt of this primitive by a device that is not currently joined to a network, or by a device that does not support  
 1813 a binding table, or if any of the parameters has a value which is out of range, the APSME issues the APSME-  
 1814 BIND.confirm primitive with the Status parameter set to ILLEGAL\_REQUEST.

1815 If the APS sub-layer on a device that supports a binding table receives this primitive from the NHLE, the APSME  
 1816 attempts to create the specified entry directly in its binding table. If the entry could be created, the APSME issues the  
 1817 APSME-BIND.confirm primitive with the Status parameter set to SUCCESS. If the entry could not be created due to  
 1818 a lack of capacity in the binding table, the APSME issues the APSME-BIND.confirm primitive with the Status pa-  
 1819 rameter set to TABLE\_FULL.

**1820 2.2.4.3.2 APSME-BIND.confirm**

1821 This primitive allows the next higher layer to be notified of the results of its request to bind two devices together, or  
 1822 to bind a device to a group.

1823 2.2.4.3.2.1 **Semantics of the Service Primitive**

1824 The semantics of this primitive are as follows:

---

```

1825     APSME-BIND.confirm           {
1826         Status,
1827         SrcAddr,
1828         SrcEndpoint,
1829         ClusterId,
1830         DstAddrMode,
1831         DstAddr,
1832         DstEndpoint
1833     }

```

---

1834 Table 2-7 specifies the parameters for the APSME-BIND.confirm primitive.

1835 **Table 2-7. APSME-BIND.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, ILLEGAL_REQUEST, TABLE_FULL, or NOT_SUPPORTED	The results of the binding request.
SrcAddr	IEEE address	A valid 64-bit IEEE address	The source IEEE address for the binding entry.
SrcEndpoint	Integer	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is to be bound to the destination device.
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive. This parameter can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddr and DstEndpoint not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddr and DstEndpoint present 0x04 – 0xff = reserved
DstAddr	Address	As specified by the DstAddr-Mode parameter	The destination address for the binding entry.

Name	Type	Valid Range	Description
DstEndpoint	Integer	0x01 – 0xff	This parameter will be present only if the DstAddrMode parameter has a value of 0x03 and, if present, will be the destination endpoint for the binding entry.

1836    2.2.4.3.2.2    **When Generated**

1837    This primitive is generated by the APSME and issued to its NHLE in response to an APSME-BIND.request primitive.  
 1838    If the request was successful, the Status parameter will indicate a successful bind request. Otherwise, the Status pa-  
 1839    rameter indicates an error code of NOT\_SUPPORTED, ILLEGAL\_REQUEST or TABLE\_FULL.

1840    2.2.4.3.2.3    **Effect on Receipt**

1841    On receipt of this primitive, the next higher layer is notified of the results of its bind request. If the bind request was  
 1842    successful, the Status parameter is set to SUCCESS. Otherwise, the Status parameter indicates the error.

1843    2.2.4.3.3    **APSME-UNBIND.request**

1844    This primitive allows the next higher layer to request to unbind two devices, or to unbind a device from a group, by  
 1845    removing an entry in its local binding table, if supported.

1846    2.2.4.3.3.1    **Semantics of the Service Primitive**

1847    The semantics of this primitive are as follows:

---

APSME-UNBIND.request	{
	SrcAddr,
	SrcEndpoint,
	ClusterId,
	DstAddrMode,
	DstAddr,
	DstEndpoint
	}

---

1848    Table 2-8 specifies the parameters for the APSME-UNBIND.request primitive.

1849    **Table 2-8. APSME-UNBIND.request Parameters**

Name	Type	Valid Range	Description
SrcAddr	IEEE ad- dress	A valid 64-bit IEEE address	The source IEEE address for the binding entry.
SrcEndpoint	Integer	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is bound to the destination device.

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive. This parameter can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddr and DstEndpoint not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddr and DstEndpoint present 0x04 – 0xff = reserved
DstAddr	Address	As specified by the DstAddrMode parameter.	The destination address for the binding entry.
DstEndpoint	Integer	0x01 – 0xff	This parameter will be present only if the DstAddrMode parameter has a value of 0x03 and, if present, will be the destination endpoint for the binding entry.

1858    **2.2.4.3.3.2 When Generated**

1859    This primitive is generated by the next higher layer and issued to the APS sub-layer in order to instigate an unbind  
 1860    operation on a device that supports a binding table.

1861    **2.2.4.3.3.3 Effect on Receipt**

1862    On receipt of this primitive by a device that is not currently joined to a network, or by a device that does not support  
 1863    a binding table, or if any of the parameters has a value which is out of range, the APSME issues the APSME-UN-  
 1864    BIND.confirm primitive with the Status parameter set to ILLEGAL\_REQUEST.

1865    If the APS on a device that supports a binding table receives this primitive from the NHLE, the APSME searches for  
 1866    the specified entry in its binding table. If the entry exists, the APSME removes the entry and issues the APSME-  
 1867    UNBIND.confirm (see section 2.2.4.3.4) primitive with the Status parameter set to SUCCESS. If the entry could not  
 1868    be found, the APSME issues the APSME-UNBIND.confirm primitive with the Status parameter set to INV-  
 1869    ALID\_BINDING.

1870    **2.2.4.3.4 APSME-UNBIND.confirm**

1871    This primitive allows the next higher layer to be notified of the results of its request to unbind two devices, or to  
 1872    unbind a device from a group.

1873

1874 2.2.4.3.4.1 **Semantics of the Service Primitive**

1875 The semantics of this primitive are as follows:

---

```
1876     APSME-UNBIND.confirm          {  
1877         Status,  
1878         SrcAddr,  
1879         SrcEndpoint,  
1880         ClusterId,  
1881         DstAddrMode,  
1882         DstAddr,  
1883         DstEndpoint  
1884     }
```

---

1885 Table 2-9 specifies the parameters for the APSME-UNBIND.confirm primitive.

1886 **Table 2-9. APSME-UNBIND.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, ILLEGAL_REQUEST, or INVALID_BINDING	The results of the unbind request.
SrcAddr	IEEE address	A valid 64-bit IEEE address	The source IEEE address for the binding entry.
SrcEndpoint	Integer	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is bound to the destination device.
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive. This parameter can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddr and DstEndpoint not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddr and DstEndpoint present 0x04 – 0xff = reserved
DstAddr	Address	As specified by the DstAddr-Mode parameter	The destination address for the binding entry.
DstEndpoint	Integer	0x01 – 0xff	The destination endpoint for the binding entry.

1887 2.2.4.3.4.2 **When Generated**

1888 This primitive is generated by the APSME and issued to its NHLE in response to an APSME-UNBIND.request primitive.  
 1889 If the request was successful, the Status parameter will indicate a successful unbind request. Otherwise, the  
 1890 Status parameter indicates an error code of ILLEGAL\_REQUEST, or INVALID\_BINDING.

1891 2.2.4.3.4.3 **Effect on Receipt**

1892 On receipt of this primitive, the next higher layer is notified of the results of its unbind request. If the unbind request  
 1893 was successful, the Status parameter is set to SUCCESS. Otherwise, the Status parameter indicates the error.

1894 **2.2.4.4 Information Base Maintenance**

1895 This set of primitives defines how the next higher layer of a device can read and write attributes in the AIB. APSME-  
 1896 GET.request.

1897 **2.2.4.4.1 APSME-GET.request**

1898 This primitive allows the next higher layer to read the value of an attribute from the AIB.

1899 **2.2.4.4.1.1 Semantics of the Service Primitive**

1900 The semantics of this primitive are as follows:

---

1901           APSME-GET.request	{
	AIBAttribute
	}

---

1904 Table 2-10 specifies the parameters for this primitive.

1905 **Table 2-10. APSME-GET.request Parameters**

Name	Type	Valid Range	Description
AIBAttribute	Integer	See Table 2-24.	The identifier of the AIB attribute to read.

1906 2.2.4.4.1.2 **When Generated**

1907 This primitive is generated by the next higher layer and issued to its APSME in order to read an attribute from the  
 1908 AIB.

1909 2.2.4.4.1.3 **Effect on Receipt**

1910 On receipt of this primitive, the APSME attempts to retrieve the requested AIB attribute from its database. If the  
 1911 identifier of the AIB attribute is not found in the database, the APSME issues the APSME-GET.confirm primitive  
 1912 with a status of UNSUPPORTED\_ATTRIBUTE.

1913 If the requested AIB attribute is successfully retrieved, the APSME issues the APSME-GET.confirm primitive with a  
 1914 status of SUCCESS such that it contains the AIB attribute identifier and value.

1915 **2.2.4.4.2 APSME-GET.confirm**

1916 This primitive reports the results of an attempt to read the value of an attribute from the AIB.

1917

1918 2.2.4.4.2.1 **Semantics of the Service Primitive**

1919 The semantics of this primitive are as follows:

---

```
1920     APSME-GET.confirm           {
1921             Status,
1922             AIBAttribute,
1923             AIBAttributeLength,
1924             AIBAttributeValue
1925         }
```

---

1926 Table 2-11 specifies the parameters for this primitive.

1927 **Table 2-11. APSME-GET.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS or UNSUPPORTED_ATTRIBUTE	The results of the request to read an AIB attribute value.
AIBAttribute	Integer	See Table 2-24.	The identifier of the AIB attribute that was read.
AIBAttributeLength	Integer	0x0001 – 0xffff	The length, in octets, of the attribute value being returned.
AIBAttributeValue	Various	Attribute-specific (see Table 2-24)	The value of the AIB attribute that was read.

1928 2.2.4.4.2.2 **When Generated**

1929 This primitive is generated by the APSME and issued to its next higher layer in response to an APSME-GET.request primitive. This primitive returns a status of SUCCESS, indicating that the request to read an AIB attribute was successful, or an error code of UNSUPPORTED\_ATTRIBUTE. The reasons for these status values are fully described in Table 2-29.

1933 2.2.4.4.2.3 **Effect on Receipt**

1934 On receipt of this primitive, the next higher layer is notified of the results of its request to read an AIB attribute. If the 1935 request to read an AIB attribute was successful, the Status parameter will be set to SUCCESS. Otherwise, the Status 1936 parameter indicates the error.

1937 **2.2.4.4.3 APSME-SET.request**

1938 This primitive allows the next higher layer to write the value of an attribute into the AIB.

1939 2.2.4.4.3.1 **Semantics of the Service Primitive**

1940 The semantics of this primitive are as follows:

---

```
1941     APSME-SET.request          {
1942             AIBAttribute,
1943             AIBAttributeLength,
1944             AIBAttributeValue
1945         }
```

---

1946 Table 2-12 specifies the parameters for this primitive.

1947

**Table 2-12. APSME-SET.request Parameters**

Name	Type	Valid Range	Description
AIBAttribute	Integer	See Table 2-24	The identifier of the AIB attribute to be written.
AIBAttributeLength	Integer	0x0000 - 0xffff	The length, in octets, of the attribute value being set.
AIBAttributeValue	Various	Attribute-specific (see Table 2-24).	The value of the AIB attribute that SHOULD be written.

1948 **2.2.4.4.3.2 When Generated**

1949 This primitive is to be generated by the next higher layer and issued to its APSME in order to write the value of an  
1950 attribute in the AIB.

1951 **2.2.4.4.3.3 Effect on Receipt**

1952 On receipt of this primitive, the APSME attempts to write the given value to the indicated AIB attribute in its database.  
1953 If the AIBAttribute parameter specifies an attribute that is not found in the database, the APSME issues the APSME-  
1954 SET.confirm primitive with a status of UNSUPPORTED\_ATTRIBUTE. If the AIBAttributeValue parameter speci-  
1955 fies a value that is outside the valid range for the given attribute, the APSME issues the APSME-SET.confirm primi-  
1956 tive with a status of INVALID\_PARAMETER.

1957 If the requested AIB attribute is successfully written, the APSME issues the APSME-SET.confirm primitive with a  
1958 status of SUCCESS.

1959 **2.2.4.4.4 APSME-SET.confirm**

1960 This primitive reports the results of an attempt to write a value to an AIB attribute.

1961 **2.2.4.4.4.1 Semantics of the Service Primitive**

1962 The semantics of this primitive are as follows:

---

APSME-SET.confirm	{ Status, AIBAttribute }
-------------------	-----------------------------------

---

1967 Table 2-13 specifies the parameters for this primitive.

1968

**Table 2-13. APSME-SET.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, INVALID_PARAMETER, or UNSUPPORTED_ATTRIBUTE	The result of the request to write the AIB Attribute.
AIBAttribute	Integer	See Table 2-24.	The identifier of the AIB attribute that was written.

1969 2.2.4.4.4.2 **When Generated**

1970 This primitive is generated by the APSME and issued to its next higher layer in response to an APSME-SET.request  
 1971 primitive. This primitive returns a status of either SUCCESS, indicating that the requested value was written to the  
 1972 indicated AIB attribute, or an error code of INVALID\_PARAMETER or UNSUPPORTED\_ATTRIBUTE. The rea-  
 1973 sons for these status values are completely described in Table 2-29.

1974 2.2.4.4.4.3 **Effect on Receipt**

1975 On receipt of this primitive, the next higher layer is notified of the results of its request to write the value of a AIB  
 1976 attribute. If the requested value was written to the indicated AIB attribute, the Status parameter will be set to SUC-  
 1977 CESS. Otherwise, the Status parameter indicates the error.

1978 **2.2.4.5 Group Management**

1979 This set of primitives allows the next higher layer to manage group membership for endpoints on the current device  
 1980 by adding and removing entries in the group table.

1981 **2.2.4.5.1 APSME-ADD-GROUP.request**

1982 This primitive allows the next higher layer to request that group membership for a particular group be added for a  
 1983 particular endpoint.

1984 **2.2.4.5.1.1 Semantics of the Service Primitive**

1985 The semantics of this primitive are as follows:

---

1986	APSME-ADD-GROUP.request	{
		GroupAddress,
		Endpoint
		}

---

1990 Table 2-14 specifies the parameters for this primitive.

1991 **Table 2-14. APSME-ADD-GROUP.request Parameters**

Name	Type	Valid Range	Description
GroupAddress	16-bit group address	0x0000 – 0xffff	The 16-bit address of the group being added.
Endpoint	Integer	0x01 – 0xfe	The endpoint to which the given group is being added.

1992 2.2.4.5.1.2 **When Generated**

1993 This primitive is generated by the next higher layer when it wants to add membership in a particular group to an  
 1994 endpoint, so that frames addressed to the group will be delivered to that endpoint in the future.

1995 2.2.4.5.1.3 **Effect on Receipt**

1996 If, on receipt of this primitive, the GroupAddress parameter is found to be outside the valid range, then the APSME  
 1997 will issue the APSME-ADD-GROUP.confirm primitive to the next higher layer with a status value of  
 1998 INVALID\_PARAMETER. Similarly, if the Endpoint parameter has a value which is out of range or else enumerates  
 1999 an endpoint that is not implemented on the current device, the APSME will issue the APSME-ADD-GROUP.confirm  
 2000 primitive with a Status of INVALID\_PARAMETER.

2001 After checking the parameters as described above, the APSME will check the group table to see if an entry already  
 2002 exists containing the values given by the GroupAddress and Endpoint parameters. If such an entry already exists in  
 2003 the table then the APSME will issue the APSME-ADD-GROUP.confirm primitive to the next higher layer with a

2004 status value of SUCCESS. If there is no such entry and there is space in the table for another entry then the APSME  
 2005 will add a new entry to the group table with the values given by the GroupAddress and Endpoint parameters. The  
 2006 APSME then issues the APSME-ADD-GROUP.confirm primitive to the next higher layer with a status value of SUC-  
 2007 CESS. If no entry for the given GroupAddress and Endpoint is present but there is no room in the group table for  
 2008 another entry, then the APSME will issue the APSME-ADD-GROUP.confirm primitive to the next higher layer with  
 2009 a status value of TABLE\_FULL.

#### 2010 2.2.4.5.2 **APSME-ADD-GROUP.confirm**

2011 This primitive allows the next higher layer to be informed of the results of its request to add a group to an endpoint.

##### 2012 2.2.4.5.2.1 **Semantics of the Service Primitive**

2013 The semantics of the service primitive are as follows:

---

2014           APSME-ADD-GROUP.confirm	{
	Status,
	GroupAddress,
	Endpoint
	}

---

2019 Table 2-15 specifies the parameters for this primitive.

2020 **Table 2-15. APSME-ADD-GROUP.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, INVALID_PARAMETER, or TABLE_FULL	The status of the request to add a group.
GroupAddress	16-bit group address	0x0000 - 0xffff	The 16-bit address of the group being added.
Endpoint	Integer	0x01 - 0xfe	The endpoint to which the given group is being added.

2021 2.2.4.5.2.2 **When Generated**

2022 This primitive is generated by the APSME and issued to the next higher layer in response to an  
 2023 APMSE-ADD-GROUP.request primitive. If the APSME-ADD-GROUP.request was successful, then the Status parameter  
 2024 value will be SUCCESS. If one of the parameters of the APMSE-ADD-GROUP.request primitive had an invalid value, then the status value will be set to INVALID\_PARAMETER. If the APMSE attempted to add a group table entry but there was no room in the table for another entry, then the status value will be TABLE\_FULL.

2027 2.2.4.5.2.3 **Effect on Receipt**

2028 On receipt of this primitive, the next higher layer is informed of the status of its request to add a group. The Status  
 2029 parameter values will be as described above.

2030 2.2.4.5.3 **APSME-REMOVE-GROUP.request**

2031 This primitive allows the next higher layer to request that group membership in a particular group for a particular  
 2032 endpoint be removed.

2033

2034 2.2.4.5.3.1 **Semantics of the Service Primitive**

2035 The semantics of the service primitive are as follows:

---

2036      APSME-REMOVE-GROUP.request	{
2037	GroupAddress,
2038	Endpoint
2039	}

---

2040 Table 2-16 specifies the parameters for this primitive.

2041 **Table 2-16. APSME-REMOVE-GROUP.request Parameters**

Name	Type	Valid Range	Description
GroupAddress	16-bit group address	0x0000 – 0xffff	The 16-bit address of the group being removed.
Endpoint	Integer	0x01 – 0xfe	The endpoint to which the given group is being removed.

2042 2.2.4.5.3.2 **When Generated**2043 This primitive is generated by the next higher layer when it wants to remove membership in a particular group from  
2044 an endpoint so that frames addressed to the group will no longer be delivered to that endpoint.2045 2.2.4.5.3.3 **Effect on Receipt**2046 If, on receipt of this primitive, the GroupAddress parameter is found to be outside the valid range, then the APSME  
2047 will issue the APSME-REMOVE-GROUP.confirm primitive to the next higher layer with a status value of  
2048 INVALID\_PARAMETER. Similarly, if the Endpoint parameter has a value which is out of range or else enumerates  
2049 an endpoint that is not implemented on the current device, the APSME will issue the  
2050 APSME-REMOVE-GROUP.confirm primitive with a Status of INVALID\_PARAMETER.2051 After checking the parameters as described above, the APSME will check the group table to see if an entry exists  
2052 containing the values given by the GroupAddress and Endpoint parameters. If such an entry already exists in the table,  
2053 then that entry will be removed. Then, the APSME issues the APSME-REMOVE-GROUP.confirm primitive to the  
2054 next higher layer with a status value of SUCCESS. If there is no such entry, the APSME will issue the  
2055 APSME-REMOVE-GROUP.confirm primitive to the next higher layer with a status value of INVALID\_GROUP.2056 2.2.4.5.4 **APSME-REMOVE-GROUP.confirm**2057 This primitive allows the next higher layer to be informed of the results of its request to remove a group from an  
2058 endpoint.2059 2.2.4.5.4.1 **Semantics of the Service Primitive**

2060 The semantics of the service primitive are as follows:

---

2061      APSME-REMOVE-GROUP.confirm	{
2062	Status,
2063	GroupAddress,
2064	Endpoint
2065	}

---

2066 Table 2-17 specifies the parameters for this primitive.

2067

**Table 2-17. APSME-REMOVE-GROUP.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, INVALID_GROUP, or INVALID_PARAMETER	The status of the request to remove a group.
GroupAddress	16-bit group address	0x0000 – 0xffff	The 16-bit address of the group being removed.
Endpoint	Integer	0x01 – 0xfe	The endpoint which is to be removed from the group.

**2.2.4.5.4.2 When Generated**

This primitive is generated by the APSME and issued to the next higher layer in response to an APMSE-REMOVE-GROUP.request primitive. If the APSME-REMOVE-GROUP.request was successful, the Status parameter value will be SUCCESS. If the APSME-REMOVE-GROUP.request was not successful because an entry containing the values given by the GroupAddress and Endpoint parameters did not exist, then the status value will be INVALID\_GROUP. If one of the parameters of the APMSE-REMOVE-GROUP.request primitive had an invalid value, then the status value will be INVALID\_PARAMETER.

**2.2.4.5.4.3 Effect on Receipt**

On receipt of this primitive, the next higher layer is informed of the status of its request to remove a group. The Status parameter values will be as described above.

**2.2.4.5.5 APSME-REMOVE-ALL-GROUPS.request**

This primitive is generated by the next higher layer when it wants to remove membership in all groups from an endpoint, so that no group-addressed frames will be delivered to that endpoint.

**2.2.4.5.5.1 Semantics of the Service Primitive**

The semantics of the service primitive are as follows:

---

```
APSME-REMOVE-ALL-GROUPS.request {  
    Endpoint  
}
```

---

Table 2-18 specifies the parameters for this primitive.

**Table 2-18. APSME-REMOVE-ALL-GROUPS.request Parameters**

Name	Type	Valid Range	Description
Endpoint	Integer	0x01 – 0xfe	The endpoint to which the given group is being removed.

**2.2.4.5.5.2 When Generated**

This primitive is generated by the next higher layer when it wants to remove membership in all groups from an endpoint so that no group addressed frames will be delivered to that endpoint.

2091 2.2.4.5.3 **Effect on Receipt**

2092 If, on receipt of this primitive, the Endpoint parameter has a value which is out of range or else enumerates an endpoint  
 2093 that is not implemented on the current device the APSME will issue the APSME-REMOVE-ALL-GROUPS.confirm  
 2094 primitive with a Status of INVALID\_PARAMETER.

2095 After checking the Endpoint parameter as described above, the APSME will remove all entries related to this endpoint  
 2096 from the group table. Then, the APSME issues the APSME-REMOVE-ALL-GROUPS.confirm primitive to the next  
 2097 higher layer with a status value of SUCCESS.

2098 2.2.4.5.6 **APSME-REMOVE-ALL-GROUPS.confirm**

2099 This primitive allows the next higher layer to be informed of the results of its request to remove all groups from an  
 2100 endpoint.

2101 2.2.4.5.6.1 **Semantics of the Service Primitive**

2102 The semantics of the service primitive are as follows:

---

2103	APSME-REMOVE-ALL-GROUPS.confirm	{
2104		Status,
2105		Endpoint
2106		}

---

2107 Table 2-19 specifies the parameters for this primitive.

2108 **Table 2-19. APSME-REMOVE-ALL-GROUPS.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS or INVALID_PARAMETER	The status of the request to remove all groups.
Endpoint	Integer	0x01 - 0xfe	The endpoint which is to be removed from all groups.

2109 2.2.4.5.6.2 **When Generated**

2110 This primitive is generated by the APSME and issued to the next higher layer in response to an  
 2111 APSME-REMOVE-ALL-GROUPS.request primitive. If the APSME-REMOVE-ALL-GROUPS.request was suc-  
 2112 cessful, then the Status parameter value will be SUCCESS. If the Endpoint parameter of the  
 2113 APSME-REMOVE-ALL-GROUPS.request primitive had an invalid value, then the status value will be  
 2114 INVALID\_PARAMETER.

2115 2.2.4.5.6.3 **Effect on Receipt**

2116 On receipt of this primitive, the next higher layer is informed of the status of its request to remove all groups from an  
 2117 endpoint. The Status parameter values will be as described above.

2118 2.2.5 **Frame Formats**

2119 This section specifies the format of the APS frame (APDU). Each APS frame consists of the following basic compo-  
 2120 nents:

- 2121 • An APS header, which comprises frame control and addressing information.
- 2122 • An APS payload, of variable length, which contains information specific to the frame type.

2123 The frames in the APS sub-layer are described as a sequence of fields in a specific order. All frame formats in this  
 2124 section are depicted in the order in which they are transmitted by the NWK layer, from left to right, where the leftmost

bit is transmitted first in time. Bits within each field are numbered from 0 (leftmost and least significant) to k-1 (right-most and most significant), where the length of the field is k bits. Fields that are longer than a single octet are sent to the NWK layer in order from the octet containing the lowest-numbered bits to the octet containing the highest-numbered bits.

On transmission, all fields marked as reserved SHALL be set to zero. On reception, all fields marked as reserved in this version of the specification SHALL be checked for being equal to zero. If such a reserved field is not equal to zero, no further processing SHALL be applied to the frame and the frame SHALL be discarded.

## 2.2.5.1 General APDU Frame Format

The APS frame format is composed of an APS header and an APS payload. The fields of the APS header appear in a fixed order, however, the addressing fields may not be included in all frames. The general APS frame SHALL be formatted as illustrated in Figure 2-3.

Octets: 1	0/1	0/2	0/2	0/2	0/1	1	0/ Variable	Variable
Frame control	Destina-tion end-point	Group address	Cluster identifier	Profile identifier	Source endpoint	APS counter	Extended header	Frame pay-load
Addressing fields								
APS header							APS pay-load	

Figure 2-3. General APS Frame Format

### 2.2.5.1.1 Frame Control Field

The frame control field is 8 bits in length and contains information defining the frame type, addressing fields, and other control flags. The frame control field SHALL be formatted as illustrated in Figure 2-4.

Bits: 0-1	2-3	4	5	6	7
Frame type	Delivery mode	ACK. format	Security	ACK. request	Extended header pre-sent

Figure 2-4. Format of the Frame Control Field

#### 2.2.5.1.1.1 Frame Type Sub-Field

The frame type sub-field is two bits in length and SHALL be set to one of the non-reserved values listed in Table 2-20.

2144

2145

**Table 2-20. Values of the Frame Type Sub-Field**

<b>Frame Type Value b<sub>1</sub> b<sub>0</sub></b>	<b>Frame Type Name</b>
00	Data
01	Command
10	Acknowledgement
11	Inter-PAN APS

**2.2.5.1.1.2 Delivery Mode Sub-Field**

2146 The delivery mode sub-field is two bits in length and SHALL be set to one of the non-reserved values from Table  
 2147 2-21.

**Table 2-21. Values of the Delivery Mode Sub-Field**

<b>Delivery Mode Value b<sub>1</sub> b<sub>0</sub></b>	<b>Delivery Mode Name</b>
00	Normal unicast delivery
01	Reserved
10	Broadcast
11	Group addressing

2148 If the value is 0b00, the frame will be delivered to a given endpoint on the receiving device.

2149 If the value is 0b10, the message is a broadcast. In this case, the message will go to all devices defined for the selected broadcast address in use as defined in section 3.6.6. The destination endpoint field SHALL be set to a value between 0x01-0xfe (for broadcasts to specific endpoints) or to 0xff (for broadcasts to all active endpoints).

2150 If the value is 0b11, then group addressing is in use and that frame will only be delivered to device endpoints that express group membership in the group identified by the group address field in the APS header. Note that other endpoints on the source device MAY be members of the group addressed by the outgoing frame. The frame SHALL be delivered to any member of the group, including other endpoints on the source device that are members of the specified group.

**2.2.5.1.1.3 ACK Format Field**

2151 This bit indicates if the destination endpoint, cluster identifier, profile identifier and source endpoint fields SHALL be present in the acknowledgement frame. This is set to 0 for data frame acknowledgement and 1 for APS command frame acknowledgement.

**2.2.5.1.1.4 Security Sub-Field**

2152 The Security Services Provider (see Chapter 4) manages the security sub-field.

**2.2.5.1.1.5 Acknowledgement Request Sub-Field**

2153 The acknowledgement request sub-field is one bit in length and specifies whether the current transmission requires an acknowledgement frame to be sent to the originator on receipt of the frame. If this sub-field is set to 1, the recipient

2168 SHALL construct and send an acknowledgement frame back to the originator after determining that the frame is valid.  
2169 If this sub-field is set to 0, the recipient SHALL NOT send an acknowledgement frame back to the originator.  
2170 This sub-field SHALL be set to 0 for all frames that are broadcast or multicast.

2171 **2.2.5.1.6 Extended Header Present**

2172 The extended header present sub-field is one bit in length and specifies whether the extended header SHALL be  
2173 included in the frame. If this sub-field is set to 1, then the extended header SHALL be included in the frame. Otherwise,  
2174 it SHALL NOT be included in the frame.

2175 **2.2.5.1.2 Destination Endpoint Field**

2176 The destination endpoint field is 8-bits in length and specifies the endpoint of the final recipient of the frame. This  
2177 frame SHALL be included in the frame only if the delivery mode subfield is set to 0b00 (normal unicast delivery), or  
2178 0b10 (broadcast delivery). In the case of broadcast delivery, the frame SHALL be delivered to the destination endpoint  
2179 specified within the range 0x01-0xfe or to all active endpoints if specified as 0xff.

2180 A destination endpoint value of 0x00 addresses the frame to the Zigbee device object (ZDO), resident in each device.  
2181 A destination endpoint value of 0x01-0xfe addresses the frame to an application operating on that endpoint. A desti-  
2182 nation endpoint value of 0xff addresses the frame to all active endpoints except endpoint 0x00.

2183 **2.2.5.1.3 Group Address Field**

2184 The group address field is 16 bits in length and will only be present if the delivery mode sub-field of the frame control  
2185 has a value of 0b11. In this case, the destination endpoint SHALL NOT be present. If the APS header of a frame  
2186 contains a group address field, the frame will be delivered to all endpoints for which the group table in the device  
2187 contains an association between that endpoint and the group identified by the contents of the group address field.

2188 **2.2.5.1.4 Cluster Identifier Field**

2189 The cluster identifier field is 16 bits in length and specifies the identifier of the cluster to which the frame relates and  
2190 which SHALL be made available for filtering and interpretation of messages at each device that takes delivery of the  
2191 frame. This field SHALL be present only for data or acknowledgement frames.

2192 **2.2.5.1.5 Profile Identifier Field**

2193 The profile identifier is two octets in length and specifies the Zigbee profile identifier for which the frame is intended  
2194 and SHALL be used during the filtering of messages at each device that takes delivery of the frame. This field SHALL  
2195 be present only for data or acknowledgement frames.

2196 **2.2.5.1.6 Source Endpoint Field**

2197 The source endpoint field is eight-bits in length and specifies the endpoint of the initial originator of the frame. A  
2198 source endpoint value of 0x00 indicates that the frame originated from the ZDO resident in each device. A source  
2199 endpoint value of 0x01-0xfe indicates that the frame originated from an application operating on that endpoint.

2200 **2.2.5.1.7 APS Counter**

2201 This field is eight bits in length and is used as described in section 2.2.8.4.2 to prevent the reception of duplicate  
2202 frames. This value SHALL be incremented by one for each new transmission.

2203 **2.2.5.1.8 Extended Header Sub-Frame**

2204 The extended header sub-frame contains further sub-fields and SHALL be formatted as illustrated in Figure 2-5.

2205

Octets: 1	0/1	0/1
Extended frame control	Block number	ACK bitfield

**Figure 2-5. Format of the Extended Header Sub-Frame****2.2.5.1.8.1    Extended Frame Control Field**

The extended frame control field is eight bits in length and contains information defining the use of fragmentation. The extended frame control field SHALL be formatted as illustrated in Figure 2-6.

Bits: 0-1	2-7
Fragmentation	Reserved

**Figure 2-6. Format of the Extended Frame Control Field**

The fragmentation sub-field is two bits in length and SHALL be set to one of the non-reserved values listed in Table 2-22.

**Table 2-22. Values of the Fragmentation Sub-Field**

Fragmentation Value $b_1 b_0$	Description
00	Transmission is not fragmented.
01	Frame is first fragment of a fragmented transmission.
10	Frame is part of a fragmented transmission but not the first part.
11	Reserved

**2.2.5.1.8.2    Block Number**

The block number field is one octet in length and is used for fragmentation control as follows: If the fragmentation sub-field is set to indicate that the transmission is not fragmented then the block number field SHALL NOT be included in the sub-frame. If the fragmentation sub-field is set to 01, then the block number field SHALL be included in the sub-frame and SHALL indicate the number of blocks in the fragmented transmission. If the fragmentation sub-field is set to 10, then the block number field SHALL be included in the sub-frame and SHALL indicate which block number of the transmission the current frame represents, taking the value 0x01 for the second fragment, 0x02 for the third, etc.

**2.2.5.1.8.3    ACK Bitfield**

The ACK bitfield field is one octet in length and is used in an APS acknowledgement as described in section 2.2.8.4.3 to indicate which blocks of a fragmented ASDU have been successfully received. This field is only present if the frame type sub-field indicates an acknowledgement and the fragmentation sub-field indicates a fragmented transmission.

2227 **2.2.5.1.9 Frame Payload Field**

2228 The frame payload field has a variable length and contains information specific to individual frame types.

2229 **2.2.5.2 Format of Individual Frame Types**2230 There are three defined frame types: data, APS command, and acknowledgement. Each of these frame types is dis-  
2231 cussed in the following sections.2232 **2.2.5.2.1 Data Frame Format**

2233 The data frame SHALL be formatted as illustrated in Figure 2-7.

Octets: 1	0/1	0/2	2	2	1	1	0/ Variable	Variable
Frame control	Destina- tion end- point	Group address	Cluster identifier	Profile Identifier	Source endpoint	APS counter	Extended header	Frame pay- load
Addressing fields								
APS header								APS pay- load

2234 **Figure 2-7. Data Frame Format**2235 The order of the fields of the data frame SHALL conform to the order of the general APS frame as illustrated in Figure  
2236 2-8.2237 The APS header field for a data frame SHALL contain the frame control, cluster identifier, profile identifier, source  
2238 endpoint and APS counter fields. The destination endpoint, group address and extended header fields SHALL be  
2239 included in a data frame according to the values of the delivery mode and extended header present sub-fields of the  
2240 frame control field.2241 In the frame control field, the frame type sub-field SHALL contain the value that indicates a data frame, as shown in  
2242 Table 2-20. All other sub-fields SHALL be set appropriately according to the intended use of the data frame.2243 **2.2.5.2.1.1 Data Payload Field**2244 For an outgoing data frame, the data payload field SHALL contain part or all of the sequence of octets that the next  
2245 higher layer has requested the APS data service to transmit. For an incoming data frame, the data payload field SHALL  
2246 contain all or part of the sequence of octets that has been received by the APS data service and that is to be delivered  
2247 to the next higher layer.

2248

### 2.2.5.2.2 APS Command Frame Format

2250 The APS command frame SHALL be formatted as illustrated in Figure 2-8.

Octets: 1	1	1	Variable
Frame control	APS counter	APS command identifier	APS command payload
APS header		APS payload	

**Figure 2-8. APS Command Frame Format**

The order of the fields of the APS command frame SHALL conform to the order of the general APS frame as illustrated in .

#### 2.2.5.2.2.1 APS Command Frame APS Header Fields

2255 The APS header field for an APS command frame SHALL contain the frame control and APS counter fields. In this  
2256 version of the specification, the APS command frame SHALL NOT be fragmented and the extended header field  
2257 SHALL NOT be present.

2258 In the frame control field, the frame type sub-field SHALL contain the value that indicates an APS command frame,  
2259 as shown in Table 2-20. The APS Command Payload SHALL be set appropriately according to the intended use of  
2260 the APS command frame.

### **2.2.5.2.2.2 APS Command Identifier Field**

2262 The APS command identifier field identifies the APS command being used.

### 2.2.5.2.2.3 APS Command Payload Field

2264 The APS command payload field of an APS command frame SHALL contain the APS command itself.

### 2.2.5.2.3 Acknowledgement Frame Format

2266 The acknowledgement frame SHALL be formatted as illustrated in Figure 2-9.

**Figure 2-9. Acknowledgement Frame Format**

2268 The order of the fields of the acknowledgement frame SHALL conform to the order of the general APS frame as  
2269 illustrated in Figure 2-3.

2270 2.2.5.2.3.1 Acknowledgement Frame APS Header Fields

If the ACK format field is not set in the frame control field, the destination endpoint, cluster identifier, profile identifier and source endpoint SHALL be present. This is not set for data frame acknowledgement. The extended header field SHALL be included in a data frame according to the value of the extended header present sub-field of the frame control field.

2275 In the frame control field, the frame type sub-field SHALL contain the value that indicates an acknowledgement  
 2276 frame, as shown in Table 2-20. The extended header present sub-field SHALL contain the same value as in the frame  
 2277 to which this frame is an acknowledgement. All other sub-fields shall be set appropriately according to the intended  
 2278 use of the acknowledgement frame.

2279 If the ACK format field is set in the frame control field, the frame is an APS command frame acknowledgement and  
 2280 the destination endpoint, cluster identifier, profile identifier and source endpoint fields SHALL NOT be included.  
 2281 Alternatively, if an APS data frame is being acknowledged, the source endpoint field SHALL reflect the value in the  
 2282 destination endpoint field of the frame that is being acknowledged. Similarly, the destination endpoint field SHALL  
 2283 reflect the value in the source endpoint field of the frame that is being acknowledged. And the Cluster identifier and  
 2284 Profile identifier fields SHALL contain the same values as in the frame to which this frame is an acknowledgement.

2285 The APS counter field SHALL contain the same value as the frame to which this frame is an acknowledgment.

2286 Where the extended header is present, the fragmentation sub-field of the extended frame control field SHALL contain  
 2287 the same value as in the frame to which this frame is an acknowledgement. If fragmentation is in use for this frame,  
 2288 then the block number and ACK bitfield fields SHALL be present. Where present, the block number field SHALL  
 2289 contain block number to which this frame is an acknowledgement. If fragmentation is in use, the acknowledgement  
 2290 frames SHALL be issued according to section 2.2.8.4.5.4 and not for each received frame unless the transmission  
 2291 window size is set to request acknowledgement of each frame.

## 2292 **2.2.6 Command Frames**

---

2293 This specification defines no command frames. Refer to section 4.4.11 for a thorough description of the APS command  
 2294 frames and primitives related to security.

## 2295 **2.2.7 Constants and PIB Attributes**

---

### 2296 **2.2.7.1 APS Constants**

2297 The constants that define the characteristics of the APS sub-layer are presented in Table 2-23.

2298 **Table 2-23. APS Sub-Layer Constants**

Constant	Description	Value
apscMaxDescriptorSize	The maximum number of octets contained in a non-complex descriptor.	64
apscMaxFrameRetries	The maximum number of retries allowed after a transmission failure.	3
apscAckWaitDuration	The maximum number of seconds to wait for an acknowledgement to a transmitted frame.	1.6 seconds 0.05 * (2* <i>nwkcMaxDepth</i> ) + (security encrypt/decrypt delay) where the (security encrypt/decrypt delay) = 0.1 (assume 0.05 per encrypt or decrypt cycle)
apscMinDuplicateRejectionTableSize	The minimum required size of the APS duplicate rejection table.	1

Constant	Description	Value
apscMinHeaderOverhead	The minimum number of octets added by the APS sub-layer to an ASDU.	12
apsParentAnnounceBaseT- imer	The base amount of delay, in seconds, before each broadcast parent announce is sent.	10 seconds
apsParentAnnounceJitterMax	The max amount of jitter that is added to the apsParentAnnounceBaseTimer before each broadcast parent announce is sent.	10 seconds.
apscJoinerTLVsUnfragment- edMaxSize	The max amount of TLV payload that an parent router can pass from the Joiner to the Trust Center. This value only applies to the TLV payload of the APS Command: Update Device.	79
apscMaxWindowSize	The stack-wide window size supported by all endpoints used by the application.	1
apscInterframeDelay	Fragmentation parameter—the standard delay, in milliseconds, between sending two blocks of a fragmented transmission (see section 2.2.8.4.5).  This parameter is global to the stack across all endpoints.	0 (Not used for Window Size 1)

## 2299 2.2.7.2 APS Information Base

2300 The APS information base comprises the attributes required to manage the APS layer of a device. The attributes of  
 2301 the AIB are listed in Table 2-24. The security-related AIB attributes are described in section 4.4.12.

2302

Table 2-24. APS IB Attributes

Attribute	Identifier	Type	Range	Description	Default
apsBindingTable	0xc1	Set	Variable	The current set of binding table entries in the device (see section 2.2.8.2.1).	Null set
apsDesignated- Coordinator	0xc2	Boolean	TRUE or FALSE	TRUE if the device SHOULD become the Zigbee Coordinator on startup, FALSE if otherwise.	FALSE

<b>Attribute</b>	<b>Identifier</b>	<b>Type</b>	<b>Range</b>	<b>Description</b>	<b>Default</b>
apsChannelMask-List	0xc3	List of IEEE Std 802.15.4 channel masks	Any legal list of masks for the PHY	The list of masks of allowable channels for this device to use for network operations.	All channels
apsUseExtended-PANID	0xc4	64-bit extended address	0x0000000000000000 to 0xffffffffffffffe	The 64-bit address of a network to form or to join.	0x00000000 00000000
apsGroupTable	0x0c5	Set	Variable	The current set of group table entries (see Table 2-25).	Null set
Reserved	0xc6				
apsUseInsecure-Join	0xc8	Boolean	TRUE or FALSE	A flag controlling the use of insecure join at startup.	FALSE
apsInter-frameDelay	0xc9	Integer	0x00 to 0xff (MAY be restricted by application profile)	Fragmentation parameter—the standard delay, in milliseconds, between sending two blocks of a fragmented transmission (see section 2.2.8.4.5).	Set by application profile
apsLastChannel Energy	0xca	Integer	0x00 - 0xff	The energy measurement for the channel energy scan performed on the previous channel just before a channel change (in accordance with [B1]).	Null set
apsLastChannel FailureRate	0xcb	Integer	0-100 (decimal)	The latest percentage of transmission network transmission failures for the previous channel just before a channel change (in percentage of failed transmissions to the total number of transmissions attempted).	Null set

Attribute	Identifier	Type	Range	Description	Default
apsChannelTimer	0xcc	Integer	1-24 (decimal)	A countdown timer (in hours) indicating the time to the next permitted frequency agility channel change. A value of NULL indicates the channel has not been changed previously.	Null set
apsParentAnnounceTimer	0xce	Integer	0 to apsParentAnnounceBaseTimer + apsParentAnnounceJitterMax	The value of the current countdown timer before the next Parent_annce is sent.	0
apsZdoRestricted-Mode	0xcf	Boolean	TRUE or FALSE	Indicates whether or not the ZDO is in restricted mode and thus will not accept changes to its configuration. TRUE indicates certain ZDO commands will not be accepted unless sent by Trust Center with APS encryption. FALSE indicates that other nodes on the network may change the configuration of the device (e.g. bindings).	FALSE
apsStatTable	0xd0	See Table 2-26.	-	A table of statistics from the APS, NWK, MAC, and Security layers.	0
apsFragmentationCacheTable	0xd1	See Table 2-27.	-	The set of stored fragmentation parameters for other devices in the network.	
apsFragmentationCacheSize	0xd2	Integer	0 – 65,535	The number of entries the apsFragmentationCacheTable supports	1

Attribute	Identifier	Type	Range	Description	Default
apsMaxSizeASDU	0xd3	Integer	0 – 65,535	The Maximum Incoming Transfer Unit supported by the stack across all endpoints.  This indicates the maximum reassembled message size at the application layer after fragmentation has been applied on the message at the lower layers. A device supporting fragmentation would set this field to be larger than the normal payload size of the underlying NWK and MAC layer.	128+
apsZdoResponseTimeout	0xd4	Integer	0 – 255	The amount of time to wait in seconds before the stack will timeout ZDO requests and issue a APSDE-DATA.confirm with the result.	3
apsApplication-Fragmentation-Support	0xd5	Boolean	TRUE or FALSE	This bit is set by the higher application layer to indicate whether fragmentation is supported. This is separate from the stack's ability to support fragmentation of ZDO messages.	FALSE

2303

**Table 2-25. Group Table Entry Format**

Group ID	Endpoint List
16-bit group address	List of endpoints on this device which are members of the group.

2304

**2.2.7.2.1 Statistics Table**2305  
2306

The AIB SHALL maintain a statistics table with the items detailed in Table 2-26. These statistics MAY require the AIB to probe the lower layers in order to obtain the data.

2307  
2308

All statistics are kept until they are reset via the next higher layer. Statistics do not need to be kept in non-volatile storage.

**Table 2-26. Statistics Table (apsStatTable)**

<b>Statistic Name</b>	<b>Type</b>	<b>Range</b>	<b>Description</b>
apsTxUnicastSuccess	Integer	0 – 65,535	The total number of successful APS unicast messages. APS messages without APS Acknowledgments (ACKs) SHALL be considered successfully sent when the MAC ACK from the next hop is received. APS messages with APS ACKs SHALL be considered successful when the APS ACK is received for the message.
apsTxUnicastRetry	Integer	0 – 65,535	The total number of APS retries that have been recorded.
apsTxUnicastFailures	Integer	0 – 65,535	The total number of APS unicast messages that are considered failed. APS unicast messages without APS ACKs shall be considered failed when the MAC ACK from the next hop is not received after all MAC and NWK layer retries. APS unicast messages with APS ACKs shall be considered failed when the APS ACK is not received for the message after all APS layer retries.
nwkFrameCounterFailures	Integer	0 – 65,535	The total number of received messages dropped due to a frame counter failure at the network security layer.
apsFrameCounterFailures	Integer	0 – 65,535	The total number of received messages dropped due to a frame counter failure at the APS security layer.
apsUnauthorizedKey	Integer	0 – 65,535	The total number of received messages dropped at the APS security layer because the key is not authorized.
nwkDecryptFailures	Integer	0 – 65,535	This is the total number of decryption failures at the NWK security layer.
apsDecryptFailures	Integer	0 – 65,535	This is the total number of decryption failures at the APS security layer.
bufferAllocationFailures	Integer	0 – 65,535	This is the total number of failures by the stack due to a lack of memory buffers.
phyToMacQueueFailures	Integer	0 – 65,535	This is the total number of failures by the stack to transfer a message from the PHY layer to the MAC layer.

Statistic Name	Type	Range	Description
packetValidationFailures	Integer	0 – 65,535	This is the total number of packets dropped due to invalid formatting.
macTxUcastSuccess	Integer	0 – 65,535	The total number of successful mac unicast transmissions.
macTxUcastRetry	Integer	0 – 65,535	The total number of retries at the MAC layer for unicast messages. This includes retries within an MCPS transaction and not just the final MCPS result.
macTxUcastFail	Integer	0 – 65,535	The total number of MAC unicast failures. This includes failures within an MCPS transaction and not just the final MCPS result.

2310 2.2.7.2.2 **Fragmentation Cache**

2311 The *apsFragmentationCacheTable* is a table with a number of entries equal to *apsFragmentationCacheSize*. It has  
 2312 the following elements in each entry in Table 2-27.

2313 **Table 2-27. *apsFragmentationCacheTable* Entry Elements**

Name	Type	Description
DestinationEUI64	EUI64	The long address of the device associated with this entry.
MaxIncomingTxSize	0 – 65,535	The maximum incoming transmission size of the APDU for the associated device. This determines the size of a reassembled message the device can receive.
Supported	Boolean	This indicates support for fragmentation with the standard R23 fragmentation parameters.

2314 Implementations MAY choose to combine the data in the *apsFragmentationCacheTable* with the *apsDeviceKeyPairEntries* table.  
 2315

2316 2.2.8 **Functional Description**2317 2.2.8.1 **Persistent Data**

2318 The APS is required to maintain a minimum set of data in persistent memory. This data set SHALL persist over power  
 2319 fail, device reset, or other processing events. The following data SHALL be maintained in persistent memory within  
 2320 APS:

- 2321 • *apsBindingTable* (if supported on the device)
- 2322 • *apsDesignatedCoordinator* (if supported on the device)
- 2323 • *apsChannelMaskList*
- 2324 • *apsUseExtendedPANID*

- 2325 • *apsUseInsecureJoin*  
 2326 • *apsGroupTable* (if supported on the device)  
 2327 • Node Descriptor, Power Descriptor plus the Simple Descriptor(s) for each active endpoint on the device  
 2328 • Network manager address

2329 The method by which these data are made to persist is beyond the scope of this specification.

## 2330 **2.2.8.2 Binding**

2331 The APS MAY maintain a binding table, which allows Zigbee devices to establish a designated destination for frames  
 2332 from a given source endpoint and with a given cluster ID. Each designated destination SHALL represent either a  
 2333 specific endpoint on a specific device, or a group address.

### 2334 **2.2.8.2.1 Binding Table Implementation**

2335 A device designated as containing a binding table SHALL be able to support a binding table of implementation-  
 2336 specific length. The binding table shall implement the following mapping:

$$2337 (a_s, e_s, c_s) = \{(a_{d1}|, e_{d1}|), (a_{d2}|, e_{d2}|) \dots (a_{dn}|, e_{dn}|)\}$$

2338 Where:

$a_s$	= the address of the device as the source of the binding link
$e_s$	= the endpoint identifier of the device as the source of the binding link
$c_s$	= the cluster identifier used in the binding link
$a_{di}$	= the $i^{th}$ destination address or destination group address associated with the binding link
$e_{di}$	= the $i^{th}$ optional destination endpoint identifier associated with the binding link Note that $e_{di}$ will only be present when $a_{di}$ is a device address.

### 2339 **2.2.8.2.2 Binding**

2340 The APSME-BIND.request or APSME-UNBIND.request primitives initiate the procedure for creating or removing a  
 2341 binding link. Only a device that wishes to store source bindings, SHALL initiate this procedure. If this procedure is  
 2342 initiated by another type of device, then the APSME SHALL issue the APSME-BIND.confirm or APSME-UN-  
 2343 BIND.confirm primitive with the Status parameter set to ILLEGAL\_REQUEST.

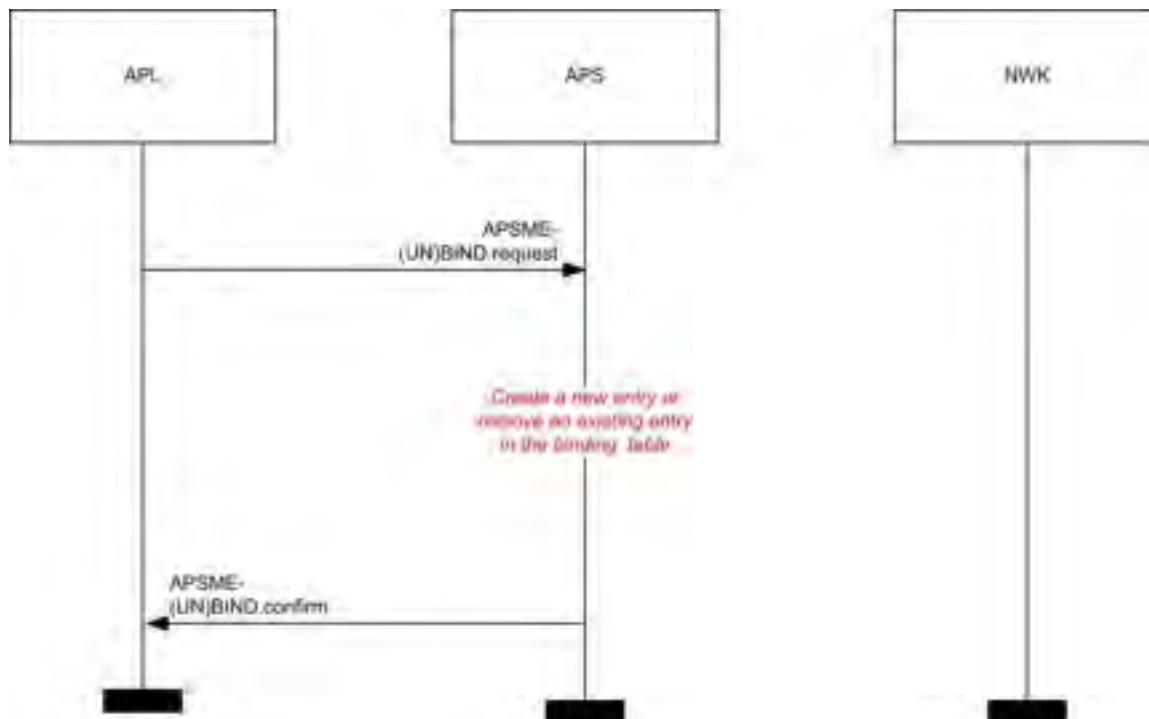
2344 When this procedure is initiated, the APSME SHALL first extract the address and endpoint for both the source and  
 2345 destination of the binding link. If the DstAddrMode parameter has a value of 0x01, indicating group addressing, then  
 2346 only the source address is treated in the way just described. The 16-bit group address is used directly as a destination  
 2347 address and, in this case, no destination endpoint is specified. With this information, the APSME SHALL either create  
 2348 a new entry or remove the corresponding entry from its binding table, depending on whether the bind or unbind  
 2349 procedure, respectively, was initiated.

2350 If a bind operation was requested, the APSME SHALL create a new entry in the binding table. The device SHALL  
 2351 only create a new entry in the binding table if it has the capacity to do so. If the binding table does not have capacity,  
 2352 then the APSME SHALL issue the APSME-BIND.confirm primitive with the Status parameter set to TABLE\_FULL.

2353 If an unbind operation was requested, the APSME SHALL search the binding table for an existing entry that matches  
 2354 the information contained in the initiation request. If an entry is not found, the APSME SHALL terminate the pro-  
 2355 cedure and notify the NHLE of the invalid binding. This is achieved by issuing the APSME-UNBIND.confirm primitive  
 2356 with the Status parameter set to INVALID\_BINDING. If an entry is found, the APSME SHALL remove the entry in  
 2357 the binding table.

2358 If the binding link is successfully created or removed, the APSME SHALL notify the NHLE of the results of the  
 2359 binding attempt and the success of the procedure. This is achieved by issuing the APSME-BIND.confirm or APSME-  
 2360 UNBIND.confirm primitive, with the binding results and the Status parameter set to SUCCESS.

2361 The procedure for a successful binding is illustrated in the MSC shown in Figure 2-10.



2362

2363

**Figure 2-10. Binding on a Device Supporting a Binding Table**

### 2.2.8.3 Group Addressing

2365 The APS sub-layer SHALL maintain a group table, which allows endpoints to be associated with groups and allows  
 2366 group-addressed frames to be delivered selectively to those endpoints that are associated in the table with a particular  
 2367 group.

#### 2.2.8.3.1 The Group Table

2369 For purposes of this discussion, the group table SHALL be viewed as a set of associations between groups and end-  
 2370 points as follows:

2371  $\{(g_1 - ep_{11}, ep_{12}...ep_{1n}), (g_2 - ep_{21}, ep_{22}...ep_{2m})... (g_i - ep_{i1}, ep_{i2}...ep_{ik})\}$

2372 Where:

$g_i$	= the $i^{\text{th}}$ group represented in the table
$ep_{ij}$	= the $j^{\text{th}}$ endpoint associated with the $i^{\text{th}}$ group

2373 Implementers of this specification are free to implement the group table in any manner that is convenient and efficient,  
 2374 as long as it represents the associations just described.

### 2.2.8.4 Transmission, Reception, and Acknowledgement

2376 This section describes the fundamental procedures for transmission, reception, and acknowledgement.

2377 **2.2.8.4.1 Transmission**

2378 Only those devices that are currently part of a network SHALL send frames from the APS sub-layer. If any other  
2379 device receives a request to transmit a frame, it SHALL discard the frame and notify the instigating layer of the error.  
2380 An APSDE-DATA.confirm primitive with a status of CHANNEL\_ACCESS\_FAILURE indicates that the attempt at  
2381 transmission of the frame was unsuccessful due to the channel being busy.

2382 All frames handled by or generated within the APS sub-layer SHALL be constructed according to the general frame  
2383 format specified in section 2.2.5.1 and transmitted using the NWK layer data service.

2384 Transmissions employing delivery modes 0b00 (Normal Unicast) and 0b10 (Broadcast) SHALL include both the  
2385 source endpoint and destination endpoint fields. Group addressed transmissions, having a delivery mode sub-field  
2386 value of 0b11 SHALL contain a source endpoint field and group address field, but no destination endpoint field. Note  
2387 that other endpoints on the source device are legal group members and possible destinations for group-addressed  
2388 frames.

2389 For all devices where the transmission is due to a binding table entry stored on the source device, the APSDE of the  
2390 source device SHALL determine whether the binding table entry contains a unicast destination device address or a  
2391 destination group address. In the case where a binding table entry contains a unicast destination device address and  
2392 this destination device address is that of the source device itself, the APSDE SHALL issue an APSDE-DATA.indication  
2393 primitive to the next higher layer and SHALL NOT transmit a frame. Otherwise, the APSDE SHALL transmit  
2394 the frame to the 16-bit NWK address corresponding to the destination address indicated by the binding table entry,  
2395 and the delivery mode sub-field of the frame control field SHALL be set to 0b00. In the case where the binding table  
2396 entry contains a destination group address, the delivery mode sub-field of the frame control field SHALL have a value  
2397 of 0b11, the destination group address SHALL be placed in the APS header, and the destination endpoint SHALL be  
2398 omitted. The frame SHALL then be broadcast using the NLDE-DATA.request primitive and employing a broadcast  
2399 address of 0xffffd.

2400 If security is required, the frame SHALL be processed as described in section 4.4.

2401 If fragmentation is required, and is permitted for this frame, then the frame SHALL be processed as described in  
2402 section 2.2.8.4.5.

2403 When the frame is constructed and ready for transmission, it SHALL be passed to the NWK data service with suitable  
2404 destination and source addresses. In addition, the APS layer SHALL ensure that route discovery is enabled at the  
2405 network layer. An APDU transmission is initiated by issuing the NLDE-DATA.request primitive to the NWK layer  
2406 and the results of the transmission returned via the NLDE-DATA.confirm primitive.

2407 **2.2.8.4.2 Reception and Rejection**

2408 The APS sub-layer SHALL be able to filter frames arriving via the NWK layer data service and only present the  
2409 frames that are of interest to the NHLE.

2410 If the APSDE receives a secured frame, it SHALL process the frame as described in section 4.4 to remove the security.

2411 If the APSDE receives a frame containing the destination endpoint field, then the APSDE SHALL pass it directly to  
2412 the NHLE at the destination endpoint supplied, unless it is part of an incomplete fragmented transmission or it is  
2413 determined to have been a duplicate of a frame that has been passed up previously. Subject to the same incomplete  
2414 fragmented transmission and duplicate frame detection, if the destination endpoint is set to the broadcast endpoint  
2415 (0xff) and the DstAddrMode parameter of the received NLDE-DATA.indication primitive was not 0x01, then the  
2416 APSDE SHALL also present the frame to all non-reserved endpoints (0x01-0xfe) supported by the NHLE.

2417 If the APSDE of a device receives a transmission with the delivery mode sub-field of the frame control field set to  
2418 0b11, indicating group addressing, it SHALL deliver the frame to each endpoint on the device that is associated in the  
2419 group table with the 16-bit group address found in the group address field of the APS header. Similarly, if the APSDE  
2420 of a device receives a NLDE-DATA.indication primitive where the DstAddrMode parameter has a value of 0x01, also  
2421 indicating group addressing, it SHALL deliver the frame to each endpoint on the device that is associated in the group  
2422 table with the 16-bit group address given as the value of the DstAddr parameter. In either case, it SHALL search the  
2423 group table and, for each endpoint associated with the given group address, it SHALL issue the NLDE-DATA.indi-  
2424 cation primitive to the next higher layer with a value of the DstEndpoint parameter equal to the number of the

2425 associated endpoint. All other parameters of the NLDE-DATA.indication primitive SHALL remain the same for all  
 2426 instances of the primitive issued.

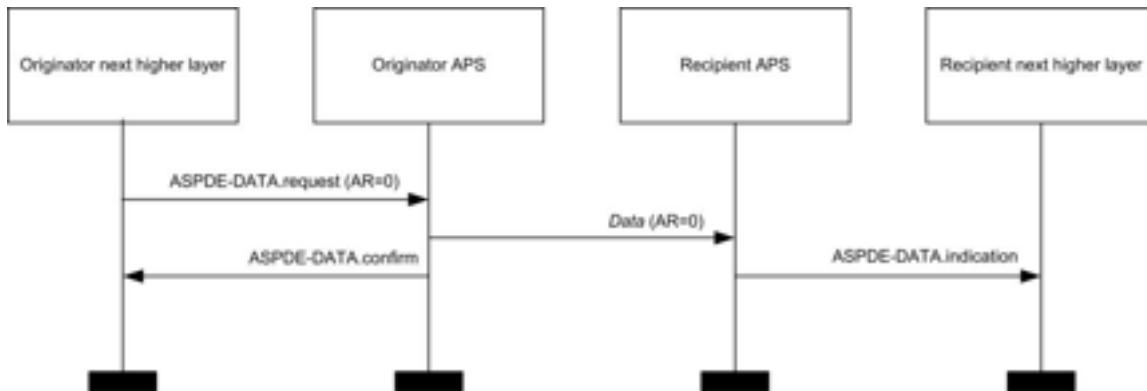
2427 The APSDE SHALL maintain a duplicate rejection table to include at least source address, APS counter, and timing  
 2428 information, such that frames transmitted according to this specification and received more than once are identified  
 2429 as duplicates and only delivered to the NHLE once. The size of this table SHALL be at least *apscMinDuplicateRejec-*  
 2430 *tionTableSize*.

#### 2431 2.2.8.4.3 Use of Acknowledgements

2432 A data or APS command frame SHALL be sent with its acknowledgement request sub-field set appropriately for the  
 2433 frame. An acknowledgement frame SHALL always be sent with the acknowledgement request sub-field set to 0.  
 2434 Similarly, any frame that is broadcast or multicast SHALL be sent with its acknowledgement request sub-field set to  
 2435 0.

##### 2436 2.2.8.4.3.1 No Acknowledgement

2437 A frame that is received by its intended recipient with its acknowledgement request (AR) sub-field set to 0 SHALL  
 2438 NOT be acknowledged. The originating device SHALL assume that the transmission of the frame was successful.  
 2439 Figure 2-11 shows the scenario for transmitting a single frame of data from an originator to a recipient without requiring  
 2440 an acknowledgement. In this case, the originator transmits the data frame with the AR sub-field equal to 0.



2441  
 2442 **Figure 2-11. Successful Data Transmission Without an Acknowledgement**

##### 2443 2.2.8.4.3.2 Acknowledgement

2444 A frame that is received by its intended recipient with its acknowledgement request (AR) sub-field set to 1 SHALL  
 2445 be acknowledged. If the intended recipient correctly receives the frame, it SHALL generate and send an acknowledgement  
 2446 frame to the originator of the frame that is being acknowledged.

2447 The transmission of an acknowledgement frame SHALL commence when the APS sub-layer determines that the frame  
 2448 is valid.

2449 Figure 2-12 shows the scenario for transmitting a single frame of data from an originator to a recipient with an  
 2450 acknowledgement. In this case, the originator indicates to the recipient that it requires an acknowledgement by trans-  
 2451 mitting the data frame with the AR sub-field set to 1.

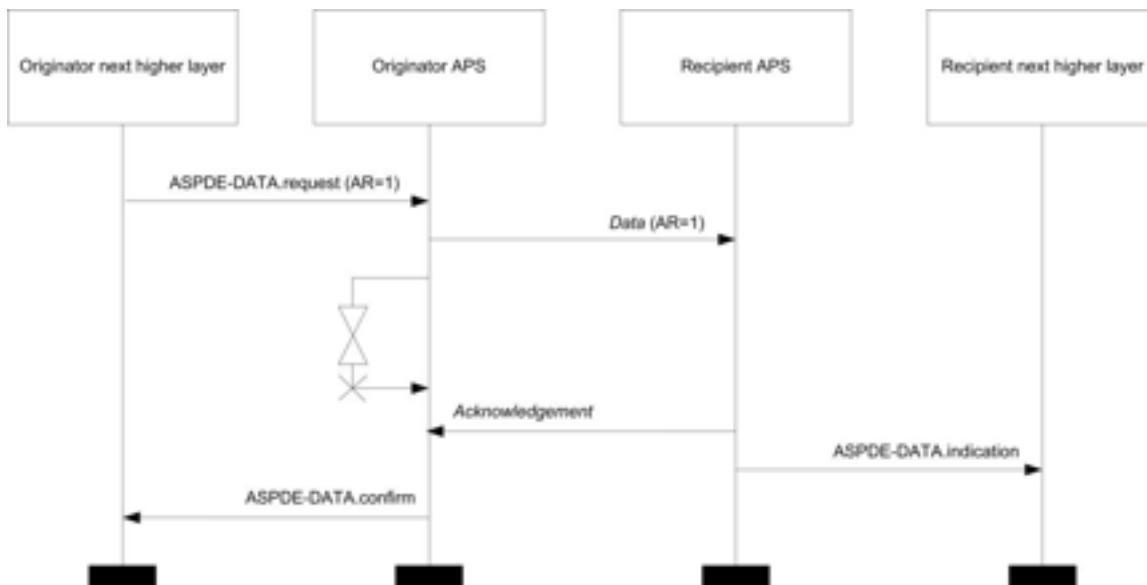


Figure 2-12. Successful Data Transmission with an Acknowledgement

#### 2.2.8.4.4 Retransmissions

A device that sends a frame with its acknowledgement request sub-field set to 0 SHALL assume that the transmission was successfully received and SHALL hence not perform the retransmission procedure.

A device that sends a frame with its acknowledgement request sub-field set to 1 SHALL wait for a maximum of *apscAckWaitDuration* seconds for the corresponding acknowledgement frame to be received.

If an acknowledgement frame is received within *apscAckWaitDuration* seconds, containing the same cluster identifier and APS counter as the original frame and has a source endpoint equal to the destination endpoint to which the original frame was transmitted, the transmission SHALL be considered successful and no further action SHALL be taken by the device. If an acknowledgement is not received within *apscAckWaitDuration* seconds, or an acknowledgement is received within *apscAckWaitDuration* seconds but contains an unexpected cluster identifier or APS counter or has a source endpoint that is not equal to the destination endpoint to which the original frame was transmitted, the device SHALL conclude that the single transmission attempt has failed.

If a single transmission attempt has failed, the device SHALL repeat the process of transmitting the frame and waiting for the acknowledgement, up to a maximum of *apscMaxFrameRetries* times. If an acknowledgement is still not received after *apscMaxFrameRetries* retransmissions, the APS sub-layer SHALL assume the transmission has failed and notify the next higher layer of the failure.

Retransmissions of a secured frame SHALL use a frame counter greater than the original frame.

#### 2.2.8.4.5 Fragmented Transmissions

Where an ASDU is too large to be transmitted within a single MAC data frame, an acknowledged unicast transmission was requested, and fragmentation is permitted for this frame, the ASDU SHALL be fragmented into a number of smaller byte strings, here referred to as “blocks.” Each block is transmitted in a separate frame.

A “transmission window” is used to arrange an orderly transaction. The window size is set by the stack profile, and MAY be set as high as eight blocks. The protocol below arranges that all blocks in a transmission window SHALL be received and acknowledged before the window can move on. An acknowledgement is sent when all blocks in the transmission window have been successfully received or, according to the protocol below, to request retransmission of one or more unreceived blocks.

Transactions not using APS acknowledgements MAY not be fragmented. Multicast and broadcast transmissions are not permitted to use fragmentation.

2482 The use of a window size of 1 is the only window size guaranteed to interoperate. All stacks implementing Revision  
 2483 23 of this specification SHALL support window size of 1. All window sizes greater than 1 are a manufacturer specific  
 2484 feature.

2485 **2.2.8.4.5.1 Fragmentation Discovery & Caching**

2486 All nodes starting with Revision 23 of this specification SHALL support fragmented transmissions using a standard  
 2487 set of fragmentation parameters as noted in section 2.2.8.4.5.2. However, devices supporting earlier specification re-  
 2488 visions were per-mitted to not support fragmentation with these same parameters. Support is determined by querying  
 2489 the Node Descriptor of the target device to determine whether the target device can receive fragmented transmissions  
 2490 and what is the maximum incoming transfer size for their ASDU.

2491 The stack is required to automatically determine support for the target device when the message submitted to the  
 2492 APSDE-DATA.request primitive requires fragmentation. The results of the queries can be stored in the `apsFragmentationCacheTable`, and it is up to the implementation to decide how to manage that cache.

2494 The APSDE-DATA.confirm SHALL report back failed results to discover the cache size, or when the discovered  
 2495 cache size is too small for the requested message to be transmitted.

2496 Due to the importance of the Trust Center in the network it is required to have an `apsFragmentationCacheTableSize`  
 2497 equal to the number of `apsDeviceKeyPairEntries` in the network. In other words, the Trust Center has enough cache  
 2498 to keep track of all devices in the network.

2499 **2.2.8.4.5.2 Fragmentation Parameters**

2500 All Revision 23 and later devices SHALL support and advertise the fragmentation parameters detailed in the AIB.  
 2501 They are repeated Table 2-28 for clarity.

2502 **Table 2-28. Fragmentation Parameters**

Parameter	Value
<code>apscWindowSize</code>	1
<code>apscInterframeDelay</code>	<i>Not Applicable for Window Size 1</i>
<code>apscMaxASDU</code>	128 bytes MINIMUM <sup>1</sup>

2503 <sup>1</sup> The stack MAY implement a size larger than this, but MUST implement this value as a minimum.

2504 **2.2.8.4.5.3 Transmission**

2505 All blocks in a fragmented transmission SHALL have the same APS Counter value. The extended header sub-frame  
 2506 SHALL be included in the frame. The fragmentation sub-field of the extended frame control field SHALL be set to  
 2507 0b01 for the first block and 0b10 for all subsequent blocks of the fragmented transmission. The block number field  
 2508 SHALL indicate the total number of blocks in the transmission in the first block, SHALL take the value 0x01 in the  
 2509 second block, and thereafter SHALL be incremented for each subsequent block.

2510 A transmission window SHALL be maintained, initially covering blocks 0 to (`apscMaxWindowSize-1`), or the total  
 2511 number of blocks if this is less.

2512 If security is required, then each frame SHALL be processed independently, as described in Chapter 4. Following  
 2513 transmission of each block, the APS SHALL start a timer. If there are more unacknowledged blocks to send in the  
 2514 current transmission window, then after a delay of `apsInterframeDelay` milliseconds the next block SHALL be passed  
 2515 to the NWK data service. Otherwise, the timer SHALL be set for `apscAckWaitDuration` seconds.

2516 A `retryCounter` parameter SHALL be maintained and is reset to zero for each new transaction. If an  
 2517 `apscAckWaitDuration` timer expires, then the block with the lowest unacknowledged block number SHALL be passed  
 2518 to the NWK data service again, and the `retryCounter` parameter SHALL be incremented. If the `retryCounter` parameter  
 2519 reaches the value `apscMaxFrameRetries`, the transaction SHALL be deemed to have failed, and an APSDE-  
 2520 DATA.confirm primitive returned to the NHLE with a status value of NO\_ACK.

2521 On receipt of an acknowledgement frame with matching values in the APS counter, block number, and addressing  
 2522 fields, outgoing blocks are acknowledged as described in the section below. If at least one previously unacknowledged

2523 block is acknowledged, then the timer SHALL be stopped and the retryCounter parameter reset. If all blocks in the  
2524 current transmission window have been acknowledged, then the transmission window SHALL be increased by *apscMaxWindowSize*. If all blocks have now been transmitted and acknowledged, then the transaction is complete, and  
2525 an APSDE-DATA.confirm primitive SHALL be returned to the NHLE with a status value of SUCCESS. Otherwise,  
2526 the block with the lowest unacknowledged block number SHALL be passed to the NWK data service.  
2527

2528 **2.2.8.4.5.4 Reception and Rejection, and Acknowledgements**

2529 If the fields required for a fragmentation-enabled transmission are not present in the frame it SHALL be rejected.  
2530 Also, any frames with parameters that fall outside the bounds of this protocol SHALL be rejected.

2531 If an incoming fragmented transaction is already in progress but the addressing and APS counter fields do not match  
2532 those of the received frame, then the received frame MAY optionally be rejected or handled independently as a further  
2533 transaction.

2534 If no transaction is in progress and a fragmented frame is received, then reassembly SHALL be attempted. Initially  
2535 the receive window SHALL be from 0 to (*apscMaxWindowSize*-1).

2536 If a transaction is initiated with APS counter and source address field values matching a previously received transaction,  
2537 then the new transaction MAY be rejected as a duplicate.

2538 Upon receipt of the first received block (not necessarily block 0) in the first window, or when an acknowledgement is  
2539 generated, the receiver SHALL set a timer for *apscAckWaitDuration*.

2540 If the receive window does not move forward within any (*apscAckWaitDuration* + *apscAckWaitDuration* \*  
2541 *apscMaxFrameRetries*) time period, the transaction SHALL be deemed to have failed. The receiver MAY send an  
2542 acknowledgement to the sender with the block or blocks missed.

2543 If all blocks in the current receive window have been received and a block is received with a block number higher  
2544 than the current receive window, then the receive window SHALL be increased by *apscMaxWindowSize* blocks.

2545 Additionally an APS acknowledgement SHALL be generated for the window if any one of the following circumstances occurs:  
2546 (1) the last block in the entire fragmented transmission is received, (2) the last block in the window is  
2547 received, (3) a block is received and all subsequent blocks in the window have been previously received and acknowledged.  
2548 If a block is received with its block number value outside of the current window, then an acknowledgement  
2549 SHALL NOT be generated.

2550 Once all blocks in the transaction have been received, the APS SHALL issue an APSDE-DATA.indication primitive  
2551 containing the reassembled message, and the transaction SHALL be deemed to be complete. A period of persistence  
2552 of *apscAckWaitDuration* seconds is encouraged in order to facilitate any retransmission of the final acknowledgement.

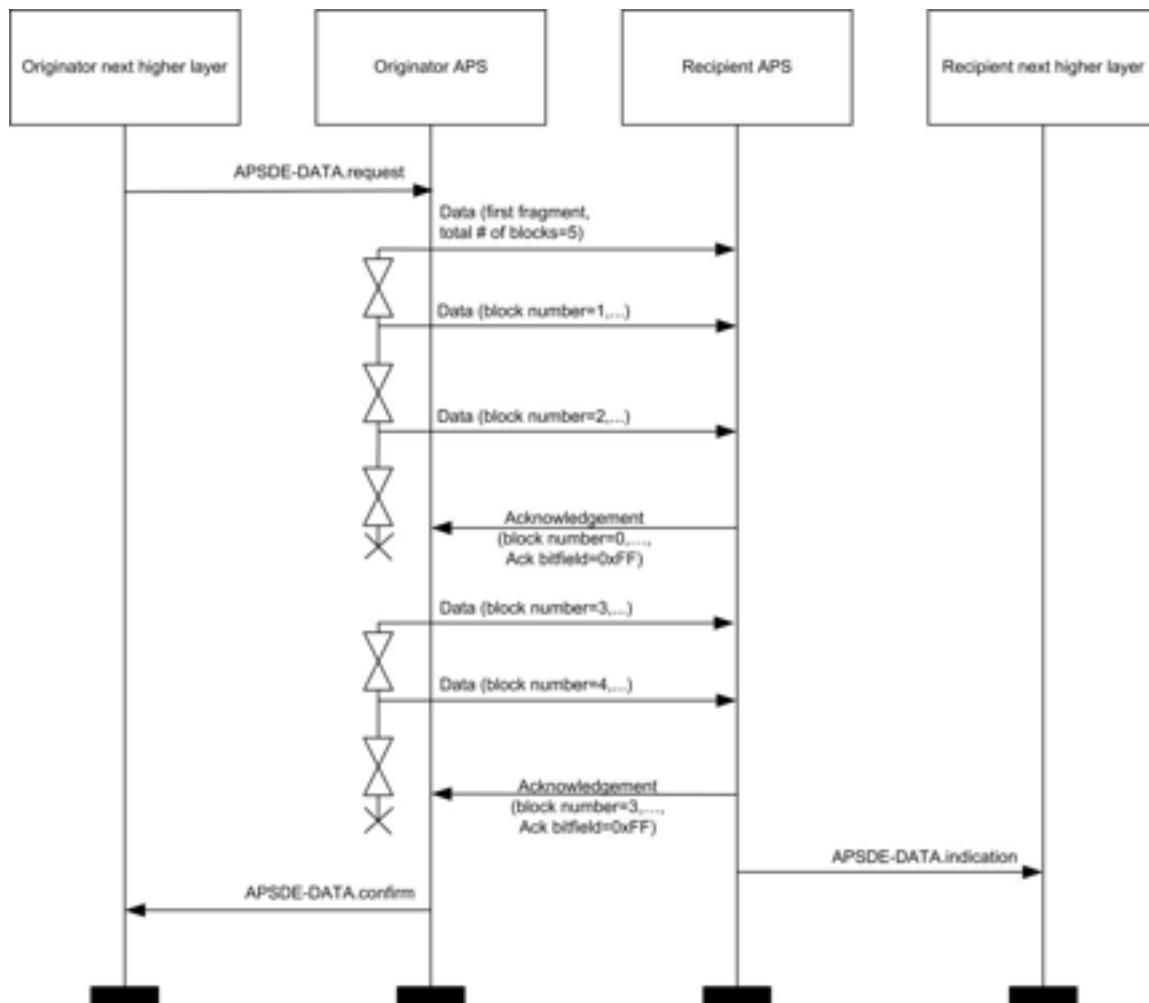
2553 Where generated, the acknowledgement is formatted according to the acknowledgement frame format specified in  
2554 section 2.2.5.2.3 and SHALL include the extended APS header.<sup>1</sup> The APS counter field SHALL reflect the value in  
2555 the corresponding field of the frame(s) being acknowledged. The block number field SHALL contain the value of the  
2556 lowest block number in the current receive window, using the value 0 as the value of the first block.

2557 The first bit of the ACK bitfield SHALL be set to 1 if the first fragment in the current receive window has been  
2558 correctly received, and 0 otherwise. Subsequent bits SHALL be set similarly, with values corresponding to subsequent  
2559 fragments in the current receive window. If *apscMaxWindowSize* is less than 8, then the remaining bits SHALL be set  
2560 to 1.

2561 The process is illustrated in the following diagrams. In Figure 2-13, the transmission is successful immediately. (These  
2562 examples assume that *apscMaxWindowSize* takes the value 3).

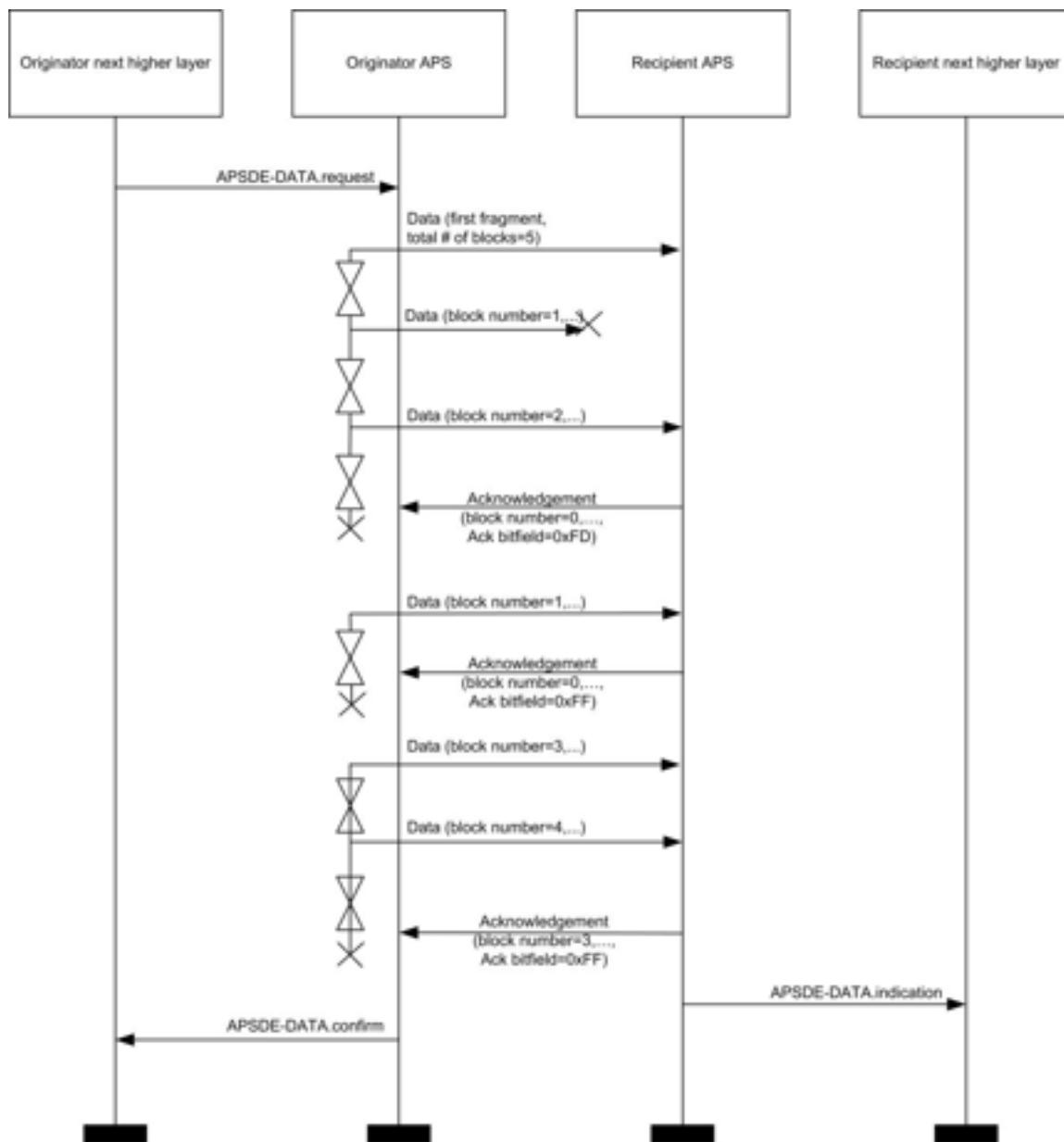
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<sup>1</sup> CCB 1571



**Figure 2-13. Successful Data Transmission with Fragmentation**

2566 In Figure 2-14, a single frame is lost during transit across the network, and is retransmitted.



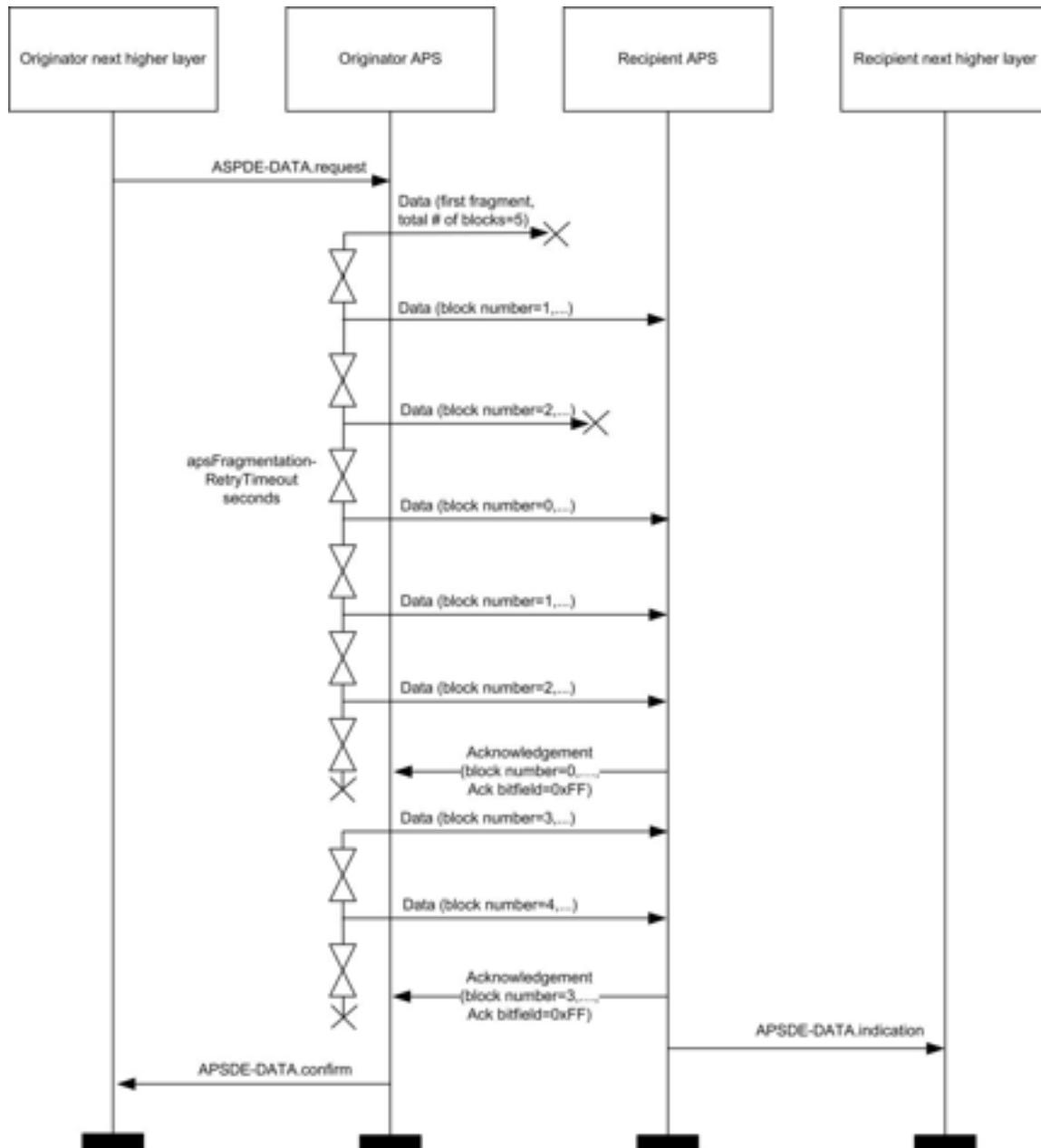
2567

2568

2569

**Figure 2-14. Fragmented Data Transmission with a Single Retransmission**

2570 In Figure 2-15, multiple frames are lost in the network, including a frame which has the highest block number in the  
 2571 window. Slightly more traffic is required in this case, but the source backs off and gives the network a chance to  
 2572 recover, and the ASDU is delivered successfully.



2573  
2574

Figure 2-15. Fragmented Data Transmission with Multiple Retransmissions

## 2.2.9 APS Sub-Layer Status Values

2576 Application support (APS) sub-layer confirm primitives often include a parameter that reports on the status of the  
 2577 request to which the confirmation applies. Values for APS sub-layer Status parameters appear in Table 2-29.

2578

**Table 2-29. APS Sub-layer Status Values**

Name	Value	Description
SUCCESS	0x00	A request has been executed successfully.
ASDU_TOO_LONG	0xa0	A transmit request failed since the ASDU is too large and fragmentation is not supported.
DEFRAG_DEFERRED	0xa1	A received fragmented frame could not be defragmented at the current time.
DEFRAG_UNSUPPORTED	0xa2	A received fragmented frame could not be defragmented since the device does not support fragmentation.
ILLEGAL_REQUEST	0xa3	A parameter value was out of range.
INVALID_BINDING	0xa4	An APSME-UNBIND.request failed due to the requested binding link not existing in the binding table.
INVALID_GROUP	0xa5	An APSME-REMOVE-GROUP.request has been issued with a group identifier that does not appear in the group table.
INVALID_PARAMETER	0xa6	A parameter value was invalid or out of range.
NO_ACK	0xa7	An APSDE-DATA.request requesting acknowledged transmission failed due to no acknowledgement being received.
NO_BOUND_DEVICE	0xa8	An APSDE-DATA.request with a destination addressing mode set to 0x00 failed due to there being no devices bound to this device.
NO_SHORT_ADDRESS	0xa9	An APSDE-DATA.request with a destination addressing mode set to 0x03 failed due to no corresponding short address found in the address map table.
NOT_SUPPORTED	0xaa	An APSDE-DATA.request with a destination addressing mode set to 0x00 failed due to a binding table not being supported on the device.
SECURED_LINK_KEY	0xab	An ASDU was received that was secured using a link key.
SECURED_NWK_KEY	0xac	An ASDU was received that was secured using a network key.
SECURITY_FAIL	0xad	An APSDE-DATA.request requesting security has resulted in an error during the corresponding security processing.

Name	Value	Description
TABLE_FULL	0xae	An APSME-BIND.request or APSME.ADD-GROUP.request issued when the binding or group tables, respectively, were full.
UNSECURED	0xaf	An ASDU was received without any security.
UNSUPPORTED_ATTRIBUTE	0xb0	An APSME-GET.request or APSME-SET.request has been issued with an unknown attribute identifier.
PEER_CANNOT_FRAGMENT	0xb1	The target device cannot receive fragmented transmissions and the ASDU requires fragmentation.
UNKNOWN_FRAGMENT_SUPPORT	0xb2	The target device did not respond to a discovery request of its fragmentation parameters.

## 2580 2.3 The Zigbee Application Framework

### 2581 2.3.1 Creating a Zigbee Profile

2582 The key to communicating between devices on a Zigbee network is agreement on a profile.

2583 An example of a profile would be home automation. This Zigbee profile permits a series of device types to exchange  
 2584 control messages to form a wireless home automation application. These devices are designed to exchange well-known  
 2585 messages to effect control such as turning a lamp on or off, sending a light sensor measurement to a lighting controller,  
 2586 or sending an alert message if an occupancy sensor detects movement.

2587 An example of another type of profile is the device profile that defines common actions between Zigbee devices. To  
 2588 illustrate, wireless networks rely on the ability for autonomous devices to join a network and discover other devices  
 2589 and services on devices within the network. Device and service discovery are features supported within the device  
 2590 profile.

#### 2591 2.3.1.1 Getting a Profile Identifier from the Connectivity Standards Al- 2592 liance

2593 Zigbee defines profiles in two separate classes: manufacturer-specific and public. The exact definition and criteria for  
 2594 these classes are an administrative issue within the Connectivity Standards Alliance and outside the scope of this  
 2595 document. For the purposes of this technical specification, the only criterion is for profile identifiers to be unique. To  
 2596 that end, every profile effort SHALL start with a request to the Connectivity Standards Alliance for allocation of a  
 2597 profile identifier. Once the profile identifier is obtained, that profile identifier permits the profile designer to define  
 2598 the following:

- 2599 • Device descriptions
- 2600 • Cluster identifiers

2601 The application of profile identifiers to market space is a key criterion for issuance of a profile identifier from the  
 2602 Connectivity Standards Alliance. The profile needs to cover a broad enough range of devices to permit interoperability  
 2603 to occur between devices, without being overly broad and resulting in a shortage of cluster identifiers to describe their  
 2604 interfaces. Conversely, the profile cannot be defined to be too narrowly, resulting in many devices described by individual  
 2605 profile identifiers, resulting in a waste of the profile identifier addressing space and interoperability issues in

2606 describing how the devices are interfaced. Policy groups within the Connectivity Standards Alliance will establish  
2607 criteria on how profiles are to be defined and to help requestors tailor their profile identifier requests.

### 2608 **2.3.1.2 Defining Device Descriptions and Clusters**

2609 The profile identifier is the main enumeration feature within the Zigbee protocol. Each unique profile identifier defines  
2610 an associated enumeration of device descriptions and cluster identifiers. For example, for profile identifier “1”, there  
2611 exists a pool of device descriptions described by a 16-bit value (meaning there are 65,536 possible device descriptions  
2612 within each profile) and a pool of cluster identifiers described by a 16-bit value (meaning there are 65,536 possible  
2613 cluster identifiers within each profile). Each cluster identifier also supports a pool of attributes described by a 16-bit  
2614 value. As such, each profile identifier has up to 65,536 cluster identifiers and each of those cluster identifiers contains  
2615 up to 65,536 attributes. It is the responsibility of the profile developer to define and allocate device descriptions, cluster  
2616 identifiers, and attributes within their allocated profile identifier. Note that the definition of device descriptions, cluster  
2617 identifiers, and attribute identifiers SHALL be undertaken with care to ensure efficient creation of simple descriptors  
2618 and simplified processing when exchanging messages.

2619 For public profile identifiers defined within the Connectivity Standards Alliance, a cluster library has been created  
2620 which provides a common definition and enumeration of clusters and their attributes. The cluster library is designed  
2621 to sponsor re-use of cluster and attribute definitions across application profiles. By convention, when public profiles  
2622 employ the cluster library, they will share a common enumeration and definition of cluster and attribute identifiers.

2623 Device descriptions and cluster identifiers SHALL be accompanied by knowledge of the profile identifier to be pro-  
2624 cessed. Prior to any messages being directed to a device, it is assumed by the Zigbee protocol that service discovery  
2625 has been employed to determine profile support on devices and endpoints. Likewise, the binding process assumes  
2626 similar service discovery and profile matching has occurred, since the resulting match is distilled to source address,  
2627 source endpoint, cluster identifier, destination address, and destination endpoint.

### 2628 **2.3.1.3 Deploying the Profile on Endpoints**

2629 A single Zigbee device MAY contain support for many profiles, provide for subsets of various cluster identifiers  
2630 defined within those profiles, and MAY support multiple device descriptions. This capability is defined using a hier-  
2631 archy of addressing within the device as follows:

- 2632 • **Device:** The entire device is supported by one or more radios and has just one unique IEEE and NWK address.
- 2633 • **Endpoints:** This is an 8-bit field that describes different applications that are supported by a single radio. End-  
2634 point 0x00 is used to address the device profile, which each Zigbee device SHALL employ, endpoint 0xff is  
2635 used to address all active endpoints (the broadcast endpoint). Consequently, a single physical Zigbee device can  
2636 support up to 254 applications on endpoints 0x01-0xfe. Note that endpoints 0xf1-0xfe can only be used for Con-  
2637 nectivity Standards Alliance approved applications.

2638 It is an application decision as to how to deploy applications on a device endpoint and which endpoints to advertise.  
2639 The only requirement is that simple descriptors be created for each endpoint and those descriptors made available for  
2640 service discovery.

### 2641 **2.3.1.4 Enabling Service Discovery**

2642 Once a device is created to support specific profiles and made consistent with cluster identifier usage for device de-  
2643 scriptions within those profiles, the applications can be deployed. To do this, each application is assigned to individual  
2644 endpoints and each described using simple descriptors (an endpoint can support only a single application profile). It  
2645 is via the simple descriptors and other service discovery mechanisms described in the Zigbee device profile that service  
2646 discovery is enabled, binding of devices is supported, and application messaging between complementary devices is  
2647 facilitated.

2648 One important point is that service discovery is made on the basis of profile identifier, input cluster identifier list, and  
2649 output cluster identifier list (device description is notably missing). The device description is simply a convention for  
2650 specifying mandatory and optional cluster identifier support within devices of that type for the indicated profile. Ad-  
2651 ditionally, it is EXPECTED that the device description enumeration would be employed within PDAs or other assisted  
2652 binding devices to provide external descriptions of device capabilities.

**2.3.1.5 Mixing Standard and Proprietary Profiles**

As an example, a Zigbee device could be developed to Zigbee public profile identifier “XX.” If a manufacturer wanted to deploy a Zigbee device supporting public profile “XX” and also provide manufacturer specific extensions, these extensions could be added to the manufacturer’s implementation of public profile “XX” if manufacturer extensions are supported within the definition of profile “XX.” Alternatively, if manufacturer extensions are not supported or the type of desired manufacturer extensions aren’t supported in profile “XX,” the manufacturer MAY deploy the extensions in a separate manufacturer-specific profile identifier advertised on a separate endpoint within the same physical device. In either case, devices that support the profile identifier “XX” but not containing the manufacturer extensions, would only advertise support for the base features of public profile identifier “XX” and could not respond to or create messages using the manufacturer extensions.

**2.3.1.6 Enabling Backward Compatibility**

In the previous example, a device is created using Zigbee public profile identifier “XX.” If the Connectivity Standards Alliance were to update this public profile at a later time to add new features, the revisions could either be incorporated directly into public profile identifier “XX” if such extensions are supported via the definition of the profile, or could be introduced into a new public profile with a new profile identifier (say “XY”). Assuming extensibility is not supported in public profile “XX,” devices manufactured with just profile identifier “XX” could still be compatible with newer devices manufactured later by having the newer devices advertise support for both profile identifier “XX” and profile identifier “XY.” In this manner, the newer device MAY communicate with older devices using profile identifier “XX”; however, it MAY also communicate with newer devices using profile identifier “XY” from within the same application. The service discovery feature within Zigbee enables devices on the network to determine the level of support.

It is the goal of the Connectivity Standards Alliance to provide extensibility, both for manufacturer extensions to public profiles as well as future enhancements to public profiles. That goal includes maintaining those extensions and enhancements within the same profile identifier whenever possible. This section illustrates that the profile definition features within Zigbee permit deployment of manufacturer extensions and feature enhancements, whether the goal of profile extensibility is achievable or not. The subject of profile extensibility, both for manufacturer extensions and feature enhancements, is beyond the scope of this document and addressed in other Alliance documents.

**2.3.2 Zigbee Descriptors**

---

Zigbee devices describe themselves using descriptor data structures. The actual data contained in these descriptors is defined in the individual device descriptions. There are three descriptors: node, node power, and simple shown in Table 2-30.

**Table 2-30. Zigbee Descriptors**

Descriptor Name	Status	Description
Node	M	Type and capabilities of the node.
Node power	M	Node power characteristics.
Simple	M	Device descriptions contained in node.
Complex	Deprecated	This descriptor has been deprecated.
User	Deprecated	This descriptor has been deprecated.

**2.3.2.1      Transmission of Descriptors**

2686 The node, node power, and simple descriptors SHALL be transmitted in the order that they appear in their respective  
 2687 tables, i.e., the field at the top of the table is transmitted first and the field at the bottom of the table is transmitted last.  
 2688 Each individual field SHALL follow the transmission order specified in section 1.2.3.

2689 Each descriptor SHALL be less than or equal to *apscMaxDescriptorSize* unless provision has been made to enable  
 2690 transmission of discovery information without the mandatory use of fragmentation.

**2.3.2.2      Discovery via Descriptors**

2692 Descriptor information is queried in the ZDO management entity device and service discovery, using the Zigbee  
 2693 device profile request primitive addressed to endpoint 0. For details of the discovery operation, see section 2.4.2.1.  
 2694 Information is returned via the Zigbee device profile indication primitive.

2695 The node, node power, complex, and user descriptors apply to the complete node. The simple descriptor SHALL be  
 2696 specified for each endpoint defined in the node. If a node contains multiple subunits, these will be on separate end-  
 2697 points and the specific descriptors for these endpoints are read by including the relevant endpoint number in the Zigbee  
 2698 device profile primitive.

**2.3.2.3      Node Descriptor**

2700 The node descriptor contains information about the capabilities of the Zigbee node and is mandatory for each node.  
 2701 There SHALL be only one node descriptor in a node. All reserved and deprecated bits SHALL be set to zero.

2702 The fields of the node descriptor are shown in Table 2-31 in their order of transmission.

**Table 2-31. Fields of the Node Descriptor**

Field Name	Length (Bits)
Logical type	3
Deprecated	1
Deprecated	1
Fragmentation Supported (R23)	1
Reserved	2
APS flags	3
Frequency band	5
MAC capability flags	8
Manufacturer code	16
Maximum buffer size	8
Maximum incoming transfer size	16
Server mask	16

Field Name	Length (Bits)
Maximum outgoing transfer size	16
Descriptor capability field	8

### 2.3.2.3.1 Logical Type Field

The logical type field of the node descriptor is three bits in length and specifies the device type of the Zigbee node. The logical type field SHALL be set to one of the non-reserved values listed in Table 2-32.

Table 2-32. Values of the Logical Type Field

Logical Type Value $b_2b_1b_0$	Description
000	Zigbee coordinator
001	Zigbee router
010	Zigbee end device
011-111	Reserved

### 2.3.2.3.2 Complex Descriptor Field – Deprecated

### 2.3.2.3.3 User Descriptor Field – Deprecated

### 2.3.2.3.4 Fragmentation Supported Field

This field was added in Revision 23 of the specification. When examining a Node Descriptor received over the air from another device, this field SHALL only be examined when the Stack Compliance Revision within the Server Mask field is set to 23 or higher.

This field indicates whether the device supports fragmentation at the APS layer. The maximum size of reassembled message that can be received is reflected in the Maximum incoming transfer size field of the Node Descriptor.

If this field is set to 1 and the Stack Compliance Revision is 23 or greater, then the device has support for APS layer fragmentation. If this field is set to 0 and the Stack Compliance Revision is 23 or greater, then the device does not have support for APS layer fragmentation. If the Stack Compliance Revision is less than 23, the support of fragmentation must be determined via other means.

### 2.3.2.3.5 APS Flags Field

The APS flags field of the node descriptor is three bits in length and specifies the application support sub-layer capabilities of the node.

This field is currently not supported and SHALL be set to zero.

### 2.3.2.3.6 Frequency Band Field

The frequency band field of the node descriptor is five bits in length and specifies the frequency bands that are supported by the underlying IEEE Std 802.15.4 radio(s) utilized by the node. For each frequency band supported by any physically present underlying IEEE Std 802.15.4 radio, the corresponding bit of the frequency band field, as listed in Table 2-33, SHALL be set to 1. All other bits SHALL be set to 0.

2729

**Table 2-33. Values of the Frequency Band Field**

Frequency Band Field Bit Number	Supported Frequency Band
0	868 – 868.6 MHz
1	Reserved
2	902 – 928 MHz
3	2400 – 2483.5 MHz
4	GB Smart Energy sub-GHz bands: (863-876MHz and 915-921MHz)

**2.3.2.3.7 MAC Capability Flags Field**

2730 The MAC capability flags field is eight bits in length and specifies the node capabilities, as required by the IEEE Std  
 2731 802.15.4-2020 MAC sub-layer [B1]. The MAC capability flags field SHALL be formatted as illustrated in Figure  
 2732 2-16.

Bits: 0	1	2	3	4-5	6	7
Alternate PAN co-ordinator	Device type	Power source	Receiver on when idle	Reserved	Security capability	Allocate address

**Figure 2-16. Format of the MAC Capability Flags Field**

2733 The alternate PAN coordinator sub-field is one bit in length and SHALL be set to 1 if this node is capable of becoming a PAN coordinator. Otherwise, the alternative PAN coordinator sub-field SHALL be set to 0.

2734 The device type sub-field is one bit in length and SHALL be set to 1 if this node is a full function device (FFD). Otherwise, the device type sub-field SHALL be set to 0, indicating a reduced function device (RFD).

2735 The power source sub-field is one bit in length and SHALL be set to 1 if the current power source is mains power. Otherwise, the power source sub-field SHALL be set to 0. This information is derived from the node current power source field of the node power descriptor.

2736 The receiver on when idle sub-field is one bit in length and SHALL be set to 1 if the device does not disable its receiver to conserve power during idle periods. Otherwise, the receiver on when idle sub-field SHALL be set to 0 (see also Table 2-36).

2737 The security capability sub-field is one bit in length and SHALL be set to 1 if the device is capable of sending and receiving frames secured using the security suite specified in section 4.2.2. Otherwise, the security capability sub-field SHALL be set to 0.

2738 The allocate address sub-field is one bit in length and SHALL be set to 1 on transmission and ignored on reception

**2.3.2.3.8 Manufacturer Code Field**

2739 The manufacturer code field of the node descriptor is sixteen bits in length and specifies a manufacturer code that is allocated by the Connectivity Standards Alliance, relating the manufacturer to the device.

2752 **2.3.2.3.9 Maximum Buffer Size Field**

2753 The maximum buffer size field of the node descriptor is eight bits in length, with a valid range of 0x00-0x7f. This  
 2754 field specifies the maximum size, in octets, of the network sub-layer data unit (NSDU) for this node. This is the  
 2755 maximum size of data or commands passed to or from the application by the application support sub-layer, before any  
 2756 fragmentation or re-assembly.

2757 This field can be used as a high-level indication for network management.

2758 **2.3.2.3.10 Maximum Incoming Transfer Size Field**

2759 This indicates the device's apsMaxSizeASDU AIB value.

2760 The maximum transfer size field of the node descriptor is sixteen bits in length, with a valid range of 0x0000-0x7fff.  
 2761 This field specifies the maximum size, in octets, of the application sub-layer data unit (ASDU) that can be transferred  
 2762 to this node in one single message transfer. This value can exceed the value of the node maximum buffer size field  
 2763 (see section 2.3.2.3.9) through the use of fragmentation.

2764 **2.3.2.3.11 Server Mask Field**

2765 The server mask field of the node descriptor is sixteen bits in length, with bit settings signifying the system server  
 2766 capabilities of this node. It is used to facilitate discovery of particular system servers by other nodes on the system.  
 2767 The bit settings are defined in Table 2-34.

2768 **Table 2-34. Server Mask Bit Assignments**

Bit Number	Assignment
0	Primary Trust Center
1	Backup Trust Center
2	
3	
4	Deprecated
5	
6	Network Manager
7 – 8	Reserved
9 – 15	Stack Compliance Revision

2769 **2.3.2.3.11.1 Stack Compliance Revision**

2770 These bits indicate the Revision of the Zigbee Pro Core specification that the running stack is implemented to. Prior  
 2771 to Revision 21 of the specification these bits were reserved and thus set to 0. A stack that is compliant to Revision 23  
 2772 would set these bits to 23 (0010111b). A stack SHALL indicate the Revision of the specification it is compliant to by  
 2773 setting these bits.

2774 **2.3.2.3.12 Maximum Outgoing Transfer Size Field**

2775 The maximum transfer size field of the node descriptor is sixteen bits in length, with a valid range of 0x0000-0x7fff.  
 2776 This field specifies the maximum size, in octets, of the application sub-layer data unit (ASDU) that can be transferred

2777 from this node in one single message transfer. This value can exceed the value of the node maximum buffer size field  
 2778 (see section 2.3.2.3.9) through the use of fragmentation.

### 2779 2.3.2.3.13 Descriptor Capability Field – Deprecated

#### 2780 2.3.2.4 Node Power Descriptor

2781 The node power descriptor gives a dynamic indication of the power status of the node and is mandatory for each node.  
 2782 There SHALL be only one node power descriptor in a node. This data has been superseded by the Zigbee Cluster  
 2783 Library Power Configuration Cluster. This Node Descriptor SHOULD NOT be used.

2784 The fields of the node power descriptor are shown in Table 2-35 in the order of their transmission.

2785 **Table 2-35. Fields of the Node Power Descriptor**

Field Name	Length (Bits)
Current power mode	4
Available power sources	4
Current power source	4
Current power source level	4

#### 2786 2.3.2.4.1 Current Power Mode Field

2787 The current power mode field of the node power descriptor is four bits in length and specifies the current sleep/power-  
 2788 saving mode of the node. The current power mode field SHALL be set to one of the non-reserved values listed in  
 2789 Table 2-36.

2790 **Table 2-36. Values of the Current Power Mode Field**

Current Power Mode Value $b_3b_2b_1b_0$	Description
0000	Receiver synchronized with the receiver on when idle subfield of the node descriptor.
0001	Receiver comes on periodically as defined by the node power descriptor.
0010	Receiver comes on when stimulated, for example, by a user pressing a button.
0011-1111	Reserved.

#### 2791 2.3.2.4.2 Available Power Sources Field

2792 The available power sources field of the node power descriptor is four bits in length and specifies the power sources  
 2793 available on this node. For each power source supported on this node, the corresponding bit of the available power  
 2794 sources field, as listed in Table 2-37, SHALL be set to 1. All other bits SHALL be set to 0.

2795

**Table 2-37. Values of the Available Power Sources Field**

<b>Available Power Sources Field Bit Number</b>	<b>Supported Power Source</b>
0	Constant (mains) power
1	Rechargeable battery
2	Disposable battery
3	Reserved

**2.3.2.4.3 Current Power Source Field**

2796 The current power source field of the node power descriptor is four bits in length and specifies the current power source being utilized by the node. For the current power source selected, the corresponding bit of the current power source field, as listed in Table 2-38, SHALL be set to 1. All other bits SHALL be set to 0.

2800

**Table 2-38. Values of the Current Power Sources Field**

<b>Current Power Source Field Bit Number</b>	<b>Current Power Source</b>
0	Constant (mains) power
1	Rechargeable battery
2	Disposable battery
3	Reserved

**2.3.2.4.4 Current Power Source Level Field**

2801 The current power source level field of the node power descriptor is four bits in length and specifies the level of charge of the power source. The current power source level field SHALL be set to one of the non-reserved values listed in Table 2-39.

2805

**Table 2-39. Values of the Current Power Source Level Field**

<b>Current Power Source Level Field <math>b_3b_2b_1b_0</math></b>	<b>Charge Level</b>
0000	Critical
0100	33%
1000	66%
1100	100%
All other values	Reserved

## 2806 2.3.2.5 Simple Descriptor

2807 The simple descriptor contains information specific to each endpoint contained in this node. The simple descriptor is  
 2808 mandatory for each endpoint present in the node.

2809 The fields of the simple descriptor are shown in Table 2-40 in their order of transmission. As this descriptor needs to  
 2810 be transmitted over air, the overall length of the simple descriptor SHALL be less than or equal to *apscMaxDescriptor-Size*.  
 2811

2812 **Table 2-40. Fields of the Simple Descriptor**

Field Name	Length (Bits)
Endpoint	8
Application profile identifier	16
Application device identifier	16
Application device version	4
Reserved	4
Application input cluster count	8
Application input cluster list	$16*i$ (where $i$ is the value of the application input cluster count)
Application output cluster count	8
Application output cluster list	$16*o$ (where $o$ is the value of the application output cluster count)

### 2813 2.3.2.5.1 Endpoint Field

2814 The endpoint field of the simple descriptor is eight bits in length and specifies the endpoint within the node to which  
 2815 this description refers. Applications SHALL only use endpoints 1-254. Endpoints 241-254 SHALL be used only with  
 2816 the approval of the Connectivity Standards Alliance. The Green Power cluster, if implemented, SHALL use endpoint  
 2817 242.

### 2818 2.3.2.5.2 Application Profile Identifier Field

2819 The application profile identifier field of the simple descriptor is sixteen bits in length and specifies the profile that is  
 2820 supported on this endpoint. Profile identifiers SHALL be obtained from the Connectivity Standards Alliance.

### 2821 2.3.2.5.3 Application Device Identifier Field

2822 The application device identifier field of the simple descriptor is sixteen bits in length and specifies the device de-  
 2823 scription supported on this endpoint. Device description identifiers SHALL be obtained from the Connectivity Stand-  
 2824 ards Alliance.

### 2825 2.3.2.5.4 Application Device Version Field

2826 The application device version field of the simple descriptor is four bits in length and specifies the version of the  
 2827 device description supported on this endpoint. The application device version field SHALL be set to one of the non-  
 2828 reserved values listed in Table 2-41.

2829

**Table 2-41. Values of the Application Device Version Field**

<b>Application Device Version Value b<sub>3</sub>b<sub>2</sub>b<sub>1</sub>b<sub>0</sub></b>	<b>Description</b>
0000 – 1111	Specific values to be set by the application profile described by the application profile identifier in this descriptor. Default SHALL be 0000 unless otherwise defined by the application profile.

### 2830 **2.3.2.5.5 Application Input Cluster Count Field**

2831 The application input cluster count field of the simple descriptor is eight bits in length and specifies the number of  
 2832 input clusters, supported on this endpoint that will appear in the application input cluster list field. If the value of this  
 2833 field is zero, the application input cluster list field SHALL NOT be included.

### 2834 **2.3.2.5.6 Application Input Cluster List**

2835 The application input cluster list of the simple descriptor is  $16*i$  bits in length, where  $i$  is the value of the application  
 2836 input cluster count field. This field specifies the list of input clusters supported on this endpoint, for use during the  
 2837 service discovery and binding procedures.

2838 The application input cluster list field SHALL be included only if the value of the application input cluster count field  
 2839 is greater than zero.

### 2840 **2.3.2.5.7 Application Output Cluster Count Field**

2841 The application output cluster count field of the simple descriptor is eight bits in length and specifies the number of  
 2842 output clusters, supported on this endpoint that will appear in the application output cluster list field. If the value of  
 2843 this field is zero, the application output cluster list field SHALL NOT be included.

### 2844 **2.3.2.5.8 Application Output Cluster List**

2845 The application output cluster list of the simple descriptor is  $16*o$  bits in length, where  $o$  is the value of the application  
 2846 output cluster count field. This field specifies the list of output clusters supported on this endpoint, for use during the  
 2847 service discovery and binding procedures.

2848 The application output cluster list field SHALL be included only if the value of the application output cluster count  
 2849 field is greater than zero.

## 2850 **2.3.2.6 Complex Descriptor – Deprecated**

## 2851 **2.3.2.7 User Descriptor – Deprecated**

## 2852 **2.3.3 Functional Description**

### 2853 **2.3.3.1 Reception and Rejection**

2854 The application framework SHALL be able to filter frames arriving via the APS sub-layer data service and only  
 2855 present the frames that are of interest to the applications implemented on each active endpoint.

2856 The application framework receives data from the APS sub-layer via the APSDE-DATA.indication primitive and is  
 2857 targeted at a specific endpoint (DstEndpoint parameter) and a specific profile (ProfileId parameter).

2858 If the application framework receives a frame for an inactive endpoint, the frame SHALL be discarded. Otherwise, if  
 2859 the profile identifier passes the Profile Id Endpoint Matching Rules (see section 2.3.3.3). The application framework  
 2860 SHALL pass the payload of the received frame to the application implemented on the specified endpoint.

2861 When a message originates from an endpoint implemented using the public Profile ID, the profile ID in the simple  
2862 descriptor SHALL be used. If the recipient of the message is able to process the message, it SHALL respond with the  
2863 same profile ID that it received in the request.

2864 It is permissible for the originator of the message to send its messages with a wild card profile ID. The recipient of the  
2865 message containing a request using a wild card profile ID SHALL respond with the profile ID in its simple descriptor  
2866 if it is able to process the message.

### 2867 **2.3.3.2 ZDO Messages**

2868 ZDO message transmission and reception rules are as described in the relevant ZDO server chapters.

### 2869 **2.3.3.3 Application Endpoints Using Manufacturer Specific Profiles**

2870 Application endpoints using Manufacturer Specific Profiles SHALL NOT use the wild card profile ID for transmis-  
2871 sion. They SHALL transmit with the profile ID of the simple descriptor and SHALL respond with the profile ID of  
2872 the simple descriptor.

## 2873 **2.3.4 PAN ID Conflicts**

### 2874 **2.3.4.1 Detecting and Reporting via the ZDO**

2875 PAN ID conflicts are an unusual event in a network. Legitimate PAN ID conflicts may occur when IEEE Std 802.15.4  
2876 networks grow over time and inadvertently collide. However, it is also possible that malicious devices may try to  
2877 trigger PAN ID conflicts in order to disrupt the network's operations.

2878 In previous versions of the specification, an immediate detect and respond approach was codified whereby conflicts  
2879 would trigger a network wide change. This approach has been revisited and the specification now discourages this due  
2880 to the fact that PAN ID conflicts can be falsely reported.

2881 An application can still query devices about detected conflicts and gather statistics over time in order to inform whether  
2882 a persistent PAN ID conflict is occurring. It is highly recommended that application connectivity problems be a factor  
2883 in the decision to change PAN IDs, and not simply the presence of an apparent conflict.

### 2884 **2.3.4.2 Unsolicited PAN ID Conflict Reports from the Network Layer**

2885 Starting with Revision 23 of this specification, devices SHALL no longer report PAN ID conflicts immediately as  
2886 they are detected. Instead, devices will count these conflicts and store that data in the NIB. The NIB data may be  
2887 retrieved via a Security\_Get\_Configuration\_req by requesting the PAN ID Conflict Report Global TLV.

2888 Older devices will still send unsolicited PAN ID conflict reports to the Network Manager. For a Revision 23 Network  
2889 Manager receiving a report, this will be indicated to the local application via the NLME-NETWORK-STATUS.indi-  
2890 cation with a status of 0x14 (PAN ID Conflict Report). This data can be used to help determine whether a network  
2891 will change its PAN ID, but it SHOULD NOT be the sole reason for that change.

### 2892 **2.3.4.3 Querying Devices for Conflicts**

2893 A Network Manager application may periodically query router devices for this information. Each Security\_Get\_Con-  
2894 figuration\_req will trigger a reset of the NIB value. This will allow the Network Manager application to gather statis-  
2895 tics over a period of time to determine if there is a persistent PAN ID problem.

2896 In addition, the Mgmt\_Beacon\_Survey\_req can be used to trigger an active scan on the target device and the PAN ID  
2897 Conflict Report Global TLV will be returned as well, without resetting the nwkPanIdConflictCount NIB value.

2898 The exact rules by which the application determines to change PAN IDs is outside the scope of this specification. The  
2899 expectation of the applications running on the network and the impact on sleepy devices SHOULD be considered  
2900 before any change to PAN ID is made.

## 2901 2.4 The Zigbee Device Profile

### 2902 2.4.1 Scope

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2903 This Zigbee Application Layer Specification describes how general Zigbee device features such as Binding, Device  
2904 Discovery, and Service Discovery are implemented within Zigbee Device Objects. The Zigbee Device Profile operates  
2905 like any Zigbee profile by defining clusters. Unlike application specific profiles, the clusters within the Zigbee Device  
2906 Profile define capabilities supported in all Zigbee devices. As with any profile document, this document details the  
2907 mandatory and/or optional clusters.

### 2908 2.4.2 Device Profile Overview

---

2909 The Device Profile supports four key inter-device communication functions within the Zigbee protocol. These func-  
2910 tions are explained in the following sections:

- 2911 •
- 2912 •
- 2913 • Network Management Overview

#### 2914 2.4.2.1 Device and Service Discovery Overview

2915 Device and Service Discovery are distributed operations where individual devices respond to discovery requests.

2916 The following capabilities exist for device and service discovery:

2917 **Device Discovery:** Provides the ability for a device to determine the identity of other devices on the PAN. Device  
2918 Discovery is supported for both the 64-bit IEEE address and the 16-bit Network address.

2919 Device Discovery messages can be used in one of two ways:

- 2920 • **Broadcast addressed:** All devices on the network SHALL respond according to the Logical Device Type and  
2921 the matching criteria. Zigbee End Devices SHALL respond with just their address. Zigbee Coordinators and  
2922 Zigbee Routers with associated devices SHALL respond with their address as the first entry followed by the  
2923 addresses of their associated devices depending on the type of request. The responding devices SHALL employ  
2924 APS acknowledged service on the unicast responses.
- 2925 • **Unicast addressed:** Only the specified device responds. A Zigbee End Device SHALL respond only with its  
2926 address. A Zigbee Coordinator or Router SHALL reply with its own address and the address of each associated  
2927 child device. Inclusion of the associated child devices allows the requestor to determine the network topology  
2928 underlying the specified device.

2929 **Service Discovery:** Provides the ability for a device to determine services offered by other devices on the PAN.

2930 Service Discovery messages can be used in one of two ways:

- 2931 • **Broadcast addressed:** Due to the volume of information that could be returned, only the individual device  
2932 respond with the matching criteria established in the request. The responding devices SHALL also  
2933 employ APS acknowledged service on the unicast responses.
- 2934 • **Unicast addressed:** Only the specified device SHALL respond.

2935 Service Discovery is supported with the following query types:

- 2936 • **Active Endpoint:** This command permits an enquiring device to determine the active endpoints. An active end-  
2937 point is one with an application supporting a single profile, described by a Simple Descriptor. The command  
2938 SHALL be unicast addressed.
- 2939 • **Match Simple Descriptor:** This command permits enquiring devices to supply a Profile ID (and, optionally,  
2940 lists of input and/or output Cluster IDs) and ask for a return of the identity of an endpoint on the destination  
2941 device which matches the supplied criteria. This command MAY be broadcast to all devices for which

2942 macRxOnWhenIdle = TRUE, or unicast addressed. For broadcast addressed requests, the responding device  
2943 SHALL employ APS acknowledged service on the unicast responses.

- 2944 • **Simple Descriptor:** This command permits an enquiring device to return the Simple Descriptor for the supplied  
2945 endpoint. This command SHALL be unicast addressed.
- 2946 • **Node Descriptor:** This command permits an enquiring device to return the Node Descriptor from the specified  
2947 device. This command SHALL be unicast addressed.
- 2948 • **Power Descriptor:** This command permits an enquiring device to return the Power Descriptor from the specified  
2949 device. This command SHALL be unicast addressed.
- 2950 • **Complex Descriptor:** This optional command permits an enquiring device to return the Complex Descriptor  
2951 from the specified device. This command SHALL be unicast addressed.
- 2952 • **User Descriptor:** This optional command permits an enquiring device to return the User Descriptor from the  
2953 specified device. This command SHALL be unicast addressed.

#### 2954 **2.4.2.2 End Device Bind Overview – Deprecated**

#### 2955 **2.4.2.3 Bind and Unbind Overview**

2956 The following capabilities exist for directly configuring binding table entries:

- 2957 • **Bind:** provides the ability for creation of a Binding Table entry that maps control messages to their intended  
2958 destination.
- 2959 • **Unbind:** provides the ability to remove Binding Table entries.

#### 2960 **2.4.2.4 Binding Table Management Overview – Deprecated**

#### 2961 **2.4.2.5 Network Management Overview**

2962 The following capabilities exist for network management:

2963 Provides the ability to retrieve management information from the devices including:

- 2964 • Network discovery results
- 2965 • Link quality to neighbor nodes
- 2966 • Routing table contents
- 2967 • Binding table contents
- 2968 • Energy detection scan results
- 2969 • Provides the ability to set management information controls including:
- 2970 • Network leave
- 2971 • Network direct join
- 2972 • Permit joining
- 2973 • Network update and fault notification

#### 2974 **2.4.2.6 Device Descriptions for the Device Profile**

2975 The Zigbee Device Profile utilizes a single Device Description. Each cluster specified as Mandatory SHALL be pre-  
2976 sent in all Zigbee devices. The response behavior to some messages is logical device type specific. The support for  
2977 optional clusters is not dependent on the logical device type.

## 2.4.2.7 Configuration and Roles

The Device Profile assumes a client/server topology. A device making Device Discovery, Service Discovery, Binding or Network Management requests does so via a client role. A device which services these requests and responds does so via a server role. The client and server roles are non-exclusive in that a given device MAY supply both client and server roles.

Since many client requests and server responses are public and accessible to application objects other than Zigbee Device Objects, the Transaction Sequence number in the Application Framework header SHALL be the same on client requests and their associated server responses.

The Device Profile describes devices in one of two configurations:

- **Client:** A client issues requests to the server via Device Profile messages.
- **Server:** A server issues responses to the client that initiated the Device Profile message.

## 2.4.2.8 Transmission of ZDP Commands

All ZDP commands shall be transmitted via the APS data service and SHALL be formatted according to the ZDP frame structure, as illustrated in Figure 2-17.

Octets: 1	Variable
Transaction sequence number	Transaction data

Figure 2-17. Format of the ZDP Frame

### 2.4.2.8.1 Transaction Sequence Number Field

The transaction sequence number field is eight bits in length and specifies an identification number for the ZDP transaction so that a response command frame can be related to the request frame. The application object itself SHALL maintain an eight-bit counter that is copied into this field and incremented by one for each command sent. When a value of 0xff is reached, the next command SHALL restart the counter with a value of 0x00.

If a device sends a ZDP request command that requires a response, the target device SHALL respond with the relevant ZDP response command and include the transaction sequence number contained in the original request command.

The transaction sequence number field can be used by a controlling device, which MAY have issued multiple commands, so that it can match the incoming responses to the relevant command.

### 2.4.2.8.2 Transaction Data Field

The transaction data field has a variable length and contains the data for the individual ZDP transaction. The format and length of this field is dependent on the command being transmitted, as defined in sections 2.4.3 and 2.4.4.

### 2.4.2.8.3 Fragmentation of ZDO Messages

Zigbee Devices based on Revision 22 or earlier do not handle fragmentation of ZDO commands. Except for the commands listed in Table 2-42. ZDO commands SHALL NOT be fragmented in transmission.

Table 2-42. ZDO Commands Permitted to be Fragmented

Cluster ID	Name
0x0040	Security_Start_Key_Negotiation_req
0x0041	Security_Retrieve_Authentication_Token_req
0x0043	Security_Set_Configuration_req
0x8040	Security_Start_Key_Negotiation_rsp

Cluster ID	Name
0x8041	Security_Retrieve_Authentication_Token_rsp
0x8043	Security_Set_Configuration_rsp

3009 Devices supporting the commands in Table 2-42 SHALL be able to handle fragmentation and reassembly of these  
 3010 commands. The required parameters for fragmentation are specified in section 2.2.8.4.5.2. Additionally, the Frag-  
 3011 mentation Parameters Global TLV can be used to advertise a device's capabilities. This TLV is mandatory to be in-  
 3012 cluded in various messages as described in the description of those ZDO messages.

3013 A sending device SHALL determine the receiving device's fragmentation capabilities prior to sending it a fragmen-  
 3014 tation transmission. For devices already on the network this can be done by querying the Node Descriptor using the  
 3015 Node\_Desc\_req. For devices not on the network yet, the Trust Center includes the Fragmentation Parameters Global  
 3016 TLV in the set of TLVs advertised in the Beacons of the Network. This is updated in all routers via the Mgmt\_Per-  
 3017 mit\_Joining\_req.

3018 A device sending a ZDO Response SHALL assume the device that sent the request can support fragmentation. The  
 3019 device sending the response determines the fragmented transmission size based on its capabilities, the requestor's  
 3020 capabilities, and the default minimum.

3021 The Responder SHALL determine the maximum incoming transfer size of the Requester in the following way.

- 3022 1. If the Requester provided a Fragmentation Parameters Global TLV in the request, the Maximum Incoming  
 3023 Transfer Size from the TLV SHALL be used. If it is not provided in the request, the default Maximum Incoming  
 3024 transfer size of 128 bytes SHALL be used.
- 3025 2. Compare the value determined in step 1 to the device's local Maximum Outgoing Transfer Size. Take the  
 3026 smaller of the two values.

3027 If the response is larger than the requesting device can handle, then a ZDO response with a status of  
 3028 FRAME\_TOO\_LARGE is generated.

3029 For example, Device A sends a ZDO\_Security\_Get\_Configuration\_req and indicates via the Fragmentation Parame-  
 3030 ters Global TLV it supports up to 200 bytes for its Maximum Incoming Transfer Size. Device B prepares a ZDO  
 3031 Security\_Get\_Configuration\_rsp and examines its own local Maximum Outgoing Transfer Size, which is 300. It  
 3032 uses the smaller value of 200 indicated by Device A when fragmenting the transmission. If the response would ex-  
 3033 ceed Device A's smaller value it would instead generate a ZDO\_Security\_Get\_Configuration\_rsp with a status of  
 3034 FRAME\_TOO\_LARGE.

#### 3035 2.4.2.8.4 APS Acknowledgements

3036 All unicast ZDO Command request and responses SHALL set the Acknowledgement request sub-field of the APS  
 3037 Frame control. This will enable ZDO messages to overcome transient routing or buffering failures in the network.  
 3038 This SHALL be done by submitting a APSDE-DATA.request with the TxOptions including 0x04 in the value. When  
 3039 the ZDO message allows fragmentation the options SHALL also include 0x08, fragmentation permitted.

### 3040 2.4.3 Client Services

3041 The Device Profile Client Services support the transport of device and service discovery requests, bind requests, un-  
 3042 bind requests, and network management requests from client to server. Additionally, Client Services support receipt  
 3043 of responses to these requests from the server.

3044 Restricted Mode (*apsZdoRestrictedMode*) is a mode where a device will conditionally accept specific ZDO com-  
 3045 mands, depending on the restricted criteria, source address, and encryption policy of the incoming command. If a  
 3046 command is accepted, it is subject to normal command processing. The acceptance criteria is explain further below:

- 3047 1. If the command is marked as "Yes" in the *Restricted Command* column, do the following:
  - a. If *apsZdoRestrictedMode* in the AIB is set to FALSE, the command is not restricted.
    - i. Go to Step 2.
  - b. If the sender is the Trust Center AND has APS encryption, the command is not restricted.

- 3051            i. Go to Step 2.  
 3052            c. Otherwise, the command SHALL NOT be processed. The receiver SHALL do the following:  
 3053              i. If the command was broadcast, no error is generated.  
 3054                1. No more processing is done.  
 3055              ii. If the command was unicast, generate an error message. Create the corresponding ZDO Response  
 3056                frame with a status of NOT\_AUTHORIZED.  
 3057                1. No more processing is done.  
 3058                2. Continue processing the command normally.

### 3059            2.4.3.1     Device and Service Discovery Client Services

3060            Table 2-43 lists the commands supported by Device Profile, Device, and Service Discovery Client Services. Each of  
 3061            these commands will be discussed in the following sections.

3062            **Table 2-43. Device and Service Discovery Client Services Commands**

Device and Service Discovery Client Services	Cluster ID	Client Transmission	Server Processing	Restricted Command
NWK_addr_req	0x0000	O	M	No
IEEE_addr_req	0x0001	O	M	No
Node_Desc_req	0x0002	M	M	No
Power_Desc_req	0x0003	O	M	No
Simple_Desc_req	0x0004	O	M	No
Active_EP_req	0x0005	O	M	No
Match_Desc_req	0x0006	O	M	No
Complex_Desc_req	0x0010	Deprecated	Deprecated	-
User_Desc_req	0x0011	Deprecated	Deprecated	-
Discovery_Cache_req	0x0012	Deprecated	Deprecated	-
Device_annce	0x0013	O	M	No
Parent_annce	0x001F	M	M	No
User_Desc_set	0x0014	Deprecated	Deprecated	-
System_Server_Discovery_req	0x0015	O	M	No
Discovery_store_req	0x0016	Deprecated	Deprecated	-
Node_Desc_store_req	0x0017	Deprecated	Deprecated	-

Device and Service Discovery Client Services	Cluster ID	Client Transmission	Server Processing	Restricted Command
Power_Desc_store_req	0x0018	Deprecated	Deprecated	-
Active_EP_store_req	0x0019	Deprecated	Deprecated	-
Simple_Desc_store_req	0x001a	Deprecated	Deprecated	-
Remove_node_cache_req	0x001b	Deprecated	Deprecated	-
Find_node_cache_req	0x001c	Deprecated	Deprecated	-
Extended_Simple_Desc_req	0x001d	Deprecated	Deprecated	-
Extended_Active_EP_req	0x001e	Deprecated	Deprecated	-

3063      **2.4.3.1.1 NWK\_addr\_req**

3064      The NWK\_addr\_req command (ClusterID=0x0000) SHALL be formatted as illustrated in Figure 2-18.

<b>Octets: 8</b>	<b>1</b>	<b>1</b>
IEEEAddress	RequestType	startIndex

3065      **Figure 2-18. Format of the NWK\_addr\_req Command Frame**

3066      Table 2-44 specifies the fields of the NWK\_addr\_req Command Frame.

3067      **Table 2-44. Fields of the NWK\_addr\_req Command Frame**

Name	Type	Valid Range	Description
IEEEAddr	IEEE Address	A valid 64-bit IEEE address	The IEEE address to be matched by the Remote Device
RequestType	Integer	0x00 – 0xff	Request type for this command: 0x00 – Single device response 0x01 – Extended response 0x02-0xFF – reserved
startIndex	Integer	0x00 – 0xff	If the Request type for this command is Extended response, the startIndex provides the starting index for the requested elements of the associated devices list

3068 2.4.3.1.1.1 **When Generated**

3069 The NWK\_addr\_req is generated from a Local Device wishing to inquire as to the 16-bit address of the Remote Device  
 3070 based on its known IEEE address. The destination addressing on this command SHALL be unicast or broadcast to all  
 3071 devices for which macRxOnWhenIdle = TRUE.

3072 2.4.3.1.1.2 **Effect on Receipt**

3073 Upon receipt, a Remote Device SHALL compare the IEEEAddr to its *nwkIeeeAddress* in the NIB or any IEEE address  
 3074 held in its *nwkNeighborTable* where the Device Type field of the entry is 0x02 (End Device). If there is no match and  
 3075 the request was unicast, a NWK\_addr\_rsp command SHALL be generated and sent back to the local device with the  
 3076 Status field set to DEVICE\_NOT\_FOUND, the IEEEAddrRemoteDev field set to the IEEE address of the request;  
 3077 the NWKAddrRemoteDev field set to 0xFFFF indicating that there is no known short address; and the NumAssocDev,  
 3078 StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included in the frame. If there is no match and the  
 3079 command was received as a broadcast, the request SHALL be discarded and no response generated. Note that router  
 3080 parent *and* the macRxOnWhenIdle=TRUE end device SHALL *both* respond to the NWK Address request when the  
 3081 request is sent to the macRxOnWhenIdle=TRUE broadcast address.

3082 If a match is detected between the contained IEEEAddr and the receiving device's *nwkIeeeAddress* or one held in the  
 3083 receiving device's *nwkNeighborTable*, the RequestType SHALL be used to create a response. If the RequestType is  
 3084 one of the reserved values and the request was not sent to a broadcast address, a NWK\_addr\_rsp command SHALL  
 3085 be generated and sent back to the local device with the Status field set to INV\_REQUESTTYPE; the IEEEAddrRe-  
 3086 moteDev field set to the IEEE address of the request; the NWKAddrRemoteDev field set to the network address  
 3087 corresponding to the IEEE address in the request; the NumAssocDev, StartIndex, and NWKAddrAssocDevList fields  
 3088 SHALL NOT be included in the frame.

3089 If the RequestType is single device response, a NWK\_addr\_rsp command SHALL be generated and sent back to the  
 3090 local device with the Status field set to SUCCESS, the IEEEAddrRemoteDev field set to the IEEE address of the  
 3091 request; the NWKAddrRemoteDev field set to the NWK address of the discovered device; and the NumAssocDev,  
 3092 StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included in the frame.

3093 If the RequestType was Extended response and the Remote Device is either the Zigbee coordinator or router, a  
 3094 NWK\_addr\_rsp command SHALL be generated and sent back to the local device with the Status field set to SUC-  
 3095 CESS, the IEEEAddrRemoteDev field set to the IEEE address of the device itself, and the NWKAddrRemoteDev  
 3096 field set to the NWK address of the device itself. The Remote Device SHALL also supply a list of all 16-bit NWK  
 3097 addresses in the NWKAddrAssocDevList field, starting with the entry StartIndex and continuing with whole entries  
 3098 until the maximum APS packet length is reached, for all devices in its *nwkNeighborTable* where the Device Type is  
 3099 0x02 (End Device). It SHALL then set the NumAssocDev field to the number of entries in the  
 3100 NWKAddrAssocDevList field.

3101 2.4.3.1.2 **IEEE\_addr\_req**

3102 The IEEE\_addr\_req command (ClusterID=0x0001) SHALL be formatted as illustrated in Figure 2-19.

Octets: 2	1	1
NWKAddrOfInterest	RequestType	StartIndex

3103 **Figure 2-19. Format of the IEEE\_addr\_req\_Command Frame**

3104 Table 2-45 specifies the fields of the IEEE\_addr\_req command frame.

3105

**Table 2-45. Fields of the IEEE\_addr\_req Command**

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address that is used for IEEE address mapping.
RequestType	Integer	0x00-0xff	Request type for this command: 0x00 – Single device response 0x01 – Extended response 0x02-0xff – reserved
startIndex	Integer	0x00-0xff	If the Request type for this command is Extended response, the startIndex provides the starting index for the requested elements of the associated devices list.

3106

**2.4.3.1.2.1 When Generated**3107  
3108  
3109

The IEEE\_addr\_req is generated from a Local Device wishing to inquire as to the 64-bit IEEE address of the Remote Device based on their known 16-bit address. The destination addressing on this command SHALL be unicast, or broadcast to all devices for which macRxOnWhenIdle = TRUE.

3110

**2.4.3.1.2.2 Effect on Receipt**3111  
3112  
3113  
3114  
3115  
3116

Upon receipt a Remote Device SHALL compare the NWKAddrOfInterest to its local *nwkNetworkAddress* value in the NIB, or compare any Network address field held in its *nwkNeighborTable* that also has the Device Type field set to 0x02 (End Device). If there is no match, an IEEE\_addr\_rsp command SHALL be generated and sent back to the local device with the Status field set to DEVICE\_NOT\_FOUND; the IEEEAddrRemoteDev field set to the IEEE address of 0xFFFFFFFFFFFFFF; the NWKAddrRemoteDev field set to the NWK address of the request; and the NumAssocDev, StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included in the frame.

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3119  
3120  
3121  
3122

If a match is detected between the contained NWKAddrOfInterest and the receiving device's *nwkNetworkAddress* or one held in the *nwkNeighborTable*, the RequestType SHALL be used to create a response. If the RequestType is one of the reserved values, an IEEE\_addr\_rsp command SHALL be generated and sent back to the local device with the Status field set to INV\_REQUESTTYPE, the IEEEAddrRemoteDev field set to the IEEE address of this device, the NWKAddrRemoteDev field set to the network address of this device and the NumAssocDev, StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included in the frame.

3123  
3124  
3125  
3126

If the RequestType is single device response, an IEEE\_addr\_rsp command SHALL be generated and sent back to the local device with the Status field set to SUCCESS, the IEEEAddrRemoteDev field set to the IEEE address of the discovered device, the NWKAddrRemoteDev field set to the NWK address of the request and the NumAssocDev, StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included in the frame.

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3133  
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If the RequestType indicates an Extended Response and the Remote Device is the Zigbee coordinator or router with associated devices, an IEEE\_addr\_rsp command SHALL be generated and sent back to the local device with the Status field set to SUCCESS, the IEEEAddrRemoteDev field set to the IEEE address of the device itself, and the NWKAddrRemoteDev field set to the NWK address of the device itself. The Remote Device SHALL also supply a list of all 16-bit network addresses in the NWKAddrAssocDevList field, starting with the entry StartIndex and continuing with whole entries until the maximum APS packet length is reached, for each entry in the *nwkNeighborTable* where the Device Type field is set to 0x02 (End Device). It SHALL then set the NumAssocDev field to the number of entries in the NWKAddrAssocDevList field.

3135

**2.4.3.1.3 Node\_Desc\_req**

3136

The Node\_Desc\_req\_command (ClusterID=0x0002) SHALL be formatted as illustrated in Figure 2-20.

Octets: 2	Octets: Variable
NWKAddrOfInterest	TLVs

**Figure 2-20. Format of the Node\_Desc\_req Command Frame**

Table 2-46 specifies the fields for the Node\_Desc\_req command frame.

**Table 2-46. Fields of the Node\_Desc\_req Command Frame**

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request
TLVs	Concatenation of TLVs	Varies	The Fragmentation Parameters Global TLV SHALL always be included.  If the Node_Desc_req is sent to the Trust Center from a device wishing to update its Trust Center link-key, the Supported Key Negotiation Methods Global TLV (ID 65) SHALL be included.

#### 2.4.3.1.3.1 When Generated

The Node\_Desc\_req command is generated from a local device wishing to inquire as to the node descriptor of a remote device. This command SHALL be unicast either to the remote device itself or to an alternative device that contains the discovery information of the remote device.

The local device SHALL generate the Node\_Desc\_req command using the format illustrated in . The NWKAddrOfInterest field SHALL contain the network address of the remote device for which the node descriptor is required.

The Fragmentation Parameters Global TLV SHALL be present to indicate the sending device's fragmentation capabilities. This allows the receiving device to cache the information if it needs to.

If the Node\_Desc\_req is sent to the Trust Center from a device wishing to update its Trust Center link-key, the Supported Key Negotiation Methods Global TLV (ID 65) SHALL be included.

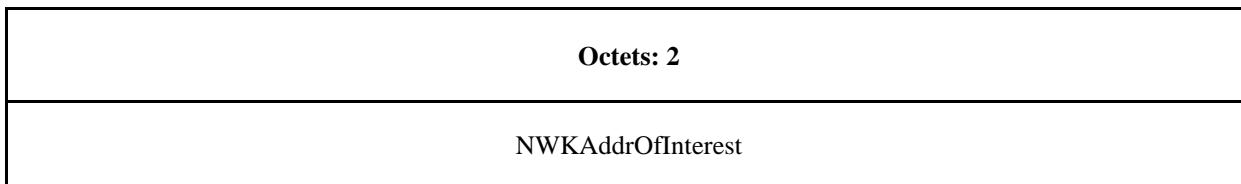
#### 2.4.3.1.3.2 Effect on Receipt

Upon receipt of this command, the recipient device SHALL process the command and generate a Node\_Desc\_rsp command in response, according to the description in section 2.4.4.2.3.

#### 2.4.3.1.4 Power\_Desc\_req

The Power\_Desc\_req command (ClusterID=0x0003) SHALL be formatted as illustrated in Figure 2-21.

3155

3156 **Figure 2-21. Format of the Power\_Desc\_req Command Frame**

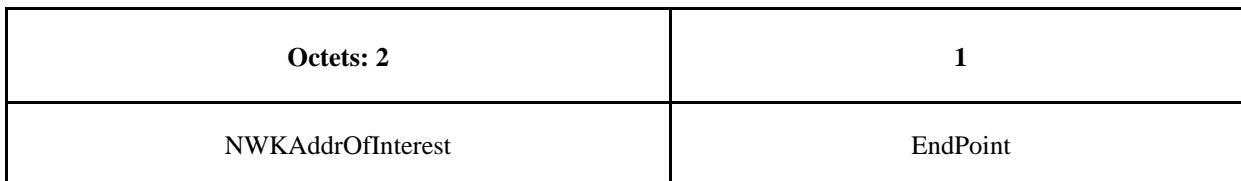
3157 Table 2-47 specifies the fields of the Power\_Desc\_req command frame.

3158 **Table 2-47. Fields of the Power\_Desc\_req Command Frame**

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.

3159 **2.4.3.1.4.1 When Generated**3160 The Power\_Desc\_req command is generated from a local device wishing to inquire as to the power descriptor of a  
3161 remote device. This command SHALL be unicast either to the remote device itself or to an alternative device that  
3162 contains the discovery information of the remote device.3163 The local device SHALL generate the Power\_Desc\_req command using the format illustrated in Table 2-47. The  
3164 NWKAddrOfInterest field SHALL contain the network address of the remote device for which the power descriptor  
3165 is required.3166 **2.4.3.1.4.2 Effect on Receipt**3167 Upon receipt of this command, the recipient device SHALL process the command and generate a Power\_Desc\_rsp  
3168 command in response according to the description in section 2.4.4.2.4.3169 **2.4.3.1.5 Simple\_Desc\_req**

3170 The Simple\_Desc\_req command (ClusterID=0x0004) SHALL be formatted as illustrated in Figure 2-22.

3171 **Figure 2-22. Format of the Simple\_Desc\_req Command Frame**

3172 Table 2-48 specifies the fields of the Simple\_Desc\_req command frame.

3173 **Table 2-48. Fields of the Simple\_Desc\_req Command**

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request
Endpoint	8 bits	1–254	The endpoint on the destination

3174 2.4.3.1.5.1 **When Generated**

3175 The Simple\_Desc\_req command is generated from a local device wishing to inquire as to the simple descriptor of a  
 3176 remote device on a specified endpoint. This command SHALL be unicast either to the remote device itself or to an  
 3177 alternative device that contains the discovery information of the remote device.

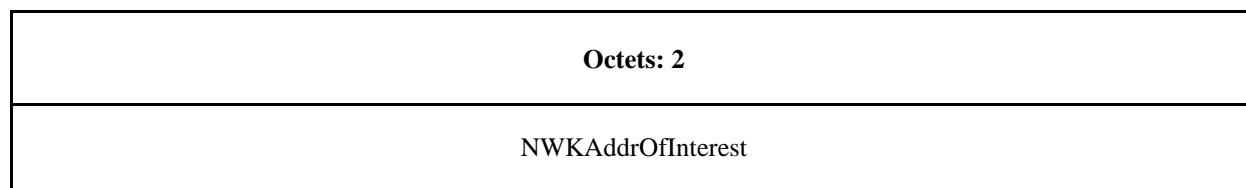
3178 The local device SHALL generate the Simple\_Desc\_req command using the format illustrated in Table 2-48. The  
 3179 NWKAddrOfInterest field SHALL contain the network address of the remote device for which the simple descriptor  
 3180 is required and the endpoint field SHALL contain the endpoint identifier from which to obtain the required simple  
 3181 descriptor.

3182 2.4.3.1.5.2 **Effect on Receipt**

3183 Upon receipt of this command, the recipient device SHALL process the command and generate a Simple\_Desc\_rsp  
 3184 command in response, according to the description in section 2.4.4.2.5.

3185 2.4.3.1.6 **Active\_EP\_req**

3186 The Active\_EP\_req command (ClusterID=0x0005) SHALL be formatted as illustrated in Figure 2-23.



3187 **Figure 2-23. Format of the Active\_EP\_req Command Frame**

3188 Table 2-49 specifies the fields of the Active\_EP\_req command frame.

3189 **Table 2-49. Fields of the Active\_EP\_req Command**

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.

3190 2.4.3.1.6.1 **When Generated**

3191 The Active\_EP\_req command is generated from a local device wishing to acquire the list of endpoints on a remote  
 3192 device with simple descriptors. This command SHALL be unicast either to the remote device itself or to an alternative  
 3193 device that contains the discovery information of the remote device.

3194 The local device SHALL generate the Active\_EP\_req command using the format illustrated in . The NWKAddrOfIn-  
 3195 terest field SHALL contain the network address of the remote device for which the active endpoint list is required.

3196 2.4.3.1.6.2 **Effect on Receipt**

3197 Upon receipt of this command, the recipient device SHALL process the command and generate an Active\_EP\_rsp  
 3198 command in response, according to the description in section 2.4.4.2.6.

3199 2.4.3.1.7 **Match\_Desc\_req**

3200 The Match\_Desc\_req command (ClusterID=0x0006) SHALL be formatted as illustrated in Figure 2-24.

3201

<b>Octets: 2</b>	<b>2</b>	<b>1</b>	<b>Variable</b>	<b>1</b>	<b>Variable</b>
NWKAddrOfInterest	ProfileID	NumInClusters	InClusterList	NumOutClusters	OutClusterList

3202 **Figure 2-24. Format of the Match\_Desc\_req Command Frame**

3203 Table 2-50 specifies the fields of the Match\_Desc\_req command frame.

3204 **Table 2-50. Fields of the Match\_Desc\_req Command**

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.
ProfileID	Integer	0x0000 – 0xffff	Profile ID to be matched at the destination.
NumInClusters	Integer	0x00 – 0xff	The number of Input Clusters provided for matching within the InClusterList.
InClusterList	2 bytes * NumInClusters		List of Input ClusterIDs to be used for matching; the InClusterList is the desired list to be matched by the Remote Device (the elements of the InClusterList are the supported output clusters of the Local Device).
NumOutClusters	Integer	0x00 – 0xff	The number of Output Clusters provided for matching within OutClusterList.
OutClusterList	2 bytes * NumOutClusters		List of Output ClusterIDs to be used for matching; the OutClusterList is the desired list to be matched by the Remote Device (the elements of the OutClusterList are the supported input clusters of the Local Device).

3205 **2.4.3.1.7.1 When Generated**3206 The Match\_Desc\_req command is generated from a local device wishing to find remote devices supporting a specific  
3207 simple descriptor match criterion. This command SHALL either be broadcast to all devices for which macRx-  
3208 OnWhenIdle = TRUE, or unicast. If the command is unicast, it SHALL be directed either to the remote device itself  
3209 or to an alternative device that contains the discovery information of the remote device.3210 The local device SHALL generate the Match\_Desc\_req command using the format illustrated in . The NWKAd-  
3211 drOfInterest field SHALL contain the network address indicating a broadcast to all devices for which macRx-  
3212 OnWhenIdle = TRUE (0xffffd) if the command is to be broadcast, or the network address of the remote device for  
3213 which the match is required.3214 The remaining fields SHALL contain the required criterion for which the simple descriptor match is requested. The  
3215 ProfileID field SHALL contain the identifier of the profile for which the match is being sought or the wildcard profile  
3216 ID of 0xFFFF.

3217 The NumInClusters field SHALL contain the number of elements in the InClusterList field. If the value of this field  
 3218 is 0, the InClusterList field SHALL NOT be included. If the value of the NumInClusters field is not equal to 0, the  
 3219 InClusterList field SHALL contain the list of input cluster identifiers for which the match is being sought.

3220 The NumOutClusters field SHALL contain the number of elements in the OutClusterList field. If the value of this field  
 3221 is 0, the OutClusterList field SHALL NOT be included. If the value of the NumOutClusters field is not equal to  
 3222 0, the OutClusterList field SHALL contain the list of output cluster identifiers for which the match is being sought.

3223 **2.4.3.1.7.2 Effect on Receipt**

3224 Upon receipt of this command, the recipient device SHALL process the command and generate a Match\_Desc\_rsp  
 3225 command in response, according to the description in section 2.4.4.2.7.

3226 **2.4.3.1.8 Complex\_Desc\_req – DEPRECATED**

3227 **2.4.3.1.9 User\_Desc\_req – DEPRECATED**

3228 **2.4.3.1.10 Discovery\_Cache\_req – DEPRECATED**

3229 **2.4.3.1.11 Device\_annce**

3230 The Device\_annce command (ClusterID=0x0013) SHALL be formatted as illustrated in Figure 2-25.

<b>Octets: 2</b>	<b>8</b>	<b>1</b>
NWKAddr	IEEEAddr	Capability

3231 **Figure 2-25. Format of the Device\_annce Command Frame**

3232 Table 2-51 specifies the fields of the Device\_annce command frame.

3233 **Table 2-51. Fields of the Device\_annce Command**

Name	Type	Valid Range	Description
NWKAddr	Device Address	16-bit NWK address	NWK address for the Local Device
IEEEAddr	Device Address	64-bit IEEE address	IEEE address for the Local Device
Capability	Bitmap	See Figure 2-16.	Capability of the local device

3234 **2.4.3.1.11.1 When Generated**

3235 The Device\_annce is provided to enable Zigbee devices on the network to notify other Zigbee devices that the device  
 3236 has joined or re-joined the network, identifying the device's 64-bit IEEE address and new 16-bit NWK address, and  
 3237 informing the Remote Devices of the capability of the Zigbee device. This command SHALL be invoked for all Zigbee  
 3238 end devices upon join or rejoin. This command MAY also be invoked by Zigbee routers upon join or rejoin as part of  
 3239 NWK address conflict resolution. The destination addressing on this primitive is broadcast to all devices for which  
 3240 macRxOnWhenIdle = TRUE.

3241 **2.4.3.1.11.2 Effect on Receipt**

3242 Routers and Coordinators SHALL first determine whether there is an address conflict with any other device on the  
 3243 network. End Devices are not required to detect address conflicts.

3244 Address conflicts SHALL be determined as follows:

- 3245 1. Using the value of the IEEEAddr in the received ZDO message examine NIB tables for the nwkAddressMap and  
 3246 nwkNeighborTable for a matching IEEE Address.
- 3247 2. If a match is found AND that match has a different node ID than the value for NWKAddr in the received ZDO  
 3248 message then an address conflict has occurred. Do the following:
- 3249 a. If the conflicted entry is the nwkNeighborTable of the NIB AND the entry has a Relationship of 0x06,  
 3250 neighbor is a lost child, this indicates a local end device child has NOT changed parents and needs a new  
 3251 address. Perform an NLME-SET.req as follows.
- 3252 i. Set the corresponding entry in the nwkNeighborTable to have a Relationship field of 0x07, neighbor is  
 3253 a child that needs new address.
- 3254 b. Follow the procedure in section 3.6.1.10.3 to resolve the address conflict.
- 3255 i. No further processing of the message SHALL be done.

3256 When no conflict is detected, all device types SHALL continue processing the ZDO device announce as indicated  
 3257 below.

3258 Upon receipt, the Remote Device SHALL use the IEEEAddr in the message to find a match with any other IEEE  
 3259 address held in the Remote Device. If a match is detected, the Remote Device SHALL update the nwkAddressMap  
 3260 attribute of the NIB with the updated NWKAddr corresponding to the IEEEAddr received.

3261 The Remote Device SHALL also use the NWKAddr in the message to find a match with any other 16-bit NWK  
 3262 address held in the Remote Device, even if the IEEEAddr field in the message carries the value of 0xffffffffffff. If  
 3263 a match is detected for a device with an IEEE address other than that indicated in the IEEEAddr field received, then  
 3264 this entry SHALL be marked as not having a known valid 16-bit NWK address.

#### 3265 2.4.3.1.12 Parent\_annc

3266 The Parent\_annc command (ClusterID = 0x001F) SHALL be formatted as illustrated in Figure 2-26.

Octets: 1	Variable	...	Variable
NumberOfChildren	ChildInfo[0]	...	ChildInfo[n]

3267 **Figure 2-26. Format of the Parent Annce Message**

3268 Table 2-52 specifies the contents of the ChildInfo structure.

3269 **Table 2-52. Format of the ChildInfo Structure**

Name	Type	Description
Extended Address	64-bit IEEE address	The IEEE address of the child bound to the parent.

##### 3270 2.4.3.1.12.1 When Generated

3271 The Parent\_annc is provided to enable Zigbee routers (including the coordinator) on the network to notify other  
 3272 Zigbee routers about all the end devices known to the local device. This command provides a means to resolve con-  
 3273 flicts more quickly than aging out the child, when multiple routers purport to be the active parent of a particular end-  
 3274 device. The command MAY be broadcast from one router to all routers and the coordinator using the broadcast address  
 3275 0xFFFF or unicast from one router to another router.

3276 This message SHALL be generated if all the following conditions are met:

- 3277 1. The router or coordinator device has rebooted.
- 3278 2. The router or coordinator is operating in the joined state.

3279 The message generated under the above circumstances SHALL be broadcast. Before broadcasting a Parent\_annc  
 3280 message, the device SHALL start a countdown timer, *apsParentAnnounceTimer* equal to *apsParentAnnounceBaseT-*  
 3281 *imer* + a random value from 0 to *apsParentAnnounceJitterMax*.

3282 When the timer expires, a router SHALL examine its neighbor table for all devices. The router SHALL construct, but  
3283 not yet send, an empty Parent\_ance message and set NumberOfChildren to 0. For each end device in the neighbor  
3284 table, it SHALL do the following.

- 3285 1. If the Neighbor Table entry indicates a Device Type not equal to End Device (0x02), do not process this entry.  
3286 Continue to the next one.
- 3287 2. Incorporate end device information into the Parent\_ance message by doing the following:
  - 3288 a. Append a ChildInfo structure to the message.
  - 3289 b. Increment NumberOfChildren by 1.
- 3290 3. Note: The value of Keepalive Received for the Neighbor Table Entry is not considered.

3291 After processing all entries in the neighbor table, if the NumberOfChildren is greater than 0, then it SHALL send the  
3292 message to the all routers broadcast address (0xFFFF). If NumberOfChildren is 0, it SHALL discard the previously  
3293 constructed Parent\_ance message and not send it.

3294 If the device has more ChildInfo entries than fit in a single message, it SHALL send additional messages. Each additional  
3295 message needed SHALL trigger the device to calculate and start a new apsParentAnnounceTimer equal to apsParentAnnounceBaseTimer + a random value from 0 to apsParentAnnounceJitterMax. The local device SHALL wait  
3296 until that timer expires before sending each additional message. The NumberOfChildren for each message shall be set  
3297 according to the number of ChildInfo entries contained within the message.

3298 If the device shall send multiple Parent\_ance messages but receives a keepalive from an end device before it has sent  
3299 the Parent\_ance message, it SHALL NOT include that device in the message.

#### 3301 2.4.3.1.12.2 **Effect on receipt**

3302 If the message is received by an end device, it SHALL be dropped. No further processing SHALL be done.

3303 Upon receipt of a broadcast Parent\_ance, if the local device has a non-zero value for its apsParentAnnounceTimer it  
3304 SHALL immediately re-calculate a new value and start a new countdown. The apsParentAnnounceTimer SHALL be  
3305 set to apsParentAnnounceBaseTimer + a random value from 0 to apsParentAnnounceJitterMax. It SHALL continue  
3306 processing the message.

3307 A router SHALL construct, but not yet send, an empty Parent\_Ance\_rsp message with NumberOfChildren set to 0.  
3308 It SHALL examine each Extended Address present in the message and search its Neighbor Table for an Extended  
3309 Address entry that matches. For each match, process as follows:

- 3310 1. If the Device Type is Zigbee End Device (0x02) and the Keepalive Received value is TRUE, do the following:
  - 3311 a. It SHALL append to the Parent\_ance\_rsp frame the ChildInfo structure.
  - 3312 b. Increment the NumberOfChildren by 1.
- 3313 2. If the Device Type is not Zigbee End Device (0x02) or the Keepalive Received value is FALSE, do not process  
3314 any further. Continue to the next entry.

3315 If the NumberOfChildren field value is 0, the local device SHALL discard the previously constructed Parent\_Ance\_rsp. No response message SHALL be sent.

3316 If the NumberOfChildren field in the Parent\_Ance\_rsp is greater than 0, it SHALL unicast the message to the sender  
3317 of the Parent\_Ance message.

3318 If the device has more ChildInfo entries than fit in a single message, it SHALL send additional messages. These  
3319 messages do not have to be jittered or delayed since they are unicast to a single device. Each Parent\_ance\_rsp SHALL  
3320 set the NumberOfChildren field to the number of entries contained within the message.

#### 3322 2.4.3.1.13 **User\_Desc\_set – DEPRECATED**

#### 3323 2.4.3.1.14 **System\_Server\_Discovery\_req**

3324 The System\_Server\_Discovery\_req command (ClusterID=0x0015) SHALL be formatted as illustrated in Figure 2-27.

<b>Octets: 2</b>
ServerMask

**Figure 2-27. Format of the System\_Server\_Discovery\_req Command Frame**

3325      Table 2-53 specifies the fields of the System\_Server\_Discovery\_req command frame.

**Table 2-53. Fields of the System\_Server\_Discovery\_req Command Frame**

Name	Type	Valid Range	Description
ServerMask	Bitmap	16 bits	See Table 2-34 for bit assignments.

#### 3328    2.4.3.1.14.1    **When Generated**

3329    The System\_Server\_Discovery\_req is generated from a Local Device wishing to discover the location of a particular  
 3330    system server or servers as indicated by the ServerMask parameter. The destination addressing on this request is  
 3331    ‘broadcast to all devices for which macRxOnWhenIdle = TRUE.’

#### 3332    2.4.3.1.14.2    **Effect on Receipt**

3333    Upon receipt, remote devices SHALL compare the ServerMask parameter to the Server Mask field in their own Node  
 3334    descriptor. If no bits are found to match, no action is taken. If any matching bits are found, the remote device SHALL  
 3335    send a System\_Server\_Discovery\_rsp back to the originator using unicast transmission (with acknowledgement re-  
 3336    quest) and indicating the matching bits.

#### 3337    2.4.3.1.15    **Discovery\_store\_req – DEPRECATED**

#### 3338    2.4.3.1.16    **Node\_Desc\_store\_req – DEPRECATED**

#### 3339    2.4.3.1.17    **Power\_Desc\_store\_req – DEPRECATED**

#### 3340    2.4.3.1.18    **Active\_EP\_store\_req – DEPRECATED**

#### 3341    2.4.3.1.19    **Simple\_Desc\_store\_req – DEPRECATED**

#### 3342    2.4.3.1.20    **Remove\_node\_cache\_req – DEPRECATED**

#### 3343    2.4.3.1.21    **Find\_node\_cache\_req – DEPRECATED**

#### 3344    2.4.3.1.22    **Extended\_Simple\_Desc\_req – DEPRECATED**

#### 3345    2.4.3.1.23    **Extended\_Active\_EP\_req – DEPRECATED**

### 3346    **2.4.3.2    Bind, Unbind, and Bind Management Client Services Primi- 3347    tives**

3348    Table 2-54 lists the primitives supported by Device Profile: Bind and Unbind Client Services. Each of these commands  
 3349    will be discussed in the following sections.

3350

**Table 2-54. Bind, Unbind, and Bind Management Client Service Commands**

<b>Bind and Unbind Client Services</b>	<b>Cluster ID</b>	<b>Client Transmission</b>	<b>Server Processing</b>	<b>Restricted Mode Only</b>
End_Device_Bind_req	0x0020	Deprecated	Deprecated	-
Bind_req	0x0021	O	O	Yes
Unbind_req	0x0022	O	O	Yes
Bind_Register_req	0x0023	Deprecated	Deprecated	-
Replace_Device_req	0x0024	Deprecated	Deprecated	-
Store_Bkup_Bind_Entry_req	0x0025	Deprecated	Deprecated	-
Remove_Bkup_Bind_Entry_req	0x0026	Deprecated	Deprecated	-
Backup_Bind_Table_req	0x0027	Deprecated	Deprecated	-
Recover_Bind_Table_req	0x0028	Deprecated	Deprecated	-
Backup_Source_Bind_req	0x0029	Deprecated	Deprecated	-
Recover_Source_Bind_req	0x002a	Deprecated	Deprecated	-
Clear_All_Bindings_req	0x002b	O	M / O *	Yes

3351 \*The Clear\_All\_Bindings is optional if no binding table is present. If a Binding Table is supported then the  
 3352 Clear\_All\_Bindings\_req command server processing is mandatory.

#### 3353 2.4.3.2.1 **End\_Device\_Bind\_req – DEPRECATED**

#### 3354 2.4.3.2.2 **Bind\_req**

3355 The Bind\_req command (ClusterID=0x0021) SHALL be formatted as illustrated in Figure 2-28.

<b>Octets: 8</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>2/8</b>	<b>0/1</b>
SrcAddress	SrcEndp	ClusterID	DstAddrMode	DstAddress	DstEndp

**Figure 2-28. Format of the Bind\_req Command Frame**

3356 Table 2-55 specifies the fields of the Bind\_req command frame.

**Table 2-55. Fields of the Bind\_req Command**

Name	Type	Valid Range	Description
SrcAddress	IEEE Address	A valid 64-bit IEEE address	The IEEE address for the source.
SrcEndp	Integer	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterID	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is bound to the destination.
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this command. This field can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddress and DstEndp not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddress and DstEndp present 0x04 – 0xff = reserved
DstAddress	Address	As specified by the DstAddr-Mode field	The destination address for the binding entry.
DstEndp	Integer	0x01 – 0xfe	This field SHALL be present only if the DstAddr-Mode field has a value of 0x03 and, if present, SHALL be the destination endpoint for the binding entry.

**3359 2.4.3.2.2.1 When Generated**

3360 The Bind\_req is generated from a Local Device wishing to create a Binding Table entry for the source and destination  
 3361 addresses contained as parameters. The destination addressing on this command SHALL be unicast only, and the  
 3362 destination address SHALL be that of the SrcAddress itself. The Binding Manager is optionally supported on the  
 3363 source device (unless that device is also the Zigbee Coordinator) so that device SHALL issue a NOT\_SUPPORTED  
 3364 status to the Bind\_req if not supported.

**3365 2.4.3.2.2.2 Effect on Receipt**

3366 On receipt of a broadcast Bind request the stack SHALL drop the message and no further processing SHALL take  
 3367 place. Otherwise, upon receipt, a Remote Device SHALL create a Binding Table entry based on the parameters sup-  
 3368 plied in the Bind\_req if the Binding Manager is supported. The Remote Device SHALL then respond with SUCCESS  
 3369 if the entry has been created by the Binding Manager; otherwise, the Remote Device SHALL respond with INSUF-  
 3370 FICIENT\_SPACE.

**3371 2.4.3.2.3 Unbind\_req**

3372 The Unbind\_req command (ClusterID=0x0022) SHALL be formatted as illustrated in Figure 2-29.

<b>Octets: 8</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>2/8</b>	<b>0/1</b>
SrcAddress	SrcEndp	ClusterID	DstAddrMode	DstAddress	DstEndp

**Figure 2-29. Format of the Unbind\_req Command Frame**

3373

3374 Table 2-56 specifies the fields of the Unbind\_req command frame.

3375

**Table 2-56. Fields of the Unbind\_req Command**

<b>Name</b>	<b>Type</b>	<b>Valid Range</b>	<b>Description</b>
SrcAddress	IEEE Address	A valid 64-bit IEEE address	The IEEE address for the source
SrcEndp	Integer	0x01 – 0xfe	The source endpoint for the binding entry
ClusterID	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is bound to the destination.
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this command. This field can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddress and DstEndp not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddress and DstEndp present 0x04 – 0xff = reserved
DstAddress	Address	As specified by the DstAddrMode field	The destination address for the binding entry.
DstEndp	Integer	0x01 – 0xfe	This field SHALL be present only if the DstAddrMode field has a value of 0x03 and, if present, SHALL be the destination endpoint for the binding entry.

3376 2.4.3.2.3.1 **When Generated**3377 The Unbind\_req is generated from a Local Device wishing to remove a Binding Table entry for the source and destination addresses contained as parameters. The destination addressing on this command SHALL be unicast only and 3378 the destination address SHALL be that of the SrcAddress.  
33793380 2.4.3.2.3.2 **Effect on Receipt**

3381 On receipt of a broadcast Unbind request the stack SHALL drop the message and no further processing SHALL be 3382 done. The Remote Device SHALL evaluate whether this request is supported. If the request is not supported, a Status 3383 of NOT\_SUPPORTED SHALL be returned. If the request is supported, the Remote Device SHALL remove a Binding 3384 Table entry based on the parameters supplied in the Unbind\_req. If a Binding Table entry for the SrcAddress, SrcEndp, 3385 ClusterID, DstAddress, DstEndp contained as parameters does not exist, the Remote Device SHALL respond with

3386 NO\_ENTRY. Otherwise, the Remote Device SHALL delete the indicated Binding Table entry and respond with SUCCESS.  
 3387

- 3388 2.4.3.2.4 **Bind\_Register\_req – DEPRECATED**
- 3389 2.4.3.2.5 **Replace\_Device\_req – DEPRECATED**
- 3390 2.4.3.2.6 **Store\_Bkup\_Bind\_Entry\_req – DEPRECATED**
- 3391 2.4.3.2.7 **Remove\_Bkup\_Bind\_Entry\_req – DEPRECATED**
- 3392 2.4.3.2.8 **Backup\_Bind\_Table\_req – DEPRECATED**
- 3393 2.4.3.2.9 **Recover\_Bind\_Table\_req – DEPRECATED**
- 3394 2.4.3.2.10 **Backup\_Source\_Bind\_req – DEPRECATED**
- 3395 2.4.3.2.11 **Recover\_Source\_Bind\_req – DEPRECATED**
- 3396 2.4.3.2.12 **Clear\_All\_Bindings\_req**

3397 The Clear\_All\_Bindings\_req command (Cluster = 0x002b) SHALL be formatted as described in Figure 2-30. Any  
 3398 device on the network can send this command subject to the same Restricted Mode processing rules that apply to other  
 3399 commands manipulating the binding table.

Octets: Varies
TLVs

3400 **Figure 2-30. Format of the Clear\_All\_Bindings\_req**

3401 The following TLVs SHALL be present in the message:

- 3402 • Clear All Bindings Req EUI64 TLV

3403 2.4.3.2.12.1 **Local TLVs**

3404 2.4.3.2.12.2 **Clear All Bindings Req EUI64 TLV (ID=0)**

3405 The format of the Clear All Bindings Req EUI64 TLV SHALL be as formatted in Figure 2-31.

Octets: 1	8	...
EUI64 Count	EUI64	...

3406 **Figure 2-31. Format of the Clear All Bindings Req EUI64 TLV**

3407 The fields of the Clear All Bindings Req EUI64 TLV are defined in Table 2-57.

3408

3409

**Table 2-57. Fields of the Clear All Bindings Req EUI64 TLV**

Name	Type	Valid Range	Description
EUI64 Count	Integer	0x00 – 0xFF	The number of EUI64 fields within the TLV. NOTE: The Maximum Transmission Unit (MTU) of the underlying message will limit the maximum range of this field.
EUI64	EUI64	0x0000000000000000 – 0xFFFFFFFFFFFFFFFFF	An EUI64 that SHALL trigger corresponding bindings to be deleted.

3410 **2.4.3.2.12.3 When Generated**

3411 This is generated by a remote device that wants to clear all the bindings of the local device, for example to clear the  
 3412 application configuration without resetting the device to its factory defaults and causing it to drop off the network.  
 3413 This command SHALL be sent via unicast.

3414 **2.4.3.2.12.4 Effect on Receipt**

3415 The receiver SHALL do the following:

- 3416 1) If the command was broadcast, the command SHALL be dropped and no further processing SHALL be done.
- 3417 2) Perform TLV processing rules as described in Annex I (General TLV Processing section).
- 3418 3) If the command does not include a Clear All Bindings Req EUI64 TLV in the message, then it SHALL be re-  
 3419 jected.
- 3420   a) A ZDO Clear\_All\_Bindings\_rsp SHALL be generated with a status of INV\_REQUESTTYPE. No further  
 3421       processing SHALL be done to clear the application configuration without resetting the device to its factory  
 3422       defaults and causing it to drop off the network.
- 3423 4) For each EUI64 in the Clear All Bindings Req EUI64 TLV search the Binding Table and delete any binding  
 3424       that matches that EUI64. If the Wildcard EUI64 of 0xFFFFFFFFFFFFFFFFF is used then all bindings on the lo-  
 3425       cal device SHALL be deleted.
- 3426 5) Generate a ZDO Clear\_All\_Bindings\_rsp containing a Status Field.  
 3427   a) Set the status to SUCCESS

3428 **2.4.3.3 Network Management Client Services**

3429 Table 2-58 lists the commands supported by Device Profile: Network Management Client Services. Each of these  
 3430 primitives will be discussed in the following sections.

3431 **Table 2-58. Network Management Client Services Commands**

Network Management Cli- ent Services	Cluster ID	Client Transmission	Server Processing	Restricted Com- mand
Mgmt_NWK_Disc_req	0x0030	Deprecated	Deprecated	-
Mgmt_Lqi_req	0x0031	O	M	No
Mgmt_Rtg_req	0x0032	O	M	No
Mgmt_Bind_req	0x0033	O	M	No

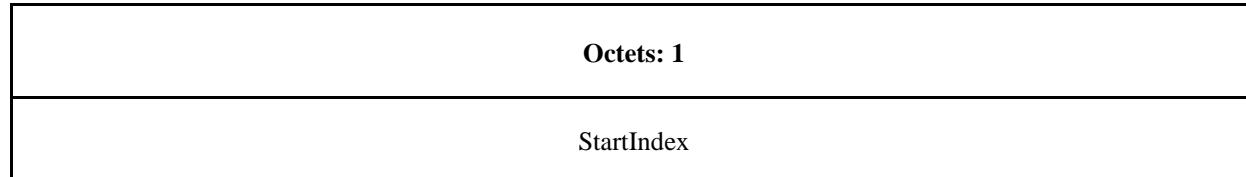
Network Management Client Services	Cluster ID	Client Transmission	Server Processing	Restricted Command
Mgmt_Leave_req	0x0034	O	M	Yes
Mgmt_Direct_Join_req	0x0035	Deprecated	Deprecated	-
Mgmt_Permit_Joining_req	0x0036	O	M	No
Mgmt_Cache_req	0x0037	Deprecated	Deprecated	-
Mgmt_NWK_Update_req	0x0038	O	O	No
Mgmt_NWK_Enhanced_Update_req	0x0039	O	O	No
Mgmt_NWK_IEEE_Joining_List_req	0x003a	O	O	No
Reserved	0x003b	-	-	-
Mgmt_NWK_Beacon_Survey_req	0x003c	O	M*	No

3432 \* The Mgmt\_NWK\_Beacon\_Survey\_req server processing is mandatory for End Devices and optional for routers.

3433 **2.4.3.3.1 Mgmt\_NWK\_Disc\_req – DEPRECATED**

3434 **2.4.3.3.2 Mgmt\_Lqi\_req**

3435 The Mgmt\_Lqi\_req command (ClusterID=0x0031) SHALL be formatted as illustrated in Figure 2-32.



3436 **Figure 2-32. Format of the Mgmt\_Lqi\_req Command Frame**

3437 Table 2-59 specifies the fields for the Mgmt\_NWK\_Disc\_req command frame.

3438 **Table 2-59. Fields of the Mgmt\_Lqi\_req Command**

Name	Type	Valid Range	Description
startIndex	Integer	0x00 – 0xff	Starting Index for the requested elements of the Neighbor Table.

3439 2.4.3.3.2.1 **When Generated**

3440 The Mgmt\_Lqi\_req is generated from a Local Device wishing to obtain a neighbor list for the Remote Device along  
 3441 with associated LQA values to each neighbor. The destination addressing on this command SHALL be unicast only.  
 3442 It MAY be sent to a coordinator, router, or end device.

3443 2.4.3.3.2.2 **Effect on Receipt**

3444 Upon receipt, a Remote Device (Zigbee Router or Zigbee Coordinator) SHALL retrieve the entries of the neighbor  
 3445 table and associated LQA values via the NLME-GET.request primitive (for the *nwkNeighborTable* attribute) and re-  
 3446 port the resulting neighbor table (obtained via the NLME-GET.confirm primitive) via the Mgmt\_Lqi\_rsp command.<sup>2</sup>

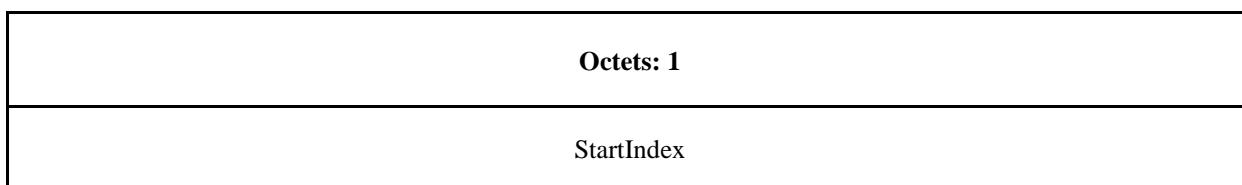
3447 Prior to Revision 21 of this specification, server processing of this command was optional. Additionally end devices  
 3448 were not required to support the command. As a result some devices MAY return NOT\_SUPPORTED. For R22 and  
 3449 beyond, all devices SHALL support this command.

3450 Prior to Revision 23 of this specification, the LQI value was returned, which might have exhibited more platform-  
 3451 specific behavior.

3452 If this command is not supported in the Remote Device, the return status provided with the Mgmt\_Lqi\_rsp SHALL  
 3453 be NOT\_SUPPORTED. If the neighbor table was obtained successfully, the Mgmt\_Lqi\_rsp command SHALL contain  
 3454 a status of SUCCESS and the neighbor table SHALL be reported, beginning with the element in the list enumer-  
 3455 ated as StartIndex. If the neighbor table was not obtained successfully, the Mgmt\_Lqi\_rsp command SHALL contain  
 3456 the error code reported in the NLME-GET.confirm primitive.

3457 2.4.3.3.3 **Mgmt\_Rtg\_req**

3458 The Mgmt\_Rtg\_req command (ClusterID=0x0032) SHALL be formatted as illustrated in Figure 2-33.

3459 **Figure 2-33. Format of the Mgmt\_Rtg\_req Command Frame**

3460 Table 2-60 specifies the fields for the Mgmt\_Rtg\_req command frame.

3461 **Table 2-60. Fields of the Mgmt\_Rtg\_req Command**

Name	Type	Valid Range	Description
StartIndex	Integer	0x00-0xff	Starting Index for the requested elements of the Routing Table.

3462 2.4.3.3.3.1 **When Generated**

3463 The Mgmt\_Rtg\_req is generated from a Local Device wishing to retrieve the contents of the Routing Table from the  
 3464 Remote Device. The destination addressing on this command SHALL be unicast only and the destination address  
 3465 SHALL be that of the Zigbee Router or Zigbee Coordinator.

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<sup>2</sup> CCB 2265

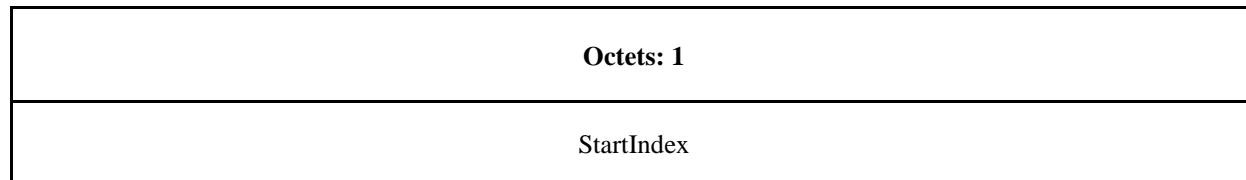
3466 2.4.3.3.3.2 **Effect on Receipt**

3467 Upon receipt, a Remote Device (Zigbee Coordinator or Zigbee Router) SHALL retrieve the entries of the routing table  
 3468 from the NWK layer via the NLME-GET.request primitive (for the *nwkRouteTable* attribute) and report the resulting  
 3469 routing table (obtained via the NLME-GET.confirm primitive) via the Mgmt\_Rtg\_rsp command.

3470 If the Remote Device does not support this optional management request, it SHALL return a Status of NOT\_SUPPORTED.  
 3471 If the routing table was obtained successfully, the Mgmt\_Rtg\_req command SHALL contain a status of  
 3472 SUCCESS and the routing table SHALL be reported, beginning with the element in the list enumerated as StartIndex.  
 3473 If the routing table was not obtained successfully, the Mgmt\_Rtg\_rsp command SHALL contain the error code re-  
 3474 ported in the NLME-GET.confirm primitive.

3475 2.4.3.3.4 **Mgmt\_Bind\_req**

3476 The Mgmt\_Bind\_req command (ClusterID=0x0033) SHALL be formatted as illustrated in Figure 2-34.



3477 **Figure 2-34. Format of the Mgmt\_Bind\_req Command Frame**

3478 Table 2-61 specifies the fields for the Mgmt\_Bind\_req command frame.

3479 **Table 2-61. Fields of the Mgmt\_Bind\_req Command**

Name	Type	Valid Range	Description
StartIndex	Integer	0x00 – 0xff	Starting Index for the requested elements of the Binding Table.

3480 2.4.3.3.4.1 **When Generated**

3481 The Mgmt\_Bind\_req is generated from a Local Device wishing to retrieve the contents of the Binding Table from the  
 3482 Remote Device. The destination addressing on this command SHALL be unicast only and the destination address  
 3483 SHALL be that of a source device holding its own binding table.

3484 2.4.3.3.4.2 **Effect on Receipt**

3485 Upon receipt, a Remote Device SHALL retrieve the entries of the binding table from the APS sub-layer via the  
 3486 APSME-GET.request primitive (for the *apsBindingTable* attribute) and report the resulting binding table (obtained  
 3487 via the APSME-GET.confirm primitive) via the Mgmt\_Bind\_rsp command.

3488 If the Remote Device does not support this optional management request, it SHALL return a status of NOT\_SUPPORTED.  
 3489 If the binding table was obtained successfully, the Mgmt\_Bind\_rsp command SHALL contain a status of  
 3490 SUCCESS and the binding table SHALL be reported, beginning with the element in the list enumerated as StartIndex.  
 3491 If the binding table is empty, the Mgmt\_Bind\_rsp SHALL return SUCCESS, set the fields BindingTable Entries =  
 3492 Start Index = BindingTable ListCount = 0x00 and not include the BindingTable List field. If the binding table was not  
 3493 obtained successfully, the Mgmt\_Bind\_rsp command SHALL contain the error code reported in the APSME-  
 3494 GET.confirm primitive.

3495 2.4.3.3.5 **Mgmt\_Leave\_req**

3496 The Mgmt\_Leave\_req command (ClusterID=0x0034) SHALL be formatted as illustrated in Figure 2-35.

<b>Bits: 64</b>	<b>6</b>	<b>1</b>	<b>1</b>
Device Address	Reserved	Remove Children	Rejoin

3497 **Figure 2-35. Format of the Mgmt\_Leave\_req Command Frame**

3498 Table 2-62 specifies the fields for the Mgmt\_Leave\_req command frame.

3499 **Table 2-62. Fields of the Mgmt\_Leave\_req Command**

Name	Type	Valid Range	Description
DeviceAddress	Device Address	An extended 64-bit, IEEE address	See section 3.2.2.18 for details on the Device Address parameter within NLME-LEAVE.request. For DeviceAddress of NULL, a value of 0x0000000000000000 SHALL be used.
Remove Children	Bit	0 or 1	This field has a value of 1 if the device being asked to leave the network is also being asked to remove its child devices, if any. Otherwise, it has a value of 0.
Rejoin	Bit	0 or 1	This field has a value of 1 if the device being asked to leave from the current parent is requested to rejoin the network. Otherwise, it has a value of 0.

3500 2.4.3.3.5.1 **When Generated**3501 The Mgmt\_Leave\_req is generated from a Local Device requesting that a Remote Device leave the network or to  
3502 request that another device leave the network. The Mgmt\_Leave\_req is generated by a management application which  
3503 directs the request to a Remote Device where the NLME-LEAVE.request is to be executed using the parameter sup-  
3504 plied by Mgmt\_Leave\_req.3505 2.4.3.3.5.2 **Effect on Receipt**3506 Upon receipt, the remote device SHALL process the leave request by executing the procedure in section 3.6.1.11.3.1.  
3507 If the leave request was validated and accepted, and the DeviceAddress in the request is equal to the local device's  
3508 EUI64, then the receiving device SHALL generate the NLME-LEAVE.request to disassociate from the currently  
3509 associated network. The NLME-LEAVE.request SHALL have the DeviceAddress parameter set to the local device's  
3510 *nwkIeeeAddress* from the NIB, the RemoveChildren SHALL be set to FALSE, and the Rejoin parameter SHALL be  
3511 set to FALSE.3512 The results of the leave attempt SHALL be reported back to the local device via the Mgmt\_Leave\_rsp command. If  
3513 the request was for the local device, then the Mgmt\_Leave\_rsp SHALL be sent prior to leaving the network.3514 Versions of this specification prior to Revision 21 did not mandate the requirement to support this command. Therefore  
3515 if the remote device did not support this optional management request, it would return a status of NOT\_SUPPORTED.  
3516 All devices certified against version 21 and later are now required to support this command.3517 If the leave attempt was executed successfully, the Mgmt\_Leave\_rsp command SHALL contain a status of SUCCESS.  
3518 If the leave attempt was not executed successfully, the Mgmt\_Leave\_rsp command SHALL contain the error code  
3519 reported in the NLME-LEAVE.confirm primitive.

3520 **2.4.3.3.6 Mgmt\_Direct\_Join\_req – DEPRECATED**3521 **2.4.3.3.7 Mgmt\_Permit\_Joining\_req**

3522 The Mgmt\_Permit\_Joining\_req command (ClusterID=0x0036) SHALL be formatted as illustrated in Figure 2-36.

Octets: 1	1	Variable
PermitDuration	TC_Significance	TLV Data

3523 **Figure 2-36. Format of the Mgmt\_Permit\_Joining\_req Command Frame**

3524 Table 2-63 specifies the fields of the Mgmt\_Permit\_Joining\_req command frame.

3525 **Table 2-63. Fields of the Mgmt\_Permit\_Joining\_req Command**

Name	Type	Valid Range	Description
PermitDuration	Integer	0x00 – 0xfe	See section 3.2.2.7 for details on the PermitDuration parameter within NLME-PERMIT-JOINING.request.
TC_Significance	Boolean Integer	0x00 – 0x01	This field SHALL always have a value of 1, indicating a request to change the Trust Center policy. If a frame is received with a value of 0, it shall be treated as having a value of 1.
TLV Data	Variable	Variable	This is a concatenated list of TLVs. This field was added in Revision 23.

3526 **2.4.3.3.7.1 When Generated**3527 The Mgmt\_Permit\_Joining\_req is generated from a Local Device requesting a change to the network's advertisement  
3528 of its status, such as permitting joining. The Mgmt\_Permit\_Joining\_req is generated by a management application or  
3529 commissioning tool which directs the request to a remote device(s). Additionally, if the remote device is the Trust  
3530 Center and TC\_Significance is set to 1, this command is a request to change the Trust Center's policy to allow new  
3531 devices to join. The Trust Center has the ultimate decision over whether this request will be accepted. The addressing  
3532 MAY be unicast or 'broadcast to all routers and coordinator.3533 Trust Centers are the only devices allowed to update the Zigbee Beacon Appendix data advertised by the network in  
3534 the IEEE Std 802.15.4 beacons. The network wide Beacon Appendix data is stored in the NIB value *nwkNetwork-*  
3535 *WideBeaconAppendixTLVs*.3536 The Trust Center can modify the *nwkNetworkWideBeaconAppendixTLVs* of all routers by setting data in the Beacon  
3537 Appendix Encapsulation Global TLV. At a minimum the Trust Center SHALL always include the Beacon Appendix  
3538 Encapsulation Global TLV as a TLV in the TLV Data field of a Mgmt\_Permit\_Joining\_req. This is regardless of the  
3539 value it sets for the PermitDuration field. Inside the Beacon Appendix Encapsulation Global TLV SHALL be the  
3540 following TLVs:

- 3541 • Supported Key Negotiation Methods Global TLV
- 3542 • Fragmentation Parameter Global TLV

3543 The Trust Center can include additional Global TLVs in the encapsulation TLV. Local TLVs SHALL NOT be stored  
3544 in the Beacon Appendix Encapsulation Global TLV. The *nwkNetworkWideBeaconAppendixTLVs* SHALL always be  
3545 set in its entirety by the Beacon Appendix Encapsulation Global TLV and SHALL NOT be appended to. The *nwkNet-*  
3546 *workWideBeaconAppendixTLVs* NIB value SHALL NOT be persisted across reboots.

