# Subspace-constrained deconvolution of auditory evoked potentials

Angel de la Torre, <sup>1, a</sup> Joaquin T. Valderrama, <sup>2, b</sup> Jose C. Segura, <sup>1, c</sup> Isaac M. Alvarez, <sup>1, d</sup> and Jesus Garcia-Miranda <sup>3, e</sup>

<sup>1</sup>Department of Signal Theory, Telematics, and Communications,

University of Granada, Granada, Spain

 $^2 National\ Acoustic\ Laboratories,\ Sydney,\ Australia$ 

<sup>3</sup>Department of Algebra, University of Granada, Granada,

Spain

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Auditory evoked potentials can be estimated by synchronous averaging when the responses to the individual stimuli are not overlapped. However when the response duration exceeds the inter-stimulus interval, a deconvolution procedure is necessary to obtain the transient response. The iterative randomized stimulation and averaging (IRSA) or the equivalent randomized stimulation with least squares deconvolution (RSLSD) have been proven to be flexible and efficient methods for deconvolving the evoked potentials, with minimum restrictions in the design of stimulation sequences. Recently, a latency-dependent filtering and down-sampling (LDFDS) methodology was proposed for optimal filtering and dimensionality reduction, which is particularly useful when the evoked potentials involve the complete auditory pathway response (i.e. from the cochlea to the auditory cortex). In this case, the number of samples required to accurately represent the evoked potentials can be reduced from several thousands (with conventional sampling) to around 120. In this article we propose to perform the deconvolution in the reduced representation space defined by LDFDS and present the mathematical foundation of the subspace-constrained deconvolution. Under the assumption that the evoked response is appropriately represented in the reduced representation space, the proposed deconvolution provides an optimal leastsquares estimation of the evoked response. Additionally, the dimensionality reduction provides a substantial reduction of the computational cost associated to the deconvolution. MATLAB/Octave code implementing the proposed procedures is included as supplementary material.

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Keywords: Auditory Evoked Potentials (AEPs); electroencephalogram (EEG); least squares (LS) estimation; iterative randomized stimulation and averaging (IRSA); randomized stimulation with least squares deconvolution (RSLSD); latency-dependent filtering and down-sampling (LDFDS).

<sup>&</sup>lt;sup>a</sup>Also at: Research Centre for Information and Communications Technologies (CITIC-UGR), University of

Granada, Granada, Spain. ORCID: 0000-0002-9736-5190.

<sup>&</sup>lt;sup>b</sup>Also at: Department of Linguistics, Macquarie University, Sydney, Australia. Electronic mail:

 $joaquin.valderrama@nal.gov.au,\ joaquin.valderrama@mq.edu.au.\ ORCID:\ 0000-0002-5529-8620.$ 

 $<sup>{}^{\</sup>mathbf{c}}\mathbf{Also}\ \mathrm{at:}\ \mathrm{Research}\ \mathrm{Centre}\ \mathrm{for}\ \mathrm{Information}\ \mathrm{and}\ \mathrm{Communications}\ \mathrm{Technologies}\ (\mathrm{CITIC\text{-}UGR}),\ \mathrm{University}\ \mathrm{of}$ 

Granada, Granada, Spain. ORCID: 0000-0003-3746-0978.

<sup>&</sup>lt;sup>d</sup>Also at: Research Centre for Information and Communications Technologies (CITIC-UGR), University of

Granada, Granada, Spain. ORCID: 0000-0001-5395-4797.

<sup>&</sup>lt;sup>e</sup>ORCID: 0000-0003-2528-0566.

#### 22 I. INTRODUCTION

Auditory Evoked Potentials (AEPs) are useful for the study of the auditory system, in
the context of hearing research, as well as in the context of clinical practice and diagnosis
(Burkard and Don, 2007). AEP recording usually includes the repetition of stimuli and the
averaging of the responses in order to improve the signal-to-noise ratio (SNR), usually too
low for an isolated response due to the small amplitude of the evoked potentials and the
presence of noise (Thornton, 2007).

When stimuli are presented in a repetitive sequence, the standard way for obtaining
the response from the electroencephalogram (EEG) is by synchronous averaging the available epochs (Thornton, 2007). However, synchronous averaging implies a restriction: the
inter-stimulus interval (ISI) must be longer than the response duration in order to avoid
overlapping of sequential responses. For this reason, the recording filters and the response
length are conventionally configured according to the AEP components to be recorded: for
example, auditory brainstem response (ABR) is recorded in the 100-3000 Hz band with a
response duration of around 10 ms; middle latency response (MLR) in the 10-300 Hz band
with a response duration of 100 ms; cortical auditory evoked potentials (CAEPs) in the 1-30
Hz band with a response duration of 1 s (Hall, 2007).

Recording AEPs at high stimulation rate, as well as the simultaneously recording of the responses from different portions of the auditory pathway, are relevant for both clinical and research purposes, since they allow the study of neural adaptation mechanisms (Gillespie and Muller, 2009; Thornton and Coleman, 1975; Thornton and Slaven, 1993; Valderrama

- et al., 2014c), or analyzing the response to complex stimuli more natural than repetitive sequences of clicks (de la Torre et al., 2020; Holt and Ozdamar, 2016; Kohl et al., 2019; Maddox and Lee, 2018; Martinez et al., 2021; Valderrama et al., 2019). However, if the ISI is shorter than the response duration, a deconvolution-based estimation of the AEPs (instead of synchronous averaging) is necessary to disentangle the overlapping responses (Bohorquez and Ozdamar, 2006; Eysholdt and Schreiner, 1982; Valderrama et al., 2014b).
- There are different deconvolution-based methods proposed in the literature for recovering 49 AEP responses: maximum length sequences (MLS) (Eysholdt and Schreiner, 1982; Thornton and Slaven, 1993), adjacent-responses (ADJAR) (Woldorff, 1993), quasi-periodic sequence deconvolution (QSD) (Jewett et al., 2004), continuous loop averaging deconvolution (CLAD) (Bohorquez and Ozdamar, 2006; Ozdamar and Bohorquez, 2006), linear deconvolution for 53 baseline correction (LDBC) (Lütkenhöner, 2010), randomized stimulation and averaging (RSA) (Valderrama et al., 2012), iterative randomized stimulation and averaging (IRSA) (de la Torre et al., 2019; Valderrama et al., 2014b, 2016) and randomized stimulation with least-squares deconvolution (RSLSD) (Bardy et al., 2014a,b,c; de la Torre et al., 2019). Among them, IRSA and RSLSD are particularly attractive because of the flexibility they provide for the stimulus design. While some methods require very specific stimulation sequences (like MLS) or a periodical repetition of a pseudo-random stimulation sequence (like CLAD), the IRSA and RSLSD deconvolutions only require the autocorrelation matrix of 61 the stimulation sequence to be invertible (situation usually verified in most practical situations) (Bardy et al., 2014a,c; de la Torre et al., 2019; Valderrama et al., 2014c, 2016). This less restrictive characteristic of IRSA and RSLSD not only provides more flexibility in the

- experimental design of audiological tests, but also the possibility of designing audiological experiments with more ecologically-valid stimuli (Burkard *et al.*, 2018; de la Torre *et al.*, 2019; Finneran *et al.*, 2019; Martinez *et al.*, 2021; Valderrama *et al.*, 2014c, 2019, 2016).
- In a previous study (de la Torre *et al.*, 2019), we demonstrated that the iterative IRSA procedure converges to the RSLSD solution (which supports the mathematical equivalence of IRSA and RSLSD methods) and we proposed a matrix-based implementation of this algorithm providing an efficient computation of the deconvolution of the AEP responses.
- More recently, we proposed the application of a latency-dependent filtering and down-72 sampling (LDFDS) to the AEP responses (de la Torre et al., 2020). This procedure provides an optimal filtering to the evoked responses and also a substantial reduction of the dimensionality required for representing them. LDFDS was reported to be particularly useful for processing the complete auditory pathway response, i.e. including brainstem, middle latency and cortical responses simultaneously. The underlying idea with LDFDS is that each portion of the evoked response involves a specific frequency bandwidth, and therefore an optimal filtering (and also an optimal down-sampling) should change dynamically with the latency, with wider bandwidth and higher sampling-rate at early latency (i.e. in the region of ABR) which progressively decrease as the latency increases (i.e. for the MLR and CAEP compo-81 nents). In this previous article, we demonstrated that LDFDS provides a significant noise reduction thanks to the latency-dependent filtering. Additionally, thanks to the latencydependent down-sampling, the complete auditory pathway response (including ABR, MLR and CAEP) usually requiring more than 10.000 samples at a constant sampling-rate, can be correctly represented after LDFDS with only 40 samples per decade (a decade is the interval

between a latency T and a latency  $10 \cdot T$ ), i.e. with around 120 samples. Therefore, the evoked response can be represented in the original signal representation, or equivalently in a reduced representation, requiring a significantly smaller number of samples (or components) in this last case.

