#### CAIM Lab, Session 8: Locality Sensitive Hashing

In this session:

- We'll use a python implementation of locality sensitive hashing for images of 8x8 pixels
- We'll compare Ish with exhaustive search
- We'll see the effect that tuning parameters k and m has over speed and accuracy of the lsh method

## 1 Introduction

In the following sections we describe a simple implementation of the locality sensitive hashing technique seen in class. In particular, in the file lsh.py you can find the class "lsh" implementing this technique for a dataset of 1797 images representing hand-written digits.

Your task is to write simple scripts that make use of this class to test the efficacy and accuracy of lsh for finding nearest neighbors of distorted images in this dataset.

# 2 The digits dataset

For this lab session we use the *digits* dataset from the file 'images.npy'. You can load this dataset using numpy's load function:

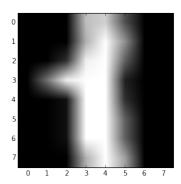
```
data = numpy.load('images.npy')
```

This loads the images into the data variable, which is a numpy array of 1979 images. Each image is a  $8 \times 8$  bi-dimensional numpy array encoding 64 pixels with integers between 0 and 16. As an example, if one accesses the second image in this list, one gets:

```
>>> data[1]
array ([
                    0.,
                             0.,
                                   12.,
                                           13.,
                                                            0.,
                                                                    0.],
             0.,
                                                    5.,
                    0.,
                            0.,
                                   11.,
             0.,
                                           16.,
                                                    9.,
                                                            0.,
                                                                    0.],
                    0.,
             0.,
                            3.,
                                                    6.,
                                   15.,
                                           16.,
                                                            0.,
                                                                    [0.1]
                     7.,
             0.,
                           15.,
                                           16.,
                                   16.,
                                                            0.,
                                                                    [0.1]
                                                    3.,
             0.,
                     0.,
                            1.,
                                   16.,
                                                            0.,
                                           16.,
                                                                    0.1.
                                                            0.,
             0.,
                             1.,
                                   16.,
                                           16.,
                                                    6.,
                                                                    0.],
             0.,
                                                            0.,
                             1.,
                                   16.,
                                           16.,
                                                    6.,
                                                                    0.],
                             0.,
                                   11.,
```

which corresponds to the following image representing the digit 1

```
>>> import pylab
>>> pylab.imshow(data[1], cmap=pylab.cm.gray)
```



# 3 LSH for the digits dataset

To represent images as strings of bits, we view each image as a flat array of 64 integers (the "pixels"), each with a value between 0 and 16. We represent each pixel as a bitstring of length 16 using the unary encoding. For example, the second image shown above is encoded in binary as the bitstring (spaces are only placed for clarity, and only the first row of pixels is shown):

This bitstring is never constructed, but it is useful to keep in mind that we represent images this way. Therefore, each image is represented with a bitstring of length 64x16 = 1024.

Following the technique seen in class, we use bit projections of these strings to construct our hash codes. Namely, we select bits uniformly at random (from 0 to 1023) as our hashing functions. To "amplify the gap" we select k bits randomly from 0 to 1023, and repeat this m times.

Therefore, when our class is initialized, the first thing we do is to create an  $m \times k$  matrix with the bits selected uniformly at random. This can be found in the \_\_init\_\_ function:

```
implements 1sh for digits database in file 'images.npy'
def = init_{-}(self, k, m):
    """ k is nr. of bits to hash and m is reapeats """
    # data is numpy ndarray with images
    self.data = numpy.load('images.npy')
    self.k = k
    self.m = m
    # determine length of bit representation of images
    # use conversion from natural numbers to unary code for each pixel,
    # so length of each image is imlen = pixels * maxval
    self.pixels = 64
    self.maxval = 16
    self.imlen = self.pixels * self.maxval
    # need to select k random hash functions for each repeat
    # will place these into an m x k numpy array
    numpy.random.seed (12345)
    self.hashbits = numpy.random.randint(self.imlen, size=(m, k))
    # the following stores the hashed images
    # in a python list of m dictionaries (one for each repeat)
    self.hashes = [dict()] * self.m
    # now, fill it out
    self.hash_all_images()
    return
```

The hashed images are stored into a list of m dictionaries. Notice that only the first 1500 images are hashed and stored, since we are going to use the last 279 remaining ones for testing the technique.