3547 Additional local or global TLVs MAY be included in the TLV Data field of the Mgmt\_Permit\_Joining\_req alongside  
3548 the Beacon Appendix Encapsulation Global TLV. These TLVs do not change the state of the *nwkNetwork-*  
3549 *WideBeaconAppendixGlobalTLVs*.

3550 Non-Trust Center devices are not allowed to change the network wide Beacon Appendix data advertised by the net-  
3551 work, only the permit joining duration. Non-Trust Center devices initiating this message SHALL not include the  
3552 Beacon Appendix Encapsulation Global TLV. They MAY include other TLVs in the TLV Data field of the Mgmt\_Per-  
3553 mit\_Joining\_req.

3554 **2.4.3.3.7.2 Effect on Receipt**

3555 Upon receipt, the remote device(s) SHALL issue the NLME-PERMIT-JOINING.request primitive using the Per-  
3556 mitDuration parameter supplied with the Mgmt\_Permit\_Joining\_req command. If the PermitDuration parameter is  
3557 not equal to zero or 0xFF, the parameter is a number of seconds and joining is permitted until it counts down to zero,  
3558 after which time, joining is not permitted. If the PermitDuration is set to zero, joining is not permitted. Versions of  
3559 this specification prior to Revision 21 allowed a value of 0xFF to be interpreted as ‘forever’. Version 21 and later do  
3560 not allow this. All devices conforming to this specification SHALL interpret 0xFF as 0xFE Devices that wish to extend  
3561 the PermitDuration beyond 0xFE seconds SHALL periodically re-send the Mgmt\_Permit\_Joining\_req.

3562 If a second Mgmt\_Permit\_Joining\_req is received while the previous one is still counting down, it will supersede the  
3563 previous request.

3564 A value of zero for the TC\_Significance field has been deprecated. The field SHALL always be included in the mes-  
3565 sage and all received frames SHALL be treated as though set to 1, regardless of the actual received value. In other  
3566 words, all Mgmt\_Permit\_Joining\_req SHALL be treated as a request to change the TC Policy.

3567 If the remote device is the Trust Center the Trust Center authorization policy MAY be affected. Whether the Trust  
3568 Center accepts a change in its authorization policy is dependent upon its Trust Center policies. A Trust Center device  
3569 receiving a Mgmt\_Permit\_Joining\_req SHALL execute the procedure in section 4.7.3.4 to determine if the request is  
3570 permitted. If the operation was not permitted, the status code of INV\_REQUESTTYPE SHALL be set. If the operation  
3571 was allowed, the status code of SUCCESS SHALL be set.

3572 If the Mgmt\_Permit\_Joining\_req primitive was received as a unicast, the results of the NLME-PERMIT-JOINING.re-  
3573 quest SHALL be reported back to the local device via the Mgmt\_Permit\_Joining\_rsp command. If the command was  
3574 received as a broadcast, no response SHALL be sent back.

3575 Prior to Revision 23 the TLV Data was never present. With Revision 23 and beyond, the TLV Data field can be pre-  
3576 sent depending on whether the message was initiated by a Revision 23 device. Devices prior to Revision 23 SHALL  
3577 ignore the TLV Data field on receipt and will never transmit the message with this field present.

3578 If the Beacon Appendix Encapsulation Global TLV is present the receiver SHALL store all Global TLVs from the  
3579 TLV Data in the *nwkNetworkWideBeaconPayloadTLVs* of the NIB, the Beacon Appendix Encapsulation Global TLV  
3580 container SHALL not be stored. If Local TLVs are stored inside the Beacon Appendix Encapsulation TLV they  
3581 SHALL be discarded and not stored in the *nwkNetworkWideBeaconPayloadTLVs*. If the Beacon Appendix Encap-  
3582 sulation Global TLV Data has no data inside it, the receiver SHALL clear the contents of the *nwkNetwork-*  
3583 *WideBeaconPayloadTLVs* of the NIB .If the Beacon Appendix Encapsulation Global TLV is not present, then no  
3584 changes are made to the contents of the *nwkNetworkWideBeaconPayloadTLVs* of the NIB.

3585 **2.4.3.3.8 Mgmt\_Cache\_req – DEPRECATED**

3586 **2.4.3.3.9 Mgmt\_NWK\_Update\_req**

3587 This command only supports the 2.4 GHz channel list. For other channels, see the Mgmt\_NWK\_Enhanced\_Up-  
3588 date\_req.

3589 The Mgmt\_NWK\_Update\_req command (ClusterID=0x0038) SHALL be formatted as illustrated in Figure 2-37.

Octets: 4	1	0/1	0/1	0/2
ScanChannels	ScanDuration	ScanCount	<i>nwkUpdateId</i>	<i>nwkManagerAddr</i>

**Figure 2-37. Fields of the Mgmt\_NWK\_Update\_req Command Frame**

Table 2-64 specifies the fields of the Mgmt\_NWK\_Update\_req command frame.

**Table 2-64. Fields of the Mgmt\_NWK\_Update\_req Command**

Name	Type	Valid Range	Description
ScanChannels	Bitmap	32-bit field	See Table 3-7 for details on the 32-bit field structure..
ScanDuration	Integer	0x00 – 0x05 or 0xfe or 0xff	A value used to calculate the length of time to spend scanning each channel. The time spent scanning each channel is ( <i>aBaseSuperframeDuration</i> * (2 <sup>n</sup> + 1)) symbols, where n is the value of the ScanDuration parameter. For more information on MAC sub-layer scanning (see [B1]).  If ScanDuration has a value of 0xfe this is a request for channel change. If ScanDuration has a value of 0xff this is a request to change the <i>apsChannelMaskList</i> and <i>nwkManagerAddr</i> attributes.
ScanCount	Integer	0x00 – 0x01	This field represents the number of energy scans to be conducted and reported.  This field SHALL be present only if the ScanDuration is within the range of 0x00 to 0x05.
nwkUpdateId	Integer	0x00 – 0xFF	The value of the <i>nwkUpdateId</i> contained in this request. This value is set by the Network Channel Manager prior to sending the message.  This field SHALL only be present if the ScanDuration is 0xfe or 0xff. If the ScanDuration is 0xff, then the value in the <i>nwkUpdateID</i> SHALL be ignored.
nwkManagerAddr	Device Address	16-bit NWK address	This field SHALL be present only if the ScanDuration is set to 0xff, and, where present, indicates the NWK address for the device with the Network Manager bit set in its Node Descriptor.

#### 2.4.3.3.9.1 When Generated

This command is provided to allow updating of network configuration parameters or to request information from devices on network conditions in the local operating environment. The destination addressing on this primitive SHALL be unicast or broadcast to all devices for which macRxOnWhenIdle = TRUE.

#### 2.4.3.3.9.2 Effect on Receipt

This section applies to both Mgmt\_NWK\_Update\_req and Mgmt\_NWK\_Enhanced\_Update\_req.

- 3599 If Mgmt\_NWK\_Enhanced\_Update\_req is received and the server for it is not present, the device SHALL respond  
3600 with NOT\_SUPPORTED.
- 3601 This command can cause the remote device to update its channel mask and network manager address, perform a  
3602 channel change, or execute a channel scan. Processing is as follows.
- 3603 1) If the received message is Mgmt\_NWK\_Update\_req, the local device SHALL construct a ChannelListStructure  
3604 for page 0 from the ScanChannels bitmap.
- 3605 a) Continue processing.
- 3606 2) If the received message is Mgmt\_NWK\_Enhanced\_Update\_req, the local device SHALL construct a  
3607 ChannelListStructure from the ScanChannelsListStructure.
- 3608 a) Continue processing.
- 3609 3) If the ScanDuration parameter is equal to 0xfe, the message is a command to change channels. The device SHALL  
3610 do the following.
- 3611 a) If the nwkNextChannelChange value in the NIB is non-zero, do the following. Compare the channel to  
3612 change received over the air to the value in the NIB. If the values do not match, do the following:
- 3613 i) Follow the Error Response procedure setting the status to NOT\_AUTHORIZED.
- 3614 ii) The request SHALL be dropped and no more processing SHALL take place.
- 3615 b) If there is more than 1 channel indicated in the ScanChannels bitmap (if the message is Mgmt\_NWK\_Up-  
3616 date\_req) or in the ScanChannelsListStructure (if the message is Mgmt\_NWK\_Enhanced\_Update\_req), then  
3617 this is an invalid request. Do the following:
- 3618 i) Follow the Error Response procedure setting the status to INV\_REQUESTTYPE.
- 3619 ii) The request SHALL be dropped and no more processing SHALL take place.
- 3620 c) The receiving device SHALL determine if the channel is one within the range of all supported channels.
- 3621 i) Examine the SupportedChannels element for each entry in the nwkMacInterfaceTable, and determine if  
3622 there is a match within the received ScanChannels bitmap or ScanChannelsListStructure.
- 3623 ii) If no match is found, do the following:
- 3624 (1) Follow the Error Response procedure setting the status to INV\_REQUESTTYPE.
- 3625 (2) The request SHALL be dropped and no more processing SHALL take place.
- 3626 iii) If a match is found, perform a channel change.
- 3627 (1) Execute a MLME-SET.request for the PIB value phyCurrentPage.
- 3628 (2) Execute a MLME-SET.request for the PIB value phyCurrentChannel.
- 3629 (3) No further processing SHALL be done.
- 3630 4) If the ScanDuration parameter is equal to 0xff, the command provides a new apsChannelMaskList along with a  
3631 new nwkManagerAddr. The device SHALL do the following:
- 3632 a) If the *apsTrustCenterAddress* of the AIB is set to a value other than 0xFFFFFFFFFFFFFF (distributed  
3633 security network) and the nwkManagerAddr in the request is not 0x0000, the request SHALL be dropped  
3634 and no more processing SHALL be done.
- 3635 b) If the received command is Mgmt\_NWK\_Update\_req, set the apsChannelMaskList in the AIB to the value  
3636 of the ScanChannels bitmap in the request.
- 3637 c) If the received command is a Mgmt\_NWK\_Enhanced\_Update\_req, use the value of the ScanChann-  
3638 elsListStructure in the request , to update the apsChannelMaskList in the AIB.
- 3639 d) Execute an NMLE-SET.request setting the nwkManagerAddr in the NIB to the value of the nwkMan-  
3640 agerAddr in the request.

- 3641       e) No more processing shall be done.
- 3642     5) If the ScanDuration parameter is between 0x00 and 0x05, it is a request to do a channel scan. The device SHALL  
3643       do the following:
- 3644        a) If the request was not unicast, the request SHALL be dropped and no more processing SHALL be done.
- 3645        b) For each entry in the nwkMacInterfaceTable, examine the SupportedChannels element and determine if there  
3646        is a match.
- 3647        i) If no match is found, do the following:
- 3648           (1) Follow the Error Response procedure setting in section 2.4.3.3.9.3.
- 3649           (2) The request SHALL be dropped and no more processing SHALL be done.
- 3650        c) If the request is a Mgmt\_NWK\_Enhanced\_Update\_req and the ScanChannelsListStructure includes more  
3651        than one page, do the following:
- 3652           i) Follow the Error Response procedure setting the status to INV\_REQUESTTYPE.
- 3653           ii) The request SHALL be dropped and no more processing SHALL be done.
- 3654        d) If a match is found, perform an Energy Detect Scan on the requested channels. The following procedure  
3655        SHALL be executed a number of times equal to the ScanCount.
- 3656        i) Execute a MLME-SCAN.request as follows.
- 3657           (a) ScanType SHALL be set to ENERGY.
- 3658           (b) ScanChannels SHALL be set to the matching channels in the current page.
- 3659           (c) ChannelPage SHALL be set to the current page.
- 3660           (d) ScanDuration SHALL be set to the ScanDuration in the request.
- 3661        ii) If the received message is a Mgmt\_NWK\_Update\_req, on receipt of the MLME-SCAN.confirm, generate  
3662        a Mgmt\_NWK\_Update\_notify with the status of the MLME-SCAN.confirm.
- 3663        iii) If the received message is a Mgmt\_NWK\_Enhanced\_Update\_req, on receipt of the MLME-SCAN.confirm,  
3664        generate a Mgmt\_NWK\_Enhanced\_Update\_notify with the status of the MLME-SCAN.confirm..
- 3665     6) If the ScanDuration is any other value, the device SHALL do the following.
- 3666       a) Execute the Error Response Procedure setting the status to INV\_REQUESTTYPE.
- 3667       b) No further processing SHALL be done.

#### 3668       2.4.3.3.9.3   **Error Response Procedure**

3669       If it is determined that the error response procedure SHALL be executed, the device SHALL do the following:

- 3670       1) If the request was broadcast, no response SHALL be generated.
- 3671       2) If the request was unicast, a response SHALL be generated as follows:
- 3672        a) Set the status according to the result of the operation.
- 3673        b) If the request was a Mgmt\_NWK\_Update\_req, generate a Mgmt\_NWK\_Update\_notify.
- 3674        c) If the request was a Mgmt\_NWK\_Enhanced\_Update\_req, generate a  
3675        Mgmt\_NWK\_Enhanced\_Update\_notify.

#### 3676       2.4.3.3.10   **Mgmt\_NWK\_Enhanced\_Update\_req**

3677       The Mgmt\_NWK\_Enhanced\_Update\_req command (ClusterID=0x0039) SHALL be formatted as illustrated in Figure  
3678       2-38.

Variable	1	0/1	0/1	0/2	0/1
ScanChannelListStructure	ScanDuration	ScanCount	<i>nwkUpdateId</i>	<i>nwkManagerAddr</i>	ConfigurationBitmask

3679

**Figure 2-38. Fields of the Mgmt\_NWK\_Enhanced\_Update\_req**

3680

Table 2-65 specifies the fields of the Mgmt\_NWK\_Enhanced\_Update\_req command frame.

3681

**Table 2-65. Field Descriptions of the Mgmt\_NWK\_Enhanced\_Update\_req**

Name	Type	Valid Range	Description
ScanChannelsListStructure	ChannelListStructure	Variable	The list of channels and pages over which the scan is to be done. For more information on the Channel List structure see section 3.2.2.2.1. If ScanDuration is in the range 0x00 to 0x05, this parameter SHALL be restricted to a single page.
ScanDuration	Integer	0x00 – 0x05 or 0xfe or 0xff	A value used to calculate the length of time to spend scanning each channel. The time spent scanning each channel is (aBaseSuperframeDuration * (2 <sup>n</sup> + 1)) symbols, where n is the value of the ScanDuration parameter. For more information on MAC sub-layer scanning (see [B1]). If ScanDuration has a value of 0xfe this is a request for channel change. If ScanDuration has a value of 0xff this is a request to change the <i>ap-ChannelMaskList</i> and <i>nwkManagerAddr</i> attributes.
ScanCount	Integer	0x00 – 0x01	This field represents the number of energy scans to be conducted and reported. This field SHALL be present only if the ScanDuration is within the range of 0x00 to 0x05.
<i>nwkUpdateId</i>	Integer	0x00 – 0xFF	The value of the <i>nwkUpdateId</i> contained in this request. This value is set by the Network Channel Manager prior to sending the message. This field SHALL only be present if the ScanDuration is 0xfe or 0xff.

Name	Type	Valid Range	Description
			If the ScanDuration is 0xff, then the value in the <i>nwkUpdateID</i> SHALL be ignored.
nwkManagerAddr	Device Address	16-bit NWK address	This field SHALL be present only if the ScanDuration is set to 0xff, and, where present, indicates the NWK address for the device with the Network Manager bit set in its Node Descriptor.
ConfigurationBitmask			<p>Defined in defined in section 2.4.3.3.12.</p> <p>The configurationBitmask must be added to the end of the list of parameters. This octet may or may not be present.</p> <p>If not present then assumption should be that it is enhanced active scan.</p> <p>If present then the configuration bitmask shall indicate the type of scan required.</p>

3682 2.4.3.3.10.1 **When Generated**

3683 This command is provided to allow updating of network configuration parameters or to request information from  
 3684 devices on network conditions in the local operating environment. The destination addressing on this primitive  
 3685 SHALL be unicast or broadcast to all devices for which macRxOnWhenIdle = TRUE.

3686 2.4.3.3.10.2 **Effect on Receipt**

3687 Follow the procedure in .

3688 2.4.3.3.11 **Mgmt\_NWK\_IEEE\_Joining\_List\_req**

3689 The Mgmt\_NWK\_IEEE\_Joining\_List\_req command is provided as a mechanism to obtain the list of IEEE addresses  
 3690 that are EXPECTED to be joining the network. This allows the local router to filter Enhanced Beacon Requests and  
 3691 only respond to the devices that are joining.

3692 The Mgmt\_NWK\_IEEE\_Joining\_List\_req (Cluster ID 0x003A) command SHALL be formatted as illustrated in Fig-  
 3693 ure 2-39.

3694

<b>Octets: 1</b>
startIndex

**Figure 2-39. Fields of the Mgmt\_NWK\_IEEE\_Joining\_List\_req**

3695  
3696 Table 2-66 describes the fields of the Mgmt\_NWK\_IEEE\_Joining\_List\_req command.

**Table 2-66. Field Descriptions of the Mgmt\_NWK\_IEEE\_Joining\_List\_req**

Name	Type	Valid Range	Description
startIndex	Integer	0x00 – 0xFF	The starting index into the receiving device's nwkIeeeJoiningList that SHALL be sent back.

3698     **2.4.3.3.11.1 When Generated**

3699     The Mgmt\_NWK\_IEEE\_Joining\_List\_req is generated from a local device requesting to get the mibJoinPolicyTable  
3700    after being authenticated on the network.

3701     **2.4.3.3.11.2 Effect on Receipt**

3702     This command was introduced in R22 of this specification. It is mandatory for all Coordinator and Router devices to  
3703    implement this going forward but older stack versions SHALL return a ZDO Status of NOT\_SUPPORTED upon  
3704    receipt of this command.

3705     The following procedure SHALL be executed upon receipt of this command.

- 3706       1) If this request is broadcast, the message shall be dropped and no further processing SHALL be done.
- 3707       2) The device SHALL obtain the *mibJoiningIeeeList* and *mibJoiningPolicy* from one of its currently enabled MAC  
3708       Interfaces.
  - 3709           a) Examine the *nwkMacInterfaceTable* and obtain an entry where State is set to ENABLED.
  - 3710           b) Execute an MLME-GET.request for *mibJoiningIeeeList* and *mibJoiningPolicy*.
- 3711       3) If the *mibIeeeJoiningList* is empty, then a Mgmt\_NWK\_IEEE\_Joining\_List\_rsp SHALL be generated as follows.
  - 3712           a) Status SHALL be set to SUCCESS.
  - 3713           b) JoiningPolicy SHALL be set to the value of the *mibJoiningPolicy*.
  - 3714           c) IeeeJoiningListTotal SHALL be set to 0.
  - 3715           d) Unicast the response back to the sender of the Mgmt\_NWK\_IEEE\_Joining\_List\_req.
  - 3716           e) No further processing SHALL be done.
- 3717       4) The device SHALL examine the StartIndex field and determine if it is less than the length of the *mibJoiningIeeeList*. If it is not, it SHALL do the following:
  - 3718           a) A Mgmt\_NWK\_IEEE\_Joining\_List\_rsp SHALL be generated with a Status value of INVALID\_INDEX. No other fields shall be appended.
  - 3721           b) Unicast the response back to the sender of the Mgmt\_NWK\_IEEE\_Joining\_List\_req.
  - 3722           c) No further processing SHALL be done.
- 3723       5) The device SHALL generate a Mgmt\_NWK\_IEEE\_Joining\_List\_rsp.

- 3724      a) Set the Status value to SUCCESS.  
 3725      b) Set the JoiningPolicy in the response to the previously obtain value of mibJoiningPolicy.  
 3726      c) Set the StartIndex of the response packet equal to the value of the StartIndex in the request packet.  
 3727      d) Copy complete IEEE addresses from the mibJoiningIeeeList to the IeeeJoiningList, from the Start Index,  
 3728      filling the payload of the packet up to the MTU.  
 3729      e) Set the IeeeJoiningListTotal to the number of complete entries that were copied.  
 3730      f) Unicast the response back to the sender of the Mgmt\_NWK\_IEEE\_Joining\_List\_req.

### 2.4.3.3.12 **Mgmt\_NWK\_Beacon\_Survey\_req**

3732 This command can be used by a remote device to survey the end devices to determine how many potential parents  
 3733 they have access to. The Mgmt\_NWK\_Beacon\_Survey\_req command (cluster ID 0x003c) SHALL be formatted as  
 3734 described in Figure 2-40.

<b>Octets: Varies</b>
TLVs

**Figure 2-40. Format of the Mgmt\_NWK\_Beacon\_Survey\_req**

3736 Table 2-67 describes the fields of the Mgmt\_NWK\_Beacon\_Survey\_req command.

**Table 2-67. Fields of the Mgmt\_NWK\_Beacon\_Survey\_req**

Name	Type	Valid Range	Description
TLVs	TLV	Varies	The following TLVs SHALL be included in the Mgmt_NWK_Beacon_Survey_req: <ul style="list-style-type: none"> <li>• Beacon Survey Configuration TLV</li> </ul>

#### 2.4.3.3.12.1 **Beacon Survey Configuration TLV**

3739 The Beacon Survey Configuration TLV (ID=0) is variable in length and contains information about the channels and  
 3740 scan configuration used when performing a beacon survey. The format is listed in Figure 2-41.

<b>Octets: Variable</b>	<b>1</b>
ScanChannelListStructure	ConfigurationBitmask

**Figure 2-41. Format of the Beacon Survey Configuration TLV**

##### 2.4.3.3.12.1.1 ScanChannelsListStructure

Name	Type	Valid Range	Description
ScanChannelsListStructure	Channel-ListStructure	Variable	The list of channels and pages over which the scan is to be done. For more information on the Channel List structure see section 3.2.2.2.1.

##### 2.4.3.3.12.1.2 Configuration Bitmask

3744 This field indicates parameters of the Mgmt\_NWK\_Beacon\_Survey\_req. The Configuration bitmask enumerated values are specified in Table 2-68.

3746 **Table 2-68. Configuration Bitmask Values**

Bit	Name	Description
0	Active or Enhanced Scan	This bit determines whether to do an Active Scan or Enhanced Active Scan. When the bit is set to 1 it indicates an Enhanced Active Scan. And in case of Enhanced Active scan EBR shall be sent with EPID filter instead of PJOIN filter.
1 – 7	Reserved	-

3747 **2.4.3.3.12.2 When Generated**

3748 This is generated by a remote device that wants to learn how many potential parents a Zigbee End Device has. The  
3749 message SHALL be sent as a unicast to a single target device.

3750 **2.4.3.3.12.3 Effect on Receipt**

3751 The processing of the Mgmt\_NWK\_Beacon\_Survey\_req SHALL be done as follows:

- 3752 1) If the command was broadcast it SHALL be dropped and no further processing SHALL be done.
- 3753 2) If the command does not contain the mandatory TLVs listed in Figure 2-40. Format of the  
3754 **Mgmt\_NWK\_Beacon\_Survey\_req**
- 3755 Table 2-67 describes the fields of the Mgmt\_NWK\_Beacon\_Survey\_req command.
- 3756 3) Table 2-67 then a Mgmt\_Beacon\_Survey\_rsp SHALL be generated with a status of MISSING\_TLV and no  
3757 further processing SHALL be done.
- 3758 4) If the command is received by a coordinator, the coordinator SHALL reject the command. The coordinator does  
3759 not perform rejoins and thus does not need to be surveyed in this manner.
  - 3760 a) The coordinator shall construct a Mgmt\_NWK\_Beacon\_Survey\_rsp with a status field value of  
3761 NOT\_PERMITTED and no further payload fields. It SHALL unicast the response back to the sender and  
3762 no further processing SHALL be done.
- 3763 5) Construct a Beacon Survey Results TLV with all sub-fields set to 0.
- 3764 6) Construct a Potential Parent TLV.
  - 3765 a) If the device is an End Device, set the Current parent value to the Short Address of its parent.
  - 3766 b) If the device is a Router, set the current parent to 0xFFFF.
- 3767 7) If the Configuration field in the Beacon Survey Configuration TLV indicates Enhanced Active Scan and the  
3768 local device does not support ENHANCED\_ACTIVE, then a Mgmt\_Beacon\_Survey\_rsp SHALL be generated  
3769 with a status of INV\_REQUESTTYPE and no further processing SHALL be done.
- 3770 8) Execute an MLME-SCAN.request with the following parameters:
  - 3771 a) If the Configuration field in the Beacon Survey Configuration TLV indicates Enhanced Active Scan, set the  
3772 ScanType to ENHANCED\_ACTIVE. Otherwise set to ACTIVE.
  - 3773 b) ScanChannels set to the list of channels contained in the Beacon Survey Configuration TLV.
- 3774 9) Upon receipt of the MLME-BEACON-NOTIFY.indication process the beacons as follows:
  - 3775 a) Increment the Total Beacons Field by 1.
  - 3776 b) For each beacon that has a Zigbee Beacon Payload and the Extended PAN ID field of that beacon payload  
3777 is equal to the nwkExtendedPanId, do the following:
    - 3778 i) Increment the On-Network Beacons field.
    - 3779 ii) If the End Device Capacity of the Zigbee Beacon Payload is TRUE, increment the Potential Parent  
3780 Beacons field by 1.
  - 3781 c) If there is no Zigbee Beacon Payload or the Extended PAN ID does not match the nwkExtendedPanId, do  
3782 the following:
    - 3783 i) Increment the Other Network Beacons field by 1.

- 3784           d) Evaluate the beacon, potentially adding it to the Discovery Table (nwkDiscoveryTable).  
 3785           e) If any of the above values reach 255, they SHALL NOT wrap and be set to 255.  
 3786       10) Add up to 5 devices into the Potential Parent TLV from the contents of the nwkDiscoveryTable. Update the  
 3787           Count of Potential Parents accordingly.  
 3788       11) Generate a ZDO Mgmt\_NWK\_Beacon\_Survey\_rsp to the sender of the request with the following TLVs  
 3789           a) Beacon Survey Results TLV.  
 3790           b) Potential Parents TLV  
 3791           c) Pan ID Conflict Report Global TLV  
 3792              i) If the device is an End Device and does not support this NIB value, this TLV may be omitted.  
 3793              ii) Note: The nwkPanIdConflictCount value in the NIB SHALL NOT be reset to 0.  
 3794       12) Discard the results stored in the *nwkDiscoveryTable*.

#### 3795       **2.4.3.4     Security Client Services**

3796       Security Client Services allow devices to configure security policies, retrieve security policies, negotiate keys, and  
 3797       update security tokens. Table 2-69 lists the commands supported by the Device Profile related to Security Client ser-  
 3798       vices.

3799       **Table 2-69. Security Client Services Commands**

Security Client Services	Cluster ID	Client Transmission	Server Processing	Restricted Command
Security_Start_Key_Negotiation_req	0x0040	O	O	No
Security_Retrieve_Authentication_Token_req	0x0041	O	O	No
Security_Get_Authentication_Level_req	0x0042	O	O	No
Security_Set_Configuration_req	0x0043	O	M	No
Security_Get_Configuration_req	0x0044	O	M	No
Security_Start_Key_Update_req	0x0045	O	M	No
Security_Decommission_req	0x0046	O	M	No
Security_Challenge_req	0x0047	M	M	No

##### 3800       **2.4.3.4.1    Security\_Start\_Key\_Negotiation\_req**

3801       The Security\_Start\_Key\_Negotiation\_req command (0x0040) shall be formatted as illustrated in Figure 2-42. This  
 3802       command SHALL NOT be APS encrypted regardless of whether sent before or after the device joins the network.

3803       This command SHALL be network encrypted if the device has a network key, i.e. it has joined the network earlier  
 3804       and wants to negotiate or renegotiate a new link key; otherwise, if it is used prior to joining the network, it SHALL  
 3805       NOT be network encrypted.

<b>Octets: Variable</b>
TLVs

3806       **Figure 2-42. Format of the Security\_Start\_Key\_Negotiation\_req Command**

3807       Table 2-70 describes the fields of the Security\_Start\_Key\_Negotiation\_req command.

3808

3809

**Table 2-70. Fields of the Security\_Start\_Key\_Negotiation\_req Command**

Name	Type	Valid Range	Description
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this release of the specification: <ul style="list-style-type: none"> <li>• Curve25519 Public Point TLV</li> </ul> Other TLVs may be included.

3810 2.4.3.4.1.1 **Local TLVs**3811 2.4.3.4.1.2 **Curve25519 Public Point TLV (ID=0)**

3812 Figure 2-43 indicates the format of the Curve25519 Public Point TLV.

<b>Octets: 8</b>	<b>32</b>
Device EUI64	Public Point

**Figure 2-43. Format of the Curve25519 Public Point TLV**

3814 Table 2-71 describes the fields of the Curve25519 Public Point TLV.

**Table 2-71. Fields of the Curve25519 Public Point TLV**

Field	Description
Device EUI64	This indicates the EUI64 of the device that generated the public point.
Public Point	The 32-byte Curve public point.

3816 2.4.3.4.1.3 **When Generated**3817 The Security\_Start\_Key\_Negotiation\_req is generated from a local device that wants to start negotiation of an en-  
3818 encryption key. Typically, this is used to negotiate a Trust Center Link Key during the joining process prior to becom-  
3819 ing fully authorized on the network. However, it can be used after joining a network as well. Refer to section 4.6.3.5.3820 The security primitives for key negotiation are the APSME-KEY-NEGOTIATION primitives and are used by the  
3821 stack to manage the process. See section 4.4.9 for more details. Their interaction with the over-the-air messages can  
3822 be found in Figure 4-6.

3823 When negotiating a Trust Center Link Key the device SHALL send at least the following TLV:

- 3824 • Curve25519 Public Point TLV

3825 It is EXPECTED that the sending device has already been told the selected Key Negotiation Protocol and selected  
3826 Pre-Shared Secrets of the target device prior to sending this message. The sending device can learn the Supported  
3827 Key Negotiation Methods in one of two possible ways: (1) in case of on-network key negotiation, the device sends  
3828 first a Node Descriptor Request advertising its own supported key negotiation methods and the Node Descriptor Re-  
3829 sponse will contain the selected Key Negotiation Protocol and selected Pre-Shared secret; (2) in case of off-network  
3830 key negotiation, the Trust Center sends a Security Start Key Update Request with the selected Key Negotiation Pro-  
3831 tocol and selected Pre-Shared secret, after it has received the TLVs conveyed in a Network Commissioning request.  
3832 If the sending device supports multiple mechanisms, via implementation-specific configuration it SHALL choose  
3833 one that is supported by the target device.

3834 2.4.3.4.1.4 **Effect on receipt**

3835 The Device EUI64 within the Curve25519 Public Point TLV SHALL represent the EUI64 of the device that is re-  
 3836 questing the key negotiation with the receiving device. The processing of the message SHALL be done as follows:

- 3837 1. Execute the General TLV Processing Rules in Annex I
  - a. If the outcome is to reject the message, do the following.
    - i. If the message was broadcast, no response is generated.
    - ii. If the message is unicast, a Security\_Key\_Negotiation\_rsp SHALL be generated with a status as returned by the General TLV Processing rules Key Exchange. The response SHALL be sent back to the sender of the Security\_Retrieve\_Authentication\_Token\_req.
    - iii. No further processing SHALL be done.
  - b. Otherwise, continue processing.
- 3845 2. If the Curve25519 Public Point TLV is not present, then a ZDO Security\_Key\_Negotiation\_rsp SHALL be generated with a status of MISSING\_TLV.
- 3847 3. Generate an APSME-KEY-NEGOTIATION.indication with the following parameters:
  - a. The RequestedKeyNegotiationMethod SHALL be set to the value conveyed in the Node\_Desc\_rsp Selected Key Negotiation Method TLV or Security\_Start\_Key\_Update\_req Selected Key Negotiation Method TLV.
  - b. The PartnerLongAddress SHALL be set to the Device EUI64 within the Curve25519 Public Point TLV.
  - c. The PublicPointData SHALL be set to the public point from the Curve25519 Public Point TLV.
  - d. If the ZDO frame was contained within an APS Command Relay Message Downstream, then it SHALL do the following
    - i. Set RelayCommand to TRUE
    - ii. Set RelayLongAddress to the address of the Device that sent the Network Data frame.

3851 2.4.3.4.2 **Security\_Retrieve\_Authentication\_Token\_req**

3852 The Security\_Retrieve\_Authentication\_Token\_req command (0x0041) shall be formatted as illustrated in Figure  
 3853 2-44. This command SHALL be APS encrypted.

Octets: Variable
TLVs

3860 **Figure 2-44. Format of the Security\_Retrieve\_Authentication\_Token\_req Command**

3861 Table 2-72 describes the fields of the Security\_Start\_Key\_Negotiation\_req command.

3862 **Table 2-72. Fields of the Security\_Retrieve\_Authentication\_Token\_req Command**

Name	Type	Valid Range	Description
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this release of the specification: <ul style="list-style-type: none"> <li>• Authentication Token ID TLV</li> </ul> Other TLVs may be included.

3863 This command is used to retrieve a security token that can be used for future authentication exchanges. Security tokens  
 3864 could take multiple forms such as certificates, public keys, or symmetric passphrase. As of this Revision of this  
 3865 specification, only a symmetric passphrase is supported. The current use of this command is to obtain a new pass-  
 3866 phrase token. The passphrase token is intended to be good for the life of the device on that network. Previously, the  
 3867 device SHALL have been added to the keytable of the Trust Center during the APS update device. Once the device  
 3868 has obtained a new passphrase, replacing either a well-known pre-shared secret or one derived from an install code

3869 or passcode, it is locked down and not allowed to be replaced automatically. A Trust Center MAY administratively  
 3870 reset the device's security and thus allow it to join again and get a new token.

3871 The passphrase used to join the network is intended to be used only once and then the device SHALL update it. The  
 3872 initial passphrase is either well-known (unauthenticated) or is the install code derived link key (authenticated). Once  
 3873 passphrase is updated it is never intended to be changed again for the life of the device on the network. The key ne-  
 3874 gotiation leverages the passphrase and the devices need to avoid a circumstance where there is a passphrase mis-  
 3875 match, which could prevent the devices from ever successfully negotiating a symmetric link key again.

3876 **2.4.3.4.2.1 Local TLVs**

3877 **2.4.3.4.2.2 Authentication Token ID TLV (ID=0)**

3878 The Authentication Token ID TLV is formatted as shown in Figure 2-45.

Octets: 1
TLV Type Tag ID

3879 **Figure 2-45. Authentication Token ID TLV**

3880 Table 2-73 describes the fields of the Authentication Token ID TLV.

3881 **Table 2-73. Requested Token ID TLV**

Field	Description
TLV Type Tag ID	The Global TLV Type Tag ID being requested for an authentication token.

3882 **2.4.3.4.2.3 When Generated**

3883 This command is used to request a unique device specific authentication token that can be used for future key re-  
 3884 negotiation. This token can be used across a replacement of the Trust Center.

3885 A device SHALL include the authentication token type that it supports by sending the Authentication Token ID  
 3886 TLV with the Global TLV Type Tag ID. The only supported authentication token in this specification is 128-bit  
 3887 Symmetric Passphrase Global TLV.

3888 By sending the TLV Type Tag ID this potentially allows a future specification to use alternate tokens. For example,  
 3889 the Type Tag ID requested could be an operational certificate and the Trust Center could sign the ephemeral public  
 3890 key the joiner used during joining and then send it back to the device.

3891 Authentication tokens are only updated with this command by a device requesting one from the Trust Center. This is  
 3892 not used for Partner Link Key Negotiation.

3893 **2.4.3.4.2.4 Effect on receipt**

3894 Upon receipt, a device that is not the Trust Center SHALL respond with a Security\_Retrieve\_Authentication\_To-  
 3895 ken\_rsp with a status of NOT\_SUPPORTED and no further processing SHALL be done. If the received message is  
 3896 not APS encrypted, or it is a broadcast, then the message SHALL be dropped and no further processing SHALL be  
 3897 done.

3898 Obtaining a security token of a specific type SHALL only be done once during join. The token is intended to be  
 3899 good for the life of the device on that network. In this Revision of the specification, only the 128-bit Symmetric  
 3900 Passphrase is a valid token type, but to allow for future security extensions, obtaining a security token of a different  
 3901 type may be permitted, based on the Trust Center policy. Previously, the device SHALL have been added to the key-  
 3902 table during the APS update device. Once the device has obtained a new passphrase, replacing either a well-known  
 3903 pre-shared secret or one derived from an install code, it is locked down and not allowed to be replaced automati-  
 3904 cally. A Trust Center MAY administratively reset the device's security and thus allow it to join again and get a new  
 3905 token.

3906

3907 The Trust Center SHALL perform the following:

- 3908 1. Execute the General TLV Processing Rules in Annex I.
  - 3909 a. If the outcome is to reject the message, do the following:
    - 3910 i. If the message was broadcast, no response is generated.
    - 3911 ii. If the message is unicast, a Security\_Retrieve\_Authentication\_Token\_rsp SHALL be generated with a status of INVALID\_TLV. The response SHALL be sent back to the sender of the Security\_Retrieve\_Authentication\_Token\_req.
    - 3912 iii. No further processing SHALL be done.
  - 3913 b. Otherwise, continue processing.
- 3914 2. The Trust Center SHALL search *apsDeviceKeyPairSet* table in the AIB for an entry that matches the EUI64 of the request.
  - 3915 a. If none is found then a Security\_Retrieve\_Authentication\_Token\_rsp SHALL be generated to the requesting device with a status of NOT\_PERMITTED, and no further processing SHALL be done.
  - 3916 b. Otherwise, continue processing.
- 3917 3. If the Authentication Token ID TLV is not present then the following steps SHALL be done.
  - 3918 a. A Security\_Retrieve\_Authentication\_Token\_rsp SHALL be generated to the requesting device with a status of INVALID\_TLV, and no further processing SHALL be done.
- 3919 4. The Trust Center SHALL examine the TLV Tag ID in the Authentication Token ID TLV received in the message.
  - 3920 a. If the TLV Tag ID is not 69, 128-bit Symmetric Passphrase Global TLV then a Security\_Retrieve\_Authentication\_Token\_rsp SHALL be generated to the requesting device with a status of INV\_REQUESTTYPE, and no further processing SHALL be done.
- 3921 5. The Trust Center SHALL examine the value of PassphraseUpdateAllowed for the entry of the *apsDeviceKeyPairSet*.
  - 3922 a. If this value is set to FALSE then a Security\_Retrieve\_Authentication\_Token\_rsp SHALL be generated to the requesting device with a status of NOT\_PERMITTED, and no further processing SHALL be done.
  - 3923 b. Otherwise, continue processing.
- 3924 6. The Trust Center SHALL generate a random 128-bit number with a cryptographically secure random number generator.
- 3925 7. The Trust Center SHALL store the value as the Passphrase value for the associated entry of the *apsDeviceKeyPair* table AIB value.
- 3926 8. The Trust Center SHALL construct a 128-bit Symmetric Passphrase Global TLV containing the value.
- 3927 9. The Trust Center SHALL generate a Security\_Retrieve\_Authentication\_Token\_rsp to the sender of the request with a status of SUCCESS and the created TLV.
- 3928 10. The Trust Center SHALL set the PassphraseUpdateAllowed value to FALSE for the associated entry of the *apsDeviceKeyPair* table AIB value.

#### 3943 2.4.3.4.3 **Security\_Get\_Authentication\_Level\_req**

3944 This command allows a device to query the trust center about a 3rd party device to determine how it is authenticated  
 3945 on the network. This enables the querying device to determine if that 3rd party has the minimum required authenti-  
 3946 cation level for application communication.

3947 The Security\_Get\_Authentication\_Level\_req command (ClusterID=0x0042) shall be formatted as illustrated in Fig-  
 3948 ure 2-46. It SHALL have APS encryption.

Octets: Variable
TLVs

3949 **Figure 2-46. Format of the Security\_Get\_Authentication\_Level\_req Command**

3950 Table 2-74 describes the fields of the Security\_Get\_Authentication\_Level\_req command.

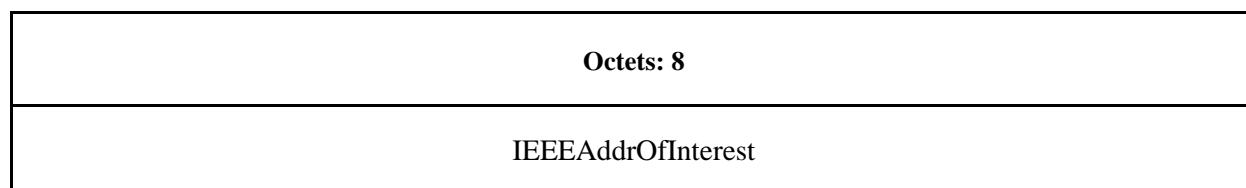
3951

**Table 2-74 Fields of the Security\_Get\_Authentication\_Level\_req Command**

Name	Type	Valid Range	Description
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this release of the specification: <ul style="list-style-type: none"> <li>• Target IEEE Address TLV</li> </ul> Other TLVs may be included.

3952 2.4.3.4.3.1 **Local TLVs**3953 2.4.3.4.3.2 **Target IEEE Address TLV (ID=0)**

3954 The format of the Target IEEE Address TLV is shown in Figure 2-47.

3955 **Figure 2-47. Format of the Target IEEE Address TLV**

3956 Table 2-75 specifies the fields of the Target IEEE Address TLV.

3957 **Table 2-75. Fields of the Target IEEE Address TLV**

Name	Type	Valid Range	Description
IEEEAddrOfInterest	64-bit IEEE address	Any	Extended address of the device whose security level is requested.

3958 2.4.3.4.3.3 **When Generated**

3959 The Security\_Get\_Authentication\_Level\_req is generated by the local device wishing to find out the authentication level of another device on the network. The command SHALL be unicast to the trust center. This command SHALL be APS encrypted.

3962 2.4.3.4.3.4 **Effect on Receipt**

3963 The following SHALL occur on the receipt of the Security\_Get\_Authentication\_Level\_req.

- 3964 1. If the Security\_Get\_Authentication\_Level\_req command is broadcast it SHALL be dropped and no further processing SHALL be done.
- 3965 2. If the Security\_Get\_Authentication\_Level\_req is not APS encrypted it SHALL be dropped and no further processing SHALL be done.
- 3966 3. If the receiving device is NOT the Trust Center then a Security\_Get\_Authentication\_Level\_rsp SHALL be generated with a status of NOT\_AUTHORIZED and no further processing SHALL be done.
- 3967 4. Execute the General TLV Processing Rules in Annex I.4.7.
- 3968 5. If the Target IEEE Address TLV is not present in the message the receiver SHALL generate a Security\_Authentication\_Level\_rsp with a status of INV\_REQUESTTYPE and no further processing SHALL be done.

- 3973    6. The receiving device SHALL examine the *apsDeviceKeyPairSet* table of the AIB to find the entry matching the  
 3974    IEEEAddrOfInterest present in the Target IEEE Address TLV.  
 3975    a. If no matching entry is found then a Security\_Get\_Authentication\_Level\_rsp SHALL be generated with a  
 3976    status of NO\_MATCH and no further processing SHALL be done.  
 3977    7. If the IEEEAddrRemoteNode is the address of the Trust Center or 0xFFFFFFFFFFFFFF, the receiver SHALL  
 3978    generate a Security\_Authentication\_Level\_rsp with a status of INV\_REQUESTTYPE and no further processing  
 3979    SHALL be done.  
 3980    8. The Device SHALL create a Security\_Get\_Authentication\_Level1\_rsp with the following values:  
 3981      a. Set the status of response to SUCCESS  
 3982      b. Create a Device Authentication Level TLV  
 3983         a. Set the IEEEAddrRemoteNode of the response to the IEEEAddrOfInterest received in the request frame.  
 3984         b. From the matching entry in the *apsDeviceKeyPairSet* table set the InitialJoinMethod value in the Device  
 3985         Authentication Level TLV to the value of the InitialJoinAuthentication value from the AIB entry.  
 3986         c. From the matching entry in the *apsDeviceKeyPairSet* table set the ActiveLinkKeyType value in the  
 3987         Device Authentication Level TLV to the value of the PostJoinKeyUpdateMethod value from the AIB  
 3988         entry.

#### 3989    2.4.3.4.4    **Security\_Set\_Configuration\_req**

3990    The Security\_Set\_Configuration\_req allows the Trust Center to change configuration options for a particular device.

3991    The format of the message is in Figure 2-48. This command SHALL be APS encrypted when operating in a central-  
 3992    ized security network. When operating in a distributed security network the command MAY be APS encrypted.

Octets: Varies
TLVs

3993    **Figure 2-48. Format of the Security\_Set\_Configuration\_req Command**

3994    Table 2-76 specifies the fields of the Security\_Set\_Configuration\_req command.

3995    **Table 2-76. Fields of the Security\_Set\_Configuration\_req Command**

Name	Type	Range	Description
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this Revision of the specification: <ul style="list-style-type: none"> <li>• Next PAN ID Global TLV</li> <li>• Next Channel Change Global TLV</li> <li>• Configuration Parameters Global TLV</li> </ul> Other TLVs may be included.

3996    The fields of the command Security\_Set\_Configuration\_req are specified in Table 2-76. The following TLVs MAY  
 3997    be present:

- 3998    • Next PAN ID Change Global TLV  
 3999    • Next Channel Change Global TLV  
 4000    • Configuration Parameters Global TLV

#### 4001    2.4.3.4.4.1    **Local TLVs**

4002    There are no Local TLVs defined for this command.

4003 2.4.3.4.4.2 **When Generated**

4004 This is generated by the Trust Center when it wants to change configuration settings of the device. In distributed  
4005 security networks, it MAY be generated by any device that wants to change the configuration settings of a remote  
4006 device. In a distributed security network, it is permissible to send this command as a broadcast.

4007 2.4.3.4.4.3 **Effect on Receipt**

4008 When operating in a centralized security network, on receipt of a Security\_Set\_Configuration\_req sent to the broadcast  
4009 address, the device SHALL drop the message and no further processing SHALL be done.

4010 When operating in a centralized security network, on receipt of a Security\_Set\_Configuration\_req from a device that  
4011 is not the Trust Center, the receiving device SHALL generate a Security\_Set\_Configuration\_rsp with a status of  
4012 NOT\_AUTHORIZED. No further processing SHALL be done.

4013 When operating in a distributed network, this command MAY be broadcast or unicast and MAY or MAY NOT be  
4014 APS encrypted. The command is accepted in all those cases.

4015 On receipt of a Security\_Set\_Configuration\_req by the Trust Center, the Trust Center device SHALL generate a Se-  
4016 curity\_Set\_Configuration\_rsp with a status of NOT\_AUTHORIZED. No further processing SHALL be done.

4017 Processing of the message SHALL be done as follows:

4018 1. Execute the General TLV Processing rules in Annex I.

4019 2. If the result of the processing indicates a failure, then do the following.

4020 a. If the command was unicast, the receiver SHALL transmit a Security\_Set\_Configuration\_rsp to the sender  
4021 with the status code as returned from the General TLV Processing rules.

4022 b. If the command was broadcast, no response is generated.

4023 c. For all cases when the TLV processing fails, no further processing SHALL be done.

4024 3. Process the TLVs in the message as follows:

4025 a. Upon receipt of the Configuration Parameters Global TLV, the stack SHALL modify the value of the corre-  
4026 sponding information base value as referenced in Table 4-36.

4027 b. Upon receipt of the Next PAN ID Global TLV, the stack SHALL modify the NIB value of the nwkNextPanId  
4028 according to the value received in the TLV. Setting the nwkNextPanId to the broadcast PAN ID is allowed.  
4029 It indicates that any PAN ID MAY be used as the next PAN ID.

4030 c. Upon receipt of the Next Channel Change Global TLV the stack SHALL modify the NIB value of the  
4031 nwkNextChannelChange in the NIB according to the value received in the TLV if it matches one of the  
4032 Supported Channels of an interface in the nwkMacInterfaceTable.

4033 4. If no TLVs were processed in step 3, do the following:

4034 a. If the command was broadcast, no more processing SHALL take place.

4035 b. If the command was unicast, send a Security\_Set\_Configuration\_rsp with a status MISSING\_TLV to the  
4036 sender of the request.

4037 Note that an Overall Status of NOT\_SUPPORTED for the Security\_Set\_Configuration\_rsp is reserved for stacks prior  
4038 to Revision 23 that do not understand the Security\_Set\_Configuration\_req command at all.

4039 2.4.3.4.5 **Security\_Get\_Configuration\_req**

4040 This command is used by a device to retrieve a remote device's security configuration. The Security\_Get\_Configura-  
4041 tion\_req command (cluster ID = 0x0044) is formatted as illustrated in Figure 2-49.

4042 This command SHALL be APS encrypted in centralized security mode. It MAY be APS encrypted in distributed  
4043 security mode.

4044

<b>Octets: 1</b>	<b>1</b>	<b>...</b>
TLV Count	TLV ID	...

**Figure 2-49. Format of the Security\_Get\_Configuration\_req Command Frame**

Table 2-77 specifies the fields of the Security\_Get\_Configuration\_req command frame.

**Table 2-77. Fields of the Security\_Get\_Configuration\_req Command Frame**

Name	Type	Valid Range	Description
TLV Count	Integer	0 – 255	The number of TLV IDs contained in the message. Note that the maximum value for this count will be dependent on the underlying maximum size of the message as allowed by fragmentation.
TLV ID	Integer	0 – 255	The ID of each TLV that is being requested.

#### 2.4.3.4.5.1 When Generated

This is generated by a device that wants to retrieve the configuration of a remote device.

#### 2.4.3.4.5.2 Effect on Receipt

If the command was broadcast it SHALL be rejected and silently dropped.

In a centralized security network, if the local device receives this command from a remote device that is not the Trust Center the command SHALL be rejected. The receiver SHALL generate a Security\_Get\_Configuration\_rsp with a status of NOT\_AUTHORIZED. No further processing SHALL be done.

The following processing SHALL be done.

1. Construct a Security\_Get\_Configuration\_rsp command with a status of SUCCESS.
2. For each TLV ID listed in the message, the device SHALL determine if the TLV is known to the local device and has a value.
  - a. If the TLV is unknown or the local device has no value for that TLV, it SHALL be skipped and processing will continue with the next TLV. For example, if the device has no Curve25519 Public Point then it would ignore a request for its Curve25519 Public Point TLV.
3. If the TLV ID is equal to the ID of PAN ID Conflict Report Global TLV, then the following SHALL occur.
  - a. Construct a PAN ID Conflict Report Global TLV using the current NIB value of nwkPanIdConflictCount.
  - b. Set the NIB value nwkPanIdConflictCount to 0.
4. The corresponding TLV SHALL be constructed and appended to the ZDO message.
5. If appending the TLV exceeds the MTU for the message then the following SHALL be done.
  - a. Abort processing. Construct and send a Security\_Get\_Configuration\_rsp with a STATUS of FRAME\_TOO\_LARGE and no other payload.
6. Transmit the Security\_Get\_Configuration\_rsp to the sender of the request.

#### 2.4.3.4.6 Security\_Start\_Key\_Update\_req

This command is used by the Trust Center to trigger the receiving device to start its supported link key update mechanism. The Security\_Start\_Key\_Update\_req SHALL NOT be APS encrypted or NWK encrypted if the link key update mechanism is done as part of the initial join and before the receiving device has been issued a network key.

4074 The Security\_Start\_Key\_Update\_req SHALL be both APS encrypted and NWK encrypted if the link key update  
 4075 mechanism is performed to refresh the link key when the receiving device has the network key and has previously  
 4076 successfully joined the network. The Security\_Start\_Key\_Update\_req command (cluster ID = 0x0045) is formatted  
 4077 as illustrated in Figure 2-50.

<b>Octets: Varies</b>
TLVs

4078 **Figure 2-50. Format of the Security\_Start\_Key\_Update\_req**

4079 Table 2-78 specifies the fields of the Security\_Start\_Key\_Update\_req command.

4080 **Table 2-78. Fields of the Security\_Start\_Key\_Update\_req**

Name	Type	Valid Range	Description
TLVs	TLV	Varies	<p>The Security_Start_Key_Update_req SHALL include the following TLVs:</p> <ul style="list-style-type: none"> <li>• Selected Key Negotiation Method TLV</li> <li>• Fragmentation Parameters Global TLV</li> </ul> <p>Other TLVs may be included.</p>

4081 2.4.3.4.6.1 **Local TLVs**

4082 2.4.3.4.6.2 **Selected Key Negotiation Method (ID=0)**

4083 This indicates the key negotiated method that the sending device would like to negotiate with the receiver. The for-  
 4084 mat is defined in Figure 2-51.

1	Octets: 1	Octets: 8
Selected Key Negotiation Protocol Enumeration	Selected Pre-shared Secret Enumeration	Sending Device EUI64

4085 **Figure 2-51. Selected Key Negotiation Method TLV**

4086 Table 2-79 indicates the fields of the Selected Key Negotiation Method TLV.

4087 **Table 2-79. Fields of the Selected Key Negotiation Method TLV**

Name	Type	Valid Range	Description
Selected Key Negotiation Protocol Enumeration	Enum	0 – 2	The enumeration of the key negotiation method the sender is requesting to use in key negotiation.
Selected Pre-shared Secret Enumeration	Enum	0 – 4	The enumeration indicating the pre-shared secret that the sending device is requesting to be used in the key negotiation.
Sending Device EUI64	EUI64	Any	The value of the EUI64 of the device sending the message. This field SHALL always be present.

4088

4089

4090 Table 2-80 defines the Selected Key Negotiation Protocol Enumeration.

4091

**Table 2-80. Selected Key Negotiation Protocol Enumeration**

Enumerated Value	Description
0	Reserved (Zigbee 3.0 Mechanism)
1	SPEKE using Curve25519 with Hash AES-MMO-128
2	SPEKE using Curve25519 with Hash SHA-256
3 – 255	Reserved

4092 Table 2-81 defines the Selected Pre-shared Secret Enumeration.

4093

**Table 2-81. Selected Pre-shared Secret Enumeration**

Enumerated Value	Description
0	Symmetric Authentication Token
1	Pre-configured link-key derived from installation code
2	Variable-length pass code (for PAKE protocols)
3	Basic Authorization Key
4	Administrative Authorization Key
5 – 254	Reserved
255	Anonymous Well-Known Secret

#### 4094 2.4.3.4.6.3 When Generated

4095 This command is generated by the Trust Center when it wants to trigger the key update process for a device.

#### 4096 2.4.3.4.6.4 Effect on Receipt

4097 On receipt, this command SHALL be processed as follows:

- 4098 1) If the apsTrustCenterAddress is all F's or if apsTrustCenterAddress is not all F's and the command was not sent by the Trust Center, the receiver SHALL generate a Security\_Start\_Key\_Update\_rsp with a status of NOT\_AUTHORIZED.
- 4099 2) If the mandatory TLVs from Table 2-78 are not included, then a Security\_Start\_Key\_Update\_rsp SHALL be generated with a status of INV\_REQUESTTYPE and no further processing SHALL be done.
- 4100 3) If apsTrustCenterAddress is unset, the receiver SHALL set it with the value of the Sending Device EUI64 field of the Selected Key Negotiation Method TLV.
- 4101 4) Examine the Selected Key Negotiation Method TLV and determine if the device supports the selected key negotiation methods. If it does not, then a Security\_Start\_Key\_Update\_rsp SHALL be generated with a status of NO\_MATCH. No further processing SHALL be done.
- 4102 5) The stack MAY notify the higher layer by passing the contents of the Selected Key Negotiation Method TLV. The stack is responsible for kicking off Key Negotiation or static link key update using one of the locally supported methods.
- 4103 6) Generate a ZDO Security\_Start\_Key\_Update\_rsp with a status of SUCCESS.

#### 4112 2.4.3.4.7 Security\_Decommission\_req

4113 This command is sent by the Trust Center to inform of the decommissioning of a 3<sup>rd</sup> party device on the network. The receiving device can use this to clear out any security keys and bindings associated with that 3<sup>rd</sup> party device. This

4115 message SHALL be sent unicast with APS encryption for a centralized network and no APS encryption for a distributed network.

4117 The Security\_Decommission\_req (Cluster ID=0x0046) is formatted as illustrated in Figure 2-52.

<b>Octets: Varies</b>
TLVs

4118 **Figure 2-52. Format of the ZDO Security\_Decommission\_req Command**

4119 Table 2-82 indicates the fields of the ZDO Security\_Decommission\_req command.

4120 **Table 2-82. Fields of the ZDO Security\_Decommission\_req Command**

Name	Type	Valid Range	Description
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this Revision of the specification: <ul style="list-style-type: none"> <li>• Device EUI64 List TLV</li> </ul> Other TLVs may be included.

4121 **2.4.3.4.7.1 Local TLVs**

4122 The Local TLVs for the Security\_Decommission\_req command frame are as follows.

4123 **2.4.3.4.7.2 Device EUI64 List TLV (ID=0)**

4124 The format of the Device EUI64 List TLV SHALL be as formatted in Figure 2-53.

<b>Octets: 1</b>	<b>8</b>	...
EUI64 Count	EUI64	...

4125 **Figure 2-53. Format of the Device EUI64 List TLV**

4126 Table 2-83 indicates the fields of the Device EUI64 List TLV.

4127 **Table 2-83. Fields of the Device EUI64 List TLV**

Name	Type	Valid Range	Description
EUI64 Count	Integer	0x00 – 0xFF	The number of EUI64 fields within the TLV. Note that the maximum value for this count will be dependent on the underlying maximum size of the message as allowed by fragmentation.
EUI64	EUI64	0x0000000000000000 – 0xFFFFFFFFFFFFFF	An EUI64 that shall trigger decommissioning operations.

4128 **2.4.3.4.7.3 When Generated**

4129 This command is generated when the Trust Center has administratively removed a device from the list of authorized devices and wishes to inform other devices about that action. It is NOT used to actually remove that device.

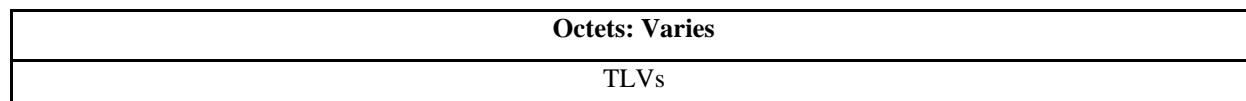
4131    2.4.3.4.7.4    **Effect on Receipt**

4132    On receipt the following processing SHALL take place.

- 4133    1) If the command is broadcast it SHALL be silently dropped. No further processing SHALL be done.
- 4134    2) If the command is unicast on a centralized network with no APS encryption, a ZDO Security\_Decommission\_rsp  
4135    SHALL be generated with a status code of NOT\_AUTHORIZED. No further processing SHALL be done.
- 4136    3) If the receiving device is the Trust Center the command SHALL be rejected.
  - 4137    a) A ZDO Security\_Decommission\_rsp SHALL be generated with a status code of NOT\_AUTHORIZED. No  
4138    further processing SHALL be done.
- 4139    4) Execute the General TLV Processing Rules in Annex I.4.7.
- 4140    5) If the command does not have at least one Device EUI64 List TLV present in the message, it SHALL be rejected.
  - 4141    a) The receiver SHALL generate a ZDO Security\_Decommission\_rsp with a status of INV\_REQUESTTYPE.  
4142    No further processing SHALL be done.
- 4143    6) The receiving device SHALL compare its local EUI64 to all EUI64 in the Security Decommission Req EUI64  
4144    TLV. If any EUI64 matches the device's local EUI64 it SHALL be rejected.
  - 4145    a) The device SHALL generate a ZDO Security\_Decommission\_rsp with a status of INV\_REQUESTTYPE.
- 4146    7) The receiving device SHALL compare the value of all EUI64 values the Security Decommission Req EUI64  
4147    TLV to the DeviceAddress element of all entries in the *apsDeviceKeyPairSet* of the AIB.
  - 4148    a) If any entry matches it SHALL be deleted.
- 4149    8) The receiving device SHALL compare the value of all EUI64 in the Security Decommission Req EUI64 TLV to  
4150    the EUI64 of each binding table entry.
  - 4151    a) If any entry matches it SHALL be deleted by issuing an APSME-UNBIND.request.
- 4152    9) Note that the use of the wildcard EUI64 address of 0xFFFFFFFFFFFFFF is not allowed and SHALL be ig-  
4153    nored.
- 4154    10) The ZDO MAY inform the NLME of each decommissioned EUI64 allowing the NLME layer to clean up any  
4155    network layer data related to that device.
- 4156    11) The device SHALL issue an APS encrypted ZDO Security\_Decommission\_rsp with the following fields
  - 4157    a) The Status SHALL be set to SUCCESS if at least one EUI64 matched and resulted in the device making  
4158    changes to its internal tables.
  - 4159    b) Otherwise the Status SHALL be set to NOT\_FOUND.

4160    2.4.3.4.8    **Security\_Challenge\_req**

4161    This command is used by a device to verify the latest frame counter value of another device. The Security\_Chal-  
4162    lenge\_req (Cluster ID = 0x0047) is formatted as illustrated in Figure 2-54.

4163    **Figure 2-54. Format of the Security\_Challenge\_req**

4164

4165 2.4.3.4.8.1 **Local TLVs**

4166 Table 2-84 defines the Local scoped TLVs for this message.

4167 **Table 2-84. Global TLVs for Security\_Challenge\_req**

Tag ID	Name
0x00	APS Frame Counter Challenge

4168 2.4.3.4.8.2 **APS Frame Counter Challenge TLV**

4169 Figure 2-55 illustrates the format of the APS Frame Counter Challenge TLV.

Octets: 8	8
Sender EUI64	Challenge Value

**Figure 2-55. Format of the APS Frame Counter Challenge TLV**

4171 Table 2-85 describes the fields of the APS Frame Counter Challenge TLV.

4172 **Table 2-85. Fields of the APS Frame Counter Challenge TLV**

Field	Description
Sender EUI64	The EUI64 of the device that generated the frame.
Challenge Value	A randomly generated 64-bit value sent to a device to prove they have the link key. This allows the initiator to detect replayed challenge response frames.

4173 2.4.3.4.8.3 **When Generated**

4174 This command is generated when a device wants to challenge another device to verify it has the latest cryptographic data.

4176 This message SHALL NOT be APS encrypted.

4177 2.4.3.4.8.4 **Effect on Receipt**

- 4178 1. If the message was broadcast it SHALL be dropped and no further processing SHALL be done.
- 4179 2. If the message did not include the APS Frame Counter Challenge TLV do the following.
  - 4180 a. Generate a ZDO Security\_Challenge\_rsp with a status of MISSING\_TLV and send to the device that generated the request.
  - 4182 b. No further processing SHALL be done.
- 4183 3. Search the *apsDeviceKeyPairsSet* table of the AIB for any entry where the DeviceAddress matches the Sender EUI64 value of the APS Frame Counter Challenge TLV
- 4185 4. If no match can be found, do the following.
  - 4186 a. Generate a ZDO Security\_Challenge\_rsp with a status of NO\_MATCH and send to the device that generated the request.
  - 4188 b. No further processing SHALL be done.
- 4189 5. Otherwise, follow the procedure in section 4.6.3.8.4.

## 4190 2.4.4 Server Services

4191 The Device Profile Server Services support the processing of device and service discovery requests, bind requests,  
 4192 unbind requests, and network management requests. Additionally, Server Services support transmission of these re-  
 4193 sponds back to the requesting device.

### 4194 2.4.4.1 ZDO Response Requirements

4195 A device SHALL be required to support generation of the correct, corresponding ZDO response to all ZDO requests  
 4196 including ZDO messages defined in a future version of this specification. Server Processing marked optional in Table  
 4197 2-86, Table 2-96, and Table 2-100 allow for the server to use NOT\_SUPPORTED as the status code in the response  
 4198 to indicate the lack of support. ZDO requests unknown to the device SHALL be treated as unsupported and also use  
 4199 a NOT\_SUPPORTED status code to indicate the device's lack of support for that feature. See below for construction  
 4200 of ZDO responses to unsupported requests. For all broadcast addressed requests (of any broadcast address type) to the  
 4201 server, if the command is not supported, the server SHALL drop the packet. No error status SHALL be unicast back  
 4202 to the Local Device for any broadcast addressed client request including, but not limited to, requests which are not  
 4203 supported on the server.

4204 For all unicast addressed requests to the server, if the command is not supported, the server SHALL formulate a  
 4205 response packet including the response Cluster ID and status fields only. The response Cluster ID SHALL be created  
 4206 by taking the request Cluster ID and setting the high order bit to create the response Cluster ID. The status field  
 4207 SHALL be set to NOT\_SUPPORTED. The resulting response SHALL be unicast to the requesting client.

### 4208 2.4.4.2 Device and Service Discovery Server

4209 Table 2-86 lists the commands supported by the Device and Service Discovery Server Services device profile. Each  
 4210 of these commands will be discussed in the following sections. For receipt of the Device\_annce command, the server  
 4211 SHALL check all internal references to the IEEE and 16-bit NWK addresses supplied in the request. For all references  
 4212 to the IEEE address in the Local Device, the corresponding NWK address supplied in the Device\_annce SHALL be  
 4213 substituted. For any other references to the NWK address in the Local Device, the corresponding entry SHALL be  
 4214 marked as not having a known valid 16-bit NWK address, even if the IEEEAddr field in the message carries the value  
 4215 of 0xffffffffffffffffffff. The server SHALL NOT supply a response to the Device\_annce.

4216 Table 2-86. Device and Service Discovery Server Service Primitives

Device and Service Discovery Server Services	Cluster ID	Server Processing
NWK_addr_rsp	0x8000	M
IEEE_addr_rsp	0x8001	M
Node_Desc_rsp	0x8002	M
Power_Desc_rsp	0x8003	M
Simple_Desc_rsp	0x8004	M
Active_EP_rsp	0x8005	M
Match_Desc_rsp	0x8006	M
Complex_Desc_rsp	0x8010	Deprecated

Device and Service Discovery Server Services	Cluster ID	Server Processing
User_Desc_rsp	0x8011	Deprecated
User_Desc_conf	0x8014	Deprecated
Parent_annce_rsp	0x801f	M
System_Server_Discovery_rsp	0x8015	O
Discovery_store_rsp	0x8016	Deprecated
Node_Desc_store_rsp	0x8017	Deprecated
Power_Desc_store_rsp	0x8018	Deprecated
Active_EP_store_rsp	0x8019	Deprecated
Simple_Desc_store_rsp	0x801a	Deprecated
Remove_node_cache_rsp	0x801b	Deprecated
Find_node_cache_rsp	0x801c	Deprecated
Extended_Simple_Desc_rsp	0x801d	Deprecated
Extended_Active_EP_rsp	0x801e	Deprecated

4217 **2.4.4.2.1 NWK\_addr\_rsp**

4218 The NWK\_addr\_rsp command (ClusterID=0x8000) SHALL be formatted as illustrated in Figure 2-56.

Octets: 1	8	2	0/1	0/1	Variable
Status	IEEEAddr RemoteDev	NWKAddr RemoteDev	Num AssocDev	startIndex	NWKAddr AssocDevList

4219 **Figure 2-56. Format of the NWK\_addr\_rsp Command Frame**

4220 Table 2-87 specifies the fields of the NWK\_addr\_rsp command frame.

4221 **Table 2-87. Fields of the NWK\_addr\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, or DEVICE_NOT_FOUND	The status of the NWK_addr_req command.

Name	Type	Valid Range	Description
IEEEAddrRemoteDev	Device Address	An extended 64-bit, IEEE address	64-bit address for the Remote Device.
NWKAddrRemoteDev	Device Address	A 16-bit, NWK address	16-bit address for the Remote Device.
NumAssocDev	Integer	0x00 – 0xff	<p>Count of the number of 16-bit short addresses to follow.</p> <p>If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL be set to 0.</p> <p>If an error occurs or the Request Type in the request is for a Single Device Response, this field SHALL NOT be included in the frame.</p>
startIndex	Integer	0x00 – 0xff	<p>Starting index into the list of associated devices for this report.</p> <p>If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL NOT be included in the frame.</p> <p>If an error occurs or the Request Type in the request is for a Single Device Response, this field SHALL NOT be included in the frame.</p>
NWKAddrAssocDevList	Device Address List	List of NumAssocDev 16-bit short addresses, each with range 0x0000 – 0xffff	<p>A list of 16-bit addresses, one corresponding to each associated device to Remote Device; The number of 16-bit network addresses contained in this field is specified in the NumAssocDev field.</p> <p>If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL NOT be included in the frame.</p> <p>If an error occurs or the Request Type in the request is for a Single Device Response, this field SHALL NOT be included in the frame.</p>

4222 2.4.4.2.1.1 **When Generated**

4223 The NWK\_addr\_rsp is generated by a Remote Device in response to a NWK\_addr\_req command inquiring as to the  
 4224 NWK address of the Remote Device or the NWK address of an address held in the neighbor table (see section  
 4225 2.4.3.1.1.2 for a detailed description). The destination addressing on this command is unicast.

4226 2.4.4.2.1.2 **Effect on Receipt**

4227 On receipt of the NWK\_addr\_rsp command, the recipient is either notified of the status of its attempt to discover a  
 4228 NWK address from an IEEE address or notified of an error. If the NWK\_addr\_rsp command is received with a Status  
 4229 of SUCCESS, the remaining fields of the command contain the appropriate discovery information, according to the  
 4230 RequestType as specified in the original NWK\_Addr\_req command. Otherwise, the Status field indicates the error  
 4231 and the NumAssocDev, StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included.

4232 2.4.4.2.2 **IEEE\_addr\_rsp**

4233 The IEEE\_addr\_rsp command (ClusterID=0x8001) SHALL be formatted as illustrated in Figure 2-57.

Octets: 1	8	2	0/1	0/1	Variable
Status	IEEEAddr RemoteDev	NWKAddr RemoteDev	NumAssocDev	StartIndex	NWKAddr AssocDevList

4234 **Figure 2-57. Format of the IEEE\_addr\_rsp Command Frame**

4235 Table 2-88 specifies the fields of the IEEE\_addr\_rs command frame.

4236 **Table 2-88. Fields of the IEEE\_addr\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE or DEVICE_NOT_FOUND	The status of the IEEE_addr_req command.
IEEEAddrRemoteDev	Device Address	An extended 64-bit, IEEE address	64-bit address for the Remote Device.
NWKAddrRemoteDev	Device Address	A 16-bit, NWK address	16-bit address for the Remote Device.
NumAssocDev	Integer	0x00 – 0xff	Count of the number of 16-bit short addresses to follow.  If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL be set to 0.  If an error occurs or the RequestType in the request is for a Single Device Response, this field SHALL NOT be included in the frame.

Name	Type	Valid Range	Description
StartIndex	Integer	0x00 – 0xff	<p>Starting index into the list of associated devices for this report.</p> <p>If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL NOT be included in the frame.</p> <p>If an error occurs or the RequestType in the request is for a Single Device Response, this field SHALL NOT be included in the frame.</p>
NWKAddrAssocDevList	Device Address List	List of NumAssocDev 16-bit short addresses, each with range 0x0000 – 0xffff	<p>A list of 16-bit addresses, one corresponding to each associated device to Remote Device; The number of 16-bit network addresses contained in this field is specified in the NumAssocDev field.</p> <p>If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL NOT be included in the frame.</p> <p>If an error occurs or the RequestType in the request is for a Single Device Response, this field SHALL NOT be included in the frame</p>

4237 2.4.4.2.2.1 **When Generated**

4238 The IEEE\_addr\_rsp is generated by a Remote Device in response to an IEEE\_addr\_req command inquiring as to the  
 4239 64-bit IEEE address of the Remote Device or the 64-bit IEEE address of an address held in the neighbor table (see  
 4240 section 2.4.3.1.2.2 for a detailed description). The destination addressing on this command SHALL be unicast.

4241 2.4.4.2.2.2 **Effect on Receipt**

4242 On receipt of the IEEE\_addr\_rsp command, the recipient is either notified of the status of its attempt to discover an  
 4243 IEEE address from an NWK address or notified of an error. If the IEEE\_addr\_rsp command is received with a Status  
 4244 of SUCCESS, the remaining fields of the command contain the appropriate discovery information, according to the  
 4245 RequestType as specified in the original IEEE\_Addr\_req command. Otherwise, the Status field indicates the error and  
 4246 the NumAssocDev, StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included.

4247 2.4.4.2.3 **Node\_Desc\_rsp**

4248 The Node\_Desc\_rsp command (ClusterID=0x8002) SHALL be formatted as illustrated in Figure 2-58.

Octets: 1	2	See section 2.3.2.3	TLVs
Status	NWKAddrOfInterest	Node Descriptor	One or more TLVs

4249

**Figure 2-58. Format of the Node\_Desc\_rsp Command Frame**

4250

Table 2-89 specifies the fields of the Node\_Desc\_rsp command frame.

4251

**Table 2-89. Fields of the Node\_Desc\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, DEVICE_NOT_FOUND, INV_REQUESTTYPE, or NO_DESCRIPTOR	The status of the Node_Desc_req command.
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.
NodeDescriptor	Node Descriptor		See the Node Descriptor for- mat in section 2.3.2.3. This field SHALL only be included in the frame if the status field is equal to SUCCESS.
TLVs	TLV List	Varies	A set of TLVs. The Fragmen- tation Parameters Global TLV SHALL always be included.

4252

**2.4.4.2.3.1 Local TLVs**

4253

**2.4.4.2.3.2 Selected Key Negotiation Method (ID=0)**4254  
4255

This TLV has the same format and ID as the Selected Key Negotiation Method TLV of the Security\_Start\_Key\_Update\_req.

4256

**2.4.4.2.3.3 When Generated**4257  
4258

The Node\_Desc\_rsp is generated by a remote device in response to a Node\_Desc\_req directed to the remote device. This command SHALL be unicast to the originator of the Node\_Desc\_req command.

4259  
4260

If the Node\_Desc\_req frame includes the Fragmentation Parameters Global TLV the receiver can cache the information in the *apsFragmentationCacheTable*. See section 2.4.4.2.3.4 for more information.

4261  
4262  
4263

If the Node\_Desc\_req frame includes at least one valid TLV, the receiver SHALL set the Frame Counter Synchronization bit in the Features & Capabilities bitmap of the *apsDeviceKeyPairSet* entry pertaining to the sender of the Node\_Desc\_req command to '1', if such an entry exists.

4264  
4265  
4266  
4267

The remote device SHALL generate the Node\_Desc\_rsp command using the format illustrated in Figure 2-58. The NWKAddrOfInterest field SHALL match that specified in the original Node\_Desc\_req command. If the NWKAddrOfInterest field matches the network address of the remote device, it SHALL set the Status field to SUCCESS and include its node descriptor (see section 2.3.2.3) in the NodeDescriptor field.

4268  
4269  
4270  
4271  
4272  
4273  
4274  
4275

If the NWKAddrOfInterest field does not match the network address of the remote device and it is an end device, it SHALL set the Status field to INV\_REQUESTTYPE, set the ActiveEPCount field to 0, and not include the ActiveEPLList field. If the NWKAddrOfInterest field does not match the network address of the remote device and it is the coordinator or a router, it SHALL set the Status field to DEVICE\_NOT\_FOUND, set the ActiveEPCount field to 0, and not include the ActiveEPLList field. If the NWKAddrOfInterest matches the network address of one of the children of the remote device, it SHALL determine whether a node descriptor for that device is available. If a node descriptor is not available for the child indicated by the NWKAddrOfInterest field, the remote device SHALL set the Status field to NO\_DESCRIPTOR and not include the NodeDescriptor field. If a node descriptor is available for the

4276 child indicated by the NWKAddrOfInterest field, the remote device SHALL set the Status field to SUCCESS and  
 4277 include the node descriptor (see section 2.3.2.3) of the matching child device in the NodeDescriptor field.

4278 The device sending the Node\_Desc\_rsp SHALL include the following TLVs:

- 4279 • Selected Key Negotiation Method TLV.  
 4280 • Fragmentation Parameters Global TLV

4281 Devices prior to Revision 23 will not include the TLV field. The receiver SHALL still accept messages without TLVs  
 4282 in the response message.

#### 4283 2.4.4.2.3.4 Effect on Receipt

4284 On receipt of the Node\_Desc\_rsp command, the recipient is either notified of the node descriptor of the remote device  
 4285 indicated in the original Node\_Desc\_req command or notified of an error. If the Node\_Desc\_rsp command is received  
 4286 with a Status of SUCCESS, the NodeDescriptor field SHALL contain the requested node descriptor. Otherwise, the  
 4287 Status field indicates the error and the NodeDescriptor field SHALL NOT be included.

4288 The receiver can use the Fragmentation Parameters Global TLV to cache the sender's fragmentation capabilities in  
 4289 the *apsFragmentationCacheTable*. The Trust Center SHALL cache the data for all devices in the network. A regular  
 4290 device SHALL cache fragmentation support for the Trust Center and MAY cache data for any other device in the  
 4291 network.

4292 If the core stack Revision indicated in the Node\_Desc\_rsp is 23 or higher, the receiver SHALL set the Frame Counter  
 4293 Synchronization bit in the Features & Capabilities bitmap of the apsDeviceKeyPairSet entry pertaining to the sender  
 4294 of the Node\_Desc\_rsp command to '1', if such an entry exists.

#### 4295 2.4.4.2.4 Power\_Desc\_rsp

4296 The Power\_Desc\_rsp command (ClusterID=0x8003) SHALL be formatted as illustrated in Figure 2-59.

Octet: 1	2	Variable
Status	NWKAddrOfInterest	Power Descriptor

4297 **Figure 2-59. Format of the Power\_Desc\_rsp Command Frame**

4298 Table 2-90 specifies the fields of the Power\_Desc\_rsp command frame.

4299 **Table 2-90. Fields of the Power\_Desc\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, DEVICE_NOT_FOUND, INV_REQUESTTYPE, or NO_DESCRIPTOR	The status of the Power_Desc_req command.
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.

Name	Type	Valid Range	Description
PowerDescriptor	Power Descriptor		See the Node Power Descriptor format in section 2.3.2.4. This field SHALL only be included in the frame if the status field is equal to SUCCESS.

4300 2.4.4.2.4.1 **When Generated**

4301 The Power\_Desc\_rsp is generated by a remote device in response to a Power\_Desc\_req directed to the remote device.  
 4302 This command SHALL be unicast to the originator of the Power\_Desc\_req command.

4303 The remote device SHALL generate the Power\_Desc\_rsp command using the format illustrated in . The NWKAddrOfInterest field SHALL match that specified in the original Power\_Desc\_req command. If the NWKAddrOfInterest  
 4304 field matches the network address of the remote device, it SHALL set the Status field to SUCCESS and include its  
 4305 power descriptor (see section 2.3.2.4) in the PowerDescriptor field.  
 4306

4307 If the NWKAddrOfInterest field does not match the network address of the remote device and it is an end device, it  
 4308 SHALL set the Status field to INV\_REQUESTTYPE and not include the PowerDescriptor field. If the NWKAddrOfInterest  
 4309 field does not match the network address of the remote device and it is the coordinator or a router, it  
 4310 SHALL determine whether the NWKAddrOfInterest field matches the network address of one of its children. If the  
 4311 NWKAddrOfInterest field does not match the network address of one of the children of the remote device, it SHALL  
 4312 set the Status field to DEVICE\_NOT\_FOUND and not include the PowerDescriptor field. If the NWKAddrOfInterest  
 4313 matches the network address of one of the children of the remote device, it SHALL determine whether a power  
 4314 descriptor for that device is available. If a power descriptor is not available for the child indicated by the NWKAddrOfInterest  
 4315 field, the remote device SHALL set the Status field to NO\_DESCRIPTOR and not include the PowerDescriptor  
 4316 field. If a power descriptor is available for the child indicated by the NWKAddrOfInterest field, the remote device  
 4317 SHALL set the Status field to SUCCESS and include the power descriptor (see section 2.3.2.4) of the matching child  
 4318 device in the PowerDescriptor field.

4319 2.4.4.2.4.2 **Effect on Receipt**

4320 On receipt of the Power\_Desc\_rsp command, the recipient is either notified of the power descriptor of the remote  
 4321 device indicated in the original Power\_Desc\_req command or notified of an error. If the Power\_Desc\_rsp command  
 4322 is received with a Status of SUCCESS, the PowerDescriptor field SHALL contain the requested power descriptor.  
 4323 Otherwise, the Status field indicates the error and the PowerDescriptor field SHALL NOT be included.

4324 2.4.4.2.5 **Simple\_Desc\_rsp**

4325 The Simple\_Desc\_rsp command (ClusterID=0x8004) SHALL be formatted as illustrated in Figure 2-60.

Octet: 1	2	1	Variable
Status	NWKAddrOfInterest	Length	Simple Descriptor

4326 **Figure 2-60. Format of the Simple\_Desc\_rsp Command Frame**

4327 Table 2-91 specifies the fields of the Simple\_Desc\_rsp command frame.

**Table 2-91. Fields of the Simple\_Desc\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INVALID_EP, NOT_ACTIVE, DEVICE_NOT_FOUND, INV_REQUESTTYPE or NO_DESCRIPTOR	The status of the Simple_Desc_req command.
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.
Length	Integer	0x00 – 0xff	Length in bytes of the Simple Descriptor to follow.
SimpleDescriptor	Simple Descriptor		See the Simple Descriptor format in section 2.3.2.5. This field SHALL only be included in the frame if the status field is equal to SUCCESS.

**4329 2.4.4.2.5.1 When Generated**

4330 The Simple\_Desc\_rsp is generated by a remote device in response to a Simple\_Desc\_req directed to the remote device.  
 4331 This command SHALL be unicast to the originator of the Simple\_Desc\_req command.

4332 The remote device SHALL generate the Simple\_Desc\_rsp command using the format illustrated in . The NWKAddrOfInterest field SHALL match that specified in the original Simple\_Desc\_req command. If the endpoint field specified in the original Simple\_Desc\_req command does not fall within the correct range specified in Table 2-91, the remote device SHALL set the Status field to INVALID\_EP, set the Length field to 0 and not include the SimpleDescriptor field.

4337 If the NWKAddrOfInterest field matches the network address of the remote device, it SHALL determine whether the endpoint field specifies the identifier of an active endpoint on the device. If the endpoint field corresponds to an active endpoint, the remote device SHALL set the Status field to SUCCESS, set the Length field to the length of the simple descriptor on that endpoint, and include the simple descriptor (see section 2.3.2.5) for that endpoint in the SimpleDescriptor field. If the endpoint field does not correspond to an active endpoint, the remote device SHALL set the Status field to NOT\_ACTIVE, set the Length field to 0, and not include the SimpleDescriptor field.

4343 If the NWKAddrOfInterest field does not match the network address of the remote device and it is an end device, it SHALL set the Status field to INV\_REQUESTTYPE, set the Length field to 0, and not include the SimpleDescriptor field. If the NWKAddrOfInterest field does not match the network address of the remote device and it is the coordinator or a router, it SHALL determine whether the NWKAddrOfInterest field matches the network address of one of its children. If the NWKAddrOfInterest field does not match the network address of one of the children of the remote device, it SHALL set the Status field to DEVICE\_NOT\_FOUND, set the Length field to 0, and not include the SimpleDescriptor field.

4350 If the NWKAddrOfInterest matches the network address of one of the children of the remote device, it SHALL determine whether a simple descriptor for that device and on the requested endpoint is available. If a simple descriptor is not available on the requested endpoint of the child indicated by the NWKAddrOfInterest field, the remote device SHALL set the Status field to NO\_DESCRIPTOR, set the Length field to 0, and not include the SimpleDescriptor field. If a simple descriptor is available on the requested endpoint of the child indicated by the NWKAddrOfInterest field, the remote device SHALL set the Status field to SUCCESS, set the Length field to the length of the simple descriptor on that endpoint, and include the simple descriptor (see section 2.3.2.5) for that endpoint of the matching child device in the SimpleDescriptor field.

4358    2.4.4.2.5.2    **Effect on Receipt**

4359    On receipt of the Simple\_Desc\_rsp command, the recipient is either notified of the simple descriptor on the endpoint  
 4360    of the remote device indicated in the original Simple\_Desc\_req command or notified of an error. If the Simple  
 4361    Desc\_rsp command is received with a Status of SUCCESS, the SimpleDescriptor field SHALL contain the re-  
 4362    quired simple descriptor. Otherwise, the Status field indicates the error and the SimpleDescriptor field SHALL NOT  
 4363    be included.

4364    2.4.4.2.6    **Active\_EP\_rsp**

4365    The Active\_EP\_rsp command (ClusterID=0x8005) SHALL be formatted as illustrated in Figure 2-61.

Octet: 1	2	1	Variable
Status	NWKAddrOfInterest	ActiveEPCount	ActiveEPList

4366    **Figure 2-61. Format of the Active\_EP\_rsp Command Frame**

4367    Table 2-92 specifies the fields of the Active\_EP\_rsp command frame.

4368    **Table 2-92. Fields of the Active\_EP\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, DEVICE_NOT_FOUND, INV_REQUESTTYPE, or NO_DESCRIPTOR	The status of the Active_EP_req com- mand.
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.
ActiveEPCount	Integer	0x00 – 0xff	The count of active endpoints on the Remote Device.
ActiveEPList			List of bytes each of which represents an 8-bit endpoint.

4369    2.4.4.2.6.1    **When Generated**

4370    The Active\_EP\_rsp is generated by a remote device in response to an Active\_EP\_req directed to the remote device.  
 4371    This command SHALL be unicast to the originator of the Active\_EP\_req command.

4372    The remote device SHALL generate the Active\_EP\_rsp command using the format illustrated in . The NWKAd-  
 4373    drOfInterest field SHALL match that specified in the original Active\_EP\_req command. If the NWKAddrOfInterest  
 4374    field matches the network address of the remote device, it SHALL set the Status field to SUCCESS, set the ActiveEP-  
 4375    Count field to the number of active endpoints on that device and include an ascending list of all the identifiers of the  
 4376    active endpoints on that device in the ActiveEPList field.

4377    If the NWKAddrOfInterest field does not match the network address of the remote device and it is an end device, it  
 4378    SHALL set the Status field to INV\_REQUESTTYPE, set the ActiveEPCount field to 0, and not include the ActiveEPList field.  
 4379    If the NWKAddrOfInterest field does not match the network address of the remote device and it is the coordinator or a router,  
 4380    it SHALL determine whether the NWKAddrOfInterest field matches the network address of a device it holds in a discovery cache.  
 4381    If the NWKAddrOfInterest field does not match the network address of a device it holds in a discovery cache, it SHALL set the Status field to DEVICE\_NOT\_FOUND, set the ActiveEPCount  
 4382    field to 0, and not include the ActiveEPList field.

4383 field to 0, and not include the ActiveEPList field. If the NWKAddrOfInterest matches the network address of a device  
 4384 held in a discovery cache on the remote device, it SHALL determine whether that device has any active endpoints. If  
 4385 the discovery information corresponding to the ActiveEP request has not yet been uploaded to the discovery cache,  
 4386 the remote device SHALL set the Status field to NO\_DESCRIPTOR, set the ActiveEPCount field to 0 and not include  
 4387 the ActiveEPList field. If the cached device has no active endpoints, the remote device SHALL set the Status field to  
 4388 SUCCESS, set the ActiveEPCount field to 0, and not include the ActiveEPList field. If the cached device has active  
 4389 endpoints, the remote device SHALL set the Status field to SUCCESS, set the ActiveEPCount field to the number of  
 4390 active endpoints on that device, and include an ascending list of all the identifiers of the active endpoints on that device  
 4391 in the ActiveEPList field.

#### 4392 2.4.4.2.6.2 Effect on Receipt

4393 On receipt of the Active\_EP\_rsp command, the recipient is either notified of the active endpoints of the remote device  
 4394 indicated in the original Active\_EP\_req command or notified of an error. If the Active\_EP\_rsp command is received  
 4395 with a Status of SUCCESS, the ActiveEPCount field indicates the number of entries in the ActiveEPList field. Otherwise,  
 4396 the Status field indicates the error and the ActiveEPList field SHALL NOT be included.

#### 4397 2.4.4.2.7 Match\_Desc\_rsp

4398 The Match\_Desc\_rsp command (ClusterID=0x8006) SHALL be formatted as illustrated in Figure 2-62.

Octet: 1	2	1	Variable
Status	NWKAddrOfInterest	Match Length	Match List

4399 **Figure 2-62. Format of the Match\_Desc\_rsp Command Frame**

4400 Table 2-93 specifies the fields of the Match\_Desc\_rsp command frame.

4401 **Table 2-93. Fields of the Match\_Desc\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, DEVICE_NOT_FOUND, INV_REQUESTTYPE, or NO_DESCRIPTOR	The status of the Match_Desc_req command.
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.
MatchLength	Integer	0x00-0xff	The count of endpoints on the Remote Device that match the request criteria.
MatchList			List of bytes each of which represents an 8-bit endpoint.

#### 4402 2.4.4.2.7.1 When Generated

4403 The Match\_Desc\_rsp is generated by a remote device in response to a Match\_Desc\_req either broadcast or unicast to  
 4404 the remote device. This command SHALL be unicast to the originator of the Match\_Desc\_req command.

4405 The following describes the procedure for processing the Match\_Desc\_req and generation of Match\_Desc\_rsp.

- 4406 1. Set MatchLength to 0 and create an empty list MatchList.
- 4407 2. If the receiving device is an End Device and the NWKAddrOfInterest within the Match\_Desc\_req message does  
4408 not match the nwkNetworkAddress of the NIB and is not a broadcast address, the following SHALL be per-  
4409 formed. Otherwise it shall proceed to step 3.
  - 4410 a. If the NWK destination of the message is a broadcast address, no further processing SHALL be done.
  - 4411 b. If the NWK destination is a unicast address, the following SHALL be performed.
    - 4412 i. Set the Status value to INV\_REQUESTTYPE.
    - 4413 ii. Set the MatchLength to 0.
    - 4414 iii. Construct a Match\_Desc\_rsp with only Status and MatchLength fields.
    - 4415 iv. Send the message as a unicast to the source of the Match\_Desc\_req.
    - 4416 v. No further processing SHALL be done.
- 4417 3. If the NWKAddrOfInterest is equal to the nwkNetworkAddress of the NIB, or is a broadcast address, perform the  
4418 following procedure. Otherwise proceed to step 4.
  - 4419 a. Apply the match criteria in section 2.4.4.2.7.2 for all local Simple Descriptors.
  - 4420 b. For each Simple Descriptor that matches with at least one cluster, add the endpoint once to MatchList and  
4421 increment MatchLength.
- 4422 4. If the NWKAddrOfInterest is not a broadcast address, the NWKAddressOfInterest is not equal to the nwkNet-  
4423 workAddress of the local NIB, and the device is a coordinator or router, then the following SHALL be performed.  
4424 Otherwise proceed to step 5.
  - 4425 a. Examine each entry in the nwkNeighborTable and perform the following procedure.
    - 4426 i. If the Network Address of the entry does not match the NWKAddrOfInterest or the Device Type is not  
4427 equal to 0x02 (Zigbee End Device), do not process this entry. Continue to the next entry in the nwkNeigh-  
4428 borTable.
    - 4429 ii. For each endpoint that matches with at least once cluster, add that endpoint once to the MatchList and  
4430 increment MatchLength.
    - 4431 iii. Proceed to step 7.
  - 4432 b. If the NWKAddrOfInterest does not match any entry in the nwkNeighborTable, perform the following:
    - 4433 i. Set the Status to DEVICE\_NOT\_FOUND.
    - 4434 ii. Construct a Match\_Desc\_rsp with Status and MatchLength fields only.
    - 4435 iii. Unicast the message to the source of the Match\_Desc\_req.
    - 4436 iv. No further processing SHALL be done.
- 4437 5. If the MatchLength is 0 and the NWK destination of the Match\_Desc\_req was a broadcast address, no further  
4438 processing SHALL be done. Otherwise proceed to step 6.
- 4439 6. If the MatchLength is 0 and the NWKAddrOfInterest matched an entry in the nwkNeighborTable, the following  
4440 SHALL be performed. Otherwise proceed to step 7.
  - 4441 a. Set the Status to NO\_DESCRIPTOR
  - 4442 b. Construct a Match\_Desc\_rsp with Status and MatchLength only.
  - 4443 c. Unicast the Match\_Desc\_rsp to the source of the Match\_Desc\_req.
  - 4444 d. No further processing SHALL be done.
- 4445 7. The following SHALL be performed. This is the case for both MatchLength > 0 and MatchLength == 0.
  - 4446 a. Set the Status to SUCCESS.

- 4447 b. Construct a Match\_Desc\_rsp with Status, NWKAddrOfInterest, MatchLength, and MatchList.  
4448 c. Unicast the response to the NWK source of the Match\_Desc\_req.

## 4449 2.4.4.2.7.2 Simple Descriptor Matching Rules

4450 These rules will examine a ProfileID, InputClusterList, OutputClusterList, and a SimpleDescriptor. The following  
4451 SHALL be performed:

- 4452 1. The device SHALL first check if the ProfileID field matches using the Profile ID of the SimpleDescriptor. If the  
4453 profile identifiers do not match and the ProfileID is not 0xffff, the device SHALL note the match as unsuccessful  
4454 and no further processing SHALL be done.

4455 2. Examine the InputClusterList and compare each item to the Application Input Cluster List of the SimpleDe-  
4456 scriptor.

4457 a. If a cluster ID matches exactly, then the device SHALL note the match as successful and perform no further  
4458 matching. Processing is complete.

4459 3. Examine the OutputClusterList and compare each item to the Application Output Cluster List of the SimpleDe-  
4460 scriptor.

4461 a. If a cluster ID matches exactly, then the device SHALL note the match as successful and perform no further  
4462 matching. Processing is complete.

4463 4. The device SHALL note the match as unsuccessful. Processing is complete.

4464 2.4.4.2.7.3 Effect on Receipt

On receipt of the Match\_Desc\_rsp command, the recipient is either notified of the results of its match criterion query indicated in the original Match\_Desc\_req command or notified of an error. If the Match\_Desc\_rsp command is received with a Status of SUCCESS, the MatchList field SHALL contain the list of endpoints containing simple descriptors that matched the criterion. Otherwise, the Status field indicates the error and the MatchList field SHALL NOT be included.

## 4470 2.4.4.2.8 Complex\_Desc\_rsp – DEPRECATED

## 4471 2.4.4.2.9 User\_Desc\_rsp – DEPRECATED

## 4472 2.4.4.2.10 System\_Server\_Discovery\_rsp

4473 The System\_Server\_Discovery\_rsp command (ClusterID=0x8015) SHALL be formatted as illustrated in Figure 2-63.

<b>Octet: 1</b>	2
Status	ServerMask

**Figure 2-63. System\_Server\_Discovery\_rsp Command Frame**

4475 Table 2-94 specifies the fields of the System\_Server\_Discovery\_rsp command frame.

4476

4477

**Table 2-94. Fields of the System\_Server\_Discovery\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS	The status of the System_Server_Discovery_rsp command.
ServerMask	Integer	Bitmap	See Table 2-34 for bit assignments.

4478

**2.4.4.2.10.1 When Generated**4479  
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4481  
4482  
4483  
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4485

The System\_Server\_Discovery\_rsp is generated from Remote Devices on receipt of a System\_Server\_Discovery\_req primitive if the parameter matches the Server Mask field in its node descriptor. If there is no match, the System\_Server\_Discovery\_req SHALL be ignored and no response given. Matching is performed by masking the ServerMask parameter of the System\_Server\_Discovery\_req with the Server Mask field in the node descriptor. This command SHALL be unicast to the device which sent System\_Server\_Discovery\_req with Acknowledge request set in TxOptions. The parameter ServerMask contains the bits in the parameter of the request which match the server mask in the node descriptor.

4486

**2.4.4.2.10.2 Effect on Receipt**4487  
4488

The requesting device is notified that this device has some of the system server functionality that the requesting device is seeking.

4489  
4490

If the Network Manager bit was set in the System\_Server\_Discovery\_rsp, then the Remote Device's NWK address SHALL be set into the *nwkManagerAddr* of the NIB.

4491

**User\_Desc\_conf – DEPRECATED**

4492

**Discovery\_Cache\_rsp – DEPRECATED**

4493

**Discovery\_store\_rsp – DEPRECATED**

4494

**Node\_Desc\_store\_rsp – DEPRECATED**

4495

**Power\_Desc\_store\_rsp – DEPRECATED**

4496

**Active\_EP\_store\_rsp – DEPRECATED**

4497

**Simple\_Desc\_store\_rsp – DEPRECATED**

4498

**Find\_node\_cache\_rsp – DEPRECATED**

4499

**Extended\_Simple\_Desc\_rsp – DEPRECATED**

4500

**Extended\_Active\_EP\_rsp – DEPRECATED**

4501

**Remove\_node\_cache\_rsp – DEPRECATED**

4502

**Parent\_ponce\_rsp**4503  
4504

The Parent\_ponce\_rsp command (ClusterID = 0x801f) SHALL be formatted as illustrated in Figure 2-64, and is generated in response to a Parent\_ponce.

4505

Octets: 1	1	Variable	...	Variable
Status	NumberOfChildren	ChildInfo[0]	...	ChildInfo[n]

**Figure 2-64. Format of the Parent\_ance\_rsp Command Frame**

Table 2-95 specifies the fields of the Parent\_ance\_rsp command frame.

**Table 2-95. Fields of the Parent\_ance\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS or NOT_SUPPORTED	The status of the Parent_ance command.
NumberOfChildren	Integer	0 – 255	The number of ChildInfo structures contained in the message.
ChildInfo	ChildInfo	Variable	The child information. See Table 2-52.

Table 2-52 specifies the contents of the ChildInfo structure. This is the same format as the Parent\_ance.

#### 2.4.4.2.22.1 When Generated

Upon receipt of a Parent\_ance message, a router SHALL construct but not yet send a Parent\_ance\_rsp message with the NumberOfChildren field set to 0. It SHALL then examine each Extended Address present in the Parent\_ance message and search its Neighbor Table for an entry that matches. If a device is found and the Device Type is Zigbee end device (0x02), the router SHALL do the following.

1. If the Keepalive Received value is TRUE, it SHALL keep the parent/child relationship in the neighbor table unmodified. It SHALL then do the following:
  - a. Append the ChildInfo structure to the Parent\_ance\_rsp.
  - b. Increment NumberOfChildren by 1.
2. If the Keepalive Received value is FALSE, it SHALL remove the entry.

If the NumberOfChildren field value is 0, the local device SHALL discard the previously constructed Parent\_Ance\_rsp. No response message shall be sent.

If the NumberOfChildren field in the Parent\_Ance\_rsp is greater than 0, it SHALL unicast the message to the sender of the Parent\_Ance message.

If the device has more ChildInfo entries than fit in a single message, it SHALL send additional messages. These messages do not have to be jittered or delayed since they are unicast to a single device. Each Parent\_ance\_rsp SHALL set the NumberOfChildren field to the number of entries contained within the message.

#### 2.4.4.2.22.2 Effect on Receipt

On receipt of a Parent\_ance\_rsp, the device SHALL examine its Neighbor Table for each extended address in the ChildInfo entry and do the following.

- i) If the entry matches and the Device Type is Zigbee End Device (0x02), it SHALL do the following:
  - (1) Delete the entry from the Neighbor table.
  - ii) If the entry does not match, no more processing is performed on this ChildInfo entry.

There is no message generated in response to a Parent\_ance\_rsp.Bind, Unbind Bind Management Server Services.

4535 Table 2-96 lists the commands supported by Device Profile: Bind and Unbind Server Services. Each of these primitives  
 4536 will be discussed in the following sections.

4537

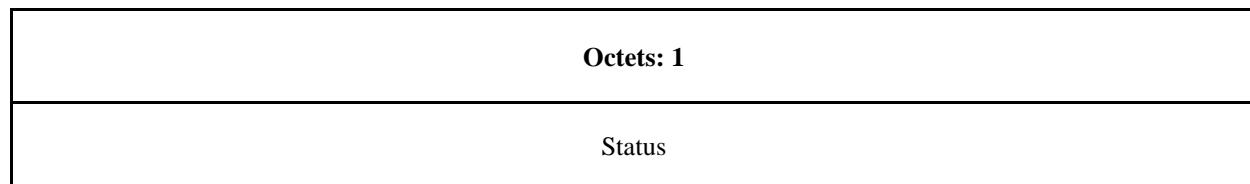
**Table 2-96. Unbind and Bind Management Server Services Primitives**

<b>Bind and Unbind Server Service Commands</b>	<b>Cluster ID</b>	<b>Server Processing</b>
End_Device_Bind_rsp	0x8020	Deprecated
Bind_rsp	0x8021	O
Unbind_rsp	0x8022	O
Bind_Register_rsp	0x8023	Deprecated
Replace_Device_rsp	0x8024	Deprecated
Store_Bkup_Bind_Entry_rsp	0x8025	Deprecated
Remove_Bkup_Bind_Entry_rsp	0x8026	Deprecated
Backup_Bind_Table_rsp	0x8027	Deprecated
Recover_Bind_Table_rsp	0x8028	Deprecated
Backup_Source_Bind_rsp	0x8029	Deprecated
Recover_Source_Bind_rsp	0x802a	Deprecated
Clear_All_Bindings_rsp	0x802b	O

4538 **2.4.4.2.23 End\_Device\_Bind\_rsp – DEPRECATED**

4539 **2.4.4.2.24 Bind\_rsp**

4540 The Bind\_rsp command (ClusterID=0x8021) SHALL be formatted as illustrated in Figure 2-65.

**Figure 2-65. Format of the Bind\_rsp Command Frame**

4541 Table 2-97 specifies the fields of the Bind\_rsp command frame.  
 4542

4543

4544

**Table 2-97. Fields of the Bind\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, NOT_SUPPORTED, INVALID_EP, TABLE_FULL, or NOT_AUTHORIZED	The status of the Bind_req command.

4545 2.4.4.2.24.1 **When Generated**

4546 The Bind\_rsp is generated in response to a Bind\_req. If the Bind\_req is processed and the Binding Table entry committed on the Remote Device, a Status of SUCCESS is returned. If the Remote Device is not a Primary binding table cache or the SrcAddress, a Status of NOT\_SUPPORTED is returned. The endpoint of the Bind\_req SHALL be checked to determine whether it is between the inclusive range of 0x01 to 0xFE, and if not a Bind\_rsp SHALL be generated with a status of INVALID\_EP.

4551 2.4.4.2.24.2 **Effect on Receipt**

4552 Upon receipt, error checking is performed on the request as described in the previous section. Assuming the Status is SUCCESS, the parameters from the Bind\_req are entered into the Binding Table at the Remote Device via the APSME-BIND.request primitive.

4555 2.4.4.2.25 **Unbind\_rsp**

4556 The Unbind\_rsp command (ClusterID=0x8022) SHALL be formatted as illustrated in Figure 2-66.

Octets: 1
Status

**Figure 2-66. Format of the Unbind\_rsp Command Frame**

4557 Table 2-98 specifies the fields of the Unbind\_rsp command frame.

**Table 2-98. Fields of the Unbind\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, NOT_SUPPORTED, INVALID_EP, NO_ENTRY, or NOT_AUTHORIZED	The status of the Unbind_req command.

4560 2.4.4.2.25.1 **When Generated**

4561 The Unbind\_rsp is generated in response to an Unbind\_req. If the Unbind\_req is processed and the corresponding Binding Table entry is removed from the Remote Device, a Status of SUCCESS is returned. If the Remote Device is not the Zigbee Coordinator or the SrcAddress, a Status of NOT\_SUPPORTED is returned. The supplied endpoint SHALL be checked to determine whether it falls within the specified range. If it does not, a Status of INVALID\_EP SHALL be returned. If the Remote Device is the Zigbee Coordinator or SrcAddress but does not have a Binding Table entry corresponding to the parameters received in the request, a Status of NO\_ENTRY is returned.

4567 2.4.4.2.25.2 **Effect on Receipt**

4568 Upon receipt, error checking is performed on the response. If the status is SUCCESS, the device has successfully removed the binding entry for the parameters specified in the Unbind\_req.

- 4570 2.4.4.2.26 **Bind\_Register\_rsp – DEPRECATED**
- 4571 2.4.4.2.27 **Replace\_Device\_rsp – DEPRECATED**
- 4572 2.4.4.2.28 **Store\_Bkup\_Bind\_Entry\_rsp – DEPRECATED**
- 4573 2.4.4.2.29 **Remove\_Bkup\_Bind\_Entry\_rsp – DEPRECATED**
- 4574 2.4.4.2.30 **Backup\_Bind\_Table\_rsp – DEPRECATED**
- 4575 2.4.4.2.31 **Recover\_Bind\_Table\_rsp – DEPRECATED**
- 4576 2.4.4.2.32 **Backup\_Source\_Bind\_rsp – DEPRECATED**
- 4577 2.4.4.2.33 **Recover\_Source\_Bind\_rsp – DEPRECATED**
- 4578 2.4.4.2.34 **Clear\_All\_Bindings\_rsp**

4579 The Clear\_All\_Binding\_rsp command (ClusterID=0x802b) SHALL be formatted as illustrated in Figure 2-67.

Octets: 1
Status

4580 **Figure 2-67. Format of the Clear\_All\_Bindings\_rsp Command Frame**

4581 Table 2-99 specifies the fields of the Unbind\_rsp command frame.

4582 **Table 2-99. Fields of the Clear\_All\_Bindings\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, NOT_SUPPORTED, NOT_AUTHORIZED, INV_REQUESTTYPE, or NO_MATCH.	The status of the ZDO Clear_All_Bindings_req.

4583 2.4.4.2.34.1 **When Generated**

4584 This command is generated in response to a ZDO Clear\_All\_Bindings\_req.

4585 2.4.4.2.34.2 **Effect on Receipt**

4586 The receiver of this command learns the result of a previous ZDO Clear\_All\_Bindings\_req.

4587 **2.4.4.3 Network Management Server Services**

4588 Table 2-100 lists the commands supported by Device Profile: Network Management Server Services. Each of these commands will be discussed in the following sections.

4590 **Table 2-100. Network Management Server Service Commands**

Network Management Server Service Commands	Cluster ID	Server Processing
Mgmt_NWK_Disc_rsp	0x8030	Deprecated
Mgmt_Lqi_rsp	0x8031	M

Network Management Server Service Commands	Cluster ID	Server Processing
Mgmt_Rtg_rsp	0x8032	O
Mgmt_Bind_rsp	0x8033	O
Mgmt_Leave_rsp	0x8034	O
Mgmt_Direct_Join_rsp	0x8035	Deprecated
Mgmt_Permit_Joining_rsp	0x8036	M
Mgmt_Cache_rsp	0x8037	Deprecated
Mgmt_NWK_Update_notify	0x8038	O
Mgmt_NWK_Enhanced_Update_notify	0x8039	O
Mgmt_NWK_IEEE_Joining_List_rsp	0x803A	O
Mgmt_NWK_Unsolicited_Enhanced_Update_notify	0x803B	O
Mgmt_NWK_Beacon_Survey_rsp	0x803C	O

4591 2.4.4.3.1 **Mgmt\_NWK\_Disc\_rsp – DEPRECATED COMMAND**4592 2.4.4.3.2 **Mgmt\_Lqi\_rsp**

4593 The Mgmt\_Lqi\_rsp command (ClusterID=0x8031) SHALL be formatted as illustrated in Figure 2-68.

Octets: 1	1	1	1	Variable
Status	NeighborTable Entries	Start Index	NeighborTable ListCount	NeighborTable List

4594 **Figure 2-68. Format of the Mgmt\_Lqi\_rsp Command Frame**

4595 Table 2-101 specifies the fields of the Mgmt\_Lqi\_rsp command frame.

4596 **Table 2-101. Fields of the Mgmt\_Lqi\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	NOT_SUPPORTED or any status code returned from the NLME-GET.confirm primitive.	The status of the Mgmt_Lqi_req command.

Name	Type	Valid Range	Description
NeighborTableEntries	Integer	0x00 – 0xff	Total number of Neighbor Table entries with unique addresses within the Remote Device.
startIndex	Integer	0x00 – 0xff	Starting index within the Neighbor Table filtered on unique addresses to begin reporting for the NeighborTableList.
NeighborTableListCount	Integer	0x00 – 0x02	Number of Neighbor Table entries included within NeighborTableList.
NeighborTableList	List of Neighbor Descriptors	The list SHALL contain the number elements given by the NeighborTableListCount.	A list of descriptors, beginning with the startIndex element and continuing for NeighborTableListCount, of the elements in the Remote Device's Neighbor Table including the device address and associated LQI (see Table 2-102 for details).

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4598

**Table 2-102. NeighborTableList Record Format**

Name	Size (Bits)	Valid Range	Description
Extended PAN Id	64	A 64-bit PAN identifier	The 64-bit extended PAN identifier of the neighboring device.
Extended address	64	An extended 64-bit, IEEE address	64-bit IEEE address that is unique to every device. If this value is unknown at the time of the request, this field SHALL be set to 0xffffffffffff.
Network address	16	Network address	The 16-bit network address of the neighboring device.
Device type	2	0x00 – 0x03	The type of the neighbor device: 0x00 = Zigbee coordinator 0x01 = Zigbee router 0x02 = Zigbee end device 0x03 = Unknown

Name	Size (Bits)	Valid Range	Description
RxOnWhenIdle	2	0x00 – 0x02	Indicates if neighbor's receiver is enabled during idle portions of the CAP: 0x00 = Receiver is off 0x01 = Receiver is on 0x02 = unknown
Affinity	3	0x00 – 0x03	The relationship between the neighbor and the current device: 0x00 = neighbor is the parent 0x01 = neighbor is a child 0x02 = neighbor is a sibling 0x03 = None of the above
Reserved	1		This reserved bit SHALL be set to 0.
Permit joining	2	0x00 - 0x02	An indication of whether the neighbor device is accepting join requests: 0x00 = neighbor is not accepting join requests 0x01 = neighbor is accepting join requests 0x02 = unknown
Reserved	6		Each of these reserved bits SHALL be set to 0.
Depth	8	0x00 – nwkcMaxDepth	The tree depth of the neighbor device. A value of 0x00 indicates that the device is the Zigbee coordinator for the network.
LQA	8	0x00 – 0xff	The estimated link quality for RF transmissions from this device. See section 3.6.3 for a discussion of how this is calculated.

4599    2.4.4.3.2.1    **When Generated**

4600    The Mgmt\_Lqi\_rsp is generated in response to an Mgmt\_Lqi\_req. If this management command is not supported, a  
 4601    status of NOT\_SUPPORTED SHALL be returned and all parameter fields after the Status field SHALL be omitted.  
 4602    Otherwise, the Remote Device SHALL implement the following processing.

4603    Upon receipt of and after support for the Mgmt\_Lqi\_req has been verified, the Remote Device SHALL perform an  
 4604    NLME-GET.request (for the *nwkNeighborTable* attribute) and process the resulting neighbor table (obtained via the  
 4605    NLME-GET.confirm primitive) to create the Mgmt\_Lqi\_rsp command. If *nwkNeighborTable* was successfully ob-  
 4606    tained but one or more of the fields required in the NeighborTableList record (see Table 2-102) are not supported (as  
 4607    they are optional), the Mgmt\_Lqi\_rsp SHALL return a status of NOT\_SUPPORTED and all parameter fields after the  
 4608    Status field SHALL be omitted. Otherwise, the Mgmt\_Lqi\_rsp command SHALL contain the same status that was

4609 contained in the NLME-GET.confirm primitive and if this was not SUCCESS, all parameter fields after the status  
 4610 field SHALL be omitted.

4611 The Relationship field in the nwkNeighborTable entry maps to the Affinity field in the Mgmt\_Lqi\_rsp but with the  
 4612 following special processing. Routers SHALL report back the Relationship status in the Affinity field as follows. If  
 4613 the Relationship enumeration is 0x00 to 0x02, then the Affinity field SHALL be the same value. If the Relationship  
 4614 enumeration indicates 0x03 or greater, then the Affinity field SHALL be set to 0x03, None of the Above.

4615 From the *nwkNeighborTable* attribute, the neighbor table SHALL be accessed, starting with the index specified by  
 4616 StartIndex, and SHALL be moved to the NeighborTableList field of the Mgmt\_Lqi\_rsp command. The entries re-  
 4617 ported from the neighbor table SHALL be those, starting with StartIndex and including whole NeighborTableList  
 4618 records (see Table 2-102) until the limit on MSDU size, i.e., *aMaxMACFrameSize* (see [B1]), is reached. Within the  
 4619 Mgmt\_Lqi\_rsp command, the NeighborTableEntries field SHALL represent the total number of Neighbor Table  
 4620 entries in the Remote Device. The parameter NeighborTableListCount SHALL be the number of entries reported in the  
 4621 NeighborTableList field of the Mgmt\_Lqi\_rsp command.

4622 The extended address, device type, RxOnWhenIdle, and permit joining fields have “unknown” values which SHALL  
 4623 be returned where the values are not available.

#### 4624 2.4.4.3.2.2 Effect on Receipt

4625 The local device is notified of the results of its attempt to obtain the neighbor table.

#### 4626 2.4.4.3.3 Mgmt\_Rtg\_rsp

4627 The Mgmt\_Rtg\_rsp command (ClusterID=0x8032) SHALL be formatted as illustrated in Figure 2-69.

Octets: 1	1	1	1	Variable
Status	RoutingTable Entries	Start Index	RoutingTable ListCount	RoutingTable List

4628 **Figure 2-69. Format of the Mgmt\_Rtg\_rsp Command Frame**

4629 Table 2-103 specifies the fields of the Mgmt\_Rtg\_rsp command frame.

4630 **Table 2-103. Fields of the Mgmt\_Rtg\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	NOT_SUPPORTED or any status code returned from the NLME-GET.confirm primitive.	The status of the Mgmt_Rtg_req command.
RoutingTableEntries	Integer	0x00–0xff	Total number of Routing Table entries within the Remote Device.
startIndex	Integer	0x00–0xff	Starting index within the Routing Table to begin reporting for the RoutingTableList.
RoutingTableListCount	Integer	0x00–0xff	Number of Routing Table entries included within RoutingTableList.

Name	Type	Valid Range	Description
RoutingTableList	List of Routing Descriptors	The list SHALL contain the number elements given by the RoutingTableListCount	A list of descriptors, beginning with the StartIndex element and continuing for RoutingTableListCount, of the elements in the Remote Device's Routing Table (see Table 2-104 for details).

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**Table 2-104. RoutingTableList Record Format**

Name	Size (Bits)	Valid Range	Description
Destination address	16	The 16-bit network address of this route.	Destination address.
Status	3	The status of the route.	0x0=ACTIVE. 0x1=DISCOVERY_UNDERWAY. 0x2=DISCOVERY_FAILED. 0x3=INACTIVE. 0x4-0x7=Reserved.
Memory Constrained	1		A flag indicating whether the device is a memory constrained concentrator.
Many-to-one	1		A flag indicating that the destination is a concentrator that issued a many-to-one request.
Route record required	1		A flag indicating that a route record command frame SHOULD be sent to the destination prior to the next data packet.
Reserved	2		
Next-hop address	16	The 16-bit network address of the next hop on the way to the destination.	Next-hop address.

**2.4.4.3.3.1 When Generated**

4634 The Mgmt\_Rtg\_rsp is generated in response to an Mgmt\_Rtg\_req. If this management command is not supported, a  
 4635 status of NOT\_SUPPORTED SHALL be returned and all parameter fields after the Status field SHALL be omitted.  
 4636 Otherwise, the Remote Device SHALL implement the following processing.

4637 Upon receipt of and after support for the Mgmt\_Rtg\_req has been verified, the Remote Device SHALL perform an  
 4638 NLME-GET.request (for the *nwkRouteTable* attribute) and process the resulting NLME-GET.confirm (containing the

4639 *nwkRouteTable* attribute) to create the Mgmt\_Rtg\_rsp command. The Mgmt\_Rtg\_rsp command SHALL contain the  
 4640 same status that was contained in the NLME-GET.confirm primitive and if this was not SUCCESS, all parameter  
 4641 fields after the status field SHALL be omitted.

4642 From the *nwkRouteTable* attribute, the routing table SHALL be accessed, starting with the index specified by StartIndex,  
 4643 and moved to the RoutingTableList field of the Mgmt\_Rtg\_rsp command. The entries reported from the routing  
 4644 table SHALL be those, starting with StartIndex and including whole RoutingTableList records (see Table 2-104) until  
 4645 MSDU size limit, that is, *aMaxMACFrameSize* (see [B1]), is reached. Within the Mgmt\_Rtg\_rsp command, the RoutingTableEntries  
 4646 field SHALL represent the total number of Routing Table entries in the Remote Device. The RoutingTableListCount field  
 4647 SHALL be the number of entries reported in the RoutingTableList field of the Mgmt\_Rtg\_req  
 4648 command.

4649 **2.4.4.3.2 Effect on Receipt**

4650 The local device is notified of the results of its attempt to obtain the routing table.

4651 **2.4.4.3.4 Mgmt\_Bind\_rsp**

4652 The Mgmt\_Bind\_rsp command (ClusterID=0x8033) SHALL be formatted as illustrated in Figure 2-70.

<b>Octets: 1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>Variable</b>
Status	BindingTable Entries	Start Index	BindingTable ListCount	BindingTable List

4653 **Figure 2-70. Format of the Mgmt\_Bind\_rsp Command Frame**

4654 Table 2-105 specifies the fields of the Mgmt\_Bind\_rsp command frame.

4655 **Table 2-105. Fields of the Mgmt\_Bind\_rsp Command Frame**

<b>Name</b>	<b>Type</b>	<b>Valid Range</b>	<b>Description</b>
Status	Integer	NOT_SUPPORTED or any status code returned from the APSME-GET.confirm primitive.	The status of the Mgmt_Bind_req command.
BindingTableEntries	Integer	0x00 – 0xff	Total number of Binding Table entries within the Remote Device.
startIndex	Integer	0x00 – 0xff	Starting index within the Binding Table to begin reporting for the BindingTableList.
BindingTableListCount	Integer	0x00 – 0xff	Number of Binding Table entries included within BindingTableList.

Name	Type	Valid Range	Description
BindingTableList	List of Binding Descriptors	The list SHALL contain the number elements given by the BindingTableListCount.	A list of descriptors, beginning with the StartIndex element and continuing for BindingTableListCount, of the elements in the Remote Device's Binding Table (see Table 2-106 for details).

4656

4657

**Table 2-106. BindingTableList Record Format**

Name	Size (Bits)	Valid Range	Description
SrcAddr	64	A valid 64-bit IEEE address	The source IEEE address for the binding entry.
SrcEndpoint	8	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterId	16	0x0000 – 0xffff	The identifier of the cluster on the source device that is bound to the destination device.
DstAddr-Mode	8	0x00 – 0xff	The addressing mode for the destination address. This field can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddr and DstEndpoint not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddr and DstEndp present 0x04 – 0xff = reserved
DstAddr	16/64	As specified by the DstAddrMode field.	The destination address for the binding entry.
DstEndpoint	0/8	0x01 – 0xff	This field SHALL be present only if the DstAddrMode field has a value of 0x03 and, if present, SHALL be the destination endpoint for the binding entry.

**4658 2.4.4.3.4.1 When Generated**

4659 The Mgmt\_Bind\_rsp is generated in response to a Mgmt\_Bind\_req. If this management command is not supported, a  
 4660 status of NOT\_SUPPORTED shall be returned and all parameter fields after the Status field shall be omitted. Other-  
 4661 wise, the Remote Device SHALL implement the following processing.

Upon receipt of and after support for the Mgmt\_Bind\_req has been verified, the Remote Device SHALL perform an APSME-GET.request (for the *apsBindingTable* attribute) and process the resulting APSME-GET.confirm (containing the *apsBindingTable* attribute) to create the Mgmt\_Bind\_rsp command. The Mgmt\_Bind\_rsp command SHALL contain the same status that was contained in the APSME-GET.confirm primitive and if this was not SUCCESS, all parameter fields after the status field SHALL be omitted. If the binding table is empty, the Mgmt\_Bind\_rsp SHALL return SUCCESS, set the fields BindingTable Entries = Start Index = BindingTable ListCount = 0x00 and not include the BindingTable List field.

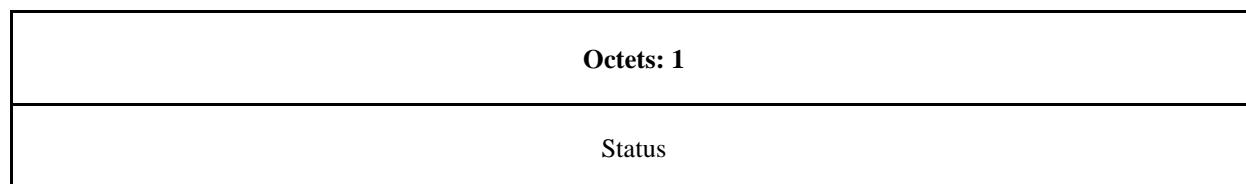
From the *apsBindingTable* attribute, the binding table SHALL be accessed, starting with the index specified by Start-Index, and moved to the BindingTableList field of the Mgmt\_Bind\_rsp command. The entries reported from the binding table SHALL be those, starting with StartIndex and including whole BindingTableList records (see Table 2-106) until the MSDU size limit, that is,, *aMaxMACFrameSize* (see [B1]), is reached. Within the Mgmt\_Bind\_rsp command, the BindingTableEntries field SHALL represent the total number of Binding Table entries in the Remote Device. The BindingTableListCount field SHALL be the number of entries reported in the BindingTableList field of the Mgmt\_Bind\_req command.

#### 2.4.4.3.4.2 Effect on Receipt

The local device is notified of the results of its attempt to obtain the binding table.

#### 2.4.4.3.5 Mgmt\_Leave\_rsp

The Mgmt\_Leave\_rsp command (ClusterID=0x8034) SHALL be formatted as illustrated in Figure 2-71.



**Figure 2-71. Format of the Mgmt\_Leave\_rsp Command Frame**

Table 2-107 specifies the fields of the Mgmt\_Leave\_rsp command frame.

**Table 2-107. Fields of the Mgmt\_Leave\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	NOT_SUPPORTED, NOT_AUTHORIZED or any status code returned from the NLME-LEAVE.confirm primitive.	The status of the Mgmt_Leave_req command.

#### 2.4.4.3.5.1 When Generated

The Mgmt\_Leave\_rsp is generated in response to a Mgmt\_Leave\_req. Stacks certified prior to Revision 21 MAY or MAY NOT support this command. If this management command is not supported, a status of NOT\_SUPPORTED SHALL be returned. All stacks certified to Revision 21 and later SHALL support this command.

#### 2.4.4.3.5.2 Effect on Receipt

Upon receipt of the Mgmt\_Leave\_rsp the device MAY parse the Status field to determine whether or not the remote device accepted the leave request.

#### 2.4.4.3.6 Mgmt\_Direct\_Join\_rsp – DEPRECATED

#### 2.4.4.3.7 Mgmt\_PermitJoining\_rsp

The Mgmt\_PermitJoining\_rsp command (ClusterID=0x8036) SHALL be formatted as illustrated in Figure 2-72.

<b>Octets: 1</b>
Status

**Figure 2-72. Format of the Mgmt\_Permit\_Joining\_rsp Command Frame**

Table 2-108 specifies the fields of the Mgmt\_Permit\_Joining\_rsp command frame.

**Table 2-108. Fields of the Mgmt\_Permit\_Joining\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, NOT_AUTHORIZED, or any status code returned from the NLME-PERMIT-JOINING.confirm primitive.	The status of the Mgmt_Permit_Joining_rsp command.

#### 2.4.4.3.7.1 When Generated

The Mgmt\_Permit\_Joining\_rsp is generated in response to a unicast Mgmt\_Permit\_Joining\_req. In the description which follows, note that no response SHALL be sent if the Mgmt\_Permit\_Joining\_req was received as a broadcast to all routers. If this management command is not permitted by the requesting device, a status of INV\_REQUESTTYPE SHALL be returned. Upon receipt and after support for Mgmt\_Permit\_Joining\_req has been verified, the Remote Device SHALL execute the NLME-PERMIT-JOINING.request. The Mgmt\_Permit-Joining\_rsp SHALL contain the same status that was contained in the NLME-PERMIT-JOINING.confirm primitive.

#### 2.4.4.3.7.2 Effect on Receipt

The status of the Mgmt\_Permit\_Joining\_req command is notified to the requestor.

#### 2.4.4.3.8 Mgmt\_Cache\_rsp – DEPRECATED

#### 2.4.4.3.9 Mgmt\_NWK\_Update\_notify

The Mgmt\_NWK\_Update\_notify command (ClusterID=0x8038) SHALL be formatted as illustrated in Figure 2-73.

Octets: 1	4	2	2	1	Variable
Status	Scanned Channels	TotalTransmissions	Transmission-Failures	ScannedChannelsListCount	EnergyValues

**Figure 2-73. Format of the Mgmt\_NWK\_Update\_notify Command Frame**

Table 2-109 specifies the fields of the Mgmt\_NWK\_Update\_notify command frame.

4710

**Table 2-109. Fields of the Mgmt\_NWK\_Update\_notify Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, NOT_SUPPORTED, or any status values returned from the MLME-SCAN.confirm primitive	The status of the Mgmt_NWK_Update_notify command.
ScannedChannels	Bitmap	0x00000000 – 0xffffffff.	The five most significant bits (b27,..., b31) represent the binary encoded Channel Page. The 27 least significant bits (b0, b1,... b26) indicate which channels were scanned (1 = scan, 0 = do not scan) for each of the 27 valid channels.
TotalTransmissions	Integer	0x0000 – 0xffff	Count of the total transmissions reported by the device.
TransmissionFailures	Integer	x0000 – 0xffff	Sum of the total transmission failures reported by the device.
ScannedChannelsList-Count	Integer	0x00 – 0xff	The list SHALL contain the number of records contained in the EnergyValues parameter.
EnergyValues	Integer	List of ED values each of which can be in the range of 0x00 – 0xff.	The result of an energy measurement made on this channel in accordance with [B1].

4711

**2.4.4.3.9.1 When Generated**

4712

The Mgmt\_NWK\_Update\_notify is provided to enable Zigbee devices to report the condition on local channels to a network manager. The scanned channels list is the report of channels scanned and contains a count followed by a list of records, one for each channel scanned, each record including one byte of the energy level measured during the scan, or 0xff if there is too much interference on this channel.

4716

When sent in response to a Mgmt\_NWK\_Update\_req command the status field SHALL represent the status of the request. This message SHALL NOT be sent unsolicited – use Mgmt\_NWK\_Unsolicited\_Enhanced\_Update\_notify instead.

4719

**2.4.4.3.9.2 Effect on Receipt**

4720

The local device is notified of the local channel conditions at the transmitting device, or of its attempt to update network configuration parameters.

4722

**2.4.4.3.10 Mgmt\_NWK\_Enhanced\_Update\_notify**4723  
4724

The Mgmt\_NWK\_Enhanced\_Update\_notify command (ClusterID=0x8039) SHALL be formatted as illustrated in Figure 2-74.

Octets: 1	4	2	2	1	Variable
Status	Scanned Channels	TotalTransmis-sions	Transmission-Failures	ScannedChansListCount	EnergyValues

**Figure 2-74. Format of the Mgmt\_NWK\_Enhanced\_Update\_notify Command Frame**

Table 2-110 specifies the fields of the Mgmt\_NWK\_Enhanced\_Update\_notify command frame.

**Table 2-110. Fields of the Mgmt\_NWK\_Enhanced\_Update\_notify Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, NOT_SUPPORTED, or any status values returned from the MLME-SCAN.confirm primitive.	The status of the Mgmt_NWK_Enhanced_Update_notify command.
ScannedChannels	Bitmap	0x00000000 – 0xffffffff	The five most significant bits (b27,..., b31) represent the binary encoded Channel Page. The 27 least significant bits (b0, b1,... b26) indicate which channels were scanned (1 = scan, 0 = do not scan) for each of the 27 valid channels.
TotalTransmissions	Integer	0x0000 – 0xffff	Count of the total transmissions reported by the device.
TransmissionFailures	Integer	x0000 – 0xffff	Sum of the total transmission failures reported by the device.
ScannedChannelsList-Count	Integer	0x00 – 0xff	The list SHALL contain the number of records contained in the EnergyValues parameter.
EnergyValues	Integer	List of ED values each of which can be in the range of 0x00 – 0xff.	The result of an energy measurement made on this channel in accordance with [B1].

#### 2.4.4.3.10.1 When Generated

The Mgmt\_NWK\_Enhanced\_Update\_notify is provided to enable Zigbee devices to report the condition on local channels to a network manager. The scanned channels list is the report of channels scanned and contains a count followed by a list of records, one for each channel scanned, each record including one byte of the energy level measured during the scan, or 0xff if there is too much interference on this channel.

When sent in response to a Mgmt\_NWK\_Enhanced\_Update\_req command the status field SHALL represent the status of the request. This message SHALL NOT be sent unsolicited – use Mgmt\_NWK\_Unsolicited\_Enhanced\_Update\_notify instead.

4736 2.4.4.3.10.2 **Effect on Receipt**

4737 The local device is notified of the local channel conditions at the transmitting device, or of its attempt to update  
 4738 network configuration parameters.

4739 **2.4.4.3.11 Mgmt\_NWK\_IEEE\_Joining\_List\_rsp**

4740 The Mgmt\_NWK\_IEEE\_Joining\_list\_rsp command (Cluster ID=0x803A) SHALL be formatted as illustrated in Fig-  
 4741 ure 2-75.

Octets: 1	0/1	0/1	0/1	0/1	0/1	0/Variable
Status	IeeeJoiningListUpdateID	JoiningPolicy	IeeeJoiningListTotal	StartIndex	IeeeJoiningCount	IeeeJoiningList

4742 **Figure 2-75. Format of the Mgmt\_NWK\_IEEE\_Joining\_List\_rsp Command Frame**

4743 Table 2-111 specifies the fields of the Mgmt\_NWK\_IEEE\_Joining\_List\_rsp command frame.

4744 **Table 2-111. Field Descriptions of the Mgmt\_NWK\_IEEE\_Joining\_List\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, or NOT_SUPPORTED	The status of the Mgmt_NWK_IEEE_Joining_List_req command. If Status is not SUCCESS, no other fields are included.
IeeeJoiningListUpdateID	Integer	0x00 – 0xFF	The issue ID of the IeeeJoiningList. This field SHALL start at 0 and increment for each change to the IeeeJoiningList, or each change to the Joining Policy wrapping to 0 after 0xFF.
JoiningPolicy	Enumeration	See Table 2-112.	This is an enumeration indicating one of the JoiningPolicy values allowed in Table 2-112.
IeeeJoiningListTotal	Integer	0x00 – 0xFF	The total number of IEEE Joining Addresses contained in the Mgmt_NWK_IEEE_Joining_List_rsp.
startIndex	Integer	0x00 – 0xFF	The starting index in the mibIeeeJoiningList. This field SHALL be omitted if the IeeeJoiningListTotal is 0.
IeeeJoiningCount	Integer	x00 – 0xFF	The number of IEE joining messages contained in the ZDO message
IeeeJoiningList	List of IEEE values		A list of IEEE addresses from the mibIeeeJoiningList. This field SHALL be omitted if the IeeeJoiningListTotal is 0.

4745

4746

**Table 2-112. ZDO JoiningPolicy Enumeration Values**

<b>Enumeration</b>	<b>Value</b>	<b>Description</b>
ALL_JOIN	0x00	Any device is allowed to join.
IEEELIST_JOIN	0x01	Only devices on the mibJoiningIeeeList are allowed to join.
NO_JOIN	0x02	No device is allowed to join.

**2.4.4.3.11.1 When Generated**

4748 The Mgmt\_NWK\_IEEE\_Joining\_List\_rsp MAY either be generated in response to a Mgmt\_NWK\_IEEE\_Joining\_List\_req or it MAY be sent as an unsolicited broadcast to inform the entire network of a change. For the details  
 4749 of when it is generated in response to a Mgmt\_NWK\_IEEE\_Joining\_List\_req, see section 2.4.3.3.11.2.  
 4750

**2.4.4.3.11.2 Effect on Receipt**

4751 The device SHALL process the message as follows:

- 4753 1) If the Status is not SUCCESS, the message SHALL be discarded and no further processing SHALL take place.
- 4754 2) For each entry in the nwkMacInterfaceTable it SHALL do the following.
  - 4755 a) Execute an MLME-SET.request of the *mibJoiningPolicy* to the value of the JoiningPolicy from the ZDO message.
  - 4756 b) If the IeeeJoiningListTotal is 0 it SHALL do the following:
    - 4757 i) The ZDO SHALL clear all entries from the *mibJoiningIeeeList*.
    - 4758 ii) Go to step 2 and process the next entry in the nwkMacInterfaceTable.
  - 4759 c) Execute an MLME-SET.request and set the values of the *mibJoiningIeeeList* at the index of StartIndex to the values of IeeeJoiningList from the ZDO message.

**2.4.4.3.12 Mgmt\_NWK\_Unsolicited\_Enhanced\_Update\_notify**

4763 The Mgmt\_NWK\_Unsolicited\_Enhanced\_Update\_notify command (ClusterID=0x003b) SHALL be formatted as il-  
 4764 lustrated in Figure 2-76.

<b>Octets: 1</b>	<b>4</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>1</b>
Status	Channel in use	MACTxUcast Total	MACTxUcast Failures	MACTxUcast Retries	PeriodOfTimeForResults

**Figure 2-76. Format of the Mgmt\_NWK\_Unsolicited\_Update\_notify Command Frame**

4765 Table 2-113 specifies the fields of the Mgmt\_NWK\_Unsolicited\_Enhanced\_Update\_notify command frame.

**Table 2-113 Fields of the Mgmt\_NWK\_Unsolicited\_Enhanced\_Update\_notify Command**

<b>Name</b>	<b>Type</b>	<b>Valid Range</b>	<b>Description</b>
Channel in use	Bitmap	0x00000000 – 0xffffffff	The five most significant bits (b27....., b31) represent the binary encoded Channel Page. The 27 least significant bits (b0, b1,... b26) indicate which channels

Name	Type	Valid Range	Description
			is in use (1 = in use, 0 = not in use) for each of the 27 valid channels.
MACTxUcast Total	Integer	0x0000 – 0xffff	Total number of Mac Tx Transactions to attempt to send a message (but not counting retries)
MACTxUcast Failures	Integer	x0000 – 0xffff	Total number of failed Tx Transactions. So if the Mac sent a single packet, it will be retried 4 times without ACK, that counts as 1 failure.
MACTxUcast Retries	Integer	x0000 – 0xffff	Total number of Mac Retries regardless of whether the transaction resulted in success or failure.
PeriodOfTimeForResults	Integer	0x00 – 0xff	Time period over which MACTxyyy results are measured (in minutes)

4768 2.4.4.3.12.1 **When Generated**

4769 The Mgmt\_NWK\_Unsolicited\_Enhanced\_Update\_notify is provided to enable Zigbee devices to report the condition  
 4770 on local channels to a network manager. The scanned channel list is the report of channels scanned and it is followed  
 4771 by a list of records, one for each channel scanned, each record including one byte of the energy level measured during  
 4772 the scan, or 0xff if there is too much interference on this channel.

4773 2.4.4.3.12.2 **Effect on Receipt**

4774 The local device is notified of the local channel conditions at the transmitting device.

4775 2.4.4.3.13 **Mgmt\_NWK\_Beacon\_Survey\_rsp**

4776 The Mgmt\_NWK\_Beacon\_Survey\_rsp (ClusterID=0x803c) SHALL be formatted as illustrated in Figure 2-77.

Octets: 1	Varies
Status	TLVs

4777 **Figure 2-77. Format of the Mgmt\_NWK\_Beacon\_Survey\_rsp Command Frame**

4778 Table 2-114 specifies the fields of the Mgmt\_NWK\_Beacon\_Survey\_rsp command frame.

4779 **Table 2-114. Fields of the Mgmt\_NWK\_Beacon\_Survey\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, or NOT_SUPPORTED	The status of the Mgmt_NWK_Beacon_Survey_req command. If the status is not SUCCESS, then the other fields are not included.
TLVs	TLV	Varies	The Mgmt_NWK_Beacon_Survey_rsp SHALL include the following TLVs:

			<ul style="list-style-type: none"> <li>• Beacon Survey Results TLV</li> <li>• Potential Parents TLV</li> </ul>
--	--	--	--

4780 2.4.4.3.13.1 **Local TLVs**

## 4781 2.4.4.3.13.1.1 Beacon Survey Results TLV (ID 0x01)

4782 The Beacon Survey Results TLV (ID 0x01) is 4 bytes in length and contains information about the channels, scan  
4783 configuration and counted beacons as illustrated in Figure 2-78.

Octets: 1	1	1	1
Total Beacons Received	On Network Beacon	Potential Parent Beacon	Other Network Beacons

4784 **Figure 2-78. Format of the Beacon Survey Results TLV**

4785 Table 2-115 specifies the fields of the Beacon Survey Results TLV.

4786 **Table 2-115. Fields of the Beacon Survey Results TLV**

Name	Type	Valid Range	Description
Total Beacons Received	Integer	0 – 255	The total number of IEEE Std 802.15.4 beacons received during the scan.
On-network Beacons	Integer	0 – 255	The total number of Zigbee Network beacons where the Extended PAN ID matches the local device's nwkExtendedPanId.
Potential Parent Beacons	Integer	0 – 255	The total number of Zigbee Network beacons where the Extended PAN ID matches and the Zigbee Beacon payload indicates End Device Capacity = TRUE.
Other Network Beacons	Integer	0 – 255	The total number of IEEE Std 802.15.4 beacons from other Zigbee networks or other IEEE Std 802.15.4 networks. Other Zigbee network beacons are defined as when the Extended PAN ID does not match the local Extended PAN ID.

## 4787 2.4.4.3.13.1.2 Potential Parents TLV(ID 0x02)

4788 The Potential Parents TLV(ID 0x02) is 4 to 19 bytes in length and indicates the number of available parents in radio  
4789 range as illustrated in Figure 2-79. A maximum of 5 parents is supported for this TLV. The list of potential parents  
4790 SHALL be ordered as described in section 3.6.1.5.2.

Octets: 2	1	1	0 / 2	0 / 1	Variable
Current Parent Short Address	LQA	Count	Potential Parent Short Address	LQA	Additional Potential Parent Short Address and LQA fields

4791 **Figure 2-79. Format of the Potential Parents TLV**

4792 Table 2-116 specifies the fields of the Potential Parents TLV.

4793

**Table 2-116. Fields of the Potential Parents TLV**

Name	Type	Valid Range	Description
Current Parent Short Address	Short Address	0x0000 – 0xFFFF	The short address that is the current parent for the device. For a router or coordinator this value SHALL be set to 0xFFFF.
LQA	Integer	0x00 – 0xFF	The value of the LQA of the current parent.
Count	Integer	0x00 – 0x05	This is the count of additional potential parent short addresses and their associated LQA. If there are no other potential parents this SHALL indicate 0. This value SHALL not be greater than 5.
Potential Parent Short Address	Short Address	0x0000 – 0xFFFF	The short address for a potential parent that the device can hear a beacon for.
LQA	Integer	0x00 – 0xFF	The LQA value of the associated potential parent.

4794 **2.4.4.3.13.2 When Generated**

4795 This is generated in response to the Mgmt\_NWK\_Beacon\_Survey\_req command.

4796 **2.4.4.3.13.3 Effect on Receipt**

4797 The application MAY use this to help manage the network.

4798 **2.4.4.4 Security Server Services**

4799 Table 2-117 lists the commands supported by the Device Profile related to Security Client services.

4800

**Table 2-117. Security Server Services**

Security Client Service	Cluster ID	Client Transmission	Server Processing
Security_Start_Key_Negotiation_rsp	0x8040	O	O
Security_Retrieve_Authentication_Token_rsp	0x8041	O	O
Security_Get_Authentication_Level_rsp	0x8042	M	M
Security_Set_Configuration_rsp	0x8043	M	M
Security_Get_Configuration_rsp	0x8044	M	M
Security_Start_Key_Update_rsp	0x8045	M	M
Security_Decommissioning_rsp	0x8046	M	M
Security_Challenge_rsp	0x8047	M	M

4801 **2.4.4.4.1 Security\_Start\_Key\_Negotiation\_rsp**4802 The Security\_Start\_Key\_Negotiation\_rsp command (0x8040) shall be formatted as illustrated in Figure 2-80. This  
4803 command SHALL NOT be APS encrypted.

4804 When performing Key Negotiation with an unauthenticated neighbor that is not yet on the network, network layer  
 4805 encryption SHALL NOT be used on the message. If the message is being sent to unauthenticated device that is not  
 4806 on the network and is not a neighbor, it SHALL be relayed as described in section 4.6.3.7.7. Otherwise the message  
 4807 SHALL have network layer encryption.

Octets: 1	Variable
Status	TLVs

4808 **Figure 2-80. Format of the Security\_Start\_Key\_Negotiation\_rsp Command Frame**

4809 Table 2-118 specifies the fields of the Security\_Start\_Key\_Negotiation\_rsp command frame.

4810 **Table 2-118. Fields of the Security\_Start\_Key\_Negotiation\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INVALID_TLV, MISSING_TLV, TEMPORARY_FAILURE, NOT_AUTHORIZED	The result of the Security_Start_Key_Negotiation_req.
TLVs	TLV	Varies	The set of TLVs sent by the receiver of the Security_Start_Key_Negotiation_req.

4811 2.4.4.4.1.1 **Local TLVs**

4812 2.4.4.4.1.2 **Curve25519 Public Point TLV (ID=0)**

4813 Figure 2-81 indicates the format of the Local TLV for Curve25519 Public Point TLV.

Octets: 8	32
Device EUI64	Public Point

4814 **Figure 2-81. Format of the Curve25519 Public Point TLV**

4815 Table 2-119 specifies the fields of the Curve25519 Public Point TLV

4816 **Table 2-119. Fields of the Curve25519 Public Point TLV**

Field	Description
Device EUI64	This indicates the EUI64 of the device that generated the public point.
Public Point	The 32-byte Curve public point.

4817 2.4.4.4.1.3 **When Generated**

4818 The Security\_Start\_Key\_Negotiation\_rsp is generated after a device processes the Security\_Start\_Key\_Negotiation\_req and decides to reject the request, or after it has accepted the request and executed the corresponding cryptographic primitives. Typically, this is used to negotiate a Trust Center Link Key prior to becoming fully joined and authorized on a network, but it can be used after joining a network as well.

4822 The security primitives for key negotiation are the APSME-KEY-NEGOTIATION primitives and are used by the stack to manage the process. See section 4.4.9 for more details. Their interaction with the over-the-air messages can be found in Figure 4-6.

4825 When negotiating a Trust Center Link Key the device SHALL send at least the following TLV:

- 4826 • Curve25519 Public Point TLV

4827 **2.4.4.4.1.4 Effect on Receipt**

4828 On receipt, the device SHALL do as follows:

- 4829 1. If the Status is TEMPORARY\_FAILURE, indicating that the current APSME-KEY-NEGOTIATION.request  
4830 cannot be processed at the present time, the Stack SHOULD retry the operation by generating a new APSME-  
4831 KEY-NEGOTIATION.request. The delay before initiating the retry SHALL be 5 seconds or greater.
- 4832 2. If the Status is any other non-zero value then no further processing SHALL be done.
- 4833 3. If more than one public point TLV is present then the message SHALL be dropped and no further processing  
4834 SHALL be done.
- 4835 4. If the Curve25519 Public Point TLV is not present, then the message SHALL be dropped and no more pro-  
4836 cessing SHALL be done.
- 4837 5. Generate an APSME-KEY-NEGOTIATE.confirm with the following parameters
  - a. The PartnerLongAddress SHALL be set to the Device EUI64 within the Curve25519 Public Point TLV.
  - b. The PublicPointData SHALL be set to the public point from the Curve25519 Public Point TLV.
  - c. If the ZDO frame was contained within an APS Command Relay Message Upstream then it SHALL do the  
4841 following:
    - i. Set RelayCommand to TRUE.
    - ii. Set RelayLongAddress to the address of the Device that sent the Network Data frame.

4844 **2.4.4.4.2 Security\_Retrieve\_Authentication\_Token\_rsp**

4845 The Security\_Retrieve\_Authentication\_Token\_rsp command SHALL be as illustrated in Figure 2-82.

Octets: 1	Variable
Status	TLVs

4846 **Figure 2-82. Format of the Security\_Retrieve\_Authentication\_Token\_rsp Command Frame**

4847 **2.4.4.4.2.1 When Generated**

4848 This message is generated by the Trust Center as described in section 2.4.3.4.2.

4849 **2.4.4.4.2.2 Effect on Receipt**

4850 Upon receipt, the device SHALL do the following:

- 4851 1. If the message was not APS encrypted by the Trust Center it SHALL be dropped and no further processing  
4852 SHALL be done.
- 4853 2. If the message was not sent by the Trust Center it SHALL be dropped and no further processing SHALL be  
4854 done.
- 4855 3. The device SHALL find the *apsDeviceKeyPairSet* entry associated with the Trust Center.
  - a. If none is found, then the message SHALL be discarded and no further processing SHALL be done.
- 4856 4. The device SHALL examine the PassphraseUpdateAllowed of the entry.
  - a. If set to FALSE then the message SHALL be discarded and no further processing SHALL be done.
- 4858 5. The device SHALL examine the TLVs and determine if there is a 128-bit Symmetric Passphrase Global TLV in  
4859 the set.
  - a. If none is present, then the message SHALL be discarded and no further processing SHALL be done.
- 4861 6. The device SHALL copy the data of the 128-bit Symmetric Passphrase Global TLV to the value of the Pass-  
4862 phrase value for the entry of the *apsDeviceKeyPairSet* AIB value.
- 4863 7. The device SHALL set the PassphraseUpdateAllowed value of the entry to FALSE.

4865 **2.4.4.4.3 Security\_Get\_Authentication\_Level\_rsp**

4866 The Security\_Get\_Authentication\_Level\_rsp command (ClusterID= 0x8042) SHALL be formatted as illustrated in  
4867 Figure 2-83.

Octets: 1	Variable
Status	TLVs

**Figure 2-83. Format of the Security\_Get\_Authentication\_Level\_rsp Command Frame**

Table 2-120 specifies the fields of the Security\_Start\_Key\_Negotiation\_rsp command frame.

**Table 2-120. Fields of the Security\_Get\_Authentication\_Level\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, NOT_SUPPORTED, INV_REQUESTTYPE, MISSING_TLV, and NOT_AUTHORIZED	The status of the request to get the authentication level.
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this Revision of the specification: <ul style="list-style-type: none"> <li>• Device Authentication Level TLV</li> </ul> Other TLVs may be included.

#### 2.4.4.4.3.1 Local TLVs

#### 2.4.4.4.3.2 Device Authentication Level TLV (ID=0)

The Device Authentication Level TLV is formatted as illustrated in Figure 2-84.

Octets: 8	1	1
IEEEAddrRemoteNode	InitialJoinMethod	AcitiveLinkKeyType

**Figure 2-84. Format of the Device Authentication TLV**

Table 2-121 specifies the fields of the Device Authentication TLV.

**Table 2-121. Fields of the Device Authentication TLV**

Name	Type	Valid Range	Description
IEEEAddrRemoteNode	Device Address	An extended 64-bit, IEEE address	64-bit address for the node that is being inquired about.
InitialJoinMethod	Enumeration	0x00 – 0x03	This indicates the joining method that was used when the device joined the network. 0x00 = Anonymous

Name	Type	Valid Range	Description
			0x01 = Install Code Key 0x02 = Well-known Passphrase 0x03 = Install Code Passphrase
ActiveLinkKeyType	Enumeration	0x00 – 0x04	This indicates what Link Key update method was used to create the current active Link Key. 0x00 = Not Updated 0x01 = Key Request Method 0x02 = Unauthenticated Key Negotiation 0x03 = Authenticated Key Negotiation 0x04 = Application Defined Certificate Based Mutual Authentication

4877 2.4.4.4.3.3 **When Generated**

4878 The Security\_Get\_Authentication\_Level\_rsp is generated by a Remote Device in response to a Security\_Get\_Authentication\_Level\_req command inquiring as to the authentication level of the IEEEAddrOfInterest of an address held in  
 4879 the Key Pair Descriptor table. The destination addressing on this command SHALL be unicast. The command SHALL  
 4880 be APS encrypted.

4882 2.4.4.4.3.4 **Effect on Receipt**

4883 On receipt of the Security\_Get\_Authentication\_Level\_rsp command, the recipient is either notified of the status of its  
 4884 attempt to discover the current authentication level of an IEEE address or notified of an error. If the Security\_Get\_Authentication\_Level\_rsp command is received with a Status of SUCCESS, the remaining fields of the command contain  
 4885 the appropriate discovery information.

4887 2.4.4.4.4 **Security\_Set\_Configuration\_rsp**

4888 The Security\_Set\_Configuration\_rsp command (ClusterID=0x8043) SHALL be formatted as illustrated in Figure  
 4889 2-85. The command contains a set of TLV Tag ID and TLV Processing Status pairs as defined by the TLV Status  
 4890 Count in Figure 2-86.

Octets: 1	Variable
Overall Status	TLVs

4891 **Figure 2-85. Security\_Set\_Configuration\_rsp Command Frame**

4892 Table 2-122 specifies the fields of the Security\_Set\_Configuration\_rsp command frame.

4893

**Table 2-122. Fields of the Security\_Set\_Configuration\_rsp Command Frame**

Name	Type	Valid Range	Description
Overall Status	Integer	SUCCESS, INV_REQUESTTYPE, or NOT_SUPPORTED	The overall status of a Security_Set_Configuration_req command.
TLVs	Variable	Varies	A set of one or more TLVs.

4894

**2.4.4.4.1 Local TLVs**

4895

**2.4.4.4.1.1 Processing Status TLV (ID = 0)**4896  
4897  
4898

The Processing Status TLV indicates the result of processing configuration changes from a set of TLVs sent in a previous message. The Processing Status TLV illustrated in Figure 2-86 will be 1 or more bytes in length and contain pairs of tag ID and processing status results, meaning it will always be an odd number in total length.

Octets: 1	0 or 1	0 or 1	0 or 1	0 or 1	...
TLV Status Count	Tag ID	Processing Status	Tag ID	Processing Status	...

4899

**Figure 2-86. Format of the Processing Status TLV**4900  
4901  
4902  
4903  
4904  
4905  
4906

The Processing Status TLV contains a set of Tag ID and Processing Status results from a previous set of TLVs sent to the device to change its configuration. The TLV Status count will indicate the number of Tag ID and Processing Status pairs are present in the full TLV. The count may be zero, indicating that there were no known TLVs in the previous message that could be processed. When the TLV Status count is greater than 1, there SHALL be pairs of Tag ID and Processing Status values. For each pair, the tag ID will indicate a previously received TLV tag ID and the associated status of whether it is processed. The Processing Status value SHALL be one of the ZDP Enumerated Status values: SUCCESS, INV\_REQUESTTYPE, or NOT\_SUPPORTED.

4907

**2.4.4.4.2 When Generated**4908  
4909  
4910  
4911

The Security\_Set\_Configuration\_rsp is generated by a device in response to a Security\_Set\_Configuration\_req. For each received TLV Tag ID in the Security\_Set\_Configuration\_req there SHALL exist a TLV Tag ID and the corresponding TLV Processing Status of that TLV. If at least one TLV was successfully processed the Overall Status SHALL be SUCCESS.

4912

**2.4.4.4.3 Effect on Receipt**

4913

The device receiving this message can determine the results of a previous Security\_Set\_Configuration\_req.

4914

**2.4.4.4.5 Security\_Get\_Configuration\_rsp**4915  
4916  
4917  
4918

The Security\_Get\_Configuration\_rsp command (ClusterID = 0x08044) is generated by a device in response to a Security\_Get\_Configuration\_req. For received Global TLV IDs in the prior request the device responds with its current state information as a list of TLVs contained in the Security\_Get\_Configuration\_rsp. This command SHALL be APS encrypted. The format of the message is in Figure 2-87.

4919

Octets: 1	Variable
Overall Status	TLVs

**Figure 2-87. Security\_Get\_Configuration\_rsp Command Frame**

Table 2-123 specifies the fields of the Security\_Get\_Configuration\_rsp command frame.

**Table 2-123. Fields of the Security\_Get\_Configuration\_rsp Command Frame**

Name	Type	Valid Range	Description
Overall Status	Integer	SUCCESS, INV_REQUESTTYPE, or NOT_SUPPORTED	The overall status of a Security_Get_Configuration_req command.
TLVs	TLV	Variable	The value of the requested global TLV values.

#### 2.4.4.4.5.1 Local TLVs

There are no Local TLVs defined for this message.

#### 2.4.4.4.5.2 When Generated

This message is generated in response to the ZDO Security\_Get\_Configuration\_req.

#### 2.4.4.4.5.3 Effect on Receipt

The device can examine each received global TLV to learn the state of that TLV for the device sending the Security\_Get\_Configuration\_rsp.

### 2.4.4.4.6 Security\_Start\_Key\_Update\_rsp

The Security\_Start\_Key\_Update\_rsp command (cluster ID = 0x8045) is formatted as illustrated in Figure 2-88. This command SHALL be APS encrypted.

Octets: 1
Status

**Figure 2-88. Security\_Start\_Key\_Update\_rsp Command Frame**

Table 2-124 specifies the fields of the Security\_Start\_Key\_Update\_rsp command frame.

**Table 2-124. Fields of the Security\_Start\_Key\_Update\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, NOT_AUTHORIZED or NOT_SUPPORTED	The status of the request to Start the key update process.

#### 2.4.4.4.6.1 When Generated

This is generated in response to a Security\_Start\_Key\_Update\_req.

4938 2.4.4.4.6.2 **Effect on Receipt**

4939 The Trust Center will learn the result of whether it's request ZDO Security\_Start\_Key\_Update\_req was successful.

4940 2.4.4.4.7 **Security\_Decommission\_rsp**4941 The Security\_Decommission\_rsp is sent in response to a Security\_Decommission\_req to report the result of an attempt to  
4942 decommission all data associated with a target EUI64. The command (cluster ID = 0x8046) is formatted as illustrated  
4943 in Figure 2-89. This command SHALL be APS encrypted.

Octets: 1
Status

4944 **Figure 2-89. Security\_Decommission\_rsp Command Frame**

4945 Table 2-125 specifies the fields of the Security\_Decommission\_rsp command frame.

4946 **Table 2-125. Fields of the Security\_Decommission\_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, NOT_AUTHORIZED or NOT_SUPPORTED	The status of the request to Start the key update process.

4947 2.4.4.4.7.1 **When Generated**

4948 This is generated in response to a Security\_Decommission\_req.

4949 2.4.4.4.7.2 **Effect on Receipt**4950 The Trust Center will learn of the result of the decommissioning request of a third-party device by the sender of the  
4951 Security\_Decommission\_rsp.4952 2.4.4.4.8 **Security\_Challenge\_rsp**4953 This command is used by a device to respond to a challenge and send its latest frame counter value to another device.  
4954 The Security\_Challenge\_rsp (Cluster ID = 0x8047) is formatted as illustrated in Figure 2-90.

Octets: Varies
TLVs

4955 **Figure 2-90. Security\_Challenge\_rsp Command Frame**4956 2.4.4.4.8.1 **Locally Scoped TLVs**

4957 Table 2-126 defines the locally scoped TLVs for this message.

4958 **Table 2-126. Locally Scoped TLVs for Security\_Challenge\_rsp**

Tag ID	Name
0x00	APS Frame Counter Response

4959 2.4.4.4.8.2 **APS Frame Counter Response TLV**

4960 Table 2-127 describes the format of the APS Frame Counter Response TLV.

4961

**Table 2-127. Format of the APS Frame Counter Response TLV**

<b>Octets: 8</b>	<b>8</b>	<b>4</b>	<b>4</b>	<b>8</b>
Sender EUI64	Received Challenge Value	APS Frame Counter	Challenge Security Frame Counter	MIC

4962 Table 2-128 describes the fields of the APS Frame Counter Response TLV.

4963

**Table 2-128 Fields of the APS Frame Counter Response TLV**

<b>Field</b>	<b>Description</b>
Responder EUI64	The EUI64 of the device that is responding to the Security_Challenge_req with its own challenge.
Received Challenge Value	A randomly generated 64-bit value previously received in the APS Frame Counter Challenge TLV.
APS Frame Counter	The current outgoing APS security frame counter held by the Responder EUI64 device.
Challenge Security Frame Counter	The AES-CCM-128 outgoing frame counter used to generate the MIC over the octet sequence { tag    length    responder EUI-64    received challenge value    APS frame counter } using the special nonce and AES-128 key for frame counter synchronization.
MIC	The AES-128-CCM 64-bit MIC (security level 2) on all previous fields of this TLV, excluding the challenge security frame counter, including Tag ID and length fields.

4964 **2.4.4.4.8.3 When Generated**4965 This command is generated by a device responding to a ZDO Security\_Challenge\_req to inform the requester of the  
4966 local device's current APS Frame counter.

4967 This command SHALL NOT be APS encrypted.

4968 **2.4.4.4.8.4 Effect on Receipt**

- 4969 1. If the message was broadcast it SHALL be dropped and no further processing SHALL be done.
- 4970 2. If the message did not include the APS Frame Counter Response TLV do the following.
  - 4971 a. The message is dropped and no further processing SHALL be done.
  - 4972 3. If the Sender EUI64 does not match the *apsChallengeTargetEui64* then the message SHALL be dropped and no further processing SHALL be done.
  - 4973 4. If the *apsChallengeValue* of the AIB does not match the Challenge Value in the TLV, the message SHALL be dropped and no further processing SHALL be done.
  - 4974 5. Otherwise, follow the procedure in section .

4977 **2.4.5 ZDP Enumeration Description**4978 This section explains the meaning of the enumerations used in the ZDP. Table 2-129 shows a description of the ZDP  
4979 enumeration values.

**Table 2-129. ZDP Enumerations Description**

<b>Enumeration</b>	<b>Value</b>	<b>Description</b>
SUCCESS	0x00	The requested operation or transmission was completed successfully.
-	0x01 – 0x7f	Reserved.
INV_REQUESTTYPE	0x80	The supplied request type was invalid.
DEVICE_NOT_FOUND	0x81	The requested device did not exist on a device following a child descriptor request to a parent.
INVALID_EP	0x82	The supplied endpoint was equal to 0x00 or 0xff.
NOT_ACTIVE	0x83	The requested endpoint is not described by a simple descriptor.
NOT_SUPPORTED	0x84	The requested optional feature is not supported on the target device.
TIMEOUT	0x85	A timeout has occurred with the requested operation.
NO_MATCH	0x86	failure to match any suitable clusters.
-	0x87	Reserved.
NO_ENTRY	0x88	The unbind request was unsuccessful due to the coordinator or source device not having an entry in its binding table to unbind.
NO_DESCRIPTOR	0x89	A child descriptor was not available following a discovery request to a parent.
INSUFFICIENT_SPACE	0x8a	The device does not have storage space to support the requested operation.
NOT_PERMITTED	0x8b	The device is not in the proper state to support the requested operation.
TABLE_FULL	0x8c	The device does not have table space to support the operation.
NOT_AUTHORIZED	0x8d	The device has rejected the command due to security restrictions.

<b>Enumeration</b>	<b>Value</b>	<b>Description</b>
DEVICE_BINDING_TABLE_FULL	0x8e	The device does not have binding table space to support the operation.
INVALID_INDEX	0x8f	The index in the received command is out of bounds.
FRAME_TOO_LARGE	0x90	The response was too large to fit in a single unfragmented message.
BAD_KEY_NEGOTIATION_METHOD	0x91	The requested Key Negotiation Method was not accepted.
TEMPORARY_FAILURE	0x92	The request encountered a temporary failure but a retry at a later time should be attempted and may succeed.
-	0x92 – 0xff	Reserved.

## 2.4.6 ZDP Enumeration Status Values from the Network Layer

The ZDP may reuse status values from the network layer according to the processing rules of the ZDO commands. One of the main uses of this will be to indicate INVALID\_TLV or MISSING\_TLV. This will avoid defining those status values at multiple layers. See Table 3-80 for the definition of those values.

## 2.4.7 Conformance

When conformance to this Profile is claimed, all capabilities indicated mandatory for this Profile SHALL be supported in the specified manner (process mandatory). This also applies to optional and conditional capabilities, for which support is indicated, and is subject to verification as part of the Zigbee certification program.

# 2.5 The Zigbee Device Objects (ZDO)

## 2.5.1 Scope

This section describes the concepts, structures, and primitives needed to implement a Zigbee Device Objects application on top of a Zigbee Application Support Sub-layer (section 2.2) and Zigbee Network Layer (Chapter 3).

Zigbee Device Objects are applications which employ network and application support layer primitives to implement Zigbee End Devices, Zigbee Routers, and Zigbee Coordinators.

The Zigbee Device Object Profile employs Clusters to describe its primitives. The Zigbee Device Profile Clusters do not employ attributes and are analogous to messages in a message transfer protocol. Cluster identifiers are employed within the Zigbee Device Profile to enumerate the messages employed within Zigbee Device Objects.

Zigbee Device Objects also employ configuration attributes. The configuration attributes within Zigbee Device Objects are attributes set by the application or stack profile. The configuration attributes are also not related to the Zigbee Device Profile, though both the configuration attributes and the Zigbee Device Profile are employed with Zigbee Device Objects.

## 5003    2.5.2    Device Object Descriptions

---

5004    The Zigbee Device Objects are an application solution residing within the Application Layer (APL) and above the  
5005    Application Support Sub-layer (APS) in the Zigbee stack architecture as illustrated in Figure 1-1.

5006    The Zigbee Device Objects are responsible for the following functions:

- 5007    • Initializing the Application Support Sublayer (APS), Network Layer (NWK), Security Service Provider (SSP)  
5008    and any other Zigbee device layer other than the end applications residing over Endpoints 1 – 254.
- 5009    • Assembling configuration information from the end applications to determine and implement the functions de-  
5010    scribed in the following sections.

### 5011    2.5.2.1    Primary Discovery Cache Device Operation - Deprecated

### 5012    2.5.2.2    Device and Service Discovery

5013    This function SHALL support device and service discovery within a single PAN. Additionally, for all Zigbee device  
5014    types, this function SHALL perform the following:

5015    The following discovery features SHALL be supported:

5016    Device Discovery:

- 5017    • Based on a unicast inquiry of a Zigbee Coordinator or Zigbee Router's IEEE address, the IEEE Address of the  
5018    requested device plus, optionally, the NWK Addresses of all associated devices SHALL be returned.
- 5019    • Based on a unicast inquiry of a Zigbee End Device's IEEE address, the IEEE Address of the requested device  
5020    SHALL be returned.
- 5021    • Based on a broadcast inquiry (of any broadcast address type) of a Zigbee Coordinator or Zigbee Router's NWK  
5022    Address with a supplied IEEE Address, the NWK Address of the requested device plus, optionally, the NWK  
5023    Addresses of all associated devices SHALL be returned.
- 5024    • Based on a broadcast inquiry (of any broadcast address type) of a Zigbee End Device's NWK Address with a  
5025    supplied IEEE Address, the NWK Address of the requested device SHALL be returned. The responding device  
5026    SHALL employ APS acknowledged service for the unicast response to the broadcast inquiry.

5027    Service Discovery: Based on the following inputs, the corresponding responses SHALL be supplied:

- 5028    • NWK address plus Active Endpoint query type – Specified device SHALL return the endpoint number of all  
5029    applications residing in that device. Should the list of active endpoints exceed the ASDU size and where frag-  
5030    mentation is not supported on the server device, an extended version of the query type is also provided to return  
5031    the full list through multiple requests.
- 5032    • NWK address or broadcast address (of any broadcast address type) plus Service Match including Profile ID  
5033    and, optionally, Input and Output Clusters – Specified device matches Profile ID with all active endpoints to  
5034    determine a match. If no input or output clusters are specified, the endpoints that match the request are returned.  
5035    If input and/or output clusters are provided in the request, those are matched as well, and any matches are pro-  
5036    vided in the response with the list of endpoints on the device providing the match. The responding device  
5037    SHALL employ APS acknowledged service for the unicast response to the broadcast inquiry. By convention, in  
5038    cases where the application profile enumerates input clusters and their response output clusters with the same  
5039    cluster identifier, the application profile SHALL list only the input cluster within the Simple Descriptor for the  
5040    purposes of Service Discovery.
- 5041    • NWK address plus Node Descriptor or Power Descriptor query type – Specified device SHALL return the Node  
5042    or Power Descriptor for the device.
- 5043    • NWK address, Endpoint Number plus Simple Descriptor query type – Specified address SHALL return the  
5044    Simple Descriptor associated with that Endpoint for the device. Should the list of input and/or output clusters  
5045    exceed the ASDU size capacity to return the Simple Descriptor in a single packet an extended version of the  
5046    query type is also provided to return the full list through multiple requests.

### 5047    2.5.2.3    Security Manager

5048 This function determines whether security is enabled or disabled and, if enabled, SHALL perform the following:

- 5049    • Transport Key
- 5050    • Request Key
- 5051    • Update Device
- 5052    • Remove Device
- 5053    • Switch Key

5054 The Security Manager function addresses the Security Services Specification (Chapter 4). The Security Management  
5055 entity, implemented by APSME primitive calls by ZDO, performs the following:

- 5056    • Transports the NWK Key from the Trust Center using secured communication with the Trust Center. This step  
5057 employs the APSME-TRANSPORT-KEY primitive.
- 5058    • Establishes or transports Link Keys, as required, with specific devices in the network. These steps employ the  
5059        APSME-TRANSPORT-KEY and/or APSME-REQUEST-KEY primitives.
- 5060    • Informs the Trust Center of any devices that join the network using the APSME-UPDATE-DEVICE primitives.  
5061        This function is only performed if the device is a Zigbee router.
- 5062    • Permits devices to obtain keys from the Trust Center using the APSME-REQUEST-KEY primitives.
- 5063    • Permits the Trust Center to remove devices from the network using the APSME-REMOVE-DEVICE primi-  
5064        tives.
- 5065    • Permits the Trust Center to switch the active network key using the APSME-SWITCH-KEY primitives.

### 5066    2.5.2.4    Network Manager

5067 This function SHALL implement the Zigbee Coordinator, Zigbee Router, or Zigbee End Device logical device types  
5068 according to configuration settings established either via a programmed application or during installation. If the device  
5069 type is a Zigbee Router or Zigbee End Device, this function SHALL provide the ability to select an existing PAN to  
5070 join and implement procedures which permit the device to rejoin if network communication is lost. If the device type  
5071 is a Zigbee Coordinator or Zigbee Router, this function SHALL provide the ability to select an unused channel for  
5072 creation of a new PAN. Note that it is possible to deploy a network without a device pre-designated as Zigbee Coor-  
5073 dinator where the first Full Function Device (FFD) activated assumes the role of Zigbee Coordinator.

5074 The following description covers processing addressed by Network Management:

- 5075    • Permits specification of a channel list for network scan procedures. Default is to specify use of all channels in  
5076        the selected band of operation.
- 5077    • Manages network scan procedures to determine neighboring networks and the identity of their Zigbee coordina-  
5078        tors and routers.
- 5079    • Permits selection of a channel to start a PAN (Zigbee Coordinator) or selection of an existing PAN to join  
5080        (Zigbee Router or Zigbee End Device).
- 5081    • Supports orphaning and extended procedures to rejoin the network, including support for intra\_PAN portability.
- 5082    • May support direct join. For Zigbee Coordinators and Zigbee Routers, a local version of direct join MAY be  
5083        supported to enable the device to join via the orphaning or rejoin procedures.
- 5084    • May support Management Entities that permit external network management.
- 5085    • Detects and reports interference to support changing network channels.
- 5086    • Manages network interference reporting and selection of a new channel for network operation if interference  
5087        exists on the initial channel if the particular node is identified as the network manager for the overall PAN.

## 5088 **2.5.2.5 Binding Manager**

5089 The Binding Manager performs the following:

- 5090 • Establishes resource size for the Binding Table. The size of this resource is determined via a programmed application or via a configuration attribute defined during installation.
- 5092 • Processes bind requests for adding or deleting entries from the APS binding table.
- 5093 • Supports Bind and Unbind commands from external applications such as those that MAY be hosted on a com-
- 5094 missioning or network management tool to support assisted binding. Bind and Unbind commands SHALL be
- 5095 supported via the Zigbee Device Profile (see section 2.4).
- 5096 • Permits configuration tools to exchange one device for another in all the binding table entries which refer to it.

## 5097 **2.5.2.6 Node Manager**

5098 For Zigbee Coordinators and Zigbee Routers, the Node Management function performs the following:

- 5099 • Permits remote management commands to perform network discovery.
- 5100 • Provides remote management commands to retrieve the routing table.
- 5101 • Provides remote management commands to retrieve the binding table.
- 5102 • Provides a remote management command to have the device leave the network or to direct that another device
- 5103 leave the network.
- 5104 • Provides a remote management command to retrieve the LQI for neighbors of the remote device.
- 5105 • Provides a remote management command to Permit or disallow joining on particular routers or to generally al-
- 5106 low or disallow joining via the Trust Center.

## 5107 **2.5.2.7 Group Manager**

5108 The Group Manager performs the following:

- 5109 • Provides for inclusion of application objects within the local device into groups under application control.
- 5110 • Provides for removal of application objects within the local device from group membership under application
- 5111 control.

## 5112 **2.5.3 Layer Interface Description**

---

5113 Unlike other device descriptors for applications residing above Endpoints 1 – 254, the Zigbee Device Objects (ZDO)  
5114 interface to the APS via the APSME-SAP in addition to the APSDE-SAP. ZDO communicates over Endpoint 0 using  
5115 the APSDE-SAP via Profiles like all other applications. The Profile used by ZDO is the Zigbee Device Profile (see  
5116 section 2.4). ZDO frames SHALL NOT be fragmented.

5117 Zigbee Device Objects SHALL employ Endpoint 0 as the source and destination endpoint in any transmitted Zigbee  
5118 Device Profile request frames, and SHALL expect Endpoint 0 as the source and destination endpoint in any received  
5119 response frames.

## 5120 **2.5.4 System Usage**

---

### 5121 **2.5.4.1 Object Overview**

5122 Zigbee Device Objects contain six Objects:

- 5123 • Device and Service Discovery
- 5124 • Network Manager

- 5125 • Binding Manager  
 5126 • Security Manager  
 5127 • Node Manager  
 5128 • Group Manager

5129 Table 2-130 describes these Zigbee Device Objects.

5130 **Table 2-130. Zigbee Device Objects**

<b>Object</b>		<b>Description</b>
<b>Name</b>	<b>Status</b>	
:Device_and_Service_Discovery	M	Handles device and service discovery.
:Network_Manager	M	Handles network activities such as network discovery, leaving/joining a network, resetting a network connection and creating a network.
:Binding_Manager	O	Handles binding and unbinding activities.
:Security_Manager	M	Handles security services such as key loading, key establishment, key transport and authentication.
:Node_Manager	O	Handles management functions.
:Group Manager	O	Handles management of groups.

5131 **2.5.4.2 Optional and Mandatory Objects and Attributes**

5132 Objects listed as Mandatory SHALL be present on all Zigbee devices. However, for certain Zigbee logical types,  
 5133 Objects listed as Optional for all Zigbee devices MAY be Mandatory in specific logical device types. For example,  
 5134 the NLME-NETWORK-FORMATION.request within the Network\_Manager object is in a Mandatory object and is  
 5135 an Optional attribute, though the attribute is required for Zigbee Coordinator logical device types. The introduction  
 5136 section of each Device Object section will detail the support requirements for Objects and Attributes by logical device  
 5137 type.

5138 **2.5.4.3 Security Key Usage**

5139 Zigbee Device Objects MAY employ security for packets created by Zigbee Device Profile primitives. These application  
 5140 packets using APSDE on Endpoint 0 SHALL utilize the APSDE Security Service Provider interface like all  
 5141 other Application Objects.

5142 **2.5.4.4 Public and Private Methods**

5143 Methods that are accessible to any endpoint application on the device are called public methods. Private methods are  
 5144 only accessible to the Device Application on endpoint 0 and not to the end applications (which run on endpoints  
 5145 1–254).

5146 **2.5.4.5 State Machine Functional Descriptions**5147 **2.5.4.5.1 Zigbee Coordinator**5148 **2.5.4.5.1.1 Initialization**

5149 The implementation SHALL set the startup-related IB attributes shown in Table 2-131 to values that reflect the desired  
5150 startup behavior for the device. In particular, the *apsDesignatedCoordinator* attribute of the IB SHALL be set to TRUE.  
5151 If the device implements more than one option for Zigbee protocol version or stack profile, it SHALL choose a single  
5152 value for each and set *nwkProtocolVersion* and *nwkStackProfile* accordingly. Additionally, provision SHALL be  
5153 made to provide configuration elements to describe the Node Descriptor, Power Descriptor, Simple Descriptor for  
5154 each active endpoint and application plus the list of active endpoints. These configurations SHALL be embodied in  
5155 :Config\_Node\_Descriptor, :Config\_Power\_Descriptor, and :Config\_Simple\_Descriptors.

5156 If supported, provision SHALL be made to supply configuration elements for the maximum number of bind entries.  
5157 These elements SHALL be embodied in :Config\_Max\_Bind.

5158 To start as a Zigbee coordinator, the device application SHALL execute the startup procedure described in section  
5159 2.5.4.5.6.2 with startup attributes set as described above. This SHOULD have the effect of executing the procedure  
5160 for network formation described in section 3.6.1.1. The device application SHALL set the *nwkSecurityLevel* and  
5161 *nwkAllFresh* NIB attributes according to the values established by convention within the Stack Profile employed by  
5162 the device. The device application SHALL check the return status via the NLME-NETWORK-FORMATION.confirm  
5163 to verify successful creation of the PAN. The :Config\_Permit\_Join\_Duration SHALL be set according to the default  
5164 attribute value supplied using the NLME-PERMIT-JOINING.request. Additionally, the *nwkNetworkBroadcastDe-*  
5165 *liveryTime* and *nwkTransactionPersistenceTime* Network Information Block attributes (see section 3.6.2) SHALL  
5166 be set with :Config\_NWK\_BroadcastDeliveryTime and :Config\_NWK\_TransactionPersistenceTime respectively  
5167 (see section 2.5.5).

5168 Provision SHALL be made to ensure APS primitive calls from the end applications over EP 1 through EP 254 return  
5169 appropriate error status values prior to completion of the Initialization state by Zigbee Device Objects and transition  
5170 to the normal operating state.

5171 **2.5.4.5.1.2 Normal Operating State**

5172 In this state, the Zigbee Coordinator SHALL process the list of direct joined addresses in  
5173 :Config\_NWK\_Join\_Direct\_Addrs by issuing an NLME-ADD-NEIGHBOR.request for each included address in the  
5174 list. Processing of the direct joined addresses SHALL employ the :Config\_Max\_Assoc attribute in evaluating whether  
5175 to successfully process a direct joined address within :Config\_NWK\_Join\_Direct\_Addrs.

5176 The Zigbee coordinator SHALL allow other devices to join the network based on the configuration items  
5177 :Config\_Permit\_Join\_Duration and :Config\_Max\_Assoc. When a new device joins the network, the device applica-  
5178 tion shall be informed via the NLME-JOIN.indication. Should the device be admitted to the PAN, the Zigbee coordi-  
5179 nator SHALL indicate this via the NLME-JOIN.confirm with SUCCESS status.

5180 The Zigbee coordinator SHALL respond to any device discovery or service discovery operations requested of its own  
5181 device. The device application SHALL also ensure that the number of binding entries does not exceed the :Con-  
5182 fig\_Max\_Bind attribute.

5183 The Zigbee coordinator SHALL support the NLME-PERMIT-JOINING.request and NLME-PERMIT-JOINING.con-  
5184 firm to permit application control of network join processing.

5185 The Zigbee coordinator SHALL maintain a list of currently associated devices and facilitate support of orphan scan  
5186 and rejoin processing to enable previously associated devices to rejoin the network. The Zigbee coordinator MAY  
5187 support the ability for devices to be directly included in the network via the NLME-ADD-NEIGHBOR.request and  
5188 NLME-ADD-NEIGHBOR.confirm. This feature SHALL permit lists of Zigbee IEEE addresses to be provided to the  
5189 Zigbee coordinator and for those addresses to be included as previously associated devices. It SHALL be possible for  
5190 Zigbee devices with those addresses to directly join the network via orphaning or rejoin procedures rather than asso-  
5191 ciating directly.

5192 The Zigbee coordinator SHALL support the NLME-NWK-STATUS.indication and process those notifications per  
5193 section 3.2.2.31.

5194 The Zigbee coordinator SHALL process Device\_ance messages from other Zigbee devices. Upon receipt of a Device\_ance, the Zigbee coordinator SHALL check all internal tables holding 64-bit IEEE addresses for devices within  
5195 the PAN for a match with the address supplied in the Device\_ance message. If a match is detected, the Zigbee  
5196 coordinator SHALL update its *nwkAddressMap* attribute of the NIB corresponding to the matched 64-bit IEEE address  
5197 to reflect the updated 16-bit NWK address contained in the Device\_ance. The Zigbee Coordinator SHALL also  
5198 employ the address conflict resolution procedure detailed in section 3.6.1.10.  
5199

5200 **2.5.4.5.1.3 Trust Center Operation**

5201 The network device pointed to by the address in *apsTrustCenterAddress* SHALL function as the Trust Center when  
5202 security is enabled on the network.

5203 The Trust Center operation is defined within section 4.6.2.

5204 **2.5.4.5.2 Zigbee Router**

5205 **2.5.4.5.2.1 Initialization**

5206 The implementation SHALL set the startup-related IB attributes shown in Table 2-131 to values that reflect the desired  
5207 startup behavior for the device. In particular, the *apsDesignatedCoordinator* attribute of the IB SHALL be set to  
5208 FALSE.

5209 If supported, provision SHALL be made to supply configuration elements for the maximum number of bind entries.  
5210 These elements SHALL be embodied in :Config\_Max\_Bind.

5211 To start as a Zigbee router, the device application SHALL execute the startup procedure described in section  
5212 2.5.4.5.6.2 with startup attributes set as described above. This SHOULD have the effect of executing either the pro-  
5213 cedure for network rejoin or else the full procedure for network join through MAC association described in section  
5214 3.6.1.6.1. The NLME-NETWORK-AND-PARENT-DISCOVERY.request procedure SHALL be implemented :Con-  
5215 fig\_NWK\_Scan\_Attempts, each separated in time by :Config\_NWK\_Time\_btwn\_Scans. The purpose of repeating  
5216 the NLME-NETWORK-AND-PARENT-DISCOVERY.request is to provide a more accurate neighbor list and asso-  
5217 ciated link quality indications to the NWK layer. Specification of the algorithm for selection of the PAN SHALL be  
5218 left to the profile description and MAY include use of the Extended PAN ID, operational mode of the network, identity  
5219 of the Zigbee Router or Coordinator identified on the PAN, depth of the Zigbee Router on the PAN from the Zigbee  
5220 Coordinator for the PAN, capacity of the Zigbee Router or Coordinator, the routing cost, or the Protocol Version  
5221 Number (these parameters are supplied by the NLME-NETWORK-AND-PARENT-DISCOVERY.confirm and the  
5222 beacon payload).

5223 The Zigbee router MAY join networks employing the current protocol version number or MAY join networks em-  
5224 ploying a previous protocol version number, under application control, if backward compatibility is supported in the  
5225 device. A single Zigbee PAN SHALL consist of devices employing only a single protocol version number (networks  
5226 with devices employing different protocol version numbers and frame formats within the same PAN are not per-  
5227 mitted). An optional configuration attribute, :Config\_NWK\_alt\_protocol\_version, provides the protocol version numbers  
5228 which the device MAY choose to employ other than the current protocol version number. Once the Zigbee router  
5229 chooses a PAN and a specific protocol version number, it SHALL employ that protocol version number as its  
5230 *nwkProtocolVersion*. Additionally, the Zigbee router SHALL then adhere to all frame formats and processing rules  
5231 supplied by the version of the Zigbee Specification employing that protocol version number.

5232 The :Config\_Permit\_Join\_Duration shall be set according to the default parameter value supplied using NLME-PER-  
5233 MIT-JOINING.request. The router SHALL support the NLME-START-ROUTER.request and NLME-START-  
5234 ROUTER.confirm to begin operations as a router within the PAN it has joined. Additionally, the *nwkNetworkBroad-*  
5235 *castDeliveryTime* and *nwkTransactionPersistenceTime* Network Information Block attributes (see section 3.5.2)  
5236 SHALL be set with :Config\_NWK\_BroadcastDeliveryTime and  
5237 :Config\_NWK\_TransactionPersistenceTime respectively (see section 2.5.5).

5238 Provision SHALL be made to ensure APS primitive calls from the end applications over EP 1 through EP 254 return  
5239 appropriate error status values prior to completion of the Initialization state by Zigbee Device Objects and transition  
5240 to the normal operating state.

5241 If the network has security enabled, the device SHALL wait for successful acquisition of the NWK key to start func-  
5242 tioning as a router in the network. See section 4.6.2 for details on Trust Center operations.

5243 The device application SHALL set the *nwkSecurityLevel* NIB attribute to the values used in the network and begin  
5244 functioning as a router using NLME-START-ROUTER.request.

5245 **2.5.4.5.2.2 Normal Operating State**

5246 In this state, the Zigbee router SHALL allow other devices to join the network based on the configuration items  
5247 :Config\_Permit\_Join\_Duration and :Config\_Max\_Assoc. When a new device joins the network, the device applica-  
5248 tion SHALL be informed via the NLME-JOIN.indication attribute. Should the device be admitted to the PAN, the  
5249 Zigbee router SHALL indicate this via the NLME-JOIN.confirm with SUCCESS status. If security is enabled on the  
5250 network, the device application SHALL inform the Trust Center via the APSME-UPDATE-DEVICE. request.

5251 The Zigbee router SHALL respond to any device discovery or service discovery operations requested of its own  
5252 device. The device application SHALL also ensure that the number of binding entries does not exceed the :Con-  
5253 fig\_Max\_Bind attribute.

5254 The Zigbee router SHALL support APSME-TRANSPORT-KEY.indication to receive keys from the Trust Center.

5255 The Zigbee router SHALL support the NLME-PERMIT-JOINING.request and NLME-PERMIT-JOINING.confirm  
5256 to permit application control of network join processing.

5257 The Zigbee router SHALL support the NLME-NWK-STATUS.indication and process those notifications per section  
5258 3.2.2.31.

5259 The Zigbee router SHALL support the NLME-LEAVE.request and NLME-LEAVE.confirm employing the :Con-  
5260 fig\_NWK\_Leave\_removeChildren attribute where appropriate to permit removal of associated devices under applica-  
5261 tion control. Conditions that lead to removal of associated devices MAY include lack of security credentials, re-  
5262 moval of the device via a privileged application or detection of exception.

5263 The Zigbee router SHALL process Device\_annce messages from other Zigbee devices. Upon receipt of a  
5264 Device\_annce, the Zigbee router SHALL check all internal tables holding 64-bit IEEE addresses for devices within  
5265 the PAN for a match with the address supplied in the Device\_annce message. If a match is detected, the Zigbee router  
5266 SHALL update its *nwkAddressMap* of the NIB corresponding to the matched 64-bit IEEE address to reflect the up-  
5267 dated 16-bit NWK address contained in the Device\_annce. The Zigbee Router SHALL also employ the address con-  
5268 flict resolution procedure detailed in section 3.6.1.10.

5269 The Zigbee router SHALL maintain a list of currently associated end devices and facilitate support of orphan scan and  
5270 rejoin processing to enable previously associated end devices to rejoin the network.

5271 The Zigbee router MAY decide it has lost contact with the network it was joined to. In this situation, the router  
5272 SHOULD conduct an active scan to find the network. If the network is found more than once the router SHOULD  
5273 attempt to rejoin where there is a more recent value of *nwkUpdateId* in the beacon payload.

5274 **2.5.4.5.3 Binding Table Cache Operation – DEPRECATED**

5275 **2.5.4.5.4 Operations to Support Intra-PAN Portability**

5276 **2.5.4.5.4.1 Overview**

5277 The operations described in this section are carried out by Zigbee Coordinator and Zigbee Router Devices for support  
5278 of intra-PAN portability.