While LDFDS was applied after the deconvolution in our previous work (de la Torre et al., 2020), in the current work we propose to perform the deconvolution (either with IRSA or with RSLSD) in the reduced representation given by LDFDS, i.e. we propose a deconvolution constrained to the subspace defined by LDFDS. This proposal implies two important differences. On one hand, as we discuss in the present work, the subspace-constrained deconvolution provides an optimal least squares estimation of the evoked response. On the other hand, since the IRSA algorithm involves iterative matrix products and RSLSD involves a matrix division, a substantial reduction of the problem dimensionality (typically from several thousands to around 100 or 200 dimensions) implies a substantial reduction of the computational cost in both deconvolution algorithms.

In this work we present the mathematical foundation of the LDFDS-based subspaceconstrained least squares (SC-LS) deconvolution as an optimal solution when the evoked
response is assumed to be contained in the associated subspace (i.e. properly represented
with LDFDS). We also discuss the quality of the proposed estimation (in terms of the expected energy of the estimation error), as well as the computational cost. The experimental
results, including both simulations and estimation of real AEP responses, illustrate the utility of the proposed subspace-constrained deconvolution for recording AEPs including the
response of the complete auditory pathway.

#### 109 II. SUBSPACE-CONSTRAINED DECONVOLUTION

# A. Least squares deconvolution

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In an AEP recording procedure, the EEG is usually modeled as a convolutional process (Jewett *et al.*, 2004; Ozdamar and Bohorquez, 2006):

$$y(n) = s(n) * x(n) + n_0(n)$$
(1)

where y(n), s(n) and  $n_0(n)$  are digital signals representing, respectively, the EEG, the stimulation sequence (consisting of one impulse at the beginning of each stimulation event), and
the noise affecting the EEG; n is the index for the samples  $(n \in \{0, ..., N-1\}$ , being Nthe number of samples of the EEG); x(n) represents the response evoked by each stimulus
(with x(n) null for n > (J-1), being J the length of the evoked response); and the asterisk
(\*) represents discrete time convolution.

This convolutional model can be rewritten using a matrix notation (de la Torre *et al.*, 2019):

$$\mathbf{y} = S\mathbf{x} + \mathbf{n}_0 \tag{2}$$

where  $\mathbf{y}$ ,  $S\mathbf{x}$  and  $\mathbf{n}_0$  are N-component column vectors (representing the EEG signal, the convolution of the stimulation signal with the response and the noise, respectively),  $\mathbf{x}$  is a J-component column vector representing the evoked response and S is a  $(N \times J)$  matrix (with N rows and J columns) with S(n,j) = s(n-j) providing the convolution s(n) \* x(n) as a matrix operation.

The deconvolution of  $\mathbf{y}$ , i.e. the estimation of the response  $\mathbf{x}$ , can be formulated either as an over-determined system of linear equations (with N equations and J unknowns, being

 $N \gg J$ ), in the context of linear algebra, or as a multiple linear regression problem, in the context of statistics (Gentle, 1998; Goldberger *et al.*, 1964; Hayashi, 2000; Lawson and Hanson, 1974). Assuming linearity and uncorrelated-stationary-white noise (i.e. if linearity, exogeneity and homocedasticity conditions are verified) the ordinary least squares (LS) solution provides a minimum-variance unbiased estimation of the response (Hayashi, 2000). The LS criterion minimizes the sum of the squared residuals, or equivalently the squared distance between the EEG and the expected convolution:

$$\hat{\mathbf{x}}_{LS} = \underset{\mathbf{x}}{\operatorname{arg\,min}} \|\mathbf{y} - S\mathbf{x}\|^2 \tag{3}$$

and the solution derived from this criterion, i.e. the LS deconvolution, is (Gentle, 1998;
Hayashi, 2000; Press *et al.*, 2002):

$$\hat{\mathbf{x}}_{LS} = \left(S^T S\right)^{-1} S^T \mathbf{y} \tag{4}$$

where  $S^T$  is the transpose of S.

By defining the matrix  $S_k$  as the normalized and transposed of S, (i.e.  $S_k = S^T/K$ ,
where K is the number of impulses in the stimulation sequence), and taking into account
that  $R_s = S_k S$  is the normalized  $(J \times J)$  autocorrelation matrix of the stimulation sequence s(n), the LS deconvolution can be rewritten as:

$$\hat{\mathbf{x}}_{LS} = R_s^{-1} S_k \mathbf{y} = R_s^{-1} \mathbf{z}_0 \tag{5}$$

where  $\mathbf{z}_0 = S_k \, \mathbf{y}$  is a J-component vector obtained as the synchronous averaging of the EEG.

The derivation of the LS estimation is detailed in section 1 of the supplementary materials<sup>1</sup>.

The LS estimation of the evoked response, requires the synchronous averaging of the EEG

( $\mathbf{z}_0$ ) and the inversion of the  $(J \times J)$  normalized autocorrelation matrix of the stimulation

sequence  $(R_s^{-1})$ . This LS estimation can be obtained by matrix division (as proposed in RSLSD (Bardy *et al.*, 2014a,b,c)). Alternatively the IRSA procedure (de la Torre *et al.*, 2019) proposes an iterative LS estimation of the response according to the following recursion:

$$\hat{\mathbf{x}}_i = \hat{\mathbf{x}}_{i-1} + \alpha \mathbf{z}_{i-1} \tag{6}$$

$$\mathbf{z}_i = \mathbf{z}_0 - R_s \,\hat{\mathbf{x}}_i \tag{7}$$

where  $\alpha$  is a convergence parameter that must be small enough ( $\alpha < 2/\max \lambda_i$ , being  $\lambda_i$  the eigenvalues of  $R_s$ ) in order to guarantee the stability of the algorithm.

