

Plan S

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ABOUT THIS PRESENTATION

- Open access: quick overview
- The current state of affairs
- Plan S: the Funders
- Plan S: the plans (what will change)
- Plan S: available routes to open access
 - Green
 - Gold
 - Hybrid (incl. Deals)
- Plan S: CC-BY licenses
- ... and now what will really change, and when...

Addendum 1 > How to find OA deals

Addendum 2 > How to find OA journals
(and deals)

3 MAIN ROUTES TO OPEN ACCESS

Barrier-free access to scientific output (publications, etc) of publicly-financed research

Radboud Repository (Green)	Full open access journals (Gold)	Hybrid open access journals (through big deals)
<p>A Radboud database to OA share full text of published papers (published elsewhere in journals/platforms)</p> <p>Note: you can also use other compliant repositories, or even pre-print archives (but always use post-print)</p>	<p>Journals without a subscription option (OA only)</p>	<p>Subscription journals with OA option (OA optional)</p>

And there is also diamond, bronze, grey, black Open Access, as well as pre-print archiving. But barring the first one (= consortium Gold), you can (and for grey and black should!!!) ignore these.

THE CURRENT STATE OF AFFAIRS

Radboud University

- Open access publishing is encouraged within RU/UMC, but not mandatory
- Likely to become policy in coming years

Funders

- **NWO/ZonMw:**
 - Immediate OA on publication of funded research (green allowed, but 0 embargo)
 - APC payment for all types of OA journals (gold + hybrid)
 - various licenses allowed
- **Horizon2020/ERC:** max 6 months embargo (12 for SSH); APC payment for all journals; various licenses allowed
- **Bill&Melinda Gates foundation:** immediate OA on publication *in journal*; APC payment for all journals; only CC-BY 4.0 allowed
- **WHO:** max 12 months embargo (in PubMed Central); APC payment for all journals; various licenses allowed



Check yourself:

[https://v2.sherpa.ac.uk/
juliet/](https://v2.sherpa.ac.uk/juliet/)

PLAN S: THE FUNDERS

National funders



Charitable and international funders & research organisations



European funders





The ERC/HorizonEurope supports adheres to the principles behind Plan S...

... but is in certain aspects even stricter than Plan S itself.

PLAN S: THE PLANS

Plan S' 10 major topics*:

1. Retention of copyright
2. Criteria & requirements for publishers/platforms
3. Help with creation of infrastructure (if none exists)
4. APCs covered by funder
5. ...but only if publisher is transparent about value vs pricing
6. Alignment with national and institutional policies
7. ... but when it comes to monographs, there's no rush
8. Hybrid is **not** allowed, except when journal is 'transformative'
9. --- You. Will. Be. Monitored. ---
10. Metrics are not (necessarily) important

All this for the Plan S main goals:

- 100 % FAIR* and transparent open access in 2021



*See full info: <https://www.coalition-s.org/addendum-to-the-coalition-s-guidance-on-the-implementation-of-plan-s/principles-and-implementation/>

* FAIR = Findable, Accessible, Interoperable, Reusable

PLAN S: THE PLANS (what will change)

The impact

- 'Compliant' journals or platforms:
 - APC's covered, but publisher needs to be transparent as to why the APC are so *darn* expensive
 - Publication in hybrid journals acceptable for most funders, but APC **not** covered (except VSNU & transformative deals)
 - Only CC-BY license (though some exceptions)
 - No 'mirror' journals allowed
 - No embargo period allowed (at least post-print)
- Compliance will be monitored

The good news

- Current open access deals are allowed for the time being (until late 2024)
- Future deals only allowed if journal aims for transforming into full OA
- Radboud Repository = compliant (but no embargo)



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GREEN OPEN ACCESS (THE 'REPOSITORY ROUTE')



The RADBOUD REPOSITORY (RR): database of the RU/Radboudumc

- Contains the metadata (author, DOI, abstract, etc.) of all RU/UMC publications
- A post-print/publisher version of a paper can be attached, and made OA available free of charge
 - However: not all publishers allow this (immediately) → **embargo period**
- the University Library will check for embargo, and will make it open if none exists (anymore)
- Upload as soon as possible!: University Library will archive the paper until the embargo lifts

"Downsides"

- 'Free' only in combination with hybrid/closed access journals.
- Often only post-print/AAM (Author Accepted Manuscript) is allowed (check in OA journal browser)
- You need to upload yourself, and/or at least make sure that you have the right version selected

RR content is:

- easy to find through search engines e.g. Google (Scholar)
- visible and searchable as part of a national network of repositories (Narcis; hosted by NWO/KNAW)

GREEN OPEN ACCESS (THE 'REPOSITORY ROUTE')

Project Taverne

- Taverne Amendment (article 25fa copyright law)
- Cooperation Dutch Universities
- Aim: 6 months max embargo, and use publisher version
- Combined universities guarantee legal assistance if necessary
- downside: not Plan S compliant (= 0 months)

Rights Retention Strategy Plan S

- Publishing under a CC-BY license and 0 month embargo will be mandatory for all grantees (responsibility thus lies with funder, not author)
- This will be extensively communicated to all known publishers
- Strategy allows publishers to allow for 0 month embargo for grantees only, and to maintain their own policy for other (non-Plan S) authors.

