



Original research papers

# Bark beetle infection of spruce differs between Belgium and north France : a remote sensing analysis of 2016-2021 dieback

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## Abstract

Following the droughts of 2018 to 2020, numerous norway spruce diebacks were caused by bark beetles (*Ips typographus*/ *Pityogenes chalcographus*) outbreaks in Wallonia and in the Vosges. A methodology for detection the health status of spruce was developed based on satellite imagery from the European Union's Earth Observation Programme. The time series of satellite images allowed the modelling of the spectral response of healthy spruce forests over the seasons.

Deviations from this seasonal vegetation index trajectory for a healthy spruce stand are caused by a decrease in photosynthetic activity of the forest canopy. This decrease in photosynthesis is caused by a bark beetle attack and is detected automatically. This technique, inspired by the work of INRAE, is robust because of the redundancy of the information from the spatial images, which are repeated every 3-4 weeks. The method results in the production of annual maps of the health status of the Walloon and Vosges spruce forests.

The most important damage occurred in the years 2018-2019, affecting 2.8% of the total area of spruce stands in Wallonia. Although the main part of the crisis seems to be behind us, it remains to draw the necessary conclusions. The relationship between climatic conditions and the presence of the bark beetle has proven to be complex in Wallonia. Nevertheless, a very strong relationship between altitude and the presence of bark beetle damage could be demonstrated. Stands below 300m in altitude were indeed much more affected. Moreover, forest sites located on steep slopes (>20%), whether on cold or warm slopes, are more affected than sites located on low slopes (plateaus).

In the Grand-Est, the peak of the crisis has been reached in 2019-2020. Altitude and slopes are not strongly influencing factors for spruce dieback. Forest sites attacked by bark beetles are different in these two nearby regions

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## 1. Introduction

Norway spruce (*Picea abies* L. Karst) occurs widespread throughout central and western Europe. Since the beginning of the 19<sup>th</sup> century, this species has been used to reforest European forests ([1]). These massive reforestations have generally led to the formation of pure stands. The spruce is one of the most important economic plant species in Europe ([2]). The spruce is a species that is naturally present in part of the Grand-Est and artificially in Wallonia.

Cette essence résineuse a besoin d'eau et supporte mal les sécheresses. Dans le cadre des changements globaux,

- Aire de répartition de l'épicéa
- Scolyte description générale, plus précision typographe chalcographe
- Evolution des dégâts liés au scolyte dans le monde
- Début de la crise en Wallonie + Vosges en 2018

## 2. Material and methods

### 2.1. Study area

The study area was located in the south of Belgium and in the north east of France. We study 2 regions: Wallonia and Grand-Est. These two regions are covered by 7 Sentinel-2 tiles (6 tiles for the Wallonia and 1 for the Vosges).

In Wallonia, the altitude varies between 100 and 700m. The Walloon forest covers 554 600 Ha. The Norway spruce stand occupied 139 600 Ha [3]. For this study, we selected only spruce trees over 15 m and we have worked on Ha. Two thirds of the Walloon spruce forest is located above 400m altitude. The Walloon climate is continental ([4]). Over the 1989-2020 period, the average temperature was 9,7°C et the average sum of rainfall was 1118mm. During 2018, the average temperature was 9,6°C et the average sum of rainfall was 919 mm (data of Institut Royal Météorologique).

In the Grand-Est, the elevation is between 100m and 1300m. The Grand-est forest occupies 1 939 000 ha. The Norway spruce forest covers 136 000 Ha ([5]). In this study, we worked on 125,000 ha of spruce in this region.

The majority of Norway spruce stand of this region grows between 400m and 900m. During the 2012-2020 period, the average temperature was 9,6°C et the average sum of rainfall was 1347mm. During the year 2018, the average temperature was 10,2°C et the average sum of rainfall was 1233 mm.

For the growing season, the temperature and precipitation averages show that 2018 was drier and warmer than the 30-year average (Figure 1).