# B. Latency-dependent filtering and down-sampling

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Since each portion of the evoked response requires a specific bandwidth (range 100-152 3000 Hz for ABR; 10-300 Hz for MLR; 1-30 Hz for CAEPs) a latency dependent filtering 153 was proposed by (de la Torre et al., 2020) for optimally filtering the AEP responses. The 154 latency-dependent filtering is implemented as a matrix operator, where the impulsive re-155 sponse changes from row to row, in order to adapt to the bandwidth required at each 156 latency (lower cut-off frequency as the latency increases). Moreover, since the bandwidth 157 changes with the latency, according to the sampling theorem, the sampling rate can also be 158 adapted to optimal values at each specific latency. The latency-dependent down-sampling 159 can easily be implemented by appropriately selecting specific rows of the latency-dependent 160 filtering matrix. 161

This way, the latency-dependent filtering and down-sampling (LDFDS) is implemented by means of a  $(J_r \times J)$  matrix  $V_r$ , with J being the dimensionality of the representation space of the original AEP response and  $J_r$  that of the reduced representation space (i.e. after the filtering and down-sampling). The reduced representation (with  $J_r$  components) of the LS deconvolution is obtained by multiplying the LDFDS matrix  $V_r$  and the J-component original vector  $\hat{\mathbf{x}}_{LS}$  (representing the LS estimation of the AEP response):

$$(\hat{\mathbf{x}}_{LS})_r = V_r \, \hat{\mathbf{x}}_{LS} \tag{8}$$

With the proposed procedure, the noise out of the frequency bands of interest is efficiently 168 removed, and the dimensionality is reduced typically from several thousands of samples to 40 169 samples per decade (around 120 samples for accurately representing the complete auditory 170 pathway response). Additionally, the rows of the LDFDS matrix are orthonormalized, which 171 preserves the metrics (i.e. the distances and energies) in the reduced representation space. 172 The orthonormality of the rows allows the recovery of the optimally latency-dependent fil-173 tered response in the original representation (at the original sampling rate and with J com-174 ponents),  $\hat{\mathbf{x}}_{ldf}$ , by multiplying the reduced representation and the transpose of the LDFDS 175 matrix: 176

$$\hat{\mathbf{x}}_{ldf} = V_r^T (\hat{\mathbf{x}}_{LS})_r \tag{9}$$

# C. Subspace-constrained least squares deconvolution

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If we assume that the response to be estimated  $\mathbf{x}$  is appropriately represented with the reduced representation  $\mathbf{x}_r$  given by  $V_r$ , (or equivalently, if the latency-dependent filtering provided by  $V_r$  is appropriate for the evoked response  $\mathbf{x}$ ), then we can write:

$$\mathbf{x} = \mathbf{x}_{ldf} = V_r^T \, \mathbf{x}_r \tag{10}$$

and the convolutional model provided in equation (2) can be rewritten as:

$$\mathbf{y} = S V_r^T \mathbf{x}_r + \mathbf{n}_0 \tag{11}$$

This equation is mathematically similar to equation (2), with the following differences: 182 (i) the unknowns is the  $J_r$ -component vector  $\mathbf{x}_r$ , instead of the J-component vector  $\mathbf{x}$ ; and 183 (ii) it involves the  $(N \times J_r)$  matrix  $(S V_r^T)$ , instead of the  $(N \times J)$  matrix S. The equation 184 (11) can be again formulated as another over-determined system of linear equations, or as 185 a multiple linear regression problem, with the difference of the significant dimensionality 186 reduction provided by LDFDS. This dimensionality reduction implies that the convolution 187 problem is constrained to the subspace associated to the matrix  $V_r$ , or equivalently, the 188 solution of the system of equations is forced to be in the subspace of responses compatible with the latency dependent filtering, with  $J_r$  freedom degrees (instead of J). The formal LS 190 solution is similar to that in equation (4), but using  $(S V_r^T)$  instead of S: 191

$$\hat{\mathbf{x}}_{rLS} = \left( (S V_r^T)^T (S V_r^T) \right)^{-1} (S V_r^T)^T \mathbf{y} =$$

$$= \left( V_r S^T S V_r^T \right)^{-1} V_r S^T \mathbf{y} =$$

$$= \left( V_r R_s V_r^T \right)^{-1} V_r S_k \mathbf{y} =$$

$$= \left( V_r R_s V_r^T \right)^{-1} V_r \mathbf{z}_0 = R_{sr}^{-1} \mathbf{z}_{0r}$$

$$(12)$$

where  $R_{sr}$  and  $\mathbf{z}_{0r}$  are, respectively,  $R_s$  and  $\mathbf{z}_0$  projected into the subspace. According to this equation, the subspace-constrained LS deconvolution of the EEG can be obtained with the following steps: (i) the autocorrelation matrix  $R_s$  and the synchronous averaging of the EEG  $\mathbf{z}_0$  must be transformed to the subspace using the transformation  $V_r$ ; (ii) the autocorrelation matrix in the reduced representation must be inverted; and (iii) the inverted reduced autocorrelation matrix must be applied to the reduced synchronous averaging. As
can be observed, the LS deconvolution in (12) is similar to that in equation (5), with the
difference that the problem is solved in the reduced representation space.

Since it is assumed that the LS solution is contained in the subspace defined by  $V_r$ ,
this procedure requires that the evoked response is correctly described in this subspace.
Otherwise, the procedure will provide a biased solution, as discussed in the section 2 of the
supplementary materials<sup>1</sup>.

Interestingly, since the matrix to be inverted has a size  $(J_r \times J_r)$  instead of  $(J \times J)$ , the subspace-constrained deconvolution provides a substantial reduction of the computational load. Moreover, since the solution is expected to be contained in the subspace, the subspace constrain and the LS criterion guarantee that the  $\hat{\mathbf{x}}_{rLS}$  solution is closer to the evoked response  $\mathbf{x}$  than the non-constrained solution  $\hat{\mathbf{x}}_{LS}$ .

As in the case of the original representation space, the subspace-constrained LS deconvolution can be implemented with matrix division as proposed for RSLSD. Alternatively, it can be implemented with the IRSA recursion constrained to the subspace, i.e. using  $R_{sr}$ and  $\mathbf{z}_{0r}$  instead of  $R_s$  and  $\mathbf{z}_0$  in equations (6) and (7):

$$\hat{\mathbf{x}}_{ir} = \hat{\mathbf{x}}_{i-1r} + \alpha \mathbf{z}_{i-1r} \tag{13}$$

$$\mathbf{z}_{ir} = \mathbf{z}_{0r} - R_{sr} \,\hat{\mathbf{x}}_{ir} \tag{14}$$

213 and the demonstration of the IRSA convergence to the LS solution is similar in both the 214 original and the reduced representation space.

# D. Energy of the error in the estimated response

Taking into account equations (2) and (5) we can write:

$$\hat{\mathbf{x}}_{LS} = R_s^{-1} S_k \mathbf{y} = R_s^{-1} S_k (S \mathbf{x} - \mathbf{n}_0) =$$

$$= R_s^{-1} R_s \mathbf{x} + R_s^{-1} S_k \mathbf{n}_0 =$$

$$= \mathbf{x} + R_s^{-1} S_k \mathbf{n}_0$$
(15)

217 and the error of the LS estimation is:

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$$\mathbf{e}_{LS} = \hat{\mathbf{x}}_{LS} - \mathbf{x} = R_s^{-1} S_k \,\mathbf{n}_0 = R_s^{-1} \,\mathbf{n}_A \tag{16}$$

where  $\mathbf{n}_A = S_k \mathbf{n}_0$  is the synchronous averaging of the noise affecting the EEG. The noise affecting the EEG is unknown, and therefore the error affecting the estimated evoked potential cannot be calculated. However, taking into account the statistics of the noise (described with its covariance matrix  $\Sigma_{n_0}$ ) and the previous equation, we can calculate the covariance matrix  $\Sigma_{e_{LS}}$  of the error affecting the estimated response (whose trace is the expected energy of the error):

$$\Sigma_{e_{LS}} = R_s^{-1} S_k \Sigma_{n_0} S_k^T (R_s^{-1})^T = R_s^{-1} \Sigma_{n_A} R_s^{-1}$$
(17)

where  $\Sigma_{n_A}$  is the  $(J \times J)$  covariance matrix of the noise after the synchronous averaging (which is a positive semidefinite, Toeplitz and symmetric matrix, as  $\Sigma_{n_0}$ ), and the fact that  $R_s$  (as well as its inverse) is symmetric has also been taken into account.