5279 The main steps are summarized as follows:

- 5280 1. Detect the problem - The ZDO of the device is notified of acknowledgement failures via the NLME-NWK-  
5281 STATUS.indication primitive, and identifies a problem. For end devices this can be initially detected by failures  
5282 with their parent device. For routers, an application layer keepalive mechanism is required to determine that  
5283 connectivity to important devices is lost, such as the Trust Center for centralized networks. The keepalive defi-  
5284 nition and failure detection is left up to the application layer and is outside the scope this document.
- 5285 2. Carry out the NWK layer rejoin procedure - The ZDO of a ZED initiates this process using the NLME-JOIN.re-  
5286 quest primitive, either through a secured or un-secured rejoining procedure. The NWK rejoin procedures closely  
5287 mirror the MAC association procedure.

- 5288 3. Security verification - Secured and unsecured protocol steps are described to ensure that the orphaned device  
 5289 SHOULD really be accepted.
- 5290 4. Inform the rest of the network - when a device changes parents the steps to complete address conflict detection  
 5291 in section 3.6.1.10 SHALL be completed. These actions also serve to notify the old parent that the End Device  
 5292 has changed parents.
- 5293 5. Provide a means for parents that were temporarily unavailable and caused the end-device to rejoin are able to  
 5294 update their child tables once they are back online.

5295 These steps are described in detail in the subsections below. The mechanism for secured rejoin of a ZED and for trust  
 5296 center rejoin of a ZED or ZR are both illustrated in Figure 2-91. Note that the NWK and SEC sections on secured and  
 5297 trust center rejoin (sections 3.2.2.13, 3.2.2.14, 3.2.2.15, 3.6.1.6, and 4.6.3) SHALL be the authoritative text for these  
 5298 procedures. The diagrams in this section are provided for illustrative purposes only.

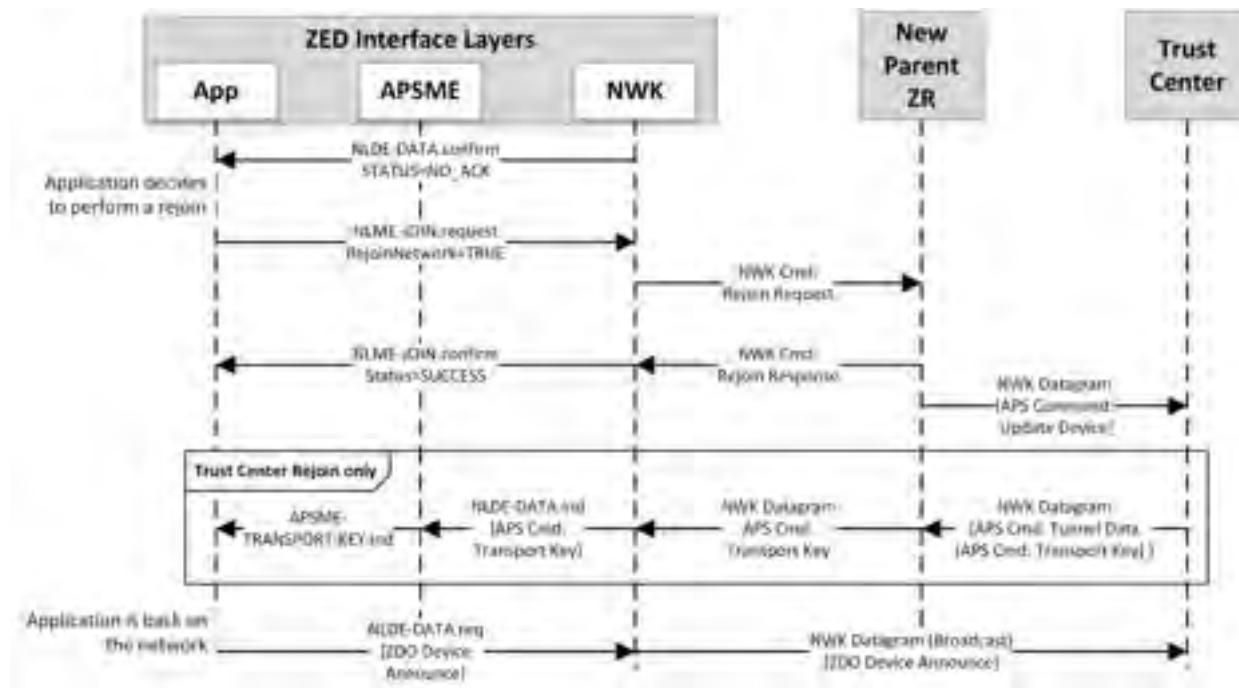


Figure 2-91. Portability Message Sequence Chart: ZED Rejoin

- 5300 2.5.4.5.4.2 **Description of Operations for Security Verification**
- 5301 As for MAC association, a Zigbee Coordinator or Zigbee Router device is informed of a rejoined device when the  
 5302 NLME issues an NLME-JOIN.indication primitive. This SHALL be handled in the same way as for an association  
 5303 indication, except that for a secured rejoin the update device and key transport step.
- 5304 Full network operation SHALL NOT be permitted until the verification steps described below have been carried out.  
 5305 Measures SHALL be taken by a newly (re-)joined node and by its new parent to verify that it is really allowed to be  
 5306 on this network. Two cases are envisioned:  
 5307 One or the other is not implemented according to this specification, and SHOULD NOT have joined. The measures  
 5308 described here allow both sides to revoke the join in this case.  
 5309 One or the other device is a compromised/hacked device. In the case that security is enabled, the measures in sec-  
 5310 tion 4.6.3.6 are additionally applied so that an unauthorized join is revoked.  
 5311 This verification is carried out using existing commands. Section 2.5.4.5.4.3 describes the transmission of a De-  
 5312 vice\_annotate command to the new parent. The new parent SHALL check that this or some other message is correctly  
 5313 formed and contains the addressing fields corresponding to the orphaned device. If security is enabled, then this com-  
 5314 mand SHALL be secured with the network key, and the new parent SHALL verify that all security processing is  
 5315

5316 carried out correctly. If all these checks succeed then the orphaned device SHALL become joined to the network.  
5317 Otherwise, it SHALL NOT become joined to the network at this time. As normal, messages sent from a device not  
5318 joined to the network SHALL NOT be forwarded across the network, and commands SHALL NOT be carried out.  
5319 Accordingly, the orphaned device SHALL only become joined to the network once it receives at least one correctly  
5320 formed Zigbee message from the new parent. If security is enabled, this message SHALL be secured with the network  
5321 key and all security processing SHALL be carried out correctly. If messages cannot be exchanged in protocol, then  
5322 the orphaned device SHALL NOT become joined to the network at this time.

#### 5323 2.5.4.5.4.3 Description of Operations for Informing the Rest of the Network

5324 If the Zigbee End Device rejoins a new parent using the orphaning or rejoin process it SHALL complete the address  
5325 conflict process in section 3.6.1.9. Upon receiving the *Device\_ance*, all devices SHALL check their internal tables  
5326 holding 64-bit IEEE addresses for devices within the PAN for a match with the address supplied in the *Device\_ance*  
5327 message. If a match is detected, the device SHALL update the *nwkAddressMap* attribute of the NIB corresponding to  
5328 the matched 64-bit IEEE address to reflect the updated 16-bit NWK address contained in the *Device\_ance*. Devices  
5329 will generally keep their existing NWK addresses during the intra-PAN portability procedure. Also, if the NWK ad-  
5330 dress has changed during the intra-PAN portability procedure, the ZDO SHALL arrange that any IEEE address to  
5331 short address mappings which have become known to applications running on this device be updated. This behavior  
5332 is mandatory, but the mechanism by which it is achieved is outside the scope of this specification.

#### 5333 2.5.4.5.5 Zigbee End Device

##### 5334 2.5.4.5.5.1 Initialization

5335 The implementation SHALL set the startup-related IB attributes shown in Table 2-131 to values that reflect the desired  
5336 startup behavior for the device. In particular, the *apsDesignatedCoordinator* attribute of the IB SHALL be set to  
5337 FALSE.

5338 If supported, provision SHALL be made to supply configuration elements for the maximum number of bind entries.  
5339 These elements SHALL be embodied in :Config\_Max\_Bind.

5340 To start as a Zigbee end device, the device application SHALL execute the startup procedure described in section  
5341 2.5.4.5.6.2 with startup parameters set as described above. This SHOULD have the effect of executing either the  
5342 network rejoin or else the full procedure for network join through MAC association described in section 3.6.1.6.1.  
5343 The NLME-NETWORK-AND-PARENT-DISCOVERY.request procedure shall be implemented :Config\_NWK\_Scan\_Attempts, each separated in time by :Config\_NWK\_Time\_btwn\_Scans. The purpose of repeating  
5344 the NLME-NETWORK-AND-PARENT-DISCOVERY.request is to provide a more accurate neighbor list and asso-  
5345 ciated link quality indications to the NWK layer. Specification of the algorithm for selection of the PAN SHALL be  
5346 left to the profile description and MAY include use of the Extended PAN ID, operational mode of the network, identity  
5347 of the Zigbee Router or Coordinator identified on the PAN, depth of the Zigbee Router on the PAN from the Zigbee  
5348 Coordinator for the PAN, capacity of the Zigbee Router or Coordinator, the routing cost, or the Protocol Version  
5349 Number (these parameters are supplied by the NLME-NETWORK-AND-PARENT-DISCOVERY.confirm and the  
5350 beacon payload).

5352 The Zigbee end device MAY join networks employing the current protocol version number or MAY join networks  
5353 employing a previous protocol version number, under application control, if backward compatibility is supported in  
5354 the device. A single Zigbee PAN SHALL consist of devices employing only a single protocol version number (net-  
5355 works with devices employing different protocol version numbers and frame formats within the same PAN are not  
5356 permitted). An optional configuration attribute, :Config\_NWK\_alt\_protocol\_version, provides the protocol version  
5357 numbers which the device MAY choose to employ other than the current protocol version number. Once the Zigbee  
5358 end device chooses a PAN and a specific protocol version number, it SHALL employ that protocol version number  
5359 as its *nwkProtocolVersion*. Additionally, the Zigbee end device SHALL then adhere to all frame formats and pro-  
5360 cessing rules supplied by the version of the Zigbee Specification employing that protocol version number.

5361 If the device application sets the NLME-JOIN RxOnWhenIdle parameter to FALSE, the :Config\_NWK\_  
5362 indirectPollRate SHALL be used to determine the polling rate for indirect message requests. The :Config\_NWK\_in-  
5363 directPollRate SHALL be set according to the value established by the application profile(s) supported on the device.  
5364 Once polling for indirect message requests is initiated, if communications failure with the parent is detected

5365 determined by failure of indirect message requests :Config\_Parent\_Link\_Threshold\_Retry consecutive attempts, the  
5366 device application SHALL employ the network rejoin procedure.

5367 Once the End Device has successfully joined a network, the device SHALL issue a Device\_annce providing its 64-bit  
5368 IEEE address and 16-bit NWK address.

5369 Provision SHALL be made to ensure APS primitive calls from the end applications over EP 1 through EP 254 return  
5370 appropriate error status values prior to completion of the Initialization state by Zigbee Device Objects and transition  
5371 to the normal operating state.

5372 If network has security enabled, the device SHALL wait successful acquisition of the NWK key to start functioning  
5373 as an end device in the network. See section 4.6.2 for details on Trust Center operations.

5374 **2.5.4.5.5.2 Normal Operating State**

5375 If the device application set the NLME-JOIN RxOnWhenIdle parameter to FALSE, the :Config\_NWK\_  
5376 indirectPollRate SHALL be used to poll the parent for indirect transmissions while in the normal operating state.  
5377 While a fragmented message is being received, the device MAY temporarily increase its polling rate, and SHALL  
5378 ensure that it polls its parent at least once every macTransactionPersistenceTime seconds.

5379 The Zigbee end device SHALL respond to any device discovery or service discovery operations requested of its own  
5380 device using the attributes described in section 2.5.4.

5381 The Zigbee end device SHALL support APSME-TRANSPORT-KEY.indication to receive keys from the Trust Center.  
5382

5383 The Zigbee End Device SHALL process Device\_annce messages from other Zigbee devices. Upon receipt of a De-  
5384 vice\_annce, the Zigbee End Device SHALL check all internal tables holding 64-bit IEEE addresses for devices within  
5385 the PAN for a match with the address supplied in the Device\_annce message. If a match is detected, the Zigbee End  
5386 Device SHALL update the *nwkAddressMap* of the NIB corresponding to the matched 64-bit IEEE address to reflect  
5387 the updated 16-bit NWK address contained in the Device\_annce.

5388 The Zigbee End Device SHALL process the NLME-NWK-STATUS.indication sent from the NWK layer. If the error  
5389 code equals to 0x09 (Parent Link Failure), the ZED will update its failure counter maintained in ZDO. If the value of  
5390 the failure counter is smaller than the :Config\_Parent\_Link\_Retry\_Threshold attribute, the ZED MAY decide to issue  
5391 further commands to attempt to communicate with the parent node, depending on the application of the ZED. If the  
5392 value of the failure counter exceeds the :Config\_Parent\_Link\_Retry\_Threshold attribute, the ZED SHALL then pre-  
5393 pare to start the rejoin process. Note that implementers MAY optionally use a more accurate time-windowed scheme  
5394 to identify a link failure.

5395 The rejoin process mirrors the MAC association process very closely, however, a device is permitted to rejoin a parent  
5396 that is not accepting new associations. The ZDO MAY use the NLME-NETWORK-AND-PARENT-DISCOVERY.  
5397 request primitive to detect potential alternative parents, and in order to optimize recovery latency and reliability,  
5398 SHALL select an appropriate new parent based on the following information from that device's beacon:

- 5399 • PAN ID  
5400 • EPID (Extended PAN ID)  
5401 • Channel  
5402 • Signal strength  
5403 • Whether the potential parent indicates that it is currently able to communicate with its Trust Center  
5404 • Whether this device has recently failed to join this parent, or this network

5405 Once a potential parent has been selected, the ZDO SHALL issue an NLME-JOIN.request primitive with  
5406 RejoinNetwork set to 0x02.

5407 The start time of the rejoin process is determined by the time the last NLME-JOIN.request primitive was sent and by  
5408 the attribute :Config\_Rejoin\_Interval. Only if the interval between the current and the previous NLME-JOIN.request  
5409 sent time is longer than the :Config\_Rejoin\_Interval SHALL a new NLME-JOIN.request primitive be sent. The ap-  
5410 plication MAY want to gradually increase the :Config\_Rejoin\_Interval if a certain number of retries have been done

5411 (or a certain period of time has passed) but none of them were successful. The :Config\_Rejoin\_Interval SHOULD  
 5412 NOT exceed the :Config\_Max\_Rejoin\_Interval. Every time an NLME-JOIN.confirm has been successfully received,  
 5413 the ZDO SHALL reset its failure counter to zero and the :Config\_Rejoin\_Interval attribute to its initial value. The  
 5414 choice of the default initial value and the algorithm of increasing the rejoin interval shall be determined by the applica-  
 5415 tion, and is out of the scope of this document.

5416 If the Zigbee End Device rejoins a new parent using the rejoin process, it SHALL complete the address conflict process  
 5417 in section 3.6.1.10.

#### 5418 2.5.4.5.6 Support for Commissioning Applications

5419 Zigbee devices in the field will need commissioning, and it will be up to developers to provide applications that  
 5420 perform such commissioning. There is a risk that applications from different vendors will work differently, thereby  
 5421 diminishing the ability of Zigbee devices from different vendors to operate seamlessly on the same network. As a  
 5422 partial solution to this problem, this section lists a common set of configuration attributes for Zigbee devices and  
 5423 outlines a common procedure for devices to use at start-up time. The other critical component of the solution is a  
 5424 common set of commissioning protocols and procedures, which are outside the scope of this document.

##### 5425 2.5.4.5.6.1 Configuration Attributes

5426 The startup procedure outlined in section 2.5.4.5.6.2 is designed in such a way that, by using it consistently, devices  
 5427 can go through all the stages of commissioning up to being joined to the proper Zigbee network and able to send and  
 5428 receive application data traffic. Later-stage commissioning, including the commissioning of bindings and group mem-  
 5429 bership is discussed briefly in section 2.5.4.5.6.3. The procedure makes use of the system attributes listed in Table  
 5430 2-131.

5431

**Table 2-131. Startup Attributes**

Name	Reference	Comment
<i>nwkExtendedPANID</i>	Table 3.43	This is the extended PANID of the network to which the device is joined. If it has a value of 0x0000000000000000, then the device is not connected to a network.
<i>apsDesignatedCoordinator</i>	Table 2-24	This Boolean flag indicates whether the device SHOULD assume on startup that it SHALL become a Zigbee coordinator.
<i>apsChannelMaskList</i>	Table 2-24	This is a list containing one or more masks which define the allowable channels on which the device MAY attempt to form or join a network at startup time.
<i>apsUseExtendedPANID</i>	Table 2-24	The 64-bit identifier of the network to join or form.
<i>apsUseInsecureJoin</i>	Table 2-24	A Boolean flag that indicates if it is OK to use insecure join on startup.

##### 5432 2.5.4.5.6.2 Startup Procedure

5433 The startup procedure uses the attributes listed in section 2.5.4.5.6.1 to perform a controlled startup of the Zigbee  
 5434 networking facilities of a device. The procedure SHOULD be run whenever the device restarts, but MAY also be run  
 5435 under application control at the discretion of the developer.

5436 When a device starts up, it SHOULD check the value of *nwkExtendedPANID*. If *nwkExtendedPANID* has a non-zero  
 5437 value, then the device SHOULD assume it has all the network parameters required to operate on a network. Note that  
 5438 the device SHOULD assume the channel identifier present in its current network parameters but MAY need to scan

5439 over the ChannelMask if the *nwkExtendedPANID* is not found. In order for this to work effectively across power  
 5440 failures and processor resets, *nwkExtendedPANID* SHALL be placed in non-volatile storage.

5441 If the device has all necessary parameters to operate on a network, the application MAY choose to send a ZDO Device  
 5442 Announce. However, it is recommended that the stack jitter this message by *apsParentAnnounceBaseTimer* + ran-  
 5443 dom(*apsParentAnnounceJitterMax*) seconds after reset to avoid a network-wide power cycle triggering devices to  
 5444 flood the network with broadcasts

5445 If the device finds it is not connected to a network, then it SHOULD check the value of  
 5446 *apsDesignatedCoordinator*. If this attribute has a value of TRUE, then the device SHOULD follow the procedures for  
 5447 starting a network outlined in section 3.6.1.6 and SHOULD use the value of *apsChannelMaskList* for the ScanChann-  
 5448 elsListStructure parameter of the NLME-NETWORK-FORMATION.request primitive, and set  
 5449 *nwkExtendedPANID* to the value given in *apsUseExtendedPANID* if *apsUseExtendedPANID* has a non-zero value.

5450 If the device is not the designated coordinator and *apsUseExtendedPANID* has a non-zero value, the device SHOULD  
 5451 attempt to verify connectivity to the network specified in *apsUseExtendedPANID*. Verifying connectivity may be  
 5452 done via an NLME-JOIN.request with RejoinNetwork=TRUE and ExtendedPanID equal to *apsUseExtendedPANID*,  
 5453 or an NLME-SYNC.request, or by sending a NWK Command End Device Timeout Request. If the device receives a  
 5454 correctly formatted response frame this indicates successful connectivity.<sup>3</sup>

5455 If the network rejoin attempt fails, and the value of the *apsUseInsecureJoin* attribute of the AIB has a value of TRUE,  
 5456 then the device SHOULD follow the procedure outlined in section 3.6.1.6 for joining a network, using *apsChannel-*  
 5457 *MaskList* any place that a ScanChannelsListStructure mask is called for. If *apsUseExtendedPANID* has a non-zero  
 5458 value, then the device SHOULD join only the specified network and the procedure SHOULD fail if that network is  
 5459 found to be inaccessible. If *apsUseExtendedPANID* is equal to 0x0000000000000000, then the device SHOULD join  
 5460 the best available network.

#### 5461 2.5.4.5.6.3 Further Commissioning

5462 Once a device is on a network and capable of communicating with other devices on the network in a secure manner,  
 5463 other commissioning becomes possible. Other items that SHOULD be subject to commissioning are shown in Table  
 5464 2-132.

5465

Table 2-132. Additional Commissioning Attributes

Name	Reference	Comment
<i>apsBindingTable</i>	Table 2-24	The binding table for this device. Binding provides a separation of concerns in the sense that applications MAY operate without having to manage recipient address information for the frames they emit. This information can be input at commissioning time without the main application on the device even being aware of it.
<i>nwkSecurityMaterialSet</i>	Table 4-2	This set contains the network keying material, which SHOULD be accessible to commissioning applications.

---

<sup>3</sup> CCB 3346

Name	Reference	Comment
<i>apsDeviceKeyPairSet</i>	Table 4-35	This is the set of link key pairs for devices that it wants to communicate using application layer encryption.
<i>apsTrustCenterAddress</i>	Table 4-35	The IEEE address of the Trust Center.
<i>nwkNetworkAddress</i>	Table 3-62	Commissioning applications MAY set the network short address of devices as long as address conflicts that MAY arise as a result are subject to address conflict resolution as described in section 3.6.1.10.

## 5466 2.5.4.6 Network Manager

5467 The Network Management function supports:

- 5468 • Network Discovery
- 5469 • Network Formation
- 5470 • Permit/Disable Associations
- 5471 • Association and Disassociation
- 5472 • Route Discovery
- 5473 • Network Reset
- 5474 • Radio Receiver State Enable/Disable
- 5475 • Get and Set of Network Management Information Block Data
- 5476 • Detecting and reporting interference
- 5477 • Receive network interference reports and change network channels if the particular node is identified as the network manager for the overall PAN

5479 Network Management performs the above functions with NLME-SAP primitives (see Chapter 3).

### 5480 2.5.4.6.1 Optional and Mandatory Attributes Within Network Manager

5481 The Network Manager is a mandatory object for all Zigbee Device Types.

5482 The Network Discovery, Get, and Set attributes (both requests and confirms) are mandatory for all Zigbee logical device types.

5484 If the Zigbee logical device type is Zigbee Coordinator, the NWK Formation request and confirm, the NWK Leave request, NWK Leave indication, NWK Leave confirm, NWK Join indication, NWK Permit Joining request, NWK Permit Joining confirm, NWK Route Discovery request, and NWK Route Discovery confirm SHALL be supported. The NWK Direct Join request and NWK Direct Join confirm MAY be supported. The NWK Join request and the NWK Join confirm SHALL NOT be supported.

5489 If the Zigbee logical device type is Zigbee Router, the NWK Formation request and confirm SHALL NOT be supported except if forming distributed networks. Additionally, the NWK Start Router request, NWK Start Router confirm, NWK Join request, NWK Join confirm, NWK Join indication, NWK Leave request, NWK Leave confirm, NWK Leave indication, NWK Permit Joining request, NWK Permit Joining confirm, NWK Route Discovery request, and NWK Route Discovery confirm SHALL be supported. The NWK Direct Join request and NWK Direct Join confirm MAY be supported.

5495 If the Zigbee logical device type is Zigbee End Device, the NWK Formation request and confirm plus the NWK Start  
 5496 Router request and confirm SHALL NOT be supported. Additionally, the NWK Join indication and NWK Permit  
 5497 Joining request SHALL NOT be supported. The NWK Join request, NWK Join confirm, NWK Leave request, NWK  
 5498 Leave indication, NWK Leave confirm SHALL be supported.

5499 For all Zigbee logical devices types, the NWK Sync request, indication and confirm plus NWK reset request and  
 5500 confirm plus NWK route discovery request and confirm SHALL be optional. Table 2-133 summarizes Network Manager  
 5501 Attributes. See Chapter 3 for a description of any of the primitives listed in Table 2-133.

5502 For all Zigbee logical device types, reception of the NWK Network Status indication SHALL be supported, but no  
 5503 action is required in this version of the specification.

5504

**Table 2-133. Network Manager Attributes**

<b>Attribute</b>	<b>M/O</b>	<b>Type</b>
NLME-GET.request	M	Private
NLME-GET.confirm	M	Private
NLME-SET.request	M	Private
NLME-SET.confirm	M	Private
NLME-NETWORK-AND-PARENT-DISCOVERY.request	M	Public
NLME-NETWORK-AND-PARENT-DISCOVERY.confirm	M	Public
NLME-NETWORK-FORMATION.request	O	Private
NLME-NETWORK-FORMATION.confirm	O	Private
NLME-START-ROUTER.request	O	Private
NLME-START-ROUTER.confirm	O	Private
NLME-JOIN.request	O	Private
NLME-JOIN.confirm	O	Private
NLME-JOIN.indication	O	Private
NLME-PERMIT-JOINING.request	O	Public
NLME-PERMIT-JOINING.confirm	O	Public
NLME-ADD-NEIGHBOR.request	O	Public
NLME-ADD-NEIGHBOR.confirm	O	Public
NLME_LEAVE.request	M	Public

Attribute	M/O	Type
NLME-LEAVE.confirm	M	Public
NLME_LEAVE.indication	M	Public
NLME-RESET.request	O	Private
NLME-RESET.confirm	O	Private
NLME-SYNC.request	O	Public
NLME-SYNC.indication	O	Public
NLME-SYNC.confirm	O	Public
NLME-NWK-STATUS.indication	M	Private
NLME-ROUTE-DISCOVERY.request	O	Public
NLME-ROUTE-DISCOVERY.confirm	O	Private
NLME-ED-SCAN.request	O	Private
NLME-ED-SCAN.confirm	O	Private
NLME-START-BACKOFF.request	O	Private

5505 A single device in the network can become the Network Channel Manager. The operation of the network channel  
 5506 manager is described in Annex E. All other devices in the network are responsible for tracking message delivery  
 5507 failures and reporting interference in accordance with Annex E.

#### 5508 **2.5.4.7 Node Manager**

5509 The Node Manager supports the ability to request and respond to management functions. These management functions  
 5510 only provide visibility to external devices regarding the operating state of the device receiving the request.

#### 5511 **2.5.4.8 Group Manager**

5512 The Group Manager supports the ability to include application objects within groups or to remove application objects  
 5513 from groups. The group management functions operate only on application objects within the local device. Mechanisms  
 5514 to manage groups on other devices are beyond the scope of this document.

### 5515 **2.5.5 Configuration Attributes**

5516 This attribute is used to represent the minimum mandatory and/or optional attributes used as configuration attributes  
 5517 for a device summarized in Table 2-134.

**Table 2-134. Configuration Attributes**

Attribute	M/O	Type
:Config_Node_Descriptor	M	Public
:Config_Power_Descriptor	M	Public
:Config_Simple_Descriptors	M	Public
:Config_NWK_Scan_Attempts	M	Private
:Config_NWK_Time_btwn_Scans	M	Private
:Config_Complex_Descriptor	Deprecated	N/A
:Config_User_Descriptor	Deprecated	N/A
:Config_Max_Bind	O	Private
:Config_Permit_Join_Duration	O	Public
:Config_NWK_Security_Level	O	Private
:Config_NWK_Secure_All_Frames	O	Private
:Config_NWK_BroadcastDeliveryTime	O	Private
:Config_NWK_TransactionPersistenceTime	O	Private
:Config_NWK_indirectPollRate	O	Private
:Config_Max_Assoc	O	Private
:Config_NWK_Join_Direct_Addrs	O	Public
:Config_Parent_Link_Retry_Threshold	O	Public
:Config_Rejoin_Interval	O	Public
:Config_Max_Rejoin_Interval	O	Public

5519

## 2.5.5.1 Configuration Attribute Definitions

5520

Table 2-135. Configuration Attribute Definitions

Attribute	Description	When Updated
:Config_Node_Descriptor	Contents of the Node Descriptor for this device (see section 2.3.2.3).	The :Config_Node_Descriptor is either created when the application is first loaded or initialized with a commissioning tool prior to when the device begins operations in the network. It is used for service discovery to describe node features to external inquiring devices.
:Config_Power_Descriptor	Contents of the Power Descriptor for this device (see section 2.3.2.4).	The :Config_Power_Descriptor is either created when the application is first loaded or initialized with a commissioning tool prior to when the device begins operations in the network. It is used for service discovery to describe node power features to external inquiring devices.
:Config_Simple_Descriptors	Contents of the Simple Descriptor(s) for each active endpoint for this device (see section 2.3.2.5).	The :Config_Simple_Descriptors are created when the application is first loaded and are treated as “read-only.” The Simple Descriptor are used for service discovery to describe interfacing features to external inquiring devices.
:Config_NWK_Scan_Attempts	<p>Integer value representing the number of scan attempts to make before the NWK layer decides which Zigbee coordinator or router to associate with (see section 2.5.4.5.1).</p> <p>This attribute has default value of 5 and valid values between 1 and 255.</p>	The :Config_NWK_Scan_Attempts is employed within ZDO to call the NLME-NETWORK-AND-PARENT-DISCOVERY.request primitive the indicated number of times (for routers and end devices).
:Config_NWK_Time_btwn_Scans	<p>Integer value representing the time duration (in OctetDurations) between each NWK discovery attempt described by :Config_NWK_Scan_Attempts (see section ).</p> <p>This attribute has a default value of 0xc35 OctetDurations (100 milliseconds on 2.4GHz) and valid values between 1 and</p>	The Config_NWK_Time_btwn_Scans is employed within ZDO to provide a time duration between the NLME-NETWORK-AND-PARENT-DISCOVERY.request attempts.

<b>Attribute</b>	<b>Description</b>	<b>When Updated</b>
	0x1f3fe1 OctetDurations (65535 milliseconds on 2.4GHz).	
:Config_Max_Bind	A constant which describes the maximum number of binding entries permitted.	The :Config_Max_Bind is a maximum number of supported Binding Table entries for this device.
:Config_Permit_Join_Duration	Permit Join Duration value set by the NLME-PERMIT-JOIN-ING.request primitive (see Chapter 3).	The default value for :Config_Permit_Join_Duration is 0x00, however, this value can be established differently according to the needs of the profile.
:Config_NWK_Security_Level	Security level of the network (see Chapter 3).	This attribute is used only on the Trust Center and is used to set the level of security on the network.
:Config_NWK_Secure_All_Frames	If all network frames SHOULD be secured (see Chapter 3).	This attribute is used only on the Trust Center and is used to determine if network layer security SHALL be applied to all frames in the network.
:Config_NWK_BroadcastDelivery-Time	See Table 2-134.	The value for this configuration attribute is established in the Stack Profile.
:Config_NWK_TransactionPersistenceTime	See Table 2-134.. This attribute is mandatory for the Zigbee coordinator and Zigbee routers and not used for Zigbee End Devices.	The value for this configuration attribute is established in the Stack Profile.

Attribute	Description	When Updated
:Config_NWK_Alt_protocol_version	<p>Sets the list of protocol version numbers, other than the current protocol version number, that the device MAY choose to employ in a PAN that it joins. This attribute is applicable only to Zigbee routers or end devices. The protocol version numbers in the list SHALL refer to older versions of the Zigbee Specification.</p>	<p>:Config_NWK_Alt_protocol_version permits Zigbee routers and Zigbee end devices to join networks discovered that employ an earlier version of the Zigbee Specification; Since this attribute is optional, devices MAY also be created omitting this attribute which require only the current version of the Zigbee Specification; This attribute would be omitted in cases where certain features are required that are contained only in the current specification or where code size is limited in the device.</p>
:Config_NWK_indirectPollRate	<p>Sets the poll rate, in milliseconds, for the device to request indirect transmission messages from the parent.</p>	<p>The value for this configuration attribute is established by the application profile deployed on the device.</p>
:Config_Max_Assoc	<p>Sets the maximum allowed associations, either of routers, end devices, or both, to a parent router or coordinator.</p>	<p>The value for this configuration attribute is established by the stack profile in use on the device. Note that for some stack profiles, the maximum associations MAY have a dimension which provides for separate maximums for router associations and end device associations.</p>
:Config_NWK_Join_Direct_Addrs	<p>Consists of the following fields:</p> <ul style="list-style-type: none"> <li>• DeviceAddress - 64-bit IEEE address for the device to be direct joined.</li> <li>• CapabilityInformation - Operating capabilities of the device to be direct joined.</li> <li>• Link Key- If security is enabled, link key for use in the key-pair descriptor for this new device (see Table 4-36).</li> </ul> <p>See section 3.2.2.16 for details.</p>	<p>:Config_NWK_Join_Direct_Addrs permits the Zigbee Coordinator or Router to be pre-configured with a list of addresses to be direct joined.</p>

<b>Attribute</b>	<b>Description</b>	<b>When Updated</b>
:Config_Parent_Link_Retry_Threshold	Contents of the link retry threshold for parent link (see section 2.5.4.5.5.2).	The :Config_Parent_Link_Retry_Threshold is either created when the application is first loaded or initialized with a commissioning tool. It is used for the ZED to decide how many times it SHOULD retry to connect to the parent router before initiating the rejoin process.
:Config_Rejoin_Interval	Contents of the rejoin interval (see section 2.5.4.5.5.2).	The :Config_Rejoin_Interval is either created when the application is first loaded or initialized with a commissioning tool. It is used by the ZED to decide how often it SHOULD initiate the rejoin process.
:Config_MAX_Rejoin_Interval	Contents of the maximal rejoin interval (see section 2.5.4.5.5.2).	The :Config_MAX_Rejoin_Interval is either created when the application is first loaded or initialized with a commissioning tool. It is used by the ZED to set the maximum value permitted for :Config_Rejoin_Interval during the rejoin procedure.

5522

## CHAPTER 3. NETWORK SPECIFICATION

5523

### 3.1 General Description

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5524

#### 3.1.1 Network (NWK) Layer Overview

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5525

The network layer is required to provide functionality to ensure correct operation of the IEEE Std 802.15.4 MAC sub-layer and to provide a suitable service interface to the application layer. To interface with the application layer, the network layer conceptually includes two service entities that provide the necessary functionality. These service entities are the data service and the management service. The NWK layer data entity (NLDE) provides the data transmission service via its associated SAP, the NLDE-SAP, and the NWK layer management entity (NLME) provides the management service via its associated SAP, the NLME-SAP. The NLME utilizes the NLDE to achieve some of its management tasks and it also maintains a database of managed objects known as the network information base (NIB).

5532

#### 3.1.2 Network Layer Data Entity (NLDE)

---

5533  
5534

The NLDE SHALL provide a data service to allow an application to transport application protocol data units (APDU) between two or more devices. The devices themselves SHALL be located on the same network.

5535

The NLDE will provide the following services:

5536  
5537

- **Generation of the Network level PDU (NPDU):** The NLDE SHALL be capable of generating an NPDU from an application support sub-layer PDU through the addition of an appropriate protocol header.
- **Topology-specific routing:** The NLDE SHALL be able to transmit an NPDU to an appropriate device that is either the final destination of the communication or the next step toward the final destination in the communication chain.
- **Security:** The ability to ensure both the authenticity and confidentiality of a transmission.

5542

#### 3.1.2.1 Network Layer Management Entity (NLME)

5543

The NLME SHALL provide a management service to allow an application to interact with the stack.

5544

The NLME SHALL provide the following services:

5545  
5546

- **Configuring a new device:** this is the ability to sufficiently configure the stack for operation as required. Configuration options include beginning an operation as a Zigbee coordinator or joining an existing network.

5547

- **Starting a network:** this is the ability to establish a new network.

5548  
5549

- **Joining, rejoining and leaving a network:** this is the ability to join, rejoin or leave a network as well as the ability of a Zigbee coordinator or Zigbee router to request that a device leave the network.

5550  
5551

- **Addressing:** this is the ability of Zigbee coordinators and routers to assign addresses to devices joining the network.

5552  
5553

- **Neighbor discovery:** this is the ability to discover, record, and report information pertaining to the one-hop neighbors of a device.

5554  
5555

- **Route discovery:** this is the ability to discover and record paths through the network, whereby messages MAY be efficiently routed.

5556  
5557

- **Reception control:** this is the ability for a device to control when the receiver is activated and for how long, enabling MAC sub-layer synchronization or direct reception.

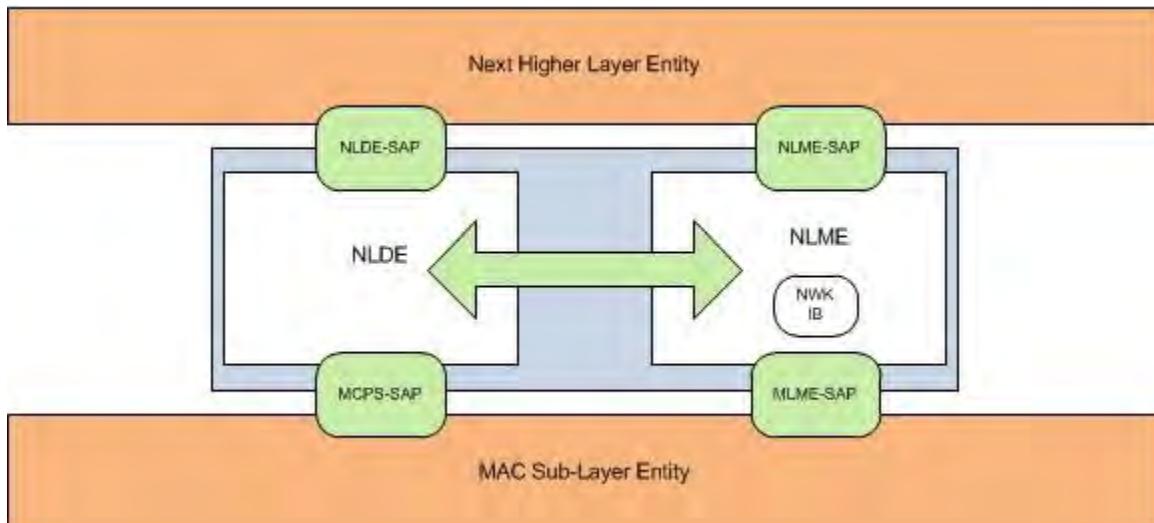
5558  
5559

- **Routing:** this is the ability to use different routing mechanisms such as unicast, broadcast, many-to-one, or source routing to efficiently exchange data in the network.

## 5560 3.2 Service Specification

5561 Figure 3-1 depicts the components and interfaces of the NWK layer.

5562 The NWK layer provides two services, accessed through two service access points (SAPs). These are the NWK data  
 5563 service, accessed through the NWK layer data entity SAP (NLDE-SAP), and the NWK management service, accessed  
 5564 through the NWK layer management entity SAP (NLME-SAP). These two services provide the interface between the  
 5565 application and the MAC sub-layer, via the MCPS-SAP and MLME-SAP interfaces (see [B1]). In addition to these  
 5566 external interfaces, there is also an implicit interface between the NLME and the NLDE that allows the NLME to use  
 5567 the NWK data service.



5568  
5569 **Figure 3-1. The NWK Layer Reference Model**

### 5570 3.2.1 NWK Data Service

5571 The NWK layer data entity SAP (NLDE-SAP) supports the transport of application protocol data units (APDUs)  
 5572 between peer application entities. Table 3-1 lists the primitives supported by the NLDE-SAP and the sections in which  
 5573 these primitives are discussed.

5574 **Table 3-1. NLDE-SAP Primitives**

NLDE-SAP Primitive	Request	Confirm	Indication
NLDE-DATA	3.2.1.1	3.2.1.2	3.2.1.3

#### 5575 3.2.1.1 NLDE-DATA.request

5576 This primitive requests the transfer of a data PDU (NSDU) from the local APS sub-layer entity to a single or multiple  
 5577 peer APS sub-layer entities.

5578

5579      **3.2.1.1.1      Semantics of the Service Primitive**

5580      The semantics of this primitive are as follows:

---

```

5581           NLDE-DATA.request               {  

5582                                                 DstAddrMode,  

5583                                                 DstAddr,  

5584                                                 NsduLength,  

5585                                                 Nsdu,  

5586                                                 NsduHandle,  

5587                                                 UseAlias,  

5588                                                 AliasSrcAddr,  

5589                                                 AliasSeqNumber,  

5590                                                 Radius,  

5591                                                 DiscoverRoute,  

5592                                                 SecurityEnable  

5593                                                 }

```

---

5594      Table 3-2 specifies the parameters for the NLDE-DATA.request primitive. Support of the additional parameters  
 5595      UseAlias, AliasSrcAddr, AliasSeqNumb in the NLDE-DATA.request primitive is required if GP feature is to be sup-  
 5596      ported by the implementation.

5597

**Table 3-2. NLDE-DATA.request Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x02	The type of destination address supplied by the DstAddr parameter. 0x02=16-bit network address of a device or a 16-bit broadcast address
DstAddr	16-bit address	0x0000 – 0xffff	Destination address.
NsduLength	Integer	<i>0 to aMaxPHYPacketSize - (nwkcMACFrameOverhead + nwkcMinHeaderOverhead)</i>	The number of octets comprising the NSDU to be transferred.
Nsdu	Set of octets	-	The set of octets comprising the NSDU to be transferred.
NsduHandle	Integer	0x00 – 0xff	The handle associated with the NSDU to be transmitted by the NWK layer entity.

Name	Type	Valid Range	Description
UseAlias	Boolean	TRUE or FALSE	The next higher layer MAY use the <i>UseAlias</i> parameter to request alias usage by NWK layer for the current frame. If the <i>UseAlias</i> parameter has a value of FALSE, meaning no alias usage, then the parameters <i>AliasSrcAddr</i> and <i>AliasSeqNumb</i> will be ignored. Otherwise, a value of TRUE denotes that the values supplied in <i>AliasSrcAddr</i> and <i>AliasSeqNumb</i> are to be used.
AliasSrcAddr	16-bit address	Any valid device address except a broadcast address	The source address to be used for this NSDU. If the <i>UseAlias</i> parameter has a value of FALSE, the <i>AliasSrcAddr</i> parameter is ignored.
AliasSeqNumb	Integer	0x00 – 0xff	The sequence number to be used for this NSDU. If the <i>UseAlias</i> parameter has a value of FALSE, the <i>AliasSeqNumb</i> parameter is ignored.
Radius	Unsigned integer	0x00 – 0xff	The distance, in hops, that a frame will be allowed to travel through the network.
DiscoverRoute	Integer	0x00 – 0x01	The <i>DiscoverRoute</i> parameter MAY be used to control route discovery operations for the transit of this frame (see section 3.6.4.5): 0x00 = suppress route discovery 0x01 = enable route discovery
SecurityEnable	Boolean	TRUE or FALSE	The <i>SecurityEnable</i> parameter MAY be used to enable NWK layer security processing for the current frame. If the <i>nwkSecurityLevel</i> attribute of the NIB has a value of 0, meaning no security, then this parameter will be ignored. Otherwise, a value of TRUE denotes that the security processing specified by the security level will be applied, and a value of FALSE denotes that no security processing will be applied.

5598      **3.2.1.1.2      When Generated**

5599      This primitive is generated by a local APS sub-layer entity whenever a data PDU (NSDU) is to be transferred to a  
 5600      peer APS sub-layer entity.

5601    **3.2.1.1.3    Effect on Receipt**

5602 If this primitive is received on a device that is not currently associated, the NWK layer will issue an NLDE-DATA.confirm primitive with a status of INV\_REQUESTTYPE.

5604 On receipt of this primitive, the NLDE first constructs an NPDU in order to transmit the supplied NSDU. If, during  
5605 processing, the NLDE issues the NLDE-DATA.confirm primitive prior to transmission of the NSDU, all further pro-  
5606 cessing is aborted. In constructing the new NPDU, the destination address field of the NWK header will be set to the  
5607 value provided in the DstAddr parameter. If the UseAlias parameter has a value of TRUE, the source address field  
5608 of the NWK header of the frame will be set to the value provided in the AliasSrcAddr parameter. If the UseAlias  
5609 parameter has a value of FALSE, then the source address field will have the value of the *macShortAddress* attribute  
5610 in the MAC PIB. The discover route sub-field of the frame control field of the NWK header will be set to the value  
5611 provided in the DiscoverRoute parameter. If the supplied Radius parameter does not have a value of zero, then the  
5612 radius field of the NWK header will be set to the value of the Radius parameter. If the Radius parameter has a value  
5613 of zero, then the radius field of the NWK header will be set to twice the value of the *nwkMaxDepth* attribute of the  
5614 NIB. If the UseAlias parameter has a value of TRUE, the sequence number field of the NWK header of the frame will  
5615 be set to the value provided in the AliasSeqNumb parameter. If the UseAlias parameter has a value of FALSE, then  
5616 the NWK layer will generate a sequence number for the frame as described in section 3.6.2.1 and the sequence number  
5617 field of the NWK header of the frame will be set to this sequence number value.

5618 Once the NPDU is constructed, the NSDU is routed using the procedure described in section 3.6.4.3 if it is a unicast,  
5619 or section 3.6.5 if it is a broadcast. When the routing procedure specifies that the NSDU is to be transmitted, this is  
5620 accomplished as follows.

- 5621    1. The device SHALL find the next hop address determined by the addressing mode and the routing procedure.
- 5622    2. If the next hop is the MAC broadcast address 0xFFFF, do the following:
  - 5623        a) Determine the set of currently active MAC interfaces, comprising all entries in the nwkMacInterfaceTable,  
5624              where the Enabled field is set as TRUE.
  - 5625        b) If this set is empty, proceed as follows:
    - 5626              i) Issue an NLDE-DATA.confirm primitive with a status value of INVALID\_INTERFACE.
    - 5627              ii) No further processing SHALL be done.
  - 5628        c) If the set is not empty, go to step 6 and repeat for each MAC SAP instance in the set of active interfaces.
- 5629    3. Examine the nwkNeighborTable and find the entry where the Network Address element is equal to the next hop.
- 5630    4. If no entry can be found, the NLDE SHALL do the following.
  - 5631        a. Issue an NLDE-DATA.confirm with a status of ROUTE\_ERROR.
  - 5632        b. No further processing SHALL be done.
- 5633    5. If an entry is found, do the following.
  - 5634        a. Using the value of the MacInterfaceIndex of the nwkNeighborTable entry lookup the corresponding index in  
5635              the nwkMacInterfaceTable.
  - 5636        b. If the Enabled field of the nwkMacInterfaceTable entry has a value of FALSE, do the following.
    - 5637              i. The NLDE SHALL issue an NLDE-DATA.confirm primitive with a status value of INVALID\_INTERFACE.
    - 5638              ii. No further processing SHALL be done.
- 5640    6. If the DstAddr is set to All Devices in PAN (0xFFFF), macRXOnWhenIdle=TRUE (0xFFFFD) or All routers  
5641        and coordinators (0xFFFC) then examine that entry in the nwkMacInterfaceTable.
  - 5642        a. If RoutersAllowed is set to TRUE, do the following.
    - 5643              i. Issue an MCPS-DATA.request with the following parameters.
      - 5644                1. SrcAddrMode SHALL be set to SHORT.

- 5645                   2. DstADdrMode SHALL be set to SHORT.  
5646                   3. DstAddr SHALL be set to 0xFFFF.  
5647                   4. IndirectTX SHALL be set to FALSE.  
5648                   b. If RoutersAllowed is set to FALSE, keep processing.  
5649      7. Examine the nwkNeighborTable. For each device where macRxOnWhenIdle=FALSE, perform the following:  
5650                   a. Issue an MCPS-DATA.request with the following values.  
5651                    i. SrcAddrMode SHALL be set to SHORT.  
5652                    ii. DstADdrMode SHALL be set to SHORT.  
5653                    iii. DstAddr SHALL be set to the value of the NetworkAddress in entry of the nwkNeighborTable.  
5654                    iv. IndirectTX SHALL be set to TRUE.

5655 The NWK layer will retry unicast transmissions to avoid transient failures at the MAC layer. These retries will be  
5656 delayed in time to avoid short term interference or collisions that cause all MAC retries to fail. Broadcast transmissions  
5657 at the Network layer use a passive acknowledgement mechanism to verify transmission.

5658 On receipt of the MCPS-DATA.confirm primitive on a unicast the NLDE SHALL examine the status. If the MCPS-  
5659 DATA status indicates a NO\_ACK, TRANSACTION\_OVERFLOW or a CHANNEL\_ACCESS\_FAILURE, the  
5660 NLDE SHALL issue additional MCPS-DATA.request attempts up to *nwkcUnicastRetries*. Each attempt SHALL be  
5661 delayed by at least nwkcUnicastRetryDelay and SHALL be re-encrypted with the newest network frame counter.  
5662 After a MCPS-DATA.confirm is generated indicating success or all retries are exhausted the NLDE issues the NLDE-  
5663 DATA.confirm primitive with a status equal to the last received status from the MAC sub-layer.

5664 On receipt of a MCPS-DATA.confirm primitive from a broadcast, the NLDE immediately issues the NLDE-  
5665 DATA.confirm primitive with the resulting status.

5666 If the *nwkSecurityLevel* NIB attribute has a non-zero value and the SecurityEnable parameter has a value of TRUE,  
5667 then NWK layer security processing will be applied to the frame before transmission as described in section 4.3.  
5668 Otherwise, no security processing will be performed at the NWK layer for this frame. The security processing SHALL  
5669 always be performed using device's own extended 64-bit IEEE address and Outgoing Frame Counter attribute of the  
5670 NIB, and those values SHALL be put into the auxiliary NWK header of the frame, even if UseAlias parameter has a  
5671 value of TRUE. If security processing is performed and it fails for any reason, then the frame is discarded and the  
5672 NLDE issues the NLDE-DATA.confirm primitive with a Status parameter value equal to that returned by the security  
5673 suite.

### 5674     **3.2.1.2       NLDE-DATA.confirm**

5675 This primitive reports the results of a request to transfer a data PDU (NSDU) from a local APS sub-layer entity to a  
5676 single peer APS sub-layer entity.

#### 5677     **3.2.1.2.1      Semantics of the Service Primitive**

5678 The semantics of this primitive are as follows:

---

5679                   NLDE-DATA.confirm	{
5680	Status
5681	NsduHandle,
5682	TxTime
5683	}

---

5684 Table 3-3 specifies the parameters for the NLDE-DATA.confirm primitive.

5685

**Table 3-3. NLDE-DATA.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	INV_REQUESTTYPE, MAX_FRM_COUNTER, NO_KEY, BAD_CCM_OUTPUT, ROUTE_ERROR, BT_TABLE_FULL, FRAME_NOT_BUFFERED or any status values returned from security suite or the MCPS-DATA.confirm primitive (see [B1]).	The status of the corresponding request.
NsduHandle	Integer	0x00 – 0xff	The handle associated with the NSDU being confirmed.
TxTime	Integer	Implementation specific	A time indication for the transmitted packet based on the local clock. The time SHOULD be based on the same point for each transmitted packet in a given implementation. This value is only provided if <i>nwkTimeStamp</i> is set to TRUE.

### 5686 3.2.1.2.2 When Generated

5687 This primitive is generated by the local NLDE in response to the reception of an NLDE-DATA.request primitive.

5688 The Status field will reflect the status of the corresponding request, as described in section 3.2.1.1.3.

### 5689 3.2.1.2.3 Effect on Receipt

5690 On receipt of this primitive, the APS sub-layer of the initiating device is notified of the result of its request to transmit.  
 5691 If the transmission attempt was successful, the Status parameter will be set to SUCCESS. Otherwise, the Status pa-  
 5692 rameter will indicate the error.

### 5693 3.2.1.3 NLDE-DATA.indication

5694 This primitive indicates the transfer of a data PDU (NSDU) from the NWK layer to the local APS sub-layer entity.

5695      **3.2.1.3.1      Semantics of the Service Primitive**

5696      The semantics of this primitive are as follows:

---

5697	NLDE-DATA.indication	{
5698		DstAddrMode,
5699		DstAddr,
5700		SrcAddr,
5701		NsduLength,
5702		Nsdu,
5703		LQA*,
5704		RxTime,
5705		SecurityUse
5706		}

---

5707      Table 3-4 specifies the parameters for the NLDE-DATA.indication primitive.

5708      **Table 3-4. NLDE-DATA.indication Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x02	The type of destination address supplied by the DstAddr parameter. This MAY have the following value: 0x02=16-bit network address of a device or a 16-bit broadcast address
DstAddr	16-bit Address	0x0000 –0xffff	The destination address to which the NSDU was sent.
SrcAddr	16-bit Device address	Any valid device address except a broadcast address	The individual device address from which the NSDU originated.
NsduLength	Integer	0 to $aMaxPHYPacketSize - (nwkcMACFrameOverhead + nwkcMinHeaderOverhead)$	The number of octets comprising the NSDU being indicated.
Nsdu	Set of octets	–	The set of octets comprising the NSDU being indicated.
LQA (LQI)	Integer	0x00 – 0xff	The estimated link quality for RF transmission from this device. See section 3.6.3 for a discussion of how this is calculated. This field SHALL be present in every neighbor table entry. When Active Power Control is used on this link, LQI SHALL be used instead of LQA.

Name	Type	Valid Range	Description
RxTime	Integer	Implementation specific	A time indication for the received packet based on the local clock. The time SHOULD be based on the same point for each received packet on a given implementation. This value is only provided if <i>nwkTimeStamp</i> is set to TRUE.
SecurityUse	Boolean	TRUE or FALSE	An indication of whether the received data frame is using security. This value is set to TRUE if security was applied to the received frame or FALSE if the received frame was unsecured.

5709      **3.2.1.3.2      When Generated**

5710      This primitive is generated by the NLDE and issued to the APS sub-layer on receipt of an appropriately addressed  
 5711      data frame from the local MAC sub-layer entity.

5712      **3.2.1.3.3      Effect on Receipt**

5713      On receipt of this primitive, the APS sub-layer is notified of the arrival of data at the device.

5714      **3.2.2 NWK Management Service**

5715      The NWK layer management entity SAP (NLME-SAP) allows the transport of management commands between the  
 5716      next higher layer and the NLME. Table 3-5 lists the primitives supported by the NLME through the NLME-SAP  
 5717      interface and the sections containing details on each of these primitives.

5718      **Table 3-5. Summary of the Primitives Accessed Through the NLME-SAP**

Name	Section Number in this Specification			
	Request	Indication	Response	Confirm
NLME-NETWORK-AND-PARENT-DISCOVERY	3.2.2.3			3.2.2.4
NLME-NETWORK-FORMATION	3.2.2.5			3.2.2.6
NLME-PERMIT-JOINING	3.2.2.7			3.2.2.8
NLME-START-ROUTER	3.2.2.9			3.2.2.10
NLME-ED-SCAN	3.2.2.11			3.2.2.12
NLME-JOIN	3.2.2.13	3.2.2.14		3.2.2.15
NLME-ADD-NEIGHBOR	3.2.2.16			3.2.2.17

<b>Name</b>	<b>Section Number in this Specification</b>			
	<b>Request</b>	<b>Indication</b>	<b>Response</b>	<b>Confirm</b>
NLME-LEAVE	3.2.2.18	3.2.2.19		3.2.2.20
NLME-RESET	3.2.2.21			3.2.2.22
NLME-SYNC	3.2.2.24			3.2.2.25
NLME-GET	3.2.2.27			3.2.2.28
NLME-SET	3.2.2.29			3.2.2.30
NLME-NWK-STATUS		3.2.2.31		
NLME-ROUTE-DISCOVERY	3.2.2.32			3.2.2.33
NLME-SET-INTERFACE	3.2.2.34			3.2.2.35
NLME-GET-INTERFACE	3.2.2.36			3.2.2.37

5719      **3.2.2.1      MAC Interfaces**

5720      The NWK layer MAY optionally support one or more MAC interface. Where there are multiple MAC interfaces to a  
 5721      single NWK layer, they SHALL all use the same PANID. Multi-MAC Devices SHALL use the same EUI64 and short  
 5722      address on all MAC interfaces. These interfaces MAY be enabled or disabled independently. At least one entry in the  
 5723      nwkMacInterfaceTable SHALL have an Enabled field set to TRUE for the network layer to be considered formed or  
 5724      joined.

5725      **3.2.2.2      Network Management Data Structures**

5726      The following network management data structures are utilized by the NLME primitives.

5727      **3.2.2.2.1      Channel List Structure**

5728      To convey channel information for a device that MAY be operating on multiple MAC interfaces it is necessary to  
 5729      utilize a data structure that can convey this complete information. Channels are divided into groups known as pages.  
 5730      Each page indicates information about a particular band while the list of channels is indicated via bits within the page.  
 5731      A ChannelList structure SHALL contain only one instance of each channel page. Table 3-6 describes the ChannelList  
 5732      structure.

5733

5734

**Table 3-6. Field Descriptions of the ChannelList Structure**

Name	Type	Valid Range	Description
Channel Page Count	Integer	0 – 255	The number of Channel Page Structures contained within the Channel List Structure
Channel Page Structure	Channel Page Structure	Variable	The set of channels for this channel page. See Table 3-7.

5735

Table 3-7 describes the fields of the Channel Page structure.

5736

**Table 3-7. Field Descriptions of the Channel Page Structure**

Name	Type	Valid Range	Description
Channels Field	Bitmap	32-bit field	The five most significant bits (b27,..., b31) represent the binary encoded Channel Page. The 27 least significant bits (b0, b1,... b26) indicate which channels are to be scanned (1 = scan, 0 = do not scan) for each of the 27 valid channels

5737

Annex D defines the list of valid channel pages and their frequency bands.

5738

**Table 3-8. Over-the-air Format of the Channel List Structure**

Octets: 1	Octets: 4	Octets: 0/4	Octets: 0/4...
Channel Page Count	First Channel Page	n Channel Page	n+1 Channel Page

5739

Note: 32-bit Channel Page structures are encoded as little-endian values in over-the-air frames.

5740

### 3.2.2.2.2 Validating the List of Channels

5741  
5742  
5743  
5744  
5745  
5746

Any primitive that accepts a Channel List Structure SHALL validate the list of channels is acceptable. For each channel specified in the primitive's Channel List Structure do the following. For each entry in the *nwkMacInterfaceTable* where the Enabled field of the interface is set to TRUE, examine if the Channel page and Channel passed to the primitive corresponds to a Channel Page and Channel enumerated in the Channel List Structure of the *nwkMacInterfaceTable* entry. If all entries have been examined and the channel does not match then processing SHALL fail for that primitive.

5747

### 3.2.2.2.3 Energy Detect List Structure

5748  
5749

The energy detect list structure is used to convey energy values for each channel that was scanned. Table 3-9 indicates the format.

5750

**Table 3-9. Field Descriptions of the EnergyDetectListStructure**

Name	Type	Valid Range	Description
Channel Count	Integer	0 – 255	The number of EnergyDetectChannelInfo items in the EnergyDetectListStructure, which is the total number of channels scanned.
ChannelInfo	EnergyDetectChannelInfo	Variable	See Table 3-10.

5751

Table 3-10 describes the format of the EnergyDetectChannelInfo.

5752

**Table 3-10. Field Descriptions of the EnergyDetectChannelInfo**

Name	Type	Valid Range	Description
ChannelPage	Integer	0 - 31	The channel page of the channel that was scanned.
ChannelNumber	Integer	0 – 26	The channel number within the channel page.
EnergyDetected	Integer	0 – 255	The energy measurement.

### 3.2.2.3 NLME-NETWORK-AND-PARENT-DISCOVERY.request

5754 This primitive allows the next higher layer to request that the NWK layer discover networks currently operating within  
 5755 the POS.

#### 3.2.2.3.1 Semantics of the Service Primitive

5757 The semantics of this primitive are as follows:

---

```
NLME-NETWORK-AND-PARENT-DISCOVERY.request {
    ScanChannelsListStructure,
    ScanDuration,
    OnlyPermitJoinNetworks,
    OnlyEndDeviceCapacity
}
```

---

5764 Table 3-11 specifies the parameters for the NLME-NETWORK-AND-PARENT-DISCOVERY.request primitive.

**Table 3-11. NLME-NETWORK-And-PARENT-DISCOVERY.request Parameters**

Name	Type	Valid Range	Description
ScanChann- nelsListStructure	Channel List Structure	Varies	A list of channel pages and the channels within those pages that the discovery SHALL be performed upon.
ScanDuration	Integer	0x00 – 0x0e	A value used to calculate the length of time to spend scanning each channel:  The time spent scanning each channel is ( <i>aBaseSuperframeDuration</i> * (2 <sup>n</sup> + 1)) symbols, where n is the value of the ScanDuration parameter. For more information on MAC sub-layer scanning (see [B1]).
OnlyPermitJoinNet- works	Boolean	TRUE or FALSE	This indicates that only beacons with Association Permit set to TRUE SHALL be considered as parents.
OnlyEndDevice- Capacity	Boolean	TRUE or FALSE	This indicates that only beacons with TRUE for the End Device Capacity field of the Zigbee Beacon Info field SHALL be considered.

#### 3.2.2.3.2 When Generated

5766 This primitive is generated by the next higher layer of a Zigbee device and issued to its NLME to request the discovery  
 5767 of networks operating within the device's personal operating space (POS).

5769 **3.2.2.3.3 Effect on Receipt**

5770 For each interface in the nwkMacInterfaceTable perform the following:

5771 1. Determine if the SupportedChannels value in the entry contains channels that are to be scanned, as specified in  
 5772 the ScanChannelsListStructure . If no channels are supported, return INVALID\_PARAMETER and no further  
 5773 processing SHALL be done.

5774 2. If a channel in the interface is to be scanned, perform an MLME-SCAN.request as follows:

5775 i. Set the ScanType of MLME ScanType parameter to the ScanType of the nwkMacInterfaceTable entry.

5776 ii. Set the ScanChannels to the intersection of the channels of the SupportedChannels item in the nwkMacIn-  
 5777 terfaceTable entry and the ScanChannelsListStructure passed to this primitive.

5778 iii. For each MLME-SCAN.confirm primitive the beacons SHALL be processed according to section 3.6.1.3  
 5779 Network and Parent Discovery.

5780 On receipt of the last MLME-SCAN.confirm primitive, the NLME issues the NLME-NETWORK-AND-PARENT-  
 5781 DISCOVERY.confirm primitive containing the information about the discovered networks with a Status parameter  
 5782 value equal to that returned with the MLME-SCAN.confirm.

5783 **3.2.2.4 NLME-NETWORK-AND-PARENT-DISCOVERY.confirm**

5784 This primitive reports the results of a network and parent discovery operation. Details of the networks and parents  
 5785 discovered can be retrieved via the NLME-GET.request for the *nwkDiscoveryTable* NIB attribute.

5786 **3.2.2.4.1 Semantics of the Service Primitive**

5787 The semantics of this primitive are as follows:

---

NLME-NETWORK-AND-PARENT-DISCOVERY.confirm	{
	Status
	}

---

5791 Table 3-12 describes the arguments of the NLME-NETWORK-AND-PARENT-DISCOVERY.confirm primitive.

5792 **Table 3-12. NLME-NETWORK-AND-PARENT-DISCOVERY.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	Any status value returned with the MLME-SCAN.confirm primitive.	See [B1].

5793 **3.2.2.4.2 When Generated**

5794 On receipt of all the MLME-SCAN.confirm primitive(s), the NLME issues the NLME-NETWORK-AND-PARENT-  
 5795 DISCOVERY.confirm primitive containing the Status parameter value equal to that returned with the MLME-  
 5796 SCAN.confirm.

5797 **3.2.2.4.3 Effect on Receipt**

5798 On receipt of this primitive, the next higher layer is notified of the results of a network search. The next higher layer  
 5799 MAY use the NLME-GET.request primitive to retrieve the results stored in the nwkDiscoveryTable of the NIB.

5800 **3.2.2.5 NLME-NETWORK-FORMATION.request**

5801 This primitive allows the next higher layer to request that the device start a new Zigbee network with itself as the  
 5802 coordinator and subsequently make changes to its superframe configuration.

5803      **3.2.2.5.1      Semantics of the Service Primitive**

5804      The semantics of this primitive are as follows:

---

5805	NLME-NETWORK-FORMATION.request	{
5806		ScanChannelsListStructure,
5807		ScanDuration,
5808		BeaconOrder,
5809		SuperframeOrder,
5810		BatteryLifeExtension
5811		DistributedNetwork
5812		DistributedNetworkAddress
5813		
5814		}

---

5815      Table 3-13 specifies the parameters for the NLME-NETWORK-FORMATION.request primitive.

5816      **Table 3-13 NLME-NETWORK-FORMATION.request Parameters**

Name	Type	Valid Range	Description
ScanChannelsListStructure	Channel List Structure	Varies	The list of all channel pages and the associated channels that SHALL be scanned.
ScanDuration	Integer	0x00 – 0x0e	A value used to calculate the length of time to spend scanning each channel.  The time spent scanning each channel is ( $aBaseSuperframeDuration * (2n + 1)$ ) symbols, where $n$ is the value of the ScanDuration parameter (see [B1]).
BeaconOrder	Integer	0x00 – 0x0f	The beacon order of the network that the higher layers wish to form.
SuperframeOrder	Integer	0x00 – 0x0f	The superframe order of the network that the higher layers wish to form.
BatteryLifeExtension	Boolean	TRUE or FALSE	If this value is TRUE, the NLME will request that the Zigbee coordinator is started supporting battery life extension mode; If this value is FALSE, the NLME will request that the Zigbee coordinator is started without supporting battery life extension mode.
DistributedNetwork	Boolean	TRUE or FALSE	If this value is TRUE then it indicates that distributed network security will be used and therefore it is permissible for a Zigbee router to form the network. If FALSE, then this primitive MAY only be called by the Zigbee Coordinator.

Name	Type	Valid Range	Description
DistributedNetwork-Address	Integer	0x0001 – 0xFFFF	The address the device will use when forming a distributed network.

### 5817 3.2.2.5.2 When Generated

5818 This primitive is generated by the next higher layer of a Zigbee coordinator-capable device and issued to its NLME  
 5819 to request the initialization of itself as the Zigbee coordinator of a new network.

### 5820 3.2.2.5.3 Effect on Receipt

- 5821 1. If DistributedNetwork is set to FALSE and the device is not capable of being a Zigbee coordinator, the NLME  
 5822 SHALL issue the NLME-NETWORK-FORMATION.confirm primitive with the Status parameter set to  
 5823 INV\_REQUESTTYPE.
- 5824 2. If DistributedNetwork is set to TRUE and the device is not capable of being a Zigbee router then NLME issues  
 5825 the NLME-NETWORK-FORMATION.confirm primitive with the Status parameter set to INV\_REQUESTTYPE.
- 5827 3. If DistributedNetwork is set to TRUE and the DistributedNetworkAddress is outside the valid range then pro-  
 5828 cessing SHALL fail with the Status parameter set to INV\_REQUESTTYPE.
- 5829 4. On receipt of this primitive the NLME SHALL first validate the ChannelListStructure parameter according to  
 5830 section 3.2.2.2. If validation fails the NLME-NETWORK-FORMATION.confirm primitive SHALL be issued  
 5831 with a Status parameter set to INVALID\_PARAMETER.
- 5832 5. Determine the corresponding interface entries in the nwkMacInterfaceTable where the SupportedChannels pa-  
 5833 rameter indicates support for the channels that were indicated within the ScanChannelsListStructure. If multiple  
 5834 Channel Pages are indicated the scan SHALL be repeated for each page. When multiple channels are provided to  
 5835 the NLME-FORMATION.request it is recommended to perform an energy detection scan to determine the chan-  
 5836 nel with the lowest energy. After selecting that channel, it is required to pick a random PANID and recommended  
 5837 to perform an active scan to ensure it does not conflict with any of the currently operating 802.15.4 PANs.
- 5838 6. Using those interfaces' MLME, do the following.
  - 5839 a. Initiate one or more MLME-SCAN.request primitives with the following parameters.
    - 5840 i. ScanType SHALL be set to ED.
    - 5841 ii. ScanChannels SHALL be set to the channels indicated in the NLME ScanChannelsListStructure param-  
 5842 eter for the relevant page.
    - 5843 iii. ChannelPage SHALL be set to the corresponding channel page indicated in the NLME ScanChan-  
 5844 nelsListStructure parameter.
    - 5845 iv. ScanDuration SHALL be set to the value indicated in the NLME ScanDuration parameter.
  - 5846 b. Upon receipt of each MLME-SCAN.confirm, do the following:
    - 5847 i. If the MLME-SCAN.confirm status does not indicate SUCCESS, issue an NLME-NETWORK-FOR-  
 5848 MATION.confirm with the corresponding Status returned by the MLME. No further processing of the NLME  
 5849 SHALL be done.
    - 5850 ii. If the Status indicates SUCCESS, pick a list of acceptable channels on which to perform an active scan.
    - 5851 c. Initiate one or more MLME-SCAN.request primitives with the following parameters.
      - 5852 i. ScanType SHALL be set to ACTIVE.
      - 5853 ii. ScanChannels SHALL be set to the acceptable channels indicated in step b ii above
      - 5854 iii. ChannelPage SHALL be set to the corresponding channel page indicated in step b ii above

- 5855        iv. ScanDuration SHALL be set to the value indicated in the NLME ScanDuration parameter.
- 5856        d. Upon receipt of each MLME-SCAN.confirm, do the following.
- 5857            i. If the Status does not indicate SUCCESS, or NO\_BEACON, issue an NLME-NETWORK-FOR-  
5858            MATION.confirm with the corresponding Status returned by the MLME. No further processing of the  
5859            NLME SHALL be done.
- 5860            ii. After receipt of the last MLME-SCAN.confirm, if the Status indicates SUCCESS or NO\_BEACON,  
5861            review the list of returned PAN descriptors and, for each interface identified in 5. above, find the first  
5862            channel with the lowest number of existing networks on which to form a network, favoring a channel  
5863            with no detected networks. Pick a random PAN Identifier that does not match any of the values returned  
5864            in the PANDescriptorList of the MLME-SCAN.confirm for the selected channels.
- 5865            e. If no suitable channel or PAN identifier can be found, the NLME issues the NLME-NETWORK-FOR-  
5866            MATION.confirm primitive with the Status parameter set to STARTUP\_FAILURE.
- 5867            f. Once suitable channel(s) and PAN identifier are found, an address SHALL be chosen and the MAC sub-layer  
5868            informed of the resultant address.
- 5869            i. Issue an MLME-SET.request for the MIB value macShortAddress.
- 5870              1. If the DistributedNetwork parameter is set to FALSE, set the MIB value to 0x0000.
- 5871              2. If the DistributedNetwork parameter is set to TRUE, set the MIB value to the DistributedNetwork-  
5872              Address.
- 5873            g. If the NIB attribute nwkExtendedPANId is equal to 0x0000000000000000, do the following:
- 5874              i. Perform an MLME-GET.request for the value macExtendedAddress.
- 5875              ii. Set the nwkExtendedPANId equal to the value of macExtendedAddress.
- 5876            h. The device SHALL issue an MLME-START.request to the appropriate MAC sub-layers.
- 5877              i. If DistributedNetwork is FALSE, set PANCoordinator parameter to TRUE. Otherwise, set PANCoordi-  
5878              nator to FALSE.
- 5879              ii. Set BeaconOrder, SuperframeOrder, and BatteryLifeExtension parameters to the values indicated in the  
5880              NLME-NETWORK-FORMATION.request.
- 5881              iii. CoordRealignment parameter SHALL be set to FALSE.
- 5882              i. On receipt of the last MLME-START.confirm primitive, issue an NLME-NETWORK-FORMATION.con-  
5883              firm primitive to the next higher layer setting the Status to the Status value returned by the MLME-  
5884              START.confirm primitive.

5885 Note: It is possible for the application to delay enabling additional MAC interfaces until it is necessary to do so. This  
5886 MAY be done to conserve bandwidth or for other administrative reasons.

### 5887        **3.2.2.6        NLME-NETWORK-FORMATION.confirm**

5888 This primitive reports the results of the request to initialize a Zigbee coordinator in a network.

5889

5890 **3.2.2.6.1 Semantics of the Service Primitive**

5891 The semantics of this primitive are as follows:

---

NLME-NETWORK-FORMATION.confirm	{ Status }
--------------------------------	------------------

---

5895 Table 3-14 specifies the parameters for the NLME-NETWORK-FORMATION.confirm primitive.

5896 **Table 3-14. NLME-NETWORK-FORMATION.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	INV_REQUESTTYPE, STARTUP_FAILURE, or any status value returned from the MLME- START.confirm primitive.	The result of the attempt to initialize a Zigbee coordinator.

5897 **3.2.2.6.2 When Generated**5898 This primitive is generated by the NLME and issued to its next higher layer in response to an NLME-NETWORK-  
5899 FORMATION.request primitive. This primitive returns a status value of INV\_REQUESTTYPE, STARTUP\_FAIL-  
5900URE or any status value returned from the MLME-START.confirm primitive. Conditions under which these values  
5901 MAY be returned are described in section 3.2.2.5.3.5902 **3.2.2.6.3 Effect on Receipt**5903 On receipt of this primitive, the next higher layer is notified of the results of its request to initialize the device as a  
5904 Zigbee coordinator. If the NLME has been successful, the Status parameter will be set to SUCCESS. Otherwise, the  
5905 Status parameter indicates the error.5906 **3.2.2.7 NLME-PERMIT-JOINING.request**5907 This primitive allows the next higher layer of a Zigbee coordinator or router to set its MAC sub-layer association  
5908 permit flag for a fixed period when it MAY accept devices onto its network.5909 **3.2.2.7.1 Semantics of the Service Primitive**

5910 The semantics of this primitive are as follows:

---

NLME-PERMIT-JOINING.request	{ PermitDuration }
-----------------------------	--------------------------

---

5914 Table 3-15 specifies the parameters for the NLME-PERMIT-JOINING.request primitive.

5915 **Table 3-15. NLME-PERMIT-JOINING.request Parameters**

Name	Type	Valid Range	Description
PermitDuration	Integer	0x00 – 0xff	The length of time in seconds during which the Zigbee coordinator or router will allow associa- tions. The value 0x00 and 0xff indicate that per- mission is disabled or enabled, respectively, without a specified time limit.

5916 **3.2.2.7.2 When Generated**

5917 This primitive is generated by the next higher layer of a Zigbee coordinator or router and issued to its NLME whenever  
 5918 it would like to permit or prohibit the joining of the network by new devices.

5919 **3.2.2.7.3 Effect on Receipt**

5920 It is only permissible that the next higher layer of a Zigbee coordinator or router issue this primitive. On receipt of this  
 5921 primitive by the NWK layer of a Zigbee end device, the NLME-PERMIT-JOINING.confirm primitive returns a status  
 5922 of INV\_REQUESTTYPE.

5923 On receipt of this primitive with the PermitDuration parameter set to 0x00, the NLME sets the MAC PIB attribute,  
 5924 *macAssociationPermit*, to FALSE by issuing the MLME-SET.request primitive to the MAC sub-layer. Once the  
 5925 MLME-SET.confirm primitive is received, the NLME issues the NLME-PERMIT-JOINING.confirm primitive with  
 5926 a status equal to that received from the MAC sub-layer.

5927 On receipt of this primitive with the PermitDuration parameter set to 0xff, the NLME sets the MAC PIB attribute,  
 5928 *macAssociationPermit*, to TRUE by issuing the MLME-SET.request primitive to the MAC sub-layer. Once the  
 5929 MLME-SET.confirm primitive is received, the NLME issues the NLME-PERMIT-JOINING.confirm primitive with  
 5930 a status equal to that received from the MAC sub-layer.

5931 On receipt of this primitive with the PermitDuration parameter set to any value other than 0x00 or 0xff, the NLME  
 5932 sets the MAC PIB attribute, *macAssociationPermit*, to TRUE as described above. Following the receipt of the MLME-  
 5933 SET.confirm primitive, the NLME starts a timer to expire after PermitDuration seconds. Once the timer is set, the  
 5934 NLME issues the NLME-PERMIT-JOINING.confirm primitive with a status equal to that received by the MAC sub-  
 5935 layer. On expiration of the timer, the NLME sets *macAssociationPermit* to FALSE by issuing the MLME-SET.request  
 5936 primitive.

5937 Every NLME-PERMIT-JOINING.request primitive issued by the next higher layer supersedes all previous requests.

5938 **3.2.2.8 NLME-PERMIT-JOINING.confirm**

5939 This primitive allows the next higher layer of a Zigbee coordinator or router to be notified of the results of its request  
 5940 to permit the acceptance of devices onto the network.

5941 **3.2.2.8.1 Semantics of the Service Primitive**

5942 The semantics of this primitive are as follows:

---

NLME-PERMIT-JOINING.confirm	{
	Status
	}

---

5946 Table 3-16 specifies the parameters for the NLME-PERMIT-JOINING.confirm primitive.

5947 **Table 3-16. NLME-PERMIT-JOINING.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	INV_REQUESTTYPE or any status returned from the MLME-SET.confirm primitive (see [B1]).	The status of the corresponding request

5948 **3.2.2.8.2 When Generated**

5949 This primitive is generated by the initiating NLME of a Zigbee coordinator or router and issued to its next higher layer  
 5950 in response to an NLME-PERMIT-JOINING.request. The Status parameter either indicates the status received from  
 5951 the MAC sub-layer or an error code of INV\_REQUESTTYPE. The reasons for these status values are described in  
 5952 section 3.2.2.7.

5953 **3.2.2.8.3 Effect on Receipt**

5954 On receipt of this primitive, the next higher layer of the initiating device is notified of the results of its request to  
 5955 permit devices to join the network.

5956 **3.2.2.9 NLME-START-ROUTER.request**

5957 This primitive allows the next higher layer of a Zigbee router to initiate the activities expected of a Zigbee router  
 5958 including the routing of data frames, route discovery, and the accepting of requests to join the network from other  
 5959 devices.

5960 **3.2.2.9.1 Semantics of the Service Primitive**

5961 The semantics of this primitive are as follows:

---

NLME-START-ROUTER.request	{ BeaconOrder, SuperframeOrder, BatteryLifeExtension }
---------------------------	--

---

5967 Table 3-17 specifies the parameters for NLME-START-ROUTER.request.

5968 **Table 3-17. NLME-START-ROUTER.request Parameters**

Name	Type	Valid Range	Description
BeaconOrder	Integer	0x00 – 0x0f	The beacon order of the network that the higher layers wish to form.
SuperframeOrder	Integer	0x00 – 0x0f	The superframe order of the network that the higher layers wish to form.
BatteryLifeExtension	Boolean	TRUE or FALSE	If this value is TRUE, the NLME will request that the Zigbee router is started supporting battery life extension mode. If this value is FALSE, the NLME will request that the Zigbee router is started without supporting battery life extension mode.

5969 **3.2.2.9.2 When Generated**

5970 This primitive is generated by the next higher layer of a new device and issued to its NLME to request the initialization  
 5971 of itself as a Zigbee router.

5972 **3.2.2.9.3 Effect on Receipt**

5973 On receipt of this primitive by a device that is not already joined to a Zigbee network as a router, the NLME issues  
 5974 the NLME-START-ROUTER.confirm primitive with the Status parameter set to INV\_REQUESTTYPE.

5975 On receipt of this primitive by the NLME of a device that is joined to a Zigbee network as a router, the NLME SHALL  
 5976 issue the MLME-START.request primitive to each MAC sub-layer entry in the nwkMacInterfaceTable where the  
 5977 Enabled element is set to TRUE. The BeaconOrder, SuperframeOrder, and BatteryLifeExtension parameters of the  
 5978 MLME-START.request primitive will have the values given by the corresponding parameters of the NLME-START-  
 5979 ROUTER.request. The CoordRealignment parameter in the MLME-START.request primitive is set to FALSE if the  
 5980 primitive is issued to start as a router for the first time. The CoordRealignment parameter is set to TRUE thereafter if  
 5981 the primitive is issued to change any of the PAN configuration attributes.

5982 On receipt of the associated MLME-START.confirm primitive, the NLME issues the NLME-START-ROUTER.confirm primitive to the next higher layer with the status returned from the MLME-START.confirm primitive. If, and  
 5983 only if, the status returned from the MLME-START.confirm primitive is SUCCESS, the device MAY then begin to  
 5984 engage in the activities EXPECTED of a Zigbee router including the routing of data frames, route discovery, and the  
 5985 accepting of requests to join the network from other devices. Otherwise, the device is expressly forbidden to engage  
 5986 in these activities.  
 5987

5988 Note after a router has gone through a joining process there MAY be interfaces that have not associated. Those re-  
 5989 maining interfaces still need to select a suitable channel for operation. Those will need to execute the normal channel  
 5990 selection process (see network formation text) before issuing the MLME-START.request. See section 3.6.12.2 for  
 5991 starting a Multi-Mac interface device.

### 5992 **3.2.2.10 NLME-START-ROUTER.confirm**

5993 This primitive reports the results of the request to initialize a Zigbee router.

#### 5994 **3.2.2.10.1 Semantics of the Service Primitive**

5995 The semantics of this primitive are as follows:

---

NLME-START-ROUTER.confirm	{
	Status
	}

---

5996 Table 3-18 specifies the parameters for NLME-START-ROUTER.confirm.

6000 **Table 3-18. NLME-START-ROUTER.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	INV_REQUESTTYPE or any status value returned from the MLME-START.confirm primitive.	The result of the attempt to initialize a Zigbee router.

#### 6001 **3.2.2.10.2 When Generated**

6002 This primitive is generated by the NLME and issued to its next higher layer in response to an NLME-START-  
 6003 ROUTER.request primitive. This primitive returns a status value of INV\_REQUESTTYPE or any status value re-  
 6004 turned from the MLME-START.confirm primitive. Conditions under which these values MAY be returned are de-  
 6005 scribed in section 3.2.2.9.3.

#### 6006 **3.2.2.10.3 Effect on Receipt**

6007 On receipt of this primitive, the next higher layer is notified of the results of its request to initialize a Zigbee router. If  
 6008 the NLME has been successful, the Status parameter will be set to SUCCESS. Otherwise, the Status parameter indi-  
 6009 cates the error.

### 6010 **3.2.2.11 NLME-ED-SCAN.request**

6011 This primitive allows the next higher layer to request an energy scan to evaluate channels in the local area.

6012

6013 **3.2.2.11.1 Semantics of the Service Primitive**

6014 The semantics of this primitive are as follows:

---

6015	NLME-ED-SCAN.request	{
6016		ScanChannelsListStructure,
6017		ScanDuration,
6018		}

---

6019 Table 3-19 specifies the parameters for the service primitive.

6020 **Table 3-19. NLME-ED-SCAN.request Parameters**

Name	Type	Valid Range	Description
ScanChannelsListStructure	Channel-ListStructure	Varies	The list of all channel pages and the associated channels that SHALL be scanned.
ScanDuration	Integer	0x00 – 0x0e	A value used to calculate the length of time to spend scanning each channel. The time spent scanning each channel is ( $aBaseSuperframe-Duration * (2^n + 1)$ ) symbols, where $n$ is the value of the ScanDuration parameter (see [B1]).

6021 **3.2.2.11.2 When Generated**

6022 The next higher layer of a device generates this primitive to request to conduct an energy scan of channels.

6023 **3.2.2.11.3 Effect on Receipt**

6024 On receipt the NLME SHALL first validate the ScanChannelsListStructure parameter according to section 3.2.2.2.6025 If validation fails the NLME-ED-SCAN.confirm primitive SHALL be issued with a Status parameter set to INVAILID\_PARAMETER.

6027 If the device is currently joined to a network, the device will temporarily stop operating on the network to conduct an6028 energy scan.

6029 The NLME SHALL issue the MLME-SCAN.request primitive to every valid channel page in each MAC sub-layer6030 that has a nwkMacInterfaceTable entry where the SupportedChannels field corresponds to a bit in the ScanChann6031 elnsListStructure. The MLME-SCAN.request SHALL set the ScanType parameter to indicate an energy detection6032 scan (ED) and the ScanChannelsListStructure and ScanDuration SHALL be set to the values passed from the NLME6033 request.

6034 **3.2.2.12 NLME-ED-SCAN.confirm**

6035 This primitive provides the next higher layer results from an energy scan.

6036

6037 **3.2.2.12.1 Semantics of the Service Primitive**

6038 The semantics of this primitive are as follows:

---

6039           NLME-ED-SCAN.confirm	{ Status EnergyDetectListStructure }
-------------------------------------	---

---

6043 Table 3-20 specifies the parameters for the service primitive.

6044 **Table 3-20. NLME-ED-SCAN.confirm**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, or any valid code from the MAC	The status of the request.
EnergyDetect-ListStructure	Ener- gyDetect- ListStructure	Varies	The list of energy measurements in accordance with Table 3-9.

6045 **3.2.2.12.2 When Generated**6046 This primitive is generated by the NLME of a Zigbee device in response to an NLME-ED-SCAN.request. The Status  
6047 indicates the Status received from the MAC sub-layer primitive MLME-SCAN.confirm. The NLME SHALL construct  
6048 an EnergyDetectListStructure that contains all received EnergyDetectList values of the MLME-SCAN.confirm  
6049 primitives that were generated by the corresponding NLME-ED-SCAN.request.6050 **3.2.2.12.3 Effect on Receipt**

6051 On receipt of this primitive, the next higher layer is notified of the results of an ED scan.

6052 **3.2.2.13 NLME-JOIN.request**6053 This primitive allows the next higher layer to request to join or rejoin a network, or to change the operating channel  
6054 for the device while within an operating network.6055 **3.2.2.13.1 Semantics of the Service Primitive**

6056 The semantics of this primitive are as follows:

---

6057           NLME-JOIN.request	{ ExtendedPANId, RejoinNetwork, ScanChannelsListStructure, ScanDuration, CapabilityInformation, SecurityEnable }
----------------------------------	---

---

6065 Table 3-21 specifies the parameters for the NLME-JOIN.request primitive.

6066

**Table 3-21. NLME-JOIN.request**

Name	Type	Valid Range	Description
ExtendedPANId	Integer	0x0000000000000001 – 0xffffffffffffffe	The 64-bit PAN identifier of the network to join.
RejoinNetwork	Integer	0x00 – 0x03	<p>This parameter controls the method of joining the network.</p> <p>The parameter is 0x00 if the device is requesting to join a network through association.</p> <p>The parameter is 0x01 if the device is joining directly or rejoining the network using the orphaning procedure.</p> <p>The parameter is 0x02 if the device is joining the network using the NWK rejoicing procedure.</p> <p>The parameter is 0x03 if the device is to change the operational network channel to that identified in the ScanChannels parameter.</p>
ScanChannelsListStructure	Channel List Structure	Varies	The list of all channel pages and the associated channels that SHALL be scanned.
ScanDuration	Integer	0x00-0x0e	A value used to calculate the length of time to spend scanning each channel. The time spent scanning each channel is ( $aBaseSuperframe-Duration * (2^n + 1)$ ) symbols, where $n$ is the value of the ScanDuration parameter (see [B1]).
CapabilityInformation	Bitmap	See Table 3-67.	The operating capabilities of the device being directly joined.
SecurityEnable	Boolean	TRUE or FALSE	If the value of RejoinNetwork is 0x02 and this is TRUE than the device will try to rejoin securely. Otherwise, this is set to FALSE.

### 6067 3.2.2.13.2 When Generated

6068 The next higher layer of a device generates this primitive to request to:

- 6069 • Join a network using the MAC association procedure.
- 6070 • Join or rejoin a network using the orphaning procedure.
- 6071 • Join or rejoin a network using the NWK rejoicing procedure.
- 6072 • Switch the operating channel for a device that is joined to a network.

### 6073 3.2.2.13.3 Effect on Receipt

6074 On receipt the NLME SHALL first validate the ChannelListStructure according to section 3.2.2.2. If validation fails the NLME-JOIN.confirm primitive SHALL be issued with a Status parameter set to INVALID\_PARAMETER.

6076 When performing a join (Rejoin parameter is set to 0x00), the device SHALL verify that the ChannelListStructure  
6077 indicates a single channel selected for the join. If more than a single channel has been selected, the NLME-JOIN.request primitive SHALL be issued with a Status parameter set to INVALID\_PARAMETER. When performing a rejoin  
6079 (Rejoin parameter not equal to 0x00) the ChannelListStructure MAY indicate multiple channels to try.

6080 On receipt of this primitive by a device that is currently joined to a network and with the RejoinNetwork parameter  
6081 equal to 0x00, the NLME issues an NLME-JOIN.confirm primitive with the Status parameter set to INV\_REQUESTTYPE.  
6082

6083 On receipt of this primitive by a device that is not currently joined to a network and with the RejoinNetwork parameter  
6084 equal to 0x00, the device attempts to join the network specified by the 64-bit ExtendedPANId parameter as described  
6085 in section 3.6.1.6.1.1.

6086 Whether joining or rejoining, the device SHALL set the nwkParentInformation in the NIB to 0.

6087 If a device receives this primitive and the RejoinNetwork parameter is equal to 0x01, then it attempts to join or rejoin  
6088 the network using orphaning as described in section 3.6.1.6.1.2.

6089 On receipt of this primitive with the RejoinNetwork parameter is equal to 0x02, the device attempts to rejoin the  
6090 network with 64-bit extended PAN ID given by the ExtendedPANId parameter. The procedure for rejoining is given  
6091 in section 3.6.1.6.1.2.

6092 Once the device has successfully joined a network, it will set the value of the *nwkExtendedPANId* NIB attribute to the  
6093 extended PAN identifier of the network to which it joined.

6094 If a device receives this primitive and the RejoinNetwork parameter is equal to 0x03, and the device supports setting  
6095 the value of phyCurrentChannel then the device attempts to switch the operating channel to that provided in the  
6096 ScanChannels parameter. If more than one channel is provided in the ScanChannels parameter, the NLME issues an  
6097 NLME-JOIN.confirm primitive with the Status parameter set to INV\_REQUESTTYPE. If the channel change is per-  
6098 formed successfully, then the device issues a NLME-JOIN.confirm with the Status parameter set to SUCCESS. If the  
6099 device does not support the setting of phyCurrentChannel directly, then the NLME issues a NLME-JOIN.confirm  
6100 primitive with the Status parameter set to UNSUPPORTED\_ATTRIBUTE.

6101 If the MAC layer returned an error status during the channel change then this status SHALL be reported in the status  
6102 field of the NLME-JOIN.confirm primitive.

### 3.2.2.14 NLME-JOIN.indication

6104 This primitive allows the next higher layer of a Zigbee coordinator or Zigbee router to be notified when a new device  
6105 has successfully joined its network by association or rejoined using the NWK rejoin procedure as described in section  
6106 3.6.1.6.1.

#### 3.2.2.14.1 Semantics of the Service Primitive

6108 The semantics of this primitive are as follows:

---

6109       NLME-JOIN.indication                   {  
6110    InterfaceIndex,  
6111    NetworkAddress,  
6112    ExtendedAddress,  
6113    CapabilityInformation,  
6114    JoinerMethod,  
6115    JoiningDeviceTLVs  
6116    }  
6117

---

Table 3-22 specifies the parameters for the NLME-JOIN.indication primitive.

6118

**Table 3-22. NLME-JOIN.indication Parameters**

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	The index of the interface in the Mac Interface Table to set.
NetworkAddress	Network address	0x0001 – 0xffff	The network address of an entity that has been added to the network.
ExtendedAddress	64-bit IEEE address	Any 64-bit, IEEE address	The 64-bit IEEE address of an entity that has been added to the network.
CapabilityInformation	Bitmap	See [B1].	Specifies the operational capabilities of the joining device.
JoinerMethod	Enumeration	0x00 – 0x05	This indicates the mechanism used to join or rejoin. It has the following status values. 0 – MAC Association 1 – Network rejoin without security 2 – Secure network rejoin 3 – Network commissioning join without security 4 – Network commissioning rejoin without security 5 – Secure network commissioning rejoin
JoiningDeviceTLVs	TLVs	Varies	This is a set of TLVs communicated by the Joining Device to the parent router. via the Network Commissioning Request Command Frame. See section 3.4.14.3 for the set of TLVs that can be communicated.”

6119

### 3.2.2.14.2 When Generated

6120  
6121  
6122

This primitive is generated by the NLME of a Zigbee coordinator or router and issued to its next higher layer on successfully adding a new device to the network using the MAC association procedure as shown in Figure 3-45, or on allowing a device to rejoin the network using the NWK rejoining procedure as shown in Figure 3-46.

6123

### 3.2.2.14.3 Effect on Receipt

6124  
6125

On receipt of this primitive, the next higher layer of a Zigbee coordinator or Zigbee router is notified that a new device has joined its network.

6126

### 3.2.2.15 NLME-JOIN.confirm

6127

This primitive allows the next higher layer to be notified of the results of its request to join a network.

6128

---

### 6129    3.2.2.15.1    Semantics of the Service Primitive

6130    The semantics of this primitive are as follows:

---

6131            NLME-JOIN.confirm {  
 6132                 Status,  
 6133                 NetworkAddress,  
 6134                 ExtendedPANID,  
 6135                 ChannelListStructure  
 6136                 Enhanced BeaconType  
 6137                 MacInterface Index,  
 6138                 JoinMethodUsed  
 6139               }

---

6140    Table 3-23 specifies the parameters for the NLME-JOIN.confirm primitive.

6141            **Table 3-23. NLME-JOIN.confirm**

Name	Type	Valid Range	Description
Status	Status	INV_REQUESTTYPE, NOT_PERMITTED, NO_NETWORKS, or any status value returned from the MLME-ASSOCIATE.confirm primitive, the MLME-SCAN.confirm primitive or the PLME-SET.confirm	The status of the corresponding request.
NetworkAddress	Integer	0x0001 – 0xffff and 0xffff	The 16-bit network address that was allocated to this device. This parameter will be equal to 0xffff if the join attempt was unsuccessful.
ExtendedPANID	Integer	0x0000000000000001 – 0xfffffffffffffe	The 64-bit extended PAN identifier for the network of which the device is now a member.
Channel List Structure	Channel-ListStructure	Varies	The structure indicating the current channel of the network that has been joined.
Enhanced BeaconType	Boolean	TRUE or FALSE	Returns TRUE if using Enhanced Beacons.
MacInterface Index	Integer	0 – 31	Value of Mac Interface Index from nwkMACInterfaceTable.

Name	Type	Valid Range	Description
JoinMethodUsed	Enumeration	0 – 31	0 – MAC Association 1 – Network rejoin without security 2 – Secure network rejoin 3 – Network commissioning join without security 4 – Network commissioning rejoin without security 5 – Secure network commissioning rejoin

### 3.2.2.15.2 When Generated

This primitive is generated by the initiating NLME and issued to its next higher layer in response to an NLME-JOIN.request primitive. If the request was successful, the Status parameter will have a value of SUCCESS. Otherwise, the Status parameter indicates an error code of INV\_REQUESTTYPE, NOT\_PERMITTED, NO\_NETWORKS or any status value returned from either the MLME-ASSOCIATE.confirm primitive, the MLME-SCAN.confirm primitive or the PLME-SET.confirm primitive. The reasons for these status values are fully described in section 3.2.2.13.3.

### 3.2.2.15.3 Effect on Receipt

On receipt of this primitive, the next higher layer of the initiating device is notified of the results of its request to join a network using the MAC sub-layer association procedure, to join directly using the MAC sub-layer orphaning procedure, or to re-join a network once it has been orphaned.

## 3.2.2.16 NLME-ADD-NEIGHBOR.request

This optional primitive allows the next higher layer of a Zigbee coordinator or router to request to directly insert another device to the local device's neighbor table.

### 3.2.2.16.1 Semantics of the Service Primitive

The semantics of this optional primitive are as follows:

---

NLME-ADD-NEIGHBOR.request	{
	DeviceAddress,
	CapabilityInformation,
	InterfaceIndex
	}

---

Table 3-24 specifies the parameters for the NLME-ADD-NEIGHBOR.request primitive.

**Table 3-24. NLME-ADD-NEIGHBOR.request Parameters**

Name	Type	Valid Range	Description
DeviceAddress	64-bit IEEE address	Any 64-bit IEEE address	The IEEE address of the device to be directly joined.
CapabilityInformation	Bitmap	See Table 3-67.	The operating capabilities of the device being directly joined.

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	This is an index into the MAC Interface Table indicating what interface the neighbor or child is bound to. The neighbor table entry SHALL be set with the value of the MAC Interface Index passed to this primitive

### 6164 3.2.2.16.2 When Generated

6165 The next higher layer of a Zigbee coordinator or router generates this primitive to add a new device directly to its  
6166 neighbor table. This process is completed without any over the air transmissions.

### 6167 3.2.2.16.3 Effect on Receipt

6168 On receipt of this primitive, the NLME will attempt to add the device specified by the DeviceAddress parameter to its  
6169 neighbor table. The CapabilityInformation parameter will contain a description of the device being joined. The alter-  
6170 nate PAN coordinator bit is set to 0 in devices implementing this specification. The device type bit is set to 1 if the  
6171 device is a Zigbee router, or to 0 if it is an end device. The power source bit is set to 1 if the device is receiving power  
6172 from the alternating current mains or to 0 otherwise. The receiver on when idle bit is set to 1 if the device does not  
6173 disable its receiver during idle periods, or to 0 otherwise. The security capability bit is set to 1 if the device is capable  
6174 of secure operation, or to 0 otherwise.

6175 If the NLME successfully adds the device to its neighbor table, the NLME issues the NLME-ADD-NEIGHBOR.confirm  
6176 primitive with a status of SUCCESS. If the NLME finds that the requested device is already present in its neighbor  
6177 tables, the NLME issues the NLME-ADD-NEIGHBOR.confirm primitive with a status of ALREADY\_PRESENT. If  
6178 no capacity is available to add a new device to the device list, the NLME issues the NLME-ADD-NEIGHBOR.confirm  
6179 primitive with a status of NEIGHBOR\_TABLE\_FULL.

### 6180 3.2.2.17 NLME-ADD-NEIGHBOR.confirm

6181 This primitive allows the next higher layer of a Zigbee coordinator or router to be notified of the results of its request  
6182 to directly add another device to its neighbor table.

### 6183 3.2.2.17.1 Semantics of the Service Primitive

6184 The semantics of this primitive are as follows:

---

NLME-ADD-NEIGHBOR.confirm	{
	Status,
	DeviceAddress
	}

---

6189 Table 3-25 specifies the parameters for the NLME-ADD-NEIGHBOR.confirm primitive.

6190 **Table 3-25. NLME-ADD-NEIGHBOR.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, ALREADY_PRESENT, NEIGHBOR_TABLE_FULL	The status of the corresponding re- quest.

Name	Type	Valid Range	Description
DeviceAddress	64-bit IEEE address	Any 64-bit IEEE address	The 64-bit IEEE address in the request to which this is a confirmation.

### 3.2.2.17.2 When Generated

This primitive is generated by the initiating NLME and issued to its next higher layer in response to an NLME-ADD-NEIGHBOR.request primitive. If the request was successful, the Status parameter indicates a successful join attempt. Otherwise, the Status parameter indicates an error code of ALREADY\_PRESENT or NEIGHBOR\_TABLE\_FULL. The reasons for these status values are fully described in section 3.2.2.16.3.

### 3.2.2.17.3 Effect on Receipt

On receipt of this primitive, the next higher layer of the initiating device is notified of the results of its request to directly join another device to a network.

## 3.2.2.18 NLME-LEAVE.request

This primitive allows the next higher layer to request that it or another device leaves the network.

### 3.2.2.18.1 Semantics of the Service Primitive

The semantics of this primitive are as follows:

---

NLME-LEAVE.request	{
	DeviceAddress,
	RemoveChildren,
	Rejoin
	}

---

Table 3-26 specifies the parameters for the NLME-LEAVE.request primitive.

**Table 3-26. NLME-LEAVE.request Parameters**

Name	Type	Valid Range	Description
DeviceAddress	Device address	Any 64-bit IEEE address	The 64-bit IEEE address of the entity to be removed from the network or NULL if the device removes itself from the network.
RemoveChildren	Boolean	FALSE	This parameter SHALL be set to FALSE for all NLME-Leave messages.
Rejoin	Boolean	TRUE or FALSE	This parameter has a value of TRUE if the device being asked to leave from the current parent is requested to rejoin the network. Otherwise, the parameter has a value of FALSE. Note that the Rejoin parameter is set by the application so cannot be relied upon by the networking layer to indicate whether a Join or Rejoin request will be accepted in the future.

6210 **3.2.2.18.2 When Generated**

6211 The next higher layer of a device generates this primitive to request to leave the network. The next higher layer of a  
 6212 Zigbee coordinator or router MAY also generate this primitive to remove a device from the network.

6213 **3.2.2.18.3 Effect on Receipt**

6214 On receipt of this primitive by the NLME of a device that is not currently joined to a network, the NLME issues the  
 6215 NLME-LEAVE.confirm primitive with a status of INV\_REQUESTTYPE. On receipt of this primitive by the NLME  
 6216 of a device that is currently joined to a network, with the DeviceAddress parameter equal to the local device's IEEE  
 6217 address or NULL, the NLME will remove itself from the network using the procedure described in section 3.6.1.11.1,  
 6218 and the value of the Rejoin parameter SHALL be copied into the Network Leave command frame that is generated. If  
 6219 the Rejoin parameter is set to TRUE, no further action is taken. If the Rejoin parameter is set to FALSE the NLME  
 6220 will then clear its routing table and route discovery table and issue an MLME-RESET.request primitive to the MAC  
 6221 sub-layer. The NLME will also set the relationship field of the neighbor table entry corresponding to its former parent  
 6222 to 0x03, indicating no relationship. If the NLME-LEAVE.request primitive is received with the DeviceAddress pa-  
 6223 rameter equal to NULL and the RemoveChildren parameter equal to TRUE, then the NLME will attempt to remove  
 6224 the device's children, as described in section 3.6.1.11.3.

6225 On receipt of this primitive by a Zigbee coordinator or Zigbee router and with the DeviceAddress parameter not equal  
 6226 to NULL and not equal to the local device's IEEE address, the NLME determines whether the specified device is in  
 6227 the Neighbor Table and the device type is 0x02 (Zigbee End device). If the requested device does not exist or the  
 6228 device type is not 0x02, the NLME issues the NLME-LEAVE.confirm primitive with a status of UNKNOWN\_DE-  
 6229 VICE. If the requested device exists, the NLME will attempt to remove it from the network using the procedure  
 6230 described in section 3.6.1.11.3. If the RemoveChildren parameter is equal to TRUE then the device will be requested  
 6231 to remove its children as well. Following the removal, the NLME will issue the NLME-LEAVE.confirm primitive  
 6232 with the DeviceAddress equal to the 64-bit IEEE address of the removed device and the Status parameter equal to the  
 6233 status delivered by the MCPS-DATA.confirm primitive. If the relationship field of the neighbor table entry corre-  
 6234 sponding to the leaving device has a value of 0x01 then it will be changed to 0x04 indicating previous child. If it has  
 6235 a value of 0x05, indicating that the child has not yet authenticated, it will be changed to 0x03, indicating no relation-  
 6236 ship.

6237 **3.2.2.19 NLME-LEAVE.indication**

6238 This primitive allows the next higher layer of a Zigbee device to be notified if that Zigbee device has left the network  
 6239 or if a neighboring device has left the network.

6240 **3.2.2.19.1 Semantics of the Service Primitive**

6241 The semantics of this primitive are as follows:

---

6242           NLME-LEAVE.indication	{
	DeviceAddress,
	Rejoin
	}

---

6246 Table 3-27 specifies the parameters for the NLME-LEAVE.indication primitive.

6247 **Table 3-27. NLME-LEAVE.indication Parameters**

Name	Type	Valid Range	Description
DeviceAddress	64-bit IEEE address	Any 64-bit IEEE address	The 64-bit IEEE address of an entity that has removed itself from the network or NULL in the case that the device issuing the primitive has been removed from the network by its parent.

Name	Type	Valid Range	Description
Rejoin	Boolean	TRUE or FALSE	This parameter has a value of TRUE if the device being asked to leave the current parent is requested to rejoin the network. Otherwise, this parameter has a value of FALSE.

### 3.2.2.19.2 When Generated

This primitive is generated by the NLME of a Zigbee coordinator or Zigbee router and issued to its next higher layer on receipt of a broadcast leave command pertaining to a device on its PAN. It is also generated by the NLME of a Zigbee router or end device and issued to its next higher layer to indicate that it has been successfully removed from the network by its associated router or Zigbee coordinator.

### 3.2.2.19.3 Effect on Receipt

On receipt of this primitive, the next higher layer of a Zigbee coordinator or Zigbee router is notified that a device, formerly on the network, has left. The primitive can also inform the next higher layer of a Zigbee router or end device that it has been removed from the network by its associated Zigbee router or Zigbee coordinator parent. In this case, the value of the Rejoin parameter indicates to the next higher layer whether the peer entity on the parent device wishes the device that has been removed to rejoin the same network.

When the local device receives a NLME-LEAVE.indication with Rejoin set to FALSE it SHALL remove any persistent data within the stack related to the leaving device.

When the higher layer is notified of an NLME-LEAVE.indication with Rejoin set to TRUE, it is recommended that no action be taken to remove application information stored about the device (such as bindings).

## 3.2.2.20 NLME-LEAVE.confirm

This primitive allows the next higher layer of the initiating device to be notified of the results of its request for itself or another device to leave the network.

### 3.2.2.20.1 Semantics of the Service Primitive

The semantics of this primitive are as follows:

---

NLME-LEAVE.confirm	{
	Status,
	DeviceAddress
	}

---

Table 3-28 specifies the parameters for the NLME-LEAVE.confirm primitive.

**Table 3-28. NLME-LEAVE.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, INV_REQUESTTYPE, UNKNOWN_DEVICE or any status returned by the MCPS-DATA.confirm primitive	The status of the corresponding request.

Name	Type	Valid Range	Description
DeviceAddress	64-bit IEEE address	Any 64-bit, IEEE address	The 64-bit IEEE address in the request to which this is a confirmation or null if the device requested to remove itself from the network.

### 6274 3.2.2.20.2 When Generated

6275 This primitive is generated by the initiating NLME and issued to its next higher layer in response to an NLME-  
 6276 LEAVE.request primitive. If the request was successful, the Status parameter indicates a successful leave attempt.  
 6277 Otherwise, the Status parameter indicates an error code of INV\_REQUESTTYPE, UNKNOWN\_DEVICE or a status  
 6278 delivered by the MCPS-DATA.confirm primitive. The reasons for these status values are fully described in section  
 6279 3.2.2.18.3.

### 6280 3.2.2.20.3 Effect on Receipt

6281 On receipt of this primitive, the next higher layer of the initiating device is notified of the results of its request for  
 6282 itself or a child device to leave the network.

## 6283 3.2.2.21 NLME-RESET.request

6284 This primitive allows the next higher layer to request the NWK layer to perform a reset operation.

### 6285 3.2.2.21.1 Semantics of the Service Primitive

6286 The semantics of this primitive are as follows:

---

NLME-RESET.request	{
	WarmStart
	}

---

6290 Table 3-29 specifies the parameters for this primitive.

6291 **Table 3-29. NLME-RESET.request Parameters**

Name	Type	Valid Range	Description
WarmStart	Boolean	TRUE or FALSE	This parameter has a value of FALSE if the request is expected reset all stack values to their initial default values. If this value is TRUE, the device is expected to resume operations according to the NIB settings prior to the call.

### 6292 3.2.2.21.2 When Generated

6293 This primitive is generated by the next higher layer and issued to its NLME to request the NWK layer be reset to its  
 6294 initial condition, or that it resume operations according to its current NIB values prior to this primitive being issued.

### 6295 3.2.2.21.3 Effect on Receipt

6296 On receipt of this primitive, where the WarmStart parameter has a value of FALSE, the NLME issues the MLME-  
 6297 RESET.request primitive to each MAC sub-layer with an entry in the [nwkMacInterfaceTable](#) with the SetDefaultPIB  
 6298 parameter set to TRUE. On receipt of the corresponding MLME-RESET.confirm primitive, the NWK layer resets  
 6299 itself by clearing all internal variables, routing table and route discovery table entries and by setting all NIB attributes  
 6300 to their default values. Once the NWK layer is reset, the NLME issues the NLME-RESET.confirm with the Status

6301 parameter set to SUCCESS if all the MAC sub-layers were successfully reset or DISABLE\_TRX\_FAILURE otherwise.  
 6302

6303 On receipt of this primitive where WarmStart is set to TRUE, the network layer SHOULD NOT modify any NIB  
 6304 values, but rather SHOULD resume normal network operations and consider itself part of the network specified in the  
 6305 NIB. Routing table values and neighbor table values SHOULD be cleared. The method by which the network and  
 6306 MAC layers attributes are pre-loaded is left to the implementer.

6307 If this primitive is issued to the NLME of a device that is currently joined to a network, any required leave attempts  
 6308 using the NLME-LEAVE.request primitive SHOULD be made *a priori* at the discretion of the next higher layer.

### 6309 **3.2.2.22 NLME-RESET.confirm**

6310 This primitive allows the next higher layer of the initiating device to be notified of the results of the request to reset  
 6311 the NWK layer.

#### 6312 **3.2.2.22.1 Semantics of the Service Primitive**

6313 The semantics of this primitive are as follows:

---

NLME-RESET.confirm	{
	Status
	}

---

6317 Table 2-30 specifies the parameters for this primitive.

6318 **Table 3-30. NLME-RESET.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, DISABLE_TRX_FAILURE	Refer to section 3.2.2.22.2.

#### 6319 **3.2.2.22.2 When Generated**

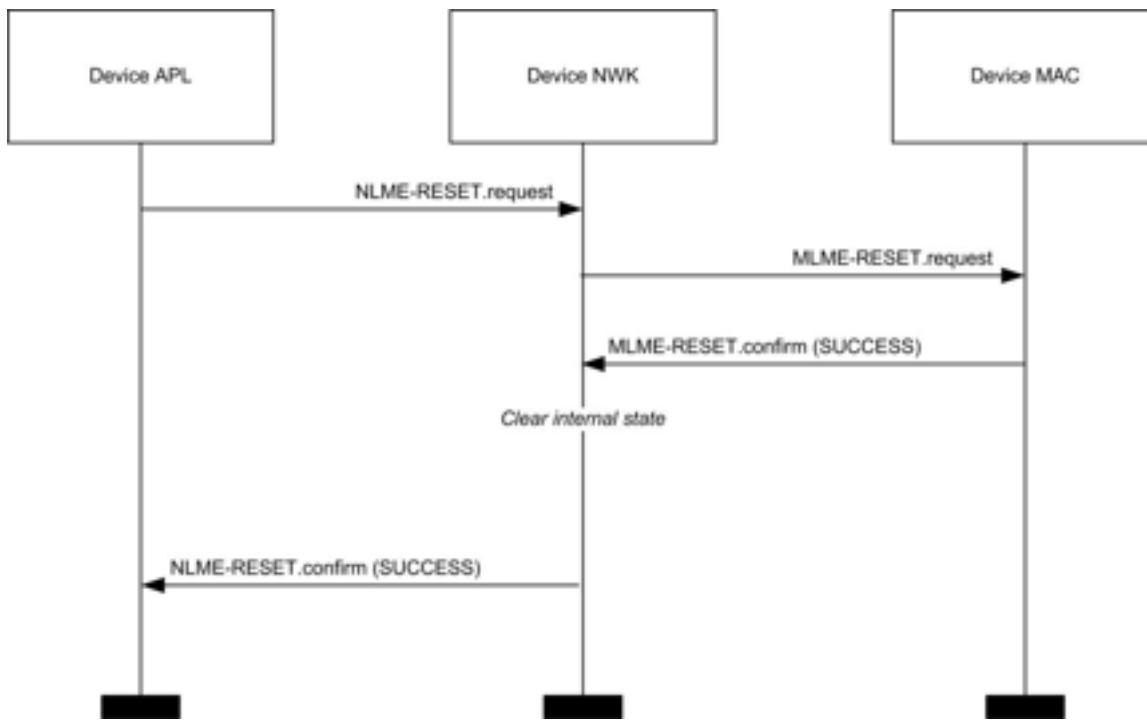
6320 This primitive is generated by the NLME and issued to its next higher layer in response to an NLME-RESET.request  
 6321 primitive. If the request was successful for all MAC sub-layers in the nwkMacInterfaceTable, the Status parameter  
 6322 will have a value of SUCCESS. Otherwise, the Status parameter will indicate an error code of DISABLE\_TRX\_FAILURE.  
 6323 The reasons for these status values are fully described in section 3.2.2.21.3.

#### 6324 **3.2.2.22.3 Effect on Receipt**

6325 On receipt of this primitive, the next higher layer is notified of the results of its request to reset the NWK layer.

### 6326 **3.2.2.23 Network Layer Reset Message Sequence Chart**

6327 Figure 3-2 illustrates the sequence of messages necessary for resetting the NWK layer.



6328

6329

**Figure 3-2. Message Sequence Chart for Resetting the Network Layer****3.2.2.24 NLME-SYNC.request**

This primitive allows the next higher layer to synchronize or extract data from its Zigbee coordinator or router.

**3.2.2.24.1 Semantics of the Service Primitive**

This primitive does not have any parameters.

**3.2.2.24.2 When Generated**

This primitive is generated whenever the next higher layer wishes to achieve synchronization or check for pending data at its Zigbee coordinator or router.

**3.2.2.24.3 Effect on Receipt**

The NLME issues the MLME-POLL.request primitive to the MAC sub-layer.

If the MLME-POLL.confirm indicates a TRANSACTION\_OVERFLOW or a CHANNEL\_ACCESS\_FAILURE, the device SHALL perform *nwkPerformAdditionalMacDataPollRetries* by issuing additional MLME-POLL.requests until success is returned by the MLME or all retries are exhausted.

Afterwards, an NLME-SYNC.confirm primitive SHALL be issued with the status set to the last result of the MLME-POLL.confirm.

**3.2.2.25 NLME-SYNC.confirm**

This primitive allows the next higher layer to be notified of the results of its request to synchronize or extract data from its Zigbee coordinator or router.

6347

6348 **3.2.2.25.1 Semantics of the Service Primitive**

6349 The semantics of this primitive are as follows:

---

6350            NLME-SYNC.confirm	{ Status }
-----------------------------------	------------------

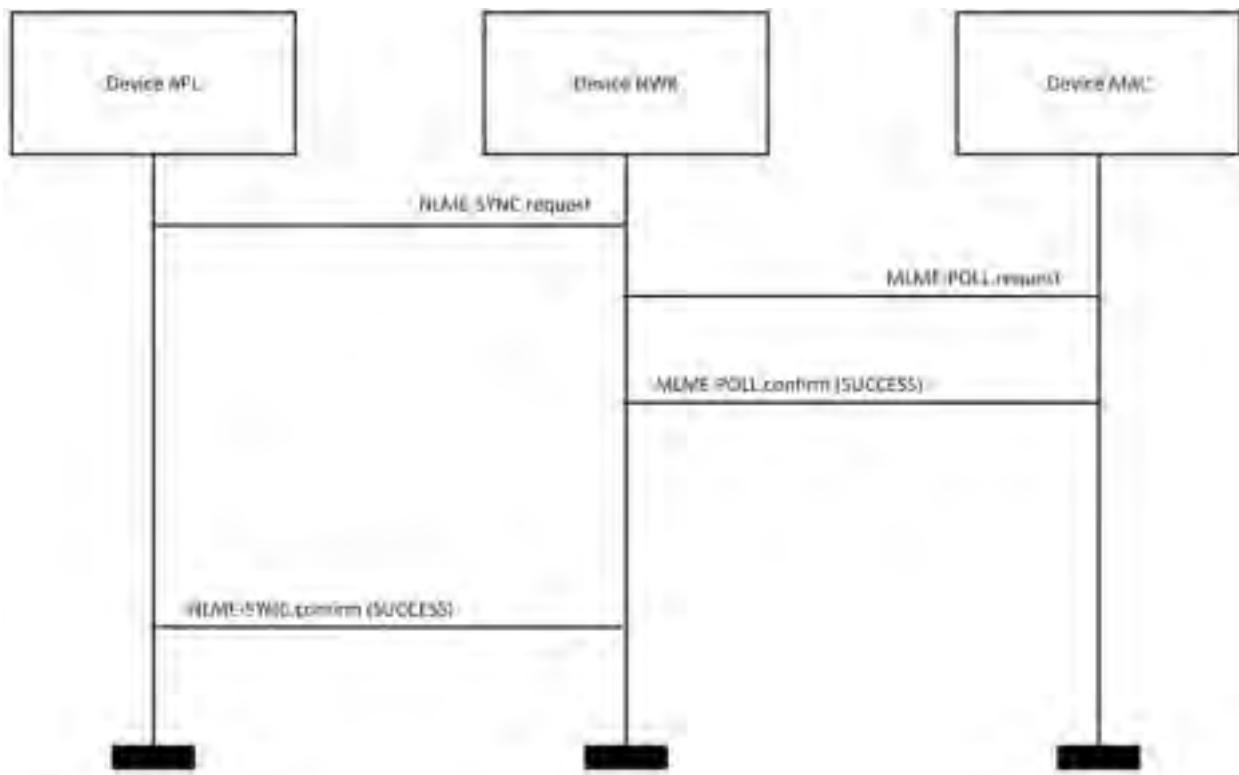
---

6353 Table 3-31 specifies the parameters for this primitive.

6354 **Table 3-31. NLME-SYNC.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, SYNC_FAILURE, INVALID_PARAMETER, or any status value returned from the MLME_POLL.confirm primitive (see [B1]).	The result of the request to synchronize with the Zigbee coordinator or router.

6355 **3.2.2.25.2 When Generated**6356 This primitive is generated by the initiating NLME and issued to its next higher layer in response to an NLME-  
6357 SYNC.request primitive. If the request was successful, the Status parameter indicates a successful synchronization  
6358 attempt. Otherwise, the Status parameter indicates an error code. The reasons for these status values are fully described  
6359 in section 3.2.2.24.2.6360 **3.2.2.25.3 Effect on Receipt**6361 On receipt of this primitive, the next higher layer is notified of the results of its request to synchronize or extract data  
6362 from its Zigbee coordinator or router. If the NLME has been successful, the Status parameter will be set to SUCCESS.  
6363 Otherwise, the Status parameter indicates the error.6364 **3.2.2.26 Message Sequence Charts for Synchronization**6365 illustrates the sequence of messages necessary for a device to successfully synchronize with a Zigbee coordinator or  
6366 Zigbee router. illustrates the case for a non-beaconing network.



6367

Figure 3-3. Message Sequence Chart for Synchronizing in a Non-Beaconing Network

### 3.2.2.27 NLME-GET.request

This primitive allows the next higher layer to read the value of an attribute from the NIB.

#### 3.2.2.27.1 Semantics of the Service Primitive

The semantics of this primitive are as follows:

---

NLME-GET.request	{
	NIBAttribute
	}

---

Table 3-32 specifies the parameters for this primitive.

Table 3-32. NLME-GET.request Parameters

Name	Type	Valid Range	Description
NIBAttribute	Integer	See Table 3-62.	The identifier of the NIB attribute to read.

#### 3.2.2.27.2 When Generated

This primitive is generated by the next higher layer and issued to its NLME in order to read an attribute from the NIB.

#### 3.2.2.27.3 Effect on Receipt

On receipt of this primitive, the NLME attempts to retrieve the requested NIB attribute from its database. If the identifier of the NIB attribute is not found in the database, the NLME issues the NLME-GET.confirm primitive with a status of UNSUPPORTED\_ATTRIBUTE.

6384 If the requested NIB attribute is successfully retrieved, the NLME issues the NLME-GET.confirm primitive with a  
 6385 status of SUCCESS and the NIB attribute identifier and value.

### 6386 **3.2.2.28 NLME-GET.confirm**

6387 This primitive reports the results of an attempt to read the value of an attribute from the NIB.

#### 6388 **3.2.2.28.1 Semantics of the Service Primitive**

6389 The semantics of this primitive are as follows:

---

6390	NLME-GET.confirm	{
		Status,
		NIBAttribute,
		NIBAttributeLength,
		NIBAttributeValue
		}

---

6396 Table 3-33 specifies the parameters for this primitive.

6397 **Table 3-33. NLME-GET.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS or UNSUPPORTED_ATTRIBUTE	The results of the request to read a NIB attribute value.
NIBAttribute	Integer	See Table 3-62.	The identifier of the NIB attribute that was read.
NIBAttributeLength	Integer	0x0000 – 0xffff	The length, in octets, of the attribute value being returned.
NIBAttributeValue	Various	Attribute-specific (see Table 3-62)	The value of the NIB attribute that was read.

6398 **3.2.2.28.2 When Generated**

6399 This primitive is generated by the NLME and issued to its next higher layer in response to an NLME-GET.request  
 6400 primitive. This primitive returns either a status of SUCCESS, indicating that the request to read a NIB attribute was  
 6401 successful, or an error code of UNSUPPORTED\_ATTRIBUTE. The reasons for these status values are fully described  
 6402 in section 3.2.2.27.3.

6403 **3.2.2.28.3 Effect on Receipt**

6404 On receipt of this primitive, the next higher layer is notified of the results of its request to read a NIB attribute. If the  
 6405 request to read a NIB attribute was successful, the Status parameter will be set to SUCCESS. Otherwise, the Status  
 6406 parameter indicates the error.

6407 **3.2.2.29 NLME-SET.request**

6408 This primitive allows the next higher layer to write the value of an attribute into the NIB.

6409

6410 **3.2.2.29.1 Semantics of the Service Primitive**

6411 The semantics of this primitive are as follows:

---

6412           NLME-SET.request	{
	NIBAttribute,
	NIBAttributeLength,
	NIBAttributeValue
	}

---

6417 Table 3-34 specifies the parameters for this primitive.

6418 **Table 3-34. NLME-SET.request Parameters**

Name	Type	Valid Range	Description
NIBAttribute	Integer	See Table 3-62.	The identifier of the NIB attribute to be written.
NIBAttributeLength	Integer	0x0000 – 0xffff	The length, in octets, of the attribute value being set.
NIBAttributeValue	Various	Attribute-specific (see Table 3-62).	The value of the NIB attribute that SHOULD be written.

6419 **3.2.2.29.2 When Generated**6420 This primitive is to be generated by the next higher layer and issued to its NLME in order to write the value of an  
6421 attribute in the NIB.6422 **3.2.2.29.3 Effect on Receipt**6423 On receipt of this primitive the NLME attempts to write the given value to the indicated NIB attribute in its database.  
6424 If the NIBAttribute parameter specifies an attribute that is not found in the database, the NLME issues the NLME-  
6425 SET.confirm primitive with a status of UNSUPPORTED\_ATTRIBUTE. If the NIBAttributeValue parameter speci-  
6426 fies a value that is out of the valid range for the given attribute, the NLME issues the NLME-SET.confirm primitive  
6427 with a status of INVALID\_PARAMETER.6428 If the requested NIB attribute is successfully written, the NLME issues the NLME-SET.confirm primitive with a status  
6429 of SUCCESS.6430 **3.2.2.30 NLME-SET.confirm**

6431 This primitive reports the results of an attempt to write a value to a NIB attribute.

6432 **3.2.2.30.1 Semantics of the Service Primitive**

6433 The semantics of this primitive are as follows:

---

6434           NLME-SET.confirm	{
	Status,
	NIBAttribute
	}

---

6438 Table 3-35 specifies the parameters for this primitive.

6439

**Table 3-35. NLME-SET.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, INVALID_PARAMETER, or UNSUPPORTED_ATTRIBUTE	The result of the request to write the NIB attribute.
NIBAttribute	Integer	See Table 3-62.	The identifier of the NIB attribute that was written.

6440

**3.2.2.30.2 When Generated**6441  
6442  
6443  
6444

This primitive is generated by the NLME and issued to its next higher layer in response to an NLME-SET.request primitive. This primitive returns a status of either SUCCESS, indicating that the requested value was written to the indicated NIB attribute, or an error code of INVALID\_PARAMETER or UNSUPPORTED\_ATTRIBUTE. The reasons for these status values are fully described in section 3.2.2.29.3.

6445

**3.2.2.30.3 Effect on Receipt**6446  
6447  
6448

On receipt of this primitive, the next higher layer is notified of the results of its request to write the value of a NIB attribute. If the requested value was written to the indicated NIB attribute, the Status parameter will be set to SUCCESS. Otherwise, the Status parameter indicates the error.

6449

**3.2.2.31 NLME-NWK-STATUS.indication**

6450

This primitive allows the next higher layer to be notified of network failures.

6451

**3.2.2.31.1 Semantics of the Service Primitive**

6452

The semantics of this primitive are as follows:

---

NLME-NWK-STATUS.indication	{ Status, NetworkAddr }
----------------------------	----------------------------------

---

6457

Table 3-36 specifies the parameters for this primitive.

6458

**Table 3-36. NLME-NWK-STATUS.indication Parameters**

Name	Type	Valid Range	Description
Status	Status	Any network status code (see Table 3-52).	The error code associated with the failure.
NetworkAddr	Integer	0x0000 – 0xffff7	The 16-bit network address of the device associated with the status information.

6459

**3.2.2.31.2 When Generated**6460  
6461

This primitive is generated by the NWK layer on a device and passed to the next higher layer when one of the following occurs:

6462

The device has failed to discover or repair a route to the destination given by the NetworkAddr parameter.

6463 The NWK layer on that device has failed to deliver a frame to its end device child with the 16-bit network address given by the NetworkAddr parameter, due to one of the reasons given in Table 3-52.

6465 The NWK layer has received a network status command frame destined for the device. In this case, the values of the NetworkAddr and Status parameters will reflect the values of the destination address and error code fields of the command frame.

### 6468 3.2.2.31.3 Effect on Receipt

6469 The next higher layer is notified of a failure to communicate with the identified device.

## 6470 3.2.2.32 NLME-ROUTE-DISCOVERY.request

6471 This primitive allows the next higher layer to initiate route discovery.

### 6472 3.2.2.32.1 Semantics of the Service Primitive

6473 The semantics of this primitive are as follows:

---

6474	NLME-ROUTE-DISCOVERY.request	{
6475		DstAddrMode,
6476		DstAddr,
6477		Radius,
6478		NoRouteCache
6479		}

---

6480 Table 3-37 specifies the parameters for this primitive.

6481 **Table 3-37. NLME-ROUTE-DISCOVERY.request Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 – 0x02	A parameter specifying the kind of destination address provided. The DstAddrMode parameter MAY take one of the following three values: 0x00 = No destination address 0x01 = Reserved 0x02 = 16-bit network address of an individual device
DstAddr	16-bit network address	Any network address	The destination of the route discovery. If the DstAddrMode parameter has a value of 0x00 then no DstAddr will be supplied. This indicates that the route discovery will be a many-to-one discovery with the device issuing the discovery command as a target. If the DstAddrMode parameter has a value of 0x02, this indicates unicast route discovery. The DstAddr will be the 16-bit network address of a device to be discovered.

Name	Type	Valid Range	Description
Radius	Integer	0x00 – 0xff	This optional parameter describes the number of hops that the route request will travel through the network.
NoRouteCache	Boolean	TRUE or FALSE	In the case where DstAddrMode has a value of zero, indicating many-to-one route advertisement, this flag determines whether the NWK SHOULD establish a route record table. TRUE = no route record table SHOULD be established FALSE = establish a route record table

### 3.2.2.32.2 When Generated

This primitive is generated by the next higher layer of a Zigbee coordinator or router and issued to its NLME to request the initiation of route discovery.

### 3.2.2.32.3 Effect on Receipt

On receipt of this primitive by the NLME of a Zigbee end device, the NLME will issue the NLME-ROUTE-DISCOVERY.confirm primitive to the next higher layer with a status value of INV\_REQUESTTYPE.

On receipt of this primitive by the NLME with the DstAddrMode parameter not equal to 0x00 and the DstAddr parameter equal to a broadcast address, the NLME will issue the NLME-ROUTE-DISCOVERY.confirm primitive to the next higher layer with a status value of INV\_REQUESTTYPE.

In each of the three cases of actual route discovery described above, the NLME will initiate route discovery by attempting to transmit a route discovery command frame using the MCPS-DATA.request primitive of the MAC sub-layer. If a value has been supplied for the optional Radius parameter, that value will be placed in the Radius field of the NWK header of the outgoing frame. If a value has not been supplied then the radius field of the NWK header will be set to twice the value of the *nwkcMaxDepth* attribute of the NIB as would be the case for data frame transmissions. If the MAC sub-layer fails, for any reason, to transmit the route request command frame, the NLME will issue the NLME-ROUTE-DISCOVERY.confirm primitive to the next higher layer with a Status parameter value equal to that returned by the MCPS-DATA.confirm. If the route discovery command frame is sent successfully and if the DstAddrMode parameter has a value of 0x00, indicating many-to-one route advertisement, the NLME will immediately issue the NLME-ROUTE-DISCOVERY.confirm primitive with a value of SUCCESS. Otherwise, the NLME will wait until either a route reply or route record command frame is received or a reactive many-to-one route request command originating in the device identified by DstAddr is received or the route discovery operation times out as described in section 3.6.4.5. If a route reply or route record or matching reactive many-to-one route request command frame is received before the route discovery operation times out, the NLME will issue the NLME-ROUTE-DISCOVERY.confirm primitive to the next higher layer with a status value of SUCCESS. If the operation times out, it will issue the NLME\_ROUTE-DISCOVERY.confirm primitive with a Status of ROUTE\_ERROR and with a NetworkStatusCode value reflecting the reason for failure as described in Table 3-52.

### 3.2.2.33 NLME-ROUTE-DISCOVERY.confirm

This primitive informs the next higher layer about the results of an attempt to initiate route discovery.

6510

6511 **3.2.2.33.1 Semantics of the Service Primitive**

6512 The semantics of this primitive are as follows:

---

6513            NLME-ROUTE-DISCOVERY.confirm	{ Status, NetworkStatusCode }
--	--

---

6517 Table 3-38 specifies the parameters for the NLME-ROUTE-DISCOVERY.confirm primitive.

6518 **Table 3-38. NLME\_ROUTE-DISCOVERY.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status value	INV_REQUESTTYPE, ROUTE_ERROR, or any status value returned by the MCPS-DATA.confirm primitive.	The status of an attempt to initiate route discovery.
Network-StatusCode	Network status code	See Table 3-52.	In the case where the Status parameter has a value of ROUTE_ERROR, this code gives further information about the kind of error that occurred. Otherwise, it SHOULD be ignored.

6519 **3.2.2.33.2 When Generated**

6520 This primitive is generated by the NLME and passed to the next higher layer as a result of an attempt to initiate route discovery

6522 **3.2.2.33.3 Effect on Receipt**

6523 The next higher layer is informed of the status of its attempt to initiate route discovery. Possible values for the Status parameter and the circumstances under which they are generated are described in section 3.2.2.32.3. NLME-SET-INTERFACE.request.

6526 This primitive allows the next higher layer to request that the NWK layer enable or disable an interface in the MAC Interface Table.

6528 **3.2.2.34 NLME-SET-INTERFACE.request**

6529 This primitive allows the next higher layer to request that the NWK layer enable or disable an interface in the MAC Interface Table.

6531

### 6532 3.2.2.34.1 Semantics of the Service Primitive

6533 The semantics of this primitive are as follows:

---

```

6534     NLME-SET-INTERFACE.request {  

6535         InterfaceIndex  

6536         State  

6537         ChannelToUse  

6538         SupportedChannels  

6539         RoutersAllowed  

6540         DutyCycleWarningThreshold  

6541         DutyCycleCriticalThreshold  

6542         DutyCycleRegulatedThreshold  

6543         InterfaceLinkCostScalar  

6544     }

```

---

6545 Table 3-39 specifies the parameters for the NLME-SET-INTERFACE.request primitive.

6546 **Table 3-39. NLME-SET-INTERFACE.request Parameters**

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	The index of the interface in the Mac Interface Table to set.
State	Boolean	TRUE or FALSE	This enables or disables the interface. TRUE indicates to enable, FALSE indicates to disable.
ChannelToUse	Channel Page Structure	Variable	This indicates a single channel that the interface will be set to. NULL indicates unspecified channel.
SupportedChannels	Channel List Structure	Variable	This indicates the complete set of channels supported by the specified interface.
RoutersAllowed	Boolean	TRUE or FALSE	This indicates whether routers are allowed to join to this device on this interface.
DutyCycleWarningThreshold	Integer	0 – 1024	This enables the Duty Cycle Warning threshold to be set. The integer value set is 10x the required threshold in percent, for example, 1% is set by a value of 10. A value of 0 indicates that there is no limit on Duty Cycle.
DutyCycleCritical-Threshold	Integer	0 – 1024	This enables the Duty Cycle Critical threshold to be set. The integer value set is 10x the required threshold in percent, for example, 1% is set by a value of 10. A value of 0 indicates that there is no limit on Duty Cycle.

Name	Type	Valid Range	Description
DutyCycleRegulatedThreshold	Integer	0 – 1024	This enables the Duty Cycle Regulated threshold to be set. The integer value set is 10x the required threshold in percent, for example, 1% is set by a value of 10. A value of 0 indicates that there is no limit on Duty Cycle.
InterfaceLinkCost-Scalar	Integer	1 – 36	This is used to scale all of the Link costs on the interface.

### 6547 3.2.2.34.2 When Generated

6548 This primitive is generated by the next higher layer when it wants to change the interfaces of the NWK layer.

### 6549 3.2.2.34.3 Effect on Receipt

6550 1. Upon receipt of this primitive the NWK layer SHALL find the corresponding entry in the nwkMacInterfaceTable where the Index value matches the InterfaceIndex parameter passed via this primitive.

6551 a. If no such entry exists, then the NLME issues an NLME-SET-INTERFACE.confirm primitive with the Status parameter set to INV\_REQUESTTYPE, and no more processing SHALL take place.

6552 2. If the State passed to this primitive is set to FALSE,

6553 a. The NLME SHALL examine the State values of all other interfaces in the nwkMacInterface table.

6554 i. If all other interfaces are set to FALSE then the NLME SHALL issue a NLME-SET-INTERFACE.confirm with a Status of INV\_REQUESTTYPE and no further processing SHALL be done.

6555 ii. If the MAC Interface Index in the entry is the same as the InterfaceIndex passed to this primitive, disable the entry from the nwkMacInterfaceTable.

6556 iii. Disabling the interface is done as follows:

6557 1. Issue an MLME-RX-ENABLE.request with the following parameters:

- 6558 a. DeferPermit SHALL be set to FALSE
- 6559 b. RxOnTime is set to 0.
- 6560 c. RxOnDuration is set to 0.

6561 2. Wait until an MLME-RX-ENABLE.confirm has been issued.

6562 3. For each MSDU in the MAC queue, issue an MCPS-PURGE.request.

6563 4. Issue an NLME-SET-INTERFACE.confirm with the Status set to the Status returned by the MLME-RX-ENABLE.confirm primitive.

6564 5. No more processing SHALL be done.

6565 3. If the State passed to this primitive is set to TRUE,

6566 a. The NWK layer SHALL verify that the Channel value requested for the corresponding index is valid for the interface entry.

6567 i. If not the NLME issues an NLME-SET-INTERFACE.confirm primitive with the Status of INV\_REQUESTTYPE, and no more processing SHALL take place.

6568 b. The NLME SHALL examine the ChannelToUse parameter and validate that a single channel is specified. It SHALL then verify that the ChannelToUse corresponds to a channel indicated in the SupportedChannels parameter.

- 6578            i. If the tests in 3.b do not pass, then processing SHALL fail and the NLME issues an NLME-SET-INTERFACE.confirm primitive with the Status of INV\_REQUESTTYPE and no further processing  
 6579            SHALL take place.  
 6580
- 6581            c. If the tests in 3.b do pass, the NLME issues a NLME-SET-INTERFACE.confirm primitive with the status  
 6582            parameter set to Success. It SHALL then set ChannelInUse of the interface entry in the nwkMacInterface-  
 6583            Table to the ChannelInUse value passed into this primitive. The NLME SHALL set the State value ac-  
 6584            cording to the State value passed into this primitive. The NLME SHALL set the RoutersAllowed of the  
 6585            interface entry to the RoutersAllowed parameter passed to this primitive.

### 6586            **3.2.2.35    NLME-SET-INTERFACE.confirm**

6587            This primitive allows the NLME to notify the next higher layer of the result of an NLME-SET-INTERFACE.request.

#### 6588            **3.2.2.35.1    Semantics of the Service Primitive**

6589            The semantics of this primitive are as follows:

---

NLME-SET-INTERFACE.confirm	{
	Status
	}

---

6593            Table 3-40 specifies the parameters for the NLME-SET-INTERFACE.confirm primitive.

6594            **Table 3-40. NLME-SET-INTERFACE.confirm parameters**

Name	Type	Valid Range	Description
Status	Status value	SUCCESS or INV_REQUESTTYPE	The result of a previous NLME-SET-INTERFACE.request call.

#### 6595            **3.2.2.35.2    When Generated**

6596            This primitive is generated by the NLME when it wants to notify the next higher layer of the result of an NLME-SET-  
 6597            INTERFACE.request.

#### 6598            **3.2.2.35.3    Effect on Receipt**

6599            The next higher layer will be informed about the result to change the interfaces of the NWK layer.

### 6600            **3.2.2.36    NLME-GET-INTERFACE.request**

6601            This primitive allows the next higher layer to request information from the NWK layer about an interface in the MAC  
 6602            Interface Table.

#### 6603            **3.2.2.36.1    Semantics of the Service Primitive**

6604            The semantics of this primitive are as follows:

---

NLME-GET-INTERFACE.request	{
	InterfaceIndex
	}

---

6608            Table 3-41 specifies the parameters for the NLME-GET-INTERFACE.request primitive.

6609

**Table 3-41. NLME-GET-INTERFACE.request primitive**

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	The index of the interface in the Mac Interface Table to retrieve.

### 6610 **3.2.2.36.2 When Generated**

6611 This primitive is generated by the next higher layer when it wants to retrieve information about an interface from the  
6612 NWK layer.

### 6613 **3.2.2.36.3 Effect on Receipt**

6614 Upon receipt of this primitive the NWK layer SHALL find the corresponding entry in the *nwkMacInterfaceTable*  
6615 where the Index value matches the InterfaceIndex parameter passed via this primitive. If no such entry exists, then the  
6616 NLME issues an NLME-GET-INTERFACE.confirm primitive with the Status parameter set to INV\_QUESTTYPE,  
6617 and no more processing SHALL be done.

6618 The NLME SHALL retrieve the parameters from the entry of the nwkMacInterfaceTable and issue the NLME-GET-  
6619 INTERFACE.confirm primitive.

### 6620 **3.2.2.37 NLME-GET-INTERFACE.confirm**

6621 This primitive allows the NLME to notify the next higher layer of the result of a previous NLME-GET-INT-  
6622 ERACE.request primitive. The values of MacTxUcastTotal, MacTxUcastRetries, MacTxUcastFailures, and  
6623 MacRxUcast for the interface SHALL be reset to zero upon successful generation of NLME-GET-INTERFACE.con-  
6624 firm. Therefore subsequent NLME-GET-INTERFACE.request operations SHALL return the set of values since the  
6625 last NLME-GET-INTERFACE.request

### 6626 **3.2.2.37.1 Semantics of the Service Primitive**

6627 The semantics of this primitive are as follows:

---

6628           NLME-GET-INTERFACE.confirm	{
6629	InterfaceIndex
6630	Status
6631	State
6632	ChannelInUse
6633	SupportedChannels
6634	RoutersAllowed
6635	PowerNegotiationSupported
6636	DutyCycleWarningThreshold
6637	DutyCycleCriticalThreshold
6638	DutyCycleRegulatedThreshold
6639	MacRxUcast
6640	MacTxUcastRetries
6641	MacTxUcastFailures
6642	MacTxUcastTotal
6643	InterfaceLinkCostScalar
6644	}

6645 Table 3-42 specifies the parameters for the NLME-GET-INTERFACE.confirm primitive.

**Table 3-42. NLME-GET-INTERFACE.confirm parameters**

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	The index of the interface in the Mac Interface Table to set.
Status	Status value	SUCCESS or INV_RE-QUESTTYPE	The result of a previous NLME-GET-INTERFACE.request call.
State	Boolean	TRUE or FALSE	This returns the state of the interface. TRUE indicates to enable, FALSE indicates to disable.
ChannelInUse	Channel Page Structure	Variable	This indicates a single channel that the interface is currently set to. This only applies when the State is set to TRUE.
SupportedChannels	Channel List Structure	Variable	This indicates the complete set of channels supported by the specified interface.
RoutersAllowed	Boolean	TRUE or FALSE	A Boolean indicating whether or not routers are allowed to join to this device on this interface.
PowerNegotiationSupported	Boolean	TRUE or FALSE	Indicates whether this interface supports dynamic power control and negotiation to reduce power output on a per neighbor basis.
DutyCycleWarningThreshold	Integer	0 – 10000	This indicates the value currently set for the Duty Cycle Warning threshold. The integer value set is 100x the required threshold in percent, for example, 1% is set by a value of 100. A value of 0 indicates that there is no limit on Duty Cycle.
DutyCycleCriticalThreshold	Integer	0 – 10000	This indicates the value currently set for the Duty Cycle Critical threshold. The integer value set is 100x the required threshold in percent, for example, 1% is set by a value of 100. A value of 0 indicates that there is no limit on Duty Cycle.
DutyCycleRegulatedThreshold	Integer	0 – 10000	This indicates the value currently set for the Duty Cycle Regulated threshold. The integer value set is 100x the required threshold in percent, for example, 1% is set by a value of 100. A value of 0 indicates that there is no limit on Duty Cycle.

Name	Type	Valid Range	Description
MacRxUcast	Integer	0 – 0xffff	Total received packets, counting retried messages but not counting ACKs or CRC failures.
MacTxUcastRetries	Integer	0 – 0xffff	Total number of Mac Retries regardless of whether the transactions resulted in success or failure
MacTxUcastFailures	Integer	0 – 0xffff	Total number of failed Tx Transactions. So if the Mac sent a single packet, and it is retried 4 times without ACK, that counts as 1 failure
MacTxUcastTotal	Integer	0 – 0xffff	Total number of Mac Tx Transactions to attempt to send a message (but not counting retries)
InterfaceLinkCostScalar	Integer	1 – 36	This is used to scale all of the Link costs on the interface.

6647    **3.2.2.37.2    When Generated**

6648    This primitive is generated by the NLME to return the result of a previous NLME-GET-INTERFACE.request primitive.  
 6649

6650    **3.2.2.37.3    Effect on Receipt**

6651    The higher level application MAY use the information to inform its operation.

6652    **3.2.2.38    NLME-DUTY-CYCLE-MODE.indication**

6653    **3.2.2.38.1    Semantics of this primitive**

6654    The semantics of this primitive are as follows:

---

NLME-DUTY-CYCLE-MODE.indication	{
	InterfaceIndex
	Status
	}

---

6659    Table 3-43 specifies the parameters for the NLME-DUTY-CYCLE-MODE.indication primitive.

6660    **Table 3-43. NLME-DUTY-CYCLE-MODE.indication parameters**

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	The index of the interface in the Mac Interface Table to set.

Name	Type	Valid Range	Description
Status	Enumeration	Any valid status returned from the MLME-DUTY-CYCLE-MODE.indication primitive.	The duty cycle mode that the device is currently operating in.

### 6661 3.2.2.38.2 When Generated

6662 This primitive is generated by the NLME with the new duty cycle status every time MLME-DUTY-CYCLE-  
6663 MODE.indication changes on any MAC interface.

### 6664 3.2.2.38.3 Effect on Receipt

6665 The higher level application MAY use the information to inform its operation.

## 6666 3.2.2.39 NLME-END-DEVICE-NEGOTIATE.request

6667 This primitive allows the next higher layer of an End Device to negotiate or re-negotiate the parameters with its parent.  
6668 This includes elements like the end device timeout or enabling other features that require both parent and end device  
6669 to synchronize.

### 6670 3.2.2.39.1 Semantics of this primitive

6671 The semantics of this primitive are as follows:

---

NLME-END-DEVICE-NEGOTIATE.request	{
	EndDeviceTLVs
	}

---

6675 Table 3-44 specifies the parameters for the NLME-END-DEVICE-NEGOTIATE.request primitive.

6676 **Table 3-44. Parameters of the NLME-END-DEVICE-NEGOTIATE.request primitive**

Name	Type	Valid Range	Description
EndDeviceTLVs	TLVs	Variable	This may contain a set of TLVs that indicate information that is being provided to the parent or features that are being requested. This parameter may also be omitted.

### 6677 3.2.2.39.2 When Generated

6678 This primitive is generated whenever the next higher layer wishes to change the timeout, provide information to the  
6679 parent, or request features be enabled by the parent.

6680 If the application layer wishes to change the End Device Timeout it will first set the NIB value *nwkEndDeviceTimeout*  
6681 via NLME-SET.req and then call this primitive. If the application layer does not wish to change the timeout value  
6682 then it will leave the NIB value alone. The Application layer may still initiate this primitive to transmit other TLVs to  
6683 the parent.

### 6684 3.2.2.39.3 Effect on Receipt

6685 On receipt of this primitive the stack will generate an End Device Timeout Request command frame with the value of  
6686 the *nwkEndDeviceTimeout* from the NIB. If EndDeviceTLVs have been specified it will include this in the network  
6687 command frame.

6688 To obtain the result of the End Device Timeout Request and report the result to the application, the stack SHALL  
6689 issue one or more NLME-SYNC.request primitives to poll for the result.

6690 The result of the operation is returned to the application via the NLME-END-DEVICE-NEGOTIATE.confirm.

### 6691 **3.2.2.40 NLME-END-DEVICE-NEGOTIATE.confirm**

6692 This primitive allows the next higher layer to be notified of the results of its request to negotiate or re-negotiate the  
6693 parameters with its parent.

#### 6694 **3.2.2.40.1 Semantics of this primitive**

6695 The semantics of this primitive are as follows:

---

NLME-END-DEVICE-NEGOTIATE.confirm	{
	Status
	}

---

6699 Table 3-45 specifies the parameters for the NLME-END-DEVICE-NEGOTIATE.confirm primitive.

6700 **Table 3-45. Parameters of the NLME-END-DEVICE-NEGOTIATE.confirm primitive**

Name	Type	Valid Range	Description
Status	Enumeration	Variable	This contains the result of the attempt to negotiate the parameters of the end device to parent connection.

#### 6701 **3.2.2.40.2 When Generated**

6702 This is generated by the Network layer to inform the application layer about a recent attempt to negotiate the parameters of the end device to parent connection, such as the timeout.  
6703

#### 6704 **3.2.2.40.3 Effect on Receipt**

6705 When the status is SUCCESS, the application usually does not need to take any action. When the status indicates a failure, the application may wish to retry the operation and vary the parameters of the negotiation attempt.  
6706

6707

**Table 3-46. NIB Attributes**

Attribute	<b>Id</b>	Type	Read Only	Range	Description	Default
<i>nwkMaxRejoinParentAttempts</i>	0xC3	Integer	No	0 – 255	The maximum number of attempts to rejoin to parent devices for the current network.	3
<i>nwkEndDeviceTimeout</i>	0xC4	Integer	No	0 – 14	The enumerated timeout value that the local end device stack will use when negotiating its end device timeout. This value is converted to seconds by referencing Table 3-52 Requested Timeout Enumerated Values.  This value is ignored for a router or coordinator device. This value is only used when the local device is an end device.	

6708

### 3.3 Frame Formats

6709  
6710

This section specifies the format of the NWK frame (NPDU). Each NWK frame consists of the following basic components:

6711  
6712

- A NWK header, which comprises frame control, addressing and sequencing information
- A NWK payload, of variable length, which contains information specific to the frame type

6713  
6714  
6715  
6716  
6717  
6718

The frames in the NWK layer are described as a sequence of fields in a specific order. All frame formats in this section are depicted in the order in which they are transmitted by the MAC sub-layer, from left to right, where the leftmost bit is transmitted first. Bits within each field are numbered from 0 (leftmost and least significant) to k-1 (rightmost and most significant), where the length of the field is k bits. Fields that are longer than a single octet are sent to the MAC sub-layer in the order from the octet containing the lowest-numbered bits to the octet containing the highest-numbered bits.

6719

#### 3.3.1 General NPDU Frame Format

6720  
6721

The NWK frame format is composed of a NWK header and a NWK payload. The fields of the NWK header appear in a fixed order. The NWK frame SHALL be formatted as illustrated in Figure 3-4.

Octets: 2	2	2	1	1	0/8	0/8		Variable	Variable
Frame control	Desti-nation address	Source address	Radius	Se-quence number	Destina-tion IEEE Address	Source IEEE Address		Source route subframe	Frame pay-load
NWK Header								Payload	

6722

**Figure 3-4. General NWK Frame Format**

6723

### 3.3.1.1 Frame Control Field

6724  
6725

The frame control field is 16 bits in length and contains information defining the frame type, addressing and sequencing fields and other control flags. The frame control field SHALL be formatted as illustrated in Figure 3-5.

Bits: 0-1	2-5	6-7	8	9	10	11	12	13	14-15
Frame type	Proto-col ver-sion	Dis-cover route	Depre-cated (Mul-ticast flag)	Secu-rity	Source Route	Destina-tion IEEE Address	Source IEEE Ad-dress	End De-vise Initia-tor	Reserved

6726

**Figure 3-5. Frame Control Field**6727  
6728  
6729

Table 3-47 shows the allowable frame control sub-field configurations for NWK data frames. Note that all frames listed below will have a frame type sub-field equal to 00 indicating data and a protocol version sub-field reflecting the version of the Zigbee specification implemented.

6730

**Table 3-47. Allowable Frame Control Sub-Field Configurations**

Data Transmission Method	Discover Route	Multicast	Security	Destination IEEE Address	Source IEEE Address
Unicast	00 or 01	0	0 or 1	0 or 1	0 or 1
Broadcast	00	0	0 or 1	0	0 or 1
Source routed	00	0	0 or 1	0 or 1	0 or 1

6731

#### 3.3.1.1.1 Frame Type Sub-Field

6732

The frame type sub-field is 2 bits in length and SHALL be set to one of the non-reserved values listed in Table 3-48.

6733

**Table 3-48. Values of the Frame Type Sub-Field**

<b>Frame Type Value b<sub>1</sub> b<sub>0</sub></b>	<b>Frame Type Name</b>
00	Data
01	NWK command
10	Reserved
11	Inter-PAN

6734

**3.3.1.1.2 Protocol Version Sub-Field**

6735 The protocol version sub-field is 4 bits in length and SHALL be set to a number reflecting the Zigbee NWK protocol  
 6736 version in use. The protocol version in use on a particular device SHALL be made available as the value of the NWK  
 6737 constant *nwkProtocolVersion*.

6738

**3.3.1.1.3 Discover Route Sub-Field**

6739 The discover route sub-field MAY be used to control route discovery operations for the transit of this frame (see  
 6740 section 3.6.4.5).

6741

**Table 3-49. Values of the Discover Route Sub-Field**

<b>Discover Route Field Value</b>	<b>Field Meaning</b>
0x00	Suppress route discovery
0x01	Enable route discovery
0x02, 0x03	Reserved

6742 For NWK layer command frames, the discover route sub-field SHALL be set to 0x00 indicating suppression of route  
 6743 discovery.

6744

**3.3.1.1.4 Security Sub-Field**

6745 The security sub-field SHALL have a value of 1 if, and only if, the frame is to have NWK security operations enabled.  
 6746 If security for this frame is implemented at another layer or disabled entirely, it SHALL have a value of 0.

6747

**3.3.1.1.5 Source Route Sub-Field**

6748 The source route sub-field SHALL have a value of 1 if and only if a source route subframe is present in the NWK  
 6749 header. If the source route subframe is not present, the source route sub-field SHALL have a value of 0.

6750

**3.3.1.1.6 Destination IEEE Address Sub-Field**

6751 The destination IEEE address sub-field SHALL have a value of 1 if, and only if, the NWK header is to include the  
 6752 full IEEE address of the destination.

6753

**3.3.1.1.7 Source IEEE Address Sub-Field**

6754 The source IEEE address sub-field SHALL have a value of 1 if, and only if, the NWK header is to include the full  
 6755 IEEE address of the source device.

**6756 3.3.1.1.8 End Device Initiator**

6757 If the source of the message is an end device and the *nwkParentInformation* field of the NIB is a value other than 0,  
6758 then this sub-field SHALL be set to 1. Otherwise this sub-field SHALL be set to 0. After validating the source (see  
6759 section 3.6.2.2), a router parent device SHALL clear this field when relaying a message sent by one of its end device  
6760 children.

**6761 3.3.1.2 Destination Address Field**

6762 The destination address field SHALL always be present and SHALL be 2 octets in length. If the multicast flag sub-  
6763 field of the frame control field has the value 0, the destination address field SHALL hold the 16-bit network address  
6764 of the destination device or a broadcast address (see Table 3-76). Note that the network address of a device SHALL  
6765 be set to the value of the *macShortAddress* attribute of the MAC PIB.

**6766 3.3.1.3 Source Address Field**

6767 The source address field SHALL always be present. It SHALL always be 2 octets in length and SHALL hold the  
6768 network address of the source device of the frame. Note that the network address of a device SHALL be set to value  
6769 of the *macShortAddress* attribute of the MAC PIB.

**6770 3.3.1.4 Radius Field**

6771 The radius field SHALL always be present. It will be 1 octet in length and specifies the range of a radius-limited  
6772 transmission. The field SHALL be decremented by 1 by each receiving device.

**6773 3.3.1.5 Sequence Number Field**

6774 The sequence number field is present in every frame and is 1 octet in length. The sequence number value SHALL be  
6775 incremented by 1 with each new frame transmitted. The values of the source address and sequence number fields of a  
6776 frame, taken as a pair, MAY be used to uniquely identify a frame within the constraints imposed by the sequence  
6777 number's one-octet range. For more details on the use of the sequence number field, see section 3.6.2.

**6778 3.3.1.6 Destination IEEE Address Field**

6779 The destination IEEE address field, if present, contains the 64-bit IEEE address corresponding to the 16-bit network  
6780 address contained in the destination address field of the NWK header. Upon receipt of a frame containing a 64-bit  
6781 IEEE address, the contents of the *nwkAddressMap* and neighbor table SHOULD be checked for consistency, and  
6782 updated if necessary. Section 3.6.1.10.2 describes the actions to take in detecting address conflicts. If the 16-bit net-  
6783 work address is a broadcast or multicast address then the destination IEEE address field SHALL NOT be present.

**6784 3.3.1.7 Source IEEE Address Field**

6785 The source IEEE address field, if present, contains the 64-bit IEEE address corresponding to the 16-bit network ad-  
6786 dress contained in the source address field of the NWK header. Upon receipt of a frame containing a 64-bit IEEE  
6787 address, the contents of the *nwkAddressMap* and Neighbor Table SHOULD be checked for consistency, and updated  
6788 if necessary. Section 3.6.1.10.2 describes the actions to take in detecting address conflicts.

**6789 3.3.1.8 Source Route Subframe Field**

6790 The source route subframe field SHALL only be present if the source route sub-field of the frame control field has a  
6791 value of 1. It is divided into three sub-fields as illustrated in Figure 3-6.

6792

<b>Octets: 1</b>	<b>1</b>	<b>Variable</b>
Relay count	Relay index	Relay list

**Figure 3-6. Source Route Subframe Format****3.3.1.8.1 Relay Count Sub-Field**

The relay count sub-field indicates the number of relays contained in the relay list sub-field of the source route sub-frame.

**3.3.1.8.2 Relay Index**

The relay index sub-field indicates the index of the next relay in the relay list sub-field to which the packet will be transmitted. This field is initialized to 1 less than the relay count by the originator of the packet, and is decremented by 1 by each receiving relay.

**3.3.1.8.3 Relay List Sub-Field**

The relay list sub-field SHALL contain the list of relay addresses. The relay closest to the destination SHALL be listed first. The relay closest to the originator SHALL be listed last.

**3.3.1.8.4 Frame Payload Field**

The frame payload field has a variable length and contains information specific to individual frame types.

**3.3.2 Format of Individual Frame Types**

There are two defined NWK frame types: data and NWK command. Each of these frame types is discussed in the following sections.

**3.3.2.1 Data Frame Format**

The data frame SHALL be formatted as illustrated in Figure 3-7.

<b>Octets: 2</b>	<b>Variable</b>	<b>Variable</b>
Frame control	Routing fields	Data payload
NWK header		NWK payload

**Figure 3-7. Data Frame Format**

The order of the fields of the data frame SHALL conform to the order of the general NWK frame format as illustrated in Figure 3-4.

**3.3.2.1.1 Data Frame NWK Header Field**

The data frame NWK header field SHALL contain the frame control field and an appropriate combination of routing fields as required.

In the frame control field, the frame type sub-field SHALL contain the value that indicates a data frame, as shown in Table 3-48. All other sub-fields shall be set according to the intended use of the data frame.

6819 The routing fields SHALL contain an appropriate combination of address and broadcast fields, depending on the  
6820 settings in the frame control field (see Figure 3-5).

### 6821 **3.3.2.1.2 Data Payload Field**

6822 The data frame data payload field SHALL contain the sequence of octets that the next higher layer has requested the  
6823 NWK layer to transmit.

## 6824 **3.3.2.2 NWK Command Frame Format**

6825 The NWK command frame SHALL be formatted as illustrated in Figure 3-8.

Octets: 2	Variable	1	Variable
Frame control	Routing fields	NWK command identifier	NWK command payload
NWK header		NWK payload	

6826 **Figure 3-8. NWK Command Frame Format**

6827 The order of the fields of the NWK command frame SHALL conform to the order of the general NWK frame as  
6828 illustrated in .

### 6829 **3.3.2.2.1 NWK Command Frame NWK Header Field**

6830 The NWK header field of a NWK command frame SHALL contain the frame control field and an appropriate combi-  
6831 nation of routing fields as required.

6832 In the frame control field, the frame type sub-field SHALL contain the value that indicates a NWK command frame,  
6833 as shown in Table 3-48. All other sub-fields shall be set according to the intended use of the NWK command frame.

6834 The routing fields SHALL contain an appropriate combination of address and broadcast fields, depending on the  
6835 settings in the frame control field.

### 6836 **3.3.2.2.2 NWK Command Identifier Field**

6837 The NWK command identifier field indicates the NWK command being used. This field SHALL be set to one of the  
6838 non-reserved values listed in Table 3-50.

### 6839 **3.3.2.2.3 NWK Command Payload Field**

6840 The NWK command payload field of a NWK command frame SHALL contain the NWK command itself.

## 6841 **3.4 Command Frames**

---

6842 The command frames defined by the NWK layer are listed in Table 3-50. The following sections detail how the NLME  
6843 SHALL construct the individual commands for transmission.

6844 For each of these commands, the following applies to the NWK header fields unless specifically noted in the section  
6845 on NWK header in each command:

- 6846 • The frame type sub-field of the NWK frame control field SHALL be set to indicate that this frame is a NWK  
6847 command frame.
- 6848 • The discover route sub-field in the NWK header SHALL be set to suppress route discovery (see Table 3-49).
- 6849 • The source address field in the NWK header SHALL be set to the address of the originating device.

**Table 3-50. NWK Command Frames**

<b>Command Frame Identifier</b>	<b>Command Name</b>	<b>Network Encryption</b>	<b>Reference</b>
0x01	Route Request	Required	3.4.1
0x02	Route Reply	Required	3.4.2
0x03	Network Status	Required	3.4.3
0x04	Leave	Required	3.4.4
0x05	Route Record	Required	3.4.5
0x06	Rejoin Request	Optional	3.4.6
0x07	Rejoin Response	Optional	3.4.7
0x08	Link Status	Required	3.4.8
0x09	Network Report	Required	
0x0a	Network Update	Required	3.4.10
0x0b	End Device Timeout Request	Required	3.4.11
0x0c	End Device Timeout Response	Required	3.4.12
0x0d	Link Power Delta	Required	3.4.13
0x0e	Network Commissioning Request	Optional	3.4.14
0x0f	Network Commissioning Response	Optional	3.4.15
0x10 – 0xff	Reserved	-	—

### 3.4.1 Route Request Command

The route request command allows a device to request other devices within radio range to engage in a search for a particular destination device and establish a state within the network that will allow messages to be routed to that destination more easily and economically in the future. The payload of a route request command SHALL be formatted as illustrated in Figure 3-9.

Octets: 1	1	2	1	0/8	Variable
Command options	Route request identifier	Destination address	Path cost	Destination IEEE Address	TLVs
NWK command payload					

**Figure 3-9. Route Request Command Frame Format**

### 3.4.1.1 MAC Data Service Requirements

In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the following information SHALL be included in the MAC frame header:

- The destination PAN identifier SHALL be set to the PAN identifier of the device sending the route request command.
- The destination address SHALL be set to the broadcast address of 0xffff.
- The source address and PAN identifier SHALL be set to the network address and PAN identifier of the device sending the route request command, which MAY or MAY NOT be the device from which the command originated.
- The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled, since any secured frame originating from the NWK layer SHALL use NWK layer security. Because the frame is broadcast, no acknowledgment request SHALL be specified.
- The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

### 3.4.1.2 NWK Header Fields

In order for this route request to reach its destination and for the route discovery process to complete correctly, the following information SHALL be provided:

- The destination address in the NWK header SHALL be set to the broadcast address for all routers and the coordinator (see Table 3-76).
- The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of the frame.

### 3.4.1.3 NWK Payload Fields

The NWK frame payload contains a command identifier field, a command options field, the route request identifier field, the address of the intended destination, an up-to-date summation of the path cost, and the destination IEEE address.

The command frame identifier SHALL contain the value indicating a route request command frame.

#### 3.4.1.3.1 Command Options Field

The format of the 8-bit command options field is shown in Figure 3-10.

Bit: 0-2	3-4	5	6	7
Reserved	Many-to-one	Destination IEEE address	Deprecated (Multicast)	Reserved

**Figure 3-10. Route Request Command Options Field****3.4.1.3.1.1 Many-to-One**

The many-to-one field SHALL have one of the non-reserved values shown in Table 3-51.

**Table 3-51. Many-to-One Field Values**

Value	Description
0	The route request is not a many-to-one route request.
1	The route request is a many-to-one route request and the sender supports a route record table.
2	The route request is a many-to-one route request and the sender does not support a route record table.
3	Reserved

**3.4.1.3.1.2 Destination IEEE Address**

The destination IEEE address field is a single-bit field. It SHALL have a value of 1 if, and only if, the command frame contains the destination IEEE address. The Destination IEEE Address field SHOULD always be added if it is known.

**3.4.1.3.2 Route Request Identifier**

The route request identifier is an 8-bit sequence number for route requests and is incremented by 1 every time the NWK layer on a particular device issues a route request.

**3.4.1.3.3 Destination Address**

The destination address SHALL be 2 octets in length and represents the intended destination of the route request command frame.

**3.4.1.3.4 Path Cost**

The path cost field is eight bits in length and is used to accumulate routing cost information as a route request command frame moves through the network (see section 3.6.4.5.2).

**3.4.1.3.5 Destination IEEE Address**

The destination IEEE address SHALL be 8 octets in length and represents the IEEE address of the destination of the route request command frame. It SHALL be present only if the destination IEEE address sub-field of the command frame options field has a value of 1.

### 3.4.2 Route Reply Command

The route reply command allows the specified destination device of a route request command to inform the originator of the route request that the request has been received. It also allows Zigbee routers along the path taken by the route request to establish state information that will enable frames sent from the source device to the destination device to travel more efficiently. The payload of the route reply command SHALL be formatted as illustrated in Figure 3-11.

Octets: 1	1	2	2	1	0/8	0/8	Variable
Command options	Route request identifier	Originator address	Responder address	Path cost	Originator IEEE address	Responder IEEE address	TLVs
NWK command payload							

Figure 3-11. Route Reply Command Format

#### 3.4.2.1 MAC Data Service Requirements

In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the following information SHALL be included in the MAC frame header:

The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier, respectively, of the first hop in the path back to the originator of the corresponding route request command frame. The destination PAN identifier SHALL be the same as the PAN identifier of the originator.

The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the device sending the route reply command, which MAY or MAY NOT be the device from which the command originated.

The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled, since any secured frame originating from the NWK layer SHALL use NWK layer security. The transmission options SHALL be set to require acknowledgment. The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

#### 3.4.2.2 NWK Header Fields

In order for this route reply to reach its destination and for the route discovery process to complete correctly, the following information SHALL be provided:

- The source address in the NWK header SHALL be set to the 16-bit network address of the device transmitting the frame.
- The destination address field in the NWK header SHALL be set to the network address of the first hop in the path back to the originator of the corresponding route request.
- Since this is a NWK layer command frame, the source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of the frame. The destination IEEE address sub-field of the frame control field SHALL also have a value of 1 and the destination IEEE address field of the NWK header shall be present and SHALL contain the 64-bit IEEE address of the first hop in the path back to the originator of the corresponding route request.
- The Sequence Number field in the NWK header SHALL be created for every hop during the route reply process. The Radius Field SHALL be set to  $nwkcMaxDepth * 2$  by the target of the route request. Every hop during the Route Reply process SHALL decrement the radius by 1. If the value of the radius in the received Route Reply message is 1, the relaying router SHALL set the radius of the message to 1. The Sequence Number SHALL be created as if it were a new frame from the device transmitting the frame replacing the sequence number with

6941           the device's next available sequence number. The Route Reply frame is not a forwarded frame, but is newly  
 6942           created by each hop during the route reply process.

### 6943           **3.4.2.3       NWK Payload Fields**

6944           The NWK frame payload contains a command identifier field, a command options field, the route request identifier,  
 6945           originator and responder addresses and an up-to-date summation of the path cost.

6946           The command frame identifier SHALL contain the value indicating a route reply command frame.

#### 6947           **3.4.2.3.1      Command Options Field**

6948           The format of the 8-bit command options field is shown in Figure 3-12.

<b>Bit: 0 – 3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>6-7</b>
Reserved	Originator IEEE address	Responder IEEE address	Deprecated (Multicast)	Reserved

6949           **Figure 3-12. Route Reply Command Options Field**

##### 6950           **3.4.2.3.1.1    Originator IEEE Address**

6951           The originator IEEE address sub-field is a single-bit field. It SHALL have a value of 1 if and only if the originator  
 6952           IEEE address field is included in the payload. This bit SHALL always be set.

##### 6953           **3.4.2.3.1.2    Responder IEEE Address**

6954           The responder IEEE address sub-field is a single-bit field. It SHALL have a value of 1 if, and only if, the responder  
 6955           IEEE address field is included in the payload. This bit SHALL always be set.

### 6956           **3.4.2.3.2      Route Request Identifier**

6957           The route request identifier is the 8-bit sequence number of the route request to which this frame is a reply.

#### 6958           **3.4.2.3.3      Originator Address**

6959           The originator address field SHALL be 2 octets in length and SHALL contain the 16-bit network address of the  
 6960           originator of the route request command frame to which this frame is a reply.

#### 6961           **3.4.2.3.4      Responder Address**

6962           The responder address field SHALL be 2 octets in length and SHALL always be the same as the value in the destination  
 6963           address field of the corresponding route request command frame.

#### 6964           **3.4.2.3.5      Path Cost**

6965           The path cost field is used to sum link cost as the route reply command frame transits the network (see section  
 6966           3.6.4.5.2).

#### 6967           **3.4.2.3.6      Originator IEEE Address**

6968           The originator IEEE address field SHALL be 8 octets in length and SHALL contain the 64-bit address of the originator  
 6969           of the route request command frame to which this frame is a reply. This field SHALL only be present if the originator  
 6970           IEEE address sub-field of the command options field has a value of 1.

#### 6971           **3.4.2.3.7      Responder IEEE Address**

6972           The responder IEEE address field SHALL be 8 octets in length and SHALL contain the 64-bit address of the destination  
 6973           of the route request command frame to which this frame is a reply. This field SHALL only be present if the responder  
 6974           IEEE address sub-field of the command options field has a value of 1.

### 3.4.3 Network Status Command

A device uses the network status command to report errors and other conditions arising in the NWK layer of a particular device to the peer NWK layer entities of other devices in the network. The NWK status command MAY be also used to diagnose network problems, *for example* address conflicts. The payload of a network status command SHALL be formatted as illustrated in Figure 3-13.

Octets: 1	2	Variable
Status code	Target address	TLVs
NWK command payload		

Figure 3-13. Network Status Command Frame Format

#### 3.4.3.1 MAC Data Service Requirements

In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the following information SHALL be provided:

- The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier, respectively, of the first hop in the path to the destination of the command frame or to the broadcast address 0xffff in the case where the command frame is being broadcast at the NWK layer.
- The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the device sending the network status command.
- The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled, since any secured frame originating from the NWK layer SHALL use NWK layer security. The transmission options SHALL NOT be set to require acknowledgement if the destination MAC address is the broadcast address 0xffff.
- The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

#### 3.4.3.2 NWK Header Fields

Network status commands MAY be either unicast or broadcast. The fields of the NWK header SHALL be set as follows:

- The source address field SHALL always be set to the 16-bit network address of the device originating the command frame.
- The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of the frame.
- When sent in response to a routing error, the destination address field in the NWK header SHALL be set to the same value as the source address field of the data frame that encountered a forwarding failure.
- If and only if, the network status command frame is not broadcast, the destination IEEE address sub-field of the frame control field SHALL have a value of 1 and the destination IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE corresponding to the 16-bit network address in the destination address field if this IEEE address is known.

### 7008 3.4.3.3 NWK Payload Fields

7009 The NWK frame payload of the network status command frame contains a command frame identifier field, a status  
 7010 code field and a destination address field as described below. The command frame identifier SHALL be set to specify  
 7011 the network status command frame as defined in Table 3-52.

#### 7012 3.4.3.3.1 Status Code

7013 The status code SHALL be set to one of the non-reserved values shown in Table 3-52.

7014 **Table 3-52. Status Codes for Network Status Command Frame**

Value	Status Code	NLME-NWK-STA-TUS.indication Usage	Sent over-the-air in NWK Status Command	Description
0x00	Legacy No Route Available	No	Yes	This link code indicates a failure to route across a link. This was used in previous specifications. Revision 23 devices SHALL no longer SEND this error code but SHALL accept and act on it. It SHALL be treated the same as 0x02, Link failure.
0x01	Legacy Link Failure	No	Yes	This link code indicates a failure to route across a link. This was used in previous specifications. Revision 23 devices SHALL no longer SEND this error code but SHALL accept and act on it. It SHALL be treated the same as 0x02, Link failure.
0x02	Link failure	No	Yes	This link code indicates a failure to route across a link.
0x03 – 0x08	Deprecated	-	-	These are deprecated error codes and SHOULD NOT be used in a future specification version.
0x09	Parent link failure	Yes	No	The failure occurred as a result of a failure in the RF link to the

Value	Status Code	NLME-NWK-STA-TUS.indication Usage	Sent over-the-air in NWK Status Command	Description
				device's parent. This status is only used locally on a device to indicate loss of communication with the parent.
0x0A	Deprecated	-	-	These are deprecated error codes and SHOULD NOT be used in a future specification version.
0x0B	Source Route failure	Yes	Yes	Source routing has failed, probably indicating a link failure in one of the source route's links.
0x0C	Many-to-one route failure	Yes	Yes	A route established as a result of a many-to-one route request has failed.
0x0D	Address Conflict	Yes	Yes	The address in the destination address field has been determined to be in use by two or more devices.
0x0E	Deprecated	-	-	These are deprecated error codes and SHOULD NOT be used in a future specification version.
0x0F	PAN Identifier Update	Yes	No	The operational network PAN identifier of the device has been updated.
0x10	Network Address Update	Yes	No	The network address of the local device has been updated.
0x13	Unknown Command	No	Yes	The NWK command ID is not known to the device.

<b>Value</b>	<b>Status Code</b>	<b>NLME-NWK-STATUS.indication Usage</b>	<b>Sent over-the-air in NWK Status Command</b>	<b>Description</b>
0x14	PAN ID Conflict Report	Yes	No	Notification to the local application that a PAN ID Conflict Report has been received by the local Network Manager.
0x15 – 0xFF	Reserved	-	-	Reserved for future use

7015 These status codes are used both as values for the status code field of a network status command frame and as values  
 7016 of the Status parameter of the NLME-NWK-STATUS.indication primitive.

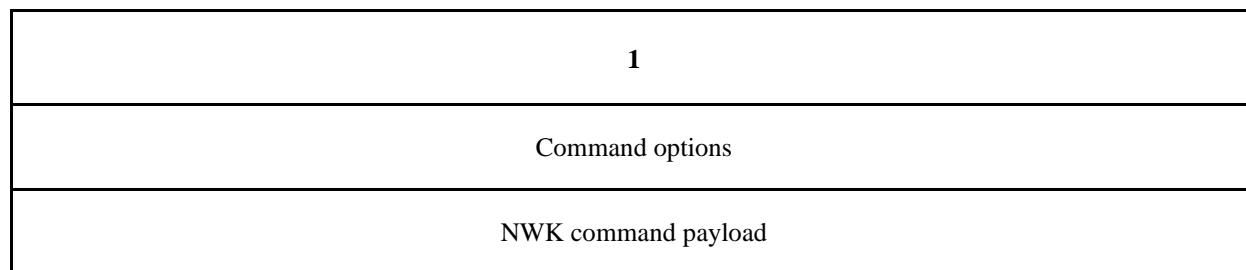
7017 A device receiving a reserved or deprecated status code SHALL ignore it.

#### 7018 **3.4.3.3.2 Destination Address**

7019 The destination address field SHALL be 2 octets in length and SHALL be present if, and only if, the network status  
 7020 command frame is being sent in response to a routing failure or a network address conflict. In case of a routing failure,  
 7021 it SHALL contain the destination address from the data frame that encountered the failure; in case of an address  
 7022 conflict, it SHALL contain the offending network address.

#### 7023 **3.4.4 Leave Command**

7024 The leave command is used by the NLME to inform other devices on the network that a device is leaving the network  
 7025 or else to request that a device leave the network. The payload of the leave command SHALL be formatted as shown  
 7026 in Figure 3-14.



7027 **Figure 3-14. Leave Command Frame Format**

#### 7028 **3.4.4.1 MAC Data Service Requirement**

7029 In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the following information SHALL be provided:

7031 The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier, respectively,  
 7032 of the neighbor device to which the frame is being sent or else to the MAC broadcast address 0xffff in the case  
 7033 where the NWK header also contains a broadcast address.

7034 The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the device  
 7035 sending the leave command.

7036 The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled,  
 7037 since any secured frame originating from the NWK layer SHALL use NWK layer security. Acknowledgment SHALL  
 7038 be requested.

7039 The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

### 7040 **3.4.4.2 NWK Header Fields**

7041 The NWK header fields of the leave command frame SHALL be set as follows:

- 7042 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address  
 7043 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of  
 7044 the frame.
- 7045 • If the request sub-field of the command options field is set to 1 then the destination address field in the NWK  
 7046 header SHALL be set to the network address of the child device being requested to leave.
- 7047 • If the request sub-field is set to 0 then the destination address field in the NWK header SHALL be set to 0xffffd  
 7048 so that the indication is received by devices with *macRxOnWhenIdle* equal to TRUE.
- 7049 • The destination address sub-field of the frame control MAY be set to 0 or 1. The choice SHALL be based on  
 7050 whether the local device has knowledge of the IEEE address for the device being requested to leave. If the local  
 7051 device knows the IEEE address then the field SHALL be set to 1 and the destination IEEE address field SHALL  
 7052 be present..
- 7053 • The radius field SHALL be set to 1.

### 7054 **3.4.4.3 NWK Payload Fields**

7055 The NWK payload of the leave command frame contains a command frame identifier field and a command options  
 7056 field. The command frame identifier field SHALL be set to specify the leave command frame as described in Table  
 7057 3-50.

#### 7058 **3.4.4.3.1 Command Options Field**

7059 The format of the 8-bit Command Options field is shown in Figure 3-15.

<b>Bit: 0 – 4</b>	<b>5</b>	<b>6</b>	<b>7</b>
Reserved	Rejoin	Request	Remove children

7060 **Figure 3-15. Leave Command Options Field**

##### 7061 **3.4.4.3.1.1 Rejoin Sub-Field**

7062 The Rejoin sub-field is a single-bit field. If the value of this sub-field is 1, the device that is leaving from its current  
 7063 parent will rejoin the network. If the value of this sub-field is 0, the device will not rejoin the network.

##### 7064 **3.4.4.3.1.2 Request Sub-Field**

7065 The request sub-field is a single-bit field. If the value of this sub-field is 1, then the leave command frame is a request  
 7066 for another device to leave the network. If the value of this sub-field is 0, then the leave command frame is an indica-  
 7067 tion that the sending device plans to leave the network.

##### 7068 **3.4.4.3.1.3 Remove Children Sub-Field**

7069 The remove children sub-field is a single-bit field. If this sub-field has a value of 1, then the children of the device  
 7070 that is leaving the network will also be removed. If this sub-field has a value of 0, then the children of the device  
 7071 leaving the network will not be removed.

## 3.4.5 Route Record Command

The route record command allows the route taken by a unicast packet through the network to be recorded in the command payload and delivered to the destination device. The payload of the route record command SHALL be formatted as illustrated in Figure 3-16.

Octets: 1	Variable
Relay count	Relay list
NWK command payload	

**Figure 3-16. Route Record Command Format**

### 3.4.5.1 MAC Data Service Requirements

In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the following information SHALL be provided:

- The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier, respectively, of the neighbor device to which the frame is being sent.
- The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the device sending the route record command.
- The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled, since any secured frame originating from the NWK layer SHALL use NWK layer security. Acknowledgment SHALL be requested.
- The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

### 3.4.5.2 NWK Header Fields

The NWK header fields of the route record command frame SHALL be set as follows:

- If the route record is being initiated as the result of a NLDE-DATA.request primitive from the next higher layer, the source address field SHALL be set to the 16-bit network address of the originator of the frame. If the route record is being initiated as a result of the relaying of a data frame on behalf of one of the device's end device children, the source address field SHALL contain the 16-bit network address of that end device child.
- The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address corresponding to the 16-bit network address contained in the source address field.
- The destination address field in the NWK header SHALL be set to the 16-bit network address of the concentrator device that is the destination of the frame.
- The destination IEEE address sub-field of the frame control field SHALL be set to 1, and the destination IEEE address field SHALL be set to the IEEE address of the concentrator device that is the destination of the frame, if this address is known.
- The Source Route sub-field of the frame control field SHALL be set to 0.

### 3.4.5.3 NWK Payload

The NWK frame payload contains a command identifier field, a relay count field, and a relay list field. The command frame identifier SHALL contain the value indicating a route record command frame.

7106 **3.4.5.3.1 Relay Count Field**

7107 This field contains the number of relays in the relay list field of the route record command. If the route record is being  
 7108 initiated as the result of a NLDE-DATA.request primitive from the next higher layer, the relay count field is initialized  
 7109 to 0. If the route record is being initiated as a result of the relaying of a data frame on behalf of one of the device's  
 7110 end device children, the relay count field is initialized to 1. In either case, it is incremented by each receiving relay.

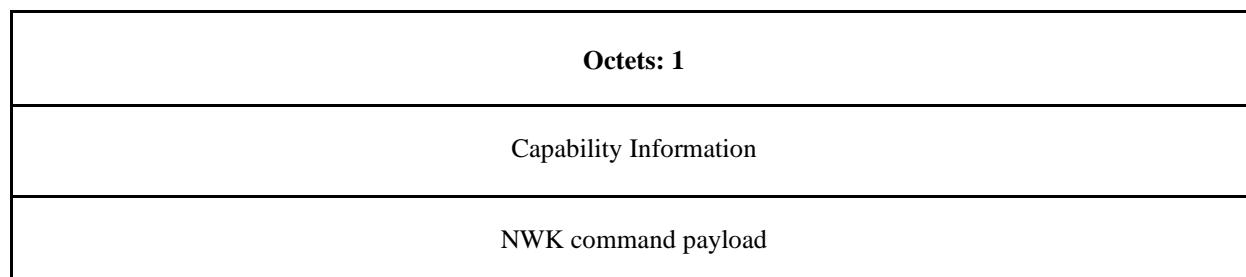
7111 **3.4.5.3.2 Relay List Field**

7112 The relay list field is a list of the 16-bit network addresses of the nodes that have relayed the packet. If the route record  
 7113 is being initiated as a result of the relaying of a data frame on behalf of one of the device's end device children, the  
 7114 initiating device will initialize this field with its own 16-bit network address. Receiving relay nodes append their  
 7115 network address to the list before forwarding the packet.

7116 **3.4.6 Rejoin Request Command**

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7117 The rejoin request command allows a device to rejoin its network. This is normally done in response to a communica-  
 7118 tion failure, such as when an end device can no longer communicate with its original parent. The rejoin request  
 7119 command SHALL be formatted as shown in Figure 3-17.



7120 **Figure 3-17. Rejoin Request Command Frame Format**

7121 **3.4.6.1 MAC Data Service Requirements**

7122 In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4.-2015, [B1], the fol-  
 7123 lowing information SHALL be provided:

- 7124 • The destination address and PAN identifier SHALL be set to the network address and PAN identifier, respec-  
 7125 tively, of the prospective parent.
- 7126 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the  
 7127 device transmitting the rejoin command frame.
- 7128 • The transmission options SHALL be set to require acknowledgement.
- 7129 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

7130 **3.4.6.2 NWK Header Fields**

7131 The NWK header fields of the rejoin request command frame SHALL be set as follows:

- 7132 • The source address field of the NWK header to the 16-bit network address SHALL be as follows. If the value of  
 7133 the *nwkNetworkAddress* in the NIB is within the valid range, then it SHALL use that value. If the value of the  
 7134 *nwkNetworkAddress* in the NIB is not within the valid range, then it SHALL randomly generate a value within  
 7135 the valid range, excluding the value of 0x0000, and use that.
- 7136 • The source IEEE address sub-field of the frame control field SHALL be set to 1, and the source IEEE address  
 7137 field SHALL be set to the IEEE address of the device issuing the request.
- 7138 • The destination address field in the NWK header SHALL be set to the 16-bit network address of the prospective  
 7139 parent.

- 7140 • The destination IEEE address sub-field of the frame control field SHALL be set to 1, and the destination IEEE  
 7141 address field SHALL be set to the IEEE address of the prospective parent, if this address is known.  
 7142 • The radius field SHALL be set to 1.

### 7143 **3.4.6.3 NWK Payload Fields**

7144 The NWK frame payload contains a command identifier field and a capability information field. The command frame  
 7145 identifier SHALL contain the value indicating a rejoin request command frame.

#### 7146 **3.4.6.3.1 Capability Information Field**

7147 This one-octet field has the format of the capability information field in the association request command in [B1],  
 7148 which is also described in Table 3-67.

## 7149 **3.4.7 Rejoin Response Command**

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7150 The rejoin response command is sent by a device to inform a child of its network address and rejoin status. The rejoin  
 7151 request command SHALL be formatted as shown in Figure 3-18.

<b>Octets: 2</b>	<b>1</b>
Network address	Rejoin status
NWK command payload	

7152 **Figure 3-18. Rejoin Response Command Frame Format**

### 7153 **3.4.7.1 MAC Data Service Requirements**

7154 In order to transmit this command using the MAC data service, specified in [B1], the following information SHALL  
 7155 be provided:

- 7156 • The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier,  
 7157 respectively, of the device that sent the rejoin request to which this frame is a response.
- 7158 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the  
 7159 device that received and processed the rejoin request command frame.
- 7160 • Acknowledgment SHALL be requested.
- 7161 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here. The  
 7162 TXOptions SHALL request ‘indirect transmission’ to be used if the *Receiver on when idle* bit of the *nwkCapa-*  
 7163 *bilityInformation* contained in the corresponding rejoin request command is equal to 0x00. Otherwise, ‘direct  
 7164 transmission’ SHALL be used.

### 7165 **3.4.7.2 NWK Header Fields**

7166 The NWK header fields of the rejoin response command frame SHALL be set as follows:

- 7167 • The source address field SHALL be set to the 16-bit network address of the device that is sending the response.
- 7168 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address  
 7169 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the parent device  
 7170 that is sending the response.
- 7171 • The destination address field of the NWK header SHALL be set to the current network address of the rejoicing  
 7172 device, *i.e.* the device that sent the join request to which this frame is a response.

- 7173 • The destination IEEE address sub-field of the frame control field SHALL have a value of 1 and the destination  
7174 IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the  
7175 child device that is source of the rejoin request command to which this frame is a response.
- 7176 • The NWK layer will set the security of the Network Rejoin Response command frame to the same level as that  
7177 of the received rejoin request command frame to which it is a response.

### 7178 **3.4.7.3 NWK Payload Fields**

#### 7179 **3.4.7.3.1 Network Address Field**

7180 If the rejoin was successful, this two-octet field contains the new network address assigned to the rejoining device. If  
7181 the rejoin was not successful, this field contains the broadcast address (0xffff).

#### 7182 **3.4.7.3.2 Rejoin Status Field**

7183 This field SHALL contain one of the non-reserved association status values specified in [B1].

## 7184 **3.4.8 Link Status Command**

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7185 The link status command frame allows neighboring routers to communicate their incoming link costs to each other as  
7186 described in section 3.6.4.4. Link status frames are transmitted as one-hop broadcasts without retries.

### 7187 **3.4.8.1 MAC Data Service Requirements**

7188 In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the follow-  
7189 ing information SHALL be included in the MAC frame header:

- 7190 • The destination PAN identifier SHALL be set to the PAN identifier of the device sending the link status com-  
7191 mand.
- 7192 • The destination address SHALL be set to the broadcast address of 0xffff.
- 7193 • The source MAC address and PAN identifier SHALL be set to the network. address and PAN identifier of the  
7194 device sending the link status command.
- 7195 • The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security dis-  
7196 abled, since any secured frame originating from the NWK layer SHALL use NWK layer security. Because the  
7197 frame is broadcast, no acknowledgment request SHALL be specified.
- 7198 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

### 7199 **3.4.8.2 NWK Header Fields**

7200 The NWK header field of the link status command frame SHALL be set as follows:

- 7201 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address  
7202 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of  
7203 the frame.
- 7204 • The destination address in the NWK header SHALL be set to the router-only broadcast address (see Table  
7205 3-76).
- 7206 • The destination IEEE address sub-field of the frame control field SHALL have a value of 0 and the destination  
7207 IEEE address field of the NWK header SHALL NOT be present.
- 7208 • The radius field SHALL be set to 1.