### 2.2. DEM and Slope orientation

We have used the digital surface model (DEM) data from the Copernicus Land Monitoring Service [6] at a resolution of 25mX25m for all elevation data and slope calculations.

Solar orientation influences bark beetle capture in pheromone traps ([7]). We determined this solar orientation using the Delvaux and Galoux definition of the 3 radiative sub-sectors ([8]):

- Plateau: plateau and low slope (slope less than 12° or 20)
- cold slope: slope greater than 12° or 20
- warm slope: slope greater than 12° or 20

Based on this definition and on the DEM, we produced radiative sub-sector maps for Wallonia and the Grand-Est.

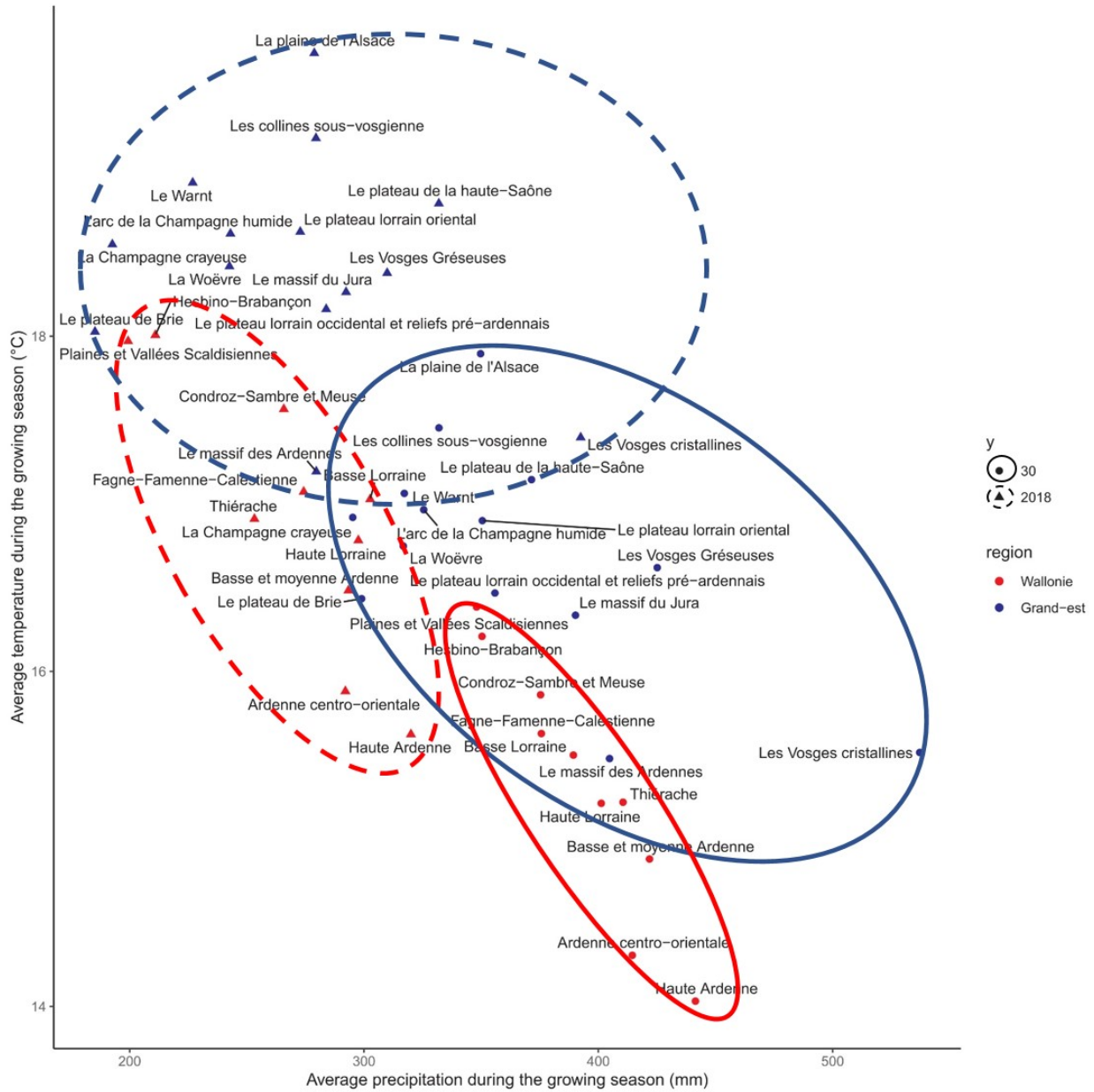


Figure 1

### 2.3. Mapping of spruce dieback and mortality by analysis of sentinel-2 time-serie

The European Union's earth observation programme, with its satellite twin constellation Sentinel-2A and Sentinel-2B, provides free earth imagery with a high revisit time. Sentinel-2 (S2) satellites carry multispectral sensor with a ground resolution up to 10 m. S2 imagery have been intensively used recently for forestry purpose, including for the monitoring of bark beetle outbreaks. Low and Koukal [9] have modelled phenology courses of vegetation indices to detect forest disturbances. They have properly mapped Bark beetle infestation in Austrian spruce stands. Ali *et al.* [10] have used multi-years time series remote sensing data in order to detect early bark beetle infestation in Germany. They have highlighted the potential of S2 data for the production of reliable infestation maps. Barta *et al.* [11] have studied spectral trajectories of nine bands and six vegetation indices from S2 imagery for the 2018 vegetation season. They have confirmed the superiority of multi-date data for the classification by Random Forest of infested stands in the Czech Republic.