A similar derivation can be done when the LS deconvolution is performed in the reduced representation space. In such case, the error affecting the LS estimation in the reduced

representation space is:

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$$\mathbf{e}_{rLS} = \hat{\mathbf{x}}_{rLS} - \mathbf{x}_r = (V_r R_s V_r^T)^{-1} V_r \mathbf{n}_A$$
(18)

230 and the corresponding covariance matrix is:

$$\Sigma_{e_{rLS}} = (V_r R_s V_r^T)^{-1} V_r \Sigma_{n_A} V_r^T (V_r R_s V_r^T)^{-1}$$
(19)

where both the reduced autocorrelation matrix  $(V_r R_s V_r^T)$  and its inverse are symmetric.

The LS criterion guarantees that the LS solution is optimal (in the sense that provides an unbiased and minimum variance estimation of  $\mathbf{x}_r$ , under the required assumptions) and therefore, if the response is expected to be contained in the subspace defined by  $V_r$ , the energy of the error (as well as the variance of the estimation) is expected to be smaller when the LS solution is constrained to this subspace. Under the LS assumptions (including uncorrelated and stationary white noise) it is easy to demonstrate that the energy of the error decreases, or equivalently that the trace of the covariance matrix of the error decreases:

$$\operatorname{tr}(\Sigma_{e_{rLS}}) < \operatorname{tr}(\Sigma_{e_{LS}}) \tag{20}$$

The demonstration is included in the section 3 of the supplementary materials<sup>1</sup>.

# E. Comparison of subspace-constrained deconvolution vs. LDFDS after deconvo-

The LS criterion guarantees that  $\hat{\mathbf{x}}_{rLS}$  is an optimal solution under several assumptions:  $\mathbf{x}$  is in the subspace, s(n) and  $n_0(n)$  are uncorrelated and  $n_0(n)$  is stationary-white noise. We have verified that the energy of the expected error for  $\hat{\mathbf{x}}_{rLS}$  is less than or equal to that for  $\hat{\mathbf{x}}_{LS}$ . However, when LDFDS was proposed,  $\hat{\mathbf{x}}_{LS}$  was first estimated and then projected into the subspace:

$$(\hat{\mathbf{x}}_{LS})_r = V_r \,\hat{\mathbf{x}}_{LS} = V_r \,R_s^{-1} \,\mathbf{z}_0 \tag{21}$$

and it was demonstrated to be effective for noise reduction (i.e.,  $(\hat{\mathbf{x}}_{LS})_r$  substantially improves  $\hat{\mathbf{x}}_{LS}$ ). Therefore, one could wonder whether the subspace-constrained deconvolution  $\hat{\mathbf{x}}_{rLS}$  proposed here improves or not the estimation obtained when LDFDS is applied after a non constrained deconvolution  $(\hat{\mathbf{x}}_{LS})_r$ , previously proposed in (de la Torre *et al.*, 2020).

Of course, under the required assumptions, the LS criterion guarantees that the subspace-constrained deconvolution is better, but some analysis is also interesting. Both approaches can be compared taking into account the trace of the covariance matrix of the error affecting the corresponding estimations. The covariance matrix of the error is given in equation (19) for  $\hat{\mathbf{x}}_{rLS}$ . In the case of  $(\hat{\mathbf{x}}_{LS})_r$ , the covariance matrix of the error is:

$$\Sigma_{(e_{LS})_r} = V_r R_s^{-1} \Sigma_{nA} R_s^{-1} V_r^T \tag{22}$$

In the section 4 of the supplementary materials<sup>1</sup>, the traces of both covariance matrices are compared. As expected, under the assumptions (particularly, in the case of white noise), it is demonstrated that the trace for the subspace-constrained deconvolution is less than or equal to that for  $(\hat{\mathbf{x}}_{LS})_r$ :

$$\operatorname{tr}(\Sigma_{e_{rLS}}) \le \operatorname{tr}(\Sigma_{(e_{LS})_r}) \tag{23}$$

Interestingly, the equality occurs if  $R_s = I$ . This situation never takes place in a deconvolution problem (because if  $R_s = I$  then the optimal solution is obtained with the synchronous averaging). However  $R_s$  is usually relatively close to the identity matrix, and therefore the solutions  $(\hat{\mathbf{x}}_{LS})_r$  and  $\hat{\mathbf{x}}_{rLS}$  are expected to be close.

The experiments have been designed to compare (i) the LS solution (ii) the LS solution

#### 65 III. EXPERIMENTS AND RESULTS

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transformed to the reduced subspace and (iii) the subspace-constrained LS solution, corre-267 sponding to the estimations  $\hat{\mathbf{x}}_{LS}$ ,  $(\hat{\mathbf{x}}_{LS})_r$  and  $\hat{\mathbf{x}}_{rLS}$ , and referred to as LS, LS-R and SC-LS, respectively. These are compared in terms of both the quality of the estimated responses 269 and the computational cost of the procedures. According to the RSLSD and IRSA proce-270 dures, we have compared implementations based on both matrix division (LS<sub>MD</sub>, LS-R<sub>MD</sub>) 271 and SC-LS<sub>MD</sub>) and iterative estimation (LS<sub>It</sub>, LS-R<sub>It</sub> and SC-LS<sub>It</sub>). 272 The quality evaluation of the estimated responses requires an a-priori knowledge of the 273 clean signal, to be used as reference, which is not possible with real AEP responses (because 274 the estimations are always affected by some residual noise). Therefore, the quality evalua-275 tions are based on simulations (where a noisy EEG can be synthesized using a known clean 276 AEP response, which can be used as reference). The evaluation of the computational cost 277 is based on real EEG signals.

# A. Experimental design

For the experiments involving real EEG signals, the stimulation consisted in rarefaction clicks of 0.1 ms at 74 dB normal hearing level (nHL) presented at different average stimulation rates, between 1.39 and 44.44 stimuli per second (stim/sec). The 0 dB nHL reference

level was estimated as described in Martinez et al. (2022), i.e. as the mean threshold level estimated in a sample of 10 normal-hearing adults (5 female, 23-38 years) who presented 284 pure-tone threshold levels within the normal range in the 0.5-8 kHz frequency range and had no history of any type of auditory dysfunction. At each average stimulation rate, the 286 ISI has a uniform distribution within one octave of variation (e.g. 480-960 ms for aver-287 age stimulation rate of 1.39 stim/sec; 240-480 ms for 2.78 stim/sec; etc.). Six stimulation conditions are considered, with one octave of variation from condition to condition (i.e. 289 double average stimulation rate and half ISI-limits for the next condition). The EEGs were 290 recorded with surface electrodes located at the forehead (active), right mastoid (reference) 291 and middle forehead (ground) using a preamplifier with 70 dB gain and 1-3500 Hz bandwidth 292 (Valderrama et al., 2013, 2014a,c). The preamplified EEG signal was digitized (44100 Hz, 16 293 bits/sample) low-pass filtered (4000 Hz cut-off frequency) and down-sampled to 14700 Hz. Eye-blinking artifacts were suppressed with the iterative template matching and suppression 295 algorithm (ITMS) (Valderrama et al., 2018). The EEG database (previously used in de la 296 Torre et al. (2020)) contains recordings from 8 subjects (aged 26-58 yr., one female) with 6 297 ISI conditions for each subject, and 684 seconds of EEG recording for each ISI condition. All the participants of this database met the inclusion criteria of reporting no hearing dif-299 ficulties and absence of a history of auditory dysfunction. In order to obtain the response 300 of the complete auditory pathway, the AEP response extends from 0 to 1000 ms, i.e. the response length is J = 14700 samples. The latency-dependent filtering and down-sampling 302 is performed with a resolution of 40 samples/decade, which provides a response length in 303 the reduced representation space of  $J_r = 117$  samples.