### 7209 **3.4.8.3 NWK Payload Fields**

7210 The NWK command payload of the link status command SHALL be formatted as illustrated in Figure 3-19.

Octets: 1	Variable
Command options	Link status list
NWK command payload	

**Figure 3-19. Link Status Command Format****3.4.8.3.1 Command Options Field**

The format of the 8-bit command options field is shown in Figure 3-20.

Bit: 0 – 4	5	6	7
Entry count	First frame	Last frame	Reserved

**Figure 3-20. Link Status Command Options Field**

The entry count sub-field of the command options field indicates the number of link status entries present in the link status list. The first frame sub-field is set to 1 if this is the first frame of the sender's link status. The last frame sub-field is set to 1 if this is the last frame of the sender's link status. If the sender's link status fits into a single frame, the first frame and last frame bits SHALL both be set to 1.

**3.4.8.3.2 Link Status List Field**

An entry in the link status list is formatted as shown in Figure 3-21.

Octets: 2	1
Neighbor network address	Link status

**Figure 3-21. Link Status Entry**

Link status commands SHALL be transmitted on every active MAC interface in the MAC Interface table where the state is TRUE (active) and RoutersAllowed is also TRUE. The set of link status entries in the link status command derived from the neighbor table SHALL be specific to the interface that the command is to be transmitted on. Link status entries are sorted in ascending order by network address. If all router neighbors do not fit in a single frame, multiple frames are sent. When sending multiple frames, the last network address in the link status list for frame N is equal to the first network address in the link status list for frame N+1.

Each link status entry contains the network address of a router neighbor, least significant octet first, followed by the link status octet. The incoming cost field contains the device's estimate of the link cost for the neighbor, which is a value between 1 and 7. The outgoing cost field contains the value of the outgoing cost field from the neighbor table.

The link status field in a link status entry is formatted as in Figure 3-22.

7232

<b>Bits: 0-2</b>	<b>3</b>	<b>4-6</b>	<b>7</b>
Incoming cost	Reserved	Outgoing cost	Reserved

7233

**Figure 3-22. Link Status Entry Format**

7234

### 3.4.9 Network Report Command

7235  
7236  
7237

The network report command allows a device to report network events to the device identified by the address contained in the *nwkManagerAddr* in the NIB in an unsolicited way. Such events are radio channel condition and PAN ID conflicts. The payload of a network report command SHALL be formatted as illustrated in Figure 3-23.

7238  
7239  
7240  
7241

Starting with Revision 23 of this specification this is considered a legacy command. Revision 23 devices SHALL NOT generate this command. Generating unsolicited messages on the network due to unencrypted traffic must be limited to avoid introducing security problems. Statistics on PAN ID conflicts are collected by the device and reported via the higher layer (such as ZDO).

<b>Octets: 1</b>	<b>8</b>	<b>Variable</b>
Command options (see Figure 3-24)	EPID	Report information
NWK command payload		

7242

**Figure 3-23. Network Report Command Frame Format**

7243

#### 3.4.9.1 MAC Data Service Requirements

7244  
7245

In order to transmit this command using the MAC data service, specified in [B1], the following information SHALL be included in the MAC frame header:

7246  
7247

- The destination PAN identifier SHALL be set to the PAN identifier of the device sending the network report command.
- The destination address SHALL be set to the value of the next-hop address field in the routing table entry for which the destination address field has the same value as the *nwkManagerAddr* field in the NIB. If no such routing table entry exists, then the NWK MAY attempt route discovery as described in section 3.6.4.5.
- The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the device sending the network report command, which MAY or MAY NOT be the device from which the command originated.
- The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled, since any secured frame originating from the NWK layer SHALL use NWK layer security. The transmission options SHALL be set to require acknowledgment.

7257

#### 3.4.9.2 NWK Header Fields

7258

The NWK header fields of the network report command frame SHALL be set as follows:

7259  
7260  
7261

- The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of the frame.

- 7262     • The destination address field in the NWK header SHALL be set to the 16-bit network address contained in the  
 7263       *nwkManagerAddr* attribute of the NIB.
- 7264     • The destination IEEE address sub-field of the frame control field SHALL have a value of 1 and the destination  
 7265       IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the  
 7266       corresponding to the 16-bit network address contained in the *nwkManagerAddr* attribute of the NIB, if this  
 7267       IEEE address is known.

### 7268   **3.4.9.3   NWK Payload Fields**

7269   The NWK frame payload contains a command identifier field, a command options field, an EPID field, and a report  
 7270   information payload.

7271   The command frame identifier SHALL contain the value indicating a network report command frame.

#### 7272   **3.4.9.3.1   Command Options Field**

7273   The format of the 8-bit command options field is shown in Figure 3-24.

Bits 0 - 4	5 - 7
Report information count	Report command identifier (see Figure 3-25)

7274   **Figure 3-24. Network Report Command Options Field**

##### 7275   **3.4.9.3.1.1   Report Information Count Sub-Field**

7276   The report information count sub-field contains an integer indicating the number of records contained within the Re-  
 7277   port Information field. The size of a record depends in the value of the Report Command Identifier.

##### 7278   **3.4.9.3.1.2   Report Command Identifier Sub-Field**

7279   The report command identifier sub-field contains an integer indicating the type of report information command. Figure  
 7280   3-25 contains the values that can be inserted into this field.

Report Command Identifier Value	Report Type
0x00	PAN identifier conflict
0x01 - 0x07	Reserved

7281   **Figure 3-25. Report Command Identifier Sub-Field**

##### 7282   **3.4.9.3.2   EPID Field**

7283   The EPID field SHALL contain the 64-bit EPID that identifies the network that the reporting device is a member of.

##### 7284   **3.4.9.3.3   Report Information**

7285   The report information field provides the information being reported, the format of this field depends upon the value  
 7286   of the Report Command Identifier sub-field.

##### 7287   **3.4.9.3.3.1   PAN Identifier Conflict Report**

7288   If the value of the Report Command Identifier sub-field indicates a PAN identifier conflict report then the Report  
 7289   Information field will have the format shown in Figure 3-26.

<b>Octets: 2</b>	<b>2</b>	<b>2</b>
1st PAN ID	...	nth PAN ID

**Figure 3-26. PAN Identifier Conflict Report**

The PAN ID conflict report SHALL be made up of a list of 16-bit PAN identifiers that are operating in the neighborhood of the reporting device. The number of PAN identifiers in the PAN ID conflict report SHALL be equal to the value of the report information count sub-field of the command options field.

### 3.4.10 Network Update Command

The network update command allows the device identified by the *nwkManagerAddr* attribute of the NIB to broadcast the change of configuration information to all devices in the network. For example, broadcasting the fact that the network is about to change its short PAN identifier.

The payload of a network update command SHALL be formatted as illustrated in Figure 3-27.

<b>Octets: 1</b>	<b>8</b>	<b>1</b>	<b>Variable</b>
Command Options (see Figure 3-28)	EPID	Update Id	Update Information
NWK command payload			

**Figure 3-27. Network Update Command Frame Format**

#### 3.4.10.1 MAC Data Service Requirements

In order to transmit this command using the MAC data service specified in [B1], the following information SHALL be included in the MAC frame header:

- The destination PAN identifier SHALL be set to the old PAN identifier of the Zigbee coordinator in order for the command frame to reach network devices which have not received this update. The destination address SHALL be set according to the procedures for broadcast transmission outlined in section 3.6.6.
- The source MAC address and PAN identifier SHALL be set to the network address and the old PAN identifier of the device sending the network report command, which MAY or MAY NOT be the device from which the command originated.
- The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled, since any secured frame originating from the NWK layer SHALL use NWK layer security.

#### 3.4.10.2 NWK Header Fields

The NWK header fields of the network update command frame SHALL be set as follows:

- The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of the frame.
- The destination address in the NWK header SHALL be set to the broadcast address 0xffff.
- The destination IEEE address sub-field of the frame control field SHALL have a value of 0 and the destination IEEE address field SHALL NOT be present in the NWK header.

### 7319 3.4.10.3 NWK Payload Fields

7320 The NWK frame payload contains a command identifier field, a command options field, an EPID field and an Update  
7321 Information variable field.

7322 The command frame identifier SHALL contain the value indicating a network update command frame.

### 7323 3.4.10.3.1 Command Options Field

7324 The format of the 8-bit command options field is shown in Figure 3-28.

Bits 0 - 4	5 - 7
Update Information Count	Update Command Identifier (see Figure 3-29)

**Figure 3-28. Network Update Command Options Field**

## 7326 3.4.10.3.1.1 Update Information Count Sub-Field

7327 The update information count sub-field contains an integer indicating the number of records contained within the  
7328 Update Information field. The size of a record depends on the value of the Update Command Identifier sub-field.

## 7329 3.4.10.3.1.2 Update Command Identifier Sub-Field

7330 The update command identifier sub-field contains an integer indicating the type of update information command.  
7331 Figure 3-29 contains the values that can be inserted into this field.

Update Command Identifier Value	Report Type
0x00	PAN Identifier Update
0x01 – 0x07	Reserved

**Figure 3-29. Update Command Identifier Sub-Field**

7333 3.4.10.3.2 EPID Field

7334 The EPID field SHALL contain the 64bit EPID that identifies the network that is to be updated.

## 7335 3.4.10.3.3 Update Id Field

7336 The update Id field will reflect the current value of the *nwkUpdateId* attribute of the device sending the frame.

7337 3.4.10.3.4 Update Information

7338 The update information field provides the information being updated, the format of this field depends upon the value  
7339 of the Update Command Identifier sub-field.

## 7340 3.4.10.3.4.1 PAN Identifier Update

7341 If the value of the Update Command Identifier sub-field indicates a PAN identifier update, then the Update Information field SHALL have the format shown in Figure 3-30.  
7342

**Octets: 2**

7343

**Figure 3-30. PAN Identifier Update**

7344 The PAN identifier update SHALL be made up of a single 16-bit PAN identifier that is the new PAN identifier for  
 7345 this network to use. The Update Information count sub field SHALL be set equal to 1 as there is only a single PAN  
 7346 identifier contained within the Update Information field.

### 7347 **3.4.11 End Device Timeout Request Command**

7348 The End Device Timeout Request command is sent by an end device informing its parent of its timeout requirements.  
 7349 This allows the parent the ability to delete the child entry from the neighbor table if the child has not communicated  
 7350 with the parent in the specified amount of time.

7351 The payload of an End Device Timeout Request command SHALL be formatted as illustrated in Figure 3-31.

<b>Octets: 1</b>	<b>1</b>
Request Timeout Enumeration	End Device Configuration

7352 **Figure 3-31. Format of the End Device Timeout Request Command**

#### 7353 **3.4.11.1 MAC Data Service Requirements**

7354 In order to transmit this command using the MAC data service, specified in [B1], the following information SHALL  
 7355 be provided:

- 7356 • The destination address and PAN identifier SHALL be set to the network address and PAN identifier, respectively, of the end device's parent.
- 7357 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the device transmitting the End Device Timeout Request command.
- 7358 • The transmission options SHALL be set to require acknowledgement.
- 7359 • The address mode and intra-PAN flags SHALL be set to support the addressing fields described here.

#### 7362 **3.4.11.2 NWK Header fields**

7363 The NWK header fields of the End Device Timeout Request command frame SHALL be set as follows:

- 7364 • The source address field of the NWK header SHALL be set to the 16-bit network address.
- 7365 • The source IEEE address sub-field of the frame control field SHALL be set to 1, and the source IEEE address field SHALL be set to the IEEE address of the device issuing the request.
- 7366 • The destination address field in the NWK header SHALL be set to the 16-bit network address of the parent.
- 7367 • The destination IEEE address sub-field of the frame control field SHALL be set to 1, and the destination IEEE address field SHALL be set to the IEEE address of the parent.
- 7368 • The radius field SHALL be set to 1.

#### 7371 **3.4.11.3 NWK Payload Fields**

7372 The NWK frame payload contains a command identifier field and the payload of the End Device Timeout Request as  
 7373 described in Table 3-53.

7374 **Table 3-53. Fields of the End Device Timeout Request**

Name	Type	Valid Range	Description
Requested Timeout Enumeration	Enumerated type	0 – 14	The requested timeout enumeration. This will be converted into

			actual timeout value based on Table 2-54.
End Device Configuration	Bitmask	0x00 – 0x00	This is an enumeration of the child's requested configuration.

### 3.4.11.3.1 Requested Timeout Field

The valid values for the requested timeout will be an enumerated type between 0 and 14. This will be converted to an actual timeout value according to Table 3-54.

**Table 3-54. Requested Timeout Enumerated Values**

Requested Timeout Enumeration Value	Actual Timeout Value
0	10 seconds
1	2 minutes
2	4 minutes
3	8 minutes
4	16 minutes
5	32 minutes
6	64 minutes
7	128 minutes
8	256 minutes
9	512 minutes
10	1024 minutes
11	2048 minutes
12	4096 minutes
13	8192 minutes
14	16384 minutes

This allows for an actual timeout value between 10 seconds and 16384 minutes (~ 11 days).

### 3.4.11.3.2 End Device Configuration Field

**Table 3-55. End Device Configuration Field Values**

Bit	Description
0 – 15	Reserved for future use

This is a bitmask indicating the end device's requested configuration. At this time there are no enumerated bits in the configuration field. Devices adhering to this standard SHALL set the field to 0. To allow for future compatibility this field is left in place. Devices that receive the End Device Timeout Request message with an End Device Configuration field set to anything other than 0 SHALL reject the message.

This will allow parents to correctly report their lack of support for unknown end device features. The receiving device SHALL reject the request by sending an End Device Timeout Response with a status of 0x01 (UNSUPPORTED\_FEATURE).

### 3.4.12 End Device Timeout Response Command

The End Device Timeout Response is sent by a router parent informing the end device whether it has accepted the timeout value that was previously sent, and what its capabilities are.

<b>Octets: 1</b>	<b>1</b>
Status	Parent Information

Figure 3-32. Format of the End Device Timeout Response Command

#### 3.4.12.1 MAC Data Service Requirements

In order to transmit this command using the MAC data service, specified in reference [B1], the following information SHALL be provided:

- The destination address and PAN identifier SHALL be set to the network address and PAN identifier, respectively, of the end device.
- The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the device transmitting the End Device Timeout Response command.
- The transmission options SHALL be set to require acknowledgement.
- The address mode and intra-PAN flags SHALL be set to support the addressing fields described here.

#### 3.4.12.2 NWK Header fields

The NWK header fields of the End Device Timeout Response command frame SHALL be set as follows:

- The source address field of the NWK header SHALL be set to the 16-bit network address.
- The source IEEE address sub-field of the frame control field SHALL be set to 1, and the source IEEE address field SHALL be set to the IEEE address of the device issuing the command.
- The destination address field in the NWK header SHALL be set to the 16-bit network address of the end device.
- The destination IEEE address sub-field of the frame control field SHALL be set to 1, and the destination IEEE address field SHALL be set to the IEEE address of the end device.
- The radius field SHALL be set to 1.

#### 3.4.12.2.1 NWK Payload Fields

The NWK frame payload contains a command identifier field and a capability information field. The payload of the End Device Timeout Response is described in Table 3-56.

Table 3-56. Payload fields of the End Device Timeout Response

Name	Type	Valid Range	Description
Status	Enumeration	0 – 0xFF	The success or failure result of the previously received End Device Timeout Request command. See Table 3-57 for an enumeration of the status codes.
Parent Information	Bitmask	0 – 0xFF	This bitmask indicates the parent router's support information to the child device. The bitmask's values are described in Table 3-58.

7415

7416

**Table 3-57. Enumeration of the End Device Timeout Response Status**

Status	Value	Description
SUCCESS	0x00	The End Device Timeout Request message was accepted by the parent.
INCORRECT_VALUE	0x01	The received timeout value in the End Device Timeout Request command was outside the allowed range.
UNSUPPORTED_FEATURE	0x02	The requested feature is not supported by the parent router.
Reserved	0x03 – 0xFF	Reserved for future use.

7417

7418

**Table 3-58. Values of the Parent Information Bitmask**

Bits	Description
0	MAC Data Poll Keepalive Supported
1	End Device Timeout Request Keepalive Supported
2	Power Negotiation Support
3 – 15	Reserved for future use

7419

### 3.4.13 Link Power Delta Command

7420 The Link Power Delta command frame allows neighboring devices to communicate the value of the difference in dB  
 7421 between its optimal receive power level and the actual received power level ( $\Delta P$ ) of the last packet received with  
 7422 each other as described in section 3.4.13.7.

7423 The Link power delta notification command frame also allows end devices to exchange the value of the difference in  
 7424 dB between its optimal receive power level and the actual received power level ( $\Delta P$ ) of the last packet received  
 7425 frame with its parent device as described in section 3.4.13.7.

#### 3.4.13.1 MAC Data Service Requirements

7427 Before any power negotiation has been performed, all transmissions SHALL be at the maximum transmit power. Once  
 7428 power levels have been negotiated as described in this section, all communications SHALL be at the last set power  
 7429 level. If the channel is changed or a rejoin performed, the joining SHALL be performed at the maximum power level.

7430 The data transmission is done using the MAC data service, specified in [B1], the following information SHALL be  
 7431 included in the MAC frame header:

- 7432 • The destination PAN identifier SHALL be set to the PAN identifier of the device sending the Link Power Delta  
 7433 command.
- 7434 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the  
 7435 device sending the Link Power Delta command.
- 7436 • The destination address SHALL be set to the broadcast address of 0xffff when the Command Options field is  
 7437 set to Notification
- 7438 • The destination address SHALL be set to the unicast destination address when the Command Options field is  
 7439 set to Request or Response.

- 7440 • The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security dis-
- 7441       abled, since any secured frame originating from the NWK layer SHALL use NWK layer security.
- 7442 • If the destination address of the frame is broadcast, no acknowledgment request SHALL be specified.
- 7443 • If the destination address of the frame is a unicast network address, acknowledgment request SHALL be speci-
- 7444       fied.
- 7445 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here. The
- 7446       TxOptions SHALL request ‘indirect transmission’ to be used if the *Receiver on when idle* bit of the *nwkCapa-*
- 7447       *bilityInformation* contained in the NIB is 0x00. Otherwise, ‘direct transmission’ SHALL be used.

### 7448 **3.4.13.2 NWK Header Fields**

7449 The NWK header fields of the link power delta notification command frame SHALL be set as follows:

- 7450 • The source address field of the NWK header SHALL be set to the 16-bit network address.
- 7451 • The source IEEE address sub-field of the frame control field SHALL be set to 1, and the source IEEE address
- 7452       field SHALL be set to the IEEE address of the device issuing the request.
- 7453 • If the sender is an end device, or responding to a request, the destination address field in the NWK header
- 7454       SHALL be set to the 16-bit network address of the parent. The destination IEEE address sub-field of the NWK
- 7455       frame control field SHALL be set to 1.
- 7456 • If it is communicating power delta values for neighboring devices that have macRxOnWhenIdle = TRUE, the
- 7457       destination address in the NWK header SHALL be set to the macRxOnWhenIdle = TRUE broadcast address
- 7458       (see Table 3-64). In this case the destination IEEE address sub-field of the frame control field SHALL have a
- 7459       value of 0 and the destination IEEE address field of the NWK header SHALL NOT be present.
- 7460 • The radius field SHALL be set to 1.

7461

### 3.4.13.3 NWK Payload Fields

1 Octet	1 Octet	Variable
Command Options	List Count	Power List

7462

Figure 3-33. NWK Payload Fields

7463

### 3.4.13.4 Command Options Field

Bit: 0-1	2-7
Type	Reserved

7464

Figure 3-34. Command Options Fields

7465

Table 3-59. Command Options: Type Values

Value	Type	Description
0	Notification	An unsolicited notification. These frames are typically sent periodically from an RxOn device. If the device is a FFD, it is broadcast to all RxOn devices (0xffff), and includes power information for all neighboring RxOn devices. If the device is an RFD with RxOn, it is sent unicast to its Parent, and includes only power information for the Parent device.
1	Request	Typically used by sleepy RFD devices that do not receive the periodic Notifications from their Parent. The sleepy RFD will wake up periodically to send this frame to its Parent, including only the Parent's power information in its payload. Upon receipt, the Parent sends a Response (Type = 2) as an indirect transmission, with only the RFD's power information in its payload. After macResponseWaitTime, the RFD polls its Parent for the Response, before going back to sleep.  Request commands are sent as unicast.  Note: any device MAY send a Request to solicit a Response from another device. These commands SHALL be sent as unicast and contain only the power information for the destination device. If this command is received as a broadcast, it SHALL be discarded with no action.
2	Response	This command is sent in response to a Request.  Response commands are sent as unicast to the sender of the Request.  The response includes only the power information for the requesting device.
3	Reserved	

7466

### 3.4.13.5 List Count

7467

Number of power delta records in the power list.

7468 **3.4.13.6 Power List**

2 Octets	1 Octet
Device Address	Power Delta

7469 **Figure 3-35. Power List**

7470 **3.4.13.6.1 Device Address**

7471 Network address of the device whose power delta is conveyed in this notification.

7472 **3.4.13.6.2 Delta Power**

7473 Delta power ( $\Delta P$ ) calculated as  $P_{opt} - Prx$ . This is the value of the difference in dB between its optimal receive power level ( $P_{opt}$ ) and the actual received power level ( $Prx$ ) of the last packet received.

7475 **3.4.13.7 Link Power Delta command behavior**

7476 When joined to a network, a Zigbee router or coordinator that supports Power Control SHALL periodically send a  
 7477 Link Power Delta command with Type = Notification (0), every *nwkLinkPowerDeltaTransmitRate* seconds plus a  
 7478 one off random jitter of between 0 and 10 seconds, as a one-hop broadcast (0xffffd) without retries. A value of 0 for  
 7479 *nwkLinkPowerDeltaTransmitRate* indicates that Link Power Delta commands are never sent. It is allowed for End  
 7480 Devices to use a value other than the default rate to reduce the transmission rate and save battery life.

7481 An end device that supports Power Control SHALL generate a Link Power Delta message only if the *nwkParentInformation* in the NIB indicates bit 2 is set to 1, meaning the parent supports Power Negotiation. The Link Power Delta  
 7482 SHALL be sent as follows:

7484 1. The message SHALL be unicast to the router parent of the end device.

7485 2. The message SHALL only contain the router parent information in the Link Power Delta message.

7486 The Power List SHALL contain all active devices in its neighbor table with *macRxOnWhenIdle* = TRUE. Multiple  
 7487 Link Power Delta commands MAY be sent if not all the devices from the neighbor table can fit within a single frame.  
 7488 Subsequent commands SHOULD have additional random jitter applied.

7489 When joined to a network, a Zigbee end device with *macRxOnWhenIdle* = TRUE and that supports Power Control ,  
 7490 SHALL periodically send a Link Power Delta command with Type = Notification (0) as a unicast its Parent, every  
 7491 *nwkLinkPowerDeltaTransmitRate* seconds plus a one off random jitter of between 0 and 10 seconds. The Power List  
 7492 SHALL contain only the Parent.

7493 When joined to a network, a Zigbee end device with *macRxOnWhenIdle* = FALSE and that supports Power Control,  
 7494 SHALL periodically wake up and send a Link Power Delta command with Type = Request (1) as a unicast to its  
 7495 Parent, every *nwkLinkPowerDeltaTransmitRate* seconds plus a one off random jitter of between 0 and 10 seconds.  
 7496 The Power List SHALL contain only the Parent device. The end device SHALL wait *macResponseWaitTime* before  
 7497 polling its Parent for the link power delta command with Type = Response (2). The Power List in the Response  
 7498 SHALL contain only the end device.

7499 The Power Delta to be included for each device in the Power List SHALL be the difference in dBm between the  
 7500 optimal level (defined as 20 dB above the sensitivity requirement, see Annex D.9.2.4.2) and the last available RSSI  
 7501 for that device.

7502 Upon receipt of a Link Power Delta command, a device that supports Power Control SHALL do the following.

7503 1. Find an entry in the *nwkNeighborTable* where the NWK Source Address of the Link Power Delta com-  
 7504 mand corresponds to the Network Address value of the entry. If no entry is found, the message SHALL be  
 7505 dropped and no further processing SHALL be done.

7506 2. Examine Link Power Delta command and find the Device Address in the payload of the message that  
 7507 matches the *nwkNetworkAddress* value in its NIB. If no match is found and the receiving device is an End  
 7508 Device, then the message SHALL be dropped and no further processing SHALL be done.

- 7509    3. Using the MLME of the MAC interface that the message arrived on, execute a MLME-SET-POWER-IN-  
 7510    FORMATION-TABLE.request with the following parameters.  
 7511      a. Set the Short address to the NWK Source of the Link Power Delta Command.  
 7512      b. Set the IEEE address to the Source IEEE of the Link Power Delta Command.  
 7513      c. Set the TX Power level as described from section D.11.2.  
 7514      d. Set the last RSSI level according to the RSSI parameter of the MCPS-DATA.indication.  
 7515    4. If the receiving device is an end device, processing is complete. No further processing SHALL be done.  
 7516    5. If the receiving device is a router, it SHALL do the following.  
 7517      a. If the entry in the *nwkNeighborTable* indicates a Device Type value other than 0x02 (Zigbee End De-  
 7518        vice), processing is complete. No further processing SHALL be done.  
 7519      b. Otherwise this message is from an End Device child of the router. The router SHALL generate a re-  
 7520        sponse Link Power Delta Command accordingly:  
 7521      c. The NWK destination SHALL be the NWK Source of the received Link Power Delta Command, not a  
 7522        broadcast address.

---

### 7523    3.4.14    Network Commissioning Request Command

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7524    The Network Commissioning Request command allows a device to request joining or rejoining to the network. This  
 7525    MAY be used for negotiating a dynamic link key prior to joining or rejoining, or it can be used to join or rejoin and  
 7526    receive a transport key sent by the trust center using the device's existing link key [PICS-NWK-ASSOCIATE-RE-  
 7527    QUEST.1].

7528    This command SHALL be the preferred mechanism to join or rejoin when both sender and receiver support it.

7529    If the nwkNetworkAddress value of the NIB is unset, the device SHALL generate a random short address. That  
 7530    value SHALL be used for sending this command frame.

7531    The Network Commissioning Request Command SHALL be formatted as shown in Figure 3-36.

Octets: 1	1	Variable
Network Commissioning Type	Capability Information	Zigbee TLVs
Network Command Payload		

7532    **Figure 3-36. Network Commissioning Request Command Format**

#### 7533    3.4.14.1    MAC Data Service Requirements

7534    In order to transmit this command using the MAC data service, specified in IEEE-Std 802.15.4-2020, [B1], the fol-  
 7535    lowing information SHALL be provided [PICS-NWK-ASSOCIATE-REQUEST.2]:

- 7536    • The destination address and PAN identifier SHALL be set to the network address and PAN identifier, respec-  
 7537        tively, of the prospective parent.
- 7538    • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the  
 7539        device transmitting the rejoin command frame.
- 7540    • The destination and source address modes SHALL be set to short.
- 7541    • The transmission options SHALL be set to require acknowledgement.
- 7542    • The address mode and intra-PAN flags SHALL be set to support the addressing fields described above.

**7543 3.4.14.2 NWK Header Fields**

7544 The NWK header fields of the rejoin request command frame SHALL be set as follows:

7545 The source address field of the NWK header to the 16-bit network address SHALL be as follows. If the value of the  
7546 *nwkNetworkAddress* in the NIB is within the valid range, then it SHALL use that value. If the value of the *nwkNet-*  
7547 *workAddress* in the NIB is not within the valid range, then it SHALL randomly generate a value within the valid  
7548 range, excluding the value of 0x0000, and use that.

- 7549 • The source IEEE address sub-field of the frame control field SHALL be set to 1, and the source IEEE address  
7550 field SHALL be set to the IEEE address of the device issuing the request.
- 7551 • The destination address field in the NWK header SHALL be set to the 16-bit network address of the prospective  
7552 parent.
- 7553 • The destination IEEE address sub-field of the frame control field SHALL be set to 1, and the destination IEEE  
7554 address field SHALL be set to the IEEE address of the prospective parent, if this address is known.
- 7555 • The radius field SHALL be set to 1.

**7556 3.4.14.3 NWK Payload Fields**

7557 The NWK frame payload contains a command identifier field, a capability information field, and one or more TLVs.  
7558 The command frame identifier SHALL contain the value indicating a network associate command frame.

**7559 3.4.14.3.1 Network Commissioning Type**

7560 Table 3-60 defines the Commissioning Types that can be used.

7561 **Table 3-60. Network Commissioning Types**

ID	Description
0x00	Initial Join
0x01	Rejoin

**7562 3.4.14.3.2 Capability Information Field**

7563 This one-octet field has the format of the capability information field in the association request command in [B1],  
7564 which is also described in Table 3-67.

**7565 3.4.14.3.3 TLVs**

7566 The remainder of this message MAY contain one or more TLVs as defined by Zigbee. The total size of the TLVs  
7567 SHALL NOT exceed *capsJoinerTLVsUnfragmentedMaxSize* bytes. This allows for the APS Update Device message  
7568 sent by the parent router to fit the TLV data without fragmentation.

7569 The device sending the Network Commissioning Request command communicates information to the parent device  
7570 by including TLVs directly in the message. The device SHALL include the Joiner Encapsulation Global TLV. The  
7571 remainder of this message MAY contain other TLVs as defined by Zigbee. In a multi-hop joining scenario the Trust  
7572 Center and parent device will not be the same entity. Information about the sending device is communicated to the  
7573 Trust Center through the Joiner Encapsulation Global TLV, which will be relayed in its entirety. To avoid fragmen-  
7574 tation when forwarding TLV data to the Trust Center via APS UpdateDevice message from a parent router, the total  
7575 size of TLVs SHALL NOT exceed *apscJoinerTlvsUnfragmentedMaxSize* bytes.

7576 When a device creates the Joiner Encapsulation Global TLV it SHALL contain the following TLVs inside it:

- 7577 • Fragmentation Parameters Global TLV
- 7578 • If the device is not rejoining: Supported Key Negotiation Methods Global TLV

7579 At this time this Revision of the specification does not support negotiating a new link key during rejoin. Therefore,  
7580 devices certified to this Revision SHALL not include the Supported Key Negotiation Methods Global TLV inside

7581 the Joiner Encapsulation TLV so it is clear to the Trust Center that the device does not support this behavior. Future  
 7582 revisions of this specification that support this would include this TLV as a clear sign the rejoicing device supports  
 7583 this new functionality.

7584 Additional TLVs MAY be included inside the Joiner Encapsulation Global TLV to be relayed to the Trust Center or  
 7585 MAY be included outside the Joiner Encapsulation Global TLV to be communicated only to the parent router.

7586 The General TLV Processing rules in section I.4.8 SHALL be executed on receipt of the Network Commissioning  
 7587 Request Command frame.

### 7588 3.4.15 Network Commissioning Response Command

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7589 The Network Commissioning Response command is sent by a device to inform a requesting device of its network  
 7590 address and network commissioning request status. The Network Commissioning Response command SHALL be  
 7591 formatted as shown in Figure 3-37.

Octets: 2	1
Network address	Status
NWK Command payload	

7592 Figure 3-37. Network Commissioning Response Format

#### 7593 3.4.15.1 MAC Data Service Requirements

7594 In order to transmit this command using the MAC data service, specified in [B1], the following information SHALL  
 7595 be provided:

- 7596 • The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier,  
 7597 respectively, of the device that sent the Network Commissioning Response to which this frame is a response.
- 7598 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the  
 7599 device that received and processed the Network Commissioning Response command frame.
- 7600 • Acknowledgment SHALL be requested.
- 7601 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here. The  
 7602 TXOptions SHALL request ‘indirect transmission’ to be used if the Receiver on when idle bit of the *nwkCapabilityInformation*  
 7603 contained in the corresponding Network Commissioning Request command is equal to 0x00.  
 7604 Otherwise, ‘direct transmission’ SHALL be used.

#### 7605 3.4.15.2 NWK Header Fields

7606 The NWK header fields of the rejoin response command frame SHALL be set as follows:

- 7607 • The source address field SHALL be set to the 16-bit network address of the device that is sending the response.
- 7608 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address  
 7609 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the parent device  
 7610 that is sending the response.
- 7611 • The destination address field of the NWK header SHALL be set to the current network address of the device  
 7612 that sent the NWK Commissioning Request frame, i.e. the device that sent the join request to which this frame  
 7613 is a response.
- 7614 • The destination IEEE address sub-field of the frame control field SHALL have a value of 1 and the destination  
 7615 IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the  
 7616 child device that is source of the Network Commissioning Request frame.
- 7617 • The NWK layer will set the security of the Network Commissioning Response frame to the same level as that of  
 7618 the received Network Commissioning Request frame.

7619 **3.4.15.2.1 NWK Payload Fields**7620 **3.4.15.2.1.1 Network Address Field**

7621 If the network commissioning request was successful, this two-octet field contains the network address assigned to  
 7622 the device and will be the same as the value used in the Network Commissioning Request. This address could be  
 7623 different than the value used for the Network & MAC Destination header fields if the requesting device's address is  
 7624 already being used on the network. In that case, the Status field will also contain value of 0xF0, indicating that the  
 7625 commissioning request has not succeeded due to address conflict, but the device should retry the operation with the  
 7626 new address. If the network commissioning was not successful and should not be retried, this field contains the  
 7627 broadcast address (0xffff).

7628 **3.4.15.2.1.2 Status Field**

7629 In the special case of an address conflict the status SHALL be the value 0xF0, which is normally a reserved value  
 7630 for the association status in [B1]. In this context it indicates a short address conflict. The receiving device can retry  
 7631 the operation using the new short address specified in the Network Address field. Otherwise, this field SHALL con-  
 7632 tain one of the non-reserved association status values specified in [B1]. Refer to section 3.6.1.6.1.3 for further clar-  
 7633 ification on selecting a status value.

7634 **3.5 Constants and NIB Attributes**

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7635 **3.5.1 NWK Constants**

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7636 The constants that define the characteristics of the NWK layer are presented in Table 3-61.

7637 **Table 3-61. NWK Layer Constants**

Constant	Description	Value
<i>nwkcCoordinatorCapable</i>	A Boolean flag indicating whether the device is capable of becoming the Zigbee coordinator. A value of 0x00 indicates that the device is not capable of becoming a coordinator while a value of 0x01 indicates that the device is capable of becoming a coordinator.	Configuration dependent
<i>nwkcMinHeaderOverhead</i>	The minimum number of octets added by the NWK layer to an NSDU.	0x08
<i>nwkcProtocolVersion</i>	The version of the Zigbee NWK protocol in the device.	0x02
<i>nwkcRouteDiscoveryTime</i>	The number of OctetDurations until a route discovery expires.	0x4c4b4 (0x2710 msec on 2.4GHz)
<i>nwkcMaxBroadcastJitter</i>	The maximum broadcast jitter time measured in OctetDurations.	0x7d0 (0x40 msec on 2.4GHz)

<b>Constant</b>	<b>Description</b>	<b>Value</b>
<i>nwkcInitialRREQRetries</i>	The number of times the first broadcast transmission of a route request command frame is retried.	0x03
<i>nwkcRREQRetries</i>	The number of times the broadcast transmission of a route request command frame is retried on relay by an intermediate Zigbee router or Zigbee coordinator.	0x02
<i>nwkcRREQRetryInterval</i>	The number of OctetDurations between retries of a broadcast route request command frame.	0x1f02 (0xfe msec on 2.4Ghz)
<i>nwkcMinRREQJitter</i>	The minimum jitter, in OctetDurations, for broadcast retransmission of a route request command frame.	0x3f (2 msec on 2.4GHz)
<i>nwkcMaxRREQJitter</i>	The maximum jitter, in OctetDurations, for broadcast retransmission of a route request command frame.	0xfa0 (128 msec on 2.4GHz)
<i>nwkcMACFrameOverhead</i>	The size of the MAC header used by the Zigbee NWK layer.	0x0b
<i>nwkcMaxDepth</i>	The maximum depth of the network (number of hops) used for various calculations of network timing and limitations.	15
<i>nwkcUnicastRetries</i>	The number of network layer retries on unicast messages that are attempted before reporting the result to the higher layer.	3
<i>nwkcUnicastRetryDelay</i>	The delay between network layer retries.	50 ms
<i>nwkcMinRouterBootstrapJitter</i>	The minimum jitter, in OctetDurations, for transmission of a gratuitous link status message. Refer to section 3.6.4.4.2 for further clarification on link status message transmission.	0x3d09 (500 msec on 2.4GHz)
<i>nwkcMaxRouterBootstrapJitter</i>	The maximum jitter, in OctetDurations, for transmission of a gratuitous link status message.	0x7a12 (1 sec on 2.4GHz)
<i>nwkcBroadcastDeliveryTime</i>	The total delivery time for a broadcast transmission to be delivered to all RxOnWhenIdle=TRUE devices in the network.	9 seconds

### 7638    3.5.2 NWK Information Base

7639    The NWK information base (NIB) comprises the attributes required to manage the NWK layer of a device. Each of  
 7640    these attributes can be read or written using the NLME-GET.request and NLME-SET.request primitives, respectively,

except for attributes for which the Read Only column contains a value of Yes. In that case, the attributes value MAY be read using the NLME-GET.request primitive but MAY NOT be set using the NLME-SET.request primitive. Generally, these read-only attribute are set using some other mechanism. For example, the *nwkSequenceNumber* attribute is set as specified in section 3.6.2.1 and incremented every time the NWK layer sends a frame. The attributes of the NIB are presented in Table 3-62.

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**Table 3-62. NIB Attributes**

<b>Attribute</b>	<b>Id</b>	<b>Type</b>	<b>Read Only</b>	<b>Range</b>	<b>Description</b>	<b>Default</b>
<i>nwkSequenceNumber</i>	0x81	Integer	Yes	0x00 – 0xff	A sequence number used to identify outgoing frames (see section 3.6.2).	Random value from within the range
<i>nwkPassiveAckTimeout</i>	0x82	Integer	No	0x000000 – 0xffffffff	The maximum time duration in OctetDurations allowed for the parent and all child devices to retransmit a broadcast message (passive acknowledgment timeout).	500 ms
<i>nwkMaxBroadcastRetries</i>	0x83	Integer	No	0x00 – 0x5	The maximum number of retries allowed after a broadcast transmission failure.	0x02
<i>nwkMaxChildren</i>	0x84	Integer	No	0x00 – 0xff	The number of children a device is allowed to have on its current network. Note that the value of this attribute is implementation-dependent.	Implementation-dependent
<i>nwkcMaxDepth</i>	0x85	Integer	Yes	0x00 – 0xff	The depth a device can have.	15
<i>Deprecated</i>	0x86					
<i>nwkNeighborTable</i>	0x87	Set	No	Variable	The current set of neighbor table entries in the device (see Table 3-71).	Null set

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkNetworkBroadcastDeliveryTime</i>	0x88	Integer	No	0 – 0xffffffff	Time duration in OctetDurations that a broadcast message needs to encompass the entire network.  This is a calculated quantity based on other NIB attributes.	Defined in stack profile
<i>Deprecated</i>	0x89					
<i>Deprecated</i>	0x8a					
<i>nwkRouteTable</i>	0x8b	Set	No	Variable	The current set of routing table entries in the device (see Table 3-73).	Null set
<i>Deprecated</i>	0x8e					
<i>nwkCapabilityInformation</i>	0x8f	Bit vector	Yes	See Table 3-67.	This field SHALL contain the device capability information established at network joining time.	0x00
<i>Deprecated</i>	0x90					
<i>Deprecated</i>	0x91					
<i>nwkManagerAddr</i>	0x92	Integer	No	0x0000 – 0xffff	The address of the designated network channel manager function.	0x0000
<i>nwkMaxSourceRoute</i>	0x93	Integer	No	0x00 – 0xff	The maximum number of hops in a source route.	0x0c
<i>nwkUpdateId</i>	0x94	Integer	No	0x00 – 0xff	The value identifying a snapshot of the network settings with which this node is operating with.	0x00
<i>nwkcTransactionPersistenceTime</i>	0x95	Integer	No	0x0000 – 0xffff	The maximum time (in superframe periods) that a transaction is stored by a coordinator and indicated in its beacon. This attribute reflects the value of the MAC PIB attribute	7680 ms

Attribute	Id	Type	Read Only	Range	Description	Default
					macTransactionPersistenceTime (see [B1]) and any changes made by the higher layer will be reflected in the MAC PIB attribute value as well.	
<i>nwkNetworkAddress</i>	0x96	Integer	No	0x0000 – 0xffff7	The 16-bit address that the device uses to communicate with the PAN. This attribute reflects the value of the MAC PIB attribute <i>mac-ShortAddress</i> (see [B1]) and any changes made by the higher layer will be reflected in the MAC PIB attribute value as well.	0xffff
<i>nwkStackProfile</i>	0x97	Integer	No	0x00 – 0x0f	The identifier of the Zigbee stack profile in use for this device.	
<i>nwkBroadcastTransactionTable</i>	0x98	Set	Yes	-	The current set of broadcast transaction table entries in the device (see Table 3-77).	Null set
<i>Deprecated</i>	0x99					
<i>nwkExtendedPANID</i>	0x9a	64-bit extended address	No	0x00000000 00000000 – 0xffffffffffff ffe	The Extended PAN Identifier for the PAN of which the device is a member. The value 0x0000000000000000 means the Extended PAN Identifier is unknown.	0x00000000 00000000
<i>Deprecated</i>	0x9b					
<i>nwkRouteRecordTable</i>	0x9c	Set	No	Variable	The route record table (see Table 3-63).	Null Set

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkIsConcentrator</i>	0x9d	Boolean	No	TRUE or FALSE	A flag determining if this device is a concentrator. This only applies when the device is operating as a Concentrator. TRUE = Device is a concentrator. FALSE = Device is not a concentrator.	FALSE
<i>nwkConcentratorRadius</i>	0x9e	Integer	No	0x00 – 0xff	The hop count radius for concentrator route discoveries. This only applies when the device is operating as a Concentrator. This only applies when the device is operating as a Concentrator.	0x0000
<i>nwkConcentratorDiscoveryTime</i>	0x9f	Integer	No	0x00 – 0xff	The time in seconds between concentrator route discoveries. If set to 0x0000, the discoveries are done at start up and by the next higher layer only. This only applies when the device is operating as a Concentrator.	0x0000
<i>nwkSecurityLevel</i>	0xa0		No		Security attribute defined in Chapter 4.	
<i>nwkSecurityMaterialSet</i>	0xa1		No		Security attribute defined in Chapter 4.	
<i>nwkActiveKeySeqNumber</i>	0xa2		No		Security attribute defined in Chapter 4.	
<i>nwkAllFresh</i>	0xa3		No		Security attribute defined in Chapter 4.	
<i>nwkConcentratorDiscoverySeparationTime</i>	0xa4	Integer	No	0x00 – 0xff	The minimum time, in seconds, between two consecutive concentrator route discoveries. If set to 0x00, there is no minimum separation. This only applies when the	

Attribute	Id	Type	Read Only	Range	Description	Default
					device is operating as a Concentrator.	
<i>nwkLinkStatusPeriod</i>	0xa6	Integer	No	0x00 – 0xff	The time in seconds between link status command frames.	0x0f
<i>nwkRouterAgeLimit</i>	0xa7	Integer	No	0x00 – 0xff	The number of missed link status command frames before resetting the link costs to zero.	3
<i>Deprecated</i>	0xa8					
<i>nwkAddressMap</i>	0xa9	Set	No	Variable	The current set of 64-bit IEEE to 16-bit network address map (see Table 3-64).	Null Set
<i>nwkTimeStamp</i>	0x8C	Boolean	No	TRUE or FALSE	A flag that determines if a time stamp indication is provided on incoming and outgoing packets. TRUE= time indication provided. FALSE = no time indication provided.	FALSE
<i>nwkPANId</i>	0x80	16-bit PAN ID	No	0x0000 – 0xffff	This NIB attribute should, at all times, have the same value as <i>macPANId</i> .	0xffff
<i>nwkTxTotal</i>	0x8D	Integer	No	0x0000 – 0xffff	A count of unicast transmissions made by the NWK layer on this device. Each time the NWK layer transmits a unicast frame, by invoking the MCPS-DATA.request primitive of the MAC sub-layer, it SHALL increment this counter. When either the NHL performs an NLME-SET.request on this attribute or if the value of nwkTxTotal rolls over past 0xffff the	0

Attribute	Id	Type	Read Only	Range	Description	Default
					NWK layer SHALL reset to 0x00 each Transmit Failure field contained in the neighbor table.	
<i>nwkLeaveRequestAllowed</i>	0xAA	Boolean	No	TRUE or FALSE	This policy determines whether or not a remote NWK leave request command frame received by the local device is accepted.	TRUE
<i>nwkParentInformation</i>	0xAB	Bitmask	No	0x00 – 0xFF	The behavior depends upon whether the device is an FFD or RFD.  For an RFD, this records the information received in an End Device Timeout Response command indicating the parent information. The bitmask values are defined in Table 3-58.  For an FFD, this records the device's local capabilities.	0x00
<i>nwkEndDeviceTimeoutDefault</i>	0xAC	Integer	No	0x00 – 0xFF	This is an index into Table 3-54. It indicates the default timeout in minutes for any end device that does not negotiate a different timeout value.	8

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkLeaveRequestWithoutRejoinAllowed</i>	0xAD	Boolean	No	TRUE or FALSE	This policy determines whether a NWK leave request is accepted when the Rejoin bit in the message is set to FALSE	TRUE
<i>nwkIeeeAddress</i>	0xAE	64-bit address	Yes	0x00000000 00000001 – 0xFFFFFFFF FFFFFFFF	The IEEE address of the local device.	
<i>nwkMacInterfaceTable</i>	0xAF	Set	No	Variable	A table of lower-layer interfaces managed by the network layer. See Table 3-65.	
<i>nwkNetworkWideBeacon-AppendixTLVs</i>	0xB0	Array	No	0 – 127 bytes	This is a list of TLVs that are global to the Zigbee network.	0 byte length array
<i>nwkDeviceLocalBeacon-AppendixTLVs</i>	0xB1	Array	No	0-127 bytes	This is a list of TLVs that are specific to the local device. It is mandatory for routers to always include the Router Information TLV.	Router Information TLV
<i>Deprecated</i>	0xB2					
<i>Deprecated</i>	0xB3					
<i>nwkDiscoveryTable</i>	0xB4	Array	No	Varies	This stores the set of potential networks and parents that the device is considering when joining or rejoining. See Table 3-64 for the fields of each entry.	None
<i>nwkDiscoveryTableSize</i>	0xB5	Integer	Yes	6 – 100	The number of entries the nwkDiscoveryTable can hold.	6

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkNextPanId</i>	0xB6	Integer	No	0x0000 – 0xFFFF	This indicates what the next PAN ID received in the NWK Update Command frame SHALL be in order for a PAN ID change to be accepted. A value of 0xFFFF allows any PAN ID to be accepted.	0xFFFF
<i>nwkNextChannelChange</i>	0xB7	ChannelPage Structure	No	Any valid	This indicates the next channel that will be used once a command to change channels has been received. A value of 0 indicates any channel is valid as the next channel.	0
<i>nwkPerformAdditional-MacDataPollRetries</i>	0xB9	Integer	No	0 – 10	This indicates that the network layer will perform additional attempts upon receipt of a MAC Data poll failure.	0
<i>Reserved</i>	0xBA					
<i>Reserved</i>	0xBB					
<i>nwkPreferredParent</i>	0xBC	Boolean	No	TRUE or FALSE	Indicates to a potential child capacity to act as a parent as defined by a next higher-level application. Defaults to FALSE for routers that do not make a determination.	
<i>nwkHubConnectivity</i>	0xBD	Boolean	No	TRUE or FALSE	This indicates whether the router has Hub Connectivity as defined by a higher level application. The higher level application sets this value and the stack advertises it.	FALSE

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkRoutingSequenceNumber</i>	0xBE	Integer	Yes	0-0xFFFF	A strictly increasing sequence number included in all route request and route reply command frames to allow other routers to determine the chronological order of such route discovery messages.	Previously persisted value; 0 when factory-new
<i>nwkGoodParentLQA</i>	0xBF	Integer	Yes	0 – 255	This indicates the lowest LQA value for beacons received from routers so that they will be preferred for joining or rejoining. LQI is used instead of LQA when Active Power Control is used on this link. See section 3.6.1.5.2 for its usage.	75
<i>nwkPanIdConflictCount</i>	0xC0	Integer	Yes	0 – 65,535	This indicates the total number of PAN ID conflicts that have been seen by the local device. This value can be reset to 0 by the higher layer. This value SHALL be required for routers and coordinators. It is optional for End Devices.	0
<i>nwkMaxInitialJoinParentAttempts</i>	0xC2	Integer	No	0 – 255	The maximum number of attempts to join parent devices for a particular network.	1
<i>nwkMaxRejoinParentAttempts</i>	0xC3	Integer	No	0 – 255	The maximum number of attempts to rejoin to parent devices for the current network.	3

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**Table 3-63. Route Record Table Entry Format**

<b>Field Name</b>	<b>Field Type</b>	<b>Valid Range</b>	<b>Reference</b>
Network Address	Integer	0x0000 – 0xffff7	The destination network address for this route record.
Relay Count	Integer	0x0000 – 0xffff	The count of relay nodes from concentrator to the destination.
Path	Set of Network Addresses		The set of network addresses that represent the route in order from the concentrator to the destination.

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**Table 3-64. Network Address Map**

<b>64-bit IEEE Address</b>	<b>16-bit Network Address</b>
A valid 64-bit IEEE Address or Null if not known	0x0000 – 0xffff7

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**Table 3-65. Fields of the MAC Interface Table (nwkMacInterfaceTable)**

<b>Field Name</b>	<b>Field Type</b>	<b>Valid Range</b>	<b>Description</b>
Index	Integer	0 – 31	A unique index that can be used to identify an entry in this table.
State	Boolean	TRUE or FALSE	A Boolean indicating whether the interface is currently enabled for sending and receiving messages. TRUE indicates the interface is enabled, FALSE means it is disabled.
Supported Channels	Channel List Structure	Varies	A Channel List Structure indicating the pages and channels that are supported by this interface. <b>NOTE:</b> The interfaces SHALL have mutually exclusive Supported Channels lists.

Field Name	Field Type	Valid Range	Description
Channel In Use	Channel Page Structure	Varies	The current channel in use by the device. Only a single channel in the Channel Page Structure MAY be selected at one time.
RoutersAllowed	Boolean	TRUE or FALSE	A Boolean indicating whether routers are allowed to join to this device on this interface.
<i>nwkLinkPowerDeltaTransmitRate</i>	Integer	0 – 65,535	The rate, in seconds, of how often a Link Power Delta request is generated. In bands where this is optional, it SHOULD be set to 0, disabling the function. The default value SHOULD be 16.
Beacons supported	Boolean	TRUE or FALSE	A Boolean indicating whether this interface supports beacons
Enhanced Beacons supported	Boolean	TRUE or FALSE	A Boolean indicating whether this interface supports enhanced beacons
ScanType	Boolean	ACTIVE or ENHANCED_ACTIVE	The type of scan to be used when performing a scan for NLME-NETWORK-AND-PARENT-DISCOVERY.request. The ENHANCED_ACTIVE ScanType uses Enhanced Beacons.
InterfaceLinkCostScalar	Integer	1 – 34	This is used to scale all of the Link costs on the interface.. Default is 1.

7653      **3.5.2.1 Broadcast Delivery Time**

7654      The total delivery time for a broadcast transmission is set to nwkcBroadcastDeliveryTime. This is the amount of time  
 7655      it takes to deliver to all devices in a reasonably large network and was chosen based on empirical analysis of tests that  
 7656      were performed. It is a balance between storing broadcasts for long periods of time and allowing greater throughput  
 7657      of transmitting broadcasts.

7658      **3.6 Functional Description**

7659      **3.6.1 Network and Device Maintenance**

7660      All Zigbee devices SHALL provide the following functionality:

- 7661 • Join a network.  
7662 • Leave a network.  
7663 • Rejoin a network.

7664 Both Zigbee coordinators and routers SHALL provide the following additional functionality:

- 7665 • Permit devices to join the network using the following:  
7666     ○ Association indications from the MAC  
7667     ○ Explicit join requests from the application  
7668     ○ Rejoin requests  
7669     ○ Permit devices to leave the network using the following:  
7670     ○ Network leave command frames  
7671     ○ Explicit leave requests from the application  
7672     ○ Participate in assignment of logical network addresses  
7673     ○ Maintain a list of neighboring devices

7674 Zigbee coordinators SHALL provide functionality to establish a new network. Zigbee routers and end devices SHALL  
7675 provide the support of portability within a network.

### 7676 3.6.1.1 Establishing a New Network

7677 The procedure to establish a new network is initiated through use of the NLME-NETWORK-FORMATION.request  
7678 primitive. Only devices for which the *nwkcCoordinatorCapable* constant has a value of 0x01, and which are not  
7679 currently joined to a network SHALL attempt to establish a new network. If this procedure is initiated on any other  
7680 device, the NLME SHALL terminate the procedure and notify the next higher layer of the illegal request. This is  
7681 achieved by issuing the NLME-NETWORK-FORMATION.confirm primitive with the Status parameter set to  
7682 INV\_REQUESTTYPE.

7683 When this procedure is initiated, the NLME SHALL first request that the MAC sub-layer(s) perform an energy detection  
7684 scan over either a specified set of channels or, by default, the complete set of available channels, as dictated by  
7685 the PHY layer(s) (see [B1]), to search for possible interferers. A channel scan is initiated by issuing an MLME-  
7686 SCAN.request primitive for each relevant channel mask page to the MAC sub-layer with the ScanType parameter set  
7687 to energy detection scan. The results are communicated back via the MLME-SCAN.confirm primitive (one for each  
7688 channel mask page). This scan is not necessary if there is only one channel specified.

7689 On receipt of the results from a successful energy detection scan, the NLME SHALL order the channels on each  
7690 interface according to increasing energy measurement and discard those channels whose energy levels are beyond an  
7691 acceptable level. The choice of an acceptable energy level is left to the implementation. The NLME SHALL then  
7692 perform an active scan, by issuing the MLME-SCAN.request primitive on each MAC Interface with the ScanType  
7693 parameter set to active scan and ChannelList set to the list of acceptable channels and ChannelPage set to the relevant  
7694 value for that interface, to search for other Zigbee devices. To determine the best channel on which to establish a new  
7695 network, the NLME SHALL review the list of returned PAN descriptors and find the first channel for each MAC  
7696 Interface with the lowest number of existing networks, favoring a channel with no detected networks.

7697 If no suitable channel is found, the NLME SHALL terminate the procedure and notify the next higher layer of the  
7698 startup failure. This is achieved by issuing the NLME-NETWORK-FORMATION.confirm primitive with the Status  
7699 parameter set to STARTUP\_FAILURE.

7700 If a suitable channel is found, the NLME SHALL select a PAN identifier for the new network. To do this the device  
7701 SHALL choose a random PAN identifier less than 0xffff that is not already in use on the selected channel. Once the  
7702 NLME makes its choice, it SHALL set the *macPANID* attribute in the MAC sub-layer to this value by issuing the  
7703 MLME-SET.request primitive.

- 7704 If no unique PAN identifier can be chosen, the NLME SHALL terminate the procedure and notify the next higher  
7705 layer of the startup failure by issuing the NLME-NETWORK-FORMATION.confirm primitive with the Status pa-  
7706 rameter set to STARTUP\_FAILURE.
- 7707 Once a PAN identifier is selected, the NLME SHALL select a 16-bit network address equal to 0x0000 and set the  
7708 *nwkNetworkAddress* attribute of the NIB equal to the selected network address.
- 7709 Once a network address is selected, the NLME SHALL check the value of the *nwkExtendedPANId* attribute of the  
7710 NIB. If this value is 0x0000000000000000 this attribute is initialized with the value of the MAC constant *aEx-*  
7711 *tendedAddress*.
- 7712 Once the value of the *nwkExtendedPANId* is checked, the NLME SHALL begin operation of the new PAN by issuing  
7713 the MLME-START.request primitive to each MAC sub-layer. The parameters of the MLME-START.request primitive  
7714 SHALL be set according to those passed in the NLME-NETWORK-FORMATION.request, the results of the  
7715 channel scan, and the chosen PAN identifier. The status of the PAN startup for each MAC Interface is communicated  
7716 back via the MLME-START.confirm primitive.
- 7717 On receipt of the status of the PAN startup, the NLME SHALL inform the next higher layer of the status of its request  
7718 to initialize the Zigbee coordinator. This is achieved by issuing a single NLME-NETWORK-FORMATION.confirm  
7719 primitive for all MAC Interfaces enabled during the NLME-NETWORK-FORMATION.request. The Status parame-  
7720 ter SHALL be set to the value in the primitive returned in the MLME-START.confirm from the MAC sub-layer.
- 7721 The procedure to successfully start a new network is illustrated in the message sequence chart (MSC) shown in Figure  
7722 3-38.

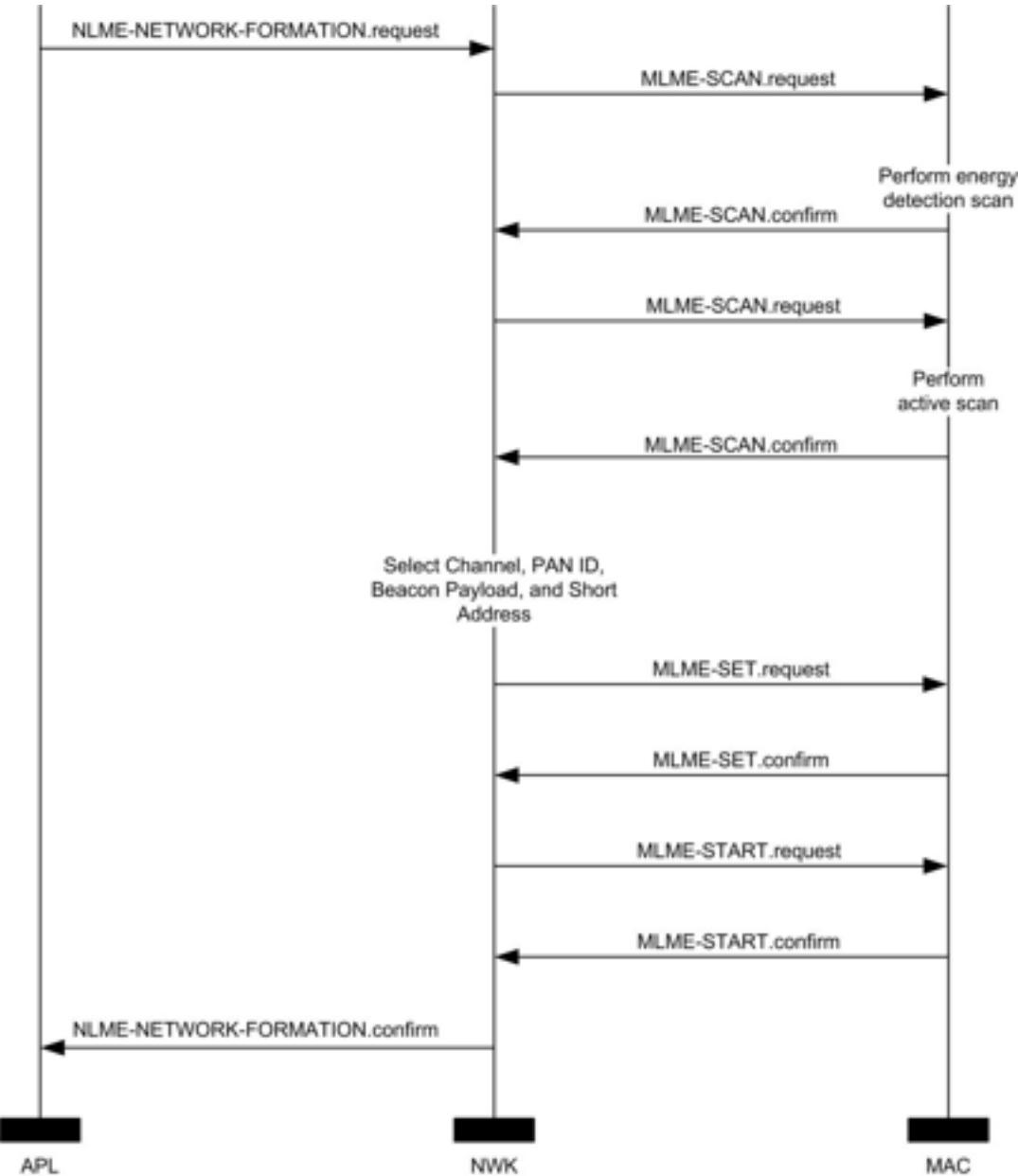


Figure 3-38. Establishing a New Network

### 3.6.1.2 Permitting Devices to Join a Network

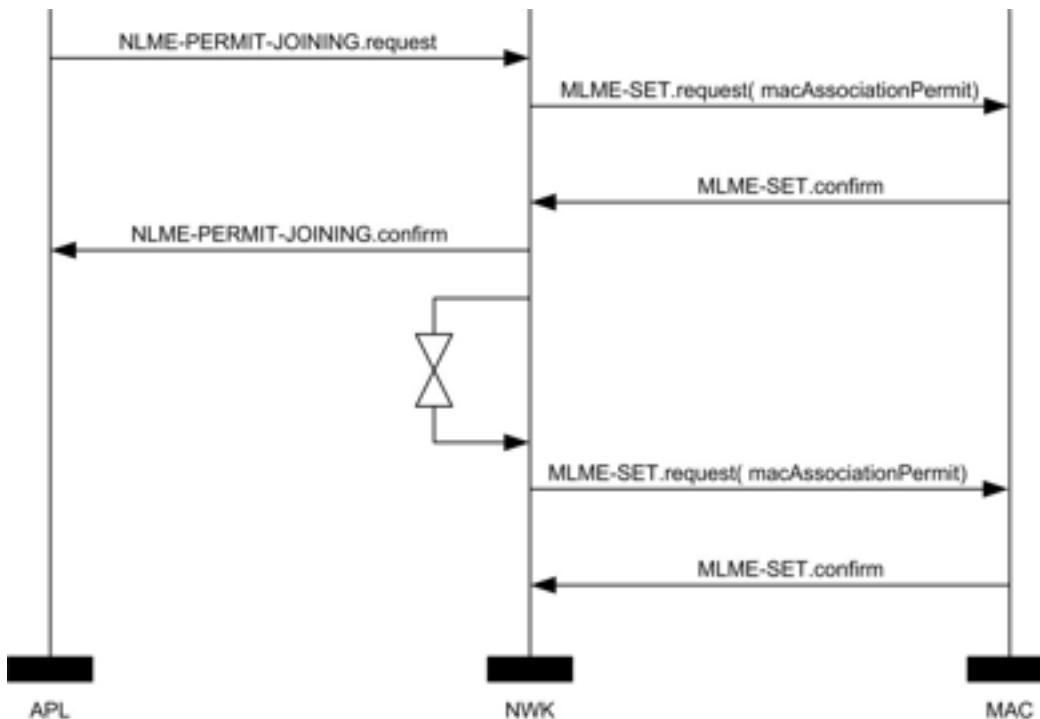
The procedure for permitting devices to join a network is initiated through the NLME-PERMIT-JOINING.request primitive. Only devices that are either the Zigbee coordinator or a Zigbee router SHALL attempt to permit devices to join the network.

When this procedure is initiated with the PermitDuration parameter set to 0x00, the NLME SHALL set the *macAssociationPermit* PIB attribute in the MAC sub-layer to FALSE. A MAC sub-layer attribute setting is initiated by issuing the MLME-SET.request primitive.

When this procedure is initiated with the PermitDuration parameter set to a value between 0x01 and 0xfe, the NLME SHALL set the *macAssociationPermit* PIB attribute in the MAC sub-layer to TRUE. The NLME SHALL then start a timer to expire after the specified duration. On expiration of this timer, the NLME SHALL set the *macAssociationPermit* PIB attribute in the MAC sub-layer to FALSE.

7736 When this procedure is initiated with the PermitDuration parameter set to 0xff, the NLME SHALL set the *macAssociationPermit* PIB attribute in the MAC sub-layer to TRUE for an unlimited amount of time, unless another NLME-  
 7737 PERMIT-JOINING.request primitive is issued.  
 7738

7739 The procedure for permitting devices to join a network is illustrated in the MSC shown in Figure 3-39.



7740  
7741

**Figure 3-39. Permitting Devices to Join a Network**

### 3.6.1.3 Hub Connectivity

7742 Hub Connectivity is an application layer defined mechanism for detecting the presence of an important application entity, known as a Hub. The mechanism to detect connectivity to it is outside the scope of this specification. The Application has the ability to modify the Hub Connectivity attribute of the core stack so that the core stack advertises this connectivity to the Joining or rejoining devices. Devices SHALL prefer joining or rejoining to Hub Connected routers, but if need be will try routers that do not advertise Hub Connectivity. There MAY be a lag in detection mechanism for Hub connectivity and thus routers advertising they do not have Hub connectivity SHALL still be tried. In Centralized networks the Hub is likely to be the trust center. Distributed networks MAY also make use of hub connectivity even though no trust center is present.

### 3.6.1.4 Preferred Parent

7751 Preferred Parent is an optional, application defined, mechanism for routers to advertise their capacity to act a parent for another device. Hub Connectivity takes priority over Preferred Parent. When supported, Preferred Parent helps joining devices select between potential parents with the same Hub Connectivity. Details of how routers make this determination is outside the scope of this specification and MAY include next hop LQA to the hub, cost, number of neighbors, and current duty cycle for Sub-GHz devices. Devices that do not make such a determination SHALL always advertise a value of 0. Joining and rejoining devices SHALL prefer parents with a value of 1.

### 3.6.1.5 Network and Parent Discovery

7752 The NWK layer enables higher layers to discover what networks, if any, are operational in the POS of a device.

7753 The procedure for network discovery SHALL be initiated by issuing the NLME-NETWORK-AND-PARENT-DISCOVERY.request primitive with the ScanChannelsListStructure parameter set to indicate which channels are to be

7762 scanned for networks and the ScanDuration parameter set to indicate the length of time to be spent scanning each  
7763 channel. Upon receipt of this primitive, the NWK layer SHALL issue a MLME-SCAN.request primitives asking each  
7764 MAC sub-layer to perform an active scan.

7765 When processing and managing beacons for joining or rejoining the network, the device SHALL follow both sec-  
7766 tions 3.6.1.6 and 3.6.1.6.1.

7767 The Network layer is responsible for filtering beacons based on the parameters passed to the discovery primitive and  
7768 the device's current state. Beacons that are not suitable for joining or rejoining are discarded. Only beacons repre-  
7769 senting Zigbee devices that can also act as potential parents are stored in the Discovery Table (*nwkDiscoveryTable*).  
7770 Potential networks and candidate parents are stored in the Discovery Table.

7771 Once all MAC sub-layer(s) signal the completion of the scan by issuing the MLME-SCAN.confirm primitive to the  
7772 NLME, the NWK layer SHALL issue the NLME-NETWORK-AND-PARENT-DISCOVERY.confirm primitive contain-  
7773 ing a description of each network that was heard. Every network description contains the Zigbee version, stack  
7774 profile, Extended PAN Id, PAN Id, logical channel, and information on whether it is permitting joining.

### 7775 **3.6.1.5.1 Network Discovery**

7776 Beacons received during network and parent discovery SHALL be processed as follows.

- 7777 1) If the MAC beacon has zero length MAC beacon payload, it SHALL be discarded and no further processing  
7778 SHALL be done.
- 7779 2) If the ZigbeeVersion is not equal to 0x02 the beacon SHALL be discarded and no further processing SHALL be  
7780 done.
- 7781 3) If the StackProfile is not equal to 0x02 the beacon SHALL be discarded and no further processing SHALL be  
7782 done.
- 7783 4) If the OnlyPermitJoinNetworks parameter from the NLME-NETWORK-AND-PARENT-DISCOVERY.request  
7784 was set to TRUE and the MAC beacon indicates PermitJoining is FALSE, the beacon SHALL be discarded and  
7785 no further processing SHALL be done.
- 7786 5) If OnlyEndDeviceCapacity parameter from the NLME-NETWORK-AND-PARENT-DISCOVERY.request is  
7787 set to TRUE and the EndDeviceCapacity is FALSE, the beacon SHALL be discarded and no further processing  
7788 SHALL be done.
- 7789 6) If the *nwkExtendedPanId* of the NIB is not equal to 0x0000000000000000 then the following SHALL be done.
  - 7790 a) The *nwkExtendedPanId* SHALL be compared to the ExtendedPanID field in the beacon.
  - 7791 b) If the values do not match then the beacon SHALL be discarded and no further processing SHALL be  
7792 done.
  - 7793 c) Otherwise continue to step 7.
- 7794 7) The Beacon data SHALL be added to the Discovery Table described in section 3.6.1.6.1.4.

### 7795 **3.6.1.5.2 Parent Selection**

7796 A Zigbee device SHALL maintain an ordered list of possible parents to join or rejoin in the Discovery Table  
7797 (*nwkDiscoveryTable*). This list is acquired via NLME-NETWORK-AND-PARENT-DISCOVERY.request. The  
7798 contents MAY be discarded on generation of NLME-JOIN.confirm. The minimum size of the list is 5. When consid-  
7799 ering how to rank parents on the list, the following SHALL be considered from highest to lowest priority.

7800 Parents are divided into two categories based on LQA. Any parent with LQA of *nwkGoodParentLQA* or higher is  
7801 considered good. Any parent with a signal below that is considered marginal. The selection procedure SHALL be  
7802 evaluated first considering only potential parents with a Good LQA. If and only if this fails to successfully attach this  
7803 procedure shall be followed a second time, in its entirety, using only potential parents a Marginal LQA. When Active  
7804 Power Control is used on this link, LQI SHALL be used instead of LQA.

- 7805 1. A parent that indicates Hub Connectivity is 1 SHALL be preferred over a parent with Hub Connectivity of 0.
- 7806 2. A parent that indicates a Preferred Parent of 1 SHALL be preferred to a parent with a Preferred Parent of 0.
- 7807 3. A parent that indicates Long Uptime over a device that indicates Short Uptime.
- 7808 4. A parent that has the newest NWK Update ID value, considering wrap for an 8-bit value.
- 7809 5. If the device is rejoining, is an End Device, and the parent is the current parent for the device.
- 7810 6. Other manufacturer specific feature support that is desired.

7811 When the list becomes full, the lowest rank parent SHALL be dropped for a more favorable parent. The application  
 7812 MAY reorder the potential parents based on higher level knowledge, for example, previous attempts that did not  
 7813 succeed and are not likely to succeed if tried again.

### 7814 3.6.1.6 Joining or Rejoining a Network

7815 For purposes of the ensuing discussion, a parent-child relationship is formed when a device having membership in the  
 7816 network allows a new device to join. On joining, the new device becomes the child, while the first device becomes  
 7817 the parent.

7818 After joining is complete, a joining or rejoining router device will no longer have a parent child relationship with the  
 7819 device it has joined or rejoined to; rather, both devices will have a relationship of 'sibling' (0x02).

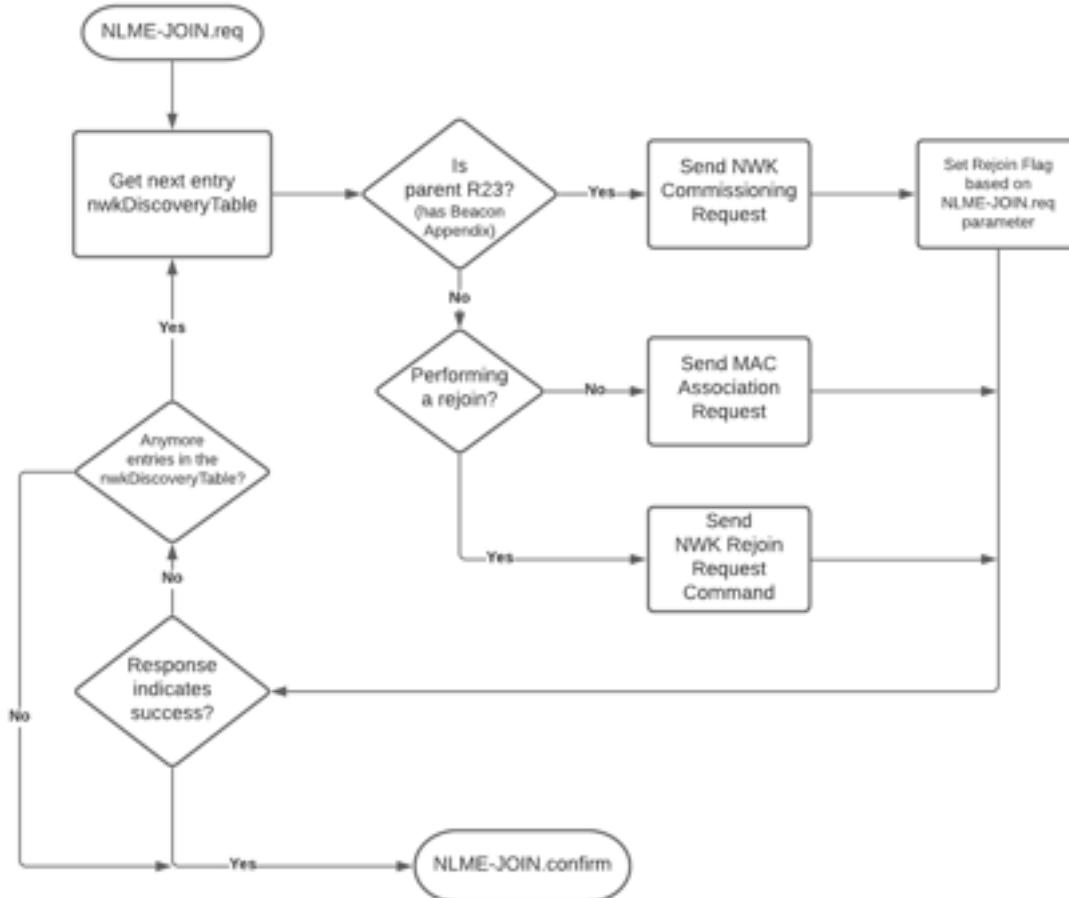
7820 There are many attachment mechanisms to a Zigbee network. When both parent and child support the Network Com-  
 7821 missioning commands, the Network Commissioning attach mechanisms SHALL be used. Table 3-66 details what  
 7822 mechanism MAY be used for what operations.

7823

**Table 3-66. Zigbee Network Attach Mechanisms**

Attach Mechanism	Network Security	Description
MAC Association	None	Join and get network key.
Network Rejoin	None	Rejoin and get network key
Network Rejoin	Encrypted and authenticated	Rejoin, no network key needed
Network Commissioning Commissioning Type = Initial Join	None	<p>Initial join. Trust Center MAY decide whether to negotiate a dynamic link key or simply send the network key with the device's current link key.</p> <p>The network advertises its initial link-key exchange capabilities in Beacon Appendix TLVs. The joiner notifies the trust center of its dynamic link key feature support in TLVs attached to the network commissioning frame. The trust center makes its decision by taking the common subset of supported key negotiation methods into account, preferring the suite with the highest level of security.</p>
Network Commissioning (Trust Center Rejoin) Commissioning Type = Rejoin	None	<p>Rejoin. Trust Center MAY decide whether to negotiate a dynamic link key again or simply send the network key with the device's current link key.</p> <p>The network advertises its initial link-key exchange capabilities in Beacon Appendix TLVs. The joiner notifies the trust center of its dynamic link key feature support in TLVs attached to the network commissioning frame. The trust center makes its decision by taking the common subset of supported key negotiation methods into account, preferring the suite with the highest level of security.</p>
Network Commissioning (Secure Rejoin) Commissioning Type = Rejoin	Encrypted and authenticated	Rejoin, no network key needed.

7824 An attach using Network Commissioning with network security and a Commissioning Type of Initial Join is NOT  
 7825 allowed. The diagram in Figure 3-40 shows the decision path for when to select the specific attach mechanism.



7826

7827

**Figure 3-40. Attach Mechanism Decision Tree**

### 7828 3.6.1.6.1 Procedure for Joining or Rejoining a Network

7829 This section specifies the procedure a device (child) SHALL follow if it opts to join or rejoin a network, as well as the  
 7830 procedure a Zigbee coordinator or router (parent) SHALL follow upon receipt of an indication of a device wishing to  
 7831 join or rejoin a network.

#### 7832 3.6.1.6.1.1 Child Procedure

7833 The procedure for joining or rejoining a network SHALL be preceded by network and parent discovery as described  
 7834 in section 3.6.1.5. The next higher layer SHALL wait for receipt of the NLME-NETWORK-AND-PARENT-DIS-  
 7835 COVERY.confirm primitive before starting the joining or rejoining process.

7836 If the device is joining, the next higher layer SHALL either choose a network to join from the discovered networks or  
 7837 redo the network discovery. Once a network is selected, it SHALL then issue the NLME-JOIN.request with the Re-  
 7838 joinNetwork parameter set to 0x00 and the JoinAsRouter parameter set to indicate whether the device wants to join  
 7839 as a routing device.

7840 Only those devices that are not already joined to a network SHALL initiate the join procedure. If an NLME-JOIN.re-  
 7841 quest is received with RejoinNetwork = 0x00 and the device is already joined, the NLME SHALL terminate the  
 7842 procedure and notify the next higher layer of the illegal request by issuing the NLME-JOIN.confirm primitive with  
 7843 the Status parameter set to INV\_REQUESTTYPE.

7844 For both joining and rejoining the NLME-JOIN.request primitive SHALL cause the NWK layer to search its discovery  
7845 table (nwkDiscoveryTable) for a suitable parent device.

7846 The criteria for determining what order to try potential parents is described in section 3.6.1.5.2.

7847 If the discovery table contains no devices that are suitable parents, the NLME SHALL respond with an NLME-  
7848 JOIN.confirm with a Status parameter of NOT\_PERMITTED. If the discovery table has more than one device that  
7849 could be a suitable parent the order in which parent devices are tried SHALL follow the rules described in section  
7850 3.6.1.5.2.

7851 Once a suitable parent is identified the device SHALL set its *nwkParentInformation* value in the NIB to 0.

7852 The network attach mechanism used to join or rejoin to the network will vary based on the discovery table and the  
7853 NLME-JOIN.request parameters. The network layer SHALL do the following to determine the attach mechanism.

7854 1) If the potential parent has Beacon Appendix in the nwkDiscoveryTable that is indicative of an R23 or later device,  
7855 the network layer SHALL do the following.

7856 a) Network Commissioning SHALL be used as the attach mechanism.

7857 i) If the RejoinNetwork parameter is set to TRUE then the device SHALL set Commission Type to 0x01,  
7858 Rejoin.

7859 (1) If *SecurityEnable* parameter is set to TRUE then the message SHALL be encrypted at the Network  
7860 Layer with the current network key.

7861 ii) Else, the Commission type SHALL be set to 0x00, Initial Join with Key Negotiation.

7862 (1) The *SecurityEnable* parameter SHALL be ignored

7863 2) Otherwise if the RejoinNetwork is set to TRUE, the network layer SHALL use Network Rejoin Request as the  
7864 attach mechanism.

7865 a) If Security is set to TRUE then the message SHALL be encrypted at the Network Layer with the current  
7866 network key.

7867 3) Else, MAC Association SHALL be used as the attach mechanism via the MLME-ASSOCIATE.request primitive.

7868 If MAC Association is used as the attach mechanism, the NLME SHALL issue an MLME-ASSOCIATE.request  
7869 primitive to the MAC sub-layer and the LogicalChannel parameter of the MLME-ASSOCIATE.request primitive  
7870 SHALL be set to that found in the discovery table entry corresponding to the coordinator address of the potential  
7871 parent.

7872 When using Network Rejoin as the attach mechanism, the NLME SHALL issue a Network Rejoin Request Command  
7873 frame and security SHALL be applied to the command frame if *SecurityEnable* parameter of the NLME-JOIN.request  
7874 is set to TRUE. It SHALL then follow the procedure in section 3.6.1.6.1.2.

7875 When using Network Commissioning as the attach mechanism, the NLME SHALL issue a Network Commissioning  
7876 Request and security SHALL be applied to the command frame if *SecurityEnable* parameter of the NLME-JOIN.re-  
7877 quest is set to TRUE. It SHALL then follow the procedure in section 3.6.1.6.1.2.

7878 For all attach methods, the bit-fields of the CapabilityInformation parameter SHALL have the values shown in Table  
7879 3-67 and the capability information SHALL be stored as the value of the *nwkCapabilityInformation* NIB attribute (see  
7880 Table 3-62).

7881

7882

**Table 3-67. Capability Information Bit-Fields**

Bit	Name	Description
0	Alternate PAN coordinator	This field will always have a value of 0 in implementations of this specification.
1	Device type	This field will have a value of 1 if the joining device is a Zigbee router. It will have a value of 0 if the device is a Zigbee end device or else a router-capable device that is joining as an end device.
2	Power source	This field will be set to the value of lowest-order bit of the PowerSource parameter passed to the NLME-JOIN-request primitive. The values are: 0x01 = Mains-powered device 0x00 = other power source
3	Receiver on when idle	This field will be set to the value of the lowest-order bit of the RxOnWhenIdle parameter passed to the NLME-JOIN.request primitive. 0x01 = The receiver is enabled when the device is idle 0x00 = The receiver MAY be disabled when the device is idle
4 – 5	Reserved	This field will always have a value of 0 in implementations of this specification.
6	Security capability	This field SHALL have a value of 0. Note that this overrides the default meaning specified in [B1].
7	Allocate address	This field will have a value of 1 in implementations of this specification.

7883 For joining, if the JoinAsRouter parameter is set to TRUE, the device will function as a Zigbee router in the network.  
 7884 If the JoinAsRouter parameter is FALSE, then it will join as an end device and not participate in routing.

7885 The addressing parameters in the MLME-ASSOCIATE.request primitive (see Chapter 2) SHALL be set to contain  
 7886 the addressing information for the device chosen from the discovery table. The status of the association is communicated back to the NLME via the MLME-ASSOCIATE.confirm primitive.  
 7887

7888 The result of the attempt to join or rejoin will be reported to the NWK Layer via one of the following means:

- 7889 • Status parameter of the MLME-ASSOCIATE.confirm
- 7890 • Status code from the NWK Rejoin Response command
- 7891 • Status code from the NWK Commissioning Response command
- 7892 • Timeout

7893 If the attempt to join or rejoin was unsuccessful, the NLME SHALL pick the next potential parent in the ordered list  
 7894 from the discovery table. It SHALL repeat the procedure described in this section until any of the criteria is met:

- 7895 1. A device is joining and has made a total of nwkMaxInitialJoinParentAttempts.

7896 2. A device is rejoining and has made a total of nwkMaxRejoinParentAttempts.

7897 3. There are no more potential parents for the specified network.

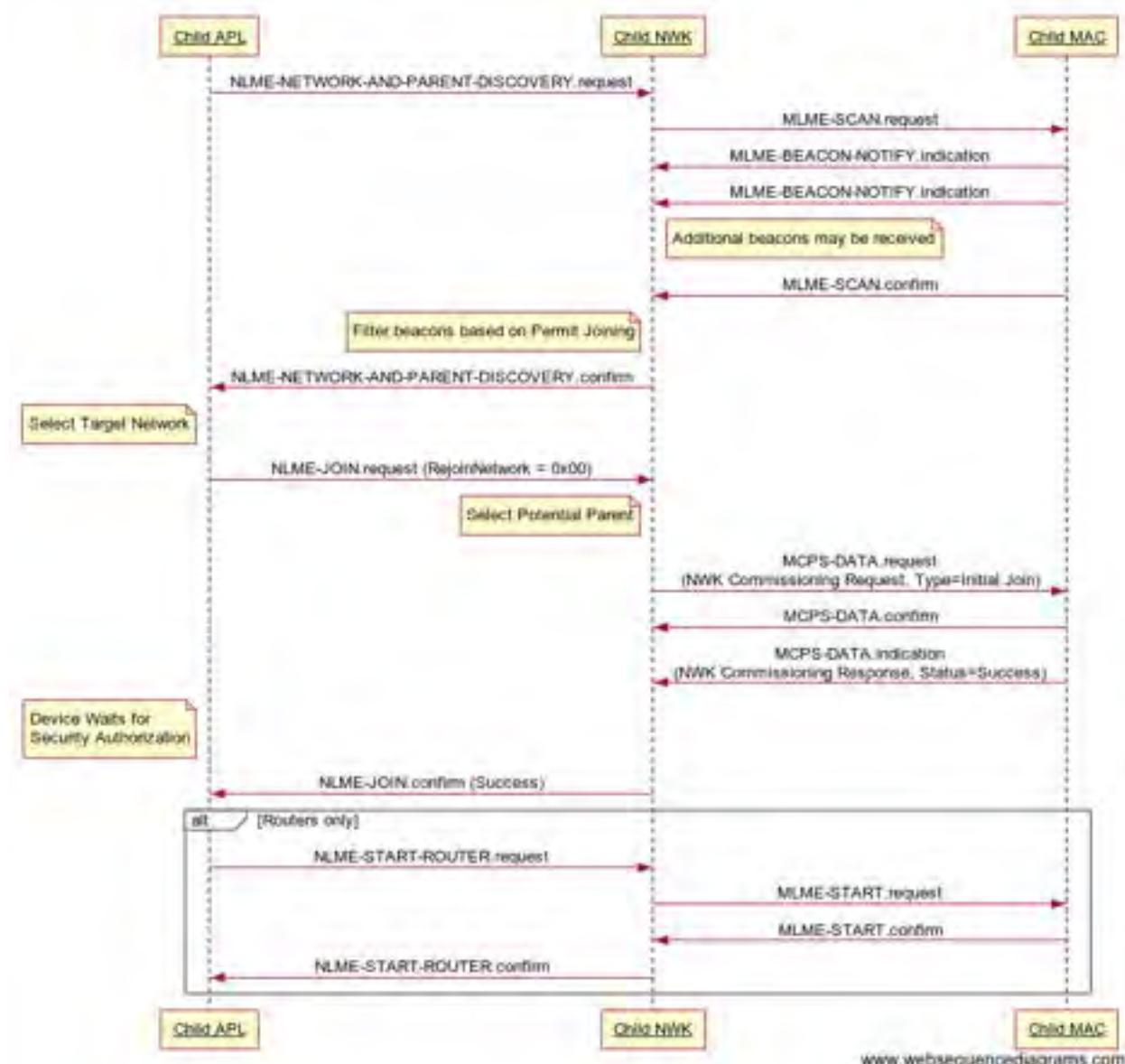
7898 When one of the criteria is met the NLME SHALL then terminate the procedure and issue the NLME-JOIN.confirm  
7899 primitive with the Status parameter set to the corresponding reason for the failure

7900 If RejoinNetwork is 0x00, or RejoinNetwork is 0x02 and SecurityEnable is FALSE, the device SHALL wait for  
7901 security data to be received to become fully authenticated on the Zigbee network. The device SHALL set the Securi-  
7902 tyTimer for the corresponding nwkNeighborTable entry of its parent to *apsSecurityTimeOutPeriod*. It SHALL decre-  
7903 ment the SecurityTimer for every second that has passed before giving up. If the NIB is not updated with the NWK  
7904 security key then the device SHALL issue an NLME-JOIN.confirm primitive with a status of NO\_KEY. Note that the  
7905 higher layer MAY reset the SecurityTimer due to higher level application messages received.

7906 If the attempt to join or rejoin was successful, the NWK SHALL issue the NLME-JOIN.confirm primitive with a  
7907 status value of SUCCESS. In this case, the response of the MAC association, NWK Rejoin, or NWK Commissioning  
7908 SHALL contain a 16-bit logical address unique to that network which the child can use in future transmissions. The  
7909 NWK layer SHALL then set the Relationship field in the corresponding neighbor table entry to indicate that the  
7910 neighbor is its parent. By this time, the parent SHALL have added the new device to its neighbor table. Furthermore,  
7911 the NWK layer will update the values of *nwkNetworkAddress*, *nwkUpdateId* and *mwkPANId* in the NIB.

7912 Once the device has successfully joined the network, if it is a router and the next higher layer has issued a NLME-  
7913 START-ROUTER.request, the NWK layer SHALL issue the MLME-START.request primitive to its MAC sub-layer.  
7914 The PANId, LogicalChannel, BeaconOrder and SuperframeOrder parameters SHALL be set equal to the correspond-  
7915 ing values held in the neighbor table entry for its parent. The network depth is set to one more than the parent network  
7916 depth unless the parent network depth has a value of 0x0f, *i.e.* the maximum value for the 4-bit device depth field in  
7917 the beacon payload. In this case, the network depth SHALL also be set to 0x0f. The PANCoordinator and CoordRea-  
7918 lignment parameters SHALL both be set to FALSE. Upon receipt of the MLME-START.confirm primitive, the NWK  
7919 layer SHALL issue an NLME-START-ROUTER.confirm primitive with the same status value.

7920 Figure 3-41 shows the procedure for a device to join to a network where the parent supports Revision 23 or later of  
7921 this specification. In this case the MCPS-DATA.request is used to transmit a NWK Commissioning Request command  
7922 and the MCPS-DATA.indication will contain a NWK Commissioning Response.

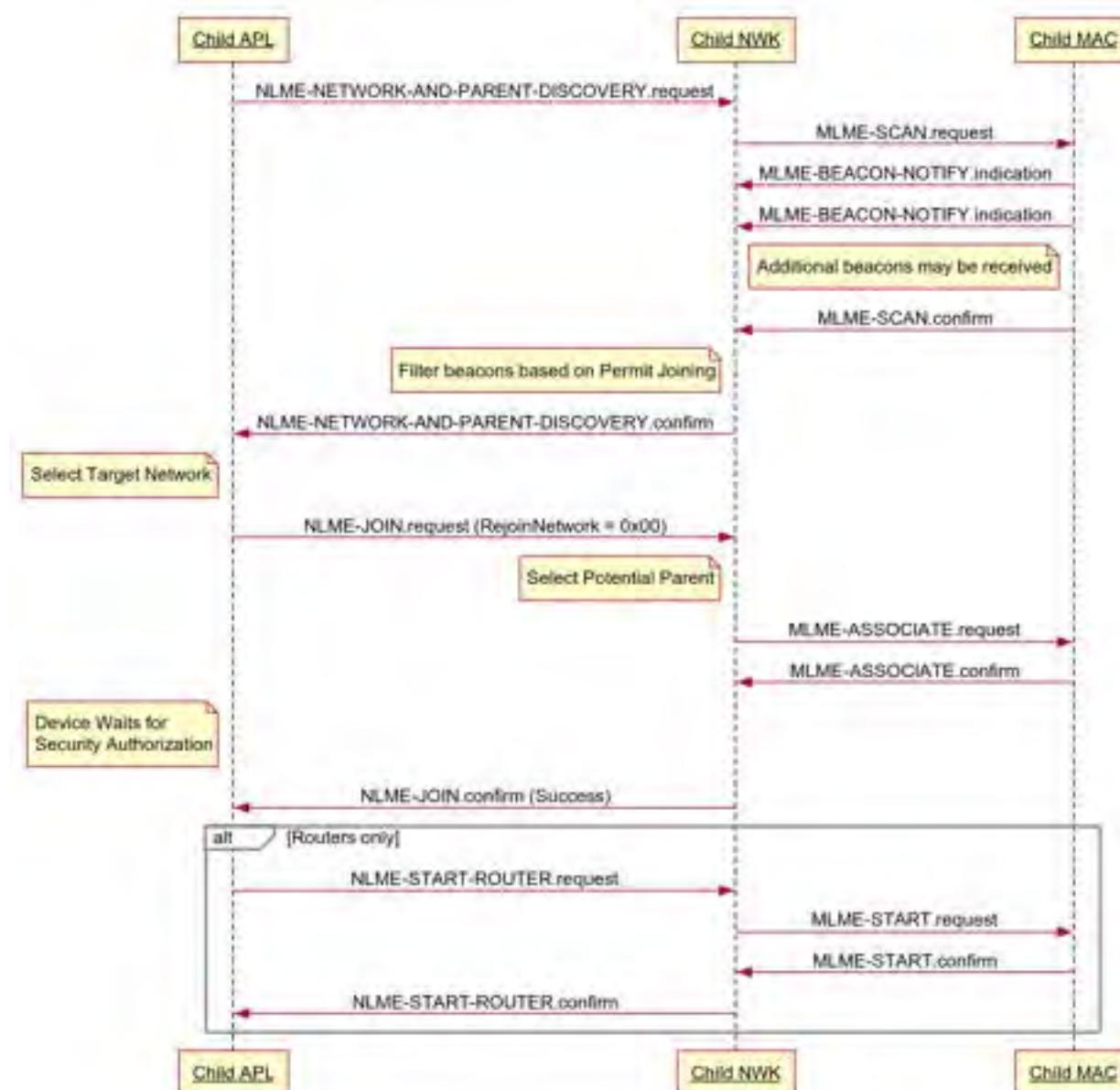


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**Figure 3-41. Procedure for Joining to a Network (R23+ Parent)**

7925 Figure 3-42 shows the procedure for a device joining to a network where the parent supports a version of the specification prior to Revision 23. In this case the MLME-ASSOCIATE primitives are used to join.  
 7926



7927

7928

**Figure 3-42. Procedure for Joining a Network with a Legacy Parent (Pre-R23)**

### 7929 3.6.1.6.1.2 Transmission and Reception of the NWK Rejoin Request and NWK Commissioning 7930 Request

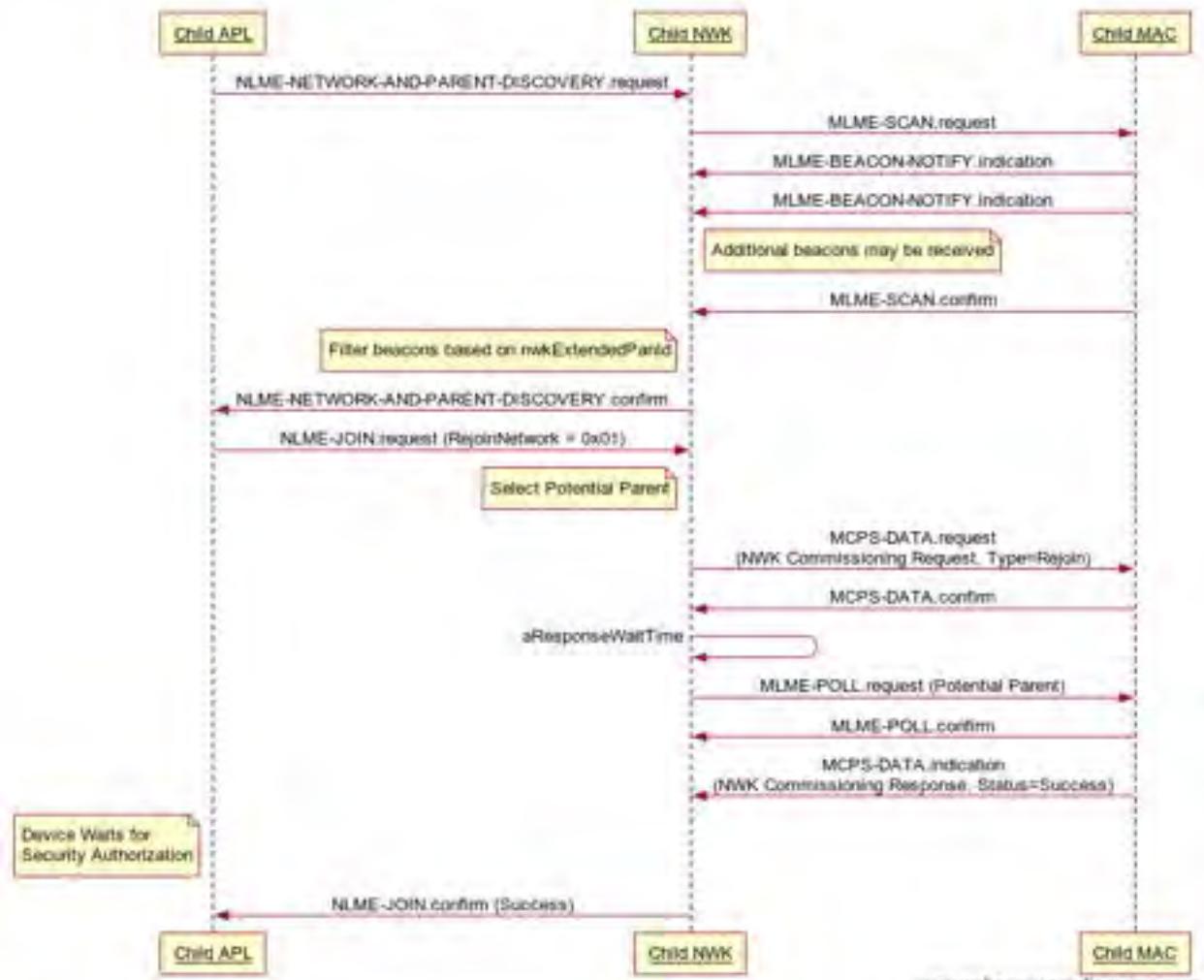
7931 After the successful transmission of the network rejoin request or network commissioning request command using  
 7932 the MAC data service, the network layer SHALL load a countdown timer with a value of *macResponseWaitTime*  
 7933 ([B1]). If the receiver on when idle field of the Capability Information parameter is equal to 0, the device SHALL  
 7934 issue at least one MLME-POLL.request to the potential parent to retrieve the response command before the timer  
 7935 expires. If the receiver on when idle field is equal to 1, polling is not required. Polling more than once before  
 7936 *macResponseWaitTime* ([B1]) elapses is permitted.

7937 If this timer elapses before the appropriate response command frame is received, then the attachment mechanism  
 7938 was unsuccessful.

7939 On receipt of the appropriate response command frame, after the above procedure or at any other time, the device  
 7940 SHALL check the destination IEEE address field and the source IEEE address fields of the command frame NWK

7941 header. If the destination IEEE address field is not equal in value to the IEEE address of the receiving device or if  
 7942 the source IEEE address field is not equal in value to the IEEE address of the most recent potential parent to which a  
 7943 rejoin request command frame was sent (or the current parent in the case of an unsolicited rejoin response), then the  
 7944 rejoin response command frame shall be discarded without further processing.

7945 Figure 3-43 shows the procedure for rejoining to a parent that supports Revision 23 or later of the specification. The  
 7946 Network commissioning request is used for the attach mechanism.

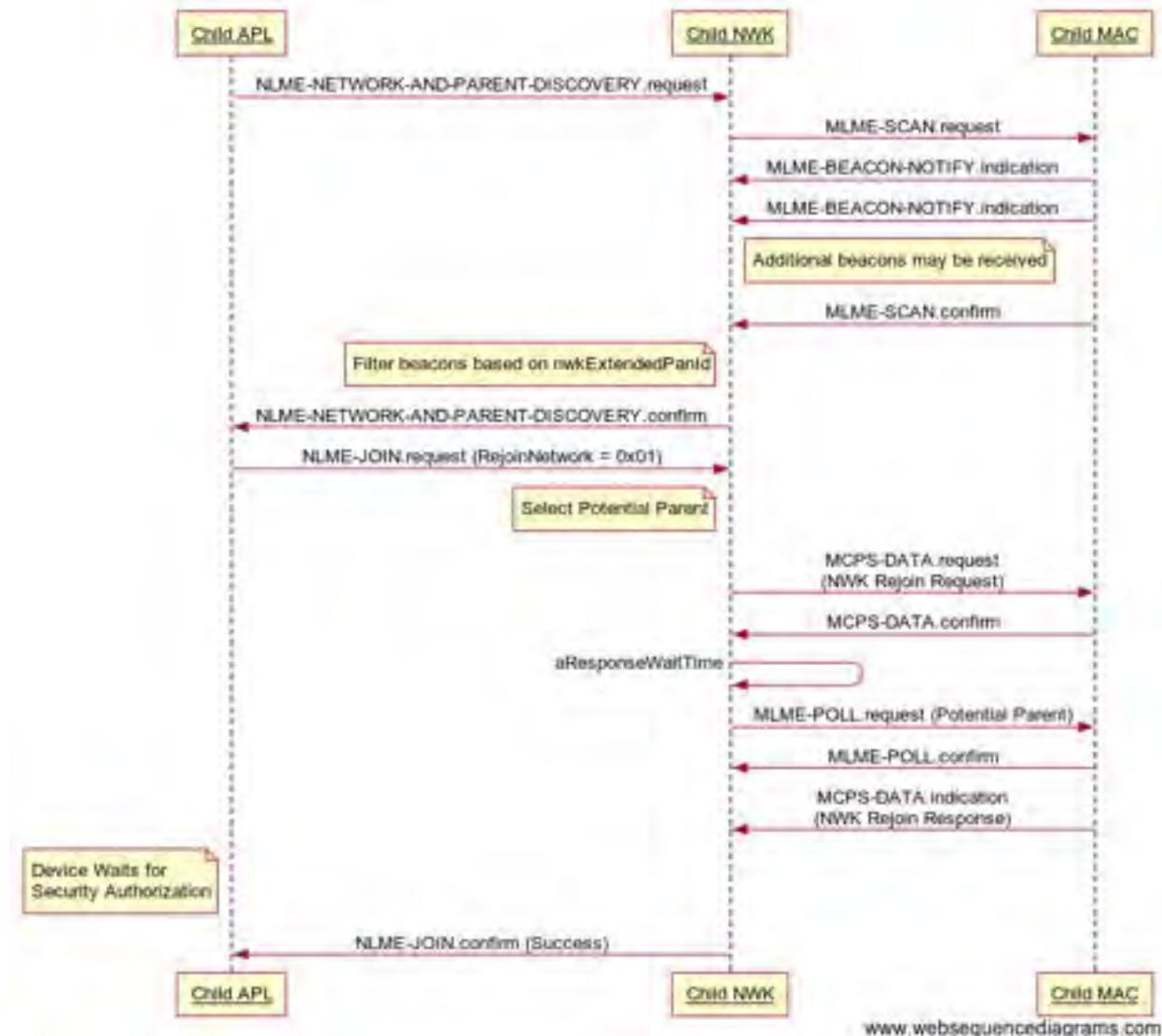


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**Figure 3-43. Procedure for Rejoining to a Network with a Parent that Supports R23+**

7949 Figure 3-44 shows the procedure for rejoining to the network when the parent supports a version prior to Revision  
 7950 23. The NWK Rejoin Request command is used for the attach mechanism.



7951

7952

**Figure 3-44. Child Rejoining the Network to a Legacy Parent (Pre-R23)**

### 7953 3.6.1.6.1.3 Parent Procedure

7954 The procedure for a Zigbee coordinator or router to allow a device join or rejoin to its network using one of the  
 7955 following mechanisms described in the attach mechanism in Table 3-68.

7956

**Table 3-68. Incoming Attach and Response Mechanisms**

Incoming Mechanism	Response Method
MLME-ASSOCIATE.indication	MLME-ASSOCIATE.response
NWK Rejoin Request Command Frame	NWK Rejoin Response Command Frame
NWK Commissioning Request Command Frame	NWK Commissioning Response Command Frame

7957 Only those devices that are either a Zigbee coordinator or a Zigbee router and that are permitting devices to join the  
7958 network SHALL initiate this procedure. If this procedure is initiated on any other device, the NLME SHALL terminate  
7959 the procedure.

7960 If the parent receives a MLME-ASSOCIATE.indication primitive and the MAC PIB attribute *macAssociationPermit*  
7961 is FALSE it SHALL issue MLME-ASSOCIATE.response with a status of 0x02, PAN\_ACCESS\_DENIED.

7962 If the parent receives a NWK Commissioning Request from the NWK layer, and the Commissioning Type is set to  
7963 0x00 (Initial Join), and the MAC PIB attribute *macAssociationPermit* is FALSE, it SHALL issue a Network Commis-  
7964 sioning Response with a status of 0x02, PAN ACCESS DENIED and halt processing. Otherwise it SHALL continue  
7965 processing.

7966 If the NWK Commissioning Request command is NWK encrypted and the Commissioning Type indicates Initial Join,  
7967 the request SHALL be dropped and no further processing SHALL be done.

7968 When this procedure is initiated, , regardless of the incoming mechanism, the NLME of the local device acting as a  
7969 potential parent SHALL determine whether the device wishing to join or rejoin already exists on its network. To do  
7970 this, the NLME SHALL search its neighbor table in order to determine whether a matching 64-bit, extended address  
7971 can be found. If an extended address match is found, the NLME SHALL follow the rules for matching device infor-  
7972 mation below. If no match is found the NLME will continue to process the request.

7973 A rejoin attempt can be received at any time by a parent router. A NWK Rejoin command without security, known as  
7974 a Trust Center Rejoin, needs to be handled carefully by the stack to prevent state changes on the parent. Unsecured  
7975 Packets at the network layer claiming to be from existing neighbors (coordinators, routers or end devices) must not  
7976 rewrite legitimate data in the nwkNeighborTable.

7977 If the NWK Rejoin Request command frame received at the parent router does not have network layer encryption, the  
7978 parent router SHALL look at the apsTrustCenterAddress in the AIB. If the value of apsTrustCenterAddress is  
7979 0xFFFFFFFFFFFFFF, the rejoin attempt SHALL be rejected. The Status parameter of the NWK Rejoin Response  
7980 command shall indicate PAN ACCESS DENIED.

7981 If the value of apsTrustCenterAddress is NOT 0xFFFFFFFFFFFFFF and an existing, matching entry in the  
7982 nwkNeighborTable has been found, then the rules for matching device information SHALL be applied as follows:

- 7983 1. Compare the following: the Network Address of the nwkNeighborTable to the NWK Short Address of the com-  
7984 mand, the Device Type enum of the nwkNeighborTable to the Device type bit of the MAC Capabilities in the  
7985 command, and the RxOnWhenIdle bit to the RxOnWhenIdle bit of the MAC Capabilities in the command.
- 7986 2. If the NWK Rejoin Request command frame has network layer encryption that passes security processing in  
7987 section 4.3.1.2, then NLME shall consider the rejoin attempt successful. Any values that changed in step 1 can be  
7988 updated in the nwkNeighborTable.
- 7989 3. If the NWK Rejoin Request command frame does not have network layer encryption and the NWK short address  
7990 and/or capabilities values are different but all other values in step 1 are the same including the 64 bit extended  
7991 address, then the NLME SHALL reject such a rejoin attempt. It SHALL send a rejoin response with PAN AC-  
7992 CESS DENIED. No changes SHALL be made to the existing nwkNeighborTable entry corresponding to the  
7993 attempted rejoin.

7994 If no match to an existing device in the nwkNeighborTable was found and the potential parent does not have the  
7995 capacity to accept more children, the NLME SHALL terminate the procedure and indicate this fact in the subsequent  
7996 response mechanism described in Figure 3-18. The Status parameter of that primitive or command frame SHALL  
7997 indicate that the PAN is at capacity.

7998 If the request to join or rejoin is granted by the parent, the NLME of the parent SHALL create a new entry for the  
7999 child in its neighbor table using the supplied device information and indicate a successful join or rejoin via the response  
8000 mechanism noted in Figure 3-18. The relationship field of the new neighbor table entry SHALL be set to the value  
8001 0x01 only if the mechanism was NWK Rejoin and had NWK Layer security. Otherwise, the relationship field SHALL  
8002 be set to 0x05 indicating an unauthenticated child. The status of the response transmission to the child is communicated  
8003 back to the network layer via the MLME-COMM-STATUS.indication primitive.

8004 When a new entry for the child has been created in the parent's neighbor table, the parent SHALL search its routing  
 8005 table for an entry where the destination address equals the address of the rejoining device and delete such entry, if one  
 8006 exists.

8007 If the transmission was unsuccessful (*i.e.* the MLME-COMM-STATUS.indication primitive contained a Status pa-  
 8008 rameter not equal to SUCCESS), the NLME SHALL terminate the procedure. If the transmission was successful, the  
 8009 NLME SHALL notify the next higher layer that a child has just joined the network by issuing the NLME-JOIN.indi-  
 8010 cation primitive.

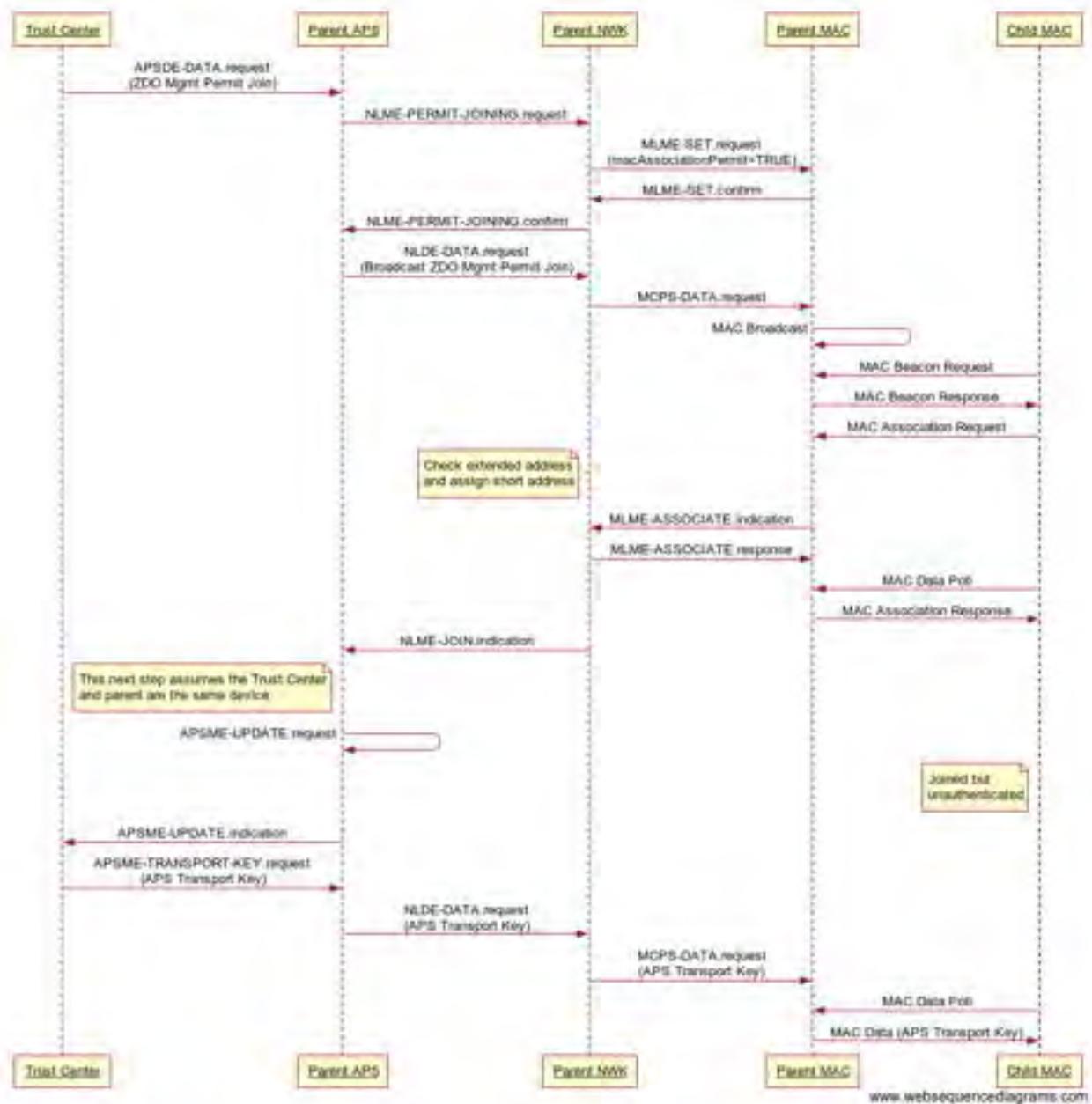
8011 Authorization onto the Zigbee network is not performed by the NLME. The NLME on the parent SHALL result in an  
 8012 APSME-UPDATE-DEVICE.request issued locally to the router to request the Trust Center authorize or reject the new  
 8013 device. . If the Joiner Encapsulation Global TLV is present it SHALL be passed directly to the JoiningDeviceTLVs  
 8014 parameter of the APSME-UPDATE-DEVICE.request interface. For the TLVs received by the NLME-JOIN.indica-  
 8015 tion, only the Joiner Encapsulation Global TLV SHALL be passed to the APSME-UPDATE-DEVICE.request, all  
 8016 other TLVs shall be processed by the parent locally by the NLME. The JoinerMethod of the NLME-JOIN.indication  
 8017 request SHALL be mapped to the APSME-UPDATE-DEVICE.request per Table 3-69.

8018 **Table 3-69. NLME-JOIN.indication JoinerMethod and APSME-UPDATE-DEVICE.request status mapping**

<b>JoinerMethod of NLME-JOIN.indication</b>	<b>Status of APSME-DEVICE-UPDATE.request</b>
0x00 = MAC Association	0x01 = Standard Device Unsecured Join
0x01 = Network Rejoin without Security	0x03 = Standard Device Trust Center Rejoin
0x02 = Secured Network Rejoin	0x00 = Standard Device Secured Rejoin
0x03 = Network Commissioning Join without Security	0x01 = Standard Device Unsecured Join
0x04 = Network Commissioning Rejoin without Security	0x03 = Standard Device Trust Center Rejoin
0x05 = Secure Network Commissioning Rejoin	0x00 = Standard Device Secured Rejoin

8019 The parent SHALL set the SecurityTimer for the corresponding neighbor table entry to *apsSecurityTimeOutPeriod*.  
 8020 The parent will wait to receive any network encrypted message from the device indicating it has been authorized onto  
 8021 the network. If the SecurityTimer has reached zero and no message is received the parent SHALL delete the unau-  
 8022 thenticated child from its neighbor table. Note that the higher layer of the parent can reset the SecurityTimer value for  
 8023 the neighbor table entry as higher layer messages are relayed to the device indicating it is still being authorized for  
 8024 joining or rejoining to the network.

8025 The procedure for successfully joining a device to the network via MAC Association is illustrated in the message  
 8026 sequence chart shown in Figure 3-45.



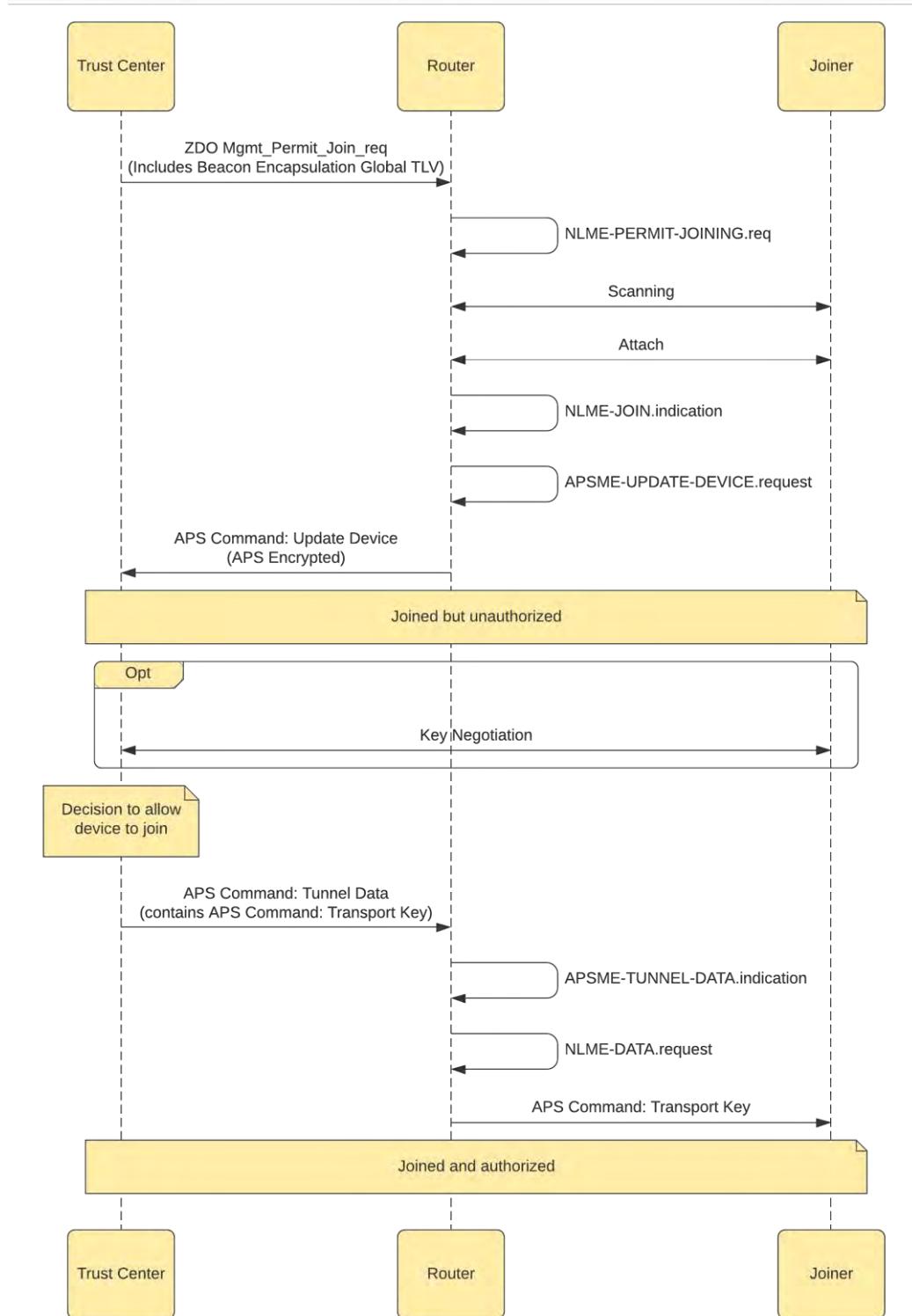
8027

8028

**Figure 3-45. Parent Process for Receiving a Request to Join Using MAC Association**

8029 The procedure for successfully rejoining a device to the network via NWK Rejoin is illustrated in the message sequence chart shown in .

8030



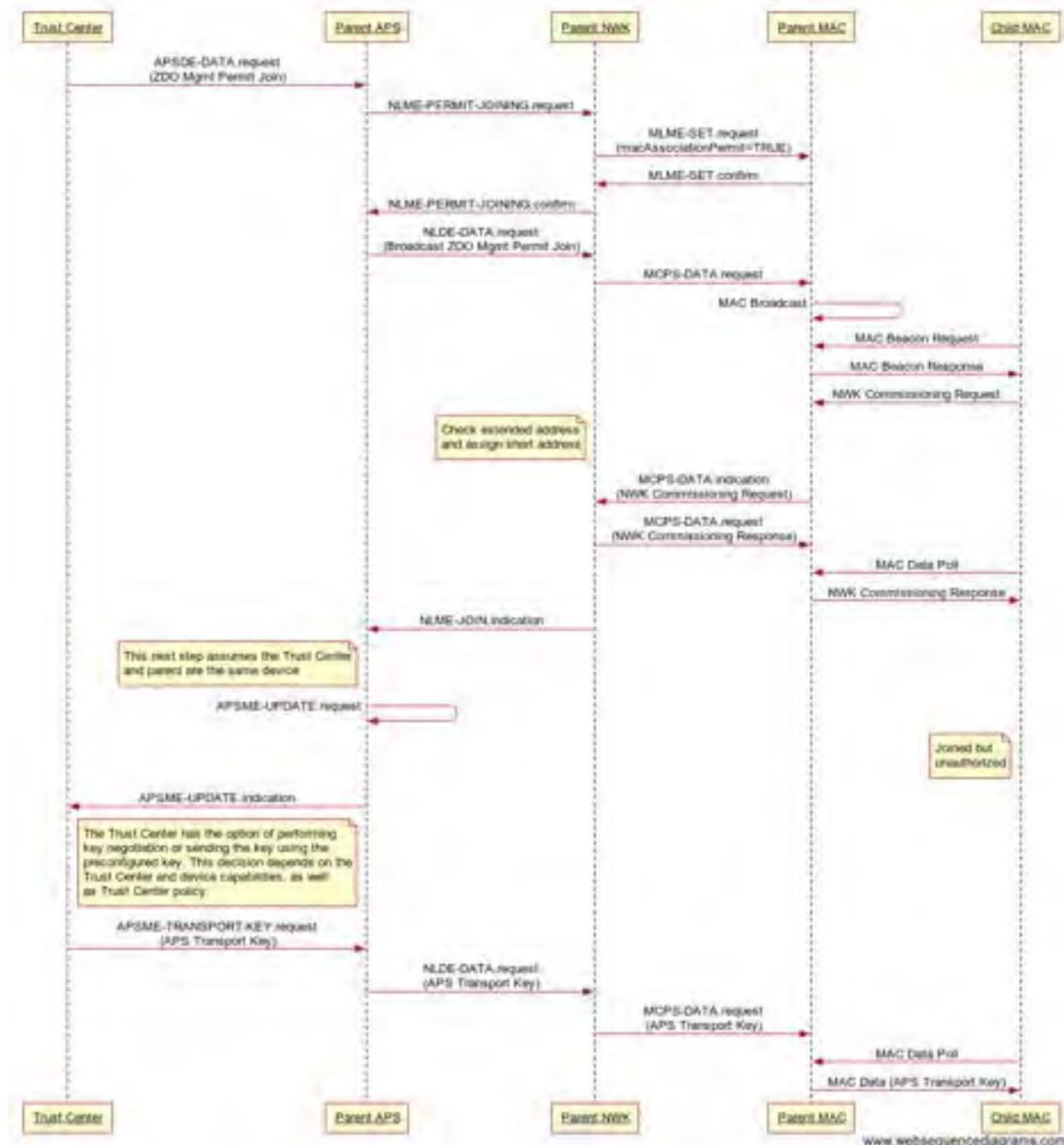
8031

8032

**Figure 3-46. Parent Processing for receiving a request to rejoin via NWK Rejoin Command**

8033

8034 The procedure for successfully joining or rejoining a device to the network via NWK Commissioning is illustrated in the message sequence chart shown in Figure 3-47.



8035

8036

**Figure 3-47. Parent Procedure for Processing a Received Join or Rejoin via NWK Commissioning****3.6.1.6.1.4 Discovery Table**

The stack SHALL maintain a separate table for storing potential networks and parents during join and rejoin operations. The minimum size of this table is 6 entries. This table is described in Table 3-70.

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8041

**Table 3-70. Discovery Table Fields (nwkDiscoveryTable)**

<b>Field Name</b>	<b>Field Type</b>	<b>Valid Range</b>	<b>Description</b>
Extended PAN ID	Integer	Any	The 64-bit unique identifier of the network to which the device belongs to.
Logical Channel	Integer	Selecting from the available logical channels support by the PHY	The logical channel on which the network is operating.
Parent Priority	Integer	1 – nwkDiscoveryTableSize	This is the priority that the potential parents SHALL be considered for join or rejoin attempts. 1 is considered the highest priority and 10 is the lowest.
Short ID	Integer	0x0000 – 0xFFFF	The short ID of the potential parent.
LQA (LQI)	Integer	0 – 255	The LQA (LQI) value of the potential parent.
Update ID	Integer	0x00 – 0xFF	The value of the NWK Update ID of the beacon.
Beacon Appendix TLVs	Array of bytes	Any	A set of TLVs indicating information about the network.

8042

### 3.6.1.7 Neighbor Tables

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8044

The neighbor table of a device SHALL contain information on every device on the current Zigbee network within transmission range, up to some implementation-dependent limit.

8045  
8046

The neighbor does not store information about potential networks and candidate parents to join or rejoin. The Discovery table SHALL be used for this.

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8048  
8049  
8050

The neighbor table is used to store information about neighbors, whether they are fully authorized in the network or are in the process of joining or rejoining. After the device has been authorized on a network, it is used to store relationship and link-state information about neighboring devices in that network. A table entry SHALL be updated every time a device receives any frame from the corresponding neighbor.

8051  
8052  
8053

The outgoing cost field contains the cost of the link as measured by the neighbor. The value is obtained from the most recent link status command frame received from the neighbor. A value of 0 indicates that no link status command listing this device has been received.

8054  
8055

The age field indicates the number of *nwkLinkStatusPeriod* intervals that have passed since the last link status command frame was received, up to a maximum value of *nwkRouterAgeLimit*.

8056

Mandatory and optional data that are used in normal network operation are listed in Table 3-71.

**Table 3-71. Neighbor Table Entry Format (nwkNeighborTable)**

<b>Field Name</b>	<b>Field Type</b>	<b>Valid Range</b>	<b>Description</b>
Extended address	Integer	An extended 64-bit, IEEE address	64-bit IEEE address that is unique to every device.
Network address	Network address	0x0000 – 0xffff7	The 16-bit network address of the neighboring device. This field SHALL be present in every neighbor table entry.
Device type	Integer	0x00 – 0x02	The type of neighbor device: 0x00 = Zigbee coordinator 0x01 = Zigbee router 0x02 = Zigbee end device This field SHALL be present in every neighbor table entry.
RxOnWhenIdle	Boolean	TRUE or FALSE	Indicates if neighbor's receiver is enabled during idle periods: TRUE = Receiver is on FALSE = Receiver is off This field SHOULD be present for entries that record the parent or children of a Zigbee router or Zigbee coordinator.
End Device Configuration	Bitmask	0x0000 – 0xFFFF	The end device's configuration. See section 3.4.11.3.2. The default value SHALL be 0.
Timeout Counter	Integer	0x00000000 – 0x00F00000	This field indicates the current time remaining, in seconds, for the end device.
Device Timeout	Integer	0x00000000 – 0x0001FA40	This field indicates the timeout, in seconds, for the end device child. The default value for end device entries is calculated by using the <i>nwkEndDeviceTimeoutDefault</i> value and indexing into Table 3-54, then converting the value to seconds. End Devices MAY negotiate a longer or shorter time using the NWK Command End Device Timeout Request.

Field Name	Field Type	Valid Range	Description
Relationship	Integer	0x00 – 0x09	<p>The relationship between the neighbor and the current device:</p> <ul style="list-style-type: none"> <li>0x00=neighbor is the parent</li> <li>0x01=neighbor is a child</li> <li>0x02=neighbor is a sibling</li> <li>0x03=none of the above</li> <li>0x04=previous child</li> <li>0x05=unauthenticated child</li> <li>0x06=unauthorized child with relay allowed</li> <li>0x07=neighbor is a lost child</li> <li>0x08=neighbor is a child with address conflict</li> <li>0x09=neighbor is a backbone mesh sibling</li> </ul> <p>This field SHALL be present in every neighbor table entry.</p>
Transmit Failure	Integer	0x00 – 0xff	<p>A value indicating if previous transmissions to the device were successful or not. Higher values indicate more failures.</p> <p>This field SHALL be present in every neighbor table entry.</p>
LQA (LQI)	Integer	0x00 – 0xff	<p>The estimated link quality for RF transmissions from this device. See section 3.6.4.1 for a discussion of how this is calculated.</p> <p>This field SHALL be present in every neighbor table entry.</p>
Outgoing Cost	Integer	0x00 – 0xff	<p>The cost of an outgoing link as measured by the neighbor. A value of 0 indicates no outgoing cost is available.</p> <p>This field is mandatory.</p>
Age	Integer	0x00 – 0xff	<p>The number of nwkLinkStatusPeriod intervals since a link status command was received.</p> <p>This field is mandatory.</p>

Field Name	Field Type	Valid Range	Description
Incoming beacon timestamp	Integer	0x000000 – 0xfffffff	The time, in symbols, at which the last beacon frame was received from the neighbor. This value is equal to the timestamp taken when the beacon frame was received, as described in IEEE Std 802.15.4-2020 [B1]. This field is optional.
Beacon transmission time offset	Integer	0x000000 – 0xfffffff	The transmission time difference, in symbols, between the neighbor's beacon and its parent's beacon. This difference MAY be subtracted from the corresponding incoming beacon timestamp to calculate the beacon transmission time of the neighbor's parent. This field is optional.
Keepalive Received	Boolean	TRUE or FALSE	This value indicates at least one keepalive has been received from the end device since the router has rebooted.
MAC Interface Index	Integer	0 – 31	This is an index into the MAC Interface Table indicating what interface the neighbor or child is bound to.
MacUnicastBytesTransmitted	Integer	0 – 4,294,967,296	The number of bytes transmitted via MAC unicast to the neighbor. This is an optional field.
MacUnicastBytesReceived	Integer	0 – 4,294,967,296	The number of bytes received via MAC unicasts from this neighbor. This is an optional field.
RouterAge	Integer	0x0000 - 0xffff	The number of nwkLinkStatusPeriod intervals, which elapsed since this router neighbor was added to the neighbor table. This value is only maintained on routers and the coordinator and is only valid for entries with a relationship of 'parent', 'sibling' or 'backbone mesh sibling'. This is a saturating up-counter, which does not roll-over.

Field Name	Field Type	Valid Range	Description
RouterConnectivity	Integer	0x00 - 0xb6	<p>An indicator for how well this router neighbor is connected to other routers in its vicinity. Higher numbers indicate better connectivity. This metric takes the number of mesh links and their incoming and outgoing costs into account.</p> <p>This value is only maintained on routers and the coordinator and is only valid for entries with a relationship of ‘parent’, ‘sibling’ or ‘backbone mesh sibling’.</p>
RouterNeighborSetDiversity	Integer	0x00 - 0xff	<p>An indicator for how different the sibling router’s set of neighbors is compared to the local router’s set of neighbors. Higher numbers indicate a higher degree of diversity.</p> <p>This value is only maintained on routers and the coordinator and is only valid for entries with a relationship of ‘parent’, ‘sibling’ or ‘backbone mesh sibling’.</p>
RouterOutboundActivity	Integer	0x00 - 0xff	<p>A saturating counter, which is pre-loaded with nwkRouterAgeLimit when this neighbor table entry is created; incremented whenever this neighbor is used as a next hop for a data packet; and decremented unconditionally once every nwkLinkStatusPeriod.</p> <p>This value is only maintained on routers and the coordinator and is only valid for entries with a relationship of ‘parent’, ‘sibling’ or ‘backbone mesh sibling’.</p>
RouterInboundActivity	Integer	0x00 - 0xff	<p>A saturating counter, which is pre-loaded with nwkRouterAgeLimit when this neighbor table entry is created; incremented whenever the local device is used by this neighbor as a next hop for a data packet; and decremented unconditionally once every nwkLinkStatusPeriod.</p> <p>This value is only maintained on routers and the coordinator and is only valid for entries with a relationship of ‘parent’, ‘sibling’ or ‘backbone mesh sibling’.</p>

Field Name	Field Type	Valid Range	Description
SecurityTimer	Integer	0 – 255	If the local device is joined to the network this is a countdown timer indicating how long an “unauthorized child” neighbor is allowed to be kept in the neighbor table. If the timer reaches zero the entry SHALL be deleted. If the local device is an unauthorized child and not fully joined to the network, this is a timer indicating how long it will maintain its parent before giving up the join or rejoin. If the timer reaches zero then the device SHALL leave the network.

### 3.6.1.8 Stochastic Address Assignment Mechanism

Network short addresses SHALL be chosen at random. The random address assigned SHALL conform to the NIST testing regimen described in reference [B10]. When a device joins the network using MAC association, its parent SHALL choose a random address that does not already appear in any entry in the parent’s NIB. Under stochastic addressing, once a device has been assigned an address, it has no reason to relinquish that address and SHOULD retain it unless it receives an indication that its address is in conflict with that of another device on the network. Furthermore, devices MAY self-assign random addresses under stochastic addressing and retain them, as in the case of joining a network using the rejoin command frame (see section 3.6.1.6.1.2). The Zigbee coordinator, which has no parent, SHALL always have the address 0x0000.

### 3.6.1.9 Installation and Addressing

ZigbeeUnder stochastic address assignment, *nwkMaxDepth* is related to the number of hops across the network, i.e. the maximum number of hops equals  $2 * \text{nwkMaxDepth}$ . This is not a controlled value in networks using stochastic address assignment.

### 3.6.1.10 Address Conflicts

An address conflict occurs when two devices in the same network have identical values for *nwkNetworkAddress*. Preventing all such conflicts, for example by using tree address assignment and prohibiting the reuse of assigned addresses, is not always practical. This section describes how address conflicts that do occur can be detected and corrected. Address conflict detection SHALL always be enabled.

Note that the network addresses used in routing messages are verified during the route discovery process. The *device\_annce* now is also used to verify addresses. The verification applies only to devices, links, and information present at the time of the discovery or *device\_annce*. Verification can be achieved at other times, such as before sending a unicast directly to a neighbor, by sending a network status command with a status code value of 0x0e, indicating address verification.

If a device receives a broadcast data frame and discovers an address conflict as a result of the receipt, as discussed below in section 3.6.1.10.2, it SHOULD NOT retransmit the frame as usual but SHALL discard it before taking the resolution actions described in section 3.6.1.10.3.

### 3.6.1.10.1 Obtaining Address Information

The NWK layer obtains address information from incoming messages, including both NWK commands and data messages. Address information from data messages is passed to the NWK layer by being added to the network address map table in the NIB.

8088 The ability to detect address conflicts is enhanced by adding one or both of the Destination IEEE Address and Source  
8089 IEEE Address fields to a message's NWK frame. All NWK command messages SHALL contain the source IEEE  
8090 address and also the destination IEEE address if it is known by the source device, unless explicitly stated otherwise.

8091 Route request commands SHALL include the sender's IEEE address in the Sender IEEE address field. This ensures  
8092 that devices are aware of their neighbors' IEEE addresses.

### 8093 3.6.1.10.2 Detecting Address Conflicts

8094 Routers and coordinators are responsible for detecting address conflicts before new devices join the network. When  
8095 answering requests to join a network at the network layer (for example, answering a Network Rejoin Request), parent  
8096 routers and coordinators SHALL ensure that new device addresses do not conflict within their local address map,  
8097 *nwkAddressMap*. This will not detect all possible conflicts in the network but is intended to resolve obvious conflicts  
8098 before the device starts using a conflicting address. If an address conflict is detected the process for resolving is  
8099 described in section 3.6.1.10.3.

8100 After joining a network or changing address due to a conflict, a ZDO device SHALL send either a device\_annc or  
8101 initiate a route discovery prior to sending messages. This allows all routers and the coordinator in the entire network  
8102 to detect conflicts by examining a message that contains both the short address and the long address.

8103 Upon receipt of a frame containing a 64-bit IEEE address in the NWK header, the contents of the *nwkAddressMap*  
8104 attribute of the NIB and neighbor table SHOULD be checked for consistency.

8105 If the destination address field of the NWK Header of the incoming frame is equal to the *nwkNetworkAddress* attribute  
8106 of the NIB then the NWK layer SHALL check the destination IEEE address field, if present and even if it is the  
8107 0xffffffffffffffffff address, against the value of *aExtendedAddress*. If the IEEE addresses are not identical then a local  
8108 address conflict has been detected on *nwkNetworkAddress*.

8109 If a neighbor table or address map entry is located in which the 64-bit address is the null IEEE address (0x00....00),  
8110 the 64-bit address in the table can be updated. However, if the 64-bit address is not the null IEEE address and does.  
8111 not correspond to the received 64-bit address, the device has detected a conflict elsewhere in the network.

8112 A new address map entry SHALL be added if all of the following are true:

- 8113 1. No address conflict was detected.
- 8114 2. No entry for neither the short nor long address exists.
- 8115 3. There is space in the *nwkAddressMap* table.

8116 When a broadcast frame is received that creates a new BTR, if the Source Address field in the NWK Header is equal  
8117 to the *nwkNetworkAddress* attribute of the NIB then a local address conflict has been detected on *nwkNetworkAddress*.  
8118 This SHALL only apply if the broadcast is received after *nwkNetworkBroadcastDeliveryTime* of being powered up  
8119 and operating on the network. If the device has been operating on the network for less than *nwkNetworkBroadcastDe-*  
8120 *liveryTime*, it SHALL NOT trigger an address conflict.

8121 Address conflicts are resolved as described in section 3.6.1.10.3.

### 8122 3.6.1.10.3 Resolving Address Conflicts before a Device Joins or Rejoins

8123 During the joining process, parent routers and coordinators are expected to resolve address conflicts locally when  
8124 receiving a join or rejoin request message. Routers and coordinators are expected to assign unique addresses that do  
8125 not conflict with their local address map. The mechanism for doing so will vary based on the attach mechanism used  
8126 by the device and is described below.

8127 It is important to note that network wide notification of address conflicts SHALL NOT be generated due to unen-  
8128 crypted messages. This includes the join and rejoining message exchanges. In other words, an unencrypted network  
8129 frame SHALL NOT generate a Network Status message.

8130 If the joining device uses MAC association request command, then the router or coordinator parent will assign a short  
8131 address by randomly generating an address and ensuring it does not conflict with its local address map, *nwkAddress-*  
8132 *Map*. It uses this value in the Short Address field in the payload of the MAC Association response command frame.

8133 If the device uses NWK Rejoin request command (secured or unsecured) it will use short MAC addressing at the  
8134 MAC layer and the network header will include both the short and long addresses. The router or coordinator parent  
8135 SHALL check the short address against its local address map, *nwkAddressMap*. If the device is not allowed to attach,  
8136 the parent router can simply respond back to the same short address with the appropriate status code, such as Pan at  
8137 Capacity. If the device is allowed to attach to the parent and no conflict is detected, then the parent SHALL allow the  
8138 use of that short address and return that same short address in the Network Address field in the payload of the Network  
8139 Rejoin Response command frame. If the device is allowed to attach but the parent has detected an address conflict,  
8140 the parent SHALL NOT allow use of that address. The parent SHALL randomly select a new, non-conflicting address  
8141 and return that field in the Network Address field in the payload of the Network Rejoin Response. The message will  
8142 still be addressed to the old short address at the MAC layer. The parent SHALL still allow the rejoin to succeed in  
8143 that case. The device SHALL change its address on receipt of the Network Rejoin Response before sending any more  
8144 messages on the network.

8145 If the device uses NWK Commissioning Request command (secured or unsecured), the procedure is different than  
8146 rejoin. This is due to the fact that the Network Commissioning request can contain TLV data that uses the short address  
8147 that the device wants to use. In the case of short address conflict with the Network Commissioning Request command,  
8148 the parent will reject the attempt and tell the device to retry. The procedure is described below.

8149 If the device is not allowed to attach, the parent router can simply respond back to the same short address with the  
8150 appropriate status code, such as Pan at Capacity.

8151 If the parent allows the device to attach with the Network Commissioning Request and the short address does not  
8152 conflict, then the parent SHALL allow the use of that short address and return that same short address in the Network  
8153 Address field in the payload of the Network Commissioning Response command frame.

8154 If the parent allows the device to attach with the Network Commissioning Request and the short address conflicts with  
8155 its local address map, *nwkAddressMap*, the parent SHALL NOT allow the use of that address. The parent SHALL  
8156 randomly select a new, non-conflicting address and return that field in the Network Address field in the payload of  
8157 the Network Commissioning Response. The message will still be addressed to the old short address at the MAC layer.  
8158 The parent SHALL NOT indicate SUCCESS in the status field of the Network Commissioning Response. In that case,  
8159 it SHALL indicate 0xF0 for the status, Address Conflict. The device is expected to retry the Network Commissioning  
8160 Request using that new address in all fields that reference a short address.

### 8161     3.6.1.10.4    **Trust Center Swap-out Special Consideration**

8162 A Trust Center swap-out is a rare occurrence that is detailed in section 4.7.4. During that event a device may be  
8163 rejoining directly to the coordinator that has short address 0x0000 but whose long address has changed unbeknownst  
8164 to the rejoining device. This may result in the short address of network messages being correct but the long address  
8165 being different than what is expected. As dictated previously, network wide address conflict notifications SHALL  
8166 NOT be generated due to unencrypted messages at the network layer.

8167 Devices SHALL accept **unencrypted** network layer messages that contain both long and short destination addresses  
8168 where only the short address matches the local *nwkNetworkAddress* NIB parameter. The processing of these messages  
8169 is limited based on the contents and the policies dictated in the specification.

8170 Devices SHALL generate address conflict notifications normally when receiving network **encrypted** messages as de-  
8171 scribed in section 3.6.1.10.2.

### 8172     3.6.1.10.5    **Resolving Address Conflicts during Normal Operation**

8173 If a Zigbee coordinator or Router determines that there are multiple users of an address that is not its own, it SHALL  
8174 inform the network by broadcasting a network status command with a status code of 0x0d indicating address conflict,  
8175 and with the offending address in the destination address field. The network status command SHALL be broadcast to  
8176 0xFFFFD, i.e. all devices with *macRxOnWhenIdle* = TRUE. The device SHALL delay initiation of this broadcast by a  
8177 random jitter amount bounded by *nwkMaxBroadcastJitter*. If during this delay a network status is received with the  
8178 identical payload, the device SHALL cancel its own broadcast.

8179 If the device has learned of the conflict other than receiving a network status command with a status of 0x0d, then it  
8180 SHALL inform the network by broadcasting a network status command with a status code of 0x0d indicating address  
8181 conflict, and with its previous address in the destination address field. The network status command SHALL be broad-  
8182 cast to 0xFFFFD—that is, all devices with *macRxOnWhenIdle*= TRUE. The device SHALL delay initiation of this

8183 broadcast by a random jitter amount bounded by nwkcMaxBroadcastJitter. If during this delay a network status is  
8184 received with the identical payload, the device SHALL cancel its own broadcast. Regardless of how it learned of the  
8185 conflict, it SHALL implement the procedure on detecting address conflicts detailed in section 3.6.1.10.2.

8186 End devices SHALL NOT resolve any conflicts. The conflicts for end devices would be resolved by their parent  
8187 device.

8188 A Zigbee Coordinator SHALL not change its address.

8189 If a parent device detects or is informed of a conflict with the address of an end device child, the parent SHALL pick  
8190 a new address for the end device child. If the end device is a non-sleepy then the router SHALL send immediately an  
8191 unsolicited rejoin response command frame to inform the end device child of the new address. If the end device is a  
8192 sleepy device it SHALL send the unsolicited rejoin response to inform the end device child of the new address upon  
8193 receiving the next keepalive message, and this message SHALL take precedence over any other network or application  
8194 layer message. The unsolicited rejoin response SHALL always use network encryption. To notify the next higher layer  
8195 of an address change the end device SHALL issue an NLME-NWK-STATUS.indication with status 'Network Address  
8196 Update' and the new network address as the value of the ShortAddr parameter.

8197 A Zigbee Router or End Device that changes its address SHALL do the following:

- 8198 1. Send a broadcast ZDO Device\_announce\_req with its new short address. The device SHALL jitter the message  
8199 by nwkcMaxBroadcastJitter.

### 8200 3.6.1.10.6 **Security Considerations for Rejoin Response and Network Commis-** 8201 **sioning Response**

8202 The Network Rejoin Response and the Network Commissioning Response can be sent with or without network secu-  
8203 rity depending on the usage as detailed in the specification. The end device needs to check the security of these mes-  
8204 sages only when the end device explicitly initiates a rejoin request or commissioning request is the response allowed  
8205 to be unencrypted.

8206 A Network Rejoin Response and the Network Commissioning Response SHALL only be accepted without network  
8207 encryption when the device has made a corresponding unencrypted Network Rejoin Request or a Network Commis-  
8208 sioning Request. Unencrypted, unsolicited Network Rejoin Response and the Network Commissioning Response  
8209 SHALL be dropped and no further processing SHALL be done.

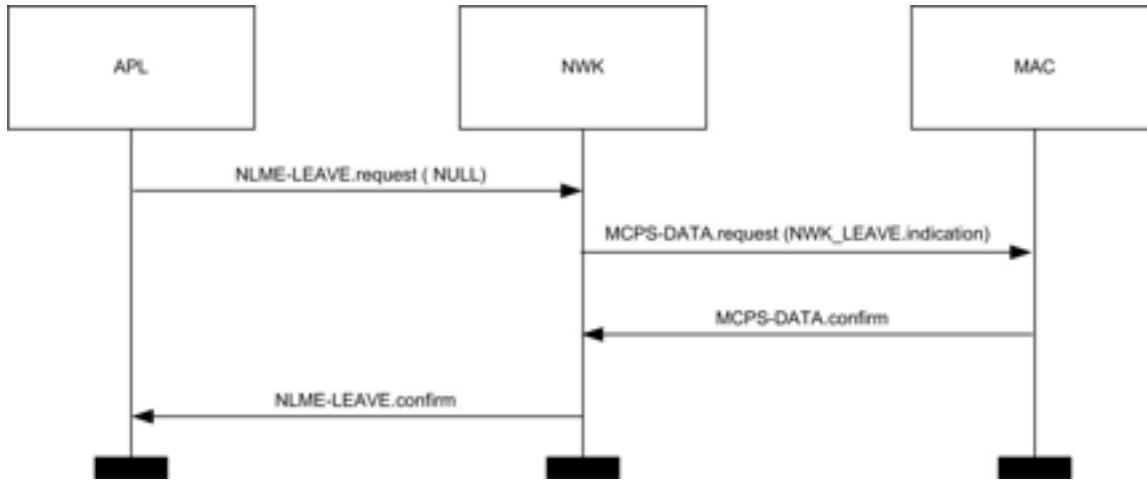
8210 For further clarity, the unsolicited Network Rejoin Response used when an address conflict is detected SHALL be  
8211 network encrypted. A device that receives an unsolicited and unencrypted network rejoin response or an unsolicited  
8212 and unencrypted network commissioning response SHALL drop the message and no further processing SHALL be  
8213 done.

### 8214 3.6.1.11 **Leaving a Network**

8215 This section specifies methods for a device to remove itself from the network and for the parent of a device to request  
8216 its removal. In both cases, the children of the removed device, if any, MAY also be removed.

#### 8217 3.6.1.11.1 **Method for a Device to Initiate Its Own Removal from the Network**

8218 This section describes how a device can initiate its own removal from the network in response to the receipt of an  
8219 NLME-LEAVE.request primitive from the next higher layer as shown in Figure 3-48.

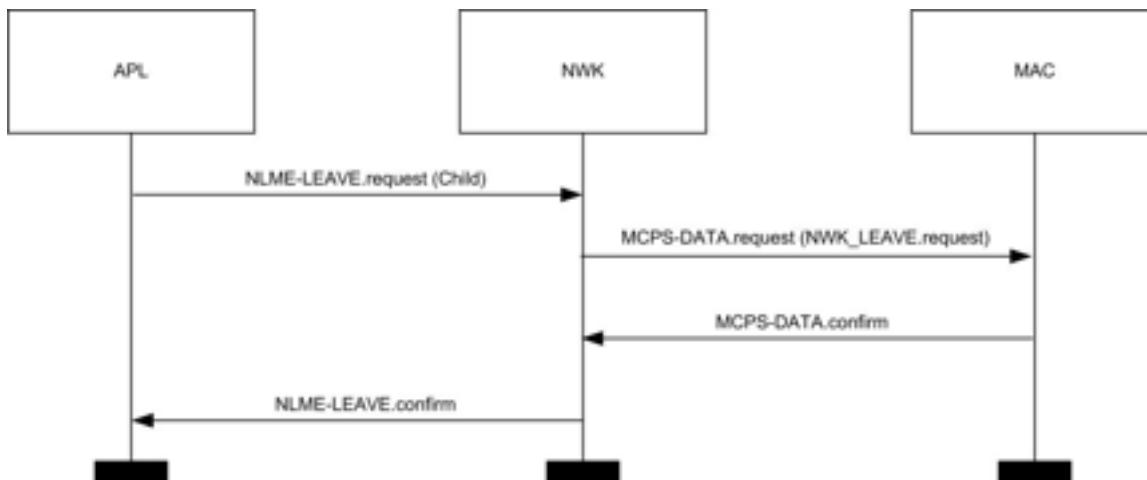
**Figure 3-48. Initiation of the Leave Procedure**

When the NWK layer of a Zigbee router or Zigbee coordinator, receives the NLME-LEAVE.request primitive with the DeviceAddress parameter equal to NULL or equal to the local device's IEEE address (indicating that the device is to remove itself) the device SHALL send a leave command frame using the MCPS-DATA.request primitive with the DstAddr parameter set to 0xffff indicating a MAC broadcast. The request sub-field of the command options field of the leave command frame SHALL be set to 0. The value of the remove children sub-field of the command options field of the leave command SHALL reflect the value of the RemoveChildren parameter of the NLME-LEAVE.request primitive, and the value of the Rejoin sub-field of the leave command SHALL reflect the value of the Rejoin parameter of the NLME-LEAVE.request primitive. After transmission of the leave command frame, it SHALL issue a NLME-LEAVE.confirm primitive to the higher layer with the DeviceAddress parameter equal to NULL. The Status parameter SHALL be SUCCESS if the leave command frame was transmitted successfully. Otherwise, the Status parameter of the NLME-LEAVE.confirm SHALL have the same value as the Status parameter returned by the MCPS-DATA.confirm primitive. Regardless of the Status parameter to the NLME-LEAVE.confirm, the device SHALL leave the network employing the procedure in 3.6.1.11.4.

If the device receiving the NLME-LEAVE.request primitive is a Zigbee end device, then the device SHALL send a leave command frame using the MCPS-DATA.request primitive with the DstAddr parameter set to the 16-bit network address of its parent device, indicating a MAC unicast. The request and remove children sub-fields of the command options field of the leave command frame SHALL be set to 0, and the rejoin flag in the command options SHALL be copied from the rejoin parameter of the NLME-LEAVE.request primitive. After transmission of the leave command frame, it SHALL set the *nwkExtendedPANId* attribute of the NIB to 0x0000000000000000 and issue a NLME-LEAVE.confirm primitive to the higher layer with the DeviceAddress parameter equal to NULL. The Status parameter SHALL be SUCCESS if the leave command frame was transmitted successfully. Otherwise, the Status parameter of the NLME-LEAVE.confirm SHALL have the same value as the Status parameter returned by the MCPS-DATA.confirm primitive. Regardless of the Status parameter to the NLME-LEAVE.confirm, the device SHALL leave the network employing the procedure in 3.6.1.11.4.

### **3.6.1.11.2 Method for a Device to Remove Its Child from the Network**

This section describes how a device can initiate the removal from the network of one of its child devices in response to the receipt of an NLME-LEAVE.request primitive from the next higher layer as shown in Figure 3-49.

**Figure 3-49. Procedure for a Device to Remove Its Child**

When the NWK layer of a Zigbee coordinator or Zigbee router, receives the NLME-LEAVE.request primitive with the DeviceAddress parameter equal to the 64-bit IEEE address of a child device, if the relationship field of the neighbor table entry corresponding to that child device does not have a value of 0x05 indicating that the child has not yet authenticated, the device SHALL send a network leave command frame using the MCPS-DATA.request primitive with the DstAddr parameter set to the 16-bit network address of that child device. The request sub-field of the command options field of the leave command frame SHALL have a value of 1, indicating a request to leave the network. The value of the remove children sub-field of the command options field of the leave command SHALL reflect the value of the RemoveChildren parameter of the NLME-LEAVE.request primitive, and the value of the Rejoin sub-field of the leave command SHALL reflect the value of the Rejoin parameter of the NLME-LEAVE.request primitive.

If the relationship field of the neighbor table entry corresponding to the device being removed has a value of 0x05, indicating that it is an unauthenticated child, the device SHALL NOT send a network leave command frame.

Next, the NWK layer SHALL issue the NLME-LEAVE.confirm primitive with the DeviceAddress parameter set to the 64-bit IEEE address of the child device being removed. The Status parameter of the NLME-LEAVE.confirm primitive SHALL have a value of SUCCESS if the leave command frame was not transmitted, *i.e.* in the case of an unauthenticated child. Otherwise, the Status parameter of the NLME-LEAVE.confirm SHALL have the same value as the Status parameter returned by the MCPS-DATA.confirm primitive.

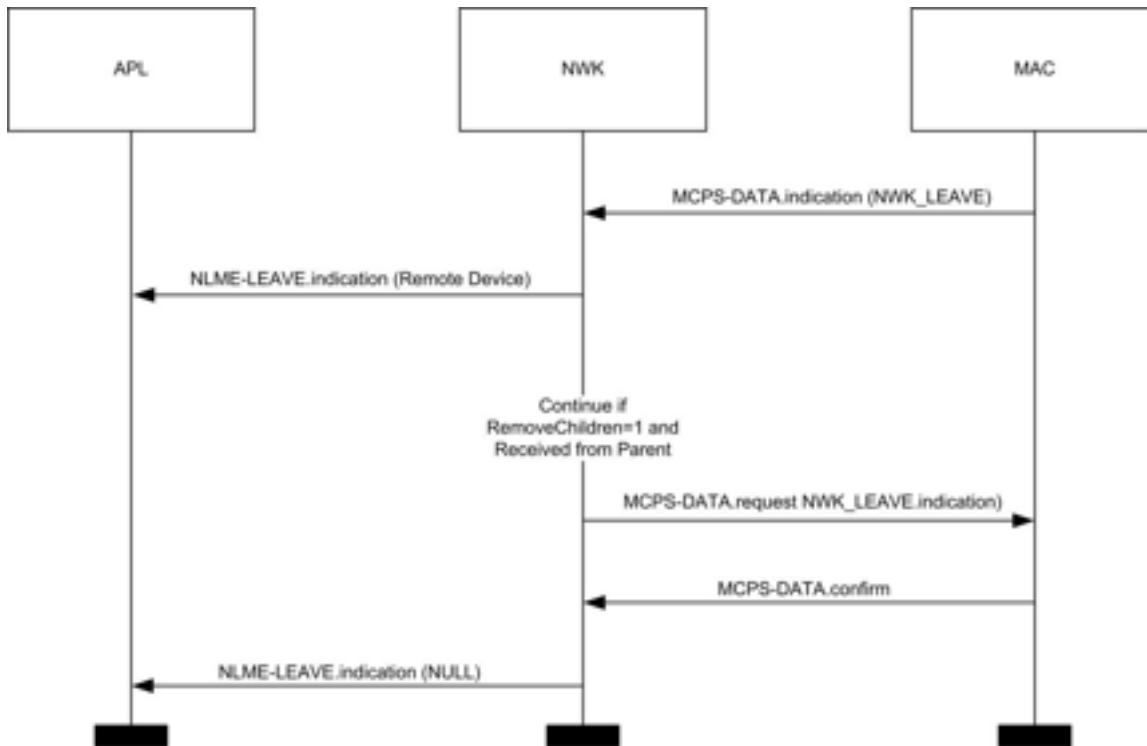
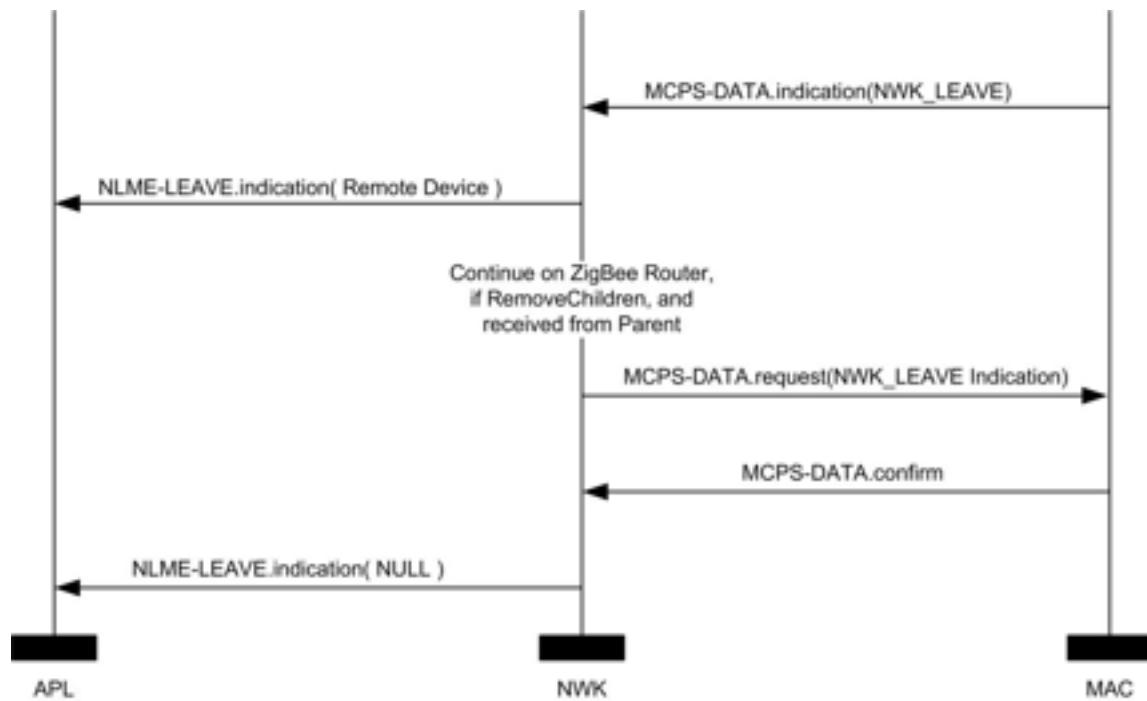
After the child device has been removed, the NWK layer of the parent SHOULD modify its neighbor table, and any other internal data structures that refer to the child device, to indicate that the device is no longer on the network. It is an error for the next higher layer to address and transmit frames to a child device after that device has been removed.

If an unauthenticated child device is removed from the network before it is authenticated, then the address formerly in use by the device being asked to leave MAY be assigned to another device that joins subsequently.

Zigbee end devices have no child devices to remove and SHOULD NOT receive NLME-LEAVE.request primitives with non-NULL DeviceAddress parameters.

### **3.6.1.11.3 Upon Receipt of the Leave Command Frame**

Upon receipt of the leave command frame by the NWK layer via the MCPS-DATA.indication primitive, as shown in Figure 3-50, the device SHALL check the value of the request sub-field of the command options field of the command frame. If the request sub-field has a value of 0, then the NWK layer SHALL issue the NLME-LEAVE.indication primitive to the next higher layer with the device address parameter equal to the value in the source IEEE Address sub-field of the leave command frame and the rejoin parameter equal to the value in the Rejoin sub-field of the leave command frame. The device SHOULD also modify its neighbor table, to indicate that the leaving device is no longer a neighbor, regardless of the value of the rejoin flag in the primitive.

**Figure 3-50. On Receipt of a Leave Command****Figure 3-51. On Receipt of a Leave Command by a ZED**

If, on receipt by the NWK layer of a Zigbee router of a leave command frame as described above, the SrcAddr parameter of the MCPS-DATA.indication that delivered the command frame is the 16-bit network address of the parent of the recipient, and the value of the remove children sub-field of the command options field is found to have a value of 1, then the recipient SHALL send a leave command frame using the MCPS-DATA.request primitive with the

8291 DstAddr parameter set to 0xffff indicating a MAC broadcast. The request sub-field of the command options field of  
8292 the leave command frame shall be set to 0.

8293 The value of the remove children sub-field and the rejoin sub-field of the command options field of the outgoing leave  
8294 command SHALL reflect the value of the same field for the incoming leave command frame. After transmission of  
8295 the leave command frame, it SHALL set the *nwkExtendedPANId* attribute of the NIB to 0x0000000000000000 and it  
8296 SHALL issue a NLME-LEAVE.indication primitive to the higher layer with DeviceAddress parameter equal to  
8297 NULL.

8298 If the request sub-field has a value of 1 then the procedure in section 3.6.1.11.3.1 shall be executed.

### 8299 3.6.1.11.3.1   **Validation of the Leave Request**

8300 The following procedure applies to processing of the NWK Leave (request) command frame and the ZDO  
8301 Mgmt\_leave\_req.

8302 1. If the device is a Zigbee Coordinator or if the message was sent to a broadcast address, the message SHALL be  
8303 dropped and no further processing SHALL be done.

8304 2. If the device is Zigbee Router, the following SHALL be performed:

8305   a. The device SHALL NOT consider the Relationship field within the nwkNeighborTable entry corresponding  
8306 to the sending device.

8307   b. If the nwkLeaveRequestAllowed in the NIB is TRUE, the device SHALL perform the procedure described  
8308 in 3.6.1.11.1. No further processing SHALL be done.

8309   c. Otherwise if nwkLeaveRequestAllowed in the NIB is FALSE, no further processing SHALL be done.

8310 3. If the device is a Zigbee End Device, the following SHALL be performed:

8311   a. Examine the nwkNeighborTable for an entry where the Network Address is the same as the SrcAddr param-  
8312 eter of the MCPS-DATA.indication primitive that delivered the NWK command.

8313     i. If no entry is found, then no further processing SHALL be done.

8314     b. If the corresponding entry in the nwkNeighborTable has a Relationship value that is not 0x00 (neighbor is  
8315 the parent), then no further processing SHALL be done.

8316     c. The sending device is the parent of the receiving device, the receiving device shall perform the procedure  
8317 described in 3.6.1.11.1, with the following exception.: it SHOULD not send a leave command frame using  
8318 the MCPS-DATA.request primitive, and otherwise continue as if it had sent the leave command frame suc-  
8319 cessfully.<sup>4</sup> No further processing SHALL be done.

8320 4. No further processing SHALL be done.

8321 If a Zigbee end device receives a leave command frame as described above and the SrcAddr parameter of the MCPS-  
8322 DATA.indication that delivered the command frame is the 16-bit network address of the parent of the recipient, it  
8323 SHALL set the *nwkExtendedPANId* attribute of the NIB to 0x0000000000000000 and SHALL issue a NLME-  
8324 LEAVE.indication primitive to the higher layer with DeviceAddress parameter equal to NULL.

8325 The NWK layer MAY employ retry techniques, as described in section 3.2.1.1.3 to enhance the reliability of the leave  
8326 procedure but, beyond this note, these mechanisms are outside the scope of this specification.

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<sup>4</sup> CCB 3322

### 3.6.1.11.4 Local Process for Leaving the Network

Upon receipt of a NLME-LEAVE.request primitive or the NWK layer leave command, the following SHALL be employed.

1. If the Rejoin value is set to 1 in either the NLME-LEAVE.request primitive or the NWK Leave command, it SHALL do the following.
  - a. The device MAY execute the rejoin procedure by issuing an NLME-JOIN.request with the RejoinNetwork set to 1.
  - b. No further processing SHALL be done.
2. If the Rejoin value is set to 0, it SHALL clear the following values in the NIB:
  - a. nwkNeighborTable
  - b. nwkRouteTable
  - c. nwkManagerAddr
  - d. nwkUpdateId
  - e. nwkNetworkAddress
  - f. nwkExtendedPANID
  - g. nwkRouteRecordTable
  - h. nwkIsConcentrator
  - i. nwkConcentratorRadius
  - j. nwkSecurityMaterialSet
  - k. nwkActiveKeySeqNumber
  - l. nwkAddressMap
  - m. nwkPANID
  - n. nwkTxTotal
  - o. nwkParentInformation
3. The device is no longer operating on the network.

### 3.6.1.12 Resetting a Device

The NWK layer of a device shall be reset immediately following initial power-up, before a join attempt to a new network and after a leave attempt where the device is not intending to rejoin the network. This process SHOULD NOT be initiated at any other time. A reset is initiated by issuing the NLME-RESET.request primitive to the NLME and the status of the attempt is communicated back via the NLME-RESET.confirm primitive. The reset process SHALL clear the routing table entries of the device.

Some devices MAY store NWK layer quantities in non-volatile memory and restore them after a reset. The WarmStart parameter of the NLME-RESET.request primitive MAY also be used for this purpose. A device SHALL use the same address on rejoining a network and therefore SHOULD NOT discard its address on reset unless it does not intend to rejoin the same network.

### 3.6.1.13 Managing a PANId Conflict

Since the 16-bit PANID is not a unique number there is a possibility of a PANId conflict. The next section explains how — through the use of the Network Report and Network Update command frames — the PANId of a network can be updated.

8366 **3.6.1.13.1 Detecting a PANId Conflict**

8367 Any device that is operational on a network and receives an MLME-BEACON-NOTIFY.indication in which the PAN  
8368 identifier of the beacon frame matches its own PAN identifier but the EPID value contained in the beacon payload is  
8369 either not present or not equal to *nwkExtendedPANID*, SHALL be considered to have detected a PAN Identifier  
8370 conflict.

8371 The device SHALL increment the *nwkPanIdConflictsCount* NIB value. If it is already at the maximum value for the  
8372 NIB value, then it SHALL NOT be incremented and stay at the maximum value.

8373 A node that has detected a PAN identifier conflict SHALL NOT send an unsolicited Network Report Command frame  
8374 of type PAN Identifier Conflict. Versions of the specification prior to Revision 23 required devices to report conflicts  
8375 unsolicited to the device identified by the *nwkManagerAddr* attribute in their NIB. Therefore, it is possible that older  
8376 devices will still generate these messages.

8377 **3.6.1.13.2 Upon Receipt of a Network Report Command Frame**

8378 The device identified by the 16-bit network address contained within the *nwkManagerAddr* attribute of the NIB  
8379 SHALL be the recipient of network report command frames of type PAN identifier conflict.

8380 The Network Manager SHALL notify the local application by generating an NLME-NETWORK-STATUS.indication  
8381 with a status of 0x14, PAN ID Conflict Report, and the NetworkAddr equal to the device that sent the report.

8382 The Network Manager SHOULD NOT automatically change the PAN ID of the network due to unsolicited PAN ID  
8383 conflicts reported by devices in the network. PAN ID conflicts may be triggered by a malicious device that is not part  
8384 of the network. A Network Manager SHOULD utilize other metrics from the higher layer to determine whether a PAN  
8385 ID conflict is causing application connectivity problems. Changing a network's PAN ID SHOULD be done rarely as  
8386 it will be very disruptive to network communications, especially to sleepy end devices that will not receive the notifi-  
8387 cation of the PAN ID change.

8388 If the vendor specific configurable mechanism is set to disallow automatic resolution of PAN ID conflict, the design-  
8389 nated network layer function manager SHALL NOT unconditionally select a new 16-bit identifier for the network and  
8390 SHALL NOT change to the new PAN ID immediately. The decision to change PAN IDs in this case should be based  
8391 on other factors outside the scope of the stack behavior and related to the application performance.

8392 If the designated network manager decides to resolve an actual PAN identifier conflict, it SHALL proceed as follows.<sup>5</sup>  
8393 The new PAN identifier is chosen at random, but a check is performed to ensure that the chosen PAN identifier is not  
8394 already in use in the local neighborhood and also not contained within the Report Information field of the network  
8395 report command frame.

8396 **3.6.1.13.3 Changing the PAN ID of the Network**

8397 If the higher layer has determined that a PAN ID change is warranted, it MAY stage the PAN ID change by sending  
8398 unicast ZDO Security\_Set\_Configuration\_req to all the devices and notify the local Network Manager by setting  
8399 the *nwkNextPanId* value of the NIB. Regardless of whether the next PAN ID has been staged or not, this procedure  
8400 SHALL be followed:

- 8401 1. If the local *nwkNextPanId* NIB value is not 0xFFFF then network manager SHALL use the value of *nwkNext-*  
8402 *PanId*, otherwise it SHALL choose a random PAN ID that is not in use.
- 8403 2. Once a new PAN identifier has been selected, the designated network layer function manager SHALL first in-  
8404 crement the NIB attribute *nwkUpdateId* (wrapping around to 0 if necessary) and then SHALL construct a net-  
8405 work update command frame of type PAN identifier update. The update information field shall be set to the

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<sup>5</sup> CCB 2713

- 8406 value of the new PAN identifier. The network update command frame shall be sent to all devices broadcast ad-  
8407 dress (0xFFFF).
- 8408 3. After it sends out this command frame, the designated network layer function manager SHALL start a timer  
8409 with a value equal to *nwkNetworkBroadcastDeliveryTime* OctetDurations. When the timer expires, the Zigbee  
8410 coordinator SHALL change its current PAN ID to the newly selected one by reissuing the MLME-START.re-  
8411 quest with the new PANID.
- 8412 4. Upon transmission of the Network Update command frame the designated network layer function manager  
8413 SHALL create a NLME-NWK-STATUS.indication primitive with the NetworkAddr parameter set to 0 and the  
8414 Status parameter set to PAN Identifier Update.

#### 8415 3.6.1.13.4 **Upon Receipt of a Network Update Command Frame**

8416 On receipt of a network update command frame of type PAN identifier update the device SHALL first determine  
8417 whether a PAN ID update is allowed. This provides a mechanism for the network manager to stage the PAN ID update  
8418 and provide security for the update.

8419 The receiver of the Network Update Command SHALL check the *nwkNextPanId* value of the NIB to see if it has a  
8420 value of 0xFFFF or a value corresponding to the PAN Identifier Update field in the Network Update command. If  
8421 neither is TRUE, the Network Update command SHALL be dropped and no further processing SHALL be done.

8422 It SHALL then start a timer with a value equal to *nwkNetworkBroadcastDeliveryTime* OctetDurations. When the timer  
8423 expires, the device SHALL change its current PAN Identifier to the value contained within the Update Information  
8424 field.

8425 Upon transmission of the network update command frame the device SHALL create a NLME-NWK-STATUS.indi-  
8426 cation primitive with the NetworkAddr parameter set to 0 and the Status parameter set to PAN Identifier Update.

8427 Upon receipt of the Network Update command from the device identified by the *nwkManagerAddr* attribute of the  
8428 NIB, the value contained in the update id field SHALL be stored in *nwkUpdateId* attribute in the NIB. The beacon  
8429 payload SHALL also be updated.

#### 8430 3.6.1.14 **Security for Changes to the PAN ID or Channel**

8431 In earlier versions of this specification a change to the PAN ID or channel change can be made with a single broadcast  
8432 command. The mechanism for changing the PAN ID was to broadcast a NWK Update Command, while the mecha-  
8433 nism for changing the channel is to broadcast a ZDO Mgmt\_NWK\_Update\_Req with the new channel and the Scan-  
8434 Duration set to 0xFE.

8435 Since these commands are broadcast there is only NWK layer security and thus any device on the network can make  
8436 this change. A change to the channel or PAN ID is extremely disruptive and as such additional protections have been  
8437 added in Revision 23 of this specification to allow the Trust Center, acting as the Network Manager, to restrict channel  
8438 and PAN ID changes.

8439 The effect of a single broadcast mechanism to change channels or the PAN ID is restricted by a new security mecha-  
8440 nism that the Trust Center MAY make use of. All devices implementing Revision 23 SHALL support the new secure  
8441 mechanism. Devices implementing earlier versions of the specification will only support the broadcast mecha-  
8442 nism. The new mechanism complements the old mechanism allowing channel and PAN ID changes to work for a  
8443 network of devices with mixed versions.

8444 The mechanism for securely changing PAN IDs and channels is similar to the process of changing the network key.  
8445 If the Trust Center chooses to use the mechanism it will pre-announce the change via a unicast to each device. It will  
8446 do this with a ZDO Security\_Set\_Configuration\_req containing the Next PAN ID Global TLV and or the Next Chan-  
8447 nel Change Global TLV. Devices will set their local NIB with *nwkNextPanId* and or *nwkNextChannelChange* respec-  
8448 tively. These messages will be APS encrypted with each device specific link key.

8449 The Trust Center SHOULD send the change to all routers in the network and MAY optionally send to end devices,  
8450 but their long sleep cycles can make this too difficult to do so.

8451 Revision 23 devices will report their successful acceptance of the next PAN ID or channel via a SUCCESS in the  
8452 ZDO Security\_Set\_Configuration\_rsp. Devices implementing early specifications will respond with a status of UN-  
8453 SUPPORTED.

8454 When the Trust Center, acting as Network Manager, wants to change the PAN ID or Channel it will broadcast the  
8455 corresponding NWK Update Command or ZDO Mgmt\_NWK\_Update\_Req. It can do this with networks of devices  
8456 that implement Revision 23 or later, or devices that implement the earlier specifications.

8457 Those devices that do not support Revision 23 or later will receive the broadcast and process it as specified in previous  
8458 specifications. Those devices take the broadcast both as the notification of what channel or PAN ID to change to, and  
8459 the command to switch now.

8460 Devices supporting Revision 23 or later will:

- 8461 a. If nwkNextPanId is 0xFFFF they will change their PAN ID and SHALL NOT update nwkNextPanId.
- 8462 b. If nwkNextPanId is not 0xFFFF (i.e. has been reset by a Security\_set\_Configuration\_req) and this matches the  
8463 broadcast NWK Update Command the device SHALL update both the active PAN ID and the nwkNextPanId  
8464 NIB value to be the new matching value.
- 8465 c. Otherwise they shall ignore the broadcast NWK Update Command.

8466 It is important to note that after the channel or PAN ID change, the nwkNextPanId and nwkNextChannelChange NIB  
8467 values SHALL NOT be modified. They will remain at the same value as their current value. If value is a valid PAN  
8468 ID or channel this will protect the network from further PAN ID or channel changes via broadcast messages. A Trust  
8469 Center can make additional changes to the PAN ID or channel change via the same unicast mechanism as described  
8470 above.

8471 A Trust Center that wishes to make use of this functionality after forming the network SHOULD send a ZDO Secu-  
8472 rity\_Set\_Configuration\_req to each newly joined device with the current PAN and channel. This will replace the  
8473 default value of 0xFFFF for nwkNextPanId and prevent any changes to the PAN or channel before the first time the  
8474 Trust Center decides to make any change.

8475 Lastly, it is always possible a device could have missed a legitimate change to the PAN ID or channel. For example,  
8476 it could have been switched off when that occurred. As a result if the application initiates a rejoin via the NLME-  
8477 JOIN.req primitive, the device SHALL accept a change to the PAN ID or channel upon successfully finding and  
8478 rejoining the network and correctly passing security checks (e.g. an APS Transport Key encrypted with its current link  
8479 key). If a device has rejoined and the channel or PAN ID has changed it does the following:

- 8480 1. If the nwkNextPanId is not 0xFFFF, it SHALL be updated to match the current PAN ID after rejoining.
- 8481 2. If the nwkNextChannelChange is not 0, it SHALL be updated to match the current channel after rejoining

### 8482 **3.6.1.15 Polling Considerations for Sleepy End Devices**

8483 During attaching RxOnWhenIdle=FALSE devices SHALL continually poll at a rate of 250 ms or less. This includes  
8484 MAC Association, NWK Rejoin, NWK Commissioning, and any security commands that are being transmitted as  
8485 part of authentication. The higher layer will time out the attach operation upon failure to receive the network key or  
8486 failure to receive the next message in the negotiation.

## 8487 **3.6.2 Transmission and Reception**

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### 8488 **3.6.2.1 Transmission**

8489 Only those devices that are currently associated SHALL send data frames from the NWK layer. If a device that is not  
8490 associated receives a request to transmit a frame, it SHALL discard the frame and notify the higher layer of the error  
8491 by issuing an NLDE-DATA.confirm primitive with a status of INV\_REQUESTTYPE.

8492 All frames handled by or generated within the NWK layer SHALL be constructed according to the general frame  
8493 format specified in Figure 3-4 and transmitted using the MAC sub-layer data service.

8494 For data frames originating at a higher layer, the value of the source address field MAY be supplied using the Source  
8495 address parameter of the NLDE-DATA.request primitive. If a value is not supplied or when the NWK layer needs to  
8496 construct a new NWK layer command frame, then the source address field SHALL be set to the value of the *mac-ShortAddress*  
8497 attribute in the MAC PIB. Support of this parameter in the NLDE-DATA.request primitive is required if GP feature is to be supported by the implementation.  
8498

8499 In addition to source address and destination address fields, all NWK layer transmissions SHALL include a radius  
8500 field and a sequence number field. For data frames originating at a higher layer, the value of the radius field MAY be  
8501 supplied using the Radius parameter of the NLDE-DATA.request primitive. If a value is not supplied, then the radius  
8502 field of the NWK header SHALL be set to twice the value of the *nwkMaxDepth* attribute of the NIB (see Constants  
8503 and NIB Attributes). For data frames originating at a higher layer, the value of the sequence number field MAY be  
8504 supplied using the Sequence number parameter of the NLDE-DATA.request primitive. If a value is not supplied or  
8505 when the NWK layer needs to construct a new NWK layer command frame, then the NWK layer SHALL supply the  
8506 value. Support of this parameter in the NLDE-DATA.request primitive is required if GP feature is to be supported by  
8507 the implementation. The NWK layer on every device SHALL maintain a sequence number that is initialized with a  
8508 random value. The sequence number SHALL be incremented by 1, each time the NWK layer supplies a new sequence  
8509 number value for a NWK frame. The value of the sequence number SHALL be inserted into the sequence number  
8510 field of the frame's NWK header.

8511 Once an NPDU is complete, if security is required for the frame, it SHALL be passed to the security service provider  
8512 for subsequent processing according to the specified security suite (see section 4.2.2). Security processing is not re-  
8513 quired if the SecurityEnable parameter of the NLDE-DATA.request is equal to FALSE. If the NWK security level as  
8514 specified in *nwkSecurityLevel* is equal to 0, then the security sub-field of the frame control field SHALL always be  
8515 set to 0.

8516 On successful completion of the secure processing, the security suite returns the frame to the NWK layer for trans-  
8517 mission. The processed frame will have the correct auxiliary header attached. If security processing of the frame fails  
8518 and the frame was a data frame, the frame will inform the higher layer of the NLDE-DATA.confirm primitive's status.  
8519 If security processing of the frame fails and the frame is a network command frame, it is discarded and no further  
8520 processing SHALL be done.

8521 When the frame is constructed and ready for transmission, it SHALL be passed to the MAC data service. An NPDU  
8522 transmission is initiated by issuing the MCPS-DATA.request primitive to the MAC sub-layer. The MCPS-DATA.con-  
8523 firm primitive then returns the results of the transmission.

### 8524 **3.6.2.2 Reception and Rejection**

8525 In order to receive data, a device SHALL enable its receiver. The next higher layer MAY initiate reception using the  
8526 NLME-SYNC.request primitive. On a beacon-enabled network, receipt of this primitive by the NWK layer SHALL  
8527 cause a device to synchronize with its parent's next beacon and, optionally, to track future beacons. The NWK layer  
8528 SHALL accomplish this by issuing an MLME-SYNC.request to the MAC sub-layer. On a non-beacon-enabled net-  
8529 work, the NLME-SYNC.request SHALL cause the NWK layer of a device with *macRxOnWhenIdle* set to FALSE to  
8530 poll the device's parent using the MLME-POLL.request primitive.

8531 On a non-beacon-enabled network, the NWK layer on a Zigbee coordinator or Zigbee router SHALL ensure, to the  
8532 maximum extent feasible, that the receiver is enabled whenever the device is not transmitting. On a beacon-enabled  
8533 network, the NWK layer SHOULD ensure that the receiver is enabled when the device is not transmitting during the  
8534 active period of its own superframe and of its parent's superframe. The NWK layer MAY use the *macRxOnWhenIdle*  
8535 attribute of the MAC PIB for this purpose.

8536 Once the receiver is enabled, the NWK layer will begin to receive frames via the MAC data service. On receipt of  
8537 each frame, the radius field of the NWK header SHALL be decremented by 1. If, as a result of being decremented,  
8538 this value falls to 0, the frame SHALL NOT, under any circumstances, be retransmitted. It MAY, however, be passed  
8539 to the next higher layer or otherwise processed by the NWK layer as outlined elsewhere in this specification.

8540 The NWK layer SHALL accept non-incremental NWK-level values in the Sequence number field of the Zigbee Net-  
8541 work header for consecutive packets with the same value of the Source address field of the Zigbee Network header.

8542 On receipt of a frame with the End Device Initiator sub-field of the frame control set to 1, the following processing  
8543 SHALL take place.

- 8544    1. If the receiving device is an end device the message SHALL be dropped and no further processing SHALL be  
8545    done.
  - 8546    2. The receiving device SHALL search the neighbor table for an entry where the value of the Network Address  
8547    matches the value of the Source Address field of the message, and the device type is 0x02 (end device).
    - 8548    a. If an entry is found continue processing the frame normally.
    - 8549    b. If no entry is found then the message SHALL be dropped and no further processing SHALL be done.
      - 8550    i. The routing device SHALL issue either a Mgmt\_Leave\_req or NWK Leave command to the sender with  
8551    the Rejoin parameter set to 1 and the RemoveChildren parameter set to 0. This message SHALL be sent  
8552    via the MCPS-DATA.request with the IndirectTx parameter set to FALSE.
  - 8553    1. Additionally, the receiving device may submit a second copy of the message to the MAC using  
8554    MCPS-DATA.request with the IndirectTx set to TRUE in the case the device is a sleepy end device.<sup>6</sup>
- 8555    The following data frames SHALL be passed to the next higher layer using the NLDE-DATA.indication primitive:
- 8556    Frames with a broadcast address that matches a broadcast group of which the device is a member.
- 8557    Unicast data frames and source-addressed data frames for which the destination address matches the device's network  
8558    address.
- 8559    If the receiving device is a Zigbee coordinator or an operating Zigbee router, that is, a router that has already invoked  
8560    the NLME-START-ROUTER.request primitive, it SHALL process data frames as follows:
- 8561    Messages SHALL be verified to determine if an end device has switched router parents. This is outlined in section  
8562    3.6.2.3.
- 8563    Broadcast data frames SHALL be relayed according to the procedures outlined in section 3.6.5.
- 8564    Unicast data frames with a destination address that does not match the device's network address SHALL be relayed  
8565    according to the procedures outlined in section . (Under all other circumstances, unicast data frames SHALL be dis-  
8566    carded immediately.)
- 8567    Source-routed data frames with a destination address that does not match the device's network address SHALL be  
8568    relayed according to the procedures outlined in section 3.6.4.3.2.
- 8569    The procedure for handling route request command frames is outlined in section 3.6.4.5.2.
- 8570    The procedure for handling route reply command frames for which the destination address matches the device's net-  
8571    work address is outlined in section 3.6.4.5.2.
- 8572    Route reply command frames for which the destination address does not match the device's network address SHALL  
8573    be discarded immediately. Network status command frames SHALL be handled in the same manner as data frames.
- 8574    The NWK layer SHALL indicate the receipt of a data frame to the next higher layer using the NLDE-DATA.indication  
8575    primitive.
- 8576    On receipt of a frame, the NLDE SHALL check the value of the security sub-field of the frame control field. If this  
8577    value is non-zero, the NLDE SHALL pass the frame to the security service provider (see section 4.2.2) for subsequent  
8578    processing according to the specified security suite. If the security sub-field is set to 0, the *nwkSecurityLevel* attribute  
8579    in the NIB is non-zero, the device is currently joined and authenticated, and the incoming frame is a NWK data frame,  
8580    the NLDE SHALL discard the frame. If the security sub-field is set to 0, the *nwkSecurityLevel* attribute in the NIB is  
8581    non-zero, and the incoming frame is a NWK command frame and the command ID is 0x06 (rejoin request), the NLDE

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<sup>6</sup> CCB 2255

8582 SHALL only accept the frame if it is destined to itself, that is, if it does not need to be forwarded to another device.  
8583 Otherwise the frame SHALL be dropped and no further processing SHALL be done.

8584 If the device is not joined and authenticated, or undergoing the Trust Center Rejoin process, it SHALL perform the  
8585 following checks. If the frame is a NWK command where the security sub-field of the frame is set to zero then it  
8586 SHALL only accept the frame if the command ID is 0x07 (rejoin response). If the frame is a NWK data frame where  
8587 the security sub-field is set to 0, the device SHALL further examine the APDU and determine if it contains an APS  
8588 command ID of 0x05 (Transport Key). If the message does not contain an APS Command of 0x05 (Transport Key),  
8589 then the message SHALL be dropped and no further processing SHALL be done. All other messages where the secu-  
8590 rity sub-field is set to 0 SHALL be dropped and no further processing SHALL be done.

### 8591 **3.6.2.3 Examination for End Devices that have changed Router Parents**

8592 A router and coordinator upon receipt of a NWK command or data message SHALL perform the following:

- 8593 1. Search the neighbor table for an entry where the Network Address matches the value of the NWK Source field in  
8594 the message. If no match is found then go to step 6.
- 8595 2. Examine if the Device Type of the entry corresponds to a Zigbee End Device. If it does not, go to step 6.
- 8596 3. Examine if the MAC source field of the message matches the NWK source field. If it does go to step 6.
- 8597 4. If the message is a broadcast, examine if an entry exists in nwkBroadcastTransactionTable, if it does then go to  
8598 step 6. If the message is a unicast, continue processing.
- 8599 5. At this point this could mean the message has been relayed by another device on the network acting as the end  
8600 device's router parent; set the Relationship field of the corresponding neighbor table entry to 0x07, neighbor is a  
8601 lost child..
- 8602 6. Continue to process the message.