In this present research, the detection of bark beetle infestation is realized by using dense time series of S2 imagery following the methodology developed by Dutrieux *et al.* [12]. Vegetation changes are tracked by means of a phenology metric, the *SWIR Continuum Removal* ( $SWIR_{CR}$ ) indice. All S2 acquisitions are used in the analyses, provided that the cloud cover do not exceed 35 percent. Bottom Of Atmosphere reflectance images (L2A product) are downloaded from the Theia data cluster [13] for all the 6 granules, which are tiles of 100km x 100km, that covers Wallonia. For north France, one single granule covers the Vosges mountains. The  $SWIR_{CR}$  is based on three spectral bands, the near-infrared, the shortwave infrared 1 band and the shortwave infrared 2, and is sensitive to the foliage water content (figure 2). Seasonal variation of  $SWIR_{CR}$  for healthy stand is modelled and a bark beetle attack is detected if the observations deviates from the healthy phenology trajectory. Figure 2 illustrates a time-serie of  $SWIR_{CR}$  observations (grey dots) for one pixel. In 2018, the observations goes beyond the threshold represented by the purple-dashed line, which shows that the spruce stand suffer from a serious stress induced by a bark beetle attack. A bark beetle outbreak is confirmed as soon as  $SWIR_{CR}$  vegetation indice show a stress for at least three consecutive times. In parallel to the detection of bark beetle stress, stand cutting and thinning are subject of particular attention. Bare soil is detected by using a combination of red, green and shortwave infrared reflectance values. Cutting are thus taken into account and are classified either as normal harvest cutting or as sanitary thinning based on the health status prior to the cutting. The analysis of image time-serie is thus quite straightforward and is performed individually pixel per pixel starting from the 2016 year, which is the beginning of S2 acquisitions. The dense time-serie covers the 2016-2021 period and count a minimum of 180 acquisition dates. The health status is summarized in annual health maps by means of four classes ; healthy, bark beetle attached, cutted and sanitary thinning.