The experiments involving simulations are designed with a configuration similar to that 305 of the real experiments (same ISI conditions and EEG duration). The grand-average AEP 306 responses obtained in (de la Torre et al., 2020) at each ISI condition were used as reference clean AEP responses in order to synthesize the simulated EEGs. These reference AEP 308 responses were latency-dependent filtered with a resolution of 40 samples/decade. 300 EEGs are synthesized according to the convolutional model in equation (1). The noise contaminating the EEGs was band-pass random noise (with flat spectral density in the 311 range [1.5-800] Hz and  $\pm 20$  dB/decade slope out of the pass-band). It was prepared from 312 white Gaussian noise, filtered with a first-order 1.5-800 Hz Butterworth band-pass filter. The 313 noise level was adjusted in order to obtain a final SNR (after the standard LS deconvolution) 314 around +10 dB, which is a reasonable SNR for typical AEP estimations (de la Torre et al., 315 2020). 316

In the simulation-based experiments, the AEP response  $\hat{\mathbf{x}}$  is estimated from the EEG y 317 either with the LS, LS-R or SC-LS procedures. Using the clean reference x, the error can 318 be estimated as  $\mathbf{e} = \hat{\mathbf{x}} - \mathbf{x}$ , and the different procedures can easily be compared in terms 319 of the error energy. However, since the noise affecting the EEG is a random process the 320 error energy estimations are strongly affected by statistical fluctuations. For this reason, the 321 three procedures have been compared in terms of the expected error energy (statistically consistent with the measured error energies but more stable than them) using the trace 323 of the covariance matrix of the respective errors, in equations (17), (22) and (19) for LS, 324 LS-R and SC-LS, respectively. The simulations have been repeated 100 times for each ISI 325 condition, and expected error measurements have been averaged.

For the experiments involving real EEGs and evaluation of the computational cost, the LS, 327 LS-R and SC-LS estimations have been obtained with algorithms based on both, RSLSD (i.e. 328 involving matrix division) and IRSA (i.e. involving iterative estimation). MatLab/Octave functions implementing the RSLSD and IRSA algorithms for LS, LS-R and SC-LS esti-330 mations are described in the section 5 of the supplementary materials<sup>1</sup>, together with a 331 demonstration script providing a simulation and examples of use of these functions. The convergence criterion for the iterative estimations was set either to 290 dB (more accurate) 333 or to 120 dB (faster). The computational cost was evaluated in terms of execution time, 334 measured using a desktop computer with an Intel-Core i7-3770 CPU, 3.40 GHz, 8.00 GB 335 RAM running the algorithms with MatLab. 336

# B. Quality of the LS, LS-R and SC-LS estimations

Figure 1 represents an example the AEP responses obtained in the simulations for one of 338 the 100 repetitions. The figure includes the clean AEP responses used for the EEG synthesis 339 (and as reference for the quality estimations), and the different estimations based on LS, LS-R and SC-LS (with the matrix division implementation). The six responses in each 341 panel correspond to the different ISI conditions considered in the simulations, from 480-342 960 ms (top) to 15-30 ms (bottom). The latency axis is logarithmically scaled in order to appropriately represent the response of the complete auditory pathway, from the auditory 344 brainstem responses to the cortical responses. The main waves of the AEP response are 345 labeled, and the stimulation artifact can be observed within the first ms. As can be observed, the LS estimation is strongly affected by noise (due to the noise added to the EEG). The

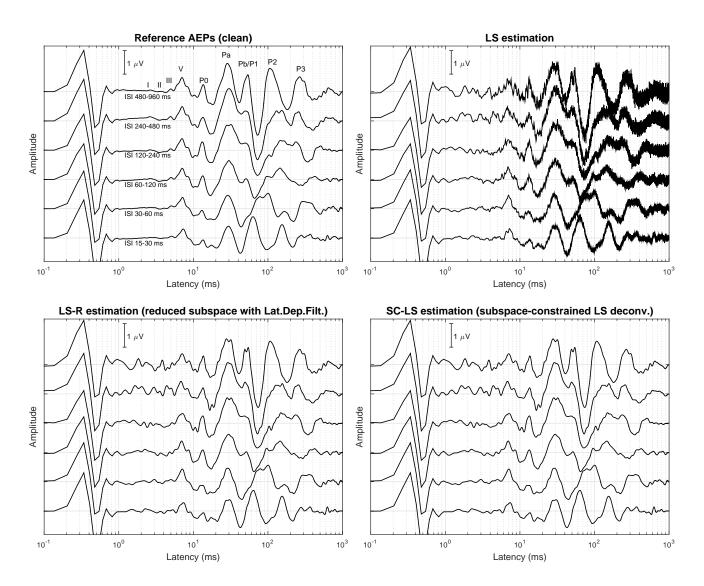


FIG. 1. AEP responses obtained in the simulations for one repetition. The different panels represent (a) the template responses used as reference; (b) the LS estimations (obtained in the original representation space); (c) the LS-R estimations (obtained by applying dimensionality reduction based on the LDFDS to the LS estimations); and (d) the SC-LS estimations (subspace-constrained LS deconvolution). The plots in each panel correspond to the AEP responses at each ISI condition.

TABLE I. SNR mean (standard deviation in parenthesis) in dB, for the LS, LS-R and SC-LS estimations, obtained in simulations with 100 repetitions for each ISI condition. The SNR measurements are based on the covariance matrix of the error.

ISI	LS	LS-R	SC-LS	
condition	mean (std) dB	mean (std) dB	mean (std) dB	
480-960 ms	9.351 (0.002)	23.181 (0.014)	23.188 (0.014)	
$240\text{-}480~\mathrm{ms}$	$9.252 \ (0.012)$	22.672 (0.023)	22.686 (0.023)	
$120\text{-}240~\mathrm{ms}$	9.589 (0.008)	21.778 (0.035)	21.810 (0.035)	
$60\text{-}120~\mathrm{ms}$	7.614 (0.004)	17.645 (0.041)	17.688 (0.041)	
$30\text{-}60~\mathrm{ms}$	11.472 (0.008)	19.180 (0.045)	19.209 (0.045)	
$1530~\mathrm{ms}$	11.610 (0.008)	17.928 (0.030)	17.948 (0.030)	
Average	9.815 (1.379)	20.397 (2.238)	20.421 (2.230)	

LS-R and SC-LS estimations are significantly less affected by noise. Interestingly, the LS-R and SC-LS estimations are very similar. The comparison of the different estimations is detailed in the section 6.1 of the supplementary materials<sup>1</sup>.

The quality of each estimation has been evaluated using the expected SNR, defined as the ratio of the signal energy to the expected error energy, expressed in dB, where the expected error energy was estimated as the trace of the covariance matrix of the residual error:

$$SNR_{dB} = 10 \log_{10} \left( \frac{E(\mathbf{x})}{E(\mathbf{e})} \right) \approx 10 \log_{10} \left( \frac{E(\mathbf{x})}{\text{tr}(\Sigma_e)} \right)$$
 (24)

The supplementary materials<sup>1</sup> include, in section 6.2, a description of the autocorrelation functions of the noise and the averaged noise (providing  $\Sigma_{n_0}$  and  $\Sigma_{n_A}$ , respectively) and the main diagonal of the covariance matrix of the residual error for the LS, LS-R and SC-LS estimations.

Table I shows the expected SNR obtained for the LS, LS-R and SC-LS estimations, based on the respective covariance matrices. The table includes means and standard deviations

TABLE II. SNR improvement provided by LS-R with respect to LS and by SC-LS with respect to LS-R, in dB, obtained in simulations with 100 repetitions for each ISI condition. The SNR measurements are based on the trace of the covariance matrix of the error. The table includes mean, standard deviation and the p parameter for a paired Student's t-test.