8603 Routers and Coordinators need to allow for sufficient time (as an example 1 second) for the APS layer to process the  
8604 message and examine whether the stack has an address conflict, such as is done for ZDO Device\_ance. Once the  
8605 APS layer has processed the message the NLME can delete all end devices from the neighbor table that changed to a  
8606 different parent as indicated in the neighbor table when the Relationship field has a value of 0x07 (neighbor is a lost  
8607 child). The NLME SHALL evaluate the neighbor table after the APS layer has processed the message to ascertain if  
8608 the Relationship field has a value of 0x07, neighbor is a lost child. If the field is still 0x07 then the neighbor table  
8609 entry SHALL be deleted. If the relationship indicates 0x08, neighbor is a child with address conflict, then an address  
8610 conflict has been detected by the higher layer and SHALL follow the procedure in section 3.6.1.10.3 to resolve the  
8611 address conflict.

### 8612 **3.6.3 Link Quality Indicator in Neighbor Table Entries**

8613 For all intents and purposes, when link quality is of relevance, e.g. for routing decisions, election of parents, etc. the  
8614 network layer SHALL apply the Link Quality Assessment (LQA) metric. Filtering SHOULD be applied to raw LQA  
8615 values (specified in section D.13) for links, which correspond to neighbors maintained in the neighbor table in order  
8616 to mitigate the effect of singular outliers in raw LQA assessments, e.g., due to short, transitional radio interference.  
8617 Such filtering is currently only recommended for neighbor table entries due to associated per-link memory require-  
8618 ments.

8619 The final LQA value, based on a series of the  $n$  most recent raw LQA measurements, SHOULD be determined as  
8620 follows:

$$8621 \quad LQA = \text{med}\{LQA_{raw,1}, LQA_{raw,2}, \dots, LQA_{raw,n}\}$$

8622 where  $LQA_{raw,i}, i = 1 \dots n$ , are the  $n$  most recent raw LQA samples; and  $\text{med}(A)$  is the median value of set  $A$ , i.e. the  
8623 “middle” value (as opposed to the average value). Only valid LQA measurements SHALL be taken into account,  
8624 which might be less than  $n$ . For instance, shortly after a parent router reboots the LQA values for its child devices are  
8625 undefined until it receives the first data frames from these devices. Similarly, when a new neighbor table entry is  
8626 created for a sibling router, there is only one raw LQA measurement available, which is also the resulting final LQA  
8627 value.

8628 The number of the most recent samples taken into consideration SHOULD be  $n = 3$ , which eliminates single outliers,  
 8629 maintains a fast response to real changes in link quality, and keeps memory requirements to a minimum.

## 8630 **3.6.4 Routing**

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8631 Zigbee coordinators and routers SHALL provide the following functionality:

- 8632 • Relay data frames on behalf of higher layers.
- 8633 • Relay data frames on behalf of other Zigbee routers.
- 8634 • Participate in route discovery in order to establish routes for subsequent data frames.
- 8635 • Participate in route discovery on behalf of end devices.
- 8636 • Participate in route repair.
- 8637 • Employ the Zigbee path cost metric as specified in route discovery.

8638 Zigbee coordinators or routers MAY provide the following functionality:

- 8639 • Maintain routing tables in order to remember best available routes.
- 8640 • Initiate route discovery on behalf of higher layers.
- 8641 • Initiate route discovery on behalf of other Zigbee routers.
- 8642 • Initiate route repair.
- 8643 • Conduct neighbor routing.

### 8644 **3.6.4.1 Routing Cost**

8645 The Zigbee routing algorithm uses a path cost metric for route comparison during route discovery and maintenance.  
 8646 In order to compute this metric, a cost, known as the link cost, is associated with each link in the path and link cost  
 8647 values are summed to produce the cost for the path as a whole.

8648 More formally, if we define a path  $P$  of length  $L$  as an ordered set of devices and a link, as a sub-path of length 2, then  
 8649 the path cost

$$C\{P\} = \min \left\{ \sum_{i=1}^{L-1} \alpha_i \cdot C\{[D_i, D_{i+1}]\} \right\}$$

8650

8651 where each of the values is referred to as a link cost and  $\alpha$  is the InterfaceLinkCostScalar for the link. The link cost  
 8652 for a link is mapped to the LQA value as described in Table 3-72.

8653 **Table 3-72. Link Cost to LQA Mapping**

Link Cost C(i)	LQA Range
0	No LQA
1	$192 < LQA \leq 255$
2	$128 < LQA \leq 192$

Link Cost C(i)	LQA Range
3	$96 < LQA \leq 128$
4	$64 < LQA \leq 96$
5	$32 < LQA \leq 64$
6	$16 < LQA \leq 32$
7	$LQA \leq 16$

### 8654 3.6.4.2 Routing Tables

8655 A Zigbee router or Zigbee coordinator MAY maintain a routing table. The information that SHALL be stored in a  
 8656 Zigbee routing table entry is shown in Table 3-73. The aging and retirement of routing table entries in order to reclaim  
 8657 table space from entries that are no longer in use is a recommended practice; it is, however, out of scope of this  
 8658 specification.

8659

**Table 3-73. Routing Table Entry**

Field Name	Size	Description
Destination address	2 octets	The 16-bit network address or Group ID of this route. If the destination device is a Zigbee router, Zigbee coordinator, or an end device, this field SHALL contain the actual 16-bit address of that device.
Status	3 bits	The status of the route. See Table 3-74 for values.
No route cache	1 bit	A flag indicating that the destination indicated by this address does not store source routes.
Many-to-one	1 bit	A flag indicating that the destination is a concentrator that issued a many-to-one route request.
Route record required	1 bit	A flag indicating that a route record command frame SHOULD be sent to the destination prior to the next data packet.
Expired	1-bit	When set to TRUE, this flag indicates that an expected regular many-to-one route request was missed, i.e. the last many-to-one route request for this destination was received more than <i>nwkConcentratorDiscoveryTime</i> + <i>nwkRouteDiscoveryTime</i> seconds ago. When the entry is created, this field is initially set to FALSE. This flag only has meaning for entries, which have the many-to-one field set to TRUE
Sequence Number Valid	1 bit	A flag indicating that the Sequence Number is valid.

Field Name	Size	Description
Next-hop address	2 octets	The 16-bit network address of the next hop on the way to the destination.
Sequence Number	2 octets	The 16-bit sequence number associated with this entry, obtained from the last route message that successfully updated this entry and conveyed a sequence number. Notice that routers prior to R23 did neither maintain nor convey a sequence number. The value stored in this field is only valid if the Sequence Number Valid flag is set.
TotalUsageCount	4 octets	A 32-bit saturating counter, which is incremented whenever this routing table entry is used to forward a data packet towards its destination.
RecentActivity	1 octet	An 8-bit saturating counter, which is pre-loaded with nwkRouterAge-Limit when the routing table entry is created; incremented whenever this routing table entry is used to forward a data packet towards its destination; and decremented unconditionally once every nwkLinkStatusPeriod. A value of 0 indicates no packets have recently been forwarded along this route.

8660 Table 3-74 enumerates the values for the route status field.

8661

**Table 3-74. Route Status Values**

Numeric Value	Status
0x0	ACTIVE
0x1	DISCOVERY_UNDERWAY
0x2	DISCOVERY_FAILED
0x3	INACTIVE
0x4 – 0x7	Reserved

8662 This section describes the routing algorithm. The term “routing table capacity” is used to describe a situation in which  
 8663 a device has the ability to use its routing table to establish a route to a particular destination device. A device is said  
 8664 to have routing table capacity if:

- 8665 • It is a Zigbee coordinator or Zigbee router.  
 8666 • It maintains a routing table.  
 8667 • It has a free routing table entry or it already has a routing table entry corresponding to the destination.

8668 If a Zigbee router or Zigbee coordinator maintains a routing table, it SHALL also maintain a route discovery table  
 8669 containing the information shown in Table 3-75. Routing table entries are long-lived, while route discovery table  
 8670 entries last only as long as the duration of a single route discovery operation and MAY be reused.

8671

**Table 3-75. Route Discovery Table Entry**

Field Name	Size (octets)	Description
Route request ID	1	A sequence number for a route request command frame that is incremented each time a device initiates a route request. Notice that this 8-bit identifier is distinct from the 16-bit Routing Sequence Number. The former is used to discern route requests originating in a particular router; the latter is used to identify stale routing information.
Source address	2	The 16-bit network address of the route request's initiator.
Sender address	2	The 16-bit network address of the device that has sent the most recent lowest cost route request command frame corresponding to this entry's route request identifier and source address. This field is used to determine the path that an eventual route reply command frame SHOULD follow.
Forward cost	1	The accumulated path cost from the source of the route request to the current device.
Residual cost	1	The accumulated path cost from the current device to the destination device.
Expiration time	2	A countdown timer indicating the number of milliseconds until route discovery expires. The initial value is <i>nwk.RouteDiscoveryTime</i> .

8672 A device is said to have “route discovery table capacity” if:

- It has a free entry in its route discovery table.
- Routing table capacity and route discovery table capacity are separate resources of the device.

8675 During route discovery, the information that a Zigbee router or Zigbee coordinator is required to maintain in order  
 8676 participate in the discovery of a particular route is distributed between a routing table entry and a route discovery table  
 8677 entry. Once discovery has been completed, only the routing table entry need be maintained in order for the NWK layer  
 8678 to perform routing along the discovered route. Throughout this section, references are made to this relationship  
 8679 between a routing table entry and its “corresponding” route discovery table entry and vice versa. The maintenance of  
 8680 this correspondence is up to the implementer since entries in the tables have no elements in common, but it is worth  
 8681 noting in this regard that the unique “keys” that define a route discovery are the source address of the route discovery  
 8682 command frame and the route request ID generated by that device and carried in the command frame payload.

8683 If a device has the capability to initiate a many-to-one route request, it MAY also maintain a route record table (see  
 8684 Table 3-63).

### 8685 **3.6.4.3 Upon Receipt of a Unicast Frame**

8686 On receipt of a unicast frame from the MAC sub-layer, or an NLDE-DATA.request from the next higher layer, the  
 8687 NWK layer routes it according to the following procedure.

8688 If the receiving device is a Zigbee router or Zigbee coordinator, and the destination of the frame is a Zigbee end device  
 8689 and also the child of the receiving device, the frame SHALL be routed directly to the destination using the MCPS-  
 8690 DATA.request primitive, as described in section . The frame SHALL also set the next hop destination address equal  
 8691 to the final destination address. Otherwise, for purposes of the ensuing discussion, define the *routing address* of a  
 8692 device to be its network address if it is a router or the coordinator or an end device. Define the *routing destination* of  
 8693 a frame to be the routing address of the frame's NWK destination.

8694 A Zigbee router or Zigbee coordinator MAY check the neighbor table for an entry corresponding to the routing destination of the frame. If there is such an entry, the device MAY route the frame directly to the destination using the MCPS-DATA.request primitive as described in section 3.6.1.6.1.1.

8697 A device that has routing capacity SHALL check its routing table for an entry corresponding to the routing destination of the frame. If there is such an entry, and if the value of the route status field for that entry is ACTIVE, the device SHALL relay the frame using the MCPS-DATA.request primitive. It SHALL increment the routing table entry's TotalUsageCount and RecentActivity fields, saturating at the maximum permissible value, and also increment the next hop's RouterOutboundActivity counter, again saturating at the maximum permissible value. If the many-to-one field of the routing table entry is set to TRUE, the NWK SHALL follow the procedure outlined in section 3.6.4.5.5 to determine whether a route record command frame SHALL be sent.

8704 When relaying a unicast frame, the SrcAddrMode and DstAddrMode parameters of the MCPS-DATA.request primitive SHALL both have a value of 0x02, indicating 16-bit addressing. The SrcPANId and DstPANId parameters SHALL both have the value provided by the macPANId attribute of the MAC PIB for the relaying device. The SrcAddr parameter SHALL be set to the value of *macShortAddress* from the MAC PIB of the relaying device, and the DstAddr parameter SHALL be the value provided by the next-hop address field of the routing table entry corresponding to the routing destination. Bit *b0* of the TxOptions parameter SHOULD be set to 1, indicating acknowledged transmission.

8711 The NWK Sequence Number of a replayed packet SHALL NOT be changed by a router device relaying the packet. The router device relaying a packet SHALL leave the NWK Sequence Number of the originating device in the NWK Sequence Number field.

8714 If the device has a routing table entry corresponding to the routing destination of the frame but the value of the route status field for that entry is DISCOVERY\_UNDERWAY, the device SHALL determine if it initiated the discovery by consulting its discovery table. If the device initiated the discovery, the frame SHALL be treated as though route discovery has been initiated for this frame, otherwise, the device SHALL initiate route discovery as described in section 3.6.4.5.1. The frame MAY optionally be buffered pending route discovery.

8719 If the device does not have a routing table entry for the routing destination with a status value of ACTIVE, and it received the frame from the next higher layer, it SHALL check its source route table for an entry corresponding to the routing destination. If such an entry is found and the length is less than *nwkMaxSourceRoute*, the device SHALL transmit the frame using source routing as described in section 3.6.4.3.1. If the device does not have a routing table entry for the routing destination and it is not originating the frame using source routing, it SHALL examine the discover route sub-field of the NWK header frame control field. If the discover route sub-field has a value of 0x01, the device SHALL initiate route discovery, as described in section 3.6.4.5.1. If the discover route sub-field has a value of 0 and there is no routing table corresponding to the routing destination of the frame, the frame SHALL be discarded and the NLDE SHALL issue the NLDE-DATA.confirm primitive with a status value of ROUTE\_ERROR.

8728 A device without routing capacity SHALL discard the frame. If the frame is the result of an NLDE-DATA.request from the NHL of the current device, the NLDE SHALL issue the NLDE-DATA.confirm primitive with a status value of ROUTE\_ERROR. If the frame is being relayed on behalf of another device, the NLME SHALL issue a network status command frame destined for the device that is the source of the frame with a status of 0x04, indicating a lack of routing capacity. It SHALL also issue the NLME-NWK-STATUS.indication to the next higher layer with the NetworkAddr parameter equal to the 16-bit network address of the frame, and the Status parameter equal to 0x04, indicating a lack of routing capacity.

8735 If the destination is an end device, delivery of the frame can fail due to the *macRxOnWhenIdle* state of the child device.

8736 If the NWK layer on a Zigbee router or Zigbee coordinator fails to deliver a unicast frame for any reason, the router or coordinator SHALL make additional attempts by calling MCPS-DATA.request up to *nwkUnicastRetries*. Each attempt SHALL be delayed by at least *nwkUnicastRetryDelay* and SHALL be re-encrypted with the newest network frame counter. After all NWK Retries have been exhausted the device will make its best effort to report the failure. No failure SHOULD be reported as the result of a failure to deliver a NLME-NWK-STATUS. The failure reporting MAY take one of two forms. If the failed frame was being relayed as a result of a request from the next higher layer, then the NWK layer SHALL issue an NLDE-DATA.confirm with the error to the next higher layer. The value of the NetworkAddr parameter of the primitive SHALL be the intended destination of the frame. If the frame was being relayed on behalf of another device, then the relaying device SHALL send a network status command frame back to

8745 the source of the frame. The destination address field of the network status command frame SHALL be taken from  
8746 the destination address field of the failed data frame.

8747 In either case, the reasons for failure that MAY be reported appear in Table 3-52.

8748 If SrcAddrMode and DstAddrMode are both equal to 0x02, i.e. the frame is a unicast addressed frame, the NWK layer  
8749 SHALL increment the RouterInboundActivity of the neighbor table entry that belongs to the SrcAddr parameter of  
8750 the MCPS-DATA.indication, if such an entry exists.

### 8751     3.6.4.3.1     Originating a Source Routed Data Frame

8752 If, on receipt of a data frame from the next higher layer, it is determined that the frame SHOULD be transmitted using  
8753 source routing as described above, the source route SHALL be retrieved from the route record table.

8754 If there are no intermediate relay nodes, the frame SHALL be transmitted directly to the routing destination without  
8755 source routing by using the MCPS-DATA.request primitive, with the DstAddr parameter value indicating the routing  
8756 destination.

8757 If there is at least one relay node, the source route flag of the NWK header frame control field SHALL be set, and the  
8758 NWK header source route subframe SHALL be present. The relay count sub-field of the source route subframe  
8759 SHALL have a value equal to the number of relays in the relay list. The relay index sub-field SHALL have a value  
8760 equal to 1 less than the number of relays. The relay list sub-field SHALL contain the list of relay addresses, least  
8761 significant octet first. The relay closest to the destination SHALL be listed first. The relay closest to the originator  
8762 SHALL be listed last.

8763 The device SHALL relay the frame using the MCPS-DATA.request primitive. The DstAddr parameter SHALL have  
8764 the value of the final relay address in the relay list.

### 8765     3.6.4.3.2     Relaying a Source Routed Data Frame

8766 Upon receipt of a source routed data frame from the MAC sub-layer as described in section , if the relay index sub-  
8767 field of the source route sub-frame has a value of 0, the device SHALL check the destination address field of the NWK  
8768 header of the frame. If the destination address field of the NWK header of the frame is equal in value to the *nwkNetworkAddress*  
8769 attribute of the NIB, then the frame SHALL be passed to the next higher layer using the NLDE-  
8770 DATA.indication primitive. If the destination address field is not equal to the *nwkNetworkAddress* attribute of the  
8771 NIB, and the receiving device is a Zigbee router or Zigbee coordinator, the device SHALL relay the frame directly to  
8772 the NWK header destination using the MCPS-DATA.request primitive, otherwise the frame SHALL be discarded  
8773 silently.

8774 If the relay index sub-field has a value other than 0, the device SHALL compare its network address with the address  
8775 found at the relay index in the relay list. If the addresses do not match, the frame SHALL be discarded and no further  
8776 action SHALL be taken. Otherwise, as long as the destination address is not the address of an end device where the  
8777 relaying device is the parent, the device SHALL decrement the relay index sub-field by 1, and relay the frame to the  
8778 address immediately prior to its own address in the relay list sub-field. If the destination address of the frame is an end  
8779 device child of the relaying device, the frame SHALL be unicast using the MCPS-DATA.request primitive.

8780 When relaying a source routed data frame, the NWK layer of a device SHALL also examine the routing table entry  
8781 corresponding to the source address of the frame. If the no route cache field of the routing table entry has a value of  
8782 FALSE, then the route record required field of the routing table entry SHALL be set to FALSE.

### 8783     3.6.4.4     Link Status Messages

8784 Wireless links can be asymmetric, that is, they can work well in one direction but not the other. This can cause route  
8785 replies to fail, since they travel backwards along the links discovered by the route request.

8786 For many-to-one routing and two-way route discovery, it is a requirement to discover routes that are reliable in both  
8787 directions. To accomplish this, routers exchange link cost measurements with their neighbors by periodically trans-  
8788 mitting link status frames as a one-hop broadcast. The reverse link cost information is then used during route discovery  
8789 to ensure that discovered routes use high-quality links in both directions.

8790 **3.6.4.4.1 Initiation of a Link Status Command Frame**

8791 When joined to a network, a Zigbee router or coordinator SHALL periodically send a link status command every  
 8792 *nwkLinkStatusPeriod* seconds, as a one-hop broadcast without retries. It MAY be sent more frequently if desired.  
 8793 Random jitter SHOULD be added to avoid synchronization with other nodes. See section 3.4.8 for the link status  
 8794 command frame format.

8795 End devices do not send link status command frames.

8796 **3.6.4.4.2 Upon Receipt of a Link Status Command Frame**

8797 Upon receipt of a link status command frame by a Zigbee router or coordinator, the age field of the neighbor table  
 8798 entry, if any, corresponding to the transmitting device and corresponding interface is reset to 0. If the link status  
 8799 message is marked as the first fragment:

- 8800 1. The RouterAge field of this neighbor table entry is incremented, unless it had already reached the maximum  
 8801 permissible value for this field before, and
- 8802 2. The RouterConnectivity field is determined by subtracting the maximum of the incoming and outgoing cost fields  
 8803 of each entry in the link status list, which exhibits a non-zero outgoing cost, from 7 and accumulating the differ-  
 8804 ence, this is

$$8807 \quad \text{RouterConnectivity} = \sum_{\forall i | C_i^{out} \neq 0} \{7 - \max(C_i^{in}, C_i^{out})\}$$

8808 where  $C_i^{in}$  is the incoming cost of the  $i$ -th element in the link status list, and  $C_i^{out}$  is the outgoing cost of the  $i$ -th  
 8805 element in the link status list, and

- 8809 3. The RouterNeighborSetDiversity field is determined by regarding the set of neighbors advertised in the link sta-  
 8810 tus, which are also not neighbors of the local device, that is

$$8814 \quad D = A \setminus B |_{C_{a \in A}^{out} \neq 0, C_{b \in B}^{out} \neq 0}$$

8815 where A is the set of addresses in the link status list with non-zero outgoing cost and B is the set of neighbors in  
 8811 the local neighbor table with non-zero outgoing cost, and subtracting the maximum of the incoming and outgoing  
 8812 cost fields of each element of this set from 7 and accumulating the difference, that is

$$8827 \quad \text{RouterNeighborSetDiversity} = \sum_{\forall d \in D} \{7 - \max(C_d^{in}, C_d^{out})\}$$

8828 If no such entry in the neighbor table existed, one SHALL be created with the RouterAge field initialized to 0, and  
 8829 RouterConnectivity and RouterNeighborSetDiversity calculated as above. The list of addresses covered by a frame is  
 8830 determined from the first and last addresses in the link status list, and the first frame and last frame bits of the command  
 8831 options field. If the receiver's network address is outside the range covered by the frame, the frame is discarded and  
 8832 processing is terminated, unless the link status list was completely empty. If the receiver's network address falls within  
 8833 the range covered by the frame, then the link status list is searched. If the receiver's address is found, the outgoing cost  
 8834 field of the neighbor table entry corresponding to the sender is set to the incoming cost value of the link status entry.  
 8835 If the receiver's address is not found, the outgoing cost field is set to 0. Whenever the outgoing cost field is set to 0,  
 8836 the link to this neighbor SHOULD be considered unidirectional or completely broken, and, as a result, all routing table  
 8837 entries where this neighbor appears as a next hop MAY be considered invalid and their statuses MAY be changed to  
 8838 INACTIVE.

8839 If the link status field was empty, and a neighbor table entry corresponding to the transmitting device did not exist,  
 8840 the receiver SHALL initiate a gratuitous Link Status command frame at a point in time randomly chosen within  
 8841 *nwkcMinRouterBootstrapJitter* and *nwkcMaxRouterBootstrapJitter*.

8842 End devices do not process link status command frames.

8843 **3.6.4.4.3 Maintaining Neighbor Table Entries**

8844 The set of current neighbors maintained at each router determines network connectivity and impacts overall network  
 8845 performance. Care SHALL be taken to select the optimal set of neighbors once the neighbor table has reached its

8835 implementation-specific capacity. All routers and the coordinator SHALL converge on a stable mesh backbone for  
8836 the network using the distributed algorithm described here, which elevates a number of sibling routers to backbone  
8837 mesh siblings.

8838 A scoring heuristic is applied to rank neighbors by their relevance for optimal network performance on a global scale  
8839 – despite only having local network topology information available in the neighbor table. In order to assess the relevance  
8840 of each candidate neighbor, a portion of the neighbor table is reserved for accepting new neighbors, for instance  
8841 to allow exchange of link status command frames during an initial observation window. Below the implementation-  
8842 specific size limit of the neighbor table, all candidate neighbors can be accommodated and SHOULD therefore be  
8843 accepted and there is no requirement to reject new neighbors at this stage.

#### 8844     3.6.4.4.3.1    Ranking Candidate Devices in Radio Range for Addition to or Removal from the 8845                  Neighbor Table

8846 A coordinator or router that receives a link status message SHALL create or maintain a corresponding entry in the  
8847 neighbor table as specified in section 3.6.1.7 if it has sufficient space available; otherwise, if its neighbor table is  
8848 already at full capacity, it SHALL determine whether it MAY safely remove one of the entries in the neighbor table  
8849 without disturbing network performance in order to make room for the new neighbor. It MAY remove only those  
8850 neighbors, which are (i) none of the distinguished backbone mesh routers, (ii) none of the end-device child devices,  
8851 and (iii) have been observed for a certain period of time.

8852 In case the local router is operating at capacity, it SHALL first determine the set of peer router devices currently in its  
8853 assessment pool by including all neighbors with a relationship of ‘sibling’, where the TotalRouterAgeField is higher  
8854 than *nwkMinRouterObservationTime*. If this assessment pool is empty, i.e. all neighbors under observation have just  
8855 recently been added, the link status message SHALL be dropped and no further processing SHALL be done.

8856 The local device then ranks the candidate sibling routers in its assessment pool by regarding RouterNeighborSetDiversity,  
8857 RouterConnectivity, RouterTotalAge, RouterInboundActivity, RouterOutboundActivity, LQA, TransmitFailure  
8858 and the TotalUsageCount and RecentActivity fields of any routing table entries on record where the sibling router  
8859 is listed as the next hop.

$$8860 \quad rank(n_i) = 4 * RouterNeighborSetDiversity_i + RouterConnectivity_i + 8 * RouterOutboundActivity_i + 8 \\ 8861 \quad \quad \quad * RouterInboundActivity_i$$

8862 The lowest ranking neighbor SHALL then be removed from the neighbor table, and a new neighbor table entry SHALL  
8863 be created for the candidate router according to section 3.6.4.4.2.

8864 Once the TotalRouterAge of an entry for a sibling router exceeds *nwkBackboneMeshFormationTime* it SHALL be  
8865 considered for promotion to a backbone mesh sibling router applying the same ranking paradigm as above, now for  
8866 the combined set of mesh backbone routers and routers in the assessment pool. The relationship field of the entries in  
8867 the neighbor table, which correspond to the topmost *nwkBackboneMeshRouterNeighbors* entries of the resulting set  
8868 SHALL be set to ‘backbone mesh sibling’, whereas the remaining elements SHALL be set to ‘sibling’. This classifi-  
8869 cation MAY be revised during normal operation when any of the metrics, which influence the ranking, change con-  
8870 siderably.

#### 8871     3.6.4.4.4    Aging the Neighbor Table

8872 For devices using link status messages, the age fields for routers in the neighbor table are incremented every *nwkLink-*  
8873 *StatusPeriod*. If the value exceeds *nwkRouterAgeLimit*, the outgoing cost field of the neighbor table entry is set to 0.  
8874 In other words, if a device fails to receive *nwkRouterAgeLimit* link status messages from a router neighbor in a row,  
8875 the old outgoing cost information is discarded. In this case, the neighbor entry is considered stale and MAY be reused  
8876 if necessary to make room for a new neighbor. End devices do not issue link status messages and therefore SHOULD  
8877 never be considered stale.

### 8878     3.6.4.5       Route Discovery and Advertisement

8879 Route discovery is the procedure whereby network devices cooperate to find and establish routes through the NWK.  
8880 *Unicast route discovery* is always performed with regard to a particular source device and a particular destination  
8881 device. *Many-to-one route advertisement*, also known as *many-to-one route discovery*, is performed by a source device  
8882 to establish routes to itself from all Zigbee routers and Zigbee coordinator, within a given radius. A source device that

8883 initiates a many-to-one route advertisement is designated as a concentrator and referred to as such in this document.  
8884 Throughout section 3.6.4.5 *a destination address* MAY be a 16-bit broadcast address or the 16-bit network address of  
8885 a particular device. A route request command whose destination address is the routing address of a particular device  
8886 is a *unicast route request*. A route request command whose destination address sub-field is a broadcast address (see  
8887 Table 3-76) is a *many-to-one route request*.

8888 Note that on RREP new frames SHALL be created at every hop. In all other cases the packets SHALL NOT be not  
8889 considered a “new” frame. A new frame SHALL be one with a new route request identifier. For RREP the sequence  
8890 number is regenerated every hop. For RREC the sequence number does not change with every hop.

### 8891 3.6.4.5.1 Initiation of Route Discovery

8892 There are 3 cases when route discovery is initiated.

- 8893 1. An NLME-ROUTE-DISCOVERY.request primitive is received from the next higher layer AND DstAddrMode  
8894 is set to 0x02.
- 8895 2. An NLDE-DATA.request is received from the next higher layer AND all of the following are TRUE
  - 8896 a. The DstAddrMode parameter is set to 0x02 (16-bit network address)
  - 8897 b. The DiscoverRoute parameter set to 0x01
  - 8898 c. There is no associated routing table entry for the DstAddr parameter.
- 8899 3. Upon receipt of a frame from the MAC layer where ALL of the following are TRUE.
  - 8900 a. The discover route sub-field in the NWK header is set to 0x01
  - 8901 b. The value of the NWK source address of the NWK Header of the received frame is the same as a 16-bit  
8902 network address of an end device child (i.e. an entry in the *nwkNeighborTable* where Device Type is to 0x2,  
8903 Zigbee End Device).

8904 In all other circumstances a route discovery SHALL NOT be initiated.

8905 If the device initiating route discovery is currently operating as a concentrator, as indicated by the *nwkIsConcentrator*  
8906 flag, and has not been specifically instructed by the NHLE to seek a normal ad-hoc route versus a source route, it  
8907 SHOULD prefer discovery of source routes over discovery of ad-hoc routes. It still MAY perform normal ad-hoc  
8908 route discovery, e.g. to avoid the per-frame source route overhead.

8909 If the device initiating route discovery has no routing table entry corresponding to the routing address of the destination  
8910 device, and intends to perform a normal ad-hoc route discovery, it SHALL establish a routing table entry with status  
8911 equal to DISCOVERY\_UNDERWAY. If the device has an existing routing table entry corresponding to the routing  
8912 address of the destination with status equal to ACTIVE, that entry SHALL be used and the status field of that entry  
8913 SHALL retain its current value. If it has an existing routing table entry with a status value other than ACTIVE, that  
8914 entry SHALL be used and the status of that entry SHALL be set to DISCOVERY\_UNDERWAY. The device SHALL  
8915 also establish the corresponding route discovery table entry if one with the same initiator and route request ID does  
8916 not already exist.

8917 Each device issuing a route request command frame SHALL maintain a counter used to generate route request identifiers.  
8918 When a new route request command frame is created, the route request counter is incremented and the value  
8919 is stored in the device’s route discovery table in the Route request identifier field. The device SHALL increment  
8920 *nwkRoutingSequenceNumber*. Other fields in the routing table and route discovery table are set as described in section  
8921 3.6.4.2.

8922 The NWK layer MAY choose to buffer the received frame pending route discovery.

8923 Once the device creates the route discovery table and routing table entries, the route request command frame SHALL  
8924 be created with the payload depicted in . The individual fields are populated as follows:

- 8925 • The command frame identifier field SHALL be set to indicate the command frame is a route request, see Table  
8926 3-50.
- 8927 • The Route request identifier field SHALL be set to the value stored in the route discovery table entry.

- 8928 • The destination address field SHALL be set in accordance with the destination address for which the route is to  
8929 be discovered.

- 8930 • The path cost field SHALL be set to 0.

8931 Once created, the route request command frame is ready for broadcast and is passed to the MAC sub-layer using the  
8932 MCPS-DATA.request primitive.

8933 When broadcasting a route request command frame at the initiation of route discovery, the NWK layer SHALL retry  
8934 the broadcast *nwkInitialRREQRetries* times after the initial broadcast, resulting in a maximum of *nwkInitialRREQRetries* + 1 transmissions. The retries will be separated by a time interval of *nwkRREQRetryInterval OctetDurations*.

8937 The many-to-one route advertisement procedure SHALL be initiated by the NWK layer of a Zigbee router or coordinator  
8938 on receipt of an NLME-ROUTE-DISCOVERY.request primitive from the next higher layer where the DstAddr  
8939 Mode parameter has a value of 0x00. A many-to-one route request command frame is not retried; however, a discovery  
8940 table entry is still created to provide loop detection during the *nwkRouteDiscoveryTime* period. If the NoRouteCache  
8941 parameter of the NLME-ROUTE-DISCOVERY.request primitive is TRUE, the many-to-one sub-field of the com-  
8942 mand options field of the command frame payload SHALL be set to 2. Otherwise, the many-to-one sub-field SHALL  
8943 be set to 1. Note that in this case, the NWK layer should maintain a route record table. The destination address field  
8944 of the NWK header SHALL be set to 0xffffc, the all-router broadcast address. The broadcast radius SHALL be set to  
8945 the value in *nwkConcentratorRadius*. A source device that initiates a many-to-one route advertisement is designated  
8946 as a concentrator and referred to as such in this document and the NIB attribute *nwkIsConcentrator* should be set to  
8947 TRUE. If a device has *nwkIsConcentrator* equal to TRUE and there is a non-zero value in *nwkConcentratorDiscovery-  
8948 Time*, the network layer should issue a route request command frame each *nwkConcentratorDiscoveryTime*, making  
8949 sure that any two consecutive many-to-one route request commands with different route request identifier are sepa-  
8950 rated in time by at least *nwkConcentratorDiscoverySeparation*.

8951 Upon receipt of a route request command frame, if the device is an end device, it SHALL drop the frame. Otherwise,  
8952 it SHALL determine if it has routing capacity.

8953 If the device does not have routing capacity and the route request is a many-to-one-route request, the route request  
8954 SHALL be discarded and route request processing SHALL be terminated.

#### 8955     3.6.4.5.1.1     **Initiating a Route Reply or Reactive Many-to-One Route Request**

8956 The device SHALL check if it is the intended destination. If it is the intended destination and the device is currently  
8957 operating as a concentrator, it SHALL check if the destination of the command frame is one of its end device children  
8958 by comparing the destination address field of the route request command frame payload with the address of each of  
8959 its end device children, if any. If either the device or one of its end device children is the destination of the route  
8960 request command frame, and it is not issuing a reactive many-to-one route request, it SHALL reply with a route reply  
8961 command frame.

8962 When replying to a route request with a route reply command frame, the device SHALL construct a frame with the  
8963 frame type field set to 0x01. The route reply's source address SHALL be set to the 16-bit network address of the  
8964 device creating the route reply and the destination address SHALL be set to the calculated next hop address, consid-  
8965 ering the originator of the route request as the destination. The link cost from the next hop device to the current device  
8966 shall be computed as described in section 3.6.4.1 and inserted into the path cost field of the route reply command  
8967 frame. The device SHALL increment *nwkRoutingSequenceNumber*. The route reply command frame SHALL be  
8968 unicast to the next hop device by issuing an MCPS-DATA.request primitive.

#### 8969     3.6.4.5.1.2     **Routing and Route Discovery Table Maintenance, Route Request Forwarding**

8970 If the device is not the destination of the route request command frame, the device SHALL compute the link cost from  
8971 the previous device that transmitted the frame, as described in section 3.6.4.1. This value SHALL be added to the path  
8972 cost value stored in the route request command frame.

8973 If the device does have routing capacity and the received request is a unicast route request, the device SHALL check  
8974 if it is the destination of the command frame by comparing the destination address field of the route request command  
8975 frame payload with its own address. It SHALL also check if the destination of the command frame is one of its end  
8976 device children by comparing the destination address field of the route request command frame payload with the

8977 address of each of its end device children, if any. If neither the device nor one of its end device children is the destination  
8978 of the route request command frame, the device SHALL determine if a route discovery table (see Table 3-75)  
8979 entry exists with the same route request identifier and source address field. If no such entry exists, one SHALL be  
8980 created.

8981 For both many-to-one and regular router requests, upon receipt of a route request command frame, the neighbor table  
8982 is searched for an entry corresponding to the transmitting device. If no such entry is found, or if the outgoing cost field  
8983 of the entry has a value of 0, the frame is discarded and route request processing is terminated. The maximum of the  
8984 incoming and outgoing costs for the neighbor is used for the purposes of the path cost calculation, instead of the  
8985 incoming cost. This includes the value used to increment the path cost field of the route request frame prior to retrans-  
8986 mision.

8987 When creating the route discovery table entry, the fields are set to the corresponding values in the route request com-  
8988 mand frame. The only exception is the forward cost field, which is determined by using the previous sender of the  
8989 command frame to compute the link cost, as described in section 3.6.4.1, and adding it to the path cost contained the  
8990 route request command frame. The result of the above calculation is stored in the forward cost field of the newly  
8991 created route discovery table entry. The device SHALL also create a routing table entry with the destination address  
8992 field set to the source address of the route request command frame and the next hop field set to the address of the  
8993 previous device that transmitted the command frame. The status field SHALL be set to ACTIVE. The device SHALL  
8994 then issue a route reply command frame to the source of the route request command frame. In the case that the device  
8995 already has a route discovery table entry for the source address and route request identifier pair, the device SHALL  
8996 determine if the path cost in the route request command frame is less than the forward cost stored in the route discovery  
8997 table entry. The comparison is made by first computing the link cost from the previous device that sent this frame, as  
8998 described in section 3.6.4.1, then adding it to the path cost value in the route request command frame. If this value is  
8999 greater than the value in the route discovery table entry, the frame SHALL be dropped and no further processing  
9000 SHALL be done. Similarly, if incoming route information is considered unsuitable as defined in section 3.6.4.5.3, the  
9001 frame SHALL be dropped and no further processing SHALL be done. Otherwise, the forward cost and sender address  
9002 fields in the route discovery table are updated with the new cost and the previous device address from the route request  
9003 command frame.

9004 If the received route request command frame is a unicast route request, the device SHALL also create a routing table  
9005 entry with the destination address field set to the source address of the route request command frame and the next hop  
9006 field set to the address of the previous device that transmitted the command frame. The status field SHALL be set to  
9007 ACTIVE. The device SHALL then respond with a route reply command frame. In either of these cases, if the device  
9008 is responding on behalf of one of its end device children, the responder address in the route reply command frame  
9009 payload SHALL be set equal to the address of the end device child and not of the responding device.

9010 When a device with routing capacity is not the destination of the received route request command frame, it SHALL  
9011 determine if a route discovery table entry (see Table 3-75) exists with the same route request identifier and source  
9012 address field. If no such entry exists, one SHALL be created. The route request timer SHALL be set to expire in  
9013 *nwkRouteDiscoveryTime OctetDurations*. If a routing table entry corresponding to the routing address of the desti-  
9014 nation exists and its status is not ACTIVE, the status SHALL be set to DISCOVERY\_UNDERWAY. If no such entry  
9015 exists and the frame is a unicast route request, an entry SHALL be created and its status set to DISCOVERY\_UN-  
9016 DERWAY. If the frame is a many-to-one route request, the device SHALL also create a routing table entry with the  
9017 destination address field equal to the source address of the route request command frame by setting the next hop field  
9018 to the address of the previous device that transmitted the command frame. If the frame is a many-to-one route request  
9019 (*i.e.* the many-to-one sub-field of the command options field of the command frame payload has a non-zero value),  
9020 the many-to-one field in the routing table entry SHALL be set to TRUE, the route record required field SHALL be set  
9021 to TRUE, and the no route cache flag SHALL be set to TRUE if the many-to-one sub-field of the command options  
9022 field of the command frame payload has a value of 2 or to FALSE if it has a value of 1. If the routing table entry is  
9023 new, or if the no route cache flag is set to TRUE, or if the next hop field changed, the route record required field  
9024 SHALL be set to TRUE.. The status field SHALL be set to ACTIVE.

9025 If an entry in the route discovery table already exists, the path cost in the route request command frame shall be  
9026 compared to the forward cost value in the route discovery table entry. The comparison is made by computing the link  
9027 cost from the previous device, as described in section 3.6.4.1, and adding it to the path cost value in the route request  
9028 command frame. If this path cost is greater, the route request command frame is dropped and no further processing  
9029 SHALL be done. Similarly, if incoming route information is considered unsuitable as defined in section 3.6.4.5.3, the

frame SHALL be dropped and no further processing SHALL be done. Otherwise, the forward cost and sender address fields in the route discovery table are updated with the new cost and the previous device address from the route request command frame. Additionally, the path cost field in the route request command frame SHALL be updated with the cost computed for comparison purposes. If the received route request command frame is a unicast route request, the device SHALL also update any routing table entry with the destination address field set to the source address of the route request command frame, and the next hop field set to the address of the previous device that transmitted the command frame. The status field SHALL be set to ACTIVE.

#### 3.6.4.5.1.3 Processing Reactive Many-To-One Route Requests

If the frame is a many-to-one route request (i.e. the many-to-one sub-field of the command options field of the command frame payload has a non-zero value), and the receiving device has changed the status field of the corresponding routing table entry from DISCOVERY\_UNDERWAY to ACTIVE due to the processing steps above, the receiving device SHALL process any NLDE data requests that might be pending. It SHALL also issue an NLME-ROUTE-DISCOVERY.confirm primitive with a status of SUCCESS for each related route discovery table entry, if no such confirmation has been issued before. It SHALL keep these route discovery table entries until they expire.

#### 3.6.4.5.1.4 Transmitting Relayed Route Requests

The device SHALL then broadcast the route request command frame using the MCPS-DATA.request primitive.

When broadcasting a route request command frame, the NWK layer SHALL delay retransmission by a random jitter amount calculated using the formula:

$$2 \times R[nwkcMinRREQJitter, nwkcMaxRREQJitter]$$

where R is a random function on the interval. The units of this jitter amount are milliseconds. Implementers MAY adjust the jitter amount so that route request command frames arriving with large path cost are delayed more than frames arriving with lower path cost. The NWK layer SHALL retry the broadcast *nwkcRREQRetries* times after the original relay resulting in a maximum of *nwkcRREQRetries* + 1 relays per relay attempt. Implementers MAY choose to discard route request command frames awaiting retransmission in the case that a frame with the same source and route request identifier arrives with a lower path cost than the one awaiting retransmission.

The device SHALL also set the status field of the routing table entry corresponding to the routing address of the destination field in the payload to DISCOVERY\_UNDERWAY. If no such entry exists, it shall be created.

#### 3.6.4.5.1.5 Transmitting Route Replies

When replying to a route request with a route reply command frame, a device that has a route discovery table entry corresponding to the source address and route request identifier of the route request SHALL construct a command frame with the frame type field set to 0x01. The source address field of the NWK header SHALL be set to the 16-bit network address of the current device and the destination address field SHALL be set to the value of the sender address field from the corresponding route discovery table entry. The device constructing the route reply SHALL populate the payload fields in the following manner.

The NWK command identifier SHALL be set to route reply.

The route request identifier field SHALL be set to the same value found in the route request identifier field of the route request command frame.

The originator address field SHALL be set to the source address in the NWK header of the route request command frame.

Using the sender address field from the route discovery table entry corresponding to the source address in the NWK header of the route request command frame, the device SHALL compute the link cost as described in section 3.6.4.1. This link cost SHALL be entered in the path cost field.

The route reply command frame is then unicast to the destination by using the MCPS-DATA.request primitive and the sender address obtained from the route discovery table as the next hop.

#### 3.6.4.5.1.6 Transmitting Reactive Many-To-One Route Requests

See Annex K.5.3.

9076 3.6.4.5.1.7 **Route Discovery Expiration**

9077 When the route request timer expires, the device deletes the route request entry from the route discovery table. When  
9078 this happens, the routing table entry corresponding to the routing address of the destination SHALL also be deleted,  
9079 if its status field has a value of DISCOVERY\_UNDERWAY and there are no other entries in the route discovery table  
9080 created as a result of a route discovery for that destination address.

9081 3.6.4.5.1.8 **Preventing many-to-one to ad hoc route change-over**

9082 When a device receives a route request command, which is not a many-to-one route request, where a routing table  
9083 entry for the destination exists, which has the many-to-one flag set to TRUE, it will not reset this flag to FALSE.

9084 3.6.4.5.2 **Upon Receipt of a Route Reply Command Frame**

9085 On receipt of a route reply command frame, a device SHALL perform the following procedure.

9086 If the receiving device has no routing capacity it SHALL discard the command frame. Before forwarding the route  
9087 reply command frame the device SHALL update the path cost field in the payload by computing the link cost from  
9088 the next hop device to itself as described in section 3.6.4.1 and adding this to the value in the route reply path cost  
9089 field.

9090 To support legacy devices, a route reply received with a radius of 1 SHALL NOT be dropped. It SHALL continue to  
9091 be processed as follows.

9092 If the receiving device has routing capacity, it SHALL check whether it is the destination of the route reply command  
9093 frame by comparing the contents of the originator address field of the command frame payload with its own address.  
9094 If it is, it SHALL search its route discovery table for an entry corresponding to the route request identifier in the route  
9095 reply command frame payload. If there is no such entry, the route reply command frame SHALL be discarded and  
9096 route reply processing SHALL be terminated. If a route discovery table entry exists, the device SHALL search its  
9097 routing table for an entry with a destination address field equal to the routing address corresponding to the responder  
9098 address in the route reply command frame. If there is no such routing table entry, the route reply command frame  
9099 SHALL be discarded and, if a route discovery table entry corresponding to the route request identifier in the route  
9100 reply command frame exists, it SHALL also be removed and route reply processing SHALL be terminated. If a routing  
9101 table entry and a route discovery table entry exist and if the status field of the routing table entry is set to DISCOV-  
9102 ERY\_UNDERWAY, it SHALL be changed to ACTIVE; the next hop field in the routing table SHALL be set to the  
9103 previous device that forwarded the route reply command frame. The residual cost field in the route discovery table  
9104 entry SHALL be set to the path cost field in the route reply payload.

9105 If the status field was already set to ACTIVE, the device SHALL compare the path cost in the route reply command  
9106 frame to the residual cost recorded in the route discovery table entry, and update the residual cost field and next hop  
9107 field in the routing table entry if the cost in the route reply command frame is smaller. If the path cost in the route  
9108 reply is not smaller, the route reply shall be discarded and no further processing SHALL be done. Similarly, if incom-  
9109 ing route information is considered unsuitable as defined in section 3.6.4.5.3, the route reply shall be discarded and  
9110 no further processing SHALL be done.

9111 Note that NLDE data requests MAY be processed as soon as the first valid route is determined.

9112 If the device receiving the route reply is not the destination, the device SHALL find the route discovery table entry  
9113 corresponding to the originator address and route request identifier in the route reply command frame payload. If no  
9114 such route discovery table entry exists, the route reply command frame shall be discarded. If a route discovery table  
9115 entry exists, the path cost value in the route reply command frame and the residual cost field in the route discovery  
9116 table entry shall be compared. If the route discovery table entry value is less than the route reply value, the route reply  
9117 command frame shall be discarded. Similarly, if incoming route information is considered unsuitable as defined in  
9118 section 3.6.4.5.3, the frame shall be discarded.

9119 Otherwise, the device SHALL find the routing table entry with a destination address field equal to the routing address  
9120 corresponding to the responder address in the route reply command frame. In this case, it is an error if the route  
9121 discovery table entry exists and there is no corresponding routing table entry, and the route reply command frame  
9122 SHOULD be discarded. The routing table entry SHALL be updated by replacing the next hop field with the address  
9123 of the previous device that forwarded the route reply command frame. The route discovery table entry SHALL also  
9124 be updated by replacing the residual cost field with the value in the route reply command frame.

9125    3.6.4.5.2.1    **Transmitting Relayed Route Replies**

9126 After updating its own route entry, the device SHALL forward the route reply to the destination. Before forwarding  
9127 the route reply, the path cost value shall be updated. The sender SHALL find the next hop to the route reply's desti-  
9128 nation by searching its route discovery table for the entry matching the route request identifier and the source address  
9129 and extracting the sender address. It SHALL use this next hop address to compute the link cost as described in section  
9130 3.6.4.1. This cost SHALL be added to the path cost field in the route reply. The destination address in the command  
9131 frame NWK header SHALL be set to the next hop address and the frame SHALL be unicast to the next hop device  
9132 using the MCPS-DATA.request primitive. The DstAddr parameter of the MCPS-DATA.request primitive SHALL be  
9133 set to the next-hop address from the route discovery table.

9134 If relaying the route reply failed even after exhausting all network-level retries and the reason for such failure is  
9135 originating in a MAC layer status of NO\_ACK, the device SHALL issue a network status command towards the  
9136 responder, i.e. the originator of the route reply message, which is also the destination of the related route discovery  
9137 with a status of Link Failure (0x02). It SHALL further invalidate all routing table entries that used the broken link as  
9138 their next hop. The device SHALL not create a reverse routing table as described below; it MAY update such an entry  
9139 if it already exists.

9140 The NWK layer SHALL, upon relaying the route reply command frame, also create a reverse routing table entry if  
9141 such an entry does not yet exist. The value of the destination address field of the routing table entry SHALL correspond  
9142 to the value of the originator address field of the route reply command frame. The status field SHALL have a value of  
9143 ACTIVE. The next-hop address field SHALL have a value corresponding to the next hop address in the route reply  
9144 command being relayed, as determined in the previous paragraph. If the reverse routing table entry already exists the  
9145 next-hop address field shall be updated, if necessary subject to suitability of incoming route information as defined in  
9146 section 3.6.4.5.3.

9147    3.6.4.5.2.2    **Preventing many-to-one to ad hoc route change-over**

9148 When a device receives a route reply command, where a routing table entry for the destination exists, which has the  
9149 many-to-one flag set to TRUE, it will keep the flag set to TRUE for the forward and the reverse path.

9150    3.6.4.5.3    **Assessing Suitability of Incoming Route Information**

9151 An incoming advertised route is compared to existing local routes to determine whether the advertised route is to be  
9152 used to update the routing table. The incoming route information SHALL be processed as follows:

- 9153    1. Search for an entry in the routing table where Destination address matches the address in the incoming route  
9154 information. If no matching entry exists, one SHALL be created. Otherwise continue to step 2.
- 9155    2. Check that the incoming advertised route is safe against routing loops by executing the LoopFree(R1, R2) func-  
9156 tion:

$$9157 \quad \text{LoopFree}(R1, R2) := \text{path-cost}(R1) \leq \text{path-cost}(R2)$$

- 9158    3. LoopFree(R1, R2) verifies that a route R2 is not a sub-section of another route R1. It returns FALSE to indicate  
9159 that an advertised route R1 is not to be used to update an existing route R2, as this MAY potentially cause a  
9160 routing loop.
  - 9161    (i) If LoopFree(advertised route, local route) returns FALSE, the advertised incoming route SHALL be ignored  
9162 and SHALL NOT be used to update the routing table.
  - 9163    (ii) otherwise continue to step 4.
- 9164    3. Compare route costs:
  - 9165    (i) If the advertised incoming route is better than the existing one, it SHALL be used to update the routing table.
  - 9166    (ii) If the advertised incoming route is as good as the existing one and the existing route is ACTIVE, the incoming  
9167 route SHOULD NOT be used to update the routing table, because it will offer no improvement.
  - 9168    (iii) If the advertised incoming route is worse than the existing one and the existing route is ACTIVE, the incom-  
9169 ing route SHALL NOT be used to update the routing table.

9170 (iv) If the advertised incoming route is as good as, or worse than the existing route, and the existing route is not  
9171 ACTIVE, the incoming route SHOULD be used to update the routing table, as it can safely be used to repair  
9172 the existing invalid entry.

#### 9173 **3.6.4.5.4 Calculating the Distance Between Routers and Concentrators**

9174 See Annex K.5.4.

#### 9175 **3.6.4.5.5 Initiation and Processing of a Route Record Command Frame**

9176 If the NWK layer of a Zigbee router or Zigbee coordinator is initiating a unicast data frame as a result of an NLDE-  
9177 DATA.request from the next higher layer and the many-to-one field of the routing table entry corresponding to the  
9178 destination address of the frame has a value of TRUE, then the NWK layer SHALL examine the route record required  
9179 field of that same routing table entry. If the route record required field also has a value of TRUE, the NWK SHALL  
9180 unicast a route record command to the destination before transmitting the data frame.

9181 If the NWK layer of a Zigbee router or Zigbee coordinator is forwarding a unicast data frame on behalf of one of its  
9182 end device children and the many-to-one field of the destination's routing table entry has a value of TRUE, then the  
9183 device SHALL unicast a route record command to the destination before relaying the data frame, which already con-  
9184 tains the network short address of the Zigbee router or Zigbee coordinator in the relay list. An optional optimization is  
9185 possible in which the router or coordinator MAY keep track of which of its end device children have received source  
9186 routed data frames from a particular concentrator device and can thereby reduce the number of route record commands  
9187 it transmits to that concentrator on behalf of its end device children.

9188 Each relay node that receives the route record command SHALL append its network address to the command payload,  
9189 increment the relay count, and forward the message. If no next hop is available, or if delivery to the next hop fails, or  
9190 if there is insufficient space in the payload for the network address, the command frame shall be discarded and no  
9191 error command shall be generated.

9192 Upon receipt of the route record command by the destination, the route SHALL be stored in the source route table.  
9193 Any existing source routes to the message source or intermediary nodes SHALL be replaced by the new route infor-  
9194 mation.

#### 9195 **3.6.4.6 Upon Expiration of a Route Discovery Table Entry**

9196 When a route discovery table entry is created, the expiration timer SHALL be set to expire in *nwkRouteDiscovery-  
9197 Time OctetDurations*. If the routing table entry corresponding to the source address of the route discovery table entry  
9198 has any Status field value other than ACTIVE and there are no other entries in the route discovery table corresponding  
9199 to that routing table entry, the routing table entry SHALL also be deleted.

#### 9200 **3.6.4.7 Upon Expiration of a Many-To-One Route**

9201 When a routing table entry is created or updated and its many-to-one field is set to TRUE , the behavior of aging the  
9202 route will depend on the presence of the Concentrator Information TLV in the Route Request. If TLV is present then  
9203 the device SHALL set a timer equal to the Concentrator Discovery Time value from the TLV + nwkRouteDiscovery-  
9204 Time. If the TLV is not present, or the Concentrator Discovery Time value inside the TLV is set to 0, then the timer  
9205 is not set. When a many-to-one route request from the same device is received, the Expired flag is set to FALSE and  
9206 the timer is reset

#### 9207 **3.6.4.8 Route Maintenance**

9208 A device NWK layer SHALL maintain a failure counter for each neighbor to which it has an outgoing link, i.e., to  
9209 which it has been required to send data frames. If the outgoing link is classified as a failed link, then the device SHALL  
9210 respond as described in the following paragraphs. Implementers MAY choose a simple failure-counting scheme to  
9211 generate this failure counter value or they MAY use a more accurate time-windowed scheme. Note that it is important  
9212 not to initiate repair too frequently since repair operations MAY flood the network and cause other traffic disruptions.  
9213 Routing table entries MAY be overwritten in order to make room for new routes. Entries SHOULD be ranked by  
9214 RecentActivity and TotalUsageCount fields, dropping routes that have not been used recently before dropping routes  
9215 in active use; in case two routes have been used equally often recently, TotalUsageCount SHALL be considered,  
9216 keeping routes that have been used more often than others, overall.

9217 **3.6.4.8.1 In Case of Link Failure**

9218 If a failed link is encountered while the device is forwarding a unicast frame using normal unicast routing, the device  
9219 SHALL issue a network status command frame back to the source device of the frame with a status code of 0x02  
9220 (Link Failure) (see Table 3-52), and issue an NLME-NWK-STATUS.indication to the next higher layer with a status  
9221 code indicating the reason for the failure.

9222 Router parents will monitor route errors sent to their children and take action on behalf of them. When relaying a  
9223 network status command frame by a router to its end device child that is the intended destination of the route error,  
9224 where the status code field of the command frame payload has a value of 0x00, 0x01 or 0x02 indicating a link failure,  
9225 the NWK layer will remove the routing table entry corresponding to the value of the destination address field of the  
9226 command frame payload, if one exists. It will then relay the frame as usual to the end device.

9227 If all attempts on all active interfaces fail while a device is forwarding a unicast data frame using a routing table entry  
9228 with the many-to-one field set to TRUE and the Expired field set to FALSE, a network status command frame with  
9229 status code of 0x0c indicating many-to-one route failure SHALL be generated. The destination address field in the  
9230 NWK header of the network status command frame SHALL be equal to the destination address field in the NWK  
9231 header of the frame causing the error. The destination address field of the network status command payload SHALL  
9232 be equal to the source address field in the NWK header of the frame causing the error. The network status command  
9233 frame SHALL be unicast to a random router neighbor using the MCPS-DATA.request primitive. Because it is a many-  
9234 to-one route, all neighbors within concentrator radius are EXPECTED to have a routing table entry to the destination.  
9235 Upon receipt of the network status command frame, if no routing table entry for the destination is present, or if delivery  
9236 of the network status command frame to the next hop in the routing table entry fails, the network status command  
9237 frame SHALL again be unicast to a random router neighbor using the MCPS-DATA.request primitive. The radius  
9238 counter in the NWK header will limit the maximum number of times the network status command frame is relayed.  
9239 Upon receipt of the network status command frame by its destination it SHALL be passed up to the next higher layer  
9240 using the NLME-NWK-STATUS.indication primitive. Many-to-one routes, which have not expired, are not automati-  
9241 cally rediscovered by the NWK layer due to route errors.

9242 If all attempts on all active interfaces fail while a device is forwarding a unicast data frame using a routing table entry  
9243 with the many-to-one field set to TRUE and the Expired field set to TRUE, the device SHALL delete the many-to-  
9244 one routing table entry and MAY automatically attempt to discover an ad hoc route.

9245 If all attempts on all active interfaces fail while the device is forwarding a unicast frame using normal unicast routing,  
9246 the device SHALL issue a network status command frame back to the source device of the frame with a status code  
9247 indicating the reason for the failure (see Table 3-52), and issue an NLME-NWK-STATUS.indication to the next higher  
9248 layer with a status code indicating the reason for the failure.

9249 If all attempts on all active interfaces fail while the device is forwarding a unicast frame using source routing, the  
9250 device SHALL issue a network status command frame back to the source device of the frame with status code 0x0b –  
9251 ‘Source route failure’, and issue an NLME-NWK-STATUS.indication to the next higher layer with the same status  
9252 code of 0x0b.

9253 On receipt of a network status command frame by a router that is the intended destination of the command where the  
9254 status code field of the command frame payload has a value of 0x01 or 0x02 indicating a link failure, the NWK layer  
9255 will remove the routing table entry corresponding to the value of the destination address field of the command frame  
9256 payload, if one exists, and inform the next higher layer of the failure using the NLME-NWK-STATUS.indication  
9257 using the same status code.

9258 On receipt of a network status command frame by a router that is the parent of an end device that is the intended  
9259 destination, where the status code field of the command frame payload has a value of 0x01 or 0x02 indicating a link  
9260 failure, the NWK layer will remove the routing table entry corresponding to the value of the destination address field  
9261 of the command frame payload, if one exists. It will then relay the frame as usual to the end device.

9262 On receipt of a network status command frame by an end device, the NWK layer SHALL inform the next higher layer  
9263 of the failure using the NLME-NWK-STATUS.indication.

9264 On receipt of a network status command frame by a router that is the intended destination of the command where the  
9265 status code field of the command frame payload has a value of 0x0b indicating a source route failure, the NWK layer  
9266 will remove the source route corresponding to the value of the destination address field of the command frame payload,

9267 if one exists, from *nwkRouteRecordTable* and inform the next higher layer of the failure using the NLME-NWK-  
9268 STATUS.indication using the same status code.

9269 On receipt of a network status command frame by a router that is the parent of an end device that is the intended  
9270 destination, where the status code field of the command frame payload has a value of 0x0b indicating a source route  
9271 failure, the NWK layer will remove the source route corresponding to the value of the destination address field of the  
9272 command frame payload, if one exists, from *nwkRouteRecordTable*. It will then relay the frame as usual to the end  
9273 device.

9274 If an end device encounters a failed link to its parent, the end device SHALL inform the next higher layer using the  
9275 NLME-NWK-STATUS.indication primitive with a Status parameter value of 0x09 indicating parent link failure (see  
9276 Table 3-52).

9277 The APSDE of an end device MAY optionally take action when it notices an APS ACK has not been received. This  
9278 option is provided for robustness in the case of intermediary devices that do not generate route errors back to the  
9279 source correctly. This SHALL only be done once per APS Transaction sequence number. This SHALL NOT be done  
9280 if the network destination of the APSDE transaction is the router parent. If the APSDE of an end device chooses to do  
9281 this, then it SHALL do the following:

- 9282 1. Initiate a Network Status Command Frame.
- 9283 2. The Network destination of the message SHALL be set to the address of its router parent.
- 9284 3. The Target Address in the network payload SHALL be set to the destination of the effected APSDE transaction.
- 9285 4. The Status Code SHALL be set to 0x02, Link Failure.

### 9286 3.6.4.8.2 Route Repair Functionality

9287 A potentially transient routing problem is indicated via an NLME-NWK-STATUS.indication with the status set to No  
9288 Route Available, Non-tree Link Failure, Source Route Failure, or Many-to-one route failure. Those error codes indicate  
9289 that the problem may be overcome by repairing the route. The method for repairing the route depends upon the  
9290 many-to-one field of the corresponding failed route entry in the routing table (*nwkRouteTable*).

- 9291 1. If the route table entry indicates the many-to-one field is set to FALSE, then the following MAY be done.
  - 9292 a. The stack initiates an NLME-ROUTE-DISCOVERY.req with DstAddrMode set to 0x02 (16-bit address of individual device), DstAddr set to the NetworkAddr returned by the NLME-STATUS.indication, and No-RouteCache set to TRUE.
- 9295 2. If the route table entry indicates the many-to-one field is set to TRUE and the local device is a concentrator, the following MAY be done.
  - 9297 a. Repair the incoming route to the concentrator. The concentrator issues NLME-NWK-ROUTE-DISCOVERY.req with the DstAddrMode set to 0x00 (No destination) and the DstAddr set to the all routers broadcast address (0xFFFF).
  - 9300 b. Update the concentrator's local routing table so it may send a new message to the target destination by soliciting a message from the destination with an updated route. This can be done by the concentrator issuing a ZDO IEEE\_Addr\_req to the all routers broadcast address with the NWKAddrOfInterest set to the Network-Addr returned by the NLME-STATUS.indication, and the RequestType set to 0x00 (single device response).<sup>7</sup>

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<sup>7</sup> CCB 2007

### 3.6.5 Scheduling Beacon Transmissions

Scheduled beaconing SHALL NOT be performed in Zigbee mesh networks. IEEE Std 802.15.4 Beacon requests SHALL be answered with IEEE Std 802.15.4 beacons on demand when they are received.

### 3.6.6 Broadcast Communication

This section specifies how a broadcast transmission is accomplished within a Zigbee network. Any device within a network MAY initiate a broadcast transmission intended for a number of other devices that are part of the same network. A broadcast transmission is initiated by the local APS sub-layer entity through the use of the NLDE-DATA.request primitive by setting the DstAddr parameter to a broadcast address as shown in Table 3-76, or by the NWK layer through the use of these same broadcast addresses in the construction of an outgoing NWK header. (Note that broadcast transmission for link status and route request command frames is handled differently as described in section 3.6.4.4 and section 3.6.4.5.1 respectively.)

**Table 3-76. Broadcast Addresses**

Broadcast Address	Destination Group
0xfffff	All devices in PAN
0xffffe	Reserved
0xffffd	<i>macRxOnWhenIdle</i> = TRUE
0xffffc	All routers and coordinator
0xffffb	Low power routers only
0xfff8 - 0xffffa	Reserved

To transmit a broadcast MSDU, the NWK layer of a Zigbee router or Zigbee coordinator issues an MCPS-DATA.request primitive to the MAC sub-layer(s) with the DstAddrMode parameter set to 0x02 (16-bit network address) and the DstAddr parameter set to 0xfffff. For a Zigbee end device, the MAC destination address of the broadcast frame SHALL be set equal to the 16-bit network address of the parent of the end device. The PANId parameter SHALL be set to the PANId of the Zigbee network. This specification does not support broadcasting across multiple networks. Broadcast transmissions SHALL NOT use the MAC sub-layer acknowledgement; instead, a passive acknowledgement mechanism SHALL be used. Passive acknowledgement means that every Zigbee router and Zigbee coordinator keeps track of which of its neighboring devices have successfully relayed the broadcast transmission. The MAC sub-layer acknowledgement is disabled by setting the acknowledged transmission flag of the TxOptions parameter to FALSE. All other flags of the TxOptions parameter SHALL be set based on the network configuration.

The Zigbee coordinator, each Zigbee router and those Zigbee end devices with *macRxOnWhenIdle* equal to TRUE, SHALL keep a record of any new broadcast transaction that is either initiated locally or received from a neighboring device. This record is called the broadcast transaction record (BTR) and SHALL contain at least the sequence number and the source address of the broadcast frame. The broadcast transaction records are stored in the *nwkBroadcastTransactionTable* (BTT) as shown in Table 3-77.

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9332

**Table 3-77. Broadcast Transaction Record**

Field Name	Size	Description
Source Address	2 bytes	The 16-bit network address of the broadcast initiator.
Sequence Number	1 byte	The NWK layer sequence number of the initiator's broadcast.
Expiration Time	1 byte	A countdown timer indicating the number of seconds until this entry expires; the initial value is <i>nwkNetworkBroadcastDeliveryTime</i> .

9333 Processing of a broadcast with a NWK source of the local device SHALL only be done when the device has been  
 9334 powered up and operating on the network for *nwkNetworkBroadcastDeliveryTime*. This prevents broadcasts from  
 9335 being processed that might have recently originated from the device after a reset.

9336 When a device receives a broadcast frame from a neighboring device, it SHALL compare the destination address of  
 9337 the frame with its device type. If the destination address does not correspond to the device type of the receiver as  
 9338 outlined in Table 3-76, the frame shall be discarded. If the destination address corresponds to the device type of the  
 9339 receiver, the device SHALL compare the sequence number and the source address of the broadcast frame with the  
 9340 records in its BTT.

9341 If the device has a BTR of this particular broadcast frame in its BTT, it MAY update the BTR to mark the neighboring  
 9342 device as having relayed the broadcast frame. It SHALL then drop the frame. If no record is found, it SHALL create  
 9343 a new BTR in its BTT and MAY mark the neighboring device as having relayed the broadcast. The NWK layer  
 9344 SHALL then indicate to the higher layer that a new broadcast frame has been received using the NLDE-DATA.indication.  
 9345 If the device is a Zigbee router (ZR) or a Zigbee Coordinator (ZC) and the radius field is greater than zero;  
 9346 then the frame shall be retransmitted. Otherwise it shall be dropped. Before the retransmission, it SHALL wait for a  
 9347 random time period called broadcast jitter. This time period shall be bounded by the value of the *nwkMaxBroad-  
 9348 castJitter* attribute. Zigbee end devices with *macRxOnWhenIdle* equal to FALSE SHALL NOT participate in the re-  
 9349 laying of broadcast frames and need not maintain a BTT for broadcast frames that they originate.

9350 If, on receipt of a broadcast frame, the NWK layer finds that the BTT is full and contains no expired entries, then the  
 9351 frame SHOULD be dropped. In this situation the frame SHOULD NOT be retransmitted, nor SHOULD it be passed  
 9352 up to the next higher layer.

9353 A Zigbee coordinator or Zigbee router operating in a non-beacon-enabled Zigbee network SHALL retransmit a pre-  
 9354 viously broadcast frame at most *nwkMaxBroadcastRetries* times. If the device does not support passive acknowledgement,  
 9355 then it SHALL retransmit the frame exactly *nwkMaxBroadcastRetries* times. If the device supports passive  
 9356 acknowledgement and any of its neighboring devices have not relayed the broadcast frame within *nwkPassiveAck-  
 9357 Timeout* OctetDurations then it SHALL continue to retransmit the frame on the MAC interfaces which are in commu-  
 9358 nication with such neighbors up to a maximum of *nwkMaxBroadcastRetries* times.

9359 A device SHOULD change the status of a BTT entry after *nwkNetworkBroadcastDeliveryTime* OctetDurations have  
 9360 elapsed since its creation. The entry status SHOULD change to expired and thus the entry can be overwritten if re-  
 9361 quired when a new broadcast is received.

9362 A router or coordinator with the *macRxOnWhenIdle* MAC PIB attribute set to TRUE, which has one or more neighbors  
 9363 with the *macRxOnWhenIdle* MAC PIB attribute set to FALSE, SHALL do the following:

- 9364 1. If the NWK destination address of the broadcast is 0xFFFF, for each *nwkNeighborTable* entry where the device  
 9365 type is 0x02 (Zigbee End Device) and the entry has RxOnWhenIdle =FALSE, the broadcast SHALL be re-trans-  
 9366 mitted as follows:
  - 9367 a. If the end device target is NOT the NWK source of the broadcast, the message SHALL be relayed as a unicast  
 9368 at the MAC layer via an MCPS-DATA.request.
  - 9369 b. If the end device target is the NWK source of the broadcast, no MCPS-DATA.request is generated.

- 9370       c. Go to the next applicable entry.
- 9371      2. For end device neighbors where RxOnWhenIdle = TRUE, and for all router and coordinator neighbors, they will  
9372           receive the message when it is re-broadcast as described in the section above.<sup>8</sup>
- 9373      Every Zigbee router SHALL have the ability to buffer at least 1 frame at the NWK layer in order to facilitate retrans-  
9374           mission of broadcasts.
- 9375      Figure 3-52 shows a broadcast transaction between a device and two neighboring devices.

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<sup>8</sup> CCB 2231

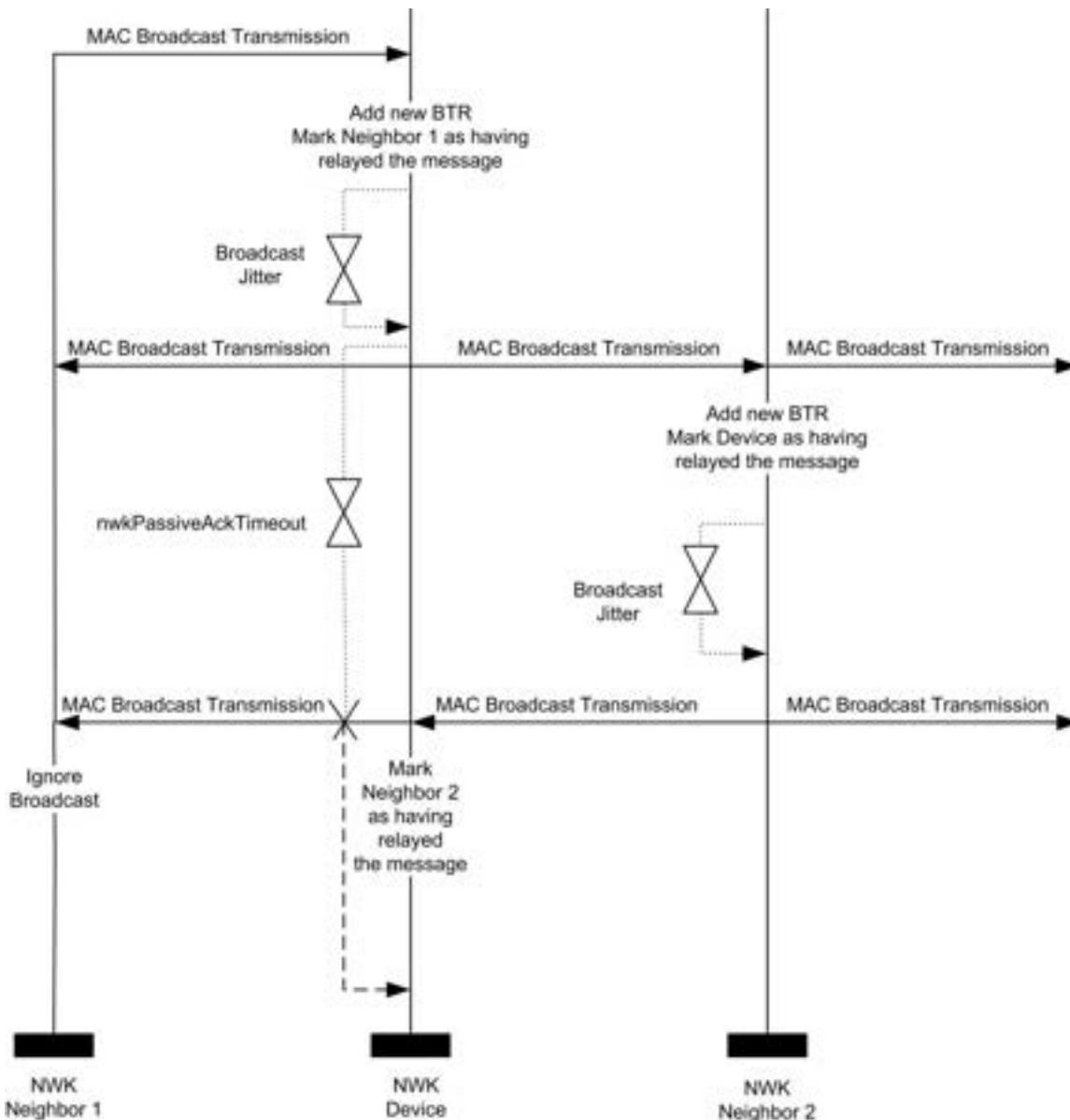


Figure 3-52. Broadcast Transaction Message Sequence Chart

### 3.6.7 Multicast Communication

Multicast communication is carried out using APS layer group addressing and a broadcast addressing mode at the network layer. Network-level multicast, available as an optional feature in prior revisions of this specification, is deprecated.

### 3.6.8 NWK Information in the MAC Beacons

This section specifies how the NWK layer uses the beacon payload of a MAC sub-layer beacon frame to convey NWK layer-specific information to neighboring devices.

Starting with this version of the specification, Zigbee defines a mechanism to append to the beacon in a future compatible way. This Beacon Appendix is included in addition to the Beacon Payload previously defined in the Zigbee

9387 specification. Together the Zigbee Beacon Info Field and the Beacon Appendix constitute the IEEE Std 802.15.4  
 9388 Beacon Payload.

9389 The Beacon Appendix SHALL constitute the entire Beacon Payload field for classic beacons. For Enhanced beacons,  
 9390 the the Beacon Info Field is omitted from the Beacon Payload Field and instead, it is conveyed inside a Pay-  
 9391 load Information Element. The Payload Information Element is detailed in Annex D, section Zigbee Payload IE.  
 9392 Table 3-78 summarizes the Beacon Payload fields.

9393 **Table 3-78. Beacon Payload Fields**

<b>IEEE Beacon Pay- load</b>	<b>Name</b>	<b>Size</b>	<b>Description</b>
	Zigbee Beacon Info Field	15 bytes	The standard Zigbee beacon payload that has been used in R22 and all prior versions of the specification. This is defined in section 3.6.7.
	Zigbee Beacon Appendix	Variable	A set of TLVs indicating various information about the network and the local device sending the beacon. This field is only present in Revision 23 devices and later.

9394 The Zigbee beacon Info Field SHALL contain the information shown in Table 3-79. This enables the NWK layer to  
 9395 provide additional information to new devices that are performing network discovery and allows these new devices to  
 9396 more efficiently select a network and a particular neighbor to join. Refer to section 3.6.1.6.1 for a detailed description  
 9397 of the network discovery procedure.

9398 **Table 3-79. Zigbee Beacon Info Fields**

<b>Name</b>	<b>Type</b>	<b>Valid Range</b>	<b>Description</b>
Protocol ID	Integer	0x00 – 0xff	This field identifies the network layer protocols in use and, for purposes of this specification, SHALL always be set to 0, indicating the Zigbee protocols. The value 0xff SHALL also be reserved for future use by the Connectivity Standards Alliance.
Stack profile	Integer	0x00 – 0x0f	A Zigbee stack profile identifier.
<i>nwkcProtocolVersion</i>	Integer	0x00 – 0x0f	The version of the Zigbee protocol.
Router capacity	Boolean	TRUE or FALSE	This value is set to TRUE if this device is capable of accepting join requests from router-capable devices and is set to FALSE otherwise. This value SHALL match the value of <i>RoutersAllowed</i> for the MAC interface that this beacon is being sent from.
Device depth (DEPRECATEd)	Integer	0x00	This value has been DEPRECATED.
End device capacity	Boolean	TRUE or FALSE	This value is set to TRUE if the device is capable of accepting join requests from end devices seeking to join the network and is set to FALSE otherwise.

Name	Type	Valid Range	Description
<i>nwkExtendedPANId</i>	64-bit extended address	0x0000000000000001 – 0xfffffffffffffe	The globally unique ID for the PAN of which the beaconing device is a member. By default, this is the 64-bit IEEE address of the Zigbee coordinator that formed the network, but other values are possible and there is no required structure to the address.
TxOffset	Integer	0x000000 – 0xfffffff	This value indicates the difference in time, measured in symbols, between the beacon transmission time of the device and the beacon transmission time of its parent; This offset MAY be subtracted from the beacon transmission time of the device to calculate the beacon transmission time of the parent. This parameter is set to the default value of 0xFFFFFFF in beaconless networks.
<i>nwkUpdateId</i>	Integer	0x00 – 0xFF	This field reflects the value of <i>nwkUpdateId</i> from the NIB.

9399  
9400  
9401  
9402  
9403  
9404  
The NWK layer of the Zigbee coordinator SHALL update the beacon info fields immediately following network formation. All other Zigbee devices SHALL update it immediately after the join is completed and any time the network configuration changes. The beacon payload is written into the MAC sub-layer PIB using the MLME-SET.request primitive. The *macBeaconPayloadLength* attribute is set to the length of the beacon payload, and the octet sequence representing the beacon payload is written into the *macBeaconPayload* attribute. The formatting of the bit sequence representing the beacon payload is shown in Figure 3-53.

Bits:	0–7	8–11	12–15	16–17	18	19–22	23	24–87	88–111	112–119
Protocol ID	Stack profile	<i>nwk cProtocol Version</i>	Re-served	Router capacity	Device depth	End device capacity	<i>nwk Extended PANId</i>	Tx Offset	<i>Nwk UpdateId</i>	

9405

**Figure 3-53. Format of the Zigbee Beacon Info Fields**

9406

### 3.6.8.1 Zigbee Beacon Appendix

9407 This field is only present in IEEE Beacons transmitted by Revision 23 and later devices. Devices from Revision 22  
9408 and earlier are expected to accept beacons with this field and ignore the data. Revision 23 devices SHALL accept  
9409 beacons without this field.

9410 The Zigbee Beacon Appendix MAY contain one or more TLVs as defined by this specification. Unknown TLVs  
9411 MAY also be included in the Beacon Appendix Payload and SHALL not generate an error on reception; they  
9412 SHALL be silently ignored.

9413 The set of TLVs included in the Beacon Appendix SHALL be set according to the values of the *nwkNetwork-*  
9414 *WideBeaconPayloadTLVs* and *nwkDeviceBeaconPayloadTLVs* of the NIB. The *nwkDeviceBeaconPayloadTLVs*

9415 SHALL contain at a minimum the Router Information Global TLV. See Steps for Constructing the IEEE Std  
9416 802.15.4 Beacon for how these are utilized.

### 9417 **3.6.8.2 Steps for Constructing the IEEE Std 802.15.4 Beacons**

9418 These steps apply both to standard IEEE Std 802.15.4 Beacons and Enhanced Beacons.

- 9419 1. Append the IEEE Std 802.15.4 MAC headers for the beacon.
- 9420 2. Append the Zigbee Beacon Info field.
- 9421 3. Examine each complete TLV of the *nwkNetworkWideBeaconAppendixTLVs* NIB value.
  - 9422 a. If the full contents of the current TLV do **not** fit within the IEEE Std 802.15.4 frame then beacon construc-  
9423 tion is complete. No more steps are executed.
  - 9424 b. If the full contents of the TLV fit within the IEEE Std 802.15.4 frame, append the complete TLV.
  - 9425 c. Continue processing TLVs within the *nwkNetworkWideBeaconAppendixTLVs* NIB value.
- 9426 4. Examine each complete TLV of the *nwkDeviceBeaconPayloadTLVs* NIB value.
  - 9427 a. If the full contents of the current TLV do **not** fit within the IEEE Std 802.15.4 frame then beacon construc-  
9428 tion is complete. No more steps are executed.
  - 9429 b. If the TLV Tag ID has been previously encountered in step 4, skip this TLV.
    - 9430 i. Globally set TLVs override locally set ones.
  - 9431 c. If the full contents of the TLV fit within the IEEE Std 802.15.4 frame AND the TLV ID was NOT previ-  
9432 ously appended in step 4, append the complete TLV.
  - 9433 d. Continue processing TLVs within the *nwkDeviceBeaconPayloadTLVs* NIB value.

### 9434 **3.6.9 Persistent Data**

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9435 Devices operating in the field MAY be restarted either manually or programmatically by maintenance personnel, or  
9436 MAY be restarted accidentally for any number of reasons, including localized or network-wide power failures, battery  
9437 replacement during the course of normal maintenance, impact, and so on.

9438 The following information SHOULD be preserved across resets in order to maintain an operating network:

- 9439 • The device's PAN Id and Extended PAN Id.
- 9440 • The device's 16-bit network address.
- 9441 • *nwkUpdateId* - The value identifying a snapshot of the network settings with which this node is operating with.

9442 For each device in the *nwkNeighborTable* of the NIB with a device type set to 0x02 (Zigbee End Device), the follow-  
9443 ing SHALL be saved:

- 9444 • The 64-bit IEEE address
- 9445 • 16-bit network address
- 9446 • The End Device Configuration value
- 9447 • Device Timeout value
- 9448 • MAC Interface Index
- 9449 • If the device is an end device, the *nwkParentInformation* value in the NIB.
- 9450 • For end devices, the 16-bit network address of the parent device.
- 9451 • The stack profile in use.
- 9452 • The MAC Interface Table
- 9453 • If the device is the Zigbee coordinator or a Zigbee router, its routing sequence number

9454 The method by which these data are made to persist is beyond the scope of this specification.

## 3.6.10 End Device Aging and Management

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The end device and router relationship is established via MAC association or NWK rejoin, and can be dissolved via a leave command. However there are a number of ways in which the relationship can get broken, where router parent and end device do not agree. For example the router parent MAY think it is still the router parent for an end device when in fact the end device has switched to a new parent, or the router parent MAY age out the child since it has had no communication with it for an extended period of time.

Router parents have a finite amount of local resources to store end device information. As such it is desirable to clean out old entries to allow for new end devices to join. End devices SHALL be aged out by the router according to the rules defined below.

Note: For *nwkParentInformation*, see Table 3-62.

### 3.6.10.1 End Device Aging Mechanism

A router parent SHALL age neighbor table entries for end devices. It is important to note that prior versions of this specification did not have this requirement and thus legacy devices exist that do not have this child aging mechanism.

A router parent SHALL keep track of the amount of real time that has passed and decrement the Timeout counter value for each end device entry in its neighbor table until the value reaches 0. When a neighbor table entry's Timeout counter value reaches 0, the router parent SHALL delete the entry from the neighbor table.

End Devices MAY periodically send a keepalive message to reset the Timeout counter value. See section 3.6.10.3 for details.

### 3.6.10.2 Establishing the Timeout

A router SHALL initially set the timeout for all end devices according to the default value of *nwkEndDeviceTimeoutDefault* in Table 3-62.

The following describes how an end device MAY update this value from the default.

After joining or rejoining the network the end device SHALL send an End Device Timeout Request command to its parent. This SHALL be done even if the end device is joining or rejoining to the same parent. The message SHALL include their timeout period and configuration.

Routers SHALL process the End Device Timeout Request command as follows:

1. If the Requested Timeout Enumeration value in the frame is not within the valid range, it SHALL generate an End Device Timeout Response command with a status of INCORRECT\_VALUE and no further processing of the message SHALL be done.
2. The parent SHALL find the neighbor table entry for the sending device and verify that the entry corresponds to an end device. If no entry is found or the entry is not an end device, then the message SHALL be dropped and no further processing SHALL be done.
3. The parent SHALL validate that each bit set to 1 in the End Device Configuration Field is a known feature and supported by the parent. If any feature is not supported or not known to the parent, it SHALL send an End Device Timeout Response with a status of UNSUPPORTED\_FEATURE and no further processing SHALL be done. At the time of this specification Revision, there are no defined bits for the End Device Configuration Field, and thus no supported features.
4. The received value SHALL be converted into an actual timeout amount. This SHALL be done by obtaining the actual timeout value for the corresponding Requested Timeout Enumeration in Table 3-57. The value SHALL be converted from minutes into seconds if it is not already a value in seconds. The parent SHALL set the Timeout Counter and Device Timeout values of the neighbor table entry to the converted value.
5. The parent SHALL set the End Device Configuration information in the neighbor table for the corresponding end device's entry to the value of the End Device Configuration field in the received message.

9498     6. The parent SHALL generate an End Device Timeout Response command with a status of SUCCESS. It SHALL  
9499       fill in the value of the *Parent Information Bitmask* field according to the keepalive methods it supports. The parent  
9500       SHALL set either Mac Data Poll Keepalive Support or End Device Timeout Request Support. The parent is  
9501       indicating the method that the End Device SHALL use.

9502     An End Device that receives an End Device Timeout Response Command SHALL process it as follows.

- 9503     1. If the status is SUCCESS it SHALL set the *nwkParentInformation* value in the NIB to value of the Parent Infor-  
9504       mation field of the received command. No further processing SHALL be done.  
9505     2. If the End Device receives the command with a status value other than SUCCESS, it SHALL assume its timeout  
9506       value has not been configured on the parent.

9507     End Devices MAY receive no End Device Timeout Response command at all if they are communicating with a legacy  
9508       device that does not have support for this command. They SHALL treat this the same as receiving an End Device  
9509       Timeout Response with a non-SUCCESS status code.

### 9510     **3.6.10.3    End Device Keepalive**

9511     All end devices (including RxOnWhenIdle=TRUE) that have received an End Device Timeout Response Command  
9512       with a status of SUCCESS MAY periodically send a keepalive to their router parent to insure they remain in the  
9513       router's neighbor table.

9514     The keepalive message will refresh the timeout on the parent device so that the parent does not delete the child from  
9515       its neighbor table. The period for sending the keepalive to the router parent SHALL be determined by the manufacturer  
9516       of the device and is not specified by this standard. It is recommended that the period allows the end device to send 3  
9517       keepalive messages during the Device Timeout period. This will help insure that a single missed keepalive message  
9518       will not age out the end device on the router parent.

9519     There are two keepalive mechanisms described below. The method the end device uses depends on the support of the  
9520       router parent. The router parent will indicate its support in the End Device Timeout Response command frame and  
9521       this information will be stored in the NIB.

9522     When an End Device needs to send a keepalive message, it SHALL examine the *nwkParentInformation* value in the  
9523       NIB. If bit 0 has a value of 1 (indicating support of the MAC data poll keepalive) then the device SHALL send a MAC  
9524       data poll command unicast to its parent.

9525     Otherwise if the value of bit 1 has a value of 1, then the device SHALL send an End Device Timeout Request command  
9526       as a unicast to refresh the keepalive timer. If the transmission is successful, the device SHALL wait for  
9527       *macResponseWaitTime* for an End Device Timeout Response from its parent. If the transmission was unsuccessful,  
9528       or if no End Device Timeout Response command is received, or if the status field indicates a value other than SUC-  
9529       CESS, the end device SHALL generate a NLME-NWK-STATUS.indication with a code of 0x09 (Parent Link Fail-  
9530       ure).

### 9531     **3.6.10.4    MAC Data Poll Processing**

9532     A router whose *nwkParentInformation* in the NIB has bit 1 set to 0, SHALL support the MAC Data poll as an End  
9533       Device keepalive. A router is not required to support this method. If it does not it SHALL support the End Device  
9534       Timeout Request method.

9535     Upon receipt of an MLME-POLL.Indication the router parent SHALL examine its neighbor table and do **one** of the  
9536       following:

- 9537       1. If there is no entry in the neighbor table corresponding to the DeviceAddress of the MLME-Poll.Indication primitive,  
9538           then the device SHALL construct a leave message. The destination NWK address SHALL be set to the  
9539           value of the MAC source of the MAC data poll. See section 3.6.10.4.1 for more information on the leave message.  
9540           The message SHALL be added to the indirect transaction queue of the MAC layer. No further processing shall  
9541           be done.
- 9542       2. If there is an entry in the neighbor table for the sending device's MAC source, then the local device shall set the  
9543           Timeout counter value to the value of the *End Device Keepalive Timeout* value, and it SHALL set the Keepalive  
9544           Received value to TRUE.

9545 When an End Device sends a MAC Data poll command it SHALL assume that the parent has knowledge of the end  
9546 device and the Timeout Counter associated with the end device has been reset in the parent's neighbor table. The End  
9547 Device will behave per reference [B1] with regard to the data pending bit in the MAC ACK, and will follow standard  
9548 processing of any leave message that MAY be received after sending a data poll.

9549 A router SHALL only update the Keepalive Received value on receipt of an MLME-POLL.indication when the *nwk-ParentInformation* has bit 0 set to 1.  
9550

### 9551 3.6.10.4.1 Sending a Leave Message

9552 A router SHALL send a leave message when it wants to inform an end device it is no longer a parent to the end device.  
9553 The leave message SHALL be one of the following messages:

- 9554 1. NWK Leave Request
  - 9555 a. A device that chooses to send a NWK leave request SHALL set fields of the NWK Command as follows.
    - 9556 i. The destination IEEE address sub-field of the frame control SHALL be set to 0, indicating that no destination IEEE address is present.
    - 9558 ii. The destination IEEE address field SHALL NOT be present in the message.
    - 9559 iii. The request sub-field of the command options field SHALL be set to 1.
    - 9560 iv. The rejoin request sub-field of the command SHALL be set to 1.
  - 9561 2. ZDO Mgmt\_Leave\_req
    - 9562 a. A device that chooses to send a ZDO Mgmt\_Leave\_req SHALL set the fields of the ZDO Mgmt\_leave\_req command as follows:
      - 9564 i. The Device Address field SHALL be set to NULL (0x0000000000000000)
      - 9565 ii. The Remove Children Bit SHALL be set to 0.
      - 9566 iii. The Rejoin bit SHALL be set to 1.
    - 9567 b. The Acknowledgement request sub-field of the APS Frame control field SHALL be set to 0 (no acknowledgement requested).

### 9569 3.6.10.5 Setting the End Device Timeout on the Router Parent

9570 A router SHALL set the default values for Timeout Counter and End Device Keepalive Timeout to the time-span  
9571 indicated by *nwkEndDeviceTimeoutDefault* as converted to seconds.

9572 After successfully joining or rejoining the network and receiving the network key, an End Device SHALL send an  
9573 End Device Timeout Request command to its router parent indicating its desired timeout. Upon receipt and successful  
9574 processing of the End Device Timeout Request router parents SHALL update the timeout values accordingly. See  
9575 section 3.6.10.2 for details.

9576 Legacy devices will not send an End Device Timeout Request and thus will receive the default timeout.

### 9577 3.6.10.6 Local End Device Timeout

9578 An end device MAY keep track of its timeout using the following mechanism:

- 9579 1. The end device SHALL find the corresponding neighbor table entry for its router parent.
- 9580 2. It SHALL decrement the Timeout Counter value in the Neighbor Table entry based on the amount of real time  
9581 that has passed, until that value reaches 0.
- 9582 3. If the Timeout Counter reaches a value of 0, it SHALL assume that its parent has timed out the device.

9583 If the end device has determined that it has been timed out, it can choose to perform a rejoin to get back on the network  
9584 as described in section 3.6.1.6.1. Alternatively it is permissive for an end device to always perform a rejoin without  
9585 keep tracking of its local end device timeout.

9586 There is no requirement that the end device re-establish connectivity with the network if it has determined that it has  
 9587 reached the timeout value established with its router parent. An end device MAY choose to delay rejoining the network  
 9588 until it is appropriate, for example when the end device has data it needs to send.

### 9589 **3.6.10.7 Persistent Values on the Parent Router**

9590 The router parent is EXPECTED to persistently store the end device information in the neighbor table (see section ).

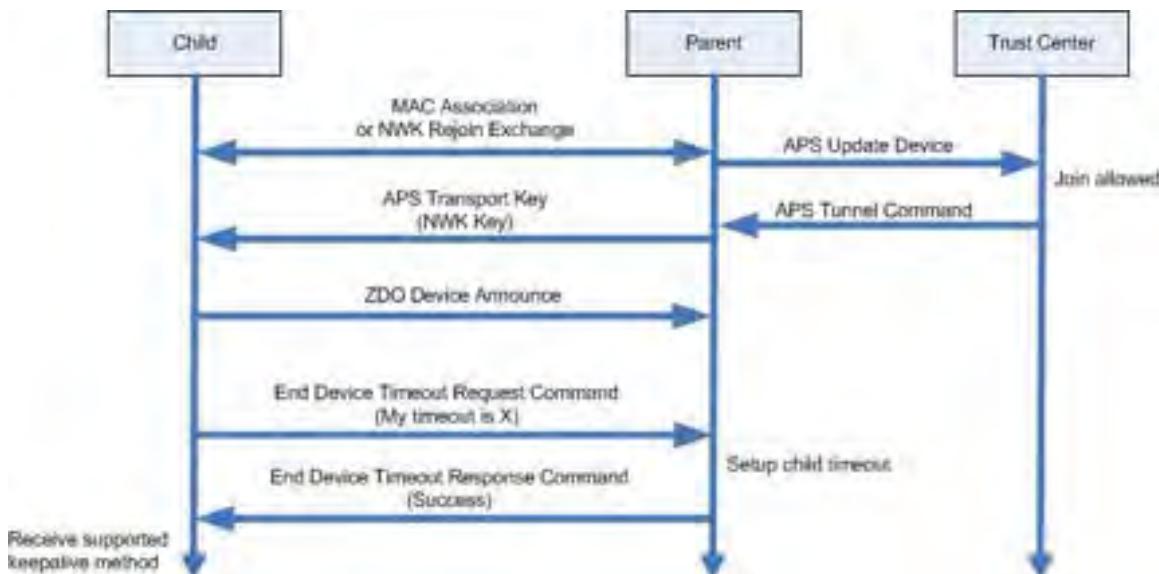
### 9591 **3.6.10.8 Reboot and Child Aging**

9592 On reboot routers SHALL set the Timeout Counter value for each end device in its neighbor table to the entry's value  
 9593 of Device Timeout. In other words, end devices SHALL be given a full time period for aging out.

9594 On reboot it is recommended end devices immediately initiate a keep-alive message to verify connectivity to their  
 9595 parent.

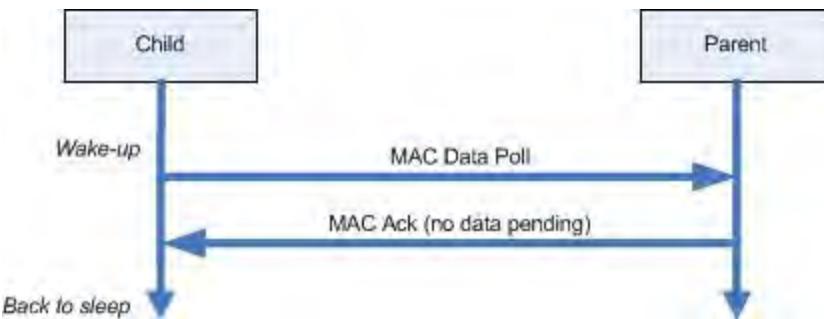
### 9596 **3.6.10.9 Diagrams Illustrating End Device Management**

9597 Figure 3-54 shows an end device joining into a network and the series of message exchanges. After the end device  
 9598 has joined and has a copy of the NWK key, it will send a NWK command of End Device Request to the parent and  
 9599 check for a response.

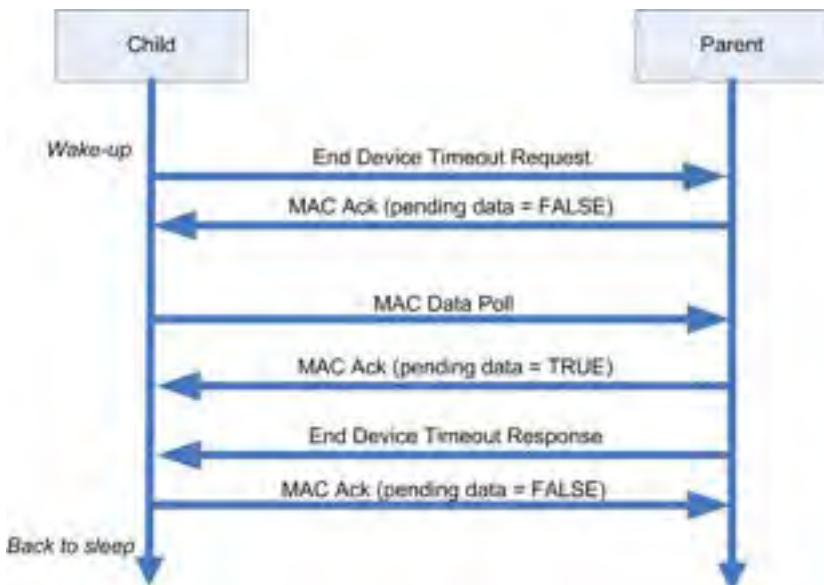


**Figure 3-54. Initial Setup of the End Device Timeout**

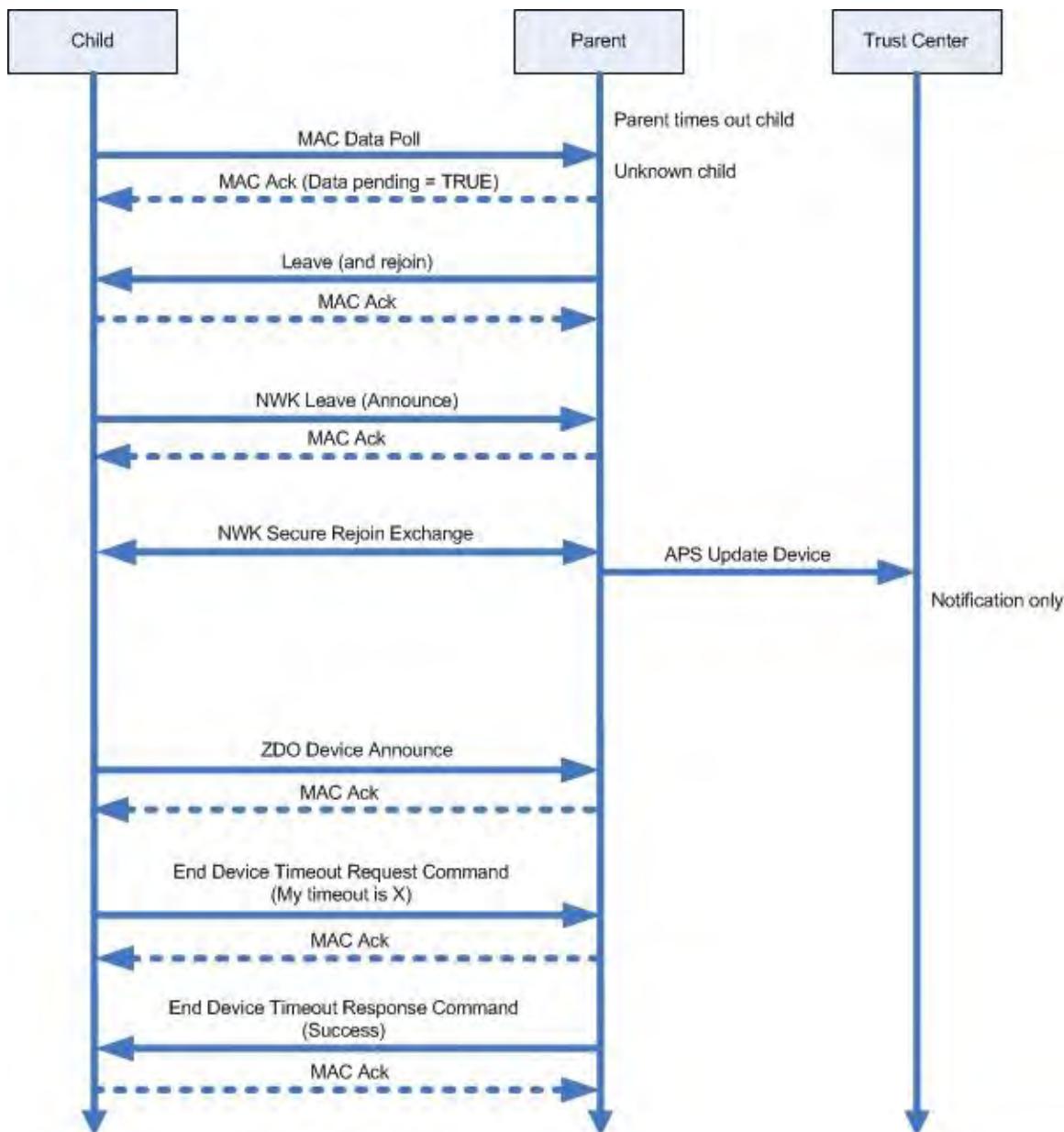
9600  
 9601 Figure 3-55 shows normal operation of a child talking to a parent that supports the MAC Data Poll Keepalive  
 9602 Method. When the data pending bit is unset in the MAC acknowledgement, the end device can assume that the par-  
 9603 ent still remembers the device.  
 9604

**Figure 3-55. Child Keepalive: MAC Data Poll Method**

9605  
9606  
9607 Figure 3-56 shows normal operation of a child talking to a parent that supports the End Device Timeout Request  
9608 keepalive method.

**Figure 3-56. Child Keepalive: End Device Timeout Request Method**

9609  
9610  
9611 Figure 3-57 and Figure 3-58 show what happens when a parent that supports the MAC data poll keepalive method,  
9612 ages out the child. The parent will indicate to the child that it has a pending message for the child by setting the data  
9613 pending bit to TRUE in the MAC acknowledgement. The parent will then transmit a leave message to the device with  
9614 the rejoin bit set to TRUE. The device will announce leaving the network and perform a rejoin. shows a secure rejoin  
9615 while shows a Trust Center Rejoin. After the rejoin is successful the device will send the NWK Command End Device  
9616 Timeout Request and receive a response.



9617

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Figure 3-57. Aging out Children: MAC Data Poll Method - Secure Rejoin

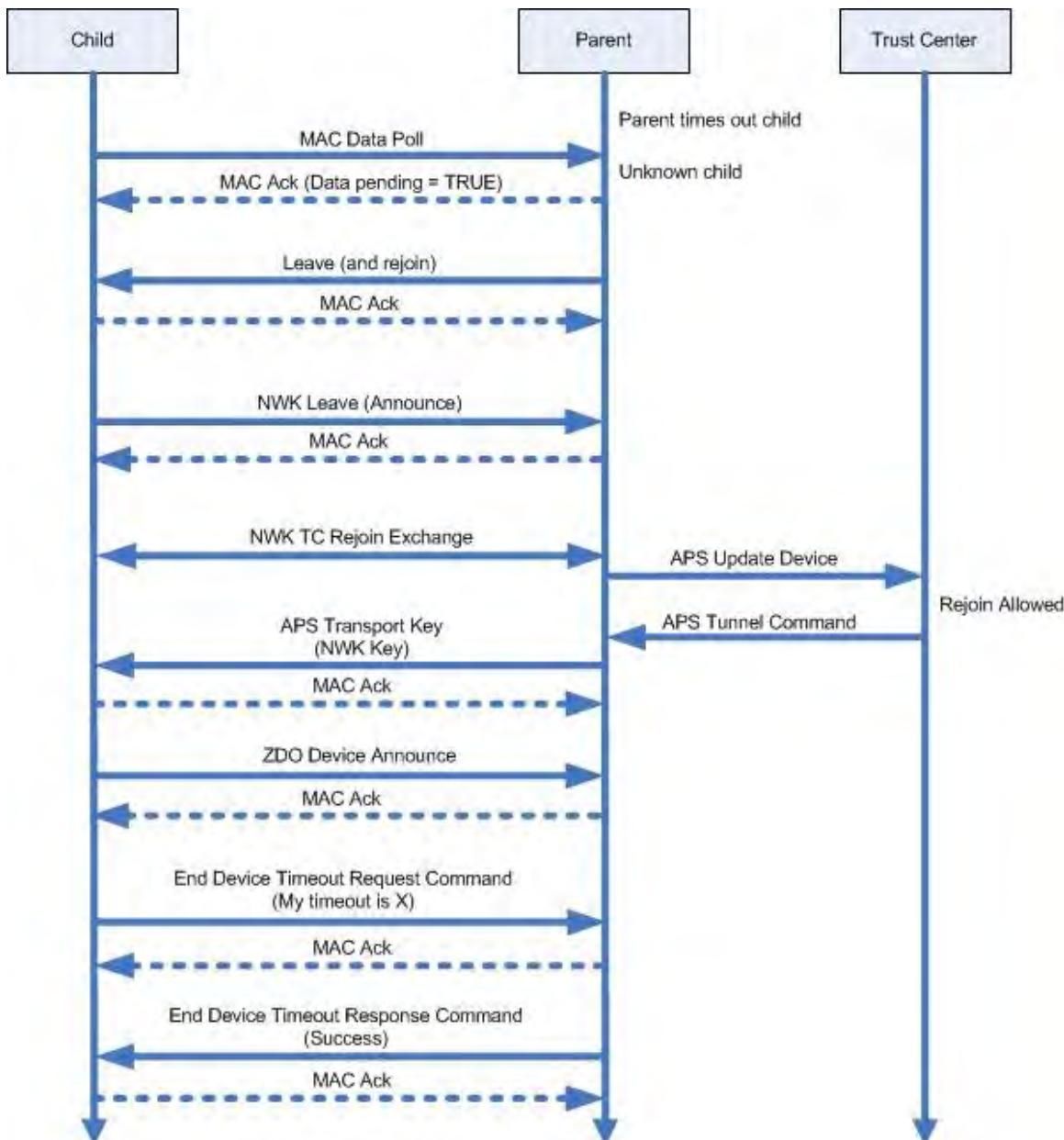


Figure 3-58. Aging out Children: MAC Data Poll - Trust Center Rejoin

Figure 3-59 and Figure 3-60 show what happens when an end device is aged out of the parent's table with a parent that supports the End Device Timeout Request method. An end device sends an End Device Timeout Request and receives no response. Afterwards it will perform a rejoin. shows a secure rejoin while shows a Trust Center rejoin. Once the device has completed the rejoin it will send a NWK command End Device timeout request and receive the response.

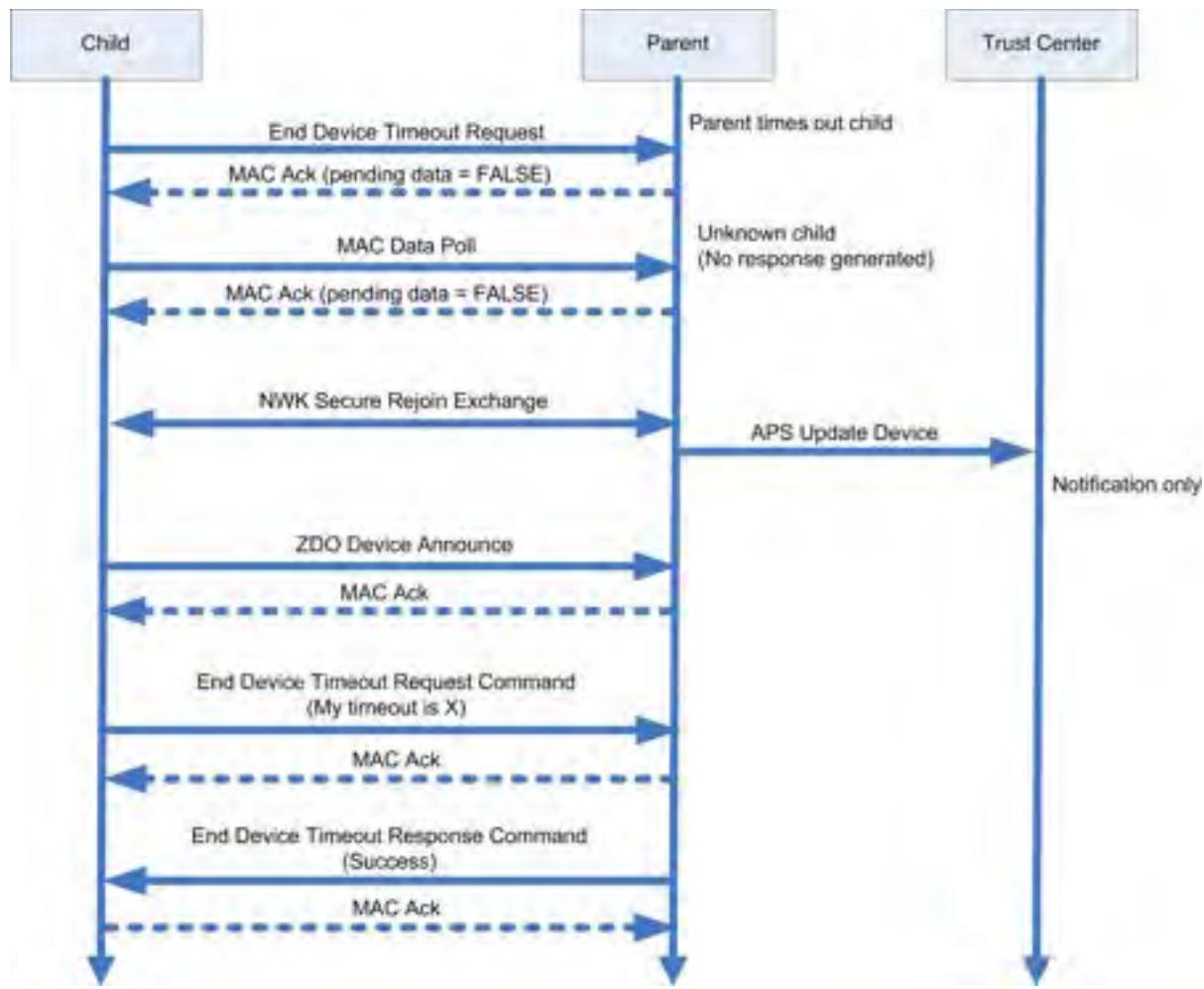


Figure 3-59. Aging out Children: End Device Timeout Request Method - Secure Rejoin

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9627

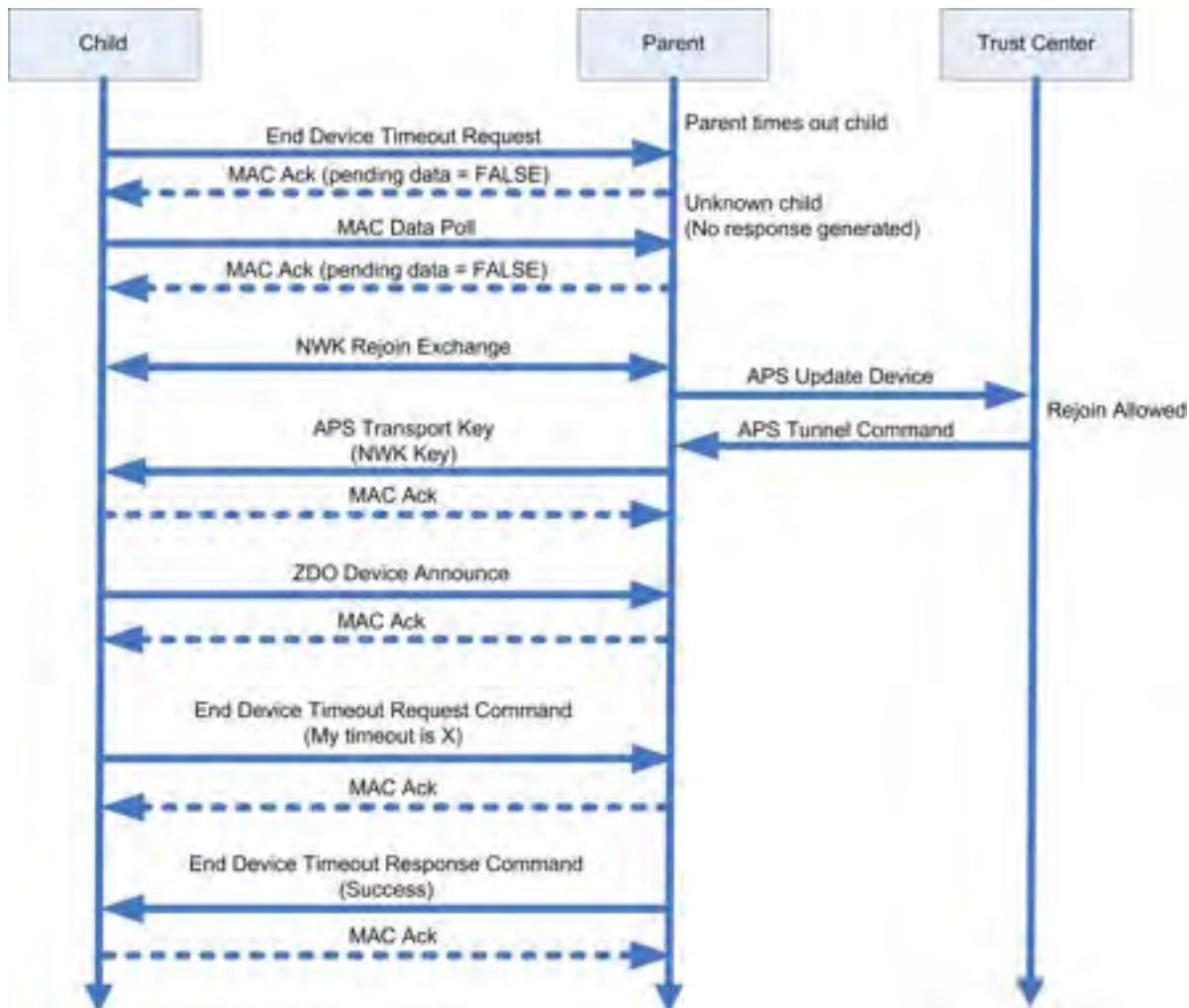


Figure 3-60. Aging out Children: End Device Timeout Request Method - Trust Center Rejoin

### 3.6.10.10 Trust Center Rejoin or Secure Rejoin

An end device that has detected it has been aged out of its parent's child table MAY choose to use either a Secure Rejoin or a Trust Center rejoin. The choice to use one or the other is up to the implementation but can be based on whether it MAY have missed a network key update. A device that has missed a network key update will have to use a Trust Center Rejoin. However in a case where that situation has not occurred, a Secure Rejoin will complete more quickly and can be used instead. It is possible that an end device MAY try both methods to insure it can get back on the network.

### 3.6.11 Power Negotiation

Two devices can negotiate a lower power level than the device's normal operating power. This is considered a "good neighbor" policy of reducing transmission noise beyond what is necessary for the two devices to communicate. Power negotiation is an optional feature that a device MAY choose to implement. This feature is described in detail in section 3.4.13.

#### 3.6.11.1 Behavior

Each device SHALL maintain a table of devices and the required power level for communicating to those devices. This table is contained within the MLME. See section D.11.2. The network layer SHALL use that table for negotiating power levels with neighboring devices.

9646 If there is a change in network channel, or a device performs a rejoin, the maximum allowed power SHALL be used  
9647 initially.

### 9648 **3.6.11.2 Determining support for Power Negotiation**

9649 It is preferable that an End Device does not have to generate periodic Link Power Delta commands unless its parent  
9650 supports the Power Negotiation feature. Therefore it can utilize the Parent Information field of the End Device  
9651 Timeout response to discern whether the parent device supports this. If the parent indicates bit 2 (Power Negotiation  
9652 Support) is set to 0, the End Device SHALL set the *nwkLinkPowerDeltaTransmitRate* to 0. If the parent indicates bit  
9653 2 (Power Negotiation Support) is set to 1, the End Device SHALL set the *nwkLinkPowerDeltaTransmitRate* to an  
9654 appropriate rate based its desired balance of battery life versus latency of renegotiating its power level.

#### 9655 **3.6.11.2.1 Generating Link Power Delta messages**

9656 A router SHALL generate a Link Power Delta message as follows:

- 9657 1. The message SHALL be broadcast to all non-sleeping end devices, router and coordinator devices (0xFFFFD).
- 9658 2. The radius of the broadcast SHALL be 1.

9659 After generating a Link Power Delta command an end device SHALL poll up to 3 times in rapid succession to re-  
9660 ceive a corresponding Link Power Delta command from the parent.

### 9661 **3.6.12 Multiple MAC Interfaces**

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9662 It is permissible that the NLME supports multiple MAC interfaces. This MAY be done in order to support multiple  
9663 potential PHY where only a single PHY is enabled at one time, or it MAY be to support simultaneous operation on  
9664 multiple PHYs.

9665 The following describes the procedure for devices supporting multiple MAC.

#### 9666 **3.6.12.1 Multi-MAC Selection Device**

9667 A Multi-MAC selection device is one that supports multiple possible MACs, but only operates on one while it is  
9668 joined to a network. The process for selecting among the multiple MACs to use for joining is the following:

- 9669 1. For each possible interface, the following is executed.
  - a. Application enables one of the MAC interfaces by issuing the NLME-SET-INTERFACE.request primitive with State = TRUE. For all other interfaces, it issues the NLME-SET-INTERFACE.request primitive with State = FALSE.
  - b. Application issues the NLME-GET-INTERFACE.request and waits for the NLME-GET-INTERFACE.confirm with a Status of SUCCESS.
  - c. Application issues the NLME-JOIN.request primitive with the ScanChannelsList set to the value returned in the SupportedChannels parameter of the NLME-GET-INTERFACE.confirm.
  - d. If NLME-JOIN.confirm returns a result of SUCCESS, then the Multi-PHY Selection is complete.
  - e. If NLME-JOIN.confirm returns a result other than SUCCESS, than proceed to the next interface.

#### 9679 **3.6.12.2 Multi-MAC Switch Device**

9680 A Multi-MAC Switch Device is a device that supports simultaneous operation on multiple MACs and will bridge  
9681 packets from one interface to the other. The following is the procedure for a device to enable multiple MACs.

- 9682 1. The application can tailor the list of interfaces that are enabled by selecting a subset of channels that only a single interface supports.
- 9684 2. The Application issues an NLME-NETWORK-FORMATION.request with the ScanChannelsList and MacInterfaceIndex set to the SupportedChannels and the InterfaceIndex indicated by the parameters of the NLME-GET-INTERFACE.confirm primitive.

- 9687     a. For each interface, the NLME-NETWORK-FORMATION.request calls the NLME-SET-INTERFACE.re-  
9688       quest with a status of Enabled
- 9689     3. When the device chooses to enable additional MAC interfaces it SHALL do the following for each interface.
- 9690       a. Enable the interface entry by issuing an NLME-SET-INTERFACE.request primitive and wait for the  
9691         NLME-SET-INTERFACE.confirm primitive.
- 9692       b. Retrieve the list of supported channels by issuing NLME-GET-INTERFACE.request primitive and waiting  
9693         for the NLME-GET-INTERFACE.confirm primitive.
- 9694       c. Issue the NLME-NETWORK-AND-PARENT-DISCOVERY.request with the ScanChannelList set to the  
9695         SupportedChannelList indicated by the NLME-GET-INTERFACE.confirm primitive.
- 9696     4. If any of the interfaces returned the NLME-NETWORK-AND-PARENT-DISCOVERY.confirm primitive with  
9697       a NetworkDescriptor containing a PANId that is the same as the nwkPANId of the NIB, the following SHALL  
9698       be done to change the PAN ID.
- 9699       a. Randomly select a new PAN ID and set the nwkPanId value of the NIB to this new value.
- 9700       b. Issue a Network Update Command with the Update Command ID set to 0x00, PAN ID Update, and the  
9701         Update Count set to 1. The New PAN ID field SHALL be set to the nwkPanId value of the NIB.

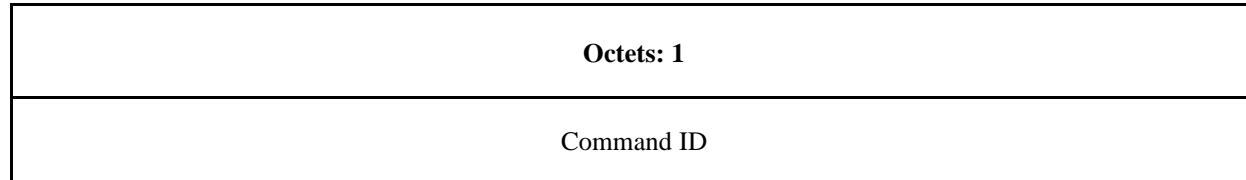
### 9702     3.6.13    Unknown Commands

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9703     Note that devices conforming to R21 or earlier of this specification will not return this response to an unknown com-  
9704       mand.

9705     Whenever an unknown or unsupported unicast NWK command is received and the NWK Destination of the frame is  
9706       the address of the local device, it SHALL do the following:

- 9707     1. Construct a Network Status Command Frame
- 9708     2. Set the Status field of the command to 0x13, Unknown Command.
- 9709     3. Set the Destination Address field of the command to the NWK Source of the frame.
- 9710     4. Set the Payload of the command as shown in Table 3-80, with the Command ID set to the ID value of the received  
9711       command.



9712                      **Figure 3-61. Unknown Command Payload**

- 9713     5. Issue a NLDE-DATA.request containing the Network Status Command frame. The NWK Destination SHALL  
9714       be equal to NWK Source of the frame that triggered this behavior.

### 9715     3.7    NWK Layer Status Values

---

9716     Network (NWK) layer confirmation primitives often include a parameter that reports on the status of the request to  
9717       which the confirmation applies. Values for NWK layer Status parameters appear in Table 3-80.

**Table 3-80. NWK Layer Status Values**

Name	Value	Description
SUCCESS	0x00	A request has been executed successfully.
Reserved	0x01 – 0xc0	Reserved for future use.
INVALID_PARAMETER	0xc1	An invalid or out-of-range parameter has been passed to a primitive from the next higher layer.
INV_REQUESTTYPE	0xc2	The next higher layer has issued a request that is invalid or cannot be executed given the current state of the NWK layer.
NOT_PERMITTED	0xc3	An NLME-JOIN.request has been disallowed.
STARTUP_FAILURE	0xc4	An NLME-NETWORK-FORMATION.request has failed to start a network.
ALREADY_PRESENT	0xc5	A device with the address supplied to the NLME-ADD-NEIGHBOR.request is already present in the neighbor table of the device on which the NLME-ADD-NEIGHBOR.request was issued.
SYNC_FAILURE	0xc6	Used to indicate that an NLME-SYNC.request has failed at the MAC layer.
NEIGHBOR_TABLE_FULL	0xc7	An NLME-JOIN-DIRECTLY.request has failed because there is no more room in the neighbor table.
UNKNOWN_DEVICE	0xc8	An NLME-LEAVE.request has failed because the device addressed in the parameter list is not in the neighbor table of the issuing device.
UNSUPPORTED_ATTRIBUTE	0xc9	An NLME-GET.request or NLME-SET.request has been issued with an unknown attribute identifier.
NO_NETWORKS	0xca	An NLME-JOIN.request has been issued in an environment where no networks are detectable.
Reserved	0xcb	Reserved for future use.
MAX_FRM_COUNTER	0xcc	Security processing has been attempted on an outgoing frame, and has failed because the frame counter has reached its maximum value.
NO_KEY	0xcd	Security processing has been attempted on an outgoing frame, and has failed because no key was available with which to process it.

Name	Value	Description
BAD_CCM_OUTPUT	0xce	Security processing has been attempted on an outgoing frame, and has failed because the security engine produced erroneous output.
Reserved	0xcf	Reserved for future use.
ROUTE_DISCOV- ERY_FAILED	0xd0	An attempt to discover a route has failed due to a reason other than a lack of routing capacity.
ROUTE_ERROR	0xd1	An NLDE-DATA.request has failed due to a routing failure on the sending device or an NLME-ROUTE-DISCOV- ERY.request has failed due to the cause cited in the accompanying NetworkStatusCode.
BT_TABLE_FULL	0xd2	An attempt to send a broadcast frame has failed because there is no room in the BTT.
FRAME_NOT_BUFFERED	0xd3	An NLDE-DATA.request has failed due to insufficient buffering available.
INVALID_INTERFACE	0xd5	An attempt was made to use a MAC Interface with a state that is currently set to FALSE (disabled) or that is unknown to the stack..
MISSING_TLV	0xD6	A required TLV for processing the request was not present.
INVALID_TLV	0xD7	A TLV was malformed or missing relevant information.

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## CHAPTER 4. SECURITY SERVICES SPECIFICATION

9721

9722

### 4.1 Document Organization

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The remaining portions of this document specify in greater detail the various security services available within the Zigbee stack. Basic definitions and references are given in section 4.2. A general description of the security services is given in section 4.2.1. In this section, the overall security architecture is discussed; basic security services provided by each layer of this architecture are introduced. Sections 4.2.2 and 4.2.3 give the Connectivity Standards Alliance's security specifications for the Network (NWK) layer and the Application Support Sublayer (APS) layer, respectively. These sections introduce the security mechanisms, give the primitives, and define any frame formats used for security purposes. Section 4.5 describes security elements common to the NWK and APS layers. Section 4.6 provides a basic functional description of the available security features. Finally, annexes provide technical details and test vectors needed to implement and test the cryptographic mechanisms and protocols used by the NWK and APS layers.

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### 4.2 General Description

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Security services provided for Zigbee include methods for key establishment, key transport, frame protection, and device management. These services form the building blocks for implementing security policies within a Zigbee device. Specifications for the security services and a functional description of how these services SHALL be used are given in this document.

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#### 4.2.1 Security Architecture and Design

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In this section, the security architecture is described. Where applicable, this architecture complements the security services that are already present in the IEEE Std 802.15.4-2020 [B1] security specification.

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##### 4.2.1.1 Security Assumptions

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The level of security provided by the Zigbee security architecture depends on the safekeeping of the symmetric keys, on the protection mechanisms employed, and on the proper implementation of the cryptographic mechanisms and associated security policies involved. Trust in the security architecture ultimately reduces to trust in the secure initialization and installation of keying material and to trust in the secure processing and storage of keying material.

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Implementations of security protocols, such as key establishment, are assumed to properly execute the complete protocol and not to leave out any steps thereof. Random number generators are assumed to operate as EXPECTED. Furthermore, it is assumed that secret keys do not become available outside the device in an unsecured way. That is, a device will not intentionally or inadvertently transmit its keying material to other devices unless the keying material is protected, such as during key-transport. During initial key transport the keying material used for protection MAY be a well-known key, thus resulting in a brief moment of vulnerability where the key could be obtained by any device. Alternatively, the initial key transport MAY be done using a pre-shared secret key that is passed out-of-band from the Zigbee network. The following caveat in these assumptions applies: due to the low-cost nature of *ad hoc* network devices, one cannot generally assume the availability of tamper-resistant hardware. Hence, physical access to a device MAY yield access to secret keying material and other privileged information, as well as access to the security software and hardware.

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Due to cost constraints, Zigbee has to assume that different applications using the same radio are not logically separated (for example, by using a firewall). In addition, from the perspective of a given device it is not even possible (barring certification) to verify whether cryptographic separation between different applications on another device — or even between different layers of the communication stack thereof — is indeed properly implemented. Hence, one SHALL assume that separate applications using the same radio trust each other; that is, there is no cryptographic task separation. Additionally, lower layers (for example, APS, NWK, or MAC) are fully accessible by any of the

9762 applications. These assumptions lead to an open trust model for a device; different layers of the communication stack  
9763 and all applications running on a single device trust each other.

9764 In summary:

- 9765 • The provided security services cryptographically protect the interfaces between different devices only.  
9766 • Separation of the interfaces between different stack layers on the same device is arranged non-cryptograph-  
9767 ically, via proper design of security service access points.

#### 9768 **4.2.1.2 Security Design Choices**

9769 The open trust model (as described in section 4.2.1.1) on a device has far-reaching consequences. It allows re-use of  
9770 the same keying material among different layers on the same device and it allows end-to-end security to be realized  
9771 on a device-to-device basis rather than between pairs of particular layers (or even pairs of applications) on two com-  
9772 municating devices.

9773 However, one SHALL also take into consideration whether one is concerned with the ability of malevolent network  
9774 devices to use the network to transport frames across the network without permission.

9775 These observations lead to the following architectural design choices:

9776 First, the principle that “*the layer that originates a frame is responsible for initially securing it*” is established. For  
9777 example, if a NWK command frame needs protection, NWK layer security SHALL be used.

9778 Second, if protection from theft of service is required (that is, from malevolent network devices), NWK layer security  
9779 SHALL be used for all frames, except those passed between a router and a newly joined device (until the newly joined  
9780 device receives the active network key). Thus, only a device that has joined the network and successfully received the  
9781 active network key will be able to have its messages communicated more than one hop across the network.

9782 Third, due to the open trust model, security can be based on the reuse of keys by each layer. For example, the active  
9783 network key SHALL be used to secure APS layer broadcast frames or NWK layer frames. Reuse of keys helps reduce  
9784 storage costs.

9785 Fourth, end-to-end security is provided such that it is possible for only source and destination devices to access mes-  
9786 sages protected by a shared key. This ensures that routing of messages between the two devices with the shared key  
9787 can be independent of trust considerations.

9788 Fifth, to simplify interoperability of devices, the base security level used by all devices in a given network, and by all  
9789 layers of a device, SHALL be the same. If an application needs more security for its payload than is provided by  
9790 network level security, it can establish application level security with another device. There are several policy deci-  
9791 sions which any real implementation SHALL address correctly. Application profiles SHOULD include policies to:

9792 Handle error conditions arising from securing and unsecuring packets. Some error conditions MAY indicate loss of  
9793 synchronization of security material, or MAY indicate ongoing attacks:

- 9794 • Detect and handle loss of counter synchronization and counter overflow.  
9795 • Detect and handle loss of key synchronization.  
9796 • Expire and periodically update keys, if desired.

9797 The other security design choice is done by the device that forms a network. This device sets the security policies and  
9798 processes followed by the network and devices that join the network.

##### 9799 **4.2.1.2.1 Security Keys**

9800 Security amongst a network of Zigbee devices is based on “link” keys and a “network” key. Unicast communica-  
9801 tion between APL peer entities is secured by means of a 128-bit link key shared by two devices, while broadcast commu-  
9802 nications and any network layer communications are secured by means of a 128-bit network key shared amongst all  
9803 devices in the network. The intended recipient is always aware of the exact security arrangement; that is, the recipient  
9804 knows whether a frame is protected with a link key or a network key.

9805 A device SHALL acquire link keys either via key-transport, or pre-installation (for example, during factory installation).  
 9806 A device SHALL acquire a network key via key-transport. Some application profiles have also developed out  
 9807 of band mechanisms or key negotiation protocols used for generating link keys or network keys on devices. Ultimately,  
 9808 security between devices depends on secure initialization and installation of these keys.

9809 There is one type of network key; however, it can be used in either distributed or centralized security models. The  
 9810 security model controls how a network key is distributed; and MAY control how network frame counters are initialized.  
 9811 The security model does not affect how messages are secured.

9812 There are two different types of trust center link keys: global and unique. The type of trust center link key in use by  
 9813 the local device SHALL determine how the device handles various trust center messages (APS commands), including  
 9814 whether to apply APS encryption. A Trust Center link key MAY also be used to secure APS data messages between  
 9815 the Trust Center and the corresponding peer device. The choice of whether to use APS security on those APS data  
 9816 messages is up to the higher layer application.

9817 A link key between two devices, neither of which is the trust center, is known as an application link key.

9818 The default value for the centralized security global trust center link key SHALL have a value of 5A 69 67 42 65 65  
 9819 41 6C 6C 69 61 6E 63 65 30 39 (ZigbeeAlliance09).

9820 The different types of keys used are described in Table 4-1.

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**Table 4-1. Link Keys Used in Zigbee Networks**

Key Name	Description
Centralized security global trust center link key	Link key used for joining centralized security networks.
Distributed security global link key	Link key used for joining distributed security networks.
Install code link key	Link key derived from install code from joining device to create unique trust center link key for joining.
Application link key	Link key used between two devices for application layer encryption.
Device Specific trust center link key	Link key used between the trust center and a device in the network. Used for trust center commands and application layer encryption.

9822 In a secured network there are a variety of security services available. Prudence dictates that one would prefer to avoid  
 9823 re-use of keys across different security services, which otherwise could cause security leaks due to unwanted interactions.  
 9824 As such, these different services use a key derived from a one-way function using the link key (as specified in  
 9825 section 4.5.3). The use of uncorrelated keys ensures logical separation of the execution of different security protocols.  
 9826 The key-load key is used to protect transported link keys; the key-transport key is used to protect transported network  
 9827 keys. The active network key MAY be used by the NWK and APL layers of Zigbee. As such, the same network key  
 9828 and associated outgoing and incoming frame counters SHALL be available to all of these layers. The link keys MAY  
 9829 be used only by the APS sublayer. As such, the link key SHALL be available only to the APL layer.

9830 An installation code is a short code that uses an algorithm to derive the 128-bit AES key. The mechanism for deriving  
 9831 a key from an installation code are out of scope of this specification.

9832 **4.2.1.2.2 Zigbee Security Architecture**

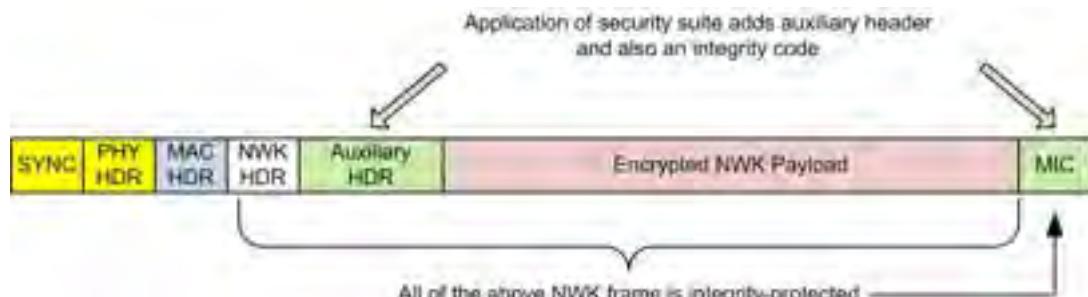
9833 The Zigbee security architecture includes security mechanisms at two layers of the protocol stack. The NWK and APS  
 9834 layers are responsible for the secure transport of their respective frames. Furthermore, the APS sublayer provides  
 9835 services for the establishment and maintenance of security relationships. The Zigbee Device Object (ZDO) manages

9836 the security policies and the security configuration of a device. Figure 1-1 shows a complete view of the Zigbee  
 9837 protocol stack. The security mechanisms provided by the APS and NWK layers are described in this version of the  
 9838 specification.

## 9839 4.2.2 NWK Layer Security

9840 When a frame originating at the NWK layer needs to be secured Zigbee SHALL use the frame-protection mechanism  
 9841 given in section 4.3.1 of this specification, unless the SecurityEnable parameter of the NLDE-DATA.request primitive  
 9842 is FALSE, explicitly prohibiting security. For example, no NWK layer security is used during transport of the NWK  
 9843 Key over the last hop to a joining device since APS security will be used to protect the frame. The NWK layer's frame-  
 9844 protection mechanism SHALL make use of the Advanced Encryption Standard (AES) [B8] and use CCM\* as specified  
 9845 in Annex A. The security level applied to a NWK frame SHALL be determined by the *nwkSecurityLevel* attribute in  
 9846 the NIB. Upper layers manage NWK layer security by setting up active and alternate network keys and by determining  
 9847 which security level to use.

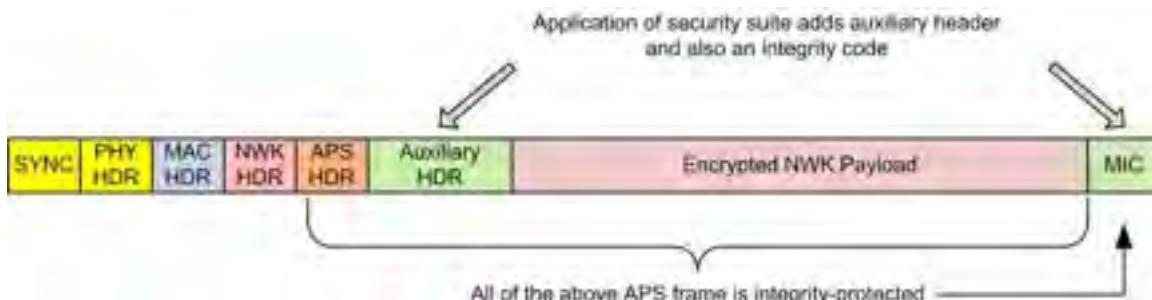
9848 Figure 4-1 shows an example of the security fields that MAY be included in a NWK frame.



9849  
9850 Figure 4-1. Zigbee Frame with Security on the NWK Level

## 9851 4.2.3 APL Layer Security

9852 When a frame originating at the APL layer needs to be secured, the APS sublayer SHALL handle security. The APS  
 9853 layer's frame-protection mechanism is given in section 4.4.1 of this specification. The APS layer allows frame security  
 9854 to be based on link keys or the network key. Figure 4-2 shows an example of the security fields that MAY be included  
 9855 in an APL frame. The APS layer is also responsible for providing applications and the ZDO with key establishment,  
 9856 key transport, and device management services.



9857  
9858 Figure 4-2. Zigbee Frame with Security on the APL Level

### 9859 4.2.3.1 Transport Key

9860 The transport-key service provides secured means to transport a key to another device or other devices. The secured  
 9861 transport-key command provides a means to transport link, or network key from a key source (for example, the Trust  
 9862 Center) to other devices.

**9863    4.2.3.2    Update Device**

9864    The update device service provides a secure means for a router device to inform the Trust Center that a third device  
9865    has had a change of status that SHALL be updated (for example, the device joined or left the network). This is the  
9866    mechanism by which the Trust Center maintains an accurate list of active network devices.

**9867    4.2.3.3    Remove Device**

9868    The remove device service provides a secure means by which a Trust Center informs a router device that one of the  
9869    router's children or the router itself SHALL be removed from the network. For example, the remove device service  
9870    MAY be employed to remove from a network a device that has not satisfied the Trust Center's security requirements  
9871    for network devices.

**9872    4.2.3.4    Request Key**

9873    The request-key service provides a secure means for a device to request an end-to-end application link key or trust  
9874    center link key, from the Trust Center.

**9875    4.2.3.5    Switch Key**

9876    The switch-key service provides a secure means for a Trust Center to inform another device that it SHOULD switch  
9877    to a different active network key.

**9878    4.2.3.6    Verify-Key**

9879    The verify-key service provides a secure means for a device to verify that the device and the Trust Center agree on  
9880    the current value of the device's link key.

**9881    4.2.3.7    Confirm Key**

9882    The confirm-key service provides a secure means for a Trust Center to confirm a previous request to verify a link key.

**9883    4.2.4    Trust Center Role**

9884    For security purposes, Zigbee defines the role of "Trust Center". The Trust Center is the device trusted by devices  
9885    within a network to distribute keys for the purpose of network and potentially end-to-end application configuration  
9886    management. All members of the network SHALL recognize exactly one active Trust Center, and there SHALL be  
9887    exactly one Trust Center in each centralized security network. The Trust Center is responsible for establishing, main-  
9888    taining and updating security policies for the network.

9889    In a distributed security network, all routers have the capability to act as the Trust Center and distribute keys for  
9890    network security. This distributed trust center role is used for network key distribution but not trust center link key  
9891    distribution since there is not a singular trust center in the network.

9892    In some applications a device can be pre-loaded with the Trust Center address and initial Trust Center link key, or the  
9893    joining device's Trust Center link key can be installed out of band.

9894    In applications that can tolerate a moment of vulnerability, the network key can be sent via APS secured key transport  
9895    using a well-known link key.

9896    In a centralized security model, the Trust Center established policies for joining devices and network security. It MAY  
9897    require devices to be known before providing the network key update for joining, or MAY require a preconfigured  
9898    link key be installed out of band. These Trust Center policies are described in section 4.7.1.

9899    In a centralized security network a device securely communicates with its Trust Center using the current Trust Center  
9900    link key.

9901    For purposes of trust management, a device only accepts a Trust Center link key or active network key originating  
9902    from its Trust Center via key transport. For purposes of network management in a centralized security network, a  
9903    device accepts an initial active network key and updated network keys only from its Trust Center when secured with

its Trust Center Link key. For purposes of configuration, a device accepts link keys intended for establishing end-to-end security between two devices only from its Trust Center or through application level negotiation using a higher level protocol between the two devices. Aside from the initial Trust Center link key or network key, additional link, and network keys are only accepted if they originate from a device's Trust Center via secured key transport or negotiated using higher level application protocols.

## 4.3 NWK Layer Security

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The NWK layer is responsible for the processing steps needed to securely transmit outgoing frames and securely receive incoming frames. Upper layers control the security processing operations by setting up the appropriate keys and frame counters and establishing which security level to use.

### 4.3.1 Frame Security

The detailed steps involved in security processing of outgoing and incoming NWK frames are described in sections 4.3.1.1 and 4.3.1.2, respectively.

#### 4.3.1.1 Security Processing of Outgoing Frames

If the NWK layer has a frame, consisting of a header *NwkHeader* and payload *Payload*, which needs security protection and *nwkSecurityLevel* > 0, and in the case of a NWK data frame, the *SecurityEnabled* parameter in *NLD-EDATA.request* had a value of TRUE, it SHALL apply security as follows:

- 1) Obtain the *nwkActiveKeySeqNumber* from the NIB and use it to retrieve the active network key *key*, outgoing frame counter *OutgoingFrameCounter*, and key sequence number *KeySeqNumber* from the *nwkSecurityMaterialSet* attribute in the NIB. Obtain the security level from the *nwkSecurityLevel* attribute from the NIB. If the outgoing frame counter is equal to  $2^{32}-1$ , or if the key cannot be obtained, security processing SHALL fail and no further security processing SHALL be done on this frame.
- 2) Construct the auxiliary header *AuxiliaryHeader* (see section 4.5.1):
  - a) Set the security control field as follows:
    - i) The security level sub-field SHALL be the security level obtained from step 1.
    - ii) The key identifier sub-field SHALL be set to '01' (that is, the active network key).
    - iii) The extended nonce sub-field SHALL be set to 1.
  - b) Set the source address field to the 64-bit extended address of the local device.
  - c) Set the frame counter field to the outgoing frame counter from step 1.
  - d) Set the key sequence number field to the sequence number from step 1.
- 3) Execute the CCM mode encryption and authentication operation, as specified in Annex A, with the following instantiations:
  - a) Obtain the parameter *M* from Table 4-38 corresponding to the security level from step 1.
  - b) The bit string *Key* SHALL be the key obtained from step 1.
  - c) The nonce *N* SHALL be the 13-octet string constructed using the security control field from step a, the frame counter field from step d, and the source address field from step c (see section 4.5.2.2).
  - d) If the security level requires encryption, the octet string *a* SHALL be the string *NwkHeader* || *AuxiliaryHeader* and the octet string *m* SHALL be the string *Payload*. Otherwise, the octet string *a* SHALL be the string *NwkHeader* || *AuxiliaryHeader* || *Payload* and the octet string *m* SHALL be a string of length zero.
- 4) If the CCM mode invoked in step 3 outputs 'invalid', security processing SHALL fail and no further security processing shall be done on this frame.
- 5) Let *c* be the output from step 3. If the security level requires encryption, the secured outgoing frame SHALL be *NwkHeader* || *AuxiliaryHeader* || *c*, otherwise the secured outgoing frame SHALL be *NwkHeader* || *AuxiliaryHeader* || *Payload* || *c*.

- 9947 6) If the secured outgoing frame size is greater than *aMaxMacFrameSize* security processing SHALL fail and no  
9948 further security processing SHALL be done on this frame.
- 9949 7) The outgoing frame counter from step 1 SHALL be incremented by one and stored in the *OutgoingFrame-*  
9950 *Counter* element of the network security material descriptor referenced by the *nwkActiveKeySeqNumber* in the  
9951 NIB; that is, the outgoing frame counter value associated with the key used to protect the frame is updated.
- 9952 8) The security level sub-field of the security control field SHALL be overwritten by the 3-bit all-zero string '000'.

### 9953 4.3.1.2 Security Processing of Incoming Frames

9954 If the NWK layer receives a secured frame (consisting of a header *NwkHeader*, auxiliary header *AuxiliaryHeader*, and  
9955 payload *SecuredPayload*) as indicated by the security sub-field of the NWK header frame control field, it SHALL  
9956 perform security processing as follows:

- 9957 1) Determine the security level from the *nwkSecurityLevel* attribute of the NIB. Over-write the 3-bit security level  
9958 sub-field of the security control field of the *AuxiliaryHeader* with this value. Determine the sequence number  
9959 *SequenceNumber*, sender address *SenderAddress*, and received frame count *ReceivedFrameCount* from the aux-  
9960 illary header *AuxiliaryHeader* (see section 4.5.1). If *ReceivedFrameCounter* is equal to  $2^{32}-1$ , security pro-  
9961 cessing SHALL indicate a failure to the next higher layer and no further security processing shall be done on  
9962 this frame.
- 9963 2) Obtain the appropriate security material (consisting of the key and other attributes) by matching *Se-  
9964 quenceNumber* to the sequence number of any key in the *nwkSecurityMaterialSet* attribute in the NIB. If the  
9965 security material cannot be obtained, security processing SHALL indicate a failure to the next higher layer with  
9966 a status of 'frame security failed' and no further security processing shall be done on this frame.
- 9967 3) If there is an incoming frame count *FrameCount* corresponding to *SenderAddress* from the security material  
9968 obtained in step 2 and if *ReceivedFrameCount* is less than *FrameCount*, security processing SHALL indicate a  
9969 failure to the next higher layer with a status of 'bad frame counter' and no further security processing shall be  
9970 done on this frame.
- 9971 4) Execute the CCM mode decryption and authentication checking operation, as specified in section A.2, with the  
9972 following instantiations:
- 9973 a) The parameter *M* SHALL be obtained from Table 4-38 corresponding to the security level from step 1.
- 9974 b) The bit string *Key* SHALL be the key obtained from step 2.
- 9975 c) The nonce *N* SHALL be the 13-octet string constructed using the security control, the frame counter, and  
9976 the source address fields from *AuxiliaryHeader* (see section 4.5.1). Note that the security level subfield of  
9977 the security control field has been overwritten in step 1 and now contains the value determined from the  
9978 *nwkSecurityLevel* attribute from the NIB.
- 9979 d) The octet string *SecuredPayload* SHALL be parsed as *Payload1 || Payload2*, where the rightmost string  
9980 *Payload2* is an *M*-octet string. If this operation fails, security processing SHALL indicate a failure to the  
9981 next higher layer with a status of 'frame security failed' and no further security processing shall be done on  
9982 this frame.
- 9983 e) If the security level requires decryption, the octet string *a* shall be the string *NwkHeader || AuxiliaryHeader*  
9984 and the octet string *c* SHALL be the string *SecuredPayload*. Otherwise, the octet string *a* SHALL be the  
9985 string *NwkHeader || AuxiliaryHeader || Payload1* and the octet string *c* SHALL be the string *Payload2*.
- 9986 5) Return the results of the CCM operation:
- 9987 a) If the CCM mode invoked in step 4 outputs 'invalid', security processing SHALL indicate a failure to the  
9988 next higher layer with a status of 'frame security failed' and no further security processing shall be done on  
9989 this frame.
- 9990 b) Let *m* be the output of step 4. If the security level requires encryption, set the octet string *Unsecured-  
9991 NwkFrame* to the string *NwkHeader || m*. Otherwise, set the octet string *UnsecuredNwkFrame* to the string  
9992 *NwkHeader || Payload1*.
- 9993 6) Set *FrameCount* to (*ReceivedFrameCount* + 1) and store both *FrameCount* and *SenderAddress* in the NIB. If  
9994 storing this frame count and address information will cause the memory allocation for this type of information

9995 to be exceeded, and the *nwkAllFresh* attribute in the NIB is TRUE, then security processing SHALL fail and no  
 9996 further security processing shall be done on this frame. *UnsecuredNwkFrame* now represents the unsecured re-  
 9997 ceived network frame and security processing SHALL succeed. So as to never cause the storage of the frame  
 9998 count and address information to exceed the available memory, the memory allocated for incoming frame coun-  
 9999 ters needed for NWK layer security SHALL be bounded by  $M^*N$ , where  $M$  and  $N$  represent the cardinality of  
 10000 *nwkSecurityMaterialSet* and *nwkNeighborTable* in the NIB, respectively.

- 10001 7) If the sequence number of the received frame belongs to a newer entry in the *nwkSecurityMaterialSet*, set the  
 10002 *nwkActiveKeySeqNumber* to the received sequence number.  
 10003 8) If there is an entry in *nwkNeighborTable* in the NIB whose extended address matches *SenderAddress* and whose  
 10004 relationship field has value 0x05 (unauthenticated child), then set relationship field in that entry to the value  
 10005 0x01 (child).

### 10006 4.3.2 Secured NPDU Frame

10007 The NWK layer frame format (see section 3.3.2.2) consists of a NWK header and NWK payload field. The NWK  
 10008 header consists of frame control and routing fields. When security is applied to an NPDU frame, the security bit in the  
 10009 NWK frame control field SHALL be set to 1 to indicate the presence of the auxiliary frame header. The format for  
 10010 the auxiliary frame header is given in section 4.5.1. The format of a secured NWK layer frame is shown in Figure 4-3.  
 10011 The auxiliary frame header is situated between the NWK header and payload fields.

Octets: Variable	14	Variable	
Original NWK header ([B3], Clause 7.1)	Auxiliary frame header	Encrypted payload	Encrypted message integrity code (MIC)
		Secure frame payload = output of CCM	
Full NWK header		Secured NWK payload	

10012 **Figure 4-3. Secured NWK Layer Frame Format**

### 10013 4.3.3 Security-Related NIB Attributes

10014 The NWK PIB contains attributes that are required to manage security for the NWK layer. Each of these attributes  
 10015 can be read and written using the NLMEGET.request and NLME-SET.request primitives, respectively. The security-  
 10016 related attributes contained in the NWK PIB are presented in Table 4-2, Table 4-3, and Table 4-4.

10017 **Table 4-2. NIB Security Attributes**

Attribute	Identifier	Type	Range	Description	Default
<i>nwkSecurityLevel</i>	0xa0	Octet	0x00 – 07	The security level for out- going and incoming NWK frames; the allowable secu- rity level identifiers are pre- sented in Table 4-38	0x05

Attribute	Identifier	Type	Range	Description	Default
<i>nwkSecurityMaterialSet</i>	0xa1	A set of 2 network security material descriptors (see Table 4-3).	Variable	Set of network security material descriptors capable of maintaining an active and alternate network key.	-
<i>nwkActiveKey SeqNumber</i>	0xa2	Octet	0x00 – 0xFF	The sequence number of the active network key in <i>nwkSecurityMaterialSet</i> .	0x00
<i>nwkAllFresh</i>	0xa3	Boolean	TRUE or FALSE	Indicates whether incoming NWK frames SHALL be all checked for freshness when the memory for incoming frame counts is exceeded. See section 4.3.1.2.	TRUE

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**Table 4-3. Elements of the Network Security Material Descriptor**

Name	Type	Range	Description	Default
KeySeqNumber	Octet	0x00 – 0xFF	A sequence number assigned to a network key by the Trust Center and used to distinguish network keys for purposes of key updates, and incoming frame security operations. This is only used when operating in a centralized security network.	00
OutgoingFrame Counter	Ordered set of 4 octets.	0x00000000 – 0xFFFFFFFF	Outgoing frame counter used for outgoing frames.	0x00000000
IncomingFrame-CounterSet	Set of incoming frame counter descriptor values. See Table 4.3.	Variable	Set of incoming frame counter values and corresponding device addresses.	Null set
Key	Ordered set of 16 octets.	-	The actual value of the key.	-

Name	Type	Range	Description	Default
NetworkKeyType	Octet	0x01 – 0x01	The type of the key. 0x01 = standard All other values are reserved.	0x01

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**Table 4-4. Elements of the Incoming Frame Counter Descriptor**

Name	Type	Range	Description	Default
SenderAddress	Device address	Any valid 64-bit address	Extended device address.	Device-specific
IncomingFrame-Counter	Ordered set of 4 octets	0x00000000 – 0xFFFFFFFF	Incoming frame counter used for incoming frames.	0x00000000

## 4.3.4 Network Frame Counter Requirements

10023 Device SHALL maintain outgoing NWK frame counters across factory resets. The outgoing NWK frame counter  
 10024 SHALL only be reset as detailed in this specification. A factory reset includes any over the air message, such as a  
 10025 NWK leave. It is permitted for manufacturers to provide a full factory reset that erases all persisted data as a separate  
 10026 user action.

10027 A device can join a network, join other networks and then attempt to join the original network again. Neighbors on  
 10028 the original network will have a neighbor table entry for the device with the incoming frame counter set to the value  
 10029 that was heard when the device was previously on the network. If a fresh security material set with an outgoing NWK  
 10030 frame counter of zero is created when the original network is joined for a second time, devices in that network will  
 10031 reject frames sent with this frame counter. Devices SHALL therefore have sufficient shadow copies of their security  
 10032 material set and associated EPID to store the outgoing frame counter and EPID for each network that they MAY join.  
 10033 As an implementation optimization, it is permissible to store a single instance of the outgoing NWK frame counter  
 10034 that is used across all security material sets. This outgoing NWK frame counter SHALL be preserved across factory  
 10035 resets and when joining different networks. The only time the outgoing frame counter is reset to zero is when the  
 10036 device is already on a network, it receives an APSME-SWITCH-KEY and its outgoing frame counter is greater than  
 10037 0x80000000.

### 4.3.4.1 Network Frame Counter Usage Calculations

10039 One leap year is  $366 * 24 * 60 * 60 = 31,622,400$  seconds. The frame counter will wrap every 4,294,967,295 counts.  
 10040 Therefore a device would need to continuously send at a rate greater than 135 packets per second to cause the frame  
 10041 counter to wrap in less than a year.

10042 Often devices do not store the exact frame counter in flash memory but use a store ahead method to prevent wearing  
 10043 out flash memory. This will cause the device to jump its frame counter ahead on reboot to the next higher increment.  
 10044 If a device increments its frame counter by 1024 on a reboot, it would have to reboot at a rate greater than once every  
 10045 7 seconds to cause a wrap in a year.

10046 A device SHALL be able to store two network keys. If there are two network key updates whilst the device is asleep  
 10047 or turned off, it will no longer have a valid network key and will only be able to join the network via a Trust center  
 10048 rejoin. Limiting the network key updates to a maximum of once every 30 days mitigates this issue.

## 10049 4.4 APS Layer Security

10050 The APS layer is responsible for the processing steps needed to securely transmit outgoing frames, securely receive  
 10051 incoming frames, and securely establish and manage cryptographic keys. Upper layers control the management of  
 10052 cryptographic keys by issuing primitives to the APS layer.

10053 Table 4-5 lists the primitives available for key management and maintenance. Upper layers also determine which  
 10054 security level to use when protecting outgoing frames.

10055 **Table 4-5. The APS Layer Security Primitives**

APSME Security Primitives	Re-quest	Confirm	Indication	Response	Description
APSME-TRANSPORT-KEY	Section 4.4.2.1	-	Section 4.4.2.2	-	Transports security material from one device to another.
APSME-UPDATE-DEVICE	Section 4.4.3.1	-	Section 4.4.3.2	-	Notifies the Trust Center when a new device has joined, or an existing device has left the network.
APSME-REMOVE-DEVICE	Section 4.4.4.1	-	Section 4.4.4.2	-	Used by the Trust Center to notify a router that one of the router's child devices, or the router itself, SHOULD be removed from the network.
APSME-REQUEST-KEY	Section 4.4.5.1	-	Section 4.4.5.2	-	Used by a device to request that the Trust Center send an application link key or trust center link key.
APSME-SWITCH-KEY	Section 4.4.6.1	-	Section 4.4.6.2	-	Used by the Trust Center to tell a device to switch to a new network key.
APSME-VERIFY-KEY	Section 4.4.7.1	-	Section 4.4.7.2	-	Used by a device to verify the link key used by the trust center.
APSME-CONFIRM-KEY	Section 4.4.8.1		Section 4.4.8.2	-	Used by the trust center to confirm a previous request to verify a link key.

APSME Security Primitives	Re-quest	Confirm	Indication	Response	Description
APSME-KEY-NEGOTIATION	Section 4.4.9.1		Section 4.4.9.2		This provides the ability for the stack to initiate or respond to a dynamic key negotiation.

## 10056 4.4.1 Frame Security

10057 The detailed steps involved in security processing of outgoing and incoming APS frames are described in sections  
 10058 4.4.1.1 and 4.4.1.2, respectively.

### 10059 4.4.1.1 Security Processing of Outgoing Frames

10060 If the APS layer has a frame, consisting of a header *ApsHeader* and payload *Payload*, that needs security protection  
 10061 and *nwkSecurityLevel* > 0, it SHALL apply security as follows:

- 10062 1) Obtain the security material and key identifier *KeyIdentifier* using the following procedure. If security material  
 10063 or key identifier cannot be determined, then security processing SHALL fail and no further security processing  
 10064 shall be done on this frame.
  - 10065 a) If the frame is a result of a APSDE-DATA.request primitive:
    - 10066 i) The security material associated with the destination address of the outgoing frame SHALL be ob-  
 10067 tained from the *apsDeviceKeyPairSet* attribute in the AIB. *KeyIdentifier* SHALL be set to ‘00’ (that is,  
 10068 a data key).
    - 10069 ii) Only entries with a KeyAttribute of PROVISIONAL or VERIFIED SHALL be used. Keys with other  
 10070 attributes SHALL NOT be used for encryption.
  - 10071 b) If the frame is a result of an APS command that requires securing.
    - 10072 i) An attempt SHALL be made to retrieve the security material associated with the destination address of  
 10073 the outgoing frame from the *apsDeviceKeyPairSet* attribute in the AIB. Only entries with a KeyAttrib-  
 10074 ute of PROVISIONAL or VERIFIED SHALL be used. Keys with other attributes SHALL NOT be  
 10075 used for encryption.
    - 10076 ii) For all cases except transport-key commands, *KeyIdentifier* SHALL be set to ‘00’(that is, a data key).  
 For the case of transport-key commands, *KeyIdentifier* SHALL be set to ‘02’ (that is, the key-transport  
 10077 key) when transporting a network key and SHALL be set to ‘03’ (that is, the key-load key) when trans-  
 10078 porting an application link key or trust center link key. See section 4.5.3 for a description of the key-  
 10079 transport and key-load keys.
- 10081 2) Extract the outgoing frame counter (and, if *KeyIdentifier* is 01, the key sequence number) from the security ma-  
 10082 terial obtained from step 1. If the outgoing frame counter value is equal to integer 232-1, or if the key cannot be  
 10083 obtained, security processing SHALL fail and no further security processing shall be done on this frame.
- 10084 3) Obtain the security level from the *nwkSecurityLevel* attribute from the NIB.
- 10085 4) Construct auxiliary header AuxiliaryHeader (see section 4.5.1). The security control field SHALL be set as fol-  
 10086 lows:
  - 10087 a) The security level sub-field SHALL be the security level obtained from step 3.
    - 10088 i) The key identifier sub-field SHALL be set to *KeyIdentifier*.
    - 10089 ii) The extended nonce sub-field SHALL be set as follows: If the *ApsHeader* indicates the frame type is  
 10090 an APS Command, then the extended nonce sub-field SHALL be set to 1. Otherwise if the TxOptions  
 10091 bit for include extended nonce is set (0x10) then the extended nonce sub-field SHALL be set to 1. Oth-  
 10092 erwise, it SHALL be set to 0.

- 10093           iii) The Frame Counter Challenge Support SHALL be set as follows: If the ApsHeader indicates the  
10094           frame type is an APS Datagram, then the Require Verified Frame Counter sub-field SHALL be set to  
10095           1. Otherwise, the Require Verified Frame Counter sub-field SHALL be set to 0.
- 10096       b) If the extended nonce sub-field is set to 1, then set the source address field to the 64-bit extended address of  
10097           the local device.
- 10098       c) The frame counter field SHALL be set to the outgoing frame counter from step 2.
- 10099       d) If *KeyIdentifier* is ‘01’, the key sequence number field SHALL be present and set to the key sequence num-  
10100           ber from step 3. Otherwise, the key sequence number field SHALL NOT be present.
- 10101     5) Execute the CCM mode encryption and authentication operation, as specified in section A.2, with the following  
10102           exceptions:
- 10103       a) The parameter *M* SHALL be obtained from Table 4-38 corresponding to the security level from step 3.
- 10104       b) The bit string *Key* SHALL be the key obtained from step 1.
- 10105       c) The nonce *N* SHALL be the 13-octet string constructed using the security control and frame counter fields  
10106           from step 5 and the 64-bit extended address of the local device (see section 4.5.2.2).
- 10107       d) If the security level requires encryption, the octet string *a* SHALL be the string *ApsHeader* || *Auxiliary-Header*  
10108           and the octet string *m* SHALL be the string *Payload*. Otherwise, the octet string *a* SHALL be the  
10109           string *ApsHeader* || *AuxiliaryHeader* || *Payload* and the octet string *m* SHALL be a string of length zero.
- 10110     6) If the CCM mode invoked in step 5 outputs “invalid”, security processing SHALL fail and no further security  
10111           processing shall be done on this frame.
- 10112     7) Let *c* be the output from step 5. If the security level requires encryption, the secured outgoing frame SHALL be  
10113           *ApsHeader* || *AuxiliaryHeader* || *c*, otherwise the secured outgoing frame SHALL be *ApsHeader* || *Auxiliary-Header*  
10114           || *Payload* || *c*.
- 10115     8) If the secured outgoing frame size will result in the MSDU being greater than aMaxMACFrameSize octets (see  
10116           IEEE Std 802.15.4-2020 [B1]), security processing SHALL fail and no further security processing shall be done  
10117           on this frame.
- 10118     9) The outgoing frame counter from step 3 SHALL be incremented and stored in the appropriate location(s) of the  
10119           NIB, AIB, and MAC PIB corresponding to the key that was used to protect the outgoing frame.
- 10120     10) Over-write the security level sub-field of the security control field with the 3- bit all-zero string ‘000’.

#### 10121     **4.4.1.2     Security Processing of Incoming Frames**

10122     If the APS layer receives a secured frame (consisting of a header *ApsHeader*, auxiliary header *AuxiliaryHeader*, and  
10123           payload *SecuredPayload*) as indicated by the security sub-field of the APS header frame control field it SHALL per-  
10124           form security processing as follows:

- 10125     1) Determine the sequence number *SequenceNumber*, key identifier *KeyIdentifier*, and received frame counter  
10126           value *ReceivedFrameCounter* from the auxiliary header *AuxiliaryHeader*. If *ReceivedFrameCounter* is the 4-  
10127           octet representation of the integer  $2^{32}-1$ , security processing SHALL fail and no further security processing shall  
10128           be done on this frame.
- 10129     2) Determine the source address *SourceAddress* from the address-map table in the NIB, using the source address in  
10130           the APS frame as the index. If the source address is incomplete or unavailable, determine if the device is joined  
10131           and unauthorized. If joined and unauthorized it SHALL use the *apsDeviceKeyPairSet* that corresponds to its  
10132           pre-installed link key. Otherwise, security processing SHALL fail and no further security processing shall be  
10133           done on this frame.
- 10134     3) Obtain the appropriate security material in the following manner. If the security material cannot be obtained,  
10135           security processing SHALL fail and no further security processing shall be done on this frame.
- 10136       a) If *KeyIdentifier* is ‘00’ (that is, a data key), the security material associated with the *SourceAddress* of the  
10137           incoming frame SHALL be obtained from the *apsDeviceKeyPairSet* attribute in the AIB.

- 10138 b) If *KeyIdentifier* is ‘02’ (that is, a key-transport key), the security material associated with the *SourceAddress* of the in-  
10139 coming frame SHALL be obtained from the *apsDeviceKeyPairSet* attribute in the AIB; the key for this op-  
10140 eration SHALL be derived from the security material as specified in section 4.5.3 for the key-transport key.
- 10141 c) If *KeyIdentifier* is ‘03’ (that is, a key-load key), the security material associated with the *SourceAddress* of  
10142 the incoming frame SHALL be obtained from the *apsDeviceKeyPairSet* attribute in the AIB and the key for  
10143 this operation SHALL be derived from the security material as specified in section 4.5.3 for the key-load  
10144 key.
- 10145 4) If the *apsLinkKeyType* of the associated link key is 0x00 (unique) and there is an incoming frame count *Frame-*  
10146 *Count* corresponding to *SourceAddress* from the security material obtained in step 3 and if *ReceivedFrame-*  
10147 *Count* is less than *FrameCount*, security processing SHALL fail and no further security processing shall be  
10148 done on this frame.
- 10149 5) Determine the security level *SecLevel* as follows. If the frame type sub-field of the frame control field of  
10150 *ApsHeader* indicates an APS data frame, then *SecLevel* SHALL be set to the *nwkSecurityLevel* attribute in the  
10151 NIB. Overwrite the security level sub-field of the security control field in the *AuxiliaryHeader* with the value of  
10152 *SecLevel*.
- 10153 6) Execute the CCM mode decryption and authentication checking operation as specified in section A.3, with the  
10154 following instantiations:
- 10155 a) The parameter *M* SHALL be obtained from Table 4-38 corresponding to the security level from step 5.  
10156 i) The bit string *Key* SHALL be the key obtained from step 3.  
10157 ii) The nonce *N* SHALL be the 13-octet string constructed using the security control and frame counter  
10158 fields from *AuxiliaryHeader*, and *SourceAddress* from step 2 (see section 4.5.2.2).  
10159 iii) Parse the octet string *SecuredPayload* as *Payload1* || *Payload2*, where the rightmost string *Payload2* is  
10160 an *M*-octet string. If this operation fails, security processing SHALL fail and no further security pro-  
10161 cessing shall be done on this frame.  
10162 iv) If the security level requires encryption, the octet string *a* SHALL be the string *ApsHeader* || *Auxiliary-*  
10163 *Header* and the octet string *c* SHALL be the string *SecuredPayload*. Otherwise, the octet string *a*  
10164 SHALL be the string *ApsHeader* || *AuxiliaryHeader* || *Payload1* and the octet string *c* SHALL be the  
10165 string *Payload2*.
- 10166 7) Return the results of the CCM operation:  
10167 a) If the CCM mode invoked in step 6 outputs “invalid”, security processing SHALL fail and no further secu-  
10168 rity processing shall be done on this frame.  
10169 b) Let *m* be the output of step 6. If the security level requires encryption, set the octet string *Unse-  
10170 curedApsFrame* to the string *ApsHeader* || *m*. Otherwise, set the octet string *UnsecuredApsFrame* to the  
10171 string *ApsHeader* || *Payload*.
- 10172 8) The associated *apsDeviceKeyPairSet* indicates support for APS Frame Counter Synchronization in the Features  
10173 and Capabilities element and *VerifiedFrameCounter* is FALSE, security processing SHALL fail and no further  
10174 security processing shall be done on this frame.  
10175 a) The result of the failure SHALL be UNVERIFIED\_FRAME\_COUNTER.  
10176 b) Otherwise security processing SHALL continue.
- 10177 9) Set *FrameCount* to (*ReceivedFrameCount* + 1) and store both *FrameCount* and *SourceAddress* in the appropri-  
10178 ate security material as obtained in step 3. If storing this frame count and address information will cause the  
10179 memory allocation for this type of information to be exceeded, and the *nwkAllFresh* attribute in the NIB is  
10180 TRUE, then security processing SHALL fail and no further security processing shall be done on this frame.  
10181 Otherwise, security processing SHALL succeed.

#### 10182 4.4.1.3 Security Processing of APS Commands

10183 A device that is not the trust center that receives an APS command SHALL determine if the message was sent by the  
10184 trust center or another device for which it has a link key. If operating in a centralized security network and the message  
10185 was not sent by the trust center then it SHALL discard the message and no further processing SHALL be done.

10186 If operating in a centralized security network determining if the Trust Center sent the APS command SHALL be done  
 10187 as follows. If no APS encryption is present on the message then the device SHALL examine if there is an IEEE source  
 10188 address within the APS command frame. The IEEE source address SHALL be compared to the value of *apsTrustCenterAddress*  
 10189 in the AIB. If no IEEE source address is present in the APS command frame then the device SHALL verify  
 10190 if the NWK source of the message is 0x0000. If there is APS encryption present on the APS command then the device  
 10191 SHALL verify that the key used to secure the message corresponds to the *apsDeviceKeyPairSet* that has a *DeviceAddress*  
 10192 equal to the value of the *apsTrustCenterAddress* in the AIB.

10193 If the message was sent by the trust center the device SHALL then consult the AIB attribute *apsLinkKeyType* associated  
 10194 with the sending device to determine if the key is a unique link key or Global Link key. It SHALL then consult  
 10195 Table 4-6 to determine the policy that shall be used.

10196 **Table 4-6. Security Policy for Accepting APS Commands in a Centralized Security Network**

APS Command.	Unique Trust Center Link Key (0x00)	Global Trust CenterLink Key (0x01)
Transport Key (0x05)	APS encryption is required as per device policy (see section 4.4.1.5).	APS encryption is required as per device policy (see section 4.4.1.5).
Update Device (0x06)	APS encryption required	APS encryption not required
Remove Device (0x07)	APS encryption required	APS encryption required
Request Key (0x08)	APS encryption required Trust Center Policy MAY further restrict, see section 4.4.1.5.	APS encryption required Trust Center Policy MAY further restrict, see section 4.4.1.5.
Switch Key (0x09)	APS encryption not required	APS encryption not required
Tunnel Data (0x0E)	APS encryption not required	APS encryption not required
Verify-Key (0x0F)	APS encryption not required.	APS encryption not required
Confirm-Key (0x10)	APS encryption required	APS encryption required.

10197 Upon reception of an APS command that does not have APS encryption but APS encryption is required by Table 4-7,  
 10198 the device SHALL drop the message and no further processing SHALL be done. If APS encryption is not required for  
 10199 the command but the received message has APS encryption, the receiving device SHALL accept and process the  
 10200 message. Accepting additional security on messages is required to support legacy devices in the field.

10201 In order to support backwards compatibility with devices in the field, provisions will also be added for new devices  
 10202 to ensure they can interoperate with the existing devices and their legacy requirements for APS encryption.

10203 **Table 4-7. Security Policy for Sending APS Commands in a Centralized Security Network**

APS Command	Unique Trust Center Link Key	Global Trust Center Link Key
Transport Key (0x05)	APS encryption MAY be optionally used. See section 4.4.1.4.	APS encryption MAY be optionally used. See section 4.4.1.4.

APS Command	Unique Trust Center Link Key	Global Trust Center Link Key
Update Device (0x06)	APS encryption SHALL be used.	APS encryption SHALL be conditionally used as per section 4.4.1.4.
Remove Device (0x07)	APS encryption SHALL be used.	APS encryption SHALL be used.
Request Key (0x08)	APS encryption SHALL be used.	APS encryption SHALL be used.
Switch Key (0x09)	APS encryption SHALL NOT be used.	APS encryption SHALL NOT be used.
Tunnel Data (0x0E)	APS encryption SHALL NOT be used.	APS encryption SHALL NOT be used.
Verify-Key (0x0F)	APS encryption SHALL NOT be used.	APS encryption SHALL NOT be used.
Confirm-Key (0x10)	APS encryption SHALL be used.	APS encryption SHALL be used.

10204 When the local device will transmit an APS command, it shall consult Table 4-6 to determine the appropriate behavior.  
 10205 If APS encryption is required to be used, then the device SHALL APS encrypt the command prior to sending the  
 10206 message. If APS encryption is not to be used, the device SHALL NOT APS encrypt the message prior to sending the  
 10207 message. Conditional encryption of APS commands SHALL follow the procedure as defined by section 4.4.1.4.

#### 4.4.1.4 Conditional Encryption of APS Commands

10209 Devices MAY have requirements on when APS encryption SHALL or SHALL NOT be used. To ensure correct op-  
 10210 eration with those devices, the following procedure shall be undertaken as required by Table 4-6.

10211 When sending an APS command that SHALL be conditionally encrypted, the device SHALL send the APS command  
 10212 with APS encryption. If the receiving device is capable of accepting APS encrypted APS commands then the sending  
 10213 device MAY send APS encrypted APS commands. If the receiving device is not capable of receiving APS encrypted  
 10214 commands, then a response to the APS command will not be received. If the receiving device is not capable of receiv-  
 10215 ing APS encrypted APS commands then the sending device can either not send the APS commands or send APS  
 10216 commands without APS encryption.

10217 It is left up to the implementers to determine whether or not the receiving device is capable of receiving an APS  
 10218 command with APS encryption. A device MAY simply send two copies of the APS command, one with APS encryp-  
 10219 tion and one without, in order to satisfy the requirements of interoperability with existing devices. Note this is not for  
 10220 APS datagrams this is for APS Command Frames.

10221 Conditional encryption of APS commands SHALL only apply when the *apsLinkKeyType* with receiving device is set  
 10222 to Global Link key (0x01).

#### 4.4.1.5 Acceptance of Commands Based on Security Policy

10224 There are two commands that MAY be conditionally accepted based on the local security policies in place on the  
 10225 device.

10226 The APS transport key command MAY be sent with or without APS encryption. The decision to do so is based on the  
 10227 trust center's security policies. The trust center MAY deem it acceptable to send a key without APS encryption based  
 10228 on the method of transport.

10229 Conversely, a device receiving an APS transport key command MAY choose whether or not APS encryption is re-  
 10230 required. This is most often done during initial joining. For example, during joining a device that has no preconfigured  
 10231 link key would only accept unencrypted transport key messages, while a device with a preconfigured link key would  
 10232 only accept a transport key APS encrypted with its preconfigured key.

10233 The higher level specification implemented by the device MAY dictate the policies in place for these commands.

10234 A device that is in the joined and authorized state SHALL accept a broadcast NWK key update sent by the Trust  
 10235 Center using only NWK encryption. A device that is in state of joined and unauthorized SHALL require an APS  
 10236 encrypted transport key if it has a preconfigured link key.

#### 10237 **4.4.1.6 Conditional Encryption of APS Data**

10238 Devices and application profiles MAY have requirements on when APS encryption SHALL or SHALL NOT be used  
 10239 with normal APS Data. If the device has a set of application data encryption policies, then it SHALL encrypt any  
 10240 outgoing messages the policy indicates SHALL be protected. It SHALL also reject any incoming messages that are  
 10241 not APS encrypted when the policy indicates encryption is required.

10242 If a device has requirements on encryption of APS data, it SHALL establish application link keys with partner devices.  
 10243 In a centralized security network the trust center is used to broker this link key establishment. In a distributed security  
 10244 network the partner devices SHALL establish a link key using an application defined method.

### 10245 **4.4.2 Transport-Key Services**

10246 The APSME provides services that allow an initiator to transport keying material to a responder. The different types  
 10247 of keying material that can be transported are shown in Table 4-9 to Table 4-12.

#### 10248 **4.4.2.1 APSME-TRANSPORT-KEY.request**

10249 The APSME-TRANSPORT-KEY.request primitive is used for transporting a key to another device.

##### 10250 **4.4.2.1.1 Semantics of the Service Primitive**

10251 This primitive SHALL provide the following interface:

---

APSME-TRANSPORT-KEY.request	{
	DestAddress,
	StandardKeyType,
	TransportKeyData,
	TunnelCommand
	TunnelCommandAddress
	}

---

10259 Table 4-8 specifies the parameters for the APSME-TRANSPORT-KEY.request primitive.

10260 **Table 4-8. APSME-TRANSPORT-KEY.request Parameters**

Parameter Name	Type	Valid Range	Description
DestAddress	Device address	Any valid 64-bit address	The extended 64-bit address of the destination device.
StandardKeyType	Integer	0x00 – 0x04	Identifies the type of key material that SHOULD be transported (see Table 4-9).

Parameter Name	Type	Valid Range	Description
TransportKeyData	Variable	Variable	The key being transported along with identification and usage parameters. The type of this parameter depends on the StandardKeyType parameter as follows: StandardKeyType = 0x04, Trust Center Link Key (see Table 4-10) StandardKeyType = 0x01, Standard Network Key (see Table 4-11) StandardKeyType = 0x03, Application Link Key (see Table 4-12)
TunnelCommand	Boolean	TRUE or FALSE	This indicates whether the local device SHOULD wrap the transport key message in an APS Tunnel Command. This SHOULD be done when the joining or rejoining device is not in the Trust Center's Neighbor Table (nwkNeighborTable).
TunnelCommandAddress	EUI64	Any	This indicates the destination for the APS Tunnel Command frame.

10261

10262

**Table 4-9. StandardKeyType Parameter of the Transport-Key, Verify-Key, and Confirm-Key Primitives**

Enumeration	Value	Description
Reserved	0x00	Reserved.
Standard network key	0x01	Indicates that the key is a network key to be used in standard security mode.
Reserved	0x02	Reserved.
Application link key	0x03	Indicates the key is a link key used as a basis of security between two devices.
Trust-Center link key	0x04	Indicates that the key is a link key used as a basis for security between the Trust Center and another device.
Reserved	0x05 – 0xFF	Reserved.

10263

10264

**Table 4-10. TransportKeyData Parameter for a Trust Center Link Key**

Parameter Name	Type	Valid Range	Description
Key	Set of 16 octets	Variable	The Trust Center link key.

10265

10266

**Table 4-11. TransportKeyData Parameter for a Network Key**

<b>Parameter Name</b>	<b>Type</b>	<b>Valid Range</b>	<b>Description</b>
KeySeqNumber	Octet	0x00 – 0xFF	A sequence number assigned to a network key by the Trust Center and used to distinguish network keys for purposes of key updates and incoming frame security operations.
NetworkKey	Set of 16 octets	Variable	The network key.
UseParent	Boolean	TRUE or FALSE	This parameter indicates if the destination device's parent SHALL be used to forward the key to the destination device: TRUE = Use parent FALSE = Do not use parent
ParentAddress	Device address	Any valid 64-bit address	If the UseParent is TRUE, then ParentAddress parameter SHALL contain the extended 64-bit address of the destination device's parent device; otherwise, this parameter is not used and need not be set.

10267

10268

**Table 4-12. TransportKeyData Parameter for an Application Link Key**

<b>Parameter Name</b>	<b>Type</b>	<b>Valid Range</b>	<b>Description</b>
PartnerAddress	Device address	Any valid 64-bit address	The extended 64-bit address of the device that was also sent this link key.
Initiator	Boolean	TRUE or FALSE	This parameter indicates if the destination device of this application link key requested it: TRUE = If the destination requested the key FALSE = Otherwise
Key	Set of 16 octets	Variable	The application link key

10269

**4.4.2.1.2 When Generated**10270  
10271

The ZDO on an initiator device SHALL generate this primitive when it requires a key to be transported to a responder device.

10272

**4.4.2.1.3 Effect on Receipt**10273  
10274  
10275

The receipt of an APSME-TRANSPORT-KEY.request primitive SHALL cause the APSME to create a transport-key command packet (see section 4.4.11.1). If the StandardKeyType parameter is 0x04 (that is, Trust Center link key), the key descriptor field of the transport-key command SHALL be set as follows:

10276

- The key sub-field SHALL be set to the Key sub-parameter of the TransportKeyData parameter.

- 10277 • The destination address sub-field SHALL be set to the DestinationAddress parameter.

- 10278 • The source address sub-field SHALL be set to the local device address.

10279 This command frame SHALL be security-protected as specified in section 4.4.1.

10280 If the DestinationAddress parameter is all zeros, the secured command frame SHALL be unicast to any and all rx-off-  
10281 when-idle children of the device. These unicasts SHALL be repeated until successful, or a subsequent APSME-  
10282 TRANSPORT-KEY.request primitive with the StandardKeyType parameter equal to 0x01 has been received, or a  
10283 period of twice the recommended maximum polling interval has passed.

10284 If the StandardKeyType parameter is 0x01 (that is, a network key), the key descriptor field of the transport-key com-  
10285 mand SHALL be set as follows:

- 10286 • The key sub-field SHALL be set to the Key sub-parameter of the TransportKeyData parameter.

- 10287 • The sequence number sub-field SHALL be set to the KeySeqNumber sub-parameter of the TransportKeyData  
10288 parameter.

- 10289 • The destination address sub-field SHALL be set to the DestinationAddress parameter.

- 10290 • The source address sub-field SHALL be set to the local device address.

10291 This command frame SHALL be security-protected as specified in section 4.4.1.1 and then, if security processing  
10292 succeeds, sent to the device specified by the ParentAddress sub-parameter of the TransportKeyData parameter (if the  
10293 UseParent sub-parameter of the TransportKeyData parameter is TRUE) or the DestinationAddress parameter (if the  
10294 UseParent sub-parameter of the TransportKeyData parameter is FALSE) by issuing a NLDE-DATA.request primitive.

10295 If the StandardKeyType parameter is 0x03 (that is, an application link key), the key descriptor field of the transport-  
10296 key command SHALL be set as follows:

- 10297 • The key sub-field SHALL be set to the Key sub-parameter of the TransportKeyData parameter.

- 10298 • The partner address sub-field SHALL be set to the PartnerAddress sub-parameter of the TransportKeyData pa-  
10299 rameter.

- 10300 • The initiator sub-field SHALL be set 1 (if the Initiator sub-parameter of the TransportKeyData parameter is  
10301 TRUE) or 0 (if the Initiator sub-parameter of the TransportKeyData parameter is FALSE).

10302 This command frame SHALL be security-protected as specified in section 4.4.1.1 and then, if security processing  
10303 succeeds, sent to the device specified by the DestinationAddress parameter by issuing a NLDE-DATA.request prim-  
10304 itive.

10305 If the TunnelCommand parameter is TRUE, an APS Tunnel Command SHALL be constructed as described in section  
10306 4.6.3.7. It SHALL then be sent to the device specified by the TunnelAddress parameter by issuing an NLDE-DATA.re-  
10307 quest primitive.

10308 If the TunnelCommand parameter is FALSE it is sent to the device specified by the DestAddress parameter by issuing  
10309 a NLDE-DATA.request primitive.

#### 10310 **4.4.2.2 APSME-TRANSPORT-KEY.indication**

10311 The APSME-TRANSPORT-KEY.indication primitive is used to inform the ZDO of the receipt of keying material.

10312

10313 **4.4.2.2.1 Semantics of the Service Primitive**

10314 This primitive SHALL provide the following interface:

---

```
10315     APSME-TRANSPORT-KEY.indication {  
10316         SrcAddress,  
10317         StandardKeyType,  
10318         TransportKeyData  
10319         LinkKeyEncryption  
10320         ChangeOfTrustCenterEui64  
10321     }
```

---

10322 Table 4-13 specifies the parameters of the APSME-TRANSPORT-KEY.indication primitive.

10323 **Table 4-13. APSME-TRANSPORT-KEY.indication Parameters**

Parameter Name	Type	Valid Range	Description
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that is the original source of the transported key.
StandardKeyType	Octet	0x00 – 0x06	Identifies the type of key material that was be transported; see Table 4-9.
TransportKeyData	Variable	Variable	The key being transported along with identification and usage parameters. The type of this parameter depends on the StandardKeyType parameter as follows:  StandardKeyType = 0x04, Trust Center Link Key (see Table 4-10) StandardKeyType = 0x01, Standard Network Key (see Table 4-11) StandardKeyType = 0x03, Application Link Key (see Table 4-12)
LinkKeyEncryption	Boolean	TRUE or FALSE	A LinkKeyEncryption is set to TRUE if the transport key message was encrypted with a link-key, FALSE otherwise.

Parameter Name	Type	Valid Range	Description
ChangeOfTrustCenterEUI64	Boolean	TRUE or FALSE	<p>In a centralized security network this is set to FALSE to indicate no change in the Trust Center's identity. This is the most common case.</p> <p>In a centralized security network this is set to TRUE when the stack has received a Transport Key from an EUI64 different than the current Trust Center. The message has valid encryption for the apsDeviceKeyPairSet entry of the current trust center but using the TrustCenterSwapOutLinkKey.</p> <p>This parameter is ignored in a distributed security network.</p>

10324

#### 10325 4.4.2.2.2 When Generated

10326 The APSME SHALL generate this primitive when it receives a transport-key command as specified in section 4.4.3.3.

#### 10327 4.4.2.2.3 Effect on Receipt

10328 Upon receipt of this primitive, the ZDO is informed of the receipt of the keying material.

10329 If ChangeOfTrustCenterEUI64 has been set to true the application MAY perform additional validation of the new  
10330 Trust Center application before accepting the new security material.

10331 If ChangeOfTrustCenterEUI64 is set to true AND the application has accepted the new security material the following  
10332 SHALL happen. The Application SHALL update its Trust Center Link Key after a change to the Trust Center EUI64.  
10333 This MAY be done using an application defined protocol if available. Otherwise it SHALL be done using APSME-  
10334 KEY-NEGOTIATION.request if the device and Trust Center Support Key Negotiation. Otherwise it MUST be done  
10335 using APSME-REQUEST-KEY.request.

#### 10336 4.4.2.3 Upon Receipt of a Transport-Key Command

10337 Upon receipt of a transport-key command, the APSME SHALL execute security processing as specified in, then check  
10338 the key type sub-field.

10339 The message SHALL be APS encrypted as determined by the state of the *requireLinkKeyEncryptionForAp-*  
10340 *sTransportKey* Joining Device Policy Value. If the policy is set to TRUE and the command has no APS encryption,  
10341 then the message SHALL be dropped and SHALL not be processed. Otherwise, processing MAY continue.

10342 Upon receipt of a secured transport-key command, the APSME SHALL check the key type sub-field. If the key type  
10343 field is set to 0x03 (application link key) or 0x04 (Trust Center link key) and the receiving device is operating in the  
10344 joined and authorized state and the command was not secured using a distributed security link key or a Trust Center  
10345 link key, the command SHALL be discarded.