GOLD OPEN ACCESS (THE 'JOURNAL ROUTE')

Full open access journals (publishers)	Publishing platforms
<ul style="list-style-type: none">• There are 10.000+ open access journals<ul style="list-style-type: none">• Use Directory of Open Access Journals (DOAJ)• Examples: BioMed Central (15 % discount), PLoS, Frontiers, Nature Communications, etc.• Payment of Article Processing Charge (APC)<ul style="list-style-type: none">• Costs vary: €0-5000• No central RU funding	<ul style="list-style-type: none">• Publishing platforms (free):<ul style="list-style-type: none">• Sigma: forum of Mathematics• SCOAP3 - High Energy Physics• SciPost - Physics• Open Library of Humanities• arXiv/bioRxiv

HYBRID OPEN ACCESS (THE 'BIG DEAL ROUTE')

Open access deals	Limitations and full OA journals
<ul style="list-style-type: none">• VSNU Open access deals with publishers• Ca. 10.000 journals of major publishers<ul style="list-style-type: none">• Springer, Wiley, Sage, Taylor & Francis, Emerald, Brill, Cambridge University Press, Walter de Gruyter, Elsevier (e.g.)• Most traditional <u>high impactfactor</u> journals (hybrid journals)• <u>Free of charge</u> for Dutch corresponding authors• Workflow varies per publisher <p><u>Always use your institutional email address!</u></p>	<ul style="list-style-type: none">• <u>Not all subscription journals</u> (that do have an open access option) <u>are part of a deal.</u>• Not all OA-Deal-publisher journals are part of the deal<ul style="list-style-type: none">• Society publishers not always included• 'Springer OpenChoice' vs 'SpringerOpen'• For some deals, only a limited number of papers per year is allowed (Springer cap)• Difficult to tell how many deal-publishers will be 'transformative'

CREATIVE COMMONS LICENSES: KNOW WHAT TO CHOOSE



ATTRIBUTION: All CC licenses require that others who use your work in any way **must give you credit the way you request**, but **not in a way that suggests you endorse them or their use**. If they want to use your work without giving you credit or for endorsement purposes, they must get your permission first.



+SHARE ALIKE: You let others copy, distribute, display, perform, and modify your work, as long as they **distribute any modified work on the same terms**. If they want to distribute modified works under other terms, they must get your permission first.



+NON-COMMERCIAL: You let others copy, distribute, display, perform, and (unless you have chosen NoDerivatives) modify and use your work **for any purpose other than commercially** unless they get your permission first.

CREATIVE COMMONS LICENSES: KNOW WHAT TO CHOOSE



Combines SA and NC (users should distribute any modified work on the same terms, and can use your work for any purpose other than commercially)



+NON-DERIVATIVES: You let others copy, distribute, display and perform **only original copies of your work**. If they want to modify your work, they must get your permission first.



Combines NC and ND (users are only allowed to use original copies of your work and for any purpose other than commercially).

CREATIVE COMMONS LICENSES: KNOW WHAT TO CHOOSE

- All licenses are open access (CC-BY is the most open, CC-BY-NC-ND the least)
- Some publishers (like Elsevier) reserve the right to decide not to implement the license in full (incl. NC; it will always remain OA)

What will change?

Until 1 january 2021:

- all licenses are allowed for funders

Plan S = only CC-BY (or CC-BY-SA)

- NC is not allowed. ND may be accepted, but only on request and if valid.
- However, CC-BY 4.0:

Copy and redistribute the material in any medium or format, remix, transform, and build upon the material. You must give appropriate credit, provide a link to the license, and indicate if changes were made. You may do so in any reasonable manner, but not in any way that suggests the licensor endorses you or your use.

... and what will really change, and when?

- 1. For most funders: starts with calls submitted after 1 January 2021 (i.e. current grants not included)
- 2. Let's compare (case NWO):

	Current NWO	Plan S
Gold	APC's paid, provided that journal is registered at DOAJ	APC's paid, provided that journal is registered at DOAJ Plan S compliant
Green	No embargo Version not specified Also preprints Repository registered in OpenDOAR	No embargo At least AAM No preprints Repository registered in OpenDOAR
Hybrid	Compliant, but not reimbursed by NWO (but deals are allowed)	Compliant if part of a transformative agreement Not compliant if not part of TA (but current deals until end 2024)
Copyright & licenses	Copyright must remain in hand of author All OA licenses allowed	Copyright must remain in hand of author Only CC-BY (or CC-BY-ND)
Monitoring &	No active monitoring	Active monitoring and fund-reduction as fine

... and what will really change, and when?