	LS-R vs. LS		SC-LS vs. LS-R		
ISI cond.	mean (std) dB	p	mean (std) dB	p	
480-960 ms	13.830 (0.013)	1.4e-300	0.006 (4.4e-4)	4.0e-117	
240-480 ms	13.419 (0.018)	2.0e-287	0.014 (8.1e-4)	3.8e-124	
120-240 ms	12.188 (0.038)	6.1e-250	0.033 (1.1e-3)	1.2e-149	
$60\text{-}120~\mathrm{ms}$	10.031 (0.037)	2.1e-242	0.043 (4.9e-4)	6.2e-194	
$30\text{-}60~\mathrm{ms}$	7.708 (0.040)	9.2e-228	0.028 (5.6e-4)	4.5e-171	
$1530~\mathrm{ms}$	$6.318\ (0.023)$	2.7e-244	0.020 (4.2e-4)	4.0e-168	
Average	10.582 (2.830)	<1e-320	0.024 (0.012)	7.5e-211	

(SD) for each ISI condition. As can be observed (and consistently with the example in figure 1) there is a substantial improvement in LS-R and SC-LS with respect to LS (as-361 sociated to the latency dependent filtering), and a very slight improvement of SC-LS with 362 respect to LS-R (associated to the LS resolution constrained to the subspace). Table II 363 evaluates the improvement of LS-R with respect to LS and that of SC-LS with respect to 364 LS-R, including the mean and standard deviation of the SNR difference and the p value 365 of a paired Student's t-test (i.e. the probability of the null hypothesis of statistical inde-366 pendence). The improvement associated to the latency dependent filtering is between 6.3 and 13.8 dB, depending on the ISI condition, which is consistent with the results reported 368 in (de la Torre et al., 2020). The subspace-constrained deconvolution provides a moderate 360 (but systematic) improvement, between 0.006 and 0.043 dB depending on the ISI condition.

TABLE III. Mean execution time across subjects for different ISI conditions in the experiments with real EEGs. The columns correspond to the different deconvolution algorithms. The rows correspond to the different ISI conditions. The last row represents the execution time for processing the six ISI conditions.

ISI	$LS_{MD}$	$\mathrm{LS}_{\mathrm{It}}$	$\mathrm{LS}_{\mathrm{It}}$	LS-R <sub>MD</sub>	LS-R <sub>It</sub>	LS-R <sub>It</sub>	SC-LS <sub>MD</sub>	$\mathrm{SC} ext{-}\mathrm{LS}_{\mathrm{It}}$	$ m SC-LS_{It}$
(ms)	(RSLSD)	(IRSA-290dB)	(IRSA-120dB)	(RSLSD)	(IRSA-290dB)	(IRSA-120dB)	(RSLSD)	(IRSA-290dB)	(IRSA-120dB)
480-960	$20.23~\mathrm{s}$	$0.63 \mathrm{\ s}$	$0.55 \mathrm{\ s}$	$20.50~\mathrm{s}$	$0.61 \mathrm{\ s}$	$0.53 \mathrm{\ s}$	$0.59 \mathrm{\ s}$	$0.59 \mathrm{\ s}$	$0.59 \mathrm{\ s}$
240-480	$20.91~\mathrm{s}$	$1.79~\mathrm{s}$	$1.09 \mathrm{\ s}$	$20.74~\mathrm{s}$	$1.75 \mathrm{\ s}$	$1.09 \mathrm{\ s}$	$1.01 \mathrm{\ s}$	$1.03 \mathrm{\ s}$	$1.02 \mathrm{\ s}$
120-240	$21.58~\mathrm{s}$	$3.75 \mathrm{\ s}$	$2.03 \mathrm{\ s}$	$21.34~\mathrm{s}$	$3.71 \mathrm{\ s}$	$2.01 \mathrm{\ s}$	$1.74 \mathrm{\ s}$	$1.77~\mathrm{s}$	$1.75 \mathrm{\ s}$
60-120	$22.10~\mathrm{s}$	$7.05 \mathrm{\ s}$	$3.60 \mathrm{\ s}$	$22.18~\mathrm{s}$	$6.99~\mathrm{s}$	$3.65~\mathrm{s}$	$2.47~\mathrm{s}$	$2.52 \mathrm{\ s}$	$2.49 \mathrm{\ s}$
30-60	$22.99~\mathrm{s}$	$13.48~\mathrm{s}$	$6.66 \mathrm{\ s}$	$22.99~\mathrm{s}$	$13.47~\mathrm{s}$	$6.63~\mathrm{s}$	$3.52 \mathrm{\ s}$	$3.65 \mathrm{\ s}$	$3.59 \mathrm{\ s}$
15-30	$25.87~\mathrm{s}$	$17.16~\mathrm{s}$	$13.05~\mathrm{s}$	$25.82~\mathrm{s}$	$17.00~\mathrm{s}$	12.97s	$6.31~\mathrm{s}$	$6.42 \mathrm{\ s}$	$6.32 \mathrm{\ s}$
All	133.69 s	$43.86 \mathrm{\ s}$	26.98 s	133.58 s	$43.53~\mathrm{s}$	<b>26.88</b> s	15.63 s	$15.98 \mathrm{\ s}$	15.76 s

These improvements are statistically significant, as can be appreciated from the p values in table II.

The supplementary materials<sup>1</sup> include, in section 6.3, results of the SNRs estimated from
the error observed at each repetition of the simulation. The SNRs obtained from the expected
error and from the observed error are statistically consistent (same mean values) even though
the standard deviations are much greater for the SNRs derived from the observed error (due
to statistical fluctuations).

# C. Computational cost of the procedures

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The computational cost of the deconvolution procedures is compared in table III. The
three deconvolution procedures (LS, LS-R, SC-LS) have been implemented with matrix
division (using the RSLSD algorithm) and with the iterative estimation (using the IRSA

algorithm with convergence criterion of either 290 dB or 120 dB). The table includes the 382 execution time for each ISI condition (average execution time per subject). The last row 383 represents the execution time for the complete test (including the six ISI conditions). The results for LS and LS-R (with similar execution times) reveals that the computational cost 385 of the latency dependent filtering is very small compared with that of the deconvolution. 386 However, the SC-LS provides a significant reduction of the execution time with respect to LS or LS-R, thanks to the dimensionality reduction (from J = 14700 to  $J_r = 117$ ). 388 While the IRSA procedure provides a relevant computational cost reduction with respect to 389 RSLSD in the case of LS and LS-R, when the deconvolution is constrained to the subspace the computational costs are very similar. The section 7.1 of the supplementary materials<sup>1</sup> 391 provides more details about the execution times (including initialization and time devoted 392 to each iteration). It also provides a comparison of the execution times measured with a 393 faster computer. 394

The execution times reported in table III correspond to a response length of 14700 samples. In order to evaluate the influence of the response length over the computational cost,
the execution time has been evaluated for J ranging between 14700 (1000 ms) and 147 (10
ms). Figure 2 represents the total execution time per subject as a function of the response
length, for the LS-R and SC-LS deconvolution algorithms (LS has not been included in the
plot, since it provides execution times similar to those of LS-R). As can be observed, the
execution time decreases with the response length, and the improvements are less important
as J decreases (because the ratio  $J/J_r$  decreases with J).

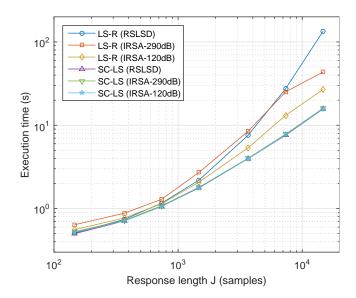


FIG. 2. Mean execution time across subjects required by the different algorithms for processing all the ISI conditions, as a function of the response length J.

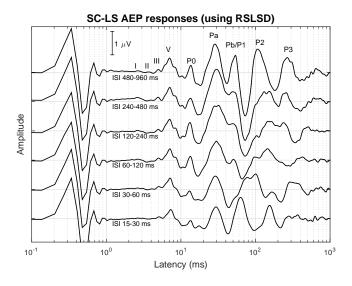


FIG. 3. Grand-average responses obtained with SC-LS $_{
m MD}$  for the experiments using real EEG signals.