10346 If the device is operating in the joined and authorized state it MAY accept a NWK broadcast transport key command  
10347 with key type field set to 0x01 (standard network key) where the message has no APS encryption.

10348 If the key type field is set to 0x01 and the command was not secured using a distributed security link key, Trust Center  
10349 link key, the command SHALL be discarded.

10350 If the key type field is set to 0x03, the APSME SHALL issue the APSME-TRANSPORT-KEY.indication primitive  
10351 with the SrcAddress parameter set to the source of the key-transport command (as indicated by the NLDE-DATA.in-  
10352 dication SrcAddress parameter), and the StandardKeyType parameter set to the key type field. The TransportKeyData  
10353 parameter SHALL be set as follows:

- 10354 • The Key sub-parameter SHALL be set to the key field.
- 10355 • The PartnerAddress sub-parameter SHALL be set to the partner address field.
- 10356 • The Initiator parameter SHALL be set to TRUE, if the initiator field is 1. Otherwise, it SHALL be set to 0.
- 10357 • If the Key type field is set to 0x01, the destination field is equal to the local address, and the Source Address  
10358 field is not all F's, then an APSME-TRANSPORT-KEY.indication SHALL be issued with the following param-  
10359 eters:
  - 10360 • APSME SrcAddress parameter SHALL be set to the APS Command Source Address Field.
  - 10361 • APSME StandardKeyType SHALL be set to 0x01.
  - 10362 • APSME TransportKeyData SHALL be set to the Key value of the Key Descriptor field.
  - 10363 • If the Key type field is set to 0x04, then an APSME-TRANSPORT-KEY.indication SHALL be issued with the  
10364 following parameters:
    - 10365 • APSME SrcAddress parameter SHALL be set to the APS Command Source Address field.
    - 10366 • APSME StandardKeyType SHALL be set to 0x04.
    - 10367 • APSME TransportKeyData SHALL be set to the Key value of the Key Descriptor field.

10368 If the key type field is set to 0x01 and source address field is set to 0xFFFFFFFFFFFFFFFFF this indicates a distributed  
10369 security network. This SHALL only be allowed if the current *apsTrustCenterAddress* is also 0xFFFFFFFFFFFFFFFFF.  
10370 Otherwise, it SHALL be dropped and no further processing shall be done. If the message is allowed, the APSME  
10371 SHALL issue the APSME-TRANSPORT-KEY.indication primitive with the SrcAddress parameter set to the source  
10372 address field of the key-transport command and the StandardKeyType parameter set to the key type field. The  
10373 TransportKeyData parameter SHALL be set as follows: the Key subparameter SHALL be set to the key field and, in  
10374 the case of a network key (that is, the key type field is set to 0x01), the KeySeqNumber sub-parameter SHALL be set  
10375 to the sequence number field. The *apsTrustCenterAddress* SHOULD be set to 0xFFFFFFFFFFFFFFFFF indicating a  
10376 distributed security network.

10377 If the key type field is set to 0x01 or 0x04 and the destination address field is not equal to the local address, the APSME  
10378 SHALL send the command to the address indicated by the destination address field by issuing the NLDE-DATA.re-  
10379 quest primitive with security disabled.

10380 Upon receipt of a secured transport-key command with the key type field set to 0x01, if the destination field is all  
10381 zeros and the source address field is set to the value of *apsTrustCenterAddress*, the router SHALL attempt to unicast  
10382 this transport-key command to any and all rx-off-when-idle children. The router SHALL continue to do so until suc-  
10383 cessful, or until a subsequent transport-key command with the key type field set to 0x01 has been received, or until a  
10384 period of twice the recommended maximum polling interval has passed.

## 10385 **4.4.3 Update Device Services**

10386 The APSME provides services that allow a device (for example, a router) to inform another device (for example, a  
10387 Trust Center) that a third device has changed its status (for example, joined or left the network).APSME-UPDATE-  
10388 DEVICE.request.

### 10389 **4.4.3.1 APSME-UPDATE-DEVICE.request**

10390 The APSME SHALL issue the APSME-UPDATE-DEVICE.request primitive when it wants to inform a device (for  
10391 example, a Trust Center) that another device has a status that needs to be updated (for example, the device joined or  
10392 left the network).

#### 4.4.3.1.1 Semantics of the Service Primitive

This primitive SHALL provide the following interface:

---

```
10395     APSME-UPDATE-DEVICE.request {  
10396         DestAddress,  
10397         DeviceAddress,  
10398         Status,  
10399         DeviceShortAddress  
10400         JoiningDeviceTLVs  
10401     }
```

---

Table 4-14 specifies the parameters for the APSME-UPDATE-DEVICE.request primitive.

**Table 4-14. APSME-UPDATE-DEVICE.request Parameters**

Parameter Name	Type	Valid Range	Description
DestAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that SHALL be sent the update information.
DeviceAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device whose status is being updated.
Status	Integer	0x00 – 0x07	Indicates the updated status of the device given by the DeviceAddress parameter: 0x00 = Standard Device Secured Rejoin 0x01 = Standard Device Unsecured Join 0x02 = Device Left 0x03 = Standard Device Trust Center Rejoin 0x04 – 0x07 = Reserved
DeviceShortAddress	Network address	0x0001 – 0xFFFF	The 16-bit network address of the device whose status is being updated.
JoiningDeviceTLVs	Octet Array	Varies	The TLVs of the joining device as relayed during Network Commissioning. Only the Joiner Encapsulation Global TLV SHALL be passed from the NLME-JOIN.indication to the APSME-UPDATE-DEVICE.request. If no TLVs are present this value SHALL be empty.

#### 4.4.3.1.2 When Generated

The APSME (for example, on a router or Zigbee coordinator) SHALL initiate the APSME-UPDATE-DEVICE.request primitive when it wants to send updated device information to another device (for example, the Trust Center).

#### 4.4.3.1.3 Effect on Receipt

If the local device is the Trust Center, it SHALL issue an APSME-UPDATE-DEVICE.indication, with the same parameters as the request.

If the local device is not the Trust Center, the device SHALL first create an update-device command frame (see section 4.4.9.3). The device address field of this command frame SHALL be set to the DeviceAddress parameter, the status field SHALL be set according to the Status parameter, and the device short address field SHALL be set to the Device-ShortAddress parameter. The JoiningDeviceTLVs SHALL be appended to the Update Device command frame, if present. This command frame SHALL be security-protected as specified in section 4.4.1.1 and then, if security processing succeeds, sent to the device specified in the DestAddress parameter by issuing a NLDE-DATA.request primitive.

#### **4.4.3.2 APSME-UPDATE-DEVICE.indication**

10417 This primitive is issued to inform the APSME that it received an update-device command frame.

10418 4.4.3.2.1 Semantics of the Service Primitive

10419 This primitive SHALL provide the following interface:

```
APSME-UPDATE-DEVICE.indication {  
    SrcAddress,  
    DeviceAddress,  
    Status,  
    DeviceShortAddress  
}
```

Table 4-15 specifies the parameters for the APSME-UPDATE-DEVICE.indication primitive.

**Table 4-15. APSME-UPDATE-DEVICE.indication Parameters**

Parameter Name	Type	Valid Range	Description
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device originating the update-device command.
DeviceAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device whose status is being updated.
Status	Integer	0x00 – 0x07	See the Status parameter definition in Table 4-14.
DeviceShortAddress	Network Address	0x0000-0xffff	The 16-bit network address of the device whose status is being updated.
JoiningDeviceTLVs	Octet Array	Varies	The TLVs of the joining device as relayed during Network Commissioning or rejoin. If no TLVs are present this value SHALL be empty.

#### 4.4.3.2.2 When Generated

10429 The APSME SHALL generate this primitive when it receives an update-device command frame that is successfully  
10430 decrypted and authenticated, as specified in section 4.4.1.2.

### 10431 4.4.3.2.3 Effect on Receipt

Upon receipt of the APSME-UPDATE-DEVICE.indication primitive, the APSME will be informed that the device referenced by the DeviceAddress parameter has undergone a status update according to the Status parameter.

10434 If the device is not the Trust Center, this indication SHALL be ignored. No further processing shall be done.

10435 The Trust Center SHALL process the indication as follows.

- 10436 1. If the Status is Device Left, no further processing SHALL be done. A Device Left is considered informative but SHOULD NOT be considered authoritative. Security related actions SHALL not be taken on receipt of this. No further processing SHALL be done.
- 10437 2. If the Trust Center's Policy indicates *allowJoins* is TRUE, it SHALL follow the procedure in 4.6.3.2.2.3.
- 10439 3. Otherwise, the Trust Center SHALL follow the policy in section 4.6.3.3.
- 10440

## 10441 **4.4.4 Remove Device Services**

10442 The APSME provides services that allow a device (for example, a Trust Center) to inform another device (for example, a router) that one of its children SHOULD be removed from the network.

10444 These services MAY be used in distributed network security.

### 10445 **4.4.4.1 APSME-REMOVE-DEVICE.request**

10446 The APSME of a device (for example, a Trust Center) SHALL issue this primitive when it wants to request that a parent device (for example, a router) remove one of its children from the network. For example, a Trust Center can use this primitive to remove a child device that is not authorized to be on the network.

#### 10449 **4.4.4.1.1 Semantics of the Service Primitive**

10450 This primitive SHALL provide the following interface:

---

```
10451     APSME-REMOVE-DEVICE.request      {
10452             ParentAddress,
10453             ChildAddress
10454 }
```

---

10455 Table 4-16 specifies the parameters for the APSME-REMOVE-DEVICE.request primitive.

10456 **Table 4-16. APSME-REMOVE-DEVICE.request Parameters**

Parameter Name	Type	Valid Range	Description
ParentAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that is the parent of the child device that is requested to be removed, or the router device that is requested to be removed.
TargetAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the target device that is requested to be removed. If a router device is requested to be removed, then the <i>ParentAddress</i> SHALL be the same as the <i>TargetAddress</i> .

#### 10457 **4.4.4.1.2 When Generated**

10458 The APSME (for example, on a Trust Center) SHALL initiate the APSME-REMOVE-DEVICE.request primitive when it wants to request that a parent device (specified by the ParentAddress parameter) remove one of its child devices (as specified by the TargetAddress parameter), or if it wants to remove a router from the network.

10461 If the device being removed is a router then the ParentAddress field SHALL be set to the EUI64 of that router and the TargetAddress SHALL be set to the same value.

10463 **4.4.4.1.3 Effect on Receipt**

10464 Upon receipt of the APSME-REMOVE-DEVICE.request primitive the device SHALL first create a remove-device  
 10465 command frame (see section 4.4.9.3). The address field of this command frame SHALL be set to the TargetAddress  
 10466 parameter. If the device to be removed is a router the ParentAddress and TargetAddress SHALL be the same. This  
 10467 command frame shall be security-protected as specified in section 4.4.1.1 and then, if security processing succeeds,  
 10468 sent to the device specified by the ParentAddress parameter by issuing a NLDE-DATA.request primitive.

10469 **4.4.4.2 APSME-REMOVE-DEVICE.indication**

10470 The APSME SHALL issue this primitive to inform the ZDO that it received a remove-device command frame.

10471 **4.4.4.2.1 Semantics of the Service Primitive**

10472 This primitive SHALL provide the following interface:

---

APSME-REMOVE-DEVICE.indication	{
	SrcAddress,
	ChildAddress
	}

---

10477 Table 4-17 specifies the parameters for the APSME-REMOVEDEVICE.indication primitive.

10478 **Table 4-17. APSME-REMOVE-DEVICE.indication Parameters**

Parameter Name	Type	Valid Range	Description
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device requesting that a child device be removed.
TargetAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the target device that is requested to be removed.

10479 **4.4.4.2.2 When Generated**

10480 The APSME SHALL generate this primitive when it receives a remove-device command frame that is successfully  
 10481 decrypted and authenticated, as specified in section 4.4.1.4.

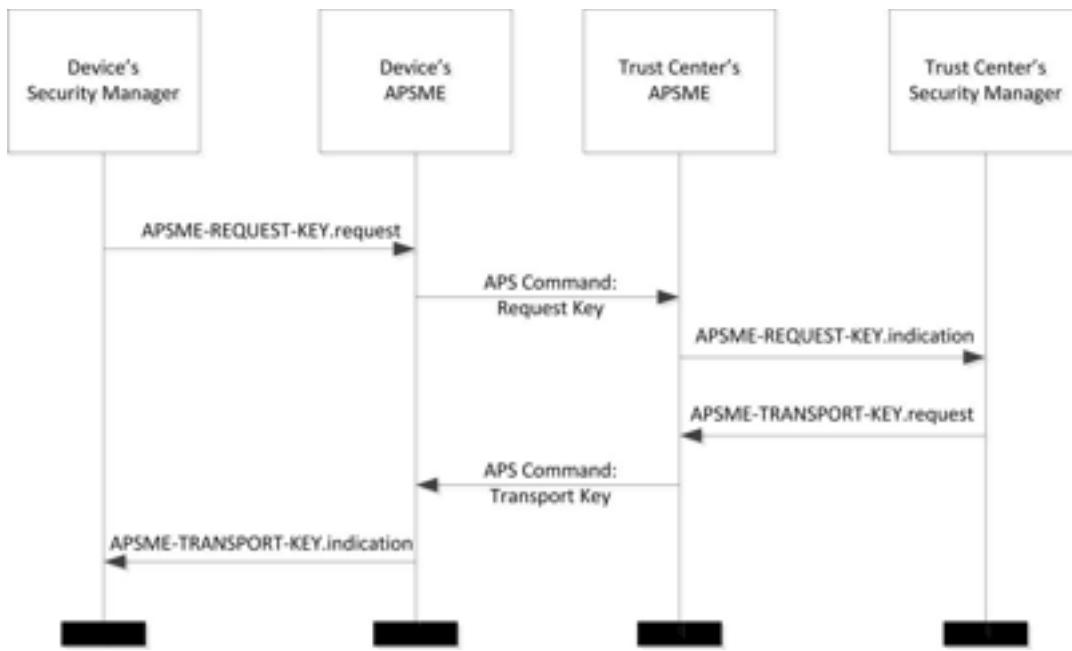
10482 **4.4.4.2.3 Effect on Receipt**

10483 Upon receipt of the APSME-REMOVE-DEVICE.indication primitive the ZDO SHALL be informed that the device  
 10484 referenced by the TargetAddress parameter SHALL be removed from the network.

10485 It SHALL generate an NLME-LEAVE.request and process it as described in 3.2.2.18.

10486 **4.4.5 Request Key Services**

10487 The APSME provides services that allow a non-trust center device to request an application or trust center link key  
 10488 from the Trust Center. Figure 4-4 shows the processing for the request key services.



10489

10490

**Figure 4-4. Request Key Service Processing for Trust Center Link Key**

#### 4.4.5.1 **APSME-REQUEST-KEY.request**

This primitive allows the Security Manager to request a new trust center link key or a new end-to-end application link key.

##### 4.4.5.1.1 **Semantics of the Service Primitive**

This primitive SHALL provide the following interface:

10496	<b>APSME-REQUEST-KEY.request</b>	{
10497		DestAddress,
10498		RequestKeyType,
10499		PartnerAddress
10500		}

Table 4-18 specifies the parameters for the APSME-REQUEST-KEY.request primitive.

**Table 4-18. APSME-REQUEST-KEY.request Parameters**

Parameter Name	Type	Valid Range	Description
DestAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device to which the request-key command SHOULD be sent.
RequestKeyType	Octet	0x02 and 0x04	The type of key being requested. See Table 4-19.
PartnerAddress	Device Address	Any valid 64-bit address	If the RequestKeyType parameter indicates an application key, this parameter SHALL indicate an extended 64-bit address of a device that SHALL receive the same key as the device requesting the key.

10503 Table 4-19 describes the values of the RequestKeyType enumeration. Please note that this enumeration is different  
 10504 than the one for the StandardKeyType in Table 4-9.

10505

**Table 4-19 RequestKeyType Values**

<b>Value</b>	<b>Enumeration</b>
0x00	Reserved
0x01	Reserved
0x02	Application Link Key
0x03	Reserved
0x04	Trust Center Link Key
0x05 – 0xFF	Reserved

10506 **4.4.5.1.2 When Generated**

10507 The Security Manager of a device SHALL generate the APSME-REQUEST-KEY.request primitive when it requires  
 10508 either a new end-to-end application link key or trust center link key. An application link key with the Trust Center is  
 10509 also known as a Trust Center Link Key.

10510 **4.4.5.1.3 Effect on Receipt**

10511 Upon receipt of the APSME-REQUEST-KEY.request primitive, the device SHALL first create an APS request-key  
 10512 command frame (see section 4.4.9.5). The RequestKeyType field of this command frame SHALL be set to the same  
 10513 value as the RequestKeyType parameter. If the RequestKeyType parameter is 0x02 (that is, an application link key),  
 10514 then the partner address field of this command frame SHALL be the PartnerAddress parameter. Otherwise, the partner  
 10515 address field of this command frame SHALL NOT be present.

10516 This command frame SHALL be security-protected as specified in section 4.4.1.1 and then, if security processing  
 10517 succeeds, sent to the device specified by the DestAddress parameter by issuing a NLDE-DATA.request primitive.

10518 **4.4.5.2 APSME-REQUEST-KEY.indication**

10519 The APSME SHALL issue this primitive to inform the Security Manager that it received a request-key command  
 10520 frame.

10521 **4.4.5.2.1 Semantics of the Service Primitive**

10522 This primitive SHALL provide the following interface:

---

APSME-REQUEST-KEY.indication	{
	SrcAddress,
	RequestKeyType,
	PartnerAddress
	}

---

10528 Table 4-20 specifies the parameters for the APSME-REQUEST-KEY.indication primitive.

10529

**Table 4-20. APSME-REQUEST-KEY.indication Parameters**

<b>Parameter Name</b>	<b>Type</b>	<b>Valid Range</b>	<b>Description</b>
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that sent the request-key command.
RequestKeyType	Octet	See Description.	The type of key being requested. See Table 4-19 for a list of types and valid values.
PartnerAddress	Device Address	Any valid 64-bit address	If the RequestKeyType parameter indicates an application key, this parameter SHALL indicate an extended 64-bit address of a device that SHALL receive the same key as the device requesting the key.

#### 10530 **4.4.5.2.2 When Generated**

10531 The APSME SHALL generate this primitive when it receives a request-key command frame that is successfully decrypted and authenticated, as specified in section 4.4.1.2.

#### 10533 **4.4.5.2.3 Effect on Receipt**

10534 Upon receipt of the APSME-REQUEST-KEY.indication primitive, the following SHALL be done:

- 10535 1. If the device is not the Trust Center, the request SHALL be silently dropped and no further processing SHALL be done.
- 10536 2. If the apsTrustCenterAddress of the AIB is 0xFFFFFFFFFFFFFF (indicating a distributed security network), the request SHALL be silently dropped and no further processing SHALL be done.
- 10537 3. Find the entry in the apsDeviceKeyPairSet that the DeviceAddress matches the PartnerAddress in the interface.
- 10538 a. If no match is found, go to step 4.
- 10539 b. If a match is found and the KeyNegotiationMethod does not correspond to 0x00, APS Request Key method, then the request SHALL be dropped and no more processing SHALL be done.
- 10540 4. If the RequestKeyType is 0x04, Trust Center Link Key, then follow the procedure in section 4.7.3.8.
- 10541 5. If the RequestKeyType is 0x02, Application Link Key, then follow the procedure in section 4.7.3.10.
- 10542 6. If the RequestKeyType is any other value, the request SHALL be silently dropped and no further processing SHALL be done.

### 10547 **4.4.6 Switch Key Services**

10548 The APSME provides services that allow the Trust Center to inform another device that it SHOULD switch to a new active network key.

#### 10550 **4.4.6.1 APSME-SWITCH-KEY.request**

10551 This primitive allows a device (for example, the Trust Center) to request that another device or all devices switch to a new active network key.

#### 4.4.6.1.1 Semantics of the Service Primitive

This primitive SHALL provide the following interface:

---

APSME-SWITCH-KEY.request	{
	DestAddress,
	KeySeqNumber
	}

---

Table 4-21 specifies the parameters for the APSME-SWITCH-KEY.request primitive.

**Table 4-21. APSME-SWITCH-KEY.request Parameters**

<b>Parameter Name</b>	<b>Type</b>	<b>Valid Range</b>	<b>Description</b>
DestAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device to which the switch-key command is sent. This MAY be the broadcast address 0xFFFFFFFFFFFFFF.
KeySeqNumber	Octet	0x00 – 0xFF	A sequence number assigned to a network key by the Trust Center and used to distinguish network keys.

#### 4.4.6.1.2 When Generated

The ZDO of a device (for example, the Trust Center) SHALL generate the APSME-SWITCH-KEY.request primitive when it wants to inform a device or all devices to switch to a new active network key.

#### 4.4.6.1.3 Effect on Receipt

Upon receipt of the APSME-SWITCH-KEY.request primitive, the device SHALL first create a switch-key command frame (see section 4.4.11.5). The sequence number field of this command frame SHALL be set to the same value as the KeySeqNumber parameter.

If the DestAddress is not the broadcast address 0xFFFFFFFFFFFFFF, this command frame SHALL be security-protected as specified in section 4.4.1.1 and then, if security processing succeeds, sent to the device specified by the DestAddress parameter by issuing a NLDE-DATA.request primitive.

If the DestAddress is the broadcast address 0xFFFFFFFFFFFFFF then the command SHALL NOT be security-protected at the APS layer. It SHALL be sent to the NWK broadcast address 0xFFFF by issuing a NLDE-DATA.request primitive.

#### 4.4.6.2 APSME-SWITCH-KEY.indication

The APSME SHALL issue this primitive to inform the ZDO that it received a switch-key command frame.

#### 4.4.6.2.1 Semantics of the Service Primitive

This primitive SHALL provide the following interface:

---

APSME-SWITCH-KEY.indication	{
	SrcAddress,
	KeySeqNumber
	}

---

Table 4-22 specifies the parameters for the APSME-SWITCH-KEY.indication primitive.

10583

**Table 4-22. APSME-SWITCH-KEY.indication Parameters**

<b>Parameter Name</b>	<b>Type</b>	<b>Valid Range</b>	<b>Description</b>
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that sent the switch-key command.
KeySeqNumber	Octet	0x00 – 0xFF	A sequence number assigned to a network key by the Trust Center and used to distinguish network keys.

10584

**4.4.6.2.2 When Generated**10585  
10586

The APSME SHALL generate this primitive when it receives a switch-key command frame that is successfully decrypted and authenticated, as specified in section 4.4.1.4.

10587

**4.4.6.2.3 Effect on Receipt**10588  
10589  
10590

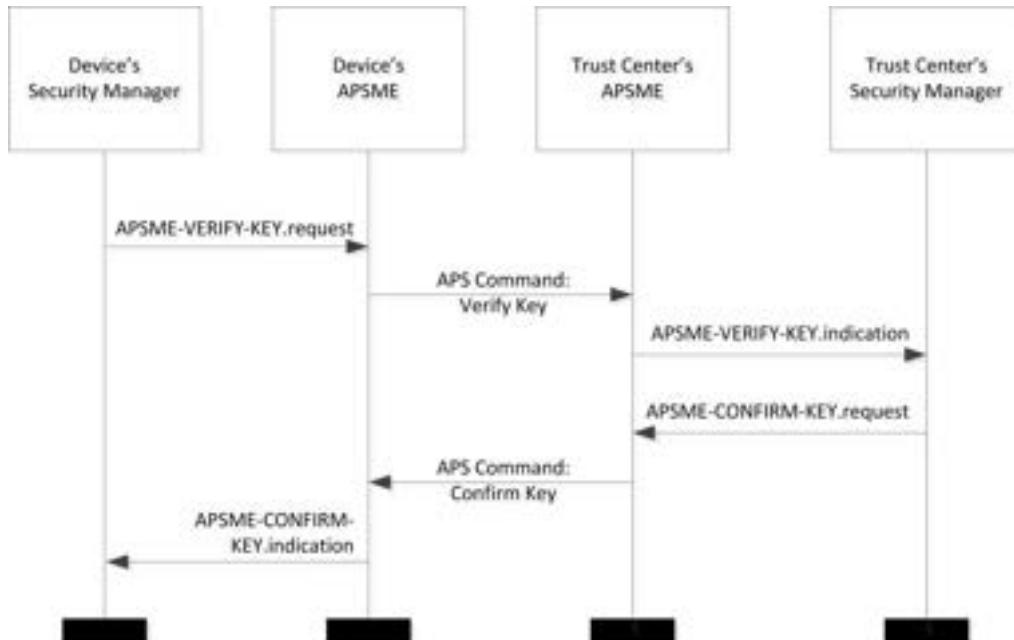
Upon receipt of the APSME-SWITCH-KEY.indication primitive the ZDO SHALL be informed that the device referenced by the SrcAddress parameter is requesting that the network key referenced by the KeySeqNumber parameter become the new active network key.

10591

**4.4.7 Verify Key Services**

10592

Figure 4-5 illustrates the flow of service requests and the over-the-air messages for the verify key.



10593

10594

**Figure 4-5. Verify-Key Processing for Trust Center Link Keys**

10595

**4.4.7.1 APSME-VERIFY-KEY.request**10596  
10597  
10598

This primitive allows a device to request that the partner device verify the Link Key between the two devices, either Trust Center Link Key or Application Link Key. When validating an application link key the frame counters are also synchronized.

#### 10599 4.4.7.1.1 Semantics of the Service Primitive

10600 The primitive SHALL provide the following interface:

---

10601           APSME-VERIFY-KEY.request	{ DestAddress, StandardKeyType }
--	---

---

10605 Table 4-23 specifies the parameters of the APSME-VERIFY-KEY.request primitive.

10606 **Table 4-23. APSME-VERIFY-KEY.request Parameters**

Parameter Name	Type	Valid Range	Description
DestAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device to which the verify-key command be sent.
StandardKeyType	Octet	0x00 – 0xFF	Type of key being verified. See Table 4-9.

#### 10607 4.4.7.1.2 When Generated

10608 The Security Manager on an initiator device SHALL generate this primitive when it wants to verify its Trust Center  
10609 link key with the Trust Center.

#### 10610 4.4.7.1.3 Effect on Receipt

10611 On receipt of the APSME-VERIFY-KEY.request primitive the following SHALL be performed:

- 10612 1. If the local device is the Trust Center, the request is invalid and no further processing SHALL be done.
- 10613 2. If the StandardKeyType parameter is not equal to 0x04 (Trust Center Link Key) and the apsTrustCenterAddress of the AIB is 0xFFFFFFFFFFFFFF (indicating a distributed security network), then the request is invalid. No  
10614 further processing SHALL be done.
- 10615 3. If the StandardKeyType parameter is equal to 0x03 (Application Link Key) and the DestAddress is equal to  
10617 apsTrustCenterAddress of the AIB then the request is invalid. No further processing SHALL be done
- 10618 4. The device SHALL find the corresponding entry in the apsDeviceKeyPairSet that has a DeviceAddress equal to  
10619 the DestAddress of this primitive. If no entry can be found, the operation has failed and no further processing  
10620 SHALL be done.
- 10621 5. If the StandardKeyType is equal to 0x04 (Trust Center Link Key), then an APS Command Verify Key and APS  
10622 Command Confirm Key are used to validate the Trust Center Link Key. The following additional requirements  
10623 apply.
  - 10624 a. The *Initiator Verify-Key Hash Value* SHALL be calculated according to section B.1.4 using the LinkKey  
10625 value of the corresponding apsDeviceKeyPairSet entry found in step 5.
  - 10626 b. The APSME SHALL generate an APS Command Verify-Key setting the StandardKeyType in the command  
10627 to the StandardKeyType of this primitive, and setting the Hash value to the calculated Initiator Verify-Key  
10628 Hash Value. The APS command SHALL NOT be APS encrypted.
- 10629 6. If the StandardKeyType is equal to 0x03 (Application Link Key), then a ZDO Security\_Challenge\_req and ZDO  
10630 Security\_Challenge\_rsp are used to validate the Application Link Key and synchronize frame counters.
  - 10631 a. The device SHALL follow the procedure in section 4.6.3.8.1 to initiate the challenge.

## 4.4.7.2 APSME-VERIFY-KEY.indication

This primitive allows a device to be notified when a device is requesting to verify its Trust Center Link Key or Application Link Key. It allows the Trust Center to know when a provisional link key has been replaced by a verified link key.

### 4.4.7.2.1 Semantics of the Service Primitive

The primitive SHALL provide the following interface:

---

APSME-VERIFY-KEY.indication	{ SrcAddress, StandardKeyType, ReceivedInitiatorHashValue ReceivedInitiatorChallengeValue }
-----------------------------	--

---

Table 4-24 specifies the parameters of the APSME-VERIFY-KEY.indication primitive.

**Table 4-24. APSME-VERIFY-KEY.indication Parameters**

Parameter Name	Type	Valid Range	Description
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that sent the verify-key command.
StandardKeyType	Octet	0x00 – 0xFF	Type of key being verified. See Table 4-9.
ReceivedInitiatorHashValue	Set of 16 octets	Variable	The initiator hash of the key being verified.
ReceivedInitiatorChallengeValue	Set of 8 octets	Variable	The initiator's challenge of the key being verified.

### 4.4.7.2.2 When Generated

The APSME SHALL generate this primitive when it receives an APS Command Verify Key.

### 4.4.7.2.3 Effect on Receipt

On receipt of the APSME-VERIFY-KEY.indication primitive the following SHALL be performed:

1. If the message is a NWK broadcast, the request SHALL be dropped and no further processing SHALL be done.
2. If the StandardKeyType is 0x04 (Trust Center Link Key) and the device is not the Trust Center, or if the StandardKeyType is 0x03 (Application Link Key) and the device is operating as the Trust Center, this is not a valid request. The device SHALL follow the procedure in section 4.4.7.2.3.1 setting the Status value to 0xa3 (ILLE-GAL\_REQUEST). No further processing SHALL be done.
3. If the StandardKeyType parameter is not equal to 0x04 (Trust Center Link Key) and not equal to 0x03 (Application Link Key), the request is invalid. The device SHALL follow the procedure in section 4.4.7.2.3.1 setting the Status value to 0xaa (NOT\_SUPPORTED). No further processing SHALL be done.

- 10658 4. If the StandardKeyType is 0x04 (Trust Center Link Key) and the apsTrustCenterAddress of the AIB is set to  
10659 0xFFFFFFFFFFFFFF, the device is operating in a distributed security network and this is not a valid request.  
10660 The device SHALL follow the procedure in section 4.4.7.2.3.1 setting the Status value to 0xaa (NOT\_SUP-  
10661 PORTED). No further processing SHALL be done.
- 10662 5. If the StandardKeyType is 0x03 (Application Link Key) and the apsTrustCenterAddress of the AIB matches the  
10663 SrcAddress, then the request is invalid. The device SHALL follow the procedure in section 4.4.7.2.3.1 setting the  
10664 Status value to 0xa3 (ILLEGAL\_REQUEST). No further processing SHALL be done.
- 10665 6. The device SHALL find the corresponding entry in the *apsDeviceKeyPairSet* attribute of the AIB where the  
10666 DeviceAddress matches the SrcAddress of this primitive and the KeyAttributes is UNVERIFIED\_KEY (0x01)  
10667 or VERIFIED\_KEY (0x02). If no entry matching those criteria is found, the following SHALL be performed.
- 10668 a. If the StandardKeyType is 0x03 (Application Link Key), then the device SHALL generate a ZDO Secu-  
10669 rity\_Challenge\_rsp with a status of INV\_REQUESTTYPE.
- 10670 b. If the StandardKeyType is 0x04 (Trust Center Link Key), the Security Manager SHALL follow the procedure  
10671 in section 4.4.7.2.3.1 setting the Status value to 0xad (SECURITY\_FAILURE).
- 10672 c. No further processing SHALL be done.
- 10673 7. If the StandardKeyType is 0x04 (Trust Center Link Key), the device SHALL do the following:
- 10674 a. The device SHALL calculate the CalculatedInitiatorHashValue by using the LinkKey value in the corre-  
10675 sponding *apsDeviceKeyPairSet* entry and the *Initiator Verify-Key Hash Value* cryptographic operation de-  
10676 scribed in section B.1.4.
- 10677 b. The device SHALL compare the ReceivedInitiatorHashValue of the primitive with the CalculatedInitia-  
10678 torHashValue. If the values do not match the operation has failed, the following SHALL be performed.
- 10679 i. The Security Manager SHALL follow the procedure in section 4.4.7.2.3.1 setting the Status value to  
10680 0xad (SECURITY\_FAILURE).
- 10681 ii. No further processing SHALL be done.
- 10682 8. If the StandardKeyType is 0x03 (Application Link Key), the device has already validated the re-ceived ZDO  
10683 Security\_Challenge\_rsp as described in section .
- 10684 9. The device SHALL set the KeyAttributes of the corresponding *apsDeviceKeyPairSet* entry to VERIFIED\_KEY  
10685 (0x02).
- 10686 10. The device SHALL follow the procedure in section 4.4.7.2.3.1 setting the Status value to 0x00 (SUCCESS).

#### 4.4.7.2.3.1 **APSME-VERIFY-KEY.indication Response**

10688 The following shall be done when an APSME-VERIFY-KEY.indication indicates a response SHALL be generated.  
10689 This procedure takes a Status code as a parameter.

10690 An APSME-CONFIRM-KEY.request SHALL be generated with the following values:

- 10691 1. The Status code SHALL be set to the Status code passed to this procedure.
- 10692 2. The DestAddress SHALL be set to the SrcAddress of the APSME-VERIFY-KEY.indication.
- 10693 3. The StandardKeyType SHALL be set to the StandardKeyType of the APSME-VERIFY-KEY.indication.
- 10694 4. Set the Challenge value of the APSME-CONFIRM-KEY.request to the ReceivedInitiatorChallengeValue that  
10695 was passed to the APSME-VERIFY-KEY.indication primitive.

### 4.4.8 **Confirm-Key Services**

#### 4.4.8.1 **APSME-CONFIRM-KEY.request**

10698 This primitive allows a Trust Center to respond to a device requesting to verify its Trust Center Link Key.

10699 **4.4.8.1.1 Semantics of the Service Primitive**

10700 The primitive SHALL provide the following interface:

---

10701           APSME-CONFIRM-KEY.request	{ Status DestAddress, StandardKeyType Challenge }
---	--

---

10707 Table 4-25 specifies the parameters of the APSME-CONFIRM-KEY.request primitive.

10708 **Table 4-25. APSME-CONFIRM-KEY.request Parameters**

Parameter Name	Type	Valid Range	Description
Status	Integer	0x00 – 0xFF	A value indicating the success or failure of a previous attempt to verify the trust center link key. See Table 2.27.
DestAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that sent the verify-key command.
StandardKeyType	Octet	0x00-0xFF	Type of key being verified. See Table 4-9.
Challenge	Set of 8 Octets	Varies	The challenge received for Frame Counter Verification requests.

10709 **4.4.8.1.2 When Generated**

10710 The Security Manager SHALL generate this primitive when it wants to respond to a previously received APSME-  
10711 VERIFY-KEY.indication.

10712 **4.4.8.1.3 Effect on Receipt**

10713 On receipt of the APSME-CONFIRM-KEY.request primitive the following SHALL be performed:

- 10714 1. If the StandardKeyType is 0x04 (Trust Center Link Key) and the device is not the Trust Center, this is not a valid  
10715 request. The request SHALL be dropped and no further processing SHALL be done.
- 10716 2. If the StandardKeyType parameter is not equal to 0x04 (Trust Center Link Key) and not equal to 0x03 (Application  
10717 Link Key), the request is invalid. No further processing SHALL be done.
- 10718 3. If the StandardKeyType is equal to 0x04 (Trust Center Link Key) and the apsTrustCenterAddress of the AIB is  
10719 set to 0xFFFFFFFFFFFFFF, the device is operating in a distributed security network and this is not a valid  
10720 request. The request SHALL be dropped and no further processing SHALL be done.
- 10721 4. The device SHALL find the corresponding entry in the *apsDeviceKeyPairSet* attribute of the AIB by examining  
10722 the DeviceAddress of all entries and comparing it to the DestAddress of this primitive. If no match is found, the  
10723 request is invalid.
  - a. The device SHALL send an APS Command Confirm Key Response to the DestAddress setting the StandardKeyType to the StandardKeyType of this primitive, the Status in the Command to FAILURE. The APS Command SHALL NOT be APS encrypted.
  - b. No further processing SHALL be done.

- 10728 5. If the StandardKeyType is 0x04 (Trust Center Link Key) and the device SHALL send an APS Command Confirm  
 10729 Key Response to the DestAddress setting the StandardKeyType to the StandardKeyType of this primitive, the  
 10730 Status in the Command to the Status passed to this primitive. The APS Command SHALL be APS encrypted.
- 10731 6. If the StandardKeyType is 0x03 (Application Link Key) the device SHALL follow the procedure in section  
 10732 4.6.3.8.5 using the Challenge received in this primitive to construct the response message.
- 10733 7. The device SHALL set the IncomingFrameCounter of the apsDeviceKeyPairSet entry to 0.

#### **4.4.8.2     APSME-CONFIRM-KEY.indication**

10735 This primitive notifies a device of the result of a previous APSME-VERIFY-KEY.request and allows it to remove a  
 10736 provisional link key used for joining.

##### **4.4.8.2.1    Semantics of the Service Primitive**

10738 The primitive SHALL provide the following interface:

---

APSME-CONFIRM-KEY.indication	{ Status SrcAddress, StandardKeyType, VerifiedFrameCounter, FrameCounterValue }
------------------------------	---

---

10746 Table 4-26 specifies the parameters of the APSME-CONFIRM-KEY.indication primitive.

10747 **Table 4-26. APSME-CONFIRM-KEY.indication Parameters**

Parameter Name	Type	Valid Range	Description
Status	Integer	0x00 – 0xFF	The result of the APSME-VERIFY-KEY.request operation.
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that sent the verify-key command.
StandardKeyType	Octet	0x00-0xFF	Type of key being verified. See Table 4-9.
VerifiedFrameCounter	Boolean	TRUE or FALSE	If TRUE, the value passed to FrameCounterValue has been verified as the latest. If FALSE, the value of FrameCounterValue SHALL be ignored.
FrameCounterValue	Integer	0 – $2^{32}$	The frame counter that has been used in the frame counter verification.

##### **4.4.8.2.2    When Generated**

10749 The APSME SHALL generate this primitive when it receives an APS Command Confirm Key.

10750

**4.4.8.2.3 Effect on Receipt**

On receipt of the APSME-CONFIRM-KEY.indication primitive the following SHALL be performed:

1. If the message is a NWK broadcast, the request SHALL be dropped and no further processing SHALL be done.
2. If the local device is the Trust Center, this primitive is invalid. No further processing SHALL be done.
3. If the Status parameter is equal to 0x00 (Success), the operation was successful. No further processing SHALL be done.
4. If the StandardKeyType parameter is equal to 0x04 (Trust Center Link Key) and the apsTrustCenterAddress of the AIB is 0xFFFFFFFFFFFFFF (indicating a distributed security network), this primitive is invalid. No further processing SHALL be done.
5. If StandardKeyType is 0x04 (Trust Center Link Key) and the the SrcAddress parameter is not the equal to the apsTrustCenterAddress of the AIB, then this primitive shall be silently dropped. No further processing SHALL be done.
6. The device SHALL find the corresponding entry in the apsDeviceKeyPairSet of the AIB where the DeviceAddress is equal to the SrcAddress passed to this primitive. If no entry can be found, no further processing SHALL be done.
7. The device SHALL set the keyAttributes of the corresponding apsDeviceKeyPairSet entry to 0x02 (VERIFIED\_KEY). No further processing SHALL be done.
8. If VerifiedFrameCounter is TRUE SHALL do the following:
  - a. Set the IncomingFrameCounter of the corresponding apsDeviceKeyPairSet entry to FrameCounterValue.
  - b. Set the VerifiedFrameCounter of the corresponding apsDeviceKeyPairSet entry to TRUE.
  - c. No further processing SHALL be done.

**4.4.9 Key Negotiation Services**

Key Negotiation services allow the pair of devices to securely negotiate a link key using Diffie-Hellman. Each side will exchange Public Point data through the ZDO Security\_Start\_Key\_Negotiation\_req and ZDO Security\_Start\_Key\_Negotiation\_rsp and derive a link key. This is used for Dynamic Key Negotiation Joining and Dynamic Key Negotiation Update after joining.

The Security Manager on the device will determine if the Key Negotiation is allowed, whether the requisite security material is already set, and what key negotiation methods SHALL be used. In all cases both devices SHALL have an apsDeviceKeyPairSet created with a passphrase.

Partner link keys MAY be negotiated between two devices, neither of which is the trust center. This SHALL only be done after the devices have joined the network. In that case messages are not relayed through a Relaying router. It is up to the application to determine the security policies of when keys can be negotiated and whether an anonymous passphrase or authenticated passphrase SHALL be used.

A joining or rejoining device can negotiate keys with the Trust Center prior to joining. The Trust Center indicates this by sending messages relayed through the parent router. The initiator will be the joining device and the responder will be the Trust Center.

The Trust Center advertises its general key negotiation capabilities using the Supported Key Negotiation Methods Global TLV. In most cases the Trust Center advertises multiple Key Negotiation Methods in order to support devices with different cryptographic capabilities, but it MAY require certain methods for specific devices. Devices joining the network, and devices already on the network wishing to renew their Trust Center Link Key, advertise their Key Negotiation Capabilities to the Trust Center by including the Supported Key Negotiation Methods Global TLV in the Network Commissioning command frame of the Node\_Desc\_req ZDO command. The Trust Center selects a particular scheme out of the union that both parties, Trust Center and partner device, support, taking into account specific pre-shared secrets on record for a particular device. The Trust Center's choice of method and pre-shared secret is conveyed

10795 in a TLV included in the Security Start Key Update Request (in case the partner device is joining) or Node\_Desc\_rsp  
10796 (in case the partner device had already joined earlier).

10797 The negotiated, but unverified key will be kept for apsSecurityTimeOutPeriod. It is required for both sides to verify  
10798 the key within that period. Prior to initiating Key Negotiation, both the joining device and the Trust Center SHALL  
10799 back up the existing APS Key Pair Table entries as needed. There are many potential reasons for failure including but  
10800 not limited to exceeding prescribed timeouts, missing or truncated TLVs, deviation from the prescribed sequence,  
10801 Trust Center inability to support the DLK with a specific joining device, and a device reinitiating DLK while an  
10802 existing sequence is still active. If Key Negotiation fails for any reason, both devices SHALL discard any generated  
10803 material and SHALL ensure that their APS Key Pair Table entry is restored, if needed, so that it is identical to what it  
10804 was prior to the initiation of Key Negotiation.

10805 After negotiating a key both devices SHALL verify the key using the APSME-VERIFY-KEY.request and APSME-  
10806 CONFIRM-KEY.request services.

10807 Figure 4-6 shows how the interfaces and over the air messages are related.

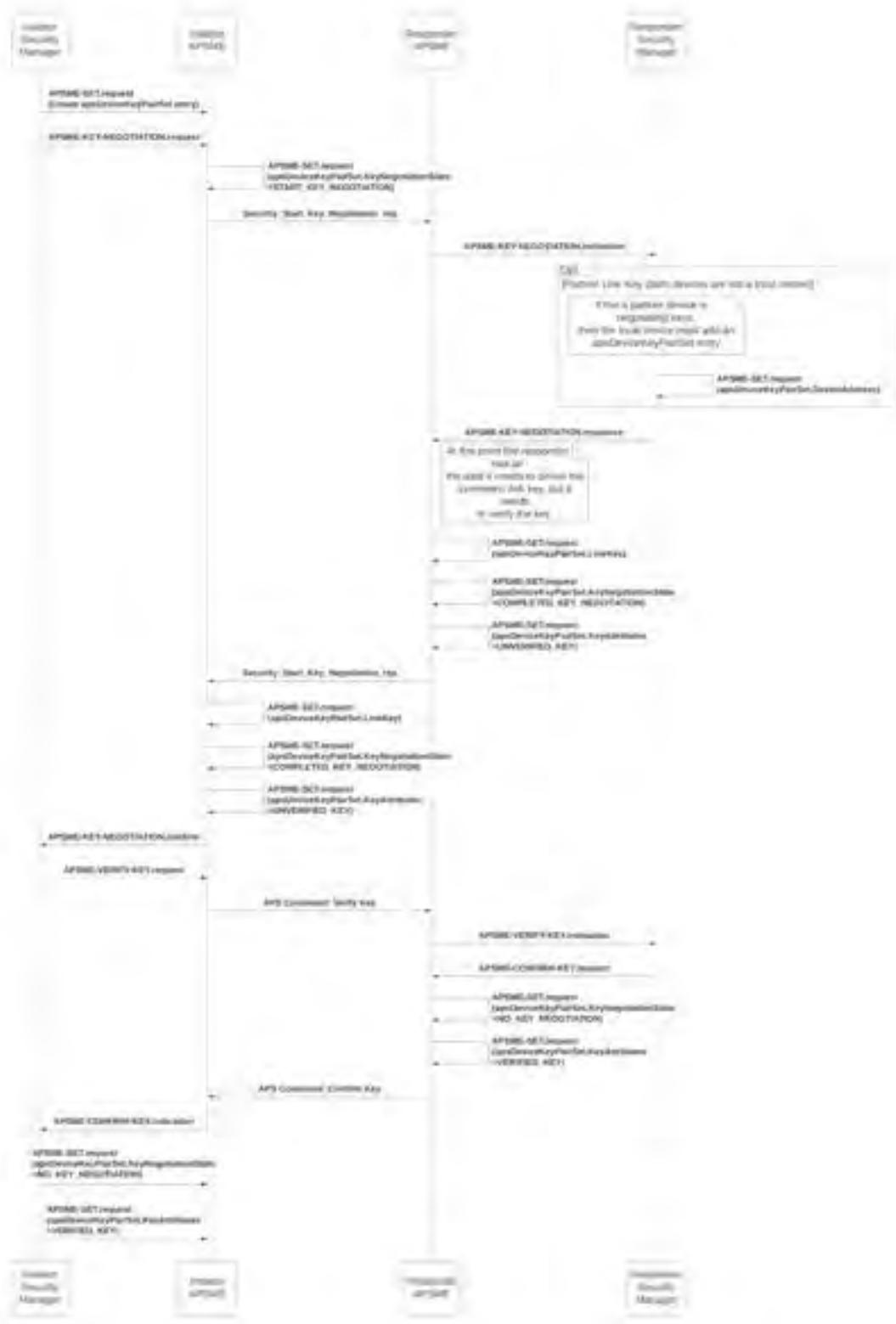


Figure 4-6. Key Negotiation Interfaces and Over the Air Message Flow

10808

10809

10810

### 4.4.9.1 APSME-KEY-NEGOTIATION.request

This primitive requests that the APSME construct and send a ZDO Security\_Start\_Key\_Negotiation\_req frame to negotiate a new link key with another device. The target device MAY be either the Trust Center or another node in the network.

---

```

APSME-KEY-NEGOTIATE.request {
    RequestedKeyNegotiationMethod,
    RequestedPreSharedSecretType,
    PartnerLongAddress,
    RelayCommand
    RelayLongAddress
}

```

---

Table 4-27 specifies the parameters of the APSME-KEY-NEGOTIATE.request primitive.

**Table 4-27. APSME-KEY-NEGOTIATE.request Parameters**

Parameter Name	Type	Valid Range	Description
RequestedKeyNegotiationMethod	Enum	1 – 8	This is the enumeration indicating the key negotiation mechanism to use. This value is used for the Key Negotiation Req Selected Key Negotiation Method local TLV in the ZDO Security_Start_Key_Negotiation_req.
RequestedPreSharedSecretType	Enum	1 - 16	This is the enumeration indicating the source of preshared secret key data used for the requested negotiation. See Table 2-81.
PartnerLongAddress	EUI64	Any	This is the EUI64 of the partner that key negotiation is being performed.
RelayCommand	Boolean	TRUE or FALSE	This indicates whether or not the request SHOULD be relayed through another router. This is used when the partner is not authorized on the network yet.
RelayLongAddress	EUI64	Any	This indicates the address of the relay that SHOULD be used. When Relay-Command is FALSE this parameter is ignored.

#### 4.4.9.1.1 When Generated

This primitive is generated when the application, on a non-Trust Center device, wants to negotiate a link key with a partner device. This could be done before the device has fully joined or rejoined the network, or it could be done after the device has joined the network.

When the Trust Center device wants to update the link key with another device it sends a ZDO Start\_Update\_Key\_req to the device. The device will initiate the link key update locally by calling the APSME-KEY-NEGOTIATE.req and the Trust Center will act as the responder for the key negotiation exchange (starting with the APSME-KEY-NEGOTIATE.indication).

10833 **4.4.9.1.2 Effect On Receipt**

10834 The local device SHALL determine whether it supports the value in the RequestedKeyNegotiationMethod. It SHALL  
 10835 convert the enum into a bitmask and then compare it to the apsSupportedKeyNegotiationMethods value. If there is no  
 10836 corresponding match of bits then an error SHALL be returned to the next higher layer.

10837 The stack SHALL determine whether an entry exists for the specified device in its apsDeviceKeyPairSet table of the  
 10838 AIB. If an entry does not exist where the DeviceAddress matches the PartnerLongAddress than an error SHALL be  
 10839 returned to the higher layer and no further processing SHALL be done.

10840 The device SHALL construct a ZDO Security\_Start\_Key\_Negotiation\_req frame. The RequestedKeyNegotiation-  
 10841 Method SHALL be set based on the type of Public Point TLV in the Security\_Start\_Key\_Negotiation\_req (i.e. if  
 10842 Public Point TLV provided to Security\_Start\_Key\_Negotiation\_req is for Curve25519 then the RequestedKeyNegoti-  
 10843 ationMethod is the value for Curve25519). The device SHALL generate a public / private key pair based on the  
 10844 RequestedKeyNegotiationMethod. A Public Point TLV SHALL be constructed as indicated in Table 2-71 with the  
 10845 public point data being placed in the TLV.

10846 For the corresponding entry in the apsDeviceKeyPairSet the device SHALL set KeyNegotiationState to  
 10847 START\_KEY\_NEGOTIATION, and the KeyNegotiationMethod to the value passed as RequestedKeyNegotiation-  
 10848 Method.

10849 If RelayCommand is TRUE then it SHALL construct an APS Command Relay Message Upstream. The Source EUI64  
 10850 in the APS Command SHALL be the local device address, and the Message to be relayed SHALL be the ZDO Secu-  
 10851 rity\_Start\_Key\_Negotiation\_req. The APS Command Relay Message Upstream SHALL be sent to the Relay-  
 10852 LongAddress.

10853 Otherwise if RelayCommand is FALSE, the device SHALL send the ZDO Security\_Start\_Key\_Negotiation\_req to  
 10854 the PartnerLongAddress via an APSDE-DATA.request.

10855 **4.4.9.2 APSME-KEY-NEGOTIATION.indication**

10856 This primitive indicates that the APSME has received a request to negotiate a new link key.

---

10857           APSME-KEY-NEGOTIATE.indication	{
	RequestedKeyNegotiationMethod,
	RequestedPreSharedSecretType,
	PartnerLongAddress,
	PublicPointData,
	RelayCommand,
	RelayLongAddress
	}

---

10865 Table 4-28 specifies the parameters of the APSME-KEY-NEGOTIATE.indication primitive.

10866 **Table 4-28. APSME-KEY-NEGOTIATE.indication Parameters**

Parameter Name	Type	Valid Range	Description
RequestedKeyNegotiationMethod	Enum	1 – 8	This is the enumeration indicating the key negotiation mechanism being requested.
RequestedPreSharedSecretType	Enum	1 - 16	This is the enumeration indicating the source of preshared secret key data used for the requested negotiation. See Table 2-81.
PartnerLongAddress	EUI64	Any	This is the EUI64 of the partner that is requesting the key negotiation.

Parameter Name	Type	Valid Range	Description
PublicPointData	Array	Any	This is the Public Point Data generated by the initiator for negotiating a link key.
RelayCommand	Boolean	TRUE or FALSE	This indicates whether the request was relayed through another router. This is used when the source is not authorized on the network yet.
RelayLongAddress	EUI64	Any	This indicates the address of the relay that SHOULD be used. When Relay-Command is FALSE this parameter SHALL be ignored.

#### 10867 4.4.9.2.1 When Generated

10868 This is generated by the ZDO when it wants to notify the application that it has received an over-the-air request to  
10869 negotiate a new key.

#### 10870 4.4.9.2.2 Effect On Receipt

- 10871 1. Upon receipt a remote device SHALL consult the next higher layer rules for whether or not this key negotiation  
10872 is allowed with the sending device. If the key negotiation is not allowed, then an APSME-KEY-NEGOTIA-  
10873 TION.response with a ZdoStatus of NOT\_AUTHORIZED SHALL be generated and no further processing  
10874 SHALL be done.
- 10875 2. If the device temporarily cannot handle the request due to resource constraints, then it SHALL generate an  
10876 APSME-KEY-NEGOTIATION.response with a ZdoStatus of TEMPORARY\_FAILURE
- 10877 3. Search the apsDeviceKeyPairSet table for an entry where DeviceAddress matches the PartnerLongAddress  
10878 passed to this primitive. If no entry exists then do the following
  - 10879 a. Generate an APSME-KEY-NEGOTIATION.response with a ZdoStatus of NOT\_AUTHORIZED.
  - 10880 b. No more processing SHALL be done.
- 10881 4. Determine if Key negotiation is already in progress.
  - 10882 a. Examine the KeyNegotiationState in the matching apsDeviceKeyPairSet. If it is either 0x00 (None) or 0x03  
(Key Negotiation Complete), go to step 4.
  - 10884 b. If the KeyNegotiationState is any other value, then the device SHALL generate an APSME-KEY-NEGOTI-  
10885 ATION.response with a ZdoStatus of SECURITY\_FAIL.
- 10886 5. Notify the Security Manager. The Security Manager can choose how to respond to the device and issue an  
10887 APSME-KEY-NEGOTIATION.response.

### 4.4.9.3 APSME-KEY-NEGOTIATION.response

This primitive tells the APSME to respond to a Key negotiation request.

---

```

APSME-KEY-NEGOTIATE.response {
    Status,
    KeyNegotiationMethod,
    PreSharedSecretType,
    PartnerPublicPointData,
    PartnerLongAddress,
    RelayCommand,
    RelayLongAddress
}

```

---

Table 4-29 specifies the parameters of the APSME-KEY-NEGOTIATE.response primitive.

**Table 4-29. APSME-KEY-NEGOTIATE.response Parameters**

Parameter Name	Type	Valid Range	Description
ZdoStatus	Integer	0 – 255	The ZDP Status code to use in the response message.
PartnerPublicPointData	Array	Any	The Public point data received by the partner.
KeyNegotiationMethod	Enum	1 – 8	This is the enumeration indicating the key negotiation used in the response.
PreSharedSecretType	Enum	1 – 16	Indicates what kind of preshared key data will be used in key negotiation See Table 2-81.
PartnerLongAddress	EUI64	Any	This is the EUI64 of the partner that is requesting the key negotiation.
RelayCommand	Boolean	TRUE or FALSE	This indicates whether the response will be relayed through another router. This is used when the source is not authorized on the network yet.
RelayLongAddress	EUI64	Any	This indicates the address of the relay that SHOULD be used. When RelayCommand is FALSE this parameter SHALL be ignored.

#### 4.4.9.3.1 When Generated

This is generated by the application to respond to a previously received APSME-KEY-NEGOTIATE.indication. The device MAY accept the request, reject the request, or respond asking for the device to use a different Key Negotiation Method.

#### 4.4.9.3.2 Effect On Receipt

Upon receipt of this primitive, the APSME will do the following.

1. If ZdoStatus is not SUCCESS, do the following
  - a. Generate a ZDO Security Start\_Key\_Negotiation\_rsp with the status field equal to the ZdoStatus passed to this primitive.

- 10911     b. If ZdoStatus is set to BAD\_KEY\_NEGOTIATION\_METHOD then construct a Key Establishment Se-  
10912         lected Key Negotiation Method TLV and include the value for KeyNegotiationMethod passed to this primitive.  
10913         Append the TLV to the Security\_Start\_Key\_Negotiation\_rsp.  
10914         c. Go to step 6 (Sending the response).  
10915     2. If anonymous key exchange is used, PreSharedKeyType is 0, then the device SHALL do as follows:  
10916         a. Find the EUI64 specified in PartnerLongAddress in the local *apsDeviceKeyPairSet* AIB value.  
10917         b. If the device is found, then it SHALL examine the *PassphraseUpdateAllowed* value of the *apsDeviceKey-  
10918             PairSet* entry in the AIB.  
10919             i. If the value is FALSE, then a Security\_Start\_Key\_Negotiation\_rsp SHALL be generated with a status  
10920                 code of NOT\_AUTHORIZED, go to step 6 (sending the response).  
10921             ii. If the value is TRUE, then it SHALL set the passphrase of the *apsDeviceKeyPairSet* to *apscWellK-  
10922                 nownPSK*.  
10923             c. If the EUI64 is not found, a new *apsDeviceKeySet* entry SHALL be added for the corresponding Device  
10924                 EUI64 and the passphrase SHALL be set to *apscWellKnownPSK*.  
10925     3. If authenticated key exchange is specified, PreSharedKeyType is not 0, , then the local device SHALL do the  
10926         following:  
10927         a. It SHALL find the EUI64 specified in the PartnerLongAddress in its *apsDeviceKeyPairSet* AIB value. If  
10928                 no entry is found then processing SHALL fail and no further processing SHALL be done.  
10929         b. It SHALL then lookup the passphrase associated with the device in the *apsDeviceKeyPairSet* AIB struc-  
10930                 ture. If no passphrase is found, this is considered a failure and no further processing SHALL be done.  
10931         c. If a failure occurs, a Security\_Start\_Key\_Negotiation\_rsp SHALL be generated with a status code of  
10932                 NOT\_AUTHORIZED, go to step 6 (Sending the response).  
10933         d. If no failure occurs, continue processing and go to step 4 (executing cryptographic routine).  
10934     4. Generate a local Public & Private Key pair.  
10935     5. Using previously obtained passphrase, the PartnerPublicPointData, the local public & private key pair, and the  
10936         KeyNegotiationMethod the Cryptographic routine in ANNEX J SHALL be executed. If that cryptographic op-  
10937         eration fails, then a Security\_Start\_Key\_Negotiation\_rsp SHALL be generated with a status code of SECU-  
10938         RITY\_FAIL. Go to step 6 (sending the response).  
10939     6. On success of the cryptographic operation, the device SHALL update the *apsDeviceKeyPairSet* as follows.  
10940         a. Set LinkKey to the derived key.  
10941         b. Set the KeyAttributes to 0x01, UNVERIFIED\_KEY.  
10942         c. Set the Timeout attribute to the value of *apsSecurityTimeOutPeriod*.  
10943         d. Set the KeyNegotiationState to 0x02, COMPLETE\_KEY\_NEGOTIATION.  
10944         e. Set the KeyNegotiationMethod to the value of KeyNegotiationMethod passed to this primitive.  
10945         f. Set the Frame Counter Synchronization bit in the Features & Capabilities bitmap to '1'.  
10946     7. The device sends the constructed response message to the PartnerLongAddress as follows:  
10947         a. If RelayCommand was set to TRUE:  
10948             i. If the device is the Trust Center, it SHALL construct an APS Command Relay Message Downstream  
10949                 with the Destination Address set to the PartnerLong. The message to relay SHALL be the ZDO Secu-  
10950                 rity\_Start\_Key\_Negotiation\_rsp.  
10951             ii. Otherwise if the device is not the Trust Center, it SHALL construct an APS Command Relay Message  
10952                 Upstream with the Source Address set to the PartnerLong. The message to relay SHALL be the ZDO  
10953                 Security\_Start\_Key\_Negotiation\_rsp.  
10954         b. If RelayCommand was set to FALSE:  
10955             i. The device SHALL send the ZDO Security\_Start\_Key\_Negotiation\_rsp without using a relay com-  
10956                 mand frame encapsulation.

10957     On success, both devices have an unverified, dynamically negotiated link key. It is EXPECTED that the initiator will  
10958     start the verification process with APSME-VERIFY-KEY.request after the responder completes the APSME-KEY-  
10959     NEGOTIATE.response.

10960

#### 4.4.9.4 APSME-KEY-NEGOTIATION.confirm

```

10962     APSME-KEY-NEGOTIATE.confirm      {
10963         ZdoStatus,
10964         PartnerPublicPointData,
10965         PartnerLongAddress,
10966         RelayCommand,
10967         RelayLongAddress
10968     }

```

Table 4-30 specifies the parameters of the APSME-KEY-NEGOTIATE.confirm primitive.

**Table 4-30. APSME-KEY-NEGOTIATE.confirm Parameters**

Parameter Name	Type	Valid Range	Description
ZdoStatus	Integer	0 – 255	The ZDP Status code to use in the response message.
PartnerPublicPointData	Array	Any	The Public point data received by the partner.
PartnerLongAddress	EUI64	Any	This is the EUI64 of the partner that is requesting the key negotiation.
RelayCommand	Boolean	TRUE or FALSE	This indicates whether the response will be relayed through another router. This is used when the source is not authorized on the network yet.
RelayLongAddress	EUI64	Any	This indicates the address of the relay that SHOULD be used. When RelayCommand is FALSE this parameter SHALL be ignored.

##### 4.4.9.4.1 When Generated

This is generated by the ZDO when it wants to notify the application that it has received an over-the-air response to negotiate a key

##### 4.4.9.4.2 Effect On Receipt

Upon receipt of this primitive, the APSME will do the following.

- Find the corresponding *apsDeviceKeyPairSet* entry that has a *DeviceAddress* that matches the *PartnerLongAddress*.
- If no matching entry can be found, then no further processing SHALL be done.
- If *ZdoStatus* is NOT success, do the following:
  - Set the *KeyNegotiationState* to *NO\_KEY\_NEGOTIATION*.
  - No further processing SHALL be done.
- Generate a local public & private key pair.
- Using the Passphrase in the *apsDeviceKeyPairSet* entry along with the *PartnerPublicPointData*, and the local public & private key pair, execute the cryptographic operation in ANNEX J.
- On success of the cryptographic operation, the device SHALL update the *apsDeviceKeyPairSet* as follows.
  - Set *LinkKey* to the derived key.
  - Set the *KeyAttributes* to 0x01, *UNVERIFIED\_KEY*.
  - Set the *TimeoutAttribute* to the value of *apsSecurityTimeOutPeriod*.
  - Set the *KeyNegotiationState* to the value of *COMPLETE\_KEY\_NEGOTIATION*.
  - Set the *KeyNegotiationMethod* to the value previously passed in the APSME-KEY-NEGOTIATE.request.

10991 On success, both devices have an unverified, dynamically negotiated link key. It is EXPECTED that the initiator  
 10992 will start the verification process with APSME-VERIFY-KEY.request after the responder completes the APSME-  
 10993 KEY-NEGOTIATE.confirm.

10994 On failure, both devices SHALL discard any generated material and SHALL ensure that the respective APS Key  
 10995 Pair Table entries are identical what they were prior to initiation of Key Negotiation, as described in section 4.4.10.

## 10996 4.4.10 Secured APDU Frame

10997 The APS layer frame format consists of APS header and APS payload fields (see Figure 4-7). The APS header consists  
 10998 of frame control and addressing fields. When security is applied to an APDU frame, the security bit in the APS frame  
 10999 control field SHALL be set to 1 to indicate the presence of the auxiliary frame header. The format for the auxiliary  
 11000 frame header is given in section 4.5.1. The format of a secured APS layer frame is shown in Figure 4-7. The auxiliary  
 11001 frame header is situated between the APS header and payload fields.

Octets: Variable	5 or 13	Variable	
Original APS header ([B6], Clause 7.1)	Auxiliary frame header	Encrypted payload	Encrypted message integrity code (MIC)
		Secure frame payload = output of CCM	
Full APS header		Secured APS payload	

11002 **Figure 4-7. Secured APS Layer Frame Format**

## 11003 4.4.11 Command Frames

11004 The APS layer command frame formats are given in this section.

11005 All APS command frames SHALL set their APS frame control field as follows:

- 11006 1. Set the frame type sub-field to 0x01 (Command)
  - 11007 2. Set the delivery-mode sub-field to 0x00 (Unicast) or 0x10 (broadcast)
  - 11008 3. Set the ACK format bit to 0.
  - 11009 4. Set the ACK request bit to 0 for APS Command Frames sent inside Tunnel Data frames from the Trust Center to  
 11010 a prospective joiner. A device MAY, but is not required to, set the ACK request bit to 1 for the Relay Message  
 11011 Upstream and Relay Message Downstream commands. A device SHALL set the ACK request bit to 1 for all other  
 11012 unicast APS command frames as well as command frames within the Relay Message Upstream and Relay Mes-  
 11013 sage Downstream commands.
  - 11014 5. Set the extended nonce sub field to 1 if APS security was applied. Otherwise, set it to 0.<sup>9</sup>
  - 11015 6. Set the security bit according to section 4.4.1.3 Security Processing of APS Commands.
- 11016 Command identifier values are shown in Table 4-31.

---

<sup>9</sup> CCB 2432

11017

**Table 4-31. Command Identifier Values**

<b>Command Identifier</b>	<b>Value</b>
Reserved	0x01
Reserved	0x02
Reserved	0x03
Reserved	0x04
APS_CMD_TRANSPORT_KEY	0x05
APS_CMD_UPDATE_DEVICE	0x06
APS_CMD_REMOVE_DEVICE	0x07
APS_CMD_REQUEST_KEY	0x08
APS_CMD_SWITCH_KEY	0x09
Reserved	0x0A
Reserved	0x0B
Reserved	0x0C
Reserved	0x0D
APS_CMD_TUNNEL	0x0E
APS_CMD_VERIFY_KEY	0x0F
APS_CMD_CONFIRM_KEY	0x10
APS_CMD_RELAY_MESSAGE_DOWNSTREAM	0x11
APS_CMD_RELAY_MESSAGE_UPSTREAM	0x12

11018

#### 4.4.11.1 Transport-Key Commands

11019  
11020

The transport-key command frame shall be formatted as illustrated in Figure 4-8. The optional fields of the APS header portion of the general APS frame format SHALL NOT be present.

Octets: 1	1	1	1	Variable
Frame control	APS counter	APS command identifier	StandardKeyType	Key descriptor
APS header		Payload		

**Figure 4-8. Transport-Key Command Frame****4.4.11.1.1 Command Identifier Field**

The command identifier field SHALL indicate the transport-key APS command type (APS\_CMD\_TRANSPORT\_KEY, see Table 4-31).

**4.4.11.1.2 StandardKeyType Field**

This field is 8 -bits in length and describes the type of key being transported. The different types of keys are enumerated in Table 4-9.

**4.4.11.1.3 Key Descriptor Field**

This field is variable in length and SHALL contain the actual (unprotected) value of the transported key along with any relevant identification and usage parameters. The information in this field depends on the type of key being transported (as indicated by the StandardKeyType field — see Table 4-9) and shall be set to one of the formats described in the following subsections.

**4.4.11.1.3.1 Trust Center Link Key Descriptor Field**

If the key type field is set to 4, the key descriptor field SHALL be formatted as shown in Figure 4-9.

Octets: 16	8	8	Varies
Key	Destination address	Source address	TLVs

**Figure 4-9. Trust Center Link Key Descriptor Field in Transport-Key Command**

The key sub-field SHALL contain the link key that SHOULD be used for APS encryption.

The destination address sub-field SHALL contain the address of the device which SHOULD use this link key.

The source address sub-field SHALL contain the address of the Trust Center that sent the link key.

The TLVs sub-field is optional. If present, it contains one or more TLVs as described in the section 4.4.11.1.4.

11040

## 11041 4.4.11.1.3.2 Network Key Descriptor Field

11042 If the key type field is set to 1 this field SHALL be formatted as shown in Figure 4-10.

<b>Octets: 16</b>	<b>1</b>	<b>8</b>	<b>8</b>
Key	Sequence number	Destination address	Source address

**Figure 4-10. Network Key Descriptor Field in Transport-Key Command**

11044 The key sub-field SHALL contain a network key.

11045 The sequence number sub-field SHALL contain the sequence number associated with this network key.

11046 The destination address sub-field SHALL contain the address of the device which SHOULD use this network key.

11047 If the network key is sent to a broadcast address, the destination address subfield SHALL be set to the all-zero string  
11048 and SHALL be ignored upon reception.

11049 The source address sub-field SHALL contain the address of the device (for example, the Trust Center) which originally  
11050 sent this network key.

11051 The source address field SHALL contain 0xFFFFFFFFFFFFFF in a distributed security network. This indicates to  
11052 the receiving device this is a distributed security network with no Trust Center.

### 11053 4.4.11.1.3.3 Application Link Key Descriptor Field

11054 If the key type field is set to 2 or 3, this field SHALL be formatted as shown in Figure 4-11.

<b>Octets: 16</b>	<b>8</b>	<b>1</b>	<b>Varies</b>
Key	Partner address	Initiator flag	TLVs

**Figure 4-11. Application Link Key Descriptor in Transport-Key Command**

11056 The key sub-field SHALL contain a link key that is shared with the device identified in the partner address sub-field.

11057 The partner address sub-field SHALL contain the address of the other device that was sent this link key.

11058 The initiator flag sub-field **SHALL** be set to 1 if the device receiving this packet requested this key. Otherwise, this  
11059 sub-field **SHALL** be set to 0.

11060 The TLVs sub-field is optional. If present, it contains one or more TLVs as described in the section 4.4.11.1.4.

11061 4.4.11.1.4 TLVs

## 11062 4.4.11.1.4.1 Local TLVs

11063 This local TLV (tag ID 0x00) indicates link-key features and the peer device's link-key capabilities as shown in Figure  
11064 4-12.

Octets
Features

**Figure 4-12.** Format of the Link-Key Features & Capabilities TLV

11066 The fields of the Link-Key Features & Capabilities TLV are described in Table 4-32.

11067

**Table 4-32. Fields of the Link-Key Features & Capabilities TLV**

Name	Type	Valid Range	Description
Features	map8	0x00 – 0xFF	This contains the key features bitmap as specified in Table 4-36.

11068

## 4.4.11.2 Update Device Commands

11069  
11070

The APS command frame used for device updates is specified in this section. The optional fields of the APS header portion of the general APS frame format SHALL NOT be present.

11071

The update-device command frame SHALL be formatted as illustrated in Figure 4-13.

11072

Octets: 1	1	1	8	2	1	Varies
Frame control	APS counter	APS command identifier	Device Address	Device short address	Status	JoinerTLVs
APS Header		Payload				

11073

**Figure 4-13. Update-Device Command Frame Format**

11074

### 4.4.11.2.1 Command Identifier Field

11075  
11076

The command identifier field SHALL indicate the update-device APS command type (APS\_CMD\_UPDATE\_DEVICE, see Table 4-31).

11077

### 4.4.11.2.2 Device Address Field

11078

The device address field SHALL be the 64-bit extended address of the device whose status is being updated.

11079

### 4.4.11.2.3 Device Short Address Field

11080

The device short address field SHALL be the 16-bit network address of the device whose status is being updated.

11081

### 4.4.11.2.4 Status Field

11082

The status field SHALL be assigned a value as described for the Status parameter in Table 4-14.

11083

### 4.4.11.2.5 JoinerTLVs Field

11084  
11085  
11086  
11087

The JoinerTLVs field MAY or MAY NOT be present. This field will be one or more TLVs received during Network Commissioning by the parent router. If the joining device or parent router has implemented a version prior to R23 then the fields will not be present. Only if both joiner and router support Revision 23 or later will the Joiner TLVs field be present.

11088

## 4.4.11.3 Remove Device Commands

11089  
11090  
11091

The APS command frame used for removing a device is specified in this section. The optional fields of the APS header portion of the general APS frame format SHALL NOT be present. The remove-device command frame shall be formatted as illustrated in Figure 4-14.

<b>Octets: 1</b>	<b>1</b>	<b>1</b>	<b>8</b>
Frame control	APS counter	APS command identifier	Target address
<b>APS Header</b>		<b>Payload</b>	

Figure 4-14. Remove-Device Command Frame Format

#### 4.4.11.3.1 Command Identifier Field

The command identifier field SHALL indicate the remove-device APS command type (APS\_CMD\_REMOVE\_DEVICE, see Table 4-31).

#### 4.4.11.3.2 Target Address Field

The target address field SHALL be the 64-bit extended address of the device that is requested to be removed from the network.

### 4.4.11.4 Request-Key Commands

The APS command frame used by a device for requesting a key is specified in this section. The optional fields of the APS header portion of the general APS frame format SHALL NOT be present.

The request-key command frame SHALL be formatted as illustrated in Figure 4-15.

<b>Octets: 1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0/8</b>
Frame control	APS counter	APS command identifier	RequestKeyType	Partner address
<b>APS Header</b>		<b>Payload</b>		

Figure 4-15. Request-Key Command Frame Format

#### 4.4.11.4.1 Command Identifier Field

The command identifier field SHALL indicate the request-key APS command type (APS\_CMD\_REQUEST\_KEY, see ).

#### 4.4.11.4.2 RequestKeyType Field

The key type field SHALL be set to the key being requested. Note this Key Type is different than the StandardKeyType values used in Table 4-9 for other APS Commands or other APSME primitives. The RequestKeyType field values for the APS Command Request Key are defined in Table 4-19.

#### 4.4.11.4.3 Partner Address Field

When the RequestKeyType field is 2 (that is, an application key), the partner address field SHALL contain the extended 64-bit address of the partner device that SHALL be sent the key. Both the partner device and the device originating the request-key command will be sent the key.

When the RequestKeyType field is 4 (that is, a trust center link key), the partner address field will not be present.

## 4.4.11.5 Switch-Key Commands

The APS command frame used by a device for switching a key is specified in this section. The optional fields of the APS header portion of the general APS frame format SHALL NOT be present.

The switch-key command frame SHALL be formatted as illustrated in Figure 4-16.

<b>Octets: 1</b>	<b>1</b>	<b>1</b>	<b>1</b>
Frame control	APS counter	APS command identifier	Sequence number
APS Header		Payload	

Figure 4-16. Switch-key Command Frame Format

### 4.4.11.5.1 Command Identifier Field

The command identifier field SHALL indicate the switch-key APS command type (APS\_CMD\_SWITCH\_KEY, see Table 4-31).

### 4.4.11.5.2 Sequence Number Field

The sequence number field SHALL contain the sequence number identifying the network key to be made active.

## 4.4.11.6 Tunnel Command

The APS command frame used by a device for sending a command to a device that lacks the current network key is specified in this section. The optional fields of the APS header portion of the general APS frame format SHALL NOT be present. The tunnel-key command frame is sent unsecured.

The tunnel-key command frame SHALL be formatted as illustrated in Figure 4-17.

<b>Octets:1</b>	<b>1</b>	<b>1</b>	<b>8</b>	<b>2</b>	<b>13</b>	<b>Variable</b>	<b>4</b>
Frame control	APS counter	APS command identifier	Destination address	Tunneled APS header	Tunneled auxiliary frame	Tunneled command	Tunneled APS MIC
APS Header		Payload					

Figure 4-17. Tunnel Command Frame Format

### 4.4.11.6.1 Command Identifier Field

The command identifier field SHALL indicate the tunnel APS command type (APS\_CMD\_TUNNEL, see Table 4-31).

### 4.4.11.6.2 Destination Address

The destination address field SHALL be the 64-bit extended address of the device that is to receive the tunneled command.

### 4.4.11.6.3 Tunneled Auxiliary Frame Field

The tunneled auxiliary frame field shall be the auxiliary frame (see section 4.5.1) used to encrypt the tunneled command. The auxiliary frame SHALL indicate that a link key was used and SHALL include the extended nonce field.

11141 **4.4.11.6.4 Tunneled Command Field**

11142 The tunneled command field SHALL be the APS command frame to be sent to the destination.

11143 **4.4.11.7 Verify-Key Command**11144 This APS command is used by a joining device to verify its updated link key with the peer device, such as the Trust  
11145 Center.

11146 The Verify-Key Command frame is formatted as illustrated in Figure 4-18.

<b>Octets:1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>8</b>	<b>16</b>
Frame control	APS counter	APS command identifier	Standard Key Type	Source address	Initiator Verify-Key Hash Value
APS Header		APS Payload			

11147 **Figure 4-18. Verify-Key Command Frame**11148 **4.4.11.7.1 Command Identifier Field**11149 The command identifier field SHALL indicate the verify-key request command type (APS\_CMD\_VERIFY\_KEY,  
11150 see Table 4-31).11151 **4.4.11.7.2 StandardKeyType Field**

11152 This is the type of key being verified. See Table 4-9.

11153 **4.4.11.7.3 Source Address**11154 This Source address field SHALL be the 64-bit extended address of the partner device that the destination shares the  
11155 link key with.11156 **4.4.11.7.4 Initiator Verify-Key Hash Value**11157 This value is the outcome of executing the specialized keyed hash function specified in section B.1.4 using a key  
11158 with the 1-octet string ‘0x03’ as the input string. The resulting value SHALL NOT be used as a key for encryption  
11159 or decryption.11160 **4.4.11.8 Confirm-Key Command**

11161 This APS command is used by a device (such as the trust center) to confirm its updated link key with the peer device.

11162 The Confirm-Key command frame is formatted as illustrated in Figure 4-19.

11163

Octets:1	1	1	1	1	8
Frame control	APS counter	APS command identifier	Status	StandardKeyType	Destination address
APS Header		APS Payload			

Figure 4-19. Confirm-Key Command Frame

#### 4.4.11.8.1 Command Identifier Field

The command identifier field SHALL indicate the Confirm-Key command type (APS\_CMD\_VERIFY\_KEY\_RESPONSE, see Table 4-31).

#### 4.4.11.8.2 Status

This will be the 1-byte status code indicating the result of the operation. See Table 2.27.

#### 4.4.11.8.3 StandardKeyType

This is the type of key being verified. See Table 4-9.

#### 4.4.11.8.4 Destination Address

This destination address field SHALL be the 64-bit extended address of the source device of the Verify-Key message.

### 4.4.11.9 Relay Message Downstream Command

This APS command is used by a Trust Center to relay a message through a parent router to a joining node as shown in Figure 4-20.

Octets: 1	1	1	Varies
Frame Control	APS Counter	APS Command Identifier	TLVs
APS Header		APS Payload	

Figure 4-20. Relay Message Downstream Command Frame

#### 4.4.11.9.1 Command Identifier Field

The command identifier field SHALL indicate the Relay Message command type (APS\_CMD\_RELAY\_MESSAGE\_DOWNSTREAM).

#### 4.4.11.9.2 TLVs

This field contains one or more TLVs. This command SHALL have at a minimum the Relay Message TLV.

11183

11184 4.4.11.9.2.1 **Local TLVs**

## 11185 4.4.11.9.2.1.1 Relay Message TLV (ID = 0)

11186 This local TLV (tag ID 0x00) indicates the message to be relayed and the destination of the device it is relayed to as  
 11187 shown in Figure 4-21.

Octets: 8	Varies
Destination EUI64	Message to be relayed

11188 **Figure 4-21. Format of the Relay Message TLV**

11189 The fields of the Relay Message TLV are defined in Table 4-33.

11190 **Table 4-33. Fields of the Relay Message TLV**

Name	Type	Valid Range	Description
Destination EUI64	EUI64	0x0000000000000000 – 0xFFFFFFFFFFFFFFFFF	This contains the EUI64 of the unauthorized neighbor that is the intended destination of the relayed message.
Message to be relayed	Special	Varies	This contains the single APS message, or message fragment, to be relayed from the Trust Center to the Joining device. The message SHALL start with the APS Header of the intended recipient.

11191 **4.4.11.10 Relay Message Upstream Command**

11192 This APS command is used by an unauthorized joining node to relay a message through a parent router to the Trust  
 11193 Center as shown in Figure 4-22.

Octets: 1	1	1	Varies
Frame Control	APS Counter	APS Command Identifier	TLVs
APS Header		APS Payload	

11194 **Figure 4-22. Relay Message Upstream Command Frame**11195 4.4.11.10.1 **Command Identifier Field**

11196 The command identifier field SHALL indicate the Relay Message command type (APS\_CMD\_RELAY\_MESSAGE\_UPSTREAM, see Table 4-31).

11198 4.4.11.10.2 **TLVs**

11199 This field contains one or more TLVs. This command SHALL have at a minimum the Relay Message TLV.

11200

11201 4.4.11.10.2.1 **Local TLVs**

## 11202 4.4.11.10.2.1.1 Relay Message TLV (ID = 0)

11203 This local TLV (tag ID 0x00) indicates the message to be relayed and the source of the device it is being relayed from  
 11204 as show in Figure 4-23.

Octets: 8	Varies
Source EUI64	Message to be relayed

11205 **Figure 4-23. Format of the Relay Message TLV**

11206 The fields of the Relay Message TLV are defined in Table 4-34.

11207 **Table 4-34. Fields of the Relay Message TLV**

Name	Type	Valid Range	Description
Source EUI64	EUI64	0x0000000000000000 – 0xFFFFFFFFFFFFFF	This contains the EUI64 of the unauthorized neighbor that is the source of the relayed message.
Message to be relayed	Special	Varies	This contains the single APS message, or message fragment, to be relayed from the joining device to the Trust Center. The message SHALL start with the APS Header of the intended recipient.

11208 **4.4.12 Security-Related AIB Attributes**

11209 The AIB contains attributes that are required to manage security for the APS layer. Each of these attributes can be  
 11210 read or written using the APSME-GET.request and APSME-SET.request primitives, respectively. The security-related  
 11211 attributes contained in the APS PIB are presented in Table 4-35.

11212 **Table 4-35. AIB Security Attributes**

Attribute	ID	Type	Range	Description	Default
<i>apsDeviceKeyPairSet</i>	0xaa	Set of key-pair descriptor entries. See Table 4.39.	Variable	A set of key-pair descriptors containing link keys shared with other devices.	-
<i>apsTrustCenterAddress</i>	0xab	Device address	Any valid 64-bit address	Identifies the address of the device's Trust Center. If this value is 0xFFFFFFFFFFFFFF, this means that there is no Trust Center in the network and the network	0xFFFFFFFFFFFFFF

<b>Attribute</b>	<b>ID</b>	<b>Type</b>	<b>Range</b>	<b>Description</b>	<b>Default</b>
				is operating in distributed security mode.	
<i>apsSecurityTimeOut-Period</i>	0xac	Integer	0x0000 – 0xFFFF	The period of time a device will wait for the next expected security protocol frame (in milliseconds).	10 seconds
<i>trustCenterPolicies</i>	0xad	-	Variable	A set of policies encoded in the trust center on how it deals with various security events. See Table 4-42.	
<i>apsSupportedKeyNegotiationMethods</i>	0xaf	Bit-mask	Any 32-bit value	This indicates the set of supported key negotiation methods by the local device. The set of valid values corresponds to the Supported Key Negotiation Methods Global TLV.  At a minimum the device SHALL support one method, the Key Request Method.	0x01
<i>apsChallengePeriod-TimeoutSeconds</i>	0xb0	Integer	0 – 10	The timeout in seconds for how long a challenge for an APS frame counter verification is valid.	5
<i>apsChallengePeriodRemainingSeconds</i>	0xb1	Integer	0 – 10	The amount of time remaining for an outstanding challenge value.	0
<i>apsChallengeValue</i>	0xb2	Integer	Any	The value of the last challenge that was sent.	0
<i>apsChallengeTarget-Eui64</i>	0xb3	EUI64	Any	The EUI64 of the target device that the last APS frame counter challenge was sent to.	Null
<i>apsDeviceInterview-TimeoutPeriod</i>	0xb4	Integer	6 – 60	The timeout duration in seconds of the period of inactivity between	12

Attribute	ID	Type	Range	Description	Default
				Device Interview Frame-before the device interview session is closed.	
<i>apsChallengeFrame-Counter</i>	0xb5	Integer	0x00000000-0xFFFFFFFF	A special outgoing frame counter used to generate a MIC using a nonce and key used specifically for frame counter synchronization.  Note: This is a 32 bit value. See Table 2-127 which specifies that the Challenge SecurityFrameCounter is 4 octets.	0

11213

11214

**Table 4-36. Elements of the Key-Pair Descriptor**

Name	Type	Range	Description	Default
<i>Features &amp; Capabilities</i>	map8	0x00, 0x01	A set of feature flags pertaining to this security material or denoting the peer's support for specific APS security features:  Bit #0: Frame Counter Synchronization Support When set to '1' the peer device supports APS frame counter synchronization; else, when set to '0', the peer device does not support APS frame counter synchronization.  Bits #1..#7 are reserved and SHALL be set to '0' by implementations of the current Revision of this specification and ignored when processing.	0x00
<i>DeviceAddress</i>	Device address	Any valid 64-bit address	Identifies the address of the entity with which this key-pair is shared.	-
<i>KeyAttributes</i>	Enumeration	0x00 – 0x02	This indicates attributes about the key.  0x00 = PROVISIONAL_KEY 0x01 = UNVERIFIED_KEY	-

Name	Type	Range	Description	Default
			0x02 = VERIFIED_KEY	
<i>LinkKey</i>	Set of 16 octets	-	The actual value of the link key.	-
<i>OutgoingFrameCounter</i>	Set of 4 octets	0x00000000 – 0xFFFFFFFF	Outgoing frame counter for use with this link key.	0x00000000
<i>IncomingFrameCounter</i>	Set of 4 octets	0x00000000 – 0xFFFFFFFF	Incoming frame counter value corresponding to <i>DeviceAddress</i> .	0x00000000
<i>apsLinkKeyType</i>	Enumeration	0x00 – 0x01	The type of link key in use. This will determine the security policies associated with sending and receiving APS messages. 0x00 = Unique Link Key 0x01 = Global Link Key	0x00
<i>InitialJoinAuthentication</i>	Enumeration	0x00 – 0x03	0x00 = NO_AUTHENTICATION 0x01 = INSTALL_CODE_KEY 0x02 = ANONYMOUS_KEY_NEGOTIATION 0x03 = KEY_NEGOTIATION_WITH_AUTHENTICATION	0x00
<i>KeyNegotiationMethod</i>	Enumeration	0x00 – 0x08	The value of the selected TLV sent to the device.	0x00
<i>KeyNegotiationState</i>	Enumeration	0x00 – 0x02	0x00 = NO_KEY_NEGOTIATION 0x01 = START_KEY_NEGOTIATION 0x02 = COMPLETE_KEY_NEGOTIATION	0x00
<i>Passphrase</i>	Variable size with an upper bound of 16 Octets. Refer to section 4.9.7.	Any	A value that is used by both sides during dynamic key negotiation. An unset value means this key-pair entry was not dynamically negotiated. Any other value indicates the entry was dynamically negotiated.	Unset

Name	Type	Range	Description	Default
<i>Timeout</i>	16-bit value	0 – 0xFFFF	The timeout, in seconds, for the specified key. When this timeout expires, the key SHALL be marked EXPIRED_KEY in the KeyAttributes and the LinkKey value SHALL not be used for encryption of messages. A value of 0xFFFF for the Timeout mean the key never expires.	0xFFFF (no expiry)
<i>PassphraseUpdateAllowed</i>	Boolean	TRUE or FALSE	This indicates whether the particular KeyPair passphrase MAY be updated for the device. A passphrase update is normally only allowed shortly after joining. See section 4.7.2.1.	TRUE
<i>VerifiedFrameCounter</i>	Boolean	TRUE or FALSE	Indicates whether the incoming frame counter value has been verified through a challenge response.	FALSE
<i>PostJoinKeyUpdateMethod</i>	Enumeration	0x00 – 0x04	This indicates what Link Key update method was used after the device joined the network. 0x00 = Not Updated 0x01 = Key Request Method 0x02 = Unauthenticated Key Negotiation 0x03 = Authenticated Key Negotiation 0x04 = Application Defined Certificate Based Mutual Authentication	0x00
<i>TrustCenterSwapOut-LinkKey</i>	Set of 16 octets	Any	The key used to indicate a Trust Center Swap-out has occurred. This key SHALL always be set to a hash of the LinkKey element. If the LinkKey is updated, then this value MUST be updated as well. See section 4.7.4.1.2.4. If the entry in the apsDeviceKey-PairSet is an application link key (where local device and the partner are not Trust Centers), implementations MAY elide this element for that entry.	-

Name	Type	Range	Description	Default
<i>isVirtualDevice</i>	Boolean	TRUE or FALSE	If set to TRUE, the device identified by DeviceAddress is a Zigbee Direct Virtual Device (ZVD). A Trust Center SHALL NOT send network keys to this device.	FALSE

#### 4.4.12.1 Persistence of Security-Related AIB Values

Security Related AIB values listed below SHALL be persistently stored across reboot. Exceptions are noted below.

- *apsTrustCenterAddress*
- *apsDeviceKeyPairSet*
  - All entries SHALL be backed up.
  - The following sub-elements in each entry SHALL not be persisted
    - IncomingFrameCounter
    - Timeout
    - VerifiedFrameCounter

All other values are not required to be stored across reboots.

#### 4.4.13 Security-Related AIB Constants

Table 4-37. Security-Related AIB Constants

Constant	Description	Value
<i>apscWellknownPSK</i>	A pre-shared secret that is well-known. It is used in lieu of a real pre-shared secret to allow for unauthenticated key-agreement while retaining the overall message flow and structure of an authenticated key agreement protocol like SPEKE or EC-DHE-PSK.	5a 69 67 42 65 65 41 6c 6c 69 61 6e 63 65 31 38 (hexadecimal) that is, the ASCII representation of the string “ZigBeeAlliance18”
<i>apscJoinerTLVsUnfragmented-MaxSize</i>	The maximum size for the JoinerTLVs passed via the NLME-JOIN.indication that are relayed in the APSME-UPDATE-DEVICE.request.	79

### 4.5 Common Security Elements

This section describes security-related features that are used in more than one Zigbee layer. The NWK and APS layers SHALL use the auxiliary header as specified in section 4.5.1 and the security parameters specified in section 4.5.2. The formatting of all frames and fields in this specification are depicted in the order in which they are transmitted by the NWK layer, from left to right, where the leftmost bit is transmitted first in time. Bits within each field are numbered from 0 (leftmost and least significant) to k-1 (rightmost and most significant), where the length of the field is k bits.

11233 Fields that are longer than a single octet are sent to the next layer in the order from the octet containing the lowest  
 11234 numbered bits to the octet containing the highest numbered bits.

## 11235 4.5.1 Auxiliary Frame Header Format

11236 The auxiliary frame header, as illustrated by Figure 4-24, SHALL include a security control field and a frame counter  
 11237 field, and MAY include a sender address field and key sequence number field.

Octets: 1	4	0/8	0/1
Security control	Frame counter	Source address	Key sequence number

11238 **Figure 4-24. Auxiliary Frame Header Format**

### 11239 4.5.1.1 Security Control Field

11240 The security control field SHALL consist of a security level, a key identifier, and an extended nonce sub-field and  
 11241 shall be formatted as shown in Figure 4-25.

Bit: 0-2	3-4	5	6	7
Security level	Key identifier	Extended nonce	Require Verified Frame Counter	Reserved

11242 **Figure 4-25. Security Control Field Format**

#### 11243 4.5.1.1.1 Security Level Sub-Field

11244 The security level identifier indicates how an outgoing frame is to be secured, how an incoming frame purportedly  
 11245 has been secured; it also indicates whether or not the payload is encrypted and to what extent data authenticity over  
 11246 the frame is provided, as reflected by the length of the message integrity code (MIC). The bit-length of the MIC MAY  
 11247 take the values 0, 32, 64 or 128 and determines the probability that a random guess of the MIC would be correct. The  
 11248 security properties of the security levels are listed in Table 4-38. Note that security level identifiers are not indicative  
 11249 of the relative strength of the various security levels. Also note that security levels 0 and 4 SHOULD NOT be used  
 11250 for frame security.

11251 **Table 4-38. Security Levels Available to the NWK, and APS Layers**

Security Level Identifier	Security Level Sub-Field	Security Attributes	Data Encryption	Frame Integrity (length M of MIC, in Number of Octets)
0x00	'000'	None	OFF	NO (M = 0)
0x01	'001'	MIC-32	OFF	YES (M=4)
0x02	'010'	MIC-64	OFF	YES (M=8)
0x03	'011'	MIC-128	OFF	YES (M=16)

Security Level Identifier	Security Level Sub-Field	Security Attributes	Data Encryption	Frame Integrity (length M of MIC, in Number of Octets)
0x04	‘100’	ENC	ON	NO (M = 0)
0x05	‘101’	ENC-MIC-32	ON	YES (M=4)
0x06	‘110’	ENC-MIC-64	ON	YES (M=8)
0x07	‘111’	ENC-MIC-128	ON	YES (M=16)

#### 11252 4.5.1.1.2 Key Identifier Sub-Field

11253 The key identifier sub-field consists of two bits that are used to identify the key used to protect the frame. The encoding  
11254 for the key identifier sub-field SHALL be as listed in Table 4-39. Key derivation is described in section 4.5.3.

11255 **Table 4-39. Encoding of the Key Identifier Sub-Field**

Key Identifier	Key Identifier Sub-Field (Figure 4-19)	Description
0x00	‘00’	A data key.
0x01	‘01’	A network key.
0x02	‘10’	A key-transport key.
0x03	‘11’	A key-load key.

#### 11256 4.5.1.1.3 Extended Nonce Sub-Field

11257 The extended nonce sub-field SHALL be set to 1 if the sender address field of the auxiliary header is present. Otherwise,  
11258 it SHALL be set to 0.

#### 11259 4.5.1.1.4 Require Verified Frame Counter

11260 This bit indicates to the receiver that it SHALL only accept the message if the receiver has verified the frame counter  
11261 of the corresponding *apsDeviceKeyValuePairSet*. When the bit is set, and the receiver has an unverified frame counter it  
11262 SHALL drop the current received message and initiate a challenge via the ZDO Security\_Challenge\_req. See section  
11263 4.6.3.8 for more details.

#### 11264 4.5.1.2 Counter Field

11265 The counter field is used to provide frame freshness and to prevent processing of duplicate frames.

#### 11266 4.5.1.3 Source Address Field

11267 The source address field SHALL only be present when the extended nonce sub-field of the security control field is 1.  
11268 When present, the source address field SHALL indicate the extended 64-bit address of the device responsible for  
11269 securing the frame.

**4.5.1.4 Key Sequence Number Field**

The key sequence number field SHALL only be present when the key identifier subfield of the security control field is 1 (that is, a network key). When present, the key sequence number field SHALL indicate the key sequence number of the network key used to secure the frame.

**4.5.2 Security Parameters**

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This section specifies the parameters used for the CCM security operations.

**4.5.2.1 CCM Mode of Operation and Parameters**

Applying security to a NWK or APS frame on a particular security level corresponds to a particular instantiation of the AES-CCM mode of operation as specified in section Figure 4-25.

The nonce SHALL be formatted as specified in section 4.5.2.2.

Table 4-38 gives the relationship between the security level sub-field of the security control field (Figure 4-25), the security level identifier, and the CCM encryption/authentication properties used for these operations.

**4.5.2.2 CCM Nonce**

The nonce input used for the CCM encryption and authentication transformation and for the CCM decryption and authentication checking transformation consists of data explicitly included in the frame and data that both devices can independently obtain. Figure 4-26 specifies the order and length of the subfields of the CCM nonce. The nonce's security control and frame counter fields SHALL be the same as the auxiliary header's security control and frame counter fields (as defined in section 4.5.1) of the frame being processed. The nonce's source address field SHALL be set to the extended 64-bit IEEE address of the device originating security protection of the frame. When the extended nonce sub-field of the auxiliary header's security control field is 1, the extended 64-bit IEEE address of the device originating security protection of the frame SHALL correspond to the auxiliary header's source address field (as defined in section 4.5.1) of the frame being processed.

<b>Octets: 8</b>	<b>4</b>	<b>1</b>
Source address	Frame counter	Security control

**Figure 4-26. CCM Nonce**

**4.5.3 Cryptographic Key Hierarchy**

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The link key established between two (or more) devices is used to determine related secret keys, including data keys, key-transport keys, and key-load keys. These keys are determined as follows:

1. *Key-Transport Key*. This key is the outcome of executing the specialized keyed hash function specified in section B.1.4 under the link key with the 1-octet string ‘0x00’ as the input string.
2. *Key-Load Key*. This key is the outcome of executing the specialized keyed hash function specified in section B.1.4 under the link key with the 1-octet string ‘0x02’ as the input string.
3. *Data Key*. This key is equal to the link key.

All keys derived from the link key SHALL share the associated frame counters. Also, all layers of Zigbee SHALL share the active network key and associated outgoing and incoming frame counters.

**4.5.4 Implementation Requirements**

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This section provides requirements that SHOULD be followed to ensure a secure implementation.

#### 11305    **4.5.4.1    Random Number Generator**

11306    A Zigbee device generating random keys for distribution requires a strong method of random number generation. For  
11307    example, when link keys are pre-installed (for example, in the factory), a random number MAY NOT be needed.

11308    In all cases that require random numbers, it is critical that the random numbers are not predictable or have enough  
11309    entropy, so an attacker will not be able determine them by exhaustive search. Random number generation SHALL  
11310    meet the random number tests specified in FIPS 140- 2 [B15]. Methods for generation of random numbers include:

- 11311    1. Base the random number on random clocks and counters within the Zigbee hardware;
- 11312    2. Base the random number on random external events;
- 11313    3. Seed each Zigbee device with a good random number from an external source during production. This random  
11314    number can then be used as a seed to generate additional random numbers.

11315    A combination of these methods can be used. Since the random number generation is likely integrated into the Zigbee  
11316    IC, its design — and hence the ultimate viability of any encryption/security scheme — is left up to the IC manufac-  
11317    turers.

### 11318    **4.6    Functional Description**

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11319    This section provides detailed descriptions of how the security services SHALL be used in a Zigbee network. A de-  
11320    scription of the security initialization responsibilities for a device starting a network is given in section 4.6.1. A brief  
11321    description of the Trust Center application is given in section 4.6.2. Detailed security procedures are given in section  
11322    4.6.3.

#### 11323    **4.6.1    Zigbee Security Initialization**

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11324    The device starting a network SHALL configure the security level of the network by setting the *nwkSecurityLevel*  
11325    attribute in the NIB. If the *nwkSecurityLevel* attribute is set to zero, the network will be unsecured, otherwise it will  
11326    be secured.

11327    The *key* value of the *nwkSecurityMaterialSet* attribute SHALL be set to any non-zero, random number within the  
11328    range of all possible values. See section 4.5.4.1 for the requirements of random number generation. The *Key-  
11329    SeqNumber* of the *nwkSecurityMaterialSet* SHALL be set to 0.

11330    If it is a centralized security network then the device SHALL configure the address of the Trust Center by setting the  
11331    AIB attribute *apsTrustCenterAddress*. The device forming the network MAY also set the *apsTrustCenterAddress* to  
11332    0xFFFFFFFFFFFFFF indicating a distributed security network.

#### 11333    **4.6.2    Trust Center Application**

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11334    The Trust Center application runs on a device trusted by devices within a Zigbee network to distribute keys for the  
11335    purpose of network and end-to-end application configuration management. The Trust Center SHALL configure net-  
11336    work security policies and SHALL be used to help establish end-to-end application keys. These keys SHALL be  
11337    generated at random unless a key establishment protocol is used.

##### 11338    **4.6.2.1    Distributed Security Mode**

11339    In Distributed Security Mode, there is no unique Trust Center in the network. Keys are distributed to joining devices  
11340    by routers in the network using the standard transport key commands, or by other out of band methods.

##### 11341    **4.6.2.2    Centralized Security Mode**

11342    The centralized security mode of the Trust Center is designed for applications where a centralized security device and  
11343    set of security policies is required. In this mode, the Trust Center MAY maintain a list of devices, link keys and

11344 network keys with all the devices in the network; however, it SHALL maintain a network key and controls policies of  
11345 network admittance. In this mode, the *nwkAllFresh* attribute in the NIB SHALL be set to FALSE.

11346 In Centralized networks, the Trust Center SHALL be co-located with the network Coordinator for the lifetime of the  
11347 network. A Trust Center that supports Key Negotiation SHALL support all cryptographic methods for anonymous  
11348 key negotiation OR all cryptographic methods for Authenticated Key Negotiation. It MAY also support both sets of  
11349 cryptographic methods (authenticated and anonymous).

11350 Each device that joins the network securely SHALL either have a Global Link key or a unique link key depending  
11351 upon the application in use. It is required that the trust center have prior knowledge of the value of the link key and  
11352 the type (Global or unique) in order to securely join the device to the network. A Global Link key has the advantage  
11353 that the memory required by the Trust Center does not grow with the number of devices in the network. A unique link  
11354 key has the advantage of being unique for each device on the network and application communications can be secured  
11355 from other devices on the network. Both types of keys MAY be used on the network, but a device SHALL only have  
11356 one type in use per device-key pair. A joining device that supports Key Negotiation SHALL support at least one  
11357 cryptographic method for anonymous Key Negotiation and one cryptographic method for Authenticated Key Negotiation.  
11358 This is in addition to the mandatory Request Key Behavior.

11359 The security policy settings for centralized security are further detailed in section 4.7.1.

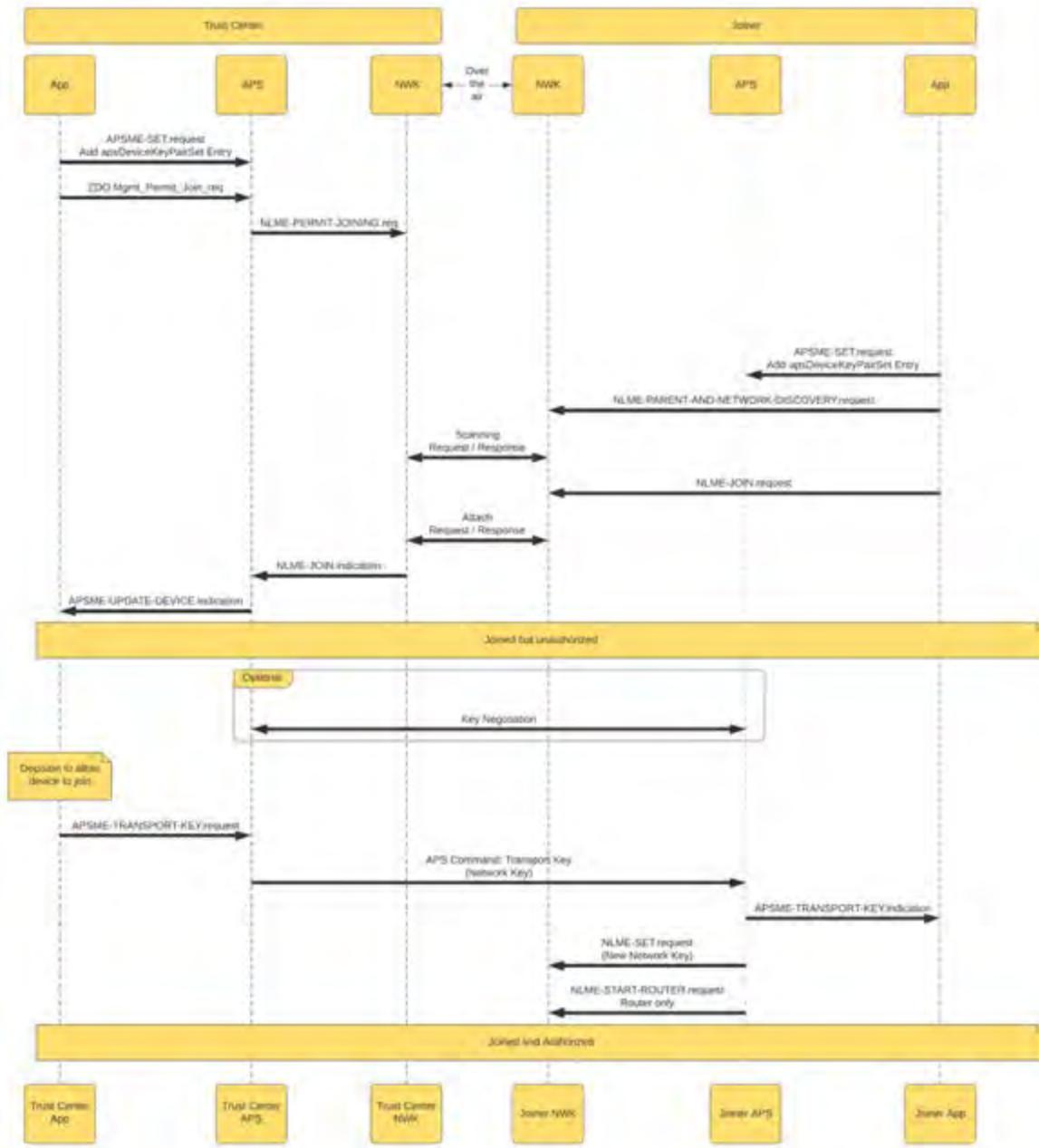
## 11360 **4.6.3 Security Procedures**

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11361 This section gives message sequence charts for joining a secured network, authenticating a newly joined device, up-  
11362 dating the network key, recovering the network key, establishing end-to-end application keys, and leaving a secured  
11363 network.

### 11364 **4.6.3.1 Joining a Secured Network**

11365 Figure 4-27 shows the high level flow and interfaces that are activated for a device joining.



11366

11367

**Figure 4-27. Joining a Secured Network**

11368 When a device prepares to join a secured network it SHALL create an `apsDeviceKeyPairSet` entry for the Trust Center with its initial joining link key. It will set the `apsLinkKeyType` of that entry according to the kind of key it has. If it is using the default trust center link key, or another Global Link key, it SHALL set `apsLinkKeyType` to 0x01. If it is using a unique link key it SHALL set `apsLinkKeyType` to 0x00. If it supports key negotiation it will also set its initial Passphrase attribute of the `apsDeviceKeyPairSet` entry.

11373 The joiner will use the `NLME-NETWORK-AND-PARENT-DISCOVERY` primitives to discover a set of candidate networks and parents to join, and then use the `NLME-JOIN` primitives to attempt to join each one until it is accepted in the network or until all candidates are exhausted. The details of this are discussed in chapter 3 (section 3.6.1.5 and section 3.6.1.6).

11377 After receiving a MAC Association Response or Network Commissioning Response command, the joiner device will  
11378 be considered joined but unauthorized. The parent router informs the trust center of the new device (centralized net-  
11379 work) and the Trust Center decides the next steps. If the Trust Center wants to deny the device it can send an APS  
11380 Remove Device command to the parent router, or simply let the joining device timeout. If the Trust Center wants to  
11381 allow the device onto the network the next steps will depend on various factors.

11382 If both Trust Center and joiner support Dynamic Link Key Negotiation, and the intermediate router is an R23 device  
11383 that can relay key negotiation messages, then the Trust Center and joiner will negotiate a link key. If key negotiation  
11384 is not supported, or there is a pre-R23 device that the joiner has joined to, then the Trust Center can simply send the  
11385 joiner an APS Transport key.

11386 If a link key is negotiated prior to joining, the Trust Center will APS encrypt the APS Transport key with the new link  
11387 key. Otherwise the Trust Center will encrypt the message with the previously configured link key for the device.

11388 When joining for the first time the address of the Trust Center will not be known to the joiner. The DeviceAddress  
11389 value for the *apsDeviceKeyPairSet* entry for the Trust Center will initially have an all F's address, as will the *apsTrustCenterAddress* AIB value.  
11390 Once the joiner device joins the network it will learn the address of the Trust Center from the Source Address field of the APS Transport Key command. If that source address is all F's then the joiner  
11391 device will know the network is a Distributed Security network. Otherwise it will know the address of the Trust Center  
11392 that is operating in a Centralized Security network. It will update the *apsDeviceKeyPairSet* entry and *apsTrustCenterAddress*  
11393 AIB values accordingly. Many of the security policies for the network will vary based on whether it is a  
11394 distributed or centralized security network.  
11395

11396 The Trust Center MAY require that a specific link key is used for joining to authenticate the joining device. The device  
11397 MAY not know the preferences of the Trust Center and could require multiple attempts before successfully authenti-  
11398 cating.

11399 The Trust Center decides whether the device is allowed to join anonymously, or whether authentication is required. If  
11400 anonymous joining is allowed then the key negotiation will use a well-known shared secret, or if using APS Transport  
11401 Key without key negotiation then a well-known key is used to encrypt the message.

11402 If authentication is required the Trust Center will use a static secret key or passcode known by both the joining device  
11403 and trust center. This secret is relayed out of band. Authenticated key negotiation will utilize the shared secret in the  
11404 cryptographic exchange to derive a link key. If just APS Transport Key is used, then the command will be encrypted  
11405 with the secret key.

### 4.6.3.2 Authorization

11407 Once a device joins a secured network and is declared “joined but unauthorized”, it SHALL be authorized by receiving  
11408 an APS transport key command containing the active network key.

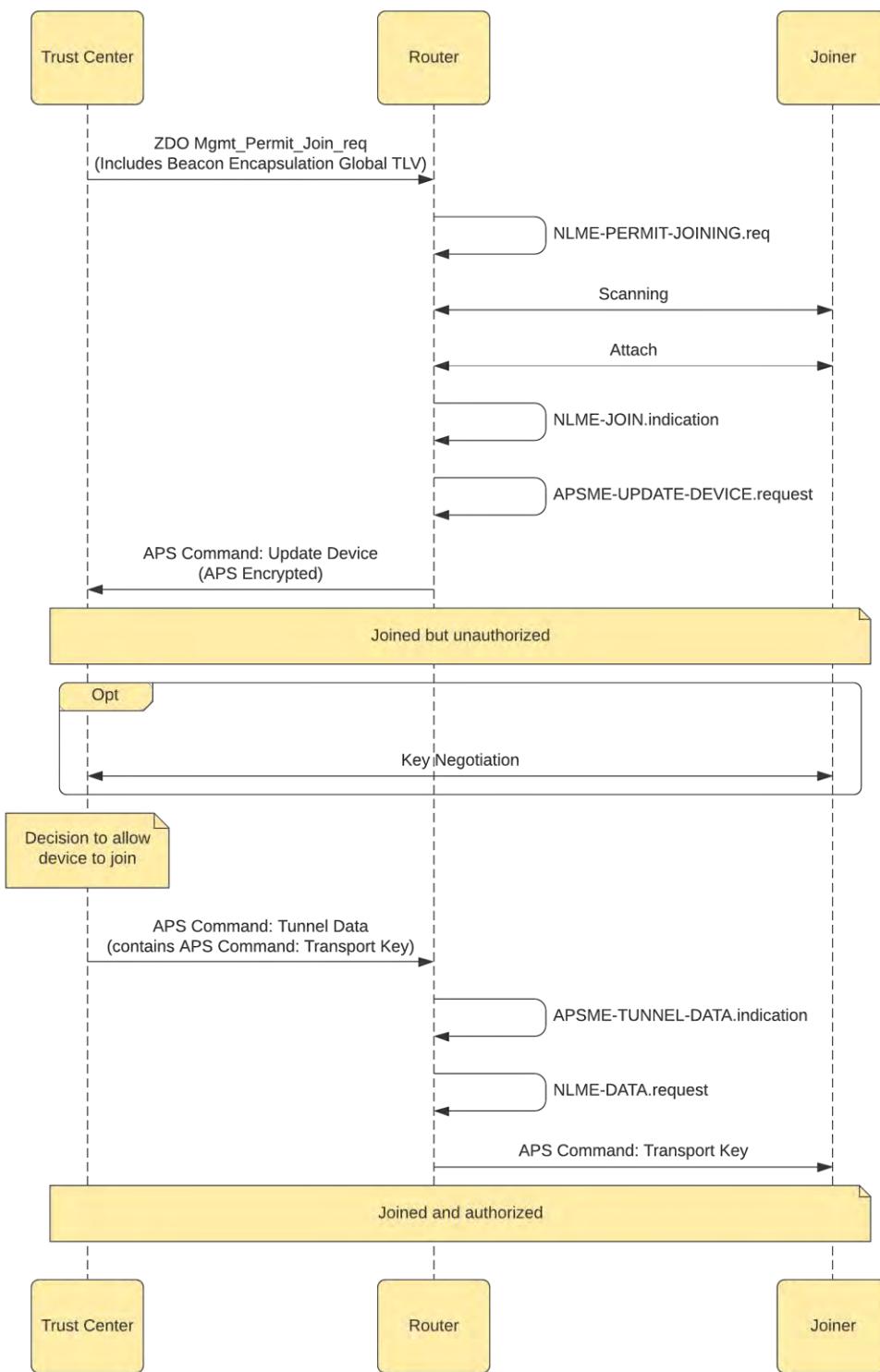
#### 4.6.3.2.1 Router Operation

11410 If the *apsTrustCenterAddress* is 0xFFFFFFFFFFFFFF, this indicates a Distributed Security network. If the *apsTrustCenterAddress*  
11411 is any other value, it indicates a Centralized Security network.

11412 In centralized security networks, the router SHALL do the following upon receipt of an NLME-JOIN.indication.

- 11413 1. If the Method parameter indicates NWK Association it SHALL do the following:
  - 11414 a. Verify that JoinerTLVs are not greater than *capsJoinerTLVsUnfragmentedMaxSize*.
    - 11415 i. If they are, then a NLME-JOIN.response SHALL be generated with the following parameters:
      - 11416 1. STATUS is set to INV\_REQUESTTYPE
      - 11417 2. NetworkAddress is set to the NetworkAddress in the NLME-JOIN.indication.
      - 11418 3. ExtendedAddress is set to the ExtendedAddress in the NLME-JOIN.indication.
    - 11419 ii. No further processing SHALL be done on the router.
  - 11420 b. If the router NLME has verified it has the resources to allow the device to join or rejoin, it SHALL do the  
11421 following:
    - 11422 i. Send back an NLME-JOIN.response with
      - 11423 1. STATUS of SUCCESS
      - 11424 2. NetworkAddress is set to the NetworkAddress in the NLME-JOIN.indication.
      - 11425 3. ExtendedAddress is set to the ExtendedAddress in the NLME-JOIN.indication.

- 11426       c. Continue processing.
- 11427     2. Issue an APSME-UPDATE-DEVICE.request with the following parameters:
- 11428       a. Status set to the DeviceStatus value returned via the NLME-JOIN.indication primitive.
- 11429       b. DestAddress is the apsTrustCenterAddress of the AIB
- 11430       c. DeviceAddress is set to the ExtendedAddress of the NLME-JOIN.request primitive.
- 11431       d. DeviceShortAddress is the NetworkAddress of the NLME-JOIN.request primitive.
- 11432 In a Distributed Security Network no Update Device message is generated. The router SHALL issue an APSME-TRANSPORT-KEY.request with the StandardKeyType set to 0x01 (Standard Network Key) and the key value from the nwkSecurityMaterialSet of the NIB with a KeySeqNumber equal to the nwkActiveSeqNumber of the NIB. The message SHALL be APS encrypted with the Distributed Security Global Key in the apsDeviceKeyPairSet.
- 11433 If the router is not the Trust Center, it generates an APSME-UPDATE-DEVICE.request that generates an APS Command Update Device message over-the-air to the Trust Center. If the router is the Trust Center, it generates an APSME-UPDATE-DEVICE.indication and SHALL begin the authorization procedure by simply operating as a Trust Center.
- 11434 The router SHALL NOT forward messages to a child device, or respond to ZDO requests or NWK command requests on that child's behalf, while the value of the relationship field entry in the corresponding *nwkNeighborTable* in the NIB is 0x05 (unauthenticated child). It SHALL react to APS Commands Tunnel Data, and Relay Message Downstream from the Trust Center to send messages to the unauthenticated child. It SHALL also react to the APS Command Relay Message Upstream sent from the Joiner to the Router. If the relationship of the *nwkNeighborTable* for that child device changes or the entry is removed, the router SHALL silently reject those commands.
- 11435 The APS Command Update Device, APS Command Tunnel Data, and APS Commands Relay Message Upstream/Downstream communicated between the Trust Center and the router SHALL be secured at the NWK layer by the active network key. The conditions for APS encryption of the the APS Command Update Device is described below. The transport-key command and Relay Message Downstream sent from the router to the joiner SHALL NOT be secured at the network layer.
- 11436 Two copies of the update-device APS command SHALL be generated by the parent router if the apsDeviceKeyPairSet entry for the TC indicates the apsLinkKeyType is 0x01 (Global). One copy SHALL be encrypted at both the APS and the NWK layer, while the other copy SHALL only be encrypted at the NWK layer. This is done due to an interoperability issue where previously certified Trust Centers MAY have requirements on the encryption that it accepts for the APS Command Update Device message.
- 11437 A device with apsDeviceKeyPairSet that has an apsLinkKeyType of 0x00 (Unique Link Key) does not have to generate two update device messages and SHALL only generate a single APS encrypted APS Command Update Device.



11457

11458

**Figure 4-28. Router Message Passing when a Device Joins the Network**

11459

11460 **4.6.3.2.2 Trust Center Operation**

11461 The Trust Center role in the authorization procedure SHALL be activated upon receipt of an APSME-UPDATE-  
11462 DEVICE.indication primitive. The Trust Center behaves differently depending on the following factors:

11463 a) Whether the Trust Center decides to allow any device to perform a first time join (for example, the Trust Center  
11464 is in a mode that allows new devices to join)

11465 b) If the Trust Center Policies require prior knowledge of the device to allow joining

11466 If, at any time during the authorization procedure, the Trust Center decides not to allow the new device to join the  
11467 network (for example, a policy decision or a failed higher level key-establishment protocol), it SHALL take actions  
11468 to remove the device from the network. If the Trust Center is not the router of the newly joined device, it MAY remove  
11469 the device from the network by issuing the APSME-REMOVE-DEVICE. request primitive with the ParentAddress  
11470 parameter set to the address of the router originating the update-device command and the ChildAddress parameter set  
11471 to the address of the joined (but unauthorized) device. Alternatively the Trust Center MAY let an unauthorized device  
11472 just timeout; in that case the Trust Center will not send a removal message.

11473 **4.6.3.2.2.1 Applying Security Policies**

11474 After being activated for the authorization procedure, the Trust Center SHALL determine whether or not to allow the  
11475 device onto the network. This decision will be based on its own security policies, see section 4.7.1. The Trust Center  
11476 MAY also require that the device update its link key prior to joining and receiving the network key.

11477 If the Trust Center requires key negotiation first, it SHALL follow the procedure in section 4.6.3.2.2.2. Otherwise it  
11478 MAY skip to section 4.6.3.2.2.3.

11479 **4.6.3.2.2.2 Dynamic Key Negotiation Joining**

11480 If both the Trust Center and joiner support negotiation of a link key before joining, the Trust Center MAY choose to  
11481 do so. This is known as Dynamic Key Negotiation Joining. The Trust Center does this by issuing a Secu-  
11482 rity\_Start\_Key\_Update\_req to the joining device. The message SHALL include the following:

11483 Selected Key Negotiation Method TLV

11484 Prior to successful negotiation of a link key the Trust Center SHALL restrict what messages it accepts from the joiner  
11485 to the following:

- 11486 • ZDO Security\_Start\_Key\_Negotiation\_req
- 11487 • ZDO Security\_Key\_Update\_rsp
- 11488 • APS Command: Relay Message Upstream
- 11489 • APS Command: APS Confirm Key
- 11490 • APS Acknowledgement frames

11491 It then follows the procedure described in section 4.6.3.5.

11492 If the Dynamic Key Negotiation Joining fails for any reason, both the Trust Center and the joiner SHALL discard any  
11493 generated material and SHALL ensure that the respective APS Key Pair Table entries are identical with what they  
11494 were prior to initiation of Key Negotiation, as described in section 4.4.9.

11495 After successfully negotiating a link key the Trust Center MAY send the device additional application layer messages  
11496 before accepting the device on the network. The messages sent are up to the higher layer application. It is recom-  
11497 mended that the Trust Center apply restrictions to the messages it accepts from the joining device based on the mes-  
11498 sages it will generate to the device. For example, if only a ZDO Node Descriptor Request is sent then the Trust Center  
11499 during joining it, then it SHOULD only accept a ZDO Node Descriptor Response. No other messages SHOULD be  
11500 accepted in that example.

11501 4.6.3.2.2.3 **Initial Network Key Distribution**

11502 If the Trust Center decides to allow the device onto the network, it SHALL send the device the active network key by  
11503 issuing the APSME-TRANSPORT-KEY.request primitive with the DestAddress parameter set to the address of the  
11504 newly joined device, and the StandardKeyType parameter set to 0x01 (that is, standard network key).

11505 The KeySeqNumber sub-parameter of the APSME-TRANSPORT-KEY.request SHALL be set to the sequence count  
11506 value for the active network key and the NetworkKey sub-parameter SHALL be set to the active network key. The  
11507 UseParent sub-parameter SHALL be set to FALSE if the Trust Center is the router; otherwise, the UseParent sub-  
11508 parameter SHALL be set to TRUE and the ParentAddress sub-parameter SHALL be set to the address of the router  
11509 originating the update-device command.

11510 4.6.3.2.2.4 **Managing Network Admittance of Zigbee Direct Virtual Devices**

11511 If the JoiningDeviceTLVs parameter of the APSME-UPDATE-DEVICE.indication primitive contains the Device Ca-  
11512 pability Extension Global TLV (Table I.4.8-4-64 Global TLV Definitions in Annex I) and the “Zigbee Direct Virtual  
11513 Device” flag (bit #0) in this TLV indicates the joiner is a Zigbee Direct Virtual Device (ZVD), the Trust Center  
11514 SHALL NOT send a transport key message with the active network key to the virtual joiner. The joiner is indicating  
11515 that it does NOT need the active network key to participate in the mesh and can use a variant of the network key  
11516 instead. This is further enforced by the Zigbee Direct Device (ZDD), which will not generate an update device message  
11517 for a ZVD that did not include the Device Capability Extension Global TLV with the Zigbee Direct Virtual Device  
11518 flag set to '1'.

11519 Instead, if the *allowVirtualDevices* Trust Center Policy Value equals TRUE, the Trust Center SHALL construct a  
11520 Transport Key message including the Basic Authorization Key for the joiner and send it to the joining virtual device  
11521 via its parent router (ZDD). The Basic Authorization Key SHALL be derived as specified in section 6.3.2.1 Authori-  
11522 zation Keys of [B2]. The Trust Center SHALL send this Transport Key message encrypted with the Trust Center Link  
11523 Key for the joining ZVD and, more specifically, it SHALL apply the ‘key-load key’ derivative key as APS encryption  
11524 key as opposed to the ‘key-transport key’. The ‘key-transport key’ SHALL exclusively be used for the delivery of  
11525 active or prospective network keys to IEEE Std 802.15.4 Zigbee devices; the ‘key-load key’ SHALL exclusively be  
11526 used for the delivery of keys to the ZVD. The Trust Center SHALL record that the joining device is a Zigbee Direct  
11527 Virtual Device in the corresponding *apsDeviceKeyPairSet* entry for the joiner, by setting the *isVirtualDevice* element  
11528 of the Key-Pair Descriptor to TRUE. It SHALL always refer back to this information when it performs a network key  
11529 rotation and SHALL NOT send a prospective network key to the ZVD. Instead, the Trust Center SHALL apply the  
11530 above mentioned approach to deliver an updated Basic Authorization key derived under the prospective network key  
11531 to a particular Zigbee Direct Virtual Device in its *apsKeyPairSet*.

11532 If the *allowVirtualDevices* Trust Center Policy Value equals FALSE, the Trust Center SHALL NOT admit the joiner  
11533 to the network. It MAY instigate an APSME-REMOVE-DEVICE.request to the effect of notifying the Zigbee Direct  
11534 Device (ZDD) acting as parent router of the ZVD of its decision to not admit the joining ZVD to the network such  
11535 that the ZDD could purge the unauthenticated ZVD from its neighbor table sooner.

11536 4.6.3.2.3 **Joining Device Operation**

11537 The joining device SHALL be preconfigured with a Trust Center link key and start a timer. It sets the SecurityTimer  
11538 value of the *nwkNeighborTable* entry of NIB for its parent to *apsSecurityTimeOutPeriod*. It will then wait to receive  
11539 one of the following:

- 11540 1) APS Command of Transport Key containing the active network key encrypted with its preconfigured link key
- 11541 2) ZDO Security\_Start\_Key\_Update\_req Command indicating the device SHALL start key negotiation.

11542 If the timer reaches zero before receiving one of the above messages, the joiner SHALL consider the join operation  
11543 to have failed.

11544 4.6.3.2.3.1 **Dynamic Key Negotiation Joining**

11545 If the Trust Center and joiner both support dynamic link key negotiation, the Trust Center MAY choose to negotiate  
11546 a link key with the device prior to it receiving the Network Key.

11547

11548 Prior to negotiating a link key, a joined but unauthorized device SHALL restrict what messages it processes to only  
11549 the key negotiation messages. These include the following:

- 11550 • ZDO Security\_Start\_Key\_Update\_Response  
11551 • ZDO Start Key Negotiation Response  
11552 • APS Command: Verify Key  
11553 • APS Command: Verify Key  
11554 • APS Acknowledgement frames

11555 The Joining Device SHALL follow the procedure in section 4.6.3.2.2.

11556 Once a link key has been successfully negotiated, the joiner MAY receive additional application layer messages be-  
11557 fore the Trust Center transmits a copy of the current network key. The joiner SHALL respond to those messages but  
11558 MAY limit the responses based on its own security policy values. It SHALL reset the SecurityTimer value of the  
11559 *nwkNeighborTable* entry of its parent in the NIB to *apsSecurityTimeOutPeriod* for every received and APS en-  
11560 encrypted message.

11561 Receiving a Network Key. Upon receipt of the APSME-TRANSPORT-KEY.indication primitive with the Standard-  
11562 KeyType parameter set to 0x01 (that is, the standard network key), the joining device SHALL set the *apsTrustCenterAddress*  
11563 attribute in its AIB to the SrcAddress parameter of the APSME-TRANSPORT-KEY.indication primitive.  
11564 The joining device is now considered authorized and SHALL enter the normal operating state for standard security  
11565 mode.

11566 If the *apsTrustCenterAddress* is set to 0xFFFFFFFFFFFFFF the network is in distributed security mode. The device  
11567 SHALL enter the normal operating state.

11568 Additional application layer security authentication or initialization MAY be required by the higher layer specifica-  
11569 tion.

11570 If the joining device did not receive any APS encrypted messages within the *apsSecurityTimeOutPeriod* since receiv-  
11571 ing the NLME-JOIN.confirm primitive, it SHALL reset and MAY choose to start the joining procedure again.

11572 If the Dynamic Key Negotiation Joining fails for any reason, both the Trust Center and the joiner SHALL discard any  
11573 generated material and SHALL ensure that the respective APS Key Pair Table entries are identical with what they  
11574 were prior to initiation of Key Negotiation, as described in section 4.4.9.

#### 11575 4.6.3.2.3 **Joining Complete**

11576 After successfully joining or rejoining a secured network by receiving the network key, the joining device SHALL set  
11577 the *nwkSecurityLevel* attribute in the NIB to the values indicated by the stack profile.

11578 A joined and authorized device SHALL always apply NWK layer security to outgoing frames unless the frame is  
11579 destined for a newly joined but unauthorized child.

11580 In a secured network, if the device does not become authorized within a preconfigured amount of time, it SHALL  
11581 leave the network (see section 4.6.3.6.3).

### 11582 4.6.3.3 **Rejoining Security**

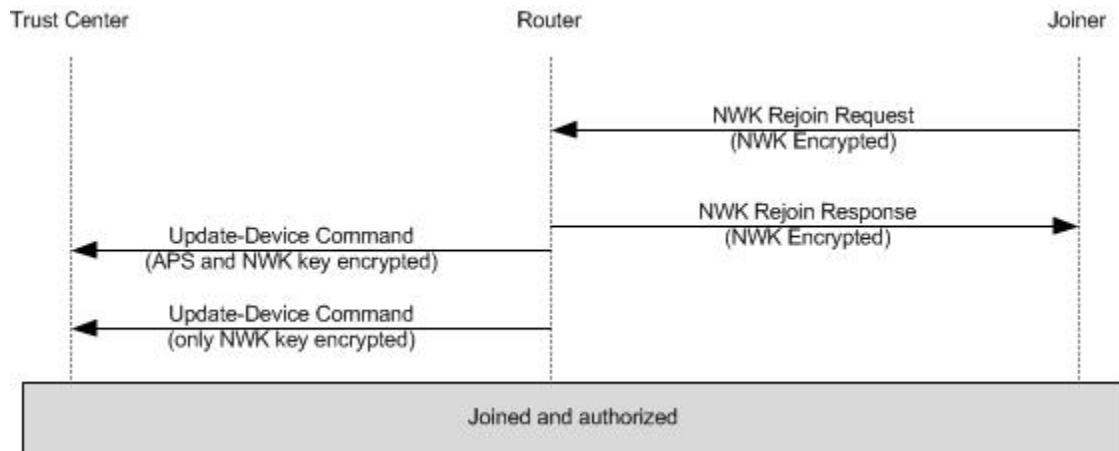
11583 Devices SHALL follow the procedures described in this section as necessary to support rejoining, in conjunction with  
11584 the mechanism described in section 2.5.4.5.2.2.

11585 A device does not have to verify its trust center link key with the APSME-VERIFY-KEY services after a rejoin.

#### 11586 4.6.3.3.1 **Secure Rejoin**

11587 When a device is rejoining and secures the NWK rejoin request command with the active network key, no further  
11588 authorization is required beyond validation of the NWK security. Both centralized and distributed networks MAY use  
11589 Secure Rejoin.

11590 Figure 4-29 shows the flow of messages during a secure rejoin. Note that in Distributed network security the APS  
11591 Command Update Device SHALL NOT be sent.



11592

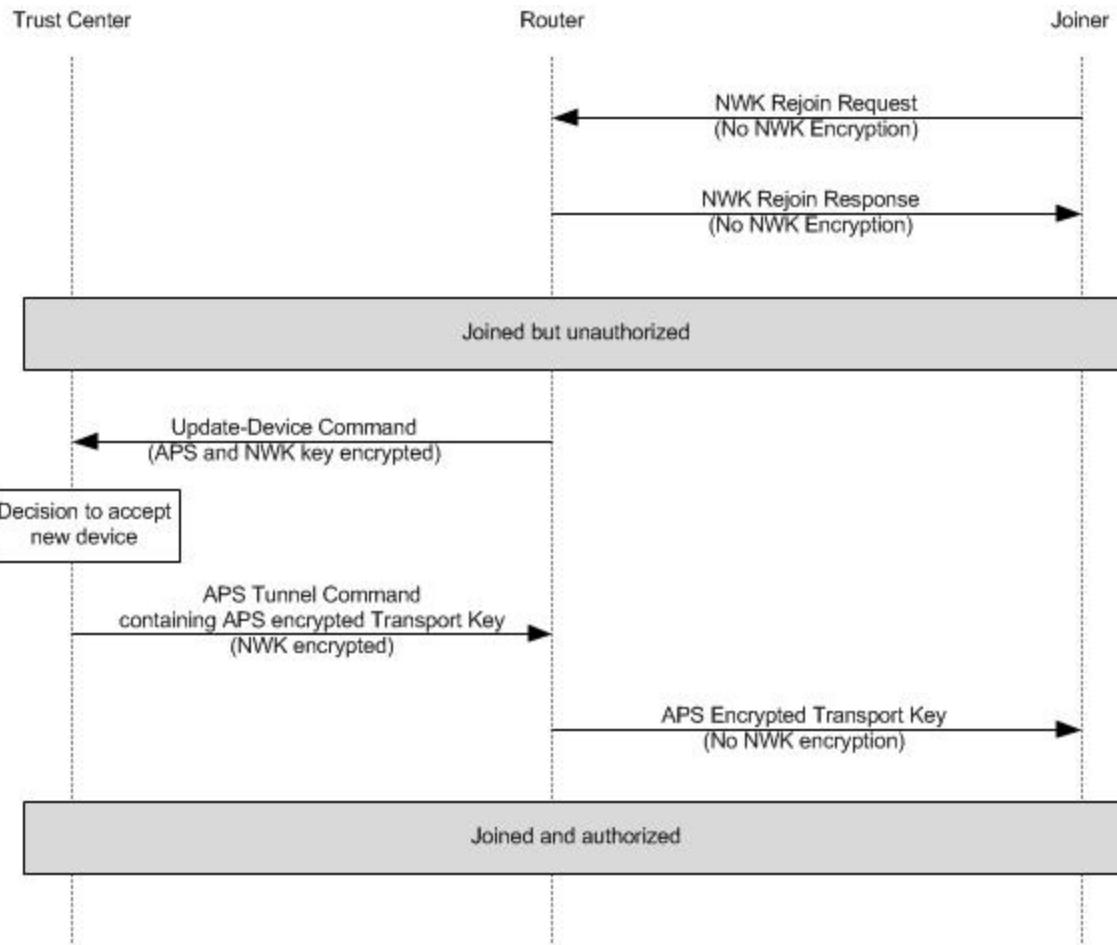
11593

**Figure 4-29. Secure Rejoin**

#### 11594 4.6.3.3.2 Trust Center Rejoin

11595 A Trust Center Rejoin is used when a device MAY no longer have the current network key and therefore SHOULD  
11596 NOT secure the NWK rejoin command. If the network is using a different network key then the device using the old  
11597 network key will be rejected. A Trust Center rejoin is a NWK Rejoin command where the command is sent without  
11598 NWK layer security and allows a device to request the current active network key.

11599 Figure 4-30 illustrates a trust center rejoin operation.



11600

11601

**Figure 4-30. Trust Center Rejoin**

11602 A Trust Center Rejoin SHALL only be allowed in a centralized security network. Attempts to use a Trust Center rejoin  
11603 in a distributed security network shall be rejected.

11604 While it is conceptually possible to use a pre-configured link-key or security authentication token previously estab-  
11605 lished during initial join to negotiate a new trust center link-key in the course of a trust center rejoin, and in particular  
11606 the trust center swap-out procedure, this approach is not supported in current revisions of the specification. Therefore,  
11607 a trust center SHALL NOT select a dynamic key negotiation scheme for a trust center rejoin. Trust center link-key  
11608 updates SHALL be performed on-network, once the rejoin procedure completed successfully.

11609 The trust center device SHALL assume no key agreement or pre-shared secret capabilities if the Supported Key Ne-  
11610 gotiation Methods Global TLV is not included in the network commissioning request. Prior knowledge of general key  
11611 agreement capabilities, if any, SHALL be considered stale and ignored

11612 The following sections describe the behavior of the devices in the network and the orphaned devices.

#### 11613 **4.6.3.3.3 Coordinator and Router Operation**

11614 This text describes the security operations for support of rejoining which are to be carried out by the Zigbee coordinator  
11615 and by Zigbee routers that are already operating on the network. These devices will receive rejoin requests by orphaned  
11616 devices and will act as follows.

11617 Following the steps described in section , an orphaned device (router or end device) SHALL be provisionally accepted  
11618 onto the network by the coordinator or router for at least *apsSecurityTimeOutPeriod* milliseconds. During this period  
11619 it SHALL be required to send at least one correctly formed Zigbee message secured with the network key to the new  
11620 parent. If this message successfully passes all the security processing steps described in this document, it SHALL be  
11621 accepted as a member of the network.

11622 Starting from the time a device has been added to the nwkNeighborTable, after *apsSecurityTimeOutPeriod* milliseconds  
11623 if that device does not send at least one network encrypted message, where it is using its long address in the  
11624 network layer auxiliary security header, then it SHALL be deleted from the nwkNeighborTable. This applies regard-  
11625 less of whether the device type in the nwkNeighborTable is a router, coordinator, or end device

11626 If the router, or Trust Center acting as router, receives an APSME-UPDATE-TUNNEL.indication then it SHALL  
11627 reset the timeout for that specific device back to *apsSecurityTimeOutPeriod*. This allows the Trust Center to extend  
11628 the timeout for the joining or rejoining device while it awaits user interaction or off-network verification to authenti-  
11629 cate the device. This specification neither specifies nor requires any action from the router or coordinator in the case  
11630 that a message from an orphaned device fails security processing above that required by text elsewhere in this docu-  
11631 ment.

#### 11632 **4.6.3.4 Network Key Update**

11633 The Trust Center and network devices SHALL follow the procedures described in this section when updating the  
11634 active network key. Updating of the network key is not possible when operating in distributed security mode.

##### 11635 **4.6.3.4.1 Trust Center Operation**

11636 When updating a standard network key with a new key of the same type, the Trust Center MAY broadcast or unicast  
11637 the key update. If it chooses to broadcast the new key to all devices on the network it issues the APSME-  
11638 TRANSPORT-KEY.request primitive with the DestAddress parameter set to the broadcast address and the Standard-  
11639 KeyType parameter set to 0x01 (that is, a network key).

11640 For a unicast key update the Trust Center SHALL issue multiple APSME-TRANSPORT-KEY.request primitive with  
11641 the DestAddress set to each device it wants to notify of the new key.

11642 The TransportKeyData sub-parameters SHALL be set as follows for both unicast and broadcast key updates:

- 11643 • The KeySeqNumber sub-parameter SHALL be set to the sequence count value for the new network key.
- 11644 • The NetworkKey sub-parameter SHALL be set to the new network key.
- 11645 • The UseParent sub-parameter SHALL be set to FALSE.

11646 If the sequence count for the previously distributed network key is represented as  $N$ , then the sequence count for this  
11647 new network key SHALL be  $(N+1) \bmod 256$ .

11648 The Trust Center MAY cause a switch to this new key by issuing the APSME-SWITCH-KEY.request primitive with  
11649 the DestAddress parameter set to the broadcast address and the KeySeqNumber parameter set to the sequence count  
11650 value for the updated network key. The switch key SHALL NOT be unicast. It shall be encrypted at the network  
11651 layer with either the current network key or the updated network key, and the key sequence number SHALL indicate  
11652 the key used.

11653 In centralized security mode, the Trust Center MAY maintain a list of all of the devices in the network. To update the  
11654 active network key using this list, the Trust Center MAY first send the new network key to each device on this list  
11655 and then ask the network to switch to the new key.

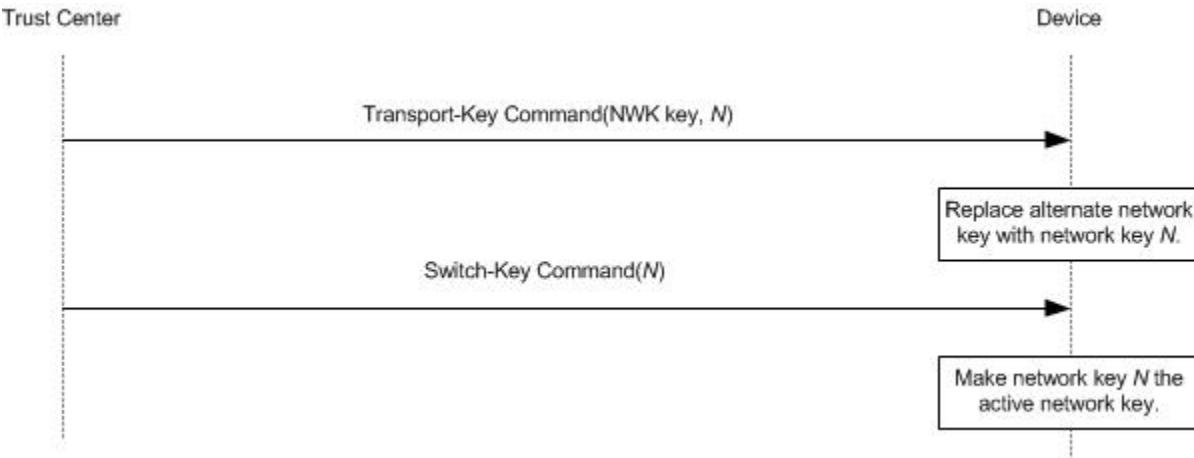
##### 11656 **4.6.3.4.2 Network Device Operation**

11657 Devices SHALL be capable of storing 2 network keys, the current and an alternate.

11658 When in the normal operating state and upon receipt of a APSME-TRANSPORT-KEY.indication primitive with the  
11659 StandardKeyType parameter set to 0x01 (that is, a network key), a device SHALL accept the TransportKeyData pa-  
11660 rameters as a network key only if the SrcAddress parameter is the same as the Trust Center's address (as maintained  
11661 in the *apsTrustCenterAddress* attribute of the AIB). If accepted, the key and sequence number data contained in the  
11662 TransportKeyData parameter SHALL replace the alternate network key. Otherwise, the key and sequence number  
11663 data contained in the TransportKeyData parameter SHALL replace the active network key. In either case, all incoming  
11664 frame counters and the outgoing frame counter of the appropriate network key SHALL be set to 0.

11665 When in the normal operating state and upon receipt of an APSME-SWITCH-KEY.indication primitive, a device  
11666 SHALL switch its active network key to the one designated by the KeySeqNumber parameter only if the SrcAddress

11667 parameter is the same as the Trust Center's address (as maintained in the *apsTrustCenterAddress* attribute of the AIB).  
 11668 Figure 4-31 illustrates the procedure.



**Figure 4-31. Example Network Key-Update Procedure**

#### 4.6.3.4.3 Message Sequence Chart

11669  
 11670 An example of a successful network key-update procedure for two devices is shown in Figure 4-31. In this example,  
 11671 the Trust Center sends a network key with sequence number  $N$  to the device. All devices are required to be capable of  
 11672 storing two network keys, an active and alternate. Upon receipt of the transport-key command, the device replaces its  
 11673 alternate network key with the new network key. Next, upon receipt of the switch-key command, the device makes  
 11674 the new network key the active network key.  
 11675

#### 4.6.3.5 Dynamic Link Key Negotiation

11676 The Trust Center MAY provide support for dynamically negotiating a link key via the ZDO Security Key Negotiation  
 11677 services. If both trust center and partner device support key negotiation the joiner SHALL use one of the defined ways  
 11678 that key negotiation.

- 11679 1) Prior to joining or rejoining, the Trust Center sends a ZDO Security Start Key Update Request to the partner  
 11680 device with the key negotiation method and, as far as applicable, the pre-shared secret it requests the partner  
 11681 device to use. The Trust Center will make use of the APSDE-DATA.request with Relay=TRUE to have the parent  
 11682 router relay the messages to the not yet joined device.
- 11683 2) During normal operation on the network, the Trust Center sends a Security\_Start\_Key\_Update\_req to the partner  
 11684 device with the key negotiation method and, as far as applicable, the pre-shared secret it requests the partner  
 11685 device to use. When the partner device is a sleepy end device (RxOnWhenIdle=FALSE), the Trust Center  
 11686 SHOULD use an application defined mechanism to ensure that the partner is awake when it initiates the Dynamic  
 11687 Link Key Negotiation.
- 11688 3) Immediately after joining or rejoining, the partner device discovers via the ZDO Node Descriptor Response that  
 11689 the Trust Center is capable of performing key negotiation and which key negotiation method and, as far as applic-  
 11690 able, the pre-shared secret the partner device is EXPECTED to use.
  - 11691 a) This could occur when the parent router does not support Revision 23 or higher of this specification and the  
 11692 device joined with the well-known link key or install code derived key.

11693 Once the partner device has determined it should initiate key negotiation, it SHALL do the following.

- 11694 1) Generate a ZDO Security Start Key Negotiation Request as described in section 2.4.3.4.6.
- 11695 2) Wait up to *apsSecurityTimeOutPeriod* to receive a ZDO Security Start Key Negotiation Response. Processing  
 11696 SHALL be done as described in section 2.4.4.4.1.4.
- 11697 3) If processing of the response was successful, generate an APSME-VERIFY-KEY.request.

11700 4) Wait up to *apsSecurityTimeOutPeriod* to receive an APSME-CONFIRM-Key.indication that indicates the key  
11701 was successfully confirmed.

11702 The Trust Center SHALL do the following.

11703 1) Process a request to start key negotiation as described in section 2.4.4.4.1.4. Successful processing will generate  
11704 a ZDO Security Start Key Negotiation Response.

11705 2) Wait up to *apsSecurityTimeOutPeriod* to receive an APSME-VERIFY-KEY.indication from the partner device.

11706 3) Generate an APSME- CONFIRM-KEY.request.

11707 The initial negotiation of a link key MAY be anonymous. After updating the link key using key negotiation and  
11708 replacing the passphrase, anonymous key negotiation SHALL NOT be used for any further updates. All dynamic key  
11709 negotiation SHALL be authenticated from then on.

#### 11710 4.6.3.5.1 **Rejoining Device Operation**

11711 Following the steps described in section , an orphaned device (router or end device) SHALL be provisionally accepted  
11712 onto the network for at least *apsSecurityTimeOutPeriod* milliseconds. During this period, it SHALL be required to  
11713 send at least one Zigbee message, secured with the network key to the new parent.

11714 If the device receives any message from its router parent it SHALL extend its timeout back to *apsSecurityTimeOut-  
11715 Period* to allow the Trust Center more time to authenticate it. If no messages are received after *apsSecurityTimeOut-  
11716 Period* it SHALL leave the network. As normal, the device SHALL NOT accept an unsecured network key (having  
11717 no NWK security) from the Trust Center.

11718 Note that a Zigbee device MAY also carry out an orphan scan as described in section . In this case it SHALL, at this  
11719 time, also follow the steps described in this sub-section.

11720 While it is conceptually possible to use a pre-configured link-key or security authentication token previously estab-  
11721 lished during initial join to negotiate a new trust center link-key in the course of a trust center rejoin, and in particular  
11722 the trust center swap-out procedure, this approach is not supported in current revisions of the specification. Therefore,  
11723 a rejoining device SHALL NOT select a dynamic key negotiation scheme for a trust center rejoin. Trust center link-  
11724 key updates SHALL be performed on-network, once the rejoin procedure completed successfully. A rejoining device  
11725 SHALL reject attempts to negotiate a dynamic link key during rejoin.

11726 A rejoining device SHALL NOT include the Supported Key Negotiation Methods Global TLV. This will allow a  
11727 future version of the specification to revisit this limitation and enable rejoining devices to indicate support.

#### 11728 4.6.3.5.2 **End-to-End Application Key Establishment**

11729 An initiator device, a Trust Center, and a responder device can follow the procedures described in this section when  
11730 establishing a link key for purposes of end-to-end application security between initiator and responder devices. This  
11731 process involves requesting a partner link key from the Trust Center with a third party device.

11732 If both devices support Dynamic Link Key they MAY use Request Key to establish an Application Link Key first.  
11733 Afterwards the initiator can use the APSME-KEY-NEGOTIATE.request to derive a new application link key using  
11734 the previously requested key as the passphrase during the key negotiation.

#### 11735 4.6.3.5.3 **Device Operation**

11736 The initiator device SHALL begin the procedure to establish a link key with a responder device by issuing the APSME-  
11737 REQUEST-KEY.request primitive. The DestAddress parameter SHALL be set to the address of its Trust Center, the  
11738 RequestKeyType parameter SHALL be set to 0x02 (that is, application link key), and the PartnerAddress parameter  
11739 SHALL be set to the address of the responder device.

11740 In a distributed security network where there is not a trust center to authorize the distribution of application link keys,  
11741 an initiator device MAY issue an APSME-TRANSPORT-KEY.request to a responder device based on application  
11742 policies on the device.

11743 4.6.3.5.3.1 **Upon Receipt of a Link Key**

11744 Upon receipt of an APSME-TRANSPORT-KEY.indication primitive with the StandardKeyType parameter set to  
11745 0x03 (that is, application link key), a device MAY accept the TransportKeyData parameters as a link key with the  
11746 device indicated by the PartnerAddress parameter only if the SrcAddress parameter is the same as the *apsTrustCenterAddress*  
11747 attribute of the AIB. If accepted, the *apsDeviceKeyPairSet* attribute in AIB table will be updated. A key-  
11748 pair descriptor in the AIB SHALL be created (or updated if already present) for the device indicated by the PartnerAd-  
11749 dress parameter, by setting the DeviceAddress element to the PartnerAddress parameter, the LinkKey element to the  
11750 link key from the TransportKeyData parameter, the Features & Capabilities element to the Features field of the Link-  
11751 Key Features and Capabilities TLV (if present), and the OutgoingFrameCounter and IncomingFrameCounter elements  
11752 to 0 unless the value is the same as the previous link key.

11753 In the case of a distributed security network, a device MAY accept an APSME-TRANSPORT-KEY.indication primitive  
11754 with the StandardKeyType parameter set to 0x03 (that is, application link key) from a partner device since no  
11755 trust center exists. The device and this partner can then establish an application link key based on the application level  
11756 policies of the device.

11757 4.6.3.5.4 **Trust Center Operation**

11758 Upon receipt of APSME-REQUEST-KEY.indication primitives with the StandardKeyType parameter set to 0x02  
11759 (that is, application link key).

11760 The Trust Center SHALL issue two APSME-TRANSPORT-KEY.request primitives with the StandardKeyType pa-  
11761 rameter SHALL be set to 0x03 (that is, application link key). The first primitive SHALL have the DestAddress pa-  
11762 rameter set to the address of the device requesting the key. The TransportKeyData sub-parameters SHALL be set as  
11763 follows:

- 11764 • The PartnerAddress sub-parameter SHALL be set to the PartnerAddress sub-parameter of the APSME-RE-  
11765 QUEST-KEY.indication primitive's TransportKeyData parameter.
- 11766 • The Initiator sub-parameter SHALL be set to TRUE.
- 11767 • The Key sub-parameter SHALL be set to a new key K (link key).
- 11768 • The TLVs sub-field SHALL include a TLV with the Link-Key Features and Capabilities local TLV included  
11769 and set to the same value stored in the Trust Center's *apsDeviceKeyPairSet* entry for the device identified by  
11770 the PartnerAddress sub-parameter of the APSME-REQUEST-KEY.indication primitive's TransportKeyData  
11771 parameter.

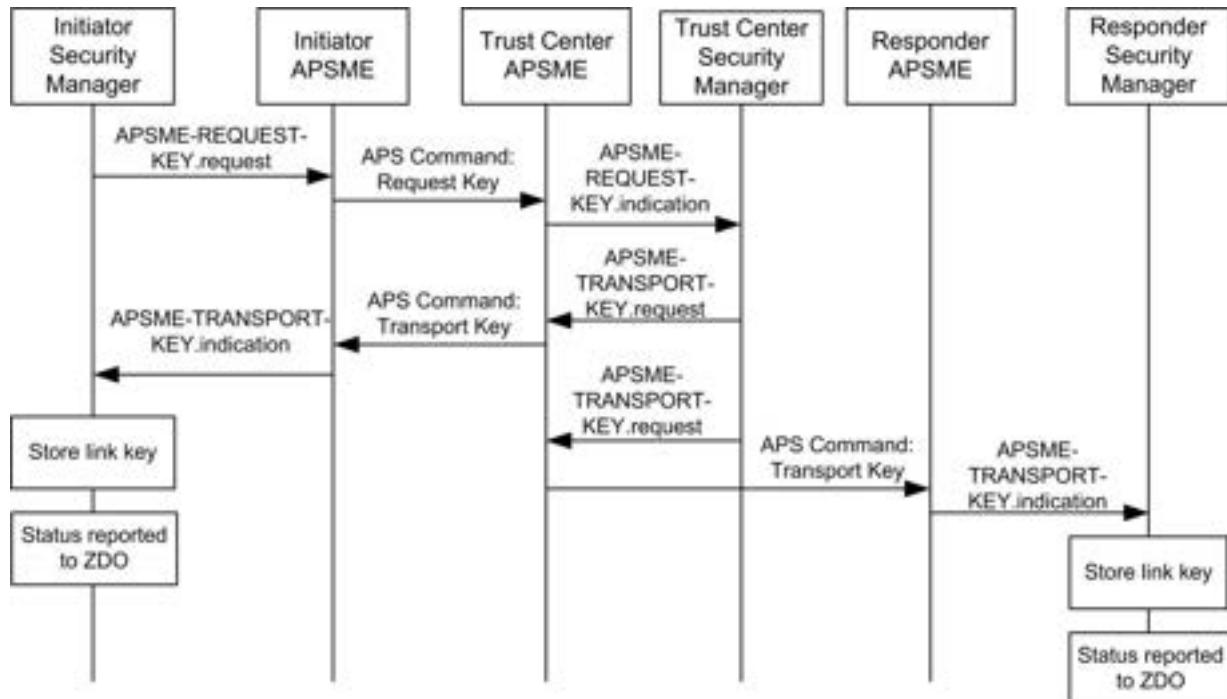
11772 The key SHALL have been generated in a random fashion. The second primitive SHALL have the DestAddress pa-  
11773 rameter set to the PartnerAddress sub-parameter of the APSME-REQUEST-KEY.indication primitive's Transport-  
11774 KeyData parameter. The TransportKeyData sub-parameters SHALL be set as follows:

- 11775 • The PartnerAddress sub-parameter SHALL be set to the address of the device requesting the key.
- 11776 • The Initiator sub-parameter SHALL be set to FALSE.
- 11777 • The Key sub-parameter SHALL be set to *K*.
- 11778 • The TLVs sub-field SHALL include a TLV with the Link-Key Features.

11779 4.6.3.5.5 **Message Sequence Chart**

11780 An example message sequence chart of the end-to-end application key establishment procedure is shown in Figure  
11781 4-32. The procedure begins with the transmission of the request-key command from the initiator to the Trust Center.

11782 The Trust Center SHALL now send transport-key commands containing the application link to the initiator and re-  
11783 sponder devices. Upon completion (or time-out), the status of the protocol is reported to the ZDOs of the initiator and  
11784 responder devices. If successful, the initiator and responder will now share a link key and secure communications will  
11785 be possible.



11786

11787

Figure 4-32. Example End-to-End Application Key Establishment Procedure

### 4.6.3.6 Network Leave

11789 A device, its router, and the Trust Center SHALL follow the procedures described in this section when the device is  
11790 to leave the network.

#### 4.6.3.6.1 Trust Center Operation

11792 If a Trust Center wants a device to leave and if the Trust Center is not the router for that device, the Trust Center  
11793 SHALL issue the APSME-REMOVE-DEVICE.request primitive, with the ParentAddress parameter set to the router's  
11794 address and the ChildAddress parameter set to the address of the device it wishes to leave the network.

11795 The Trust Center will also be informed of devices that leave the network. Upon receipt of an APSME-UPDATE-  
11796 DEVICE.indication primitive with the Status parameter set to 0x02 (that is, Device Left), the DeviceAddress param-  
11797 eter SHALL indicate the address of the device that left the network and the SrcAddress parameter SHALL indicate  
11798 the address of parent of this device.

#### 4.6.3.6.2 Router Operation

11800 Routers are responsible for receiving remove-device commands and for sending update-device commands.

11801 Upon receipt of an APSME-REMOVE-DEVICE.indication primitive, if the SrcAddress parameter is equal to the  
11802 *apsTrustCenterAddress* attribute of the AIB then the command SHALL be accepted. The router SHALL ignore  
11803 APSME-REMOVE-DEVICE.indication primitives with the SrcAddress parameter not equal to the *apsTrustCen-*  
11804 *terAddress* attribute of the AIB.

11805 If the DeviceAddress corresponds to the local device's address, then the device SHALL remove itself from the network  
11806 according to section 4.6.3.6.3. If the DeviceAddress corresponds to address of a child device then a router SHALL  
11807 issue an NLME-LEAVE.request primitive with the DeviceAddress parameter the same as the DeviceAddress param-  
11808 eter of the APSME-REMOVE-DEVICE.indication primitive and the rejoin parameter set to 0. Other fields are defined  
11809 by the stack profile.

11810 If the DeviceAddress does not correspond to the local device address, nor does it correspond to a child device of the  
11811 router, the command SHALL be discarded.

11812 Upon receipt of an NLME-LEAVE.indication primitive with the DeviceAddress parameter set to one of its children  
 11813 and with the Rejoin Parameter = 0, a router that is not also the Trust Center SHALL issue an APSME-UPDATE-  
 11814 DEVICE.request primitive with:

- 11815 • The DstAddress parameter set to the address of the Trust Center.
- 11816 • The Status parameter set to 0x02 (that is, Device Left).
- 11817 • The DeviceAddress parameter set to the DeviceAddress parameter of the NLME-LEAVE.indication primitive.

11818 If the router is the Trust Center, it SHOULD simply operate as the Trust Center and SHALL NOT issue the APSME-  
 11819 UPDATE-DEVICE.request primitive (see section 4.6.3.6.1).

#### 11820 **4.6.3.6.3 Leaving Device Operation**

11821 Devices are responsible for receiving and sending leave messages. The following rules apply to all three types of leave  
 11822 messages: NWK Leave Command, ZDO Mgmt Leave, and APS Command: Remove Device.

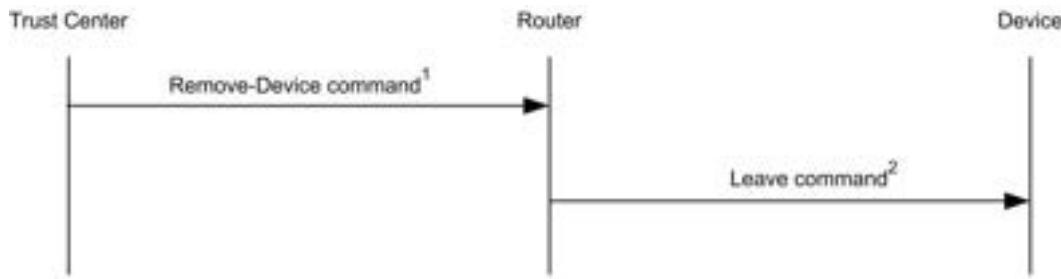
11823 In a secured Zigbee network, leave messages SHALL be secured with the active network key and sent with security  
 11824 enabled at the level indicated by the *nwkSecurityLevel* attribute in the NIB.

11825 In a secured Zigbee network, leave messages SHALL be received and processed only if secured with the active net-  
 11826 work key and received with security enabled at the level indicated by the *nwkSecurityLevel* attribute in the NIB.

11827 A device SHALL only send a NWK leave message (request or announcement) if it has the active network key. A  
 11828 device that wishes to leave the network and does not have the active network key SHALL quietly leave the network  
 11829 without sending a NWK leave announcement.

#### 11830 **4.6.3.6.4 Message Sequence Charts**

11831 Figure 4-33 shows an example message sequence chart in which a Trust Center asks a router to remove one of its  
 11832 children from the network. If a Trust Center wants a device to leave and if the Trust Center is not the router for that  
 11833 device, the Trust Center SHALL send the router a remove-device command with the address of the device it wishes  
 11834 to leave the network. In a secure network, the remove-device command SHALL be secured with a link key if present;  
 11835 otherwise SHALL be secured with the active network key. Upon receipt of the remove-device command, a router  
 11836 SHALL send a leave command to the device to leave the network.

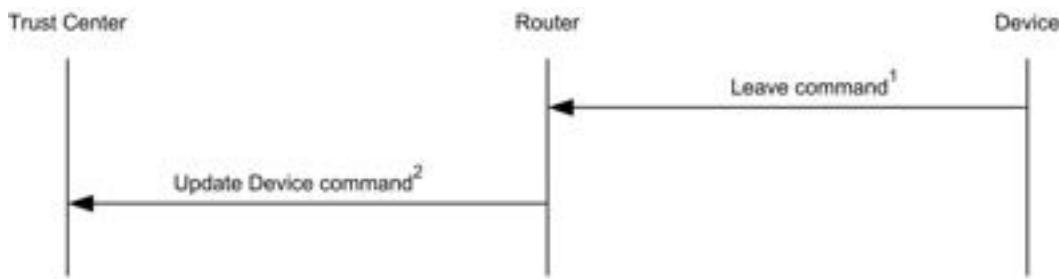


**Notes:**

1. If a trust center wants a device to leave and if the trust center is not the router for that device, the trust center shall send the router a remove-device command with the address of the device it wishes to leave the network.
2. A router shall send a leave command to cause one of its children to leave the network.

11837 **Figure 4-33. Example Remove-Device Procedure**

11839 Figure 4-34 shows an example message sequence chart whereby a device notifies its router that it is leaving the net-  
 11840 work. In this example, the device sends a leave command (secured with the active network key) to its router. The  
 11841 router then sends an update-device command to the Trust Center. In a secured network, the update-device command  
 11842 SHALL be secured with the link key, if present, or the active network key.

**Notes:**

1. A device leaving the network shall send a leave command to its router.
2. Upon receipt of a valid leave command, a router shall send an update-device command to the trust center to inform that a device has left the network.

11843

11844

**Figure 4-34. Example Device-Leave Procedure**

### **4.6.3.7 Command Tunneling & APS Relaying**

11846 There are two commands used for over-the-air transportation of data to a node in the process of joining or rejoining.  
 11847 The original APS Command: Tunnel Data is used as a one-way communication of the network key to the device. This  
 11848 operation is called Command Tunneling.

11849 To avoid problems of backward compatibility with the existing single-purpose tunnel command a new command has  
 11850 been created that is designed from the start to allow bi-directional communication: the APS Relay command. This  
 11851 operation is called Relaying.

11852 Devices SHALL follow the procedures described in this section to allow secure communication between the Trust  
 11853 Center and a remote device that does not have the current network key.

#### **4.6.3.7.1 Trust Center Operation**

11855 To embed a command in a tunnel command, the Trust Center SHALL first apply security protection as specified in  
 11856 section 4.4.1.1 and then, if security processing succeeds, the secured command frame SHALL be embedded in a  
 11857 Tunnel command frame as follows:

- 11858 1. The APS header fields SHALL be set to the values of the APS header fields of the command to be embedded.
- 11859 2. The destination address field SHALL be set to the 64-bit extended address of the destination device.
- 11860 3. The tunneled auxiliary frame field SHALL be set to the auxiliary frame of the secured command, with follow-  
 11861 ing changes:
  - 11862 • The extended nonce sub-field SHALL be set to 1.
  - 11863 • The source address field SHALL be set to the 64-bit extended address of the Trust Center.
  - 11864 • The tunneled command SHALL be set to the secured payload of the embedded command.
  - 11865 • The tunneled command SHALL then be sent to the parent or other neighbor of the destination device.

#### **4.6.3.7.2 Parent Operations**

11867 Upon receipt of an APS tunnel command, a router SHALL extract the embedded command as follows:

- 11868 1. The APS header fields SHALL be set to the values of the APS header fields of the tunnel command.
- 11869 2. The auxiliary frame field SHALL be set to the value of the tunneled auxiliary frame field of the tunnel com-  
 11870 mand.
- 11871 3. The APS payload field SHALL be set to the tunneled command field of the tunnel command.

11872 The extracted command SHALL be sent to the destination indicated by the destination address field by issuing the  
 11873 NLDE-DATA.request primitive with security disabled.

**11874    4.6.3.7.3    Tunneled Data Destination Operation**

11875 The following applies to the end destination of the tunneled data payload after the parent has extracted and transmitted  
11876 the payload from the APS tunnel command. Upon receipt of a message secured at the APS layer and with an extended  
11877 nonce in the APS auxiliary frame, the message SHALL be processed as usual, except that the message SHALL NOT  
11878 be looked up in, or added to, the APS duplicate rejection table.

**11879    4.6.3.7.4    Multi-hop with Dynamic Key Negotiation Joining**

11880 In order to facilitate a node joining and negotiating a link key multiple hops away from the Trust Center it is necessary  
11881 to exchange a number of messages between the joiner and Trust Center. Since the joiner has not yet been authorized,  
11882 the network SHALL rely on the parent router to relay the messages from the joiner in a way that restricts communica-  
11883 tion to only the Trust Center. If the Trust Center conditionally accepts the new device then the router will accept  
11884 new messages and relay them to the trust center with limited filtering of those packets. The limited filtering is meant  
11885 to future proof communication between trust center and joiner so that the router does need to be upgraded to support  
11886 newer devices that MAY send different messages than the router knows about.

**11887    4.6.3.7.5    Tunneling and Relaying of Messages**

11888 The APS Tunnel Command is limited to a single use case of sending from the Trust Center through the parent router  
11889 to the Joiner. It does not handle tunneling packets upstream to the Trust Center. To avoid problems of backward  
11900 compatibility with the existing single-purpose tunnel command a new command has been created that is designed  
11901 from the start to allow bi-directional communication: the APS Relay command.

11902 Before key negotiation messages are relayed through the parent router, the joiner's own capabilities SHALL be relayed  
11903 to the Trust Center and the Trust Center acknowledges back to the joiner what the next steps are. The Network Com-  
11904 missioning Request Command Frame will contain the joining device's capabilities as TLVs inside the Joining Device  
11905 Encapsulation Global TLV. This specific TLV will be picked up by the parent router and relayed in the APS Update  
11906 Device Command Frame.

11907 A legacy Trust Center will ignore the TLV data and perform its normal legacy processing of the request. If the device  
11908 is allowed to join the Trust Center will send the current network key in an APS Transport Key Command encrypted  
11909 with the device's link key, and tunnel that message inside an APS Tunnel Command.

11910 A newer Trust Center will examine the capabilities of the joining device as relayed in the Joining Device Encapsulation  
11911 Global TLV. If the device is being allowed to join but is an older device, or one without Key Negotiation capabilities,  
11912 the Trust Center will behave much like a Legacy Trust Center. If the device and Trust Center have Key Negotiation  
11913 Capabilities the Trust Center will send a ZDO Security\_Key\_Update\_req command to signal that the device is allowed  
11914 to go ahead and start key negotiation. The ZDO command will be contained inside the APS Relay Command.

11915 The Trust Center and Joining Device will continue to exchange messages back and forth through the parent router.  
11916 The messages are all APS Command Relay Downstream and APS Relay Command Upstream containing the actual  
11917 message that will be forwarded to the target. These messages MAY be fragmented and MAY enable APS Retries. The  
11918 APS Acknowledgements will also be forwarded between Trust Center and Joiner via APS Relay Command frames.  
11919 The APS Command Relay Downstream and APS Relay Command Upstream themselves will not be fragmented, and  
11920 retries MAY be enabled on those messages. This avoids multiple levels of fragmentation on the same underlying  
11921 message.

11922 Fragmentation parameters are communicated using the Fragmentation Parameters Global TLV. The joiner includes  
11923 this TLV inside the Joining Device Encapsulation Global TLV. That TLV is sent to the parent router via the Network  
11924 Commissioning Request Command Frame, and relayed to the Trust Center in the APS Update Device command.  
11925 Fragmentation Parameters of the Trust Center are communicated to the joiner via the ZDO Security\_Key\_Update\_req  
11926 that is contained within an APS Relay Command.

11927 Key Negotiation is done via the ZDO Security\_Start\_Key\_Negotiation\_req and ZDO Security\_Start\_Key\_Negotia-  
11928 tion\_rsp. The new key is verified by both sides using the APS Verify Key and APS Confirm Key Messages. Once the  
11929 key is verified both sides can use the key but the device is not yet on the network.

11930 The Trust Center MAY abort the communication at any time by issuing an APS Remove Device to the parent router.  
11931 At that point the parent router will no longer accept messages from the Joining Device that need to be relayed.

11922 After a key is negotiated but before the Trust Center grants access the Trust Center MAY exchange additional messages with the device as part of the Device Interview. This step is optional and the choice to use it is determined by  
11923 the Trust Center. Those messages are also sent via APS Relay Command frames and all Device interview messages  
11924 are APS encrypted with the newly negotiated link key.  
11925

11926 Once the Trust Center decides to grant access it uses the same mechanism as a Legacy Trust Center. It will send the  
11927 current network key in an APS Transport Key Command encrypted with the device's link key, and tunnel that message  
11928 inside an APS Tunnel Command. In this case the link key used will be the one that was negotiated via ZDO.

#### 11929 **4.6.3.7.6 Parent Router Filtering**

11930 By default, a Parent router will not relay frames from an unauthorized joining device. A router keeps track of unauthorized joiners in the nwkNeighborTable by setting the Relationship status of that device to 0x05 unauthenticated  
11931 child.  
11932

11933 The router waits to receive an APS Relay Command from the Trust Center addressed to that joining device. At that  
11934 point it sets the status of the child to 0x06, unauthenticated child with relay allowed.

11935 The router will keep track of each unauthorized node independently in case multiple devices join through the parent  
11936 router.

11937 A router will only accept APS Command Relay Downstream and APS Relay Command Upstream from the joiner if  
11938 its status is 0x06, unauthenticated child with relay allowed. The router parent will maintain a security timer for each  
11939 of those devices. If they do not become fully authorized on the network before the timer runs out, the device is removed  
11940 from the neighbor table. The timer is reset only when the Trust Center sends an APS Relay command; it is never reset  
11941 by the joining device.

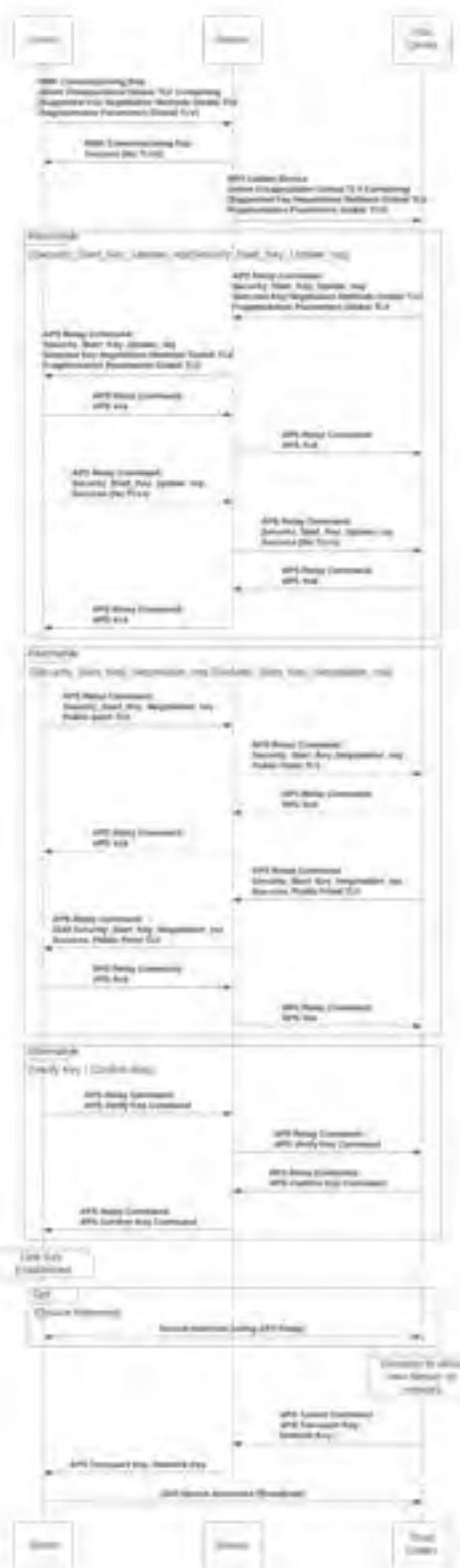
#### 11942 **4.6.3.7.7 Tunneling and Relaying of APS Data and APS Commands**

11943 The APS Tunnel command SHALL always be used to tunnel the APS Command: Transport Key from the Trust Center  
11944 to a joining or rejoining device. The APS Tunnel Command SHALL NOT be used for tunneling any other APS data  
11945 or APS command. The APS Tunnel Command SHALL be used for the APS Transport key even when both the Trust  
11946 Center and joiner/rejoining device support Revision 23 and the APS relay command.

11947 The APS Relay command SHALL be used for sending and receiving all other messages to a joining or rejoining  
11948 device while it is not on the network. If a parent router is needed to send over multiple hops it also needs to be R23  
11949 in order to relay messages.

11950 The APS relay command SHALL be used to encapsulate messages from the relaying router. This SHALL occur even  
11951 in the circumstance that the relaying router is also the Trust Center. In other words, the APS relay command SHALL  
11952 be used for single-hop or multi-hop join/rejoin situations.

11953 An example of a full multi-hop join using the APS Relay command can be seen in Figure 4-35.



11954

11955

**Figure 4-35. R23 Joining Using Multi-hop Relay**

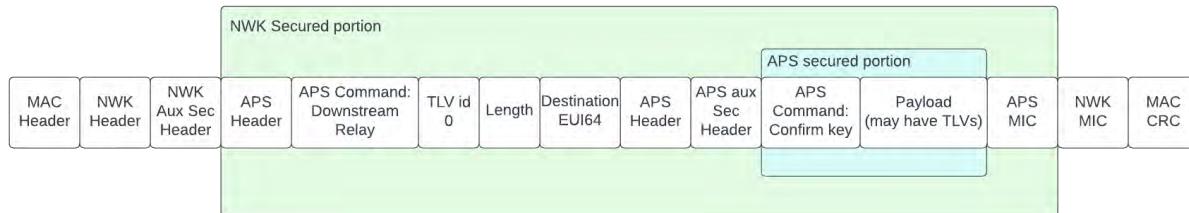
#### 11956 4.6.3.7.8 APS Retries for Relay Commands

11957 APS retries MAY be used on the APS Command Relay Downstream and APS Relay Command Upstream themselves.  
 11958 APS retries can also be used on the messages within the APS Relay Commands.

#### 11959 4.6.3.7.9 Encryption of Relay Commands and their contents

11960 APS encryption SHALL NOT be used on the APS Relay command itself. The APS message within the APS Relay  
 11961 will use APS encryption as required by this specification or the Application.

11962 Figure 4-36 is an example of APS encryption of the APS Command Confirm Key sent from the Trust Center to the  
 11963 intermediate Router for relay to the joining / rejoining device.



11964

**Figure 4-36. Relay Command Frame to the Parent Router**

11965 When the message is sent from the intermediate router to the joining / rejoining device it will look very familiar but  
 11966 will NOT have Network Encryption. Figure 4-37 shows the message in that case.



11967

**Figure 4-37. Relay Command Frame to the Joiner**

#### 11968 4.6.3.7.10 Message Size and Fragmentation for APS Relayed Messages

11969 When relaying messages prior to joining or rejoining, the Trust Center and Joiner can use fragmentation and APS  
 11970 Acknowledgements. Each side SHALL advertise fragmentation parameters via the Fragmentation Parameters Global  
 11971 TLV.

11972 The APS Command Relay Downstream and APS Relay Command Upstream SHALL NOT be fragmented. The mes-  
 11973 sages inside the APS Relay Command MAY be fragmented when needed.

11974 When encapsulating a message inside an APS Relay command the joiner SHALL take into consideration the following  
 11975 when calculating the maximum size of the message within the APS relay command.

- 11976 1. Overhead of the APS Relay command itself
- 11977 2. Overhead of NWK Auxiliary Security Header and NWK Auxiliary Security Header MIC

11978 The joiner SHALL not include the NWK Auxiliary Security Header and NWK Auxiliary Security Header MIC when  
 11979 sending to the parent router. However, the joiner SHALL leave space in the message for the parent router to add these  
 11980 headers. The Maximum size of an APS Relayed message is shown in Table 4-40.

11981

11984

**Table 4-40. Maximum Message Size of an APS Relayed Message**

Item	Size (bytes)	Notes
Max 802.15.4 MTU	128	
PHY Length byte	1	
MAC Header	9	
NWK Header	8	
NWK Auxiliary Security Header	14	The size of this overhead is always included even when the message is not NWK encrypted.
APS Header for APS Command	2	
APS Relay Overhead	10	
NWK Auxiliary Security Header MIC	4	The size of this overhead is always included even when the message is not NWK encrypted.
MAC CRC	2	
Max Remaining size of APS Relayed Message	79	The APS relayed message SHALL include its own APS Header. <b>Note:</b> If source routing is enabled on the TC, the maximum remaining size of the APS relayed message would be smaller. The network header will have the source route present and depending on the number of hops the payload will be reduced.

11985 If the parent router receives an APS Relay command from a joiner that would exceed the max MTU when NWK  
11986 Encryption is applied, the parent router SHALL drop the message and no further processing SHALL be done.

11987 When encapsulating a message inside an APS Relay command the Trust Center SHALL take into consideration the  
11988 following overhead when calculating the maximum size of the message within the APS relay command.

11989 1. Overhead of the APS Relay command itself

11990 2. Overhead of NWK Auxiliary Security Header and NWK Auxiliary Security Header MIC

11991 3. The size of any source routing overhead in the NWK Header if source routing is used.

11992 If an APS Datagram to be relayed is greater than the max MTU then it SHALL be fragmented, and the fragments  
11993 SHALL be put inside APS Relay messages. Both Trust Center and Joiner are required to support fragmentation starting  
11994 with R23.

11995 If an APS Command to be relayed is greater than the max MTU then it SHALL be dropped. No APS command for  
11996 this specification will exceed the max MTU when relayed.

11997 When sending APS Acknowledgements for relayed message fragments, the APS Acknowledgements SHALL also be  
11998 sent within APS Relay Commands.

11999 Figure 4-38 and Figure 4-39 show how fragments are relayed inside the APS Command. This is the last hop between  
12000 router parent and joiner/rejoiner, with no network encryption.



12001

12002

**Figure 4-38. Relay Frame with Fragmentation to the Parent Router**

12003

12004

**Figure 4-39. Relay Frame with Fragmentation to the Joiner**

#### 4.6.3.7.11 Rules for Processing Relay Commands for already joined devices

This section applies to devices that are NOT currently joining or rejoining the network. This section details the rules for forwarding and processing relay messages.

Upon receipt of an APS\_CMD\_RELAY\_MESSAGE\_DOWNSTREAM the router SHALL do the following.

1. If the message was not NWK encrypted it SHALL be dropped and no further processing SHALL be done.
2. If the message is not from the Trust Center it SHALL be dropped and no further processing SHALL be done.
3. Execute the General Processing Rules in Annex I. If the result indicates an error, then the message SHALL be discarded and no more processing SHALL be done.
4. If the message does not contain the Relay Message TLV then it SHALL be discarded, and no more processing SHALL be done.
5. Examine the Destination EUI64 in the Relay Message TLV.
  - a. If there is no entry in the *nwkNeighborTable* with an Extended Address matching the Destination EUI64 then the message SHALL be dropped, and no further processing SHALL be done.
  - b. If a matching entry is found and the Relationship is 0x05, unauthorized child, then the Trust Center has given permission for relay to occur. The device SHALL do the following:
    - i. Perform an NLME-SET.request on the *nwkNeighborTable* for that entry. Set the Relationship status to 0x06, unauthorized child with relay allowed.
    - ii. If a matching entry is found and the Relationship is neither 0x05 (unauthorized child) nor 0x06 (unauthorized child with relay allowed), discard the message and no further processing SHALL be done.
    - iii. Update the security timer for how long the device is allowed to be an unauthorized neighbor. The router SHALL do the following:
      - i. Perform an NLME-SET.request on the *nwkNeighborTable* for that entry, set the SecurityTimer field to *apsSecurityTimeOutPeriod*.
      - ii. Transmit the data in the message Message to be Relayed field of the Relay Message TLV to the device associated with the *nwkNeighborTableEntry*. Create a new APS Command Header with Command ID APS\_CMD\_RELAY\_MESSAGE\_DOWNSTREAM with a new APS sequence number generated by the parent router. Append the complete Relay Message TLV from the received APS\_CMD\_RELAY\_MESSAGE\_DOWNSTREAM command frame. Generate an NLME-DATA.request with the new APS Command header and the APS\_CMD\_RELAY\_MESSAGE\_DOWNSTREAM message.

12034 Upon receipt of an APS\_CMD\_RELAY\_MESSAGE\_UPSTREAM the router or coordinator router SHALL do the  
12035 following:

12036 1. If the device is a router and the message was NWK encrypted it SHALL be dropped and no further processing  
12037 SHALL be done. Routers SHALL ignore these messages from other devices on the network.

12038 2. If the outer APS command was APS encrypted it SHALL be dropped and no further processing SHALL be done.

12039 3. Execute the General TLV Processing Rules in Annex I. If the result indicates an error, then the message SHALL  
12040 be discarded and no more processing SHALL be done.

12041 4. If the message does not contain the Relay Message TLV then it SHALL be discarded, and no more processing  
12042 SHALL be done.

12043 5. Examine the Source EUI64 in the Relay Message TLV.

12044 a. If there is no entry in the *nwkNeighborTable* with an Extended Address matching the Source EUI64 then the  
12045 message SHALL be discarded and no further processing SHALL be done.

12046 b. If a matching entry is found and the Relationship is not 0x06, unauthorized child with relay allowed, then the  
12047 message SHALL be dropped, and no further processing SHALL be done.

12048 c. Send the entire received Relay Message TLV to the Trust Center. Create a new APS Command Header with  
12049 Command ID APS\_CMD\_RELAY\_MESSAGE\_UPSTREAM, with a new APS sequence number generated  
12050 by the parent router. Append the complete Relay Message TLV from the received APS\_CMD\_RELAY\_MESSAGE\_UPSTREAM command frame. The device SHALL then execute an NLDE-DATA.request with the following parameters. If the device is the Coordinator this will result in a loopback message.  
12051

12052 i. The NDSU SHALL be the new APS Relay Upstream Command including APS Header.

12053 ii. DstAddrMode SHALL be set to 0x02, 16-bit network address.

12054 iii. DstAddr SHALL be set to 0x0000.

12055 iv. SecurityEnable SHALL be set to TRUE.

12056 v. UseAlias SHALL be set to FALSE.

12057 It is important to note that unknown TLVs in the APS Command Relay Upstream are NOT relayed by the parent  
12058 router. Only known TLVs described in this section are relayed to the TC or to the Joiner. The only known TLV in this  
12059 specification revision is the Relay Message TLV. All unknown TLVs inside the APS Command Relay Downstream  
12060 and APS Relay Command Upstream are dropped by the parent router. If Trust Center and Joiner wish to include newer  
12061 TLVs unknown to the parent router they must be put inside the message that is embedded within the Relay Message  
12062 TLV. TLVs inside the Relay Message TLV SHALL not be parsed by the parent router and passed thru in their entirety.  
12063

#### 12064 **4.6.3.7.12 Rules for Processing Relay Commands for Joining or Rejoining De-** 12065 **vices**

12066 Devices joining or rejoining the network need to protect against unencrypted messages they receive during their at-  
12067 tempt to get onto, or back onto, the network. Filtering the messages is key part of this protection.

12068 Devices joining or rejoining the network SHALL only accept APS Relay Downstream Commands, and APS Transport  
12069 Key commands from their router parent that are *unencrypted* at the network layer.

12070 Before a link key has been successfully negotiated the stack SHALL not pass up messages to the application layer.

#### 12071 **4.6.3.7.13 Device Interview Timeouts and Exiting Device Interviews**

12072 After a key has been negotiated, but before the device has received APS Transport Key command, there is a period of  
12073 message exchange known as the Device Interview. The Trust Center decides whether this step is performed. During  
12074 the Device Interview the stack SHALL reject messages inside the Relay Message TLV that do not have APS encryp-  
12075 tion with the newly negotiated key.

12076 The stack SHALL pass up APS encrypted application layer messages to the application layer for processing during  
12077 the device interview.

12078 During the Device Interview the application layer MAY restrict the application layer messages that are allowed to be  
12079 sent or received. It could do this, for example, by restricting the cluster ID of messages inside the Relay Message TLV  
12080 of the APS Relay Downstream and APS Relay Upstream commands. Again, these messages are still required to be  
12081 APS encrypted.

12082 Inter-PAN messages are not permitted during device interview process. If received, they SHALL be dropped. Mes-  
12083 sages that do not have Delivery Mode of Normal Unicast Delivery SHALL be dropped. The ZDO messages are nor-  
12084 mally NWK encrypted only. During device interview , these messages SHALL be APS encrypted. If these are NWK  
12085 encrypted, they SHALL be dropped.

12086 The following client requests are allowed during device interview, the destination addressing SHALL be unicast only.

- 12087 • NWK\_addr\_req
- 12088 • IEEE\_addr\_req
- 12089 • Node\_Desc\_req
- 12090 • Power\_Desc\_req
- 12091 • Simple\_Desc\_req
- 12092 • Active\_EP\_req
- 12093 • Match\_Desc\_req

12094 All other ZDO requests shall be dropped and it is permissible to send a NOT SUPPORTED response for everything  
12095 that is not allowed during device interview.

12096 The Device Interview period is complete once the Trust Center chooses to allow the device on the network and sends  
12097 the APS Transport Key command, or the Trust Center chooses to reject the joining/rejoining device. Once the Device  
12098 Interview period is complete and the device is on the network the application's normal rules for processing messages  
12099 will apply.

12100 Before establishing a TCLK the Joining Device and parent router use the apsSecurityTimeOut to determine how long  
12101 to wait for a message before giving up and removing all entries in the neighbor table. After Establishing the TCLK  
12102 the joiner and the trust center operate on a “rolling timeout” that periodically refreshes on device interview stack  
12103 events.

12104 Trust center refreshes the timeout for a joining device by apsDeviceInterviewTimeoutPeriod whenever it generates a  
12105 downstream request.

#### 12106 **4.6.3.7.14 Device Interview Timeouts and Exiting Device Interviews**

12107 Parent router device refreshes the timeout for a joining child by apsDeviceInterviewTimeoutPeriod whenever there is  
12108 a downstream relay command with network encryption for one of its joining children.