Let's compare no. 2 (case Horizon):

Horizon Europe	Horizon 2020
All <u>peer-reviewed publications</u> will have to be made <u>immediately</u> available OA and deposited in a trusted repository (at the latest at the time of publication). This will also apply to long-text formats such as monographs and book chapters.	<ul style="list-style-type: none">• In H2020, the OA requirements only apply to journal articles (with the exception of the ERC where they also apply to long-text formats).• In H2020, it is possible to have an embargo period (6 months for STEM and 12 months for SSH). This embargo possibility will disappear in Horizon Europe.
Beneficiaries will have to retain sufficient IPR and be required to use OA licenses (CC-BY or equivalent for journal articles, CC-BY-ND/NC or equivalent is allowed for long-text formats).	In H2020 the use of CC-BY and copyright retention by the author is not compulsory, although recommended.
Beneficiaries will be asked to provide information via the repository about <u>any research output, tool or instrument</u> needed to validate the conclusions of a publication, unless justified legitimate concerns/interests need to be safeguarded.	In H2020 there is only a requirement to provide access to the data underlying a publication, but not to any other research outputs.
Bibliographic metadata should be licensed under CC0 or equivalent, in line with FAIR principles (in particular, they should be machine actionable) and persistent identifiers should be used.	H2020 provisions do not prescribe the use of the CC0 or equivalent for bibliographic metadata.
Beneficiaries will have the freedom to publish in the venue of their choice. But APCs will only be reimbursed if the publishing venue is full OA. So APCs will not be eligible if the publishing venue is hybrid.	In H2020 there is not such a limitation.
As in H2020, the costs of publications are not eligible after the end of the project.	

Important: Horizon Europe

Does not allow for those transformative agreements

Makes depositing in a repository mandatory (no matter what)

And does offer Open Research Europe (ORE)

PLAN S: WHAT ARE YOUR OPTIONS?


- Dutch Universities/VSNU open access deals > check [journal browser](#) or [OA libguide](#)
 - Current deals until end of 2024
 - New deals only if transformative (will become full OA after several years)
- Pay for APC using funds reserved in grant
- Make AAM/post-print open access in the repository
- Important note 1: only limited licenses allowed (but CC-BY 4.0, and ND if valid)
- Important note 2: 0 months embargo mandatory (but rights retention strategy may be a solution)
- Important note 3: APC payment via grant only allowed for full (DOAJ registered) journals
- Important note 4: ERC/HorizonEurope does NOT allow for deals for hybrid journals (only full OA journals)

QUESTIONS



Addendum 1: how to find oa *deals*: oa library guide

Link: <https://libguides.ru.nl/openaccess/Home>

**Radboud Universiteit**
Universiteitsbibliotheek

LibGuides

University Library / LibGuides / Publish Open Access for free or with a discount / Springer

Publish Open Access for free or with a discount: Springer

Home

ACS


BioMed

De Gruyter

Wolters Kluwer

Other FREE options

What are the main outlines of the agreement with Springer?

 **Springer** No author charges

Springer has agreed that articles from corresponding authors published in open access. The agreement applies to all Springer journals and books.

How to receive this discount: Make sure that you select "Radboud University Nijmegen" in the "Affiliation" drop-down menu and agree to the open access terms.

To which journals does this agreement apply?


There is a maximum of 2,080 Dutch publications. After 2019, publications will no longer be eligible for open access.

- List of OpenChoice journals covered by the Springer OpenChoice program

Ignore the pop-up about 'Billing' when submitting a manuscript

When submitting a manuscript to a Springer journal, you will see a pop-up about 'Billing'. This can happen if it's a Springer's OpenChoice journal. (These are journals that charge a fee.)

If you're using your RU/Radboudname e-mail address, you can ignore this pop-up.

 **WILEY** No charge for publishing in Wiley's OnlineOpen journals

Corresponding authors from a Dutch university or UMC may publish primary research and review articles open access in any of Wiley's hybrid, **OnlineOpen** journals at no charge to the author until 2019.


Are Wiley's full open access journals also part of this deal?
Wiley also publishes 60 full open access journals; these journals are not covered in this agreement. A researcher can, of course, still make an individual decision to publish in one of the 60 full open access journals. As these journals are not part of the Big Deal, the APCs will still need to be paid by the researcher.