Our approach of bark beetle detection is only suitable for spruce, as it is closely related to the phenological course of healthy spruce forest. An essential prerequisite is thus to have a proper mapping of spruce stands. For the south of Belgium, we use existing reliable composition maps [14] computed from remote sensing data in order to restrict our analysis to spruces. In Vosges mountains, the composition map comes from the French Mapping agency (Forest BD version 2). Composition of forest stand is determined by photointerpretation and forest stands identified as "spruce or fir" serve as starting point to limit our analysis. Time series are a convenient means to track phenology changes. More broadly than the detection of bark beetle infestation, phenology courses are highly suitable for forest tree species discrimination [15, 16, 17]. We have used S2 spectral bands courses along the vegetation season to refine the determination of species present in the area interpreted as "spruce or fir" in Vosges. The objective is to identify and remove every area that are not spruce stand, as pixels located on others species than spruce are likely to be wrongly detected as a bark beetle attack. All S2 spectral bands were first summarized for each of the four trimesters of the year, by simply averaging all observations occurring during the trimester. Then, a Random Forest algorithm was trained on these synthetic intra-annual time serie to discriminate spruce from non-spruce pixels, based on a training set of observation from Belgium [14]. Eventually, this Random Forest classifier was applied on "spruce and fir" area of Vosges and bark beetle detection was carried on only for pixels detected as spruce.

### 2.4. Data analysis

Since 2018, massive bark beetle attacks killing spruce trees have been occurring in Wallonia. Following these events, foresters have asked themselves about certain topographical factors that seem to have strongly influenced bark beetle attacks. The spruce forests located at low altitude seem to have been more affected as well as the stands located on southern slopes.