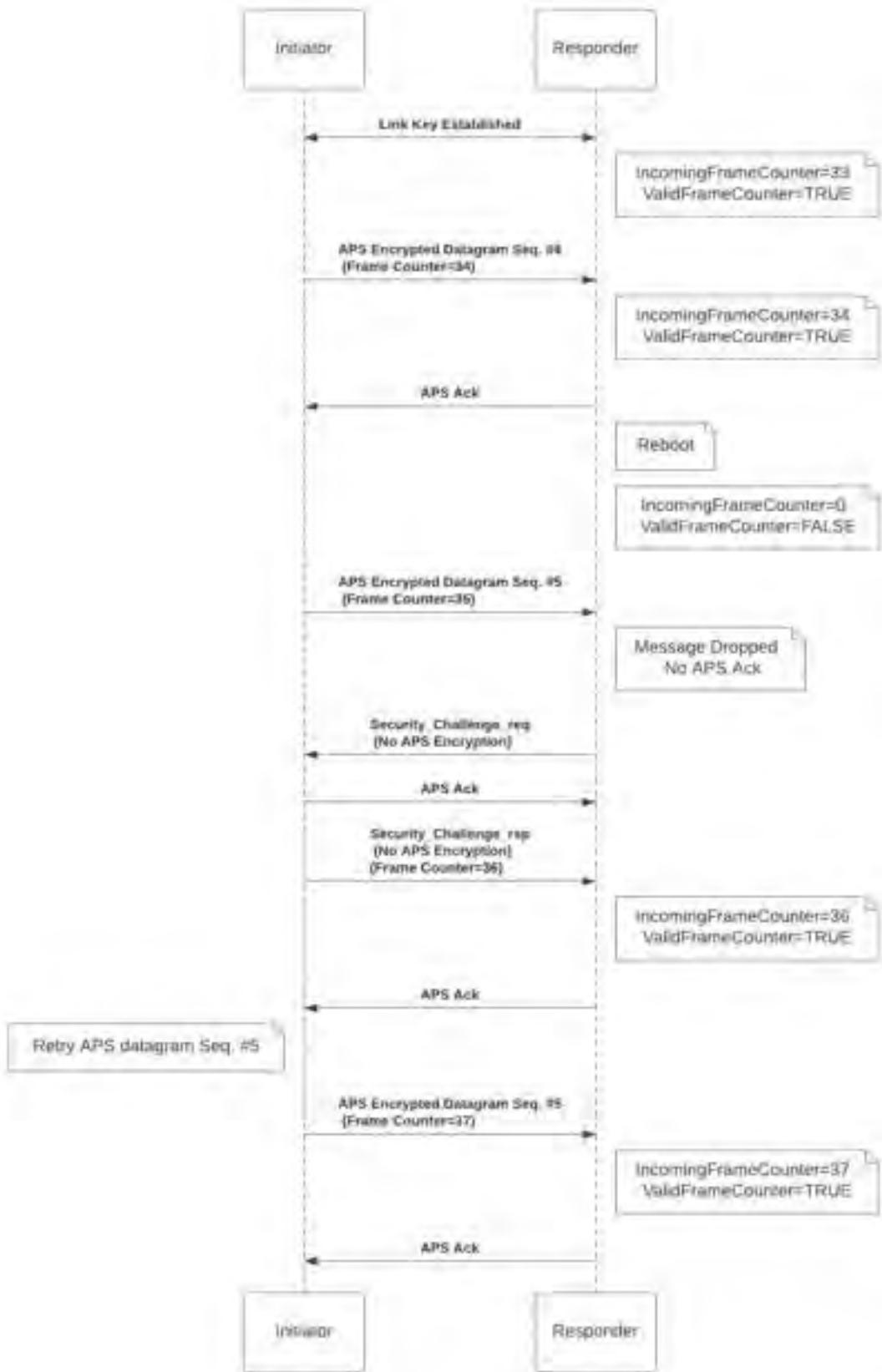
12109 Joiner refreshes its timeout by apsDeviceInterviewTimeoutPeriod when it receives a downstream relay command with  
12110 a relay payload encrypted with the negotiated TCLK.

12111 If a device interview session is quiet for apsDeviceInterviewTimeoutPeriod and the TC has not sent the APSME  
12112 Transport Key command with the Network Key to the joining device the joining device will fail the joining process.  
12113 If the session apsDeviceInterviewTimeoutPeriod for the joining device expires on the TC and Parent router, without  
12114 receiving network encrypted traffic from the joiner, the Device Interview session will be closed. The TC will clear the  
12115 associated apsDeviceKeyPairTable entry and notify the higher layer with a SECURITY\_FAIL (0xAD) error. the join-  
12116 ing device will need to issue a separate nwk commissioning join request to establish a new TCLK.

#### 12117 **4.6.3.8 APS Frame Counter Verification**

12118 All devices implementing Revision 23 of this specification SHALL support enforcement of verified APS frame coun-  
12119 ters. A verified frame counter is where the receiver of an APS encrypted frame has knowledge of a recent frame  
12120 counter that was successfully received.

- 12121 Under certain circumstances a device can lose track of the latest APS frame counter of the corresponding device in  
12122 the apsDeviceKeyPair entry. When this occurs, the device SHALL set VerifiedFrameCounter to FALSE for the cor-  
12123 responding entry of the apsDeviceKeyPairSet.
- 12124 One example of losing track of the latest frame counter is after a reboot. After reboot a device commonly sets the  
12125 IncomingFrameCounter for all apsDeviceKeyPairSet entries to 0.
- 12126 Since a device does not know how long it has been down after a power cycle, it is required to verify the frame counter  
12127 for other devices it shares a link key with. After reboot, all entries in apsDeviceKeyPairSet SHALL set VerifiedFrame-  
12128 Counter to FALSE.
- 12129 If the result of the Security Processing of Incoming Frames is UNVERIFIED\_FRAME\_COUNTER then the received  
12130 message is dropped. Frame Counter synchronization SHALL be initiated to the sender of the message by following  
12131 the procedure in section 4.6.3.8.1, subject to rate limiting as described in section 4.6.3.8.1.
- 12132 Figure 4-40 illustrates the general flow of how this will work.



12133

12134

**Figure 4-40. APS Frame Counter Synchronization**

12135 Table 4-41 indicates when to set the Verified Frame Counter Sub-field. Sending devices SHALL use this when deter-  
 12136 mining what value to set.

12137

**Table 4-41. Setting for Verified Frame Counter Field based on APS Frame Type**

Description	APS Frame Type Field (b1 b0)	ACK Format Field
APS Datagram	00 (Data)	1
APS Command	01 (Command)	0
ACK to Datagram	10 (ACK)	1
ACK to Command	10 (ACK)	0

12138 When the *apsDeviceKeyPairSet* entry indicates *VerifiedFrameCounter* is FALSE and the Frame Counter Synchroniza-  
 12139 tion bit in the Features & Capabilities bitmap = '1', frame counters from received APS encrypted messages SHALL  
 12140 not be stored. Legacy devices will not have Frame Counter Synchronization bit in the Features & Capabilities bitmap  
 12141 = '1', and as a result the incoming frame counter for APS encrypted messages MAY be stored. The rules for processing  
 12142 incoming messages are detailed in section 4.4.1.2.

12143 It is permissible for a device to proactively synchronize unverified frame counters prior to receiving an APS encrypted  
 12144 message that would trigger synchronization. This could be done by periodically examining its *apsDeviceKeyPairSet*  
 12145 for entries where *VerifiedFrameCounter* is FALSE and following the procedure in section 4.6.3.8.1.

12146 After a device initially joins a network by receiving an APS Encrypted Transport Key command, it SHALL set the  
 12147 *VerifiedFrameCounter* to TRUE and the *IncomingFrameCounter* field to the frame counter value for the received APS  
 12148 Transport Key Command. Synchronization is not necessary because there are no previous messages that can be re-  
 12149 played to the device.

12150 If a device rejoins a network, it SHALL NOT change the state of *VerifiedFrameCounter* for its *apsDeviceKeyPairSet*  
 12151 entries. A rejoining device initiates frame counter synchronization based on subsequent APS encrypted messages it  
 12152 receives and the state of its *apsDeviceKeyPairSet*, as described previously in this section.

12153

#### 4.6.3.8.1 Initiating a Challenge

12154 When a device determines it needs to send an APS Frame counter challenge it SHALL do as follows.

- 1) Generate an 8-byte random challenge value.
- 2) Construct an APS Frame Counter TLV with the Sender's EUI64 and the random value.
- 3) Store the challenge in *apsChallengeValue* of the AIB
- 4) Store the target EUI64 of the challenge in the *apsChallengeTargetEui64*.
  - a) This SHALL be the same value as the DeviceAddress of the associated *apsDeviceKeyPairSet* entry.
- 5) Set the *apsChallengePeriodRemainingSeconds\_* of the AIB to *\_apsChallengePeriodTimeoutSeconds*.
- 6) Start a timer that decrements *apsChallengePeriodRemainingSeconds\_* every second.
- 7) Unicast the ZDO Security\_Challenge\_req to the *apsChallengeTargetEui64*.
  - a) The message SHALL NOT apply APS encryption.

12163

12164

12165 Implementations MAY provide contextual storage for apsChallengeTargetEUI64 to allow multiple challenge re-  
12166 quests to different targets simultaneously.

#### 12167 **4.6.3.8.2 Challenge Nonce and Key**

12168 To generate the challenge response the responder SHALL construct a nonce value as follows:

12169 a) The Source EUI-64 set to the EUI-64 of the device originating the challenge response frame.

12170 b) The frame counter field set to apsChallengeFrameCounter.

12171 c) The security control frame set to reflect security level 2: No encryption, 64-bit MIC.

12172 The responder SHALL then increment apsChallengeFrameCounter by one. apsChallengeFrameCounter is reset to 0  
12173 whenever the APS outgoing frame counter for that key is incremented, and upon reboot.

12174 The AES-CCM-128 key for the challenge response is set to the link-key, where bytes 0, 4, 8, and 12 are XORed with  
12175 the bytes 0, 1, 2, and 3 of the 32-bit APS outgoing security frame counter assuming a little-endian in-memory repre-  
12176 sentation of that value. For example, if the APS outgoing security frame counter was 0x11223344, and stored in  
12177 memory as { 0x44, 0x33, 0x22, 0x11 }, and the APS key was C0:C1:C2:C3:C4:C5:C6:C7:C8:C9:CA:CB:CD:CE:CF,  
12178 the resulting AES-CCM-128 key for the challenge response would be calculated as:  
12179 84:C1:C2:C3:F7:C4:C5:C6:C7:EA:C9:CA:CB:DC:CE:CF. This allows multiple challenges for the same outgoing se-  
12180 curity frame counter to be answered without reusing the nonce for the same key.

12181 Initiator and responder use the same approach to derive the symmetric AES-128-CCM key for the challenge/response  
12182 exchange.

#### 12183 **4.6.3.8.3 Computing the AES-CCM-128 MIC for a Challenge**

12184 To compute the AES-CCM-128 MIC for a particular combination of link-key, corresponding outgoing security frame  
12185 counter, and challenge, the initiator and responder execute the CCM\* authentication scheme as specified in Annex A  
12186 applying security level 2: No Encryption, 64-bit Message Integrity Code (MIC). The CCM\* procedure is executed  
12187 with the following parameters:

12188 1) a = the octet string that represents the Frame Counter Challenge TLV, including tag-ID and length fields, and the  
12189 current APS frame counter, in little-endian representation

12190 2) m = { } (empty octet string)

12191 3) N = nonce as determined in section 4.6.3.8.2.

12192 4) Key as determined in section 4.6.3.8.2.

#### 12193 **4.6.3.8.4 Responding to a Challenge**

12194 1) Construct an APS Frame Counter Response TLV with the following:

12195 a) The local device EUI64 value as the Sender EUI64 field.

12196 b) The random value in the received APS Frame Counter Challenge TLV.

12197 c) The current value of the OutgoingFrameCounter for the corresponding *apsDeviceKeyPairSet* entry.

12198 d) The value of the apsChallengeFrameCounter that was used to calculate the AES-CCM-128 MIC for the re-  
12199 sponse.

12200 e) The AES-CCM-128 MIC that was calculated for the particular combination for challenge, link-key and out-  
12201 going security frame counter.

12202 2) Unicast the ZDO Security\_Challenge\_rsp to the Sender EUI64 value of the APS Frame Counter Challenge TLV.

12203 a) Set the Status to SUCCESS.

12204 b) Do not apply APS encryption.

#### 12205    4.6.3.8.5    **Validating the Response to a Challenge**

12206 On receipt of a message containing the APS Frame Counter Response TLV, do the following.

12207 1) Validate the Responder MIC field:

12208      a) Create the Responder Challenge Key value as described in section 4.6.3.8.2.

12209      b) Execute AES-CCM algorithm described in section .

12210      c) Compare the calculated MIC to the value of the Responder MIC field.

12211            i) If they do not match, no further processing SHALL be done.

12212                (1) If they do match set the corresponding apsDeviceKeyPairSet\_ entry as follows:

12213                    a) Set the IncomingFrameCounter to the APS Frame Counter value of the TLV.

12214                    b) Set the VerifiedFrameCounter to TRUE.

### 12215    4.7    **Security Operations in Centralized Security Networks** ---

12217 The security services provided here offer a range of options within a Zigbee network. For interoperable and consistent  
12218 field behavior, a more defined set of policies and processes is defined here. The basis for these operations is that the  
12219 device forming a network can establish security policies believed appropriate for the network and that a joining device  
12220 will acknowledge and use the policies in place in the network. Joining is therefore based on the forming device setting  
12221 policies within the allowed settings in this section and the joining device having the appropriate flexibility to adapt to  
12222 these settings.

#### 12223    4.7.1 **Trust Center Policies** ---

12224 The Trust Center is a critical security component in a Zigbee network. The policies that the Trust Center puts in place  
12225 control what devices get on the network and how they do so in a secure manner. Security is not an end unto itself but  
12226 a means to establish a reasonable level of protection of the application and data that is being transmitted across the  
12227 Zigbee network. Often an increase in security increases the overhead in management, requires additional time and  
12228 functional states while security is negotiated, and can detract from a user experience by requiring them to go through  
12229 additional steps that seem unnecessary. Therefore a balance SHALL be struck between the hardening the network  
12230 against attacks and the ability to use the network for the applications it was intended for.

12231 It is important to understand the security decisions that are being made in the network and the design of the Trust  
12232 Center application is at the heart of those decisions. This section presents the options and settings for the Trust Center  
12233 and requires a series of choices to be set on network start up.

#### 12234    4.7.2 **Trust Center Link Keys** ---

12235 Support for link keys SHALL be required for all devices. Link keys offer an additional level of security for devices to  
12236 be able to send messages with end-to-end security instead of just with the hop-by-hop security provided by network  
12237 encryption.

12238 In addition, link keys are crucial for providing a simple authorization mechanism. The Trust Center can send devices  
12239 a copy of the network key that is intended only for a specific device using that device's link key to encrypt the message.

#### 12240    4.7.2.1    **Trust Center Passphrase Updates**

12241 When a device is initially added to the apsDeviceKeyPairEntrySet the PassphraseUpdateAllowed is set to TRUE.  
12242 After successfully joining and negotiating a link key the device is required to update its authentication token

12243 (symmetric passphrase). After update, the PassphraseUpdateAllowed field for that device's entry is set to FALSE and  
 12244 is never set back to TRUE. The only way to change the passphrase at that point is to administratively remove the  
 12245 apsDeviceKeyPairEntrySet on the Trust Center and perform a fresh join to the network for that device.

12246 Future revisions of this specification may expand the set of authentication token types and will need to describe how  
 12247 those tokens are deployed and the interaction with the existing token type.

### 12248 4.7.3 Trust Center Policy Values

12249 The following is a list of configuration values that relate to the Trust Center's policy decisions that are part of the  
 12250 security related AIB in Table 4-35. They will be used to describe requirements for dictating the network security  
 12251 policies. The trust center can use these policies to create higher or lower sets of security and controls on the network.  
 12252 For example:

- 12253 • A system can be set up with centralized security such that any device can join the network. In such a permissive  
 12254 network, trust center link keys are still updated from the global value used initially for joining.
- 12255 • A system can also be set up with trust center policies that only allow known devices. A user SHALL then install  
 12256 the IEEE address and a link key for the new device into the trust center prior to the device joining. This could  
 12257 be done using install code based keys. This validates to the joining device that it is on a network that knows its  
 12258 identity during the joining process. The trust center in this network can also update the trust center link keys of  
 12259 joining devices so secure key updates and rejoining can be conducted during the lifetime of the network.

12260 Table 4-42 describes the Trust Center policy values trustCenterPolicies of the AIB and their usage.

12261

**Table 4-42. Trust Center Policy Values**

Attribute	ID	Type	Range	Description	Usage
allowJoins	0xad	Bool- ean	TRUE or FALSE	This boolean indicates whether the Trust Center is currently allowing devices to join the network. A value of TRUE means that the Trust Center is allowing devices that have never been sent the network key or a trust center link key, to join the network.	This is set to FALSE in centralized security networks that do not want to allow new devices on the network.
requireIn- stall- CodesOrP- resetPass- phrase	0xaf	enum- eration	0x00 – 0x10	This enumeration indicates if the Trust Center requires install codes to be used with joining devices.  0x00 – do not support Install Codes  0x01 – Support but do not require use of Install Codes or preset passphrases  0x02 – Require the use of Install Codes by joining devices or preset Passphrases	This is set to 0x02 if the trust center requires install codes in new devices performing symmetric key joins or preset passphrases for key negotiations.  Trust Centers that support setting 0x01 or 0x02 SHALL provide a user interface or out of band means to input the Install Code.
allow- RejoinsWi- thWellK- nownKey	0xb6	Bool- ean	TRUE or FALSE	This value indicates if the trust center allows rejoins using well known or default keys. A setting of FALSE means rejoins are only allowed with trust center link keys where the KeyAttributes of	By default, this attribute shall be set to FALSE. A higher-level Trust Center

<b>Attribute</b>	<b>ID</b>	<b>Type</b>	<b>Range</b>	<b>Description</b>	<b>Usage</b>
				the <i>apsDeviceKeyPairSet</i> entry indicates VERIFIED_KEY.	policy may change the value. <sup>10</sup>
<i>allow-TrustCenterLinkKeyRequests</i>	0xb7	Enumeration	0x00 – 0x02	This value controls whether devices are allowed to request a Trust Center Link Key after they have joined the network. It MAY have the following values: 0x00 – never 0x01 – any device MAY request 0x02 – Only devices in the <i>apsDeviceKeyPairSet</i> with a KeyAttribute value of PROVISIONAL_KEY MAY request.	This is set to 0x00 in networks with higher level protocols for establishing link keys. This is set to either 0x01 or 0x02 in centralized security networks.
<i>network-KeyUpdatePeriod</i>	0xb9	Integer	0x00000000 – 0xFFFFFFFF	The period, in minutes, of how often the network key is updated by the Trust Center. A period of 0 means the Trust Center will not periodically update the network key (it MAY still update key at other times).	This is used in the Trust Center of centralized security networks to establish the network key update period. When this time is up the Trust Center updates the network key.
<i>network-KeyUpdateMethod</i>	0xba	Enumeration	0x00 – 0x01	This value describes the method the Trust Center uses to update the network key. 0x00 – Broadcast using only network encryption 0x01 – Unicast using network encryption and APS encryption with a device's link key.	This is used in centralized security networks to establish the policy for updating the network key.
<i>allowApplicationKeyRequests</i>	0xbb	Enumeration	0x00 – 0x02	This value determines how the Trust Center SHALL handle attempts to request an application link key with a partner node. 0x00 – never 0x01 – Any device MAY request an application link key with any device (except the Trust Center) 0x02 – Only those devices listed in <i>applicationKeyRequestList</i> MAY request and receive application link keys.	This is used in centralized security networks to establish the Trust Center policy on providing Application Link keys between devices on the network. It is normally set to 0x01 allowing any device to request a link key with another device to support those applications that want to encrypt application payload.

<sup>10</sup> CCB 2446

Attribute	ID	Type	Range	Description	Usage
<i>applicationKeyRequestList</i>	0xbc	List of address pairs	Variable	This is a list of IEEE pairs of devices, which are allowed to establish application link keys between one another. The first IEEE address is the initiator, the second is the responder. If the responder address is set to 0xFFFFFFFFFFFFFF, then the initiator is allowed to request an application link key with any device. If the responder's address is not 0xFFFFFFFFFFFFFF, then it MAY also initiate an application link key request. This list is only valid if <i>allowApplicationkeyRequests</i> is set to 0x02.	This list is normally not used in centralized security networks unless the Trust Center policy restricts those devices allowed to request link keys.
<i>allowRemoteTcPolicyChange</i>	0xbd	Boolean	TRUE or FALSE	This policy indicates whether a node on the network that transmits a ZDO Mgmt_Permit_Join with a significance set to 1 is allowed to effect the local Trust Center's policies.	
<i>allowVirtualDevices</i>	0xbe	Boolean	TRUE or FALSE	This Boolean indicates whether the Trust Center is currently allowing Zigbee Direct Virtual Devices (ZVDs) to join the network. A value of TRUE means that the Trust Center is allowing such devices.	This is set to FALSE in centralized security networks that do not want to allow Zigbee Direct Virtual devices on the network.

#### 12262      4.7.3.1     Allowing Devices to Join using Symmetric Key

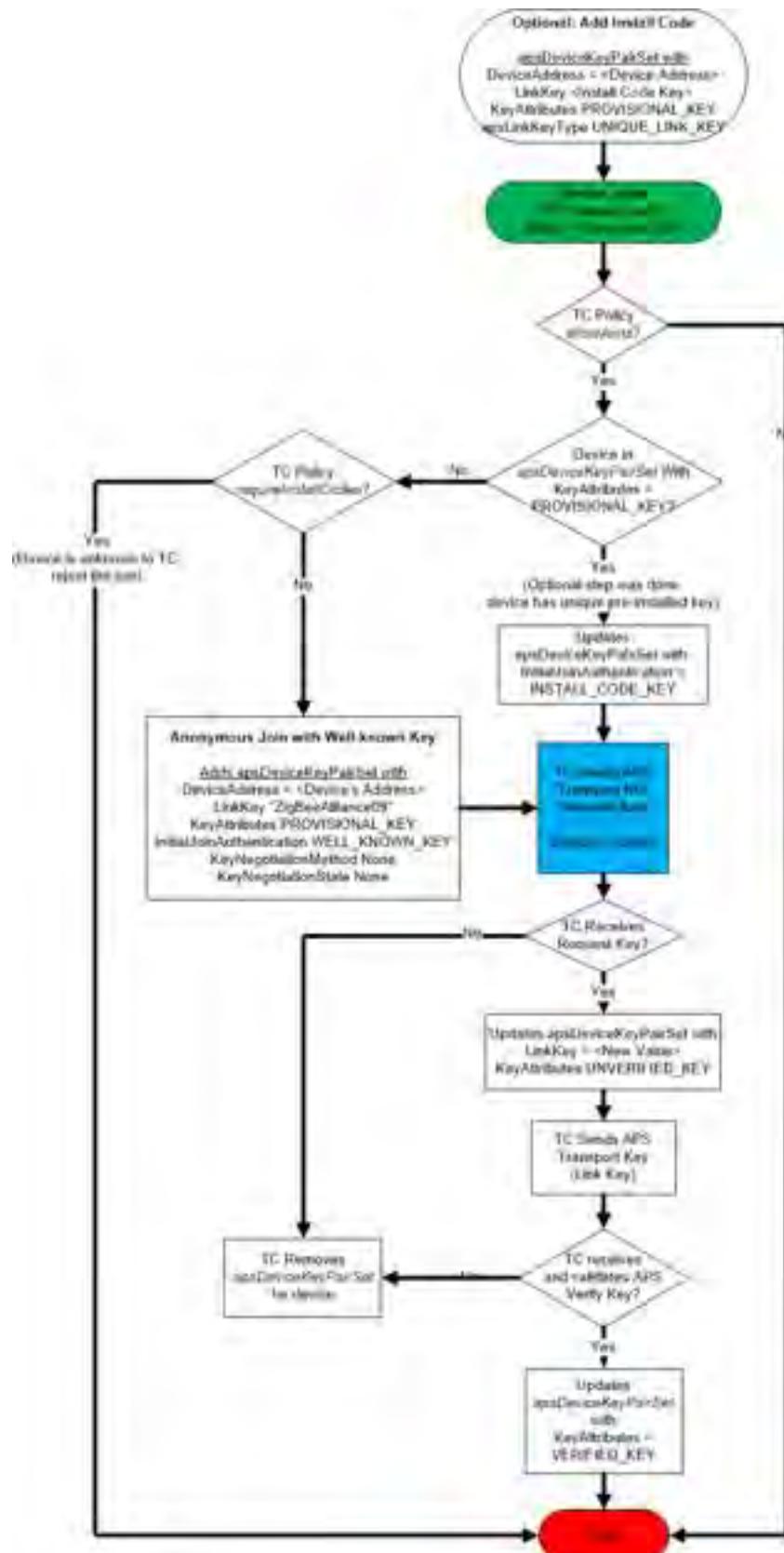
12263    As an optional first step, the Trust Center MAY provide a means to enter in the new device and its associated Install  
 12264    Code into the *apsDeviceKeyPairSet*. This will then provide a means for the device to perform an authenticated joining.  
 12265    The *apsDeviceKeyPairSet* entry is setup as follows:

- 12266    •    DeviceAddress = <New Device Address>
- 12267    •    LinkKey = <Install Code derived key>
- 12268    •    Passphrase = <Install Code derived key>
- 12269    •    KeyAttributes = PROVISIONAL\_KEY
- 12270    •    *apsLinkKeyType* = Unique
- 12271    •    InitialJoinAuthentication = INSTALL\_CODE\_KEY

12272    If the Trust Center receives notification that a device is joining the network via the APSME-UPDATE-DEVICE.indication with the Status field set to Standard Device Unsecured Join (0x01), the following procedure SHALL be performed:

- 12275    1.    If *allowJoins* is set to FALSE, the following SHALL be done.
  - 12276    a.    The Trust Center SHALL proceed to the process of rejecting the join described in section 4.7.3.6. No further processing SHALL be done.

- 12278    2. Search the apsDeviceKeyPairSet for an address that matches the IEEE of the joining device.
- 12279    a. If none is found and the TC Policy requireInstallCodesOrPresetPassphrase is 0x02, do the following:
- 12280        i. The TC SHALL proceed to the process of rejecting the join described in section 4.7.3.6. No further  
12281        processing SHALL be done.
- 12282        b. Otherwise, add an apsDeviceKeyPairSet entry for the new device with the following properties:
- 12283            i. DeviceAddress = <New Device Address>
- 12284            ii. LinkKey = “ZigbeeAlliance09”
- 12285            iii. Passphrase = “Value of *apscWellknownPSK*”
- 12286            iv. KeyAttributes = PROVISIONAL\_KEY
- 12287            v. apsLinkKeyType = Global
- 12288            vi. InitialJoinAuthentication = NO\_AUTHENTICATION
- 12289    3. The device has been authorized for admission to the network and the following SHALL be performed.
- 12290        a. Generate an APSME-TRANSPORT-KEY.request primitive with the following parameters.
- 12291            i. Set the DestAddress to the DeviceAddress of the APSME-UPDATE-DEVICE.indication.
- 12292            ii. Set the StandardKeyType to Standard Network Key (0x01).
- 12293            iii. Set the TransportKeyData to the Key field of the active network key entry in the nwkSecurityMaterialSet  
12294            NIB attribute.
- 12295    Figure 4-41 shows the process for device joins using a symmetric key.
- 12296    The VerifiedFrameCounter field in the apsDeviceKeyPairSet is updated under following situations:
- 12297    1. VerifiedFrameCounter is set to FALSE for all entries of apsDeviceKeyPairSet when the local device is reset.  
12298        This is to indicate that the incoming frame counter is now un-reliable for the remote device and a frame counter  
12299        synchronisation is required.
- 12300    2. VerifiedFrameCounter is set to TRUE for the Trust Center on the joining device when the APS Encrypted  
12301        Transport Key command is received.
- 12302    3. VerifiedFrameCounter is set to TRUE when a confirm key frame is generated with a status of SUCCESS for a  
12303        remote node after receiving a verify key.
- 12304    4. VerifiedFrameCounter is set to TRUE when a confirm key frame of SUCCESS is received from the remote  
12305        node.



12306

12307

Figure 4-41. Trust Center Processing for Initial Join Using Symmetric Key

### 12308      **4.7.3.2     Allowing Devices to Rejoin**

12309    A device can rejoin the network at any time for various reasons. When the rejoin is not secured at the Network Layer,  
12310    the device will need a copy of the active network key in order to communicate on the network. That key MUST be  
12311    encrypted with a key that is unique to the device and not global. This is done to avoid exposing the network key to  
12312    unauthorized devices.

12313    For distributed networks without a Trust Center, devices SHALL NOT perform a rejoin without security at the Net-  
12314    work Layer. Attempts to do so SHALL be rejected by routers operating in that network.

12315    For centralized security networks, the following additional rules apply.

12316    If the Trust Center receives notification that a device is joining the network via the APSME-UPDATE-DEVICE.indi-  
12317    cation with the Status field set to Standard Device Trust Center Rejoin (0x03) or Standard Device Secure Rejoin  
12318    (0x00), the following procedure shall be performed:

- 12319    1. If the Status is 0x00, consult the next higher level to determine whether the device is authorized to be on the  
12320    network. If not, execute the procedure in section 4.7.3.6. Otherwise, no further action is required to allow the  
12321    device to rejoin the network.
- 12322    2. If the Status is 0x03, determine the Trust Center Link Key in use by the device. Lookup the device in the  
12323    apsDeviceKeyPairSet Table and examine whether the entry has apsLinkKeyType set to 0x00, Unique Link Key.  
12324    If it is, consult the next higher level to determine whether the device is authorized to be on the network. If no  
12325    entry is found or the apsLinkKeyType is not set to 0x00, Unique Link Key, the rejoin SHALL be rejected. If the  
12326    rejoin is rejected or the device is not authorized to be on the network, follow the procedure in section 4.7.3.6.

12327    The next higher layer MAY have further rules for determining what devices are authorized to be on the network.  
12328    Alternatively it MAY rely on the stack to determine this based solely on the apsDeviceKeyPairSet table data.

### 12329      **4.7.3.3     Allowing Devices to Join Using Key Negotiation**

12330    As an optional first step, the Trust Center MAY provide a means to enter an Install Code into the apsDeviceKeyPair-  
12331    Set. The apsDeviceKeyPairSet entry is setup as follows:

- 12332    • DeviceAddress = <New Device Address>
- 12333    • LinkKey = <Install Code derived key>
- 12334    • Passphrase = <Install Code derived key>
- 12335    • KeyAttributes = PROVISIONAL\_KEY
- 12336    • apsLinkKeyType = Unique
- 12337    • InitialJoinAuthentication = WELL\_KNOWN\_PASSPHRASE

12338    Note that use of Key negotiation requires that parent routers are R23-compliant, supporting the APS Relay command.  
12339    Routers that do not support this will require the Trust Center to use anonymous joining via the well-known key or an  
12340    install code based symmetric key.

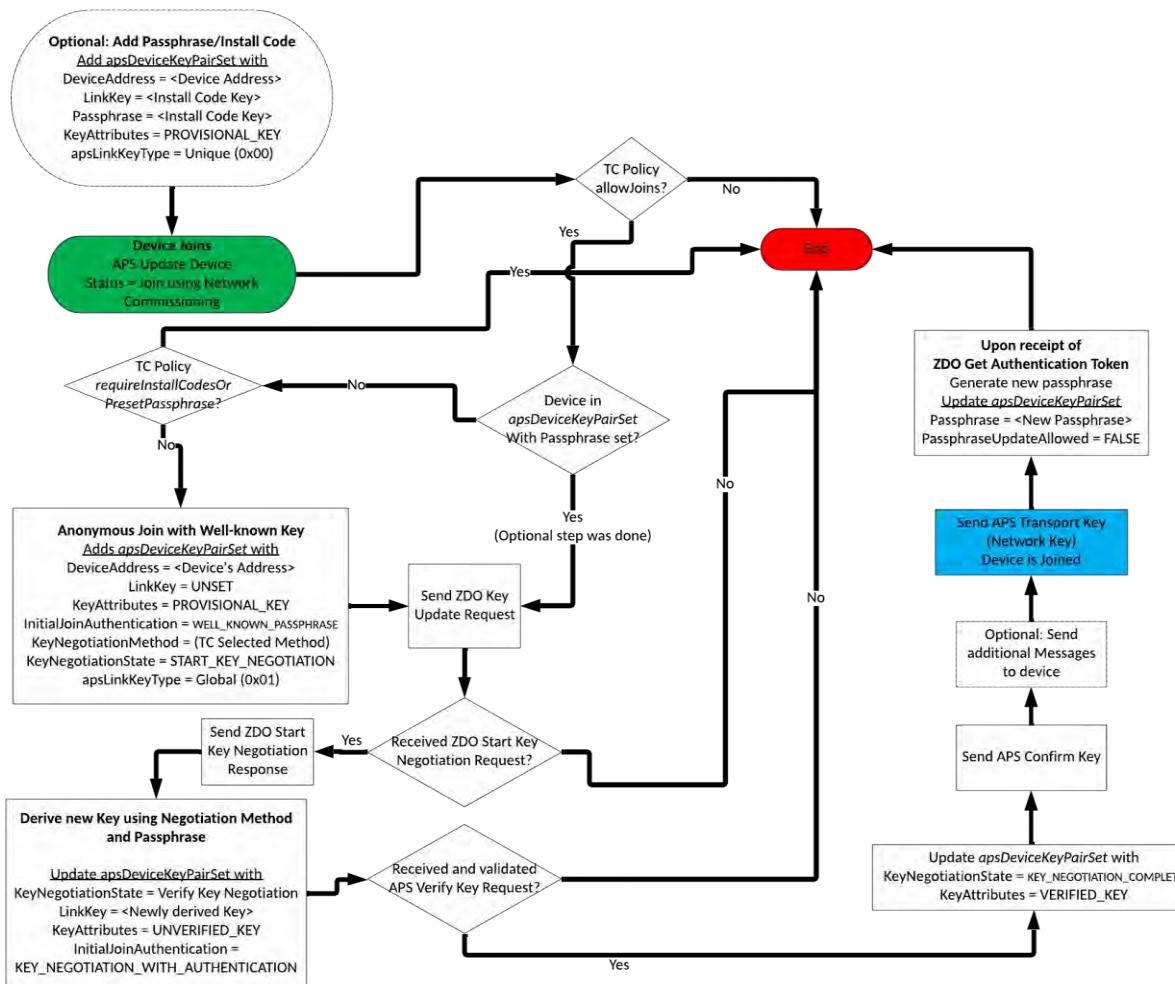
12341    Furthermore, R23 joining devices must indicate their support for Key Negotiation by embedding a Supported Key  
12342    Negotiation Methods TLV inside the Joiner Encapsulation TLV, indicating one or more asymmetric key negotiation  
12343    methods.

12344    If the Trust Center receives notification that a device is joining the network via the APSME-UPDATE-DEVICE.indi-  
12345    cation with the Status field set to Standard Device Unsecured Join and key negotiation support as described above,  
12346    the following procedure SHALL be performed to negotiate a key before joining :

- 12347    1. If allowJoins is set to FALSE, the following SHALL be done.
  - 12348        a. The Trust Center SHALL proceed to the process of rejecting the join described in section 4.7.3.6. No further  
12349        processing SHALL be done.
- 12350    2. Search the apsDeviceKeyPairSet for an address that matches the IEEE of the joining device.

- 12351     a. If none is found and the TC Policy requireInstallCodesOrPresetPassphrase is 0x02, do the following:
- 12352         i. The TC SHALL proceed to the process of rejecting the join described in section 4.7.3.6. No further processing SHALL be done.
- 12354         b. Select the appropriate Key Negotiation Method based on the received Supported Key Negotiation Method TLV and the Trust Center's supported method. Create a Selected Key Negotiation Method TLV.
- 12356         c. Otherwise, add an apsDeviceKeyPairSet entry for the new device with the following properties:
- 12357             i. DeviceAddress = <New Device Address>
- 12358             ii. LinkKey = "ZigbeeAlliance09"
- 12359             iii. Passphrase = "Value of *apscWellknownPSK*"
- 12360             iv. KeyAttributes = PROVISIONAL\_KEY
- 12361             v. apsLinkKeyType = Unique
- 12362             vi. InitialJoinAuthentication = WELL\_KNOWN\_PASSPHRASE
- 12363             vii. KeyNegotiationMethod = (Selected Key Negotiation Method)
- 12364     3. Generate a ZDO Security\_Start\_Key\_Update\_req containing the Selected Key Negotiation Method TLV
- 12365     4. The Trust Center waits for a ZDO Start Key Negotiation Request and sends a ZDO Start Key Negotiation Response.
- 12367         a. If none is received, delete the apsDeviceKeyPairSet entry for that device and no further processing SHALL be done.
- 12369     5. Using the Selected Key Negotiation Methods, the Passphrase in the apsDeviceKeyPairSet entry, execute the corresponding cryptographic method as described in ANNEX J. Set the entry as follows:
- 12371         a. LinkKey = <Derived Key>
- 12372         b. KeyAttributes = UNVERIFIED\_KEY
- 12373         c. KeyNegotiationState = COMPLETE\_KEY\_NEGOTIATION
- 12374     6. Wait for apsSecurityTimeout to receive and validate an APS Verify Key command
- 12375         a. If none is received, delete the apsDeviceKeyPairSet entry for that device and no further processing SHALL be done.
- 12377     7. After validation of the APS Verify Key Command, set the apsDeviceKeyPairSet entry to the following:
- 12378         a. KeyAttributes = VERIFIED\_KEY
- 12379         b. Frame Counter Synchronization bit in the Features & Capabilities bitmap = '1'.
- 12380     8. Generate an APS Confirm Key Command to the device
- 12381     9. OPTIONAL: The Trust Center MAY send or receive additional messages, encrypted at the APS layer with the newly generated key.
- 12383     10. The Trust Center decides whether to allow the device on the network.
- 12384         a. If allowed, the Trust Center SHALL generate an APS Transport Key containing the network key.
- 12385         b. If not allowed, the Trust Center SHALL proceed to the process of rejecting the join described in section 4.7.3.6. No further processing SHALL be done.

12387     Figure 4-42 shows the processing for the Trust Center of an Initial Join using Key negotiation.



12388

12389

Figure 4-42. Trust Center Processing for Initial Join Using Key Negotiation

12390

#### 4.7.3.4 Remote Device Changing Trust Center Policy

12391

In some networks it MAY be permissible for a joined device to request that the Trust Center allow an unjoined device to be commissioned on the network. This can be accomplished through the ZDO Mgmt\_Permit\_Joining\_req sent to the Trust Center with the TC\_Significance field set to 1. Upon receipt of this request, the following procedure SHALL be executed.

12395

1. If allowRemoteTcPolicyChange is set to 0, then the operation SHALL be denied and the status of 0xa3 (ILLE-GAL\_REQUEST) passed back to the ZDO. No further processing SHALL be done.

12397

2. If requireInstallCodesOrPresetPassphrase is set to 0x02, then the operation is invalid and the status of 0xaa (NOT\_SUPPORTED) SHALL be passed back to the ZDO. No further processing SHALL be done.

12399

3. The operation is allowed by the Trust Center and a status of 0x00 (SUCCESS) SHALL be passed back to the ZDO.

12401

When a Trust Center receives a Mgmt\_Permit\_joining\_req where the allowRemoteTcPolicyChange=FALSE, the Trust Center MAY broadcast a Mgmt\_Permit\_joining\_req with permitDuration=0 to close the network and prevent it from advertising that new devices are being accepted.

12404

When the new device requests to join the network the trust center will still process the joining device as described in section 4.7.3.1.

### 12406      **4.7.3.5 Determining the Link Key for Encryption or Decryption by the 12407      Trust Center**

12408 If the Trust Center has determined that a message SHALL be sent with APS encryption or has been received and  
12409 SHALL be decrypted, it SHALL determine what link key to use for the operation. The Trust Center SHALL examine  
12410 the IEEE address of the destination (if encrypting) or source (if decrypting) and search the *apsDeviceKeyPairSet* for  
12411 a matching address entry. If a match is found, it will use the associated link key to APS encrypt or decrypt the message.  
12412 If no matching entry is found then no link key is defined and processing of the message SHALL be stopped. The  
12413 message will not be sent or received because there is no link key that can be used.  
12414 See sections 4.4.1.1 and 4.4.1.2 for outgoing and frame processing respectively.

### 12415      **4.7.3.6 Rejecting the Join or Rejoin**

12416 A join or rejoin is processed via an APSME-UPDATE-DEVICE.indication. Following the decision to reject a join or  
12417 rejoin the following SHALL be done by the Trust Center.

- 12418 1. If the Status of APSME-UPDATE-DEVICE.indication was Standard Device Unsecured Join (0x01) or Standard  
12419 Device Trust Center Rejoin (0x03), the following SHALL be done.
  - 12420 a. The joining or rejoining device does not have the current network key and will be left to timeout.
  - 12421 b. No further processing SHALL be done.
- 12422 2. If the Status of the APSME-UPDATE-DEVICE.indication was Standard Device Secured Rejoin (0x00), the fol-  
12423 lowing SHALL be done.
  - 12424 a. Follow the procedure in section 4.7.3.7.

### 12425      **4.7.3.7 Removing Devices**

12426 The Trust Center has the ability to remove devices in the network via the APS Remove Device command. This mes-  
12427 sage can be used to force well-behaved devices to leave the network. This is useful if the Trust Center determines after  
12428 they have joined that they are not on the correct network or that the device is unable to communicate in a required  
12429 application specific way.

12430 It is important to note that this is not a secure means of removing a device. Once a malicious device has the current  
12431 network key the only way to force it off the network is to distribute a new network key in a manner that prevents the  
12432 malicious device from obtaining the new key. See section 4.7.3.12.

### 12433      **4.7.3.8 Processing Trust Center Link Key Requests**

12434 The Trust Center link key is a crucial element in joining the network when a preconfigured key is in place, or when a  
12435 device attempts to rejoin after a missed network key update. It is also the means by which application keys are estab-  
12436 lished with other devices on the network.

12437 Devices are required to update their Trust Center link key after joining if key negotiation was not used during joining.  
12438 One of the main mechanisms is the Trust Center Link Key Request using the APS Command Request Key. However,  
12439 if a device has negotiated a link key using a different mechanism than APS Command Request Key then the Trust  
12440 Center SHALL reject attempts to update using APS Command Request Key. More secure mechanisms for updating  
12441 the link key SHALL NOT be overridden by a Trust Center Link Key request.

12442 The process in Zigbee for transporting a new link key to the device requires the previous link key as an authentication  
12443 mechanism. In addition it uses APS commands which do not have support for APS retries. As a result it is possible  
12444 for devices to get out of sync with regard to the Trust Center link key currently in use. To avoid this risk the Trust  
12445 Center MAY decide to prohibit requests for new trust center link keys when one is already in place.

12446 The following describes the process when the Trust Center is notified of an APS Request key via the APSME-RE-  
12447 QUEST-KEY.indication with the RequestKeyType set to 0x04 (Trust Center Link Key):

- 12448 1. If the APS Command Request Key message is not APS encrypted, the device SHALL drop the message and no  
12449 further processing SHALL be done.
- 12450 2. The device SHALL verify the key used to encrypt the APS command. If the SrcAddress of the APSME-RE-  
12451 QUEST-KEY.indication primitive does not equal the value of the DeviceAddress of the corresponding  
12452 apsDeviceKeyPairSet entry used to decrypt the message, the message shall be dropped and no further processing  
12453 SHALL be done.
- 12454 3. The Trust Center SHALL examine the KeyNegotiationMethod of the apsDeviceKeyPairSet entry for the corre-  
12455 sponding device and if the value is NOT 0x00 then the request SHALL be silently dropped.
- 12456 4. If the RequestKeyType is set to 0x04, Trust Center Link Key, the following SHALL be performed:
  - 12457 a. If *allowTrustCenterLinkKeyRequests* is 0, then no further processing SHALL be done. The request is silently  
12458 rejected.
  - 12459 b. If *allowTrustCenterLinkKeyRequests* is 1, then the following is performed:
    - 12460 i. Follow the procedure in section 4.7.3.8.1.
    - 12461 c. If *allowTrustCenterLinkKeyRequests* is 2, do the following.
      - 12462 i. Find an entry in the apsDeviceKeyPairSet of the AIB where the DeviceAddress of the entry matches the  
12463 PartnerAddress of the APSME-REQUEST-KEY.indication primitive, and the KeyAttributes has a value  
12464 of PROVISIONAL\_KEY (0x00). If no entry can be found matching those criteria, then the request shall  
12465 be silently dropped and no further processing SHALL be done.
      - 12466 ii. Otherwise, follow the procedure in section 4.7.3.8.1.

#### **4.7.3.8.1 Procedure for Generating and Sending a new Trust Center Link Key**

12468 This procedure takes an IEEE address DeviceAddress.

- 12469 1. Create a new 128-bit key, KeyValue. This MAY be done using a random number generator, or programmatically  
12470 using an algorithm.
- 12471 2. Create a new entry in the apsDeviceKeyPairSet.
  - 12472 a. Set the DeviceAddress of the entry to the DeviceAddress passed to this procedure.
  - 12473 b. Set the LinkKey value of the entry to the KeyValue previously generated in this procedure.
  - 12474 c. Set the KeyAttributes of the entry to UNVERIFIED\_KEY (0x01).
  - 12475 d. Set the ApsLinkKeyType of the entry to Unique Link Key (0x00).
- 12476 3. If there is no space in the apsDeviceKeyPairSet attribute then processing SHALL fail and no further steps are  
12477 executed in this procedure.
- 12478 4. Issue an APSME-TRANSPORT-KEY.request primitive with the DestAddress set to the DeviceAddress, the  
12479 StandardKeyType set to 0x04 (Trust Center Link Key), and the TransportKeyData set to the KeyValue.

#### **4.7.3.9 Alternate methods of Updating the Trust Center Link Key**

12481 Updating the Trust Center link key using APS request key or unsolicited transport key messages is problematic due  
12482 to synchronization issues. Neither side knows which key the other side is using and future attempts to update the key  
12483 require knowledge of the current key.

12484 An alternate mutual authentication protocol SHALL have all of the following properties:

- 12485 1. The protocol SHALL use one or more shared secrets that are not transmitted over the air during the protocol  
12486 negotiation.
- 12487 2. The protocol SHALL allow both sides to inject random data in the key generation to prevent one device from  
12488 controlling the result of the key generation.

12489 3. The protocol SHALL not require knowledge of a previously generated Trust Center link key in order to generate  
12490 a new one.

12491 Both CBKE (Certificate Based Key-Exchange) and DLK (Dynamic Link Key) have all of these properties.

#### 12492 **4.7.3.10 Processing Application Link Key Requests**

12493 Devices MAY use the Trust Center to establish application link keys with one another. Those devices can leverage  
12494 the secure communications channel they have established with the Trust Center in order to establish secure communi-  
12495 cations with other devices. The Trust Center policy dictates whether or not it will answer application link key re-  
12496 quests. Trust Center SHALL only allow application link key requests it receives that are encrypted with the device's  
12497 Trust Center link key. Any application link key request that is not APS encrypted shall be dropped. In addition, if the  
12498 Trust Center does not have a link key in *apsDeviceKeyPairSet* for the responder device listed in the APS Request Key  
12499 Command, it SHALL drop the request. The purpose of the using the Trust Center to establish an application link key  
12500 is leverage the trust each device has with the Trust Center (through their Trust Center Link Key).

12501 The Trust Center SHALL ignore any requests made to establish application link keys with itself. Zigbee provides no  
12502 protocol mechanism to differentiate whether a Trust Center link key or an application link key was used to encrypt a  
12503 message. Therefore a device cannot determine what key to use when decrypting the message.

12504 It is worth noting that devices are not required to use the Trust Center to establish application link keys, and that some  
12505 application profiles allow devices to establish link keys without the trust center. The application profile in use by the  
12506 device MAY require that the Trust Center be utilized to do this.

12507 Application link key requests are initiated by the requesting device MAY occur at any time. Therefore the Trust Center  
12508 SHALL NOT change its handling of those requests based on whether it is currently operating in commissioning or  
12509 operational mode.

12510 Upon receipt of an APSME-REQUEST-KEY.indication with the RequestKeyType set to 0x02 (Application Link Key)  
12511 the following SHALL be performed:

12512 1. If the PartnerAddress of the primitive is equal to the *apsTrustCenterAddress* of the AIB, the request SHALL be  
12513 dropped and no further processing SHALL be done.

12514 2. If the Trust Center policy of *allowApplicationLinkKeyRequests* is 0x00, then the request SHALL be dropped and  
12515 no further processing SHALL be done.

12516 3. If the Trust Center policy of *allowApplicationLinkKeyRequests* is 0x01, then the Trust Center SHALL do the  
12517 following.

12518     a. Run the procedure in section 4.7.3.10.1 using *SrcAddress* from the primitive as the *InitiatorAddress* in  
12519       the procedure, and *PartnerAddress* from the primitive as the *ResponderAddress* in the procedure.

12520     b. No further processing SHALL be done.

12521 4. If the Trust Center policy of *allowApplicationLinkKeyRequests* is 0x02, then the following SHALL be per-  
12522 formed.

12523     a. Find an entry in the *allowApplicationKeyRequestList* where the *SrcAddress* of the primitive matches  
12524       the *InitiatorAddress* of the entry, and the *PartnerAddress* of the primitive matches the *Responder Ad-*  
12525 *dress* of the entry.

12526     b. If no entry is found, then the request SHALL be dropped and no further processing SHALL be done.

12527     c. If an entry is found, follow the procedure in section 4.7.3.10.1.

#### 12528 **4.7.3.10.1 Procedure for Generating and Sending Application Link Keys**

12529 This procedure takes two IEEE addresses, *InitiatorAddress* and *ResponderAddress*.

12530 1. The Trust Center SHALL generate a random 128-bit key *KeyValue* for the application link key.

12531 2. It SHALL issue an APSME-TRANSPORT-KEY.request with the *StandardKeyType* set to 0x03, Application  
12532 Link Key, the *TransportKeyData* set to *KeyValue*, and the *DestAddress* set to *InitiatorAddress*.

12533 3. It SHALL issue a second APSME-TRANSPORT-KEY.request with the StandardKeyType set to 0x03, Application Link Key, the TransportKeyData set to KeyValue, and the DestAddress set to ResponderAddress.

### 12535 4.7.3.11 Key Lifetime

12536 How long a network key or trust-center link key remains in use is up to the trust center. The longer a key is in use the  
12537 more chance there is of it becoming compromised. On the other hand, updating a key too often adds management  
12538 overhead and increases the risk that problems during key transmission will disrupt the network. A balance SHALL be  
12539 struck between the needs of security and the temporary disruption a new key can cause.

#### 12540 4.7.3.11.1 Link Key Lifetime

- 12541 • It is advisable that the trust center have a policy for link keys to be changed periodically. This is can be difficult  
12542 for sleepy end devices, which SHALL check with the trust center periodically to receive any newly-available  
12543 key.
- 12544 • It is recommended that old, unused link keys be deleted from the Trust Center to prevent them from being used.  
12545 This requires that devices periodically communicate with the Trust Center using APS security to allow it to  
12546 keep track of usage of the keys.
- 12547 • Often a link key is used to initially join the network and thus it is uncertain how long the key MAY have been  
12548 in use before joining the network. Preconfigured link keys MAY be extremely long lived and thus increases the  
12549 need to update the link key as soon as the device joins the network.
- 12550 • Link keys that are established using higher level protocols are not updated based on trust center policies but on  
12551 the higher level application policies.

#### 12552 4.7.3.11.2 Network Key Lifetime

12553 The trust center SHALL periodically distribute and then switch to a new network key. There are two main reasons for  
12554 doing this:

- 12555 1. An update and switch resets the outgoing NWK frame counter of all devices on the network. This lengthens the  
12556 life of the network, since once the frame counter of a device gets to all 0xFFFFFFFF it cannot send network  
12557 encrypted traffic.
- 12558 2. It reduces the risk of a network key being compromised through attacks.

12559 If a trust center detects that the frame counter for any device in its neighbor table is greater than 0x80000000 it  
12560 SHOULD update the network key.

12561 Trust centers SHOULD update the network key at least once per year. It is not recommended to update the network  
12562 key more than once every 30 days except when required by the application or profile.

12563 Trust centers that do not have a real time clock or other means of tracking time are recommended to perform a network  
12564 key update when their outgoing frame counter reaches 0x40000000.

### 12565 4.7.3.12 Updating the Network Key

12566 Updating the Network key is one of the core responsibilities of the Trust Center. It helps to insure that a key does not  
12567 remain in use for too long and thus is not too susceptible to compromise.

#### 12568 4.7.3.12.1 Period of Updates

12569 The network key SHALL be updated periodically. How often an update is sent out is based on the *nwkKeyTrustCen-*  
12570 *terUpdatePeriod*.

#### 12571 4.7.3.12.2 Sleepy Devices

12572 Sleepy devices MAY miss many network events, such as a channel change, PAN ID change, or a parent that has left.  
12573 Sleepy devices MAY NOT be awake at the point when the Trust Center is updating the network key, regardless of  
12574 whether the key is broadcast or unicast. If the sleeping device happens to poll within nwkcTransactionPersistenceTime  
12575 for a unicast key update, or nwkcBroadcastDeliveryTime for a broadcast key update, the update message SHALL be

12576 delivered. Otherwise the delivery of the key update to the sleepy device will timeout and the sleepy device will not  
12577 receive the update.

12578 The sleepy device SHOULD consider the network key update another one of those events and will need to handle that  
12579 case when waking up. A child that sends a message to its parent and receives a MAC ACK but no response at the  
12580 application layer MAY have missed a key update and therefore SHOULD try to perform a rejoin. If the parent has  
12581 switched to the newer key, the sleeping device SHALL perform a trust center rejoin.

#### 12582 **4.7.3.12.3 Broadcast Network Key Updates**

12583 Broadcast key updates are the simplest mechanism for distributing new network keys. The new network key is broad-  
12584 cast using the existing network key to encrypt it. There is no way to exclude a device that has the current network key  
12585 from this kind of key update.

#### 12586 **4.7.3.12.4 Unicast Network Key Updates**

12587 A more secure way of sending out network key updates is by using unicast messages encrypted with each device's  
12588 link key. This requires that all authorized devices on the network have a link key so that the Trust Center can individ-  
12589 ually update them in a secure manner. A Trust Center that wishes to securely remove a previously authorized device  
12590 SHOULD use this mechanism as it can be used to exclude a device from the network.

12591 If this unicast method is used by the trust center, it is required that the Trust Center maintain a list of all the routers on  
12592 the network and send key updates to only those devices. Sleepy devices are unlikely to be awake when the Trust  
12593 Center decides to change the network key. Sending to only routers also reduces the amount of network traffic that the  
12594 Trust Center has to generate in order to update the network.

#### 12595 **4.7.3.12.5 Key Switch**

12596 Regardless of the mechanism used to perform a key update (broadcast or unicast), it is required that the APS key  
12597 switch command be broadcast. Devices will implicitly switch the network key when they hear another device using  
12598 the newer key. This mechanism insures that even if the device did not receive the formal key switch, it will start using  
12599 the new key.

12600 A device can determine if the new network key is actively being used by examining the key sequence number in the  
12601 NWK auxiliary header of packets it receives. If it receives a message that passes decryption using the new key se-  
12602 quence number then it SHALL switch to using the newer network key and stop sending message encrypted using the  
12603 old network key.

#### 12604 **4.7.3.12.6 Old Network Keys**

12605 A network key update and switch does not preclude the use of the previous network key. A device is allowed to accept  
12606 messages encrypted using the last network key, as this insures a smooth transition to the new key. A device SHALL  
12607 never send messages using the old key.

12608 To completely deprecate a key's use, the Trust Center will have to perform an update and switch twice. If using a  
12609 broadcast key update, the Trust Center SHOULD make sure that both the key update and the key switch broadcasts  
12610 have completely expired before sending a second set to update and switch.

### 12611 **4.7.4 Trust Center Swap-out Overview**

---

12612 The Trust Center is a key role in a centralized security network. As such it is important to have a process to securely  
12613 replace a failed trust center. The general process is that a subset of the security material and NIB values of the Trust  
12614 Center will be backed up off-network. Once the old Trust Center has been removed the new Trust Center can be  
12615 brought online with the Trust Center backup data.

12616 The application layer SHOULD employ mechanisms to detect the loss of the Trust Center. It is expected that the  
12617 application layer keepalive mechanism will be used for this purpose. The details of the application layer keepalive are  
12618 outside the scope of this specification. Upon the detection of one or more failed keepalives the application will trigger  
12619 an NLME-JOIN.request with RejoinNetwork = 2 (network rejoin procedure) and SecureRejoin = FALSE.

12620 Often the loss of a Trust Center is a transitory issue and connectivity will return to normal. However, it is possible the  
12621 existing trust center has enacted a network wide change such as changing the network key, radio channel, or PAN ID.  
12622 A Network Rejoin will fix this issue. The least likely scenario, but an important one to handle, is that the trust center  
12623 has been replaced and its identity and security material have changed.

12624 There are two methods of Trust Center Swap-out: a less secure method that supports networks with legacy devices,  
12625 and a more secure method that only supports devices compliant with Revision 23 of the specification and later.

12626 For the first method that supports legacy devices, a set of security and network information is backed up off-device,  
12627 including active security keys, and all of this information is restored onto a new Trust Center when required. Because  
12628 extracting security information off-device can pose a security risk, Trust Center Swap-out support for networks with  
12629 legacy devices is an optional feature.

12630 For the second method that only supports new devices, security and network information is also backed up but security  
12631 keys will be run through a hash function before they are extracted off-device, thus preventing a passive network attack  
12632 in the case where the backup data set is compromised. This method also changes the EUI64 of the Trust Center when  
12633 it is swapped out, so devices will be able to differentiate between the swap-out methods.

12634 Nodes that perform a Trust Center rejoin and notice that the Trust Center EUI64 has changed will obtain a new network  
12635 key by creating the hashed variant of the current Trust Center link key to decrypt the Transport Key message. After  
12636 any application layer verification of the new Trust Center, the nodes will now be bound to the new Trust Center. Even  
12637 if the old Trust Center returns, nodes that have made the switch will not go back to the old Trust Center.

12638 After being bound to the new Trust Center the device MUST update its existing link key and a new backup for that  
12639 key SHALL be created.

12640 All Zigbee devices are required to support Trust Center Swap-out.

#### 12641 **4.7.4.1 Trust Center Backup**

##### 12642 **4.7.4.1.1 Networks with Legacy Devices**

12643 A Legacy device for Trust Center Backup is one running Revision 22 or earlier.

###### 12644 **4.7.4.1.1.1 NIB / AIB Values**

12645 In order to replace the existing Trust Center in a network with legacy devices, the new Trust Center must use the same  
12646 set of network and security information as the original Trust Center.

12647 The following are the values needed for the backup:

###### 12648 **4.7.4.1.1.1.1 NIB Values**

Value
nwkExtendedPanId
nwkSecurityMaterialSet
nwkActiveKeySeqNumber
nwkPANId
nwkIeeeAddress
Network Channel (via nwkMacInterfaceTable)

12649

12650 4.7.4.1.1.1.2 AIB Values

Value
apsBindingTable
apsDeviceKeyPairSet (all elements of the entry)
apsTrustCenterAddress
trustCenterPolicies

12651 4.7.4.1.1.2 *Period of Backup*12652 A backup of the Trust Center is required each time any of the values in section 4.7.4.1.1.1 are modified on the Trust  
12653 Center.12654 4.7.4.1.1.3 *Replacing the Trust Center*

12655 The following steps are to replace an old Trust Center in a network with legacy devices.

12656 1. Backup of NIB and AIB values as described in section 4.7.4.1.1.1 and section 4.7.4.1.1.2. The period of  
12657 backup is described in section 4.7.4.1.1.2.

12658 2. New Trust Center Device is provisioned and installed

12659 a. New Trust Center NIB / AIB values are set to the values restored from a backup

12660 b. New Trust Center calls NLME-NETWORK-FORMATION.req

12661 NOTE: The new Trust Center will use the IEEE Address of the old Trust Center. It will NOT use its own IEEE  
12662 address.12663 For networks with legacy devices, the replacement of the Trust Center should be seamless, i.e. devices on the network  
12664 should not need to perform any new action to reestablish connection with the new Trust Center. The Trust Center  
12665 MAY perform additional actions at this point such as rotating the network key, but any further actions would be  
12666 application specific.12667 4.7.4.1.2 **Networks with Revision 23 Devices and later**12668 In order to replace the existing Trust Center in a network, a subset of the material contained in the AIB and NIB is  
12669 required. It is important to note that this backup never requires that the active encryption keys to be stored. The applica-  
12670 tion may choose to backup additional application layer data as it sees fit, but it SHALL only backup the  
12671 apsDeviceKeyPairSet items as noted in Table 4-43.12672 The application may choose to backup additional application layer data as it sees fit, but it SHALL only backup the  
12673 apsDeviceKeyPairSet items as noted in Table 4-43.

12674 The following are the values needed for the backup:

12675 4.7.4.1.2.1 *NIB Values*

Value
nwkExtendedPanId

12676 4.7.4.1.2.2 *AIB Values*12677 It is important to note that the neither the current nor alternate network key is backed up. This avoids compromising  
12678 security material that is actively in use by the network.

12679

## 12680 4.7.4.1.2.2.1 AIB Values

Value	Mandatory
apsDeviceKeyPairSet (partial)	Mandatory
trustCenterPolicies	Optional

12681 Each entry in the apsDeviceKeyPairSet SHALL be backed up but not all elements contained within an entry are  
 12682 backed up as shown in Table 4-43. This is done to avoid backing up actively used security material.

12683 **Table 4-43. Items to Back Up from the apsDeviceKeyPairSet**

Value	Backed Up
DeviceAddress	Yes
KeyAttributes	Yes
LinkKey	No
OutgoingFrameCounter	No
InitialJoinAuthentication	Yes
KeyNegotiationMethod	Yes
KeyNegotiationState	No
Passphrase	Yes (if supported)
Timeout	No
PassphraseUpdateAllowed	No
TrustCenterSwapOutLinkKey	Yes

12684 4.7.4.1.2.3 **Link Key Special Handling (TrustCenterSwapOutLinkKey)**

12685 In order to protect the security of the network, a hash on the TC link key will be performed and that will be the key  
 12686 stored externally. It is highly recommended that the actual link key used for operational networks never be transported  
 12687 out of the Trust Center. Using this method, if the backup data for the TC is compromised then it cannot be used to  
 12688 passively compromise existing Zigbee network communications.

12689 4.7.4.1.2.4 **Process for Creating the TrustCenterSwapOutLinkKey**

12690 The new hashed version of the Link key shall be created by performing a 128-bit AES-MMO hash on the 128-bit key  
 12691 data of the LinkKey element of the entry from the apsDeviceKeyPairSet (See section B.1.3 for details of the hashing  
 12692 algorithm.) Table 4-44 defines the test vector for the hash.

12693 **Table 4-44. Test Vector for Hash of the Trust Center Link Key**

Trust Center Link Key	C0C1C2C3C4C5C6C7C8C9CACBCCCDCECF
Hashed Trust Center Link Key	A7977E88BC0B61E8210827109A228F2D

12694 The AIB apsDeviceKeyPairSet stores the value of key used for Trust Center swap out in the TrustCenterSwapOut-  
 12695 LinkKey value. The TrustCenterSwapOutLinkKey value SHALL be replaced with the hashed version of the Trust  
 12696 Center Link Key whenever the Trust Center Link Key is updated.

12697 4.7.4.1.2.5 **Period of Backup**

12698 A backup of the Trust Center is only needed when a device changes its Trust Center link key. This could occur when  
12699 a device is added to the network, a device is removed from the network, or when the device updates the trust center  
12700 link key.

12701 Changes to the Network Key do not require a new backup.

12702 4.7.4.1.2.6 **Replacing the Trust Center**

12703 The following are the steps to replace the old trust center.

12704 1. Backup of NIB and AIB values as described previously.

12705 2. New Trust Center Device is provisioned and installed.

12706 a. The New Trust Center will use its own EUI64 as the *apsTrustCenterAddress*.

12707 b. New Trust Center will randomly generate a new short PAN ID that is different than the old PAN ID.

12708 c. New Trust Center will randomly generate a new Network Key and sequence number.

12709 d. New Trust Center calls NLME-NETWORK-FORMATION.req.

12710 3. Import the AIB values that were backed up with the additional special handling.

12711 a. Set each associated LinkKey value to the value of the appropriate TrustCenterSwapOutLinkKey.

12712 b. Recalculate the TrustCenterSwapOutLinkKey from the LinkKey as described in section 4.7.4.1.2.4.

12713 4. Application detects the loss of the trust center.

12714 5. Application will trigger nodes to rejoin to the new Trust Center using a Trust Center Rejoin operation.

12715 a. This will be an NLME-JOIN.req with RejoinNetwork parameter set to 2 (network rejoin procedure) and  
12716 SecurityEnable set to FALSE.

12717 b. Nodes will scan for the current extended PAN ID but short PAN ID will have changed.

12718 6. The new Trust Center will send the new network key to the device via the APS Transport Key Command.

12719 a. The message SHALL be encrypted at the APS layer with the Trust Center's current LinkKey for that de-  
12720 vice.

12721 i. For the device, this will be the value of the TrustCenterSwapOutLinkKey from the device's own entry in  
12722 its apsDeviceKeyPairSet AIB item.

12723 b. The message SHALL use the new Trust Center's EUI64 as the Source Address in the APS Command  
12724 frame.

12725 c. The message SHALL set the Extended Nonce of the APS Security Auxiliary Header to TRUE and set the  
12726 Source Address in the Auxiliary Header to the new Trust Center's EUI64.

12727 7. The device will notify the application via the APSME-TRANSPORT-KEY.indication primitive and SHALL  
12728 update the value used for LinkKey in the apsDeviceKeyPairSet entry. See section 4.4.2.2.

12729 8. The device will perform a key update mechanism according to its local supported mechanisms and the sup-  
12730 ported mechanisms of the Trust Center. It is important to note that an update to the Trust Center Link Key for a  
12731 device is required after rejoining to the new Trust Center. This ensures that the existing backup of the link key  
12732 is not used as an active encryption key. Once the link key has been updated a hash of that key is backed up as  
12733 previously described.

12734 4.7.4.1.2.7 **Node Behavior**

12735 In order for the node to detect the loss of the Trust Center there must be an application layer keepalive to the Trust  
12736 Center. The definition of that keepalive is outside the scope of this specification.

12737 When the application layer keepalive has failed there are several different possible reasons. They are listed below  
12738 from most likely to least likely.

12739 1. The Trust Center is temporarily unavailable.

12740 2. The device missed a network key update by the Trust Center and is using an old network key.

12741 3. The Trust Center changed channels or PAN IDs to avoid congestion.

12742 4. The Trust Center has been swapped out.

12743 To fix any of these issues the application can trigger a rejoin operation via the NLME-JOIN.req with RejoinNetwork  
12744 parameter set to 2.

12745 When the Node is performing a Trust Center rejoin and receives an APS Command with APS encryption it SHALL  
12746 do the following additional behavior.

- 12747 1. Execute the Security Processing of Incoming [APS] Frames as described in section 4.4.1.2.
- 12748 2. If security processing fails, execute the Security Processing a second time using the TrustCenterSwapOutLinkKey  
12749 as the link key for decryption. The frame counter check SHALL not be performed.
  - 12750 a. If security processing succeeds, then a Trust Center Swap-out has occurred. The device SHALL execute the  
12751 procedure described in section 4.7.4.1.2.9.
  - 12752 b. The join has succeeded and the NLME-JOIN.confirm SHALL be issued.
  - 12753 c. The apsDeviceKeyPairSet entry associated with the apsTrustCenterAddress SHALL set the KeyAttributes  
12754 element to PROVISIONAL\_KEY.
- 12755 3. If security processing fails a second time, continue executing the NLME-JOIN.req.

#### 12756 **4.7.4.1.2.8 AIB Update Due to New Trust Center**

12757 If the node detects a Trust Center Swap-out has occurred, it SHALL do the following.

- 12758 1. Find the entry in the apsDeviceKeyPairSet of the AIB corresponding to the existing apsTrustCenterAddress.
  - 12759 a. Copy the value of the TrustCenterSwapOutLinkKey to the LinkKey value.
  - 12760 b. Recalculate the TrustCenterSwapOutLinkKey based on the new LinkKey value
  - 12761 c. Update the DeviceAddress of the entry to the value of the SourceAddress in the APS Transport Key Com-  
12762 mand.
- 12763 2. Update the apsTrustCenterAddress in its AIB with the value of the SourceAddress in the APS Transport Key  
12764 Command.

#### 12765 **4.7.4.1.2.9 Notification to the Application**

12766 The application will be notified of the change in Trust Center via the APSME-TRANSPORT-KEY.indication primitive.  
12767 The APSME-TRANSPORT-KEY.indication will contain a SrcAddress Parameter that DOES NOT match the  
12768 current AIB apsTrustCenterAddress field.

12769 The application SHOULD accept the new Trust Center. However, the application MAY perform additional checks  
12770 based on its own security requirements to authenticate the new trust center.

12771 If the new Trust Center is accepted, the application MUST perform an APSME-SET.req to update the AIB aps-  
12772 TrustCenterAddress with the new value received in the SrcAddress of the APSME-TRANSPORT-KEY.indication  
12773 primitive. The application MUST also update the current LinkKey value for the Trust Center entry of the  
12774 apsDeviceKeyPairSet AIB item. The value of the TrustCenterSwapOutLinkKey is copied to the LinkKey value using  
12775 the APSME-SET.req.

12776 The stack will then automatically update the TrustCenterSwapOutLinkKey for that entry based on the new LinkKey  
12777 value, as described in section 4.7.4.1.2.4.

#### 12778 **4.7.4.1.2.10 Additional Behavior after Trust Center Swap-out**

12779 The application may require additional behavior from the node once a Trust Center Swap-out has occurred and the  
12780 device has successfully rejoined to the network. It may need to query the node for additional data that was not backed  
12781 up, or it may choose to do additional security updates such as changing the Trust Center Link Key from the value that  
12782 was in the backup.

12783 The application will have to perform additional changes to other application data. For example, bindings to the old  
12784 Trust Center would have to be updated to the new Trust Center's EUI64 and MAY require a re-discovery to update  
12785 endpoint information.

12786 The application SHOULD not perform any APS encrypted messaging until the link key has been updated. This means  
12787 performing a link key update using the application's designated mechanism. This will change the value that was used  
12788 by the new Trust Center to perform the swap-out (apsDevicekeyPairSet.TrustCenterSwapOutLinkKey).

#### 12789 **4.7.4.1.3 Networks with a Mix of Revision 23 and Earlier Devices**

12790 It is possible to support Trust Center Swap-out with a mix of devices from Revision 23 and later, and those that are  
12791 running a stack of Revision 22 and earlier. For those devices that support Revision 23 a Trust Center can determine  
12792 that support and avoid backing up the active encryption key and only back-up a hash of the key as described earlier.  
12793 For those devices that do not support Revision 23 the Trust Center can backup the link key as described in the prior  
12794 section.

12795 This would mean a backup of a heterogeneous network would contain a mix of active encryption keys for devices  
12796 which implement legacy revisions of this specification and only hashes of the active key for R23 and profiles which  
12797 support it. Even in this case there is still benefit as it reduces the active security material that must be backed up and  
12798 thus is vulnerable to attack.

## 12799 **4.8 Security Operations in Distributed Security Networks**

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12801 In distributed security networks, there is not a single trust center in control of the network. Each router can act as a  
12802 parent and transport keys to joining devices. In addition, if a device already has a network key from an out of band  
12803 installation method or commissioning, the device is accepted into the network without any trust center authorization.

### 12804 **4.8.1 Trust Center Address**

---

12805 In distributed security networks the trust center address is 0xFFFFFFFFFFFFFF. This address is used in transport  
12806 key commands as the source address to indicate the network is in a distributed security model.

### 12807 **4.8.2 Network Key Updates**

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12808 Network key updates are not done in distributed security networks.

### 12809 **4.8.3 Link Keys**

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12810 Link keys are only used to APS encrypt transport key commands during joining in distributed security networks. The  
12811 key type stored internally SHALL be 0x01 (Global Link Key).

### 12812 **4.8.4 Application Link Keys**

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12813 Devices MAY require use of application link keys for APS data. In a distributed security network the partner devices  
12814 SHALL use a higher level protocol to establish the application link key without the trust center involvement or per-  
12815 missions.

### 12816 **4.8.5 Requesting Keys**

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12817 There is no facility to process or answer APSME-REQUEST-KEY primitives. All APS Command Request Key  
12818 frames shall be dropped and no further processing SHALL be done.

## 4.9 Device Operations

Devices joining the network SHALL also have policies that dictate what security they expect from the network. The following are the settings that can be used to adjust their security policy.

### 4.9.1 Joining Device Policy Values

A joining device MAY have a set of policy values enumerated in Table 4-45. However, it normally sets these policy values upon joining based on if the network is a centralized or distributed security model. All devices SHALL support joining either network and adapting their security policies accordingly unless their application profile mandates joining only one type of network.

**Table 4-45. Joining Device Policy Values**

Name	Type	Range	Description	Usage
<i>requestNewTrustCenterLinkKey</i>	Boolean	TRUE or FALSE	This Boolean indicates whether the device will request a new Trust Center Link key after joining. A value of TRUE means the device SHALL send an APS request key command to the Trust Center with RequestKeyType 0x04. If the request is not answered requestLinkKeyTimeout seconds then the device will leave the network. A value of FALSE means the device will not request a new link key.	This is set to TRUE in centralized security networks to ensure devices have a trust center link key for rejoining or key updates.  Note this value is set to FALSE in a distributed security network.
<i>requestLinkKeyTimeout</i>	Integer	0 – 10	This integer indicates the maximum time in seconds that a device will wait for a response to a request for a Trust Center link key.	This is ignored in a distributed security network.
<i>acceptNewUnsolicitedApplicationLinkKey</i>	Boolean	TRUE or FALSE	This Boolean indicates whether the device will accept a new unsolicited application link key sent to it by the Trust Center.	
<i>requireLinkKeyEncryptionForApsTransportKey</i>	Boolean	TRUE or FALSE	This indicates whether or not the device will require that the APS Transport Key command SHALL be APS encrypted with the device's unique Trust Center Link Key.	By default this is FALSE.

### 4.9.2 Trust Center Address

A device will not know the address of the Trust Center prior to joining. The *apsTrustCenterAddress* in the AIB SHALL be initially set to 0x0000000000000000. Upon joining a device SHALL receive an APS Transport key and the source address SHALL indicate the address of the trust center. The *apsTrustCenterAddress* in the AIB will be set to the address in the received packet.

12833 A value of 0xFFFFFFFFFFFFFF for the *apsTrustCenterAddress* in the AIB indicates a distributed security network  
12834 and the device settings SHOULD be adjusted accordingly.

12835 See section 4.4.1.5 for a description of when and how the trust center address of APS commands are validated.

### 12836 **4.9.3 Trust Center Link Keys**

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12837 All devices implementing this specification SHALL support one or more methods to update their link key. At a minimum,  
12838 they SHALL support the Key Request Update Mechanism. They MAY support others. Devices SHALL indicate  
12839 their support in the Supported Key Negotiation Methods Global TLV.

12840 All devices in a centralized security network SHALL obtain an updated Trust Center link key when they first join the  
12841 network and the Trust Center supports this behavior. An updated trust center link key protects the device from com-  
12842 promise if the original joining key is discovered. The application MAY utilize a key establishment algorithm if one is  
12843 available. If such an algorithm is not available, the Request Key services of the APSME SHALL be used.

12844 Prior to Revision 21 of this specification, there was not an interoperable mechanism to update the link key so. There-  
12845 fore a Trust Center operating on a prior Revision is not assumed to have support for this behavior. Determining the  
12846 Trust Center Revision can be done using the Server Mask and the ZDO Node Descriptor Request. Initiation of this  
12847 process is done by the higher application.

12848 Once the device has obtained an updated Trust Center link key it SHALL ignore any APS commands from the Trust  
12849 Center that are not encrypted with that key.

### 12850 **4.9.4 Receiving New Link Keys**

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12851 It is possible a device's security policy MAY restrict application link keys sent to it by the trust center for use with  
12852 another partner device. This could be because the device wishes to control which other devices it shares link keys  
12853 with, or because it uses some other mechanism to establish application link keys with devices besides the trust center.

12854 There are instances where higher level application policies determine what data is shared with application link keys.  
12855 For example, networks where updated Trust Center link keys SHALL be established through the Certificate Based  
12856 Key Exchange protocol.

12857 If the device receives a transport key command containing an application link key, but it has not sent a request for  
12858 one, and acceptNewUnsolicitedApplicationLinkKey is set to FALSE, it SHALL ignore the message.

### 12859 **4.9.5 Requesting a Link Key**

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12860 If both the joining device and trust center support a key negotiation mechanism they SHALL use that to update the  
12861 link key and SHALL NOT use this Request Key method to update the Link Key. Otherwise the joining device SHALL  
12862 update its key via the request key method described below.

12863 A device SHALL attempt to update its trust center link key as part of its initial joining operations in a centralized  
12864 security network. Trust Centers prior to the Revision 21 version of this specification did not support updating trust  
12865 center link keys via the APSME request key method. Determination of whether the trust center supports this behavior  
12866 is left up to the higher level application. The application MAY use either the APSME Request Key facilities or an  
12867 alternative key establishment protocol.

12868 If the device is requesting a trust center link key using the APSME, it SHALL start a timer after sending the initial  
12869 request. Once the timer has reached *requestLinkKeyTimeout*, the device SHALL no longer accept a transport key  
12870 message containing a new Trust Center link key unless the device initiates a new request.

12871 If the device is requesting an application link key and acceptNewUnsolicitedApplicationLinkKey is set to FALSE, it  
12872 SHALL start a timer after sending the initial request. Once the timer has reached *requestLinkKeyTimeout* the device  
12873 SHALL no longer accept a transport key message containing a new application link key unless it initiates a new  
12874 request.

12875 A device that did not request a new application link key and has acceptNewUnsolicitedApplicationLinkKey set to  
12876 FALSE SHALL silently drop the APS Transport Key Command for an application link key. It SHALL NOT process  
12877 the command.

#### 12878 **4.9.6 Negotiating a Trust Center Link Key**

12879 A device with support for negotiating a link key SHALL prefer using that mechanism to establish a new Trust Center  
12880 Link Key over the Request Key method. If the Trust Center does not support negotiation a link key the device can fall  
12881 back to using the Request Key Mechanism.

12882 A device uses the APSME-KEY-NEGOTIATION.request primitive to initiate the process either before joining the  
12883 Zigbee network, or after joining the network. It is preferred to do negotiate a link key before joining a Zigbee network.  
12884 However, when a router without APS Relay support is between the Trust Center and the joiner it is necessary for the  
12885 device to complete the joining operation first. After completing the joining operation and receiving the network key  
12886 the device can learn the capabilities of the Trust Center. If both the device and Trust Center support negotiating a link  
12887 key SHALL be the preferred mechanism.

12888 Application defined key negotiation mechanisms MAY be used as an alternative to the APSME-KEY-NEGOTIA-  
12889 TION primitives. This is outside the scope of this specification.

#### 12890 **4.9.7 Updating the Trust Center Passphrase after Initially 12891 Joining a Network**

12892 After a device first joins the network and performs Key Negotiation with the Trust Center, it SHALL update its initial  
12893 passphrase. This passphrase is stored in the Passphrase field of the AIB apsDeviceKeyValuePair entry associated with  
12894 the Trust Center's EUI64. It is only required to do this behavior if the Trust Center Link Key was updated using Key  
12895 Negotiation; other mechanisms (such as Request Key) do not require this behavior to be performed.

12896 A Trust Center Link Key passphrase update is required if the device negotiates a Trust Center Link Key before joining  
12897 the network, or if it joins and negotiates a new link key after joining the network. See Figure 1-5, Joining in Revision  
12898 23 with Dynamic Key Negotiation before receiving the network key, and Figure 1-6 Joining in Revision 23 with  
12899 Dynamic Key Negotiation after receiving the network key.

12900 The Trust Center Link Key passphrase update is only done once the device has received the Network Key and is joined  
12901 and authorized on the network. This update is a one-time operation. The new passphrase SHALL be kept for the life  
12902 of the device on the network and SHALL NOT be updated a second time. A device updates the Passphrase for its  
12903 Trust Center Link Key as follows

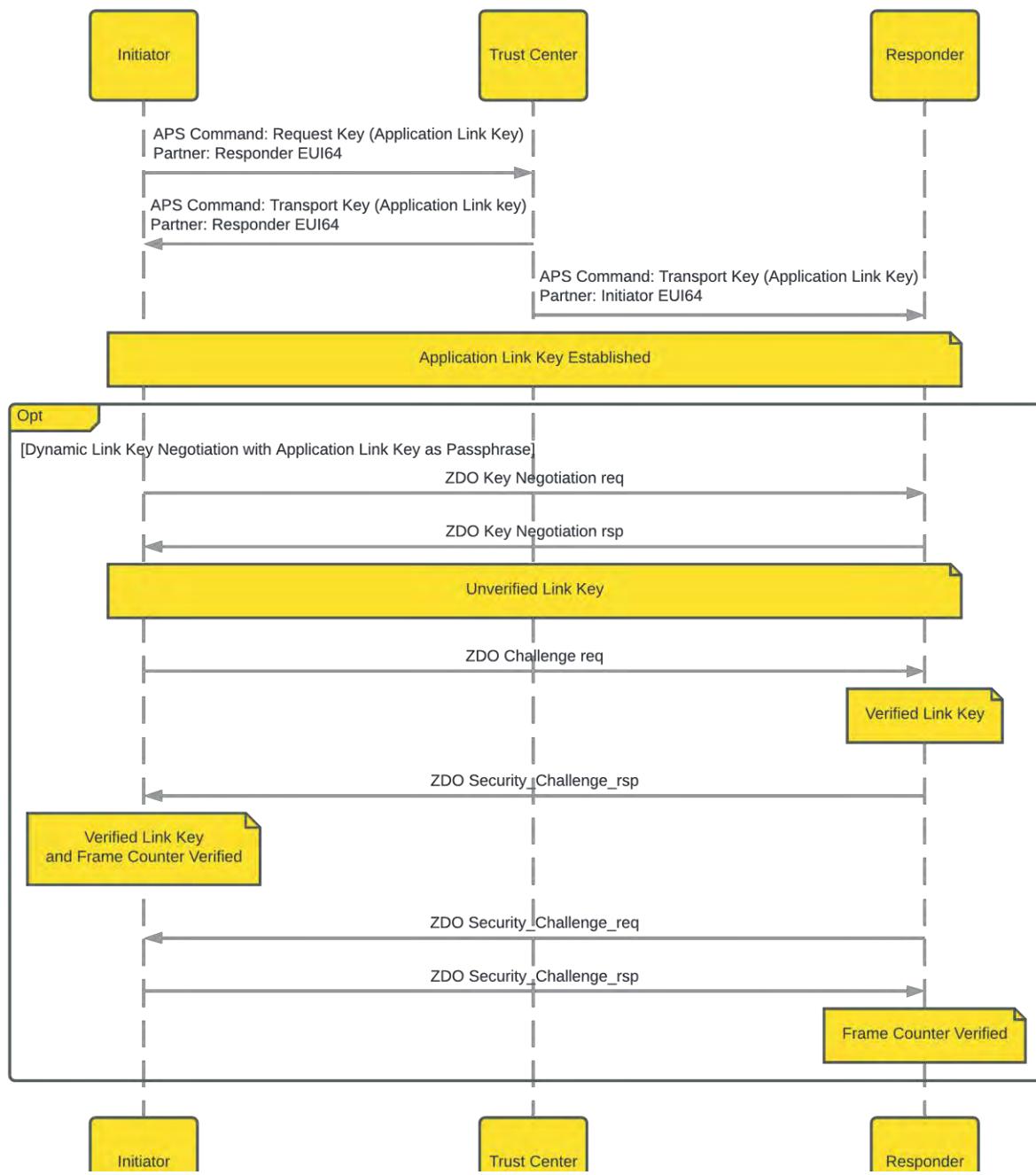
- 12904 1. Send a ZDO Security\_Retrieve\_Authentication\_Token\_req to the Trust Center.
  - 12905 a. Include the Authentication Token ID Local TLV with an ID value of 69, requesting the 128-bit Symmetric  
12906 Passphrase Global TLV.
  - 12907 2. Follow the processing rules in section 2.4.4.4.2 upon receipt of the ZDO Security\_Retrieve\_Authentication\_rsp  
12908 command. Notify the application of the change to the passphrase.
  - 12909 3. If no passphrase update occurs within apsSecurityTimeOutPeriod, notify the application.
    - 12910 a. The application will determine whether to retry this operation or take other action.

#### 12911 **4.9.8 Negotiation an Application Link Key with a Partner 12912 Device**

12913 After joining a network two devices MAY negotiate an application link key using the APSME-KEY-NEGOTIATION  
12914 primitives. The rules for determining whether partner devices are allowed to negotiate application link keys is outside  
12915 the scope of this specification. The higher level application MAY impose restrictions on this. When the device receives  
12916 a request to negotiate an application link key the APSME-KEY-NEGOTIATION.indication is passed to the

12917 application. The application can determine if the negotiation is allowed and respond by initiating the APSME-KEY-  
 12918 NEGOTIATION.response.

12919 In a centralized network partner devices can leverage the Trust Center to establish a symmetric passphrase first. This  
 12920 can be used by both sides to authenticate the partner link key. Figure 4-43 shows the exchange between Initiator, Trust  
 12921 Center, and Responder.



**Figure 4-43. Dynamic Application Link Key Establishment**

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**ANNEX A            CCM\* MODE OF OPERATION**

CCM\* is a generic combined encryption and authentication block cipher mode. CCM\* is only defined for use with block ciphers having a 128-bit block size, such as AES-128 [B8]. The CCM\* principles can easily be extended to other block sizes but doing so will require further definitions.

The CCM\* mode coincides with the original CCM mode specification [B16] for messages that require authentication and, possibly, encryption, but does also offer support for messages that require only encryption. As with the CCM mode, the CCM\* mode requires only one key. The security proof for the CCM mode([B17] and [B18]) carries over to the CCM\* mode described here. The design of the CCM\* mode takes into account the results of [B19], thus allowing it to be securely used in implementation environments in which the use of variable-length authentication tags, rather than fixed-length authentication tags only, is beneficial.

**Prerequisites:** The following are the prerequisites for the operation of the generic CCM\* mode:

1. A block-cipher encryption function  $E$  SHALL have been chosen, with a 128-bit block size. The length in bits of the keys used by the chosen encryption function is denoted by  $keylen$ .
2. A fixed representation of octets as binary strings SHALL have been chosen (for example, most-significant-bit first order or least-significant-bit-first order).
3. The length  $L$  of the message length field, in octets, SHALL have been chosen. Valid values for  $L$  are the integers 2, 3,..., 8 (the value  $L=1$  is reserved).
4. The length  $M$  of the authentication field, in octets, SHALL have been chosen. Valid values for  $M$  are the integers 0, 4, 6, 8, 10, 12, 14, and 16. (The value  $M=0$  corresponds to disabling authenticity, since then the authentication field contains an empty string.)

## A.1 Notation and Representation

Throughout this specification, the representation of integers as octet strings SHALL be fixed. All integers SHALL be represented as octet strings in most-significant-octet first order. This representation conforms to the conventions in Section 4.3 of ANSI X9.63-2001 [B7].

## A.2 CCM\* Mode Encryption and Authentication Transformation

The CCM\* mode forward transformation involves the execution, in order, of an input transformation (A.2.1), an authentication transformation (A.2.2), and encryption transformation (A.2.3).

**Input:** The CCM\* mode forward transformation takes as inputs:

1. A bit string  $Key$  of length  $keylen$  bits to be used as the key. Each entity SHALL have evidence that access to this key is restricted to the entity itself and its intended key-sharing group member(s).
2. A nonce  $N$  of  $15\text{-}L$  octets. Within the scope of any encryption key  $Key$ , the nonce value SHALL be unique.
3. An octet string  $m$  of length  $l(m)$  octets, where  $0 \leq l(m) \leq 28L$ .
4. An octet string  $a$  of length  $l(a)$  octets, where  $0 \leq l(a) < 2^{64}$ .

The nonce  $N$  SHALL encode the potential values for  $M$  such that one can uniquely determine from  $N$  the value of  $M$  actually used. The exact format of the nonce  $N$  is outside the scope of this specification and SHALL be determined and fixed by the actual implementation environment of the CCM\* mode.

*Note:* The exact format of the nonce  $N$  is left to the application, to allow simplified hardware and software implementations in particular settings. Actual implementations of the CCM\* mode MAY restrict the values of  $M$  that are allowed throughout the life-cycle of the encryption key  $Key$  to a strict subset of those allowed in the generic CCM\* mode. If so, the format of the nonce  $N$  SHALL be such that one can uniquely determine from  $N$  the actually used value of  $M$  in that particular subset. In particular, if  $M$  is fixed and the value  $M=0$  is not allowed, then there are no restrictions on  $N$ , in which case the CCM\* mode reduces to the CCM mode.

12978 **A.2.1 Input Transformation**

12979 This step involves the transformation of the input strings  $a$  and  $m$  to the strings  $AuthData$  and  $PlainTextData$ , to be  
 12980 used by the authentication transformation and the encryption transformation, respectively.

12981 This step involves the following steps, in order:

- 12982 1. Form the octet string representation  $L(a)$  of the length  $l(a)$  of the octet string  $a$ , as follows:
  - 12983 a. If  $l(a)=0$ , then  $L(a)$  is the empty string.
  - 12984 b. If  $0 < l(a) < 2^{16}-2^8$ , then  $L(a)$  is the 2-octets encoding of  $l(a)$ .
  - 12985 c. If  $2^{16}-2^8 \leq l(a) < 2^{32}$ , then  $L(a)$  is the right-concatenation of the octet 0xff, the octet 0xfe, and the 4-octets  
 12986 encoding of  $l(a)$ .
  - 12987 d. If  $2^{32} \leq l(a) < 2^{64}$ , then  $L(a)$  is the right-concatenation of the octet 0xff, the octet 0xff, and the 8-octets en-  
 12988 coding of  $l(a)$ .
- 12989 2. Right-concatenate the octet string  $L(a)$  with the octet string  $a$  itself. Note that the resulting string contains and  $a$   
 12990 encoded in a reversible manner.
- 12991 3. Form the padded message  $AddAuthData$  by right-concatenating the resulting string with the smallest non-nega-  
 12992 tive number of all-zero octets such that the octet string  $AddAuthData$  has length divisible by 16.
- 12993 4. Form the padded message  $PlainTextData$  by right-concatenating the octet string  $m$  with the smallest non-nega-  
 12994 tive number of all-zero octets such that the octet string  $PlainTextData$  has length divisible by 16.
- 12995 5. Form the message  $AuthData$  consisting of the octet strings  $AddAuthData$  and  $PlainTextData$ :

$$12996 \quad AuthData = AddAuthData // PlainTextData$$

12997 **A.2.2 Authentication Transformation**

12998 The data  $AuthData$  that was established above SHALL be tagged using the tagging transformation as follows:

- 12999 1. Form the 1-octet  $Flags$  field consisting of the 1-bit  $Reserved$  field, the 1-bit  $Adata$  field, and the 3-bit represen-  
 13000 tations of the integers  $M$  and  $L$ , as follows:

$$13001 \quad Flags = Reserved // Adata // M // L$$

13002 Here, the 1-bit  $Reserved$  field is reserved for future expansions and SHALL be set to ‘0’. The 1-bit  $Adata$  field  
 13003 is set to ‘0’ if  $l(a)=0$ , and set to ‘1’ if  $l(a)>0$ . The  $L$  field is the 3-bit representation of the integer  $L-1$ , in most-  
 13004 significant-bit-first order. The  $M$  field is the 3-bit representation of the integer  $(M-2)/2$  if  $M>0$  and of the integer  
 13005 0 if  $M=0$ , in most-significant-bit-first order.

- 13006 2. Form the 16-octet  $B_0$  field consisting of the 1-octet  $Flags$  field defined above, the  $15-L$  octet nonce field  $N$ , and  
 13007 the  $L$ -octet representation of the length field  $l(m)$ , as follows:

$$13008 \quad B_0 = Flags // Nonce N // l(m)$$

- 13009 3. Parse the message  $AuthData$  as  $B_1 // B_2 // \dots // B_t$ , where each message block  $B_i$  is a 16-octet string.

13010 The CBC-MAC value  $X_{t+1}$  is defined by:

$$13011 \quad X_0 := 0_{128}; X_{i+1} := E(Key, X_i \oplus B_i) \text{ for } i=0, \dots, t.$$

13012 Here,  $E(K, x)$  is the cipher-text that results from encryption of the plaintext  $x$  using the established block-cipher  
 13013 encryption function  $E$  with key  $Key$ ; the string  $0^{128}$  is the 16-octet all-zero bit string.

13014 The authentication tag  $T$  is the result of omitting all but the leftmost  $M$  octets of the CBC-MAC value  $X_{n+1}$  thus  
 13015 computed.

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13017

### A.2.3 Encryption Transformation

13018 The data *PlaintextData* that was established in section A.2.1 (step 4) and the authentication tag *T* that was established  
 13019 in section A.2.2 (step 3) SHALL be encrypted using the encryption transformation as follows:

- 13020 1. Form the 1-octet *Flags* field consisting of two 1-bit *Reserved* fields, and the 3- bit representations of the integers *O* and *L*, as follows:  
 13021

$$Flags = Reserved // Reserved // O // L$$

13023 Here, the two 1-bit *Reserved* fields are reserved for future expansions and SHALL be set to ‘0’. The *L* field is  
 13024 the 3-bit representation of the integer *L*-1, in most-significant- bit-first order. The ‘0’ field is the 3-bit represen-  
 13025 tation of the integer 0, in most-significant-bit-first order.

13026 Define the 16-octet *A<sub>i</sub>* field consisting of the 1-octet *Flags* field defined above, the 15-*L* octet nonce field *N*, and  
 13027 the *L*-octet representation of the integer *i*, as follows:

$$A_i = Flags // Nonce N // Counter i, \text{for } i=0, 1, 2, \dots$$

13028 Note that this definition ensures that all the *A<sub>i</sub>* fields are distinct from the *B<sub>0</sub>* fields that are actually used, as  
 13029 those have a *Flags* field with a non-zero encoding of *M* in the positions where all *A<sub>i</sub>* fields have an all-zero en-  
 13031 coding of the integer 0 (see section A.2.2, step 1).

13032 Parse the message *PlaintextData* as *M<sub>1</sub>* || ... || *M<sub>t</sub>*, where each message block *M<sub>i</sub>* is a 16-octet string.

13033 The ciphertext blocks *C<sub>1</sub>*, ..., *C<sub>t</sub>* are defined by:

$$C_i := E(Key, A_i) \oplus M_i \text{ for } i=1, 2, \dots, t$$

13035 The string *Ciphertext* is the result of omitting all but the leftmost *l(m)* octets of the string *C<sub>1</sub>* // ... // *C<sub>t</sub>*

13036 Define the 16-octet encryption block *S<sub>0</sub>* by:

$$S_0 := E(Key, A_0)$$

- 13037 2. The encrypted authentication tag *U* is the result of XOR-ing the string consisting of the leftmost *M* octets of *S<sub>0</sub>*  
 13039 and the authentication tag *T*.

13040 **Output:** If any of the above operations has failed, then output ‘invalid’. Otherwise, output the right-concatenation of  
 13041 the encrypted message *Ciphertext* and the encrypted authentication tag *U*.

## A.3 CCM\* Mode Decryption and Authentication Checking Transformation

13042 **Input:** The CCM\* inverse transformation takes as inputs:

- 13044 1. A bit string *Key* of length *keylen* bits to be used as the key. Each entity SHALL have evidence that access to this  
 13045 key is restricted to the entity itself and its intended key-sharing group member(s).
- 13046 2. A nonce *N* of 15-*L* octets. Within the scope of any encryption key *Key*, the nonce value SHALL be unique.
- 13047 3. An octet string *c* of length *l(c)* octets, where  $0 \leq l(c)-M < 2^{8L}$ .
- 13048 4. An octet string *a* of length *l(a)* octets, where  $0 \leq l(a) < 2^{64}$ .

### A.3.1 Decryption Transformation

13049 The decryption transformation involves the following steps, in order:

- 13051 1. Parse the message *c* as *C* || *U*, where the rightmost string *U* is an *M*-octet string. If this operation fails, output  
 13052 ‘invalid’ and stop. *U* is the purported encrypted authentication tag. Note that the leftmost string *C* has length  
 13053 *l(c)*-*M* octets.
- 13054 2. Form the padded message *CiphertextData* by right-concatenating the string *C* with the smallest non-negative  
 13055 number of all-zero octets such that the octet string *CiphertextData* has length divisible by 16.
- 13056 3. Use the encryption transformation in section A.2.3, with the data *CipherTextData* and the tag *U* as inputs.
- 13057 4. Parse the output string resulting from applying this transformation as *m* || *T*, where the rightmost string *T* is an  
 13058 *M*-octet string. *T* is the purported authentication tag. Note that the leftmost string *m* has length *l(c)*-*M* octets.

13059 

### A.3.2 Authentication Checking Transformation

13060 The authentication checking transformation involves the following steps:

- 13061 1. Form the message AuthData using the input transformation in section A.2.1, with the string a and the octet  
13062 string m that was established in section A.3.1 (step 4) as inputs.
- 13063 2. Use the authentication transformation in section A.2.2, with the message AuthData as input.
- 13064 3. Compare the output tag MACTag resulting from this transformation with the tag T that was established in sec-  
13065 tion A.3.1 (step 4). If MACTag=T, output ‘valid’; otherwise, output ‘invalid’ and stop.

13066 **Output:** If any of the above verifications has failed, then output ‘invalid’ and reject the octet string *m*. Otherwise,  
13067 accept the octet string *m* and accept one of the key sharing group member(s) as the source of *m*.

13068 

### A.4 Restrictions

13069 All implementations SHALL limit the total amount of data that is encrypted with a single key. The CCM\* encryption  
13070 transformation SHALL invoke not more than  $2^{61}$  block-cipher encryption function operations in total, both for the  
13071 CBC-MAC and for the CTR encryption operations.

13072 At CCM\* decryption, one SHALL verify the (truncated) CBC-MAC before releasing any information, such as,  
13073 *Plaintext*. If the CBC-MAC verification fails, only the fact that the CBC-MAC verification failed shall be exposed;  
13074 all other information shall be destroyed.

13075

## 13076 **ANNEX B SECURITY BUILDING BLOCKS**

13077 This annex specifies the cryptographic primitives and mechanisms that are used to implement the security protocols  
13078 in this standard.

### 13079 **B.1 Symmetric-Key Cryptographic Building Blocks**

13080 The following symmetric-key cryptographic primitives and data elements are defined for use with all security-pro-  
13081 cessing operations specified in this standard.

#### 13082 **B.1.1 Block-Cipher**

13083 The block-cipher used in this specification SHALL be the Advanced Encryption Standard AES-128, as specified in  
13084 FIPS Pub 197. This block-cipher has a key size *keylen* that is equal to the block size, in bits, *i.e.*, *keylen*=128.

#### 13085 **B.1.2 Mode of Operation**

13086 The block-cipher mode of operation used in this specification SHALL be the CCM\* mode of operation, as specified  
13087 in section A.2.3, with the following instantiations:

- 13088 1. Each entity SHALL use the block-cipher *E* as specified in section B.1.1.
- 13089 2. All octets SHALL be represented as specified in the “Conventions and Abbreviations.”
- 13090 3. The parameter *L* SHALL have the integer value 2.
- 13091 4. The parameter *M* SHALL have one of the following integer values: 0, 4, 8, or 16.

#### 13092 **B.1.3 Cryptographic Hash Function**

13093 The cryptographic hash function used in this specification SHALL be the blockcipher based cryptographic hash func-  
13094 tion specified in section B.4, with the following instantiations:

- 13095 1. Each entity SHALL use the block-cipher *E* as specified in section B.1.1.
- 13096 2. All integers and octets SHALL be represented as specified in section B.1.2.

13097 The Matyas-Meyer-Oseas hash function (specified in section B.4) has a message digest size *hashlen* that is equal to  
13098 the block size, in bits, of the established block-cipher.

#### 13099 **B.1.4 Keyed Hash Function for Message Authentication**

13100 The keyed hash message authentication code (HMAC) used in this specification SHALL be HMAC, as specified in  
13101 the FIPS Pub 198 [B9], with the following instantiations:

- 13102 1. Each entity SHALL use the cryptographic hash *H* function as specified in section B.1.3.
- 13103 2. The block size *B* SHALL have the integer value 16 (this block size specifies the length of the data integrity key,  
13104 in bytes, that is used by the keyed hash function, *i.e.*, it uses a 128-bit data integrity key).
- 13105 3. The output size *HMAClen* of the HMAC function SHALL have the same integer value as the message digest  
13106 parameter *hashlen* as specified in section B.1.3.

#### 13107 **B.1.5 Specialized Keyed Hash Function for Message Authentication**

13108 The specialized keyed hash message authentication code used in this specification SHALL be as specified in section  
13109 B.1.4.

#### 13110 **B.1.6 Challenge Domain Parameters**

13111 The challenge domain parameters used in the specification SHALL be as specified in section B.2.1, with the following  
13112 instantiation: (*minchallengelen*, *maxchallengelen*)=(128,128).

13113 All challenges SHALL be validated using the challenge validation primitive as specified in section B.3.

## 13114 **B.2 Challenge Domain Parameter Generation and Validation**

13115 This section specifies the primitives that SHALL be used to generate and validate challenge domain parameters.

13116 Challenge domain parameters impose constraints on the length(s) of bit challenges a scheme expects. As such, this  
13117 establishes a bound on the entropy of challenges and, thereby, on the security of the cryptographic schemes in which  
13118 these challenges are used. In most schemes, the challenge domain parameters will be such that only challenges of a  
13119 fixed length will be accepted (for example, 128-bit challenges). However, one MAY define the challenge domain  
13120 parameters such that challenges of varying length might be accepted. Doing so is useful in contexts in which entities  
13121 that wish to engage in cryptographic schemes might have a bad random number generator onboard. Allowing both  
13122 entities that engage in a scheme to contribute sufficiently long inputs enables each of them to contribute sufficient  
13123 entropy to the scheme.

13124 In this standard, challenge domain parameters will be shared by a number of entities using a scheme determined by  
13125 the standard. The challenge domain parameters MAY be public; the security of the system does not rely on these  
13126 parameters being secret.

### 13127 **B.2.1 Challenge Domain Parameter Generation**

13128 Challenge domain parameters SHALL be generated using the following routine.

13129 **Input:** This routine does not take any input.

13130 **Actions:** The following actions are taken:

- 13131 1. Choose two nonnegative integers *minchallengelen* and *maxchallengelen*, such that  
13132  $\text{minchallengelen} \leq \text{maxchallengelen}$ .

13133 **Output:** Challenge domain parameters  $D=(\text{minchallengelen}, \text{maxchallengelen})$ .

### 13134 **B.2.2 Challenge Domain Parameter Verification**

13135 Challenge domain parameters SHALL be verified using the following routine.

13136 **Input:** Purported set of challenge domain parameters  $D=(\text{minchallengelen}, \text{maxchallengelen})$ .

13137 **Actions:** The following checks are made:

- 13138 1. Check that *minchallengelen* and *maxchallengelen* are non-negative integers.  
13139 2. Check that  $\text{minchallengelen} \leq \text{maxchallengelen}$ .

13140 **Output:** If any of the above verifications has failed, then output ‘invalid’ and reject the challenge domain parameters.  
13141 Otherwise, output ‘valid’ and accept the challenge domain parameters.

## 13142 **B.3 Challenge Validation Primitive**

13143 It is used to check whether a challenge to be used by a scheme in the standard has sufficient length (for example,  
13144 messages that are too short are discarded, due to insufficient entropy).

13145 **Input:** The input of the validation transformation is a valid set of challenge domain parameters  
13146  $D=(\text{minchallengelen}, \text{maxchallengelen})$ , together with the bit string *Challenge*.

13147 **Actions:** The following actions are taken:

- 13148 1. Compute the bit-length *challengelen* of the bit string *Challenge*.  
13149 2. Verify that  $\text{challengelen} \in [\text{minchallengelen}, \text{maxchallengelen}]$ . (That is, verify that the challenge has an appro-  
13150 priate length.)

13151 **Output:** If the above verification fails, then output ‘invalid’ and reject the challenge. Otherwise, output ‘valid’ and  
13152 accept the challenge.

## 13153 B.4 Block-Cipher-Based Cryptographic Hash Function

13154 This section specifies the Matyas-Meyer-Oseas hash function, a cryptographic hash function based on block-ciphers.  
 13155 We define this hash function for blockciphers with a key size equal to the block size, such as AES-128, and with a  
 13156 particular choice for the fixed initialization vector  $IV$  (we take  $IV=0$ ). For a more general definition of the Matyas-  
 13157 Meyer-Oseas hash function, refer to Section 9.4.1 of [B15].

13158 **Prerequisites:** The following are the prerequisites for the operation of Matyas- Meyer-Oseas hash function:

- 13159 1. A block-cipher encryption function  $E$  SHALL have been chosen, with a key size that is equal to the block size.  
 13160 The Matyas-Meyer-Oseas hash function has a message digest size that is equal to the block size of the estab-  
 13161 lished encryption function. It operates on bit strings of length less than  $2^{2n}$ , where  $n$  is the block size, in octets,  
 13162 of the established block-cipher.
- 13163 2. A fixed representation of integers as binary strings or octet strings SHALL have been chosen.

13164 **Input:** The input to the Matyas-Meyer-Oseas hash function is as follows:

- 13165 1. A bit string  $M$  of length  $l$  bits, where  $0 \leq l < 2^{2n}$

13166 **Actions:** The hash value SHALL be derived as follows:

- 13167 1. If the message  $M$  has length less than  $2^n$  bits, pad this message according to the following procedure:
  - 13168 a. Right-concatenate to the message  $M$  the binary consisting of the bit '1' followed by  $k$  '0' bits, where  $k$  is  
 13169 the smallest non-negative solution to the equation:  

$$l+1+k \equiv 7n \pmod{8n} \quad (1)$$
  - 13171 b. Form the padded message  $M'$  by right-concatenating to the resulting string the  $n$ -bit string that is equal to the  
 13172 binary representation of the integer  $l$ .
- 13173 2. Otherwise pad this message according to the following method:
  - 13174 a. Right concatenate to the message  $M$  the binary consisting of the bit '1' followed by  $k$  '0' bits, where  $k$  is the  
 13175 smallest non-negative solution to the equation:  

$$l + 1 + k \equiv 5n \pmod{8n} \quad (2)$$
  - 13177 b. Form the padded message  $M'$  by right-concatenating to the resulting string the  $2n$ -bit string that is equal to the  
 13178 binary representation of the integer  $l$  and right-concatenating to the resulting string the  $n$ -bit all-zero bit  
 13179 string.
- 13180 3. Parse the padded message  $M'$  as  $M_1 // M_2 || \dots || M_t$  where each message block  $M_i$  is an  $n$ -octet string.
- 13181 4. The output  $Hash_t$  is defined by

$$Hash_0 = 0^{8n}; Hash_j = E(Hash_{j-1}, M_j) \oplus M_j \text{ for } j=1, \dots, t \quad (3)$$

13182 Here,  $E(K, x)$  is the ciphertext that results from encryption of the plaintext  $x$ , using the established block-cipher  
 13183 encryption function  $E$  with key  $K$ ; the string  $0^{8n}$  is the  $n$ -octet all-zero bit string.

13184 **Output:** The bit string  $Hash_t$  as the hash value.

13185 Note that the cryptographic hash function operates on bit strength of length less than  $2^{2n}$  bits, where  $n$  is the block  
 13186 size (or key size) of the established block cipher, in bytes. For example, the Matyas-Meyer-Oseas hash function with  
 13187 AES- 128 operates on bit strings of length less than 232 bits. It is assumed that all hash function calls are on bit strings  
 13188 of length less than  $2^{2n}$  bits. Any scheme attempting to call the hash function on a bit string exceeding  $2^{2n}$  bits SHALL  
 13189 output 'invalid' and stop.

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## ANNEX C TEST VECTORS FOR CRYPTOGRAPHIC BUILDING BLOCKS

This annex provides sample test vectors for the Zigbee community, aimed at with the intent of assisting in building interoperable security implementations. The sample test vectors are provided as is, pending independent validation.

### C.1 Data Conversions

For test vectors, see Appendix J1 of ANSI X9.63-2001 [B7].

### C.2 AES Block Cipher

This annex provides sample test vectors for the block-cipher specified in section B.1.1.

For test vectors, see FIPS Pub 197 [B8].

### C.3 CCM\* Mode Encryption and Authentication Transformation

This annex provides sample test vectors for the mode of operation as specified in section B.1.2.

**Prerequisites:** The following prerequisites are established for the operation of the mode of operation:

1. The parameter  $M$  SHALL have the integer value 8.

**Input:** The inputs to the mode of operation are:

1. The key  $Key$  of size  $keylen=128$  bits to be used:

$Key = C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF$

2. The nonce  $N$  of  $15-L=13$  octets to be used:

$Nonce = A0\ A1\ A2\ A3\ A4\ A5\ A6\ A7\ ||\ 03\ 02\ 01\ 00\ ||\ 06$

3. The octet string  $m$  of length  $l(m)=23$  octets to be used:

$m = 08\ 09\ 0A\ 0B\ 0C\ 0D\ 0E\ 0F\ 10\ 11\ 12\ 13\ 14\ 15\ 16\ 17\ 18\ 19\ 1A\ 1B\ 1C\ 1D\ 1E$

4. The octet string  $a$  of length  $l(a)=8$  octets to be used:

$a = 00\ 01\ 02\ 03\ 04\ 05\ 06\ 07$

#### C.3.1 Input Transformation

This step involves the transformation of the input strings  $a$  and  $m$  to the strings  $AuthData$  and  $PlainTextData$ , to be used by the authentication transformation and the encryption transformation, respectively.

1. Form the octet string representation  $L(a)$  of the length  $l(a)$  of the octet string  $a$ :

$L(a) = 00\ 08$

2. Right-concatenate the octet string  $L(a)$  and the octet string  $a$  itself:

$L(a) // a = 00\ 08\ //\ 00\ 01\ 02\ 03\ 04\ 05\ 06\ 07$

3. Form the padded message  $AddAuthData$  by right-concatenating the resulting string with the smallest non-negative number of all-zero octets such that the octet string  $AddAuthData$  has length divisible by 16:

$AddAuthData = 00\ 08\ //\ 00\ 01\ 02\ 03\ 04\ 05\ 06\ 07\ //\ 00\ 00\ 00\ 00\ 00\ 00$

13244 4. Form the padded message PlaintextData by right-concatenating the octet string m with the smallest non-negative number of all-zero octets such that the octet string PlaintextData has length divisible by 16:

13246  $\text{PlaintextData} = 08\ 09\ 0A\ 0B\ 0C\ 0D\ 0E\ 0F\ 10\ 11\ 12\ 13\ 14\ 15\ 16\ 17\ //$   
 13247  $18\ 19\ 1A\ 1B\ 1C\ 1D\ 1E\ //\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00$

13248 5. Form the message AuthData consisting of the octet strings AddAuthData and PlaintextData:

13249  $\text{AuthData} = 00\ 08\ 00\ 01\ 02\ 03\ 04\ 05\ 06\ 07\ 00\ 00\ 00\ 00\ 00\ 00\ //$   
 13250  $08\ 09\ 0A\ 0B\ 0C\ 0D\ 0E\ 0F\ 10\ 11\ 12\ 13\ 14\ 15\ 16\ 17$   
 13251  $18\ 19\ 1A\ 1B\ 1C\ 1D\ 1E\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00$

### C.3.2 Authentication Transformation

13253 The data *AuthData* that was established above SHALL be tagged using the following tagging transformation:

13254 1. Form the 1-octet Flags field as follows:

13255  $\text{Flags} = 59$

13256 2. Form the 16-octet  $B_0$  field as follows:

13257  $B_0 = 59\ // A0\ A1\ A2\ A3\ A4\ A5\ A6\ A7\ 03\ 02\ 01\ 00\ 06\ // 00\ 17$

13258 3. Parse the message AuthData as  $B_1\ // B_2\ // B_3$ , where each message block  $B_i$  is a 16-octet string.

13259 4. The CBC-MAC value  $X_4$  is calculated as follows:

i	$B_i$	$X_i$
0	59 A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06 00 17	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
1	00 08 00 01 02 03 04 05 06 07 00 00 00 00 00 00	F7 74 D1 6E A7 2D C0 B3 E4 5E 36 CA 8F 24 3B 1A
2	08 09 0A 0B 0C 0D 0E 0F 10 11 12 13 14 15 16 17	90 2E 72 58 AE 5A 4B 5D 85 7A 25 19 F3 C7 3A B3
3	18 19 1A 1B 1C 1D 1E 00 00 00 00 00 00 00 00 00	5A B2 C8 6E 3E DA 23 D2 7C 49 7D DF 49 BB B4 09
4	æ	B9 D7 89 67 04 BC FA 20 B2 10 36 74 45 F9 83 D6

13260 The authentication tag  $T$  is the result of omitting all but the leftmost  $M=8$  octets of the CBC-MAC value  $X_4$ :

13261  $T = B9\ D7\ 89\ 67\ 04\ BC\ FA\ 20$

### C.3.3 Encryption Transformation

13263 The data *PlaintextData* SHALL be encrypted using the following encryption transformation:

13264 1. Form the 1-octet Flags field as follows:

13265  $\text{Flags} = 01$

13266

13267 2. Define the 16-octet  $A_i$  field as follows:

$i$	$A_i$
0	01    A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06    00 00
1	01    A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06    00 01
2	01    A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06    00 02

13268 3. Parse the message PlaintextData as  $M_1 || M_2$ , where each message block  $M_i$  is a 16-octet string.

13269 4. The ciphertext blocks  $C_1, C_2$  are computed as follows:

$i$	$\text{AES}(\text{Key}, A_i)$	$C_i = \text{AES}(\text{Key}, A_i) \oplus M_i$
1	12 5C A9 61 B7 61 6F 02 16 7A 21 66 70 89 F9 07	1A 55 A3 6A BB 6C 61 0D 06 6B 33 75 64 9C EF 10
2	CC 7F 54 D1 C4 49 B6 35 46 21 46 03 AA C6 2A 17	D4 66 4E CA D8 54 A8 35 46 21 46 03 AA C6 2A 17

13270 5. The string Ciphertext is the result of omitting all but the leftmost  $l(m)=23$  octets of the string  $C_1 || C_2$ :

$CipherText = 1A\ 55\ A3\ 6A\ BB\ 6C\ 61\ 0D\ 06\ 6B\ 33\ 75\ 64\ 9C\ EF\ 10\ //\ D4\ 66\ 4E\ CA\ D8\ 54\ A8$

13271 6. Define the 16-octet encryption block  $S_0$  by:

$S_0 = E(\text{Key}, A_0) = B3\ 5E\ D5\ A6\ DC\ 43\ 6E\ 49\ D6\ 17\ 2F\ 54\ 77\ EB\ B4\ 39$

13272 7. The encrypted authentication tag  $U$  is the result of XOR-ing the string consisting of the leftmost  $M=8$  octets of  $S_0$  and the authentication tag  $T$ :

$U = 0A\ 89\ 5C\ C1\ D8\ FF\ 94\ 69$

**Output:** the right-concatenation  $c$  of the encrypted message *Ciphertext* and the encrypted authentication tag  $U$ :

$c = 1A\ 55\ A3\ 6A\ BB\ 6C\ 61\ 0D\ 06\ 6B\ 33\ 75\ 64\ 9C\ EF\ 10\ //\ D4\ 66\ 4E\ CA\ D8\ 54\ A8\ //\ 0A\ 89\ 5C\ C1\ D8\ FF\ 94\ 69$

## C.4 CCM\* Mode Decryption and Authentication Checking Transformation

13273 This annex provides sample test vectors for the inverse of the mode of operation as specified in section B.1.2.

13274 **Prerequisites:** The following prerequisites are established for the operation of the mode of operation:

1. The parameter  $M$  SHALL have the integer value 8.

13275 **Input:** The inputs to the inverse mode of operation are:

1. The key Key of size keylen=128 bits to be used:

$Key = C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF$

13276 2. The nonce N of 15-L=13 octets to be used:

$Nonce = A0\ A1\ A2\ A3\ A4\ A5\ A6\ A7\ //\ 03\ 02\ 01\ 00\ //\ 06$

13277 3. The octet string  $c$  of length  $l(c)=31$  octets to be used:

13291      $c = IA\ 55\ A3\ 6A\ BB\ 6C\ 61\ 0D\ 06\ 6B\ 33\ 75\ 64\ 9C\ EF\ 10\ //\ D4\ 66\ 4E\ CA\ D8\ 54\ A8\ //\ 0A\ 89\ 5C\ C1\ D8\ FF\ 94\ 69$

13292     4. The octet string a of length l(a)=8 octets to be used:

13293          $a = 00\ 01\ 02\ 03\ 04\ 05\ 06\ 07$

### C.4.1 Decryption Transformation

13295     The decryption transformation involves the following steps, in order:

13296     1. Parse the message c as C || U, where the rightmost string U is an M-octet string:

13297          $C = IA\ 55\ A3\ 6A\ BB\ 6C\ 61\ 0D\ 06\ 6B\ 33\ 75\ 64\ 9C\ EF\ 10\ //\ D4\ 66\ 4E\ CA\ D8\ 54\ A8;$   
 13298          $U = 0A\ 89\ 5C\ C1\ D8\ FF\ 94\ 69$

13299     2. Form the padded message CiphertextData by right-concatenating the string C with the smallest non-negative  
 13300         number of all-zero octets such that the octet string CiphertextData has length divisible by 16.

13301          $CiphertextData = IA\ 55\ A3\ 6A\ BB\ 6C\ 61\ 0D\ 06\ 6B\ 33\ 75\ 64\ 9C\ EF\ 10\ //\ D4\ 66\ 4E\ CA\ D8\ 54\ A8\ //\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00$

13303     3. Form the 1-octet Flags field as follows:

13304          $Flags = 01$

13305     4. Define the 16-octet  $A_i$  field as follows:

i	$A_i$
0	01    A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06    00 00
1	01    A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06    00 01
2	01    A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06    00 02

13306     5. Parse the message CiphertextData as  $C_1 || C_2$ , where each message block  $C_i$  is a 16-octet string.

13307     6. The ciphertext blocks P1, P2 are computed as follows.

I	$\text{AES}(\text{Key}, A_i)$	$P_i = \text{AES}(\text{Key}, A_i) \oplus C_i$
1	12 5C A9 61 B7 61 6F 02 16 7A 21 66 70 89 F9 07	08 09 0A 0B 0C 0D 0E 0F 10 11 12 13 14 15 16 17
2	CC 7F 54 D1 C4 49 B6 35 46 21 46 03 AA C6 2A 17	18 19 1A 1B 1C 1D 1E 00 00 00 00 00 00 00 00

13308     7. The octet string m is the result of omitting all but the leftmost  $l(m)=23$  octets of the string  $P1 || P2$ :

13309          $m = 08\ 09\ 0A\ 0B\ 0C\ 0D\ 0E\ 0F\ 10\ 11\ 12\ 13\ 14\ 15\ 16\ 17\ ||\ 18\ 19\ 1A\ 1B\ 1C\ 1D\ 1E$

13310     8. Define the 16-octet encryption block S0 by

13311          $S_0 = E(\text{Key}, A_0) = B3\ 5E\ D5\ A6\ DC\ 43\ 6E\ 49\ D6\ 17\ 2F\ 54\ 77\ EB\ B4\ 39$

13312     9. The purported authentication tag T is the result of XOR-ing the string consisting of the leftmost M=8 octets of  
 13313         S0 and the octet string U:

13314          $T = B9\ D7\ 89\ 67\ 04\ BC\ FA\ 20$

13315

#### C.4.2 Authentication Checking Transformation

The authentication checking transformation involves the following steps:

1. Form the message AuthData using the input transformation in Input Transformation, with the string a as inputs and the octet string m that was established in section C.4.1 (step7):

```
AuthData = 00 08 01 02 03 04 05 06 07 00 00 00 00 00 00 00 ||  
          08 09 0A 0B 0C 0D 0E 0F 10 11 12 13 14 15 16 17  
          18 19 1A 1B 1C 1D 1E 00 00 00 00 00 00 00 00 00
```

- Use the authentication transformation in section C.3.2, with the message `AuthData` to compute the authentication tag `MACTag` as input:

*MACTag* = B9 D7 89 67 04 BC FA 20

3. Compare the output tag MACTag resulting from this transformation with the tag T that was established in section C.4.1 (step 9):

*T = B9 D7 89 67 04 BC FA 20 = MACTag*

13329   **Output:** Since  $MACTag=T$ , output ‘valid’ and accept the octet string  $m$  and accept one of the key sharing group  
13330   member(s) as the source of  $m$ .

## C.5 Cryptographic Hash Function

13332 This section provides sample test vectors for the cryptographic hash function specified in section B.1.3.

### C.5.1 Test Vector Set 1

**Input:** The input to the cryptographic hash function is as follows:

1. The bit string  $M$  of length  $l=8$  bits to be used:

*M=CO*

13337 **Actions:** The hash value SHALL be derived as follows:

- Pad the message M by right-concatenating to M the bit '1' followed by the smallest non-negative number of '0' bits, such that the resulting string has length 14 (mod 16) octets:

C0 || 80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

- Form the padded message  $M'$  by right-concatenating to the resulting string the 16-bit string that is equal to the binary representation of the integer  $l$ :

- 13344 3. Parse the padded message  $M'$  as  $M_1$ , where each message block  $M_i$  is a 16-octet string.

- 13345 4. The hash value Hash1 is computed as follows:

**Output:** the 16-octet string  $\text{Hash} = \text{Hash}_1 = \text{AE } 3\text{A } 10\ 2\text{A } 28\ \text{D}4\ 3\text{E } \text{E}0\ \text{D}4\ \text{A}0\ 9\text{E } 22\ 7\text{8 } 8\text{B } 20\ 6\text{C}$ .

13347

13348 **C.5.2 Test Vector Set 2**

13349 **Input:** The input to the cryptographic hash function is as follows:

- 13350 1. The bit string M of length l=128 bits to be used:

13351  $M = C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF$

13352 **Actions:** The hash value SHALL be derived as follows:

- 13353 1. Pad the message M by right-concatenating to M the bit ‘1’ followed by the smallest non-negative number of ‘0’ bits, such that the resulting string has length 14 (mod 16) octets:

13355  $C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF\ ||$

13356  $80\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00$

- 13357 2. Form the padded message M’ by right-concatenating to the resulting string the 16-bit string that is equal to the binary representation of the integer l:

13359  $M' = C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF\ ||$

13360  $80\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ ||\ 00\ 80$

- 13361 3. Parse the padded message M’ as M1 || M2, where each message block Mi is a 16-octet string.

- 13362 4. The hash value Hash2 is computed as follows:

i	Hash <sub>i</sub>	M <sub>i</sub>
0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	$\infty$
1	84 EE 75 E5 4F 9A 52 0F 0B 30 9C 35 29 1F 83 4F	C0 C1 C2 C3 C4 C5 C6 C7 C8 C9 CA CB CC CD CE CF
2	A7 97 7E 88 BC 0B 61 E8 21 08 27 10 9A 22 8F 2D	80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 08

13363 **Output:** the 16-octet string  $Hash = Hash_2 = A7\ 97\ 7E\ 88\ BC\ 0B\ 61\ E8\ 21\ 08\ 27\ 10\ 9A\ 22\ 8F\ 2D$ .

13364 **C.5.3 Test Vector Set 3**

13365 **Input:** The input to the cryptographic hash function is as follows:

- 13366 1. The bit string M of length l = 65528 bits to be used.

- 13367 2. 8191 bytes (sequence of 0, 1, 2, ... 255, 0, 1, 2, ...)

- 13368 3. This test vector is beneath the threshold of a 216 bit string so the first padding method described in section B.4 is utilized.

13370 **Actions:** The hash value SHALL be derived as follows:

- 13371 1. Pad the message by right-concatenating to M the bit 1 followed by the smallest non-negative number of '0' bits, such that the resulting string has length 14 (mod 16) octets:

13373  $00\ 01\ 02\ 03\ 04\ \dots\ FB\ FC\ FD\ FE\ ||\ 80\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00$

- 13374 2. Form the padded message M’ by right-concatenating to the resulting string the 16-bit string that is equal to the binary representation of the integer l:

13376  $00\ 01\ 02\ 03\ 04\ \dots\ FB\ FC\ FD\ FE\ ||\ 80\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ ||\ FF\ F8$

- 13377 3. Parse the padded message M’ as M1, where each message block Mi is a 16-octet string.

13378

13379

4. The hash value Hash1 is computed as follows using 16-byte hash block operations:

i	Hash <sub>i</sub>	M <sub>i</sub>
0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	-
1	7A CB 0D DA B8 D3 EA 7B 97 9E 4C 6D 1A EB AC 8D	00 01 02 03 04 05 06 07 08 09 0A 0B 0C 0D 0E 0F
...	...	...
i - 1	C3 22 D1 D3 9D 10 86 43 82 06 BD EB 26 41 66 1C	F0 F1 F2 F3 F4 F5 F6 F7 F8 F9 FA FB FC FD FE 80
i	24 EC 2F E7 5B BF FC B3 47 89 BC 06 10 E7 F1 65	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 FF F8

13380

#### C.5.4 Test Vector 4

13381

**Input:** The input to the cryptographic hash function is as follows:

13382

1. The bit string M of length l = 65536 bits to be used.

13383

2. 8192 bytes (sequence of 0, 1, 2, ... 255, 0, 1, 2, ...)

13384

3. This test vector is above the threshold of a 216 bit string so the second padding method described in section B.4 is utilized.

13385

**Actions:** The hash value SHALL be derived as follows.

1. Pad the message by right-concatenating to M the bit 1 followed by the smallest non-negative number of '0' bits, such that the resulting string has length 10 (mod 16) octets:

13389

00 01 02 03 04 ... FB FC FD FE FF || 80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

2. Form the padded message M' by right-concatenating to the resulting string the 32-bit string that is equal to the binary representation of the integer l:

13392

00 01 02 03 04 ... FB FC FD FE FF || 80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 || 00 01 00 00

3. Concatenate a 16-bit string of zeros for the padding normally used by the first padding method described in section B.4.

13395

00 01 02 03 04 ... FB FC FD FE FF || 80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 || 00 01 00 00 || 00 00

13396

4. Parse the padded message M' as M1, where each message block Mi is a 16-octet string.

13397

5. The hash value Hash1 is computed as follows using 16-byte hash block operations:

i	Hash <sub>i</sub>	M <sub>i</sub>
0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	-
1	7A CB 0D DA B8 D3 EA 7B 97 9E 4C 6D 1A EB AC 8D	00 01 02 03 04 05 06 07 08 09 0A 0B 0C 0D 0E 0F
...	...	...
i - 1	4E 55 0D CE 34 31 42 96 41 BA D0 C7 BC 44 34 67	F0 F1 F2 F3 F4 F5 F6 F7 F8 F9 FA FB FC FD FE FF
i	DC 6B 06 87 F0 9F 86 07 13 1C 17 0B 3B D3 15 91	80 00 00 00 00 00 00 00 00 00 00 00 01 00 00 00 00

### 13398 C.5.5 Test Vector 5

13399 **Input:** The input to the cryptographic hash function is as follows:

13400 1. The bit string M of length l = 65608 bits to be used.

13401 2. 8201 bytes (sequence of 0, 1, 2, ... 255, 0, 1, 2, ...)

13402 3. This test vector is above the threshold of a 216 bit string so the second padding method described in section B.4  
13403 is utilized.

13404 **Actions:** The hash value SHALL be derived as follows.

13405 1. Pad the message by right-concatenating to M the bit 1 followed by the smallest non-negative number of '0' bits,  
13406 such that the resulting string has length 10 (mod 16) octets:

13407 00 01 02 03 04 ... 04 05 06 07 08 || 80

13408 2. Form the padded message M' by right-concatenating to the resulting string the 32-bit string that is equal to the  
13409 binary representation of the integer l:

13410 00 01 02 03 04 ... 04 05 06 07 08 || 80 || 00 01 00 48

13411 3. Concatenate a 16-bit string of zeros for the padding normally used by the first padding method described in sec-  
13412 tion B.4.

13413 00 01 02 03 04 ... 04 05 06 07 08 || 80 || 00 01 00 48 || 00 00

13414 4. Parse the padded message M' as M<sub>1</sub>, where each message block M<sub>i</sub> is a 16-octet string.

13415

13416 5. The hash value Hash<sub>i</sub> is computed as follows using 16-byte hash block operations:

<b>i</b>	<b>Hash<sub>i</sub></b>	<b>M<sub>i</sub></b>
<b>0</b>	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	-
<b>1</b>	7A CB 0D DA B8 D3 EA 7B 97 9E 4C 6D 1A EB AC 8D	00 01 02 03 04 05 06 07 08 09 0A 0B 0C 0D 0E 0F
...	...	...
<b>i - 1</b>	4E 55 0D CE 34 31 42 96 41 BA D0 C7 BC 44 34 67	F0 F1 F2 F3 F4 F5 F6 F7 F8 F9 FA FB FC FD FE FF
<b>i</b>	72 C9 B1 5E 17 8A A8 43 E4 A1 6C 58 E3 36 43 A3	00 01 02 03 04 05 06 07 08 80 00 01 00 48 00 00

## C.5.6 Test Vector 6

13418 **Input:** The input to the cryptographic hash function is as follows:

13419 1. The bit string M of length l = 65616 bits to be used.

13420 2. 8202 bytes (sequence of 0, 1, 2, ... 255, 0, 1, 2, ...)

13421 3. This test vector is above the threshold of a 216 bit string so the second padding method described in section B.4  
13422 is utilized.

13423 **Actions:** The hash value SHALL be derived as follows.

13424 1. Pad the message by right-concatenating to M the bit 1 followed by the smallest non-negative number of '0' bits,  
13425 such that the resulting string has length 10 (mod 16) octets:

13426 00 01 02 03 04 ... 05 06 07 08 09 || 80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

13427 2. Form the padded message M' by right-concatenating to the resulting string the 32-bit string that is equal to the  
13428 binary representation of the integer l:

13429 00 01 02 03 04 ... 05 06 07 08 09 || 80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 || 00 01 00 50

13430 3. Concatenate a 16-bit string of zeros for the padding normally used by the first padding method described in sec-  
13431 tion B.4.

13432 00 01 02 03 04 ... 05 06 07 08 09 || 80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 || 00 01 00 50 || 00 00

13433 4. Parse the padded message M' as M<sub>1</sub>, where each message block M<sub>i</sub> is a 16-octet string.

13434

13435 5. The hash value Hash1 is computed as follows using 16-byte hash block operations:

i	Hash <sub>i</sub>	M <sub>i</sub>
0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	-
1	7A CB 0D DA B8 D3 EA 7B 97 9E 4C 6D 1A EB AC 8D	00 01 02 03 04 05 06 07 08 09 0A 0B 0C 0D 0E 0F
...	...	...
i - 1	CC C1 F8 A3 D5 6A 93 20 41 08 10 2B 46 25 0D A7	00 01 02 03 04 05 06 07 08 09 80 00 00 00 00 00
i	BC 98 28 D5 9B 2A A3 23 DA F2 0B E5 F2 E6 65 11	00 00 00 00 00 00 00 00 00 00 00 00 01 00 50 00 00

## 13436 C.6 Keyed Hash Function for Message Authentication

13437 This annex provides sample test vectors for the keyed hash function for message authentication as specified in section  
13438 B.1.4.

### 13439 C.6.1 Test Vector Set 1

13440 **Input:** The input to the keyed hash function is as follows:

13441 1. The key Key of size keylen=128 bits to be used:

$$13442 \text{Key} = 40\ 41\ 42\ 43\ 44\ 45\ 46\ 47\ 48\ 49\ 4A\ 4B\ 4C\ 4D\ 4E\ 4F$$

13443 2. The bit string M of length l=8 bits to be used:

$$13444 M=C0$$

13445 **Actions:** The keyed hash value SHALL be derived as follows:

13446 1. Create the 16-octet string ipad (inner pad) as follows:

$$13447 ipad = 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36$$

13448 2. Form the inner key Key1 by XOR-ing the bit string Key and the octet string ipad:

$$13449 Key_1 = Key \oplus ipad = 76\ 77\ 74\ 75\ 72\ 73\ 70\ 71\ 7E\ 7F\ 7C\ 7D\ 7A\ 7B\ 78\ 79$$

13450 3. Form the padded message M1 by right-concatenating the bit string Key1 with the bit string M:

$$13451 M_1 = Key_1 \parallel M = 76\ 77\ 74\ 75\ 72\ 73\ 70\ 71\ 7E\ 7F\ 7C\ 7D\ 7A\ 7B\ 78\ 79 \parallel C0$$

13452 4. Compute the hash value Hash1 of the bit string M1:

$$13453 Hash_1 = 3C\ 3D\ 53\ 75\ 29\ A7\ A9\ A0\ 3F\ 66\ 9D\ CD\ 88\ 6C\ B5\ 2C$$

13454 5. Create the 16-octet string opad (outer pad) as follows:

$$13455 opad = 5C\ 5C$$

13456 6. Form the outer key Key2 by XOR-ing the bit string Key and the octet string opad:

$$13457 Key_2 = Key \oplus opad = 1C\ 1D\ 1E\ 1F\ 18\ 19\ 1A\ 1B\ 14\ 15\ 16\ 17\ 10\ 11\ 12\ 13$$

13458

13459 7. Form the padded message M2 by right-concatenating the bit string Key2 with the bit string Hash1:

13460  $M_2 = Key_2 \parallel Hash_1 = 1C\ 1D\ 1E\ 1F\ 18\ 19\ 1A\ 1B\ 14\ 15\ 16\ 17\ 10\ 11\ 12\ 13\ \parallel$   
 13461  $3C\ 3D\ 53\ 75\ 29\ A7\ A9\ A0\ 3F\ 66\ 9D\ CD\ 88\ 6C\ B5\ 2C$

13462 8. Compute the hash value Hash2 of the bit string M2:

13463  $Hash_2 = 45\ 12\ 80\ 7B\ F9\ 4C\ B3\ 40\ 0F\ 0E\ 2C\ 25\ FB\ 76\ E9\ 99$

13464 **Output:** the 16-octet string  $HMAC = Hash_2 = 45\ 12\ 80\ 7B\ F9\ 4C\ B3\ 40\ 0F\ 0E\ 2C\ 25\ FB\ 76\ E9\ 99$

## 13465 C.6.2 Test Vector Set 2

13466 **Input:** The input to the keyed hash function is as follows:

13467 1. The key Key of size keylen=256 bits to be used:

13468  $Key = 40\ 41\ 42\ 43\ 44\ 45\ 46\ 47\ 48\ 49\ 4A\ 4B\ 4C\ 4D\ 4E\ 4F\ \parallel 50\ 51\ 52\ 53\ 54\ 55\ 56\ 57\ 58\ 59\ 5A\ 5B\ 5C\ 5D\ 5E\ 5F$

13469 2. The bit string M of length l=128 bits to be used:

13470  $M = C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF$

13471 **Actions:** The keyed hash value SHALL be derived as follows:

13472 1. Compute the hash value Key0 of the bit string Key:

13473  $Key_0 = 22\ F4\ 0C\ BE\ 15\ 66\ AC\ CF\ EB\ 77\ 77\ E1\ C4\ A9\ BB\ 43$

13474 2. Create the 16-octet string ipad (inner pad) as follows:

13475  $ipad = 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36$

13476 3. Form the inner key Key1 by XOR-ing the bit key Key0 and the octet string ipad:

13477  $Key_1 = Key_0 \oplus ipad = 14\ C2\ 3A\ 88\ 23\ 50\ 9A\ F9\ DD\ 41\ 41\ D7\ F2\ 9F\ 8D\ 75$

13478 4. Form the padded message M1 by right-concatenating the bit string Key1 with the bit string M:

13479  $M_1 = Key_1 \parallel M = 14\ C2\ 3A\ 88\ 23\ 50\ 9A\ F9\ DD\ 41\ 41\ D7\ F2\ 9F\ 8D\ 75\ \parallel$

13480  $C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF$

13481 5. Compute the hash value Hash1 of the bit string M1:

13482  $Hash_1 = 42\ 65\ BE\ 29\ 74\ 55\ 8C\ A2\ 7B\ 77\ 85\ AC\ 73\ F2\ 22\ 10$

13483 6. Create the 16-octet string opad (outer pad) as follows:

13484  $opad = 5C\ 5C$

13485 7. Form the outer key Key2 by XOR-ing the bit string Key0 and the octet string opad:

13486  $Key_2 = Key_0 \oplus opad = 7E\ A8\ 50\ E2\ 49\ 3A\ F0\ 93\ B7\ 2B\ 2B\ BD\ 98\ F5\ E7\ 1F$

13487 8. Form the padded message M2 by right-concatenating the bit string Key2 with the bit string Hash1:

13488  $M_2 = Key_2 \parallel Hash_1 = 7E\ A8\ 50\ E2\ 49\ 3A\ F0\ 93\ B7\ 2B\ 2B\ BD\ 98\ F5\ E7\ 1F\ \parallel$

13489  $42\ 65\ BE\ 29\ 74\ 55\ 8C\ A2\ 7B\ 77\ 85\ AC\ 73\ F2\ 22\ 10$

13490 9. Compute the hash value Hash2 of the bit string M2:

13491  $Hash_2 = A3\ B0\ 07\ 99\ 84\ BF\ 15\ 57\ F7\ 4A\ 0D\ 63\ 87\ E0\ A1\ 1A$

13492 **Output:** the 16-octet string  $HMAC = Hash_2 = A3\ B0\ 07\ 99\ 84\ BF\ 15\ 57\ F7\ 4A\ 0D\ 63\ 87\ E0\ A1\ 1A$

## 13493 C.6.3 Specialized Keyed Hash Function for Message Authentication

13494 This annex provides sample test vectors for the specialized keyed hash function for message authentication as specified  
 13495 in section B.1.4.

13496 For test vectors, see section C.6.

## C.7 Key Agreement using Elliptic Curve Diffie Helmann Derivatives

13498     Important Note: In this section, binary octet streams are represented with the first octet (for example, 41 hex in the  
13499       first Private Key below) in the first location in memory and subsequent octets in successive locations in memory.

## 13500 C.7.1 Test Vectors for SPEKE/Curve25519/AES-MMO-128/HMAC-AES-MMO-128

This section provides test vectors for the Simple Password Exponential Key Exchange (SPEKE) Password-Authenticated Key Exchange (PAKE) algorithm as specified in section J.1.3. In order to generate reproducible results the private keys of the two parties engaging in key agreement, henceforth referred to as Alice and Bob, are not generated randomly from a cryptographically safe source, as they usually would be, but they are rather set as follows:

Party	Chosen Private Key (ASCII)	Private Key (Binary)
Alice	AliceAliceAliceAliceAliceAliceAl	41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C
Bob	BobBobBobBobBobBobBobBobBobBobBo	42 6F 62 42 6F 42 6F 62 42 6F

13505 These keys undergo Curve25519 private key clamping as follows:

Party	Chosen Private Key (ASCII)	Clamped Private Key d (Binary)
Alice (d <sub>1</sub> )	AliceAliceAliceAliceAliceAliceAl	40 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C
Bob (d <sub>2</sub> )	BobBobBobBobBobBobBobBobBobBobBo	40 6F 62 42 6F 42 6F 62 42 6F 62

13506 Notice that the binary octet stream printed above corresponds to a little-endian representation, i.e., if the key were  
13507 considered a large integer, its value would be 6C416563...696C40 for Alice, for example.

Party	Identification (EUI-64) A
Alice (A <sub>i</sub> )	A0:A0:0A:0A:A0:A0:00:AA
Bob (A <sub>r</sub> )	B0:B0:0B:0B:B0:B0:00:BB

13508 C.7.1.1 Test Vector #1

For the present test vector, the Pre-shared Key (PSK) is assumed as *apscWellKnownPSK*, the well-known key for anonymous key exchanges when there is no installation code derived pre-configured link-key available. This results in the following generator base-point G:

G = H\*(PSK) = 90 2B 44 85 C8 4E C4 A0 59 44 AB 34 42 92 68 78 ||

90 2B 44 85 C8 4E C4 A0 59 44 AB 34 42 92 68 78

13514

13515 The public points that result for the given private keys on Curve25519 are calculated as  $Q = dG$ , where  $G$  is the  
13516 generator base-point as noted above:

Party	Public Key Point Q
Alice (Q <sub>i</sub> )	BA BB C4 D7 85 6A BF 56 1B B4 37 8F D9 FD 24 92 C1 EA 16 02 1B 90 D6 1F CE 3A 96 5B 04 1C A2 59
Bob (Q <sub>r</sub> )	47 7D C0 5F F5 42 AC 83 AD DF 2B 87 87 11 92 DC 6C 59 6A C5 40 C8 D3 5A FB 7E C7 25 9A 71 5B 6C

13517 Alice and Bob calculate the shared SPEKE (ECDH) secret point via point-multiplication on the curve, by multiplying  
13518 the remote public point times the local private key, both arriving at the same value:

13519      xk = FB 9C 3C 7A 2E 49 03 CD D2 36 DA 82 CD 0B 71 81 B1 61 7D 99 67 4C 4E A8 A3 F5 D4 60 31 DD A7 09

13520 For calculating the session identifier I the identifications and public points of initiator (Alice) and responder (Bob) are  
13521 concatenated; the concatenation order depends on the integer comparison of both identifications (EUI-64s)::

13522 Ai || Qi = AA 00 A0 A0 0A 0A A0 A0 A0 ||  
13523 BA BB C4 D7 85 6A BF 56 1B B4 37 8F D9 FD 24 92 C1 EA 16 02 1B 90 D6 1F CE 3A 96 5B 04 1C A2 59  
13524

13525 Ar || Qr = BB 00 B0 B0 0B 0B B0 B0 B0 ||  
13526 47 7D C0 5F F5 42 AC 83 AD DF 2B 87 87 11 92 DC 6C 59 6A C5 40 C8 D3 5A FB 7E C7 25 9A 71 5B 6C  
13527

I = AA 00 A0 A0 0A 0A A0 A0

13529 BA BB C4 D7 85 6A BF 56 1B B4 37 8F D9 FD 24 92 C1 EA 16 02 1B 90 D6 1F CE 3A 96 5B 04 1C A2 59  
13530 BB 00 B0 B0 B0 B0 B0 B0

13531 47 7D C0 F5 F5 43 AC 83 AD DF 2B 87 87 11 92 DC 6C 59 6A C5

<sup>12532</sup> The final SPEKE shared secret is obtained by concatenating above  $n$  coordinate, the session identifier and the

13533 point G, which was derived from the pre-shared secret (PSK) and calculating the SHA-256 hash value:  
13534  $\sigma = H(PSK \parallel T \parallel G)$  = AE 46 CB 75 29 0D F6 2D B7 1F 5E 7E 44 21 DE 94 7A

13536 The derived HHS link key is then finally:  
13536  $KDF(s, \{ 0x01 \}) = \text{HMAC-AES-MMO-128}(s, \{ 0x01 \})$   
13537  $\text{25 47 F3 AE 06 20 1E 1E BF F2 A3 B7 CD 6A 20}$

13538 C-7.1.2 Test Vector #2

13539 For the present test vector, the Pre-shared Key (PSK) is assumed as “ZigBeeAlliance20”. This results in the following  
13540 generator base-point G:

```

13541 G = H*(PSK) = DE E6 39 E5 FF F9 46 D7 B1 00 CC 5F 3F 9C E8 9C ||
13542 DE E6 39 E5 FF F9 46 D7 B1 00 CC 5F 3F 9C E8 9C
13543 G[0] = 09
13544 G = 09 D0 7B 39 6D 10 C1 48 E3 DA C6 DE A7 DC B2 82
13545 BB DD EE 80 63 63 A6 5C 2B 9F C9 72 7F E2 D4 F0

```

13546 Notice that this base point is larger than the prime  $p = 2^{255} - 19$ . This test vector has specifically been chosen to  
 13547 ensure that implementations do not fail, when they encounter such basepoints, and perform as expected.

13549 The public points that result for the given private keys on Curve25519 are calculated as  $Q = dG$ , where  $G$  is the  
13550 generator base-point as noted above:

Party	Public Key Point Q
Alice ( $Q_i$ )	04 8E 8D 32 31 21 96 39 28 21 7B 2C F3 C7 DB 23 AA 4E 75 66 D9 69 BF 0E D5 FC A9 F1 A2 3E 0F 6E
Bob ( $Q_r$ )	02 29 D3 63 E4 C5 E6 7F C4 B1 0B E2 CD 98 E4 53 E8 6D 86 33 8E 15 A0 3A 3A 68 A4 83 3F E7 84 0C

13551 Alice and Bob calculate the shared SPEKE (ECDH) secret point via point-multiplication on the curve, by multiplying  
13552 the remote public point times the local private key, both arriving at the same value:

13553      xk = 78 3F 96 76 C9 C7 4A 69 C1 41 C3 C2 7B B9 B4 64 55 12 E7 1B C6 E1 76 79 B4 BC 33 E7 48 5B F5 04

13554 For calculating the session identifier I the identifications and public points of initiator (Alice) and responder (Bob) are  
13555 concatenated; the concatenation order depends on the integer comparison of both identifications (EUI-64s)::

13559

13560 Ar || Qr = BB 00 B0 B0 0B 0B B0 B0 ||  
13561 02 29 D3 63 E4 C5 E6 7F C4 B1 0B E2 CD 98 E4 53 E8 6D 86 33 8E 15 A0 3A 3A 68 A4 83 3F E7 84 0C  
13562

13563 T-1 M-22 A2 A2 A1 A1 A2 A2

13564 04 8E 8D 32 31 21 96 39 28 21 7B 2C F3 C7 DB 23 AA 4E 75 66 D9 69 BF 0E D5 FC A9 F1 A2 3E 0F 6E  
13565

13566 02 29 D3 63 E4 C5 E6 7F C4 B1 0B E2 CD 98 E4 53 E8 6D 86 33 8E 15 A0 3A 3A 68 A4 83 3F E7 84 0C

The final SPEKE shared secret is obtained by concatenating above x-coordinate, the session identifier and the base point G, which was derived from the pre-shared secret (PSK) and calculating the AES-MMO-128 hash value:

15569      S = H(XR || I || O) = D9 7B 98 45 C8 68 E1 03 72 8E 84 C1 48 6A 91 68

13570 The derived APS link-key is then finally:  
13571  $KDF(s, \{ 0x01 \}) = \text{HMAC-AES-MMO-128}(s, \{ 0x01 \})$

13573 C.7.2 Test Vectors for Curve25519/SHA-256/HMAC-SHA-256

This section provides test vectors for the password-authenticated key exchange (PAKE) algorithm as specified in section J.1.3. In order to generate reproducible results, the private keys of the two parties engaging in key agreement, henceforth referred to as Alice and Bob, are not generated randomly from a cryptographically safe source, as they usually would be, but they are rather set as follows:

Party	Chosen Private Key (ASCII)	Private Key (Binary)
Alice	AliceAliceAliceAliceAliceAliceAl	41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C
Bob	BobBobBobBobBobBobBobBobBobBobBo	42 6F 62 42 6F 62 42 6F 62 42 6F

13578

These keys undergo Curve25519 private key clamping as follows:

Party	Chosen Private Key (ASCII)	Clamped Private Key d (Binary)
Alice ( $d_i$ )	AliceAliceAliceAliceAliceAliceAl	40 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C
Bob ( $d_r$ )	BobBobBobBobBobBobBobBobBobBo	40 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F

13579  
13580

Notice that the binary octet stream printed above corresponds to a little-endian representation, i.e. if the key were considered a large integer, its value would be 6C416563...696C40 for Alice, for example.

Party	Identification (EUI-64) A
Alice ( $A_i$ )	A0:A0:0A:0A:A0:A0:00:AA
Bob ( $A_r$ )	B0:B0:0B:0B:B0:B0:00:BB

13581

### C.7.2.1 Test Vector #1

13582  
13583  
13584

For the present test vector, the Pre-shared Key (PSK) is assumed as *apscWellKnownPSK*, the well-known key for anonymous key exchanges when there is no installation code derived pre-configured link-key available. This results in the following generator base-point G:

13585

```
G = H(PSK) = EE E8 B7 90 39 6F 5B C0 99 4B E4 4F A7 3C AE 1A
        4B FE DC 7A 71 2F 7B 32 86 4B 45 BF 86 F9 F1 78
```

13587

To avoid collision with a number of known, weak generator points the first byte is set to 0x09. This results in:

13588

```
G[0] = 09
G = 09 E8 B7 90 39 6F 5B C0 99 4B E4 4F A7 3C AE 1A
        4B FE DC 7A 71 2F 7B 32 86 4B 45 BF 86 F9 F1 78
```

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The public points that result for the given private keys on Curve25519 are calculated as  $Q = dG$ , where G is the generator base-point as noted above:

Party	Public Key Point Q
Alice ( $Q_i$ )	02 B0 9E DD 3B 8D E0 88 4F C4 E1 93 A2 AE 17 97         02 F8 73 6C 79 F0 E2 34 2C 09 9C 4B F5 F9 B2 77
Bob ( $Q_r$ )	68 8F A2 A3 F0 D1 92 B4 64 6F E6 62 99 4D 27 CC         5B 6A 57 71 BA 56 F3 3F 3E 8B ED 5E 71 71 09 0F

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Alice and Bob calculate the shared ECDH secret point via point-multiplication on the curve, by multiplying the remote public point times the local private key, both arriving at the same value:

13595

```
xk = 24 14 1C 4C 06 A2 E7 D5 9F 15 36 7F AC E3 9E C2 0C 17 67 BB 97 25 79 41 6F 14 10 CC 36 22 2A 2F
```

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For calculating the session identifier I the identifications and public points of initiator (Alice) and responder (Bob) are concatenated; the concatenation order depends on the integer comparison of both identifications (EUI-64s):

13598                   Ai || Qi = AA 00 A0 A0 0A 0A A0 A0 A0 ||  
 13599        02 B0 9E DD 3B 8D E0 88 4F C4 E1 93 A2 AE 17 97 02 F8 73 6C 79 F0 E2 34 2C 09 9C 4B F5 F9 B2 77  
 13600

13601                   Ar || Qr = BB 00 B0 B0 0B 0B B0 B0 ||  
 13602        68 8F A2 A3 F0 D1 92 B4 64 6F E6 62 99 4D 27 CC 5B 6A 57 71 BA 56 F3 3F 3E 8B ED 5E 71 71 09 0F  
 13603

13604                   I = AA 00 A0 A0 0A 0A A0 A0  
 13605        02 B0 9E DD 3B 8D E0 88 4F C4 E1 93 A2 AE 17 97 02 F8 73 6C 79 F0 E2 34 2C 09 9C 4B F5 F9 B2 77  
 13606                   BB 00 B0 B0 0B 0B B0 B0

13607        68 8F A2 A3 F0 D1 92 B4 64 6F E6 62 99 4D 27 CC 5B 6A 57 71 BA 56 F3 3F 3E 8B ED 5E 71 71 09 0F

13608       The final shared secret is obtained by concatenating above x-coordinate, the session identifier and the based point G,  
 13609       which was derived from the pre-shared secret (PSK) and calculating the SHA-256 hash value:

13610                   s = H(xk || I || G) = 6F 79 F3 60 C9 8A E7 F2 4E 6D DD AB B5 A3 6D 6E  
 13611                   A8 0C 76 5A C6 91 1B AE 17 1F 21 20 3E 88 90 AD

13612       The derived APS link-key is then finally:

13613                   KDF(s, { 0x01 }) = HMAC-SHA-256(s, { 0x01 }) =  
 13614                   EB 52 E5 BF 6B 5A C7 F0 A9 44 0C AD 78 0B B7 0B

13615       Note: The HMAC is truncated to the first 128-bits.

### C.7.2.2 Test Vector #2

13617       For the present test vector, the Pre-shared Key (PSK) is assumed as “ZigBeeAlliance20”. This results in the following  
 13618       generator base-point G:

13619                   G = H(PSK) = F0 D0 7B 39 6D 10 C1 48 E3 DA C6 DE A7 DC B2 82  
 13620                   BB DD EE 80 63 63 A6 5C 2B 9F C9 72 7F E2 D4 F0

13621       To avoid collision with a number of known, weak generator points the first byte is set to 0x09. This results in:

13622                   G[0] = 09  
 13623                   G = 09 D0 7B 39 6D 10 C1 48 E3 DA C6 DE A7 DC B2 82  
 13624                   BB DD EE 80 63 63 A6 5C 2B 9F C9 72 7F E2 D4 F0

13625       Notice that this base point is larger than the prime  $p = 2^{255} - 19$ . This test vector has specifically been chosen to  
 13626       ensure that implementations do not fail, when they encounter such basepoints, and perform as expected.

13627       The public points that result for the given private keys on Curve25519 are calculated as  $Q = dG$ , where G is the  
 13628       generator base-point as noted above:

Party	Public Key Point Q
Alice (Qi)	CF 65 21 5E 9A 4A C0 15 AD 5B 1E 08 70 54 24 DA 83 94 6C 7B 80 7A B1 9F FD D0 3 C 2F 6F B6 37 58
Bob (Qr)	AA F7 27 B1 F7 9D 63 4C 21 DA 31 E4 AF 39 FD 52 62 92 37 BF 53 C8 B2 03 A5 6C 4B 17 BB 3F B5 51

13629       Alice and Bob calculate the shared secret point via point-multiplication on the curve, by multiplying the remote public  
 13630       point times the local private key, both arriving at the same value:

13631       xk = 35 88 71 B8 F6 22 24 4D 9A CF 82 62 47 13 7F 88 9F AF 39 38 A5 38 DC 41 7D E2 E4 14 BB E6 0C 2D

13632 For calculating the session identifier I the identifications and public points of initiator (Alice) and responder (Bob) are  
13633 concatenated; the concatenation order depends on the integer comparison of both identifications (EUI-64s)::

13634                   Ai || Qi = AA 00 A0 A0 0A 0A A0 A0 ||

13635                   CF 65 21 5E 9A 4A C0 15 AD 5B 1E 08 70 54 24 DA 83 94 6C 7B 80 7A B1 9F FD D0 3C 2F 6F B6 37 58

13637

13638                   Ar || Qr = BB 00 B0 B0 0B 0B B0 B0 ||  
13639                   AA F7 27 B1 F7 9D 63 4C 21 DA 31 E4 AF 39 FD 52 62 92 37 BF 53 C8 B2 03 A5 6C 4B 17 BB 3F B5 51

13640

13641                   I = AA 00 A0 A0 0A 0A A0 A0

13642                   09 D0 7B 39 6D 10 C1 48 E3 DA C6 DE A7 DC B2 82 BB DD EE 80 63 63 A6 5C 2B 9F C9 72 7F E2 D4 F0

13643                   BB 00 B0 B0 0B 0B B0 B0

13644                   AA F7 27 B1 F7 9D 63 4C 21 DA 31 E4 AF 39 FD 52 62 92 37 BF 53 C8 B2 03 A5 6C 4B 17 BB 3F B5 51

13645 The final shared secret is obtained by concatenating above x-coordinate, the session identifier and the based point G,  
13646 which was derived from the pre-shared secret (PSK) and calculating the SHA-256 hash value:

13647                   s = H(xk || I || G) = B7 7D 9E A0 B6 4D 6A D7 33 9A B2 7D 60 03 E6 8B

13648                   8A 27 26 19 7F 76 E2 0F B8 24 C4 3B 6B B4 5A 47

13649 The derived APS link-key is then finally:

13650                   KDF(s, { 0x01 }) = HMAC-SHA-256(s, { 0x01 }) =

13651                   31 ED 35 83 AE 18 89 BE B4 24 90 1B CB 18 1A 99

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## ANNEX D MAC AND PHY SUB-LAYER CLARIFICATIONS

13653

### D.1 Introduction

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#### D.1.1 Scope

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This annex applies to the IEEE Std 802.15.4-2020 Medium Access Control sub-layer (MAC) and Physical Layer (PHY) specification when used in conjunction with higher layers defined by the Zigbee specification. Nothing is implied about the usage under other circumstances.

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#### D.1.2 Purpose

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The current Zigbee specification assumes the use of the MAC and PHY sub-layers defined in the IEEE Std 802.15.4-2020 specification. However, as developers have put the MAC and PHY sub-layers into use, they have uncovered problems that MAY or MAY NOT have been anticipated by the authors of the specification, or are not covered in the IEEE Std 802.15.4-2020 specification. This document is intended to provide solutions to such problems, for use by the Connectivity Standards Alliance.

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### D.2 Numeric Status Code Values

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Some Zigbee over-the-air messages contain MAC layer status code values. IEEE Std 802.15.4-2003 and -2006 contained numeric values for symbolic MAC/PHY status code enumerations, whereas later revisions did not include such values any more. MAC layer status codes, when sent over the air, SHALL use the numeric values provided in IEEE Std 802.15.4-2006, as far as such numeric values exist.

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### D.3 Stack Size Issues

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Both MAC and Zigbee stack developers have discovered that implementation of a full-blown MAC is a major undertaking and requires a great deal of code space. Even with the optional GTS and MAC security features eliminated, it is not surprising to find the MAC taking up more than 24K of code space on a processor with 64K of available space.

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The Connectivity Standards Alliance has adopted a compensating policy to declare MAC features that are not required to support a particular stack profile optional with respect to that stack profile. In particular, any MAC feature that will not be exploited as a result of platform compliance testing for a particular stack profile need not be present in order for an implementation to be declared platform compliant. For example, since the Zigbee Pro stack profile relies on a beaconless network, the platform compliance testing for the stack profile does not employ beaconing. The code to support regular beaconing, beacon track, and so on, MAY therefore be absent from the code base of the device under test without the knowledge of the testers, without presenting a problem with respect to platform compliance certification.

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The exact list of MAC features that SHALL be supported in a platform is described in the PICS document used for MAC conformance testing.

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### D.4 MAC Association

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At association time, according to the IEEE Std 802.15.4 specification, a number of frames are sent, including an association request command, an associate response command and a data request. There is some ambiguity in the specification regarding the addressing fields in the headers for these frames. Table D-1 to Table D-3 outline the allowable options that SHALL be recognized by devices implementing the Zigbee specification. In each case, the first option given is the preferred option and SHOULD be used.

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**Table D-1. Associate Request Header Fields**

<b>DstPANId</b>	<b>DstAddr</b>	<b>SrcPANId</b>	<b>SrcAddr</b>
The PANId of the destination device.	The 16-bit short address of the destination device.	0xffff	The 64-bit extended address of the source device.
		PANId omitted because the IntraPAN sub-field in the frame control field is set to one.	
		The PANId of the destination device.	
Not present if the destination device is the PAN coordinator.	Not present if the destination device is the PAN coordinator.		

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Note that in this case and the case below, the source of the command is the device requesting association.

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**Table D-2. Data Request Header Fields**

<b>DstPANId</b>	<b>DstAddr</b>	<b>SrcPANId</b>	<b>SrcAddr</b>
The PANId of the destination device.	The 16-bit short address of the destination device.	0xffff	The 64-bit extended address of the source device.
		PANId omitted because the IntraPAN sub-field in the frame control field is set to one.	
		The PANId of the destination device.	
Not present if the destination device is the PAN coordinator.	Not present if the destination device is the PAN coordinator.		

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**Table D-3. Association Response Header Fields**

<b>DstPANId</b>	<b>DstAddr</b>	<b>SrcPANId</b>	<b>SrcAddr</b>
The PANId of the destination device.	The 64-bit extended address of the destination device.	PANId omitted because the IntraPAN sub-field in the frame control field is set to one.	The 64-bit extended address of the source device.

<b>DstPANId</b>	<b>DstAddr</b>	<b>SrcPANId</b>	<b>SrcAddr</b>
		The PANId of the source device.	
0xffff			

## 13693 D.5 aMaxMACFrameSize

13694 The IEEE Std 802.15.4-2020 MAC specification [B1] has two constants that define the minimum and maximum  
 13695 values for the MAC data packet payload size. These are the *aMaxMACPayloadSize* (118 bytes) and the *aMax*  
 13696 *MACSafePayloadSize* (102 bytes). Since the overhead imposed by the MAC header is variable, the actual limit of the  
 13697 MAC data payload size is in between these values and MAY vary by implementation.

13698 When used in a Zigbee platform, the MAC implementation SHALL support transmission and reception of unsecured  
 13699 MAC data packet payloads of up to (*aMaxPHYPacketSize* - *nwkMinHeaderOverhead*) bytes. The value of *nwkMin*  
 13700 *HeaderOverhead* parameter takes into account the fact that Zigbee uses short addressing modes and intra-PAN com-  
 13701 munications.

## 13702 D.6 Frame Version Value

13703 The MAC specification requires that any unsecured MAC data packet with payload size greater than *aMax*  
 13704 *MACSafePayloadSize* (102bytes) SHALL have the Frame Version field set to one (see section 6.3.1 of [B1]). When  
 13705 used in a Zigbee platform, the MAC implementation SHALL always set the Frame Version field in unsecured MAC  
 13706 data packets to zero. The reason for this is to ensure backwards compatibility with existing deployed Zigbee devices  
 13707 that cannot receive packets correctly if these bits are set to a non-zero value. Note that this deviation is only on the  
 13708 transmit side, the receive side processing is unchanged. That is, the MAC implementation SHALL be able to receive  
 13709 and process MAC data packets with the Frame Version field set to any non-reserved value, as specified in section  
 13710 5.6.1.2 of [B1].

13711 The MAC specification allows the coordinator realignment command to be sent with either Frame Version of zero or  
 13712 one. The format of the command is different in each case (see section 5.3.8.1 of [B1]). When used in a Zigbee imple-  
 13713 mentation, the MAC implementation SHALL always set the Frame Version field in the coordinator realignment com-  
 13714 mand to zero.

## 13715 D.7 Beaconing in Zigbee Networks

13716 Zigbee SHALL not use periodic beaconing. Beacons are sent in response to beacon requests. Zigbee does not make  
 13717 use of GTS. The following MAC PIB values SHALL be set by the Zigbee stack.

<b>PIB</b>	<b>Value</b>
macBeaconOrder	SHALL be set to 0x0F
macSuperframeOrder	SHALL be set to 0x0F

## 13718 D.8 CSMA Backoff Timing

13719 The IEEE Std 802.15.4-2020 specification provides an increase in *macMaxBE* to 8 from 5. This higher value is al-  
 13720 lowed within Zigbee and it is recommended as the default. The default value of *macMinBE* SHOULD be 5 instead of

13721 3. This provides better joining performance in dense networks where many devices MAY be responding to a beacon  
 13722 request. For NA Regional Sub-GHz FSK PHY it is recommended to increase macMinBE to 7 and macMaxBE to 10.

## 13723 **D.9 Recommended Scan Duration**

13724 The time a device listens for beacons is set by IEEE Std 802.15.4 to  $aBaseSuperframeDuration^*(2^{n+1})$  symbols where  
 13725 n is the value of the *ScanDuration* parameter. For Zigbee implementations the value of n SHOULD be set to ensure  
 13726 the duration of the listening window is similar to the length of time the beacon responses are EXPECTED.

13727 For the 2.4GHz a *ScanDuration* value of 3 is recommended. For GB SE and Sub-GHz FSK PHY's and Regional Sub-  
 13728 GHz FSK PHY a *ScanDuration* value of 5 is required.

## 13729 **D.10 MAC Interface Changes**

13730 The IEEE Std 802-15-4-2020 specification has no notification when a MAC data poll is received by a coordinator  
 13731 (FFD) or any ability for the Zigbee layers to dictate the response to the MAC data poll. Therefore the following  
 13732 interfaces are defined for a MAC used by Zigbee network layers.

### 13733 **D.10.1 Additional Primitives accessed through the MLME-SAP**

13734 Those primitives marked with a diamond (◊) are optional for an RFD.

Name	Request	Indication	Response	Confirm
MLME-Poll	(Already specified in reference [B1])	D.10.2	-	(Already specified in reference [B1])

### 13735 **D.10.2 MLME-POLL.indication**

13736 The MLME-Poll.indication primitive notifies the next higher level that a request for data has been received.

#### 13737 **D.10.2.1 Semantics of the Service Primitive**

13738 The semantics of the MLME-Poll.indication primitive is as follows.

---

MLME-Poll.indication	{
	AddrMode
	DeviceAddress
	}

---

Name	Type	Valid Range	Description
AddrMode	Integer	0x02 – 0x03	This value can take one of the following values: 2=16 bit short address. 3=64 bit extended address.
DeviceAddress	Integer	As specified by AddrMode parameter.	The address of the device requesting pending data.

#### 13744 **D.10.2.2 When Generated**

13745 The MLME-POLL.indication primitive indicates the reception of a Data request command frame by the MAC sub-layer  
 13746 and issued to the local SSCS (service specific convergence sublayer).

**D.10.2.3 Effect on Receipt**

The effect on receipt of the MLME-Poll.indication primitive is that the next higher layer is notified that a device is requesting to see if there is a pending MAC data frame. If an indirect frame is queued by the higher layer during the processing of an MLME-POLL.indication it SHALL affect the pending bit in the ACK frame corresponding to the data request frame that caused the MLME-POLL.indication to be issued.

**D.11 MAC Feature Enhancements**

This section describes the MAC Enhancements Applicable to Sub-GHz Only mode, optional for 2.4GHz operation.

**D.11.1 Enhanced Beacon Request and Enhanced Beacon (response)**

When networks are required to provide a mechanism for joining devices to sort through multiple PANs in overlapping proximity to find the correct network to join, the Enhanced Beacons capability introduced in IEEE Std 802.15.4 2015 can be used to accomplish this.

In addition to supporting the existing usage of beaconing for the purpose of surveying IEEE Std 802.15.4 networks, devices operating in the GB Smart Energy and Regional Sub-GHz FSK bands SHALL (for devices operating in the 2.4 GHz band it is optional) support the use of Enhanced Beacons. Support of Enhanced Beacons includes supporting the Enhanced Beacon Request for joining and rejoining, and the Enhanced Beacon Filtering and Enhanced Beacon (response) for joining, along with any additions/changes specified in this section.

While it is possible for IEs to be included in any MAC frame, devices SHALL only include IEs in frames during the joining and rejoining process when sending Enhanced Beacon Requests and Enhanced Beacons. IEs SHALL NOT be included and the length of the Information Element field SHALL be 0, as shown in Figure D-13, for any other MAC frame. When using Enhanced Beacon Requests and Enhanced Beacons, the length of the Information Element field SHALL NOT be 0.

The Enhanced Beacon Request (EBR) and Enhanced Beacon (EB) are very similar in format to the Beacon Request and Beacon from prior specifications. The Enhanced types provide a mechanism to reduce the beacon storm typically seen when a non-Enhanced Beacon Request is used. The Enhanced types set the Frame Version field to b010. EBRs are achieved by sending a Beacon Request command in a MAC command frame, with the Frame Version field set to b010. A version of b010 indicates the frames are compatible with IEEE Std 802.15.4 2015 and NOT compatible with IEEE Std 802.15.4-2003 nor compatible with IEEE Std 802.15.4-2006. Devices implemented with earlier versions of the IEEE Std 802.15.4 specification will drop the received frames when the Frame Version field is set to b010. When using EBRs and EBs, devices SHALL set the Frame Version field to b010.

EBs and EBRs enable use of a new field in the IEEE Std 802.15.4 MAC protocol known as Information Elements (IEs). These IEs contain arbitrary data and are used in two ways:

1. The IEs contain arbitrary information that the EBs can advertise to un-joined devices about the MLME parameters or higher level application running on top of the IEEE Std 802.15.4 network.
2. The IEs can contain filter criteria that restrict the types of devices or the number of devices that respond to EBRs.

When a device receives unrecognized IEs, the unrecognized IEs are ignored, and the rest of the frame, including any recognized IEs are processed.

The Enhanced Beacon Request (EBR) along with the Enhanced Beacon (EB) response, as defined below, MAY be used in any band in which Zigbee operates. When EBR and EB are used in systems with legacy devices, the legacy devices will ignore the frames, since EBR and EB are version 2 frames.

**D.11.1.1 Enhanced Beacon Filter IE (used for joining)**

The EB Filter is described in 7.4.4.6 of IEEE Std 802.15.4-2020. When joining a network a device SHALL send an EBR containing the EB Filter IE and SHALL set the Permit Joining On field of the EB Filter IE to 1. Joining devices MAY additionally set either or both of the “Include Link Quality Filter” and the “Include Percent Filter” fields of the EB Filter IE to 1. Devices will not make use of the Attribute IDs and therefore the Attribute IDs Length field of the EB Filter IE SHALL be set to 0.

13793 When a joining device sends the EBR containing the EB Filter IE, it SHALL set the PAN ID Compression field bit of the Frame Control field to 1. The joining device SHALL set the Destination Addressing Mode field of the Frame Control field to 10 and the Source Addressing Mode field of the Frame Control field to 11. The joining device SHALL set the Destination Address to the broadcast short address (0xffff), the Destination PAN ID to the broadcast PAN ID (0xffff), and the Source Address to the extended address of the joining device. A Source PAN ID is not included.

13798 The format of the frame when an EBR is sent during initial joining, including the TX Power IE defined in D.11.2,  
13799 SHALL be as defined Figure D-1.

Field	Sub-field	Bytes	Value	Notes
PHY Length		1	36	
MAC Frame Control		2	0xEA43	
	Frame Type (bits 0-2)	3		
	Security Enabled (bit 3)	0		
	Frame Pending (bit 4)	0		
	ACK Required (bit 5)	0		
	PAN ID Compression (bit 6)	1		Formerly known as Intra-PAN
	Reserved (bit 7)			
	Sequence Number Suppression (bit 8)		0	
	IE List Present (bit 9)		0	
	Destination Address Mode (bits 10-11)	2		Short Address Mode
	Frame Version (bits 12-13)	2		Normally Zigbee Uses 0 for the version
	Source Address Mode (bits 14-15)	3		Long Address Mode
Sequence Number		1	0	May be any value
Destination PAN ID		2	0xFFFF	
Destination Address		2	0xFFFF	
Source PAN Identifier		0		Not Present since PAN ID Compression = 1
Source Address		8	0x01234567 89ABCDEF	This will be the device's actual EUI64
Auxiliary Security Header		0		Not Present
Header IE Termination		2	0x3F00	Termination IE Payload IE to follow
	Length (bits 0 – 6)		0	Length field does not include frame control
	Group ID (bits 11-14)		1	0x01 = MLME (Nested)
	Type (bit 15)		0	0 = Header IE
Payload IE		2	0x8803	MLME Nested
	Length (bits 0 - 10)		3	Length field does not include Frame Control
	Group ID (bits 11-14)		1	0x01 = MLME (Nested)
	Type (bit 15)		1	1 = Payload IE
Sub-IE Descriptor		2	0x1E01	Enhanced Beacon Filter
	Length		1	Length field does not include Frame Control
	Sub-ID (bits 8-14)		0x1E	0x1E = EB Filter
	Type (bit 15)		0	0 = Short (0-255 length)
	Filter Control Field	1	0x01	Filters Enabled: Permit Joining on

Field	Sub-field	Bytes	Value	Notes
Payload IE		2	0x9006	Vendor Specific: Zigbee OUI
	Length (bits 0-10)		0x06	
	Group ID (bits 11-14)		0x02	Vendor Specific
	Type (bit 15)		1	1 = Payload IE
Vendor OUI		3	0x4A191B	The Connectivity Standards Alliance's assigned CID value is 4A-19-1B
Sub-IE Descriptor		2	0x0041	TX Power
	Length (bits 0-5)		0x01	
	Sub-ID (bits 6-15)		0x0001	Zigbee TX Power IE
	TX Power	1	0x1B	TX Power (dBm) used to send this frame (e.g. 27)
Payload IE		2	0xF800	Termination IE
	Length (bits 0-10)		0	
	Group ID (bits 11-14)		0xF	Payload Termination IE
	Type (bit 15)		1	1 = Payload IE
Command Frame Identifier		1	0x07	0x07 = Beacon Request
CRC		2	0x1234	This value is calculated according to the rest of the frame.

**Figure D-1. Enhanced Beacon Request Format****D.11.1.2 Additional Filtering When Joining**

Using the EB Filter helps to filter out networks only when a single network within an area is commissioned at a time. However, there are many cases where multiple devices are commissioned to different PANs in close proximity within the same time window. This could occur for example within a multiple dwelling unit where there are unique PANs per apartment. Installers MAY setup multiple networks at the same time, or end users within close proximity are enrolled into a utility program at the same time and are commissioning devices. In these cases simply filtering on open networks will still produce a large number of results.

Unlike Beacon Requests, EBRs MAY contain the source address field and therefore the extended address of the device sending the EBR. This provides a mechanism for an additional layer of filtering to occur. The Trust Center, for the networks capable of doing so, SHALL update the *mibJoiningIeeeList* by adding the IEEE addresses of devices allowed to join the network and sending the updated list to coordinators/routers in the network. The coordinators/routers SHALL store the *mibJoiningIeeeList* within volatile memory. Coordinators/Routers in the network SHALL use the *mibJoiningPolicy* to set their current permitted joining mode. The *mibJoiningIeeeList* and *mibJoiningPolicy* attributes are described in Table D-4.

In the case where the network has no Trust Center (distributed network), routers SHALL NOT support the IEEE-ELIST\_JOIN *mibJoiningPolicy*.

Additionally when a new coordinator/router joins the network the Trust Center SHALL send the *mibJoiningIeeeList* to the newly joined coordinator/router. Trust center SHALL use the Mgmt\_NWK\_IEEE\_Joining\_List\_rsp to inform the devices at the join time of the *mibJoiningIeeeList*.

In case of updates to the *mibJoiningIeeeList* while no new device has joined, the trust center SHALL send Mgmt\_NWK\_IEEE\_Joining\_List\_rsp to inform the network devices of the latest *mibJoiningIeeeList*.

**Table D-4. Joining MAC PIB Attributes**

Attribute	Type	Range	Description	Default
-----------	------	-------	-------------	---------

Read/Write Attributes				
<i>mibJoiningIeeeList</i>	List of 64bit mac addresses	-	List containing the 64bit <i>macExtendedAddress</i> of each device permitted to join.	Empty
<i>mibJoiningPolicy</i>	Enumeration	NO_JOIN, ALL_JOIN, IEEELIST_JOIN	Sets the permitted mode of joining	NO_JOIN
<i>mibIeeeExpiryInterval</i>	Integer	1-1440 (min)	Time before clearing <i>mibJoiningIeeeList</i> .	5 (min)
<i>mibIeeeExpiryIntervalCountdown</i>	Integer	0-86400 (sec)	Number of seconds remaining before clearing <i>mibJoiningIeeeList</i> and setting <i>mibJoiningPolicy</i> to NO_JOIN.	Empty

13823 The combination of the *mibJoiningIeeeList* and *mibJoiningPolicy* SHALL, for the MAC interfaces capable of doing so, be used as part of the filtering and joining process used in response to EBRs as described below. For the MAC  
 13824 interfaces unable to support the *mibJoiningIeeeList* capability only the NO\_JOIN and ALL\_JOIN options of the *mib-  
 13825 JoiningPolicy* SHALL be used.  
 13826

13827 The higher layer SHALL manage the *mibJoiningIeeeList* via the MLME-GET.request and MLME-SET.request primitives.  
 13828 Whenever the MLME-SET.request is used to modify the *mibJoiningIeeeList* a timer known as *mibIeeeEx-  
 13829 piryIntervalCountdown* SHALL be started. The initial value of *mibIeeeExpiryIntervalCountdown* SHALL be set to  
 13830 60 times the *mibIeeeExpiryInterval*. Every second the *mibIeeeExpiryIntervalCountdown* shall be decremented until it  
 13831 reaches 0. Upon reaching zero, the *mibJoiningIeeeList* SHALL be set to an empty list and the *mibJoiningPolicy*  
 13832 SHALL be set to NO\_JOIN.

13833 If a coordinator/router has not been told the *macExtendedAddress* of devices joining into the network, prior to receiving  
 13834 an EBR, then the *mibJoiningIeeeList* in the coordinator/router SHALL remain empty.

13835 Coordinators/Routers hearing an EBR with the EB Filter IE, who have their *mibJoiningPolicy* set to NO\_JOIN,  
 13836 SHALL NOT allow devices to join and an EB SHALL NOT be sent.

13837 Coordinators/Routers hearing an EBR with the EB Filter IE, who have their *mibJoiningPolicy* set to ALL\_JOIN or  
 13838 IEEE LIST\_JOIN, SHALL first filter on the EB Filter IE as described previously. If the applied filter indicates that an  
 13839 EB is to be generated, then the coordinator/router SHALL examine their *mibJoiningPolicy*:

- 13840 • If the *mibJoiningPolicy* is set to ALL\_JOIN, then any device MAY join that coordinator/router and an EB SHALL  
 13841 be sent.
- 13842 • If the *mibJoiningPolicy* is set to IEEE LIST\_JOIN, then a secondary filter SHALL be applied. This secondary  
 13843 filter SHALL examine the *mibJoiningIeeeList*:
  - 13844 ○ If the contents of the *mibJoiningIeeeList* contains an address matching the source address field in the com-  
 13845 mand frame containing the EBR, then an EB SHALL be sent.
  - 13846 ○ If the contents of the *mibJoiningIeeeList* does not contain an address matching the source address field in the  
 13847 command frame containing the EBR, then an EB SHALL NOT be sent.

13848 When an EB is sent by a coordinator/router in response to an EBR, and is sent as part of the joining process (i.e. an  
 13849 EBR containing the EB Filter IE), it SHALL set the PAN ID Compression field bit of the Frame Control field to 0.  
 13850 The coordinator/router SHALL set the Destination Addressing Mode field of the Frame Control field to 00 and Source  
 13851 Addressing Mode field of the Frame Control field to 11. By setting the Destination Address Mode to NONE (00),  
 13852 devices from other networks can receive the EB to perform PAN ID conflict detection and resolution the same as it  
 13853 would for a standard (non-enhanced) Beacon.

13854 The coordinator/router SHALL set the Source PAN ID to the *macPanId*, and the Source Address field to the extended address of the device transmitting the beacon. The Destination PAN ID and Destination Address fields are not included.

13857 For consistency the standard beacon information SHALL be presented to the Zigbee layers when receiving an Enhanced Beacon, whether it is during the initial joining or the rejoining process. To facilitate this EBs SHALL include the EB Payload IE. The EB Payload IE is a Zigbee Payload (Nested) IE. The Sub-ID Value for the EB Payload IE is given in .

13861 The Content field format of the EB Payload IE is shown in Figure D-2.

<b>Bits: 0-7</b>	<b>8-11</b>	<b>12-15</b>	<b>16-17</b>	<b>18</b>	<b>19-22</b>	<b>23</b>	<b>24-87</b>	<b>88-111</b>	<b>112-119</b>
Protocol ID	Stack Profile	NWK Protocol Version	Reserved	Router Capacity	Device Depth	End Device Capacity	NWK EXT PAN ID	Tx Offset	NWK Update ID

<b>Bits: 120-123</b>	<b>124-127</b>	<b>128-131</b>	<b>132</b>	<b>133</b>	<b>134</b>	<b>135</b>	<b>136-151</b>
Beacon Order	Super-Frame Order	Final CAP Slot	Battery Life Extension	Re-served	PAN Coordinator	Association Permit	Source Short Address

**Figure D-2. EB Payload IE Content Field Format**

13862 An EB frame sent in response to an EBR received during initial joining, including the TX Power IE defined in D.11.2, SHALL be formatted as defined in Figure D-3.

Field	Bytes	Value	Notes
PHY Length	1	49	
Frame Control	2	0xE200	
Frame Type (bits 0-2)		0	Beacon Frame
Security Enabled (bit 3)		0	
Frame Pending (bit 4)		0	
ACK Required (Bit 5)		0	
PAN ID Compression (bit 6)		0	Formerly known as Intra-PAN
Reserved (bit 7)		0	
Sequence Number Suppression (bit 8)		0	
IE List Present (bit 9)		1	IEs are present
Destination Address Mode (bits 10-11)		0	None Address Mode (required for PAN ID conflict detection)
Frame Version (bits 12-13)		2	Version 2 = Enhanced Beacon
Source Address Mode (bits 14-15)		3	Long Address mode. (Short address in EB Payload IE)
Sequence Number	1	0x00	0-255
Destination PAN ID	0		Not present since PAN ID Compression = 0
Destination Address	0		Not present
Source PAN Identifier	2	0x1234	Sender's macPANid
Source Address	8	0x0123456789ABCDEF	Sender's Address
Auxiliary Security Header	0		Not Present since Security Enabled = 0
HIE Termination (Payload IE to follow)	2	0x3F00	Termination IE
Length (bits 0 - 6)		0	Length field does not include Frame control
Element ID (bits 7 - 14)		0x7E	Header Termination IE 1
Type (bit 15)		0	Header IE = 0
PIE (Vendor Specific: ZigBee OUI)	2	0x901B 0x1B <sup>27</sup>	
Length (bits 0 - 10)		0x2	Vendor Specific
Group ID (bits 11 - 14)		1	1 = Payload IE
Type (bit 15)			
Vendor OUI	3	0x4A191B	The ZigBee Alliance's assigned CID value is 4A-19-1B
Sub-IE descriptor (EB Payload)	2	0x0093 0x13 <sup>19</sup>	
Length (bits 0 - 5)		0x0002	ZigBee EB Payload IE
Sub-ID (bits 6 -15)			
Beacon Payload	15		Standard ZigBee PRO Beacon Payload
Protocol ID (bits 0-7)		0x00	
Stack Profile (bits 8-11)		0x2	
NWK Protocol Version (bits 12-15)		0x2	
Reserved (bits 16-17)		0	
Router Capacity (bit 18)		1	0 or 1
Device Depth (bits 19-22)		0x0	0 to 15
End Device Capacity (bit 23)		1	0 or 1
NWK EXT PAN ID (bits 24-87)		0x1122334455667788	EXT PAN ID of network
Tx Offset (bits 88-111)		0xFFFFFFF	
NWKUpdate ID (bits 112-119)		0x00	0-255
Superframe Specification	2	0xCFFF	
Beacon Order (bits 0-3)		0xF	
SuperFrame Order (bits 4-7)		0xF	
Final CAP Slot (bits 8-11)		0xF	
Battery Life Extension (bit 12)		0	0 or 1
Reserved (bit 13)		0	
PAN Cordinator (bit 14)		1	0 or 1
Association Permit (bit 15)		1	0 or 1
Source Short Address (bit 0-15)	2	0x1234	Short address of device sending EB
Sub-IE descriptor (TX Power)	2	0x0041 0x01	
Length (bits 0 - 5)		0x0001	ZigBee TX Power IE
Sub-ID (bits 6 -15)		0x1B	TX Power (dBm) used to send this frame (e.g. 27).
TX Power	1		
PIE (Termination)	2	0xF800	Termination IE
Length (bits 0 - 10)		0	
Group ID (bits 11 - 14)		0xF	Payload Termination IE
Type (bit 15)		1	
CRC	2	0x1234	This value is calculated according to the rest of the frame.

Figure D-3.

13867 After successful joining of a device it is EXPECTED that the Trust Center can update the *mibJoiningIeeeList* to  
 13868 remove the IEEE addresses of devices that have successfully joined and send the updated list to coordinators/routers  
 13869 in the network. This MAY be done via sending the ZDO message *Mgmt\_NWK\_IEEE\_Joining\_List\_rsp*. The ZDO  
 13870 layer on the coordinator/router receiving this message in turn will inform the MLME.

13871 The *mibJoiningPolicy* only affects when Enhanced Beacons are sent. It has no relation to *macAssociationPermit*  
 13872 within the MLME. It is EXPECTED that the higher layer will inform the MLME separately of the state of *macAssocia-*  
 13873 *tionPermit*, *mibJoiningPolicy*, *mibJoiningIeeeList*, *mibIeeeExpiryInterval*, and *mibIeeeExpiryIntervalCountdown*.  
 13874 These MLME attributes can be uniformly managed across the Zigbee Network by the ZDO.

Response When			
	<i>Permit Join true</i>	<i>mibJoiningPolicy is IEEE LIST_JOIN and IEEE found</i>	<i>Permit Join false</i>
Association Request	Success	Success	Reject
Beacon Request	Beacon with pjoin=true		Beacon* with pjoin=false
Enhanced Beacon Request	respond per filter	respond per filter	no response

13875 \* If *mibJoiningPolicy* is IEEE LIST\_JOIN a Beacon Request is always responded to with a Beacon with pjoin=true.