How to receive this discount: The workflow for using this free open access publishing option isn't the same for all Wiley journals. Make sure that you use the email address of your institution at any time when having contact with Wiley and during the submitting process. Wiley uses this address to detect if you are eligible for the open access deal of VSNU.

Wiley has made a flyer explaining the **standard workflow** on how to select the free open access option after your paper is accepted. A link to the PDF can also be found in the box below (*How to indicate that you want to publish open access*).


For **some journals, this standard workflow doesn't apply**. On the left below (under *Which journals are covered by this deal*) you can find an Excel list of these journals. If you aim to publish in one of these journals, please **contact our open access service desk** at openaccess@ubn.ru.nl.

Which journals are covered by this deal?

- Wileys hybrid OnlineOpen journals
Opens an Excel document which lists the covered journals.
-  List of journals with non-standard workflow
This list contains all journals for which the standard workflow doesn't apply. Contact the RU open access service desk for more information (openaccess@ubn.ru.nl).

How to indicate that you want to publish in open access?

You must clearly specify that you would like your article to be published in open access. You can do that **after** you are notified that your article is accepted.

-  Wiley flyer workflow OA deal
This flyer provides a detailed explanation on how to indicate that you want to make use of the Open Access Deal with the VSNU.

Addendum 2: how to find oa *journals*: oa journal browser

Link: <https://library.wur.nl/WebQuery/rubrowser?q=>

Browser also found in Library Guide:

Clinical Nutrition

Search

?

For a selected journal, check the information on costs for Open Access publishing by clicking on its title in the list below. This gives you an overview of Open Access publishing options, any discounted Open Access costs and impact metrics for the selected journal.

Click to expand the list of titles starting with: "Clinical Nutrition"

Records 1 - 20 / 28

Check title to add to

marked list

<input type="checkbox"/>		Chinese Journal of Clinical Nutrition ISSN: 1008-5882 Zhonghua yi xue hui Beijing fen hui - Nutrition and Dietetics
<input type="checkbox"/>		Clinical Nutrition ISSN: 0261-5614 (1532-1983) Elsevier Nutrition & Dietetics - Critical Care and Intensive Care Medicine - Nutrition and Dietetics
<input type="checkbox"/>		Clinical Nutrition ESPEN ISSN: 2405-4577 - Nutrition and Dietetics - Endocrinology, Diabetes and Metabolism

OnlineOpen journals

no author's fee for Open

hybrid journals

free OA in 38 journals

Free OA in most journals in 2019-2020

30 vouchers for free OA

Free OA in hybrid and full OA journals

Search

Clinical Nutrition

Elsevier

1982-

ISSN: 0261-5614 (1532-1983)

Nutrition & Dietetics - Critical Care and Intensive Care Medicine - Nutrition and Dietetics

Journal Home Page

APC costs unknown

Impact

Scopus Journal Metrics (2017)

Top 10%

SJR: 1.905

SNIP: 1.815

Impact (Scopus CiteScore): 4.18

Quartile: Q1

CiteScore percentile: 93%

CiteScore rank: 6 out of 84

APC Discount

For this journal no deals have been made concerning APC discount

More information on Open Access publishing

Green Open Access

Sherpa/Romeo info

Author can archive pre-print (ie pre-refereeing)

Author can archive post-print (ie final draft post-refereeing)

Author cannot archive publisher's version/PDF

Authors pre-print on any website, including arXiv and RePEC

Author's post-print on author's personal website immediately

Author's post-print on open access repository after an embargo period of 12 months



American Journal of Clinical Nutrition

Oxford University Press

1954-

ISSN: 0002-9165 (1938-3207)

Nutrition & Dietetics - Nutrition and Dietetics - Medicine (miscellaneous)

APC Discount

Researchers from **WUR, UvA, VU, RUG, RU, UT, UU, EUR, UM, UL, OU** and **TIU** will receive a 100% discount on the Article Processing Charges that need to be paid by a first or corresponding author to publish open access in this journal.

[More information on this Oxford University Press deal.](#)

This deal is valid until 2020-12-31.

[More information](#) on Open Access publishing

Last updated: 2019-01-15

Green Open Access

Sherpa/Romeo info

Author **can** archive pre-print (ie pre-refereeing)

Author **can** archive post-print (ie final draft post-refereeing)

Author **cannot** archive publisher's version/PDF

- Author's pre-print can only be posted prior to acceptance
- Author's pre-print must be accompanied by set statement (see link)
- Author's pre-print must be replaced with a link to published version with amended set statement should be made
- Author's pre-print on author's personal website, employer website, free public server or pre-prints in subject area
- Author's post-print in Institutional repositories after 12 months embargo
- Published source must be acknowledged
- Must link to publisher version with set statement (see policy)
- NIH authors only will have their article deposited on their behalf to PubMed Central
- Non-commercial use only