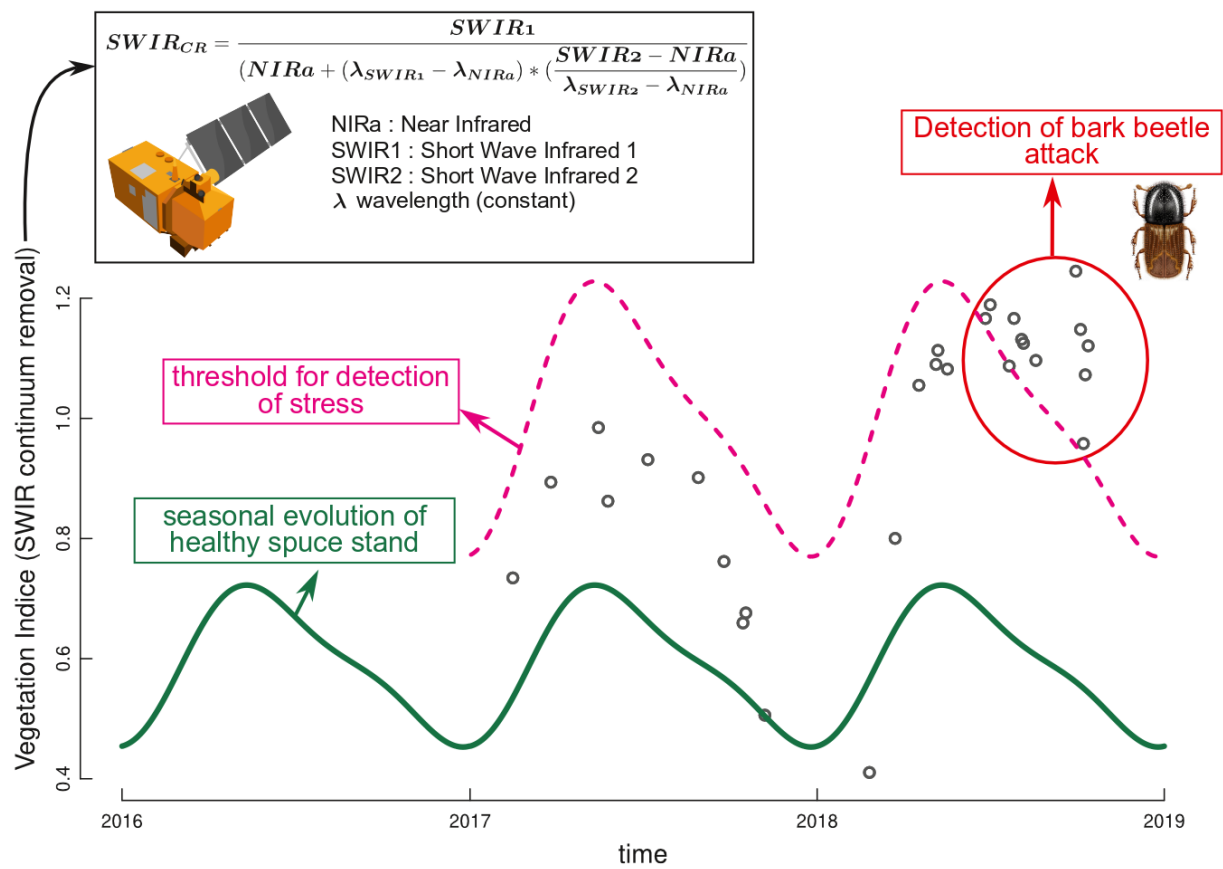


Figure 2: Bark beetle infestation map are computed by detecting change in the  $SWIR_{CR}$  phenology metric. The  $SWIR$  Continuum Removal is computed using three bands from Sentinel-2 imagery for every single acquisition date and his value is compared to a threshold (purple dashed line) in order to detect vegetation stress. If a stress is detected three consecutive times, we assume that a bark beetle infection occurred.

To characterise the bark beetle attacks, we applied the random forest method to select the two topographic factors that most influenced the bark beetle attacks. These two factors are altitude and sub-radiation. We broke down the altitude by 100m classes and kept the three sub-sector classes defined by Delvaux and Galoux (1972).

Then, in order to determine the classes of these factors most impacted by the bark beetle, we estimated the bark beetle areas for each class of each factor based on the health status maps for each year of the period 2016-2021.

The spruce health map was subdivided into 50\*50m tiles (25 pixels of 10X10m) comprising at least 17 pixels of 10mX10m spruce. A tile is considered to be barked when at least 3 out of 25 pixels are barked.

For each tile, elevation class, sub-sector and the sanitary status were extracted.

We calculated the ratio of the number of tiles attacked by bark beetle in a class divided by the total number of tiles in the class (probability of occurrence) for each of the elevation and sub-sector classes.

- Random forest
- test de student
- the probability of presence of bark beetles The probability of bark beetle presence is the area affected by bark beetle attacks on the total spruce area.

### 3. Results

#### 3.1. Elevation vs bark beetle presence

The variation of the probability of presence of bark beetles for Wallonia and Grand-Est for the period 2017-2021 is described in the figure 3. The altitude has been subdivided into the same 12 elevation classes for both regions. The graphs corresponding to the variation of the probability of presence in Wallonia are in the upper part of the figure and those for the Grand-Est in the lower part.