# D. Responses provided by the SC-LS deconvolution

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Figure 3 represents the grand-average of the AEP responses provided by the subspace-404 constrained least squares deconvolution. These responses correspond to the solutions pro-405 vided by the SC-LS<sub>MD</sub> algorithm (i.e. SC-LS criterion implemented with matrix division). As in the figure 1, the latency axis is logarithmically scaled, in order to represent the responses of the different portions of the auditory pathway. This responses are very similar 408 to those obtained in (de la Torre et al., 2020), and represented in the upper-left panel of figure 1, because they have been obtained from the same EEG database and also because 410 the solutions provided by LS-R (i.e. applying the latency-dependent filtering) and SC-LS 411 are very similar (the energy of the difference between both solutions is about 31 dB below 412 the signal energy in these experiments). Section 7.2 of the supplementary materials<sup>1</sup> evaluates the differences among the solutions provided by the different deconvolution algorithms 414 considered in this manuscript. 415

# E. Grand-average and individual AEP responses

Figure 4 shows, in the top-left panel, the grand-average AEP responses across participants obtained with SC-LS<sub>MD</sub> at different stimulation rates. The rest of the panels show the individual responses for each participant. The AEP components are labeled in the grand-average response presented at the top (corresponding to ISI 480-960 ms). The latency has been limited in these plots to the range [1-1000] ms in order to ease the analysis of the evoked response (detailed individual responses including also the stimulation artifact portion can be found in the Section 7.3 of the supplementary materials<sup>1</sup>).

The plots with individual traces (subjects 1 to 8) visually show that all the AEP components from wave I of the ABR to the P3 can be identified in all subjects at the slow presentation rate. Overall, the amplitude of the components decreases as the stimulus rate increases. Moreover, the grand-average AEP responses presented in Panel A, and the individual subplots show that the components from wave I to Pa are highly reproducible, and that they can be tracked from the slow to the faster presentation rates. However, the P1/Pb, P2 and P3 components present a higher variability as rate increases, and they are more difficult to be tracked from the slow to the faster rates.

In addition, subject 7 presents a post-auricular muscle response (PAMR) in all the AEP
traces (an action potential occurring at approximately 13 ms after the stimulus onset resulting from the contraction of a muscle located behind the ear, i.e. the post-auricular muscle).
The amplitude of the PAMR decreases as the stimulus rate increases. A remarkable negative
peak at the latency corresponding to N1 (between Pb/P1 and P2) is also observed for this
subject at slow presentation rates. The Section 7.3 of the supplementary materials¹ includes
a comparison of the grand-average responses including and excluding this particular subject
(when subject 7 is excluded, the morphology of the grand-average responses is quite similar,
except for the amplitude reduction in waves P0 and N1).

#### 441 IV. DISCUSSION AND CONCLUSIONS

In this work we propose the subspace-constrained LS deconvolution for the estimation of the AEPs, based on the LDFDS dimensionality reduction. The manuscript presents the mathematical foundation of the subspace-constrained deconvolution, a theoretical analysis

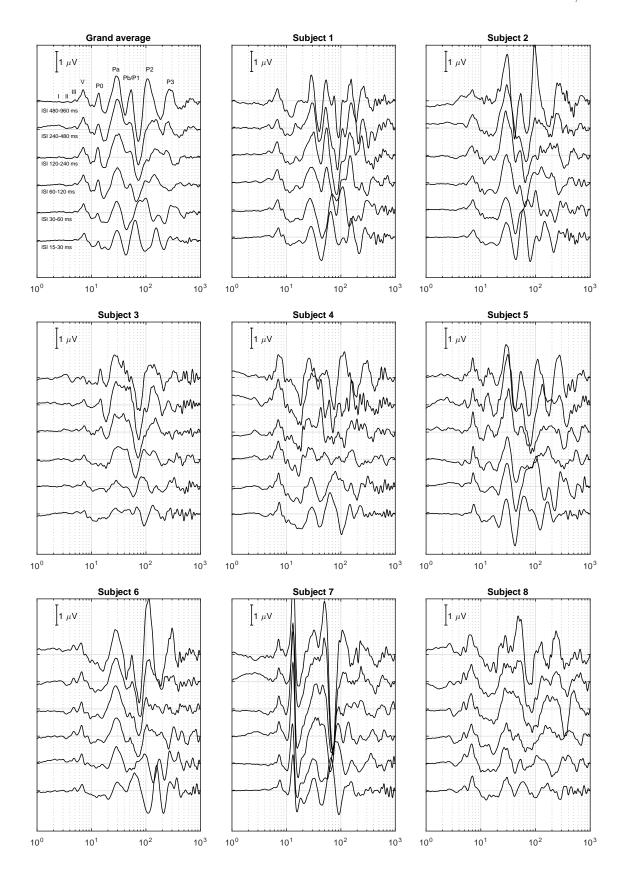


FIG. 4. Grand average and individual AEP responses obtained with the SC-LS<sub>MD</sub> algorithm at different stimulation rates. The AEP components are labeled in the grand-average response at ISI 480-960 ms. The horizontal and vertical axes correspond, respectively, to latency (in ms) and amplitude. The latency axis has been limited to the range [1-1000] ms.

of the residual error (including a demonstration of the error reduction with respect to the conventional LS deconvolution and with respect to LS-R, i.e. when the LDFDS is applied after the LS deconvolution), and experimental evaluation of the improvement provided by the proposed method, in terms of quality of the estimated AEP responses and computational cost.

Regarding the quality of the estimations, SC-LS significantly improves the LS solution (thanks to the noise removal provided by the latency-dependent filtering). Even though the LS criterion guarantees (under the LS assumptions) that the SC-LS solution is also better than or equal to the LS-R solution, the improvement is moderate in this case (the difference between both solutions is about 31 dB below the response energy, i.e. usually masked by the residual noise), probably because the autocorrelation matrix of the stimulation sequence  $R_s$  is close to the identity matrix. Therefore, in practice, the solutions provided by SC-LS and LS-R are very similar.

A relevant difference between SC-LS and LS-R is the requirement that the response in 458 the convolutional model  $\mathbf{x}$  belongs to the subspace. In the case of LS-R, if  $\mathbf{x}$  is not correctly 459 represented in the subspace (for example, if the response is truncated in order to remove 460 the stimulation artifact), the estimated response does not contain components out of the 461 subspace, but the subspace component is not biased. However, in the case of SC-LS, if x is not correctly represented in the subspace, the subspace component is biased (due to 463 the transference of energy from the orthogonal complement to the reduced subspace). This 464 effect would be a disadvantage of the proposed method if the reduced representation space 465 was not appropriate to represent the signal involved in the convolution. For this reason, in

SC-LS, an appropriate design of the reduced subspace is critical, in order to appropriately represent the signal involved in the convolution (including both, the biological response and also the stimulation artifact if it was present).

The dimensionality reduction provided by LDFDS (from J = 14700 to  $J_r = 117$  in the 470 reported experiments) provides several practical advantages for the subspace-constrained 471 deconvolution. The most evident is the reduction of the computational cost associated to 472 the deconvolution, with a reduction of the execution time in a factor 8.5 in the case of the 473 RSLSD algorithm (from 133.7 to 15.6 seconds) and a factor 1.7 in the case of IRSA-120dB (from 27.0 to 15.8 seconds). Additionally, the dimensionality reduction would allow the 475 analysis of the matrix to be inverted (for example, an analysis of its eigenvalues is useful for 476 the estimation of the matrix condition number (Bardy et al., 2014b)), easier as the size of the matrix decreases. Finally, the potential problems associated to matrix inversion (due to 478 low eigenvalues or high condition number of the matrix to be inverted) are alleviated in the 470 reduced representation because the condition number of the matrix to be inverted decreases 480 according to the Cauchy interlacing theorem (the LS deconvolution is better conditioned in 481 the reduced representation space). 482

In conventional LS deconvolution, the computational cost of IRSA is significantly smaller
than that of RSLSD. This advantage disappears when the deconvolution is constrained to the
subspace. Two factors contribute to reduce the advantage of IRSA: on one hand, the matrix
to be inverted in the subspace is not Toeplitz, and therefore a fast-Fourier-transform-based
matrix product is not possible in the reduced representation. On the other hand, part of
the advantage of IRSA with respect to RSLSD was associated to the high dimensionality of

the matrix to be inverted, and vanishes as the dimensionality decreases. As a consequence, the RSLSD implementation of SC-LS (with a simpler formulation and directly providing the solution at convergence) has a computational cost similar to that of IRSA.