### D.11.1.3 Rejoining a Network

13877 It is possible in any Zigbee network that the network MAY be moved to a different channel or short PAN ID due to  
 13878 contention. Channel changes MAY be more common in the sub-GHz bands vs. that of 2.4 GHz, due to the fact that  
 13879 some channels MAY be limited in their allowable power levels as a result of regional regulatory restrictions. Channel  
 13880 changes can take place temporarily for commissioning or they could be a permanent change to accommodate a new  
 13881 device that needs to use a higher power level in order to successfully communicate with the coordinator/router.

13882 This change in channel or short PAN ID will cause any device that misses the notification (such as a sleepy end device)  
 13883 to perform a rejoin. A rejoin requires an EBR and response (EB) very similar to joining.

13884 Rejoining devices will want to be able to use a similar method to joining devices to filter out extraneous beacons of  
 13885 other networks. However, they will want to filter based on the Extended PAN ID, which is a universal identifier for  
 13886 the specific network they were already commissioned on. They do not want to filter based on the permit joining flag  
 13887 since that flag is only used by new devices when first joining the network.

13888 When rejoining a network a device SHALL use the EBR, but it SHALL NOT contain the EB Filter IE. The rejoining  
 13889 device SHALL include the nested Zigbee Payload IE, containing the Rejoin IE and TX Power IE.

13890 The rejoining device SHALL set the Network Extended PAN ID field of the Rejoin IE to the *nwkExtendedPANId* of  
 13891 the NIB of the rejoining device.

13892 When a rejoining device sends an EBR, it SHALL set the PAN ID Compression field bit of the Frame Control field  
 13893 to 1. The rejoining device SHALL set the Destination Addressing Mode field of the Frame Control field to 10 and the  
 13894 Source Addressing Mode field of the Frame Control field to 11. The rejoining device SHALL set the Destination  
 13895 Address to the broadcast short address (0xffff), the Destination PAN ID to the broadcast PAN ID (0xffff), and the  
 13896 Source Address to the extended address of the rejoining device. A Source PAN ID is not included.

13897 The format of the frame when an EBR is sent during rejoining SHALL be as defined in Figure D-4.

Field	Bytes	Value	Notes
PHY Length	1	43	
Frame Control	2	0xEA43	According to 802.15.4e the EBR is a Beacon Request with version b010 MAC Command
Frame Type (bits 0-2)		3	
Security Enabled (bit 3)		0	
Frame Pending (bit 4)		0	
ACK Required (Bit 5)		0	
PAN ID Compression (bit 6)		1	Formerly known as Intra-PAN
Reserved (bit 7)		0	
Sequence Number Suppression (bit 8)		0	
IE List Present (bit 9)		1	
Destination Address Mode (bits 10-11)		2	Short Address mode.
Frame Version (bits 12-13)		2	Normally Zigbee uses 0 for the version
Source Address Mode (bits 14-15)		3	Long Address mode.
Sequence Number	1	0	This may be any value.
Destination PAN ID	2	0xFFFF	Broadcast
Destination Address	2	0xFFFF	Broadcast
Source PAN Identifier	0		Not present since PAN ID Compression = 1
Source Address	8	0x0123456789ABCDEF	This will be set to the device's actual EUI64
Auxiliary Security Header	0		Not Present since Security Enabled = 0
HIE Termination (Payload IE to follow)	2	0x3F00	Termination IE
Length (bits 0 - 6)		0	Length field does not include Frame control
Element ID (bits 7 - 14)		0x7E	Header Termination IE 1
Type (bit 15)		0	Header IE = 0
PIE (Vendor Specific: ZigBee OUI)	2	0x9012	
Length (bits 0 - 10)		0x12 <sup>18</sup>	
Group ID (bits 11 - 14)		0x2	Vendor Specific
Type (bit 15)		1	1 = Payload IE
Vendor OUI	3	0x4A191B	The ZigBee Alliance's assigned CID value is 4A-19-1B
Sub-IE descriptor (Rejoin)	2	0x000A	
Length (bits 0 – 5)		0x0A	
Sub-ID (bits 6 - 15)		0x0000	ZigBee Rejoin IE
Extended PAN ID	8	0x1234567890abcdef	Extended PAN ID of Network to Rejoin
Source Short Address (bit 0-15)	2	0x1234	Short address of device sending EBR
Sub-IE descriptor (TX Power)	2	0x0041	
Length (bits 0 – 5)		0x01	
Sub-ID (bits 6 - 15)		0x0001	ZigBee TX Power IE
TX Power	1	0x1B	TX Power (dBm) used to send this frame (e.g. 27).
PIE (Termination)	2	0xF800	Termination IE
Length (bits 0 - 10)		0	
Group ID (bits 11 - 14)		0xF	Payload Termination IE
Type (bit 15)		1	
Command Frame Identifier	1	0x07	0x07 = Beacon Request.
CRC	2	0x1234	This value is calculated according to the rest of the frame.

**Figure D-4. Enhanced Beacon Frame Format**

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Coordinators/Routers hearing an EBR with a nested Zigbee Payload IE, containing the Rejoin IE and TX Power IE, SHALL filter on the Network Extended PAN ID field of the Rejoin IE. If the Network Extended PAN ID field of the Zigbee IE matches the value of the *nwkExtendedPanID* in their NIB, then the coordinator/router SHALL send an EB. If the Network Extended PAN ID field of the Zigbee IE does not match the value of the *nwkExtendedPanID* in their NIB, then the coordinator/router SHALL NOT send an EB.

The EB frame sent in response to an EBR received during rejoining SHALL be the same format as the EB sent for initial joining.

#### D.11.1.4 Zigbee Payload IE

The general format of a Payload IE is given in the IEEE Std 802.15.4-2020 Standard.

The Zigbee Payload IE is a Vendor Specific Payload IE (Group ID = 0x2) using the Zigbee OUI value of 0x4A191B.

The Zigbee Payload (Nested) IE SHALL be formatted as shown in Figure D-5.

<b>Bits: 0-5</b>	<b>6-15</b>	<b>Octets: Variable</b>
Length	Sub-ID	Content

**Figure D-5. Zigbee Payload Nested IE**

13911  
13912 The Sub-ID field values for Zigbee Payload Nested IEs are shown in Table D-5.

13913 **Table D-5. Sub-ID Allocation for Zigbee Payload Nested IE**

<b>Sub-ID value</b>	<b>Name</b>
0x00	Rejoin IE
0x01	TX Power IE
0x02	EB Payload IE
0x003-0x3ff	Reserved

13914 The Rejoin IE Content field SHALL be formatted as illustrated in Figure D-6.

<b>Octets: 8</b>	<b>2</b>
Network Extended PAN ID	Sender Short Address

13915 **Figure D-6. Rejoin IE Content Field Format**

13916 The TX Power IE Content field SHALL be formatted as illustrated in Figure D-7.

<b>Octets: 1</b>
TX Power (in dBm - used to send the frame)

13917 **Figure D-7. TX Power IE Content Field Format**

13918  
13919 The EB Payload IE Content field SHALL be formatted as illustrated in Figure D-8. Refer to 7.4.4.6 of IEEE Std 802.15.4-2020 for more detailed information.

<b>Octets: 15</b>	<b>2</b>	<b>2</b>
Beacon Payload	Superframe Specification	Sender Short Address

Figure D-8. EB Payload IE Content Field Format

**D.11.1.5 Support for Non-Enhanced Beacons**

Devices supporting Enhanced Beacons SHALL also support the usage reception of non-enhanced Beacons for the purposes of surveying IEEE Std 802.15.4 networks.

- All coordinators/routers SHALL process Beacons for PAN ID Conflict, as per the standard mechanism.
- All coordinators/routers SHALL respond to Beacon Requests with a Beacon.
- When power control is used the Beacon SHALL be sent at a power level that corresponds to the highest power level of all the known devices joined to the coordinator/router plus 6 dB, up to the maximum allowable for the channel.

Example: Four devices are joined to a Sub-GHz coordinator/router. One device requires that the coordinator/router transmit at a power level of +10 dBm while all others require less. If the channel that the Beacon Request was received on had a maximum allowable transmit power of +27 dBm, the coordinator/router would respond to the Beacon Request using a transmit power level of +16 dBm. If however, the channel that the Beacon Request was received on had a maximum allowable transmit power of +14 dBm, the coordinator/router would respond to the Beacon Request using a transmit power level of +14 dBm.

- When power control is not used the Beacon SHALL be sent at the power level currently being used for the channel.

**D.11.1.6 Association Following an Enhanced Beacon**

To remain consistent with the IEEE Std 802.15.4-2020 specification a device sending an Enhanced Beacon request SHALL use long destination address mode when sending the Association Request. However devices receiving the Association request SHALL accept either long or short destination address modes. The receiving destination SHALL NOT filter the association request based on a prior beacon request.

**D.11.2 MAC Support for Power Control****D.11.2.1 Power Control Primitive Parameters****D.11.2.1.1 RSSI Parameter**

The RSSI of the received packet SHALL be measured for each received packet. This measurement MAY be taken over any portion of the received frame. Upon reception of a packet the RSSI (in dBm) of the received packet SHALL be passed up to the MAC using the appropriate MLME primitive. RSSI is an 8-bit signed integer representing the measured receive power dBm, in one dB increments. To accomplish this the RSSI parameter SHALL be added as follows:

Name	Type	Valid Range	Description
RSSI	Signed integer	See Table D-14.	The Received Signal Strength Indicator is a measure of the RF power level (in dBm) at the input of the transceiver measured during any portion of the frame being received.

**D.11.2.2 TX Power IE for EBR and EB (During Joining and Rejoining Process)**

To facilitate power control during the Joining and Rejoining process, the Zigbee Payload (Nested) IE, shown in Figure D-8, SHALL be sent when using the EBR and EB. The Zigbee Payload (Nested) IE SHALL contain the TX Power IE. The Content field format of the TX Power IE is shown in Figure D-7. The Sub-ID Value for the TX Power IE is

13954 given in Table D-5. The TX Power field SHALL be set to the transmit power setting of the device sending the packet.  
 13955 TX Power is an 8-bit signed integer representing the TX Power in dBm, in one dB increments.

### 13956 **D.11.2.3 Power Control Information Table**

13957 To facilitate power control in the MAC, used for standard messages as well as for acknowledgements (ACKs), the  
 13958 MAC SHALL maintain a Power Control Information Table. The table SHALL contain the links presently being used  
 13959 by the MAC. These include links that are being established as well as links that have been established and have an  
 13960 entry in the neighbor table. The table SHALL NOT persist over a power reset/interruption. After a power reset/interruption  
 13961 devices SHALL start at their maximum power level (i.e. up to and including +14 dBm for GB 868) and  
 13962 renegotiate down from there. The format of the information stored for each link represented in the Power Control  
 13963 Information Table is shown in Figure D-9.

<b>Octets</b>	2	8	1	1	1
<b>Data Type</b>	Short Address	IEEE Address	TX Power Level	Last RSSI Level	NWK Negotiated

13964 **Figure D-9. Format of Power Control Information Table Entry**

13965 The Power Control Information Table SHALL support at least 1 entry.

13966 Both the Short Address and the IEEE Address are required in the EB during joining and rejoining. As soon as an EB  
 13967 is received an entry is created in the MAC Power Control Information Table. Even though the use of the short and  
 13968 long addresses MAY allow for the required Tx power for ACKs to be set quickly - it MAY still be extremely tight  
 13969 timing to perform a lookup. Therefore ACKs MAY be sent with a Tx power equal to the maximum Tx Power Level  
 13970 in the Power Control Information Table if there is not enough time to perform a lookup in the MAC Power Control  
 13971 Information Table.

13972 If the sending device has an entry in the MAC Power Control Information Table then this entry is used as the power  
 13973 level for all transmissions to this device. If the sending device has no entry then the maximum power level for the  
 13974 channel is to be used.

13975 During the joining / rejoining process the entry in the table remains and the entry NWK Negotiated remains 0. Should  
 13976 the device successfully join and an entry is created in the neighbor table then the flag NWK Negotiated SHOULD be  
 13977 set to 1.

13978 A regular NWK housekeeping routine (used for power negotiation) checks for table entries not having a NWK Negotiated  
 13979 flag set to 1, and if after 10 seconds from an entry being made during the joining / rejoining process the NWK  
 13980 Negotiated flag has not been set to 1, the entry SHALL be removed from the Power Control Information Table.

#### 13981 **D.11.2.3.1 MLME-GET-POWER-INFORMATION-TABLE.request**

13982 The MLME-GET-POWER-INFORMATION-TABLE.request primitive returns the Power Control Information entry  
 13983 for the link pair. The request MAY include the Short Address and/or the IEEE (Long) Address.

##### 13984 **D.11.2.3.1.1 Semantics of the Service Primitive**

13985 The semantics of the MLME-GET-POWER-INFORMATION-TABLE.request primitive are as follows:

---

MLME-GET-POWER-INFORMATION-TABLE.request	{ Short Address IEEE Address }
--	---

---

13991

Name	Type	Valid Range	Description
Short Address	16bit mac address	0-0xffff	Short address of the link pair to transmit the packet to.
IEEE Address	64bit mac address	valid mac address	Extended (IEEE) address of the link pair to transmit the packet to.

13992

### D.11.2.3.2 MLME-GET-POWER-INFORMATION-TABLE.confirm

The MLME-GET-POWER-INFORMATION-TABLE.confirm primitive returns the status and the information requested by the MLME-GET-POWER-INFORMATION-TABLE.request.

13995

#### D.11.2.3.2.1 Semantics of the Service Primitive

The semantics of the MLME-GET-POWER-INFORMATION-TABLE.confirm primitive are as follows:

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---

MLME-GET-POWER-INFORMATION-TABLE.confm	{ Status Elements in Figure D-9 }
--	--

---

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14000

14001

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, FAIL, UNSUP- PORTED	Used to indicate if an entry was found for the pair requested.
Elements in Figure D-9	Variable	-	Elements in Figure D-9 for the pair requested.

14002

### D.11.2.3.3 MLME-GET-POWER-INFORMATION-TABLE.request

The MLME-GET-POWER-INFORMATION-TABLE.request primitive adds the Power Control Information entry for the link pair.

14005

#### D.11.2.3.3.1 Semantics of the Service Primitive

The semantics of the MLME-GET-POWER-INFORMATION-TABLE.request primitive are as follows:

14007

---

MLME-GET-POWER-INFORMATION-TABLE.request	{ Elements in Figure D-9 }
--	----------------------------------

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14008

14009

14010

Name	Type	Valid Range	Description
Elements in Figure D-9	Variable	-	Elements in Figure D-9 for the pair requested.

14011

### D.11.2.3.4 MLME-SET-POWER-INFORMATION-TABLE.confirm

The MLME-SET-POWER-INFORMATION-TABLE.confirm primitive returns the status and the information requested by the MLME-SET-POWER-INFORMATION-TABLE.request.

14014

14015 **D.11.2.3.4.1 Semantics of the Service Primitive**

14016 The semantics of the MLME-SET-POWER-INFORMATION-TABLE.confirm primitive are as follows:

---

MLME-SET-POWER-INFORMATION-TABLE.confirm	{ Status }
--	------------------

---

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, FAIL, UNSUP- PORTED	Used to indicate if an entry for the pair was suc- cessfully added to the Power Information Table.

14021 **D.11.2.4 Power Control Functional Description**

14022 **D.11.2.4.1 Power Control during Energy Scans and Active Scans**

14023 The Tx power level used during Energy scans SHALL be at whatever power level the devices are currently transmis-  
14024 ting at.

14025 The Tx power level used during Active scans (when sending beacon requests and not enhanced beacon requests)  
14026 during network formation SHALL be at the device's maximum power level (i.e. up to and including +14 dBm for GB  
14027 868) and the resulting beacons SHALL be sent at the device's maximum power level (i.e. up to and including +14  
14028 dBm for GB 868).

14029 **D.11.2.4.2 Power Control during EBR and EB Frames**

14030 The use of the EBR and the EB Frame with embedded TX Power IE allows a quick power level negotiation before  
14031 the bulk of the communication has started. This ensures that the network traffic, especially in the early stages of joining  
14032 a Zigbee network will be limited in range due to the power level negotiation, thus reducing the interference of the  
14033 Zigbee network to other Zigbee networks and to other users on these frequencies.

14034 The initial power level negotiation ensures that the optimum received signal level is maintained at the receiver for  
14035 good reception. The functional description of initial power negotiation occurring when using an EBR and EB during  
14036 initial joining is explained below.

- 14037 • The device SHALL send the initial EBR at its maximum power for the selected channel.
- 14038 • The initial EBR contains a TX power IE with the transmit power of the frame
- 14039 • On receipt of the EBR the normal filtering is carried out as well as the extraction of the TX power IE for further  
14040 processing: EBRpwr (dBm)
- 14041 • On receipt of the EBR the RSSI of the Frame is noted: EBRRSSI (dBm)\*  
14042 \* where the EBRRSSI measurement is in reference to the antenna (i.e. not between the chip and an external LNA)
- 14043 • The optimum received signal level is defined as OPTRSSI (dBm): defined as 20dB above the sensitivity require-  
14044 ment
- 14045 • The recipient then calculates the difference between the incoming RSSI level of the EBR and the power level of  
14046 the EBR to give the effective path loss of this link:  
14047 
$$\text{PATHLOSSpwr (dB)} = \text{EBRRSSI (dBm)} - \text{EBRpwr (dBm)}$$
- 14048 • Nonlinear absorption of the packet energy is neglected for the link and it is assumed that the link loss between  
14049 antennae is symmetric
- 14050 • In order to establish the optimum power level at the sender, the recipient calculates what power is necessary to  
14051 overcome this path loss. This is then set as the TX power used for the EB: EBPWR (dBm). This is calculated as  
14052 follows:

14053 EBPWR (dBm) = OPTRSSI (dBm) - PATHLOSSpwr (dB)

- This transmit power setting is then used AND is coded into the TX Power IE of the EB
  - On receipt of the EB in response to a EBR the TX Power IE is read and is used as the power setting for this link

14056 After the initial power control negotiation the TX power values used for the link remain unchanged until the network  
14057 layer carries out regular housekeeping and can adjust the power levels of the link nodes.

#### D.11.2.4.3 Ongoing Power Control

14059 Ongoing Power Control is accomplished via mechanisms implemented in the network layer and SHALL make use  
14060 of the Power Control Information Table .

#### D.11.2.4.4 Power Control Tx Power Limits

14062 When implementing power control the Tx power of a device SHALL not be adjusted to exceed the Maximum Transmit  
14063 Power and Minimum Transmit Power limits defined in D.12.2.2.3.1 and D.12.2.2.3.2 respectively.

#### D.11.2.4.5 Tx Power for Devices not in Power Control Information Table

Scenarios exist, outside of the EBR/EB exchange for joining and rejoining, where a device transmits to another device that is not in its Power Control Information Table. In these scenarios the transmitting device SHALL transmit at a power equal to the maximum power level for the selected channel.

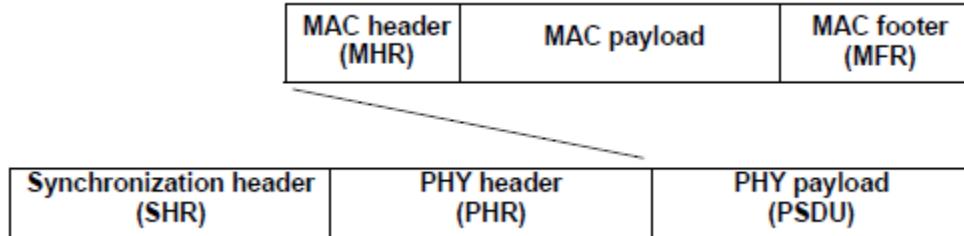
## D.12 GB Smart Energy Sub-GHz FSK PHY Specification

## D.12.1 GB Smart Energy Sub-GHz FSK Frame Format

14070 European Sub-GHz FSK SHALL use a 802.15.4 frame formatted in the following manner.

### D.12.1.1 PPDU Format for GB Smart Energy Sub-GHz FSK

14072 European Sub-GHz FSK SHALL use the PPDU as defined in 802.15.4, shown in Figure D-10.



**Figure D-10.** PPDU

#### D.12.1.1.1 SHR for GB Smart Energy Sub-GHz FSK

14076 GB Smart Energy Sub-GHz FSK SHALL use the IEEE Std 802.15.4-2020 SUN FSK SHR, as shown in Figure D-11.

<b>Octets: 8</b>	<b>2</b>
<b>Preamble</b>	<b>SFD</b>

**Figure D-11.** SHR

14078 The Preamble field SHALL contain  $\text{phyFSKPreambleLength} = 8$  multiples of the 8-bit sequence “01010101”, resulting  
14079 in the following value for the Preamble field:

14081 The SFD SHALL be as defined in 20.2.1.2 of IEEE Std 802.15.4-2020 with the following constraint: All devices  
 14082 SHALL support  $phyMRFSSKSF = 0$  for uncoded PHR + PSDU fields, resulting in the following value for the SFD  
 14083 field:

14084 SFD: 1001 0000 0100 1110

14085 When  $phyMRFSSKSF = 0$ , FEC is not supported.  $phyMRFSSKSF = 1$  is not supported.

### D.12.1.1.2 PHR for GB Smart Energy Sub-GHz FSK

14087 European Sub-GHz FSK SHALL use the IEEE Std 802.15.4-2020 SUN FSK PHR, with the following settings as  
 14088 shown in Figure D-12.

Bit string index	0	1-2	3	4	5-15
Bit settings	0	00	1	1	000011111111
Field name	Mode Switch	Reserved	FCS Type	Data Whitening	Frame Length*

Figure D-12. PHR

14090 \* Maximum value of Frame Length

14091 where:

- The Mode Switch bit SHALL be set to 0 (signifying that only a single data rate is used and that mode switching is not supported);
- The Reserved bits SHALL be set to 0;
- The FCS Type bit SHALL be set to 1 (signifying that a 2 byte FCS is used - to align with the 2 byte FCS used by Zigbee for the 2.4 GHz PHY);
- The Data Whitening bit SHALL be set to 1 (signifying data whitening of the PSDU is always enabled);
- The Frame Length field SHALL indicate the total number of octets contained in the PSDU (i.e., PHY payload). The PHY constant  $aMaxPHYPacketSize$  SHALL be set to 127, and therefore the maximum value the Frame Length field SHALL be 127. This is done to align with the maximum Frame Length used by Zigbee for the 2.4 GHz PHY.

### D.12.1.1.3 PSDU Format for GB Smart Energy Sub-GHz FSK

14093 European Sub-GHz FSK SHALL use the IEEE Std 802.15.4-2020 PSDU for General MAC Frames, with permissible  
 14094 field lengths as shown in Figure D-13, so that it matches the PSDU for General MAC Frames used by Zigbee for the  
 14095 2.4 GHz PHY.

Octets: 2	1	0/2	0/2/8	0/2	0/2/8	variable	variable	variable	2
Frame Control	Sequence Number	Destination PAN Identifier	Destination Address	Source PAN Identifier	Source Address	Auxiliary Security Header	Information Elements		FCS
		Addressing fields					Header IEs	Payload IEs	
MHR							MAC Payload		MFR

Figure D-13. PSDU for General MAC Frames

14106 While it is possible for IEs to be included in any MAC frame, IEs SHALL only be included in EB frames and MAC  
 14107 Command Frames sending an EBR. Otherwise IEs SHALL NOT be included and the length of the Information Ele-  
 14108 ment field SHALL be 0, as shown in Figure D-12.

14110 **D.12.2 GB Smart Energy Sub-GHz FSK PHY**

14111 **D.12.2.1 Modulation Specification**

14112 European Sub-GHz FSK SHALL NOT use any of the mandatory or optional modes defined in IEEE Std 802.15.4-  
14113 2020 SUN FSK. European Sub-GHz FSK SHALL use the modulation requirements as specified in Table D-6.

14114 **Table D-6. Modulation Requirements**

Parameter	Configuration
Modulation	2-Level GFSK
Data Rate	100 kbps
Tx Filter BT	0.5 (Gaussian)
Modulation Index	0.7

14115 No other modulation parameters are supported.

14116 **D.12.2.1.1 Forward Error Correction (FEC)**

14117 GB Smart Energy Sub-GHz FSK devices SHALL NOT support FEC coding.

14118 **D.12.2.1.2 Data Whitening**

14119 GB Smart Energy Sub-GHz FSK devices SHALL support Data whitening as specified in 20.4 of IEEE Std 802.15.4-  
14120 2020.

14121 **D.12.2.1.3 Channels and Frequencies**

14122 GB Smart Energy Sub-GHz FSK devices SHALL be capable of operating on all the channels specified, in all of the  
14123 bands specified in Table D-7.

14124 **Table D-7. Total Number of Channels and First Channel Center Frequencies**

Frequency band (MHz)	ChanSpacing (MHz)	TotalNumChan	ChanCenterFreq0
863 – 876 (Europe)	0.2	63	863.25
915 – 921 (Europe)	0.2	27	915.35

14125 The exact Channel Plan used in a GB Smart Energy Sub-GHz FSK deployment SHALL be specified using a channel  
14126 mask.

14127 **D.12.2.1.4 Channel Numbering**

14128 Channel numbers are assigned as follows:

$$ChanCenterFreq = ChanCenterFreq0 + NumChan * ChanSpacing$$

14130 where *ChanCenterFreq0* is the first channel center frequency in MHz, *ChanSpacing* is the separation between adjacent  
14131 channels in MHz, and *NumChan* is the channel number from 0 to *TotalNumChan*-1.

14132

14133 For the 863 MHz - 876 MHz band

14134  $TotalNumChan = 63$ 14135  $ChanSpacing = 0.2$ 14136  $NumChan$  goes from 0 to 6214137  $ChanCenterFreq0 = 863.25$ 14138 This results in the following channel numbers and center frequencies for 863 MHz to 876 MHz shown in Table D-8  
14139 which SHALL be used for the 863 MHz - 876 MHz band:14140 **Table D-8. Channels and Center Frequencies for 863 MHz - 876 MHz**

Channel #	Fc (MHz)
0	863.25
1	863.45
...	...
61	875.45
62	875.65

14141 For the 915 MHz - 921 MHz band

14142  $TotalNumChan = 27$ 14143  $ChanSpacing = 0.2$ 14144  $NumChan$  goes from 0 to 2614145  $ChanCenterFreq0 = 915.35$ 14146 This results in the following channel numbers and center frequencies for 915 MHz to 921 MHz shown in Table D-9,  
14147 which SHALL be used for the 915 MHz - 921 MHz band:14148 **Table D-9. Channels and Center Frequencies for 915 MHz - 921 MHz**

Channel #	Fc (MHz)
0	915.35
1	915.55
...	...
25	920.35
26	920.55

14149

14150

### 14151 D.12.2.1.5 Channel Pages

14152 Four new channel pages are allocated, for GB Smart Energy Sub-GHz FSK, using the existing 32-bit mechanism (5-  
14153 bits of channel-page, 27-bits of channel-mask), spreading the channels across the 4 pages as follows.

Channel Page	Description
28	863 MHz band, channels 0-26
29	863 MHz band, channels 27-34, 62
30	863 MHz band, channels 35-61 -- the "high-power band"
31	915 MHz band, channels 0-26

### 14154 D.12.2.2 GB Smart Energy Sub-GHz FSK RF Requirements

#### 14155 D.12.2.2.1 Receiver Requirements

##### 14156 D.12.2.2.1.1 Standard Measurement Conditions

14157 The Standard Measurement Conditions for all receiver requirements SHALL be:

- 14158 • 139 byte packets (8 bytes Preamble + 2 byte SFD + 2 byte PHR + 127 byte PSDU)
- 14159 • Receiver power measurements made at the antenna connector
- 14160 • Packet Error Rate of less than 1%

14161 The PHY RF requirements, when measured under these conditions, are intended to apply to a typical device rather  
14162 than the worst sample of a batch.

##### 14163 D.12.2.2.1.2 Sensitivity Requirement

14164 Under the Standard Measurement Conditions, GB Smart Energy Sub-GHz FSK devices SHALL meet a Reference  
14165 Sensitivity as specified in Table D-10.

14166 **Table D-10. Receiver Reference Sensitivity Requirement**

Reference Sensitivity (dBm)
-99

##### 14167 D.12.2.2.1.3 Co-Channel Rejection Requirement

14168 Under the Standard Measurement Conditions, with the wanted signal at 20 dB above the Reference Sensitivity level  
14169 in Table D-10 and with an interfering signal modulated with the same modulation as the wanted signal and on the  
14170 same channel, GB Smart Energy Sub-GHz FSK devices SHALL meet the co-channel rejection requirement at the  
14171 level relative to the wanted signal level as specified in Table D-11.

14172 **Table D-11. Receiver Co-Channel Rejection Requirement**

Co-Channel Rejection (dB)
-15

##### 14173 D.12.2.2.1.4 Selectivity Requirements

14174 Under the Standard Measurement Conditions, with the wanted signal at 3 dB above the Reference Sensitivity level in  
14175 and with an interfering signal modulated with the same modulation as the wanted signal; at the frequency offsets  
14176 specified in Table D-12, GB Smart Energy Sub-GHz FSK devices SHALL meet the selectivity requirements at a  
14177 power level equal to the wanted signal plus the Level of Interferer as specified in Table D-12.

14178

**Table D-12. Receiver Selectivity Requirements**

Frequency Offset (kHz)	Level of Interferer Relative to Wanted (dB)
200	17
400	30
1000	35

14179

**D.12.2.2.1.5 Blocking Requirements**14180  
14181  
14182  
14183

Under the Standard Measurement Conditions, with the wanted signal at 3 dB above the Reference Sensitivity level in Table D-10 and with an unmodulated interfering signal at the specified frequency offsets specified in Table D-13, GB Smart Energy Sub-GHz FSK devices SHALL meet the blocking requirements at a power level equal to the wanted signal plus the Level of Interferer as specified in Table D-13.

14184

**Table D-13. Receiver Blocking Requirements**

Center Frequency of Wanted Signal (MHz)	Frequency Offset of Interferer (MHz)	Level of Interferer Relative to Wanted (dB)
868.05	2	40
868.05	6	45
868.05	10	50

14185

**D.12.2.2.2 Receive Power Level (RSSI)**14186  
14187

The receiver SHALL be capable of measuring the received power level (reported as the RSSI) of a packet (measured over any portion of the received packet), on a packet by packet basis over at least the range defined in Table D-14.

14188

**Table D-14. Receive Power Measurement Range**

Receive Power Measurement Lower Minimum	Receive Power Measurement Upper Minimum
-97 dBm	-50 dBm

14189  
14190

The receiver SHALL be capable of measuring the received power with the step size and accuracy defined in Table D-15.

14191

**Table D-15. Receive Power Measurement Step Size and Accuracy**

Receive Power Measurement Step Size	Receive Power Measurement Accuracy
$\leq 2$ dB	$\leq 3$ dB

14192

**D.12.2.2.3 Transmitter Requirements**

14193

**D.12.2.2.3.1 Maximum Transmit Power**14194  
14195

The Regulated Maximum Transmit Allowable Power for GB Smart Energy Sub-GHz FSK devices, in each of the channels, is as specified in Table D-16.

14196

**Table D-16. Regulated Maximum Allowable Transmit Power**

IEEE Band (MHz)	Regulated Maximum Transmit Power (dBm)	Channel Number
863-876	14	0-34
863-876	27*	35-61
915-921	14	0-26

14197 \* For the Great Britain Sub-GHz FSK Deployment the Maximum Transmit Power for all channels, per. requirement  
14198 from DECC, SHALL be +14dBm.

#### 14199 **D.12.2.2.3.2 Minimum Transmit Power**

14200 The Minimum Transmit Power for GB Smart Energy Sub-GHz FSK devices, for all the channels, SHALL be as  
14201 specified in Table D-17.

14202 **Table D-17. Minimum Transmit Power**

Minimum Transmit Power
-15 dBm

#### 14203 **D.12.2.2.3.3 Transmit Power Step Size and Accuracy**

14204 The transmitter SHALL be capable of adjusting its transmit power over the range from the Minimum Transmit Power  
14205 to the maximum power of the device or the maximum specified power, whichever is reached first, with the step size  
14206 and accuracy specified in Table D-18.

14207 **Table D-18. Transmit Power Step Size and Accuracy**

Transmit Power Step Size	Transmit Power Accuracy
$\leq 2 \text{ dB}$	$\leq 3 \text{ dB}$

### 14208 **D.12.3 Channel Plan and Masks**

14209 Given the PHY requirements above a total of 90 possible channels are available for GB Smart Energy Sub-GHZ FSK  
14210 deployment. However, depending on regional restrictions and/or considerations due to ER-GSM, alarm channels, etc.  
14211 the number of usable channels for any specific country will be less and a mask SHALL be applied.

14212 Due to interferers or restricted use in each of these bands Great Britain Sub-GHz FSK SHALL mask out the channels  
14213 as indicated below in Table D-19.

14214

14215

**Table D-19. Great Britain Sub-GHz FSK Channel Plan and Mask**

Channel Page	Channels Available for GB Smart Energy Sub-GHz FSK Deployment			Channel Mask for GB Smart Energy Sub-GHz FSK Deployment					
	Band (channel numbers)	Max. Power	# Avail. Channels	Example 1 all @ +14 dBm	Example 2 all @ Max Pwr	Channels #'s to Mask Out	# Useable Channels	Start Fc* (MHz)	End Fc* (MHz)
28	863-868 MHz band (channels 0-26)	+14 dBm	27	27	None	27 (0-26)	863.25	868.45	
29	868-870, 870-876 MHz band (channels 27-34, 62)**	+14 dBm	9	9	62	8 (27-34)	868.65	870.05	
30	870-876 MHz band (channels 35-61)	+14 dBm or +27 dBm	27 0	0 27	49-61 -	14 (35-48) -	870.25 -	872.85 -	
31	915-921 MHz band (channels 0-26)	+14 dBm	27	27	13-26	13 (0-12)	915.35	917.75	
Total # of Channels @ +14 dBm			90	63		62			
Total # of Channels @ +27 dBm			0	27		0			
<b>Total # of All Channels</b>			<b>90</b>	<b>90</b>		<b>62</b>			

14216 \*Fc spacing = 200 kHz

14217 \*\*While the alarm channels in this band MAY be restricted in other European countries, and therefore SHOULD be  
14218 masked out, these channels are approved for use in UK per IR 2030 and EN 300-220 [B12].

14219 For example, the Channel Mask for GB Smart Energy Sub-GHz FSK Deployment shown in

14220 results in the following channel page bit mask representations.

## D.13 GB Smart Energy and Regional PHY Sub-GHz FSK MAC Specification

14223 The GB Smart Energy Sub-GHz FSK MAC SHALL utilize the same MAC used by the Zigbee PRO Network Stack.  
14224 However, in order to meet the added requirements for sub-GHz use in the UK, several additional MAC capabilities  
14225 SHALL also be supported. The functions of the additional capabilities are included below, along with the descriptions  
14226 and requirements of each of the capabilities.

### D.13.1 MAC Support for Duty Cycle Monitoring

#### D.13.1.1 Duty Cycle Monitoring Specific MAC Constants and PIB Attributes

14229 The MAC Sublayer Constants required to support Duty Cycle Monitoring are given in Table D-20.

**Table D-20. Duty Cycle MAC Sublayer Constants**

Constant	Description	Default (symbols)	
		Channel Page 28 (channels 0-26) and Channel Page 29 (channels 27-34, 62)  per EN300 220-1	Channel Page 30 (channels 35-61) and Channel Page 31 (channels 0-26)  per EN300 220-1 & EN303 204
<i>aDUTYCYCLEMeasurementPeriod</i>	The period over which the duty cycle is calculated.	360,000,000 [3600s, 1hr]	
<i>aDUTYCYCLEBuckets</i>	Number of buckets used for duty cycle monitoring	13	
<i>aDUTYCYCLERampUp</i>	Time transmitter is transmitting carrier prior to start of data	Stack/silicon specific (in symbols)	
<i>aDUTYCYCLERampDown</i>	Time transmitter is transmitting carrier after end of data	Stack/silicon specific (in symbols)	

14231 The MAC PIB Attributes required to support Duty Cycle Monitoring are given in Table D-21.

**Table D-21. Duty Cycle MAC PIB Attributes**

Attribute	Type	Range	Description	Default (symbols)
<b>Read/Write Attributes</b>				
<i>mibDUTYCYCLELimited-Thresh</i>	Integer	0 to <i>mibDUTYCYCLERegulated</i> , but SHALL not exceed <i>mibDUTYCYCLECritical-Thresh</i>	Threshold level, which if exceeded, identifies the limited duty cycle operation mode (in hundredths of a %).	5,400,000
<i>mibDUTYCYCLECritical-Thresh</i>	Integer	0 to <i>mibDUTYCYCLERegulated</i>	Threshold level, which if exceeded, identifies the CRITICAL duty cycle operation mode.	7,500,000
<i>mibDUTYCYCLERegulated</i>	Integer	0 to 360,000,000 (3600s)	The regionally regulated maximum duty cycle permitted over the <i>aDUTYCYCLEMeasurementPeriod</i> .	10,000,000 [100s, ~2.77%] for Channel Pages 28 & 29 9,000,000 [90s, ~2.5%] for Channel Pages 30 & 31
<i>mibDUTYCYCLEUsed</i>	Integer	0 to <i>mibDUTYCYCLERegulated</i>	The current duty cycle used over the current measurement period <i>aDUTYCYCLEMeasurementPeriod</i> .	0
<i>mibDUTYCYCLEPtr</i>	Integer	0 to <i>aDUTYCYCLEBuckets-1</i>	This is an index to the current bucket in the circular accumulator	0
<i>mibDUTYCYCLEBucket[]</i>	Integer array	0 - <i>mibDUTYCYCLERegulated</i>	Array used as a circular accumulator of transmission time used in deriving transmission over past <i>aDUTYCYCLEMeasurementPeriod</i>	0
<i>mibDUTYCYCLEStatus</i>	Enumeration	NORMAL, LIMITED CRITICAL, SUSPENDED	Current status of the duty cycle over the current <i>aDUTYCYCLEMeasurementPeriod</i> .	NORMAL

**D.13.1.2 Duty Cycle Calculations Over Measurement Period**

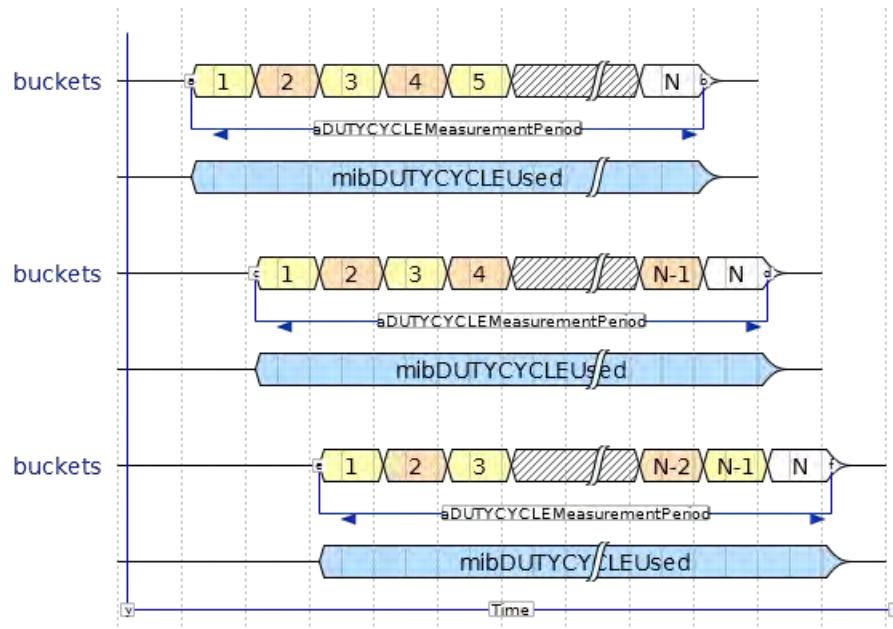
The duty cycle is calculated as the total transmitted time over the measurement period, i.e.:

$$\frac{mibDUTYCYCLEUsed}{aDUTYCYCLEMeasurementPeriod} \%$$

14236 The duty cycle is with respect to the last *aDUTYCYCLEMeasurementPeriod* prior to the current time.

### D.13.1.2.1 Accumulating the Duty Cycle Used

14238 The duty cycle used is accumulated over the previous *aDUTYCYCLEMeasurementPeriod*, Accumulating over short  
14239 periods and accumulating the sum over the previous N measurement periods the duty cycle is accurately and efficiently  
14240 measured.



14241  
14242 The number of accumulation buckets *aDUTYCYCLEBuckets*, that is chosen, has consequences on the accuracy and  
14243 processing required. The following guidance is provided to:

- 14244 • Reduce processing requirements
- 14245 • Ensure it is not possible to exceed the duty cycle

14246 In order to achieve these objectives it is suggested that the number of buckets is calculated by:

- 14247 • Selecting a time period which is an integer factor of *aDUTYCYCLEMeasurementPeriod*
- 14248 • Selecting *aDUTYCYCLEBuckets* such that:

$$14249 \quad aDUTYCYCLEBuckets = \left( \frac{aDUTYCYCLEMeasurementPeriod}{\text{time period}} \right) + 1$$

14250 The consequences of the value *aDUTYCYCLEBuckets* are:

- 14251 • The larger the number of time periods
  - 14252 ○ Higher resolution of duty cycle measurement
  - 14253 ○ Lower underutilized available transmission time available
  - 14254 ○ Increased processing
- 14255 • The smaller the number of time periods
  - 14256 ○ Lower resolution of duty cycle measurement
  - 14257 ○ Higher underutilized available transmission time available
  - 14258 ○ Reduced processing

14260

### D.13.1.2.2 Examples of Selecting Values for *aDUTYCYCLEBuckets*

Measurement Periods			
<i>aDUTYCYCLEBuckets</i>	Resolution		Min Transmission Left (Seconds)
	in Seconds	in Minutes	
3	1800	30	0.0
13	300	5	0.0
25	150	2.5	0.0
61	60	1	40.0
241	15	0.25	85.0
3601	1	0.02	99.0

14261

14262 Assuming the oldest bucket is maxed out (lower of 100s or resolution) the table indicates the minimum time remaining of the 100s, until the measurement period is advanced at which point all the accumulated transmission in the oldest bucket will be freed.

14265 There's a tradeoff to be made. Other algorithms can be used providing they can GUARANTEE that the maximum transmission in the measurement period is NEVER exceeded.

### D.13.1.3 DC\_CheckMode()

14268 This routine updates the mode based on the accumulated duty cycle usage.

14269 Parameters:

- 14270 • NONE

14271 Returns

- 14272 • NONE

14273 Method:

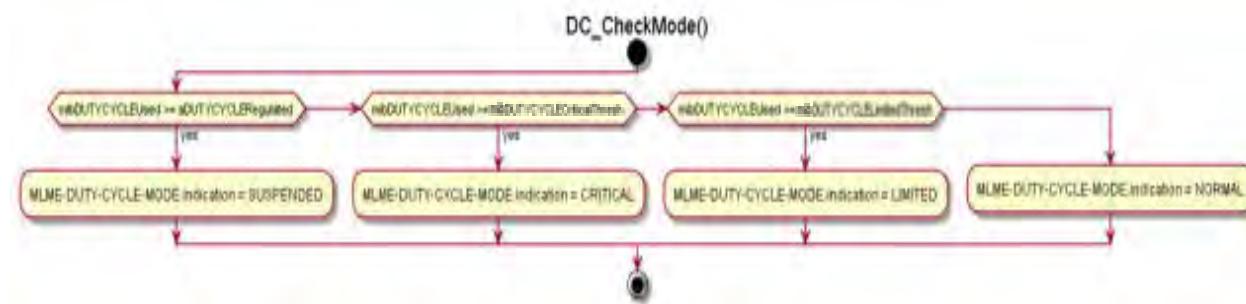
- 14274 1. Set MLME-DUTY-CYCLE-MODE.indication.

```

14275 @startuml
14276 title DC_CheckMode()
14277 start
14278 if (mibDUTYCYCLEUsed >= mibDUTYCYCLERegulated) then (yes)
14279 : mibDUTYCYCLEStatus = SUSPENDED;
14280 elseif (mibDUTYCYCLEUsed >= mibDUTYCYCLECriticalThresh) then (yes)
14281 : mibDUTYCYCLEStatus = CRITICAL;
14282 elseif (mibDUTYCYCLEUsed >= mibDUTYCYCLELimitedThresh) then (yes)
14283 : mibDUTYCYCLEStatus = LIMITED;
14284 else
14285 : mibDUTYCYCLEStatus = NORMAL;
14286 endif
14287 stop
14288 @enduml

```

14289



14290

#### D.13.1.4 DC\_Bump Buckets

14291 This routine handles the sliding integrator at the start of each time period used in monitoring the duty cycle usage.

14292 Parameters:

- NONE

14293 Returns

- NONE

14294 Method:

1. Reduce the running total by the oldest bucket.
2. Clear the oldest bucket.
3. Update `mibDUTYCYCLEStatus` based on the running total.
4. If the `mibDUTYCYCLEStatus` attribute has changed send a `MLME-DUTY-CYCLE-MODE.indication` containing the new `mibDUTYCYCLEStatus` value.

#### D.13.1.5 Accumulate Transmit Time

14295 This routine adds the transmission time to the duty cycle usage.

14296 Parameters:

- symbolstransmitted - Number of symbols to add to the used transmission

14297 Returns

- NONE

14298 Method:

1. Add `symbolstransmitted` to the running total.
2. Add `symbolstransmitted` to the current accumulation bucket.
3. Update `mibDUTYCYCLEStatus` based on the running total.
4. If the `mibDUTYCYCLEStatus` attribute has changed send a `MLME-DUTY-CYCLE-MODE.indication` containing the new `mibDUTYCYCLEStatus` value.

#### D.13.1.6 Duty Cycle Monitoring Primitives Accessed Through the MLME-SAP

14299 To support duty cycle monitoring, as required for GB Smart Energy and EU/UK Regional Sub-GHz FSK, the following primitives are required.

Name	Request	Indication	Response	Confirm
MLME-DUTY-CYCLE-MODE	-	D.13.1.6.1	-	-

### 14318 D.13.1.6.1 MLME-DUTY-CYCLE-MODE.indication

14319 The MLME-DUTY-CYCLE-MODE.indication primitive notifies the next higher level which duty cycle mode the  
14320 device is currently operating in (NORMAL, LIMITED, CRITICAL, or SUSPENDED).

### 14321 D.13.1.6.2 Semantics of the Service Primitive

14322 The semantics of the MLME-DUTY-CYCLE-MODE.indication primitive are as follows:

---

MLME-DUTY-CYCLE-MODE	{
	Status
	}

---

Name	Type	Valid Range	Description
Status	Enumeration	NORMAL, LIMITED, CRITICAL, SUSPENDED	Is equal to the current value of <i>mibDUTYCYCLES-status</i> and is used to indicate which duty cycle mode the device is currently operating in

### 14327 D.13.1.6.3 When Generated

14328 The MLME-DUTY-CYCLE-MODE.indication primitive indicates which duty cycle mode the device is currently operating in, (NORMAL, LIMITED, CRITICAL, or SUSPENDED) and is generated when the value of *mibDUTYCYCLEStatus* changes.  
14329  
14330

### 14331 D.13.1.6.4 Duty Cycle Operating Modes

14332 A MLME-DUTY-CYCLE-MODE.indication with the Status parameter set to NORMAL indicates that messages can  
14333 be transmitted at the MAC layer and that the MAC queue is enabled and existing messages already in the MAC queue  
14334 will be transmitted normally (subject to normal checking).

14335 A MLME-DUTY-CYCLE-MODE.indication with the Status parameter set to LIMITED indicates that the Normal  
14336 Operation Duty Cycle limit has been exceeded. Messages can be transmitted at the MAC layer and the MAC queue is  
14337 enabled. Existing messages already in the MAC queue will be transmitted normally, however action MAY be taken,  
14338 by the higher layers as a result of the notification by the MAC of entering the LIMITED mode, to reduce the duty  
14339 cycle to prevent the interface from reaching the CRITICAL mode

14340 A MLME-DUTY-CYCLE-MODE.indication with the Status parameter set to CRITICAL indicates that the Limited  
14341 Operation Duty Cycle limit has been exceeded. Messages can be transmitted at the MAC layer and the MAC queue is  
14342 enabled. Existing messages already in the MAC queue will be transmitted normally; however action MAY be taken,  
14343 by the higher layers as a result of the notification by the MAC of entering the CRITICAL mode, to reduce the duty  
14344 cycle to prevent the interface from reaching the SUSPENDED mode.

14345 A MLME-DUTY-CYCLE-MODE.indication with the Status parameter set to SUSPENDED indicates that no more  
14346 messages SHALL be transmitted at the MAC layer until an MLME-DUTY-CYCLE-MODE.indication is received  
14347 with the Status parameter set to other than SUSPENDED. Each message in the MAC queue will be returned to the  
14348 higher layer with a MCPS-DATA.confirm and a status of DUTY\_CYCLE\_EXCEEDED.

## 14349 D.13.2 MAC Support for Listen Before Talk (LBT)

14350 The MAC Sublayer Constants required to support LBT, due to regulatory limits, are given in Table D-22. The data  
14351 rate for the GB Smart Energy and EU/UK Regional Sub-GHz FSK PHY is 100 kbps, giving a symbol period of 10µS.  
14352 The values for the constants given below are given in both units of symbol periods and their corresponding time.

14353 **Table D-22. LBT MAC Sublayer Constants – Regulatory**

Constant	Description	Channel Page 28 (channels 0-26) and	Channel Page 30 (channels 35- 61)
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		<b>Channel Page 29 (channels 27-34, 62)</b>	<b>and Channel Page 31 (channels 0-26)</b>
<b>EN300-220 Regulatory Limits</b> (units are symbols unless otherwise stated)			
<i>aLBTTxMinOff</i>	The minimum permitted off time between a device's own transmissions	10,000 [100mS]	
<i>aLBTTxMaxPkt</i>	The maximum permitted transmission duration	100,000 [1S]	
<i>aLBTMinFree</i>	The minimum duration a channel SHOULD be free	500 [5mS]	16 [160μS]
<i>aLBTMaxRandom</i>	The maximum period of the backoff	500 [5mS]	500 [5mS]
<i>aLBTMinRandom</i>	The minimum period of the backoff	0	16 [160μS]
<i>aLBTGranularity</i>	The granularity in the random backoff	50 [500μS]	1 [10μS]
<i>aLBTDlg</i>	The maximum Dialog period	400,000 [4S]	
<i>aLBTTresholdLevelLp</i>	The level (in dBm) at which the receiver determines there is activity in a low power channel (+14 dBm Tx).	-87 dBm	
<i>aLBTTresholdLevelHp</i>	The level (in dBm) at which the receiver determines there is activity in a high power channel (+27 dBm Tx).	-91 dBm	

14354 Note: The UK have adopted the same values for ALL channel pages as defined for pages 28 & 29. Other regions  
 14355 MAY use different values for pages 30 & 31 which as yet have not been defined or verified.

14356 By enabling LBT, as defined in EN 300-220 [B16], a device MAY increase its operational duty cycle from as low as  
 14357 0.1% to ~2.5-2.7% (depending on the channel selected), which allows for support of increased traffic (messaging)  
 14358 from each device on a network.

14359 EN 300-220 [B12] that, in order to transmit, a device SHALL WAIT until the channel is clear before monitoring the  
 14360 channel for at least *aLBTMinFree* and, if no traffic is seen, it MAY start transmitting. Otherwise it has to start the  
 14361 channel assessment again (with an additional random backoff).

14362 In practice this means taking the following steps to determine if a device can transmit:

1. Wait until the channel becomes clear - the measured RSSI on the channel is below *aLBTTresholdLevelLp* or *aLBTTresholdLevelHp*, depending on whether the channel uses high power or low power devices (see ).
2. Wait for *aLBTMinRandom* duration and then select a RANDOM time between zero and *aLBTMaxRandom*, with a granularity of *aLBTGranularity*.
3. Listen to channel for *aLBTMinFree* + RANDOM (as selected in step 2), if channel is not free in this period then go to step 1.
4. If the channel was free for the period in step 3 then start the transmission and return with status *LBTrcOk*, otherwise go to step 1.

14371 Note(s):

1. If the channel does not become free within the time-out period *aLBTTimeout*, the transmission will not be started and it will return with error *LBTrcLBTBsy*.
2. During testing it has been decided to add a random backoff to the first attempt to access the channel to reduce the chance of collisions due to multiple devices and higher traffic than alternative implementations would see.

14376 LBT is an attempt to provide reasonable access to the channel. In addition the *aLBTTxMinOff* hold off ensures no  
 14377 device can ever get 100% of the channel. A device may perform MAC retransmissions without waiting  
 14378 *aLBTTxMinOff* in between the retransmission, as long as the retransmissions do not exceed the *aLBTTxMaxPkt*  
 14379 duration.

14380 The following sections describe an LBT mechanism which meets the requirements for the 863-870 MHz, 870-876  
 14381 MHz & 915-921MHz bands as defined in EN 300-220 [B13] and EN 303-204 [B14].

### D.13.2.1 LBT Specific MAC Constants and PIB Attributes - Implementation

14382 The MAC Sublayer Constants required to support LBT implementation are given in Table D-23. The data rate for the  
 14383 GB SE and EU/UK Regional Sub-GHz FSK PHY is 100 kbps, giving a symbol period of 10 $\mu$ s. The values for the  
 14384 constants given below are given in both units of symbol periods and their corresponding time.  
 14385

14386

**Table D-23. LBT MAC Sublayer Constants – Implementation**

Constant*	Description	Channel Page 28 (channels 0-26) and Channel Page 29 (channels 27-34, 62)	Channel Page 30 (channels 35-61) and Channel Page 31 (channels 0-26)
<b>Implementation</b> (units are symbols unless otherwise stated)			
<i>aLBTDlgResponseTimeout</i>	The timeout if waiting for a reply during a Dialog sequence (SHALL be shorter than <i>aLBTMinFree</i> ).	400 [4mS]	tbd
<i>aLBTMaxTxRetries</i>	The maximum number of retries allowed while looking for a clear channel. (The <i>aLBTTtimeout</i> will probably mean 3 time retries will never be possible as each retry is 5-10mS, potentially 30mS + initial 5mS, i.e. up to 35mS.)	3	3
<i>aLBTAckWindowStart</i>	The minimum pause before acknowledging a received packet. This is to allow a transmitting device to change from transmit to receive mode. Starting an ACK before this time MAY result in the transmitter missing the ACK.	45 [450uS]	45 [450uS]
<i>aLBTAckWindow</i>	The maximum wait time before acknowledging a received packet (includes <i>aLBTAckWindowStart</i> ). This time SHALL be shorter than <i>aLBTMinFree</i> otherwise other devices could interpret the quiet as an opportunity to transmit.	100 [1mS]	100 [1mS]
<i>aLBTTtimeout</i>	Time before aborting LBT if it cannot find a free slot. [This value SHOULD be set to at least <i>aLBTMinFree</i> + <i>aLBTMaxTxRetries</i> * ( <i>aLBTMinFree</i> + <i>aLBTMaxRandom</i> ) + <i>aTxRxTurnAround</i> to ensure that all retries can occur.]	6000 [60mS]	6000 [60mS]
<i>aRxTxTurnAround</i>	Time for radio to switch between receive and transmit	100 [1000uS]	100 [1000uS]

Constant*	Description	Channel Page 28 (channels 0-26) and Channel Page 29 (channels 27-34, 62)	Channel Page 30 (channels 35-61) and Channel Page 31 (channels 0-26)
<i>aTxRxTurnAround</i>	Time for radio to switch between transmit and receive	45 [450uS]	45 [450uS]
<i>aLBTTickMax</i>	Ceiling at which Tick time base SHOULD be capped. This value SHALL be larger than <i>aLBTTxMinOff</i>	implementation decision	see description

14387     *NOTE: The UK have adopted the same values for ALL channel pages as defined for pages 28 & 29, Other regions*  
 14388     *MAY use different values for pages 30 & 31 which as yet have not been defined or verified.*

14389     The MAC PIB Attributes required to support LBT implementation are given in Table D-24.

14390

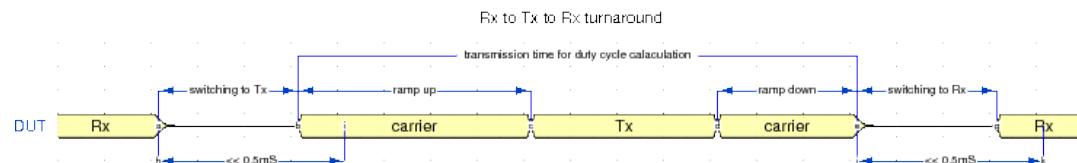
**Table D-24. LBT MAC PIB Attributes - Implementation**

Read/Write Attributes				
Attribute	Type	Range (symbols)	Description	Default (symbols)
<i>mibLBTTxEndStamp</i>	Integer	0-13,000 [130mS]	Time since the last transmission ceased.	0
<i>mibLBTRxEndStamp</i>	Integer	0-13,000 [130mS]	Time since the last transmission was received.	0
<i>mibLBTTsStartDlg</i>	Integer	0-1,400,000 [14S]	Time since the Dialog sequence started.	0
<i>mibLBTDlgTimestartElapsed</i>	Integer	0-400,000 [4S]	The elapsed time of the Dialog sequence.	0
<i>mibLBTDialogMode</i>	BOOLEAN	TRUE or FALSE	Set to TRUE when Dialog session is active	FALSE
<i>mibLBTRadioState</i>	Enum	OFF, Rx or Tx	Status of radio	OFF
<i>mibLBTRadioWait</i>	Integer	0 - 1000 [0-10mS]	Time left before a transmission MAY occur	0
<i>mibLBTTick</i>	Integer	0 - 99999999	LBT tick timer	0 or <i>aLBTTickMax</i>

14391

### D.13.2.2 LBT Compliance when Changing Between Transmit/Receive Mode

14392     In order for devices to operate within the LBT regulatory parameters and operate in a manner that will be interoperable  
 14393     and minimize collisions it is required that strict timing requirements are met when changing from one mode to  
 14394     another, The following diagram details these requirements:



14395

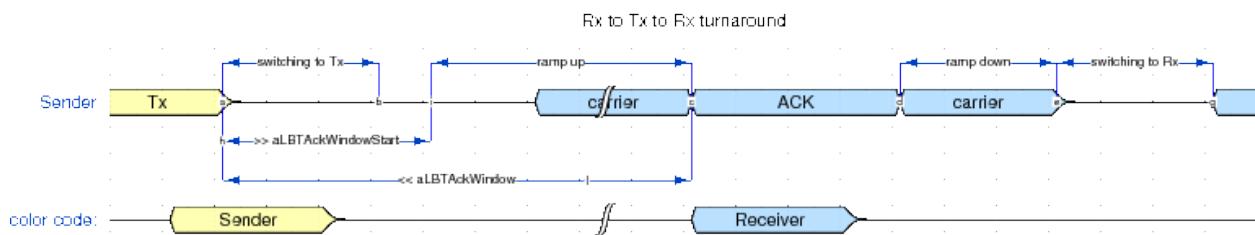
14396

14397 **Rx to Tx** - When the device is in listening mode and wishes to transmit it SHOULD switch from Rx to Tx mode and start transmitting either the carrier or the data portion such that other devices will detect the device is transmitting  
 14398 within *aRxTxTurnAround*, and SHALL account for propagation delays and like.  
 14399

14400 **Tx to Rx** - When the device is in transmit mode and switches to receive mode it SHOULD switch from Tx to Rx  
 14401 mode and start listening within *aTxRxTurnAround*, and SHALL account for propagation delays and like.

### D.13.2.3 LBT and ACK Timing

14402 In order to comply with LBT rules and minimize time and energy the ACK SHOULD be sent in accordance with the  
 14403 strict timing as shown in the following diagram:  
 14404



14405

14406

14407 If the receiver is to ACKnowledge the packet at the end of the transmission the receiver changes its radio to transmit  
 14408 and transmits the ACK. It is essential that the receiver does not:

- Attempt to transmit the ACK until at least *aLBTAckWindowStart* after receiving the request
- SHALL start the ACK transmission before *aLBTAckWindow*
- Return to Rx mode after transmitting the ACK

### D.13.2.4 LBT Routines

14409 The routines can be used for any of the LBT sub-bands and are used to illustrate how duty cycle and listen before talk  
 14410 (LBT) can be implemented.  
 14411

14412 The return/error codes for all LBT routines are given in Table D-25.

14416

Table D-25. LBT Routines Return Codes

Error Code	#	Description of Code
<i>LBTrcOk</i>	0	Success
<i>LBTrcLBTSy</i>	1	LBT timeout
<i>LBTrcLBTMax</i>	2	Maximum LBT retries limit reached
<i>LBTrcTxMax</i>	3	Maximum Tx retries limit reached
<i>LBTrcTxTo</i>	4	Tx timeout
<i>LBTrcRxTo</i>	5	Rx timeout
<i>LBTrcAck</i>	6	Valid ACK received

<i>LBTrcAckTo</i>	7	ACK transmission failed (missed the window to transmit)
<i>LBTrcRxInv</i>	8	Invalid message received
<i>LBTrcTxToPkt</i>	99	Single transmission exceeded
<i>LBTrcTxToMax</i>	100	Transmissions have exceeded the maximum rate in the last hour

14417 The following are the main and auxiliary routines required to support LBT.

14418 The **LBT\_ACK** and **LBT\_TX** routines describe how the transmit time, due to any type of transmission (ACK, MAC, etc.), gets accumulated in *mibDUTYCYCLEUsed*. They do not however describe how transmit time more than an hour old gets decremented from *mibDUTYCYCLEUsed*.

#### 14421 **D.13.2.4.1 LBT\_Tick()**

14422 This routine is used to read the LBT timebase whose resolution is symbols (ie 10uS units).

14423 Parameters:

- 14424 • NONE

14425 Returns

- 14426 • Current value of *mibLBTTick*

14427 Method:

- 14428 1. Return contents of *mibLBTTick*.

#### 14429 **D.13.2.4.2 LBT\_TickReset()**

14430 This routine resets the LBT timebase.

14431 Parameters:

- 14432 • NONE

14433 Returns

- 14434 • NONE

14435

14436 Method:

14437 1. Set *mibLBTTick* = 0.

#### **D.13.2.4.3 LBT\_TickIncrement()**

14439 This routine increments the LBT timebase and is typically invoked by an interrupt whose period is either a symbol or  
14440 a multiple eg 8 for a byte (using higher increments can reduce interrupt overhead).

14441 Parameters:

14442 • incr – increment

14443 Returns

14444 • NONE

14445 Method:

14446 1. *mibLBTTick* += incr

#### **D.13.2.4.4 LBT\_TickExhaust()**

14448 This routine expires the LBT timebase and is typically called during the initialization of the stack. This ensures that  
14449 the device does not have to wait (other than the aLBTMinOff) for the first transmission. Sleepy devices that have not  
14450 transmitted for a significant time can also call this to ensure they are able to transmit asap.

14451 Parameters:

14452 • NONE

14453 Returns

14454 • NONE

14455 Method:

14456 1. Set *mibLBTTick* = *aLBTTickMax*

#### **D.13.2.4.5 LBT\_Backoff()**

14458 This routine addresses Requirements - EN 300 220-1 :: [9.2.2]

14459 Parameters:

14460 • NONE

14461 Returns:

14462 • A random time period in symbols

14463 Method:

14464 1. Generate a random period from *aLBTRandomMin* to *aLBTRandomMax*, with a granularity of *aLBTRandomGranularity*.

#### **D.13.2.4.6 LBT\_ACK()**

14467 This routine addresses Requirements - EN 300 220-1 :: [3.1], [7.10], [9.2], [9.2.4], [9.2.5], [9.2.5.2.3]

14468 Parameters:

14469 • NONE

14470 Returns:

14471 • Status

14472

14473 Local variables:

- 14474 • timeout - used in determining if ACK fails to be transmitted before the end of the ACK window
- 14475 • aPPDUs - number of symbols required to transmit ACK

14476 Method:

14477 To transmit an ACK it is necessary to:

- 14478 1. Set timeout = **LBT\_Tick()** + *aLBTAckWindow*.
- 14479 2. Call **LBT\_RadioTx(*aLBTAckWindowStart*)** to Switch radio to transmit and delay to start of ACK window.
- 14480 3. Calculate the number of symbols required to be transmitted, i.e. set aPPDUs = 8\*packet size (bytes) + *aDUTYCYCLERampUp* + *aDUTYCYCLERampDown*.
- 14482 4. Check the total hourly transmission time will not be exceeded, i.e. (*mibDUTYCYCLEUsed* plus aPPDUs) is less than *aLBTTxMaxPeriod*.
  - 14483 a. If it would exceed the hourly limit, then switch radio to Rx, and return error *LBTrcTxToMax*.
- 14485 5. Check that the transmitter will not be transmitting beyond the maximum time allowed for a single transmission, i.e. aPPDUs is less than *aLBTTxMaxPkt*.
  - 14486 a. If it would exceed the time allowed for a single transmission, then switch radio to Rx, i.e. call **LBT\_RadioRx()** and return error *LBTrcTxToPkt*.
- 14489 6. While (! **LBT\_RadioReady()**){/\*wait\*/}//Wait for radio to be ready to transmit.
- 14490 7. If *aLBTAckWindow* time has elapsed since the last bit was received, i.e. if (*mibLBTRxEndStamp* + *aLBTAckWindow*) is less than **LBT\_Tick()**:
  - 14491 a. Change radio to receive.
  - 14492 b. Return with error code *LBTrcAckTo*.
- 14494 8. Transmit the ACK PPDU - normal 802.15.4 raw transmit code.
- 14495 9. Change radio to receive.
- 14496 10. Increment *mibDUTYCYCLEUsed* by aPPDUs.
- 14497 11. Return *LBTrcOk*.

#### **D.13.2.4.7 LBT\_LBT()**

14499 This routine addresses Requirements - EN 300 220-1 :: [7.10], [8.2], [9.1], [9.2], [9.2.2]

14500 Parameters:

- 14501 • timeout

14502 Returns:

- 14503 • Error Code or Success Code

14504 Local variables:

- 14505 • timeout

14506 Method:

14507 To determine if a transmission can be initiated it is necessary to:

- 14508 1. Set timeout to **LBT\_Tick()** + timeout.
- 14509 2. If the radio is not in Rx mode then set radio to Rx mode.

- 14510        3. Wait until the last transmission was at least  $aLBTTxMinOff$  earlier,  
 14511        i.e.  $mibLBTTxEndStamp$  plus  $aLBTTxMinOff$  minus  $aLBTMinFree$  is less than or equal to current tick time  
 14512        **LBT\_Tick()**.
- 14513        4. Set count to 0.
- 14514        5. Wait for radio to be ready to receive.
- 14515        6. While ( $\text{count} \leq aLBTMaxTxRetries$  AND  $\text{timeouttimer} < aLBTTtimeout$ ):
- 14516            a. Check if channel is busy for ( $aLBTMinFree$  plus **LBT\_Backoff()**) symbols.
- 14517            b. If channel has been quiet for the entire period, then turn on the transmitter and exit, i.e. call **LBT\_Radi-**  
 14518            oTx(0) and return with status *LBTrcOk*.
- 14519            c. Increment count by 1.
- 14520            d. Go back to Step 6.
- 14521        7. If  $aLBTMaxTxRetries$  is exceeded return status *LBTrcLBTMax*.
- 14522        8. Return status *LBTrcLBTSy*.

**D.13.2.4.8 LBT\_TX() (to be included as part of regular TX operation)**

This routine addresses Requirements - EN 300 220-1 :: [3.1], [7.10], [8.2], [9.1], [9.2], [9.2.2], [9.2.5]

Parameters:

- 14526        • Message to be transmitted, PPDU
- 14527        • TxTimeOut – time period in which the transmitter SHOULD have been able to transmit a message

Returns:

- 14529        • Error Code or Success Code

Local variables:

- 14531        • count - error counter
- 14532        • dPPDUs - number of symbols required to transmit data

Method:

- 14534        To transmit a data packet (PPDU) it is necessary to obey the LBT requirements, i.e.:
- 14535        1. If the radio is not on then switch it on in receive mode.
- 14536        2. Calculate the number of symbols required to transmit:  
 14537        i.e.  $dPPDUs = 8 * \text{packet size (bytes)} + aDUTYCYCLErampUp + aDUTYCYCLErampDown$ .
- 14538        3. Ensure the total hourly transmission time will not be exceeded,  
 14539        i.e. ( $mibDUTYCYCLEUsed + dPPDUs$  less than  $aLBTTxMaxPeriod$ ).
- 14540            a. If it would, then return error *LBTrcTxToMax*.
- 14541        4. Check that the transmitter will not be transmitting beyond the maximum time allowed for a single transmission, i.e.  $dPPDUs$  less than  $aLBTTxMaxPkt$ :
- 14542            a. If it would, then return error *LBTrcTxToPkt*.
- 14543        *[When/if DIALOG mode is defined Check DIALOG .*
- 14544        5. Determine if channel is clear.
- 14545            a. Perform LBT assessment as described in **LBT\_LBT0**.
- 14546            b. If channel is quiet, then exit returning *LBTrcBsy*.
- 14547        6. If  $\text{TxTimeOut}$  exceeded, return *LBTrcTxTo*.

- 14549     7. Recheck that the total hourly transmission time will not be exceeded,  
14550       i.e. (*mibDUTYCYCLEUsed+dPPDUs* less than *aLBTTxMaxPeriod*).  
14551       a. If it would, then switch radio back to Rx and return error *LBTrcTxToMax*.  
14552     8. Wait for radio to stabilize.  
14553     9. Transmit the data packet PPDU - normal 802.15.4 raw transmit code.  
14554     10. Turn off the transmitter and enable the receiver.  
14555     11. Reset the counter for *mibLBTTxEndStamp* to zero.  
14556     12. If not in Dialog mode reset the tick counter. All timing is relative to the start of transmission after LBT unless  
14557       in Dialog mode, in which case it is relative to the first transmission in the Dialog sequence.  
14558     13. Add dPPDUs to *mibDUTYCYCLEUsed*.  
14559     14. Wait to see if an ACK is received (SHOULD start receiving ACK before *aLBTAckWindow*).  
14560     15. If an ACK is returned then return *LBTrcOk*.

#### D.13.2.4.9 LBT\_RX()

This routine addresses Requirements - EN 300 220-1 :: [9.2.4], [9.2.5]

Parameters:

- RxTimeOut – time period after which the receiver SHOULD abort if no message is being received

Returns:

- Status Code
- A PPDU message if successful

Local variables:

- timeout

Method:

Listens for valid messages and then returns either due to a RxTimeOut, valid ACK or message received.

1. Ensure radio is in receive mode, i.e. call **LBT\_RadioRx()**.
2. Listen for message – normal 802.15.4 raw receive code.
  - a. If RxTimeOut exceeded and no message being received then return with *LBTrcRxTo*.
3. Message received, reset the counter for *mibLBTRxEndStamp* to **LBT\_Tick()**.
4. Verify message, (crc, addressed to me or unicast or multicast).
5. If message is a valid ACK them return *LBTrcAck*.
6. If message is invalid and time still left to receive another message go to Step 2.
  - a. Otherwise return *LBTrcRxInv*.
7. If packet SHOULD be acknowledged Call **LBT\_ACK()** and return its return code, otherwise return *LBTrcOk*.

#### D.13.2.4.10 LBT\_RadioRx()

This routine puts the radio in Receive mode. If the radio is off it's necessary to delay use till it switches on, if it needs to change from Tx to Rx then it will have to settle changing mode, additionally an alternative delay could be requested and this will be used if its larger than delay due to state change.

14586

14587 Parameters:

- 14588 • delay - alternative waiting time - pass 0 for no alternative delay

14589 Returns:

- 14590 • NONE

14591 Method:

14592 1. If radio is off:

14593 a. Turn radio on.

14594 b. Set *mibLBTRadioWait* to **LBT\_Tick()**.

14595 c. Add largest of *aRxOnTime* or delay to *mibLBTRadioWait*.

14596 2. Else if *mibLBTRadioState* is Tx:

14597 a. Switch radio to Rx.

14598 b. Set *mibLBTRadioWait* to **LBT\_Tick()**.

14599 c. Add largest of *aTxRxTurnAround* or delay to *mibLBTRadioWait*.

14600 3. Else if *mibLBTRadioState* is Rx:

14601 a. If delay > 0 then set *mibLBTRadioWait* to **LBT\_Tick()**+delay.

14602 4. Set *mibLBTRadioState* to Rx.

#### D.13.2.4.11 LBT\_RadioTx()

14603 This routine puts the radio in Transmit mode. If the radio is off its necessary to delay use till it switches on, if it needs  
14604 to change from Rx to Tx then it will have to settle changing mode, additionally an alternative delay could be requested  
14605 and this will be used if its larger than delay due to state change.

14607 Parameters:

- 14608 • delay - Alternative waiting time, e.g. LBT ACK window start, pass 0 for no alternative delay

14609 Returns:

- 14610 • NONE

14611 Method:

14612 1. If radio is off:

14613 a. Turn radio on and to Tx.

14614 b. Set *mibLBTRadioWait* to **LBT\_TICK()**.

14615 c. Add largest of *aRxOnTime* or delay to *mibLBTRadioWait*.

14616 2. Else if *mibLBTRadioState* is Rx:

14617 a. Switch radio to Tx.

14618 b. Set *mibLBTRadioWait* to **LBT\_TICK()**.

14619 c. Add largest of *aRxTxTurnAround* or delay to *mibLBTRadioWait*.

14620 3. Else if *mibLBTRadioState* is Tx:

14621 a. If delay > 0 then set *mibLBTRadioWait* to **LBT\_TICK()** + delay.

14622 4. Set *mibLBTRadioState* to Tx.

**D.13.2.4.12 LBT\_RadioReady()**

14623 This routine checks the radio is ready after being powered on or/changed mode.

14625 Parameters:

- 14626 • NONE

14627 Returns:

- 14628 • 0 - not ready
- 14629 • 1 - Radio is ready to use

14630 Method:

- 14631 1. If *mibLBTRadioWait* is greater than or equal to **LBT\_TICK()** then set *mibLBTRadioWait* to 0.

14632 2. If *mibLBTRadioWait* is equal to 0 then return 1.

14633 3. Return 0.

**D.13.2.4.13 LBT\_RadioOff()**

14634 This routine turns the radio off.

14635 Parameters:

- 14637 • NONE

14638 Returns:

- 14639 • NONE

14640 Method:

- 14641 1. If radio is on then turn it off.

14642 2. Set *mibLBTRadioState* to OFF.

**D.13.2.5 Consequence of LBT on Devices**

14643 LBT and the *aLBTTxMinOff* have many side effects. The following describes some of the potential scenarios:

14644 Scenario1 - Normal sequence assuming non Dialog mode

- 14646 1. The initiator sends request after performing LBT on channel.

14647 2. The responder MAC ACK's the request.

14648 3. Depending on when the responder last transmitted it will not be able to respond until *aLBTMinFree* to  
14649 *aLBTMinOff* symbols.

14650 4. The responder waits for a clear channel and then transmits to the initiator.

14651 5. The initiator immediately responds with a MAC ACK.

14652 6. If the initiator wishes to send another request it depends on when the initiator last transmitted as to when it  
14653 will be able to send another request. The time in which the initiator will be able to send another request is  
14654 somewhere between *aLBTMinFree* and *aLBTMinOff* symbols.

14655 7. If the initiator is sending another message go to step 1.

**D.13.2.6 Notes on LBT**

14656 On reception of a packet a device can immediately ACK the packet only delaying long enough to allow the originating  
14657 device to switch to receive mode (ie *aLBTAckWindowStart*).

## 14659 D.14 Regional Sub-GHz FSK PHY Specification

### 14660 D.14.1 Regional Sub-GHz PIB Attributes

14661 In IEEE Std 802.15.4-2020 the SUN PHY specifications define physical layer parameters within the information fields  
 14662 of the SUN Device Capabilities IE. In order to maximize use of the IEEE Std 802.15.4 specification the following  
 14663 information fields have been mapped to PIB attributes with like names in Table D-26. PIB attribute types are also  
 14664 defined in Table D-26. The Regional Sub-GHz as defined here deviates from 15.4 in that it uses the RS-GFSK MCS  
 14665 mode 4 500 kbps RS-GFSK PHY for N.A. in lieu of the 100 kbps PHY defined by the SUN PHY specifications. This  
 14666 is done in order to allow for a +30 dBm Tx power without requiring frequency hopping.

14667 **Table D-26. Information Field to Regional Band PHY PIB Attributes Mapping**

SUN PHY Information Field	Regional Band PIB Attribute	Type	Range
Enh-ACK	<i>phyEnhAck</i>	Integer	0,1
Data Whitening	<i>phyDataWhitening</i>	Integer	0,1
Interleaving	<i>phyInterleaving</i>	Integer	0,1
SFD G1	<i>phySfdG1</i>	Integer	0,1
NRNSC FEC	<i>phyNrnsFc</i>	Integer	0,1
RSC FEC	<i>phyRscFec</i>	Integer	0,1
Mode Switch	<i>phyModeSwitch</i>	Integer	0,1
Extended Band Identifier	<i>phyExtendedBandIdentifier</i>	Integer	0,1
Frequency Bands Supported	<i>phyFrequencyBandsSupported</i>	Bitmap	4 octet
PHY Type	<i>phyPhyType</i>	Integer	0-15
All Frequency Bands	<i>phyAllFrequencyBands</i>	Integer	0,1
PHY Modes Supported	<i>phyPhyModesSupported</i>	Bitmap	11 bits
Specific Frequency Bands	<i>phySpecificFrequencyBands</i>	Bitmap	4 octet

14668 Descriptions for each of the Regional Band PHY PIB Attributes are the same as the SUN PHY Information Field from  
 14669 which they are being mapped, unless defined differently below (those preceded by an \*).

14670 *phyEnhAck* SHALL be set to 1 (signifying that Enh-ACK frames are supported).

14671 *phyDataWhitening* SHALL be set to 1 (signifying data whitening of the PSDU is always enabled).

14672 *phyInterleaving* SHALL be set to 0 (signifying interleaving is not supported).

14673 *phySfdG1* SHALL be set to 0 (signifying Group 0 SFD is supported).

14674 *phyNrnsFc* SHALL be set to 0 (signifying NRNSC FEC is not supported).

14675 *phyRscFec* SHALL be set to 0 (signifying RSC FEC is not supported).

14676 *phyModeSwitch* SHALL be set to 0 (signifying that only a single data rate is used and that mode switching is not  
 14677 supported).

14678 *phyExtendedBandIdentifier* SHALL be set to 1 (signifying extended frequency band identifier values are supported).

14679 *phyFrequencyBandsSupported* SHALL be set to all possible Frequency Band Identifiers the device is capable of sup-  
 14680 porting.

14681 *phyPhyType* SHALL be set to 1 (signifying use of modulation scheme FSK-B).

- 14682 \**phyAllFrequencyBands* SHALL be set to 0 (signifying the PHY Type is only supported in the frequency band declared in the Specific Frequency Bands field).
- 14684 *phyPhyModesSupported* SHALL be set to 0x080 (signifying PHY Mode 7, for 500 kb/s; 2-FSK; mod index = 0.76; channel spacing = 1 MHz in the N.A. Region, and for 100 kb/s; 2-FSK; mod index = 0.5; channel spacing = 200 kHz in Euro Region).
- 14687 \**phySpecificFrequencyBands* is a bitmap that SHALL be set to indicate the specific Frequency Band Identifiers listed in *phyFrequencyBandsSupported* in which the device is currently operating in.
- 14689 The information fields in Table D-26 SHALL take the same value as their mapped PIB attributes.

## **D.14.2 Regional Sub-GHz FSK Frame Format**

14691 The reader is referred to section D.12.1 for the frame format that SHALL be used for Regional Sub-GHz FSK.

## **D.14.3 Regional Sub-GHz FSK PHY**

14693 The Regional Sub-GHz PHY's define sub-GHz PHYs for N.A. and European band use which provide a higher data rate than the PHY's defined by IEEE Std 802.15.4-2003, which are aligned with IEEE Std 802.15.4-2020. The Euro regional sub-GHz PHY is currently only specified by Zigbee for use in Europe.

### **D.14.3.1 Modulation Specification**

14697 N.A. Regional Sub-GHz FSK SHALL use the modulation requirements specified in Table D-27.

**Table D-27. N.A. Regional Sub-GHz Modulation Requirements**

Parameter	Configuration
Modulation	2-Level GFSK
Data Rate	500 kbps
Tx Filter BT	0.5 (Gaussian)
Modulation Index	0.76
Channel Spacing	1.0 MHz

14699 Operation in the N.A. 902-928 MHz band is regulated by FCC Part 15.247 rules. Typically a modulation index of 0.5 is used, unless there are other considerations. However, when this PHY was being developed in 802.15 the task group wanted to make sure that when including tolerances, drifts, etc. that the modulation would always meet the minimum 500 kHz BW as specified by the FCC, thereby allowing single channel operation and not having to follow the hopping rule. Analysis/consideration of all factors led the task group to choose a Modulation Index 0.76.

14704 Euro Regional Sub-GHz FSK SHALL use the modulation requirements specified in Table D-28.

**Table D-28. Euro Regional Sub-GHz Modulation Requirements**

Parameter	Configuration
Modulation	2-Level GFSK
Data Rate	100 kbps
Tx Filter BT	0.5 (Gaussian)
Modulation Index	0.5
Channel Spacing	200 kHz

14706 No other modulation parameters are supported.

**D.14.3.2 Forward Error Correction (FEC)**

14708 Regional Sub-GHz FSK SHALL NOT use FEC coding.

**D.14.3.3 Data Whitening**

14710 Regional Sub-GHz FSK devices SHALL support Data whitening as specified in 20.4 of IEEE Std 802.15.4-2018.

**D.14.3.4 Channels and Frequencies**14712 Regional Sub-GHz FSK devices SHALL be capable of operating on all the channels specified, in all of the bands  
14713 specified in Table D-29.

14714

**Table D-29. Regional Sub-GHz FSK PHY Band Parameters**

<i>Frequency Band Identifier*</i>	Frequency Band (MHz)	Region	ChanSpacing (MHz)	TotalNum-Chan	Channel #'s Used	1st ChanCenterFreq (MHz)
16	902-928	North America and Mexico	1.0	25	0-24	903.0
19	915-921	Europe	0.2	21	56-76	915.2
4	863-870	Europe	0.2	35	0-34	863.1
15	870-876	Europe	0.2	21	35-55	870.2

14715 \*The *FrequencyBandIdentifier* is defined by IEEE 802.15

14716 The exact Channel Plan used in a Regional Sub-GHz FSK deployment SHALL be specified using a channel mask.

**D.14.3.4.1 Channel Numbering****D.14.3.4.1.1 NA Regional Sub-GHz FSK PHY Channels**14719 Channel center frequencies corresponding to the channel numbers for the N.A. Regional Sub-GHz FSK PHY are  
14720 specified as follows:

14721 For the 902 MHz - 928 MHz band:

14722 
$$\text{ChanCenterFreq} = \text{ChanCenterFreq}_0 + \text{NumChan} * \text{ChanSpacing}$$

14723 where

14724 
$$\text{ChanCenterFreq}_0 = 903.0 \text{ MHz}$$

14725 where *ChanCenterFreq*0 is the first channel center frequencies in MHz, *ChanSpacing* is the separation between adjacent channels in MHz, and *NumChan* is the channel number.

14727 For the 902-928 MHz Band defined in Table D-29:

- 14728 •  $\text{ChanCenterFreq}_0$  (MHz) = 903.0
- 14729 •  $\text{ChanSpacing}$  (MHz) = 1.0
- 14730 •  $\text{TotalNumChan} = 25$
- 14731 •  $\text{NumChan}$  (Chan. #) goes from 0 to 24

14732 This results in the following channel numbers and center frequencies for the 902-928 MHz Band shown in Table D-  
14733 30, which SHALL be used for the 902-928 MHz Band:

14734

**Table D-30. Channels and Center Frequencies for 902-928 MHz Band Designation**

Channel #	F <sub>c</sub> (MHz)
0	903.0
1	904.0
...	...
23	926.0
24	927.0

14735

**D.14.3.4.1.2 Euro Regional Sub-GHz PHY Channels**14736 Channel center frequencies corresponding to the channel numbers specified for the Euro Regional Sub-GHz FSK PHY  
14737 are specified as follows:

14738 For the 863 MHz - 870 MHz band

14739 
$$\text{ChanCenterFreq} = \text{ChanCenterFreq0} + (\text{NumChan} * \text{ChanSpacing})$$

14740 where

14741 
$$\text{ChanCenterFreq0} = 863.1 \text{ MHz}$$

14742 For the 870 MHz - 876 MHz band

14743 
$$\text{ChanCenterFreq} = \text{ChanCenterFreq35} + (\text{NumChan} - 35) * \text{ChanSpacing}$$

14744 where

14745 
$$\text{ChanCenterFreq35} = 870.2 \text{ MHz}$$

14746 For the 915 MHz - 921 MHz band

14747 
$$\text{ChanCenterFreq} = \text{ChanCenterFreq56} + (\text{NumChan} - 56) * \text{ChanSpacing}$$

14748 where

14749 
$$\text{ChanCenterFreq56} = 915.2 \text{ MHz}$$

14750 where *ChanCenterFreq0*, *ChanCenterFreq35* and *ChanCenterFreq56* are the first channel center frequencies in MHz,  
14751 *ChanSpacing* is the separation between adjacent channels in MHz, and *NumChan* is the channel number.

14752 For the 863-870 MHz Band defined in Table D-29:

- *ChanCenterFreq0* (MHz) = 863.1
- *ChanSpacing* (MHz) = 0.2
- *TotalNumChan* = 35
- *NumChan* (Chan. #) goes from 0 to 34

14757 This results in the following channel numbers and center frequencies for the 863-870 MHz Band shown in Table D-  
14758 31, which SHALL be used for the 863-870 MHz Band.

14759

14760

**Table D-31. Channels and Center Frequencies for 863-870 MHz Band Designation**

Channel #	Fc (MHz)
0	863.1
1	863.3
...	...
33	869.7
34	869.9

14761

14762 For the 870-876 MHz Band defined in Table D-32:

- $\text{ChanCenterFreq35}$  (MHz) = 870.2
- $\text{ChanSpacing}$  (MHz) = 0.2
- $\text{TotalNumChan} = 21$
- $\text{NumChan}$  (Chan. #) goes from 35 to 55

14767 This results in the following channel numbers and center frequencies for the 870-876 MHz Band shown in Table D-32, which SHALL be used for the 870-876 MHz Band Designation:

14769

**Table D-32. Channels and Center Frequencies for 870-876 MHz Band Designation**

Channel #	Fc (MHz)
35	870.2
36	870.4
...	...
54	874.0
55	874.2

14770

14771 For the 915-921 MHz Band defined in

- $\text{ChanCenterFreq56}$  (MHz) = 915.2
- $\text{ChanSpacing}$  (MHz) = 0.2
- $\text{TotalNumChan} = 21$
- $\text{NumChan}$  (Chan. #) goes from 56 to 76

14776 This results in the following channel numbers and center frequencies for the 915-921 MHz Band shown in Table D-33, which SHALL be used for the 915-921 MHz Band.

14778

**Table D-33. Channels and Center Frequencies for 915-921 MHz Band Designation**

Channel #	Fc (MHz)
56	915.2
57	915.4
...	...

Channel #	Fc (MHz)
75	919.0
76	919.2

#### 14779 **D.14.3.4.2 Channel Pages**

14780 Six channel pages are allocated for Regional Sub-GHz FSK, using the existing 32-bit mechanism (5-bits of channel-  
14781 page, 27-bits of channel-mask), spreading the channels across the 6 pages as follows.

Channel Page	Description	Channels #'s Used
23	902-928 MHz band, channels 0-24	0-24
24	915-921 MHz band, channels 56-76	56-76
25	863-870 MHz band, channels 0-26	0-26
26	863-870 MHz band, channels 27-34	27-34
27	870-876 MHz band, channels 35-55	35-55

#### 14782 **D.14.3.5 Regional Sub-GHz FSK RF Requirements**

##### 14783 **D.14.3.5.1 Receiver Requirements**

###### 14784 **D.14.3.5.1.1 Standard Measurement Conditions**

14785 The Standard Measurement Conditions for all receiver requirements SHALL be:

- 14786 • 139 byte packets (8 bytes Preamble + 2 byte SFD + 2 byte PHR + 127 byte PSDU)
- 14787 • Receiver power measurements made at the antenna connector
- 14788 • Packet Error Rate of less than 1%

14789 The PHY RF requirements, when measured under these conditions, are intended to apply to a typical device rather  
14790 than the worst sample of a batch.

###### 14791 **D.14.3.5.1.2 Sensitivity Requirement**

14792 Under the Standard Measurement Conditions, Euro Regional Sub-GHz FSK SHALL meet a Reference Sensitivity as  
14793 specified in Table D-34.

14794 **Table D-34. Euro Regional Sub-GHz Receiver Reference Sensitivity Requirement**

Reference Sensitivity (dBm)
-99

14795 Under the Standard Measurement Conditions, N.A. Regional Sub-GHz FSK SHALL meet a Reference Sensitivity as  
14796 specified in Table D-35.

14797 **Table D-35. N.A. Regional Sub-GHz Receiver Reference Sensitivity Requirement**

Reference Sensitivity (dBm)
-91

14798 **D.14.3.5.1.3 Co-Channel Rejection Requirement**

14799 Under the Standard Measurement Conditions, with the wanted signal at 20 dB above the respective regions Reference  
 14800 Sensitivity level and with an interfering signal modulated with the same modulation as the wanted signal and on the  
 14801 same channel, Regional Sub-GHz FSK receivers SHALL meet their respective regions Reference Sensitivity PSR  
 14802 with the co-channel rejection requirement for the interferers level relative to the wanted signal level as specified in  
 14803 Table D-36.

14804 **Table D-36. Receiver Co-channel Rejection Requirement**

Co-channel Rejection (dB)
-15

14805 **D.14.3.5.1.4 Selectivity Requirements**

14806 Under the Standard Measurement Conditions, with the wanted signal at 3 dB above the respective regions Reference  
 14807 Sensitivity level and with an interfering signal modulated with the same modulation as the wanted signal and at the  
 14808 frequency offsets specified in below, Regional Sub-GHz FSK receivers SHALL meet their respective regions Refer-  
 14809 ence Sensitivity PSR with the selectivity requirement for the interferers level relative to the wanted signal level as  
 14810 specified in Table D-37.

14811 **Table D-37. Receiver Selectivity Requirements**

Channel Offset	Level of Interferer relative to wanted (dB)
+/- 1 Channel	5
+/- 2 Channel	30

14812 **D.14.3.5.2 Receive Power Level (RSSI)**

14813 The receiver SHALL be capable of measuring the received power level (reported as the RSSI) of a packet (measured  
 14814 over any portion of the received packet), on a packet by packet basis.

14815 Euro Regional Sub-GHz FSK operations SHALL be capable of measuring the received power over at least the range  
 14816 defined in Table D-38.

14817 **Table D-38. Non N.A. Regions Receive Power Measurement Range**

Receive Power Measurement Lower Minimum	Receive Power Measurement Upper Minimum
-97 dBm	-50 dBm

14818 N.A. Regional Sub-GHz FSK operations SHALL be capable of measuring the received power over at least the range  
 14819 defined in Table D-39.

14820 **Table D-39. N.A. Region Receive Power Measurement Range**

Receive Power Measurement Lower Minimum	Receive Power Measurement Upper Minimum
-89 dBm	-42 dBm

14821 The receiver SHALL be capable of measuring the received power with the step size and accuracy defined in Table D-  
 14822 40.

14823

14824

**Table D-40. Receive Power Measurement Step Size and Accuracy**

Receive Power Measurement Step Size	Receive Power Measurement Accuracy
$\leq 2 \text{ dB}$	$\leq 3 \text{ dB}$

**D.14.3.5.3 Transmitter Requirements****D.14.3.5.3.1 Maximum Transmit Power**

The Regulated Maximum Allowable Transmit Power for European Regional Sub-GHz FSK devices at the transmitter SHALL be as specified below in Table D-41.

**Table D-41. Maximum Transmit Power for Euro Regional Sub-GHz**

Maximum Transmit Power
+14 dBm

The Regulated Maximum Allowable Transmit Power for NA Sub-GHz FSK devices at the transmitter SHALL be capable of transmitting the Maximum Transmit Power as specified below in Table D-42.

**Table D-42. Maximum Transmit Power for N.A. Region**

Maximum Transmit Power
+30 dBm

**D.14.3.5.3.2 Minimum Transmit Power**

The Minimum Transmit Power for Regional Sub-GHz FSK operation, for all the channels, SHALL be as specified in Table D-43.

**Table D-43. Minimum Transmit Power**

Minimum Transmit Power
-15 dBm

**D.14.3.5.3.3 Transmit Power Step Size and Accuracy**

The transmitter SHALL be capable of adjusting its transmit power over the range from the Minimum Transmit Power to the maximum power of the device or the maximum specified power, whichever is reached first, with the step size and accuracy specified in Table D-44.

**Table D-44. Transmit Power Step Size and Accuracy**

Transmit Power Step Size	Transmit Power Accuracy
$\leq 2 \text{ dB}$	$\leq 3 \text{ dB}$

**D.14.3.5.4 Link Quality Assessment (LQA)**

The raw LQA value is calculated per incoming packet, as follows:

$$\text{[LQA]}_{\text{raw}}(c,r) = 255 \times (c - c_{\min}) / (c_{\max} - c_{\min}) \times (r - r_{\min}) / (r_{\max} - r_{\min})$$

Here,  $c$  is the cross-correlation peak of the PHY preamble sequence, or the LQI value reported by the IEEE Std 802.15.4-2015 MAC and PHY, or another suitable signal quality indicator in the range  $[c_{\min}, c_{\max}]$ , and  $r$  is the received signal strength indicator (RSSI) in the range  $[r_{\min}, r_{\max}]$ . The resulting raw LQA value in the range

14848 [0,255], where 0 denotes a critical link, 255 denotes an excellent link, incorporates both the received signal strength  
14849 and the signal quality to assess the longer-term quality and stability of the link by taking receiver capabilities into  
14850 account. This is meant to flatten the step-like MAC layer LQI function, which typically yields high values over 95%  
14851 of the link-budget and drastically drops beyond this point. In contrast, LQA is designed to provide a linear quality  
14852 estimate over the entire link-budget, so it can be used to better rank links to different peers. Specifically, if the re-  
14853 ceived signal strength is close to receiver sensitivity but signal quality is still high (clear signal), LQA in contrast to  
14854 MAC layer LQI would classify the link as critical.

14855 These bounds SHALL be determined on final production hardware as follows:

- 14856 •  $c_{min}$  is the lowest signal quality ever reported, i.e. for a packet that can barely be received,  
14857 •  $c_{max}$  is the highest signal quality ever reported, i.e. for a packet received under ideal conditions,  
14858 •  $r_{min}$  is the lowest signal strength ever reported, i.e. for a packet close to receiver sensitivity,  
14859 •  $r_{max}$  is the highest signal strength ever reported, i.e. for a packet received from a strong, close-by transmitter.  
14860 Values of  $c$  and  $r$ , as determined at runtime during normal operation of the device, SHALL be bound by their respec-  
14861 tive minimum and maximum values prior to feeding them into the  $\llbracket LQA \rrbracket_{raw}(c,r)$  formula above.

14862 For links, which belong to entries in the neighbor table, implementations SHOULD apply filtering of per-packet  
14863 LQA values as specified in section 3.6.4.3 in order to produce final LQA values prior to subsequent processing, e.g.  
14864 routing cost calculations.

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## ANNEX E OPERATING NETWORK MANAGER AS NETWORK CHANNEL MANAGER FOR INTERFERENCE REPORTING AND RESOLUTION

**Prerequisites:** Devices SHALL limit their operations to channels within their current PHY (i.e. 868/915 MHz or 2450 MHz). Commands including channels outside the band shall be ignored.

A single device can become the Network Channel Manager. This device acts as the central mechanism for reception of network interference reports and changing the channel of the network if interference is detected. The default address of the network manager is the coordinator, however this can be updated by sending a Mgmt\_NWK\_Update\_req command with a different short address for the network channel manager. The device that is the Network Channel Manager SHALL set the network manager bit in the server mask in the node descriptor and SHALL respond to System\_Discovery\_req commands.

Each router or coordinator is responsible for tracking transmit failures using the TransmitFailure field in the neighbor table and also keeping a NIB counter for total transmissions attempted. A device that detects a significant number of transmission failures MAY take action to determine if interference is a cause. The following steps are an example of that procedure:

1. Conduct an energy scan on all channels within the current PHY. If this energy scan does not indicate higher energy on the current channel than other channels, no action is taken. The device SHOULD continue to operate as normal and the message counters are not reset. However, repeated energy scans are not desirable as the device is off the network during these scans and therefore implementations SHOULD limit how often a device with failures conducts energy scans.
2. If the energy scan does indicate increased energy on the channel in use, a Mgmt\_NWK\_Unsolicited\_Enhanced\_Update\_notify SHOULD be sent to the Network Manager to indicate interference is present. This report is sent as an APS Unicast with acknowledgement and once the acknowledgment is received the total transmit and transmit failure counters are reset to zero.
3. To avoid a device with communication problems from constantly sending reports to the network manager, the device SHOULD NOT send a Mgmt\_NWK\_Unsolicited\_Enhanced\_Update\_notify more than 4 times per hour.

Upon receipt of a Mgmt\_NWK\_Unsolicited\_Enhanced\_Update\_notify message, the network manager SHALL evaluate if a channel change is required in the network. The specific mechanisms the network manager uses to decide upon a channel change are left to the implementers. It is EXPECTED that implementers will apply different methods to best determine when a channel change is required and how to select the most appropriate channel. The following is offered as guidance for implementation.

1. The network manager MAY do the following:
  2. Wait and evaluate if other reports from other devices are received. This MAY be appropriate if there are no other failures reported. In this case the network manager SHOULD add the reporting device to a list of devices that have reported interference. The number of devices on such a list would depend on the size of the network. The network manager can age devices out of this list.
  3. Request other interference reports using the Mgmt\_NWK\_Update\_req command. This MAY be done if other failures have been reported or the network manager device itself has failures and a channel change MAY be desired. The network manager MAY request data from the list of devices that have reported interference plus other randomly selected routers in the network. The network manager SHOULD NOT request an update from the device that has just reported interference since this data is fresh already.
  4. Upon receipt of the Mgmt\_NWK\_Update\_notify, the network manager SHALL determine if a channel change is required using whatever implementation specific mechanisms are considered appropriate. The network manager device with just one channel allowed in the *apsChannelMask* parameter SHALL not issue the Mgmt\_Nwk\_Update\_req command to request other devices to change the current channel. However, the network manager MAY report channel quality issues to the application.
  5. If the above data indicate a channel change SHOULD be considered, the network manager completed the following:

- 14914     6. Select a single channel based on the Mgmt\_NWK\_Update\_notify based on the lowest energy. This is the pro-  
14915       posed new channel. If this new channel does not have an energy level below an acceptable threshold, a channel  
14916       change SHOULD NOT be done. Additionally, a new channel SHALL NOT belong to a PHY different from the  
14917       one on which a network manager is operating now.
- 14918     7. Prior to changing channels, the network manager SHOULD store the energy scan value as the last energy scan  
14919       value and the failure rate from the existing channel as the last failure rate. These values are useful to allow com-  
14920       parison of the failure rate and energy level on the previous channel to evaluate if the network is causing its own  
14921       interference.
- 14922     8. The network manager SHOULD broadcast a Mgmt\_NWK\_Update\_req notifying devices of the new channel.  
14923       The broadcast shall be to all devices with RxOnWhenIdle equal to TRUE. The network manager is responsible  
14924       for incrementing the *nwkUpdateId* parameter from the NIB and including it in the Mgmt\_NWK\_Update\_req.  
14925       The network manager SHALL set a timer based on the value of  
14926       *apsChannelTimer* upon issue of a Mgmt\_NWK\_Update\_req that changes channels and SHALL NOT issue an-  
14927       other such command until this timer expires. However, during this period, the network manager can complete  
14928       the above analysis. However, instead of changing channels, the network manager would report to the local ap-  
14929       plication using Mgmt\_NWK\_Update\_notify and the application can force a channel change using the  
14930       Mgmt\_NWK\_Update\_req.

14931 Upon receipt of a Mgmt\_NWK\_Update\_req with a change of channels, the local network manager SHALL set a timer  
14932 equal to the *nwkNetworkBroadcastDeliveryTime* and SHALL switch channels upon expiration of this timer. Each  
14933 node SHALL reset the total transmit count and the transmit failure counters, and copy the value from the NWK Update  
14934 ID of the message into the *nwkUpdateId* of the NIB.

14935 For devices with RxOnWhenIdle equals FALSE, any network channel change will not be received. On these devices  
14936 or routers that have lost the network, an active scan SHALL be conducted on the *apsChannelMask* list in the APS IB  
14937 using the extended PANID to find the network. If the extended PANID is found on different channels, the device  
14938 SHOULD select the channel with the higher value in the *nwkUpdateId* parameter. If the extended PANID is not found  
14939 using the *apsChannelMask* list, a scan SHOULD be completed using all channels within the current PHY.

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**14963 ANNEX F      USAGE OF MULTIPLE FREQUENCY BANDS****14964 F.1 Introduction****14965 F.1.1 Scope**

14966 This annex clarifies uncertainties arising with Zigbee compliant devices that support several frequency bands.

**14967 F.1.2 Purpose**

14968 The Zigbee specification is based on the IEEE Std 802.15.4-2020 ([B1]) standard that defines multiple PHYs. A  
14969 compliant device SHALL support at least one of the following options: O-QPSK PHY at 2.4 GHz frequency band or  
14970 the BPSK PHY at both 868 MHz and 915 MHz bands or the FSK PHY located at 863-876MHz and 915-921MHz.  
14971 Each of the frequency bands incorporates its own set of channels through a combination of channel numbers and  
14972 channel pages. Additionally the following apply:

- 14973 • A Zigbee compliant device declaring support of a frequency band SHALL support all the channels listed within  
14974 the channel page for that frequency band.
- 14975 • A Zigbee compliant device declaring support of the 868/915 MHz PHY SHALL support both 868 MHz and 915  
14976 MHz frequency bands within this PHY.

**14977 F.2 Channels and Channel Masks Management General Guideline****14978 F.2.1 Channel Selection During Network Establishment**

14979 When there is a set of devices intended to be a part of the same Zigbee network, with devices of that set, potentially,  
14980 supporting different frequency bands, the coordinator, during network establishment, MAY choose a channel from a  
14981 frequency band that is not supported by some of the other devices.

14982 Since, before a network is established, there is no mechanism for the coordinator to dynamically collect information  
14983 about frequency bands supported on each and every device in the network, this issue MAY be categorized as a network  
14984 commissioning issue and has to be resolved in the layers above the Zigbee stack's core.

14985 In the case of multiband router interfaces that on network formation or joining do not join or form a network, these  
14986 MAY be initialized using the NLME.NETWORK-ADD-INTERFACE command.

**14987 F.2.2 The Frequency Agility Feature Related Points**

14988 How a network manager or a device SHALL behave, considering the ability to support different frequency bands, is  
14989 described in Annex E and in section 2.4.3.3.10 . Implementers of the frequency agility feature SHOULD take into  
14990 account that it is prohibited for a network manager device to move a network from one PHY to another. This limitation  
14991 is introduced in order to avoid the situations when a part of devices in the network cannot physically migrate to a  
14992 channel from another PHY and therefore got lost. At the same time moving a network from one frequency band to  
14993 another within 868/915 MHz PHY is allowed since support of both bands is mandatory in accordance with IEEE  
14994 P802.15.4 (§C.7.2.3 [B1]). The application layer SHALL meet regional regulatory requirements by setting an appro-  
14995 priate value to the *apsChannelMaskList* parameter.

**14996 F.2.3 Network Management Services and Client Services Affected by Multi-  
14997 ple Frequency Bands Support**

14998 The following Network Management Client Services and Network Management Services use the *ScanChannels*  
14999 parameter and, therefore, have to be mentioned in regard of multiple frequency bands support: Mgmt\_NWK\_Disc\_req,  
15000 Mgmt\_NWK\_Update\_req and NLME-JOIN.request. In case the *ScanChannels* bitmask includes a channel(s) from  
15001 unsupported frequency band the INVALID\_PARAMETER (see [B1]) error status is supposed to be raised from the  
15002 MAC layer to the NWK layer. If the destination addressing mode in the Mgmt\_NWK\_Disc\_req and

15003 Mgmt\_NWK\_Update\_req commands was unicast then the Remote Device SHALL incorporate the error status into  
 15004 the status field of the correspondent Mgmt\_NWK\_Disc\_rsp and Mgmt\_NWK\_Update\_notify commands. The same  
 15005 error status shall be reported in NLME-JOIN.confirm primitive sent in response to an NLME-JOIN.request primitive  
 15006 if the latter contains unsupported channels.

15007 In case the NLME-JOIN.request primitive is used by the application layer to request a device to switch to a new  
 15008 channel (the *RejoinNetwork* parameter is equal to 0x03) then the application layer, by implementation-specific means,  
 15009 has to ensure that the chosen channel is supported by all other devices in the network, to avoid the situation when  
 15010 some of the devices might be lost from the network due to inability to switch to an unsupported channel.

### 15011 F.3 Timing Issues

15012 Different frequency bands declared in the IEEE Std 802.15.4 2015 standard provide different bit rates. Therefore the  
 15013 Zigbee stack's time-related parameters have to be adjusted accordingly to achieve the stable operation on each of the  
 15014 supported frequency bands. The Zigbee stack's time-related parameters can be divided in two groups in regard of  
 15015 multiple frequency bands support: the first group includes time-related parameters that have a direct impact on the  
 15016 Zigbee stack's core's functioning and that ensure that the core's functioning is correct; the second group consists of  
 15017 the time-related parameters that have to be configured by an application. The Zigbee specification controls the first  
 15018 group of parameters and declares them in a way that makes them dependent on the currently used frequency band.  
 15019 These parameters are presented in Table F-1 and their values SHALL be updated automatically each time a device  
 15020 migrates from one frequency band to another.

15021 **Table F-1. Internal Time-related Parameters**

Parameter	Reference
:Config_NWK_Time_btwn_Scans	Section 2.5.5.1, Table 2-135
nwkRouteDiscoveryTime	Section 3.5.1, Table 3-61
nwkMaxBroadcastJitter	Section 3.5.1, Table 3-61
nwkRREQRetryInterval	Section 3.5.1, Table 3-61
nwkMinRREQJitter	Section 3.5.1, Table 3-61
nwkMaxRREQJitter	Section 3.5.1, Table 3-61
nwkPassiveAckTimeout	Section 3.5.2, Table 3-62
nwkNetworkBroadcastDeliveryTime	Section 3.5.2, Table 3-62
apsSecurityTimeOutPeriod	Section 4.4.12, Table 4-35

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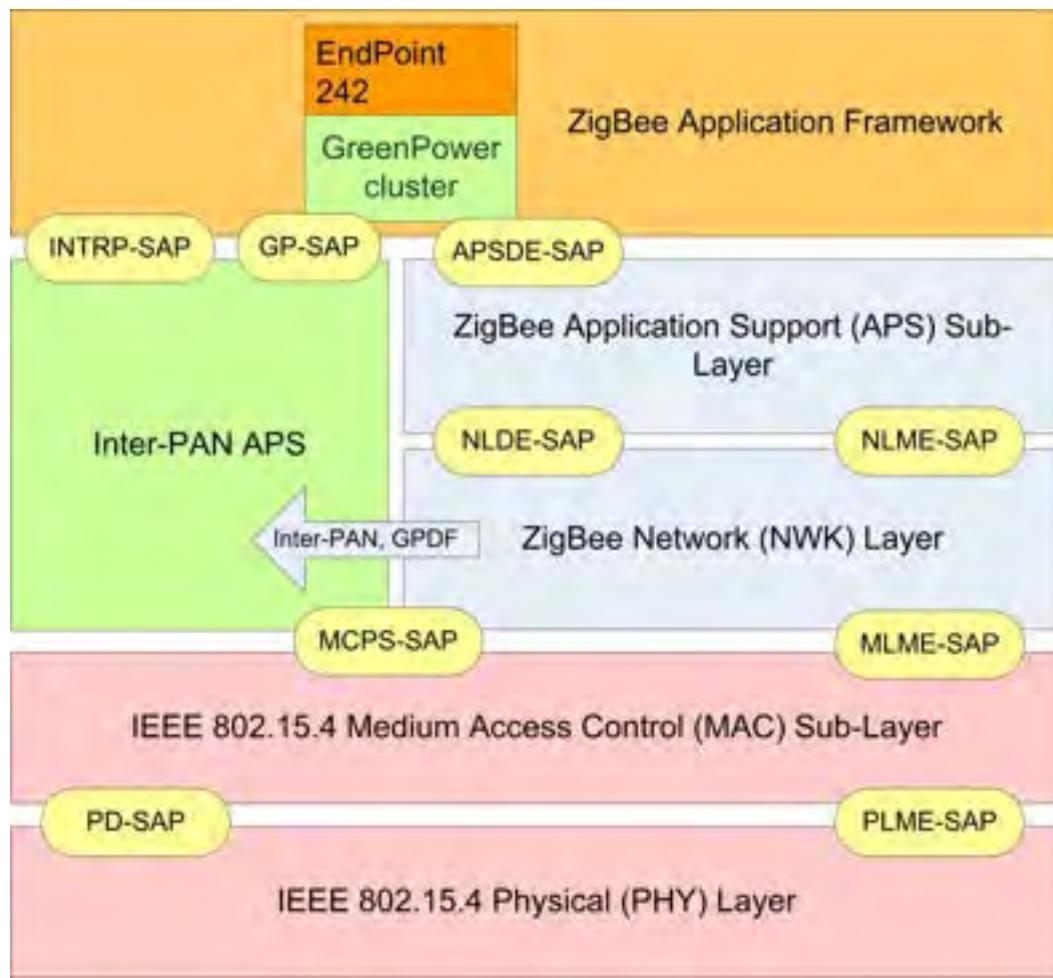
15044

**ANNEX G INTER-PAN COMMUNICATIONS****G.1 Scope and Purpose**

15046 This annex defines a mechanism whereby Zigbee devices can perform exchanges of information with devices in their  
 15047 local area without having to form or join the same Zigbee network. This capability is used in a number of Zigbee  
 15048 functions from extending Smart Energy networks to simple low cost devices, for Green Power end devices, or for  
 15049 Touchlink commissioning.

**G.2 General Description****G.2.1 What Inter-PAN APS Does**

15051 A schematic view of the Zigbee stack enabling Inter-PAN data and Green Power Device Frame exchange is shown in  
 15053 Figure G-1.

**Figure G-1. Zigbee Stack with Inter-PAN APS**

15054

15055

15056 All features relating to Green Power have been removed from this section since that is now covered elsewhere. Refer  
 15057 to [B5] for the relevant Green Power specification details.

15058

15059

Inter-PAN data exchanges and Green Power Device Frame (GPDF) exchanges are handled by a special “stub” of the Application Support Sub-Layer, which is accessible through a special Service Access Point (SAP), the INTRP-SAP,

15060 parallel to the APSDE-SAP. The Inter-PAN data exchange architecture is used by several different mechanisms within  
15061 Zigbee devices.

15062 The same Inter-PAN APS is intended to be used for these different services even if how they use it varies slightly. In  
15063 case of Inter-PAN data exchanges, the Inter-PAN APS performs just enough processing to pass application data frames  
15064 to the MAC for transmission and to pass Inter-PAN application frames from the MAC to the application on receipt.  
15065 In case of Green Power Device Frame exchanges, the Inter-PAN APS also performs security processing of incoming  
15066 and outgoing GPDF, as well as buffering of outgoing GPDF (see [B5]). The incoming GPDF are delivered to the  
15067 application on endpoint 242 and handled by that; see the specification of the Green Power cluster residing on endpoint  
15068 242 [B4].

## 15069 **G.2.2 Service Specification**

15070 The INTRP-SAP is a data service comprising eight primitives.

- 15071 • INTRP-DATA.request - Provides a mechanism for a sending device to request transmission of an Inter-PAN  
15072 message.
- 15073 • INTRP-DATA.confirm - Provides a mechanism for a sending device to understand the status of a previous request  
15074 to send an Inter-PAN message.
- 15075 • INTRP-DATA.indication - Provides a mechanism for identifying and conveying an Inter-PAN message received  
15076 from a sending device.

## 15077 **G.2.3 The INTRP-DATA.request Primitive**

15078 The INTRP-DATA.request primitive allows an application entity to request data transmission via the Inter-PAN APS.

### 15079 **G.2.3.1 Semantics of the Service Primitive**

---

```
15080     INTRP-DATA.request {  
15081             SrcAddrMode  
15082             DstAddrMode  
15083             DstPANId  
15084             DstAddress  
15085             ProfileId  
15086             ClusterId  
15087             ASDULength  
15088             ASDU  
15089             ASDUHandle  
15090 }
```

---

15091 Table G-1 specifies the parameters of the INTRP-DATA.request primitive.

15092

**Table G-1. Semantics of the INTRP-DATA.request Primitive**

Name	Type	Valid Range	Description
SrcAddrMode	Integer	0x00 – 0x03	The source addressing mode for the MPDU to be sent. This value can take one of the following values: 0 x 00 = no address (SrcPANId and SrcAddress omitted). 0 x 01 = reserved. 0 x 02 = 16 bit short address. 0 x 03 = 64 bit extended address.
DstAddrMode	Integer	0x01 – 0x03	The addressing mode for the destination address used in this primitive. This parameter can take one of the values from the following list: 0x01 = 16-bit group address 0x02 = 16-bit NWK address, usually the broadcast address 0xffff 0x03 = 64-bit extended address
DstPANID	16-bit PAN ID	0x0000 – 0xFFFF	The 16-bit PAN identifier of the entity or entities to which the ASDU is being transferred or the broadcast PANId 0xffff.
DstAddress	16-bit or 64-bit address	As specified by the AddrMode parameter	The address of the entity or entities to which the ASDU is being transferred.
ProfileId	Integer	0x0000 – 0xffff	The identifier of the application profile for which this frame is intended.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster, within the profile specified by the ProfileId parameter, which defines the application semantics of the ASDU.
ASDULength	Integer	0x00 – (aMax-MACFrameSize - 9)	The number of octets in the ASDU to be transmitted.
ASDU	Set of octets	-	The set of octets forming the ASDU to be transmitted.
ASDUHandle	Integer	0x00 – 0xff	An integer handle associated with the ASDU to be transmitted.

15093

**G.2.3.2 When Generated**

15094

This primitive is generated by the local application entity when it wishes to address a frame to one or more peer application entities residing on neighboring devices using Inter-PAN data.

15095

---

**15096 G.2.3.3 Effect on Receipt**

15097 On receipt of the INTRP-DATA.request primitive by the Inter-PAN APS, the Inter-PAN APS will construct and  
 15098 transmit an Inter-PAN frame. This frame SHALL have a Protocol Version sub-field and the Frame Type sub-field  
 15099 of the NWK Frame Control field set to the values as specified in section G.3.2.1. The frame SHALL contain the given  
 15100 ASDU and set the parameters using the MCPS-DATA.request primitive of the MAC sub-layer, as described in section  
 15101 G.3.1.1. Once the corresponding MCPS-DATA.confirm primitive is received, the stack SHALL generate the INTRP-  
 15102 DATA.confirm primitive with a status value reflecting the status value returned by the MAC.

**15103 G.2.4 The INTRP-DATA.indication Primitive**

15104 The INTRP-DATA.indication primitive allows the Inter-PAN APS to inform the next higher layer that it has received  
 15105 a frame that was transmitted via the Inter-PAN APS on another device.

**15106 G.2.4.1 Semantics of the Service Primitive**

15107 The primitive interface is as follows:

---

15108	INTRP-DATA.indication {
15109	SrcAddrMode
15110	SrcPANId
15111	SrcAddress
15112	DstAddrMode
15113	DstPANId
15114	DstAddress
15115	ProfileId
15116	ClusterId
15117	ASDULength
15118	ASDU
15119	LinkQuality
15120	}

---

15121 Table G-2 defines the parameters of the INTRP-DATA.indication primitive.

15122 **Table G-2. Parameters of the INTRP-DATA.indication Primitive**

Name	Type	Valid Range	Description
SrcAddrMode	Integer	0x00- 0x03	The source addressing mode for the MPDU to be sent. The following values are allowed: 0x00 – no address (SrcPANId and SrcAddress omitted) 0x01 = reserved 0x02 = 16 bit short address 0x03 = 64 bit extended address
SrcPANId	16-bit PAN Id	0x0000 – 0xffff	The 16-bit PAN identifier of the entity from which the ASDU is being transferred.
SrcAddress	64-bit address	As specified by the	The device address of the entity from which the ASDU is being transferred.

Name	Type	Valid Range	Description
		SrcAddrMode parameter	
DstAddrMode	Integer	0x00 – 0x03	The addressing mode for the destination address used in this primitive. This parameter can take one of the values from the following list: 0x00 = no address (DstPANId and DstAddr omitted) 0x01 = 16-bit group address 0x02 = 16-bit NWK address, usually the broadcast address 0xffff 0x03 = 64-bit extended address
DstPANID	16-bit PAN Id	0x0000 – 0xffff	The 16-bit PAN identifier of the entity or entities to which the ASDU is being transferred or the broadcast PAN ID 0xffff.
DstAddress	16-bit or 64-bit address	As specified by the DstAddrMode parameter	The address of the entity or entities to which the ASDU is being transferred.
ProfileId	Integer	0x0000 – 0xffff	The identifier of the application profile for which this frame is intended.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster, within the profile specified by the ProfileId parameter, which defines the application semantics of the ASDU.
ASDULength	Integer	0x00 – ( <i>aMax-MACFrameSize</i> - 9)	The number of octets in the ASDU to be transmitted.
ASDU	Set of octets	-	The set of octets forming the ASDU to be transmitted.
LinkQuality	Integer	0x00 – 0xff	The link quality observed during the reception of the ASDU.

15123

### G.2.4.2 When Generated

15124  
15125  
15126

This primitive is generated and passed to the application in the event of the receipt, by the Inter-PAN APS, of a MCPS-DATA.indication primitive from the MAC sub-layer, containing a frame that was generated by the Inter-PAN APS of a peer Zigbee device, and that was intended for the receiving device.

### 15127 G.2.4.3 Effect on Receipt

15128 Upon receipt of this primitive the application is informed of the receipt of an application frame transmitted, via the  
 15129 Inter-PAN APS, by a peer device and intended for the receiving device. The values of the INTRP-DATA.indication  
 15130 SHALL be copied into the matching field names of the APSDE-DATA.indication. Additionally these fields SHALL  
 15131 be set as follows:

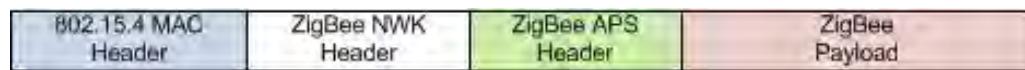
- 15132 1. The DstAddrMode SHALL be set to 0x04.
- 15133 2. The DstAddress SHALL be set to the DstAddress of the INTRP-DATA.indication primitive.
- 15134 3. The SrcAddrMode SHALL be set to 0x04.
- 15135 4. The SrcAddress SHALL be set to the SrcAddress of the INTRP-DATA.indication primitive.
- 15136 5. The SecurityStatus field enumeration SHALL be set to UNSECURED.
- 15137 6. The Inter-PAN field SHALL be set to TRUE.

### 15138 G.2.5 Qualifying and Testing of Inter-PAN Messages

15139 Support for Inter-PAN messages and Green Power is optional. If a device claims Inter-PAN communication support  
 15140 then certification and application level testing SHALL ensure both the sending and receiving devices correctly react  
 15141 and understand the INTRP-DATA.request and INTRP-DATA.indication primitives. Green Power certification and  
 15142 application level testing SHALL also ensure the GP-DATA.request, GP-DATA.indication, GP-SEC.request, and GP-  
 15143 SEC.response primitives are supported as mandated by the Green Power Specification [B4].

## 15144 G.3 Frame Formats

15145 The overall view of a Zigbee frame is as shown in Figure G-2.



15147 **Figure G-2. Zigbee Frame Format Overview**

15148 Briefly, the frame contains the familiar headers controlling the operation of the MAC sub-layer, the NWK layer and  
 15149 the APS. Following these, there is a payload, formatted as specified in [B1].

15150 Since most of the information contained in the NWK header is not relevant for Inter-PAN transmission, the Inter-PAN  
 15151 frame, shown in Figure G-3, contains only a stub of the NWK header. A Inter-PAN APS header is also used and is  
 15152 described in section G.2.3.3.

Octets: 2	1	variable	2
Frame Control	Sequence Number	Addressing Fields	NWK Frame Control
802.15.4 MAC Header			NWK Header

15153 **Figure G-3. Inter-PAN Frame Format**

15154 For Green Power Device Frames there is a different set of MAC and NWK headers as shown in Figure G-4.

15155

<b>Octets:</b> <b>2</b>	<b>1</b>	<b>4/10/12/ Variable</b>	<b>1</b>	<b>0/1</b>	<b>0/4</b>	<b>0/4</b>	<b>Variable</b>	<b>0/2/4</b>
Frame Control	Sequence Number	Addressing Fields	NWK Frame Control	Extended NWK Frame Control	GPD SrcID	Security Frame Counter	GPDF Application Payload	MIC
802.15.4 MAC Header			GPDF NWK Header				GPDF Application Payload	GPDF NWK Trailer

15156

**Figure G-4. Green Power Device Frame Format**

15157

### G.3.1 MAC Header

15158  
15159

The 802.15.4 MAC header has several options depending on how the frame is being used. The MAC header fields are shown in 3 with notes on their use.

15160

**Table G-3. MAC Header Fields for Inter-PAN APS Frames**

<b>Field Name</b>	<b>Octets</b>	<b>Usage</b>
Frame Control	2	Varies by Inter-PAN APS frame
Sequence Number	1	Normally used as MAC sequence number, increasing for each frame sent. Green Power usage discussed in GreenPower Specification reference [B4].
Destination PAN ID	0/2	May be set as the PANID of the destination or 0xffff.
Destination Address	2/8	Normally either broadcast short address or a 64 bit long address of the destination. Green Power usage discussed in GreenPower Specification reference [B4].
Source PAN ID	0/2	Used in Inter-PAN messaging but not in Green Power Device Frames.
Source Address	2/8	Normally set to the 64 bit address of the source device. Green Power usage discussed in GreenPower Specification reference [B4].

15161

The MAC header usage varies by application using the Inter-PAN messaging.

15162

#### G.3.1.1 MAC Header Usage for Inter-PAN Messaging

15163  
15164  
15165  
15166

Because Inter-PAN messaging is used for devices not on the Zigbee network, short addressing is not normally used unless it is the broadcast short address such that any device within range can respond. Otherwise the 64 bit long addresses are used for source and destination addressing. Source and Destination PANID's MAY be used or MAY be omitted.

15167

### G.3.2 Network Header

15168

#### G.3.2.1 Stub NWK Header for Inter-PAN Messages

15169

The stub NWK Header for Inter-PAN messages is shown below in Figure G-5.

<b>Octets:2</b>
NWK Frame Control

15170

**Figure G-5. Stub NWK Header for Inter-PAN messages**

15171 The NWK header Frame control field for the Inter-PAN messages is formatted exactly as the NWK header used by  
 15172 other Zigbee frames, see section 3.3.1.1 of the current specification.

15173 For Inter-PAN messages, the frame type 0b11 is used with the protocol version of the Zigbee stack. All other sub-  
 15174 fields SHALL have a value of 0.

### 15175 **G.3.2.1.1 Remaining Fields of the Stub NWK Header for GPDF**

15176 The GPDSrcID field is present if the FrameType sub-field is set to 0b00 and the ApplicationID sub-field of the Ex-  
 15177 tended NWK Frame Control field is set to 0b000 (or not present). It is also present if the FrameType sub-field is set  
 15178 to 0b01, the NWK Frame control Extension sub-field is set to 0b1, and the ApplicationID sub-field of the Extended  
 15179 NWK Frame Control field is set to 0b000. The GPDSrcID field carries the unique identifier of the GPD, to/by which  
 15180 this GPDF is sent. The value of 0x00000000 indicates unspecified. The value of 0xffffffff indicates all. The values  
 15181 0xfffffff9 – 0xffffffe are reserved. The GPDSrcID field is not present if the FrameType sub-field is set to 0b01 and  
 15182 the Extended NWK Frame control sub-field is set to 0b0. Unique identification of the GPD by an address is not  
 15183 required then. The GPDSrcID field is not present if the ApplicationID sub-field of the Extended NWK Frame Control  
 15184 field is set to 0b010. The GPD is then identified by its IEEE address, which is then carried in the corresponding MAC  
 15185 address field, source or destination for the GPDF sent by or to the GPD, respectively.

15186 The presence and length of the Security frame counter field is dependent on the value of ApplicationID and Secu-  
 15187 rityLevels sub-field, as described above.

15188 The MIC field carries the Message Integrity Code for this message, calculated as specified in section A.1.4 of the  
 15189 current GreenPower Specification [B4]. Its presence and length is dependent on the value of ApplicationID and Se-  
 15190 curityLevel sub-fields, as described above.

15191 The application payload of the GPDF is defined in [B4], section A.1.4.1.6.

### 15192 **G.3.3 Inter-PAN APS Header**

15193 The format of the Inter-PAN APS header is shown in Figure G-6. This is used in normal Inter-PAN messages and  
 15194 Touchlink messages but not in Green Power Device Frames.

15195

<b>Octets: 1</b>	<b>0/2</b>	<b>2</b>	<b>2</b>
APS frame control	Group address	Cluster identifier	Profile identifier
Addressing fields			

15196

**Figure G-6. Inter-PAN APS Header Format**

15197 The Inter-PAN APS header contains only 4 fields totaling a maximum of 7 octets in length.

15198 The APS frame control field SHALL be 1 octet in length and is identical in format to the frame control field of the  
 15199 general APDU frame in [B3] (see Figure G-7).

15200

<b>Bits: 0-1</b>	<b>2-3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>
Frame type	Delivery Mode	Reserved	Security	ACK request	Extended Header Present

**Figure G-7. Format of the APS Frame Control Field for Inter-PAN Messages**

The fields of the frame control field have the following values:

- The frame type sub-field SHALL have a value of 0b11, which is the Inter-PAN APS frame type.
- The delivery mode sub-field MAY have a value of 0b00, indicating unicast, 0b10, indicating broadcast or 0b11 indicating group addressing.
- Security is never enabled for Inter-PAN transmissions. This sub-field SHALL be a value of 0.
- The ACK request sub-field SHALL have a value of 0, indicating no ACK request. No APS ACKs are to be used with Inter-PAN transmissions.
- The extended header present sub-field SHALL always have a value of 0, indicating no extended header.

The optional group address shall be present if and only if the delivery mode field has a value of 0x0b11. If present it SHALL contain the 16-bit identifier of the group to which the frame is addressed.

The cluster identifier field is 2 octets in length and specifies the identifier of the cluster to which the frame relates and which SHALL be made available for filtering and interpretation of messages at each device that takes delivery of the frame. For touchlink this has a value of 0x1000.

The profile identifier is two octets in length and specifies the Zigbee profile identifier for which the frame is intended and SHALL be used during the filtering of messages at each device that takes delivery of the frame. For touchlink this has the value of 0xc05e.

## 15218 **G.4 Frame Processing**

15219 Assuming the INTRP-SAP described above, frames transmitted using the Inter-PAN APS are processed as described  
15220 here.

### 15221 **G.4.1 Inter-PAN Transmission (non Green Power Device Frames)**

15222 On receipt of the INTRP-DATA.request primitive, the Inter-PAN APS SHALL construct a Inter-PAN APS frame.  
15223 The header of the Inter-PAN APS frame SHALL contain a NWK and an APS frame control field as described in  
15224 section G.3, a cluster identifier field equal to the value of the ClusterId parameter of the INTRP-DATA.request and a  
15225 profile identifier field equal to the value of the ProfileId parameter. If the DstAddrMode parameter of the INTRP-  
15226 DATA.request has a value of 0x01, indicating group addressing, then the APS header SHALL also contain a group  
15227 address field with a value corresponding to the value of the DstAddress parameter. The payload of the Inter-PAN APS  
15228 frame SHALL contain the data payload to be transmitted.

15229 The Inter-PAN APS frame will then be transmitted using the MCPS-DATA.request primitive of the MAC sub-layer  
15230 with key primitive parameters set as follows:

- The value of the SrcAddrMode parameter of the MCPS-DATA.request SHALL always be set to a value of three,  
15231 indicating the use of the 64-bit extended address.
- The SrcPANId parameter SHALL be equal to the value of the macPANID attribute of the MAC PIB.
- The SrcAddr parameter SHALL always be equal to the value of the MAC sub- layer constant aExtendedAddress.
- If the DstAddrMode parameter of the INTRP-DATA.request primitive has a value of 0x01, then the DstAddrMode  
15232 parameter of the MCPS-DATA.request SHALL have a value of 0x02. Otherwise, the DstAddrMode parameter  
15233 of the MCPS-DATA.request SHALL reflect the value of the DstAddrMode parameter of the INTRP-  
15234 DATA.request.
- The DstPANId parameter SHALL have the value given by the DstPANID parameter of the INTRP-DATA.request  
15235 primitive.
- If the DstAddrMode parameter of the INTRP-DATA.request has a value of 0x01, indicating group addressing,  
15236 then the value of the DstAddr parameter of the MCPS-DATA.request shall be the broadcast address 0xffff.

15243      Otherwise, value of the DstAddr parameter SHALL reflect the value of the DstAddress parameter of the INTRP-  
15244      DATA.request primitive.

- 15245    • The MsduLength parameter SHALL be the length, in octets, of the Inter-PAN APS frame.  
15246    • The Msdu parameter SHALL be the Inter-PAN APS frame itself.  
15247    • If the transmission is a unicast, then the value of the TxOptions parameter shall be 0x01, indicating a request for  
15248      acknowledgement. Otherwise, the TxOptions parameter SHALL have a value of 0x00, indicating no options.

15249    On receipt of the MCPS-DATA.confirm primitive from the MAC sub-layer, the Inter-PAN APS will invoke the IN-  
15250      TRP-DATA.confirm primitive with a status reflecting the status returned by the MAC.

#### 15251      **G.4.2 Inter-PAN Reception (non Green Power Device Frames)**

15252    On receipt of the MCPS-DATA.indication primitive from the MAC sub-layer, the receiving entity - in case of a Zigbee  
15253      device this is normally the NWK layer - SHALL determine whether the frame SHOULD be passed to the Inter-PAN  
15254      APS or processed as specified in [B5]. For a frame that is to be processed by the Inter-PAN APS, the non- varying  
15255      sub-fields of the NWK frame control field SHALL be set exactly as described in section G.3.2.1 and the APS frame  
15256      control field SHALL be set exactly as described in section G.3.3. Any variation from this format SHALL trigger the  
15257      message to be dropped and no further processing SHALL be done.

15258    If the delivery mode sub-field of the APS frame control field of the Inter-PAN APS header has a value of 0b11,  
15259      indicating group addressing, then, if the device implements group addressing, the value of the group address field  
15260      SHALL be checked against the NWK layer group table, and, if the received value is not present in the table, the  
15261      frame SHALL be discarded with no further processing or action.

15262    On receipt of a frame for processing, the Inter-PAN APS SHALL generate an INTRP- DATA.indication with param-  
15263      eter values as follows:

- 15264    • The value of the SrcAddrMode parameter of the INTRP-DATA.indication SHALL always be set to a value of  
15265      three, indicating the use of the 64-bit extended address.
- 15266    • The value of the SrcPANId parameter SHALL reflect that of the SrcPANId parameter of the MCPS-DATA.indi-  
15267      cation.
- 15268    • The SrcAddress parameter of the INTRP-DATA.indication SHALL always reflect the value of a 64-bit extended  
15269      address.
- 15270    • Values for the DstAddrMode parameter SHALL be one of:
  - 15271      ○ 0x03, if the DstAddrMode parameter of the INTRP-DATA.indication has a value of 0x03.
  - 15272      ○ 0x02, if the DstAddrMode parameter of the INTRP-DATA.indication has a value of 0x02
- 15273    • The value of the DstPANId parameter of the INTRP-DATA.indication SHALL reflect the value of the DstPANId  
15274      parameter of the MCPS-DATA.indication.
- 15275    • If the DstAddrMode parameter of the INTRP-DATA.indication has a value of 0x01, indicating group addressing  
15276      then the DstAddress parameter of the INTRP-DATA.indication SHALL reflect the value of the group address  
15277      field of the Inter-PAN APS header. Otherwise, the value of the DstAddress parameter of the INTRP-DATA.in-  
15278      dication SHALL reflect the value of the DstAddr parameter of the MCPS-DATA.indication.
- 15279    • The value of the ProfileId parameter SHALL be the same as the value of the profile identifier field of the Inter-  
15280      PAN APS header.
- 15281    • The value of the ClusterId parameter SHALL be the same as the value of the cluster identifier field of the Inter-  
15282      PAN APS header.
- 15283    • The ASDULength field SHALL contain the number of octets in the Inter-PAN APS frame payload.
- 15284    • The ASDU SHALL be the Inter-PAN APS payload itself.

- 15285     • The value of the LinkQuality parameter SHALL reflect the value of the mpduLinkQuality parameter of the  
15286           MCPS-DATA.indication.

15287 **G.5 Inter-PAN Best Practices**

15288 Network Channel Manager Inter-PAN support is not specified in Annex E of the core stack specification ([B3]). New  
15289 channel notifications will not be broadcast Inter-PAN. Inter-PAN devices which do not receive the network channel  
15290 change will need to perform the network discovery procedure described in section 3.6.1.5.1.

15291 It is recommended that devices that use Inter-PAN SHOULD implement an allow list of known accepted commands  
15292 and constrain the list to only the necessary commands. Inter-PAN commands SHOULD carefully screened by the  
15293 receiving device since they can be sent by devices that do not have network security credentials and are performing  
15294 an active attack.

15295

## **ANNEX H SECURITY TEST VECTORS FOR GREEN POWER DEVICE FRAMES**

15296

15297 This section has been entirely superseded by the Green Power Specification 14-0563-16 [B4].

15298

## ANNEX I ZIGBEE TLV DEFINITIONS AND FORMAT

This annex describes the definition and format of Zigbee Type-Length-Value data elements (TLVs). These definitions are specific to the Zigbee Core specification. The advantage of TLV formatted data is that new data fields can be packed into messages in a future proof way that allows a device to parse as much data as it understands regardless of where the unknown data appears. TLVs can be declared as mandatory or optional independent of the order they are in.

### I.1 General Format

The general format of TLVs is defined in Table I-1.

**Table I-1. General TLV Format**

Tag Field	Length Field	Value Field
1-byte	1-byte	Variable Length (Length Field Value + 1)

The actual size of the Value field is always interpreted as the value in the received Length field + 1.

#### I.1.1 Reserved Fields

All reserved TLV fields SHALL be set to 0 on transmission and ignored on reception.

#### I.1.2 Tag Id Ranges

Zigbee TLV Tags are divided into locally and globally scoped IDs. Locally scoped tag IDs have a format specific to a particular message and MAY overlap with Local tag IDs of different messages. Request and response messages SHALL have separate local tag IDs. Local tag ID formats are defined in the section of the Zigbee spec where they are sent. They share the same general message overhead as described in this Annex.

Global tag IDs have a single format across all messages and layers and are used in 2 or more different messages. In general, Global Tag IDs represent a global state and is not completely ephemeral in nature. However, not all global IDs have meaning for all messages and a known global tag ID MAY still trigger the receiving device to reject the message. This will be described in the handling of a particular message.

The tag ID Ranges are defined in Table I-2.

**Table I-2. Tag ID Ranges**

Tag Range	Scope
0 – 63	Local
64-255	Global

### I.2 Rules for TLVs in Message

#### I.2.1 Order

When a message contains TLVs, order SHALL NOT matter. TLVs MAY be concatenated in any order by the sender. No functional behavior SHALL be required of the receiver based on the order of multiple TLVs in a single message. Duplication of Tag IDs.

Duplicate TLV Tag IDs in messages are NOT allowed with one exception, described below. When multiple TLVs with the same ID are found in a single message the message SHALL be rejected and dropped.

The Manufacturer Specific Global TLV is the one exception and that TLV MAY occur multiple times in a message. Interpretation and behavior on receipt of any Manufacturer Specific Global TLV is outside the scope of this

15331 specification. Devices that receive a Manufacturer Specific Global TLV with content they do not understand  
15332 SHALL treat this as an Unknown Tag as described in the rules for Unknown Tags.

## 15333 **I.2.2 Global Tags**

15334 In general, all Global Tags SHALL be accepted for all messages. If the message processing description in this sec-  
15335 tion does not have specific rules for a Global TLV then the received Global TLVs are silently ignored. The pro-  
15336 cessing rules of a message MAY override this behavior and describe what to do when one or more known Global  
15337 TLVs are unexpectedly received.

15338 Global TLVs MAY be appended anywhere but are only actionable when the message processing description dictates  
15339 how they are handled. NIB, AIB, or other state SHALL not be updated unless the local message processing explic-  
15340 itly mentions that behavior.

## 15341 **I.2.3 Unknown Tags**

15342 An Unknown Tag is defined as one where the Tag ID is neither a defined global ID nor is the ID a Local ID defined  
15343 for the specific command or datagram containing the TLV.

15344 Receiving devices SHALL ignore all unknown Tag IDs and no processing SHALL be done for them.

## 15345 **I.2.4 Extending Existing TLVs**

15346 Existing TLVs MAY be extended in a future version of this specification. By default, known TLVs that are longer  
15347 than their defined minimum length SHALL NOT be dropped. Known elements in the TLV shall be interpreted and  
15348 the unknown elements ignored.

15349 When a receiver SHALL store TLVs, the receiver SHALL also store the full extended TLV.

## 15350 **I.2.5 Malformed TLVs**

15351 When TLVs are smaller than the minimum size defined in the specification they SHALL be considered malformed.  
15352 Malformed TLVs are also defined as the case where the size is within the minimum and maximum length, but the  
15353 data contained has been truncated. For example, if the TLV defines a list of 2-byte node IDs and the length value  
15354 causes the TLV to truncate in the middle of a 2-byte node ID, this is considered malformed.

15355 Malformed TLVs SHALL generate an error. Processing of the message SHALL terminate and all TLVs contained in  
15356 the message SHALL NOT be processed, including all TLVs preceding the malformed TLV.

## 15357 **I.2.6 Encapsulation TLVs**

15358 A select few TLVs are allowed to contain other TLVs. They are called Encapsulation TLVs. Encapsulation TLVs  
15359 SHALL NOT contain Encapsulation TLVs.

15360 To validate an Encapsulation TLV the General TLV Processing in Section I.4.8 SHALL be executed on the TLVs  
15361 inside the Encapsulation TLV with one additional requirement. If an Encapsulation TLV contains another Encapsu-  
15362 lation TLV the outer Encapsulation TLV SHALL be considered malformed and no further process SHALL be done.

## 15363 **I.2.7 General TLV Processing**

- 15364 1. Examine all TLVs in the message.
- 15365 2. Check for any duplicate TLV tag IDs.
  - 15366 a. If any TLV ID is duplicated, except for the Manufacturing Specific TLV, do the following:
    - 15367 i. The message shall be rejected. No further processing SHALL be done.
    - 15368 b. Otherwise, continue processing.
- 15369 3. Determine if any TLVs are malformed.

- 15370        a. Examine all known TLVs.
- 15371        b. If any TLV is less than the minimum required length, then the message SHALL be rejected. A status of INVALID\_TLV SHALL be returned to the calling routine and no further processing by this routine SHALL be done.
- 15374        c. If the TLV length is greater than the minimum but causes the known data to be truncated, then the message SHALL be rejected. A status of INVALID\_TLV SHALL be returned to the calling routine and no further processing by this routine SHALL be done.
- 15377        d. If the TLV is an encapsulation TLV and is contained inside another Encapsulation TLV, the outer Encapsulation TLV is considered invalid. A status of INVALID\_TLV SHALL be returned to the calling routine and no further processing by this routine SHALL be done.
- 15380        e. If the TLV is an Encapsulation TLV and is not inside another Encapsulation TLV, execute the rules in this section (I.4.8) to validate the TLVs contained inside.
- 15382              i. If the result indicates that the Encapsulation TLV is malformed then that TLV SHALL be discarded.
- 15383              f. Otherwise, continue processing.

15384        4. General TLV Processing has succeeded, return SUCCESS to the calling routine.

15385        Refer to the message specific processing rules to determine whether the message will be accepted. Known Data being truncated

15387        All TLVs MAY be extended in future versions of the spec. The minimum length of a TLV is the length for the TLV when it was first introduced in the specification. Extensions to the TLV in later versions of the specification SHALL NOT change the minimum length. However, a received TLV can be longer than the minimum length and still be considered invalid if the TLV has been extended with a new field of a defined length. For example, a TLV that has an EUI64 in a prior version of the spec and was extended to include a Node ID field. The minimum length of that TLV will always be 8 bytes. If the node knows about the newer version of the TLV that includes a Node ID but receives only 9 bytes, it rejects the entire TLV. A node that does not know about the node ID field would end up accepting the 9 bytes but only processing the EUI64 field.

15395        For TLVs with sets or lists of parameters the receiver is required to validate that the list is the appropriate length. For example if a TLV has a 1-byte count field indicating a number of Node IDs (2-bytes) that follow, if the overall length would cause it to truncate the last node ID the whole TLV is rejected. Additionally, if there is a count field within the TLV and the value of count is more than the number of items in the TLV the TLV SHALL be considered invalid. For example, a TLV with a value length of 7 containing a 1-byte count field that indicates 8 items of 1-byte each follow, would be considered invalid. The TLV value length SHOULD have been 9 (1-byte count field followed by 8-bytes of items).

15402        **I.3 Security**

15403        TLVs are secured by the mechanism that transports them. It is up to the commands and messages that transport them to dictate any special security processing required for transmission and reception.

15405        **I.4 Global TLV IDs**

15406        Table I-3 defines the global TLV IDs defined by the Zigbee specification.

15407

15408

**Table I-3. Global TLV Definitions**

<b>TLV Tag ID</b>	<b>Minimum Length (Bytes)</b>	<b>Name</b>
64	2	Manufacturer Specific Global TLV
65	2	Supported Key Negotiation Methods Global TLV
66	4	PAN ID Conflict Report Global TLV
67	2	Next PAN ID Global TLV
68	4	Next Channel Change Global TLV
69	16	Symmetric Passphrase Global TLV
70	2	Router Information Global TLV
71	2	Fragmentation Parameters Global TLV
72		Joiner Encapsulation Global TLV
73		Beacon Appendix Encapsulation Global TLV
74		Reserved
75		Configuration Parameters Global TLV
76		Device Capability Extension Global TLV (Refer to the Zigbee Direct specification for more details.)
77-255		Reserved

15409

#### I.4.1 Manufacturer Specific Global TLV (ID 64)

15410  
15411  
15412

The Manufacturing Specific Global TLV defines a TLV that is defined outside the Zigbee specification. Its interpretation and handling are outside the scope of this specification. The Manufacture Specific Global TLV SHALL be at least 2 bytes in length indicating the Zigbee Manufacturer ID. The format is specified in Figure I-1.

15413  
15414

The Manufacturer associated with this Manufacture ID will define the additional data in the Manufacturing Specific Global TLV. Other manufacturers MAY make use of those TLVs with a different Manufacturer's ID.

15415

The Manufacturer Specific Global TLV MAY be appended on the end of any command frame.

<b>Octets: 2</b>	<b>Varies</b>
Zigbee Manufacturer ID	Additional Data

15416

**Figure I-1. Manufacturer Specific Global TLV Data**

15417

#### I.4.2 Supported Key Negotiation Methods Global TLV (ID 65)

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15419

This TLV defines the set of Key Negotiation protocols that the sending device supports. When sent as part of an IEEE Std 802.15.4 Beacon it indicates the set of Key Negotiation Protocols that the Trust Center Supports.

15420

The format of this TLV is defined in Figure I-2.

<b>Octets: 1</b>	<b>Octets: 1</b>	<b>Octets: 8</b>
Key Negotiation Protocols Bitmask	Pre-shared Secrets Bitmask	Source Device EUI64

15421

**Figure I-2. Supported Key Negotiation Methods Global TLV Data**

15422

The Key Negotiation Protocols Bitmask is defined in Table I-4.

15423

**Table I-4. Key Negotiation Protocols Bitmask**

Bit	Description
0	Static Key Request (Zigbee 3.0 Mechanism)
1	SPEKE using Curve25519 with Hash AES-MMO-128
2	SPEKE using Curve25519 with Hash SHA-256
3 – 7	Reserved

15424

The Pre-shared Secrets Bitmask is defined in Table I-5.

15425

**Table I-5. Supported Pre-shared Secrets Bitmask**

Bit	Name	Description
0	Symmetric Authentication Token	This is a token unique to the Trust Center and network that the device is running on, and is assigned by the Trust center after joining. The token is used to renegotiate a link key using the Key Negotiation protocol and is good for the life of the device on the network.
1	Install Code Key	128-bit pre-configured link-key derived from install code
2	Passcode Key	A variable length passcode for PAKE protocols. This passcode can be shorter for easy entry by a user.
3	Basic Access Key	This key is used by other Zigbee specifications for joining with an alternate pre-shared secret. The definition and usage is defined by those specifications. The usage is optional by the core Zigbee specification.
4	Administrative Access Key	This key is used by other Zigbee specifications for joining with an alternate pre-shared secret. The definition and usage is defined by those specifications. The usage is optional by the core Zigbee specification.
5-7	Reserved	-

15426

#### I.4.3 PAN ID Conflict Report Global TLV (ID 66)

15427

This TLV is 2-bytes in length and indicates the next channel that will be used once a Network Update command is received to change PAN IDs.

15429

This TLV defines information about PAN ID Conflicts detected by the coordinator and routers on the network. This TLV contains the NIB value of *nwkPanIdConflictsCount* as formatted in Figure I-3.

Octets:2
nwkPanIdConflictCount

15431

**Figure I-3. PAN ID Conflict Global TLV**

15432

#### I.4.4 Next PAN ID Change Global TLV (ID 67)

15433

This TLV is 2-bytes in length and indicates the next channel that will be used once a Network Update command is received to change PAN IDs.

## 15435 I.4.5 Next Channel Change Global TLV (ID 68)

15436 This TLV is 4-bytes in length and indicates the next channel that will be used once a start channel change command  
 15437 is received. The format is defined according to the Channels Field bitmap defined in Table 3-7. Only 1 channel page  
 15438 and channel bit SHALL be set.

## 15439 I.4.6 Symmetric Passphrase Global TLV (ID 69)

15440 This TLV is 16-bytes in length and indicates a 128-bit Symmetric Passphrase Global TLV.

## 15441 I.4.7 Router Information Global TLV (ID 70)

15442 This TLV is 2-bytes in length and is a bitmask indicating data about the local router. Table I-6 defines the bits.  
 15443

**Table I-6. Router Information Global TLV Bitmap Definitions**

Bit	Name	Description
0	Hub Connectivity	This bit indicates the state of <i>nwkHubConnectivity</i> from the NIB of the local device. It advertises whether the router has connectivity to a Hub device as defined by the higher-level application layer. A value of 1 means there is connectivity, and a value of 0 means there is no current Hub connectivity.
1	Uptime	This 1-bit value indicates the uptime of the router. A value of 1 indicates the router has been up for more than 24 hours. A value of 0 indicates the router has been up for less than 24 hours.
2	Preferred Parent	This bit indicates the state of <i>nwkPreferredParent</i> from the NIB of the local device. When supported, it extends Hub Connectivity, advertising the devices capacity to be the parent for an additional device. A value of 1 means that this device should be preferred. A value of 0 indicates that it should not be preferred. Devices that do not make this determination SHALL always report a value of 0.
3	Battery Backup	This bit indicates that the router has battery backup and thus will not be affected by temporary losses in power.
4	Enhanced Beacon Request Support	When this bit is set to 1, it indicates that the router supports responding to Enhanced beacon requests as defined by IEEE Std 802.15.4. A zero for this bit indicates the device has no support for responding to enhanced beacon requests.
5	MAC Data Poll Keepalive Support	This indicates that the device has support for the MAC Data Poll Keepalive method for End Device timeouts.
6	End Device Keepalive Support	This indicates that the device has support for the End Device Keepalive method for End Device timeouts.
7	Power Negotiation Support	This indicates the device has support for Power Negotiation with end devices.
8-15	Reserved	These bits SHALL be set to 0.

## 15444 I.4.8 Fragmentation Parameters Global TLV (ID 71)

15445 This TLV specifies the maximum reassembled input buffer size of the associated node. The Reassembled Buffer  
 15446 Size includes the fragmentation capabilities of the device and thus would be larger than the normal MPDU of the  
 15447 underlying NWK and MAC layers.

15448

<b>Octets: 2</b>	<b>1</b>	<b>2</b>
Node ID	Fragmentation Options	Maximum Incoming Transfer Unit

15449

**Figure I-4. Fragmentation Parameters Global TLV**

15450

**Table I-7. Fields of the Fragmentation Parameters Global TLV**

Field Name	Size	Description
Node ID	2	This indicates the node ID of the device that the subsequent fragmentation parameters apply to.
Fragmentation Options	1	<p>This bitfield indicates what fragmentation options are supported by the device. It has the following enumerated bits:</p> <p>Bit 0 = APS Fragmentation Supported. Set to 1 to indicate support; 0 to indicate no support. If set to 1, the maximum reassembled message size is indicated by the Maximum Incoming Transfer Unit.</p> <p>Bit 1-7 = Reserved for future use</p>
Maximum Incoming Transfer Unit	2	<p>This is a copy of the local device's <code>apsMaxSizeASDU</code> AIB value.</p> <p>This indicates the maximum reassembled message size at the application layer after fragmentation has been applied on the message at the lower layers. A device supporting fragmentation would set this field to be larger than the normal payload size of the underlying NWK and MAC layer.</p>

15451

15452 When a device is communicating to other devices it can include this TLV in any message that supports TLVs as a way to convey the size of the largest application layer message it can accept. Earlier versions of the specification do not support TLVs and thus the `Node_Desc_rsp` is the only means to convey the fragmentation capabilities. By including the short ID in this TLV it allows a router to relay the fragmentation capabilities of the Trust Center since a joiner knows the trust center's address is always 0x0000.

#### I.4.9 Joiner Encapsulation Global TLV (ID 72)

15453 This TLV can contain one or more TLVs inside of it that are either local or Global. The same rules of TLVs in messages apply to TLVs inside the Encapsulation TLV with the exception that Encapsulation TLVs SHALL NOT contain Encapsulation TLVs.

15454 The data put inside contains information that the joining or rejoining node wants to communicate to the Trust Center.

<b>Octets: Variable</b>
Additional TLVs

15463

**Figure I-5. Joiner Encapsulation Global TLV**

#### I.4.10 Beacon Appendix Encapsulation Global TLV (ID 73)

15464 This TLV can contain one or more TLVs inside of it that are either local or Global. The same rules of TLVs in messages apply to TLVs inside the Encapsulation TLV with the exception that Encapsulation TLVs SHALL NOT contain Encapsulation TLVs.

15465 The data put inside contains TLVs that will be part of the Beacon Appendix. Devices will set the contents of their `nwkGlobalBeaconAppendix` NIB value based on the contents of this TLV.

15470

<b>Octets: Variable</b>
Additional TLVs

15471

**Figure I-6. Joiner Encapsulation Global TLV**

#### I.4.11 Configuration Parameters Global TLV (ID 75)

The Configuration Parameters Global TLV is 2-bytes in length and indicates various parameters about how the stack SHALL behave. Each bit or bits corresponds to the internal NIB, AIB, or Device Security Policy values and how they are configured. Refer Section 2.4.3.4.4.

15476

**Table I-8. Configuration Parameters Global TLV**

<b>Bit</b>	<b>Area</b>	<b>Affected Configuration Attribute</b>
0	AIB	apsZdoRestrictedMode
1	Device Security Policy	requireLinkKeyEncryptionForApsTransportKey
2	NIB	nwkLeaveRequestAllowed
3–15	Reserved	Reserved

15477

## 15478 ANNEX J CRYPTOGRAPHIC PROCESSING FOR ECDHE

15479 This annex contains the cryptographic processing for Elliptic Curve Diffie-Hellman Ephemeral (ECDHE) key nego-  
15480 tiation.

### 15481 J.1 ECDHE/SPEKE Using Curve25519

15482 This section covers SPEKE [B21][B22][B23][B24], a Password Authenticated Key Exchange (PAKE) using  
15483 Curve25519 [B20] and [B21]. An anonymous (unauthenticated) version can be obtained by employing a well-  
15484 known password, in which case SPEKE simplifies to ECDHE.

15485 **Table J-1. Parameters for ECDHE and SPEKE using Curve25519**

Item	Description
i	Initiator, the device initiating the key exchange (generally the joining device)
r	Responder, the device answering the key exchange (generally the Trust Center)
PSK	Pre-shared Key (see Table J-2)
H(x)	Hashing Function. This SHALL be AES-MMO-128 or SHA-256.
H*(x)	Cyclic extension of the hashing function to 256 bits, if the output size of H is less than 256 bit, or a truncated version of H if the output size of H is more than 256 bit. For example, if H(x) = AES-MMO-128, then H*(x) = H(x)    H(x)  If the output size is 256-bits, such as SHA-256, a bad generator point avoidance mechanism must be used. This is done by hard coding the first byte to 0x09.
KDF(key, instance)	Key Derivation Function with key, instance as input. This SHALL be either HMAC-AES-MMO-128 (the Specialized Keyed Hash Function for Message Authentication, as defined in section B.1.5), or HMAC-SHA-256-128 (HMAC-SHA-256 truncated to the first 128 bits).
A <sub>i</sub>	Initiator Identity, IEEE EUI-64 of Initiator. For purposes of hashing, the IEEE EUI-64 is assumed to be stored in little-endian order.
A <sub>r</sub>	Responder Identity, IEEE EUI-64 of Responder. For purposes of hashing, the IEEE EUI-64 is assumed to be stored in little-endian order.
G	Generator (base point)
I	Session Identifier
d <sub>i</sub>	Initiator Private Key
d <sub>r</sub>	Responder Private Key
Q <sub>i</sub>	Initiator Public Key Point where Q <sub>i</sub> = d <sub>i</sub> G (notice: only the x-coordinate is relevant)
Q <sub>r</sub>	Responder Public Key Point where Q <sub>r</sub> = d <sub>r</sub> G (notice: only the x-coordinate is relevant)
s	Shared Secret
DK	Derived Key

15486

15487

15488

**Table J-2. PSK Items**

<b>n/a</b>	<b>Anonymous Key Exchange</b>	<b>“Value of <i>apscWellknownPSK</i>” (no quotes)</b>
PSK ID 0x00	Key Exchange with Installation Code	Pre-configured link-key derived from Device Installation Code
PSK ID 0x01	Key Exchange with Passcode	Variable-length Passcode, including low-entropy passcodes. Minimum recommended entropy: 20 bits
PSK ID 0x02	Basic Access Key (Zigbee Device)	Details defined in Zigbee Device specification
PSK ID 0x03	Administrative Access Key (Zigbee Device)	Details defined in Zigbee Device specification
PSK ID 0x04 – PSK ID 0x07	Reserved for future use	

15489

### J.1.1 Recommendations for Variable-length Passcodes

15490 Variable-length passcodes MAY be used as alternative means of mutual authentication, next to 128-bit pre-configured trust center link-keys derived from installation codes. The purpose of a variable-length passcode is to provide a  
 15491 low entropy shared secret for the class of Password Authenticated Key Exchange (PAKE) protocols, in particular  
 15492 SPEKE over Curve25519. Low-entropy passcodes SHALL NOT be used in connection with protocols that are not  
 15493 designed to support low-entropy passwords, e.g. they are not suitable as pre-shared key for ECDHE-PSK. Low-ent-  
 15494 tropy passcodes offer device manufacturers more flexibility to provide shorter setup codes in order to reduce the  
 15495 amount of data that needs to be typed, spoken or encoded on a barcode displayed on a product. Low-entropy  
 15496 passcodes SHOULD have a minimum entropy of at least 20 bits. This is a compromise between a convenient end-  
 15497 user experience (short codes preferred) and the probability that an active Man-in-the-Middle (MITM) attacker could  
 15498 guess the passcode (longer codes preferred). Given the recommended minimum of 20 bits, the probability of guess-  
 15499 ing the pre-shared secret would be 1 : 2^20, i.e. less than 0.0001%. Implementations MAY further increase robust-  
 15500 ness against guessing attacks by adding exponential back-offs for each failed attempt, and by limiting the total num-  
 15501 ber of attempts per device.

15503

### J.1.2 Scalar Multiplications on Curve25519

15504 With respect to the Operation stated below, scalar multiplications on the elliptic curve, i.e.  $Q = dG$ , SHALL be per-  
 15505 formed by invoking  $Q = X25519(d, G)$  as defined in reference [B20]. The scalar  $d$  SHALL first be decoded using  
 15506  $d = \text{decodeScalar25519}(k)$ , as defined in reference [B20], where  $k$  is an octet string of 32 secret random bytes.

15507

### J.1.3 Operation

1. Initiator computes  $G = H^*(\text{PSK})$ , generates  $d_i$  at random, subject to private key post-processing as detailed below, and computes  $Q_i = d_iG$ .
2. Initiator sends its Identity  $A_i$  and  $Q_i$ .
3. Responder, when it receives  $A_i$  and  $Q_i$ , first checks if another key establishment session with the same Initiator is in progress. If so, it aborts the previous session with failure; it computes  $G = H^*(\text{PSK})$ , generates  $d_r$  at random, subject to private key post-processing as detailed below, and computes  $Q_r = d_rG$ .
4. Responder sends its Identity  $A_r$  and  $Q_r$  back to initiator.

15515

The following operations occur in no guaranteed order, potentially concurrently at both ends:

15516

- Responder computes point  $(x_k, y_k) = d_rQ_i$  and proceeds with step 5
- Initiator generates point  $(x_k, y_k) = d_iQ_r$  and proceeds with step 5

15518 5. Initiator/Responder determines the session identifier,

$$I = \begin{cases} A_r || Q_r || A_i || Q_i, & A_r \leq A_i \\ A_i || Q_i || A_r || Q_r, & A_r > A_i \end{cases}$$

15520 Note:  $A_r$  and  $A_i$  are compared as 64-bit unsigned integers with the IEEE 802 OUI part being the most significant bits, i.e. the EUI-64 00:AA:00:11:22:33:44:55 is less than 00:BB:00:11:22:33:44:FF. Notice that  
15521 while these are transferred in little-endian representation, the comparison above is done based on the actual  
15522 integer values.  
15523

15524 6. Initiator/Responder derives shared secret,  $s = H(x_k || I || G)$

15525 7. Initiator/Responder derives APS link key = KDF(s, 1), which is the outcome of executing the specialized  
15526 keyed hash function specified in section B.1.5 under the shared secret obtained in step 6 with the 1-octet  
15527 string ‘0x01’ as the input string.

15528 8. Initiator sends APSME verify key message for the key derived in step 7.

15529 9. Responder sends APSME confirm key message using the key derived in step 7.

### **J.1.4 Contributory Behavior**

15531 There exist points of small order on curve 25519 and its twist, which relate to five unique x-coordinates:

15532 •  $x = 0$

15533 •  $x = 1$

15534 •  $x = -1$

15535 •  $x_1 =$

15536 39382357235489614581723060781553021112529911719440698176882885853963445705823

15537 •  $x_2 =$

15538 325606250916557431795983626356110631294008115727848805560023387167927233504

15539 Considering a large integer size of 32 octets, which can represent values up to  $2^{256} - 1$ , and modulo-p arithmetics  
15540 with  $p = 2^{255} - 19$ , the following 12 points result in a (trivial) shared secret  $x_k = 0$ :

15541  $x_{0,1} = 0$

15542  $x_{0,2} = p \equiv x_{0,1}$

15543  $x_{0,3} = 2p \equiv x_{0,1}$

15544  $x_{0,4} = 1$

15545  $x_{0,5} = p + 1 \equiv x_{0,4}$

15546  $x_{0,6} = 2p + 1 \equiv x_{0,4}$

15547  $x_{0,7} = p - 1$

15548  $x_{0,8} = 2p - 1 \equiv x_{0,7}$

15549  $x_{0,9} = x_1$

15550  $x_{0,10} = p + x_1 \equiv x_{0,9}$

15551  $x_{0,11} = x_2$

15552  $x_{0,12} = p + x_2 \equiv x_{0,11}$

15553

15554 This is also referred to as non-contributory behavior because the private keys of initiator and responder don't contribute to the shared secret.  
15555

15556 As a result the following 32-octet strings should be avoided as generator points (base points for SPEKE):

15557

15558 For  $H(x) = \text{AES-MMO-128}$ , and  $H^*(x) = H(x) \parallel H(x)$ , it is guaranteed that for all possible pre-shared secrets the  
15559 base point  $G$  will always be different than any of the points mentioned above. No special consideration regarding the  
15560 pre-shared secret, except for its minimum entropy, is required in this case.

For  $H(x) = \text{SHA-256}$  it is possible to have a pre-shared secret that will derive generator points of small order as listed previously. To avoid these known, bad generator points this specification defines a simple mechanism to hard code the first byte. This mechanism is simple to implement for embedded devices with limited flash and does not require a re-generation step if a bad point is encountered.

15565 The following defines the mechanism for bad generator point avoidance.

$$H(x) = \text{SHA-256}(x)$$

$H[0] = 0x09$

$$H^*(x) = H$$

15570 The following defines the mechanism for bad generator point avoidance.

15571  $H(x) = \text{SHA-256}(x)$

15572  $H[0] = 0x09$

15573  $H^*(x) = H$

15574

## 15575 **ANNEX K ZIGBEE PROVISIONAL AND EXPERIMENTAL FEATUR** 15576 **URES**

15577 This annex describes provisional and experimental features which have been drafted and will be included in future  
 15578 revisions of the specification, but have not undergone any testing for compliance and interoperability validation.  
 15579 Therefore the following features SHALL not be implemented in a platform undergoing formal certification. The purpose  
 15580 for including this text is to ensure implementers consider these features to avoid potential interoperability issues  
 15581 in future specification revisions. These features may include considerations such as ensuring all new and existing  
 15582 frames received are processed regardless of: being TLV extended (unless specified otherwise), supporting reserved  
 15583 TLV Tag Ids, etc.

### 15584 **K.1 Routing Improvements**

#### 15585 **K.1.1 NLME-ROUTE-DISCOVERY.request**

15586 This primitive allows the next higher layer to initiate route discovery.

##### 15587 **K.1.1.1 Semantics of the Service Primitive**

15588 The semantics of this primitive are as follows:

---

15589           NLME-ROUTE-DISCOVERY.request	{
	DstAddrMode,
	DstAddr,
	Radius,
	NoRouteCache,
	SourceRoute
	}

---

15596 Table K-1 specifies the parameters for this primitive.

15597 **Table K-1. NLME-ROUTE-DISCOVERY.request Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 – 0x02	A parameter specifying the kind of destination address provided. The DstAddrMode parameter MAY take one of the following three values: 0x00 = No destination address 0x01 = Reserved 0x02 = 16-bit network address of an individual device
DstAddr	16-bit network address	Any network address	The destination of the route discovery. If the DstAddrMode parameter has a value of 0x00 then no DstAddr will be supplied. This indicates that the route discovery will be a many-to-one discovery with the device issuing the discovery command as a target.

Name	Type	Valid Range	Description
			If the DstAddrMode parameter has a value of 0x02, this indicates unicast route discovery. The DstAddr will be the 16-bit network address of a device to be discovered.
Radius	Integer	0x00 – 0xff	This optional parameter describes the number of hops that the route request will travel through the network.
NoRouteCache	Boolean	TRUE or FALSE	In the case where DstAddrMode has a value of zero, indicating many-to-one route advertisement, this flag determines whether the NWK SHOULD establish a route record table. TRUE = no route record table SHOULD be established FALSE = establish a route record table
SourceRoute	Boolean	TRUE or FALSE	In the case where DstAddrMode has a value of 0x02, indicating unicast route discovery, and the device is operating as a concentrator, this flag determines whether an ad-hoc route is sought, or rather a source route. TRUE = a source route is sought FALSE = a normal ad-hoc route is sought Refer to section 3.6.4.5.1.

### 15598 K.1.1.2 When Generated

15599 This primitive is generated by the next higher layer of a Zigbee coordinator or router and issued to its NLME to request  
 15600 the initiation of route discovery.

### 15601 K.1.1.3 Effect on Receipt

15602 On receipt of this primitive by the NLME of a Zigbee end device, the NLME will issue the NLME-ROUTE-DIS-  
 15603 COVERY.confirm primitive to the next higher layer with a status value of INV\_REQUESTTYPE.

15604 On receipt of this primitive by the NLME with the DstAddrMode parameter not equal to 0x00 and the DstAddr  
 15605 parameter equal to a broadcast address, the NLME will issue the NLME-ROUTE-DISCOVERY.confirm primitive to  
 15606 the next higher layer with a status value of INV\_REQUESTTYPE.

15607 On receipt of this primitive by a Zigbee router or Zigbee coordinator that has routing capacity, with the DstAddrMode  
 15608 parameter equal to 0x02, the NLME will initiate discovery of a unicast route between the current device and the  
 15609 network device with the 16-bit network address given by the DstAddr parameter. The procedure for initiating discov-  
 15610 ery of a unicast route is outlined in section 3.6.4.5.1.

15611 On receipt of this primitive on a Zigbee router or Zigbee coordinator with concentrator capabilities, if the DstAddr-  
 15612 Mode parameter equal to 0x00, the NLME will initiate many-to-one route advertisement. The procedure for initiating  
 15613 many-to-one route advertisement is outlined in section 3.6.4.5.1.

15614 On receipt of this primitive on a Zigbee router or Zigbee coordinator with concentrator capabilities, if the DstAddr-  
 15615 Mode parameter equal to 0x02 and the SourceRoute flag equal to TRUE, the NLME will initiate many-to-one route  
 15616 advertisement with an appended Source Route Solicitation TLV, which includes DstAddr in the nwkAddressOfInter-  
 15617 est list. The procedure for initiating many-to-one route advertisement is outlined in section 3.6.4.5.1. In each of the  
 15618 three cases of actual route discovery described above, the NLME will initiate route discovery by attempting to transmit  
 15619 a route discovery command frame using the MCPS-DATA.request primitive of the MAC sub-layer. If a value has  
 15620 been supplied for the optional Radius parameter, that value will be placed in the Radius field of the NWK header of

the outgoing frame. If a value has not been supplied then the radius field of the NWK header will be set to twice the value of the *nwkMaxDepth* attribute of the NIB as would be the case for data frame transmissions. If the MAC sub-layer fails, for any reason, to transmit the route request command frame, the NLME will issue the NLME-ROUTE-DISCOVERY.confirm primitive to the next higher layer with a Status parameter value equal to that returned by the MCPS-DATA.confirm. If the route discovery command frame is sent successfully and if the DstAddrMode parameter has a value of 0x00, indicating many-to-one route advertisement, the NLME will immediately issue the NLME-ROUTE-DISCOVERY.confirm primitive with a value of SUCCESS. Otherwise, the NLME will wait until either a route reply or route record command frame is received or a reactive many-to-one route request command originating in the device identified by DstAddr is received or the route discovery operation times out as described in section 3.6.4.5. If a route reply or route record or matching reactive many-to-one route request command frame is received before the route discovery operation times out, the NLME will issue the NLME-ROUTE-DISCOVERY.confirm primitive to the next higher layer with a status value of SUCCESS. If the operation times out, it will issue the NLME-ROUTE-DISCOVERY.confirm primitive with a Status of ROUTE\_ERROR and with a NetworkStatusCode value reflecting the reason for failure as described in Table 3-52.

## K.2 Route Request Command

### K.2.1 TLVs

An optional list of tag-length-value records with context-specific information, which is relevant to the Route Request. The following TLVs MAY be appended to the Route Request Command and when present SHALL be parsed and processed by the recipient in context. The context is established by the values of other relevant fields within the same Network Command frame, i.e. fields in the basic command frame and potentially leading TLVs.

#### K.2.1.1 Tag ID 0: Extended Route Information

The Extended Route Information TLV conveys additional route-specific information. It SHALL be included in all Route Request Commands. Notice that devices built to earlier revisions of this specification did not support this TLV and implementations SHALL tolerate the TLV being absent.

<b>Octets: 2</b>	<b>1</b>
Routing Sequence Number	Initial Radius

**Figure K-1. Extended Route Information TLV Payload Format**

#### K.2.1.1.1 Routing Sequence Number Field

The Routing Sequence Number Field SHALL contain the value of *nwkRoutingSequenceNumber* after it has been incremented by one. This field denotes the Routing Sequence Number of the originator of the frame.

#### K.2.1.1.2 Initial Radius Field

The Initial Radius Field SHALL contain the value of the Radius field in the NWK Header of the original route request, i.e. the first instance of any particular route request frame.

#### K.2.1.2 Tag ID 1: Concentrator Information

The Concentrator Information TLV conveys the settings of the concentrator device, which initiated the Route Request. It SHALL be included when the Destination Address equals 0xffff (All Routers and the Coordinator). Notice that devices built to earlier revisions of this specification did not support this TLV and implementations SHALL tolerate the TLV being absent even if the Destination Address equals 0xffff. A device which has *nwkIsConcentrator* set as TRUE, and which originates a many-to-one route request, MUST populate this TLV prior to broadcasting the route request frame. Devices forwarding the route request MUST forward this TLV without modification.

15659

<b>1</b>	<b>1</b>
Concentrator Discovery Time	Max Source Route Length

15660 **Figure K-2. Concentrator Information TLV Payload Format****K.2.1.2.1 Concentrator Discovery Time Field**

15661 The Concentrator Radius Field SHALL contain the value of nwkConcentratorDiscoveryTime.

**K.2.1.2.2 Concentrator Max Source Route Length Field**

15662 The Concentrator Radius Field SHALL contain the value of nwkMaxSourceRoute.

**K.2.1.3 Tag ID 2: Source Route Solicitation**

15663 The Source Route Solicitation TLV conveys a list of one or more network short addresses of devices the concentrator is interested in establishing a source route to. It MAY be included when the Destination Address equals 0xffffc (All Routers and the Coordinator).

Octets: 2	2/0	...	2/0
nwkAddressOfInterest1	nwkAddressOfInterest 2	...	nwkAddressOfInterest n

15664 **Figure K-3. Source Route Solicitation TLV Payload Format****K.2.1.3.1 nwkAddressOfInterest Fields**

15665 Each nwkAddressOfInterest field SHALL contain the value the network short address of a destination device which the requesting device wants to establish a source route to.

**K.3 Route Reply Command****K.3.1 Local TLVs**

15666 An optional list of tag-length-value records with context-specific information, which is relevant to the Route Reply. The following TLVs MAY be appended to the Route Reply Command and when present SHALL be parsed and processed by the recipient in context. The context is established by the values of other relevant fields within the same Network Command frame, i.e. fields in the basic command frame and potentially leading TLVs.

**K.3.1.1 Tag ID 0: Extended Route Information**

15667 The Extended Route Information TLV conveys additional route-specific information. It SHALL be included in all Route Reply Commands. Notice that devices built to earlier revisions of this specification did not support this TLV and implementations SHALL tolerate the TLV being absent.

15668

<b>Octets: 2</b>
Routing Sequence Number

15684      **Figure K-4. Extended Route Information TLV Payload Format****K.3.1.1.1 Routing Sequence Number Field**

15685      The Routing Sequence Number Field SHALL contain the value of nwkRoutingSequenceNumber after it has been  
 15686      incremented by one. This field denotes the Routing Sequence Number of the Responder.

**K.4 Network Status Command****K.4.1 TLVs**

15690      An optional list of tag-length-value records with context-specific information, which is relevant to the Network Status  
 15691      command. The following TLVs MAY be appended to the Network Status Command and when present SHALL be  
 15692      parsed and processed by the recipient in context. The context is established by the values of other relevant fields within  
 15693      the same Network Command frame, i.e. fields in the basic command frame and potentially leading TLVs.

**K.4.1.1 Tag ID 0: Extended Route Information**

15694      The Extended Route Information TLV conveys additional route-specific information. It SHALL be included in those  
 15695      Network Status commands, which convey route errors, specifically the Link Failure and Many-To-One Route Failure;  
 15696      it is not required for source route failures and statuses not related to routing. Notice that devices built to earlier revi-  
 15697      sions of this specification did not support this TLV and implementations SHALL tolerate the TLV being absent. If the  
 15698      related routing table entry, in its sequence number valid flag, indicates that the sequence number is unknown or invalid,  
 15699      the extended route information TLV SHALL NOT be included.

<b>Octets: 2</b>
Routing Sequence Number

15701      **Figure K-5. Extended Route Information TLV Payload Format****K.4.1.1.1 Routing Sequence Number Field**

15702      The Routing Sequence Number Field SHALL contain the value of sequence number field of the routing table entry  
 15703      that was utilized to forward a frame to its next hop and such attempt failed due to a link failure. This applies, both, to  
 15704      link failures along ad-hoc routes, as well as link failures along many-to-one routes.

**K.5 Initiation of Route Discovery**

15705      If the device initiating route discovery is currently operating as a concentrator, as indicated by the nwkIsConcen-trator  
 15706      flag, and has not been specifically instructed by the NHLE to seek a normal ad-hoc route versus a source route, it  
 15707      SHOULD prefer discovery of source routes over discovery of ad-hoc routes. It still MAY perform normal ad-hoc  
 15708      route discovery, e.g. to avoid the per-frame source route overhead, unless specifically instructed to seek a source route  
 15709      by the SourceRoute parameter of the NLME-ROUTE-DISCOVERY.request.

15710      If the device initiating route discovery has no routing table entry corresponding to the routing address of the desti-  
 15711      nation device, and intends to perform a normal ad-hoc route discovery, it SHALL establish a routing table entry with  
 15712      status equal to DISCOVERY\_UNDERWAY. If the device has an existing routing table entry corresponding to the  
 15713      routing address of the destination with status equal to ACTIVE, that entry SHALL be used and the status field of that  
 15714      entry SHALL retain its current value. If it has an existing routing table entry with a status value other than ACTIVE,  
 15715

15717 that entry SHALL be used and the status of that entry SHALL be set to DISCOVERY\_UNDERWAY. The device  
15718 SHALL also establish the corresponding route discovery table entry if one with the same initiator and route request  
15719 ID does not already exist.

15720 Each device issuing a route request command frame SHALL maintain a counter used to generate route request identi-  
15721 fiers. When a new route request command frame is created, the route request counter is incremented and the value  
15722 is stored in the device's route discovery table in the Route request identifier field. The device SHALL incre-  
15723 nwkRoutingSequenceNumber and append an Extended Route Information TLV to the route request com-mand frame  
15724 with the Route Sequence Number field set to the resulting value. Other fields in the routing table and route discovery  
15725 table are set as described in section 3.6.4.2.

15726 Each device issuing a route request command frame SHALL maintain a counter used to generate route request identi-  
15727 fiers. When a new route request command frame is created, the route request counter is incremented and the value  
15728 is stored in the device's route discovery table in the Route request identifier field. The device SHALL increment  
15729 nwkRoutingSequenceNumber and append an Extended Route Information TLV to the route request command frame  
15730 with the Route Sequence Number field set to the resulting value. Other fields in the routing table and route discovery  
15731 table are set as described in section 3.6.4.2.

15732 The many-to-one route advertisement procedure SHALL be initiated by the NWK layer of a Zigbee router or coordi-  
15733 nator on receipt of an NLME-ROUTE-DISCOVERY.request primitive from the next higher layer where the DstAddr-  
15734 Mode parameter has a value of 0x00, or where the DstAddrMode parameter has a value of 0x02 and the SourceRoute  
15735 parameter is set as TRUE. A many-to-one route request command frame is not retried; however, a discovery table  
15736 entry is still created to provide loop detection during the *nwkRouteDiscoveryTime* period. If the NoRouteCache para-  
15737 meter of the NLME-ROUTE-DISCOVERY.request primitive is TRUE, the many-to-one sub-field of the command  
15738 options field of the command frame payload SHALL be set to 2. Otherwise, the many-to-one sub-field SHALL be set  
15739 to 1. Note that in this case, the NWK layer should maintain a route record table. The destination address field of the  
15740 NWK header SHALL be set to 0xffffc, the all-router broadcast address. The broadcast radius SHALL be set to the  
15741 value in *nwkConcentratorRadius*. A source device that initiates a many-to-one route advertisement is designated as a  
15742 concentrator and referred to as such in this document and the NIB attribute *nwkIsConcentrator* should be set to TRUE.  
15743 If a device has *nwkIsConcentrator* equal to TRUE and there is a non-zero value in *nwkConcentratorDiscoveryTime*,  
15744 the network layer should issue a route request command frame each *nwkConcentratorDiscoveryTime*, making sure  
15745 that any two consecutive many-to-one route request commands with different route request identifier are separated in  
15746 time by at least *nwkConcentratorDiscoverySeparation*.

15747 If the DstAddrMode parameter has a value of 0x02 and the SourceRoute parameter is set as TRUE, the device SHALL  
15748 include the Source Route Solicitation TLV in the route request command frame, and include DstAddr in the nwkAd-  
15749 dressOfInterest list in that TLV. In order to improve interoperability with legacy routers built to earlier revisions of  
15750 this specification, the device MAY additionally instigate an IEEE\_addr\_req with the NWKAddressOfInterest param-  
15751 eter set as DstAddr and RequestType either set as 0 – ‘Single device response’ or 1 – ‘Extended response’; the latter  
15752 allows the router to learn about source routes to end-devices in a single step in case the device denoted by DstAddr is  
15753 also a router. This additional IEEE\_addr\_req SHALL be subject to the same throttling provided by *nwkConcen-*  
15754 *tratorDiscoverySeparation*. Upon Receipt of a Route Request Command Frame.

## 15755 K.5.1 Initiating a Route Reply or Reactive Many-to-One Route Request

15756 The device SHALL check if it is the intended destination. If it is the intended destination and the device is currently  
15757 operating as a concentrator, it SHALL determine whether the originator of the route request is within the concentrator  
15758 radius by calculating the distance to the originating router according to section 3.6.4.5.5; if the calculated distance is  
15759 less than or equal to the concentrator radius, it SHALL issue a reactive many-to-one route request, instead of respond-  
15760 ing with a route reply command frame making sure that any two consecutive many-to-one route request commands  
15761 with different route request identifiers are separated in time by at least *nwkConcentratorDiscoverySeparation*. It  
15762 SHALL also check if the destination of the command frame is one of its end device children by comparing the desti-  
15763 nation address field of the route request command frame payload with the address of each of its end device children,  
15764 if any. If either the device or one of its end device children is the destination of the route request command frame, and  
15765 it is not issuing a reactive many-to-one route request, it SHALL reply with a route reply command frame.

15766 When replying to a route request with a route reply command frame, the device SHALL construct a frame with the  
15767 frame type field set to 0x01. The route reply's source address SHALL be set to the 16-bit network address of the

15768 device creating the route reply and the destination address SHALL be set to the calculated next hop address, considering the originator of the route request as the destination. The link cost from the next hop device to the current device  
15769 shall be computed as described in section 3.6.4.1 and inserted into the path cost field of the route reply command  
15770 frame. The device SHALL increment *nwkRoutingSequenceNumber* and the resulting value is appended to the route  
15771 reply command frame using the Routing Sequence Number TLV. The route reply command frame SHALL be unicast  
15772 to the next hop device by issuing an MCPS-DATA.request primitive.  
15773

## 15774 **K.5.2 Routing and Route Discovery Table Maintenance, Route Request For- 15775 warding**

15776 When a device with routing capacity is not the destination of the received route request command frame, it SHALL  
15777 determine if a route discovery table entry (see Table 3-75) exists with the same route request identifier and source  
15778 address field. If no such entry exists, one SHALL be created. The route request timer SHALL be set to expire in  
15779 *nwkRouteDiscoveryTime* OctetDurations. If a routing table entry corresponding to the routing address of the desti-  
15780 nation exists and its status is not ACTIVE, the status SHALL be set to DISCOVERY\_UNDERWAY. If no such entry  
15781 exists and the frame is a unicast route request, an entry SHALL be created and its status set to DISCOVERY\_UN-  
15782 DERWAY. If the frame is a many-to-one route request, the device SHALL also create a routing table entry with the  
15783 destination address field equal to the source address of the route request command frame by setting the next hop field  
15784 to the address of the previous device that transmitted the command frame. If the frame is a many-to-one route request  
15785 (*i.e.* the many-to-one sub-field of the command options field of the command frame payload has a non-zero value),  
15786 the many-to-one field in the routing table entry SHALL be set to TRUE, the route record required field SHALL be set  
15787 to TRUE if the coordinator is within source route range or FALSE otherwise, and the no route cache flag SHALL be  
15788 set to TRUE if the many-to-one sub-field of the command options field of the command frame payload has a value of  
15789 2 or to FALSE if it has a value of 1. If the routing table entry is new, or if the no route cache flag is set to TRUE, or  
15790 if the next hop field changed, the route record required field SHALL be set to TRUE, if the coordinator is within  
15791 source route range otherwise it remains unchanged. The coordinator is deemed within source route range, if the dis-  
15792 tance as calculated section 3.6.4.5.4 yields a value less than or equal to the Max Source Route Length field in the  
15793 Concentrator Information TLV of the received route request command frame; for backwards compatibility with legacy  
15794 implementations, it is also deemed within source route range if no Concentrator Information TLV is present at all.  
15795 The status field SHALL be set to ACTIVE.

## 15796 **K.5.3 Transmitting Reactive Many-To-One Route Requests**

15797 If a concentrator determines that the route request has originated from a router, which is operating within its *nwkCon-*  
15798 *centratorRadius*, as calculated according to section 3.6.4.5.5, it SHALL, instead of sending a route reply, initiate route  
15799 discovery as outlined in section 3.6.4.5.1 by instigating an NLME-ROUTE-DISCOVERY.request primitive with the  
15800 DstAddr set to the source address field of the network header of the route request, the DstAddrMode parameter set as  
15801 0x02, and the SourceRoute parameter set as TRUE.

## 15802 **K.5.4 Calculating the Distance Between Routers and Concentrators**

15803 A concentrator can determine the distance to a router in the network by subtracting the value conveyed in the radius  
15804 field of the network header of a route request command, which it received from that router, from either (i) the Initial  
15805 Radius field of the Extended Route Information TLV, or, (ii) twice the value of the *nwkMaxDepth* NIB attribute, if  
15806 such a TLV was not included in the route request command frame. The result of this calculation is the number of hops,  
15807 minus one, a packet travels along the current route between router and concentrator. A message sent from the concen-  
15808 trator with the initial radius set to the calculated distance can be assumed to reach the router device unless the network  
15809 topology changed.

## 15810 **K.5.5 Initiation and Processing of a Route Record Command Frame**

15811 If the NWK layer of a Zigbee router or Zigbee coordinator is forwarding a unicast data frame on behalf of one of its  
15812 end device children and the many-to-one field of the destination's routing table entry has a value of TRUE, then the  
15813 device SHALL unicast a route record command to the destination before relaying the data frame, which al-ready  
15814 contains the network short address of the Zigbee router or Zigbee coordinator in the relay list.

- 15815 If the NWK layer of a Zigbee router or Zigbee coordinator receives a many-to-one route request, which includes the  
15816 Source Route Solicitation TLV and the receiving device's network short address appears in the nwkAddressOfInterest  
15817 list of that TLV and the receiving device is within source route range it SHALL set the route record required flag  
15818 in the corresponding many-to-one routing table entry; it SHALL then also unicast a route record command to the  
15819 originator of the many-to-one route request, with the relay list being empty; similarly, if the network short address of  
15820 any of its end device children appears in nwkAddressOfInterest and the receiving device is within source route range  
15821 minus one (accounting for the additional hop to the end device child), the NWK SHALL set the route record required  
15822 flag in the corresponding many-to-one routing table entry and then also unicast a route record command to the originator  
15823 of the many-to-one route request for each matching network short address, with the relay list already containing  
15824 the network short address of the Zigbee router or Zigbee coordinator.
- 15825 The coordinator is deemed within source route range, if the distance as calculated section 3.6.4.5.5 yields a value less  
15826 than or equal to the Max Source Route Length field in the Concentrator Information TLV of the received route request  
15827 command frame; for backwards compatibility with legacy implementations, it is also deemed within source route  
15828 range if no Concentrator Information TLV is present at all.
- 15829 An optional optimization is possible in which the router or coordinator MAY keep track of which of its end device  
15830 children have received source routed data frames from a particular concentrator device and can thereby reduce the  
15831 number of route record commands it transmits to that concentrator on behalf of its end device children.