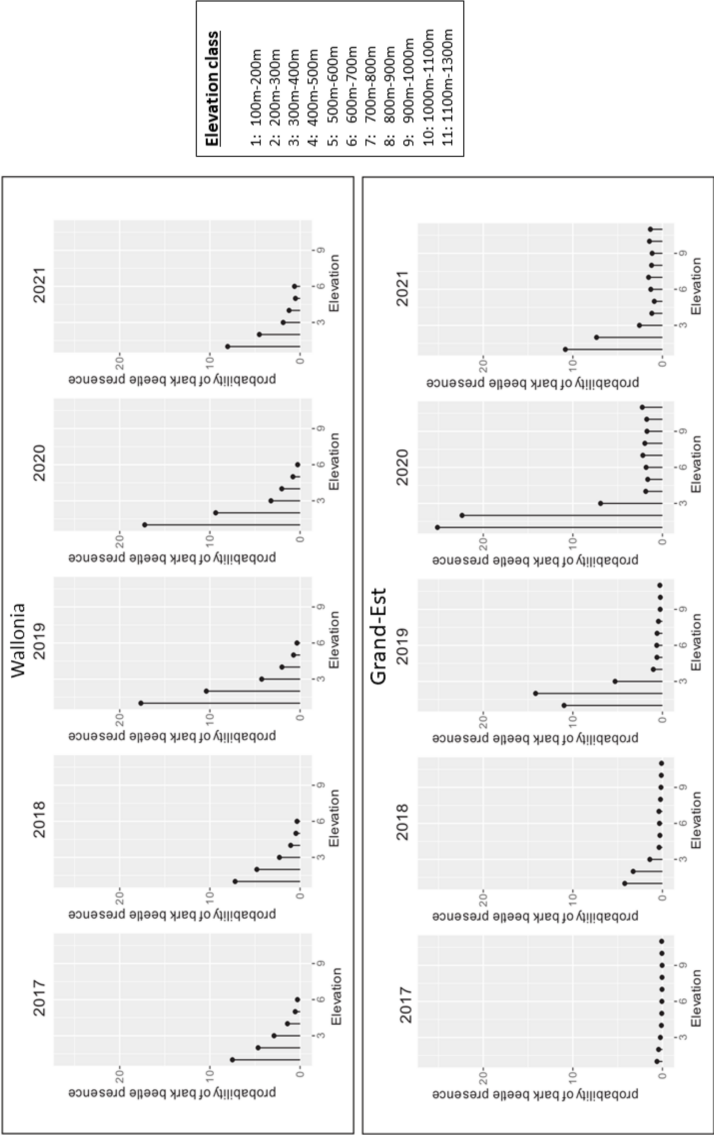


Figure 3: XXXXXAPragrapheprecedent.

For the Walloon spruce stand, there is an increase of the probability de presence of bark beetle for all altitude classes until 2020. In 2021, the probability of bark beetle presence decrease for all altitude classes.

This figure also shows that the bark beetle crisis started in 2018. Indeed, during this year a strong increase in the 100-200m and 200-300m altitude classes is observed. These two altitude classes are more affected during this crisis. The decrease the probability of bark beetle presence follow an altitudinal gradient. The higher the norway spruce stand grows at an altitude, the lower the the probability of bark beetle presence.

For the Grand-Est region, there is an increase in the probability of bark beetle presence between 2019 and 2021. Unlike the Walloon spruce forest, there is no clear altitudinal gradient in the Vosges. As in Wallonia, the 200-300m altitude class is strongly affected by the bark beetle. The probability of presence decreases along an altitudinal gradient between the altitude classes 200-300 and 400-500m. However, above 500m the probability of bark beetle increases up to the altitude class 700-800m.

- Description figure ??
- augmentation de la probabilité de présence jusqu'en 2020 et diminution en 2021
- Wallonie: Diminution de la probabilité de présence de scolyte avec l'augmentation de l'altitude
- Vosges pas de relation clair avec l'altitude. Cependant, les classes d'altitude 2, 11 et 12 semblent + touchées que les autres classes d'altitude
- Wallonie + Vosges: Augmentation de la probabilité de présence de scolyte avec le temps quelque soit la classe d'altitude.

### 3.2. Sous-secteur radiatif vs probabilité de présence de scolyte

As the bark beetle develops more rapidly in warmer areas [18], we studied the evolution of the surface area of spruce affected by bark beetles as a function of the radiative sub-sector.

The peak of bark beetle affected area is reached in 2019 for Wallonia and in 2020 for the Grand-Est. This graph also shows that proportionally the spruce forests of the plains of the Great East were more affected than the other sub-sectors in both the Grand-Est and Wallonia.

In Wallonia, the most significantly affected sub-sectors are the slopes, and there is also a significant difference between the southern and northern slopes.

Conversely, in the Far East it is the plateaus that are significantly more affected than the slopes.

## 4. Discussion

### 4.1. Différence entre Vosges et Wallonie

- Différence climat (Climat semicontinental/montagnard vs climat tempéré océanique)
- Différence sylvicole ( Wallonie futaie régulière exploitable vs Vosges peuplement + mélangé et moins exploitable en haute altitude)
- Sommet des vosges épicéas endémiques vs épicéas en plantations (résilience peuplement )
- adaptation ep à condition plus rude en versant sud que nord
- meilleur surveillance des forestiers sur versant sud que nord

### 4.2. Facteur déterminant l'attaque par l'épicéa ou le scolyte

- Discussion généralisation de modèle scolyte/ dépérissement des épicéas
- est ce la Biologie du scolyte/ ou le stress de l'épicéa qui conditionne le dépérissement massif ?