The subspace-constrained least squares deconvolution of overlapping AEPs described in 492 this paper along with the representation of the deconvolved AEPs in the logarithmic time 493 scale enables the comprehensive and uninterrupted visualization of all the AEP components 494 of the auditory pathway (from the cochlea to the cortex). While this type of AEP representation is currently non-standard, we believe that it provides a change of paradigm with potential to become the natural way in which AEPs are represented (both in clinical and 497 research applications) due to the important advantages that it provides relative to the tra-498 ditional representation of AEPs (in which the ABR, MLR or CAEP components can be 499 only separately visualised). For example, the proposed comprehensive AEP representation 500 would facilitate the exploration of peripheral and central interactions resulting from both 501 bottom-up and top-down processes (Asilador and Llano, 2021; Lesicko and Llano, 2017), or 502 as a possible diagnostic tool for auditory neuropathy spectrum disorder (as this population is 503 characterized by presenting clear cortical but absent brainstem components (Hood, 2007)). 504 The amplitude reduction observed in the PAMR in Subject 7 as the stimulus rate in-505 creased is consistent with the results obntained by Zakaria et al. (2019), the only study that to the best of our knowledge has investigated the influence of the stimulus rate on the mor-507 phology of the PAMR. Zakaria et al. (2019) evaluated three stimulus repetition rates, being 508 6.1, 11.1 and 17.1 stim/sec; and found that the PAMR threshold increased for the faster 509 repetition rate. In the present study, we show that the PAMR can be reliably recorded at stimulation rates up to 44.4 stim/sec (i.e. ISI 15-30 ms). Future research aimed at characterizing the morphology of the PAMR at faster rates shall benefit from deconvolution algorithms such as the proposed SC-LS.

At group level, the grand-average AEPs and the individual responses show that peripheral 514 components of the response (i.e. from wave I to Pa) can be visually tracked down from the 515 slow to the faster stimulus rates. In contrast, the tracking of central components such as 516 the P1/Pb, P2 and P3 as stimulus rate increases is not straightforward, particularly for 517 ISIs lower than 60-120 ms. Tracking AEP components from a control scenario (in which 518 the neural generators are known) to novel exploratory scenarios (in which the morphology of the responses has not been documented) is an efficient strategy to identify the neural 520 generators of those components (Elberling and Don, 2007). For example, this strategy 521 could be applied to identify AEP components resulting from the analysis of transient AEP responses from binaural stimuli (Martinez et al., 2021) or from ecologically-valid stimuli such 523 as natural speech (Valderrama et al., 2019). The difficulty in tracking central components 524 in the present study could be the result of a suboptimal placement of the active electrode on the head (situated in Fz in this study), as the P1-P2 complex maximizes its magnitude in Cz 526 (Bardy et al., 2014b) and the P3 component in CPz (Hall, 2007). Placing the active electrode 527 far from the neural generator sites may have led to an inefficient characterization of central AEP components, adding an extra difficulty to track these components as a function of the 520 stimulus presentation rate. To this respect, futures studies investigating the morphology of 530 both peripheral and central AEP components at different rates shall benefit from the use of 531 a multi-channel EEG recording setup.

Furthermore, the analysis of the individual AEP waveforms has revealed the existence of 533 the P3 component in all the participants at the slow stimulus rate. This finding was contrary 534 to our expectations, since the P3 component is associated with novelty and expectation, and is typically evoked by stimuli presented at slow rates (e.g. 1 or 0.5 stim/sec) via the oddball 536 paradigm (by comparing the morphology from AEP responses elicited by a deviant stimulus 537 relative to a frequent stimulus (Hall, 2007; Sharma, 2021)). In contrast, the present study uses sequences of a single stimulus (clicks) in which the maximum ISI doubles the minimum 539 ISI. It could be the case that this broad distribution of the ISI induces some degree of novelty 540 on the participant, thus evoking either (i) a consistent P3 component in all the responses of the stimulus sequence, or (ii) a large P3 component only in those responses in which the 542 degree of novelty is higher (probably those with longer ISIs). To respond to this question, 543 a multi-response deconvolution approach would be required to carry out a time-invariant analysis, similar to the one proposed by Valderrama et al. (2016). 545

A potential limitation of the proposed deconvolution method (affecting any deconvolution procedure, also when it is performed in the complete representation space) is the linearity requirement for the least squares criterion. The convolutional method described in equation (1) (or its matrix formulation described in equation (2)) assumes that the auditory system is linear and time invariant (LTI). However, it is well known that auditory evoked responses do not have a linear behavior with the stimulation level (there is a threshold effect, a non linear growing with the stimulation level and a saturation effect (Hall, 2007)) and are not time invariant (the responses changes with the state of the auditory system (Valderrama et al., 2016)). A possible strategy to deal with this limitation consist in the formulation of a multi-

response deconvolution with different possible responses associated to different stimulation levels (as proposed in (Martinez et al., 2022)) or to different states of the auditory system 556 (as proposed in (Valderrama et al., 2016)). This way, under a multi-response formulation of the deconvolution, the non-LTI auditory system can be modeled as an LTI-like system. The 558 multi-response deconvolution, necessary for exploring the response of the complete auditory 550 pathway using complex stimulation patterns, would increase the computational requirements dramatically (a preliminary analysis suggests us that the computational cost would increase 561 with the square of the number of responses considered in the multi-response model). Under 562 this new paradigm, the computational optimization proposed in this article is expected to 563 be very relevant.

In summary, the subspace-constrained deconvolution together with the dimensionality 565 reduction provided by LDFDS provide a substantial quality improvement with respect to 566 the conventional LS solution (and very slight improvement with respect to LS-R, being this 567 improvement not very useful in practice), and provides a substantial computational cost reduction with respect to LS or LS-R, particularly important for the estimation of the complete 569 auditory pathway response. The reduction of both the execution time and the dimensional-570 ity, together with the inherent flexibility of IRSA or RSLSD, provide new perspectives in the design of evoked potential experiments, with more ecological stimuli, involving the simul-572 taneous deconvolution of multiple responses (associated to multiple categories of acoustical 573 events) and including the response of the complete auditory pathway (Martinez et al., 2021; 574 Valderrama et al., 2019).

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<sup>1</sup>See the supplementary materials at [URL will be inserted by AIP] for a PDF file presenting (section 1) 581 the derivation of the least squares solution for an over-determined system of linear equations; (section 2) 582 a description of the effect of an inappropriate subspace selection; (section 3) the mathematical demon-583 stration of the noise reduction provided by the subspace-constrained LS estimation; (section 4) a com-584 parison of subspace-constrained deconvolution vs LDFDS after deconvolution; (section 5) Matlab/Octave 585 functions implementing the LS, LS-R and SC-LS procedures; (section 6) additional experiments with sim-586 ulations; and (section 7) additional experiments with real EEGs. A compressed directory with examples 587 and MatLab/Octave scripts and functions, aiming to help the reader apply the subspace-constrained LS 588 deconvolution procedure described in this paper is also included in the supplementary materials.

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