The function hashcode computes the hash code for the *i*'th repeat of a given image (viewed as a bitstring). In order to do that, one needs to compute the projection given by the *i*'th row of the hashbit matrix of the bit string representing the given image.

```
def hashcode(self, im, i):
    """ get the i'th hash code of image im (0 <= i < m)"""
    pixels = im.flatten()
    row = self.hashbits[i]
    str = ""
    for x in row:
        # get bit corresponding to x from image..
        pix = int(x) // int(self.maxval)
        num = x % self.maxval
        if (num <= pixels[pix]):
            str += '1'
        else:
            str += '0'
    return str</pre>
```

Finally, we provide the candidates function which, given an image, returns a set of possible nearest neighbors (namely, those image indices that fall into the same "bucket" as the input image for some of the m repeats)

```
def candidates(self, im):
    """ given image im, return matching candidates (well, the indices) """
    res = set()
    for i in range(self.m):
        code = self.hashcode(im, i)
        if code in self.hashes[i]:
            res.update(self.hashes[i][code])
    return res
```

### 4 Your tasks

To make sure you understand the code and the functioning of lsh, we have devised the following tasks for you to accomplish:

#### 4.1 Task 1: Understanding the code and basic functioning of lsh

In the "main" section of the lsh.py file, we have included the following code:

```
def main(argv=None):
    parser = argparse.ArgumentParser()
    parser.add_argument('-k', default=20, type=int)
    parser.add_argument('-m', default=5, type=int)
    args = parser.parse_args()

print ("Running lsh.py with parameters k =", args.k, "and m =", args.m)

me = lsh(args.k, args.m)

# show candidate neighbors for first 10 test images
for r in range(1500,1510):
    im = me.data[r]
    cands = me.candidates(im)
    print ("there are %4d candidates for image %4d" % (len(cands), r))

return
```

Make sure you understand the code, and invoke this script with different input parameters (vary k and m). Verify that you can answer the following questions:

- When increasing k, does the time it takes to execute this code vary? How?
- When increasing m, does the time it takes to execute this code vary? How?
- What happens to the size of the candidate set when increasing k? And when you increase m? Can you explain why?

#### 4.2 Task 2: Does lsh work?

Now it is time for you to start writing your own code. In this task, you are asked to compare lsh to brute-force search. Namely, you have to:

- Write a function that computes the *distance* between two input images. Use the  $l_1$ -distance, i.e., the sum of absolute values of differences between pixels in the input images (which, by the way, coincides with the Hamming distance for the representation of images we have chosen).
- Write a function that implements brute-force search, namely, given an input image, compute its distance to all images in the dataset (well, the first 1500) and outputs the one that is closest to it together with the  $l_1$ -distance value.
- Write a search function that, given an input image, uses the hashes to find the nearest neighbor. Namely, given an input image, invoke the candidates function provided, and return the closest image in the candidate set (together with the  $l_1$  distance). Notice that depending on the parameters k, m that you chose, you may have no candidates; in this case, your function should return None (python's null value).

Once you have implemented all these, try to measure how long it takes to find the nearest neighbor with both methods (brute force and lsh), and also the distance between the input image and the ones returned by brute-force and by lsh. You can play with the k and m parameters to find satisfactory answers.

### 5 Deliverables

Rules: 1. You can solve the problem alone or with one other person. 2. No plagiarism; don't discuss your work with others, except your teammate if you are solving the problem in two; if in doubt about what is allowed, ask us. 3. If you feel you are spending much more time than the rest of the group, ask us for help. Questions can be asked either in person or by email, and you'll never be penalized by asking questions, no matter how stupid they look in retrospect.

To deliver: You must deliver a brief report describing your results and the main difficulties/choices you had while implementing this lab session's work. You also have to hand in the source code of your implementations. If the report is done in pairs, only one needs to submit but both names have to be clearly stated in the report.

Procedure: Submit your work through the raco platform as a single zipped file.

Deadline: Work must be delivered by January 18th(groups 11/13)/19th(group 12). Late submissions risk being penalized or not accepted at all. If you anticipate problems with the deadline, tell me as soon as possible.