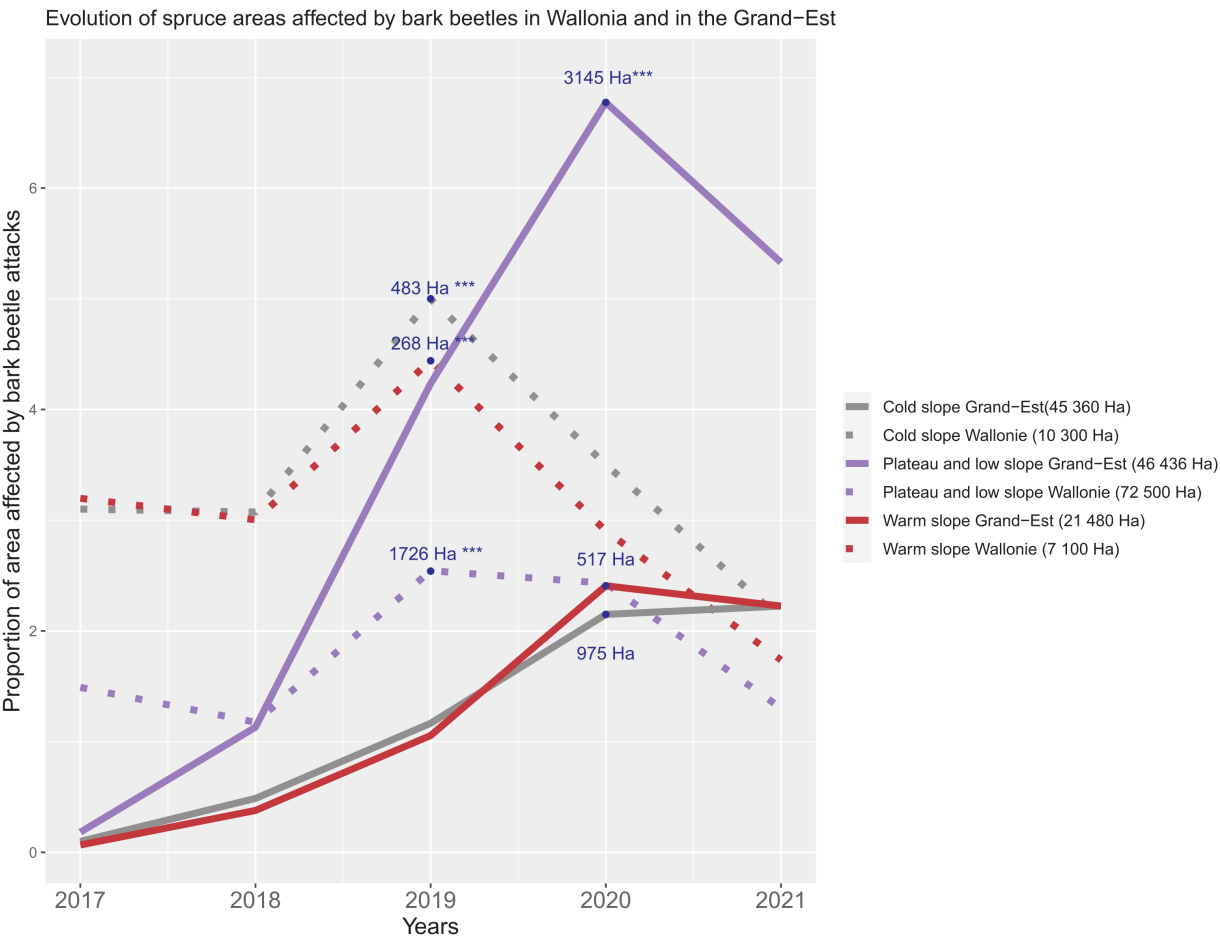


Figure 4: XXXXAPragrapheprecedent.

## 5. Conclusion

Dépérissement différents pour les Vosges et la Wallonie.

## 6. Figure

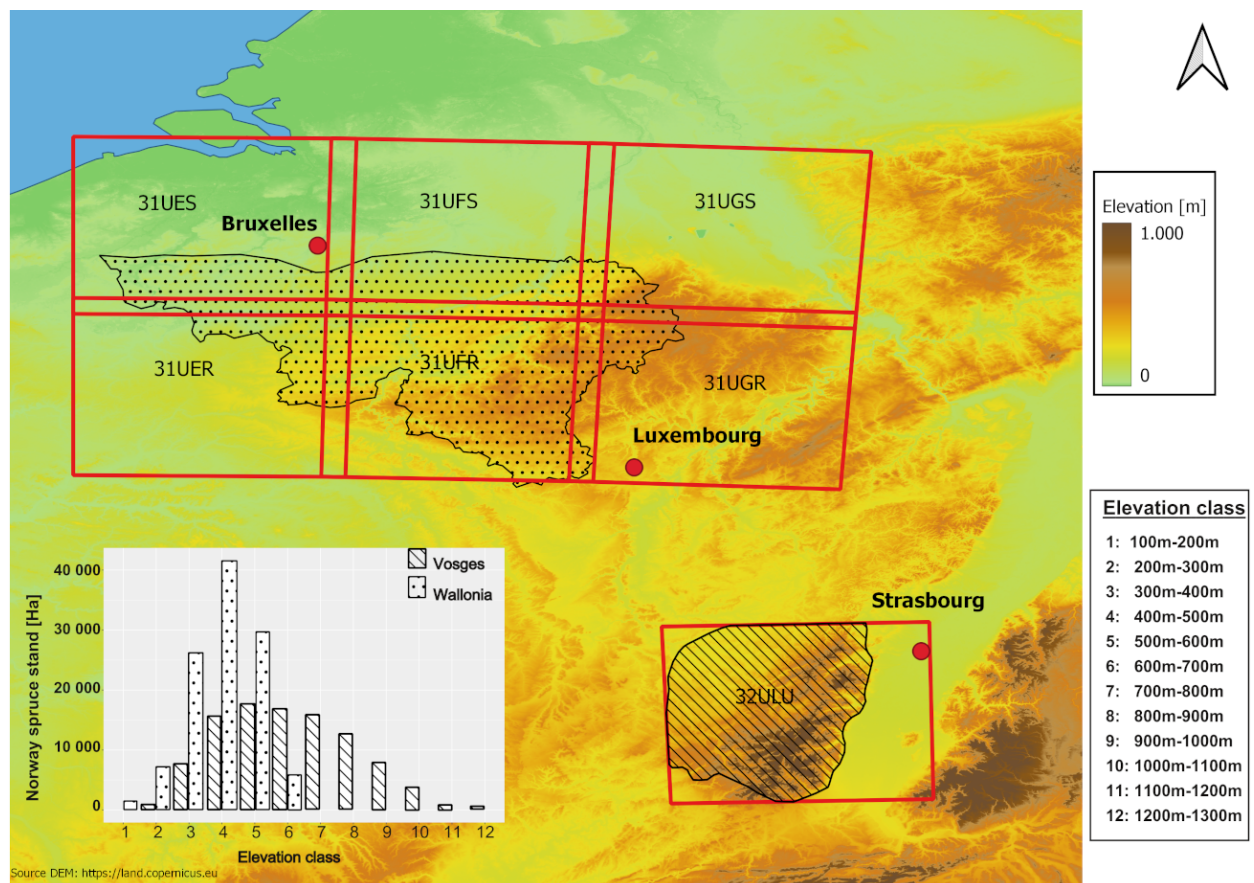


Figure 5: Zones d'études avec le MNT (XXX) et les tuiles du satellite Sentinelle 2 employées(XXX légende carré rouge).

%endfigure

## 7. Acknowledgements

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